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**Information technology — Coding of  
audio-visual objects —**

**Part 3:  
Audio**

*Technologies de l'information — Codage des objets audiovisuels —  
Partie 3: Codage audio*

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## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

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ISO/IEC 14496-3 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 29, *Coding of audio, picture, multimedia and hypermedia information*.

This fourth edition cancels and replaces the third edition (ISO/IEC 14496-3:2005), which has been technically revised. It also incorporates the Amendments ISO/IEC 14496-3:2005/Amd.1:2007, ISO/IEC 14496-3:2005/Amd.2:2006, ISO/IEC 14496-3:2005/Amd.3:2006, ISO/IEC 14496-3:2005/Amd.5:2007, ISO/IEC 14496-3:2005/Amd.8, ISO/IEC 14496-3:2005/Amd.9:2008, and the Technical Corrigenda ISO/IEC 14496-3:2005/Cor.2:2008, ISO/IEC 14496-3:2005/Cor.3:2008, ISO/IEC 14496-3:2005/Cor.4:2008, ISO/IEC 14496-3:2005/Cor.5:2008, ISO/IEC 14496-3:2005/Amd.2:2006/Cor.1:2006, ISO/IEC 14496-3:2005/Amd.2:2006/Cor.2:2008, ISO/IEC 14496-3:2005/Amd.2:2006/Cor.3:2008, ISO/IEC 14496-3:2005/Amd.3:2006/Cor.1:2008.

ISO/IEC 14496 consists of the following parts, under the general title *Information technology — Coding of audio-visual objects*:

- *Part 1: Systems*
- *Part 2: Visual*
- *Part 3: Audio*
- *Part 4: Conformance testing*
- *Part 5: Reference software*
- *Part 6: Delivery Multimedia Integration Framework (DMIF)*
- *Part 7: Optimized reference software for coding of audio-visual objects* [Technical Report]
- *Part 8: Carriage of ISO/IEC 14496 contents over IP networks*
- *Part 9: Reference hardware description* [Technical Report]
- *Part 10: Advanced Video Coding*

## ISO/IEC 14496-3:2009(E)

- *Part 11: Scene description and application engine*
- *Part 12: ISO base media file format*
- *Part 13: Intellectual Property Management and Protection (IPMP) extensions*
- *Part 14: MP4 file format*
- *Part 15: Advanced Video Coding (AVC) file format*
- *Part 16: Animation Framework eXtension (AFX)*
- *Part 17: Streaming text format*
- *Part 18: Font compression and streaming*
- *Part 19: Synthesized texture stream*
- *Part 20: Lightweight Application Scene Representation (LAsE<sub>R</sub>) and Simple Aggregation Format (SAF)*
- *Part 21: MPEG-J Graphics Framework eXtensions (GFX)*
- *Part 22: Open Font Format*
- *Part 23: Symbolic Music Representation*
- *Part 24: Audio and systems interaction [Technical Report]*
- *Part 25: 3D Graphics Compression Model*

Audio conformance and 3D graphics conformance will form the subjects of the future Parts 26 and 27, respectively.

## 0 Introduction

### 0.1 Overview

This part of ISO/IEC 14496 (MPEG-4 Audio) is a new kind of audio standard that integrates many different types of audio coding: natural sound with synthetic sound, low bitrate delivery with high-quality delivery, speech with music, complex soundtracks with simple ones, and traditional content with interactive and virtual-reality content. By standardizing individually sophisticated coding tools as well as a novel, flexible framework for audio synchronization, mixing, and downloaded post-production, the developers of the MPEG-4 Audio standard have created new technology for a new, interactive world of digital audio.

MPEG-4, unlike previous audio standards created by ISO/IEC and other groups, does not target a single application such as real-time telephony or high-quality audio compression. Rather, MPEG-4 Audio is a standard that applies to every application requiring the use of advanced sound compression, synthesis, manipulation, or playback. The subparts that follow specify the state-of-the-art coding tools in several domains; however, MPEG-4 Audio is more than just the sum of its parts. As the tools described here are integrated with the rest of the MPEG-4 standard, exciting new possibilities for object-based audio coding, interactive presentation, dynamic soundtracks, and other sorts of new media, are enabled.

Since a single set of tools is used to cover the needs of a broad range of applications, *interoperability* is a natural feature of systems that depend on the MPEG-4 Audio standard. A system that uses a particular coder — for example a real-time voice communication system making use of the MPEG-4 speech coding toolset — can easily share data and development tools with other systems, even in different domains, that use the same tool — for example a voicemail indexing and retrieval system making use of MPEG-4 speech coding.

The remainder of this clause gives a more detailed overview of the capabilities and functioning of MPEG-4 Audio. First a discussion of concepts, that have changed since the MPEG-2 Audio standards, is presented. Then the MPEG-4 Audio toolset is outlined.

### 0.2 Concepts of MPEG-4 Audio

As with previous MPEG standards, MPEG-4 does not standardize methods for encoding sound. Thus, content authors are left to their own decisions as to the best method of creating bitstream payloads. At the present time, methods to automatically convert natural sound into synthetic or multi-object descriptions are not mature; therefore, most immediate solutions will involve interactively-authoring the content stream in some way. This process is similar to current schemes for MIDI-based and multi-channel mixdown authoring of soundtracks.

Many concepts in MPEG-4 Audio are different than those in previous MPEG Audio standards. For the benefit of readers who are familiar with MPEG-1 and MPEG-2 we provide a brief overview here.

#### 0.2.1 Audio storage and transport facilities

In all of the MPEG-4 tools for audio coding, the coding standard ends at the point of constructing access units that contain the compressed data. The MPEG-4 Systems (ISO/IEC 14496-1) specification describes how to convert these individually coded access units into elementary streams.

There is no standard transport mechanism of these elementary streams over a channel. This is because the broad range of applications that can make use of MPEG-4 technology have delivery requirements that are too wide to easily characterize with a single solution. Rather, what is standardized is an interface (the Delivery Multimedia Interface Format, or DMIF, specified in ISO/IEC 14496-6) that describes the capabilities of a transport layer and the communication between transport, multiplex, and demultiplex functions in encoders and decoders. The use of DMIF and the MPEG-4 Systems specification allows transmission functions that are much more sophisticated than are possible with previous MPEG standards.

However, LATM and LOAS were defined to provide a low overhead audio multiplex and transport mechanism for natural audio applications, which do not require sophisticated object-based coding or other functions provided by MPEG-4 Systems.

Table 0.1 gives an overview about the multiplex, storage and transmission formats currently available for MPEG-4 Audio within the MPEG-4 framework:

**Table 0.1 — Format overview**

	Format	Functionality defined in MPEG-4:	Functionality originally defined in:	Description
Multiplex	M4Mux	ISO/IEC 14496-1 (normative)	-	MPEG-4 Multiplex scheme
	LATM	ISO/IEC 14496-3 (normative)	-	Low Overhead Audio Transport Multiplex
Storage	ADIF	ISO/IEC 14496-3 (informative)	ISO/IEC 13818-7 (normative)	Audio Data Interchange Format, (AAC only)
	MP4FF	ISO/IEC 14496-12 (normative)	-	MPEG-4 File Format
Transmission	ADTS	ISO/IEC 14496-3 (informative)	ISO/IEC 13818-7 (normative, exemplarily)	Audio Data Transport Stream, (AAC only)
	LOAS	ISO/IEC 14496-3 (normative, exemplarily)	-	Low Overhead Audio Stream, based on LATM, three versions are available: AudioSyncStream() EPAudioSyncStream() AudioPointerStream()

To allow for a user on the remote side of a channel to dynamically control a server streaming MPEG-4 content, MPEG-4 defines backchannel streams that can carry user interaction information.

**0.2.2 MPEG-4 Audio supports low-bitrate coding**

Previous MPEG Audio standards have focused primarily on transparent (undetected) or nearly transparent coding of high-quality audio at whatever bitrate was required to provide it. MPEG-4 provides new and improved tools for this purpose, but also standardizes (and has tested) tools that can be used for transmitting audio at the low bitrates suitable for Internet, digital radio, or other bandwidth-limited delivery. The new tools specified in MPEG-4 are the state-of-the-art tools that support low-bitrate coding of speech and other audio.

**0.2.3 MPEG-4 Audio is an object-based coding standard with multiple tools**

Previous MPEG Audio standards provided a single toolset, with different configurations of that toolset specified for use in various applications. MPEG-4 provides several toolsets that have no particular relationship to each other, each with a different target function. The profiles of MPEG-4 Audio specify which of these tools are used together for various applications.

Further, in previous MPEG standards, a single (perhaps multi-channel or multi-language) piece of content was transmitted. In contrast, MPEG-4 supports a much more flexible concept of a *soundtrack*. Multiple tools may be used to transmit several *audio objects*, and when using multiple tools together an *audio composition* system is provided to create a single soundtrack from the several audio substreams. User interaction, terminal capability, and speaker configuration may be used when determining how to produce a single soundtrack from the component objects. This capability gives MPEG-4 significant advantages in quality and flexibility when compared to previous audio standards.

**0.2.4 MPEG-4 Audio provides capabilities for synthetic sound**

In natural sound coding, an existing sound is compressed by a server, transmitted and decompressed at the receiver. This type of coding is the subject of many existing standards for sound compression. In contrast, MPEG-4 standardizes a novel paradigm in which synthetic sound descriptions, including synthetic speech and synthetic

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music, are transmitted and then *synthesized* into sound at the receiver. Such capabilities open up new areas of very-low-bitrate but still very-high-quality coding.

### 0.2.5 MPEG-4 Audio provides capabilities for error robustness

Improved error robustness capabilities for all coding tools are provided through the error resilient bitstream payload syntax. This tool supports advanced channel coding techniques, which can be adapted to the special needs of given coding tools and a given communications channel. This error resilient bitstream payload syntax is mandatory for all error resilient object types.

The error protection tool (EP tool) provides unequal error protection (UEP) for MPEG-4 Audio in conjunction with the error resilient bitstream payload. UEP is an efficient method to improve the error robustness of source coding schemes. It is used by various speech and audio coding systems operating over error-prone channels such as mobile telephone networks or Digital Audio Broadcasting (DAB). The bits of the coded signal representation are first grouped into different classes according to their error sensitivity. Then error protection is individually applied to the different classes, giving better protection to more sensitive bits.

Improved error robustness for AAC is provided by a set of error resilience tools. These tools reduce the perceived degradation of the decoded audio signal that is caused by corrupted bits in the bitstream payload.

### 0.2.6 MPEG-4 Audio provides capabilities for scalability

Previous MPEG Audio standards provided a single bitrate, single bandwidth toolset, with different configurations of that toolset specified for use in various applications. MPEG-4 provides several bitrate and bandwidth options within a single stream, providing a scalability functionality that permits a given stream to scale to the requirement of different channels and applications or to be responsive to a given channel that has dynamic throughput characteristics. The tools specified in MPEG-4 are the state-of-the-art tools providing scalable compression of speech and audio signals.

## 0.3 The MPEG-4 Audio tool set

### 0.3.1 Speech coding tools

#### 0.3.1.1 Overview

Speech coding tools are designed for the transmission and decoding of synthetic and natural speech.

Two types of speech coding tools are provided in MPEG-4. The *natural* speech tools allow the compression, transmission, and decoding of human speech, for use in telephony, personal communication, and surveillance applications. The *synthetic* speech tool provides an interface to text-to-speech synthesis systems; using synthetic speech provides very-low-bitrate operation and built-in connection with facial animation for use in low-bitrate video teleconferencing applications.

#### 0.3.1.2 Natural speech coding

The MPEG-4 speech coding toolset covers the compression and decoding of natural speech sound at bitrates ranging between 2 and 24 kbit/s. When variable bitrate coding is allowed, coding at even less than 2 kbit/s, for example an average bitrate of 1,2 kbit/s, is also supported. Two basic speech coding techniques are used: One is a parametric speech coding algorithm, HVXC (Harmonic Vector eXcitation Coding), for very low bit rates; and the other is a CELP (Code Excited Linear Prediction) coding technique. The MPEG-4 speech coders target applications ranging from mobile and satellite communications, to Internet telephony, to packaged media and speech databases. It meets a wide range of requirements encompassing bitrate, functionality and sound quality.

**MPEG-4 HVXC** operates at fixed bitrates between 2,0 kbit/s and 4,0 kbit/s using a bitrate scalability technique. It also operates at lower bitrates, typically 1,2 - 1,7 kbit/s, using a variable bitrate technique. HVXC provides communications-quality to near-toll-quality speech in the 100 Hz – 3 800 Hz band at 8 kHz sampling rate. HVXC also allows independent change of speed and pitch during decoding, which is a powerful functionality for fast access to speech databases. HVXC functionalities include 2,0 - 4,0 kbit/s fixed bitrate modes and a 2,0 kbit/s maximum variable bitrate mode.

Error Resilient (ER) HVXC extends operation of the variable bitrate mode to 4,0 kbit/s to allow higher quality variable rate coding. The ER HVXC therefore provides fixed bitrate modes of 2,0 - 4,0 kbit/s and a variable bitrate of either less than 2,0 kbit/s or less than 4,0 kbit/s, both in scalable and non-scalable modes. In the variable bitrate modes, non-speech parts are detected in unvoiced signals, and a smaller number of bits are used for these non-speech parts to reduce the average bitrate. ER HVXC provides communications-quality to near-toll-quality speech in the 100 Hz - 3800 Hz band at 8 kHz sampling rate. When the variable bitrate mode is allowed, operation at lower average bitrate is possible. Coded speech using variable bitrate mode at typical bitrates of 1,5 kbit/s average, and at typical bitrate of 3,0 kbit/s average has essentially the same quality as 2,0 kbit/s fixed rate and 4,0 kbit/s fixed rate respectively. The functionality of pitch and speed change during decoding is supported for all modes. ER HVXC has a bitstream payload syntax with the error sensitivity classes to be used with the EP-Tool, and some error concealment functionality is supported for use in error-prone channels such as mobile communication channels. The ER HVXC speech coder target applications range from mobile and satellite communications, to Internet telephony, to packaged media and speech databases.

**MPEG-4 CELP** is a well-known coding algorithm with new functionality. Conventional CELP coders offer compression at a single bit rate and are optimized for specific applications. Compression is one of the functionalities provided by MPEG-4 CELP, but MPEG-4 also enables the use of one basic coder in multiple applications. It provides scalability in bitrate and bandwidth, as well as the ability to generate bitstream payloads at arbitrary bitrates. The MPEG-4 CELP coder supports two sampling rates, namely, 8 kHz and 16 kHz. The associated bandwidths are 100 Hz – 3800 Hz for 8 kHz sampling and 50 Hz – 7000 Hz for 16 kHz sampling. The silence compression tool comprises a voice activity detector (VAD), a discontinuous transmission (DTX) unit and a comfort noise generator (CNG) module. The tool encodes/decodes the input signal at a lower bitrate during the non-active-voice (silent) frames. During the active-voice (speech) frames, MPEG-4 CELP encoding and decoding are used.

The silence compression tool reduces the average bitrate thanks to compression at a lower bitrate for silence. In the encoder, a voice activity detector is used to distinguish between regions with normal speech activity and those with silence or background noise. During normal speech activity, the CELP coding is used. Otherwise a silence insertion descriptor (SID) is transmitted at a lower bitrate. This SID enables a comfort noise generator (CNG) in the decoder. The amplitude and the spectral shape of this comfort noise are specified by energy and LPC parameters in methods similar to those used in a normal CELP frame. These parameters are optionally re-transmitted in the SID and thus can be updated as required.

MPEG has conducted extensive verification testing in realistic listening conditions in order to prove the efficacy of the speech coding toolset.

### 0.3.1.3 Text-to-speech interface

Text-to-speech (TTS) capability is becoming a rather common media type and plays an important role in various multimedia application areas. For instance, by using TTS functionality, multimedia content with narration can be easily created without recording natural speech. Before MPEG-4, however, there was no way for a multimedia content provider to easily give instructions to an unknown TTS system. With **MPEG-4 TTS Interface**, a single common interface for TTS systems is standardized. This interface allows speech information to be transmitted in the international phonetic alphabet (IPA), or in a textual (written) form of any language.

The **MPEG-4 Hybrid/Multi-Level Scalable TTS Interface** is a superset of the conventional TTS framework. This extended TTS Interface can utilize prosodic information taken from natural speech in addition to input text and can thus generate much higher-quality synthetic speech. The interface and its bitstream payload format is scalable in terms of this added information; for example, if some parameters of prosodic information are not available, a decoder can generate the missing parameters by rule. Normative algorithms for speech synthesis and text-to-phoneme translation are not specified in MPEG-4, but to meet the goal that underlies the MPEG-4 TTS Interface, a decoder should fully utilize all the provided information according to the user's requirement level.

As well as an interface to text-to-speech synthesis systems, MPEG-4 specifies a joint coding method for phonemic information and facial animation (FA) parameters and other animation parameters (AP). Using this technique, a single bitstream payload may be used to control both the text-to-speech interface and the facial animation visual object decoder (see ISO/IEC 14496-2, Annex C). The functionality of this extended TTS thus ranges from conventional TTS to natural speech coding and its application areas, from simple TTS to audio presentation with TTS and motion picture dubbing with TTS.

## 0.3.2 Audio coding tools

### 0.3.2.1 Overview

Audio coding tools are designed for the transmission and decoding of recorded music and other audio soundtracks.

### 0.3.2.2 General audio coding tools

MPEG-4 standardizes the coding of natural audio at bitrates ranging from 6 kbit/s up to several hundred kbit/s per audio channel for mono, two-channel, and multi-channel-stereo signals. General high-quality compression is provided by incorporating the MPEG-2 AAC standard (ISO/IEC 13818-7), with certain improvements, as MPEG-4 AAC. At 64 kbit/s/channel and higher ranges, this coder has been found in verification testing under rigorous conditions to meet the criterion of “indistinguishable quality” as defined by the European Broadcasting Union.

General audio (GA) coding tools comprise the AAC tool set expanded by alternative quantization and coding schemes (Twin-VQ and BSAC). The general audio coder uses a perceptual filterbank, a sophisticated masking model, noise-shaping techniques, channel coupling, and noiseless coding and bit-allocation to provide the maximum compression within the constraints of providing the highest possible quality. Psychoacoustic coding standards developed by MPEG have represented the state-of-the-art in this technology since MPEG-1 Audio; MPEG-4 General Audio coding continues this tradition.

For bitrates ranging from 6 kbit/s to 64 kbit/s per channel, the MPEG-4 standard provides extensions to the GA coding tools, that allow the content author to achieve the highest quality coding at the desired bitrate. Furthermore, various bit rate scalability options are available within the GA coder. The low-bitrate techniques and scalability modes provided within this tool set have also been verified in formal tests by MPEG.

The **MPEG-4 low delay** coding functionality provides the ability to extend the usage of generic low bitrate audio coding to applications requiring a very low delay in the encoding/decoding chain (e.g. full-duplex real-time communications). In contrast to traditional low delay coders based on speech coding technology, the concept of this low delay coder is based on general perceptual audio coding and is thus suitable for a wide range of audio signals. Specifically, it is derived from the proven architecture of MPEG-2/4 Advanced Audio Coding (AAC) and all capabilities for coding of 2 (stereo) or more sound channels (multi-channel) are available within the low delay coder. To enable coding of general audio signals with an algorithmic delay not exceeding 20 ms at 48 kHz, it uses a frame length of 512 or 480 samples (compared to the 1024 or 960 samples used in standard MPEG-2/4 AAC). Also the size of the window used in the analysis and synthesis filterbank is reduced by a factor of 2. No block switching is used to avoid the “look-ahead” delay due to the block switching decision. To reduce pre-echo artefacts in the case of transient signals, window shape switching is provided instead. For non-transient portions of the signal a sine window is used, while a so-called low overlap window is used for transient portions. Use of the bit reservoir is minimized in the encoder in order to reach the desired target delay. As one extreme case, no bit reservoir is used at all.

The **MPEG-4 BSAC** is used in combination with the AAC coding tools and replaces the noiseless coding of the quantized spectral data and the scalefactors. The MPEG-4 BSAC provides fine grain scalability in steps of 1 kbit/s per audio channel, i.e. 2 kbit/s steps for a stereo signal. One base layer stream and many small enhancement layer streams are used. To obtain fine step scalability, a bit-slicing scheme is applied to the quantized spectral data. First the quantized spectral values are grouped into frequency bands. Each of these groups contains the quantized spectral values in their binary representation. Then the bits of a group are processed in slices according to their significance. Thus all most significant bits (MSB) of the quantized values in a group are processed first. These bit-slices are then encoded using an arithmetic coding scheme to obtain entropy coding with minimal redundancy. In order to implement fine grain scalability efficiently using MPEG-4 Systems tools, the fine grain audio data can be grouped into large-step layers and these large-step layers can be further grouped by concatenating large-step layers from several sub-frames. Furthermore, the configuration of the payload transmitted over an Elementary Stream (ES) can be changed dynamically (by means of the MPEG-4 backchannel capability) depending on the environment, such as network traffic or user interaction. This means that BSAC can allow for real-time adjustments to the quality of service. In addition to fine grain scalability, it can improve the quality of an audio signal that is decoded from a stream transmitted over an error-prone channel, such as a mobile communication network or Digital Audio Broadcasting (DAB) channel.

**MPEG-4 SBR** (Spectral Band Replication) is a bandwidth extension tool used in combination with the AAC general audio codec. When integrated into the MPEG AAC codec, a significant improvement of the performance is available, which can be used to lower the bitrate or improve the audio quality. This is achieved by replicating the

highband, i.e. the high frequency part of the spectrum. A small amount of data representing a parametric description of the highband is encoded and used in the decoding process. The data rate is by far below the data rate required when using conventional AAC coding of the highband.

### 0.3.2.3 Parametric audio coding tools

The parametric audio coding tool **MPEG-4 HILN** (Harmonic and Individual Lines plus Noise) codes non-speech signals like music at bitrates of 4 kbit/s and higher using a parametric representation of the audio signal. The basic idea of this technique is to decompose the input signal into audio objects which are described by appropriate source models and represented by model parameters. Object models for sinusoids, harmonic tones, and noise are utilized in the HILN coder. HILN allows independent change of speed and pitch during decoding.

The Parametric Audio Coding tools combine very low bitrate coding of general audio signals with the possibility of modifying the playback speed or pitch during decoding without the need for an effects processing unit. In combination with the speech and audio coding tools in MPEG-4, improved overall coding efficiency is expected for applications of object based coding allowing selection and/or switching between different coding techniques.

This approach allows for the introduction of a more advanced source model than just assuming a stationary signal for the duration of a frame, which motivates the spectral decomposition used in e.g. the MPEG-4 General Audio Coder. As known from speech coding, where specialized source models based on the speech generation process in the human vocal tract are applied, advanced source models can be advantageous, especially for very low bitrate coding schemes.

Due to the very low target bitrates, only the parameters for a small number of objects can be transmitted. Therefore a perception model is employed to select those objects that are most important for the perceptual quality of the signal.

In HILN, the frequency and amplitude parameters are quantized according to the “just noticeable differences” known from psychoacoustics. The spectral envelope of the noise and the harmonic tones are described using LPC modeling as known from speech coding. Correlation between the parameters of one frame and those of consecutive frames is exploited by parameter prediction. Finally, the quantized parameters are entropy coded and multiplexed to form a bitstream payload.

A very interesting property of this parametric coding scheme arises from the fact that the signal is described in terms of frequency and amplitude parameters. This signal representation permits speed and pitch change functionality by simple parameter modification in the decoder. The HILN Parametric Audio Coder can be combined with MPEG-4 Parametric Speech Coder (HVXC) to form an integrated parametric coder covering a wider range of signals and bitrates. This integrated coder supports speed and pitch change. Using a speech/music classification tool in the encoder, it is possible to automatically select the HVXC for speech signals and the HILN for music signals. Such automatic HVXC/HILN switching was successfully demonstrated and the classification tool is described in the informative Annex of the MPEG-4 standard.

**MPEG-4 SSC**, (SinuSoidal Coding) is a parametric coding tool that is capable of full bandwidth high quality audio coding. The coding tool dissects a monaural or stereo audio signal into a number of different objects so that each can be parameterized efficiently and encoded at a low bit-rate. These objects are: transients, representing dynamic changes in the temporal domain; sinusoids, representing deterministic components; and noise, representing components that do not have a clear temporal or spectral localisation. The fourth object, that is only relevant for stereo input signals, captures the stereo image. As the signal is represented in a parametric domain, independent, high quality pitch and tempo scaling are possible at low computational cost.

### 0.3.3 Lossless audio coding tools

**MPEG-4 DST** (Direct Stream Transfer) provides lossless coding of oversampled audio signals.

**MPEG-4 ALS** (Audio Lossless Coding) provides lossless coding of digital audio signals. Input signals can be integer PCM data with 8- to 32-bit word length or 32-bit IEEE floating-point data. Up to 65536 channels are supported.

**MPEG-4 SLS** (Scalable Lossless Coding) is a tool used in combination with optional MPEG-4 General Audio coding tools to provide fine-grain scalable to numerical lossless coding of digital audio waveform.

### 0.3.4 Synthesis tools

Synthesis tools are designed for very low bitrate description and transmission, and terminal-side synthesis, of synthetic music and other sounds.

The MPEG-4 toolset providing general audio synthesis capability is called **MPEG-4 Structured Audio**, and it is described in subpart 5 of this part of ISO/IEC 14496. MPEG-4 Structured Audio (the SA coder) provides very general capabilities for the description of synthetic sound, and the normative creation of synthetic sound in the decoding terminal. High-quality stereo sound can be transmitted at bitrates from 0 kbit/s (no continuous cost) to 2-3 kbit/s for extremely expressive sound using these tools.

Rather than specify a particular method of synthesis, SA specifies a flexible language for describing methods of synthesis. This technique allows content authors two advantages. First, the set of synthesis techniques available is not limited to those that were envisioned as useful by the creators of the standard; any current or future method of synthesis may be used in MPEG-4 Structured Audio. Second, the creation of synthetic sound from structured descriptions is normative in MPEG-4, so sound created with the SA coder will sound the same on any terminal.

Synthetic audio is transmitted via a set of *instrument* modules that can create audio signals under the control of a *score*. An instrument is a small network of signal-processing primitives that control the parametric generation of sound according to some algorithm. Several different instruments may be transmitted and used in a single Structured Audio bitstream payload. A score is a time-sequenced set of commands that invokes various instruments at specific times to contribute their output to an overall music performance. The format for the description of instruments is SAOL, the Structured Audio Orchestra Language. The format for the description of scores is SASL, the Structured Audio Score Language.

Efficient transmission of sound samples, also called *wavetables*, for use in sampling synthesis is accomplished by providing interoperability with the MIDI Manufacturers Association Downloaded Sounds Level 2 (DLS-2) standard, which is normatively referenced by the Structured Audio standard. By using the DLS-2 format, the simple and popular technique of wavetable synthesis can be used in MPEG-4 Structured Audio soundtracks, either by itself or in conjunction with other kinds of synthesis using the more general-purpose tools. To further enable interoperability with existing content and authoring tools, the popular MIDI (Musical Instrument Digital Interface) control format can be used instead of, or in addition to, scores in SASL for controlling synthesis.

Through the inclusion of compatibility with MIDI standards, MPEG-4 Structured Audio thus represents a unification of the current technique for synthetic sound description (MIDI-based wavetable synthesis) with that of the future (general-purpose algorithmic synthesis). The resulting standard solves problems not only in very-low-bitrate coding, but also in virtual environments, video games, interactive music, karaoke systems, and many other applications.

### 0.3.5 Composition tools

Composition tools are designed for object-based coding, interactive functionality, and audiovisual synchronization.

The tools for audio composition, like those for visual composition, are specified in the MPEG-4 Systems standard (ISO/IEC 14496-1). However, since readers interested in audio functionality are likely to look here first, a brief overview is provided.

*Audio composition* is the use of multiple individual “audio objects” and mixing techniques to create a single soundtrack. It is analogous to the process of recording a soundtrack in a multichannel mix, with each musical instrument, voice actor, and sound effect on its own channel, and then “mixing down” the multiple channels to a single channel or single stereo pair. In MPEG-4, the multichannel mix itself may be transmitted, with each audio source using a different coding tool, and a set of instructions for mixdown also transmitted in the bitstream payload. As the multiple audio objects are received, they are decoded separately, but not played back to the listener; rather, the instructions for mixdown are used to prepare a single soundtrack from the “raw material” given in the objects. This final soundtrack is then played for the listener.

An example serves to illustrate the efficacy of this approach. Suppose, for a certain application, we wish to transmit the sound of a person speaking in a reverberant environment over stereo background music, at very high quality. A traditional approach to coding would demand the use of a general audio coding at 32 kbit/s/channel or above; the sound source is too complex to be well-modeled by a simple model-based coder. However, in MPEG-4 we can represent the soundtrack as the conjunction of several objects: a speaking person passed through a reverberator added to a synthetic music track. We transmit the speaker's voice using the CELP tool at 16 kbit/s, the synthetic music using the SA tool at 2 kbit/s, and allow a small amount of overhead (only a few hundreds of bytes as a fixed cost) to describe the stereo mixdown and the reverberation. Using MPEG-4 and an object-based approach thus allows us to describe in less than 20 kbit/s total a stream that might require 64 kbit/s to transmit with traditional coding, at equivalent quality.

Additionally, having such structured soundtrack information present in the decoding terminal allows more sophisticated client-side interaction to be included. For example, the listener can be allowed (if the content author desires) to request that the background music be muted. This functionality would not be possible if the music and speech were coded into the same audio track.

With the **MPEG-4 Binary Format for Scenes (BIFS)**, specified in MPEG-4 Systems, a subset tool called AudioBIFS allows content authors to describe sound scenes using this object-based framework. Multiple sources may be mixed and combined, and interactive control provided for their combination. Sample-resolution control over mixing is provided in this method. Dynamic download of custom signal-processing routines allows the content author to exactly request a particular, normative, digital filter, reverberator, or other effects-processing routine. Finally, an interface to terminal-dependent methods of 3-D audio spatialisation is provided for the description of virtual-reality and other 3-D sound material.

As AudioBIFS is part of the general BIFS specification, the same framework is used to synchronize audio and video, audio and computer graphics, or audio with other material. Please refer to ISO/IEC 14496-1 (MPEG-4 Systems) for more information on AudioBIFS and other topics in audiovisual synchronization.

### 0.3.6 Scalability tools

Scalability tools are designed for the creation of bitstream payloads that can be transmitted, without recoding, at several different bitrates.

Many of the stream types in MPEG-4 are *scalable* in one manner or another. Several types of scalability in the standard are discussed below.

Bitrate scalability allows a bitstream payload to be parsed into a bitstream payload of lower bitrate such that the combination can still be decoded into a meaningful signal. The bitstream payload parsing can occur either during transmission or in the decoder. Scalability is available within each of the natural audio coding schemes, or by a combination of different natural audio coding schemes.

Bandwidth scalability is a particular case of bitrate scalability, whereby part of a bitstream payload representing a part of the frequency spectrum can be discarded during transmission or decoding. This is available for the CELP speech coder, where an extension layer converts the narrow band base layer speech coder into a wide band speech coder. Also the general audio coding tools which all operate in the frequency domain offer a very flexible bandwidth control for the different coding layers.

Encoder complexity scalability allows encoders of different complexity to generate valid and meaningful bitstream payloads. An example of this is the availability of a high quality and a low complexity excitation module for the wideband CELP coder allowing to choose between significant lower encoder complexity or optimized coding quality.

Decoder complexity scalability allows a given bitstream payload to be decoded by decoders of different levels of complexity. A subtype of decoder complexity scalability is *graceful degradation*, in which a decoder dynamically monitors the resources available, and scales down the decoding complexity (and thus the audio quality) when resources are limited. The Structured Audio decoder allows this type of scalability; a content author may provide (for example) several different algorithms for the synthesis of piano sounds, and the content itself decides, depending on available resources, which one to use.

### 0.3.7 Upstream

Upstream tools are designed for the dynamic control the streaming of the server for bitrate control and quality feedback control.

The **MPEG-4 upstream** or backchannel allows a user on a remote side to dynamically control the streaming of MPEG-4 content from a server. Backchannel streams carry the user interaction information.

### 0.3.8 Error robustness facilities

#### 0.3.8.1 Overview

Error robustness facilities include tools for error resilience as well as for error protection.

The error robustness facilities provide improved performance on error-prone transmission channels. They are comprised of error resilient bitstream payload reordering, a common error protection tool and codec specific error resilience tools.

#### 0.3.8.2 Error resilient bitstream payload reordering

Error resilient bitstream payload reordering allows the effective use of advanced channel coding techniques like unequal error protection (UEP), which can be perfectly adapted to the needs of the different coding tools. The basic idea is to rearrange the audio frame content depending on its error sensitivity in one or more instances belonging to different error sensitivity categories (ESC). This rearrangement can be either data element-wise or even bit-wise. An error resilient bitstream payload frame is built by concatenating these instances.

The basic principle is depicted in Figure 0.1. A bitstream payload is reordered according to the error sensitivity of single bitstream payload elements or even single bits. This new arranged bitstream payload is channel coded, transmitted and channel decoded. Prior to audio decoding, the bitstream payload is rearranged to its original order.

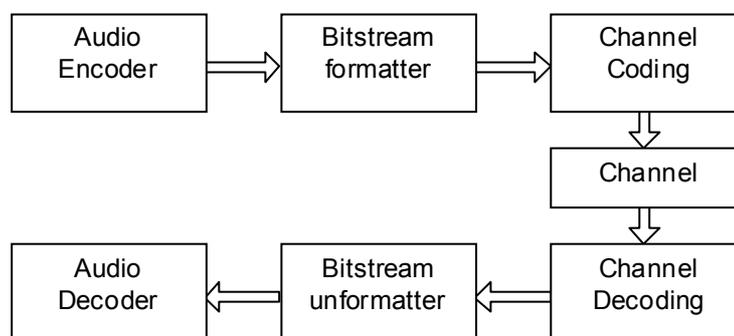


Figure 0.1 — Error resilient bitstream payload reordering

#### 0.3.8.3 Error protection

The EP tool provides unequal error protection. It receives several classes of bits from the audio coding tools, and then applies forward error correction codes (FEC) and/or cyclic redundancy codes (CRC) for each class, according to its error sensitivity.

The error protection tool (EP tool) provides the unequal error protection (UEP) capability to the set of ISO/IEC 14496-3 codecs. Main features of this tool are:

- providing a set of error correcting/detecting codes with wide and small-step scalability, both in performance and in redundancy;
- providing a generic and bandwidth-efficient error protection framework, which covers both fixed-length frame streams and variable-length frame streams;
- providing a UEP configuration control with low overhead.

#### 0.3.8.4 Error resilience tools for AAC

Several tools are provided to increase the error resilience for AAC. These tools improve the perceived audio quality of the decoded audio signal in case of corrupted bitstream payloads, which may occur e. g. in the presence of noisy transmission channels.

- The *Virtual CodeBooks tool (VCB11)* extends the sectioning information of an AAC bitstream payload. This permits the detection of serious errors within the spectral data of an MPEG-4 AAC bitstream payload. Virtual codebooks are used to limit the largest absolute value possible within any scalefactor band that uses escape values. While all virtual codebooks use the codebook 11, the 16 virtual codebooks introduced by VCB11 provide 16 different limitations of the spectral values belonging to the corresponding subclause. Therefore, errors in the transmission of spectral data that result in spectral values exceeding the indicated limit can be located and appropriately concealed.
- The *Reversible Variable Length Coding tool (RVLC)* replaces the Huffman and DPCM coding of the scalefactors in an AAC bitstream payload. The RVLC uses symmetric codewords to enable both forward and backward decoding of the scalefactor data. In order to have a starting point for backward decoding, the total number of bits of the RVLC part of the bitstream payload is transmitted. Because of the DPCM coding of the scalefactors, the value of the last scalefactor is also transmitted to enable backward DPCM decoding. Since not all nodes of the RVLC code tree are used as codewords, some error detection is also possible.
- The *Huffman codeword reordering (HCR)* algorithm for AAC spectral data is based on the fact that some of the codewords can be placed at known positions so that these codewords can be decoded independent of any error within other codewords. Therefore, this algorithm avoids error propagation to those codewords, the so-called priority codewords (PCW). To achieve this, segments of known length are defined and those codewords are placed at the beginning of these segments. The remaining codewords (non-priority codewords, non-PCW) are filled into the gaps left by the PCWs using a special algorithm that minimizes error propagation to the non-PCWs codewords. This reordering algorithm does not increase the size of spectral data. Before applying the reordering algorithm, the PCWs are determined by sorting the codewords according to their importance.

# Information technology — Coding of audio-visual objects —

## Part 3: Audio

Structure of this part of ISO/IEC 14496:

This part of ISO/IEC 14496 contains twelve subparts:

[Subpart 1: Main](#)

[Subpart 2: Speech coding — HVXC](#)

[Subpart 3: Speech Coding — CELP](#)

[Subpart 4: General Audio Coding \(GA\) — AAC, TwinVQ, BSAC](#)

[Subpart 5: Structured Audio \(SA\)](#)

[Subpart 6: Text to Speech Interface \(TTSI\)](#)

[Subpart 7: Parametric Audio Coding — HILN](#)

[Subpart 8: Technical description of parametric coding for high quality audio](#)

[Subpart 9: MPEG-1/2 Audio in MPEG-4](#)

[Subpart 10: Technical description of lossless coding of oversampled audio](#)

[Subpart 11: Audio Lossless Coding](#)

[Subpart 12: Scalable lossless coding](#)

## Content for Subpart 1

1.1	Scope .....	3
1.2	Normative references .....	3
1.3	Terms and definitions .....	4
1.4	Symbols and abbreviations .....	16
1.4.1	Arithmetic operators .....	16
1.4.2	Logical operators .....	17
1.4.3	Relational operators .....	17
1.4.4	Bitwise operators .....	18
1.4.5	Assignment .....	18
1.4.6	Mnemonics .....	18
1.4.7	Constants .....	18
1.4.8	Method of describing bitstream payload syntax .....	19
1.4.9	Arithmetic data types .....	20
1.5	Technical overview .....	21
1.5.1	MPEG-4 audio object types .....	21
1.5.2	Audio profiles and levels .....	26
1.6	Interface to ISO/IEC 14496-1 (MPEG-4 Systems) .....	38
1.6.1	Introduction .....	38
1.6.2	Syntax .....	38
1.6.3	Semantics .....	44
1.6.4	Upstream .....	48
1.6.5	Signaling of SBR .....	50
1.6.6	Signaling of Parametric Stereo (PS) .....	53
1.6.7	Interface between Audio and Systems .....	57
1.6.8	Signalling of BSAC extension payloads .....	58
1.6.9	Original Audio File Information for the ISO Base Media file format .....	59
1.7	MPEG-4 Audio transport stream .....	63
1.7.1	Overview .....	63
1.7.2	Synchronization Layer .....	64
1.7.3	Multiplex Layer .....	67
1.8	Error protection .....	78
1.8.1	Overview of the tools .....	78
1.8.2	Syntax .....	82
1.8.3	General information .....	85
1.8.4	Tool description .....	88
Annex 1.A	(informative) Audio Interchange Formats .....	106
1.A.1	Introduction .....	106
1.A.2	AAC Interchange formats .....	106
1.A.3	Syntax .....	106
1.A.4	Semantic .....	109
Annex 1.B	(informative) Error protection tool .....	111
1.B.1	Example of out-of-band information .....	111
1.B.2	Example of error concealment .....	114
1.B.3	Example of EP tool setting and error concealment for HVXC .....	119
Annex 1.C	(informative) Patent statements .....	135

## Subpart 1: Main

### 1.1 Scope

This part of ISO/IEC 14496 (MPEG-4 Audio) is a new kind of audio standard that integrates many different types of audio coding: natural sound with synthetic sound, low bitrate delivery with high-quality delivery, speech with music, complex soundtracks with simple ones, and traditional content with interactive and virtual-reality content. By standardizing individually sophisticated coding tools as well as a novel, flexible framework for audio synchronization, mixing, and downloaded post-production, the developers of the MPEG-4 Audio standard have created new technology for a new, interactive world of digital audio.

MPEG-4, unlike previous audio standards created by ISO/IEC and other groups, does not target a single application such as real-time telephony or high-quality audio compression. Rather, MPEG-4 Audio is a standard that applies to every application requiring the use of advanced sound compression, synthesis, manipulation, or playback. The subparts that follow specify the state-of-the-art coding tools in several domains; however, MPEG-4 Audio is more than just the sum of its parts. As the tools described here are integrated with the rest of the MPEG-4 standard, exciting new possibilities for object-based audio coding, interactive presentation, dynamic soundtracks, and other sorts of new media, are enabled.

Since a single set of tools is used to cover the needs of a broad range of applications, *interoperability* is a natural feature of systems that depend on the MPEG-4 Audio standard. A system that uses a particular coder — for example, a real-time voice communication system making use of the MPEG-4 speech coding toolset — can easily share data and development tools with other systems, even in different domains, that use the same tool — for example, a voicemail indexing and retrieval system making use of MPEG-4 speech coding. A multimedia terminal that can decode the Natural Audio Profile of MPEG-4 Audio has audio capabilities that cover the entire spectrum of audio functionality available today and into the future.

### 1.2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 11172-3:1993, *Information technology — Coding of moving pictures and associated audio for digital storage media at up to about 1.5 Mbit/s — Part 3: Audio*

ITU-T Rec. H.222.0 (05/2006) | ISO/IEC 13818-1:2007, *Information technology — Generic coding of moving pictures and associated audio information: Systems*

ISO/IEC 13818-3:1998, *Information technology — Generic coding of moving pictures and associated audio information — Part 3: Audio*

ISO/IEC 13818-7:2004, *Information technology — Generic coding of moving pictures and associated audio information — Part 7: Advanced Audio Coding (AAC)*

ISO/IEC 14496-1, *Information technology — Coding of audio-visual objects — Part 1: Systems*

ISO/IEC 14496-11, *Information technology — Coding of audio-visual objects — Part 11: Scene description and application engine*

ISO/IEC 14496-23, *Information technology — Coding of audio-visual objects — Part 23: Symbolic Music Representation*

ISO/IEC 23003-1, *Information technology — MPEG audio technologies — Part 1: MPEG Surround*

ITU-T RECOMMENDATION H.223/ANNEX C MULTIPLEXING PROTOCOL FOR LOW BITRATE MULTIMEDIA COMMUNICATION OVER HIGHLY ERROR\_PRONE CHANNELS, April 1998

*The Complete MIDI 1.0 Detailed Specification, v. 96.2*, MIDI Manufacturers Association, 1996

*The MIDI Downloadable Sounds Specification, v. 97.1*, MIDI Manufacturers Association, 1997

*The MIDI Downloadable Sounds Specification, v. 98.2*, MIDI Manufacturers Association, 1998

## 1.3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

- 1.3.1. **AAC:** Advanced Audio Coding.
- 1.3.2. **AAC program:** A set of main audio channels, coupling channel, lfe channel and associated data streams intended to be decoded and played back simultaneously. A program may be defined by default, or specifically by a `program_config_element()`. A given `single_channel_element()`, `channel_pair_element()`, `coupling_channel_element()`, `lfe_channel_element()` or `data_stream_element()` may accompany one or more programs in any given stream.
- 1.3.3. **Audio access unit:** An individually accessible portion of audio data within an elementary stream.
- 1.3.4. **Audio composition unit:** An individually accessible portion of the output that an audio decoder produces from audio access units.
- 1.3.5. **Absolute time:** The time at which sound corresponding to a particular event is really created; time in the real-world. Contrast score time.
- 1.3.6. **Actual parameter:** The expression which, upon evaluation, is passed to an opcode as a parameter value.
- 1.3.7. **A-cycle:** See audio cycle.
- 1.3.8. **Adaptive codebook:** An approach to encode the long-term periodicity of the signal. The entries of the codebook consist of overlapping segments of past excitations.
- 1.3.9. **Alias:** Mirrored spectral component resulting from sampling.
- 1.3.10. **Analysis filterbank:** Filterbank in the encoder that transforms a broadband PCM audio signal into a set of spectral coefficients.
- 1.3.11. **Ancillary data:** Part of the bitstream payload that might be used for transmission of ancillary data.
- 1.3.12. **API:** Application Programming Interface.
- 1.3.13. **A-rate:** See audio rate.
- 1.3.14. **Asig:** The lexical tag indicating an a-rate variable.
- 1.3.15. **Audio buffer:** A buffer in the system target decoder (see ISO/IEC 13818-1) for storage of compressed audio data.

- 1.3.16. **Audio cycle:** The sequence of processing which computes new values for all a-rate expressions in a particular code block.
- 1.3.17. **Audio rate:** The rate type associated with a variable, expression or statement which may generate new values as often as the sampling rate.
- 1.3.18. **Audio sample:** A short snippet or clip of digitally represented sound. Typically used in wavetable synthesis.
- 1.3.19. **AudioBIFS:** The set of tools specified in ISO/IEC 14496-1 (MPEG-4 Systems) for the composition of audio data in interactive scenes.
- 1.3.20. **Authoring:** In Structured Audio, the combined processes of creatively composing music and sound control scripts, creating instruments which generate and alter sound, and encoding the instruments, control scripts, and audio samples in MPEG-4 Structured Audio format.
- 1.3.21. **Backus-Naur Format:** (BNF) A format for describing the syntax of programming languages, used here to specify the SAOL and SASL syntax.
- 1.3.22. **Backward compatibility:** A newer coding standard is backward compatible with an older coding standard if decoders designed to operate with the older coding standard are able to continue to operate by decoding all or part of a bitstream payload produced according to the newer coding standard.
- 1.3.23. **Bandwidth scalability:** The possibility to change the bandwidth of the signal during transmission.
- 1.3.24. **Bank:** A set of samples used together to define a particular sound or class of sounds with wavetable synthesis.
- 1.3.25. **Bark:** The Bark is the standard unit corresponding to one critical band width of human hearing.
- 1.3.26. **Beat:** The unit in which score time is measured.
- 1.3.27. **Bitrate:** The rate at which the compressed stream is delivered to the input of a decoder.
- 1.3.28. **Bitrate scalability:** The possibility to transmit a subset of the bitstream payload and still decode the bitstream payload with the same decoder.
- 1.3.29. **Bitstream payload verifier:** A process by which it is possible to test and verify that all the requirements specified in ISO/IEC 14496-3 are met by the bitstream payload.
- 1.3.30. **Bitstream; stream:** An ordered series of bits that forms the coded representation of the data.
- 1.3.31. **Block companding:** Normalizing of the digital representation of an audio signal within a certain time period.
- 1.3.32. **BNF:** See Backus-Naur Format.
- 1.3.33. **BSAC:** Bit Sliced Arithmetic Coding
- 1.3.34. **Bus:** An area in memory which is used to pass the output of one instrument into the input of another.
- 1.3.35. **Byte:** Sequence of 8-bits.
- 1.3.36. **Byte aligned:** A bit in a data function is byte-aligned if its position is a multiple of 8-bits from the first bit of this data function.
- 1.3.37. **CELP:** Code Excited Linear Prediction.

- 1.3.38. **Center channel:** An audio presentation channel used to stabilize the central component of the frontal stereo image.
- 1.3.39. **Channel:** A sequence of data representing an audio signal intended to be reproduced at one listening position.
- 1.3.40. **Coded audio bitstream:** A coded representation of an audio signal.
- 1.3.41. **Coded representation:** A data element as represented in its encoded form.
- 1.3.42. **Composition (compositing):** Using a scene description to mix and combine several separate audio tracks into a single presentation.
- 1.3.43. **Compression:** Reduction in the number of bits used to represent an item of data.
- 1.3.44. **Constant bitrate:** Operation where the bitrate is constant from start to finish of the coded bitstream.
- 1.3.45. **Context:** See state space.
- 1.3.46. **Control:** An instruction used to describe how to use a particular synthesis method to produce sound.  
  
 EXAMPLES:  
 “Using the piano instrument, play middle C at medium volume for 2 seconds.”  
 “Glissando the violin instrument up to middle C.”  
 “Turn off the reverberation for 8 seconds.”
- 1.3.47. **Control cycle:** The sequence of processing which computes new values for all control-rate expressions in a particular code block.
- 1.3.48. **Control period:** The length of time (typically measured in audio samples) corresponding to the control rate.
- 1.3.49. **Control rate:** (1) The rate at which instantiation and termination of instruments, parametric control of running instrument instances, sharing of global variables, and other non-sample-by-sample computation occurs in a particular orchestra. (2) The rate type of variables, expressions, and statements that can generate new values as often as the control rate.
- 1.3.50. **Core coder:** The term core coder is used to denote a base layer coder in certain scalability configurations. A core coder does not code the spectral samples of the MDCT filterbank of the subsequent AAC coding layers, but operates on a time domain signal. The output of the core decoder has to be up-sampled and transformed into the spectral domain, before it can be combined with the output of the AAC coding layers. Within the MPEG-4 Audio standard only the MPEG-4 CELP coder is a valid core coder. However, in principle, another AAC coding layer, operating at a lower sampling rate, could also be used on the time domain signal, and then combined with the other coding layer in exactly the same way as described for the CELP coder, and would therefore be called a core coder.
- 1.3.51. **CRC:** The Cyclic Redundancy Check to verify the correctness of data.
- 1.3.52. **Critical band:** This unit of bandwidth represents the standard unit of bandwidth expressed in human auditory terms, corresponding to a fixed length on the human cochlea. It is approximately equal to 100 Hz at low frequencies and 1/3 octave at higher frequencies, above approximately 700 Hz.
- 1.3.53. **Data element:** An item of data as represented after encoding and before decoding.
- 1.3.54. **Data function:** An encapsulation of data elements forming a logic unit.
- 1.3.55. **Decoded stream:** The decoded reconstruction of a compressed bitstream.
- 1.3.56. **Decoder:** An embodiment of a decoding process.

- 1.3.57. **Decoding (process):** The process that reads an input coded bitstream payload and outputs decoded audio samples.
- 1.3.58. **Demultiplexing:** Splitting one bitstream into several.
- 1.3.59. **DFT:** Discrete Fourier Transform.
- 1.3.60. **Digital storage media; DSM:** A digital storage or transmission device or system.
- 1.3.61. **Dimension conversion:** A method to convert a dimension of a vector by a combination of low pass filtering and linear interpolation.
- 1.3.62. **Discrete cosine transform; DCT:** Either the forward discrete cosine transform or the inverse discrete cosine transform. The DCT is an invertible, discrete orthogonal transformation.
- 1.3.63. **Downmix:** A matrixing of n channels to obtain fewer than n channels.
- 1.3.64. **DST:** Direct Stream Transfer
- 1.3.65. **Duration:** The amount of time between instantiation and termination of an instrument instance.
- 1.3.66. **Editing:** The process by which one or more coded bitstreams are manipulated to produce a new coded bitstream. Conforming edited bitstreams must meet the requirements defined in ISO/IEC 14496-3.
- 1.3.67. **Elementary stream (ES):** A sequence of data that originates from a single producer in the transmitting MPEG-4 Terminal and terminates at a single recipient, e.g. an AVObject or a Control Entity in the receiving MPEG-4 Terminal. It flows through one FlexMux Channel.
- 1.3.68. **ELD:** Enhanced Low Delay
- 1.3.69. **Encoder:** An embodiment of an encoding process.
- 1.3.70. **Encoding (process):** A process, not specified in ISO/IEC 14496, that reads a stream of input audio samples and produces a valid coded bitstream payload as defined in ISO/IEC 14496-3.
- 1.3.71. **Enhancement layer(s):** The part(s) of the bitstream payload that is possible to drop in a transmission and still decode the bitstream payload.
- 1.3.72. **Entropy coding:** Variable length lossless coding of the digital representation of a signal to reduce statistical redundancy.
- 1.3.73. **Envelope:** A loudness-shaping function applied to a sound, or more generally, any function controlling a parametric aspect of a sound
- 1.3.74. **EP:** Error Protection
- 1.3.75. **ER:** Error resilience or Error Resilient (as appropriate)
- 1.3.76. **Event:** One control instruction.
- 1.3.77. **Excitation:** The excitation signal represents the input to the LPC module. The signal consists of contributions that cannot be covered by the LPC model.
- 1.3.78. **Expression:** A mathematical or functional combination of variable values, symbolic constants, and opcode calls.
- 1.3.79. **FFT:** Fast Fourier Transformation. A fast algorithm for performing a discrete Fourier transform (an orthogonal transform).

- 1.3.80. **Filterbank:** A set of band-pass filters covering the entire audio frequency range.
- 1.3.81. **Fine rate control:** The possibility to change the bitrate by, under some circumstances, skipping transmission of the LPC indices.
- 1.3.82. **Fixed codebook:** The fixed codebook contains excitation vectors for the speech synthesis filter. The contents of the codebook are non-adaptive (i.e. fixed).
- 1.3.83. **Flag:** A variable which can take one of only the two values defined in this specification.
- 1.3.84. **Formal parameter:** The syntactic element that gives a name to one of the parameters of an opcode.
- 1.3.85. **Forward compatibility:** A newer coding standard is forward compatible with an older coding standard if decoders designed to operate with the newer coding standard are able to decode bitstream payloads of the older coding standard.
- 1.3.86. **Frame:** A part of the audio signal that corresponds to a certain number of audio PCM samples.
- 1.3.87. **F<sub>s</sub>:** Sampling frequency.
- 1.3.88. **FSS:** Frequency Selective Switch. Module which selects one of two input signals independently in each scalefactor band.
- 1.3.89. **Fundamental frequency:** A parameter which represents signal periodicity in frequency domain.
- 1.3.90. **Future wavetable:** A wavetable that is declared but not defined in the SAOL orchestra; its definition must arrive in the bitstream payload before it is used.
- 1.3.91. **Global block:** The section of the orchestra that describes global variables, route and send statements, sequence rules, and global parameters.
- 1.3.92. **Global context:** The state space used to hold values of global variables and wavetables.
- 1.3.93. **Global parameters:** The sampling rate, control rate, and number of input and output channels of audio associated with a particular orchestra.
- 1.3.94. **Global variable:** A variable that can be accessed and/or changed by several different instruments.
- 1.3.95. **Grammar:** A set of rules that describes the set of allowable sequences of lexical elements comprising a particular language.
- 1.3.96. **Guard expression:** The expression standing at the front of an if, while, or else statement that determines whether or how many times a particular block of code is executed.
- 1.3.97. **Hann window:** A time function applied sample-by-sample to a block of audio samples before Fourier transformation.
- 1.3.98. **Harmonic lines:** A set of spectral components having a common fundamental frequency.
- 1.3.99. **Harmonic magnitude:** Magnitude of each harmonic.
- 1.3.100. **Harmonic synthesis:** A method to obtain a periodic excitation from harmonic magnitudes.
- 1.3.101. **Harmonics:** Samples of frequency spectrum at multiples of the fundamental frequency.
- 1.3.102. **HCR:** Huffman codebook reordering
- 1.3.103. **HILN:** Harmonic and Individual Lines plus Noise (parametric audio coding).

- 1.3.104. **Huffman coding:** A specific method for entropy coding.
- 1.3.105. **HVXC:** Harmonic Vector eXcitation Coding (parametric speech coding).
- 1.3.106. **Hybrid filterbank:** A serial combination of subband filterbank and MDCT. Used in MPEG-1 and MPEG-2 Audio.
- 1.3.107. **I-cycle:** See initialisation cycle.
- 1.3.108. **IDCT:** Inverse Discrete Cosine Transform.
- 1.3.109. **Identifier:** A sequence of characters in a textual SAOL program that denotes a symbol.
- 1.3.110. **IFFT:** Inverse Fast Fourier Transform.
- 1.3.111. **IMDCT:** Inverse Modified Discrete Cosine Transform.
- 1.3.112. **Index:** Number indicating the quantized value(s).
- 1.3.113. **Individual line:** A spectral component described by frequency, amplitude and phase.
- 1.3.114. **Informative:** Aspects of a standards document that are provided to assist implementers, but are not required to be implemented in order for a particular system to be compliant to the standard.
- 1.3.115. **Initial phase:** A phase value at the onset of voiced signal in harmonic synthesis.
- 1.3.116. **Initialisation cycle:** See initialisation pass.
- 1.3.117. **Initialisation pass:** The sequence of processing that computes new values for each i-rate expression in a particular code block.
- 1.3.118. **Initialisation rate:** The rate type of variables, expressions, and statements that are set once at instrument instantiation and then do not change.
- 1.3.119. **Instance:** See instrument instantiation.
- 1.3.120. **Instantiation:** The process of creating a new instrument instantiation based on an event in the score or statement in the orchestra.
- 1.3.121. **Instrument:** An algorithm for parametric sound synthesis, described using SAOL. An instrument encapsulates all of the algorithms needed for one sound-generation element to be controlled with a score.
- NOTE - An MPEG-4 Structured Audio instrument does not necessarily correspond to a real-world instrument. A single instrument might be used to represent an entire violin section, or an ambient sound such as the wind. On the other hand, a single real-world instrument that produces many different timbres over its performance range might be represented using several SAOL instruments.
- 1.3.122. **Instrument instantiation:** The state space created as the result of executing a note-creation event with respect to a SAOL orchestra.
- 1.3.123. **Intensity stereo:** A method of exploiting stereo irrelevance or redundancy in stereophonic audio programs based on retaining at high frequencies only the energy envelope of the right and left channels.
- 1.3.124. **Interframe prediction:** A method to predict a value in the current frame from values in the previous frames. Interframe prediction is used in VQ of LSP.
- 1.3.125. **International Phonetic Alphabet; IPA :** The worldwide agreed symbol set to represent various phonemes appearing in human speech.

- 1.3.126. **I-pass**: See initialisation pass.
- 1.3.127. **IPQF**: inverse polyphase quadrature filter
- 1.3.128. **I-rate**: See initialisation rate.
- 1.3.129. **Ivar**: The lexical tag indicating an i-rate variable.
- 1.3.130. **Joint stereo coding**: Any method that exploits stereophonic irrelevance or stereophonic redundancy.
- 1.3.131. **Joint stereo mode**: A mode of the audio coding algorithm using joint stereo coding.
- 1.3.132. **K-cycle**: See control cycle.
- 1.3.133. **K-rate**: See control rate.
- 1.3.134. **Ksig**: The lexical tag indicating a k-rate variable.
- 1.3.135. **Lexical element**: See token.
- 1.3.136. **Lip shape pattern** : A number that specifies a particular pattern of the preclassified lip shape.
- 1.3.137. **Lip synchronization** : A functionality that synchronizes speech with corresponding lip shapes.
- 1.3.138. **Looping**: A typical method of wavetable synthesis. Loop points in an audio sample are located and the sound between those endpoints is played repeatedly while being simultaneously modified by envelopes, modulators, etc.
- 1.3.139. **Low frequency enhancement (LFE) channel**: A limited bandwidth channel for low frequency audio effects in a multichannel system.
- 1.3.140. **LPC**: Linear Predictive Coding.
- 1.3.141. **LPC residual signal**: A signal filtered by the LPC inverse filter, which has a flattened frequency spectrum.
- 1.3.142. **LPC synthesis filter**: An IIR filter whose coefficients are LPC coefficients. This filter models the time varying vocal tract.
- 1.3.143. **LSP**: Line Spectral Pairs.
- 1.3.144. **LTP**: Long Term Prediction.
- 1.3.145. **M/S stereo**: A method of removing imaging artifacts as well as exploiting stereo irrelevance or redundancy in stereophonic audio programs based on coding the sum and difference signal instead of the left and right channels.
- 1.3.146. **Main audio channels**: All `single_channel_elements` or `channel_pair_elements` in one program.
- 1.3.147. **Mapping**: Conversion of an audio signal from time to frequency domain by subband filtering and/or by MDCT.
- 1.3.148. **Masking**: A property of the human auditory system by which an audio signal cannot be perceived in the presence of another audio signal.
- 1.3.149. **Masking threshold**: A function in frequency and time below which an audio signal cannot be perceived by the human auditory system.

- 1.3.150. **MIDI**: The Musical Instrument Digital Interface standards. Certain aspects of the MPEG-4 Structured Audio tools provide interoperability with MIDI standards.
- 1.3.151. **Mixed voiced frame**: A speech segment which has both voiced and unvoiced components.
- 1.3.152. **Modified discrete cosine transform (MDCT)**: A transform which has the property of time domain aliasing cancellation.
- 1.3.153. **Moving picture dubbing** : A functionality that assigns synthetic speech to the corresponding moving picture while utilizing lip shape pattern information for synchronization.
- 1.3.154. **MPE**: Multi Pulse Excitation.
- 1.3.155. **MPEG-4 Audio Text-to-Speech Decoder** : A device that produces synthesized speech by utilizing the M-TTS bitstream payload while supporting all the M-TTS functionalities such as speech synthesis for FA and MP dubbing.
- 1.3.156. **M-TTS sentence** : This defines the information such as prosody, gender, and age for only the corresponding sentence to be synthesized.
- 1.3.157. **M-TTS sequence** : This defines the control information which affects all M-TTS sentences that follow this M-TTS sequence.
- 1.3.158. **Multichannel**: A combination of audio channels used to create a spatial sound field.
- 1.3.159. **Multilingual**: A presentation of dialogue in more than one language.
- 1.3.160. **Multiplexing**: Combining several bitstream payloads into one.
- 1.3.161. **Natural Sound**: A sound created through recording from a real acoustic space. Contrasted with synthetic sound.
- 1.3.162. **NCC**: Number of Considered Channels. In case of AAC, it is the number of channels represented by the elements SCE, independently switched CCE and CPE, i.e. once the number of SCEs plus once the number of independently switched CCEs plus twice the number of CPEs. With respect to the naming conventions of the MPEG-AAC decoders and payloads,  $NCC=A+I$ . This number is used to derive the required decoder input buffer size (see subpart 4, subclause 4.5.3.1). In case of other codecs, it is the total number of channels.
- 1.3.163. **Noise component**: A signal component modeled as noise.
- 1.3.164. **Non-tonal component**: A noise-like component of an audio signal.
- 1.3.165. **Normative**: Those aspects of a standard that must be implemented in order for a particular system to be compliant to the standard.
- 1.3.166. **Nyquist sampling**: Sampling at or above twice the maximum bandwidth of a signal.
- 1.3.167. **OD**: Object Descriptor.
- 1.3.168. **Opcode**: A parametric signal-processing function that encapsulates a certain functionality so that it may be used by several instruments.
- 1.3.169. **Orchestra**: The set of sound-generation and sound-processing algorithms included in an MPEG-4 bitstream payload. Includes instruments, opcodes, routing, and global parameters.
- 1.3.170. **Orchestra cycle**: A complete pass through the orchestra, during which new instrument instantiations are created, expired ones are terminated, each instance receives one k-cycle and one control period worth of a-cycles, and output is produced.

- 1.3.171. **Padding:** A method to adjust the average length of an audio frame in time to the duration of the corresponding PCM samples, by conditionally adding a slot to the audio frame.
- 1.3.172. **Parameter:** A variable within the syntax of this specification which may take one of a range of values. A variable which can take one of only two values is a flag or indicator and not a parameter.
- 1.3.173. **Parameter fields:** The names given to the parameters of an instrument.
- 1.3.174. **Parser:** Functional stage of a decoder which extracts from a coded bitstream payload a series of bits representing coded elements.
- 1.3.175. **P-fields:** See parameter fields.
- 1.3.176. **Phoneme/bookmark-to-FAP converter :** A device that converts phoneme and bookmark information to FAPs.
- 1.3.177. **Pi:** The constant  $\pi = 3,14159\dots$
- 1.3.178. **Pitch:** A parameter which represents signal periodicity in the time domain. It is expressed in terms of the number of samples.
- 1.3.179. **Pitch control:** A functionality to control the pitch of the synthesized speech signal without changing its speed.
- 1.3.180. **PNS:** Perceptual Noise Substitution.
- 1.3.181. **Polyphase filterbank:** A set of equal bandwidth filters with special phase interrelationships, allowing an efficient implementation of the filterbank.
- 1.3.182. **Postfilter:** A filter to enhance the perceptual quality of the synthesized speech signal.
- 1.3.183. **PQF:** polyphase quadrature filter
- 1.3.184. **Prediction:** The use of a predictor to provide an estimate of the sample value or data element currently being decoded.
- 1.3.185. **Prediction error:** The difference between the actual value of a sample or data element and its predictor.
- 1.3.186. **Predictor:** A linear combination of previously decoded sample values or data elements.
- 1.3.187. **Presentation channel:** An audio channel at the output of the decoder.
- 1.3.188. **Production rule:** In Backus-Naur Form grammars, a rule that describes how one syntactic element may be expressed in terms of other lexical and syntactic elements.
- 1.3.189. **PS:** Parametric Stereo
- 1.3.190. **PSNR:** Peak Signal to Noise Ratio.
- 1.3.191. **Psychoacoustic model:** A mathematical model of the masking behaviour of the human auditory system.
- 1.3.192. **Random access:** The process of beginning to read and decode the coded stream at an arbitrary point.
- 1.3.193. **Rate semantics:** The set of rules describing how rate types are assigned to variables, expressions, statements, and opcodes, and the normative restrictions that apply to a bitstream regarding combining these elements based on their rate types.
- 1.3.194. **Rate type:** The “speed of execution” associated with a particular variable, expression, statement, or opcode.

- 1.3.195. **Rate-mismatch error:** The condition that results when the rate semantics rules are violated in a particular SAOL construction. A type of syntax error.
- 1.3.196. **Reserved:** The term "reserved", when used in the subclauses defining the coded bitstream payload, indicates that the value may be used in the future for ISO/IEC defined extensions.
- 1.3.197. **Route statement:** A statement in the global block that describes how to place the output of a certain set of instruments onto a bus.
- 1.3.198. **RPE:** Regular Pulse Excitation.
- 1.3.199. **Run-time error:** The condition that results from improper calculations or memory accesses during execution of a SAOL orchestra.
- 1.3.200. **RVLC:** Reversible Variable Length Coding
- 1.3.201. **Sample:** See Audio sample.
- 1.3.202. **Sample Bank Format:** A component format of MPEG-4 Structured Audio that allows the description of a set of samples for use in wavetable synthesis and processing methods to apply to them.
- 1.3.203. **Sampling Frequency (Fs):** Defines the rate in Hertz which is used to digitize an audio signal during the sampling process.
- 1.3.204. **SAOL:** The Structured Audio Orchestra Language, pronounced like the English word "sail". SAOL is a digital-signal processing language that allows for the description of arbitrary synthesis and control algorithms as part of the content bitstream payload.
- 1.3.205. **SAOL orchestra:** See orchestra.
- 1.3.206. **SASBF:** The MPEG-4 Structured Audio Sample Bank Format, an efficient format for the transmission of blocks of wavetable (sample data) compatible with the MIDI method for the same.
- 1.3.207. **SASL:** The Structured Audio Score Language. SASL is a simple format that allows for powerful and flexible control of music and sound synthesis.
- 1.3.208. **SBA:** Segmented Binary Arithmetic Coding, which is the error resilient tool for BSAC.
- 1.3.209. **SBR:** Spectral Band Replication.
- 1.3.210. **Scalefactor:** Factor by which a set of values is scaled before quantization.
- 1.3.211. **Scalefactor band:** A set of spectral coefficients which are scaled by one scalefactor.
- 1.3.212. **Scalefactor index:** A numerical code for a scalefactor.
- 1.3.213. **Scheduler:** The component of MPEG-4 Structured Audio that describes the mapping from control instructions to sound synthesis using the specified synthesis techniques. The scheduler description provides normative bounds on event-dispatch times and responses.
- 1.3.214. **Scope :** The code within which access to a particular variable name is allowed.
- 1.3.215. **Score:** A description in some format of the sequence of control parameters needed to generate a desired music composition or sound scene. In MPEG-4 Structured Audio, scores are described in SASL and/or MIDI.
- 1.3.216. **Score time:** The time at which an event happens in the score, measured in beats. Score time is mapped to absolute time by the current tempo.

- 1.3.217. **Semantics:** The rules describing what a particular instruction or bitstream payload element should do. Most aspects of bitstream payload and SAOL semantics are normative in MPEG-4.
- 1.3.218. **Send statement:** A statement in the global block that describes how to pass a bus on to an effect instrument for post-processing.
- 1.3.219. **Sequence rules:** The set of rules, both default and explicit, given in the global block that define in what order to execute instrument instantiations during an orchestra cycle.
- 1.3.220. **SIAQ:** Scalable Inverse AAC Quantization Module.
- 1.3.221. **Side information:** Information in the bitstream payload necessary for controlling the decoder.
- 1.3.222. **Signal variable:** A unit of memory, labelled with a name, that holds intermediate processing results. Each signal variable in MPEG-4 Structured Audio is instantaneously representable by a 32-bit floating point value.
- 1.3.223. **Sinusoidal synthesis:** A method to obtain a time domain waveform by a sum of amplitude modulated sinusoidal waveforms.
- 1.3.224. **SMR:** Symbolic Music Representation
- 1.3.225. **SLS:** Audio Scalable to Lossless Coding
- 1.3.226. **Spatialisation:** The process of creating special sounds that a listener perceives as emanating from a particular direction.
- 1.3.227. **Spectral coefficients:** Discrete frequency domain data output from the analysis filterbank.
- 1.3.228. **Spectral envelope:** A set of harmonic magnitudes.
- 1.3.229. **Speed control:** A functionality to control the speed of the synthesized speech signal without changing its pitch or phonemes.
- 1.3.230. **Spreading function:** A function that describes the frequency spread of masking effects.
- 1.3.231. **SQ:** Scalar Quantization.
- 1.3.232. **SSC:** SinuSoidal Coding, parametric coder for high quality audio.
- 1.3.233. **State space:** A set of variable-value associations that define the current computational state of an instrument instantiation or opcode call. All the “current values” of the variables in an instrument or opcode call.
- 1.3.234. **Statement:** “One line” of a SAOL orchestra.
- 1.3.235. **Stereo-irrelevant:** A portion of a stereophonic audio signal which does not contribute to spatial perception.
- 1.3.236. **Structured audio:** Sound-description methods that make use of high-level models of sound generation and control. Typically involving synthesis description, structured audio techniques allow for ultra-low bitrate description of complex, high-quality sounds.
- 1.3.237. **Stuffing (bits); stuffing (bytes):** Code-words that may be inserted at particular locations in the coded bitstream payload that are discarded in the decoding process. Their purpose is to increase the bitrate of the stream, which would otherwise be lower than the desired bitrate.
- 1.3.238. **Surround channel:** An audio presentation channel added to the front channels (L and R or L, R, and C) to enhance the spatial perception.

- 1.3.239. **Symbol:** A sequence of characters in a SAOL program, or a symbol token in an MPEG-4 Structured Audio bitstream payload, that represents a variable name, instrument name, opcode name, table name, bus name, etc.
- 1.3.240. **Symbol table:** In an MPEG-4 Structured Audio bitstream payload, a sequence of data that allows the tokenised representation of SAOL and SASL code to be converted back to a readable textual representation. The symbol table is an optional component.
- 1.3.241. **Symbolic constant:** A floating-point value explicitly represented as a sequence of characters in a textual SAOL orchestra, or as a token in a bitstream payload.
- 1.3.242. **Syncword:** A code embedded in audio transport streams that identifies the start of a transport frame.
- 1.3.243. **Syntax:** The rules describing what a particular instruction or bitstream payload element should look like. All aspects of bitstream payload and SAOL syntax are normative in MPEG-4.
- 1.3.244. **Syntax error:** The condition that results when a bitstream payload element does not comply with its governing rules of syntax.
- 1.3.245. **Synthesis:** The process of creating sound based on algorithmic descriptions.
- 1.3.246. **Synthesis filterbank:** Filterbank in the decoder that reconstructs a PCM audio signal from subband samples.
- 1.3.247. **Synthetic Sound:** Sound created through synthesis.
- 1.3.248. **Tempo:** The scaling parameter that specifies the relationship between score time and absolute time. A tempo of 60 beats per minute means that the score time measured in beats is equivalent to the absolute time measured in seconds; higher numbers correspond to faster tempi, so that 120 beats per minute is twice as fast.
- 1.3.249. **Terminal:** The “client side” of an MPEG transaction; whatever hardware and software are necessary in a particular implementation to allow the capabilities described in this document.
- 1.3.250. **Termination:** The process of destroying an instrument instantiation when it is no longer needed.
- 1.3.251. **Text-to-speech synthesizer :** A device producing synthesized speech according to the input sentence character strings.
- 1.3.252. **Timbre:** The combined features of a sound that allow a listener to recognise such aspects as the type of instrument, manner of performance, manner of sound generation, etc. Those aspects of sound that distinguish sounds equivalent in pitch and loudness.
- 1.3.253. **TNS:** Temporal Noise Shaping
- 1.3.254. **Token:** A lexical element of a SAOL orchestra: keyword, punctuation mark, symbol name, or symbolic constant.
- 1.3.255. **Tokenisation:** The process of converting an orchestra in textual SAOL format into a bitstream payload representation consisting of a stream of tokens.
- 1.3.256. **Tonal component:** A sinusoid-like component of an audio signal.
- 1.3.257. **Trick mode :** A set of functions that enables stop, play, forward, and backward operations for users.
- 1.3.258. **TTSI:** Text to Speech Interface.
- 1.3.259. **TwinVQ:** Transform domain Weighted Interleave Vector Quantization.

- 1.3.260. **Unvoiced frame:** Frame containing unvoiced speech which looks like random noise with no periodicity.
- 1.3.261. **V/UV decision:** Decision whether the current frame is voiced or unvoiced or mixed voiced.
- 1.3.262. **Variable:** See signal variable.
- 1.3.263. **Variable bitrate:** Operation where the bitrate varies with time during the decoding of a coded stream.
- 1.3.264. **Variable length code (VLC):** A code word assigned by variable length encoder (See variable length coding).
- 1.3.265. **Variable length coding:** A reversible procedure for coding that assigns shorter code-words to frequent symbols and longer code-words to less frequent symbols.
- 1.3.266. **Variable length decoder:** A procedure to obtain the symbols encoded with a variable length coding technique.
- 1.3.267. **Variable length encoder:** A procedure to assign variable length codewords to symbols.
- 1.3.268. **VCB11:** Virtual Codebooks for codebook 11.
- 1.3.269. **Vector quantizer:** Tool that quantizes several values to one index.
- 1.3.270. **Virtual codebook:** If several codebook values refer to one and the same physical codebook, these values are called virtual codebooks.
- 1.3.271. **Voiced frame:** A voiced speech segment is known by its relatively high energy content, but more importantly it contains periodicity, which is called the pitch of the voiced speech.
- 1.3.272. **VQ:** Vector Quantization.
- 1.3.273. **VXC:** Vector eXcitation Coding. It is also called CELP (Coded Excitation Linear Prediction). In HVXC, no adaptive codebook is used.
- 1.3.274. **Wavetable synthesis:** A synthesis method in which sound is created by simple manipulation of audio samples, such as looping, pitch-shifting, enveloping, etc.
- 1.3.275. **White Gaussian noise:** A noise sequence which has a Gaussian distribution.
- 1.3.276. **Width:** The number of channels of data that an expression represents.

## 1.4 Symbols and abbreviations

The mathematical operators used in this part of ISO/IEC 14496 are similar to those used in the C programming language. However, integer division with truncation and rounding are specifically defined. The bitwise operators are defined assuming two's-complement representation of integers. Numbering and counting loops generally begin from zero.

### 1.4.1 Arithmetic operators

- + Addition.
- Subtraction (as a binary operator) or negation (as a unary operator).
- ++ Increment.

--	Decrement.
*	Multiplication.
^	Power.
/	Integer division with truncation of the result toward zero. For example, $7/4$ and $-7/-4$ are truncated to 1 and $-7/4$ and $7/-4$ are truncated to $-1$ .
//	Integer division with rounding to the nearest integer. Half-integer values are rounded away from zero unless otherwise specified. For example $3//2$ is rounded to 2, and $-3//2$ is rounded to $-2$ .
DIV	Integer division with truncation of the result towards $-\infty$ .
	Absolute value. $ x  = x$ when $x > 0$ $ x  = 0$ when $x == 0$ $ x  = -x$ when $x < 0$
%	Modulus operator. Defined only for positive numbers.
Sign( )	Sign. $\text{Sign}(x) = 1$ when $x > 0$ $\text{Sign}(x) = 0$ when $x == 0$ $\text{Sign}(x) = -1$ when $x < 0$
INT ( )	Truncation to integer operator. Returns the integer part of the real-valued argument.
NINT ( )	Nearest integer operator. Returns the nearest integer value to the real-valued argument. Half-integer values are rounded away from zero.
sin	Sine.
cos	Cosine.
exp	Exponential.
√	Square root.
log <sub>10</sub>	Logarithm to base ten.
log <sub>e</sub>	Logarithm to base e.
log <sub>2</sub>	Logarithm to base 2.
ceil( )	Ceiling operator. Returns the smallest integer that is greater than or equal to the real-valued argument.

### 1.4.2 Logical operators

	Logical OR.
&&	Logical AND.
!	Logical NOT

### 1.4.3 Relational operators

>	Greater than.
---	---------------

## ISO/IEC 14496-3:200X(E)

$\geq$  Greater than or equal to.

$<$  Less than.

$\leq$  Less than or equal to.

$=$  Equal to.

$\neq$  Not equal to.

$\max$  [...], the maximum value in the argument list.

$\min$  [...], the minimum value in the argument list.

### 1.4.4 Bitwise operators

A two's complement number representation is assumed where the bitwise operators are used.

$\&$  AND

$|$  OR

$\gg$  Shift right with sign extension.

$\ll$  Shift left with zero fill.

### 1.4.5 Assignment

$=$  Assignment operator.

### 1.4.6 Mnemonics

The following mnemonics are defined to describe the different data types used in the coded bitstream payload.

**bslbf** Bit string, left bit first, where "left" is the order in which bit strings are written in ISO/IEC 14496. Bit strings are written as a string of 1s and 0s within single quote marks, e.g. '1000 0001'. Blanks within a bit string are for ease of reading and have no significance.

**L, C, R, LS, RS** Left, center, right, left surround and right surround audio signals

**rpchof** Remainder polynomial coefficients, highest order first. (Audio)

**uimsbf** Unsigned integer, most significant bit first.

**vclcbf** Variable length code, left bit first, where "left" refers to the order in which the VLC codes are written.

**window** Number of the actual time slot in case of  $\text{block\_type} == 2$ ,  $0 \leq \text{window} \leq 2$ . (Audio)

The byte order of multi-byte words is most significant byte first.

### 1.4.7 Constants

$\pi$  3,14159265358...

$e$  2,71828182845...

### 1.4.8 Method of describing bitstream payload syntax

The bitstream payload retrieved by the decoder is described in the syntax section of each subpart. Each data item in the bitstream payload is in bold type.

It is described by

- its name
- its length in bits, where "X..Y" indicates that the number of bits is one of the values between X and Y including X and Y. "{X;Y}" means the number of bits is X or Y, depending on the value of other data elements in the bitstream payload.),
- a mnemonic for its type and order of transmission.

Data elements forming a logic unit are encapsulated in data functions.

`data_function ( );`                      Data function call.

`data_function ( ) {`                      Data function entity.  
 ...  
`}`

The action caused by a decoded data element in a bitstream payload depends on the value of that data element and on data elements previously decoded. The decoding of the data elements and the definition of the state variables used in their decoding are described in in the subclauses following the syntax section of each subpart. The following constructs are used to express the conditions when data elements are present, and are in normal type:

Note this syntax uses the 'C'-code convention that a variable or expression evaluating to a non-zero value is equivalent to a condition that is true.

`while ( condition ) {`                      If the condition is true, then the group of data elements occurs next in the data stream.  
     **data\_element**                      This repeats until the condition is not true.  
 ...  
`}`

`do {`    The data element always occurs at least once. The data element is repeated until the  
     **data\_element**                      condition is not true.  
 ...  
`} while ( condition )`

`if ( condition) {`                              If the condition is true, then the first group of data elements occurs next in the data  
     **data\_element**                      stream  
 ...  
`}`  
`else {`    If the condition is not true, then the second group of data elements occurs next in the  
     **data\_element**                      data stream.  
 ...  
`}`

```
switch (expression) {
  case const-expr:
    data_element;
    break;
  case const-expr:
    data_element;
}
```

If the condition formed by the comparison of expression and const-expr is true, then the data stream continues with the subsequent data elements. An optionally break statement can be used to immediately leave the switch, data elements beyond a break do not occur in the data stream.

```
for (expr1; expr2; expr3)
{
  data_element
  ...
}
```

Expr1 is an expression specifying the initialisation of the loop. Normally it specifies the initial state of the counter. Expr2 is a condition specifying a test made before each iteration of the loop. The loop terminates when the condition is not true. Expr3 is an expression that is performed at the end of each iteration of the loop, normally it increments a counter.

Note that the most common usage of this construct is as follows:

```
for ( i = 0; i < n; i++) {
  data_element
  ...
}
```

The group of data elements occurs n times. Conditional constructs within the group of data elements may depend on the value of the loop control variable i, which is set to zero for the first occurrence, incremented to one for the second occurrence, and so forth.

As noted, the group of data elements may contain nested conditional constructs. For compactness, the {} may be omitted when only one data element follows.

- data\_element [ ]** data\_element [ ] is an array of data. The number of data elements is indicated by the context.
- data\_element [n]** data\_element [n] is the n+1th element of an array of data.
- data\_element [m][n]** data\_element [m][n] is the m+1,n+1 th element of a two-dimensional array of data.
- data\_element [l][m][n]** data\_element [l][m][n] is the l+1,m+1,n+1 th element of a three-dimensional array of data.
- data\_element [m..n]** data\_element [m..n] is the inclusive range of bits between bit m and bit n in the data\_element.

While the syntax is expressed in procedural terms, it should not be assumed that this implements a satisfactory decoding procedure. In particular, it defines a correct and error-free input bitstream payload. Actual decoders must include a means to deal with incorrect bitstream payloads and to find the start of the described elements.

**Definition of nextbits function**

The function nextbits() permits comparison of a bit string with the next bits to be decoded in a stream.

**1.4.9 Arithmetic data types**

- INT32 32 bit signed integer using two's complement
- INT64 64 bit signed integer using two's complement



- 3) Contains Wavetable synthesis and Algorithmic Synthesis and Audio FX.
- 4) Contains General MIDI.
- 5) Contains ER AAC LC.
- 6) The upsampling filter tool is only required in combination with a core coder.

### 1.5.1.2 Description

#### 1.5.1.2.1 NULL object type

The NULL object provides the possibility to feed raw PCM data directly to the audio compositor. No decoding is involved, However, an audio object descriptor is used to specify the sampling rate and the audio channel configuration.

#### 1.5.1.2.2 AAC - Main object type

The AAC Main object is very similar to the AAC Main Profile that is defined in ISO/IEC 13818-7. However, additionally the PNS tool is available. The restrictions of the AAC Main profile with respect to multiple programs and mixdown elements also apply to the AAC Main object type. The AAC Main object type bitstream payload syntax is compatible with the syntax defined in ISO/IEC 13818-7. All the MPEG-2 AAC multi-channel capabilities are available. A decoder capable to decode a MPEG-4 main object stream can also parse and decode a MPEG-2 AAC raw data stream. On the other hand, although a MPEG-2 AAC coder can parse an MPEG-4 AAC Main bitstream payload, decoding may fail, since PNS might have been used.

#### 1.5.1.2.3 AAC - Low Complexity (LC) object type

The MPEG-4 AAC Low Complexity object type is the counterpart to the MPEG-2 AAC Low Complexity Profile, with exactly the same restrictions as mentioned above for the AAC Main object type.

#### 1.5.1.2.4 AAC - Scalable Sampling Rate (SSR) object type

The MPEG-4 AAC Scalable Sampling Rate object type is the counterpart to the MPEG-2 AAC Scalable Sampling Rate Profile, with exactly the same restrictions as mentioned above for the AAC Main object type.

#### 1.5.1.2.5 AAC - Long Term Predictor (LTP) object type

The MPEG-4 AAC LTP object type is similar to the AAC Main object type. However, a Long Term Predictor replaces the MPEG-2 AAC predictor. The LTP achieves a similar coding gain, but requires significantly lower implementation complexity. The bitstream payload syntax for this object type is very similar to the syntax defined in ISO/IEC 13818-7. An MPEG-2 AAC LC profile bitstream payload can be decoded without restrictions using an MPEG-4 AAC LTP object decoder.

#### 1.5.1.2.6 SBR object type

The SBR Object contains the SBR-Tool and can be combined with the audio object types indicated in Table 1.2.

Table 1.2 – Audio object types that can be combined with the SBR Tool

Audio Object Type	Object Type ID
AAC main	1
AAC LC	2
AAC SSR	3
AAC LTP	4
AAC Scalable	6
ER AAC LC	17
ER AAC LTP	19
ER AAC scalable	20
ER BSAC	22

#### 1.5.1.2.7 AAC Scalable object type

The scalable AAC object uses a different bitstream payload syntax to support bitrate- and bandwidth- scalability. A large number of scalable combinations are available, including combinations with TwinVQ and CELP coder tools. However, only mono, or 2-channel stereo objects are supported.

#### 1.5.1.2.8 TwinVQ object type

The TwinVQ object belongs to the GA coding scheme that quantizes the MDCT coefficients. This coding scheme is based on fixed rate vector quantization instead of Huffman coding in AAC.

Low bit rate mono and stereo audio coding is available. Scalable audio coding schemes are also available in the Scalable Audio Profile combined with AAC scalable object type.

#### 1.5.1.2.9 CELP object type

The CELP object is supported by the CELP speech coding tools, which provide coding at 8 kHz and 16 kHz sampling rate at bit rates in the range 4-24 kbit/s. Additionally, bit rate scalability and bandwidth scalability are available in order to provide scalable decoding of CELP streams. CELP Object always contains exactly one mono audio signal.

#### 1.5.1.2.10 HVXC object type

The HVXC object is supported by the parametric speech coding (HVXC) tools, which provide fixed bitrate modes (2.0 - 4.0 kbit/s) in a scalable and a non-scalable scheme, a variable bitrate mode (< 2.0 kbit/s) and the functionality of pitch and speed change. Only 8 kHz sampling rate and mono audio channel are supported.

#### 1.5.1.2.11 TTSI object type

The TTSI object is supported by the TTSI tools described in subpart 6. It allows very-low-bitrate phonemic descriptions of speech to be transmitted in the bitstream payload and then synthesized into sound. No particular speech synthesis method is specified in MPEG-4; rather, the TTSI tools specify an *interface* to non-normative synthesis methods. This method has a bit rate ranging 200 ~ 1200 bit/s. The TTSI object also supports synchronization of the synthesized speech with the facial animation defined in ISO/IEC 14496-2.

#### 1.5.1.2.12 Main Synthetic object type

The main synthetic object allows the use of all MPEG-4 Structured Audio tools (described in subpart 5 of this standard). It supports flexible, high-quality algorithmic synthesis using the SAOL music-synthesis language; efficient wavetable synthesis with the SASBF sample-bank format; and enables the use of high-quality mixing and postproduction in the Systems AudioBIFS toolset. Sound can be described at 0 kbit/s (no continuous cost) to 3-4 kbit/s for extremely expressive sounds in the MPEG-4 Structured Audio format.

#### 1.5.1.2.13 Wavetable Synthesis object type

The wavetable synthesis object is supported only by the SASBF format and MIDI tools. It allows the use of simple „sampling synthesis“ in presentations where the quality and flexibility of the full synthesis toolset is not required.

#### 1.5.1.2.14 General Midi object type

The General MIDI object is included only to provide interoperability with existing content. Normative sound quality and decoder behavior are not provided with the General MIDI object.

#### 1.5.1.2.15 Algorithmic Synthesis and Audio FX object type

The Algorithmic Synthesis object provides SAOL-based synthesis capabilities for very low-bitrate terminals. It is also used to support the AudioBIFS **AudioFX** node in content where sound synthesis capability is not needed.

#### 1.5.1.2.16 Error Resilient (ER) AAC Low Complexity (LC) object type

The Error Resilient (ER) MPEG-4 AAC Low Complexity object type is the counterpart to the MPEG-4 AAC Low Complexity object, with additional error resilient functionality.

#### 1.5.1.2.17 Error Resilient (ER) AAC Long Term Predictor (LTP) object type

The Error Resilient (ER) MPEG-4 AAC LTP object type is the counterpart to the MPEG-4 AAC LTP object, with additional error resilient functionality.

#### 1.5.1.2.18 Error Resilient (ER) AAC scalable object type

The Error Resilient (ER) MPEG-4 AAC scalable object type is the counterpart to the MPEG-4 AAC scalable object, with additional error resilient functionality.

#### 1.5.1.2.19 Error Resilient (ER) TwinVQ object type

The Error Resilient (ER) TwinVQ object type is the counterpart to the MPEG-4 TwinVQ object, with additional error resilient functionality.

#### 1.5.1.2.20 Error Resilient (ER) BSAC object type

The ER BSAC object is supported by the fine grain scalability tool (BSAC: Bit-Sliced Arithmetic Coding). It provides error resilience as well as fine step scalability in the MPEG-4 General Audio (GA) coder. It is used in combination with the AAC coding tools and replaces the noiseless coding and the bitstream payload formatting of MPEG-4 AAC coder. A large number of scalable layers are available, providing 1 kbit/s/ch enhancement layer, i.e. 2 kbit/s steps for a stereo signal.

#### 1.5.1.2.21 Error Resilient (ER) AAC LD object type

The AAC LD object is supported by the low delay AAC coding tool. It also permits combinations with the PNS tool and the LTP tool. AAC LD object provides the ability to extend the usage of generic low bitrate audio coding to applications requiring a very low delay of the encoding / decoding chain (e.g. full-duplex real-time communications).

#### 1.5.1.2.22 Error Resilient (ER) CELP object type

The ER CELP object is supported by silence compression and ER tools. It provides the ability to reduce the average bitrate thanks to a lower-bitrate compression for silence, with additional error resilient functionality.

#### 1.5.1.2.23 Error Resilient (ER) HVXC object type

The ER HVXC object is supported by the parametric speech coding (HVXC) tools, which provide fixed bitrate modes (2.0-4.0 kbit/s) and variable bitrate modes (< 2.0 kbit/s and < 4.0 kbit/s) both in a scalable and a non-scalable scheme, and the functionality of pitch and speed change. The syntax to be used with the EP-Tool, and

the error concealment functionality are supported for the use for error-prone channels. Only 8 kHz sampling rate and mono audio channel are supported.

#### 1.5.1.2.24 Error Resilient (ER) HILN object type

The ER HILN object is supported by the parametric audio coding tools (HILN: Harmonic and Individual Lines plus Noise) which provide coding of general audio signals at very low bitrates ranging from below 4 kbit/s to above 16 kbit/s. Bitrate scalability and the functionality of speed and pitch change are available. The ER HILN object supports mono audio objects at a wide range of sampling rates.

#### 1.5.1.2.25 Error Resilient (ER) Parametric object type

The ER Parametric object is supported by the parametric audio coding and speech coding tools HILN and HVXC. This integrated parametric coder combines the functionalities of the ER HILN and the ER HVXC objects. Only 8 kHz sampling rate and mono audio channel are supported.

#### 1.5.1.2.26 SSC Audio object type

The SSC (SinuSoidal Coding) object combines the SSC parametric coding tools: transients, sinusoids, noise and parametric stereo. Only 44.1kHz and coding of mono, dual mono and (parametric) stereo are supported.

#### 1.5.1.2.27 Layer-1 Audio object type

The Layer-1 object is the counterpart of the audio coding scheme Layer-1 specified in ISO/IEC 11172-3 and 13818-3.

#### 1.5.1.2.28 Layer-2 Audio object type

The Layer-2 object is the counterpart of the audio coding scheme Layer-2 specified in ISO/IEC 11172-3 and ISO/IEC 13818-3.

#### 1.5.1.2.29 Layer-3 Audio object type

The Layer-3 object is very similar to the audio coding scheme Layer-3 specified in ISO/IEC 11172-3 and ISO/IEC 13818-3. However, the use of Layer 3 encoded data as defined in ISO/IEC 13818-3 is limited to the "Lower Sampling Frequencies" case, i.e. the Layer 3 multi-channel syntax defined in ISO/IEC 13818-3 is not permitted in this scope. Furthermore, additional sampling rates have been specified.

#### 1.5.1.2.30 ALS Audio object type

The ALS object type is the counterpart of the Audio Lossless Coding (ALS) scheme and contains the corresponding ALS tools.

#### 1.5.1.2.31 SLS Audio object type

The SLS object is supported by the scalable to lossless tool which provides fine-grain scalable to lossless enhancement of MPEG perceptual audio codecs, such as AAC, allowing multiple enhancement steps from the audio quality of the core codec up to near-lossless and lossless signal representation. It also provides stand-alone lossless audio coding when the core audio codec is omitted.

#### 1.5.1.2.32 SLS Non-Core Audio object type

The SLS non-core object is supported by the scalable to lossless tool. It is similar to the SLS object type but the core audio codec is omitted.

#### 1.5.1.2.33 PS object type

The PS object type contains the PS tool and can be combined with the SBR tool.

#### 1.5.1.2.34 MPEG Surround object type

The MPEG Surround object type conveys MPEG Surround side information (see ISO/IEC 23003-1) in the MPEG-4 Audio framework.

#### 1.5.1.2.35 SMR Simple object type

The SMR simple object type it is used to transport musical scores to be rendered both audibly and visually. The encoded data contains information on the main score, the parts (i.e. instrument specific scores), the possible multilingual lyrics associated with the parts, the visual formatting rules to be used for visual rendering, fonts to be used for custom musical symbols and synchronization information. The fonts, and the synchronization information are represented as binary data all the other as XML data. The SMR simple object type can convey the XML data as plain XML text or as gzipped XML (see ISO/IEC 14496-23)

#### 1.5.1.2.36 SMR Main object type

The SMR main object type can transport the musical scores exactly in the same way as the SMR Simple object type but in this case the XML data can be encoded also using the MPEG-B tools defined in ISO/IEC 23001-1 (see ISO/IEC 14496-23)

#### 1.5.1.2.37 Error Resilient (ER) AAC ELD object type

The enhanced low delay AAC object type (ER AAC ELD) is identical to the ER AAC LD object type, plus the utilization of a Low Delay Filterbank (LDFB) and an enhanced low delay window. It also permits combinations with the PNS tool as well as the Low Delay SBR tool. The ER AAC ELD object type provides the ability to extend the usage of generic low bitrate audio coding to applications requiring a very low delay of the encoding / decoding chain (e.g. full-duplex real-time communications).

### 1.5.2 Audio profiles and levels

#### 1.5.2.1 Profiles

The following Audio Profiles have been defined:

1. The **Speech Audio Profile** provides a parametric speech coder, a CELP speech coder and a Text-To-Speech interface.
2. The **Synthetic Audio Profile** provides the capability to generate sound and speech at very low bitrates.
3. The **Scalable Audio Profile**, a superset of the Speech Audio Profile, is suitable for scalable coding of speech and music, for transmission methods such as Internet and Digital Broadcasting.
4. The **Main Audio Profile** is a superset of the scalable profile, the speech profile and the synthesis profile, containing tools for natural and synthetic audio.
5. The **High Quality Audio Profile** contains the CELP speech coder and the Low Complexity AAC coder including Long Term Prediction. Scalable coding coding can be performed by the AAC Scalable object type. Optionally, the new error resilient (ER) bitstream payload syntax may be used.
6. The **Low Delay Audio Profile** contains the HVXC and CELP speech coders (optionally using the ER bitstream payload syntax), the low-delay AAC coder and the Text-to-Speech interface TTSI.
7. The **Natural Audio Profile** contains all natural audio coding tools available in MPEG-4.
8. The **Mobile Audio Internetworking Profile** contains the low-delay and scalable AAC object types including TwinVQ and BSAC. This profile is intended to extend communication applications using non-MPEG speech coding algorithms with high quality audio coding capabilities.
9. The **AAC Profile** contains the audio object type 2 (AAC-LC).
10. The **High Efficiency AAC Profile** contains the audio object types 5 (SBR) and 2 (AAC LC). The High Efficiency AAC Profile is a superset of the AAC Profile.
11. The **High Efficiency AAC v2 Profile** contains the audio object types 5 (SBR), 29 (PS) and 2 (AAC LC). The High Efficiency AAC v2 Profile is a superset of the High Efficiency AAC Profile.
12. The **Low Delay AAC Profile** contains the audio object type 23 (ER AAC LD).

Table 1.3 – Audio Profiles definition

Object Type ID	Audio Object Type	Speech Audio Profile	Synthetic Audio Profile	Scalable Audio Profile	Main Audio Profile	High Quality Audio Profile	Low Delay Audio Profile	Natural Audio Profile	Mobile Audio Internetworking Profile	AAC Profile	High Efficiency AAC Profile	High Efficiency AAC v2 Profile	Low Delay AAC Profile
0	Null												
1	AAC main				X			X					
2	AAC LC			X	X	X		X		X	X	X	
3	AAC SSR				X			X					
4	AAC LTP			X	X	X		X					
5	SBR										X	X	
6	AAC Scalable			X	X	X		X					
7	TwinVQ			X	X			X					
8	CELP	X		X	X	X	X	X					
9	HVXC	X		X	X		X	X					
10	(reserved)												
11	(reserved)												
12	TTSI	X	X	X	X		X	X					
13	Main synthetic		X		X								
14	Wavetable synthesis		X*		X*								
15	General MIDI		X*		X*								
16	Algorithmic Synthesis and Audio FX		X*		X*								
17	ER AAC LC					X		X	X				
18	(reserved)												
19	ER AAC LTP					X		X					
20	ER AAC Scalable					X		X	X				
21	ER TwinVQ							X	X				
22	ER BSAC							X	X				
23	ER AAC LD						X	X	X				X
24	ER CELP					X	X	X					
25	ER HVXC						X	X					
26	ER HILN							X					
27	ER Parametric							X					
28	SSC												
29	PS											X	

Object Type ID	Audio Object Type	Speech Audio Profile	Synthetic Audio Profile	Scalable Audio Profile	Main Audio Profile	High Quality Audio Profile	Low Delay Audio Profile	Natural Audio Profile	Mobile Audio Internetworking Profile	AAC Profile	High Efficiency AAC Profile	High Efficiency AAC v2 Profile	Low Delay AAC Profile
30	MPEG Surround												
31	(escape)												
32	Layer-1												
33	Layer-2												
34	Layer-3												
35	DST												
36	ALS												
37	SLS												
38	SLS non-core												
39	ER AAC ELD												
40	SMR Simple												
41	SMR Main												

\*) Subset of Main Synthetic

In addition to the profile descriptions given above it is stated that AAC Scalable objects using wide-band CELP core layer (with or without ER bitstream payload syntax) are **not** part of any Audio Profile.

**1.5.2.2 Complexity units**

Complexity units are defined to give an approximation of the decoder complexity in terms of processing power and RAM usage required for processing MPEG-4 Audio bitstream payloads in dependence of specific parameters.

The approximated processing power is given in “Processor Complexity Units” (PCU), specified in integer numbers of MOPS. The approximated RAM usage is given in “RAM Complexity Units” (RCU), specified in mostly integer numbers of kWords (1000 words). The RCU numbers do not include working buffers that can be shared between different objects and/or channels.

If a profile level is specified by the maximum number of complexity units, then a flexible configuration of the decoder handling different types of objects is allowed under the constraint that both values for the total complexity for decoding and sampling rate conversion (if needed) do not exceed this limit.

The following table gives complexity estimates for the different object types. PCU values are given in MOPS per channel, RCU values in kWords per channel (with respect to AAC, channel refers to Main channel, e. g. the channel of a SCE, one channel of a CPE, or the channel of an independently switched CCE).

Table 1.4 – Complexity of Audio Object Types and SR conversion

Object Type	Parameters	PCU (MOPS)	RCU	Remark
AAC Main	fs = 48 kHz	5	5	1)
AAC LC	fs = 48 kHz	3	3	1)
AAC SSR	fs = 48 kHz	4	3	1)
AAC LTP	fs = 48 kHz	4	4	1)
SBR	fs = 24/48 kHz (in/out) (SBR tool)	3	2.5	1)
	fs = 24/48 kHz (in/out) (Low Power SBR tool)	2	1.5	1)
	fs = 48/48 kHz (in/out) (Down Sampled SBR tool)	4.5	2.5	1)
	fs = 48/48 kHz (in/out) (Low Power Down Sampled SBR tool)	3	1.5	1)
AAC Scalable	fs = 48 kHz	5	4	1), 2)
TwinVQ	fs = 24 kHz	2	3	1)
CELP	fs = 8 kHz	1	1	
CELP	fs = 16 kHz	2	1	
CELP	fs = 8/16 kHz (bandwidth scalable)	3	1	
HVXC	fs = 8 kHz	2	1	
TTSI		-	-	4)
General MIDI		4	1	
Wavetable Synthesis	fs = 22.05 kHz	depends on bitstream payloads (3)	depends on bitstream payloads (3)	
Main Synthetic		depends on bitstream	depends on bitstream	
Algorithmic Synthesis and AudioFX		depends on bitstream	depends on bitstream	
Sampling Rate Conversion	rf = 2, 3, 4, 6, 8, 12	2	0.5	7)
ER AAC LC	fs = 48 kHz	3	3	1)
ER AAC LTP	fs = 48 kHz	4	4	1)
ER AAC Scalable	fs = 48 kHz	5	4	1), 2)
ER TwinVQ	fs = 24 kHz	2	3	1)
ER BSAC	fs = 48 kHz (input buffer size=26000bits)	4	4	1)
	fs = 48 kHz (input buffer size=106000bits)	4	8	
ER AAC LD	fs = 48 kHz	3	2	1)
ER CELP	fs = 8 kHz	2	1	
	fs = 16 kHz	3	1	
ER HVXC	fs = 8 kHz	2	1	
ER HILN	fs = 16 kHz, ns=93	15	2	6)
	fs = 16 kHz, ns=47	8	2	
ER Parametric	fs = 8 kHz, ns=47	4	2	5),6)
ER AAC ELD	fs = 48 kHz	3	2	1)
ER AAC ELD, Low Delay SBR tool only	fs = 24/48 kHz (in/out) (SBR tool)	3	2.5	1)
	fs = 24/48 kHz (in/out) (Low Power SBR tool)	2	1.5	1)
	fs = 48/48 kHz (in/out) (Down Sampled SBR tool)	4.5	2.5	1)

	fs = 48/48 kHz (in/out) (Low Power Down Sampled SBR tool)	3	1.5	1)
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Definitions:

- fs = sampling frequency
- rf = ratio of sampling rates

Notes:

- 1) PCU proportional to sampling frequency.
- 2) Includes core decoder.
- 3) See ISO/IEC 14496-4.
- 4) The complexity for speech synthesis is not taken into account.
- 5) Parametric coder in HILN mode, for HVXC mode see ER HVXC.
- 6) PCU depends on fs and ns, see below.
- 7) Sampling Rate Conversion is needed, if objects of different sampling rates are combined in a scene (see ISO/IEC 14496-1:2000, subclause 9.2.2.13.2.1). The specified values have to be added for each required conversion.

PCU for HILN:

The computational complexity of HILN depends on the sampling frequency fs and the maximum number of sinusoids ns to be synthesized simultaneously. The value of ns for a frame is the total number of harmonic and individual lines synthesized in that frame, i.e. the number of starting plus continued plus ending lines. For fs in kHz, the PCU in MOPS is calculated as follows:

$$PCU = (1 + 0.15 * ns) * fs / 16$$

The typical maximum values of ns are 47 for 6 kbit/s HILN and 93 for 16 kbit/s HILN streams.

PCU and RCU for AAC:

For AAC object types, PCU and RCU depend on sampling frequency and channel configuration in the following way:

**PCUs**

$$PCU = ( fs / fs_{ref} ) * PCU_{ref} * ( 2 * \#CPE + \#SCE + \#LFE + \#IndepCouplingCh + 0.3 * \#DepCouplingCh )$$

fs: actual sampling frequency

fs\_ref: reference sampling frequency (sampling frequency for the given PCU\_ref)

PCU\_ref: reference PCU given in Table 1.4

#SCE: number of SCEs

#CPE: ...

**RCUs**

**#CPE < 2:**

$$RCU = RCU_{ref} * [ \#SCE + 0.5 * \#LFE + 0.5 * \#IndepCouplingCh + 0.4 * \#DepCouplingCh ] + [ RCU_{ref} + ( RCU_{ref} - 1 ) ] * \#CPE$$

**#CPE >= 2:**

$$\text{RCU} = \text{RCU\_ref} * [ \# \text{SCE} + 0.5 * \# \text{LFE} + 0.5 * \# \text{IndepCouplingCh} + 0.4 * \# \text{DepCouplingCh} ] \\ + [ \text{RCU\_ref} + ( \text{RCU\_ref} - 1 ) * ( 2 * \# \text{CPE} - 1 ) ]$$

RCU\_ref: reference RCU given in Table 1.4

#SCE: number of SCEs

#CPE: ...

**1.5.2.3 Levels within the profiles**

The notation used to specify the number of audio channels indicates the number of main audio channels. Based on the number of main audio channels (A), Table 1.5 indicates for object types derived from the AAC multichannel syntax the number of LFE channels (L), the number of independently switched coupling channels (I), and the number of dependently switched coupling channels (D) in the form A.L.I.D.

**Table 1.5 – Maximum number of the individual AAC channel types depending on the specified number of main audio channels**

AOT	Number of Main Audio Channels		
	1	2	5
1 (AAC main)	1.0.0.0	2.0.0.0	5.1.1.1
2 (AAC LC)	1.0.0.0	2.0.0.0	5.1.0.1
3 (AAC SSR)	1.0.0.0	2.0.0.0	5.1.0.0
4 (AAC LTP)	1.0.0.0	2.0.0.0	5.1.0.1
17 (ER AAC LC)	1.0.0.0	2.0.0.0	5.1.0.0
19 (ER AAC LTP)	1.0.0.0	2.0.0.0	5.1.0.0
23 (ER AAC LD)	1.0.0.0	2.0.0.0	5.1.0.0

Note: In the case of scalable coding schemes, only the first instantiation of each object type will be counted to determine the number of objects relevant to the level definition and complexity metric. For example, in a scalable coder consisting of a CELP core coder and two enhancement layers implemented by means of AAC scalable objects, one CELP object and one AAC scalable object and their associated complexity metrics are counted since there is almost no overhead associated with the second (and any further) GA enhancement layer.

- **Levels for Speech Audio Profile**

Two levels are defined by number of objects:

1. One speech object.
2. Up to 20 speech objects.

- **Levels for Synthetic Audio Profile**

Three levels are defined :

1. Synthetic Audio 1: All bitstream payload elements may be used with:

- "Low processing" (exact numbers in ISO/IEC 14496-4:2000)
- Only core sample rates may be used
- No more than one TTSI object
- 2. Synthetic Audio 2: All bitstream payload elements may be used with:
  - "Medium processing" (exact numbers in ISO/IEC 14496-4:2000).
  - Only core sample rates may be used.
  - no more than four TTSI objects.
- 3. Synthetic Audio 3: All bitstream payload elements may be used with:
  - "High processing" (exact numbers in ISO/IEC 14496-4:2000).
  - no more than twelve TTSI objects.

• **Levels for Scalable Audio Profile**

Four levels are defined by configuration; complexity units define the fourth level:

1. Maximum 24 kHz of sampling rate, one mono object (all object Types).
2. Maximum 24 kHz of sampling rate, one stereo object or two mono objects (all object Types).
3. Maximum 48 kHz of sampling rate, one stereo object or two mono objects (all object Types).
4. Maximum 48 kHz of sampling rate, one object with 5 main channels or multiple objects with at maximum one integer factor sampling rate conversion for a maximum of two channels.  
Flexible configuration is allowed with PCU < 30 and RCU < 19.

For the audio object types 2 (AAC LC), and 4 (AAC LTP), only a frame length of 1024 samples is permitted with respect to level 1, 2, 3 and 4. For the audio object types 2 (AAC LC) and 4 (AAC LTP), mono or stereo mixdown elements are not permitted with respect to level 1, 2, 3 and 4. For the audio object type 6 (AAC Scalable) the following restrictions apply. The number of AAC layers shall not exceed 8 in any scalable configuration. If audio object type 8 (CELP) is used as core layer coder, the number of CELP layers shall not exceed 2. If audio object type 7 (TwinVQ) is used as base layer coder, only one mono TwinVQ layer is permitted.

• **Levels for Main Audio Profile**

Main Audio Profile contains all natural and synthetic object types. Levels are then defined as a combination of the two different types of levels from the two different metrics defined for natural tools (computation-based metrics) and synthetic tools (macro-oriented metrics).

For Object Types not belonging to the Synthetic Profile four levels are defined:

1. Natural Audio 1: PCU < 40, RCU < 20
2. Natural Audio 2: PCU < 80, RCU < 64
3. Natural Audio 3: PCU < 160, RCU < 128
4. Natural Audio 4: PCU < 320, RCU < 256

For Object Types belonging to the Synthetic Profile the same three Levels are defined as above, i.e. Synthetic Audio 1, Synthetic Audio 2 and Synthetic Audio 3.

Four Levels are then defined for Main Profile:

1. Natural Audio 1 + Synthetic Audio 1
2. Natural Audio 2 + Synthetic Audio 1
3. Natural Audio 3 + Synthetic Audio 2
4. Natural Audio 4 + Synthetic Audio 3

For the audio object types 1 (AAC main), 2 (AAC LC), 3 (AAC SSR), and 4 (AAC LTP), only a frame length of 1024 samples is permitted with respect to level 1, 2, 3 and 4. For the audio object types 1 (AAC Main), 2 (AAC LC), 3 (AAC SSR) and 4 (AAC LTP), mono or stereo mixdown elements are not permitted with respect to level 1, 2, 3 and 4. For the audio object type 6 (AAC Scalable) the following restrictions apply. The number of AAC layers shall not exceed 8 in any scalable configuration. If audio object type 8 (CELP) is used as core layer coder, the number of CELP layers shall not exceed 2. If audio object type 7 (TwinVQ) is used as base layer coder, only one mono TwinVQ layer is permitted.

• **Levels for the High Quality Audio Profile**

Table 1.6 – Levels for the High Quality Audio Profile

Level	Max. channels/object	Max. sampling rate [kHz]	Max PCU <sup>*2</sup>	Max RCU <sup>*2</sup>	EP-Tool: Max. redundancy by class FEC <sup>*1</sup>	EP-Tool: Max. # stages of interleaving per object
1	2	22.05	5	8	0 %	0
2	2	48	10	8	0 %	0
3	5	48	25	12 <sup>*3</sup>	0 %	0
4	5	48	100	42 <sup>*3</sup>	0 %	0
5	2	22.05	5	8	20%	9
6	2	48	10	8	20%	9
7	5	48	25	12 <sup>*3</sup>	20%	22
8	5	48	100	42 <sup>*3</sup>	20%	22

- \*1: The value specifies the maximum redundancy based on the available audio object with the longest maximum frame length. The redundancy might be larger in the case of smaller frame lengths. However, the application of any class FEC is not permitted if 0 % is specified. The limit is valid independently for each audio object. Since this value does neither cover the EP header and its protection nor any CRC, another 5 % must always be added to this value in order to derive the necessary increase of the minimum decoder input buffer. This does imply, that not more than those 5 % might be spent for the EP header and its protection or any CRC.
- \*2: Level 5 to 8 do not include RAM and computational complexity for the EP tool.
- \*3: Sharing of work buffers between multiple objects or channel pair elements is assumed.

For the audio object types 2 (AAC LC), 4 (AAC LTP), 17 (ER AAC LC), and 19 (ER AAC LTP) only a frame length of 1024 samples is permitted with respect to level 1, 2, 3, 4, 5, 6, 7 and 8. For the audio object types 2 (AAC LC) and 4 (AAC LTP), mono or stereo mixdown elements are not permitted with respect to level 1, 2, 3, 4, 5, 6, 7 and 8. For the audio object type 6 and 20 ((ER) AAC Scalable) the following restrictions apply. The number of AAC layers shall not exceed 8 in any scalable configuration. If audio object type 8 or 24 ((ER) CELP) is used as core layer coder, the number of CELP layers shall not exceed 2.

- **Levels for the Low Delay Audio Profile**

Table 1.7 – Levels for the Low Delay Audio Profile

Level	Max. channels/object	Max. sampling rate [kHz]	Max PCU <sup>*2</sup>	Max RCU <sup>*2</sup>	EP-Tool: Max. redundancy by class FEC <sup>*1</sup>	EP-Tool: Max. # stages of interleaving per object
1	1	8	2	1	0 %	0
2	1	16	3	1	0 %	0
3	1	48	3	2	0 %	0
4	2	48	24	12 <sup>*3</sup>	0 %	0
5	1	8	2	1	100%	5
6	1	16	3	1	100%	5
7	1	48	3	2	20%	5
8	2	48	24	12 <sup>*3</sup>	20%	9

- \*1: The value specifies the maximum redundancy based on the available audio object with the longest maximum frame length. The redundancy might be larger in the case of smaller frame lengths. However, the application of any class FEC is not permitted if 0 % is specified. The limit is valid independently for each audio object. Since this value does neither cover the EP header and its protection nor any CRC, another 5 % must always be added to this value in order to derive the necessary increase of the minimum decoder input buffer. This does imply, that not more than those 5 % might be spent for the EP header and its protection or any CRC.
- \*2: Level 5 to 8 do not include RAM and computational complexity for the EP tool.
- \*3: Sharing of work buffers between multiple objects or channel pair elements is assumed.

• Levels for the Natural Audio Profile

**Table 1.8 – Levels for the Natural Audio Profile**

Level	Max. sampling rate [kHz]	Max PCU <sup>*2</sup>	EP-Tool: Max. redundancy by class FEC <sup>*1</sup>	EP-Tool: Max. # stages of interleaving per object
1	48	20	0 %	0
2	96	100	0 %	0
3	48	20	20%	9
4	96	100	20%	22

\*1: The value specifies the maximum redundancy based on the available audio object with the longest maximum frame length. The redundancy might be larger in the case of smaller frame lengths. However, the application of any class FEC is not permitted if 0 % is specified. The limit is valid independently for each audio object. Since this value does neither cover the EP header and its protection nor any CRC, another 5 % must always be added to this value in order to derive the necessary increase of the minimum decoder input buffer. This does imply, that not more than those 5 % might be spent for the EP header and its protection or any CRC.

\*2: Level 3 and 4 do not include computational complexity for the EP tool.

No RCU limitations are specified for this profile.

For the audio object types 1 (AAC main), 2 (AAC LC), 3 (AAC SSR), 4 (AAC LTP), 17 (ER AAC LC), and 19 (ER AAC LTP) only a frame length of 1024 samples is permitted with respect to level 1, 2, 3 and 4. For the audio object types 1 (AAC Main), 2 (AAC LC), 3 (AAC SSR) and 4 (AAC LTP), mono or stereo mixdown elements are not permitted with respect to level 1, 2, 3 and 4. For the audio object type 6 and 20 ((ER)AAC Scalable) the following restrictions apply. The number of AAC layers shall not exceed 8 in any scalable configuration. If audio object type 8 or 24 ((ER) CELP) is used as core layer coder, the number of CELP layers shall not exceed 2. If audio object type 7 or 21 ((ER) TwinVQ) is used as base layer coder, only one mono TwinVQ layer is permitted.

• Levels for the Mobile Audio Internetworking Profile

**Table 1.9 – Levels for the Mobile Audio Internetworking Profile**

Level	Max. channels/object	Max. sampling rate [kHz]	Max PCU <sup>*3</sup>	Max RCU <sup>*2 *3</sup>	Max. # audio objects	EP-Tool: Max. redundancy by class FEC <sup>*1</sup>	EP-Tool: Max. # stages of interleaving per object
1	1	24	2.5	4	1	0 %	0
2	2	48	10	8	2	0 %	0
3	5	48	25	12 <sup>*4</sup>	-	0 %	0
4	1	24	2.5	4	1	20%	5
5	2	48	10	8	2	20%	9
6	5	48	25	12 <sup>*4</sup>	-	20%	22

\*1: The value specifies the maximum redundancy based on the available audio object with the longest maximum frame length. The redundancy might be larger in the case of smaller frame lengths. However, the application of any class FEC is not permitted if 0 % is specified. The limit is valid independently for each audio object. Since this value does neither cover the EP header and its protection nor any CRC, another 5 % must always be added to this value in order to derive the necessary increase of the minimum decoder input buffer. This does imply, that not more than those 5 % might be spent for the EP header and its protection or any CRC.

\*2: The maximum RCU for one channel in any object in this profile is 4. For the ER BSAC, this limits the input buffer size. The maximum possible input buffer size in bits for this case is given in PCU/RCU (Table 1.4).

\*3: Level 4 to 6 do not include RAM and computational complexity for the EP tool.

\*4: Sharing of work buffers between multiple objects or channel pair elements are assumed.

For the audio object type 17 (ER AAC LC) only a frame length of 1024 samples is permitted with respect to level 1,2,3,4,5 and 6. For the audio object type 20 (ER AAC Scalable) the following restrictions apply. The number of AAC layers shall not exceed 8 in any scalable configuration. If audio object type 21 (ER TwinVQ) is used as base layer coder, only one mono TwinVQ layer is permitted.

- **Levels for the AAC Profile**

**Table 1.10 – Levels for the AAC Profile**

Level	Max. channels/object	Max. sampling rate [kHz]	Max. PCU	Max. RCU
1	2	24	3	5
2	2	48	6	5
3	NA	NA	NA	NA
4	5	48	19	15
5	5	96	38	15

For the audio object type 2 (AAC LC), mono or stereo mixdown elements are not permitted.

The NA (Not Applicable) levels are introduced to emphasize the hierarchical structure of the AAC Profile and the High Efficiency AAC Profile. Hence, a decoder supporting the High Efficiency AAC Profile at a given level can decode an AAC Profile stream of the same or a lower level. The NA levels are not indicated in the audioProfileLevelIndication table (Table 1.14).

- **Levels for the High Efficiency AAC Profile**

**Table 1.11 – Levels for the High Efficiency AAC Profile**

Level	Max. channels/object	Max. AAC sampling rate, SBR not present [kHz]	Max. AAC sampling rate, SBR present [kHz]	Max. SBR sampling rate [kHz] (in/out)	Max. PCU	Max. RCU	Max. PCU Low power SBR	Max. RCU Low power SBR
1	NA	NA	NA	NA	NA	NA	NA	NA
2	2	48	24	24/48	9	10	7	8
3	2	48	48	48/48 (Note 1)	15	10	12	8
4	5	48	24/48 (Note 2)	48/48 (Note 1)	25	28	20	23
5	5	96	48	48/96	49	28	39	23

Note 1: For level 3 and level 4 decoders, it is mandatory to operate the SBR tool in downsampled mode if the sampling rate of the AAC core is higher than 24kHz. Hence, if the SBR tool operates on a 48kHz AAC signal, the internal sampling rate of the SBR tool will be 96kHz, however, the output signal will be downsampled by the SBR tool to 48kHz.

Note 2: For one or two channels the maximum AAC sampling rate, with SBR present, is 48kHz. For more than two channels the maximum AAC sampling rate, with SBR present, is 24kHz.

For the audio object type 2 (AAC LC), mono or stereo mixdown elements are not permitted.

- Levels for the High Efficiency AAC v2 Profile

**Table 1.12 – Levels for the High Efficiency AAC v2 Profile**

Level (Note 1)	Max. channels/object	Max. AAC sampling rate, SBR not present [kHz]	Max. AAC sampling rate, SBR present [kHz]	Max. SBR sampling rate [kHz] (in/out)	Max. PCU	Max. RCU	Max. PCU HQ / LP SBR (Note 5)	Max. RCU HQ / LP SBR (Note 5)
1	NA	NA	NA	NA	NA	NA	NA	NA
2	2	48	24	24/48	9	10	9	10
3	2	48	24/48 (Note 3)	48/48 (Note 2)	15	10	15	10
4	5	48	24/48 (Note 4)	48/48 (Note 2)	25	28	20	23
5	5	96	48	48/96	49	28	39	23

Note 1: Level 2,3, and 4 HE AAC v2 Profile decoders implements the baseline version of the parametric stereo tool. A level 5 decoder shall not be limited to the baseline version of the parametric stereo tool.  
 Note 2: For level 3 and level 4 decoders, it is mandatory to operate the SBR tool in downsampled mode if the sampling rate of the AAC core is higher than 24kHz. Hence, if the SBR tool operates on a 48kHz AAC signal, the internal sampling rate of the SBR tool will be 96kHz, however, the output signal will be downsampled by the SBR tool to 48kHz.  
 Note 3: If Parametric Stereo data is present the maximum AAC sampling rate is 24kHz, if Parametric Stereo data is not present the maximum AAC sampling rate is 48kHz.  
 Note 4: For one or two channels the maximum AAC sampling rate, with SBR present, is 48kHz. For more than two channels the maximum AAC sampling rate, with SBR present, is 24kHz.  
 Note 5: The PCU/RCU number are given for a decoder operating the LP SBR tool whenever applicable.

For the audio object type 2 (AAC LC), mono or stereo mixdown elements are not permitted.

An HE AAC v2 Profile decoder shall operate the HQ SBR tool for bitstreams containing Parametric Stereo data. For bitstreams not containing Parametric Stereo data, the HE AAC v2 Profile decoder may operate the HQ SBR tool, or the LP SBR tool.

Only bitstreams consisting of exactly one AAC single channel element may contain Parametric Stereo data. Bitstreams containing more than one channel in the AAC part shall not contain Parametric Stereo data.

- Levels for the Low Delay AAC Profile

**Table 1.13 – Level for the Low Delay AAC Profile**

Level	Max. channels/object	Max. sampling rate [kHz]	epConfig
1	2	48	0

LTP is not permitted. Pulse data is not permitted.

### 1.5.2.4 audioProfileLevelIndication

audioProfileLevelIndication is a data element of the InitialObjectDescriptor defined in ISO/IEC 14496-1. It indicates the profile and level required to process the content associated with this InitialObjectDescriptor as defined in Table 1.14.

Table 1.14 – audioProfileLevelIndication values

Value	Profile	Level
0x00	Reserved for ISO use	-
0x01	Main Audio Profile	L1
0x02	Main Audio Profile	L2
0x03	Main Audio Profile	L3
0x04	Main Audio Profile	L4
0x05	Scalable Audio Profile	L1
0x06	Scalable Audio Profile	L2
0x07	Scalable Audio Profile	L3
0x08	Scalable Audio Profile	L4
0x09	Speech Audio Profile	L1
0x0A	Speech Audio Profile	L2
0x0B	Synthetic Audio Profile	L1
0x0C	Synthetic Audio Profile	L2
0x0D	Synthetic Audio Profile	L3
0x0E	High Quality Audio Profile	L1
0x0F	High Quality Audio Profile	L2
0x10	High Quality Audio Profile	L3
0x11	High Quality Audio Profile	L4
0x12	High Quality Audio Profile	L5
0x13	High Quality Audio Profile	L6
0x14	High Quality Audio Profile	L7
0x15	High Quality Audio Profile	L8
0x16	Low Delay Audio Profile	L1
0x17	Low Delay Audio Profile	L2
0x18	Low Delay Audio Profile	L3
0x19	Low Delay Audio Profile	L4
0x1A	Low Delay Audio Profile	L5
0x1B	Low Delay Audio Profile	L6
0x1C	Low Delay Audio Profile	L7
0x1D	Low Delay Audio Profile	L8
0x1E	Natural Audio Profile	L1
0x1F	Natural Audio Profile	L2
0x20	Natural Audio Profile	L3
0x21	Natural Audio Profile	L4
0x22	Mobile Audio Internetworking Profile	L1
0x23	Mobile Audio Internetworking Profile	L2
0x24	Mobile Audio Internetworking Profile	L3
0x25	Mobile Audio Internetworking Profile	L4
0x26	Mobile Audio Internetworking Profile	L5
0x27	Mobile Audio Internetworking Profile	L6
0x28	AAC Profile	L1
0x29	AAC Profile	L2
0x2A	AAC Profile	L4
0x2B	AAC Profile	L5
0x2C	High Efficiency AAC Profile	L2
0x2D	High Efficiency AAC Profile	L3
0x2E	High Efficiency AAC Profile	L4
0x2F	High Efficiency AAC Profile	L5
0x30	High Efficiency AAC v2 Profile	L2
0x31	High Efficiency AAC v2 Profile	L3
0x32	High Efficiency AAC v2 Profile	L4
0x33	High Efficiency AAC v2 Profile	L5
0x34	Low Delay AAC Profile	L1

0x35	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L1
0x36	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L2
0x37	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L3
0x38	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L4
0c39	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L5
0x3A	Baseline MPEG Surround Profile (see ISO/IEC 23003-1)	L6
0x3B - 0x7F	reserved for ISO use	-
0x80 - 0xFD	user private	-
0xFE	no audio profile specified	-
0xFF	no audio capability required	-
<p>NOTE — Usage of the value 0xFE indicates that the content described by this InitialObjectDescriptor does not comply to any audio profile specified in ISO/IEC 14496-3. Usage of the value 0xFF indicates that none of the audio profile capabilities are required for this content.</p>		

## 1.6 Interface to ISO/IEC 14496-1 (MPEG-4 Systems)

### 1.6.1 Introduction

The header streams are transported via MPEG-4 systems. These streams contain configuration information, which is necessary for the decoding process and parsing of the raw data streams. However, an update is only necessary if there are changes in the configuration.

The payloads contain all information varying on a frame to frame basis and therefore carry the actual audio information.

### 1.6.2 Syntax

#### 1.6.2.1 AudioSpecificConfig

AudioSpecificConfig() extends the abstract class DecoderSpecificInfo, as defined in ISO/IEC 14496-1, when DecoderConfigDescriptor.objectTypeIndication refers to streams complying with ISO/IEC 14496-3. In this case the existence of AudioSpecificConfig() is mandatory.

Table 1.15 – Syntax of AudioSpecificConfig()

Syntax	No. of bits	Mnemonic
AudioSpecificConfig ()		
{		
audioObjectType = GetAudioObjectType();		
<b>samplingFrequencyIndex;</b>	<b>4</b>	<b>bslbf</b>
if ( samplingFrequencyIndex == 0xf ) {		
<b>samplingFrequency;</b>	<b>24</b>	<b>uimsbf</b>
}		
<b>channelConfiguration;</b>	<b>4</b>	<b>bslbf</b>
sbrPresentFlag = -1;		
psPresentFlag = -1;		
}		

if ( audioObjectType == 5    audioObjectType == 29 ) { extensionAudioObjectType = 5; sbrPresentFlag = 1; if ( audioObjectType == 29 ) { psPresentFlag = 1; } <b>extensionSamplingFrequencyIndex;</b>	4	uimsbf
if ( extensionSamplingFrequencyIndex == 0xf ) <b>extensionSamplingFrequency;</b> audioObjectType = GetAudioObjectType(); if ( audioObjectType == 22 ) <b>extensionChannelConfiguration;</b>	24	uimsbf
if ( audioObjectType == 22 ) <b>extensionChannelConfiguration;</b>	4	uimsbf
} else { extensionAudioObjectType = 0; } switch (audioObjectType) { case 1: case 2: case 3: case 4: case 6: case 7: case 17: case 19: case 20: case 21: case 22: case 23: GASpecificConfig(); break; case 8: CelpSpecificConfig(); break; case 9: HvxcSpecificConfig(); break; case 12: TTSSpecificConfig(); break; case 13: case 14: case 15: case 16: StructuredAudioSpecificConfig(); break; case 24: ErrorResilientCelpSpecificConfig(); break; case 25: ErrorResilientHvxcSpecificConfig(); break; case 26: case 27: ParametricSpecificConfig(); break;		

<pre> case 28:     SSCSpecificConfig();     break; case 30:     <b>sacPayloadEmbedding;</b>     SpatialSpecificConfig();     break; case 32: case 33: case 34:     MPEG_1_2_SpecificConfig();     break; case 35:     DSTSpecificConfig();     break; case 36:     <b>fillBits;</b>     ALSSpecificConfig();     break; case 37: case 38:     SLSSpecificConfig();     break; case 39:     ELDSpecificConfig(channelConfiguration);     break; case 40: case 41:     SymbolicMusicSpecificConfig();     break; default:     /* reserved */ } switch (audioObjectType) { case 17: case 19: case 20: case 21: case 22: case 23: case 24: case 25: case 26: case 27: case 39:     <b>epConfig;</b>     if ( epConfig == 2    epConfig == 3 ) {         ErrorProtectionSpecificConfig();     }     if ( epConfig == 3 ) {         <b>directMapping;</b>         if ( ! directMapping ) {             /* tbd */         }     } } } if ( extensionAudioObjectType != 5 &amp;&amp; bits_to_decode() &gt;= 16 ) {     <b>syncExtensionType;</b>     if (syncExtensionType == 0x2b7) { </pre>	<p>1</p> <p>5</p> <p>2</p> <p>1</p> <p>11</p>	<p><b>uimsbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p>
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Table 1.16 – Syntax of GetAudioObjectType()

Syntax	No. of bits	Mnemonic
GetAudioObjectType()		
{		
<b>audioObjectType;</b>	5	<b>uimsbf</b>
if (audioObjectType == 31) {		
audioObjectType = 32 + <b>audioObjectTypeExt;</b>	6	<b>uimsbf</b>
}		
return audioObjectType;		
}		

The classes defined in subclauses 1.6.2.1.1 to 1.6.2.1.9 do not extend the BaseDescriptor class (see ISO/IEC 14496-1) and consequently their length shall be derived by difference from the length of AudioSpecificConfig().

#### 1.6.2.1.1 HvxcSpecificConfig

Defined in subpart 2.

#### 1.6.2.1.2 CelpSpecificConfig

Defined in subpart 3.

#### 1.6.2.1.3 GASpecificConfig

Defined in subpart 4.

**1.6.2.1.4 StructuredAudioSpecificConfig**

Defined in subpart 5.

**1.6.2.1.5 TTSSpecificConfig**

Defined in subpart 6.

**1.6.2.1.6 ParametricSpecificConfig**

Defined in subpart 7.

**1.6.2.1.7 ErrorProtectionSpecificConfig**

Defined in subclause 1.8.2.1.

**1.6.2.1.8 ErrorResilientCelpSpecificConfig**

Defined in subpart 3.

**1.6.2.1.9 ErrorResilientHvxcSpecificConfig**

Defined in subpart 2.

**1.6.2.1.10 SSCSpecificConfig**

Defined in subpart 8.

**1.6.2.1.11 MPEG\_1\_2\_SpecificConfig**

Defined in subpart 9.

**1.6.2.1.12 DSTSpecificConfig**

Defined in subpart 10.

**1.6.2.1.13 ALSSpecificConfig**

Defined in subpart 11.

**1.6.2.1.14 SLSSpecificConfig**

Defined in subpart 12.

**1.6.2.1.15 SpatialSpecificConfig**

Defined in ISO/IEC 23003-1, subclause 5.1.

**1.6.2.1.16 ELDSpecificConfig**

Defined in subpart 4.

**1.6.2.1.17 SymbolicMusicSpecificConfig**

Defined in ISO/IEC 14496-23, subclause 7.2.1.

## 1.6.2.2 Payloads

### 1.6.2.2.1 Overview

For the NULL object the payload shall be 16 bit signed integer in the range from -32768 to +32767. The payloads for all other audio object types are defined in the corresponding parts. These are the basic entities to be carried by the systems transport layer. Note that for all natural audio coding schemes the output is scaled for a maximum of 32767/32768. However, the MPEG-4 System compositor expects a scaling.

Payloads that are not byte aligned are padded at the end for transport schemes which require byte alignment.

The following table shows an overview about where the Elementary Stream payloads for the Audio Object Types can be found and where the detailed syntax is defined.

**Table 1.17 – Audio Object Types**

Object Type ID	Audio Object Type	definition of elementary stream payloads and detailed syntax	Mapping of audio payloads to access units and elementary streams
0	NULL		
1	AAC MAIN	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.1
2	AAC LC	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.1
3	AAC SSR	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.1
4	AAC LTP	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.1
5	SBR	ISO/IEC 14496-3 subpart 4	
6	AAC scalable	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.2
7	TwinVQ	ISO/IEC 14496-3 subpart 4	
8	CELP	ISO/IEC 14496-3 subpart 3	
9	HVXC	ISO/IEC 14496-3 subpart 2	
10	(reserved)		
11	(reserved)		
12	TTSI	ISO/IEC 14496-3 subpart 6	
13	Main synthetic	ISO/IEC 14496-3 subpart 5	
14	Wavetable synthesis	ISO/IEC 14496-3 subpart 5	
15	General MIDI	ISO/IEC 14496-3 subpart 5	
16	Algorithmic Synthesis and Audio FX	ISO/IEC 14496-3 subpart 5	
17	ER AAC LC	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.3
18	(reserved)		
19	ER AAC LTP	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.3
20	ER AAC scalable	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.3
21	ER Twin VQ	ISO/IEC 14496-3 subpart 4	
22	ER BSAC	ISO/IEC 14496-3 subpart 4	
23	ER AAC LD	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.3
24	ER CELP	ISO/IEC 14496-3 subpart 3	
25	ER HVXC	ISO/IEC 14496-3 subpart 2	
26	ER HILN	ISO/IEC 14496-3 subpart 7	
27	ER Parametric	ISO/IEC 14496-3 subpart 2 and 7	
28	SSC	ISO/IEC 14496-3 subpart 8	
29	PS	ISO/IEC 14496-3 subpart 8	
30	MPEG Surround	ISO/IEC 23003-1	
31	(escape)		
32	Layer-1	ISO/IEC 14496-3 subpart 9	
33	Layer-2	ISO/IEC 14496-3 subpart 9	
34	Layer-3	ISO/IEC 14496-3 subpart 9	

35	DST	ISO/IEC 14496-3 subpart 10	
36	ALS	ISO/IEC 14496-3 subpart 11	
37	SLS	ISO/IEC 14496-3 subpart 12	
38	SLS non-core	ISO/IEC 14496-3 subpart 12	
39	ER AAC ELD	ISO/IEC 14496-3 subpart 4	see subclause 1.6.2.2.2.4
40	SMR Simple	ISO/IEC 14496-23	
41	SMR Main	ISO/IEC 14496-23	

**1.6.2.2.2 Mapping of audio payloads to access units and elementary streams**

**1.6.2.2.2.1 AAC Main, AAC LC, AAC SSR, AAC LTP**

One top level payload (`raw_data_block()`) is mapped into one access unit. Subsequent access units form one elementary stream.

**1.6.2.2.2.2 AAC scalable**

One top level payload (`aac_scalable_main_element()`, ASME) is mapped into one access unit. Subsequent access units form one elementary stream.

One top level payload (`aac_scalable_extension_element()`, ASEE) is mapped into one access unit. Subsequent access units of the same enhancement layer form one elementary stream. This results in individual elementary streams for each layer.

The streams of subsequent layers depend on each other.

**1.6.2.2.2.3 ER AAC LC, ER AAC SSR, ER AAC LTP, ER AAC scalable, ER AAC LD**

The data elements of the according top level payload (`er_raw_data_block()`, `aac_scalable_main_element()`, `aac_scalable_extension_element()`) are subdivided into different categories depending on its error sensitivity and collected in instances of these categories (see subpart 4, subclause 4.5.2.4). Depending on the value of `epConfig`, there are several ways to map these instances to access units to form one or several elementary streams (see subclause 1.6.3.6). Subsequent elementary streams depend on each other.

Note: The bits of the data function `byte_alignment()` terminating the AAC top level payloads may be omitted if the data elements of the according top level payload is not directly mapped into one access unit. Hence, it might be omitted if the top level payload is split (e.g. in case of `epConfig=1`) or post-processed (e.g. in case of `epConfig=3`).

**1.6.2.2.2.4 ER AAC ELD**

The top level payload for ER AAC ELD is defined in `er_raw_data_block_eld()`. All definitions mentioned in subclause 1.6.2.2.2.3 are also valid for this AOT.

**1.6.3 Semantics**

**1.6.3.1 AudioObjectType**

A five bit field indicating the audio object type. This is the master switch which selects the actual bitstream payload syntax of the audio data. In general, different object type use a different bitstream payload syntax. The interpretation of this field is given in Table 1.1.

**1.6.3.2 AudioObjectTypeExt**

This data element extends the range of audio object types.

### 1.6.3.3 **samplingFrequency**

The sampling frequency used for this audio object. Either transmitted directly, or coded in the form of **samplingFrequencyIndex**.

### 1.6.3.4 **samplingFrequencyIndex**

A four bit field indicating the sampling rate used. If **samplingFrequencyIndex** equals 15 then the actual sampling rate is signaled directly by the value of **samplingFrequency**. In all other cases **samplingFrequency** is set to the value of the corresponding entry in Table 1.18.

**Table 1.18 – Sampling Frequency Index**

<b>samplingFrequencyIndex</b>	<b>Value</b>
0x0	96000
0x1	88200
0x2	64000
0x3	48000
0x4	44100
0x5	32000
0x6	24000
0x7	22050
0x8	16000
0x9	12000
0xa	11025
0xb	8000
0xc	7350
0xd	reserved
0xe	reserved
0xf	escape value

### 1.6.3.5 **channelConfiguration**

A four bit field indicating the audio output channel configuration:

**Table 1.19 – Channel Configuration**

value	number of channels	audio syntactic elements, listed in order received	channel to speaker mapping
0	-	-	defined in AOT related SpecificConfig
1	1	single_channel_element()	center front speaker
2	2	channel_pair_element()	left, right front speakers
3	3	single_channel_element(), channel_pair_element()	center front speaker, left, right front speakers
4	4	single_channel_element(), channel_pair_element(), single_channel_element()	center front speaker, left, right center front speakers, rear surround speakers
5	5	single_channel_element(), channel_pair_element(), channel_pair_element()	center front speaker, left, right front speakers, left surround, right surround rear speakers
6	5+1	single_channel_element(), channel_pair_element(), channel_pair_element(), lfe_element()	center front speaker, left, right front speakers, left surround, right surround rear speakers, front low frequency effects speaker
7	7+1	single_channel_element(), channel_pair_element(), channel_pair_element(), channel_pair_element(), lfe_element()	center front speaker left, right center front speakers, left, right outside front speakers, left surround, right surround rear speakers, front low frequency effects speaker
8-15	-	-	reserved

**1.6.3.6 epConfig**

This data element signals what kind of error robust configuration is used.

**Table 1.20 – epConfig**

epConfig	Description
0	All instances of all error sensitivity categories belonging to one frame are stored within one access unit. There exists one elementary stream per scalability layer, or just one elementary stream in case of non-scalable configurations.
1	Each instance of each sensitivity category belonging to one frame is stored separately within a single access unit, i.e. there exist as many elementary streams as instances defined within a frame.
2	The error protection decoder has to be applied. The definition of EP classes is not normatively defined, but defined at application level. However, the restrictions imposed on EP classes as defined in subclause 1.6.9 must be satisfied.
3	The error protection decoder has to be applied. The mapping between EP classes and ESC instances is signaled by the data element directMapping.

**1.6.3.7 direct mapping**

This data element identifies the mapping between error protection classes and error sensitivity category instances.

**Table 1.21 – directMapping**

directMapping	Description
0	Reserved
1	Each error protection class is treated as an instance of an error sensitivity category (one to one mapping), so that the error protection decoder output is equivalent to epConfig=1 data.

**1.6.3.8 extensionSamplingFrequencyIndex**

A four bit field indicating the output sampling frequency of the extension tool corresponding to the extensionAudioObjectType, according to Table 1.18

**1.6.3.9 extensionSamplingFrequency**

The output sampling frequency of the extension tool corresponding to the extensionAudioObjectType. Either transmitted directly, or coded in the form of extensionSamplingFrequencyIndex.

**1.6.3.10 bits\_to\_decode**

A helper function; returns the number of bits not yet decoded in the current AudioSpecificConfig(), if the length of this element has been signaled by a system/transport layer. If the length of this element is unknown, bits\_to\_decode() returns 0.

**1.6.3.11 syncExtensionType**

Syncword which marks the beginning of appended extension configuration data. This configuration data corresponds to an extension tool of which the coded data is embedded (in a backward compatible manner) in that of the underlying audioObjectType. If syncExtensionType is present, the configuration data of the extension tool is separated from that of the underlying audioObjectType, which allows for backward compatible signaling (see subclause 1.6.5). Decoders that do not support the extension tool can ignore the extension tool configuration data. Note that this backward compatible signaling can only be used in MPEG-4 based systems that convey the length of the AudioSpecificConfig().

**1.6.3.12 sbrPresentFlag**

A flag indicating the presence or absence of SBR data in case of extensionAudioObjectType==5 (i.e. explicit SBR signaling, see subclause 1.6.5). The value -1 indicates that the sbrPresentFlag was not conveyed in the AudioSpecificConfig(). In this case, a High Efficiency AAC Profile decoder shall be able to detect the presence of SBR data in the Elementary Stream (i.e. implicit SBR signaling, see subclause 1.6.5).

**1.6.3.13 extensionAudioObjectType**

A five bit field indicating the extension audio object type. This object type corresponds to an extension tool, which is used to enhance the underlying audioObjectType.

**1.6.3.14 psPresentFlag**

A one bit field indicating the presence or absence of Parametric Stereo data. The value -1 indicates that the psPresentFlag was not conveyed in the AudioSpecificConfig(). In this case, a High Efficiency AAC v2 Profile decoder shall support implicit signaling (see subclause 1.6.6).

**1.6.3.15 fillBits**

Fill bits for byte alignment of ALSSpecificConfig() relative to the start of AudioSpecificConfig().

**1.6.3.16 extensionChannelConfiguration**

A four bit field indicating the channel configuration of the BSAC extensions. This configuration data is available in case of explicit signalling for the BSAC extensions. The number of audio output channel is determined according to Table 1.19 Channel Configuration.

**1.6.3.17 sacPayloadEmbedding**

The audio Object Type ID 30 MPEG Surround is used to convey spatial audio coding side information for MPEG Surround decoding as defined in ISO/IEC 23003-1. Depending on this flag, the MPEG surround data payload, i.e., SpatialFrame(), is available by different means:

**Table 1.22 – sacPayloadEmbedding**

<b>sacPayloadEmbedding</b>	<b>Meaning</b>
0	One SpatialFrame() is mapped into one access unit. Subsequent access units form one elementary stream. That elementary stream will always depend on another elementary stream that contains the underlying (downmixed) audio data.
1	The top level payload is multiplexed into the underlying (downmixed) audio data. The actual multiplexing details depend on the presentation of the audio data (i.e., usually on the AOT). Note that this leads to an elementary stream with no real payload. That elementary stream will always depend on another elementary stream that contains both, the underlying (downmixed) audio data and the multiplexed spatial audio data.

### 1.6.4 Upstream

#### 1.6.4.1 Introduction

Upstreams are defined to allow for user on a remote side to dynamically control the streaming of the server.

The need for an up-stream channel is signaled to the client terminal by supplying an appropriate elementary stream descriptor declaring the parameters for that stream. The client terminal opens this up-stream channel in a similar manner as it opens the downstream channels. The entities (e.g. media encoders & decoders) that are connected through an up-stream channel are known from the parameters in its elementary stream descriptor and from the association of the elementary stream descriptor to a specific object descriptor.

An up-stream can be associated to a single downstream or a group of down streams. The stream type of the downstream to which the up-stream is associated defines the scope of the up-stream. When the up-stream is associated to a single downstream it carries messages about the downstream it is associated to. The syntax and semantics of messages for MPEG-4 Audio are defined in the next subclause.

#### 1.6.4.2 Syntax

**Table 1.23 – Syntax of AudioUpstreamPayload()**

Syntax	No. of bits	Mnemonic
AudioUpstreamPayload() {		
<b>upStreamType;</b>	<b>4</b>	<b>uimsbf</b>
switch (upStreamType) {		
case 0: /* scalability control */		
<b>numOfLayer;</b>	<b>6</b>	<b>uimsbf</b>
for (layer = 0; layer < numOfLayer; layer++) {		
<b>avgBitrate[layer];</b>	<b>24</b>	<b>uimsbf</b>
}		
break;		
case 1: /* BSAC frame interleaving */		
<b>numOfSubFrame;</b>	<b>5</b>	<b>uimsbf</b>
break;		
case 2: /* quality feedback */		
<b>multiLayOrSynEle;</b>	<b>1</b>	<b>uimsbf</b>
if (multiLayOrSynEle) {		
<b>layOrSynEle;</b>	<b>6</b>	<b>uimsbf</b>
}		
else {		
layOrSynEle = 1;		
}		
<b>numFrameExp[layOrSynEle];</b>	<b>4</b>	<b>uimsbf</b>

<b>lostFrames[layOrSynEle];</b>	<b>numFrameExp</b>	<b>uimsbf</b>
break;	<b>[layOrSynEle]</b>	
case 3: /* bitrate control */		
<b>avgBitrate;</b>	<b>24</b>	<b>uimsbf</b>
break;		
default: /* reserved for future use */		
break;		
}		
}		

### 1.6.4.3 Definitions

**upStreamType** A 4-bit unsigned integer value representing the type of the up-stream as defined in the following Table 1.24

**Table 1.24 – Definition of upStreamType**

UpStreamType	Type of Audio up-stream
0	scalability control
1	BSAC frame interleaving
2	quality feedback
3	bitrate control
4 – 15	reserved for future use

<b>avgBitrate[layer]</b>	The average bitrate in bits per second of a large step layer, which the client requests to be transmitted from the server.
<b>numOfSubFrame</b>	A 5-bit unsigned integer value representing the number of the frames which are grouped and transmitted in order to reduce the transmission overhead. The transmission overhead is decreased but the delay is increased as numOfSubFrame is increased.
<b>multiLayOrSynEle</b>	This bit signals, whether or not a multi-channel or multi-layer configuration is used. Only in that case a layer number or a syntactic element number needs is transmitted.
<b>layOrSynEle</b>	A 6-bit unsigned integer value representing the number of the syntactic elements (in case of multi-channel setup) or the number of the layers (in case of multi-layer setup), to which the following quality feedback information belongs. This number refers to one of the layers or one of the syntactic elements contained within the associated Audio object. If the Audio object does neither support scalability nor multi-channel capabilities, this value is implicitly set to 1.
<b>numFrameExp[layOrSynEle]</b>	This value indicates the number of last recently passed frames $(2^{\text{numFrameExp}} - 1)$ considered in the following lostFrames value.
<b>lostFrames[layOrSynEle]</b>	This field contains the number of lost frames with respect to the indicated layer or syntactic element within the last recently passed frames signalled by numFrameExp.
<b>avgBitrate</b>	The average bitrate in bits per second of the whole Audio object, which the client requests to be transmitted from the server.

### 1.6.4.4 Decoding process

First, **upStreamType** is parsed which represents the type of the up-stream. The remaining decoding process depends upon the type of the up-stream.

#### 1.6.4.4.1 Decoding of scalability control

Next is the value **numOfLayer**. It represents the number of the data elements **avgBitrate** to be read. **avgBitrate** follows.

#### 1.6.4.4.2 Decoding of BSAC frame interleaving

The data element to be read is **numOfSubFrame**. It represents the number of the sub-frames to be interleaved in BSAC tool. BSAC can allow for runtime adjustments to the quality of service. When the content of upstream is transmitted from the client to the server to implement a stream dynamically and interactively. BSAC data are split and interleaved in the server. The detailed process for implementing an AU payload in the server is described in Annex 4.B.17.

#### 1.6.4.4.3 Decoding of quality feedback

The real frame loss rate in percent can be derived using the following formula:

$$\text{frameLossRate}[\text{layOrSynEle}] = \frac{\text{lostFrames}[\text{layOrSynEle}]}{2^{\text{numFrameExp}[\text{layOrSynEle}] - 1}} * 100\%$$

#### 1.6.4.4.4 Decoding of bitrate control

**avgBitrate** is parsed.

### 1.6.5 Signaling of SBR

#### 1.6.5.1 Generating and signaling AAC+SBR content

The SBR tool in combination with the AAC coder provides a significant increase of audio compression efficiency. At the same time it allows for compatibility with existing AAC-only decoders. However, the audio quality for decoders without the SBR tool will of course be significantly lower than for those supporting the SBR tool. Therefore, depending on the application, a content provider or content creator may wish to choose between the two alternatives given below. In general, the SBR data is always embedded in the AAC stream in an AAC compatible way (in the `extension_payload`), and SBR is a pure post processing step in the decoder. Therefore, compatibility can be achieved. However, by means of different signaling the content creator can select between the full-quality mode and the backward compatibility mode as follows.

NOTE: None of the SBR signaling methods described in this subclause are allowed for AAC ELD. Instead, the `ldSbrPresentFlag` in the `ELDSpecificConfig()` is used to signal whether the Low Delay SBR tool (see subclause 4.6.19) is active or not, as described in subclause 4.6.20.1.

##### 1.6.5.1.1 Ensuring full audio quality of AAC+SBR for the listener

To ensure that all listeners get the full audio quality of AAC+SBR, the stream should indicate the HE AAC profile and use the explicit, hierarchical signalling (signaling 2.A. as described below), so that it is played by HE AAC Profile decoders. With regard to AAC-only streams, an HE AAC Profile decoder will decode all AAC Profile streams of the appropriate level, as the HE AAC Profile is a superset of the AAC Profile.

##### 1.6.5.1.2 Achieving backward compatibility with existing AAC-only decoders

The aim of this mode is to get all AAC-based decoders to play the stream, even if they don't support the SBR tool. Compatible streams can be created using the following two signaling methods:

- a) indicating a profile containing AAC (e.g. the AAC Profile), except the HE AAC Profile, and using the explicit backward compatible signalling (2.B. as described below). This method is recommended for all MPEG-4 based systems in which the length of the `AudioSpecificConfig()` is known in the decoder. As this is not the case for LATM with `audioMuxVersion==0` (see subclause 1.7), this method cannot be used for LATM with `audioMuxVersion==0`. In explicit backward compatible signaling, SBR-specific configuration data is added at the end of the `AudioSpecificConfig()`. Decoders that do not know about SBR will ignore these parts, while HE AAC Profile decoders will detect its presence and configure the decoder accordingly.
- b) indicating a profile containing AAC (e.g. the AAC Profile, or an MPEG-2 AAC profile), except the HE AAC Profile, and using implicit signalling. In this mode, there is no explicit indication of the presence of SBR

data. Instead, decoders check the presence while decoding the stream and use the SBR tool if SBR data is found. This is possible because SBR can be decoded without SBR-specific configuration data if a certain way of handling decoder output sample rate is obeyed, as described below for HE AAC Profile decoders.

Both methods lead to the result that the AAC part of an AAC+SBR streams will be decoded by AAC-only decoders. AAC+SBR decoders will detect the presence of SBR and decode the full quality AAC+SBR stream.

### 1.6.5.2 Implicit and explicit signaling of SBR

This subclause outlines the different signaling methods of SBR, and the decoder behavior for different types of signaling.

There are several ways to signal the presence of SBR data:

1. **implicit signaling:** If EXT\_SBR\_DATA or EXT\_SBR\_DATA\_CRC extension\_payload() elements are detected in the bitstream payload, this implicitly signals the presence of SBR data. The ability to detect and decode implicitly signaled SBR is mandatory for all High Efficiency AAC Profile (HE AAC Profile) decoders.
2. **explicit signaling:** The presence of SBR data is signaled explicitly by means of the SBR Audio Object Type in the AudioSpecificConfig(). When explicit signaling is used, implicit signaling shall not occur. Two different types of explicit signaling are available:
  - 2.A. **hierarchical signaling:** If the first audioObjectType (AOT) signaled is the SBR AOT, a second audio object type is signaled which indicates the underlying audio object type. This signaling method is not backward compatible. This method may be needed in systems that do not convey the length of the AudioSpecificConfig(), such as LATM with audioMuxVersion==0, and content authors are encouraged to use it only when thus needed.
  - 2.B. **backward compatible signaling:** The extensionAudioObjectType is signaled at the end of the AudioSpecificConfig(). This method shall only be used in systems that convey the length of the AudioSpecificConfig(). Hence, it shall not be used for LATM with audioMuxVersion==0.

Table 1.25 shows the decoder behavior depending on profile and audio object type indication when implicit or explicit signaling is used.

**Table 1.25 — SBR Signaling and Corresponding Decoder Behavior**

Bitstream payload characteristics				Decoder behavior	
Profile indication	extension AudioObjectType	sbrPresent Flag	raw_data_block	AAC Profile decoders	HE AAC Profile decoders
Profiles with AAC support other than High Efficiency AAC Profile	!= SBR (signaling 1)	-1	AAC	Play AAC	Play AAC (Note 1)
			AAC+SBR	Play AAC	Play at least AAC, should play AAC+SBR (Note 1)
	== SBR (signaling 2.B)	0	AAC	Play AAC	Play AAC (Note 2)
			1	Play AAC	Play at least AAC, should play AAC+SBR (Note 3)
High Efficiency AAC Profile	== SBR (signaling 2.A or 2.B)	1	AAC+SBR	Undefined	Play AAC+SBR (Note 3)

Note 1: Implicit signaling, check payload in order to determine output sampling frequency, or assume the presence of SBR data in the payload, giving an output sampling frequency of twice the sampling frequency indicated by samplingFrequency in the AudioSpecificConfig() (unless the down sampled SBR Tool is operated, or twice the sampling frequency indicated by samplingFrequency exceeds the maximum allowed output sampling frequency of the current level, in which case the output sampling frequency is the same as indicated by samplingFrequency).

Note 2: Explicitly signals that there is no SBR data, hence no implicit signaling is present, and the output sampling frequency is given by samplingFrequency in the AudioSpecificConfig().

Note 3: Output sampling frequency is the extensionSamplingFrequency in AudioSpecificConfig().

The upper part of Table 1.25 displays bitstream payload characteristics and decoder behavior if the profile indication is any profile with AAC, apart from the High Efficiency AAC Profile. The lower part displays bitstream payload characteristics and decoder behavior if the profile indication is the High Efficiency AAC Profile.

**1.6.5.3 HE AAC profile decoder behavior in case of implicit signaling**

If the presence of SBR data is backward compatible implicitly signaled (signaling 1 in the list above) the extensionAudioObjectType is not the SBR AOT, and the sbrPresentFlag is set to -1, indicating that implicit signaling may occur.

Since the HE AAC Profile decoder is a dual rate system, with the SBR Tool operating at twice the sample rate of the underlying AAC decoder, the output sample rate cannot be assumed to be that of the AAC decoder just because SBR is not explicitly signaled. The decoder shall determine the output sample rate by either of the following two methods:

- Check for the presence of SBR data in the bitstream payload prior to decoding. If no SBR data is found, the output sample rate is equal to that signaled as samplingFrequency in the AudioSpecificConfig(). If SBR data is found the output sample rate is twice that signaled as samplingFrequency in the AudioSpecificConfig

- Assume that the SBR data is available and decide the output sample rate to be twice that signaled in the `AudioSpecificConfig()`. If no SBR data is found once the decoding process has started, the SBR Tool can be used for upsampling only, as described in subclause 4.6.18.5.

The above only applies if twice the sample rate signaled in the `AudioSpecificConfig()` does not exceed the maximum output sample rate allowed for the current level. Hence, for a HE AAC Profile decoder of levels 2, 3, or 4, the output sample rate is equal to the sample rate signaled in the `AudioSpecificConfig()` if the latter exceeds 24kHz.

The down sampled SBR Tool shall be used when needed to ensure that the output sample rate does not exceed the maximum allowed sample rate of the present level of the High Efficiency AAC Profile decoder.

#### 1.6.5.4 HE AAC profile decoder behavior in case of explicit signaling

If the presence of SBR data is explicitly signaled (signaling 2, in the list above) the presence of SBR data is backward compatible explicitly signaled (signaling 2.B) or non-backward explicitly signaled (signaling 2.A).

For the backward compatible explicit signaling (signaling 2.B) the `extensionAudioObjectType` signaled is the SBR AOT. For this backward compatible explicit signaling the `sbrPresentFlag` is transmitted and can be either zero or one. If the `sbrPresentFlag` is zero, this indicates that SBR data is not present, and hence the HE AAC Profile decoder does not have to check the `Fill-element` for the presence of SBR data or make assumptions on the output sample rate in anticipation of SBR data. If the `sbrPresentFlag` is one, SBR data is present and the HE AAC Profile decoder shall operate the SBR Tool.

For the non-backward compatible explicit signaling of SBR (signaling 2.A) the `extensionAudioObjectType` signaled is the SBR AOT. For this hierarchical explicit signaling, the `sbrPresentFlag` is set to one if the `extensionAudioObjectType` is SBR. The `sbrPresentFlag` is not transmitted and hence it is not possible to explicitly signal the absence of implicit signaling. Hence, for the hierarchical explicit signaling, SBR data is always present and the HE AAC Profile decoder shall operate the SBR Tool.

The down sampled SBR Tool shall be operated if the output sample rate would otherwise exceed the maximum allowed output sample rate for the present level, or if the `extensionSamplingFrequency` is the same as the `samplingFrequency`.

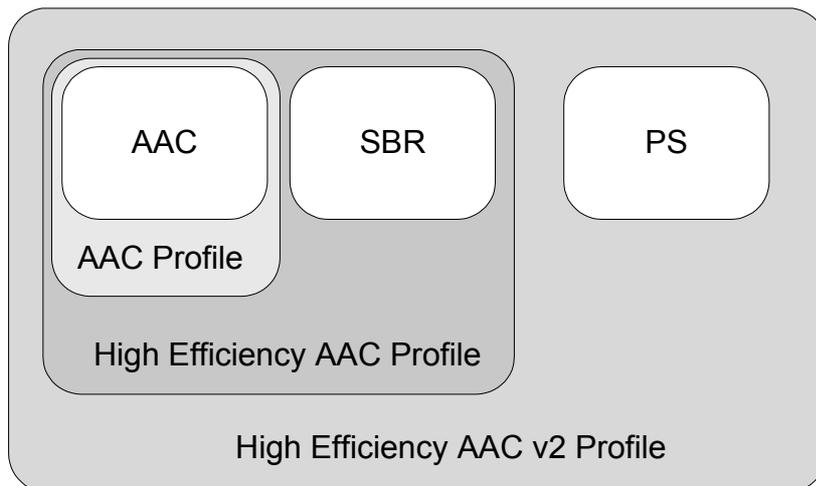
### 1.6.6 Signaling of Parametric Stereo (PS)

#### 1.6.6.1 Generating and Signaling HE AAC + PS Content

The PS tool in combination with the HE AAC coder enables good stereo quality at very low bitrates. At the same time it allows for compatibility with existing HE AAC-only decoders. However, the output from a HE AAC decoder will only be mono for a HE AAC v2 stream carrying PS data.

Therefore, depending on the application, a content provider or content creator may want to choose between the two alternatives given below. In general, the PS data is always embedded in the HE AAC stream in a HE AAC compatible way (in the `sbr_extension` element), and PS is a pure post processing step in the decoder. Therefore, compatibility can be achieved. However, by means of different signaling the content creator can select between the full-quality mode and the backward compatibility mode as outlined in 1.6.6.1.1 and 1.6.6.1.2.

For the hierarchical profiles, a profile higher in the profile hierarchy is of course able to decode the content of a profile lower in the profile hierarchy. In Figure 1.1 the hierarchical structure of the AAC, HE AAC and HE AAC v2 Profile is displayed. The figure shows that a HE AAC Profile decoder is fully capable of decoding any AAC-Profile stream, given that the HE AAC Profile decoder is of the same or a higher level as indicated in the AAC Profile stream. Similarly an HE AAC v2 profile decoder of a certain level can handle all HE AAC Profile streams of the same or lower level as well as all AAC Profile streams of the same or lower level.



**Figure 1.1 – Hierarchical structure of AAC, HE AAC and HE AAC v2 Profile, and compatibility between them.**

**1.6.6.1.1 Ensuring Full Audio Quality of AAC+SBR+PS for the Listener**

To ensure that listeners get the full audio quality of AAC+SBR+PS, the stream should indicate the HE AAC v2 Profile and use the explicit, hierarchical signaling (signaling 2.A. as described below), so that it is played by HE AAC v2 Profile decoders, i.e., PS capable decoders. With regard to HE AAC-only streams or AAC-only streams, an HE AAC v2 Profile decoder will decode all HE AAC Profile streams and AAC Profile streams of the appropriate level, as the HE AAC v2 Profile is a superset of the HE AAC Profile and the AAC Profile.

**1.6.6.1.2 Achieving Backward Compatibility with Existing HE AAC and AAC Decoders**

The aim of this mode is to get all AAC-based and HE AAC-based decoders to play the stream, even if they do not support the PS tool. Compatible streams can be created using the following two signaling methods:

- a) indicate a profile containing SBR (e.g. the HE AAC Profile), but not the HE AAC v2 Profile, and use the explicit backward compatible signalling (2.B. as described below). This method is recommended for all MPEG-4 based systems in which the length of the AudioSpecificConfig() is known in the decoder. As this is not the case for LATM with audioMuxVersion==0 (see clause 1.7), this method cannot be used for LATM with audioMuxVersion==0. In explicit backward compatible signaling, PS-specific configuration data is added at the end of the AudioSpecificConfig(). Decoders that do not know about PS will ignore these parts, while HE AAC v2 Profile decoders will detect its presence and configure the decoder accordingly.
- b) indicate a profile containing SBR (e.g. the HE AAC Profile), but not the HE AAC v2 Profile, and use implicit signalling. In this mode, there is no explicit indication of the presence of PS data. Instead, HE AAC v2 Profile decoders shall open two output channels for a stream containing SBR data with channelConfiguration==1, i.e., a mono stream using a single channel element, and check the presence of PS data while decoding the stream and use the PS tool if PS data is found. This is possible because PS can be decoded without PS-specific configuration data if a certain way of handling decoder number of output channels is obeyed, as described below for HE AAC v2 Profile decoders.

Both methods lead to the result that, provided that the profile indication indicates a profile supported by the decoder, the AAC+SBR part of an AAC+SBR+PS streams will be decoded by HE AAC-only decoders, and the AAC part of an AAC+SBR+PS stream will be decoded by AAC-only decoders. HE AAC v2 decoders will detect the presence of PS and decode the full quality AAC+SBR+PS stream.

### 1.6.6.2 Implicit and Explicit Signaling of Parametric Stereo

This subclause outlines the different signaling methods of PS, and the decoder behavior for different types of signaling.

There are several ways to signal the presence of PS data:

1. **implicit signaling:** If `bs_extension_id` equals `EXTENSION_ID_PS`, PS data is present in the `sbr_extension` element, and this implicitly signals the presence of PS data. The ability to detect and decode implicitly signaled PS is mandatory for all High Efficiency AAC v2 Profile (HE AAC v2 Profile) decoders.
2. **explicit signaling:** The presence of PS data is signaled explicitly by means of the PS Audio Object Type and the `psPresentFlag` in the `AudioSpecificConfig()`. When explicit signaling of PS is used, implicit signaling of PS shall not occur. Two different types of explicit signaling are available:
  - 2.A. **hierarchical signaling:** If the first `audioObjectType` (AOT) signaled is the PS AOT, the `extensionAudioObjectType` is set to SBR, and a second audio object type is signaled which indicates the underlying audio object type. This signaling method is not backward compatible. This method may be needed in systems that do not convey the length of the `AudioSpecificConfig()`, such as LATM with `audioMuxVersion==0`, and content authors are encouraged to use it only when thus needed.
  - 2.B. **backward compatible signaling:** If the `extensionAudioObjectType` SBR is signaled at the end of the `AudioSpecificConfig()`, a `psPresentFlag` is transmitted at the end of the backward compatible explicit SBR signaling, indicating the presence or absence of PS data. This method shall only be used in systems that convey the length of the `AudioSpecificConfig()`. Hence, it shall not be used for LATM with `audioMuxVersion==0`.

For all types of parametric stereo signaling, the `channelConfiguration` in the `audioSpecificConfig` indicates the number of channels of the underlying AAC coded stream. Hence, if parametric stereo data is available, the `channelConfiguration` will be one, indicating a single channel element, while the parametric stereo tool will produce two output channels based on the single channel element and the parametric stereo data.

Table 1.22A shows the decoder behavior depending on profile and audio object type indication when implicit or explicit signaling is used.

Table 1.26 – PS Signaling and Corresponding Decoder Behavior

Bitstream characteristics				Decoder behavior	
Profile indication	PS signaling	psPresent Flag	raw_data_block	HE AAC Profile Decoders	HE AAC v2 Profile Decoders
High Efficiency AAC Profile	signaling 1, implicit signaling (first AOT != PS)	-1	AAC+SBR	Play AAC+SBR	Play AAC+SBR (Note 1)
			AAC+SBR+PS	Play AAC+SBR	Play at least AAC+SBR, should play AAC+SBR+PS (Note 1)
	signaling 2.B, backwards compatible explicit signaling (second AOT == SBR)	0	AAC+SBR	Play AAC+SBR	Play AAC+SBR (Note 2)
		1	AAC+SBR+PS	Play AAC+SBR	Play at least AAC+SBR, should play AAC+SBR+PS (Note 3)
High Efficiency AAC v2 Profile	signaling 2.A, non-backwards compatible signaling (first AOT == PS)	1	AAC+SBR+PS	Undefined	Play AAC+SBR+PS (Note 3)
	signaling 2.B, backwards compatible signaling (second AOT == SBR)	1	AAC+SBR+PS	Undefined	Play AAC+SBR+PS (Note 3)
Note 1: Implicit signaling, assume the presence of PS data in the payload, giving two output channels for a single channel element. Note 2: Explicitly signals that there is no PS data, hence no implicit signaling is present. Note 3: Number of output channels is two for a single channel element containing AAC+SBR+PS data.					

The upper part of Table 1.22A displays bitstream characteristics and decoder behavior if the profile indication is the High Efficiency AAC Profile. The lower part displays bitstream characteristics and decoder behavior if the profile indication is the High Efficiency AAC v2 Profile.

**1.6.6.3 HE AAC v2 Profile Decoder Behavior in Case of Implicit Signaling**

If the presence of PS data is backward compatible implicitly signaled (signaling 1, in the list above) the first AudioObjectType signaled is not the PS AOT, and the psPresentFlag is not read from the AudioSpecificConfig(). Hence, the psPresentFlag is set to -1, indicating that implicit signaling of parametric stereo may occur.

Since a received mono stream will result in a stereo output if Parametric Stereo data is present in the stream, the HE AAC v2 Profile decoder shall assume that PS data is available and decide the number of output channels to be two for a single channel element containing SBR data, and thus also possibly PS data. If no PS data is found the mono output shall be mapped to the two opened channels for every single channel element.

**1.6.6.4 HE AAC v2 Profile Decoder Behavior in Case of Explicit Signaling**

If the presence of PS data is explicitly signaled (signaling 2, in the list above) the presence of PS data is backward compatible explicitly signaled (signaling 2.B) or non-backward compatible explicitly signaled (signaling 2.A).

For the backward compatible explicit signaled (signaling 2.B) the extensionAudioObjectType signaled is the SBR AOT. The explicit signaling of PS is done by means of the psPresentFlag that can be either zero or one.

If the psPresentFlag is zero, this indicates that PS data is not present, and hence the HE AAC v2 Profile decoder should not make assumptions on the number of output channels in anticipation of PS data (as in case of implicit signaling of PS) and instead employ the original channelConfiguration. If the psPresentFlag is one, PS data is present and the HE AAC v2 Profile decoder shall operate the PS Tool.

For the non-backward compatible explicit signaling of PS (signaling 2.A) the first AudioObjectType signaled is the PS AOT. The extensionAudioObjectType is assigned the SBR AOT. For this hierarchical explicit signaling, the psPresentFlag is set to one if the first signaled AOT is the PS AOT. The psPresentFlag is not transmitted and hence it is not possible to explicitly signal the absence of implicit signaling. Hence, for the hierarchical explicit signaling of parametric stereo, PS data is always present and the HE AAC v2 Profile decoder shall operate the PS Tool.

## 1.6.7 Interface between Audio and Systems

### 1.6.7.1 Introduction

This subclause clarifies the interface between MPEG-4 Audio and MPEG-4 Systems.

Every access unit delivered to the audio decoder from the systems interface shall result in a corresponding composition unit delivered from the audio decoder to the systems interface, i.e., the compositor. This shall include start-up and shut-down conditions, i.e., when the access unit is the first or the last in a finite sequence of access units.

### 1.6.7.2 Handling of composition time stamps

For an audio composition unit, ISO/IEC 14496-1 subclause 7.1.3.5 *Composition Time Stamp (CTS)* specifies that the composition time applies to the  $n$ -th audio sample within the composition unit. The value of  $n$  is 1 unless specified differently in the remainder of this subclause.

For compressed data, like HE-AAC coded audio, which can be decoded by different decoder configurations, special attention is needed. In this case, decoding can be done in a backward-compatible fashion (AAC only) as well as in an enhanced fashion (AAC+SBR). In order to insure that composition time stamps are handled correctly (so that audio remains synchronized with other media), the following applies:

- If compressed data permits both backward-compatible and enhanced decoding, and if the decoder is operating in a backwards-compatible fashion, then the decoder does not have to take any special action. In this case, the value of  $n$  is 1.
- If compressed data permits both backward-compatible and enhanced decoding, and if the decoder is operating in enhanced fashion such that it is using a post-processor that inserts some additional delay (e.g., the SBR post-processor in HE-AAC), then it must insure that this additional time delay incurred relative to the backwards-compatible mode, as described by a corresponding value of  $n$ , is taken into account when presenting the composition unit. The value of  $n$  is specified in Table 1.27

**Table 1.27 — Composition time stamp handling for decoder operation modes**

Value of $n$	Additional delay (Note 1)	Decoder operation mode
1	0	A) All operation modes not listed elsewhere in this table.
963	962	B1) HE-AAC or HE-AAC v2 decoder with SBR operated in dual-rate mode; decoding HE-AAC or HE-AAC v2 compressed audio.
482	481	B2) Same as B1), but with SBR operated in downsampled

Value of <i>n</i>	Additional delay (Note 1)	Decoder operation mode
		mode.
Note 1: The delay introduced by the post-processing is given in number of samples (per audio channel) at the output sample rate for the given decoder operation mode.		

### 1.6.8 Signalling of BSAC extension payloads

The implicit signalling method for BSAC extension payloads is similar to that of SBR tool. The BSAC decoder that can decode the BSAC extension payloads checks if there is an extension type for the SBR tool such as 'EXT\_BSAC\_SBR\_DATA' in the `bsac_raw_data_block()`. The sampling frequency should be updated, when the extension type is detected and the SBR tool is operated in dual-rate mode.

The BSAC extension decoder checks if there is the extension type for the BSAC channel extension such as 'EXT\_BSAC\_CHANNEL' in the `bsac_raw_data_block()`. In case where the extension type for multichannel, the number of channel from the `AudioSpecificConfig()` for BSAC Audio Object Type is updated depending on the 'channel\_configuration\_index' of each `extended_bsac_base_element()`.

When explicit signalling is used, implicit signalling shall not occur. Two different types of explicit signalling are available:

1. Explicit Signalling Method 1: hierarchical signalling  
 If the first `audioObjectType` (AOT) signaled is the SBR AOT, a second audio object type is signaled which indicates the ER BSAC AOT. The `extensionChannelConfiguration` indicates the total number of channel in the `bsac_raw_data_block()`.
2. Explicit Signalling Method 2 : backward compatible signalling  
 The `extensionAudioObjectType` is signaled at the end of the `AudioSpecificConfig()`. If the `extensionAudioObjectType` is the ER BSAC AOT, the `extensionChannelConfiguration` indicates the total number of channel in the `bsac_raw_data_block()`. This method shall only be used in systems that convey the length of the `AudioSpecificConfig()`. Hence, it shall not be used for LATM with `audioMuxVersion==0`.

The Table 1.28 explains the decoder behavior with SBR and BSAC channel extension signalling.

Table 1.28 – SBR and BSAC channel extension signalling and Corresponding Decoder Behaviour

Extension AudioObjectType	Bitstream characteristics			Decoder behaviour	
	sbrPresentFlag	extensionChannelConfiguration	raw_data_block	BSAC decoder	BSAC Extensions decoder
!= ER_BSAC ( Implicit Signalling )	-1 (Note 1)	Not available	BSAC	Play BSAC	Play BSAC
			BSAC+SBR	Play BSAC	Play at least BSAC, should play BSAC+SBR
			BSAC+MC	Play BSAC	Play at least BSAC, should play BSAC+MC
			BSAC+SBR+MC	Play BSAC	Play at least BSAC, should play BSAC+SBR+MC
== ER_BSAC ( Explicit Signalling )	0 (Note 2)	== channelConfiguration (Note 4)	BSAC	Play BSAC	Play BSAC
		!= channelConfiguration	BSAC + MC	Play BSAC	Play BSAC+MC
	1 (Note 3)	== channelConfiguration (Note 4)	BSAC+SBR	Play BSAC	Play BSAC+SBR
		!= channelConfiguration	BSAC+SBR+MC	Play BSAC	Play BSAC+SBR+MC

Note 1: Implicit signalling, check payload in order to determine output sampling frequency, or assume the presence of SBR data in the payload, giving an output sampling frequency of twice the sampling frequency indicated by samplingFrequency in the AudioSpecificConfig() (unless the down sampled SBR Tool is operated, or twice the sampling frequency indicated by samplingFrequency exceeds the maximum allowed output sampling frequency of the current level, in which case the output sampling frequency is the same as indicated by samplingFrequency).

Note 2: Explicitly signals that there is no SBR data, hence no implicit signalling is present, and the output sampling frequency is given by samplingFrequency in the AudioSpecificConfig().

Note 3: Output sampling frequency is the extensionSamplingFrequency in AudioSpecificConfig().

Note 4: Explicitly signals that there is no BSAC channel extension data, and the number of output channel is given by channelConfiguration in the AudioSpecificConfig().

## 1.6.9 Original Audio File Information for the ISO Base Media file format

### 1.6.9.1 Introduction

The Original Audio File Information Box permits the storage of the ancillary (non-audio) data of an original audio file in an ISO Base Media File format file. This file would typically also contain the compressed audio data of the

original audio file. This box is particularly useful in combination with lossless audio coding (e.g. MPEG-4 ALS, SLS) when restoration of the original input audio file is of interest.

### 1.6.9.2 Definition

Container: Meta box ('meta')

Mandatory: No

Quantity: Zero or one

The original audio file information provides information about an original audio file, including a file type, and provides the OriginalHeader(), OriginalTrailer() and AuxData() blocks via the item location box.

The OriginalHeader() block stores the header part of the original audio file and it is referenced from an entry of the item location box. The header part includes all bytes prior to the first audio data sample in the original file.

The OriginalTrailer() block stores the trailer part of the original audio file and it is referenced from an entry of the item location box. The trailer part includes all bytes after the last audio data sample in the original file.

The AuxData() block stores additional information added by the encoder related to the original audio file and is referenced from an entry of the item location box. The contents of AuxData() are not used in reconstructing original file.

The original audio file information is stored as meta-data in a MetaBox. The handler type for this MetaBox is 'oafi', and such a MetaBox must contain a DataBox containing a OrigAudioFileInfoRecord, or identify a primary item whose data is an OrigAudioFileInfoRecord.

### 1.6.9.3 Syntax

The syntax of the Original Audio File Information Box is as follows:

```
aligned(8) class DataBox extends FullBox('data', version=0, 0) {  
  
}  
  
aligned(8) class OrigAudioFileInfoRecord {  
  
    unsigned int(4)  file_type;  
  
    unsigned int(4)  reserved;  
  
    unsigned int(16) header_item_ID;  
  
    unsigned int(16) trailer_item_ID;  
  
    unsigned int(16) aux_item_ID;  
  
    if (file_type == "1111") string original_MIME_type;  
  
}
```

The syntax of OriginalHeader(), OriginalTrailer() and AuxData() is shown in Table 1.29, Table 1.30, and Table 1.31. The original\_MIME\_type is a Null-terminated string in UTF-8 characters identifying the original file type.

Table 1.29 – Syntax of OriginalHeader()

Syntax	No. Of bits	Mnemonic
OriginalHeader() { <b>header_length</b> <b>orig_header[];</b> }	<b>64</b> <b>header_length * 8</b>	<b>bslbf</b> <b>bslbf</b>

Table 1.30 – Syntax of OriginalTrailer()

Syntax	No. Of bits	Mnemonic
OriginalTrailer() { <b>trailer_length;</b> <b>orig_trailer[];</b> }	<b>64</b> <b>trailer_length * 8</b>	<b>bslbf</b> <b>bslbf</b>

Table 1.31 – Syntax of AuxData()

Syntax	No. Of bits	Mnemonic
AuxData() { <b>aux_length;</b> <b>aux_data[];</b> }	<b>64</b> <b>aux_length * 8</b>	<b>bslbf</b> <b>bslbf</b>

#### 1.6.9.4 Semantics

`file_type` describes the type of the original input file (see Table 1.32 for a description of possible values).

Table 1.32 – `file_type` values

Field	#Bits	Description / Values
<code>file_type</code>	4	0000 = unknown / raw file 0001 = wave file 0010 = aiff file 0011 = bwf file 0100 = Sony Wave64 file (.w64) 0101 = bwf with RF64 1111 = "escape" for MIME type (other values are reserved)

`header_item_ID` is the ID that references the OriginalHeader() entry in the item location box. If this value is 0, there is no OriginalHeader() information. If the OriginalHeader() was empty (of zero length) then an explicitly empty OriginalHeader() item should be supplied.

trailer\_item\_ID is the ID that references the OriginalTrailer() entry in the item location box. If this value is 0, there is no OriginalTrailer() information. If the OriginalTrailer() was empty (of zero length) then an explicitly empty OriginalTrailer () item should be supplied.

aux\_item\_ID is the ID that references the AuxData() entry in the item location box. If this value is 0, there is no AuxData() information. If the AuxData() was empty (of zero length) then an explicitly empty AuxData () item should be supplied.

The elements of OriginalHeader, OriginalTrailer and AuxData are shown in Tables Table 1.33, Table 1.34 and Table 1.35.

**Table 1.33 – Elements of OriginalHeader()**

Field	#Bits	Description / Values
header_length	64	Size of the original header field in bytes.
orig_header[]	header_length*8	Header of original audio file

**Table 1.34 – Elements of OriginalTrailer()**

Field	#Bits	Description / Values
trailer_length	64	Size of the original trailer field in bytes.
orig_trailer[]	trailer_length*8	Trailer of original audio file

**Table 1.35 – Elements of AuxData()**

Field	#Bits	Description / Values
aux_length	64	Size of the auxiliary data field in bytes.
aux_data	aux_length*8	Auxiliary data (not required for decoding)

**1.6.9.5 Example MP4 file**

An example MP4 file containing original header, original trailer and auxiliary data is shown in Figure 1.2.

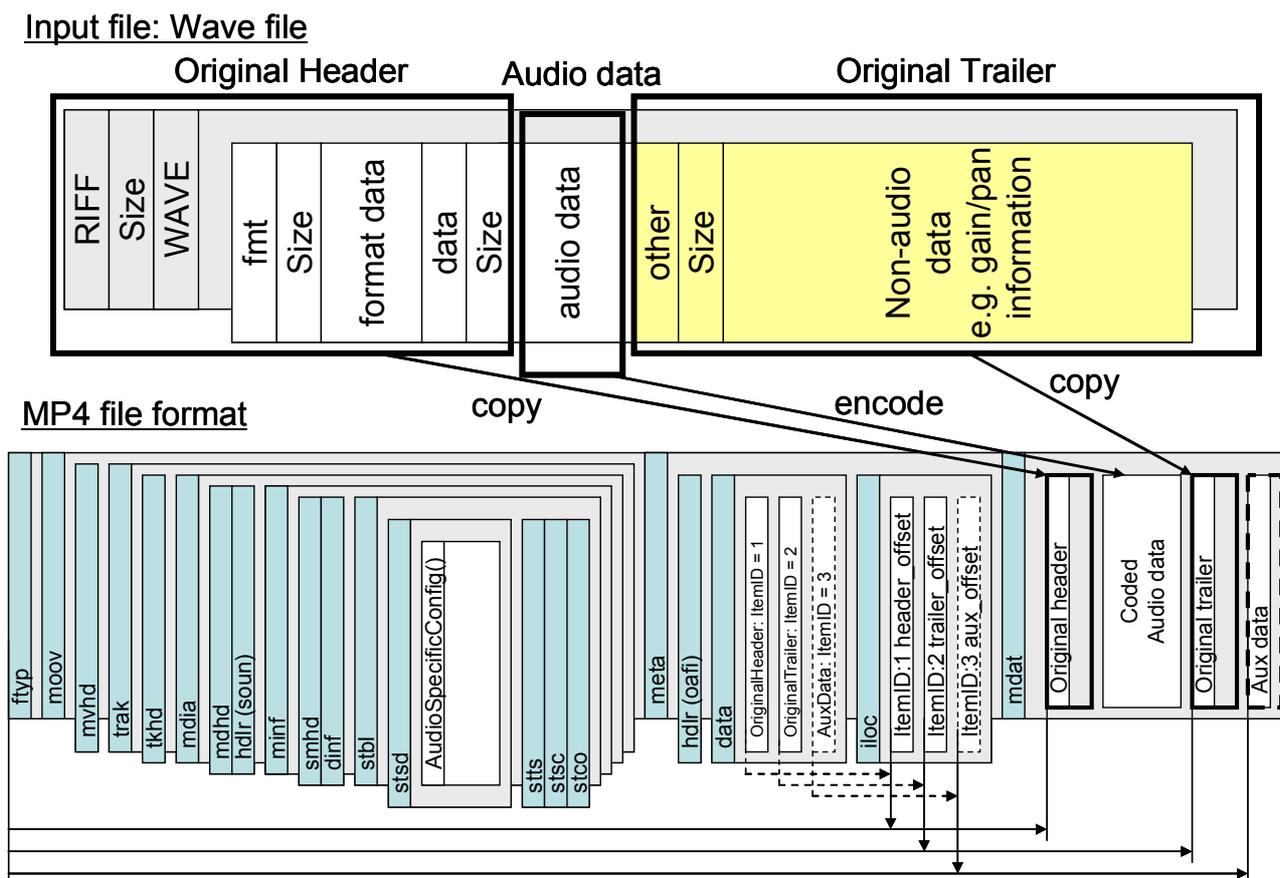


Figure 1.2 – Possible order of blocks

## 1.7 MPEG-4 Audio transport stream

### 1.7.1 Overview

This subclause defines a mechanism to transport ISO/IEC 14496-3 (MPEG-4 Audio) streams without using ISO/IEC 14496-1 (MPEG-4 Systems) for audio-only applications. Figure 1.3 shows the concept of MPEG-4 Audio transport. The transport mechanism uses a two-layer approach, namely a multiplex layer and a synchronization layer. The multiplex layer (Low-overhead MPEG-4 Audio Transport Multiplex: LATM) manages multiplexing of several MPEG-4 Audio payloads and their AudioSpecificConfig() elements. The synchronization layer specifies a self-synchronized syntax of the MPEG-4 Audio transport stream which is called Low Overhead Audio Stream (LOAS). The interface format to a transmission layer depends on the conditions of the underlying transmission layer as follows:

- LOAS shall be used for the transmission over channels where no frame synchronization is available.
- LOAS may be used for the transmission over channels with fixed frame synchronization.
- A multiplexed element (AudioMuxElement() / EPMuxElement()) without synchronization shall be used only for transmission channels where an underlying transport layer already provides frame synchronization that can handle arbitrary frame size.

The details of the LOAS and the LATM formats are described in subclauses 1.7.2 and 1.7.3, respectively.

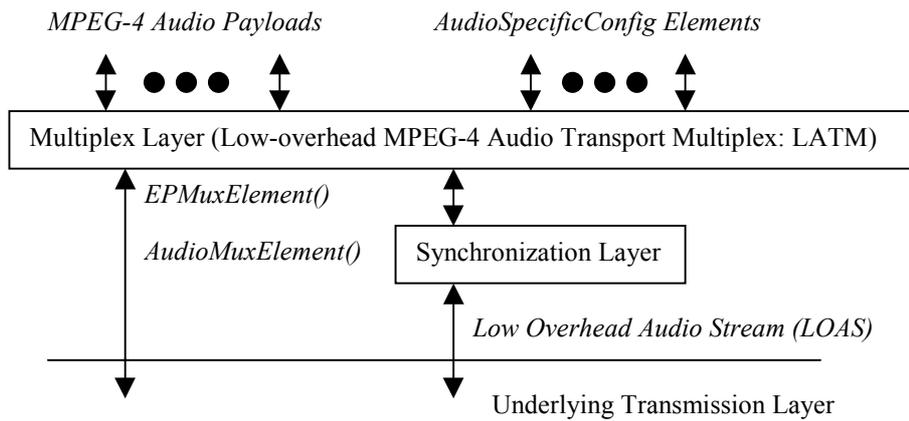


Figure 1.3 – Concept of MPEG-4 Audio Transport

The mechanism defined in this subclause should not be used for transmission of TTSI objects (12), Main Synthetic objects (13), Wavetable Synthesis objects (14), General MIDI objects (15) and Algorithmic Synthesis and Audio FX objects (16). It should further not be used for transmission of any object in conjunction with (epConfig==1). For those objects, other multiplex and transport mechanisms might be used, e.g. those defined in MPEG-4 Systems.

### 1.7.2 Synchronization Layer

The synchronization layer provides the multiplexed element with a self-synchronized mechanism to generate LOAS. The LOAS has three different types of format, namely AudioSyncStream(), EPAudioSyncStream() and AudioPointerStream(). The choice for one of the three formats is dependent on the underlying transmission layer.

- AudioSyncStream()

AudioSyncStream() consists of a syncword, the multiplexed element with byte alignment, and its length information. The maximum byte-distance between two syncwords is 8192 bytes. This self-synchronized stream shall be used for the case that the underlying transmission layer comes without any frame synchronization.

- EPAudioSyncStream()

For error prone channels, an alternative version to AudioSyncStream() is provided. This format has the same basic functionality as the previously described AudioSyncStream(). However, it additionally provides a longer syncword and a frame counter to detect lost frames. The length information and the frame counter are additionally protected by a FEC code.

- AudioPointerStream()

AudioPointerStream() shall be used for applications using an underlying transmission layer with fixed frame synchronization, where transmission framing cannot be synchronized with the variable length multiplexed element. Figure 1.4 shows synchronization in AudioPointerStream(). This format utilizes a pointer indicating the start of the next multiplex element in order to synchronize the variable length payload with the constant transmission frame.

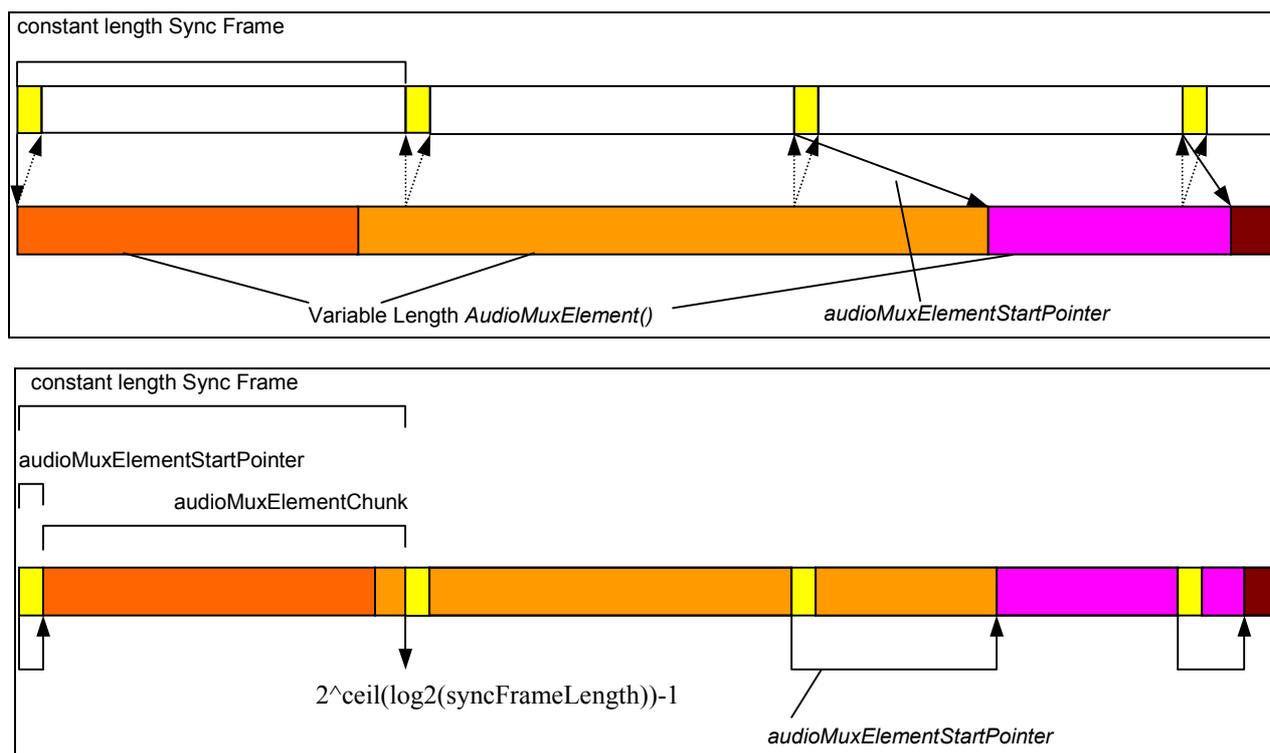


Figure 1.4 – Synchronization in AudioPointerStream()

1.7.2.1 Syntax

Table 1.36 – Syntax of AudioSyncStream()

Syntax	No. of bits	Mnemonic
AudioSyncStream() { while (nextbits() == 0x2B7) { <b>audioMuxLengthBytes;</b> AudioMuxElement(1); } }	/* syncword */11 13	<b>bslbf</b> <b>uimsbf</b>

Table 1.37 – Syntax of EPAudioSyncStream()

Syntax	No. of bits	Mnemonic
EPAudioSyncStream() { while (nextbits() == 0x4de1) { <b>futureUse;</b> <b>audioMuxLengthBytes;</b> <b>frameCounter;</b> <b>headerParity;</b> EPMuxElement(1, 1); } }	/* syncword */ 16 4 13 5 18	<b>bslbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>bslbf</b>

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**Table 1.38 – Syntax of AudioPointerStream()**

Syntax	No. of bits	Mnemonic
<pre> AudioPointerStream (syncFrameLength ) {     while ( ! EndOfStream ) {         AudioPointerStreamFrame ( syncFrameLength );     } }                     </pre>		

**Table 1.39 – Syntax of AudioPointerStreamFrame()**

Syntax	No. of bits	Mnemonic
<pre> AudioPointerStreamFrame( length ) {     <b>audioMuxElementStartPointer;</b>     <b>audioMuxElementChunk;</b> }                     </pre>	<p><b>ceil(log2(length))</b>  <b>length – ceil(log2(length))</b></p>	<p><b>uimbsf</b>  <b>bslbf</b></p>

**1.7.2.2 Semantics**

**1.7.2.2.1 AudioSyncStream()**

**audioMuxLengthBytes** A 13-bit data element indicating the byte length of the subsequent AudioMuxElement() with byte alignment (AudioSyncStream) or the subsequent EPMuxElement() (EPAudioSyncStream).

AudioMuxElement() A multiplexed element as specified in subclause 1.7.3.2.2.

**1.7.2.2.2 EPAudioSyncStream()**

**futureUse** A 4-bit data element for future use, which shall be set to '0000'.

**audioMuxLengthBytes** see subclause 1.7.2.2.1.

**frameCounter** A 5-bit data element indicating a sequential number which is used to detect lost frames. The number is continuously incremented for each multiplexed element as a modulo counter.

**headerParity** A 18-bit data element which contains a BCH (36,18) code shortened from BCH (63,45) code for the elements **audioMuxLengthBytes** and **frameCounter**. The generator polynomial is  $x^{18} + x^{17} + x^{16} + x^{15} + x^9 + x^7 + x^6 + x^3 + x^2 + x + 1$ . The value is calculated with this generator polynomial as described in subclause 1.8.4.3.

EPMuxElement() An error resilient multiplexed element as specified in subclause 1.7.3.2.1.

**1.7.2.2.3 AudioPointerStream()**

AudioPointerStreamFrame() A sync frame of fixed length provided by an underlying transmission layer.

**audioMuxElementStartPointer** A data element indicating the starting point of the first AudioMuxElement() within the current AudioPointerStreamFrame(). The number of bits required for this data element is calculated as  $\text{ceil}(\log_2(\text{syncFrameLength}))$ . The transmission frame length has to be provided from the underlying transmission layer. The maximum possible value of this data element is reserved to signal that there is no start of an AudioMuxElement() in this sync frame.

**audioMuxElementChunk** A part of a concatenation of subsequent AudioMuxElement()'s (see Figure 1.4).

### 1.7.3 Multiplex Layer

The LATM layer multiplexes several MPEG-4 Audio payloads and AudioSpecificConfig() syntax elements into one multiplexed element. The multiplexed element format is selected between AudioMuxElement() and EPMuxElement() depending on whether error resilience is required in the multiplexed element itself, or not. EPMuxElement() is an error resilient version of AudioMuxElement() and may be used for error prone channels.

The multiplexed elements can be directly conveyed on transmission layers with frame synchronization. In this case, the first bit of the multiplexed element shall be located at the first bit of a transmission payload in the underlying transmission layer. If the transmission payload allows only byte-aligned payload, padding bits for byte alignment shall follow the multiplexed element. The number of the padding bits should be less than 8. These padding bits should be removed when the multiplexed element is de-multiplexed into the MPEG-4 Audio payloads. Then, the MPEG-4 Audio payloads are forwarded to the corresponding MPEG-4 Audio decoder tool.

Usage of LATM in case of scalable configurations with CELP core and AAC enhancement layer(s):

- *Instances of the AudioMuxElement() are transmitted in equidistant manner.*
- *The represented timeframe of one AudioMuxElement() is similar to a multiple of a super-frame timeframe.*
- *The relative number of bits for a certain layer within any AudioMuxElement() compared to the total number of bits within this AudioMuxElement() is equal to the relative bitrate of that layer compared to the bitrate of all layers.*
- *In case of coreFrameOffset = 0 and latmBufferFullness = 0, all core coder frames and all AAC frames of a certain super-frame are stored within the same instance of AudioMuxElement().*
- *In case of coreFrameOffset > 0, several or all core coder frames are stored within previous instances of AudioMuxElement().*
- *Any core layer related configuration information refers to the core frames transmitted within the current instance of the AudioMuxElement(), independent of the value of coreFrameOffset.*
- *A specified latmBufferFullness is related to the first AAC frame of the first super-frame stored within the current AudioMuxElement().*
- *The value of latmBufferFullness can be used to **determine the location of the first bit of the first AAC frame of the current layer of the first super-frame stored within the current AudioMuxElement() by means of a backpointer:***

$$\text{backPointer} = -\text{meanFrameLength} + \text{latmBufferFullness} + \text{currentFrameLength}$$

*The backpointer value specifies the location as a negative offset from the current AudioMuxElement(), i. e. it points backwards to the beginning of an AAC frame located in already received data. Any data not belonging to the payload of the current AAC layer is not taken into account. If (latmBufferFullness == '0'), then the AAC frame starts after the current AudioMuxElement().*

Note that the possible LATM configurations are restricted due to limited signalling capabilities of certain data elements as follows:

- Number of layers: 8 (numLayer has 3 bit)
- Number of streams: 16 (streamIndx has 4 bit)
- Number of chunks: 16 (numChunk has 4 bit)

1.7.3.1 Syntax

Table 1.40 – Syntax of EPMuxElement()

Syntax	No. of bits	Mnemonic
<pre> EPMuxElement(epDataPresent, muxConfigPresent) {     if (epDataPresent) {         <b>epUsePreviousMuxConfig;</b>         <b>epUsePreviousMuxConfigParity;</b>         if (!epUsePreviousMuxConfig) {             <b>epSpecificConfigLength;</b>             <b>epSpecificConfigLengthParity;</b>             ErrorProtectionSpecificConfig();             ErrorProtectionSpecificConfigParity();         }         ByteAlign();         EPAudioMuxElement(muxConfigPresent);     }     else {         AudioMuxElement(muxConfigPresent);     } }                     </pre>	<p>1</p> <p>2</p> <p>10</p> <p>11</p>	<p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p>

Table 1.41 – Syntax of AudioMuxElement()

Syntax	No. of bits	Mnemonic
<pre> AudioMuxElement(muxConfigPresent) {     if (muxConfigPresent) {         <b>useSameStreamMux;</b>         if (!useSameStreamMux)             StreamMuxConfig();     }      if (audioMuxVersionA == 0) {         for (i = 0; i &lt;= numSubFrames; i++) {             PayloadLengthInfo();             PayloadMux();         }         if (otherDataPresent) {             for(i = 0; i &lt; otherDataLenBits; i++) {                 <b>otherDataBit;</b>             }         }     }     else {         /* tbd */     }     ByteAlign(); }                     </pre>	<p>1</p> <p>1</p>	<p><b>bslbf</b></p> <p><b>bslbf</b></p>

Table 1.42 – Syntax of StreamMuxConfig()

Syntax	No. of bits	Mnemonic
StreamMuxConfig()		



<pre> <b>otherDataPresent;</b> if (otherDataPresent) {     if ( audioMuxVersion == 1 ) {         otherDataLenBits = LatmGetValue();     }     else {         otherDataLenBits = 0; /* helper variable 32bit */         do {             otherDataLenBits *= 2^8;             <b>otherDataLenEsc;</b>             <b>otherDataLenTmp;</b>             otherDataLenBits += otherDataLenTmp;         } while (otherDataLenEsc);     } } <b>crcCheckPresent;</b> if (crcCheckPresent) <b>crcChecksum;</b> else {     /* tbd */ } </pre>	<p>1    <b>uimsbf</b></p> <p>1    <b>uimsbf</b></p> <p>8    <b>uimsbf</b></p> <p>1    <b>uimsbf</b></p> <p>8    <b>uimsbf</b></p>
<p>Note 1: AudioSpecificConfig() returns the number of bits read.</p>	

Table 1.43 – Syntax of LatmGetValue()

Syntax	No. of bits	Mnemonic
<pre> LatmGetValue() {     <b>bytesForValue;</b>     value = 0; /* helper variable 32bit */     for ( i = 0; i &lt;= bytesForValue; i++ ) {         value *= 2^8;         <b>valueTmp;</b>         value += valueTmp;     }     return value; } </pre>	<p>2</p> <p>8</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p>

Table 1.44 – Syntax of PayloadLengthInfo()

Syntax	No. of bits	Mnemonic
<pre> PayloadLengthInfo() {     if (allStreamsSameTimeFraming) {         for (prog = 0; prog &lt;= numProgram; prog++) {             for (lay = 0; lay &lt;= numLayer; lay++) {                 if (frameLengthType[streamID[prog][ lay]] == 0) {                     MuxSlotLengthBytes[streamID[prog][ lay]] = 0;                     do { /* always one complete access unit */                         <b>tmp;</b>                         MuxSlotLengthBytes[streamID[prog][ lay]] += tmp;                     } while(tmp == 255);                 } else {                     if ( frameLengthType[streamID[prog][ lay]] == 5    </pre>	<p>8</p>	<p><b>uimsbf</b></p>

<pre> frameLengthType[streamID[prog][ lay]] == 7    frameLengthType[streamID[prog][ lay]] == 3 ) {   <b>MuxSlotLengthCoded</b>[streamID[prog][ lay]]; } } } } } else {   <b>numChunk</b>;   for (chunkCnt = 0; chunkCnt &lt;= numChunk; chunkCnt++) {     <b>streamIdx</b>;     prog = progCIdx[chunkCnt] = progSIdx[streamIdx];     lay = layCIdx[chunkCnt] = laySIdx [streamIdx];     if (frameLengthType[streamID[prog][lay]] == 0) {       MuxSlotLengthBytes[streamID[prog][ lay]] = 0;       do { /* not necessarily a complete access unit */         <b>tmp</b>;         MuxSlotLengthBytes[streamID[prog][lay]] += tmp;       } while (tmp == 255);       <b>AuEndFlag</b>[streamID[prog][lay]];     } else {       if (frameLengthType[streamID[prog][lay]] == 5              frameLengthType[streamID[prog][lay]] == 7              frameLengthType[streamID[prog][lay]] == 3) {         <b>MuxSlotLengthCoded</b>[streamID[prog][lay]];       }     }   } } } } </pre>	2	<b>uimsbf</b>
	4	<b>uimsbf</b>
	4	<b>uimsbf</b>
	8	<b>uimsbf</b>
	1	<b>bslbf</b>
	2	<b>uimsbf</b>

Table 1.45 – Syntax of PayloadMux()

Syntax	No. of bits	Mnemonic
<pre> PayloadMux() {   if (allStreamsSameTimeFraming) {     for (prog = 0; prog &lt;= numProgram; prog++) {       for (lay = 0; lay &lt;= numLayer; lay++) {         <b>payload [streamID[prog][ lay]]</b>;       }     }   } else {     for (chunkCnt = 0; chunkCnt &lt;= numChunk; chunkCnt++) {       prog = progCIdx[chunkCnt];       lay = layCIdx [chunkCnt];       <b>payload [streamID[prog][ lay]]</b>;     }   } } </pre>		

1.7.3.2 Semantics

1.7.3.2.1 EPMuxElement()

For parsing of EPMuxElement(), an epDataPresent flag shall be additionally set at the underlying layer. If epDataPresent is set to 1, this indicates EPMuxElement() has error resiliency. If not, the format of EPMuxElement() is identical to AudioMuxElement(). The default for both flags is 1.

epDataPresent	Description
0	EPMuxElement() is identical to AudioMuxElement()
1	EPMuxElement() has error resiliency

**epUsePreviousMuxConfig** A flag indicating whether the configuration for the MPEG-4 Audio EP tool in the previous frame is applied in the current frame.

epUsePreviousMuxConfig	Description
0	The configuration for the MPEG-4 Audio EP tool is present.
1	The configuration for the MPEG-4 Audio EP tool is not present. The previous configuration should be applied.

**epUsePreviousMuxConfigParity** A 2-bits element which contains the parity for **epUsePreviousMuxConfig**. Each bit is a repetition of **epUsePreviousMuxConfig**. Majority decides.

**epSpecificConfigLength** A 10-bit data element to indicate the size of ErrorProtectionSpecificConfig()

**epSpecificConfigLengthParity** An 11-bit data element for epHeaderLength, calculated as described in subclause 1.8.4.3 with “1) Basic set of FEC codes”.  
 Note: This means shortened Golay(23,12) is used

ErrorProtectionSpecificConfig() A data function covering configuration information for the EP tool which is applied to AudioMuxElement() as defined in subclause 1.8.2.1.

ErrorProtectionSpecificConfigParity() A data function covering the parity bits for **ErrorProtectionSpecificConfig()**, calculated as described in subclause 1.8.4.3, Table 1.59.

EPAudioMuxElement() A data function covering error resilient multiplexed element that is generated by applying the EP tool to AudioMuxElement() as specified by ErrorProtectionSpecificConfig(). Therefore data elements in AudioMuxElement() are subdivided into different categories depending on their error sensitivity and collected in instances of these categories. Following sensitivity categories are defined:

elements	error sensitivity category
useSameStreamMux + StreamMuxConfig()	0
PayloadLengthInfo()	1
PayloadMux()	2
otherDataBits	3

Note 1: There might be more than one instance of error sensitivity category 1 and 2 depending on the value of the variable **numSubFrames** defined in **StreamMuxConfig()**. Figure 1.5 shows an example for the order of the instances assuming numSubFrames is one (1).

Note 2: EPAudioMuxElement() has to be byte aligned, therefore **bit\_stuffing** in ErrorProtectionSpecificConfig() should be always on.

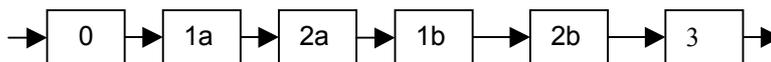


Figure 1.5 – Instance order in EPAudioMuxElement()

### 1.7.3.2.2 AudioMuxElement()

In order to parse an AudioMuxElement(), a muxConfigPresent flag shall be set at the underlying layer. If muxConfigPresent is set to 1, this indicates multiplexing configuration (StreamMuxConfig()) is multiplexed into AudioMuxElement(), i.e. in-band transmission. If not, StreamMuxConfig() should be conveyed through out-band means, such as session announcement/description/control protocols.

muxConfigPresent	Description
0	out-band transmission of StreamMuxConfig()
1	in-band transmission of StreamMuxConfig()

#### useSameStreamMux

A flag indicating whether the multiplexing configuration in the previous frame is applied in the current frame.

useSameStreamMux	Description
0	The multiplexing configuration is present.
1	The multiplexing configuration is not present. The previous configuration should be applied.

#### otherDataBit

A 1-bit data element indicating the other data information.

### 1.7.3.2.3 StreamMuxConfig()

AudioSpecificConfig() is specified in subclause 1.6.2.1. In this case it constitutes a standalone element in itself (i.e. it does not extend the class BaseDescriptor as in the case of subclause 1.6).

#### audioMuxVersion

A data element to signal the used multiplex syntax.

Note: In addition to (audioMuxVersion == 0), (audioMuxVersion == 1) supports the transmission of a taraBufferFullness and the transmission of the lengths of individual AudioSpecificConfig() data functions.

#### audioMuxVersionA

A data element to signal the used syntax version. Possible values: 0 (default), 1 (reserved for future extensions).

#### taraBufferFullness

A helper variable indicating the state of the bit reservoir in the course of encoding the LATM status information. It is transmitted as the number of available bits in the tara bit reservoir divided by 32 and truncated to an integer value. The maximum value that can be signaled using any setting of bytesForValue signals that the particular program and layer is of variable rate. This might be the value of hexadecimal FF (bytesForValue == 0), FFFF (bytesForValue == 1), FFFFFFFF (bytesForValue == 2) or FFFFFFFF (bytesForValue == 3). In these cases, buffer fullness is not applicable. The state of the bit reservoir is derived according to what is stated in subpart 4, subclause 4.5.3.2 (Bit reservoir). The LATM status information considered by the taraBufferFullness comprises any data of the AudioMuxElement() except of PayloadMux().

- allStreamsSameTimeFraming** A data element indicating whether all payloads, which are multiplexed in PayloadMux(), share a common time base.
- numSubFrames** A data element indicating how many PayloadMux() frames are multiplexed (numSubFrames+1). If more than one PayloadMux() frame is multiplexed, all PayloadMux() share a common StreamMuxConfig().The minimum value is 0 indicating 1 subframe.
- numProgram** A data element indicating how many programs are multiplexed (numProgram+1). The minimum value is 0 indicating 1 program.
- numLayer** A data element indicating how many scalable layers are multiplexed (numLayer+1). The minimum value is 0 indicating 1 layer.
- useSameConfig** A data element indicating that no AudioSpecificConfig() is transmitted but that the AudioSpecificConfig() most recently transmitted shall be applied.

useSameConfig	Description
0	AudioSpecificConfig() is present.
1	AudioSpecificConfig() is not present. AudioSpecificConfig() in the previous layer or program should be applied.

- ascLen[prog][lay]** A helper variable indicating the length in bits of the subsequent AudioSpecificConfig() data function including possible fill bits.
- fillBits** Fill bits.
- frameLengthType** A data element indicating the frame length type of the payload. For CELP and HVXC objects, the frame length (bits/frame) is stored in tables and only the indices to point out the frame length of the current payload is transmitted instead of sending the frame length value directly.

frameLengthType	Description
0	Payload with variable frame length. The payload length in bytes is directly specified with 8-bit codes in PayloadLengthInfo().
1	Payload with fixed frame length. The payload length in bits is specified with frameLength in StreamMuxConfig().
2	Reserved
3	Payload for a CELP object with one of 2 kinds of frame length. The payload length is specified by two table-indices, namely CELPframeLengthTableIndex and MuxSlotLengthCoded.
4	Payload for a CELP or ER_CELP object with fixed frame length. CELPframeLengthTableIndex specifies the payload length.
5	Payload for an ER_CELP object with one of 4 kinds of frame length. The payload length is specified by two table-indices, namely CELPframeLengthTableIndex and MuxSlotLengthCoded.
6	Payload for a HVXC or ER_HVXC object with fixed frame length. HVXCframeLengthTableIndex specifies the payload length.
7	Payload for an HVXC or ER_HVXC object with one of 4 kinds of frame length. The payload length is specified by two table-indices, namely HVXCframeLengthTableIndex and MuxSlotLengthCoded.

**latmBufferFullness[streamID][prog][lay]** data element indicating the state of the bit reservoir in the course of encoding the first access unit of a particular program and layer in an AudioMuxElement(). It is transmitted as the number of available bits in the bit reservoir divided by the NCC divided by 32 and truncated to an integer value. A value of hexadecimal FF signals that the particular program and layer is of variable rate. In this case, buffer fullness is not applicable. The state of the bit reservoir is derived according to what is stated in subpart 4, subclause 4.5.3.2 (Bit reservoir). In the case of (audioMuxVersion == 0), bits spend for data other than any payload (e.g. multiplex status information or other data) are considered in the first occurring latmBufferFullness in an AudioMuxElement(). For AAC, the limitations given by the minimum decoder input buffer apply (see subpart 4, subclause 4.5.3.1). In the case of (allStreamsSameTimeFraming==1), and if only one program and one layer is present, this leads to an LATM configuration similar to ADTS. In the case of (audioMUXVersion == 1), bits spend for data other than any payload are considered by taraBufferFullness.

**coreFrameOffset** identifies the first CELP frame of the current super-frame. It is defined only in case of scalable configurations with CELP core and AAC enhancement layer(s) and transmitted with the first AAC enhancement layer. The value 0 identifies the first CELP frame following StreamMuxConfig() as the first CELP frame of the current super-frame. A value > 0 signals the number of CELP frames that the first CELP frame of the current super-frame is transmitted earlier.

**frameLength** A data element indicating the frame length of the payload with frameLengthType of 1. The payload length in bits is specified as  $8 * (\text{frameLength} + 20)$ .

**CELPframeLengthTableIndex** A data element indicating one of two indices for pointing out the frame length for a CELP or ER\_CELP object. (Table 1.47 and Table 1.48)

**HVXCframeLengthTableIndex** A data element indicating one of two indices for pointing out the frame length for a HVXC or ER\_HVXC object. (Table 1.46)

**otherDataPresent** A flag indicating the presence of the other data than audio payloads.

otherDataPresent	Description
0	The other data than audio payload otherData is not multiplexed.
1	The other data than audio payload otherData is multiplexed.

**otherDataLenBits** A helper variable indicating the length in bits of the other data.

**crcCheckPresent** A data element indicating the presence of CRC check bits for the StreamMuxConfig() data functions.

crcCheckPresent	Description
0	CRC check bits are not present.
1	CRC check bits are present.

**crcChecksum** CRC error detection data. This CRC uses the generation polynomial CRC8, as defined in subclause 1.8.4.5 and covers the entire StreamMuxConfig() upto but excluding the crcCheckPresent bit.

1.7.3.2.4 LatmGetValue()

**bytesForValue** A data element indicating the number of occurrences of the data element valueTmp.

**valueTmp** A data element used to calculate the helper variable value.

**value** A helper variable representing a value returned by the data function LatmGetValue().

1.7.3.2.5 PayloadLengthInfo()

**tmp** A data element indicating the payload length of the payload with frameLengthType of 0. The value 255 is used as an escape value and indicates that at least one more **tmp** value is following. The overall length of the transmitted payload is calculated by summing up the partial values.

**MuxSlotLengthCoded** A data element indicating one of two indices for pointing out the payload length for CELP, HVXC, ER\_CELP, and ER\_HVXC objects.

**numChunk** A data element indicating the number of payload chunks (numChunk+1). Each chunk may belong to an access unit with a different time base; only used if allStreamsSameTimeFraming is set to zero. The minimum value is 0 indicating 1 chunk.

**streamIndx** A data element indicating the stream. Used if payloads are splitted into chunks.

**chunkCnt** Helper variable to count number of chunks.

**progSIndx,laySIndx** Helper variables to identify program and layer number from **streamIndx**.

**progCIndx,layCIndx** Helper variables to identify program and layer number from **chunkCnt**.

**AuEndFlag** A flag indicating whether the payload is the last fragment, in the case that an access unit is transmitted in pieces.

AuEndFlag	Description
0	The fragmented piece is not the last one.
1	The fragmented piece is the last one.

1.7.3.2.6 PayloadMux()

**payload** The actual audio payload by means of either an access unit (allStreamsSameTimeFraming == 1) or a part of a concatenation of subsequent access units (allStreamsSameTimeFraming == 0).

1.7.3.3 Tables

Table 1.46 – Frame length of HVXC [bits]

frameLengthType[]	HVXCframeLengthTableIndex[]	MuxSlotLengthCoded			
		00	01	10	11
6	0	40			
6	1	80			
7	0	40	28	2	0
7	1	80	40	25	3

Table 1.47 – Frame Length of CELP Layer 0 [bits]

CELPframeLengthTable Index	Fixed-Rate frameLengthType[] =4	1-of-4 Rates (Silence Compression) frameLengthType[]=5				1-of-2 Rates (FRC) frameLengthType[]=3	
		MuxSlotLengthCoded				MuxSlotLengthCoded	
		00	01	10	11	00	01
0	154	156	23	8	2	156	134
1	170	172	23	8	2	172	150
2	186	188	23	8	2	188	166
3	147	149	23	8	2	149	127
4	156	158	23	8	2	158	136
5	165	167	23	8	2	167	145
6	114	116	23	8	2	116	94
7	120	122	23	8	2	122	100
8	126	128	23	8	2	128	106
9	132	134	23	8	2	134	112
10	138	140	23	8	2	140	118
11	142	144	23	8	2	144	122
12	146	148	23	8	2	148	126
13	154	156	23	8	2	156	134
14	166	168	23	8	2	168	146
15	174	176	23	8	2	176	154
16	182	184	23	8	2	184	162
17	190	192	23	8	2	192	170
18	198	200	23	8	2	200	178
19	206	208	23	8	2	208	186
20	210	212	23	8	2	212	190
21	214	216	23	8	2	216	194
22	110	112	23	8	2	112	90
23	114	116	23	8	2	116	94
24	118	120	23	8	2	120	98
25	120	122	23	8	2	122	100
26	122	124	23	8	2	124	102
27	186	188	23	8	2	188	166
28	218	220	40	8	2	220	174
29	230	232	40	8	2	232	186
30	242	244	40	8	2	244	198
31	254	256	40	8	2	256	210
32	266	268	40	8	2	268	222
33	278	280	40	8	2	280	234
34	286	288	40	8	2	288	242
35	294	296	40	8	2	296	250
36	318	320	40	8	2	320	276
37	342	344	40	8	2	344	298
38	358	360	40	8	2	360	314
39	374	376	40	8	2	376	330
40	390	392	40	8	2	392	346
41	406	408	40	8	2	408	362
42	422	424	40	8	2	424	378
43	136	138	40	8	2	138	92
44	142	144	40	8	2	144	98
45	148	150	40	8	2	150	104
46	154	156	40	8	2	156	110
47	160	162	40	8	2	162	116
48	166	168	40	8	2	168	122
49	170	172	40	8	2	172	126
50	174	176	40	8	2	176	130
51	186	188	40	8	2	188	142

52	198	200	40	8	2	200	154
53	206	208	40	8	2	208	162
54	214	216	40	8	2	216	170
55	222	224	40	8	2	224	178
56	230	232	40	8	2	232	186
57	238	240	40	8	2	240	194
58	216	218	40	8	2	218	172
59	160	162	40	8	2	162	116
60	280	282	40	8	2	282	238
61	338	340	40	8	2	340	296
62-63	reserved						

Table 1.48 – Frame Length of CELP Layer 1-5 [bits]

CELPframeLengthTableIndex	Fixed-Rate frameLengthType []=4	1-of-4 Rates (Silence Compression) frameLengthType[]=5 MuxSlotLengthCoded			
		00	01	10	11
		0	80	80	0
1	60	60	0	0	0
2	40	40	0	0	0
3	20	20	0	0	0
4	368	368	21	0	0
5	416	416	21	0	0
6	464	464	21	0	0
7	496	496	21	0	0
8	284	284	21	0	0
9	320	320	21	0	0
10	356	356	21	0	0
11	380	380	21	0	0
12	200	200	21	0	0
13	224	224	21	0	0
14	248	248	21	0	0
15	264	264	21	0	0
16	116	116	21	0	0
17	128	128	21	0	0
18	140	140	21	0	0
19	148	148	21	0	0
20-63	reserved				

## 1.8 Error protection

### 1.8.1 Overview of the tools

For error resilient audio object types, the error protection (EP) tool may be applied. The usage of this tool is signalled by the **epConfig** field. The input of the EP tool decoder consists of error protected access units. In case usage of the EP tool decoder is signalled by epConfig, the following restrictions apply:

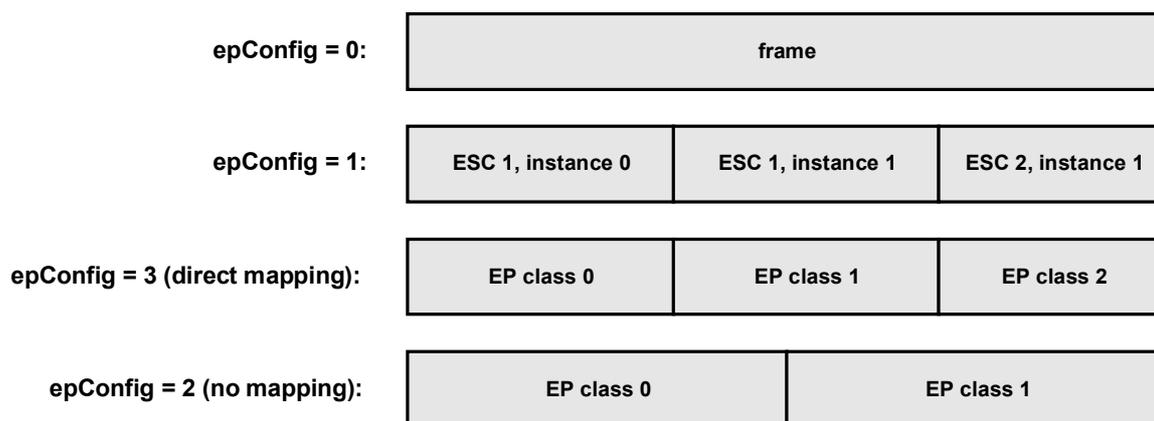
- There exists one elementary stream per scalability layer, or just one elementary stream in case of non-scalable configurations.
- The output of the EP decoder is a set of several EP classes. The concatenation of EP classes at the output of the EP decoder is identical to epConfig = 0 data.

The definition of an EP class depends on **epConfig** and **directMapping**. For  $epConfig = 2$ , EP classes are not strictly defined. Their exact content is to be defined at application level, although the above mentioned restrictions have to be fulfilled. For  $epConfig = 3$ , the mapping between EP classes and instances of error sensitivity categories (ESCs) is normatively defined. In this case, the mapping is signalled by **directMapping**. In case  $directMapping = 1$ , each EP class maps exactly to one instance of an error sensitivity class. The EP decoder output then is identical to the case in which  $epConfig = 1$ . Figure 1.6 summarises the usage of EP classes, depending on the value of  $epConfig$ .

The error protection tool (EP tool) provides the unequal error protection (UEP) capability to the ISO/IEC 14496-3 codecs. The main features of the EP tool are as follows:

- providing a set of error correcting/detecting codes with wide and small-step scalability, in performance and in redundancy
- providing a generic and bandwidth-efficient error protection framework, which covers both fixed-length frame streams and variable-length frame streams
- providing a UEP configuration control with low overhead

The basic idea of UEP is to divide the frame into sub-frames according to the bit error sensitivities (these sub-frames are referred to be as classes in the following subclauses), and to protect these sub-frames with appropriate strength of FEC and/or CRC. If this would not be done, the decoded audio quality is determined by how the most error sensitive part is corrupted, and thus the strongest FEC/CRC has to be applied to the whole frame, requiring much more redundancy.



**Figure 1.6 – EP classes for different epConfig values**

In order to apply UEP to audio frames, the following information is required:

- 1) Number of classes
- 2) Number of bits each class contains
- 3) The CRC code to be applied for each class, which can be presented as a number of CRC bits
- 4) The FEC code to be applied for each class

This information is called as “frame configuration parameters” in the following sections. The same information is used to decode the UEP encoded frames; thus they have to be transmitted. To transmit them effectively, the frame structures of MPEG-4 audio algorithms have been taken into account for this EP tool.

The MPEG-4 audio frame structure can be categorized into three different approaches from the viewpoint of UEP application:

- 1) All the frame configurations are constant while the transmission (as CELP).
- 2) The frame configurations are restricted to be one of the several patterns (as Twin-VQ).
- 3) Most of the parameters are constant during the transmission, but some parameters can be different frame by frame (as AAC).

To utilize these characteristics, the EP tool uses two paths to transmit the frame configuration parameters. One is the out-of-band signaling, which is the same way as the transmission of codec configuration parameters. The parameters that are shared by the frames are transmitted through this path. In case there are several patterns of configuration, all these patterns are transmitted with indices. The other is the in-band transmission, which is made by defining the EP-frame structure with a header. Only the parameters that are not transmitted out-of-band are transmitted through this path. With this parameter transmission technique, the amount of in-band information, which is a part of the redundancy caused by the EP tool, is minimized.

With these parameters, each class is FEC/CRC encoded and decoded. To enhance the performance of this error protection, an interleaving technique is adopted. The objective of interleaving is to randomize burst errors within the frames, and this is not desirable for the class that is not protected. This is because there are other error resilience tools whose objective is to localize the effect of the errors, and randomization of errors with interleaving would have a harmful influence on such part of bitstream payload.

The outline of the EP encoder and EP decoder is figured out in Figure 1.7 and Figure 1.8.

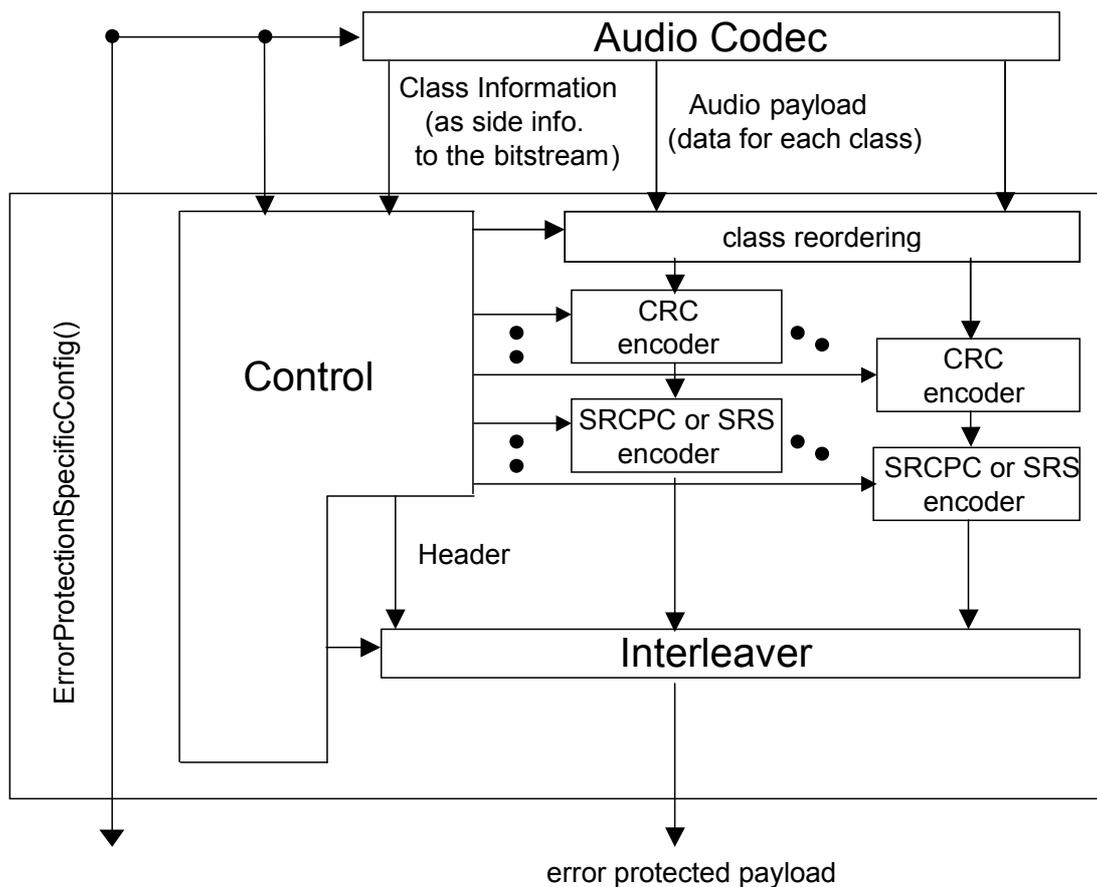


Figure 1.7 – Outline of EP encoder

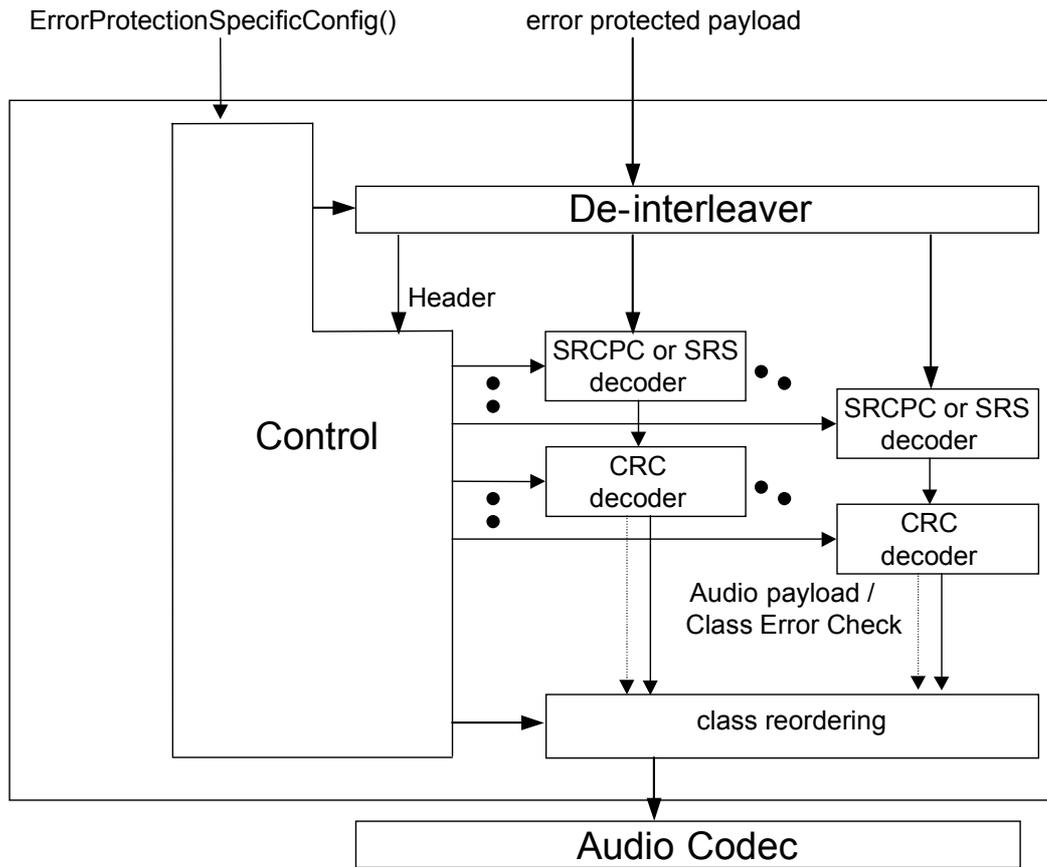


Figure 1.8 – Outline of EP decoder

## 1.8.2 Syntax

### 1.8.2.1 Error protection specific configuration

This part defines the syntax of the specific configuration for error protection.

Table 1.49 – Syntax of ErrorProtectionSpecificConfig()

Syntax	No. of bits	Mnemonic
ErrorProtectionSpecificConfig()		
{		
<b>number_of_predefined_set;</b>	<b>8</b>	<b>uimsbf</b>
<b>interleave_type;</b>	<b>2</b>	<b>uimsbf</b>
<b>bit_stuffing;</b>	<b>3</b>	<b>uimsbf</b>
<b>number_of_concatenated_frame;</b>	<b>3</b>	<b>uimsbf</b>
for ( i = 0; i < number_of_predefined_set; i++ ) {		
<b>number_of_class[i];</b>	<b>6</b>	<b>uimsbf</b>
for ( j = 0; j < number_of_class[i]; j++ ) {		
<b>length_escape[i][j];</b>	<b>1</b>	<b>uimsbf</b>
<b>rate_escape[i][j];</b>	<b>1</b>	<b>uimsbf</b>
<b>crclen_escape[i][j];</b>	<b>1</b>	<b>uimsbf</b>
if ( number_of_concatenated_frame != 1 ) {		
}		
}		

<b>concatenate_flag[i][j];</b>	<b>1</b>	<b>uimsbf</b>
<b>fec_type[i][j];</b>	<b>2</b>	<b>uimsbf</b>
if( fec_type[i][j] == 0) { <b>termination_switch[i][j];</b>	<b>1</b>	<b>uimsbf</b>
}		
if (interleave_type == 2) { <b>interleave_switch[i][j];</b>	<b>2</b>	<b>uimsbf</b>
}		
<b>class_optional;</b>	<b>1</b>	<b>uimsbf</b>
if ( length_escape[i][j] == 1 ) { /* ESC */ <b>number_of_bits_for_length[i][j];</b>	<b>4</b>	<b>uimsbf</b>
}		
else { <b>class_length[i][j];</b>	<b>16</b>	<b>uimsbf</b>
}		
if ( rate_escape[i][j] != 1 ) { /* not ESC */ if(fec_type[i][j]){ <b>class_rate[i][j]</b>	<b>7</b>	<b>uimsbf</b>
}else{ <b>class_rate[i][j]</b>	<b>5</b>	<b>uimsbf</b>
}		
}		
if ( crclen_escape[i][j] != 1 ) { /* not ESC */ <b>class_crclen[i][j];</b>	<b>5</b>	<b>uimsbf</b>
}		
}		
<b>class_reordered_output;</b>	<b>1</b>	<b>uimsbf</b>
if ( class_reordered_output == 1 ) { for ( j = 0; j < number_of_class[i]; j++ ) { <b>class_output_order[i][j];</b>	<b>6</b>	<b>uimsbf</b>
}		
}		
}		
<b>header_protection;</b>	<b>1</b>	<b>uimsbf</b>
if ( header_protection == 1 ) { <b>header_rate;</b>	<b>5</b>	<b>uimsbf</b>
<b>header_crclen;</b>	<b>5</b>	<b>uimsbf</b>
}		
}		
}		

### 1.8.2.2 Error protection bitstream payloads

This part defines the syntax of the error protected audio bitstream payload. This kind of syntax can be selected by setting epConfig=2 or epConfig=3. It is common for all audio object types. If MPEG-4 Systems is used, one ep\_frame() is directly mapped to one access unit.

**Table 1.50 – Syntax of ep\_frame ()**

Syntax	No. of bits	Mnemonic
ep_frame() { if (interleave_type == 0){ ep_header(); ep_encoded_classes(); <b>stuffing_bits;</b> } if (interleave_type == 1){ <b>interleaved_frame_mode1;</b> } if (interleave_type == 2){ <b>interleaved_frame_mode2;</b> } }	<b>Nstuff</b>  <b>1 -</b>  <b>1 -</b>	<b>bslbf</b>  <b>bslbf</b>  <b>bslbf</b>

Nstuff: number of stuffing bits, identical to num\_stuffing\_bits, see subclause 1.8.3.1

**Table 1.51 – Syntax of ep\_header ()**

Syntax	No. of bits	Mnemonic
ep_header() { <b>choice_of_pred;</b> <b>choice_of_pred_parity;</b> class_attrib(); <b>class_attrib_parity;</b> }	<b>N<sub>pred</sub></b> <b>N<sub>pred_parity</sub></b>  <b>N<sub>attrib_parity</sub></b>	<b>uimsbf</b> <b>bslbf</b>  <b>bslbf</b>

N<sub>pred</sub>: ceil( log2 (number\_of\_predefined\_set) ).  
 N<sub>pred\_parity</sub>: See subclause 1.8.4.3  
 N<sub>attrib\_parity</sub>: See subclause 1.8.4.3



0 indicates the bit stuffing is not used. This implies that the configuration provided with the out-of-band information ensure the EP-frame is byte-aligned.

**number\_of\_concatenated\_frame** The number of concatenated source coder frames for the constitution of one error protected frame.

**Table 1.54 – concatenated frames depending on number\_of\_concatenated\_frame**

Codeword	000	001	010	011	100	101	110	111
number of concatenated frame	reserved	1	2	3	4	5	6	7

- number\_of\_class[i]** The number of classes for i-th pre-defined set.
- length\_escape[i][j]** If 0, the length of j-th class in i-th pre-defined set is fixed value. If 1, the length is variable. Note that in case “until the end”, this value should be 1, and the **number\_of\_bits\_for\_length[i][j]** value should be 0.
- rate\_escape[i][j]** If 0, the SRCPC code rate of j-th class in i-th pre-defined set is fixed value. If 1, the code rate is signaled in-band.
- crclen\_escape[i][j]** If 0, the CRC length of j-th class in i-th pre-defined set is fixed value. If 1, the CRC length is signaled in-band.
- concatenate\_flag[i][j]** This parameter defines whether j-th class of i-th pre-defined set is concatenated or not. 0 indicates “not concatenated” and 1 indicates “concatenated”. (See subclause 1.8.4.4)
- fec\_type[i][j]** This parameter defines whether SRCPC code (“0”) or RS code (“1” or “2”) are used to protect the j-th class of i-th pre-defined set. Note that the class length which is signaled to be protected by RS code shall be byte aligned, in either case that the length is signaled in the out-of-band information or that the length is signaled as in-band information. If this field is set to “2”, it indicates that this class is RS encoded in conjunction with next class as one RS code. Note that more than two succeeding classes have the value “2” for this field, it means these classes are concatenated and RS encoded as one RS code. If this field is “1”, it indicates that this class is not concatenated with next class. This means this class is the last class to be concatenated before RS encoding, or this class is RS encoded independently.
- termination\_switch[i][j]** This parameter defines whether j-th class of i-th pre-defined set is terminated or not when it is SRCPC encoded. See subclause 1.8.4.6.2.
- interleave\_switch[i][j]** This parameter defines how to interleave j-th class of i-th pre-defined set.  
 0 – not interleaved  
 1 – interleaved without intraclass-interleaving: the interleaving width is same as the number of bits within the current class if ( fec\_type == 0 ), but same as the number of bytes within the current class if ( fec\_type==1 || fec\_type == 2 )  
 2 – interleaved with intraclass-interleaving: interleaving width is 28 if ( fec\_type == 0 ); but this value is reserved if ( fec\_type == 1 || fec\_type == 2 )  
 3 – concatenated  
 (see subclause 1.8.4.8.2.2)
- class\_optional** This flag signals, whether the class is mandatory (class\_optional == 0) or optional (class\_optional == 1). This flag can be used to reduce the redundancy within ErrorProtectionSpecificConfig. Usually it would be necessary to define 2<sup>N</sup> predefinition sets, where N equals the number of optional classes (see subclause 1.8.4.2).

<b>number_of_bits_for_length[i][j]</b>	This field exists only when the <b>length_escape[i][j]</b> is 1. This value shows the number of bits for the class length in-band signaling. This value should be set considering possible maximum length of the class. The value 0 indicates the “until the end” functionality (see subclause 1.8.4.1).
<b>class_length[i][j]</b>	This field exists only when the <b>length_escape[i][j]</b> is 0. This value shows the length of the j-th class in i-th pre-defined set, which is the fixed value while the transmission.
<b>class_rate[i][j]</b>	This field exists only when the <b>rate_escape[i][j]</b> is 0. In case <b>fec_type[i][j]</b> is 0, this value shows the SRCPC code rate of the j-th class in i-th pre-defined set, which is the fixed value while the transmission. The value from 0 to 24 corresponds to the code rate from 8/8 to 8/32, respectively. In case <b>fec_type[i][j]</b> is 1 or 2, this value shows the number of erroneous bytes which can be corrected by RS code (see subclause 1.8.4.7). All the classes which is signaled to be concatenated with <b>fec_type[i][j]</b> shall have the same value of <b>class_rate[i][j]</b> .
<b>class_crclen[i][j]</b>	This field exists only when the <b>crclen_escape[i][j]</b> is 0. This value shows the CRC length of the j-th class in i-th pre-defined set, which is the fixed value while the transmission. The value should be 0 – 18, which represents CRC length 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 24 or 32. (See subclause 1.8.4.5)
<b>class_reordered_output</b>	If this value is “1”, the classes output from ep decoder is re-ordered. If “0”, no such processing is made. See subclause 1.8.4.9.
<b>class_output_order[i][j]</b>	This field only exists when <b>class_reordered_output</b> is set to “1”, to signal the order of the class after re-ordering. The j-th class of i-th pre-defined set is output as ( <b>class_output_order[i][j]</b> )-th class from ep decoder. See subclause 1.8.4.9.
<b>header_protection</b>	This value indicates the header error protection mode. 0 indicates the use of basic set of FEC, and 1 indicates the use of extended header error protection, as defined in subclause 1.8.4.3. The extended header error protection is applied only if the length of the header exceeds 16 bits.
<b>header_rate, header_crclen</b>	These values have the same semantics with <b>class_rate[i][j]</b> and <b>class_crclen[i][j]</b> respectively, while these error protection is utilized for the protection of header part.
<b>ep_frame()</b>	error protected frame.
<b>ep_header()</b>	EP frame header information.
<b>ep_encoded_classes()</b>	The EP encoded audio information.
<b>interleaved_frame_mode1</b>	The information bits after interleaving with interleaving mode 1. See subclause 1.8.4.1 and subclause 1.8.4.8.
<b>interleaved_frame_mode2</b>	The information bits after interleaving with interleaving mode 2. See subclause 1.8.4.1 and subclause 1.8.4.8.
<b>stuffing_bits</b>	The stuffing bits for the EP frame octet alignment. The number of bits <b>Nstuff</b> is signaled in <b>class_attrib()</b> , and should be in the range of 0...7.
<b>choice_of_pred</b>	The choice of pre-defined set. See subclause 1.8.4.2.
<b>choice_of_pred_parity</b>	The parity bits for <b>choice_of_pred</b> . See subclause 1.8.4.2.
<b>class_attrib_parity</b>	The parity bits for <b>class_attrib()</b> . See subclause 1.8.4.2.
<b>class_attrib()</b>	Attribution information for each class

**class\_bit\_count[j]** The number of information bits included in the class. This field only exists in case the length\_escape in out-of-band information is 1 (escape). The number of bits of this parameter Nbitcount is also signaled in the out-of-band information.

**class\_code\_rate[j]** The coding rate for the audio data belonging to the class, as defined in the table below. This field only exists in case the rate\_escape in out-of-band information is 1 (escape).

**Table 1.55 – The coding rate for the audio data belonging to the class**

Codeword	000	001	010	011	100	101	110	111
Puncture Rate	8/8	8/11	8/12	8/14	8/16	8/20	8/24	8/32
Puncture Pattern	FF, 00 00, 00	FF, A8 00, 00	FF, AA 00, 00	FF, EE 00, 00	FF, FF 00, 00	FF, FF AA, 00	FF, FF FF, 00	FF, FF FF, FF

**class\_crc\_count[j]** The number of CRC bits for the audio data belonging to the class, as defined in the table below. This field only exists in case the crclen\_escape in out-of-band information is 1 (escape).

**Table 1.56 – The number of CRC bits for the audio data belonging to the class**

Codeword	000	001	010	011	100	101	110	111
CRC bits	0	6	8	10	12	14	16	32

**num\_stuffing\_bits** the number of stuffing bits for the EP frame octet alignment. This field only exists in case the **bit\_stuffing** in out-of-band information is 1.

**ep\_encoded\_class[j]** CRC/SRCPC encoded audio data of j-th class. Note that if **class\_bit\_count[j] == 0**, audio data of j-th class is not encoded by CRC/SRCPC/SRS.

## 1.8.4 Tool description

### 1.8.4.1 Out-of-band information

The content of out-of band information is represented by means of ErrorProtectionSpecificConfig(). Some configuration examples are provided in Annex 1.B.

The length of the last class processed by the EP decoder (prior to any subsequent re-ordering as described in subclause 1.8.4.9) does not have to be transmitted explicitly, but its length might be signaled as “until the end”. In MPEG-4 Systems, the systems layer guarantees the audio frame boundary by mapping one audio frame to one access unit. Therefore, the length of the “until the end” class can be calculated from the length of other classes and the total EP-encoded audio frame length.

The flag class\_optional might be used to reduce the redundancy within ErrorProtectionSpecificConfig(). However, the EP tool still works with the same number of pre-defined sets. If there are N classes with (class\_optional == 1), this pre-defined set is extended to 2<sup>N</sup> pre-defined sets. Unwrapping of the predefinition sets is described within the following subclause.

### 1.8.4.2 Derivation of pre-defined sets

This subclause describes the post processing, whose input is ErrorProtectionSpecificConfig() with “class\_optional” switch and whose output are pre-defined sets used for the ep\_frame() parameters.

General procedure:

- Each pre-defined set expands  $2^{NCO[i]}$  pre-defined sets, where  $NCO[i]$  is the number of classes with ( $class\_optional == 1$ ) in the  $i$ -th original pre-defined set. Hereafter, any class with ( $class\_optional == 1$ ) is referred to as *optClass*.
- These expanded pre-defined sets start from “all the *optClasses* exist” to “all the *optClasses* do not exist”.

Algorithm:

```

transPred = 0;
for ( i = 0; i < nPred; i++ ) {           /* for all predefinition sets */
  for ( j = 0; j < 2^NCO[i]; j++ ) {     /* unwrapping */
    for ( k = 0; k < NCO[i]; k++ ) {     /* for all optional classes */
      if ( j & ( 0x01 << k ) ) {
        optClassExists[k] = 0;
      }
      else {
        optClassExists[k] = 1;
      }
    }
    DefineTransPred(transPred, i, optClassExists);
    transPred ++;
  }
}

```

where,

*optClassExists*[k] signals whether  $k$ -th *optClass* of the pre-defined set exists (1) or not (0) in the defining new pre-defined set.

*DefineTransPred* ( *transPred*,  $i$ , *optClassExists*) defines *transPred*-th new pre-defined set used for the transmission. This new pre-defined set is a copy of the  $i$ -th original pre-defined set, except it does not have *optClasses* whose *optClassExists* equals to 0.

**Example**

*ErrorProtectionSpecificConfig*() defines pre-defined sets as follows:

**Table 1.57 – The example of pre-defined set**

Pred #0		Pred #1	
Class A	class_optional = 1	Class F	class_optional = 1
Class B	class_optional = 0	Class G	class_optional = 0
Class C	class_optional = 1		
Class D	class_optional = 0		
Class E	class_optional = 1		

After the pre-processing described above, the pre-defined sets used for *ep\_frame*() becomes as follows:

**Table 1.58 – The example of pre-defined sets after the pre-processing**

Pred #0	Pred #1	Pred #2	Pred #3	Pred #4	Pred #5	Pred #6	Pred #7	Pred #8	Pred #9
Class A	Class B	Class F	Class G						
Class B	Class C	Class B	Class D	Class B	Class C	Class B	Class D	Class G	
Class C	Class D	Class D	Class E	Class C	Class D	Class D			
Class D	Class E	Class E		Class D					
Class E									

1.8.4.3 In-band information

The EP frame information, which is not included in the out-of-band information, is the in-band information. The parameters belonging to this information are transmitted as an EP frame header. The parameters are:

- The choice of pre-defined set
- The number of stuffing bits for byte alignment
- The class information which is not included in the out-of-band information

The EP decoder cannot decode the audio frame information without these parameters, and thus they have to be error protected stronger than or equal to the other parts. On this error protection, the choice of pre-defined set has to be treated differently from the other parts. This is because the length of the class information can be changed according to which pre-defined set is chosen. For this reason, this parameter is FEC encoded independently from the other parts. At decoder side, the choice of pre-defined set is decoded first, and then the length of the remaining header part is calculated with this information, and decodes that.

The FEC applied for these parts are as follows:

Basic set of FEC codes:

Table 1.59 – Basic set of FEC codes for in-band information

number of bits to be protected	FEC code	total number of bits	Length of codeword
1-2	majority (repeat 3 times)	3-6	3
3-4	BCH(7,4)	6-7	6-7
5-7	BCH(15,7)	13-15	13-15
8-12	Golay(23,12)	19-23	19-23
13-16	BCH(31,16)	28-31	28-31
17-	RCPC 8/16 + 4-bit CRC	50 -	-

Notes:

- total number of bits: number of bits after FEC encoding
- interleaving width: width of the interleaving matrix, see also subclause 1.8.4.8
- $N_{pred\_parity}$  (or  $N_{attrib\_parity}$ ) = total number of bits – number of bits to be protected
- SRCPC is terminated
- number of bits to be protected is  $N_{pred}$  (or the total number of bits for  $class\_attrib()$ )

Extended FEC:

If a header length exceeds 16 bits, this header is protected using a CRC and a terminated SRCPC. The SRCPC code rate and the number of CRC bits are signaled. The encoding and decoding method for this is the same as described below within the CRC/SRCPC description.

The generator polynomials for each FEC are as follows:

BCH(7,4):  $x^3+x+1$

BCH(15,7):  $x^8+x^7+x^6+x^4+1$

Golay(23,12):  $x^{11}+x^9+x^7+x^6+x^5+x+1$

BCH(31,16):  $x^{15}+x^{11}+x^{10}+x^9+x^8+x^7+x^5+x^3+x^2+x+1$

With these polynomials, the FEC ( $n, k$ ) for  $l$ -bit information encoding is made as follows:

Calculate the polynomial  $R(x)$  that satisfies

$$M(x) x^{n-l} = Q(x)G(x) + R(x)$$

$M(x)$ : Information bits. Highest order corresponds to the first bit to be transmitted

$G(x)$ : The generation polynomial from the above definition

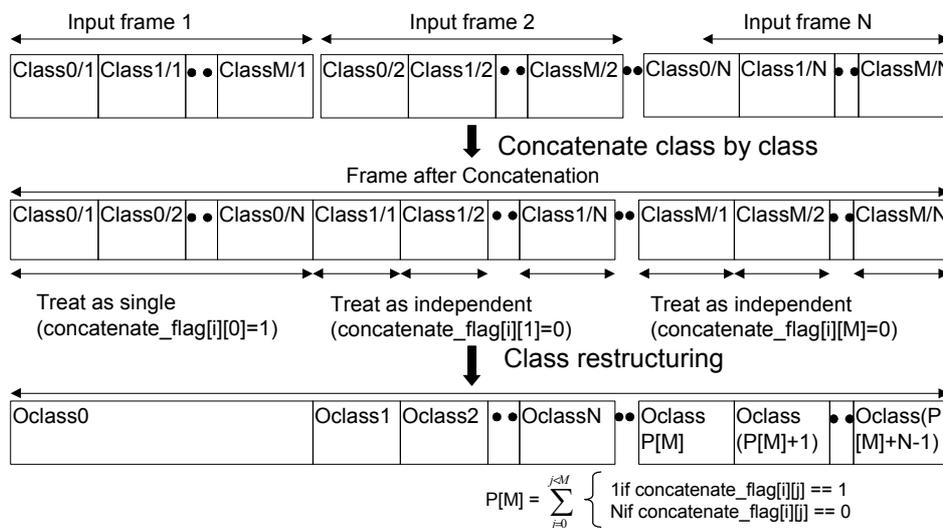
This polynomial  $R(x)$  represents parity to `choice_of_pred` or `class_attrib()`, and set to `choice_of_pred_parity` or `class_attrib_parity` respectively. The highest order corresponds to the first bit. The decoder can perform error correction using these parity bits, while it is optional operation.

### 1.8.4.4 Concatenation functionality

EP tool has a functionality to concatenate several source coder frames to build up a new frame for the EP tool. In this concatenation, the groups of bits belonging to the same class in the different source coder frames are concatenated in adjacent, class by class basis. The concatenated groups belonging to the same class is either treated as a single new one class or independent class in the same manner as before the concatenation.

The number of frames to be concatenated is signaled as `number_of_concatenated_frame` in `ErrorProtectionSpecificConfig()`, and the choice whether the concatenated groups belonging to the same class is treated as single new one class or independent class is signaled by `concatenate_flag[i][j]` (1 indicate "single new one class", and 0 indicates "independent class"). This process is illustrated in Figure 1.9.

The same pre-defined set shall be used for all concatenated frames. No escape mechanism shall be used for any class parameter.



\*Oclass: Output class to be proceed for CRC/FEC encoding

Figure 1.9 – Concatenation procedure

### 1.8.4.5 CRC

The CRC provides error detection capability. The information bits of each class is CRC encoded as a first process. In this tool, the following set of the CRC is defined:

1-bit CRC **CRC1**:  $x+1$

2-bit CRC **CRC2**:  $x^2+x+1$

3-bit CRC **CRC3**:  $x^3+x+1$

4-bit CRC **CRC4**:  $x^4+x^3+x^2+1$

5-bit CRC **CRC5**:  $x^5+x^4+x^2+1$

6-bit CRC **CRC6**:  $x^6+x^5+x^3+x^2+x+1$

7-bit CRC **CRC7**:  $x^7+x^6+x^2+1$

8-bit CRC **CRC8**:  $x^8+x^2+x+1$

9-bit CRC **CRC9**:  $x^9+x^8+x^5+x^2+x+1$

10-bit CRC **CRC10**:  $x^{10}+x^9+x^5+x^4+x+1$

11-bit CRC **CRC11**:  $x^{11}+x^{10}+x^4+x^3+x+1$

12-bit CRC **CRC12**:  $x^{12}+x^{11}+x^3+x^2+x+1$

13-bit CRC **CRC13**:  $x^{13}+x^{12}+x^7+x^6+x^5+x^4+x^2+1$

14-bit CRC **CRC14**:  $x^{14}+x^{13}+x^5+x^3+x^2+1$

15-bit CRC **CRC15**:  $x^{15}+x^{14}+x^{11}+x^{10}+x^7+x^6+x^2+1$

16-bit CRC **CRC16**:  $x^{16}+x^{12}+x^5+1$

24-bit CRC **CRC24**:  $x^{24}+x^{23}+x^6+x^5+x+1$

32-bit CRC **CRC32**:  $x^{32}+x^{26}+x^{23}+x^{22}+x^{16}+x^{12}+x^{11}+x^{10}+x^8+x^7+x^5+x^4+x^2+x+1$

With these polynomials, the CRC encoding is made as follows:

Calculate the polynomial  $R(x)$  that satisfies

$$M(x)x^k = Q(x)G(x) + R(x)$$

$M(x)$ : Information bits. Highest order corresponds to the first bit to be transmitted

$G(x)$ : The generation polynomial from the above definition

$k$ : The number of CRC bits.

With this polynomial  $R(x)$ , the CRC encoded bits  $W(x)$  is represented as:

$$W(x) = M(x)x^k + R(x)$$

Note that the value  $k$  should be chosen so that the number of CRC encoded bits does not exceed  $2k-1$ .

The CRC bits are written in a reversed manner, i. e. each bit is inverted. Using these CRC bits, the decoder can perform error detection. When an error is detected through CRC, error concealment may be applied to reduce the quality degradation caused by the error. The error concealment method depends on MPEG-4 audio algorithms, an example is given in subclause 1.B.3.

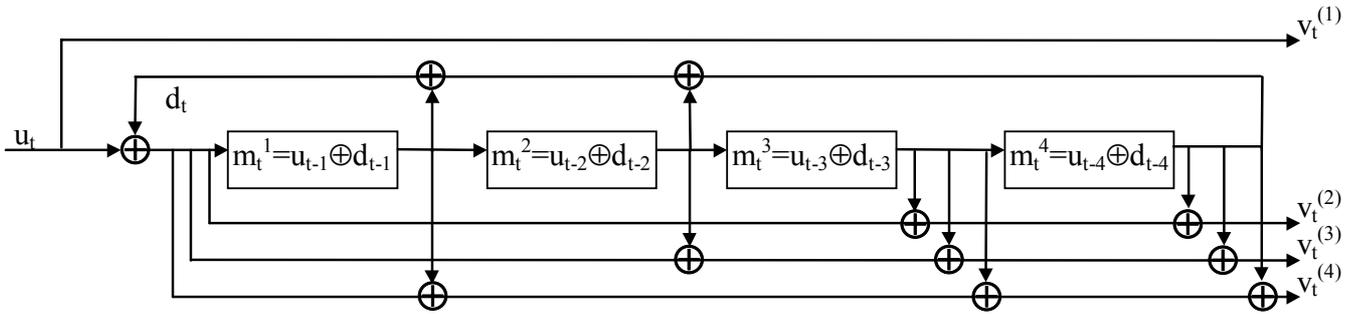
#### 1.8.4.6 Systematic rate-compatible punctured convolutional (SRCPC) codes

Following to the CRC encoding, FEC encoding is made with the SRCPC codes. This subclause describes the SRCPC encoding process.

The channel encoder is based on a systematic recursive convolutional (SRC) encoder with rate R=1/4. The CRC encoded classes are concatenated and input into this encoder. Then, with the puncturing procedure described in the subclause later, we obtain a Rate Compatible Punctured Convolutional (RCPC) code whose code rate varies for each class according to the error sensitivity.

**1.8.4.6.1 SRC code generation**

The SRC code is generated from a rational generator matrix by using a feedback loop. A shift register realization of the encoder is shown in Figure 1.10.



**Figure 1.10 – Shift register realization for systematic recursive convolutional encoder**

To obtain the output vectors  $v_t$  at each time instant  $t$ , one has to know the content of the shift registers  $m_t^1, m_t^2, m_t^3, m_t^4$  (corresponds to the state) and the input bit  $u_t$  at time  $t$ .

We obtain the output  $v_t^{(2)}, v_t^{(3)}$  and  $v_t^{(4)}$

$$v_t^{(2)} = m_t^4 \oplus m_t^3 \oplus (u_t \oplus d_t)$$

$$v_t^{(3)} = m_t^4 \oplus m_t^3 \oplus m_t^2 \oplus (u_t \oplus d_t)$$

$$v_t^{(4)} = m_t^4 \oplus m_t^3 \oplus m_t^1 \oplus (u_t \oplus d_t)$$

with

$$d_t = m_t^4 \oplus m_t^2 \oplus m_t^1, m_t^4 = u_{t-4} \oplus d_{t-4}, m_t^3 = u_{t-3} \oplus d_{t-3}, m_t^2 = u_{t-2} \oplus d_{t-2}, m_t^1 = u_{t-1} \oplus d_{t-1}$$

Finally we obtain for the output vector  $\underline{v}_t = (v_t^{(1)}, v_t^{(2)}, v_t^{(3)}, v_t^{(4)})$  at time  $t$  depending on the input bit  $u_t$  and the current state  $\underline{m}_t = (m_t^1, m_t^2, m_t^3, m_t^4)$ :

$\begin{aligned} V_t^{(1)} &= u_t \\ V_t^{(2)} &= m_t^4 \oplus m_t^3 \oplus (u_t \oplus d_t) = m_t^3 \oplus m_t^2 \oplus m_t^1 \oplus u_t \\ V_t^{(3)} &= m_t^4 \oplus m_t^3 \oplus m_t^2 \oplus (u_t \oplus d_t) = m_t^3 \oplus m_t^1 \oplus u_t \\ V_t^{(4)} &= m_t^4 \oplus m_t^3 \oplus m_t^1 \oplus (u_t \oplus d_t) = m_t^3 \oplus m_t^2 \oplus u_t \end{aligned}$
--

with  $\underline{m}_1 = (m_1^1, m_1^2, m_1^3, m_1^4) = (0, 0, 0, 0) = \underline{0}$

The initial state is always  $\underline{0}$ , i.e. each memory cell contains a 0 before the input of the first information bit  $u_t$ .

**1.8.4.6.2 Termination of SRC code**

In case the SRC coded class is indicated as terminated with termination\_switch[i] in ErrorProtectionSpecificConfig(), or SRC code is used for the protection of in-band information, the SRC encoder

shall add the tail bits at the end of this class, and start the succeeding SRC encoding with initial state (all the encoder shift register shall reset to be 0).

The tail bits following the information sequence  $u$  for returning to state  $\underline{m}_n = \underline{0}$  (termination) depends on the last state  $\underline{m}_{n-3}$  (state after the input of the last information bit  $u_{n-4}$ ). The termination sequence for each state described by  $\underline{m}_{n-3}$  is given in Table 1.60. The receiver may use these tail bits (TB) for additional error detection.

The appendix  $(u_{n-3}, u_{n-2}, u_{n-1}, u_n)$  to the information sequence can be calculated with the following condition:

$$\text{for all } t \text{ with } n-3 \leq t \leq n: u_t \oplus d_t = 0$$

Hence we obtain for the tail bit vector  $\underline{u}' = (u_{n-3}, u_{n-2}, u_{n-1}, u_n)$  depending on the state  $\underline{m}_{n-3} = (m_{n-3}^1, m_{n-3}^2, m_{n-3}^3, m_{n-3}^4)$

$$\begin{aligned} u_{n-3} = d_{n-3} &= m_{n-3}^4 \oplus m_{n-3}^2 \oplus m_{n-3}^1 \\ u_{n-2} = d_{n-2} &= m_{n-2}^4 \oplus m_{n-2}^2 \oplus m_{n-2}^1 = m_{n-3}^3 \oplus m_{n-3}^1 \oplus 0 = m_{n-3}^3 \oplus m_{n-3}^1 \\ u_{n-1} = d_{n-1} &= m_{n-1}^4 \oplus m_{n-1}^3 \oplus m_{n-1}^2 = m_{n-3}^2 \oplus 0 \oplus 0 = m_{n-3}^2 \\ u_n = d_n &= m_{n-3}^1 \oplus 0 \oplus 0 = m_{n-3}^1 \end{aligned}$$

**Table 1.60 – Tail bits for systematic recursive convolutional code**

state $\underline{m}_{n-3}$	$m_{n-3}^4$	$m_{n-3}^3$	$m_{n-3}^2$	$m_{n-3}^1$	$u_{n-3}$	$u_{n-2}$	$u_{n-1}$	$u_n$
0	0	0	0	0	0	0	0	0
1	0	0	0	1	1	1	0	1
2	0	0	1	0	1	0	1	0
3	0	0	1	1	0	1	1	1
4	0	1	0	0	0	1	0	0
5	0	1	0	1	1	0	0	1
6	0	1	1	0	1	1	1	0
7	0	1	1	1	0	0	1	1
8	1	0	0	0	1	0	0	0
9	1	0	0	1	0	1	0	1
10	1	0	1	0	0	0	1	0
11	1	0	1	1	1	1	1	1
12	1	1	0	0	1	1	0	0
13	1	1	0	1	0	0	0	1
14	1	1	1	0	0	1	1	0
15	1	1	1	1	1	0	1	1

**1.8.4.6.3 Puncturing of SRC for SRCPC code**

Puncturing of the output of the SRC encoder allows different rates for transmission. The puncturing tables are listed in Table 1.61.

Table 1.61 – Puncturing tables (all values in hexadecimal representation)

Rate r	8/8	8/9	8/10	8/11	8/12	8/13	8/14	8/15	8/16	8/17	8/18	8/19	8/20
$P_r(0)$	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF	FF
$P_r(1)$	00	80	88	A8	AA	EA	EE	FE	FF	FF	FF	FF	FF
$P_r(2)$	00	00	00	00	00	00	00	00	00	80	88	A8	AA
$P_r(3)$	00	00	00	00	00	00	00	00	00	00	00	00	00

Rate r	8/21	8/22	8/23	8/24	8/25	8/26	8/27	8/28	8/29	8/30	8/31	8/32
$P_r(0)$	FF											
$P_r(1)$	FF											
$P_r(2)$	EA	EE	FE	FF								
$P_r(3)$	00	00	00	00	80	88	A8	AA	EA	EE	FE	FF

The puncturing pattern, which is applied with the period of 8, depends on the class\_rate (see Table 1.61). Each bit of  $P_r(i)$  indicates whether the corresponding  $vt(i)$  from the SRC encoder is punctured (i.e. not considered). Each bit of  $P_r(i)$  is used from MSB to LSB, and 0/1 indicates punctured/not-punctured respectively. The puncturing pattern changes class-wise, but only at points where a period of 8 is completed. After the decision which bits from  $vt(i)$  are considered, they are output in the order from  $vt(0)$  to  $vt(3)$ .

#### 1.8.4.6.4 Decoding process of SRCPC code

At the decoder, the error correction should be performed using this SRCPC code, while it is the optional operation and the decoder may extract the original information by just ignoring parity bits.

Decoding of SRCPC can be achieved using Viterbi algorithm for the punctured convolutional coding.

#### 1.8.4.7 Shortened Reed-Solomon codes

Shortened RS codes  $SRS(255-l, 255-2k-l)$  defined over  $GF(2^8)$  can be used to protect one single class or several concatenated classes. Concatenated classes are subsequently treated as one single class. Here,  $k$  is the number of correctable errors in one SRS codeword. The value of  $l$  reflects the shortening.

Before the SRS encoding, the EP class is sub-divided into parts such that their lengths are less than or equal to  $255-2k$ . The lengths of the parts are calculated as follows:

$$l_i = 255-2k, \text{ for } i < N$$

$$l_i = L \bmod (255-2k), \text{ for } i = N$$

$$N = \text{ceil}(L / (255-2k))$$

$L$ : The length of the EP class in octets

$N$ : The number of parts

$l_i$ : The length of the  $i$ -th part ( $0 < i < N+1$ )

If the length of the  $N$ -th part  $l_N$  is smaller than  $255-2k$  bytes, as many bits with the value 0 are added as needed to reach the length of  $255-2k$  bytes before SRS en- or decoding, and again removed afterwards.

At decoder side, if SRS decoding is performed, the same number of '0's have to be added before the SRS decoding procedure, and removed again after SRS decoding.

The SRS code defined in the Galois Field  $GF(2^8)$  is generated from a generator polynomial  $g(x) = (x-\alpha)(x-\alpha^2)\dots(x-\alpha^{2^k})$ , where  $\alpha$  denotes a root of the primitive polynomial  $m(x)=x^8+x^4+x^3+x^2+1$ . The binary representative of  $\alpha^d$  is shown in Table 1.62, where the MSB of the octet is transmitted first.

Table 1.62 – Binary representation for  $\alpha^i$  ( $0 \leq i \leq 254$ ) over  $GF(2^8)$ 

$a^i$	binary rep.	$a^i$	binary rep.	$a^i$	binary rep.	$a^i$	binary rep.
0	00000000	$a^{63}$	10100001	$a^{127}$	11001100	$a^{191}$	01000001
$a^0$	00000001	$a^{64}$	01011111	$a^{128}$	10000101	$a^{192}$	10000010
$a^1$	00000010	$a^{65}$	10111110	$a^{129}$	00010111	$a^{193}$	00011001
$a^2$	00000100	$a^{66}$	01100001	$a^{130}$	00101110	$a^{194}$	00110010
$a^3$	00001000	$a^{67}$	11000010	$a^{131}$	01011100	$a^{195}$	01100100
$a^4$	00010000	$a^{68}$	10011001	$a^{132}$	10111000	$a^{196}$	11001000
$a^5$	00100000	$a^{69}$	00101111	$a^{133}$	01101101	$a^{197}$	10001101
$a^6$	01000000	$a^{70}$	01011110	$a^{134}$	11011010	$a^{198}$	00000111
$a^7$	10000000	$a^{71}$	10111100	$a^{135}$	10101001	$a^{199}$	00001110
$a^8$	00011101	$a^{72}$	01100101	$a^{136}$	01001111	$a^{200}$	00011100
$a^9$	00111010	$a^{73}$	11001010	$a^{137}$	10011110	$a^{201}$	00111000
$a^{10}$	01110100	$a^{74}$	10001001	$a^{138}$	00100001	$a^{202}$	01110000
$a^{11}$	11101000	$a^{75}$	00001111	$a^{139}$	01000010	$a^{203}$	11100000
$a^{12}$	11001101	$a^{76}$	00011110	$a^{140}$	10000100	$a^{204}$	11011101
$a^{13}$	10000111	$a^{77}$	00111100	$a^{141}$	00010101	$a^{205}$	10100111
$a^{14}$	00010011	$a^{78}$	01111000	$a^{142}$	00101010	$a^{206}$	01010011
$a^{15}$	00100110	$a^{79}$	11110000	$a^{143}$	01010100	$a^{207}$	10100110
$a^{16}$	01001100	$a^{80}$	11111101	$a^{144}$	10101000	$a^{208}$	01010001
$a^{17}$	10011000	$a^{81}$	11100111	$a^{145}$	01001101	$a^{209}$	10100010
$a^{18}$	00101101	$a^{82}$	11010011	$a^{146}$	10011010	$a^{210}$	01011001
$a^{19}$	01011010	$a^{83}$	10111011	$a^{147}$	00101001	$a^{211}$	10110010
$a^{20}$	10110100	$a^{84}$	01101011	$a^{148}$	01010010	$a^{212}$	01111001
$a^{21}$	01110101	$a^{85}$	11010110	$a^{149}$	10100100	$a^{213}$	11110010
$a^{22}$	11101010	$a^{86}$	10110001	$a^{150}$	01010101	$a^{214}$	11111001
$a^{23}$	11001001	$a^{87}$	01111111	$a^{151}$	10101010	$a^{215}$	11101111
$a^{24}$	10001111	$a^{88}$	11111110	$a^{152}$	01001001	$a^{216}$	11000011
$a^{25}$	00000011	$a^{89}$	11100001	$a^{153}$	10010010	$a^{217}$	10011011
$a^{26}$	00000110	$a^{90}$	11011111	$a^{154}$	00111001	$a^{218}$	00101011
$a^{27}$	00001100	$a^{91}$	10100011	$a^{155}$	01110010	$a^{219}$	01010110
$a^{28}$	00011000	$a^{92}$	01011011	$a^{156}$	11100100	$a^{220}$	10101100
$a^{29}$	00110000	$a^{93}$	10110110	$a^{157}$	11010101	$a^{221}$	01000101
$a^{30}$	01100000	$a^{94}$	01110001	$a^{158}$	10110111	$a^{222}$	10001010
$a^{31}$	11000000	$a^{95}$	11100010	$a^{159}$	01110011	$a^{223}$	00001001
$a^{32}$	10011101	$a^{96}$	11011001	$a^{160}$	11100110	$a^{224}$	00010010
$a^{33}$	00100111	$a^{97}$	10101111	$a^{161}$	11010001	$a^{225}$	00100100
$a^{34}$	01001110	$a^{98}$	01000011	$a^{162}$	10111111	$a^{226}$	01001000
$a^{35}$	10011100	$a^{99}$	10000110	$a^{163}$	01100011	$a^{227}$	10010000
$a^{36}$	00100101	$a^{100}$	00010001	$a^{164}$	11000110	$a^{228}$	00111101
$a^{37}$	01001010	$a^{101}$	00100010	$a^{165}$	10010001	$a^{229}$	01111010
$a^{38}$	10010100	$a^{102}$	01000100	$a^{166}$	00111111	$a^{230}$	11110100
$a^{39}$	00110101	$a^{103}$	10001000	$a^{167}$	01111110	$a^{231}$	11110101
$a^{40}$	01101010	$a^{104}$	00001101	$a^{168}$	11111100	$a^{232}$	11110111
$a^{41}$	11010100	$a^{105}$	00011010	$a^{169}$	11100101	$a^{233}$	11110011
$a^{42}$	10110101	$a^{106}$	00110100	$a^{170}$	11010111	$a^{234}$	11111011
$a^{43}$	01110111	$a^{107}$	01101000	$a^{171}$	10110011	$a^{235}$	11101011
$a^{44}$	11101110	$a^{108}$	11010000	$a^{172}$	01111011	$a^{236}$	11001011
$a^{45}$	11000001	$a^{109}$	10111101	$a^{173}$	11110110	$a^{237}$	10001011
$a^{46}$	10011111	$a^{110}$	01100111	$a^{174}$	11110001	$a^{238}$	00001011
$a^{47}$	00100011	$a^{111}$	11001110	$a^{175}$	11111111	$a^{239}$	00010110
$a^{48}$	01000110	$a^{112}$	10000001	$a^{176}$	11100011	$a^{240}$	00101100
$a^{49}$	10001100	$a^{113}$	00011111	$a^{177}$	11011011	$a^{241}$	01011000
$a^{50}$	00000101	$a^{114}$	00111110	$a^{178}$	10101011	$a^{242}$	10110000
$a^{51}$	00001010	$a^{115}$	01111100	$a^{179}$	01001011	$a^{243}$	01111101

a <sup>52</sup>	00010100	a <sup>116</sup>	11111000	a <sup>180</sup>	10010110	a <sup>244</sup>	11111010
a <sup>53</sup>	00101000	a <sup>117</sup>	11101101	a <sup>181</sup>	00110001	a <sup>245</sup>	11101001
a <sup>54</sup>	01010000	a <sup>118</sup>	11000111	a <sup>182</sup>	01100010	a <sup>246</sup>	11001111
a <sup>55</sup>	10100000	a <sup>119</sup>	10010011	a <sup>183</sup>	11000100	a <sup>247</sup>	10000011
a <sup>56</sup>	01011101	a <sup>120</sup>	00111011	a <sup>184</sup>	10010101	a <sup>248</sup>	00011011
a <sup>57</sup>	10111010	a <sup>121</sup>	01110110	a <sup>185</sup>	00110111	a <sup>249</sup>	00110110
a <sup>58</sup>	01101001	a <sup>122</sup>	11101100	a <sup>186</sup>	01101110	a <sup>250</sup>	01101100
a <sup>59</sup>	11010010	a <sup>123</sup>	11000101	a <sup>187</sup>	11011100	a <sup>251</sup>	11011000
a <sup>60</sup>	10111001	a <sup>124</sup>	10010111	a <sup>188</sup>	10100101	a <sup>252</sup>	10101101
a <sup>61</sup>	01101111	a <sup>125</sup>	00110011	a <sup>189</sup>	01010111	a <sup>253</sup>	01000111
a <sup>62</sup>	11011110	a <sup>126</sup>	01100110	a <sup>190</sup>	10101110	a <sup>254</sup>	10001110

For each of the parts, the SRS parity digits with a total length of 2k octets are calculated using g(x) as follows:

$$p(x) = x^{2k} \cdot u(x) \text{ mod } g(x)$$

u(x): polynomial representative of a part. Lowest order corresponds to the first octet.

p(x): polynomial representative of the parity digits. Lowest order corresponds to the first octet.

For storage and transmission, the parity digits are appended at the end of the EP class. This process is illustrated in Figure 1.11.

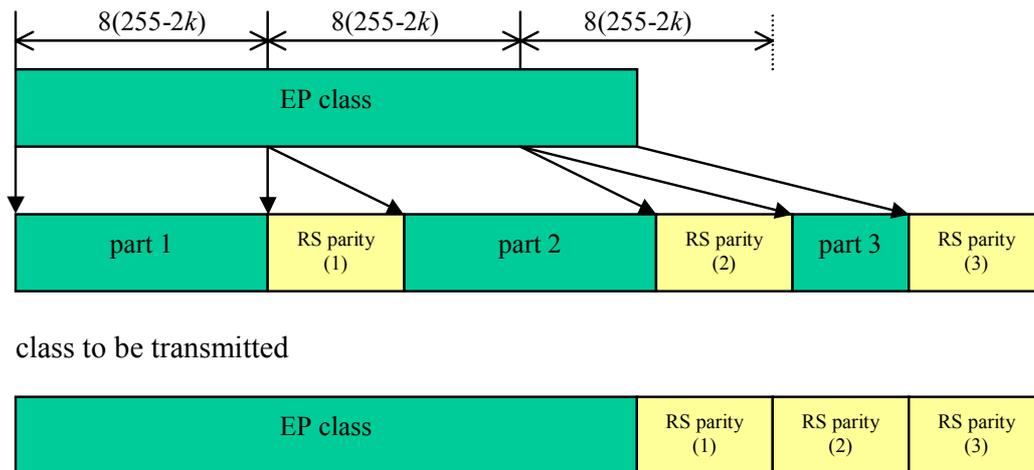


Figure 1.11 – RS encoding of EP frame

### 1.8.4.8 Recursive interleaving

The interleaving is applied in multi-stage manner. Figure 1.12 shows the interleaving method.

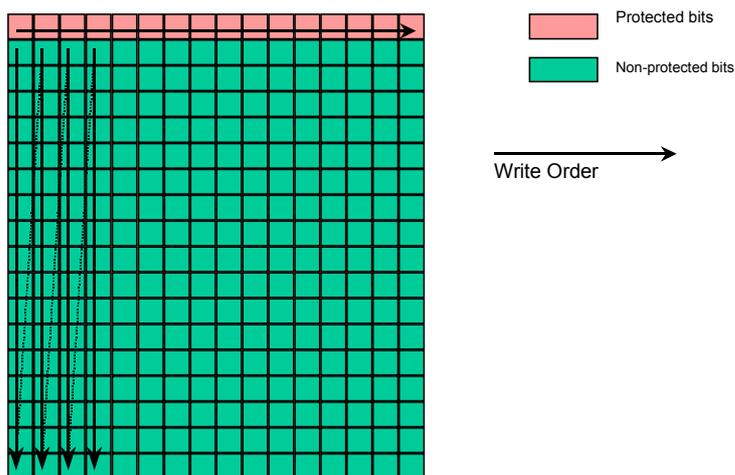


Figure 1.12 – One stage of interleaving

In the multistage interleaving, the output of this one stage of interleaving is treated as a non-protected part in the next stage. Figure 1.13 shows the example of 2 stage interleaving.

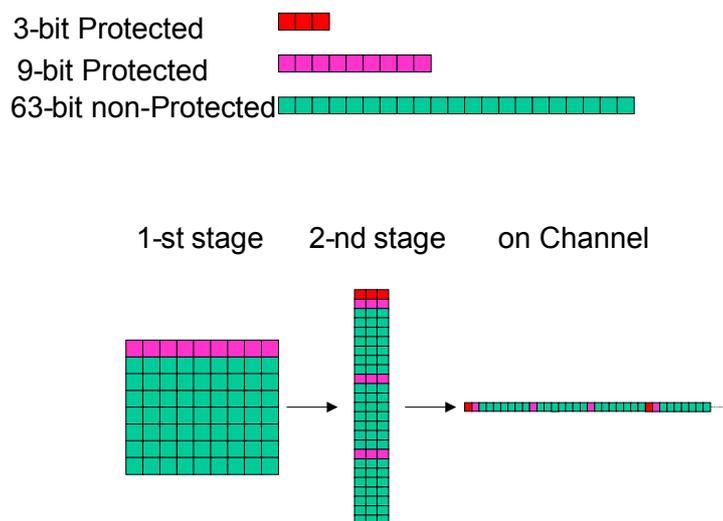


Figure 1.13 – Example of multi-stage interleaving

By choosing the width  $W$  of the interleave-matrix to be the same as the FEC code length (or the value 28 in case of SRCPC codes), the interleaving size can be optimized for all the FEC codes.

In actual case, the total number of bits for the interleaving may not allow to use such rectangular. In such case, the matrix as shown in Figure 1.14 is used.

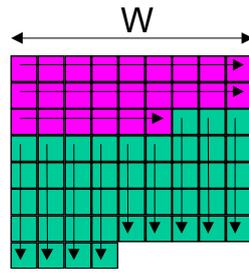


Figure 1.14 – Interleave matrix in non-rectangular case

1.8.4.8.1 Definition of recursive interleaver

Two information streams are input to this interleaver,  $X_i$  and  $Y_j$ .

$$X_i, 0 \leq i < l_x$$

$$Y_j, 0 \leq j < l_y,$$

where  $l_x$  and  $l_y$  is the number of bits for each input streams  $X_i$  and  $Y_j$ , respectively.  $X_i$  is set to the interleaving matrix from the top left to the bottom right, into the horizontal direction. Then  $Y_j$  is set into the rest place in vertical direction.

With the width of interleaver  $W$ , the size of interleaving matrix is shown as Figure 1.15. Where,

$$D = (l_x + l_y) / W$$

$$d = l_x + l_y - D * W$$

Where ‘/’ indicates division by truncation.

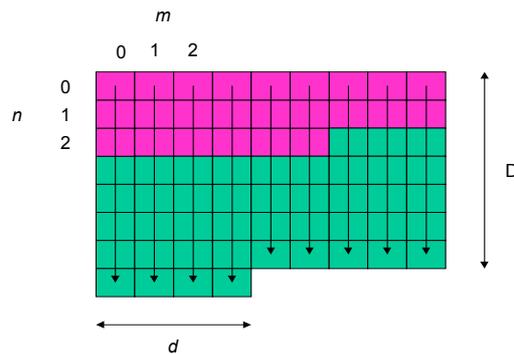


Figure 1.15 – The size of interleaving matrix

The output bitstream payload  $Z_k$  ( $0 < k \leq l_x+l_y$ ) is read from this matrix from top left to bottom right, column by column in horizontal direction. Thus the bit placed  $m$ -th column,  $n$ -th row ( $m$  and  $n$  starts from 0) corresponds to  $Z_k$  where:

$$k = m * D + \min(m, d) + n$$

In the matrix,  $X_i$  is set to

$$m = i \text{ mod } W, \quad n = i / W,$$

Thus  $Z_k$  which is set by the  $X_i$  becomes:

$$Z_k = X_i, \text{ where } k = (i \text{ mod } W) * D + \min(i \text{ mod } W, d) + i / W$$

The bits which are set with  $X_i$  in the interleaving matrix are shown as Figure 1.16 where:

$$D' = l_x / W$$

$$d' = l_x - D' * W$$

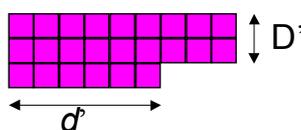


Figure 1.16 – The bits which are set with  $X_i$  in the interleaving matrix

Thus, in the  $m$ -th row,  $Y_j$  is set from the  $n$ -th row where  $n = D' + (m < d' ? 1 : 0)$  to the bottom. Thus  $Z_k$  set by  $Y_j$  is represented as follows:

```

Set j to 0;
for m = 0 to D-1 {
  for k = m * D + min(m, d) + D' + (m < d' ? 1 : 0) to (m+1) * D + min(m+1, d) - 1 {
     $Z_k = Y_j$ ;
    j ++;
  }
}

```

### 1.8.4.8.2 Modes of interleaving

Two modes of interleaving, mode 1 and mode 2, according to interleave\_type 1 and 2, are defined in the following subclauses. Table 1.63 and Table 1.64 give an overview of the available configurations.

Table 1.63 – Width of the interleaving matrix

interleave_type	fec_type == 0 (SRCPC)	fec_type == 1/2 (SRS)
0	no interleaving	
1	28 bit	length of class
2	depends on interleave_switch (see Table 1.64)	
3	reserved	

Table 1.64 – Width of the interleaving matrix for interleave\_type 2

interleave_switch	fec_type == 0 (SRCPC)	fec_type == 1/2 (SRS)
0	no interleaving	
1	length of class	length of class
2	28 bit	not permitted
3	concatenation	

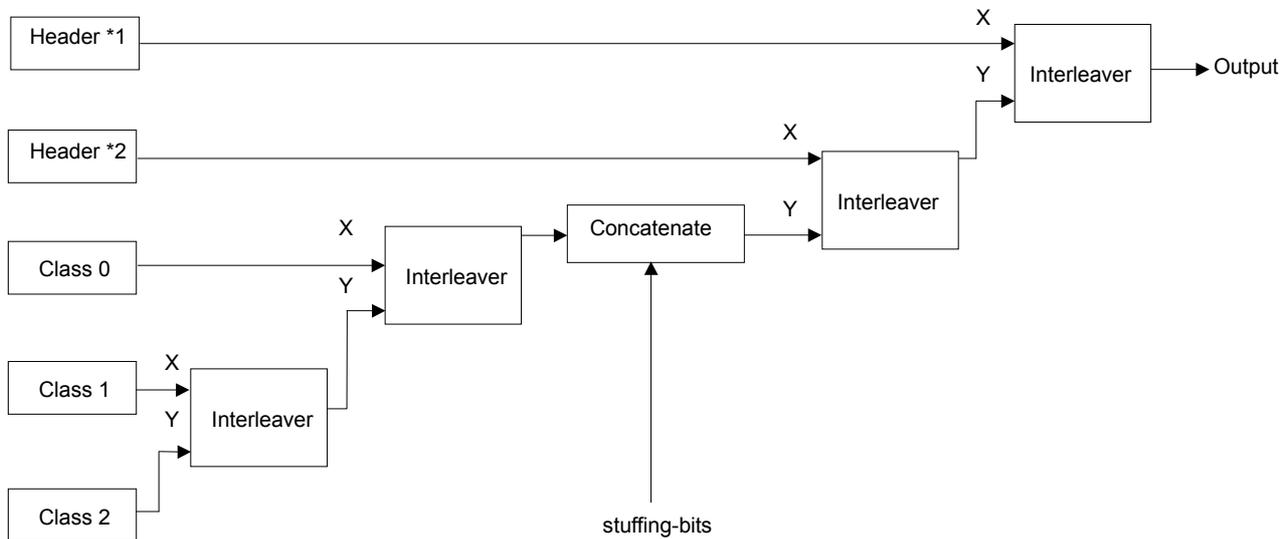
In the case of fec\_type=0 (SRCPC), the interleaving is performed bitwise. In the case of fec\_type == 1 or fec\_type == 2 (SRS), the interleaving is performed byte-wise.

**1.8.4.8.2.1 Interleaving operation in mode 1**

Multi-stage interleaving is processed for ep\_encoded\_class from the last class to first class, then the stuffing-bits are appended at the end of the interleaved classes. The interleaving process continues with class attribution part of ep\_header() (which is class\_attrib() + class\_attrib\_parity), and the pre-defined part of ep\_header() (which is choice\_of\_pred + choice\_of\_pred\_parity), as illustrated in Figure 1.17.

The width of the interleaving matrix is chosen according to the FEC in use. In the case of SRCPC coding (fec\_type == 0), the width of the interleaving matrix is 28 bit. In the case of SRS coding (fec\_type == 1 or 2), the width of the interleaving matrix is equal to the length of the class in bytes. Figure 1.18 shows the interleaving scheme principle for the latter case. The bits in the class are written into the interleaving matrix byte by byte for each column.

The width of the interleaving matrix for the header parts is either equal to the length of the codeword (in bits) provided by the block code according to Table 1.61, or 28 bits if SRCPC is used.



\*1 First part of Header : class\_attrib() followed by class\_attrib\_parity  
 \*2 Second part of Header : choice\_of\_pred followed by choice\_of\_pred\_parity

**Figure 1.17 – Interleaving process of mode1 specification**

**1.8.4.8.2.2 Interleaving operation in mode 2**

In mode 2, a flag indicates whether the class is processed with interleaver, and how it is interleaved. This flag interleave\_switch is signaled within the out-of-band information. The value 0 indicates the class is not processed by the interleaver. The value 1 indicates the class is interleaved by the recursive interleaver, and the length of the class is used as the width of the interleaver (either the length in bits in case of SRCPC or the length in bytes in case of SRS). The value 2 indicates the class is interleaved by the recursive interleaver, and the width is set to be equal to 28 (permitted only in case of SRCPC). The value 3 indicates the class is concatenated but not interleaved by the recursive interleaver. The interleaving operation for the ep\_header is same as mode 1.

Figure 1.18 shows the interleaving scheme principle for fec\_type == 1 or 2 (SRS) and interleave\_switch == 1. The width is set to be the number of bytes in the class. The bits in the class are written into the interleaving matrix byte by byte for each column.

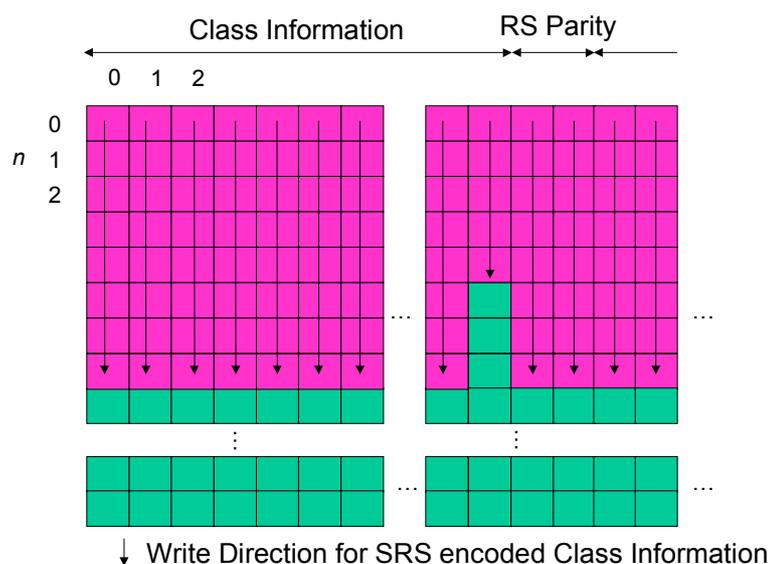


Figure 1.18 – Interleaving matrix in RS encoded class case

The interleaving process to obtain `interleaved_frame_mode2` is as follows (N: number of classes):

```

clear buffer BUF_NO /* Buffer for non-interleaved part. */
clear buffer BUF_Y /* Buffer for Y input in the next stage */
for( j = 0; j < N; j++ )
    if ( class_reordered_output == 1 ) {
        k = class_output_order[choice_of_pred][j];
    } else {
        k = j;
    }
    if ( interleave_switch[choice_of_pred][k] == 3 ) {
        add ep_encoded_class[k] to BUF_Y;
    }
    if ( interleave_switch[choice_of_pred][k] == 0 ) {
        add ep_encoded_class[k] to BUF_NO;
    }
}
for ( j = N-1; j >= 0; j-- ) {
    if ( class_reordered_output == 1 ) {
        k = class_output_order[choice_of_pred][j];
    } else {
        k = j;
    }
    if ( ( interleave_switch[choice_of_pred][k] != 0 )
        && ( interleave_switch[choice_of_pred][k] != 3 ) ) {
        if ( interleave_switch[choice_of_pred][k] == 1 ) {
            set the size of the interleave window to be the length of ep_encoded_class[k];
        } else if ( interleave_switch[choice_of_pred][k] == 2 ) {
            set the size of the interleave window to be 28;
        }
        input ep_encoded_class[k] into the recursive interleaver as X input;
        input BUF_Y into the recursive interleaver as Y input;
        set the output of the interleaver into BUF_Y;
    }
}
add BUF_NO to BUF_Y;
if( bit_stuffing ) {
    add Nstuff stuffing-bits to BUF_Y;
}
input class_attrib() followed by class_attrib_parity into the recursive interleaver as X
input;
input BUF_Y into the recursive interleaver as Y input;

```

```

set the output of the interleaver into BUF_Y;
input choice_of_pred followed by choice_of_pred_parity into the recursive interleaver as X
input;
input BUF_Y into the recursive interleaver as Y input;
set the output of the interleaver into BUF_Y;
set BUF_Y into interleaved_frame_mode2;
    
```

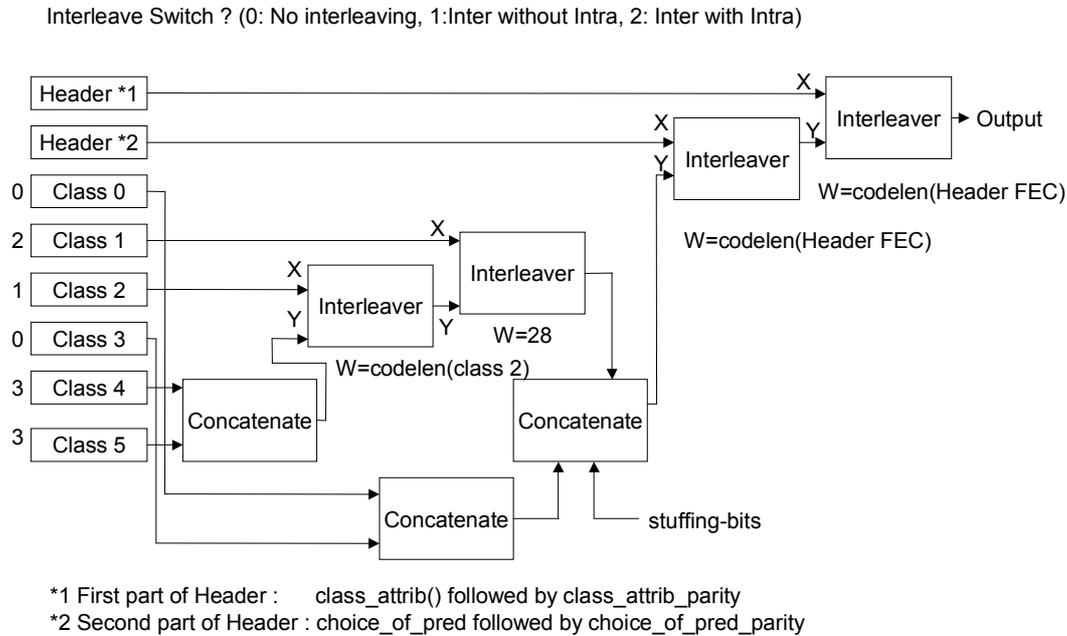
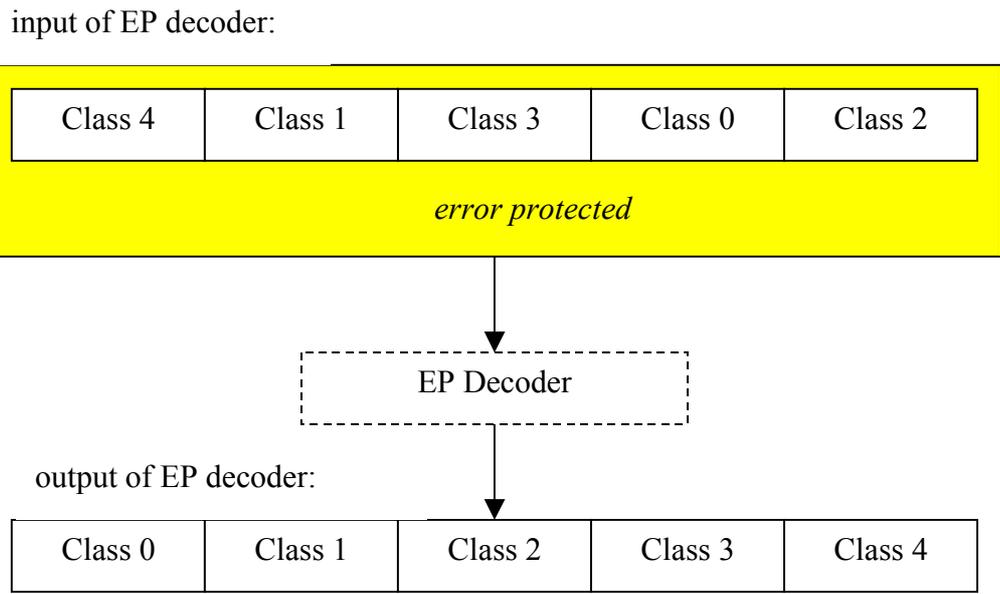


Figure 1.19 – Interleave process with class-wise control of interleaving

### 1.8.4.9 Class reordered output

The EP tool allows to reorder the classes such that it does not have to stick at the order provided / required by the audio codec. Figure 1.20 gives an example of the reordering process on decoder side. The class order after this reordering is signaled as **class\_output\_order[i][j]** in the out-of-band information. The EP decoder reorders the classes in the EP frame using i-th pre-defined set, so that the j-th class of EP frame is output as (**class\_output\_order[i][j]**)-th class to the audio decoder.



**Figure 1.20 – Example for class reordered output with class\_output\_order 4, 1, 3, 0, 2**

## Annex 1.A (informative)

### Audio Interchange Formats

#### 1.A.1 Introduction

The full capabilities and flexibility of MPEG-4 Audio, like the composition of audio scenes out of multiple audio objects, synthetic audio, and text to speech conversion, is available only if MPEG-4 Audio is used together with MPEG-4 Systems (ISO/IEC-14496-1). The interchange formats, defined in here in Annex A, only support a small subset of the capabilities of MPEG-4 Audio, by defining formats for the storage and transmission of a single mono or stereo or multi-channel audio object, very similar to the formats defined in MPEG-1 and MPEG-2.

As already stated in the introduction to subpart 1, the normative elements in MPEG-4 Audio end with the definition of the payloads (roughly equivalent to a bitstream frame in MPEG-1 and MPEG-2), and the coder configuration structures (resembling the MPEG-1/2 header information). However, there is no normative definition in MPEG-4 Audio how these elements are multiplexed, as this is required only for a limited number of applications. Nevertheless, this informative annex describes such a multiplex. However, MPEG-4 decoders are not obliged to comply to these interface formats.

#### 1.A.2 AAC Interchange formats

#### 1.A.3 Syntax

##### 1.A.3.1 MPEG-2 AAC Audio\_Data\_Interchange\_Format, ADIF

Table 1.A.1 – Syntax of adif\_sequence

Syntax	No. of bits	Mnemonic
<pre>adif_sequence() {   adif_header();   byte_alignment();   raw_data_stream(); }</pre>		

Table 1.A.2 – Syntax of `adif_header()`

Syntax	No. of bits	Mnemonic
<code>adif_header()</code>		
{		
<b>adif_id;</b>	<b>32</b>	<b>bslbf</b>
<b>copyright_id_present;</b>	<b>1</b>	<b>bslbf</b>
if (copyright_id_present)		
<b>copyright_id;</b>	<b>72</b>	<b>bslbf</b>
<b>original_copy;</b>	<b>1</b>	<b>bslbf</b>
<b>home;</b>	<b>1</b>	<b>bslbf</b>
<b>bitstream_type;</b>	<b>1</b>	<b>bslbf</b>
<b>bitrate;</b>	<b>23</b>	<b>uimsbf</b>
<b>num_program_config_elements;</b>	<b>4</b>	<b>bslbf</b>
if (bitstream_type == '0') {		
<b>adif_buffer_fullness;</b>	<b>20</b>	<b>uimsbf</b>
}		
for (i = 0; i < num_program_config_elements + 1; i++) {		
program_config_element();		
}		
}		

Table 1.A.3 – Syntax of `raw_data_stream()`

Syntax	No. of bits	Mnemonic
<code>raw_data_stream()</code>		
{		
while (data_available()) {		
raw_data_block();		
}		
}		

### 1.A.3.2 Audio\_Data\_Transport\_Stream frame, ADTS

Table 1.A.4 – Syntax of `adts_sequence()`

Syntax	No. of bits	Mnemonic
<code>adts_sequence()</code>		
{		
while (nextbits() == syncword) {		
adts_frame();		
}		
}		

Table 1.A.5 – Syntax of adts\_frame()

Syntax	No. of bits	Mnemonic
<pre> adts_frame() {     adts_fixed_header();     adts_variable_header();     if (number_of_raw_data_blocks_in_frame == 0) {         adts_error_check();         raw_data_block();     }     else {         adts_header_error_check();         for( i = 0; i &lt;= number_of_raw_data_blocks_in_frame; i++ ){             raw_data_block();             adts_raw_data_block_error_check();         }     } }                     </pre>		

1.A.3.2.1 Fixed Header of ADTS

Table 1.A.6 – Syntax of adts\_fixed\_header()

Syntax	No. of bits	Mnemonic
<pre> adts_fixed_header() {     <b>syncword;</b>     <b>ID;</b>     <b>layer;</b>     <b>protection_absent;</b>     <b>profile_ObjectType;</b>     <b>sampling_frequency_index;</b>     <b>private_bit;</b>     <b>channel_configuration;</b>     <b>original_copy;</b>     <b>home;</b> }                     </pre>	<p>12</p> <p>1</p> <p>2</p> <p>1</p> <p>2</p> <p>4</p> <p>1</p> <p>3</p> <p>1</p> <p>1</p>	<p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>uimsbf</b></p> <p><b>bslbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>bslbf</b></p> <p><b>uimsbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p>

1.A.3.2.2 Variable Header of ADTS

Table 1.A.7 – Syntax of adts\_variable\_header()

Syntax	No. of bits	Mnemonic
<pre> adts_variable_header() {     <b>copyright_identification_bit;</b>     <b>copyright_identification_start;</b>     <b>aac_frame_length;</b>     <b>adts_buffer_fullness;</b>     <b>number_of_raw_data_blocks_in_frame;</b> }                     </pre>	<p>1</p> <p>1</p> <p>13</p> <p>11</p> <p>2</p>	<p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>uimsbf</b></p>

## 1.A.3.2.3 Error detection

Table 1.A.8 – Syntax of `adts_error_check`

Syntax	No. of bits	Mnemonic
<pre>adts_error_check() {     if (protection_absent == '0')         <b>crc_check</b>; }</pre>	<b>16</b>	<b>Rpchof</b>

Table 1.A.9 – Syntax of `adts_header_error_check`

Syntax	No. of bits	Mnemonic
<pre>adts_header_error_check () {     if (protection_absent == '0') {         for (i = 1; i &lt;= number_of_raw_data_blocks_in_frame; i++) {             <b>raw_data_block_position</b>(i);         }         <b>crc_check</b>;     } }</pre>	<b>16</b>	<b>uimsfb</b>
	<b>16</b>	<b>rpchof</b>

Table 1.A.10 – Syntax of `adts_raw_data_block_error_check()`

Syntax	No. of bits	Mnemonic
<pre>adts_raw_data_block_error_check(i) {     if (protection_absent == '0')         <b>crc_check</b>; }</pre>	<b>16</b>	<b>rpchof</b>

## 1.A.4 Semantic

## 1.A.4.1 Overview

The `raw_data_block()` contains all data which belongs to the audio (including ancillary data). Beyond that, additional information like `sampling_frequency` is needed to fully describe an audio sequence. The Audio Data Interchange Format (ADIF) contains all elements that are necessary to describe a bitstream according to this standard.

For specific applications some or all of the syntax elements like those specified in the header of the ADIF, e.g. `sampling_rate`, may be known to the decoder by other means and hence do not appear in the bitstream.

Furthermore, additional information that varies from block to block (e.g. to enhance the parsability or error resilience) may be required. Therefore transport streams may be designed for a specific application and are not specified in this standard. However, one non-normative transport stream, called Audio Data Transport Stream (ADTS), is described. It may be used for applications in which the decoder can parse this stream.

**1.A.4.2 Audio Data Interchange Format (ADIF)**

The semantic of the Audio Data Interchange Format (ADIF) is specified in ISO/IEC 13818-7. The following additional definitions apply in the context of MPEG-4:

- `raw_data_stream()` sequence of `raw_data_block()`'s.
- `program_config_element()` Contains information about the configuration for one program. See subpart 4 for the definition.
- `raw_data_block()` Defined in subpart 4.

**1.A.4.3 Audio Data Transport Stream (ADTS)**

The semantic of the Audio Data Transport Stream (ADTS) is specified in ISO/IEC 13818-7. The following changes apply when used in MPEG4:

- `raw_data_block()` Defined in subpart 4.
- ID** MPEG identifier, set to '1' if the audio data in the ADTS stream is MPEG-2 AAC (see ISO/IEC 13818-7) and set to '0' if the audio data is MPEG-4. See also ISO/IEC 11172-3, subclause 2.4.2.3.
- profile\_ObjectType** The interpretation of this data element depends on the value of the ID bit. If ID is equal to '1' this field holds the same information as the profile field in the ADTS stream defined in ISO/IEC 13818-7. If ID is equal to '0' this element denotes the MPEG-4 Audio Object Type (`profile_ObjectType+1`) according to the table defined in subclause 1.5.2.1.

**Table 1.A.11 – MPEG-2 Audio profiles and MPEG-4 Audio object types**

profile	ObjectType	MPEG-2 profile (ID == 1)	MPEG-4 object type (ID == 0)
0		Main profile	AAC Main
1		Low Complexity profile (LC)	AAC LC
2		Scalable Sampling Rate profile (SSR)	AAC SSR
3		(reserved)	AAC LTP

- sampling\_frequency\_index** Indicates the sampling frequency used according to the table defined in subclause 1.6.3.4. The escape value is not permitted.
- channel\_configuration** Indicates the channel configuration used. In the case of (`channel_configuration > 0`), the channel configuration is given in Table 1.19. In the case of (`channel_configuration == 0`), the channel configuration is not specified in the header, but as follows:  
  
 MPEG-2/4 ADTS: A single `program_config_element()` following as first syntactic element in the first `raw_data_block()` after the header specifies the channel configuration. Note that the `program_config_element()` might not be present in each frame. An MPEG-4 ADTS decoder should not generate any output until it received a `program_config_element()`, while an MPEG-2 ADTS decoder may assume an implicit channel configuration.  
  
 MPEG-2 ADTS: Beside the usage of a `program_config_element()`, the channel configuration may be assumed to be given implicitly (see ISO/IEC13818-7) or may be known in the application.

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## Annex 1.B (informative)

### Error protection tool

Text file format of out-of-band information, and its example for AAC, Twin-VQ, CELP, and HVXC are presented. In addition, the example of error concealment is presented.

#### 1.B.1 Example of out-of-band information

##### 1.B.1.1 Example for AAC

based on the error sensitivity category assignment described within the normative part, the following error protection setup could be used, while sensitivity categories are directly mapped to classes. This example shows just a simple setup using one channel and no extension\_payload().

class	length	interleaving	SRCPC puncture rate	CRC length
0	6 bit in-band field	intra-frame	8/24	6
1	12 bit in-band field	intra-frame	8/24	6
2	9 bit in-band field	inter-frame	8/8	6
3	9 bit in-band field	none	8/8	4
4	until the end	inter-frame	8/8	none

##### 1.B.1.2 Example for Twin-VQ

This subclause describes examples of the bit assignment of UEP to the Scalable Audio Profile (TwinVQ object).

Here two encoding modes, PPC (Periodic Peak Component) - enable mode and disable mode, are described. Normally, encoder can adaptively select the PPC switch, but we force the switch always ON or always OFF in this experiment. If PPC is ON, 43 bits are assigned to quantize periodic peak components and these bits should be protected as side information.

For each mode we show bit assignment of four different bitrates, 16 kbit/s mono, 32 kbit/s stereo, 8 kbit/s + 8 kbit/s scalable mono and 16 kbit/s + 16 kbit/s stereo for each mode.

In all cases, error correction and detection tools are applied to only 10 % of bits for the side information. Remaining bits for the index of MDCT coefficients have no protection at all. As a result of these bit allocations, increase of bitrate comparing with the original source rate is around 10 % in case of PPC switch is ON, and less than 10% in case of the switch is OFF.

(A) PPC(Periodic Peak Component) enable version

- 16 kbit/s mono
  - Class 1: 121 bit(fixed), SRCPC code rate 8/12, 8 bit CRC
  - Class 2: 839 bit(fixed), SRCPC code rate 8/8, no CRC
- 32 kbit/s stereo
  - Class 1: 238 bit(fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 2: 1682 bit(fixed), SRCPC code rate 8/8, no CRC
- 8 kbit/s + 8 kbit/s scalable mono
  - Class 1: 121 bit(fixed), SRCPC code rate 8/12, 8 bit CRC
  - Class 2: 359 bit(fixed), SRCPC code rate 8/8, no CRC

Class 3: 72 bit(fixed), SRCPC code rate 8/12, 8 bit CRC  
 Class 4: 408 bit(fixed), SRCPC code rate 8/8, no CRC

- 16 kbit/s + 16 kbit/s scalable stereo
  - Class 1: 238 bit(fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 2: 722 bit(fixed), SRCPC code rate 8/8, no CRC
  - Class 3: 146 bit(fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 4: 814 bit(fixed), SRCPC code rate 8/8, no CRC

(B)PPC disable version

- 16 kbit/s mono
  - Class 1: 78 bit(fixed), SRCPC code rate 8/12, 8 bit CRC
  - Class 2: 882 bit(fixed), SRCPC code rate 8/8, no CRC
- 32 kbit/s stereo
  - Class 1: 152 bit(fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 2: 1768 bit(fixed), SRCPC code rate 8/8, no CRC
- 8 kbit/s + 8 kbit/s scalable mono
  - Class 1: 78 bit(fixed), SRCPC code rate 8/12, 8 bit CRC
  - Class 2: 402 bit(fixed), SRCPC code rate 8/8, no CRC
  - Class 3: 72 bit(fixed), SRCPC code rate 8/12, 8 bit CRC
  - Class 4: 408 bit(fixed), SRCPC code rate 8/8, no CRC
- 16 kbit/s + 16 kbit/s scalable stereo
  - Class 1: 152 bit (fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 2: 808 bit (fixed), SRCPC code rate 8/8, no CRC
  - Class 3: 146 bit (fixed), SRCPC code rate 8/12, 10 bit CRC
  - Class 4: 814 bit (fixed), SRCPC code rate 8/8, no CRC

1.B.1.3 Example for CELP

The following tables provide an overview of the number of bit that are assigned to each error sensitivity category, dependent on the configuration.

1.B.1.3.1 MPE-Narrowband Mode

Table 1.B.1 – Overview of bit assignment for MPE-narrowband

MPE-Mode	subframes	Bit/Frame	bitrate	ECR0	ECR1	ECR2	ECR3	ECR4
0	4	154	3850	6	13	20	37	78
1	4	170	4250	6	13	20	41	90
2	4	186	4650	6	13	20	45	102
3	3	147	4900	5	11	16	36	79
4	3	156	5200	5	11	16	39	85
5	3	165	5500	5	11	16	42	91
6	2	114	5700	4	9	12	29	60
7	2	120	6000	4	9	12	31	64
8	2	126	6300	4	9	12	33	68
9	2	132	6600	4	9	12	35	72
10	2	138	6900	4	9	12	37	76
11	2	142	7100	4	9	12	39	78
12	2	146	7300	4	9	12	41	80
13	4	154	7700	6	13	20	41	74
14	4	166	8300	6	13	20	45	82
15	4	174	8700	6	13	20	49	86
16	4	182	9100	6	13	20	53	90

17	4	190	9500	6	13	20	57	94
18	4	198	9900	6	13	20	61	98
19	4	206	10300	6	13	20	65	102
20	4	210	10500	6	13	20	69	102
21	4	214	10700	6	13	20	73	102
22	2	110	11000	4	9	12	33	52
23	2	114	11400	4	9	12	35	54
24	2	118	11800	4	9	12	37	56
25	2	120	12000	4	9	12	39	56
26	2	122	12200	4	9	12	41	56
27	4	186	6200	6	13	20	49	98
28	reserved							
29	reserved							
30	reserved							
31	reserved							

### 1.B.1.3.2 MPE-Wideband Mode

Table 1.B.2 – Overview of bit assignment for MPE-wideband

MPE-Mode	subframes	Bit/Frame	bitrate	ECR0	ECR1	ECR2	ECR3	ECR4
0	4	218	10900	17	20	27	45	109
1	4	230	11500	17	20	27	49	117
2	4	242	12100	17	20	27	53	125
3	4	254	12700	17	20	27	57	133
4	4	266	13300	17	20	27	61	141
5	4	278	13900	17	20	27	65	149
6	4	286	14300	17	20	27	69	153
7	reserved							
8	8	294	14700	17	32	43	61	141
9	8	318	15900	17	32	43	69	157
10	8	342	17100	17	32	43	77	173
11	8	358	17900	17	32	43	85	181
12	8	374	18700	17	32	43	93	189
13	8	390	19500	17	32	43	101	197
14	8	406	20300	17	32	43	109	205
15	8	422	21100	17	32	43	117	213
16	2	136	13600	17	14	19	29	57
17	2	142	14200	17	14	19	31	61
18	2	148	14800	17	14	19	33	65
19	2	154	15400	17	14	19	35	69
20	2	160	16000	17	14	19	37	73
21	2	166	16600	17	14	19	39	77
22	2	170	17000	17	14	19	41	79
23	reserved							
24	4	174	17400	17	20	27	37	73
25	4	186	18600	17	20	27	41	81
26	4	198	19800	17	20	27	45	89
27	4	206	20600	17	20	27	49	93
28	4	214	21400	17	20	27	53	97
29	4	222	22200	17	20	27	57	101
30	4	230	23000	17	20	27	61	105
31	4	238	23800	17	20	27	65	109

1.B.1.3.3 RPE-Wideband Mode

Table 1.B.3 – Characteristic parameters for wideband CELP with RPE

RPE Mode	subframes	Bit/frame	bitrate	ERC0	ERC1	ERC2	ERC3	ERC4
0	6	216	14400	40	24	34	25	93
1	4	160	16000	32	18	26	21	63
2	8	280	18667	48	30	42	29	131
3	10	338	22533	56	36	50	33	163

1.B.1.4 Example for HVXC

- 2 kbit/s source coder
  - Class 1: 22 bit (fixed), SRCPC code rate 8/16, 6 bit CRC
  - Class 2: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC
  - Class 3: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC
  - Class 4: 10 bit (fixed), SRCPC code rate 8/8, no CRC
- 4 kbit/s source coder
  - Class 1: 33 bit (fixed), SRCPC code rate 8/16, 6 bit CRC
  - Class 2: 22 bit (fixed), SRCPC code rate 8/8, 6 bit CRC
  - Class 3: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC
  - Class 4: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC
  - Class 5: 17 bit (fixed), SRCPC code rate 8/8, no CRC

1.B.1.5 Example for ER BSAC

This subclause describes examples of the bit assignment of Unequal Error Protection (UEP) to the ER BSAC Object Type.

The lower error sensitivity category (ESC) described in subpart 4, subclause 4.5.2.6.3 indicates the class with the higher error sensitivity, whereas the higher ESC indicates the class with the lower sensitivity. Based on the error sensitivity category of the BSAC syntax, the following error protection setup example could be used, This example shows just a simple setup where sensitivity categories are directly mapped to classes.

Class	category	length	interleaving	SRCPC puncture rate	CRC length
0	0	9 bit in-band field	intra-frame	8/24	6
1	others	11 bit in-band field	no	8/8	none

In this example, error correction and detection tools are applied to only the general side information. Remaining bits for the index of MDCT coefficients have no protection at all. As a result of these bit allocations, increase of bitrate comparing with the original source rate is around 10 %.

1.B.2 Example of error concealment

The error concealment tool is an optional decoder tool to reduce the quality degradation of decoded signals when the decoder input bitstream payload is affected by errors, such as bitstream payload transmission error. This is especially valid in applying the MPEG-4 Audio tools to radio applications. The error detection and decision method to replace a frame is not defined in this subclause and decided based on each application.

1.B.2.1 General

Detailed examples of the out-of-band information for the individual codec types are provided in ISO/IEC14496-5 in conjunction with the ep-tool software. Further examples are given in ISO/IEC 14496-4 by means of conformance test sequences with epConfig=2 and epConfig=3.

## 1.B.2.2 Example for CELP

### 1.B.2.2.1 Overview of the error concealment tool

The error concealment tool is used with the MPEG-4 CELP decoder described in ISO/IEC 14496-3. This tool reduces unpleasant noise when the MPEG-4 CELP decodes speech from erroneous input frame data. It also enables the MPEG-4 CELP to decode speech even if the input frame data is lost.

The tool has two operating modes: a Bit Error (BE) mode and a Frame Erasure (FE) mode. The mode is switched based on the availability of frame data at the decoder. When frame data is available (the BE mode), decoding is done using the frame data received in the past and the usable subpart of the current frame data. When frame data is not available (the FE mode), the decoder generates the speech using only the past frame data.

This tool operates according to a flag (the *BF\_flag*) that indicates whether the frame data is complete (*BF\_flag*=0), or is damaged by corruption and/or lost (*BF\_flag*=1). The flag is usually given by the channel coder or the transmission system.

This tool operates in Coding Mode II (subclause 3.1.2.1 of ISO/IEC 14496-3:2001), which has narrow band, wideband and band width scalable modes that use Multi-Pulse Excitation at sampling rates of 8 and 16 kHz.

### 1.B.2.2.2 Definitions

BE:	Bit Error
BWS:	BandWidth Scalable
FE:	Frame Erasure
LP:	Linear Prediction
LSP:	Line Spectral Pair
MPE:	Multi-Pulse Excitation
NB:	Narrow Band
RMS:	Root Mean Square (the frame energy)
WB:	WideBand

### 1.B.2.2.3 Helping variables

<i>frame_size</i> :	the number of samples in a frame
<i>g_ac</i> :	the adaptive codebook gain
<i>g_ec</i> :	the MPE gain
<i>lpc_order</i> :	the order of LP
<i>signal_mode</i> :	the speech mode
<i>signal_mode_pre</i> :	the speech mode of the previous frame

### 1.B.2.2.4 Specifications of the error concealment tool

The error concealment tool operates based on the transition model with six states depicted in Figure 1.B.1. The state indicates the quality of the transmission channel. The bigger the state number is, the worse the channel quality is. Each state has a different concealment operation. The initial state in decoding is State 0 and the state is transited based on the *BF\_flag*. Each concealment operation is described in the following subclauses.

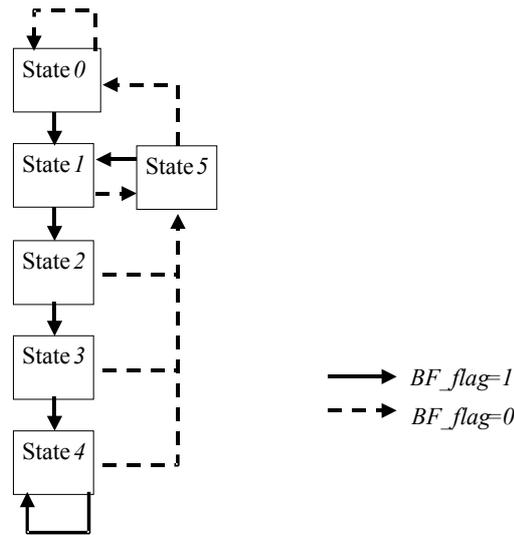


Figure 1.B.1 – State transition model for controlling the error concealment

**1.B.2.2.4.1 Operations in States 0 and 5**

The decoding process is identical to that of the MPEG-4 CELP decoder with the following exceptions regarding the adaptive codebook and the excitation codebook gains:

In State 0 following State 5, and in State 5:

(1) for the first 80 samples in and after the frame where the *BF\_flag* is changed from 1 to 0, the gains *g\_ac* and *g\_ec* are calculated from the gains *g\_ac'* and *g\_ec'* decoded from the current frame data as follows:

```
if (g_ac > 1.0) {
    g_ec = g_ec' / g_ac';
    g_ac = 1.0;
}
```

(2) for the 160 samples that follow the first 80 samples, the gains are calculated as:

```
if(g_ac > 1.0){
    g_ec = g_ec'*(g_ac'+1.0) /g_ac'/2.0 ;
    g_ac = (g_ac'+1.0)/2.0;
},
```

where these operations continue in, at most, four subframes.

**1.B.2.2.4.2 Operations in States 1, 2, 3 and 4**

The decoding process is identical to that of the MPEG-4 CELP decoder with the exceptions described in the following subclauses.

**1.B.2.2.4.2.1 Speech mode**

**1.B.2.2.4.2.1.1 FE mode**

The speech mode (*signal\_mode*) is decoded from the previous frame data.

**1.B.2.2.4.2.1.2 BE mode**

The speech mode (*signal\_mode*) is decoded from the current frame data for *signal\_mode\_pre*=0 or 1. Otherwise, the mode is decoded from the previous frame data.

**1.B.2.2.4.2.2 Multi-Pulse Excitation (MPE)****1.B.2.2.4.2.2.1 FE mode**

The MPE is decoded from the randomly generated frame data.

**1.B.2.2.4.2.2.2 BE mode**

The MPE is decoded from the frame data received in the current frame.

**1.B.2.2.4.2.3 RMS**

For State 1 through  $K$ , the RMS in the last subframe of the previous frame is used after being attenuated in the first subframe of the current frame. In the following subframes, the RMS in the previous subframe is used after being attenuated. The attenuation level  $P_{att}$  depends on the state as follows:

$$P_{att} = \begin{cases} 0.4 \text{ dB} & \text{for State } 1, \dots, K \\ 1.2 \text{ dB} & \text{for State } K + 1, \dots \end{cases}$$

where  $K$  is the smaller number of 4 and  $K_0$ , and  $K_0$  is the maximum integer satisfying  $frame\_size \times K_0 \leq 320$ .

**1.B.2.2.4.2.4 LSP**

The LSPs decoded in the previous frame are used. In the BWS mode, the LSP codevectors should be buffered for the interframe prediction described in subclause 3.5.6.3.3 of ISO/IEC 14496-3:2001. However, when the frame data is corrupted or lost, the correct codevector can not be obtained. Therefore, the buffered codevector ( $blsp[0][i]$ ) is estimated from the LSP ( $qlsp\_pre[i]$ ) in the previous frame, the predicted LSP ( $vec\_hat[i]$ ) and the prediction coefficient ( $cb[0][i]$ ) in the current frame as follows:

```
for (i = 0; i < lpc_order; i++) {
    blsp[0][i] = (qlsp_pre[i] - vec_hat[i])/cb[0][i];
}
```

**1.B.2.2.4.2.5 Delay of the adaptive codebook****1.B.2.2.4.2.5.1 FE mode**

All the delays of the adaptive codebook are decoded from the delay index received in the last subframe of the previous frame.

**1.B.2.2.4.2.5.2 BE mode**

(1) When *signal\_mode\_pre*=0, the delays are decoded from the current frame data.

(2) When *signal\_mode\_pre*=1, and if the maximum difference between the delay indices of the adjacent subframes in the frame are less than 10, the delays are decoded from the delay indices in the current frame. In each subframe where the difference in the delay indices between the current and the previous subframes is equal to or greater than 10, the delay is decoded from the index of the previous subframe.

(3) When *signal\_mode\_pre*= 2 or 3, the delay is decoded from the index in the last subframe of the previous frame.

**1.B.2.2.4.2.6 Gains**

**1.B.2.2.4.2.6.1 Index operation**

**1.B.2.2.4.2.6.1.1 FE mode**

All the gains have the same value, which is decoded from the gain index received in the last subframe of the previous frame.

**1.B.2.2.4.2.6.1.2 BE mode**

The gains are decoded from current frame data.

**1.B.2.2.4.2.6.2 Adjustment operation**

**1.B.2.2.4.2.6.2.1 FE mode**

(1) When *signal\_mode\_pre*=0, the gains *g\_ac'* and *g\_ec'* are decoded from the current frame data. The gains *g\_ac* and *g\_ec* are then obtained by multiplying *g\_ac'* by 0.5 and *g\_ec'* by *X*, respectively. *X* satisfies the following equation and is calculated in each subframe:

$$(g_{ac}' * g_{ac}')^A + (g_{ec}' * g_{ec}')^B = ((0.5 * g_{ac}') * (0.5 * g_{ac}'))^A + ((X * g_{ec}') * (X * g_{ec}'))^B$$

where

$$A = norm_{ac} \times norm_{ac}$$

$$B = norm_{ec} \times norm_{ec}$$

*norm<sub>ac</sub>* and *norm<sub>ec</sub>* are the respective RMS values of the adaptive and the excitation codevectors.

(2) When *signal\_mode\_pre*=1, the gains are decoded from the current frame data.

(3) When *signal\_mode\_pre*=2 or 3, gains for the first 320 samples in or after the frame where the *BF\_flag* is changed from 0 to 1, are calculated as:

$$g_{ac} = 0.95 \times 10^{(-0.4/20)}$$

$$g_{ec} = X \times 10^{(-0.4/20)}$$

After the first 320 samples,

$$g_{ac} = 0.95 \times 10^{(-1.2/20)}$$

$$g_{ec} = X \times 10^{(-1.2/20)}$$

where  $X = 0.05 \times \frac{norm_{ac}}{norm_{ec}}$ .

**1.B.2.2.4.2.6.2.2 BE mode**

(1) When *signal\_mode\_pre*=0 or 1, the gains are calculated using the gains *g\_ac'* and *g\_ec'* decoded from the current frame data and the gains *g\_ac\_pre* and *g\_ec\_pre* of the previous subframe so that the calculated gains fall in a normal range and generate no unpleasant noise as follows:

```

if (g_ac > 1.2589) {
    g_ec = g_ec' * 1.2589/g_ac';
    g_ac = 1.2589;
}
if (g_ec > 1.2589*g_ec_pre) {
    g_ac = g_ac' * 1.2589*g_ec_pre/g_ec';
    g_ec = g_ec_pre*1.2589;
}
if (signal_mode = 1 & g_ac_pre < 1.2589 & g_ac < g_ac_pre*0.7943) {
    g_ac = g_ac_pre*0.7943;
    g_ec = g_ec_pre*0.7943;
}.

```

(2) When *signal\_mode\_pre*=2 or 3, the operation is identical to that for *signal\_mode\_pre*=2 or 3 in the FE mode.

### 1.B.2.3 Error concealment for the silence compression tool

In frames where the bitstream payload received at the decoder is corrupted or lost due to transmission bit errors, error concealment is performed. When the received *TX\_flag* is 1, the decoding process is identical to that of the error concealment for the MPEG-4 CELP. For *TX\_flag*=0, 2 or 3, the decoding process for the *TX\_flag*=0 is used.

## 1.B.3 Example of EP tool setting and error concealment for HVXC

This subclause describes one example of the implementation of EP (Error Protection) tool and error concealment method for HVXC. Some of perceptually important bits are protected by FEC (forward error correction) scheme and some are checked by CRC to judge whether or not erroneous bits are included. When CRC error occurs, error concealment is executed to reduce perceptible degradation.

It should be noted that error correction method and EP tool setting, error concealment algorithm described below are one example, and they should be modified depending on the actual channel conditions.

### 1.B.3.1 Definitions

--- 2/4 kbit/s common parameters ---

LSP1	LSP index 1	(5 bit)
LSP2	LSP index 2	(7 bit)
LSP3	LSP index 3	(5 bit)
LSP4	LSP index 4	(1 bit)
VUV	voiced/unvoiced flag	(2 bit)
Pitch	pitch parameter	(7 bit)
SE_shape1	spectrum index 0	(4 bit)
SE_shape2	spectrum index 1	(4 bit)
SE_gain	spectrum gain index	(5 bit)
VX_shape1[0]	stochastic codebook index 0	(6 bit)
VX_shape1[1]	stochastic codebook index 1	(6 bit)
VX_gain1[0]	gain codebook index 0	(4 bit)
VX_gain1[1]	gain codebook index 1	(4 bit)

--- only 4 kbit/s parameters ---

LSP5	LSP index 5	(8 bit)
SE_shape3	4k spectrum index 0	(7 bit)
SE_shape4	4k spectrum index 1	(10 bit)

SE_shape5	4k spectrum index 2	(9 bit)
SE_shape6	4k spectrum index 3	(6 bit)
VX_shape2[0]	4k stochastic codebook index 0	(5 bit)
VX_shape2[1]	4k stochastic codebook index 1	(5 bit)
VX_shape2[2]	4k stochastic codebook index 2	(5 bit)
VX_shape2[3]	4k stochastic codebook index 3	(5 bit)
VX_gain2[0]	4k gain codebook index 0	(3 bit)
VX_gain2[1]	4k gain codebook index 1	(3 bit)
VX_gain2[2]	4k gain codebook index 2	(3 bit)
VX_gain2[3]	4k gain codebook index 3	(3 bit)

**1.B.3.2 Channel coding**

**1.B.3.2.1 Protected bit selection**

According to the sensitivity of bits, encoded bits are classified to several classes. The number of bits for each class is shown in the Table 1.B.4, Table 1.B.5 (2 kbit/s), Table 1.B.6 and Table 1.B.7 (4 kbit/s). As an example, bitrate setting of 3.5 kbit/s(for 2 kbit/s) and 6.2 kbit/s (for 4 kbit/s) are shown. In these cases, two source coder frames are processed as one set. Suffix “p” means parameters of the “previous” frame, and “c” means those of the “current” frame.

For 3.5 kbit/s mode, 6 classes are used. CRC check is applied for class I, II, III, IV, and V bits. Class VI bits are not checked by CRC.

The Table 1.B.4 below shows protected/unprotected(class I...VI) bit assignment in the case where both previous and current frames are voiced.

**Table 1.B.4 – Number of protected/unprotected bits at 3.5 kbit/s (voiced frame)**

para-meters	voiced frame							total
	class I bits	class II bits	class III bits	class IV bits	class V bits	class VI bits		
LSP1p/c	5/5	-	-	-	-	-	10	
LSP2p/c	2/2	-	-	-	-	5/5	14	
LSP3p/c	1/1	-	-	-	-	4/4	10	
LSP4p/c	1/1	-	-	-	-	-	2	
VUVp/c	2/2	-	-	-	-	-	4	
Pitchp/c	6/6	-	-	-	-	1/1	14	
SE_gainp/c	5/5	-	-	-	-	-	10	
SE_shape1p	-	4	-	-	-	-	4	
SE_shape1c	-	-	-	4	-	-	4	
SE_shape2p	-	-	4	-	-	-	4	
SE_shape2c	-	-	-	-	4	-	4	
<b>total</b>	<b>44</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>20</b>	<b>80</b>	

The Table 1.B.5 shows protected/unprotected(class I...VI) bit assignment in the case where both previous and current frames are unvoiced.

Table 1.B.5 – Number of protected/unprotected bits at 3.5 kbit/s (unvoiced frame)

para-meters	unvoiced frame							total
	class I bits	class II bits	class III bits	class IV bits	Class V bits	class VI bits		
LSP1p/c	5/5	-	-	-	-	-	-	10
LSP2p/c	4/4	-	-	-	-	-	3/3	14
LSP3p/c	2/2	-	-	-	-	-	3/3	10
LSP4p/c	1/1	-	-	-	-	-	-	2
VUVp/c	2/2	-	-	-	-	-	-	4
VX_gain1[0]p/c	4/4	-	-	-	-	-	-	8
VX_gain1[1]p/c	4/4	-	-	-	-	-	-	8
VX_shape1[0]p/	-	-	-	-	-	-	6/6	12
VX_shape1[1]p/	-	-	-	-	-	-	6/6	12
<b>total</b>	<b>44</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>36</b>	<b>80</b>

When the previous frame is unvoiced and the current frame is voiced, or when the previous frame is voiced and the current frame is unvoiced, the same protected/unprotected bit assignment rules as shown above are used.

For 6.2 kbit/s mode, 7 classes are used. CRC check is applied for class I, II, III, IV, V, and VI bits. Class VII bits are not checked by CRC.

The Table 1.B.6 shows protected/unprotected(class I...VII) bit assignment in the case where both previous and current frames are voiced.

Table 1.B.6 – Number of protected/unprotected bits at 6.2 kbit/s (voiced sound)

Para-meters	voiced sound							total
	class I bits	class II bits	class III bits	class IV bits	class V bits	class VI bits	class VII bits	
LSP1p/c	5/5	-	-	-	-	-	-	10
LSP2p/c	4/4	-	-	-	-	-	3/3	14
LSP3p/c	1/1	-	-	-	-	-	4/4	10
LSP4p/c	1/1	-	-	-	-	-	-	2
LSP5p/c	1/1	-	-	-	-	-	7/7	16
VUVp/c	2/2	-	-	-	-	-	-	4
Pitchp/c	6/6	-	-	-	-	-	1/1	14
SE_gainp/c	5/5	-	-	-	-	-	-	10
SE_shape1p	-	-	4	-	-	-	-	4
SE_shape1c	-	-	-	-	4	-	-	4
SE_shape2p	-	-	-	4	-	-	-	4
SE_shape2c	-	-	-	-	-	4	-	4
SE_shape3p/c	5/5	-	-	-	-	-	2/2	14
SE_shape4p/c	1/1	9/9	-	-	-	-	-	20
SE_shape5p/c	1/1	8/8	-	-	-	-	-	18
SE_shape6p/c	1/1	5/5	-	-	-	-	-	12
<b>Total</b>	<b>66</b>	<b>44</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>34</b>	<b>160</b>

The Table 1.B.7 below shows protected/unprotected(class I...VII) bit assignment in the case where both previous and current frames are unvoiced.

**Table 1.B.7 – Number of protected/unprotected bits at 6.2 kbit/s (unvoiced sound)**

Para-meters	unvoiced sound							
	class I bits	class II bits	class III bits	class IV bits	class V bits	class VI bits	class VII bits	total
LSP1p/c	5/5	-	-	-	-	-	-	10
LSP2p/c	4/4	-	-	-	-	-	3/3	14
LSP3p/c	1/1	-	-	-	-	-	4/4	10
LSP4p/c	1/1	-	-	-	-	-	-	2
LSP5p/c	1/1	-	-	-	-	-	7/7	16
VUVp/c	2/2	-	-	-	-	-	-	4
VX_gain1[0]p/c	4/4	-	-	-	-	-	-	8
VX_gain1[1]p/c	4/4	-	-	-	-	-	-	8
VX_shape1[0]p/	-	-	-	-	-	-	6/6	12
VX_shape1[1]p/	-	-	-	-	-	-	6/6	12
VX_gain2[0]p/c	3/3	-	-	-	-	-	-	6
VX_gain2[1]p/c	3/3	-	-	-	-	-	-	6
VX_gain2[2]p/c	3/3	-	-	-	-	-	-	6
VX_gain2[3]p/c	2/2	-	-	-	-	-	1/1	6
VX_shape2[0]p/	-	-	-	-	-	-	5/5	10
VX_shape2[1]p/	-	-	-	-	-	-	5/5	10
VX_shape2[2]p/	-	-	-	-	-	-	5/5	10
VX_shape2[3]p/	-	-	-	-	-	-	5/5	10
<b>total</b>	<b>66</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>94</b>	<b>160</b>

When the previous frame is unvoiced and the current frame is voiced, or when the previous frame is voiced and the current frame is unvoiced, the same protected/unprotected bit assignment rules as shown above are used.

The bit order for UEP input is shown from Table 1.B.8 through Table 1.B.11 (for 2 kbit/s), and from Table 1.B.12 through Table 1.B.15 (for 4 kbit/s). These tables show the bit order for each of the combinations of V/UV condition of 2 frames. For example, if previous frame is voiced and current frame is voiced at 2 kbit/s mode, Table 1.B.8 is used. The bit order is arranged according to the error sensitivity. The column "Bit" denotes the bit index of the parameter. "0" means LSB.

**Table 1.B.8 – Bit Order for 2 kbit/s (voiced frame -- voiced frame)**

voiced frame – voiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class I Bit</b>			<b>28</b>	SE_gainc	1	<b>54</b>	SE_shape1c	1
<b>0</b>	VUVp	1	<b>29</b>	SE_gainc	0	<b>55</b>	SE_shape1c	0
<b>1</b>	VUVp	0	<b>30</b>	LSP1c	4	<b>Class V Bit</b>		
<b>2</b>	LSP4p	0	<b>31</b>	LSP1c	3	<b>56</b>	SE_shape2c	3
<b>3</b>	SE_gainp	4	<b>32</b>	LSP1c	2	<b>57</b>	SE_shape2c	2
<b>4</b>	SE_gainp	3	<b>33</b>	LSP1c	1	<b>58</b>	SE_shape2c	1
<b>5</b>	SE_gainp	2	<b>34</b>	LSP1c	0	<b>59</b>	SE_shape2c	0
<b>6</b>	SE_gainp	1	<b>35</b>	Pitchc	6	<b>Class VI Bit</b>		
<b>7</b>	SE_gainp	0	<b>36</b>	Pitchc	5	<b>60</b>	LSP2p	4

8	LSP1p	4	37	Pitchc	4	61	LSP2p	3
9	LSP1p	3	38	Pitchc	3	62	LSP2p	2
10	LSP1p	2	39	Pitchc	2	63	LSP2p	1
11	LSP1p	1	40	Pitchc	1	64	LSP2p	0
12	LSP1p	0	41	LSP2c	6	65	LSP3p	3
13	Pitchp	6	42	LSP3c	4	66	LSP3p	2
14	Pitchp	5	43	LSP2c	5	67	LSP3p	1
15	Pitchp	4	<b>Class II Bit</b>			68	LSP3p	0
16	Pitchp	3	44	SE_shape1p	3	69	Pitchp	0
17	Pitchp	2	45	SE_shape1p	2	70	LSP2c	4
18	Pitchp	1	46	SE_shape1p	1	71	LSP2c	3
19	LSP2p	6	47	SE_shape1p	0	72	LSP2c	2
20	LSP3p	4	<b>Class III Bit</b>			73	LSP2c	1
21	LSP2p	5	48	SE_shape2p	3	74	LSP2c	0
22	VUVc	1	49	SE_shape2p	2	75	LSP3c	3
23	VUVc	0	50	SE_shape2p	1	76	LSP3c	2
24	LSP4c	0	51	SE_shape2p	0	77	LSP3c	1
25	SE_gainc	4	<b>Class IV Bit</b>			78	LSP3c	0
26	SE_gainc	3	52	SE_shape1c	3	79	Pitchc	0
27	SE_gainc	2	53	SE_shape1c	2			

Table 1.B.9 – Bit Order for 2 kbit/s (voiced frame – unvoiced frame)

voiced frame – unvoiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class I Bit</b>			28	VX_gain1[0]c	0	54	LSP2c	0
0	VUVp	1	29	VX_gain1[1]c	3	55	LSP3c	2
1	VUVp	0	30	VX_gain1[1]c	2	<b>Class V Bit</b>		
2	LSP4p	0	31	VX_gain1[1]c	1	56	LSP3c	1
3	SE_gainp	4	32	VX_gain1[1]c	0	57	LSP3c	0
4	SE_gainp	3	33	LSP1c	4	58	VX_shape1[0]c	5
5	SE_gainp	2	34	LSP1c	3	59	VX_shape1[0]c	4
6	SE_gainp	1	35	LSP1c	2	<b>Class VI Bit</b>		
7	SE_gainp	0	36	LSP1c	1	60	LSP2p	4
8	LSP1p	4	37	LSP1c	0	61	LSP2p	3
9	LSP1p	3	38	LSP2c	6	62	LSP2p	2
10	LSP1p	2	39	LSP2c	5	63	LSP2p	1
11	LSP1p	1	40	LSP2c	4	64	LSP2p	0
12	LSP1p	0	41	LSP2c	3	65	LSP3p	3
13	Pitchp	6	42	LSP3c	4	66	LSP3p	2
14	Pitchp	5	43	LSP3c	3	67	LSP3p	1
15	Pitchp	4	<b>Class II Bit</b>			68	LSP3p	0
16	Pitchp	3	44	SE_shape1p	3	69	Pitchp	0
17	Pitchp	2	45	SE_shape1p	2	70	VX_shape1[0]c	3
18	Pitchp	1	46	SE_shape1p	1	71	VX_shape1[0]c	2
19	LSP2p	6	47	SE_shape1p	0	72	VX_shape1[0]c	1
20	LSP3p	4	<b>Class III Bit</b>			73	VX_shape1[0]c	0
21	LSP2p	5	48	SE_shape2p	3	74	VX_shape1[1]c	5
22	VUVc	1	49	SE_shape2p	2	75	VX_shape1[1]c	4

23	VUVc	0	50	SE_shape2p	1	76	VX_shape1[1]c	3
24	LSP4c	0	51	SE_shape2p	0	77	VX_shape1[1]c	2
25	VX_gain1[0]c	3	Class IV Bit			78	VX_shape1[1]c	1
26	VX_gain1[0]c	2	52	LSP2c	2	79	VX_shape1[1]c	0
27	VX_gain1[0]c	1	53	LSP2c	1			

Table 1.B.10 – Bit Order for 2 kbit/s (unvoiced frame – voiced frame)

unvoiced frame – unvoiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
Class I Bit			28	SE_gainc	1	54	SE_shape1c	1
0	VUVp	1	29	SE_gainc	0	55	SE_shape1c	0
1	VUVp	0	30	LSP1c	4	Class V Bit		
2	LSP4p	0	31	LSP1c	3	56	SE_shape2c	3
3	VX_gain1[0]p	3	32	LSP1c	2	57	SE_shape2c	2
4	VX_gain1[0]p	2	33	LSP1c	1	58	SE_shape2c	1
5	VX_gain1[0]p	1	34	LSP1c	0	59	SE_shape2c	0
6	VX_gain1[0]p	0	35	Pitchc	6	Class VI Bit		
7	VX_gain1[1]p	3	36	Pitchc	5	60	VX_shape1[0]p	3
8	VX_gain1[1]p	2	37	Pitchc	4	61	VX_shape1[0]p	2
9	VX_gain1[1]p	1	38	Pitchc	3	62	VX_shape1[0]p	1
10	VX_gain1[1]p	0	39	Pitchc	2	63	VX_shape1[0]p	0
11	LSP1p	4	40	Pitchc	1	64	VX_shape1[1]p	5
12	LSP1p	3	41	LSP2c	6	65	VX_shape1[1]p	4
13	LSP1p	2	42	LSP3c	4	66	VX_shape1[1]p	3
14	LSP1p	1	43	LSP2c	5	67	VX_shape1[1]p	2
15	LSP1p	0	Class II Bit			68	VX_shape1[1]p	1
16	LSP2p	6	44	LSP2p	2	69	VX_shape1[1]p	0
17	LSP2p	5	45	LSP2p	1	70	LSP2c	4
18	LSP2p	4	46	LSP2p	0	71	LSP2c	3
19	LSP2p	3	47	LSP3p	2	72	LSP2c	2
20	LSP3p	4	Class III Bit			73	LSP2c	1
21	LSP3p	3	48	LSP3p	1	74	LSP2c	0
22	VUVc	1	49	LSP3p	0	75	LSP3c	3
23	VUVc	0	50	VX_shape1[0]p	5	76	LSP3c	2
24	LSP4c	0	51	VX_shape1[0]p	4	77	LSP3c	1
25	SE_gainc	4	Class IV Bit			78	LSP3c	0
26	SE_gainc	3	52	SE_shape1c	3	79	Pitchc	0
27	SE_gainc	2	53	SE_shape1c	2			

Table 1.B.11 – Bit Order for 2 kbit/s (unvoiced frame – unvoiced frame)

unvoiced frame – unvoiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
Class I Bit			28	VX_gain1[0]c	0	54	LSP2c	0
0	VUVp	1	29	VX_gain1[1]c	3	55	LSP3c	2
1	VUVp	0	30	VX_gain1[1]c	2	Class V Bit		
2	LSP4p	0	31	VX_gain1[1]c	1	56	LSP3c	1

3	VX_gain1[0]p	3	32	VX_gain1[1]c	0	57	LSP3c	0
4	VX_gain1[0]p	2	33	LSP1c	4	58	VX_shape1[0]c	5
5	VX_gain1[0]p	1	34	LSP1c	3	59	VX_shape1[0]c	4
6	VX_gain1[0]p	0	35	LSP1c	2	<b>Class VI Bit</b>		
7	VX_gain1[1]p	3	36	LSP1c	1	60	VX_shape1[0]p	3
8	VX_gain1[1]p	2	37	LSP1c	0	61	VX_shape1[0]p	2
9	VX_gain1[1]p	1	38	LSP2c	6	62	VX_shape1[0]p	1
10	VX_gain1[1]p	0	39	LSP2c	5	63	VX_shape1[0]p	0
11	LSP1p	4	40	LSP2c	4	64	VX_shape1[1]p	5
12	LSP1p	3	41	LSP2c	3	65	VX_shape1[1]p	4
13	LSP1p	2	42	LSP3c	4	66	VX_shape1[1]p	3
14	LSP1p	1	43	LSP3c	3	67	VX_shape1[1]p	2
15	LSP1p	0	<b>Class II Bit</b>			68	VX_shape1[1]p	1
16	LSP2p	6	44	LSP2p	2	69	VX_shape1[1]p	0
17	LSP2p	5	45	LSP2p	1	70	VX_shape1[0]c	3
18	LSP2p	4	46	LSP2p	0	71	VX_shape1[0]c	2
19	LSP2p	3	47	LSP3p	2	72	VX_shape1[0]c	1
20	LSP3p	4	<b>Class III Bit</b>			73	VX_shape1[0]c	0
21	LSP3p	3	48	LSP3p	1	74	VX_shape1[1]c	5
22	VUVc	1	49	LSP3p	0	75	VX_shape1[1]c	4
23	VUVc	0	50	VX_shape1[0]p	5	76	VX_shape1[1]c	3
24	LSP4c	0	51	VX_shape1[0]p	4	77	VX_shape1[1]c	2
25	VX_gain1[0]c	3	<b>Class IV Bit</b>			78	VX_shape1[1]c	1
26	VX_gain1[0]c	2	52	LSP2c	2	79	VX_shape1[1]c	0
27	VX_gain1[0]c	1	53	LSP2c	1			

Table 1.B.12 – Bit Order for 4 kbit/s (voiced frame – voiced frame)

voiced frame – voiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class 1bit</b>			55	LSP2c	3	<b>Class III Bit</b>		
0	VUVp	1	56	SE_shape3c	6	110	SE_shape1p	3
1	VUVp	0	57	SE_shape3c	5	111	SE_shape1p	2
2	LSP4p	0	58	SE_shape3c	4	112	SE_shape1p	1
3	SE_gainp	4	59	SE_shape3c	3	113	SE_shape1p	0
4	SE_gainp	3	60	SE_shape3c	2	<b>Class IV Bit</b>		
5	SE_gainp	2	61	LSP3c	4	114	SE_shape2p	3
6	SE_gainp	1	62	LSP5c	7	115	SE_shape2p	2
7	SE_gainp	0	63	SE_shape4c	9	116	SE_shape2p	1
8	LSP1p	4	64	SE_shape5c	8	117	SE_shape2p	0
9	LSP1p	3	65	SE_shape6c	5	<b>Class V Bit</b>		
10	LSP1p	2	<b>Class II Bit</b>			118	SE_shape1c	3
11	LSP1p	1	66	SE_shape4p	8	119	SE_shape1c	2
12	LSP1p	0	67	SE_shape4p	7	120	SE_shape1c	1
13	Pitchp	6	68	SE_shape4p	6	121	SE_shape1c	0
14	Pitchp	5	69	SE_shape4p	5	<b>Class VI Bit</b>		
15	Pitchp	4	70	SE_shape4p	4	122	SE_shape2c	3
16	Pitchp	3	71	SE_shape4p	3	123	SE_shape2c	2

17	Pitchp	2	72	SE_shape4p	2	124	SE_shape2c	1
18	Pitchp	1	73	SE_shape4p	1	125	SE_shape2c	0
19	LSP2p	6	74	SE_shape4p	0	<b>Class VII Bit</b>		
20	LSP2p	5	75	SE_shape5p	7	126	LSP2p	2
21	LSP2p	4	76	SE_shape5p	6	127	LSP2p	1
22	LSP2p	3	77	SE_shape5p	5	128	LSP2p	0
23	SE_shape3p	6	78	SE_shape5p	4	129	LSP3p	3
24	SE_shape3p	5	79	SE_shape5p	3	130	LSP3p	2
25	SE_shape3p	4	80	SE_shape5p	2	131	LSP3p	1
26	SE_shape3p	3	81	SE_shape5p	1	132	LSP3p	0
27	SE_shape3p	2	82	SE_shape5p	0	133	LSP5p	6
28	LSP3p	4	83	SE_shape6p	4	134	LSP5p	5
29	LSP5p	7	84	SE_shape6p	3	135	LSP5p	4
30	SE_shape4p	9	85	SE_shape6p	2	136	LSP5p	3
31	SE_shape5p	8	86	SE_shape6p	1	137	LSP5p	2
32	SE_shape6p	5	87	SE_shape6p	0	138	LSP5p	1
33	VUVc	1	88	SE_shape4c	8	139	LSP5p	0
34	VUVc	0	89	SE_shape4c	7	140	Pitchp	0
35	LSP4c	0	90	SE_shape4c	6	141	SE_shape3p	1
36	SE_gainc	4	91	SE_shape4c	5	142	SE_shape3p	0
37	SE_gainc	3	92	SE_shape4c	4	143	LSP2c	2
38	SE_gainc	2	93	SE_shape4c	3	144	LSP2c	1
39	SE_gainc	1	94	SE_shape4c	2	145	LSP2c	0
40	SE_gainc	0	95	SE_shape4c	1	146	LSP3c	3
41	LSP1c	4	96	SE_shape4c	0	147	LSP3c	2
42	LSP1c	3	97	SE_shape5c	7	148	LSP3c	1
43	LSP1c	2	98	SE_shape5c	6	149	LSP3c	0
44	LSP1c	1	99	SE_shape5c	5	150	LSP5c	6
45	LSP1c	0	100	SE_shape5c	4	151	LSP5c	5
46	Pitchc	6	101	SE_shape5c	3	152	LSP5c	4
47	Pitchc	5	102	SE_shape5c	2	153	LSP5c	3
48	Pitchc	4	103	SE_shape5c	1	154	LSP5c	2
49	Pitchc	3	104	SE_shape5c	0	155	LSP5c	1
50	Pitchc	2	105	SE_shape6c	4	156	LSP5c	0
51	Pitchc	1	106	SE_shape6c	3	157	Pitchc	0
52	LSP2c	6	107	SE_shape6c	2	158	SE_shape3c	1
53	LSP2c	5	108	SE_shape6c	1	159	SE_shape3c	0
54	LSP2c	4	109	SE_shape6c	0			

Table 1.B.13 – Bit Order for 4 kbit/s (voiced frame – unvoiced frame)

voiced frame – unvoiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class 1bit</b>			55	VX_gain2[0]c	2	<b>Class III Bit</b>		
0	VUVp	1	56	VX_gain2[0]c	1	110	SE_shape1p	3
1	VUVp	0	57	VX_gain2[0]c	0	111	SE_shape1p	2
2	LSP4p	0	58	VX_gain2[1]c	2	112	SE_shape1p	1
3	SE_gainp	4	59	VX_gain2[1]c	1	113	SE_shape1p	0

4	SE_gainp	3	60	VX_gain2[1]c	0	<b>Class IV Bit</b>		
5	SE_gainp	2	61	VX_gain2[2]c	2	114	SE_shape2p	3
6	SE_gainp	1	62	VX_gain2[2]c	1	115	SE_shape2p	2
7	SE_gainp	0	63	VX_gain2[2]c	0	116	SE_shape2p	1
8	LSP1p	4	64	VX_gain2[3]c	2	117	SE_shape2p	0
9	LSP1p	3	65	VX_gain2[3]c	1	<b>Class V Bit</b>		
10	LSP1p	2	<b>Class II Bit</b>			118	VX_shape1[1]c	4
11	LSP1p	1	66	SE_shape4p	8	119	VX_shape1[1]c	3
12	LSP1p	0	67	SE_shape4p	7	120	VX_shape1[1]c	2
13	Pitchp	6	68	SE_shape4p	6	121	VX_shape1[1]c	1
14	Pitchp	5	69	SE_shape4p	5	<b>Class VI Bit</b>		
15	Pitchp	4	70	SE_shape4p	4	122	VX_shape1[1]c	0
16	Pitchp	3	71	SE_shape4p	3	123	VX_shape2[0]c	4
17	Pitchp	2	72	SE_shape4p	2	124	VX_shape2[0]c	3
18	Pitchp	1	73	SE_shape4p	1	125	VX_shape2[0]c	2
19	LSP2p	6	74	SE_shape4p	0	<b>Class VII Bit</b>		
20	LSP2p	5	75	SE_shape5p	7	126	LSP2p	2
21	LSP2p	4	76	SE_shape5p	6	127	LSP2p	1
22	LSP2p	3	77	SE_shape5p	5	128	LSP2p	0
23	SE_shape3p	6	78	SE_shape5p	4	129	LSP3p	3
24	SE_shape3p	5	79	SE_shape5p	3	130	LSP3p	2
25	SE_shape3p	4	80	SE_shape5p	2	131	LSP3p	1
26	SE_shape3p	3	81	SE_shape5p	1	132	LSP3p	0
27	SE_shape3p	2	82	SE_shape5p	0	133	LSP5p	6
28	LSP3p	4	83	SE_shape6p	4	134	LSP5p	5
29	LSP5p	7	84	SE_shape6p	3	135	LSP5p	4
30	SE_shape4p	9	85	SE_shape6p	2	136	LSP5p	3
31	SE_shape5p	8	86	SE_shape6p	1	137	LSP5p	2
32	SE_shape6p	5	87	SE_shape6p	0	138	LSP5p	1
33	VUVc	1	88	VX_gain2[3]c	0	139	LSP5p	0
34	VUVc	0	89	LSP2c	2	140	Pitchp	0
35	LSP4c	0	90	LSP2c	1	141	SE_shape3p	1
36	VX_gain1[0]c	3	91	LSP2c	0	142	SE_shape3p	0
37	VX_gain1[0]c	2	92	LSP3c	3	143	VX_shape2[0]c	1
38	VX_gain1[0]c	1	93	LSP3c	2	144	VX_shape2[0]c	0
39	VX_gain1[0]c	0	94	LSP3c	1	145	VX_shape2[1]c	4
40	VX_gain1[1]c	3	95	LSP3c	0	146	VX_shape2[1]c	3
41	VX_gain1[1]c	2	96	LSP5c	6	147	VX_shape2[1]c	2
42	VX_gain1[1]c	1	97	LSP5c	5	148	VX_shape2[1]c	1
43	VX_gain1[1]c	0	98	LSP5c	4	149	VX_shape2[1]c	0
44	LSP1c	4	99	LSP5c	3	150	VX_shape2[2]c	4
45	LSP1c	3	100	LSP5c	2	151	VX_shape2[2]c	3
46	LSP1c	2	101	LSP5c	1	152	VX_shape2[2]c	2
47	LSP1c	1	102	LSP5c	0	153	VX_shape2[2]c	1
48	LSP1c	0	103	VX_shape1[0]c	5	154	VX_shape2[2]c	0
49	LSP2c	6	104	VX_shape1[0]c	4	155	VX_shape2[3]c	4
50	LSP2c	5	105	VX_shape1[0]c	3	156	VX_shape2[3]c	3
51	LSP2c	4	106	VX_shape1[0]c	2	157	VX_shape2[3]c	2
52	LSP2c	3	107	VX_shape1[0]c	1	158	VX_shape2[3]c	1

53	LSP3c	4	108	VX_shape1[0]c	0	159	VX_shape2[3]c	0
54	LSP5c	7	109	VX_shape1[1]c	5			

Table 1.B.14 – Bit Order for 4 kbit/s (unvoiced frame – voiced frame)

unvoiced frame – voiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class 1bit</b>			55	LSP2c	3	<b>Class III Bit</b>		
0	VUVp	1	56	SE_shape3c	6	110	VX_shape1[1]p	4
1	VUVp	0	57	SE_shape3c	5	111	VX_shape1[1]p	3
2	LSP4p	0	58	SE_shape3c	4	112	VX_shape1[1]p	2
3	VX_gain1[0]p	3	59	SE_shape3c	3	113	VX_shape1[1]p	1
4	VX_gain1[0]p	2	60	SE_shape3c	2	<b>Class IV Bit</b>		
5	VX_gain1[0]p	1	61	LSP3c	4	114	VX_shape1[1]p	0
6	VX_gain1[0]p	0	62	LSP5c	7	115	VX_shape2[0]p	4
7	VX_gain1[1]p	3	63	SE_shape4c	9	116	VX_shape2[0]p	3
8	VX_gain1[1]p	2	64	SE_shape5c	8	117	VX_shape2[0]p	2
9	VX_gain1[1]p	1	65	SE_shape6c	5	<b>Class V Bit</b>		
10	VX_gain1[1]p	0	<b>Class II Bit</b>			118	SE_shape1c	3
11	LSP1p	4	66	VX_gain2[3]p	0	119	SE_shape1c	2
12	LSP1p	3	67	LSP2p	2	120	SE_shape1c	1
13	LSP1p	2	68	LSP2p	1	121	SE_shape1c	0
14	LSP1p	1	69	LSP2p	0	<b>Class VI Bit</b>		
15	LSP1p	0	70	LSP3p	3	122	SE_shape2c	3
16	LSP2p	6	71	LSP3p	2	123	SE_shape2c	2
17	LSP2p	5	72	LSP3p	1	124	SE_shape2c	1
18	LSP2p	4	73	LSP3p	0	125	SE_shape2c	0
19	LSP2p	3	74	LSP5p	6	<b>Class VII Bit</b>		
20	LSP3p	4	75	LSP5p	5	126	VX_shape2[0]p	1
21	LSP5p	7	76	LSP5p	4	127	VX_shape2[0]p	0
22	VX_gain2[0]p	2	77	LSP5p	3	128	VX_shape2[1]p	4
23	VX_gain2[0]p	1	78	LSP5p	2	129	VX_shape2[1]p	3
24	VX_gain2[0]p	0	79	LSP5p	1	130	VX_shape2[1]p	2
25	VX_gain2[1]p	2	80	LSP5p	0	131	VX_shape2[1]p	1
26	VX_gain2[1]p	1	81	VX_shape1[0]p	5	132	VX_shape2[1]p	0
27	VX_gain2[1]p	0	82	VX_shape1[0]p	4	133	VX_shape2[2]p	4
28	VX_gain2[2]p	2	83	VX_shape1[0]p	3	134	VX_shape2[2]p	3
29	VX_gain2[2]p	1	84	VX_shape1[0]p	2	135	VX_shape2[2]p	2
30	VX_gain2[2]p	0	85	VX_shape1[0]p	1	136	VX_shape2[2]p	1
31	VX_gain2[3]p	2	86	VX_shape1[0]p	0	137	VX_shape2[2]p	0
32	VX_gain2[3]p	1	87	VX_shape1[1]p	5	138	VX_shape2[3]p	4
33	VUVc	1	88	SE_shape4c	8	139	VX_shape2[3]p	3
34	VUVc	0	89	SE_shape4c	7	140	VX_shape2[3]p	2
35	LSP4c	0	90	SE_shape4c	6	141	VX_shape2[3]p	1
36	SE_gainc	4	91	SE_shape4c	5	142	VX_shape2[3]p	0
37	SE_gainc	3	92	SE_shape4c	4	143	LSP2c	2
38	SE_gainc	2	93	SE_shape4c	3	144	LSP2c	1
39	SE_gainc	1	94	SE_shape4c	2	145	LSP2c	0

40	SE_gainc	0	95	SE_shape4c	1	146	LSP3c	3
41	LSP1c	4	96	SE_shape4c	0	147	LSP3c	2
42	LSP1c	3	97	SE_shape5c	7	148	LSP3c	1
43	LSP1c	2	98	SE_shape5c	6	149	LSP3c	0
44	LSP1c	1	99	SE_shape5c	5	150	LSP5c	6
45	LSP1c	0	100	SE_shape5c	4	151	LSP5c	5
46	Pitchc	6	101	SE_shape5c	3	152	LSP5c	4
47	Pitchc	5	102	SE_shape5c	2	153	LSP5c	3
48	Pitchc	4	103	SE_shape5c	1	154	LSP5c	2
49	Pitchc	3	104	SE_shape5c	0	155	LSP5c	1
50	Pitchc	2	105	SE_shape6c	4	156	LSP5c	0
51	Pitchc	1	106	SE_shape6c	3	157	Pitchc	0
52	LSP2c	6	107	SE_shape6c	2	158	SE_shape3c	1
53	LSP2c	5	108	SE_shape6c	1	159	SE_shape3c	0
54	LSP2c	4	109	SE_shape6c	0			

Table 1.B.15 – Bit Order for 4 kbit/s (unvoiced frame – unvoiced frame)

unvoiced frame – unvoiced frame								
No.	Item	Bit	No.	Item	Bit	No.	Item	Bit
<b>Class 1bit</b>			55	VX_gain2[0]c	2	<b>Class III Bit</b>		
0	VUVp	1	56	VX_gain2[0]c	1	110	VX_shape1[1]p	4
1	VUVp	0	57	VX_gain2[0]c	0	111	VX_shape1[1]p	3
2	LSP4p	0	58	VX_gain2[1]c	2	112	VX_shape1[1]p	2
3	VX_gain1[0]p	3	59	VX_gain2[1]c	1	113	VX_shape1[1]p	1
4	VX_gain1[0]p	2	60	VX_gain2[1]c	0	<b>Class IV Bit</b>		
5	VX_gain1[0]p	1	61	VX_gain2[2]c	2	114	VX_shape1[1]p	0
6	VX_gain1[0]p	0	62	VX_gain2[2]c	1	115	VX_shape2[0]p	4
7	VX_gain1[1]p	3	63	VX_gain2[2]c	0	116	VX_shape2[0]p	3
8	VX_gain1[1]p	2	64	VX_gain2[3]c	2	117	VX_shape2[0]p	2
9	VX_gain1[1]p	1	65	VX_gain2[3]c	1	<b>Class V Bit</b>		
10	VX_gain1[1]p	0	<b>Class II Bit</b>			118	VX_shape1[1]c	4
11	LSP1p	4	66	VX_gain2[3]p	0	119	VX_shape1[1]c	3
12	LSP1p	3	67	LSP2p	2	120	VX_shape1[1]c	2
13	LSP1p	2	68	LSP2p	1	121	VX_shape1[1]c	1
14	LSP1p	1	69	LSP2p	0	<b>Class VI Bit</b>		
15	LSP1p	0	70	LSP3p	3	122	VX_shape1[1]c	0
16	LSP2p	6	71	LSP3p	2	123	VX_shape2[0]c	4
17	LSP2p	5	72	LSP3p	1	124	VX_shape2[0]c	3
18	LSP2p	4	73	LSP3p	0	125	VX_shape2[0]c	2
19	LSP2p	3	74	LSP5p	6	<b>Class VII Bit</b>		
20	LSP3p	4	75	LSP5p	5	126	VX_shape2[0]p	1
21	LSP5p	7	76	LSP5p	4	127	VX_shape2[0]p	0
22	VX_gain2[0]p	2	77	LSP5p	3	128	VX_shape2[1]p	4
23	VX_gain2[0]p	1	78	LSP5p	2	129	VX_shape2[1]p	3
24	VX_gain2[0]p	0	79	LSP5p	1	130	VX_shape2[1]p	2
25	VX_gain2[1]p	2	80	LSP5p	0	131	VX_shape2[1]p	1
26	VX_gain2[1]p	1	81	VX_shape1[0]p	5	132	VX_shape2[1]p	0

27	VX_gain2[1]p	0	82	VX_shape1[0]p	4	133	VX_shape2[2]p	4
28	VX_gain2[2]p	2	83	VX_shape1[0]p	3	134	VX_shape2[2]p	3
29	VX_gain2[2]p	1	84	VX_shape1[0]p	2	135	VX_shape2[2]p	2
30	VX_gain2[2]p	0	85	VX_shape1[0]p	1	136	VX_shape2[2]p	1
31	VX_gain2[3]p	2	86	VX_shape1[0]p	0	137	VX_shape2[2]p	0
32	VX_gain2[3]p	1	87	VX_shape1[1]p	5	138	VX_shape2[3]p	4
33	VUVc	1	88	VX_gain2[3]c	0	139	VX_shape2[3]p	3
34	VUVc	0	89	LSP2c	2	140	VX_shape2[3]p	2
35	LSP4c	0	90	LSP2c	1	141	VX_shape2[3]p	1
36	VX_gain1[0]c	3	91	LSP2c	0	142	VX_shape2[3]p	0
37	VX_gain1[0]c	2	92	LSP3c	3	143	VX_shape2[0]c	1
38	VX_gain1[0]c	1	93	LSP3c	2	144	VX_shape2[0]c	0
39	VX_gain1[0]c	0	94	LSP3c	1	145	VX_shape2[1]c	4
40	VX_gain1[1]c	3	95	LSP3c	0	146	VX_shape2[1]c	3
41	VX_gain1[1]c	2	96	LSP5c	6	147	VX_shape2[1]c	2
42	VX_gain1[1]c	1	97	LSP5c	5	148	VX_shape2[1]c	1
43	VX_gain1[1]c	0	98	LSP5c	4	149	VX_shape2[1]c	0
44	LSP1c	4	99	LSP5c	3	150	VX_shape2[2]c	4
45	LSP1c	3	100	LSP5c	2	151	VX_shape2[2]c	3
46	LSP1c	2	101	LSP5c	1	152	VX_shape2[2]c	2
47	LSP1c	1	102	LSP5c	0	153	VX_shape2[2]c	1
48	LSP1c	0	103	VX_shape1[0]c	5	154	VX_shape2[2]c	0
49	LSP2c	6	104	VX_shape1[0]c	4	155	VX_shape2[3]c	4
50	LSP2c	5	105	VX_shape1[0]c	3	156	VX_shape2[3]c	3
51	LSP2c	4	106	VX_shape1[0]c	2	157	VX_shape2[3]c	2
52	LSP2c	3	107	VX_shape1[0]c	1	158	VX_shape2[3]c	1
53	LSP3c	4	108	VX_shape1[0]c	0	159	VX_shape2[3]c	0
54	LSP5c	7	109	VX_shape1[1]c	5			

1.B.3.3 EP tool setting

1.B.3.3.1 Bit assignment

The Table 1.B.16 below shows an example bit assignment for the use of the EP tool. In this table, bit assignments for both of the 2 kbit/s and 4 kbit/s source coder are described.

Table 1.B.16 – The bit assignment for the use of the EP tool

	2 kbit/s Source Coder	4 kbit/s Source Coder
Class I		
Source coder bits	44	66
CRC parity	6	6
Code Rate	8/16	8/16
Class I total	100	144
Class II		
Source coder bits	4	44
CRC parity	1	6
Code Rate	8/8	8/8
Class II total	5	50
Class III		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class III total	5	5
Class IV		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class IV total	5	5
Class V		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class V total	5	5
Class VI		
Source coder bits	20	4
CRC parity	0	1
Code Rate	8/8	8/8
Class VI total	20	5
Class VII		
Source coder bits		34
CRC parity		0
Code Rate		8/8
Class VII total		34
Total Bit of All Classes	140	248
Bitrate	3.5 kbit/s	6.2 kbit/s

Class I:

CRC covers all the Class I bits, and Class I bits including CRC are protected by convolutional coding.

Class II-V(2 kbit/s), II-VI(4 kbit/s):

At least one CRC bits cover the source coder bits of these classes.

Class VI(2 kbit/s), VII(4 kbit/s) :

The source coder bits are not checked by CRC nor protected by any error correction scheme.

### 1.B.3.4 Error concealment

When CRC error is detected, error concealment processing (bad frame masking) is carried out. An example of concealment method is described below.

A frame masking state of the current frame is updated based on the decoded CRC result of Class I. The state transition diagram is shown in Figure 1.B.2. The initial state is state = 0. The arrow with a letter "1" denotes the transition for a bad frame, and that with a letter "0" a good frame.

**1.B.3.4.1 Parameter replacemet**

According to the state value, the following parameter replacement is done. In error free condition, state value becomes 0, and received source coder bits are used without any concealment processing.

**1.B.3.4.1.1 LSP parameters**

At state=1..6, LSP parameters are replaced with those of previous ones.

When state=7, If LSP4=0 (LSP quantization mode without inter-frame prediction), then LSP parameters are calculated from all LSP indices received in the current frame. If LSP4=1 (LSP quantization mode with inter-frame coding), then LSP parameters are calculated with the following method.

In this mode, LSP parameters from LSP1 index are interpolated with the previous LSPs.

$$LSP_{base}(n) = p \cdot LSP_{prev}(n) + (1 - p)LSP_{1st}(n) \quad \text{for } n=1..10 \quad (1)$$

$LSP_{base}(n)$  is LSP parameters of the base layer,  $LSP_{prev}(n)$  is the previous LSPs,  $LSP_{1st}(n)$  is the decoded LSPs from the current LSP1 index, and  $p$  is the factor of interpolation.  $p$  is changed according to the number of previous CRC error frames of Class I bits as shown in Table 1.B.17. LSP indices LSP2, LSP3 and LSP5 are not used and  $LSP_{base}(n)$  is used as current LSP parameters.

**Table 1.B.17 – p factor**

frame	p
0	0.7
1	0.6
2	0.5
3	0.4
4	0.3
5	0.2
6	0.1
≥7	0.0

**1.B.3.4.1.2 Mute variable**

According to the “state” value, a variable “mute” is set to control output level of speech. The “mute” value below is used.

In state = 7, the average of 1.0 and “mute” value of the previous frame ( = 0.5 ( 1.0 + previous “mute value” ) ) is used, but when this value is more than 0.8, “mute” value is replaced with 0.8.

**Table 1.B.18 – mute value**

State	mute
0	1.000
1	0.800
2	0.700
3	0.500
4	0.250
5	0.125
6	0.000
7	Average/0.800

### 1.B.3.4.1.3 Replacement and gain control of “voiced” parameters

In state=1..6, spectrum parameter SE\_shape1, SE\_shape2, spectrum gain parameter SE\_gain, spectrum parameter for 4 kbit/s codec SE\_shape3 .. SE\_shape6 are replaced with corresponding parameters of the previous frame. Also, to control volume of output speech, harmonic magnitude parameters of LPC residual signal “ $Am[0..127]$ ” is gain controlled as shown in Eq.(1). In the equation,  $Am_{(org)}[i]$  is computed from the received spectrum parameters from the latest error free frame.

$$Am[i] = mute * Am_{(org)}[i] \quad \text{for } i=0..127 \quad (1)$$

If previous frame is unvoiced and current state is state=7, Eq.(1) is replaced with Eq.(2).

$$Am[i] = 0.6 * mute * Am_{(org)}[i] \quad \text{for } i=0..127 \quad (2)$$

As described before, SE\_shape1 and SE\_shape2 are individually protected by 1 bit CRC. In state=0 or 7, when CRC errors of these classes are detected at the same time, the quantized harmonic magnitudes with fixed dimension  $Am_{qnt}[1..44]$  are gain suppressed as shown in Eq.(3).

$$Am_{qnt}[i] = s[i] * Am_{qnt(org)}[i] \quad \text{for } i=1..44 \quad (3)$$

$s[i]$  is the factor for the gain suppression.

**Table 1.B.19 – factor for gain suppression ‘s[0..44]’**

$i$	1	2	3	4	5	6	7..44
$s[i]$	0.10	0.25	0.40	0.55	0.70	0.85	1.00

At 4 kbit/s, SE\_shape4, SE\_shape5, and SE\_shape6 are checked by CRC as Class II bits. When CRC error is detected, the spectrum parameter of the enhancement layer is not used.

### 1.B.3.4.1.4 Replacement and gain control of “unvoiced” parameters

In state=1..6, stochastic codebook gain parameter VX\_gain1[0], VX\_gain1[1] are replaced with the VX\_gain1[1] from the latest error free frame. Also stochastic codebook gain parameter for 4 kbit/s codec VX\_gain2[0]..VX\_gain2[3] are replaced with the VX\_gain2[3] from the latest error free frame.

Stochastic codebook shape parameter VX\_shape1[0], VX\_shape1[1], and stochastic codebook shape parameter for 4 kbit/s codec are generated from randomly generated index values.

Also, to control volume of output speech, LPC residual signal  $res[0..159]$  is gain controlled as shown in Eq.(4). In the equation,  $res_{(org)}[i]$  is computed from stochastic codebook parameters.

$$res[i] = mute * res_{(org)}[i] \quad (0 \leq i \leq 159) \quad (4)$$

1.B.3.4.1.5 Frame Masking State Transitions

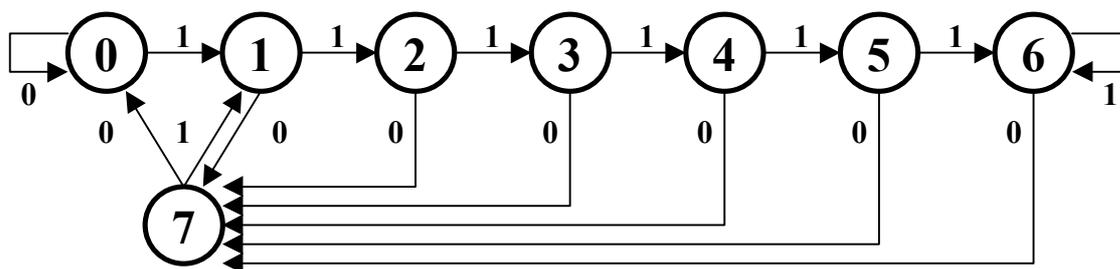


Figure 1.B.2 – Frame Masking State Transitions

## Annex 1.C (informative)

### Patent statements

The International Organization for Standardization and the International Electrotechnical Commission (IEC) draw attention to the fact that it is claimed that compliance with this part of ISO/IEC 14496 may involve the use of patents.

ISO and IEC take no position concerning the evidence, validity and scope of these patent rights.

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Alcatel
AT&T
BBC
Bosch
British Telecommunications
Canon
CCETT
Coding Technologies
Columbia Innovation Enterprise
Columbia University
Creative
CSELT
DemoGraFX
DirecTV
Dolby
EPFL
ETRI
France Telecom
Fraunhofer
Fujitsu
GC Technology Corporation
Hitachi
Hyundai
IBM
Institut fuer Rundfunktechnik
JVC
KPN
Matsushita Electric Industrial Co., Ltd.
Microsoft
Mitsubishi
NEC
NHK
Nokia
NTT

NTT Mobile Communication Networks
OKI
Philips
Rockwell
Samsung
Sarnoff
Scientific Atlanta
Sharp
Siemens
Sony
Telenor
Thomson

## Content for Subpart 2

2.1	Scope .....	3
2.2	Terms and definitions .....	3
2.3	Bitstream syntax .....	3
2.3.1	Decoder configuration (HvxcSpecificConfig) .....	4
2.3.2	Bitstream frame (alPduPayload) .....	5
2.3.3	Decoder configuration (ErrorResilientHvxcSpecificConfig) .....	8
2.3.4	Bitstream frame (alPduPayload) .....	9
2.4	Bitstream semantics .....	21
2.4.1	Decoder configuration (HvxcSpecificConfig,ErrorResilientHvxcSpecificConfig) .....	21
2.4.2	Bitstream frame (alPduPayload) .....	21
2.5	HVXC decoder tools .....	22
2.5.1	Overview .....	22
2.5.2	LSP decoder .....	25
2.5.3	Harmonic VQ decoder .....	30
2.5.4	Time domain decoder .....	35
2.5.5	Parameter interpolation for speed control .....	37
2.5.6	Voiced component synthesizer .....	41
2.5.7	Unvoiced component synthesizer .....	54
2.5.8	Variable rate decoder .....	57
2.5.9	Extension of HVXC variable rate mode .....	59
Annex 2.A	(informative) HVXC Encoder tools .....	63
2.A.1	Overview of encoder tools .....	63
2.A.2	Normalization .....	63
2.A.3	Pitch estimation .....	68
2.A.4	Harmonic magnitudes extraction .....	70
2.A.5	Perceptual weighting .....	71
2.A.6	Harmonic VQ encoder .....	71
2.A.7	V/UV decision .....	73
2.A.8	Time domain encoder .....	74
2.A.9	Variable rate encoder .....	76
2.A.10	Extension of HVXC variable rate encoder .....	79
Annex 2.B	(informative) HVXC Decoder tools .....	84
2.B.1	Postfilter .....	84
2.B.2	Post processing .....	86
Annex 2.C	(informative) System layer definitions .....	88
2.C.1	Random access point .....	88
Annex 2.D	(informative) Example of EP tool setting and error concealment for HVXC .....	89
2.D.1	Overview .....	89
2.D.2	EP tool setting .....	89
2.D.3	Error concealment .....	92

<b>Annex 2.E (normative) VQ codebooks for HVXC .....</b>	<b>95</b>
<b>2.E.1 List of the VQ codebooks .....</b>	<b>95</b>
<b>2.E.2 CbAm .....</b>	<b>95</b>
<b>2.E.3 CbAm4k .....</b>	<b>102</b>
<b>2.E.4 CbCelp .....</b>	<b>133</b>
<b>2.E.5 CbCelp4k .....</b>	<b>143</b>
<b>2.E.6 CbLsp .....</b>	<b>146</b>
<b>2.E.7 CbLsp4k .....</b>	<b>150</b>

## Subpart 2: Speech coding - HVXC

### 2.1 Scope

MPEG-4 parametric speech coding uses Harmonic Vector eXcitation Coding (HVXC) algorithm, where harmonic coding of LPC residual signals for voiced segments and Vector eXcitation Coding (VXC) for unvoiced segments are employed. HVXC allows coding of speech signals at 2.0 kbps and 4.0 kbps with a scalable scheme, where 2.0 kbps decoding is possible not only using the 2.0 kbps bitstream but also using a 4.0 kbps bitstream. HVXC also provides variable bit rate coding where a typical average bit-rate is around 1.2-1.7 kbit/s. Independent change of speed and pitch during decoding is possible, which is a powerful functionality for fast data base search. The frame length is 20 ms, and one of four different algorithmic delays, 33.5 ms, 36ms, 53.5 ms, 56 ms can be selected.

Furthermore as an extension of HVXC, ER\_HVXC object type offers error resilient syntax and the 4.0 kbit/s variable bitrate mode.

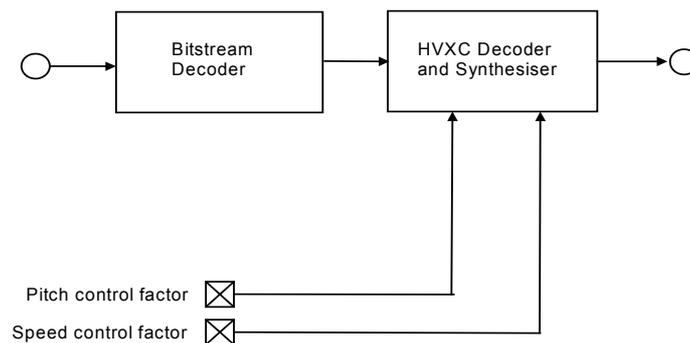


Figure 2.1 — Block diagram of the parametric speech decoder

### 2.2 Terms and definitions

Terms and definitions can be found in subpart 1, subclause 1.3.

### 2.3 Bitstream syntax

An MPEG-4 Natural Audio Object HVXC/ER\_HVXC object type is transmitted in one or two Elementary Streams: The base layer stream and an optional enhancement layer stream.

When the HVXC tool is used with an error protection tool, such as an MPEG-4 EP tool, the bit order arranged in accordance with the error sensitivity should be used. The HVXC with the error resilient syntax and 4.0 kbit/s variable bitrate mode described in subclauses 2.3.3 and 2.3.4 are called ER\_HVXC.

The bitstream syntax is described in pseudo-C code.

### 2.3.1 Decoder configuration (HvxcSpecificConfig)

The decoder configuration information for HVXC object type is transmitted in the DecoderConfigDescriptor() of the base layer and the optional enhancement layer Elementary Stream (see subpart 1, subclause 1.6).

The following HvxcSpecificConfig() is required:

```
HvxcSpecificConfig ( ) {
  isBaseLayer          1 uimsbf
  if (isBaseLayer) {
    HVXCconfig()
  }
}
```

HVXC object type provides unscalable modes and a 2 kbit/s base layer plus a 2 kbit/s enhancement layer scalable mode. In this scalable mode the basic layer configuration must be as follows:

```
HVXCvarMode = 0   HVXC fixed bit rate
HVXCrateMode = 0  HVXC 2kbps
isBaseLayer=1    base layer
```

**Table 2.1 — Syntax of HVXCconfig()**

Syntax	No. of bits	Mnemonic
HVXCconfig() {		
<b>HVXCvarMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>HVXCrateMode;</b>	<b>2</b>	<b>uimsbf</b>
<b>extensionFlag;</b>	<b>1</b>	<b>uimsbf</b>
if (extensionFlag) {		
< to be defined in MPEG-4 Version 2 >		
}		
}		

**Table 2.2 — HVXCvarMode**

HVXCvarMode	Description
0	HVXC fixed bit rate
1	HVXC variable bit rate

**Table 2.3 — HVXCrateMode**

HVXCrateMode	HVXCrate	Description
0	2000	HVXC 2 kbit/s
1	4000	HVXC 4 kbit/s
2	3700	HVXC 3.7 kbit/s
3	(reserved)	

**Table 2.4 — HVXC constants**

NUM_SUBF1	NUM_SUBF2
2	4

### 2.3.2 Bitstream frame (alPduPayload)

The dynamic data for HVXC object type is transmitted as AL-PDU payload in the base layer and the optional enhancement layer Elementary Stream (see subpart 1, subclause 1.6).

#### HVXC Base Layer -- Access Unit payload

```
alPduPayload {
    HVXCframe();
}
```

#### HVXC Enhancement Layer -- Access Unit payload

To parse and decode the HVXC enhancement layer, information decoded from the HVXC base layer is required.

```
alPduPayload {
    HVXCenhaFrame();
}
```

Table 2.5 — Syntax of HVXCframe()

Syntax	No. of bits	Mnemonic
<pre>HVXCframe() {     if (HVXCvarMode == 0) {         HVXCfixframe(HVXCrate);     }     else {         HVXCvarframe();     } }</pre>		

#### 2.3.2.1 HVXC bitstream frame

Table 2.6 — Syntax of HVXCfixframe(rate)

Syntax	No. of bits	Mnemonic
<pre>HVXCfixframe(rate) {     if (rate &gt;= 2000) {         idLsp1();         idVUV();         idExc1();     }     if (rate &gt;= 3700) {         idLsp2();         idExc2(rate);     } }</pre>		

Table 2.7 — Syntax of HVXCenHaFrame()

Syntax	No. of bits	Mnemonic
HVXCenHaFrame() { idLsp2(); idExc2(4000); }		

Table 2.8 — Syntax of idLsp1()

Syntax	No. of bits	Mnemonic
idLsp1() { <b>LSP1;</b> <b>LSP2;</b> <b>LSP3;</b> <b>LSP4;</b> }	<b>5</b> <b>7</b> <b>5</b> <b>1</b>	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

Table 2.9 — Syntax of idLsp2()

Syntax	No. of bits	Mnemonic
idLsp2() { <b>LSP5;</b> }	<b>8</b>	<b>uimsbf</b>

Table 2.10 — Syntax of idVUV()

Syntax	No. of bits	Mnemonic
idVUV() { <b>VUV;</b> }	<b>2</b>	<b>uimsbf</b>

Table 2.11 — VUV (for fixed bit rate mode)

VUV	Description
0	Unvoiced Speech
1	Mixed Voiced Speech-1
2	Mixed Voiced Speech-2
3	Voiced Speech

Table 2.12 — Syntax of idExc1()

Syntax	No. of bits	Mnemonic
idExc1() { if (VUV != 0) { <b>Pitch;</b> }	<b>7</b>	<b>uimsbf</b>

<b>SE_shape1;</b>	<b>4</b>	<b>uimsbf</b>
<b>SE_shape2;</b>	<b>4</b>	<b>uimsbf</b>
<b>SE_gain;</b>	<b>5</b>	<b>uimsbf</b>
}		
else{		
for (sf_num = 0; sf_num < NUM_SUBF1; sf_num++) {		
<b>VX_shape1 [sf_num];</b>	<b>6</b>	<b>uimsbf</b>
<b>VX_gain1 [sf_num];</b>	<b>4</b>	<b>uimsbf</b>
}		
}		
}		

Table 2.13 — Syntax of idExc2(rate)

Syntax	No. of bits	Mnemonic
idExc2(rate)		
{		
if (VUV != 0) {		
<b>SE_shape3;</b>	<b>7</b>	<b>uimsbf</b>
<b>SE_shape4;</b>	<b>10</b>	<b>uimsbf</b>
<b>SE_shape5;</b>	<b>9</b>	<b>uimsbf</b>
if (rate >= 4000) {		
<b>SE_shape6;</b>	<b>6</b>	<b>uimsbf</b>
}		
}		
else {		
for (sf_num = 0; sf_num < NUM_SUBF2-1; sf_num++) {		
<b>VX_shape2[sf_num];</b>	<b>5</b>	<b>uimsbf</b>
<b>VX_gain2[sf_num];</b>	<b>3</b>	<b>uimsbf</b>
}		
if (rate >= 4000) {		
<b>VX_shape2[3];</b>	<b>5</b>	<b>uimsbf</b>
<b>VX_gain2[3];</b>	<b>3</b>	<b>uimsbf</b>
}		
}		
}		

idLsp1(), idExc1(), idVUV() are treated as base layer in case of scalable mode.

idLsp2(), idExc2() are treated as enhancement layer in case of scalable mode.

Table 2.14 — Syntax of HVXCvarframe()

Syntax	No. of bits	Mnemonic
HVXCvarframe()		
{		
idvarVUV();		
idvarLsp1();		
idvarExc1();		
}		

Table 2.15 — Syntax of idvarVUV()

Syntax	No. of bits	Mnemonic
idvarVUV()		

{	<b>VUV;</b>	<b>2</b>	<b>uimsbf</b>
}			

**Table 2.16 — VUV (for variable bit rate mode)**

VUV	Description
0	Unvoiced Speech
1	Background Noise
2	Mixed Voiced Speech
3	Voiced Speech

**Table 2.17 — Syntax of idvarLsp1()**

Syntax	No. of bits	Mnemonic
idvarLsp1() { if (VUV != 1) { <b>LSP1;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP2;</b>	<b>7</b>	<b>uimsbf</b>
<b>LSP3;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP4;</b>	<b>1</b>	<b>uimsbf</b>
} }		

**Table 2.18 — Syntax of idvarExc1()**

Syntax	No. of bits	Mnemonic
idvarExc1() { if (VUV != 1) { if (VUV != 0) { <b>Pitch;</b>	<b>7</b>	<b>uimsbf</b>
<b>SE_Shape1;</b>	<b>4</b>	<b>uimsbf</b>
<b>SE_Shape2;</b>	<b>4</b>	<b>uimsbf</b>
<b>SE_Gain;</b>	<b>5</b>	<b>uimsbf</b>
} } else { for (sf_num = 0; sf_num < NUM_SUBF1; sf_num++) { <b>VX_gain1[sf_num];</b>	<b>4</b>	<b>uimsbf</b>
} } }		

### 2.3.3 Decoder configuration (ErrorResilientHvxcSpecificConfig)

The decoder configuration information for ER\_HVXC object type is transmitted in the DecoderConfigDescriptor() of the base layer and the optional enhancement layer Elementary Stream.

The following ErrorResilientHvxcSpecificConfig() is required:

```
ErrorResilientHvxcSpecificConfig() {
  isBaseLayer          1 uimsbf
  if (isBaseLayer) {
```

```

    ErHVXCconfig();
  }
}

```

ER\_HVXC object type provides unscalable modes and scalable modes. In the scalable modes the base layer configuration must be as follows:

```

HVXCrateMode = 0    ER_HVXC 2 kbit/s
isBaseLayer = 1    base layer

```

**Table 2.19 — Syntax of ErHvxcConfig()**

Syntax	No. of bits	Mnemonic
ErHVXCconfig()		
{		
<b>HVXCvarMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>HVXCrateMode;</b>	<b>2</b>	<b>uimsbf</b>
<b>extensionFlag;</b>	<b>1</b>	<b>uimsbf</b>
if (extensionFlag) {		
<b>var_ScalableFlag;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

**Table 2.20 — HVXCvarMode**

HVXCvarMode	Description
0	ER_HVXC fixed bitrate
1	ER_HVXC variable bitrate

**Table 2.21 — HVXCrateMode**

HVXCrateMode	HVXCrate	Description
0	2000	ER_HVXC 2 kbit/s
1	4000	ER_HVXC 4 kbit/s
2	3700	ER_HVXC 3.7 kbit/s
3 (reserved)		

**Table 2.22 — var\_ScalableFlag**

var_ScalableFlag	Description
0	ER_HVXC variable rate non-scalable mode
1	ER_HVXC variable rate scalable mode

### 2.3.4 Bitstream frame (alPduPayload)

The dynamic data for ER\_HVXC object type is transmitted as AL-PDU payload in the base layer and the optional enhancement layer Elementary Stream.

#### ER\_HVXC Base Layer -- Access Unit payload

```

alPduPayload {
  ErHVXCframe();
}

```

**ER\_HVXC Enhancement Layer -- Access Unit payload**

To parse and decode the ER\_HVXC enhancement layer, information decoded from the ER\_HVXC base layer is required.

```

alPduPayload {
    ErHVXCenhaFrame();
}
    
```

**Table 2.23 — Syntax of ErHVXCframe()**

Syntax	No. of bits	Mnemonic
<pre> ErHVXCframe() {     if (HVXCvarMode == 0) {         ErHVXCfixframe(HVXCrate);     }     else {         ErHVXCvarframe(HVXCrate);     } }                     </pre>		

**Table 2.24 — Syntax of ErHVXCenhaframe()**

Syntax	No. of bits	Mnemonic
<pre> ErHVXCenhaframe() {     if (HVXCvarMode == 0) {         ErHVXCenh_fixframe();     }     else {         ErHVXCenh_varframe();     } }                     </pre>		

**2.3.4.1 Bitstream syntax of the fixed rate mode**

**Table 2.25 — Syntax of ErHVXCfixframe()**

Syntax	No. of bits	Mnemonic
<pre> ErHVXCfixframe(rate) {     if (rate == 2000) {         2k_ESC0();         2k_ESC1();         2k_ESC2();         2k_ESC3();     }     else if (rate &gt;= 3700) {         4k_ESC0(rate);         4k_ESC1(rate);         4k_ESC2();         4k_ESC3();         4k_ESC4(rate);     } }                     </pre>		

```

}
}

```

Table 2.26 — Syntax of 2k\_ESC0()

Syntax	No. of bits	Mnemonic
2k_ESC0()		
{		
<b>VUV, 1-0;</b>	<b>2</b>	<b>uimsbf</b>
if (VUV != 0) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>SE_gain, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>Pitch, 6-1;</b>	<b>6</b>	<b>uimsbf</b>
<b>LSP2, 6;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP3, 4;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP2, 5;</b>	<b>1</b>	<b>uimsbf</b>
}		
else {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>VX_gain1[0], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>VX_gain1[1], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP2, 6-3;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP3, 4-3;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		

Table 2.27 — Syntax of 2k\_ESC1()

Syntax	No. of bits	Mnemonic
2k_ESC1()		
{		
if (VUV != 0) {		
<b>SE_shape1, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else {		
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
<b>LSP3, 2;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

Table 2.28 — Syntax of 2k\_ESC2()

Syntax	No. of bits	Mnemonic
2k_ESC2()		
{		
if (VUV != 0) {		
<b>SE_shape2, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else {		
<b>LSP3, 1-0;</b>	<b>2</b>	<b>uimsbf</b>
<b>VX_shape1[0], 5-4;</b>	<b>2</b>	<b>uimsbf</b>
}		



Table 2.31 — Syntax of 4k\_ESC1()

Syntax	No. of bits	Mnemonic
4k_ESC1(rate)		
{		
if (VUV != 0) {		
<b>SE_shape4, 8-0;</b>	<b>9</b>	<b>uimsbf</b>
<b>SE_shape5, 7-0;</b>	<b>8</b>	<b>uimsbf</b>
if (rate >= 4000) {		
<b>SE_shape6, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
}		
}		
else {		
if (rate >= 4000) {		
<b>VX_gain2[3], 0;</b>	<b>1</b>	<b>uimsbf</b>
}		
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
<b>LSP3, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP5, 6-0;</b>	<b>7</b>	<b>uimsbf</b>
<b>VX_shape1[0], 5-0;</b>	<b>6</b>	<b>uimsbf</b>
<b>VX_shape1[1], 5;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

Table 2.32 — Syntax of 4k\_ESC2()

Syntax	No. of bits	Mnemonic
4k_ESC2()		
{		
if (VUV != 0) {		
<b>SE_shape1, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else {		
<b>VX_shape1[1], 4-1;</b>	<b>4</b>	<b>uimsbf</b>
}		
}		

Table 2.33 — Syntax of 4k\_ESC3()

Syntax	No. of bits	Mnemonic
4k_ESC3()		
{		
if (VUV != 0) {		
<b>SE_shape2, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else {		
<b>VX_shape1[1], 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>VX_shape2[0], 4-2;</b>	<b>3</b>	<b>uimsbf</b>
}		
}		

Table 2.34 — Syntax of 4k\_ESC4()

Syntax	No. of bits	Mnemonic
4k_ESC4(rate)		
{		

if (VUV != 0) {		
LSP2, 2-0;	3	uimsbf
LSP3, 3-0;	4	uimsbf
LSP5, 6-0;	7	uimsbf
Pitch, 0;	1	uimsbf
SE_shape3, 1-0;	2	uimsbf
}		
else {		
VX_shape2[0], 1-0;	2	uimsbf
VX_shape2[1], 4-0;	5	uimsbf
VX_shape2[2], 4-0;	5	uimsbf
if (rate >= 4000) {		
VX_shape2[3], 4-0;	5	uimsbf
}		
}		

2.3.4.2 Bitstream syntax for the scalable mode

Bitstream syntax of the base layer for scalable mode is the same as that of ErHVXCfixframe(2000). Bitstream syntax of enhancement layer, ErHVXCenhFrame(), for scalable mode is shown below.

Table 2.35 — Syntax of ErHVXCenh\_fixframe()

Syntax	No. of bits	Mnemonic
ErHVXCenh_fixframe() { Enh_ESC0(); Enh_ESC1(); Enh_ESC2(); }		

Table 2.36 — Syntax of Enh\_ESC0()

Syntax	No. of bits	Mnemonic
Enh_ESC0() { if (VUV != 0) { SE_shape3, 6-2;	5	uimsbf
LSP5, 7;	1	uimsbf
SE_shape4, 9;	1	uimsbf
SE_shape5, 8;	1	uimsbf
SE_shape6, 5;	1	uimsbf
SE_shape4, 8-6;	3	uimsbf
}		
else {		
LSP5, 7;	1	uimsbf
VX_gain2[0], 2-0;	3	uimsbf
VX_gain2[1], 2-0;	3	uimsbf
VX_gain2[2], 2-0;	3	uimsbf
VX_gain2[3], 2-1;	2	uimsbf
}		

Table 2.37 — Syntax of Enh\_ESC1()

Syntax	No. of bits	Mnemonic
Enh_ESC1() { if (VUV != 0) { SE_shape4, 5-0; SE_shape5, 7-0; SE_shape6, 4-0; } else { VX_gain2[3], 0; LSP5, 6-0; VX_shape2[0], 4-0; VX_shape2[1], 4-0; VX_shape2[2], 4; } }	6 8 5  1 7 5 5 1	uimsbf uimsbf uimsbf  uimsbf uimsbf uimsbf uimsbf uimsbf

Table 2.38 — Syntax of Enh\_ESC2()

Syntax	No. of bits	Mnemonic
Enh_ESC2() { if (VUV != 0) { LSP5, 6-0; SE_shape3, 1-0; } else { VX_shape2[2], 3-0; VX_shape2[3], 4-0; } }	7 2  4 5	uimsbf uimsbf  uimsbf uimsbf

### 2.3.4.3 Bitstream syntax of variable bitrate mode

Table 2.39 — Syntax of ErHVXCvarframe()

Syntax	No. of bits	Mnemonic
ErHVXCvarframe(rate) { if (rate == 2000) { if (var_ScalableFlag == 1) { BaseVar_ESC0(); BaseVar_ESC1(); BaseVar_ESC2(); BaseVar_ESC3(); } else { Var2k_ESC0(); Var2k_ESC1(); Var2k_ESC2(); Var2k_ESC3(); } } else { Var4k_ESC0(); Var4k_ESC1(); Var4k_ESC2(); } }		

```

    Var4k_ESC3();
    Var4k_ESC4();
}
}

```

Table 2.40 — Syntax of Var2k\_ESC0()

Syntax	No. of bits	Mnemonic
Var2k_ESC0()		
{		
<b>VUV, 1-0;</b>	<b>2</b>	<b>uimsbf</b>
if (VUV == 2    VUV == 3) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>SE_gain, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>Pitch, 6-1;</b>	<b>6</b>	<b>uimsbf</b>
<b>LSP2, 6;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP3, 4;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP2, 5;</b>	<b>1</b>	<b>uimsbf</b>
}		
else if (VUV == 0) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>VX_gain1[0], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>VX_gain1[1], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP2, 6-3;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP3, 4-3;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		

Table 2.41 — Syntax of Var2k\_ESC1()

Syntax	No. of bits	Mnemonic
Var2k_ESC1()		
{		
if (VUV == 2    VUV == 3) {		
<b>SE_shape1, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else if (VUV == 0) {		
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
<b>LSP3, 2;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

Table 2.42 — Syntax of Var2k\_ESC2()

Syntax	No. of bits	Mnemonic
Var2k_ESC2()		
{		
if (VUV == 2    VUV == 3) {		
<b>SE_shape2, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else if (VUV == 0) {		
<b>LSP3, 1-0;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		



{			
if (VUV == 2    VUV == 3) {			
<b>SE_shape4, 8-0;</b>	<b>9</b>	<b>uimsbf</b>	
<b>SE_shape5, 7-0;</b>	<b>8</b>	<b>uimsbf</b>	
<b>SE_shape6, 4-0;</b>	<b>5</b>	<b>uimsbf</b>	
}			
else if (VUV == 0) {			
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>	
<b>LSP3, 2-0;</b>	<b>3</b>	<b>uimsbf</b>	
<b>VX_shape1[0], 5-0;</b>	<b>6</b>	<b>uimsbf</b>	
<b>VX_shape1[1], 5-0;</b>	<b>6</b>	<b>uimsbf</b>	
}			
else {			
if (UpdateFlag == 1) {			
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>	
<b>LSP3, 2-0;</b>	<b>3</b>	<b>uimsbf</b>	
}			
}			
}			

Table 2.46 — Syntax of Var4k\_ESC2()

Syntax	No. of bits	Mnemonic
Var4k_ESC2() { if (VUV == 2    VUV == 3) { <b>SE_shape1, 3-0;</b> } }	<b>4</b>	<b>uimsbf</b>

Table 2.47 — Syntax of Var4k\_ESC3()

Syntax	No. of bits	Mnemonic
Var4k_ESC3() { if (VUV == 2    VUV == 3) { <b>SE_shape2, 3-0;</b> } }	<b>4</b>	<b>uimsbf</b>

Table 2.48 — Syntax of Var4k\_ESC4()

Syntax	No. of bits	Mnemonic
Var4k_ESC4() { if (VUV == 2    VUV == 3) { <b>LSP2, 2-0;</b> <b>LSP3, 3-0;</b> <b>LSP5, 6-0;</b> <b>Pitch, 0;</b> <b>SE_shape3, 1-0;</b> } }	<b>3</b> <b>4</b> <b>7</b> <b>1</b> <b>2</b>	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

Table 2.49 — Syntax of BaseVar\_ESC0()

Syntax	No. of bits	Mnemonic
BaseVar_ESC0() {		
<b>VUV, 1-0;</b>	<b>2</b>	<b>uimsbf</b>
if (VUV == 2    VUV == 3) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>SE_gain, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>Pitch, 6-1;</b>	<b>6</b>	<b>uimsbf</b>
<b>LSP2, 6;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP3, 4;</b>	<b>1</b>	<b>uimsbf</b>
<b>LSP2, 5;</b>	<b>1</b>	<b>uimsbf</b>
}		
else if (VUV == 0) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>VX_gain1[0], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>VX_gain1[1], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP2, 6-3;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP3, 4-3;</b>	<b>2</b>	<b>uimsbf</b>
}		
else {		
<b>UpdateFlag, 0;</b>	<b>1</b>	<b>uimsbf</b>
if (UpdateFlag == 1) {		
<b>LSP4, 0;</b>	<b>1</b>	<b>uimsbf</b>
<b>VX_gain1[0], 3-0;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP1, 4-0;</b>	<b>5</b>	<b>uimsbf</b>
<b>LSP2, 6-3;</b>	<b>4</b>	<b>uimsbf</b>
<b>LSP3, 4-3;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		
}		

Table 2.50 — Syntax of BaseVar\_ESC1()

Syntax	No. of bits	Mnemonic
BaseVar_ESC1() {		
if (VUV == 2    VUV == 3) {		
<b>SE_shape1, 3-0;</b>	<b>4</b>	<b>uimsbf</b>
}		
else if (VUV == 0) {		
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
<b>LSP3, 2;</b>	<b>1</b>	<b>uimsbf</b>
}		
else {		
if (UpdateFlag == 1) {		
<b>LSP2, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
<b>LSP3, 2-0;</b>	<b>3</b>	<b>uimsbf</b>
}		
}		
}		

Table 2.51 — Syntax of BaseVar\_ESC2()

Syntax	No. of bits	Mnemonic
--------	-------------	----------



Table 2.55 — Syntax of EnhVar\_ESC1()

Syntax	No. of bits	Mnemonic
EnhVar_ESC1() { if (VUV == 2    VUV == 3) { <b>SE_shape4, 5-0;</b> <b>SE_shape5, 7-0;</b> <b>SE_shape6, 4-0;</b> } }	   <b>6</b> <b>8</b> <b>5</b>	   <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

Table 2.56 — Syntax of EnhVar\_ESC2()

Syntax	No. of bits	Mnemonic
EnhVar_ESC2() { if (VUV == 2    VUV == 3) { <b>LSP5, 6-0;</b> <b>SE_shape3, 1-0;</b> } }	   <b>7</b> <b>2</b>	   <b>uimsbf</b> <b>uimsbf</b>

## 2.4 Bitstream semantics

### 2.4.1 Decoder configuration (HvxcSpecificConfig, ErrorResilientHvxcSpecificConfig)

**HVXCvarMode:** A flag indicating HVXC variable rate mode (Table 2.1).

**HVXCrateMode:** A 2 bit field indicating HVXC bit rate mode (Table 2.1).

**extensionFlag:** A flag indicating the presence of MPEG-4 Version 2 data (Table 2.1).

**var\_ScalableFlag:** A flag indicating ER\_HVXC variable scalable mode (Table 2.22).

**isBaseLayer:** A one-bit identifier representing whether the corresponding layer is the base layer (1) or the enhancement layer (0).

### 2.4.2 Bitstream frame (aIPduPayload)

**LSP1:** This 5 bits field represents the index of the first stage LSP quantization (base layer, Table 2.8 and Table 2.17).

**LSP2:** This 7 bits field represents the index of the second stage LSP quantization (base layer, Table 2.8 and Table 2.17).

**LSP3:** This 5 bits field represents the index of the second stage LSP quantization (base layer, Table 2.8 and Table 2.17).

**LSP4:** This 1 bit field represents the flag whether a interframe prediction is used or not in the second stage LSP quantization (base layer, Table 2.8 and Table 2.17).

**LSP5:** This 8 bits field represents the index of the third stage LSP quantization (enhancement layer, Table 2.9).

**VUV:** This 2 bits field represents V/UV decision mode. It should be noted that this field has a different meaning according to HVXC variable rate mode (Table 2.10 and Table 2.15)

**Pitch:** This 7 bits field represents the index of the linearly quantized pitch lag ranging from 20 to 147 samples (Table 2.12 and Table 2.18).

**SE\_shape1:** This 4 bits field represents the index of the spectral envelope shape (base layer, Table 2.12 and Table 2.18).

**SE\_shape2:** This 4 bits field represents the index of the spectral envelope shape (base layer, Table 2.12 and Table 2.18).

**SE\_gain:** This 5 bits field represents the index of the spectral envelope gain (base layer, Table 2.12 and Table 2.18).

**VX\_shape1[sf\_num]:** This 6 bits field represents the index of the sf\_num-th subframe's VXC shape (base layer, Table 2.12 and Table 2.18).

**VX\_gain1[sf\_num]:** This 4 bits field represents the index of the sf\_num-th subframe's VXC gain (base layer, Table 2.12 and Table 2.18).

**SE\_shape3:** This 7 bits field represents the index of the spectral envelope shape (enhancement layer, Table 2.13).

**SE\_shape4:** This 10 bits field represents the index of the spectral envelope shape (enhancement layer, Table 2.13).

**SE\_shape5:** This 9 bits field represents the index of the spectral envelope shape (enhancement layer, Table 2.13).

**SE\_shape6:** This 6 bits field represents the index of the spectral envelope shape (enhancement layer, Table 2.13).

**VX\_shape2[sf\_num]:** This 5 bits field represents the index of the sf\_num-th subframe's VXC shape (enhancement layer, Table 2.13).

**VX\_gain2[sf\_num]:** This 3 bits field represents the index of the sf\_num-th subframe's VXC gain (enhancement layer, Table 2.13).

**UpdateFlag:** This 1 bit field represents a flag to indicate update noise frame (only for ER\_HVXC 4 kbit/s variable rate mode).

## 2.5 HVXC decoder tools

### 2.5.1 Overview

HVXC provides an efficient coding scheme for Linear Predictive Coding (LPC) residuals based on harmonic and stochastic vector representation. Vector quantization (VQ) of the spectral envelope of LPC residuals with a weighted distortion measure is used when the signal is voiced. Vector excitation coding (VXC) is used when the signal is unvoiced. The major algorithmic features are:

- Weighted VQ of variable dimension spectral vector.
- A fast harmonic synthesis algorithm by IFFT.
- Interpolative coder parameters for speed/pitch control

Also, functional features include:

- As low as 33.5 ms of total algorithmic delay

- 2.0-4.0 kbps scalable mode
- Variable bit rate coding for rates less than 2.0 kbps

### 2.5.1.1 Framing structure and block diagram of the decoder

Figure 2.2 shows the overall structure of the HVXC decoder. The HVXC decoder tools allow decoding of speech signals at 2 kbit/s and higher, up to 4 kbit/s. HVXC decoder tools also allow decoding with variable bit rate mode at an average bit rate of around 1.2-1.7 kbps. The basic decoding process is composed of four steps; de-quantization of parameters, generation of excitation signals for voiced frames by sinusoidal synthesis (harmonic synthesis) and noise component addition, generation of excitation signals for unvoiced frames by codebook look-up, and LPC synthesis. To enhance the synthesized speech quality spectral post-filtering is used.

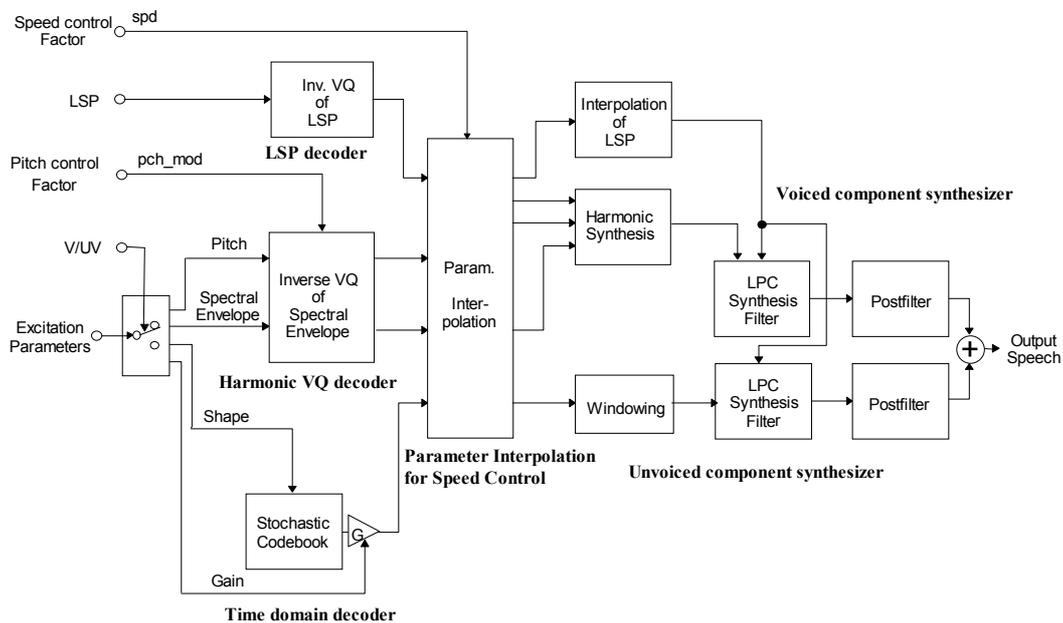


Figure 2.2 — Block diagram of the HVXC decoder

### 2.5.1.2 Delay mode

HVXC coder/decoder supports low/normal delay encode/decode mode, allowing any combinations of delay mode at 2.0-4.0 kbps with a scalable scheme. The figure below shows the framing structure of each delay mode. The frame length is 20 ms for all the delay modes. For example, use of low delay encode and low delay decode mode results in a total coder/decoder delay of 33.5 ms.

In the encoder, the algorithmic delay could be selected to be either 26 ms or 46 ms. When 46 ms delay is selected, one frame look ahead is used for pitch detection. When 26 ms delay is selected, only the current frame is used for pitch detection. For both cases, syntax is common, all the quantizers are common, and bitstreams are compatible. In the decoder the algorithmic delay can be selected to be either 10 ms (normal delay mode) or 7.5 ms (low delay mode). When 7.5 ms delay is selected, the decoder frame interval is shifted by 2.5 ms (20 samples) compared to the 10 ms delay mode. In this case, the excitation generation and LPC synthesis phase is shifted by 2.5 ms. Again, for both cases, syntax is common, all the quantizers are common, and bitstreams are compatible.

In summary, any independent choice of encoder/decoder delay from the following combination is possible:

Encoder delay: 26 ms or 46 ms

Decoder delay: 10 ms or 7.5 ms

One or some combinations of the delay mode shall be supported depending on the application.

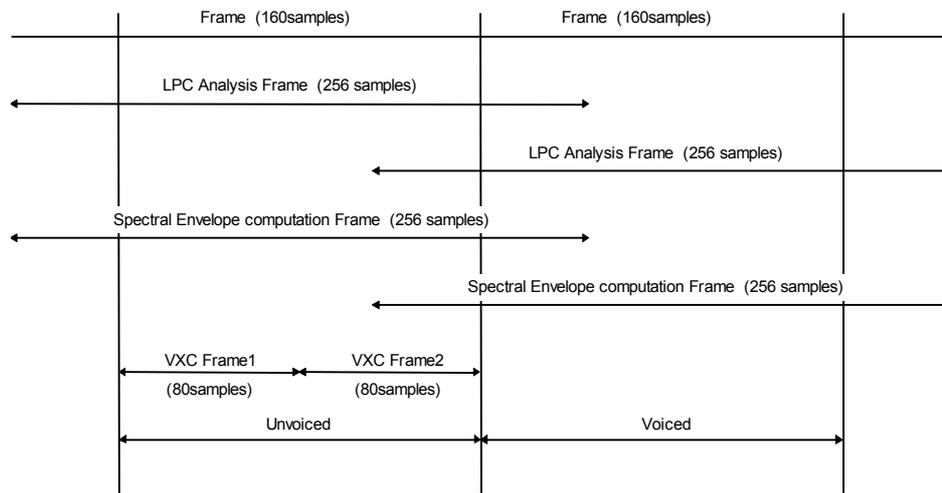


Figure 2.3 — Framing structure of HVXC

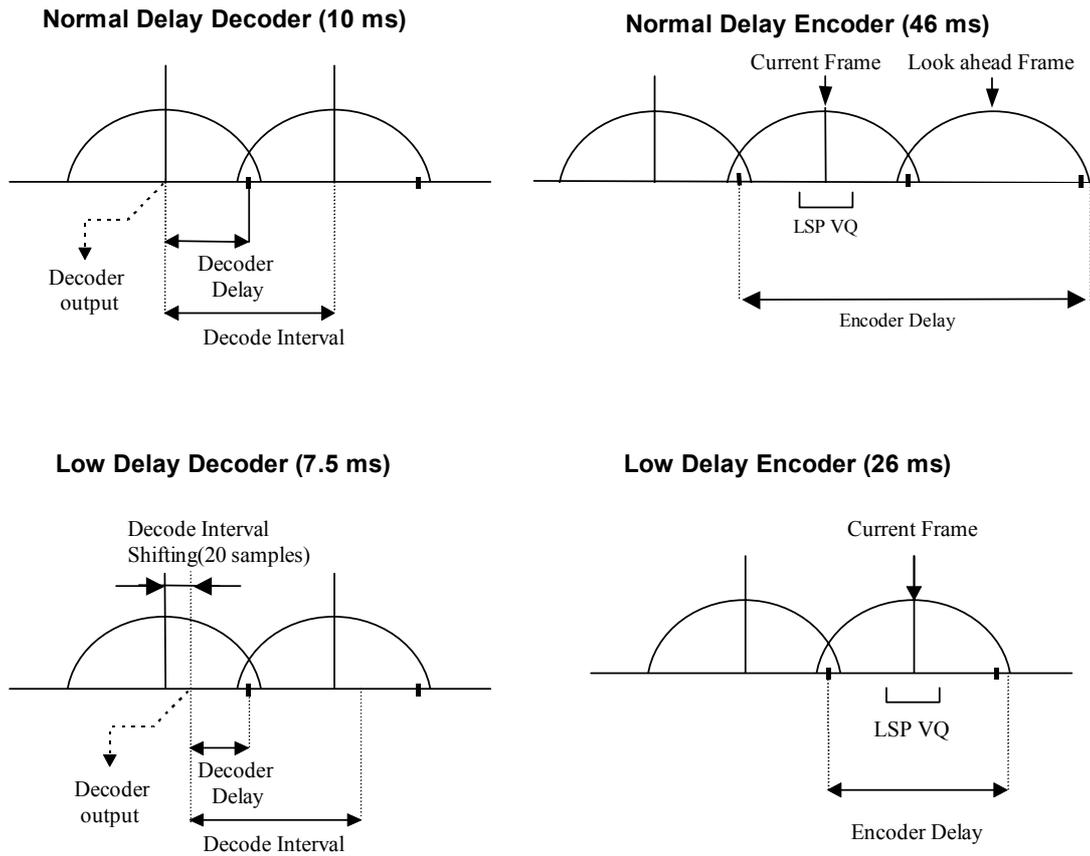


Figure 2.4 — Delay mode of the HVXC encoder and decoder

## 2.5.2 LSP decoder

### 2.5.2.1 Tool description

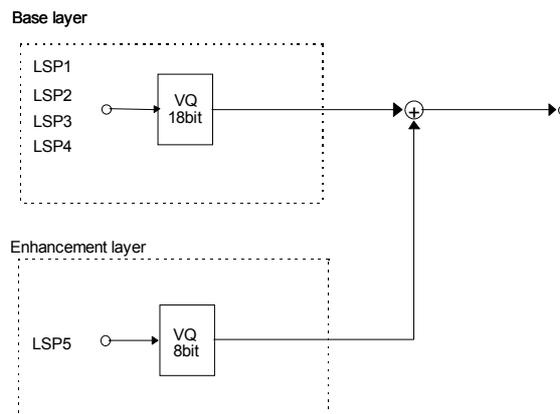


Figure 2.5 — LSP decoder

For the quantization of the LSP parameters, a multistage quantizer structure is used and the output vectors from each stage have to be summed up to obtain the LSP parameters.

When the bitrate is 2 kbps, the LSPs of the current frame, which are coded by split and two-stage vector quantization, are decoded using a two-stage decoding process. At 4 kbps, a 10-dimensional vector quantizer, which has an 8 bit codebook, is added to the bottom of the LSP quantizer scheme of 2.0 kbps coder. The bits needed for the LSPs are increased from 18bits/20msec to 26bits/20msec.

**Table 2.57 — Configuration of the multistage LSP VQ**

1st stage	10 LSP VQ	5 bits
2nd stage	(5+5) LSP VQ	(7+5+1) bits
3rd stage	10 LSP VQ	8 bits

**2.5.2.2 Definitions**

**Definitions of constants**

*LPCORDER* : LPC analysis order (=10)

*dim[][]* : dimensions for the split vector quantization

*min\_gap* : minimum distance between adjacent LSP coefficients (base layer, =4.0/256.0)

*ratio\_predict* : LSP interframe prediction ratio (=0.7)

*THRSLD\_L* : minimum distance between adjacent LSP coefficients

(low frequency part of enhancement layer, =0.020)

*THRSLD\_M* : minimum distance between adjacent LSP coefficients

(middle frequency part of enhancement layer, =0.020)

*THRSLD\_H* : minimum distance between adjacent LSP coefficients

(high frequency part of enhancement layer, = 0.020)

**Definitions of variables**

*qLsp[]* : quantized LSP parameters

*LSP1* : the index of the first stage LSP quantization (base layer)

*LSP2, LSP3* : the indices of the second LSP quantization (base layer)

*LSP4* : the flag showing whether a interframe prediction is used or not (base layer)

*LSP5* : the index of the third LSP quantization (enhancement layer)

*lsp\_tbl[][][]* : look-up tables for the first stage decoding process

*d\_tbl[][][]* : look-up tables for the second stage decoding process of the VQ without interframe prediction

*pd\_tbl[][][]* : look-up tables for the second stage decoding process of the VQ with interframe prediction.

$vqLsp[][]$  : look-up table for the enhancement layer  
 $sign$  : sign of code vector for the second stage decoding process  
 $idx$  : unpacked index for the second stage decoding process  
 $lsp\_predict[]$  : the LSPs predicted from  $lsp\_previous[]$  and  $lsp\_first[]$   
 $lsp\_previous[]$  : the LSPs decoded at the previous frame  
 $lsp\_current[]$  : the LSPs decoded at the current frame  
 $lsp\_first[]$  : the LSPs decoded at the first stage decoding process

### 2.5.2.3 Decoding process

The decoding process of the LSP parameters for the base layer (2.0 kbps) is the same as that of the narrowband CELP. The decoding process is as described below.

#### Converting indices to LSPs

The LSPs of the current frame ( $lsp\_current[]$ ), which are coded by split and two-stage vector quantization, are decoded with a two-stage decoding process. The dimension of each vector is described in the tables below. The **LSP1** and **LSP2**, **LSP3** represent indices for the first and the second stage respectively.

**Table 2.58 — Dimension of the first stage LSP vector**

Split Vector Index: $i$	Vector Dimension: $dim[0][i]$
0	10

**Table 2.59 — Dimension of the second stage LSP vector**

Split Vector Index: $i$	Vector Dimension: $dim[1][i]$
0	5
1	5

In the first stage, the LSP vector of the first stage  $lsp\_first[]$  is decoded simply by looking up the table  $lsp\_tbl[][][]$ . (The table  $lsp\_tbl[][][]$  is shown in Annex 2.E)

```

for (i = 0; i < dim[0][0]; i++) {
    lsp_first[i] = lsp_tbl[0][LSP1][i];
}

```

In the second stage, there are two types of decoding processes, namely, decoding process of VQ without interframe prediction and VQ with interframe prediction. The flag **LSP4** indicates which process should be selected.

**Table 2.60 — Decoding process for the second stage**

LSP Index: <b>LSP4</b>	Decoding process
0	VQ without interframe prediction
1	VQ with interframe prediction

#### Decoding process of VQ without interframe prediction

In order to obtain LSPs of the current frame  $lsp\_current[]$ , the decoded vectors in the second stage are added to the decoded first stage LSP vector  $lsp\_first[]$ . The MSB of the **LSP2** and **LSP3** represents the sign of the

decoded vector, and the remaining bits represent the index for the table  $d\_tbl[][][]$ . (The table  $d\_tbl[][][]$  is shown in Annex 2.E)

```

sign = LSP2>>6;
idx = LSP2&0x3f;
if (sign == 0) {
    for (i = 0; i < dim[1][0]; i++) {
        lsp_current[i] = lsp_first[i] + d_tbl[0][idx][i];
    }
}
else {
    for (i = 0; i < dim[1][0]; i++) {
        lsp_current[i] = lsp_first[i] - d_tbl[0][idx][i];
    }
}
sign = LSP3>>4;
idx = LSP3&0x0f;
if (sign == 0) {
    for (i = 0; i < dim[1][1]; i++) {
        lsp_current[dim[1][0]+i] = lsp_first[dim[1][0]+i] + d_tbl[1][idx][i];
    }
}
else {
    for (i = 0; i < dim[1][1]; i++) {
        lsp_current[dim[1][0]+i] = lsp_first[dim[1][0]+i] - d_tbl[1][idx][i];
    }
}

```

### Decoding process of VQ with interframe prediction

In order to obtain LSPs of the current frame  $lsp\_current[]$ , the decoded vectors of the second stage are added to the LSP vector  $lsp\_predict[]$  which are predicted from the decoded LSPs of the previous frame  $lsp\_previous[]$  and the decoded first stage LSP vector  $lsp\_first[]$ . As with the decoding process of VQ without interframe prediction, the MSB of the **LSP2** and **LSP3** represents the sign of the decoded vector, and the remaining bits represent the index for the table  $pd\_tbl[][][]$ . (The table  $pd\_tbl[][][]$  is shown in Annex 2.E)

```

for (i = 0; i < LPCORDER; i++) {
    lsp_predict[i] = (1-ratio_predict)*lsp_first[i]
                  + ratio_predict*lsp_previous[i];
}

sign = LSP2>>6;
idx = LSP2&0x3f;
if (sign == 0) {
    for (i = 0; i < dim[1][0]; i++) {
        lsp_current[i] = lsp_predict[i] + pd_tbl[0][idx][i];
    }
}
else {
    for (i = 0; i < dim[1][0]; i++) {
        lsp_current[i] = lsp_predict[i] - pd_tbl[0][idx][i];
    }
}
sign = LSP3>>4;
idx = LSP3&0x0f;
if (sign == 0) {
    for (i = 0; i < dim[1][1]; i++) {
        lsp_current[dim[1][0]+i] = lsp_predict[dim[1][0]+i] + pd_tbl[1][idx][i];
    }
}
}

```

```

else {
  for (i = 0; i < dim[1][1]; i++) {
    lsp_current[dim[1][0]+i] = lsp_predict[dim[1][0]+i] - pd_tbl[1][idx][i];
  }
}

```

### Stabilization of LSPs

The decoded LSPs *lsp\_current*[] are stabilized in order to ensure stability of the LPC synthesis filter which is derived from the decoded LSPs. The decoded LSPs are arranged in ascending order, having a minimum distance between adjacent coefficients.

```

for (i = 0; i < LPCORDER; i++) {
  if (lsp_current[i] < min_gap) lsp_current[i] = min_gap;
}
for (i = 0; i < LPCORDER-1; i++) {
  if (lsp_current[i+1]-lsp_current[i] < min_gap) {
    lsp_current[i+1] = lsp_current[i]+min_gap;
  }
}
for (i = 0; i < LPCORDER; i++) {
  if (lsp_current[i] > 1-min_gap) lsp_current[i] = 1-min_gap;
}
for (i = LPCORDER-1; i > 0; i--) {
  if (lsp_current[i]-lsp_current[i-1] < min_gap) {
    lsp_current[i-1] = lsp_current[i]-min_gap;
  }
}

for (i = 0; i < LPCORDER; i++) {
  qLsp[i] = lsp_current[i];
}

```

### Storing the coefficients

After the LSP decoding process, the decoded LSPs have to be stored in memory, since they are used for prediction at the next frame.

```

for (i = 0; i < LPCORDER; i++) {
  lsp_previous[i] = lsp_current[i];
}

```

It must be noted that the stored LSPs *lsp\_previous*[] must be initialized as described below when the whole decoder is initialized.

```

for (i = 0; i < LPCORDER; i++) {
  lsp_previous[i] = (i+1)/(LPCORDER+1);
}

```

### Decoding process for the enhancement layer

For the enhancement layer (4.0 and 3.7 kbps), additional code vectors and the base layer's LSPs are summed up as follows.

```

for (i = 0; i < LPCORDER; i++) {
  qLsp[i] += vqLsp[LSP5][i];
}

```

After the calculation, the LSPs are stabilized again.

```

for (i = 0; i < 2; i++) {
  if (qLsp[i+1] - qLsp[i] < 0) {
    tmp = qLsp[i+1];
    qLsp[i+1] = qLsp[i];
    qLsp[i] = tmp;
  }
  if (qLsp[i+1] - qLsp[i] < THRSLD_L) {
    qLsp[i + 1] = qLsp[i] + THRSLD_L;
  }
}

for (i = 2; i < 6; i++) {
  if (qLsp[i+1] - qLsp[i] < THRSLD_M) {
    tmp = (qLsp[i+1] + qLsp[i])/2.0;
    qLsp[i+1] = tmp + THRSLD_M/2.0;
    qLsp[i] = tmp - THRSLD_M/2.0;
  }
}

for (i = 6; i < LPCORDER-1; i++) {
  if (qLsp[i+1] - qLsp[i] < 0) {
    tmp = qLsp[i+1];
    qLsp[i+1] = qLsp[i];
    qLsp[i] = tmp;
  }
  if (qLsp[i+1] - qLsp[i] < THRSLD_H) {
    qLsp[i] = qLsp[i+1] - THRSLD_H;
  }
}

```

#### 2.5.2.4 Tables

See LSP quantizer table of Annex 2.E.

### 2.5.3 Harmonic VQ decoder

#### 2.5.3.1 Tool description

The decoding process consists of two major steps for the base layer, that is, inverse vector quantization of spectral envelope vectors and dimension conversion. For the enhancement layer, additional inverse-quantizers are used. The operations of each step are given below.

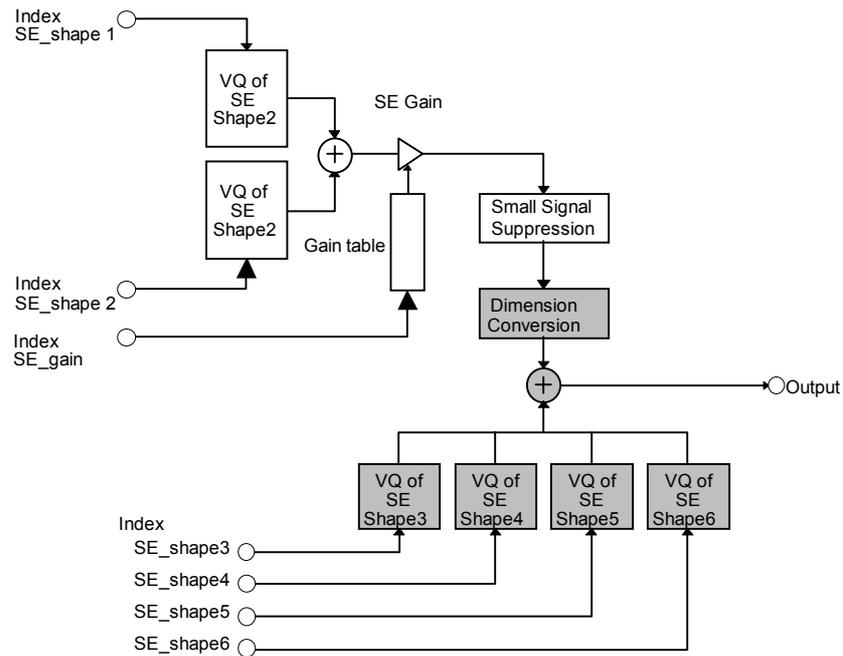


Figure 2.6 — Structure of Harmonic VQ decoder

### 2.5.3.2 Definitions

#### Definitions of constants

*SAMPLE* : the number of samples in frequency spectrum ranging from 0 to  $2\pi$  (=256)

*R* : over sampling rate in the dimension conversion (=8)

*vqdim0* : vector dimension of spectral envelope quantization (=44)

*JISU* : order of the over sampling filter in up sampled domain (=9)

*f\_coef*[] : over sampling filter coefficients

#### Definition of variables

*Pitch* : the index of linearly quantized pitch lag value

*pch* : pitch lag value in the current frame

*pch\_mod* : pitch modification factor

*w0f* : the original fundamental frequency where *SAMPLE* represents  $2\pi$

*send* : the number of harmonics in the current frame (between 0 and 3800Hz)

*w0* : target fundamental frequency after the dimension conversion where  $SAMPLE \times R$  represents  $2\pi$

HVXCrates : operating bit rate of the decoder

$qedvec[]$ : quantized spectral envelope vector in the fixed dimension

$SE\_gain$ : the index of spectral envelope gain (base layer)

$SE\_shape1, SE\_shape2$ : the indices of spectral envelope shape (base layer)

$SE\_shape3, SE\_shape4, SE\_shape5, SE\_shape6$ : the indices of spectral envelope shape (enhancement layer)

$g0[]$ :  $SE\_gain$  codeword

$cb0[][]$ :  $SE\_shape1$  codeword

$cb1[][]$ :  $SE\_shape2$  codeword

$cb4k[0][][]$ :  $SE\_shape3$  codeword

$cb4k[1][][]$ :  $SE\_shape4$  codeword

$cb4k[2][][]$ :  $SE\_shape5$  codeword

$cb4k[3][][]$ :  $SE\_shape6$  codeword

$re[]$ : input of the dimension conversion

$rel0, rel1$ : 8 times over sampled values in the dimension conversion

$ip\_ratio$ : linear interpolation ratio of the dimension conversion

$target[]$ : reconstructed vector due to the vector quantizers for the enhancement layer

$am[]$ : reconstructed harmonic magnitudes vector

$feneq$ : rms of quantized spectral envelope vector in the current frame

$feneqold$ : rms of quantized spectral envelope vector in the previous frame

### 2.5.3.3 Decoding process

#### Pitch index decoding

The pitch lag value in the current frame,  $pch$ , is decoded from the pitch index **Pitch** as follows:

$$pch = Pitch + 20.0$$

Pitch modification can be done by dividing  $pch$  by pitch modification factor  $pch\_mod$ :

$$pch = pch / pch\_mod$$

If the pitch modification is controlled by the **pitch** field in the AudioSource BIFS node, the modification factor is:

$$pch\_mod = pitch$$

It should be noted that the modulated pitch lag value must be within a range from 8.0 to 147.0.

Then the number of harmonics in a frequency range between 0 and 3800Hz,  $send$ , and the fundamental frequency,  $w_0$  (where  $SAMPLE \times R$  represents  $2\pi$ ), are calculated as follows:

$$send = (\text{int})(0.95 \times pch \times 0.5)$$

$$w_0 = \frac{SAMPLE \times R}{pch}$$

### Harmonic magnitudes decoding

Decoding of harmonic magnitudes consists of the following steps;

- (S1) Inverse vector quantization of the base layer**
- (S2) Suppression of small signals**
- (S3) Dimension conversion of base layer output**
- (S4) Inverse vector quantization of the enhancement layer**

For the 2.0kbps mode, **S1**, **S2** and **S3** above are executed to obtain the harmonic magnitudes. For the 4.0 and 3.7kbps mode, **S4** is executed in addition to **S1**, **S2** and **S3**.

In the 2.0 kbps mode, a combination of two-stage shape vector quantization and a scalar gain quantization is used, whose indices are **SE\_shape1**, **SE\_shape2** and **SE\_gain** respectively. The dimension of the two shape codebooks is fixed (=44). In **S1**, two shape vectors represented by **SE\_shape1** and **SE\_shape2** are added and then multiplied by the gain represented by **SE\_gain**. The spectral envelope vector obtained in **S1** covers frequency range from 0 to 3800 Hz. The spectral envelope vector of very small energy is then suppressed in **S2**. In order to obtain the harmonic magnitudes vector of the original dimension,  $send$ , dimension conversion is then applied to the spectral envelope vector in **S3**. In the 4.0 kbps mode, additional stage with a split VQ scheme composed of four vector quantizers is used for the enhancement layer. **SE\_shape3**, **SE\_shape4**, **SE\_shape5** and **SE\_shape6** represents the indices of the quantizers for the enhancement layer. In **S4**, the output of these quantizers is added to the output of the **S3** for harmonic magnitudes at the lowest 14 harmonic frequencies. When 3.7kbps mode is selected, **SE\_shape6** is not available and **S4** is carried out for the harmonic magnitudes of only the lowest 10 harmonic frequencies.

**Table 2.61 — Configuration of the multistage harmonic VQ**

2.0 kbps two-stage VQ dimension	4bits shape + 4bits shape + 5bits gain			
	44			
4.0 kbps split VQ dimension	7bits	10bits	9bits	6bits
	2	4	4	4

### Dimension conversion algorithm

The theoretical background of the dimension conversion algorithm used in this tool is explained below.

The number of points which composes the spectral envelope varies depending on the pitch value, since the spectral envelope is a set of the estimates of the magnitudes at each harmonic. The number of harmonics ranges from 9 to 70. In order to obtain the variable number of harmonic magnitudes, the decoder has to convert a fixed-dimension (=44) codevector to a variable dimension vector. The number of points, which represent the shape of the spectral envelope, should be modified without changing the shape. For this purpose, a dimension converter consisting of a combination of a low pass filter and a 1st order linear interpolator is used. An FIR low pass filter with 7 sets of coefficients, each set consisting of 8 coefficients, is used for the first stage 8-times over-sampling. The 7 sets of the filter coefficients are obtained by grouping 8 every coefficients from a windowed sinc,  $f\_coef[i]$ , with the offsets of 1 through 7, where

$$f\_coef[i] = \frac{\sin \pi(i-32)/8}{\pi(i-32)/8} (0.5 - 0.5 \cos 2\pi i / 64) \quad 0 \leq i \leq 64$$

This FIR filtering allows decimated computation, in which only the points used at the next stage are computed. They are the left and right adjacent points of the final output of the dimension converter.

At the second over-sampling stage, 1st order linear interpolation is applied to obtain the necessary output points. In this way, harmonic magnitudes vectors of variable-dimension are obtained from spectral envelope vectors of fixed dimension (=44).

**(S1) Inverse vector quantization of the base layer:**

```
qedvec[0] = 0.0f;
for (i = 0; i < vqdim0; i++)
    qedvec[i+1] = g0[SE_gain]*(cb0[SE_shape1][i]+cb1[SE_shape2][i]);
```

**(S2) Small signal suppression:**

```
feneq = 0.0f;
for (i = 0; i < vqdim0; i++)
    feneq += qedvec[i+1]*qedvec[i+1];
feneq = sqrt(feneq/(float)vqdim0);
if (feneq < 1.0f || 0.5f*(feneqold+feneq) < 1.4f) {
    for (i = 0; i < vqdim0; i++) qedvec[i+1] = 0.0f;
}
feneqold = feneq;
```

**(S3) Dimension conversion of the base layer output:**

```
for (i = 0; i < (JISU-1)/2; i++)
    re[i] = 0.0f;
for (i = 0; i <= vqdim0; i++)
    re[i+(JISU-1)/2] = qedvec[i];
for (i = 0; i < (JISU-1)/2; i++)
    re[i+vqdim0+1+(JISU-1)/2] = qedvec[vqdim0];

w0f = (float)(SAMPLE*0.5*0.95)/(float)vqdim0;

ii = 0;
for (i = 0; i <= vqdim0 && ii <= send; i++) {
    for (p = 0; p < R && ii <= send; p++) {
        ip_ratio = (i*R+p+1)*w0f-w0*ii;
        if (ip_ratio > 0) {
            ip_ratio /= w0f;
            rel0 = rel1 = 0.0f;
            for (j = 1; j < JISU; j++) {
                rel0 += f_coef[j*R-p]*re[i+j];
                rel1 += f_coef[j*R-(p+1)]*re[i+j];
            }
        }
    }
    ii++;
}
```

```

        am[ii] = rel0*ip_ratio + rel1*(1.0f-ip_ratio);
        ii++;
    }
}

```

#### (S4) Inverse vector quantization of the enhancement layer:

```

target[0]=0.;
k = 1;
for (i = 0; i < 2; i++, k++)
    target[k] = cb4k[0][SE_shape3][i];
for (i = 0; i < 4; i++, k++)
    target[k] = cb4k[1][SE_shape4][i];
for (i = 0; i < 4; i++, k++)
    target[k] = cb4k[2][SE_shape5][i];
if(HVXCrate >= 4000){
    for (i = 0; i < 4; i++, k++)
        target[k] = cb4k[3][SE_shape6][i];
}
else{
    for (i = 0; i < 4; i++, k++)
        target[k] = 0.0f;
}
if (send > 14) {
    for (i = 15; i <= send; i++)
        target[i] = 0.0f;
}

for (i = 0; i <= send; i++)
    am[i] += target[i];

```

#### 2.5.3.4 Tables

See harmonic VQ table of Annex 2.E

### 2.5.4 Time domain decoder

#### 2.5.4.1 Tool description

For unvoiced segments of speech, a scheme that is similar to VXC (Vector Excitation Coding) is used. The time domain decoder generates an excitation waveform for the unvoiced portion by table look up using the transmitted indices. The shape vector and gain of the base layer are updated every 10 ms. The shape is scaled by multiplying each sample with the gain value. In the 2.0 kbps mode, only the output of the first stage (base layer) is used. In the 4.0 kbps and 3.7 kbps modes, the shape vector and the gain of the second stage (enhancement layer) are multiplied and added to the output of the first stage. The shape and gain of the enhancement layer are updated every 5 ms.

**Table 2.62 — Configuration of the time domain VQ**

1st stage	(80dimension 6bits shape + 4bits gain) x 2
2nd stage	(40dimension 5bits shape + 3bits gain) x 4

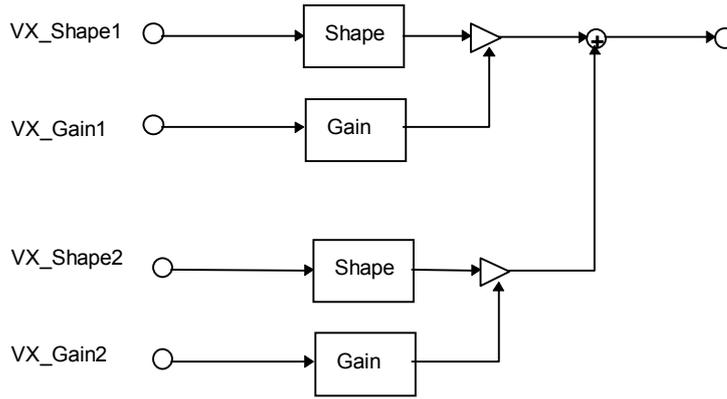


Figure 2.7 — Time domain VQ decoder

2.5.4.2 Definitions

Definitions of constants

*DimShape* : the first stage VXC frame length (=80)

*DimShape2* : the second stage VXC frame length (=40)

Definition of variables

HVXCrate : operating bit rate of the decoder

*res*[*i*] : VXC decoder output ( $0 \leq i < FRM$ )

*cbL0\_g*[*i*] : *i* -th entry of the first stage VXC gain codebook

*cbL0\_s*[*i*][*j*] : *j* -th component of *i* -th entry of the first stage VXC shape codebook

*cbL1\_g*[*i*] : *i* -th entry of the second stage VXC gain codebook

*cbL1\_s*[*i*][*j*] : *j* -th component of *i* -th entry of the second stage VXC shape codebook

*VX\_gain1*[*i*] : the index of the *i* -th subframe's VXC gain (base layer,  $i = 0,1$ )

*VX\_shape1*[*i*] : the index of the *i* -th subframe's VXC shape (base layer,  $i = 0,1$ )

*VX\_gain2*[*i*] : the index of the *i* -th subframe's VXC gain (enhancement layer,  $i = 0,1,2,3$ )

*VX\_shape2*[*i*] : the index of the *i* -th subframe's VXC shape (enhancement layer,  $i = 0,1,2,3$ )

2.5.4.3 Decoding process

For the base layer:

```
for (i = 0; i < DimShape; i++)
    res[i] = cbL0_g[VX_gain[0]] * cbL0_s[VX_shape[0]][i];
```

```

for (i = 0; i < DimShape; i++)
  res[i + DimShape] = cbL0_g[VX_gain[1]] *cbL0_s[VX_shape[1]][i];

```

To add the enhancement layer:

```

if(HVXCrate >= 4000){ /* 4.0 kbps mode */
  for (i = 0; i < 4; i++){
    for (j = 0; j < DimShape2; j++){
      res[j+DimShape2*i] += cbL1_g[VX_gain2[i]]*cbL1_s[VX_shape2[i]][j];
    }
  }
}
else{ /* 3.7kbps mode */
  for (i = 0; i < 3; i++){
    for (j = 0; j < DimShape2; j++){
      res[j+DimShape2*i] += cbL1_g[VX_gain2[i]]*cbL1_s[VX_shape2[i]][j];
    }
  }
}
}

```

#### 2.5.4.4 Tables

See stochastic codebook table of Annex 2.E.

## 2.5.5 Parameter interpolation for speed control

### 2.5.5.1 Tool description

The scheme for Speed Control is explained in this subclause. The decoder has a scheme for parameter interpolation to generate the input for the “Voiced Component Synthesizer” and “Unvoiced Component Synthesizer” at any arbitrary time instant. By this scheme, a sequence of parameters in modified intervals are computed and applied to the both of the synthesizers. In this way, decoder output in a modified time scale is obtained.

### 2.5.5.2 Definitions

#### Definitions of constants

*FRM* : frame interval (=160)

*P* : LPC order (=10)

#### Definitions of variables

"Parameter Interpolation" block computes the parameters in the modified time scale by interpolating the received parameters. The operation of this block is described below.

The operation of this block is basically linear interpolation and replacement of parameters.

Let us denote the arrays of original parameters as:

*pch[n]* : pitch lag value at time index *n*

$vuv[n]$  : V/UV index at time index  $n$

$lsp[n][i]$  : decoded LSPs at time index  $n$  ( $0 \leq i < P$ )

$send[n]$  : number of harmonics at time index  $n$

$am[n][i]$  : harmonic magnitudes at time index  $n$  ( $0 \leq i < send[n]$ )

$vex[n][i]$  : decoded VXC excitation signal at time index  $n$  ( $0 \leq i < FRM$ )

$param[n]$  : parameter at time index  $n$

and interpolated parameters as:

$mdf\_pch[m]$  : pitch lag value at time index  $m$

$mdf\_vuv[m]$  : V/UV index at time index  $m$

$mdf\_lsp[m][i]$  : decoded LSPs at time index  $m$  ( $0 \leq i < 10$ )

$mdf\_send[m]$  : number of harmonics at time index  $m$

$mdf\_am[m][i]$  : harmonic magnitudes at time index  $m$  ( $0 \leq i < send[n]$ )

$mdf\_vex[m][i]$  : decoded VXC excitation signal at time index  $m$  ( $0 \leq i < FRM$ )

$mdf\_param[m]$  : parameter at time index  $m$

where  $n$  and  $m$  are the time indices (frame number) before and after the time scale modification. The frame intervals are both 20 ms.

$spd$  : the ratio of speed change ( $0.5 \leq spd \leq 2.0$ )

$N_1$  : the duration of the original speech (total frame number)

$N_2$  : the duration of the speed controlled speech (total frame number)

$fr_0, fr_1$  : frame indices adjacent to the interpolation point

$left, right$  : interpolation ratio

$tmp\_vuv$  : temporal V/UV index

### 2.5.5.3 Speed control process

Let us define the ratio of speed change as  $spd$  :

$$spd = N_1/N_2 \quad (2.5.5.1)$$

where  $N_1$  is the duration of the original speech and  $N_2$  is the duration of the speed controlled speech. Therefore,

$$0 \leq n < N_1 \text{ and } 0 \leq m < N_2.$$

If the speed is controlled by the time scaling factor in the **speed** field of the AudioSource BIFS node, the speed change ratio is:

$$spd = 1 / speed$$

Basically, the time scale modified parameters are expressed as:

$$mdf\_param[m] = param[m \times spd] \quad (2.5.5.2)$$

where *param* are: *pch*, *vuv*, *lsp* and *am*. In general, however,  $m \times spd$  is not an integer.

We therefore define:

$$\begin{aligned} fr_0 &= m \times spd \\ fr_1 &= fr_0 + 1 \end{aligned} \quad (2.5.5.3)$$

to generate parameters at a time index  $m \times spd$  by linearly interpolating the parameters at time indices  $fr_0$  and  $fr_1$ . In order to execute linear interpolation, let us define:

$$\begin{aligned} left &= m \times spd - fr_0 \\ right &= fr_1 - m \times spd \end{aligned} \quad (2.5.5.4)$$

Then Eq.(2.5.5.2) can be approximated as:

$$mdf\_param[m] = param[fr_0] \times right + param[fr_1] \times left \quad (2.5.5.5)$$

where *param* are: *pch*, *vuv*, *lsp* and *am*.

For  $lsp[n][i]$  and  $am[n][i]$ , this linear interpolation is applied with the index  $i$  being fixed.

As for the parameter *vex*:

$vex[n][i]$  has excitation signals for UV frames by codebook look-up.

*FRM* samples from  $vex[n][i]$  centering around the time  $m \times spd$  are taken and the energy over the *FRM* samples are computed. Gaussian noise consisting of *FRM* samples is then generated and its norm is adjusted so that its energy be equal to that of *FRM* samples taken from  $vex[n][i]$ . This gain adjusted Gaussian noise sequence is used for  $mdf\_vex[m][i]$ .

Principal operation of time scale modification can be expressed by the Eq.(2.5.5.5), however, the V/UV decisions at  $fr_0$  and  $fr_1$ , prior to the interpolation, have to be considered.

The interpolation and replacement strategies adapted to V/UV decisions are described below. In the explanation below, full voiced and mixed voiced ( $vuv[n] \neq 0$ ) are grouped as “Voiced”, and only  $vuv[n] = 0$  case is regarded as “Unvoiced”. In the case of variable rate coding, “Background Noise” mode ( $vuv[n] = 1$ ) is also treated as “Unvoiced”.

- When V/UV decisions at  $fr_0$  and  $fr_1$  are Voiced - Voiced:

New V/UV index  $mdf\_vuv[m]$  is obtained as follows;

$$tmp\_vuv = vuv[fr_0] \times right + vuv[fr_1] \times left$$

if ( $tmp\_vuv > 2$ )

$$mdf\_vuv[m] = 3$$

else if ( $tmp\_vuv > 1$ )

$$mdf\_vuv[m] = 2$$

else if ( $tmp\_vuv > 0$ )

$$mdf\_vuv[m] = 1$$

New pitch lag value  $mdf\_pch[m]$  is obtained as follows;

if ( $0.57 < pch[fr_0] / pch[fr_1]$  &&  $pch[fr_0] / pch[fr_1] < 1.75$ )

$$mdf\_pch[m] = pch[fr_0] \times right + pch[fr_1] \times left$$

else

if ( $left < right$ )

$$mdf\_pch[m] = pch[fr_0]$$

else

$$mdf\_pch[m] = pch[fr_1]$$

All the other parameters are interpolated by Eq.(2.5.5.5).

- When V/UV decisions at  $fr_0$  and  $fr_1$  are Unvoiced - Unvoiced:

All the parameters are interpolated by Eq.(2.5.5.5) except for  $mdf\_vex$ .  $mdf\_vex[m][i]$  is generated by Gaussian noise having the same energy as that of  $FRM$  samples taken from  $vex[n][i]$  centering around the time  $m \times spd$ .

- When V/UV decisions at  $fr_0$  and  $fr_1$  are Voiced - Unvoiced:  
If  $left < right$   
All the parameters at time  $fr_0$  are used instead of computing the parameters at  $m \times spd$ .  
If  $left \geq right$   
All the parameters at time  $fr_1$  are used instead of computing the parameters at  $m \times spd$ .  
 $mdf\_vex[m][i]$  is also generated by Gaussian noise having the same energy as that of  $FRM$  samples from  $vex[fr_1][i]$ . ( $0 \leq i < FRM$ )
- When V/UV decisions at  $fr_0$  and  $fr_1$  are Unvoiced - Voiced:  
If  $left < right$   
All the parameters at time  $fr_0$  are used instead of computing the parameters at  $m \times spd$ .  
 $mdf\_vex[m][i]$  is also generated by Gaussian noise having the same energy as that of  $FRM$  samples from  $vex[fr_0][i]$ . ( $0 \leq i < FRM$ )  
If  $left \geq right$   
All the parameters at time  $fr_1$  are used instead of computing the parameters at  $m \times spd$ .

In this manner, one obtain all the necessary parameters for the HVXC decoder. Just by applying these modified parameters,  $mdf\_param[m]$ , to “Voiced Component Synthesizer” and “Unvoiced Component Synthesizer” in the same way as usual (normal) decoding process, one obtain the time scale modified output.

Apparently, when  $N_2 < N_1$ , speed up decoding is executed, and when  $N_2 > N_1$  speed down decoding is executed. Power spectrum and pitch are not affected by this speed control, thus we can obtain good quality speech for the speed control factor of about  $0.5 < spd < 2.0$ .

## 2.5.6 Voiced component synthesizer

### 2.5.6.1 Tool description

The voiced component synthesizer consists of the steps below;

- harmonic magnitudes modification
- harmonic excitation synthesis
- noise component addition
- LPC synthesis
- postfilter

An efficient harmonic excitation synthesis method is first used to obtain a periodic excitation waveform from harmonic magnitudes. By adding a noise component to the periodic waveform the voiced excitation signal is obtained, which is then fed into the LPC synthesis filter and postfilter to generate a voiced speech signal. The configuration of the postfilter is not normative and described in Annex 2.B.

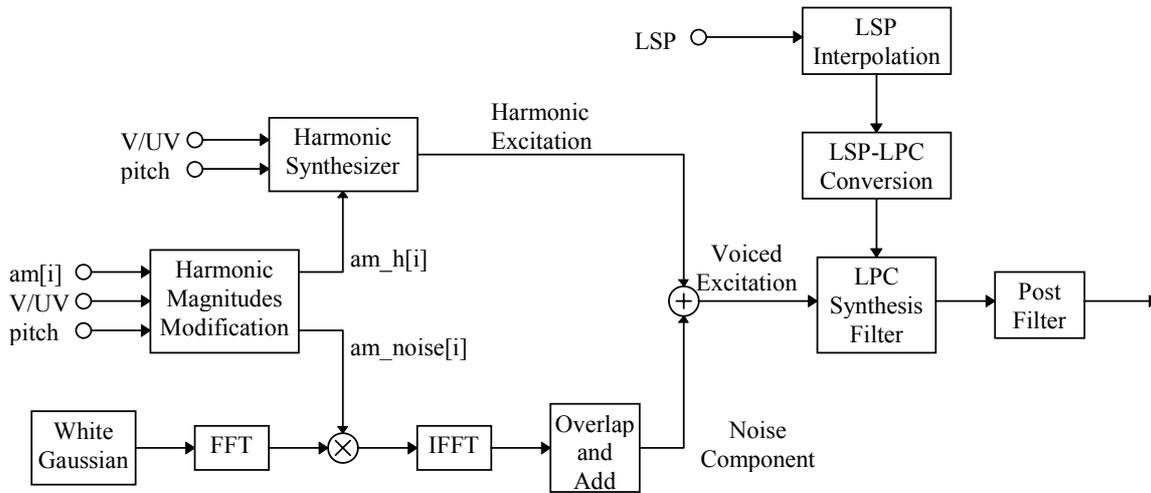


Figure 2.8 — Voiced component synthesizer

2.5.6.2 Definitions

Definitions of constants

*PI* :  $\pi = 3.14159265358979\dots$

*FRM* : frame interval (=160)

*SAMPLE* : frame length of analysis (=256)

*WAVE\_LEN* : length of one pitch period waveform (=128)

*WDEVI* : threshold of the ratio of the fundamental frequency change (=0.1)

*LD\_LEN* : decode interval shifting for low delay mode (=20)

*RND\_MAX* : maximum number generated by random number generator (=0x7ffffff)

*SCALEFAC* : scale factor for the modified harmonic magnitudes for noise component generation (=10)

*c\_dis[i]* : trapezoid window for the harmonic synthesis of pitch discontinuous waveform shown in Figure 2.9 ( $0 \leq i < FRM + LD\_LEN$ )

*ham[i]* : Hamming window ( $0 \leq i < SAMPLe$ )

*ham\_z[i]* : zero padded Hamming window ( $0 \leq i < 2 \times FRM$ )

*HAMLd* : the length of the Hamming window for low delay mode (=240)

*P* : LPC order (=10)

**Definitions of variables**

$am2[i]$  : harmonic magnitudes at the ending boundary of decode interval. They are obtained as  $am[i]$  in subclause 2.5.3.3.

$am\_h[i]$  : modified harmonic magnitudes at the ending boundary of the decode interval

$am\_noise[i]$  : modified harmonic magnitudes for noise component generation at the ending boundary of the decode interval

$vuv2$  : V/UV index at the ending boundary of the decode interval. It is obtained as **VUV** from the bitstream.

$vuv1$  : V/UV index at the beginning boundary of the decode interval

$vuv0$  : V/UV index at the beginning boundary of the previous decode interval

$pch2$  : pitch lag value[sample] at the ending boundary of the decode interval. It is obtained as  $pch$  (pitch lag value in the current frame) in subclause 2.5.3.3.

$pch1$  : pitch lag value[sample] at the beginning boundary of the decode interval

$send2$  : the number of harmonics at the ending boundary of the decode interval

$send1$  : the number of harmonics at the beginning boundary of the decode interval

$w02$  : the fundamental frequency at the ending boundary of the decode interval [rad/sample]

$w01$  : the fundamental frequency at the beginning boundary of the decode interval [rad/sample]

$pha2[i]$  : harmonic phase values at the ending boundary of the decode interval

$pha1[i]$  : harmonic phase values at the beginning boundary of the decode interval

$ovsr2$  : over sampling ratio at the ending boundary of the decode interval

$ovsr1$  : over sampling ratio at the beginning boundary of the decode interval

$ovsrc$  : linearly interpolated over sampling ratio

$wave2[i]$  : one pitch period waveform generated from pitch and harmonic magnitudes at the ending boundary of the decode interval ( $0 \leq i < WAVE\_LEN$ )

$wave1[i]$  : one pitch period waveform generated from pitch and harmonic magnitudes at the beginning boundary of the decode interval ( $0 \leq i < WAVE\_LEN$ )

$lp12, lp12r$  : the length of over-sampled waveform needed to reconstruct a waveform of the decode interval (in case of continuous pitch transition)

$lp1, lp2, lp2r$  : the length of over-sampled waveform needed to reconstruct a waveform of the decode interval (in case of discontinuous pitch transition)

$st$  : offset for cyclic extension of  $wave2[i]$  at the beginning boundary of the decode interval

$iflat1, iflat2$  : the length of the period where no parameter interpolation is done in over sampled domain (for low delay mode)

$out2[]$  : cyclically extended waveform generated from  $wave2[i]$

$out1[]$  : cyclically extended waveform generated from  $wave1[i]$

$out3[]$  : weighted overlapped and added cyclically extended waveform within the decode interval generated from  $out1[]$  and  $out2[]$  (in case of continuous pitch transition)

$sv2[i]$  : re-sampled waveform from  $out2[]$  (in case of discontinuous pitch transition,  $0 \leq i < FRM$ )

$sv1[i]$  : re-sampled waveform from  $out1[]$  (in case of discontinuous pitch transition,  $0 \leq i < FRM$ )

$sv[i]$  : generated voiced excitation signal ( $0 \leq i < FRM$ )

$ns[i]$  : Gaussian noise with zero mean and unit variance ( $0 \leq i < SAMPLE$ )

$wns[i]$  : Hamming windowed Gaussian noise ( $0 \leq i < SAMPLE$ )

$rms[i]$  : spectral amplitude array ( $0 \leq i \leq SAMPLE / 2$ )

$ang[i]$  : spectral phase array ( $0 \leq i \leq SAMPLE / 2$ )

$re[i]$  : real part of the FFT coefficients ( $0 \leq i < SAMPLE$ )

$im[i]$  : imaginary part of the FFT coefficients ( $0 \leq i < SAMPLE$ )

$w0$  : fundamental frequency of the current frame, where  $2\pi$  is expressed as  $SAMPLE (= 256)$

$cns[i]$  : the IFFT result of the current frame for noise component generation ( $0 \leq i < SAMPLE$ )

$cns\_z[i]$  : zero padded array to  $cns[]$  ( $0 \leq i < 2 \times FRM$ )

$cns\_z\_p[i]$  : the previous frame's  $cns\_z[]$  for overlap and add ( $0 \leq i < 2 \times FRM$ )

$add\_uv[i]$  : generated noise component at the decode interval ( $0 \leq i < FRM$ )

$lsp2[]$  : the de-quantized LSPs of the current frame obtained as  $qLsp[]$  in subclause 2.5.2.3.

$lsp1[]$  : the de-quantized LSPs of the previous frame

$lspip[][]$  : the interpolated LSPs

$alpaip[][]$  : the linear predictive coefficients converted from the interpolated LSPs  $lspip[][]$

## Definitions of functions

$random()$  : random number generator that returns random numbers ranging from 0 to  $RND\_MAX$

$ceil(x)$  : a function that returns the least integer greater than or equal to  $x$

$\text{floor}(x)$ : a function that returns the greatest integer less than or equal to  $x$

### 2.5.6.3 Synthesis process

Voiced component signal is synthesized as shown below. The synthesis algorithm can be applied for both normal delay mode and low delay mode. For low delay mode, decode interval shifting,  $LD\_LEN$ , is used. The synthesized waveform covers from  $N = -160 + LD\_LEN$  [sample] to  $N = 0 + LD\_LEN$  [sample].  $N = 0$  represents the center of the current frame. If the frame shifting is 0 ( $LD\_LEN = 0$ ), the synthesized waveform is identical to that of the normal delay mode. This is shown in the figure below.

During the period from  $N = 0$  to  $N = LD\_LEN$ , pitch lag, harmonic magnitudes and LSP parameters are not interpolated and hold. If  $LD\_LEN = 0$ , decoder delay is 10[ms] and if  $LD\_LEN = 20$ , decoder delay is 7.5[ms].

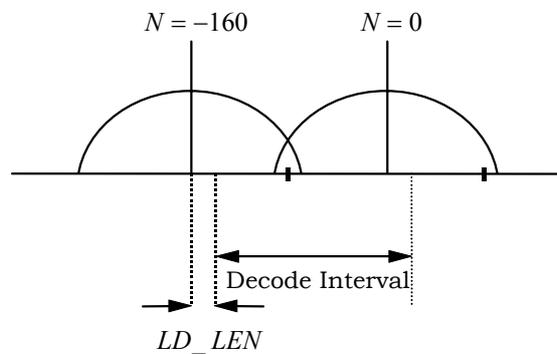


Figure 2.9 — Decode interval

#### 2.5.6.3.1 Harmonic magnitudes modification

Harmonic magnitudes,  $am2[i]$ , are modified for harmonic excitation synthesis and noise component generation independently according to the V/UV index of the current frame,  $vuv2$ .

The modified harmonic magnitudes  $am\_h[i]$  for harmonic excitation synthesis and  $am\_noise[i]$  for noise component generation are obtained as described below.

When V/UV index,  $vuv2$ , is 0:

Do Nothing (just VXC decoder works).

When V/UV index,  $vuv2$ , is 1:

$$am\_h[i] = \begin{cases} am2[i] & (1 \leq i < send2 \times B\_TH1) \\ am2[i] \times AH1 & (send2 \times B\_TH1 \leq i \leq send2) \end{cases}$$

$$am\_noise[i] = \begin{cases} 0 & (1 \leq i < send2 \times B\_TH1) \\ SCALEFAC \times am2[i] \times AN1 & (send2 \times B\_TH1 \leq i \leq send2) \end{cases}$$

When V/UV index,  $vuv_2$ , is 2:

$$am\_h[i] = \begin{cases} am2[i] & (1 \leq i < send2 \times B\_TH2) \\ am2[i] \times AH2 & (send2 \times B\_TH2 \leq i < send2 \times B\_TH2\_2) \\ am2[i] \times AH2\_2 & (send2 \times B\_TH2\_2 \leq i \leq send2) \end{cases}$$

$$am\_noise[i] = \begin{cases} 0 & (1 \leq i < send2 \times B\_TH2) \\ SCALEFAC \times am2[i] \times AN2 & (send2 \times B\_TH2 \leq i < send2 \times B\_TH2\_2) \\ SCALEFAC \times am2[i] \times AN2\_2 & (send2 \times B\_TH2\_2 \leq i \leq send2) \end{cases}$$

When V/UV index,  $vuv_2$ , is 3:

$$am\_h[i] = \begin{cases} am2[i] & (1 \leq i < send2 \times B\_TH3) \\ am2[i] \times AH3 & (send2 \times B\_TH3 \leq i \leq send2) \end{cases}$$

$$am\_noise[i] = \begin{cases} 0 & (1 \leq i < send2 \times B\_TH3) \\ SCALEFAC \times am2[i] \times AN3 & (send2 \times B\_TH3 \leq i \leq send2) \end{cases}$$

**Table 2.63 — Constant values for harmonic magnitude modification**

**2 kbps:**

B_TH1	AN1	AH1	B_TH2	AN2	AH2	B_TH2_2	AN2_2	AH2_2	B_TH3	AN3	AH3
0.5	0.4	0.8	0.5	0.3	0.9	0.85	0.5	0.5	0.7	0.2	1.0

**4 kbps:**

B_TH1	AN1	AH1	B_TH2	AN2	AH2	B_TH2_2	AN2_2	AH2_2	B_TH3	AN3	AH3
0.5	0.3	0.9	0.5	0.3	0.9	0.85	0.5	0.5	0.8	0.2	1.0

**2.5.6.3.2 Harmonic excitation synthesis**

Harmonic excitation signal  $sv[i]$  ( $0 \leq i < FRM$ ) can be obtained by a fast synthesis method composed of an IFFT and sampling rate conversion.

First, using harmonic magnitudes and phase values, a waveform over one pitch period is generated by an IFFT. According to the pitch continuity, one of two different operations of cyclic extension and re-sampling of a waveform over one pitch period is selected and performed to obtain the harmonic excitation signal.

**Generation of a waveform over one pitch period**

The fundamental frequency at the beginning boundary of the decode interval,  $w01$ , is computed as

$$w01 = 2.0 * PI / pch1;$$

The fundamental frequency at the ending boundary of the decode interval,  $w02$ , is computed as

$$w02 = 2.0 * PI / pch2;$$

Harmonic phase values at the ending boundary of the decode interval,  $pha2[]$ , are computed from the harmonic phase values at the beginning boundary,  $pha1[]$ . When both of the V/UV index at the beginning of the current decode interval,  $vuv1$ , and the V/UV index at the beginning boundary of the previous decode interval,  $vuv0$ , are 0 (Unvoiced), harmonic phase values  $pha2[]$  are initialized using random phase values uniformly distributing between 0 to  $0.5\pi$ .

For normal delay mode :

```

if (vuv1 == 0 && vuv0 == 0) {
    for (i = 0; i < WAVE_LEN/2; i++)
        pha2[i] = 0.5*PI*(float)random() / (float)RND_MAX;
}
else {
    for (i = 0; i < WAVE_LEN/2; i++)
        pha2[i] = pha1[i] + 0.5*(w01+w02)*i*FRM;
}

```

For low delay mode:

```

if (vuv1 == 0 && vuv0 == 0) {
    for (i = 0; i < WAVE_LEN/2; i++)
        pha2[i] = 0.5*PI*(float)random() / (float)RND_MAX;
}
else {
    for (i = 0; i < WAVE_LEN/2; i++) {
        pha2[i] = pha1[i] + 0.5*(w01+w02)*i*(FRM-LD_LEN) + w01*i*LD_LEN;
    }
}

```

At the end boundary of the decode interval we have a spectrum with  $send2$  harmonics, whose magnitudes are  $am\_h[i]$  ( $1 \leq i \leq send2$ ) and phase values are  $pha2[i]$  ( $1 \leq i \leq send2$ ). Appending zeros to these arrays yields new arrays with  $WAVE\_LEN/2 (= 64)$  components ranging from 0 to  $\pi$ . If  $send2$  is greater than 63, first 64 values of  $am\_h[i]$  and  $pha2[i]$  are used. 128 point IFFT is applied to these arrays of magnitudes and phase values with the constraint that the results be real numbers. Now we have an over-sampled waveform over one pitch period,  $wave2[i]$  ( $0 \leq i < WAVE\_LEN$ ).

$WAVE\_LEN (= 128)$  samples are used to express the one pitch period of the waveform. Since the actual pitch lag value is  $pch2$ , the over-sampling rate  $ovsr2$  is

```
ovsr2 = WAVE_LEN/pch2;
```

Similarly the over-sampling rate  $ovsr1$  for the waveform over one pitch period at the beginning boundary of the decode interval,  $wave1[]$ , is

```
ovsr1 = WAVE_LEN/pch1;
```

### Pitch continuity check

When the ratio of the fundamental frequencies,  $|(w02 - w01) / w02|$ , is less than  $WDEVI (= 0.1)$ , it is regarded that pitch transition is continuous. In such a case, the fundamental frequencies and harmonic magnitudes are linearly interpolated between the beginning and the ending of the decode interval. Otherwise it is regarded that pitch transition is discontinuous and the fundamental frequencies and harmonic magnitudes are not linearly interpolated. In this case, independently synthesized periodic waveforms are added using appropriate weighting functions.

**Cyclic extension and re-sampling operation (continuous pitch transition)**

Waveforms over one pitch period, *wave1[]* and *wave2[]*, are cyclically extended respectively to have sufficient length in the over over-sampled domain.

The length of over-sampled waveform needed to reconstruct a waveform of length *FRM*(=160) at the original sampling rate (8 kHz) is at most *lp12*.

For normal delay mode:

```
lp12 = ceil(FRM*0.5*(over1+ovsr2));
lp12r = floor(FRM*0.5*(ovsr1+ovsr2)+0.5);
st = WAVE_LEN - (lp12r%WAVE_LEN);
for (i = 0; i < lp12; i++) {
    out1[i] = wave1[i%WAVE_LEN];
    out2[i] = wave2[(st+i)%WAVE_LEN];
}
```

For low delay mode:

```
lp12 = ceil((FRM-LD_LEN)*0.5*(over1+ovsr2)+LD_LEN*ovsr1);
lp12r = floor((FRM-LD_LEN)*0.5*(ovsr1+ovsr2)+LD_LEN*ovsr1+0.5);
st = WAVE_LEN - (lp12r%WAVE_LEN);
iflat1 = floor(ovsr1*LD_LEN+0.5);
iflat2 = floor(ovsr2*LD_LEN+0.5);
for (i = 0; i < lp12+iflat2+10; i++) {
    out1[i] = wave1[i%WAVE_LEN];
    out2[i] = wave2[(st+i)%WAVE_LEN];
}
```

These two waveforms, *out1[]* and *out2[]*, have the same “pseudo” pitch period (= *WAVE\_LEN* [sample]) and they are aligned. So simply adding these two waveforms using triangular windows produces the waveform *out3[]*.

For normal delay mode:

```
for (i = 0; i < lp12; i++)
    out3[i] = out1[i]*(float)(lp12-i)/(float)lp12 + out2[i]*(float)i/(float)lp12;
```

For low delay mode:

```
for (i = 0; i < iflat1; i++)
    out3[i] = out1[i];

for (i = iflat1; i < lp12; i++)
    out3[i] = out1[i]*(float)(lp12-i)/(float)(lp12-iflat1)
        + out2[i]*(float)(i-iflat1)/(float)(lp12-iflat1);

for (i = lp12; i < lp12+iflat2; i++)
    out3[i] = out2[i];
```

Finally, *out3[]* has to be re-sampled so that the resulting waveform can be expressed at the original sampling rate (8 kHz). This operation brings the waveform back from the “pseudo” pitch domain to the actual pitch domain. In principle, the re-sampling operation is just

$$sv[i] = out3[f(i)] \quad (0 \leq i < FRM)$$

where

$$f(i) = \int_0^i \left( ovsr1 \times \frac{FRM-t}{FRM} + ovsr2 \times \frac{t}{FRM} \right) dt .$$

The function  $f(i)$  maps the time index  $i$  of the original sampling rate (8 kHz) to the time index at the over-sampled rate under the condition that the fundamental frequencies,  $w01$  and  $w02$ , is linearly interpolated. Since  $f(i)$  does not return an integer value,  $sv[i]$  is obtained by linearly interpolating  $out3[f(i)]$  and  $out3[f(i)]$ .

For normal delay mode:

```
sv[0] = out3[0];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ovsr1 = ovsr1*(float)(FRM-i)/(float)FRM + over2*(float)i/(float)FRM;
    ffi += ovsr1;
    ffm = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv[i] = out3[(int)ffip];
    else
        sv[i] = (ffi-ffim)*out3[(int)ffip] + (ffip-ffi)*out3[(int)ffim];
}
```

For low delay mode:

```
sv[0] = out3[iflat1];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ovsr1 = ovsr1*(float)(FRM-LD_LEN-i)/(float)(FRM-LD_LEN)
        + over2*(float)i/(float)(FRM-LD_LEN);
    ffi += ovsr1;
    ffm = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv[i] = out3[(int)ffip+iflat1];
    else
        sv[i] = (ffi-ffim)*out3[(int)ffip+iflat1]
            + (ffip-ffi)*out3[(int)ffim+iflat1];
}
```

### Cyclic extension and re-sampling operation (discontinuous pitch transition)

Waveforms over one pitch period,  $wave1[]$  and  $wave2[]$  are cyclically extended to have sufficient length in the over-sampled domain. At both the beginning and ending boundary of the decode interval, cyclically extended waveforms,  $out1[]$  and  $out2[]$ , are obtained.

```
lp1 = ceil(FRM*ovsr1);
lp2 = ceil(FRM*ovsr2);
lp2r = floor(FRM*ovsr2+0.5);
st = WAVE_LEN - (lp2r%WAVE_LEN);
```

For normal delay mode:

```
for (i = 0; i < lp1; i++)
    out1[i] = wave1[i%WAVE_LEN];
for (i = 0; i < lp2; i++)
    out2[i] = wave2[(st+i)%WAVE_LEN];
```

For low delay mode:

```
iflat1 = floor(ovsr1*LD_LEN+0.5);
iflat2 = floor(ovsr2*LD_LEN+0.5);

for (i = 0; i < lp1+iflat1+10; i++)
    out1[i] = wave1[i%WAVE_LEN];
```

```
for (i = 0; i < lp2+iflat2+10; i++)
    out2[i] = wave2[(st+i)%WAVE_LEN];
```

where *lp1* and *lp2* are the length of over-sampled waveforms needed to reconstruct a waveform of length *FRM*(= 160) at the original sampling rate (8 kHz).

These two waveforms, *out1[]* and *out2[]*, are re-sampled independently using the same linear interpolation method as in the case of “continuous pitch transition”.

For normal delay mode:

```
sv1[0] = out1[0];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ffi += ovsr1;
    ffim = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv1[i] = out1[(int)ffip];
    else
        sv1[i] = (ffi-ffim)*out1[(int)ffip] + (ffip-ffi)*out1[(int)ffim];
}
sv2[0] = out2[0];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ffi += ovsr2;
    ffim = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv2[i] = out2[(int)ffip];
    else
        sv2[i] = (ffi-ffim)*out2[(int)ffip] + (ffip-ffi)*out2[(int)ffim];
}
```

For low delay mode:

```
sv1[0] = out1[iflat1];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ffi += ovsr1;
    ffim = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv1[i] = out1[(int)ffip+iflat1];
    else
        sv1[i] = (ffi-ffim)*out1[(int)ffip+iflat1]
            + (ffip-ffi)*out1[(int)ffim+iflat1];
}
sv2[0] = out2[iflat2];
ffi = 0;
for (i = 1; i < FRM; i++) {
    ffi += ovsr2;
    ffim = floor(ffi);
    ffip = ceil(ffi);
    if (ffim == ffip)
        sv2[i] = out2[(int)ffip+iflat2];
    else
        sv2[i] = (ffi-ffim)*out2[(int)ffip+iflat2]
            + (ffip-ffi)*out2[(int)ffim+iflat2];
}
```

Using re-sampled waveforms at the original sampling rate (8 kHz),  $sv1[]$  and  $sv2[]$ , are then overlapped and added with the trapezoid window  $c\_dis[]$  shown in the Figure 2.10, where  $HM\_UP = HM\_DOWN = 60$ ,  $HM\_FLAT = 50$ .

```
for (i = 0; i < HM_FLAT; i++)
    c_dis[i] = 1.0f;
for (i = HM_FLAT; i < HM_FLAT+HM_DOWN; i++)
    c_dis[i] = (-i+(HM_FLAT+HM_DOWN))/(float)HM_DOWN;
for (i = HM_FLAT+HM_DOWN; i < FRM; i++)
    c_dis[i] = 0.0f;
```

For normal delay mode:

```
for (i = 0; i < FRM; i++)
    sv[i] = sv1[i]*c_dis[i] + sv2[i]*(1.0-c_dis[i]);
```

For low delay mode:

```
for (i = 0; i < FRM; i++)
    sv[i] = sv1[i]*c_dis[i+LD_LEN] + sv2[i]*(1.0-c_dis[i+LD_LEN]);
}
```

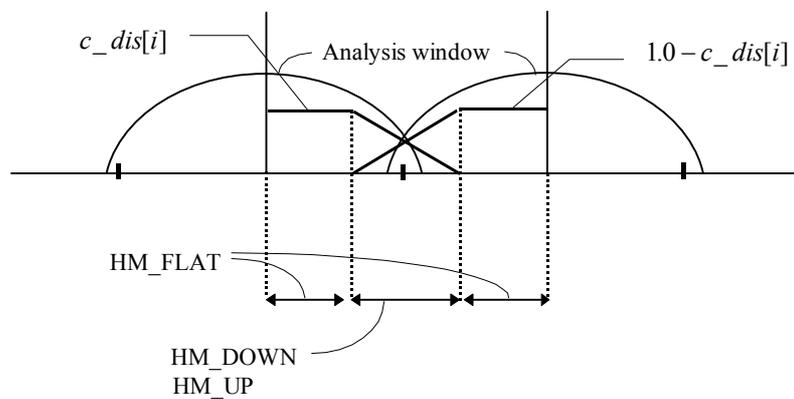


Figure 2.10 — Synthesis window for discontinuous pitch

### 2.5.6.3.3 Noise component generation

For the noise component generation for voiced excitation, white Gaussian noise is first generated. It is then colored and gain adjusted by the modified harmonic magnitudes,  $am\_noise[]$ , and weighted overlap and add is used to generate a continuous noise component in the time domain.

Hamming window of length  $SAMPLE(= 256)$ ,  $ham[i]$ , is first defined.

For normal delay mode,

```
for (i = 0; i < SAMPLE; i++)
    ham[i] = 0.54-0.46*cos(2.0*PI*i/(SAMPLE-1));
```

For low delay mode,

```
for (i = 0; i < (SAMPLE-HAMLD)/2; i++)
    ham[i] = 0.0;
for (i = (SAMPLE-HAMLD)/2; i < (SAMPLE+HAMLD)/2; i++)
    ham[i] = 0.54-0.46*cos(2.0*PI*i/(SAMPLE-1));
for (i = (SAMPLE+HAMLD)/2; i < SAMPLE; i++)
    ham[i] = 0.0;
```

Window  $ham[]$  is normalized to have a unit energy.

Let  $ns[i]$  ( $0 \leq i < SAMPLE$ ) be samples of white Gaussian noise with zero mean and unit variance. Hamming window  $ham[i]$  is then multiplied to  $ns[i]$  and  $wns[i]$  is obtained.

```
for (i = 0; i < SAMPLE; i++)
    wns[i] = ns[i]*ham[i];
```

256 point FFT of  $wns[i]$  is computed and spectral amplitude array  $rms[i]$  ( $0 \leq i \leq SAMPLE/2$ ) and spectral phase array  $ang[i]$  ( $0 \leq i \leq SAMPLE/2$ ) are computed as

```
for (i = 0; i <= SAMPLE/2; i++) {
    rms[i] = sqrt(re[i]*re[i]+im[i]*im[i]);
    ang[i] = atan2(im[i], re[i]);
}
```

where  $re[i]$  ( $0 \leq i < SAMPLE$ ) and  $im[i]$  ( $0 \leq i < SAMPLE$ ) are real part and imaginary part of the FFT coefficients respectively. Then spectral amplitude  $rms[]$  is colored and gain adjusted by the modified harmonic magnitudes,  $am\_noise[]$ . ( $w0$  is the fundamental frequency of the current frame where  $w0$  of value  $SAMPLE$  represents  $2\pi$ .)

```
for (i = 0; i <= send2; i++) {
    if (i == 0)
        lb = 0;
    else
        lb = ub+1;
    if (i == send2)
        ub = SAMPLE/2
    else
        ub = floor((float)i*w0+w0/2.0+0.5);
    if (ub >= SAMPLE/2)
        ub = SAMPLE/2;
    bw = ub-lb+1;
    s = 0.0;
    for (j = lb; j <= ub; j++)
        s += rms[j]*rms[j];
    s = sqrt(s/(float)bw);
    for (j = lb; j <= ub; j++)
        rms[j] *= am_noise[i]/s;
}
```

256 point IFFT is computed with the colored and gain adjusted spectral amplitude array  $rms[]$  and the original spectral phase array  $ang[]$  with a constraint that the result be real numbers. Let the result of IFFT be  $cns[i]$  ( $0 \leq i < SAMPLE$ ).

It should be noted that when the current frame is “Unvoiced”

frame ( $vuv2 = 0$ ),  $cns[i] = 0.0$  ( $0 \leq i < SAMPLE$ ).

In order to generate noise component signal over the decode interval, weighted overlap and add with the previous frame’s IFFT result is performed. The array  $cns\_z[i]$  ( $0 \leq i < 2 \times FRM$ ) shown below is obtained by padding ( $FRM - SAMPLE/2$ ) of zeros to both sides (beginning and ending) of  $cns[]$ .

```
for (i = 0; i < FRM-SAMPLE/2; i++)
    cns_z[i] = 0.0;
for (i = FRM-SAMPLE/2; i < FRM+SAMPLE/2; i++)
    cns_z[i] = cns[i-FRM+SAMPLE/2];
for (i = FRM+SAMPLE/2; i < 2*FRM; i++)
    cns_z[i] = 0.0;
```

In the same manner, zero padded Hamming window array  $ham\_z[i]$  ( $0 \leq i < 2 \times FRM$ ) is defined as:

```

for (i = 0; i < FRM-SAMPLE/2; i++)
    ham_z[i] = 0.0;
for (i = FRM-SAMPLE/2; i < FRM+SAMPLE/2; i++)
    ham_z[i] = ham[i-FRM+SAMPLE/2];
for (i = FRM+SAMPLE/2; i < 2*FRM; i++)
    ham_z[i] = 0.0;

```

Let us denote the  $cns\_z[]$  of the previous frame  $cns\_z\_p[]$ . Now noise component over the decode interval,  $add\_uv[i]$  ( $0 \leq i < FRM$ ), is obtained by combining  $cns\_z[]$  and  $cns\_z\_p[]$ .

For normal delay mode,

```

for (i = 0; i < FRM; i++)
    add_uv[i] = (cns_z_p[FRM+i]*ham_z[FRM+i]+cns_z[i]*ham_z[i])
                / (ham_z[FRM+i]*ham_z[FRM+i]+ham_z[i]*ham_z[i])

```

For low delay mode, noise component at the shifted decode interval is obtained as follows:

```

for (i = 0; i < FRM-LD_LEN; i++)
    add_uv[i] = (cns_z_p[FRM+i+LD_LEN]*ham_z[FRM+i+LD_LEN]+
                cns_z[i+LD_LEN]*ham_z[i+LD_LEN])
                / (ham_z[FRM+i+LD_LEN]*ham_z[FRM+i+LD_LEN]
                  +ham_z[i+LD_LEN]*ham_z[i+LD_LEN]);
for (i = FRM-LD_LEN; i < FRM; i++)
    add_uv[i] = cns_z[i+LD_LEN]/ham_z[i+LD_LEN];

```

Noise component  $add\_uv[]$  is added to harmonic excitation signal  $sv[]$  to make a voiced excitation signal:

```

for (i = 0; i < FRM; i++)
    sv[i] += add_uv[i];

```

#### 2.5.6.3.4 LPC synthesis

The voiced excitation signal obtained above,  $sv[i]$  ( $0 \leq i < FRM$ ), is fed into the LPC synthesis filter, whose coefficients are updated every 2.5 ms (=20 samples).

By linearly interpolating de-quantized LSPs of the previous and the current frame,  $lsp1[][]$  and  $lsp2[][]$ , the 8 sets of the interpolated LSPs,  $lspip[][]$ , are obtained.

```

for (i = 0; i < 8; i++)
    for (j = 0; j < P; j++)
        lspip[i][j] = (2.0*i+1.0)/16.0*lsp2[j] + (16.0-2.0*i-1.0)/16.0*lsp1[j];

```

For the low delay mode, since the decode interval is shifted by  $LD\_LEN$  (=20) samples (2.5 ms), the LSPs interpolation is carried out for the first 17.5 ms of the decode interval shown in Figure 2.9, and the LSPs of the current frame,  $lsp2[]$ , is used for the last 2.5ms without interpolation.

```

for (i = 0; i < 7; i++)
    for (j = 0; j < P; j++)
        lspip[i][j] = (2.0*i+1.0)/14.0*lsp2[j] + (14.0-2.0*i-1.0)/14.0*lsp1[j];
for (j = 0; j < P; j++)
    lspip[7][j] = lsp2[j];

```

The 8 sets of the interpolated LSPs,  $lspip[][]$ , are converted to the linear predictive coefficients,  $alpaip[][]$ , respectively.

The transfer function of the  $i$ -th interval of 2.5 ms (20 samples) of the LPC synthesis filter is

$$H_i(z) = \frac{1}{\sum_{n=0}^P \alpha_{ip}[i][n] z^{-n}} \quad (0 \leq i < 8)$$

Output of the LPC synthesis filter is fed into the postfilter described in subclause 2.B.1.3.1. The output signal is in the range from -32768 to 32767.

## 2.5.7 Unvoiced component synthesizer

### 2.5.7.1 Tool description

The unvoiced component synthesizer is composed of three steps, which are windowing the unvoiced excitation signal, LPC synthesis filter, and post filter operation. For unvoiced segments, the VXC (CELP) scheme is used.

### 2.5.7.2 Definitions

#### Definitions of constants

$FRM$  : frame interval (=160)

$LD\_LEN$  : decode interval shifting for low delay mode (=20)

$w\_celp\_up[i]$  : the window from voiced frame to unvoiced frame ( $0 \leq i < FRM + LD\_LEN$ )

$w\_celp\_down[i]$  : the window from unvoiced frame to voiced frame ( $0 \leq i < FRM + LD\_LEN$ )

$P$  : LPC order (=10)

#### Definitions of variables

$qRes[i]$  : decoded unvoiced excitation signal obtained as  $res[i]$  in subclause 2.5.4.3 ( $0 \leq i < FRM$ )

$old\_qRes[i]$  : the last half of the decoded unvoiced excitation signal of the previous frame ( $0 \leq i < FRM / 2$ )

$suv[i]$  : unvoiced excitation signal over the decode interval ( $0 \leq i < FRM$ )

$vuv2$  : V/UV index of the current frame obtained as **VUV** in a bitstream

$vuv1$  : V/UV index of the previous frame

$lsp2[]$  : de-quantized LSPs of the current frame obtained as  $qLsp[]$  in subclause 2.5.2.3

$lsp1[]$  : de-quantized LSPs of the previous frame

$alpha2[]$  : LPC coefficients converted from the LSPs  $lsp2[]$

*alpha1*[]): LPC coefficients converted from the LSPs *lsp1*[]

### 2.5.7.3 Synthesis process

An unvoiced excitation signal at the decode interval, *suv*[], is generated from the decoded unvoiced excitation signal of the current frame, *qRes*[], and the last half of the decoded unvoiced excitation signal of the previous frame, *old\_qRes*[].

```
for (i = 0; i < FRM/2; i++) {
    suv[i] = old_qRes[i];
    suv[i+FRM/2] = qRes[i];
    old_qRes[i] = qRes[i+FRM/2];
}
```

For low delay mode, excitation signal of *LD\_LEN*(= 20) samples shifted version is used.

```
for (i = 0; i < FRM/2-LD_LEN; i++) {
    suv[i] = old_qRes[i+LD_LEN];
    suv[i+FRM/2] = qRes[i+LD_LEN];
    old_qRes[i] = qRes[i+FRM/2];
}
for (i = FRM/2-LD_LEN; i < FRM/2; i++) {
    suv[i] = qRes[i-FRM/2+LD_LEN];
    suv[i+FRM/2] = qRes[i+LD_LEN];
    old_qRes[i] = qRes[i+FRM/2];
}
```

The unvoiced excitation signal generated is windowed to be smoothly connected to a voiced frame. Figure 2.11 and Figure 2.12 shows the window shape for excitation waveform where V/UV is changed from unvoiced to voiced and from voiced to unvoiced respectively. The parameters in the figure are set as: *TD\_UP* = 30, *TD\_FLAT* = 50, *TD\_DOWN* = 30, *HM\_DOWN* = 60, *HM\_FLAT* = 50, *HM\_UP* = 60. These windows for an unvoiced frame are used only when an unvoiced frame is placed adjacent to voiced or mixed voiced frame.

```
for (i = 0; i < FRM-TD_UP-TD_FLAT; i++)
    w_celp_up[i] = 0.0;
for (i = FRM-TD_UP-TD_FLAT; i < FRM-TD_FLAT; i++)
    w_celp_up[i] = (float)(i-FRM+TD_UP+TD_FLAT)/(float)TD_UP;
for (i = FRM-TD_FLAT; i < FRM+LD_LEN; i++)
    w_celp_up[i] = 1.0;

for (i = 0; i < TD_FLAT; i++)
    w_celp_down[i] = 1.0;
for (i = TD_FLAT; i < TD_FLAT+TD_DOWN; i++)
    w_celp_down[i] = (float)(TD_FLAT+TD_DOWN-i)/(float)TD_DOWN;
for (i = TD_FLAT+TD_DOWN; i < FRM+LD_LEN; i++)
    w_celp_down[i] = 0.0;
```

For normal delay mode,

```
if (vuv1 != 0 && vuv2 != 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] = 0.0f;
}
else if (vuv1 != 0 && vuv2 == 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] *= w_celp_up[i];
}
else if (vuv1 == 0 && vuv2 != 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] *= w_celp_down[i];
}
```

For low delay mode, windowing position is shifted by  $LD\_LEN$  samples.

```

if (vuv1 != 0 && vuv2 != 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] = 0.0f;
}
else if (vuv1 != 0 && vuv2 == 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] *= w_celp_up[i+LD_LEN];
}
else if (vuv1 == 0 && vuv2 != 0) {
    for (i = 0; i < FRM; i++)
        s_uv[i] *= w_celp_down[i+LD_LEN]
}

```

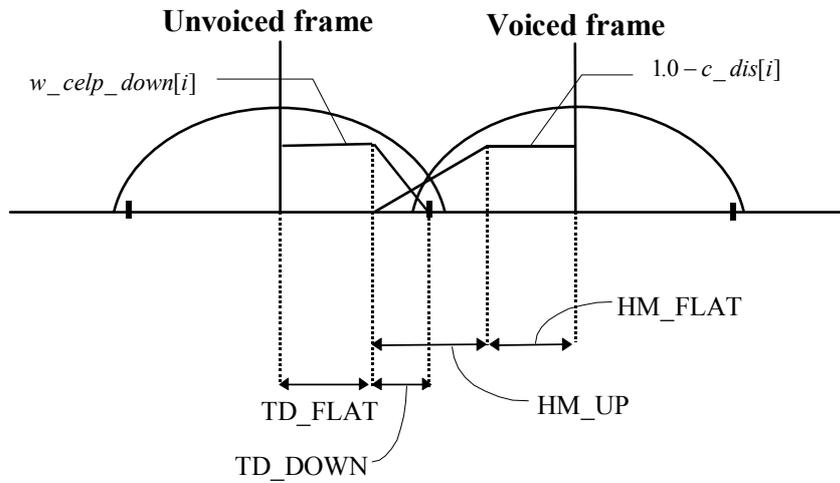


Figure 2.11 — Synthesis window for unvoiced/voiced

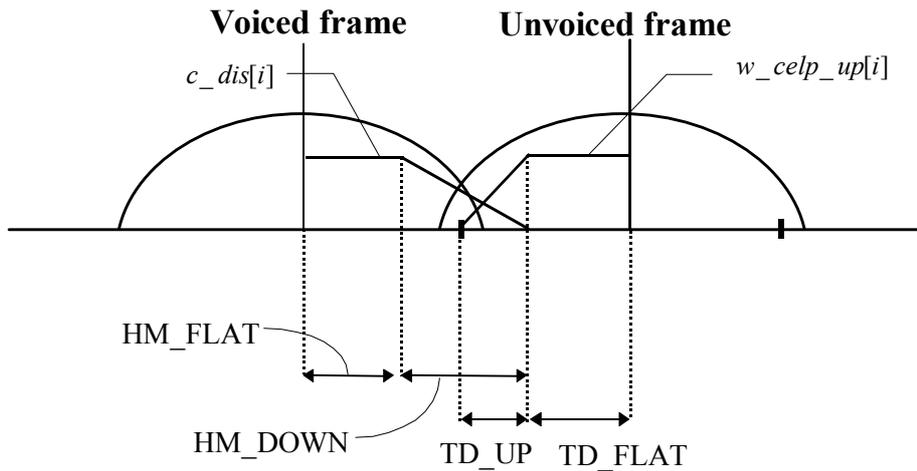


Figure 2.12 — Synthesis window for voiced/unvoiced

The first half of the unvoiced excitation signal,  $s_{uv}[i]$  ( $0 \leq i < FRM/2$ ), is fed into the LPC synthesis filter

$$H_1(z) = \frac{1}{\sum_{n=0}^P \alpha1[n] z^{-n}}$$

where  $\alpha1[]$  are linear predictive coefficients converted from the de-quantized LSPs of the previous frame,  $lsp1[]$ . When the second half of unvoiced excitation signal,  $su_v[i]$  ( $FRM/2 \leq i < FRM$ ), is fed into the LPC synthesis filter, the transfer function is switched to:

$$H_2(z) = \frac{1}{\sum_{n=0}^P \alpha2[n] z^{-n}}$$

where  $\alpha2[]$  are linear predictive coefficients converted from the de-quantized LSPs of the current frame,  $lsp2[]$ .

For low delay mode, since the decode interval is shifted by  $LD\_LEN$  samples, unvoiced excitation signal,  $su_v[i]$  ( $0 \leq i < FRM/2 - LD\_LEN$ ), is fed into the LPC synthesis filter  $H_1(z)$  and unvoiced excitation signal,  $su_v[i]$  ( $FRM/2 - LD\_LEN \leq i < FRM$ ), is fed into the LPC synthesis filter  $H_2(z)$ .

Output of the LPC synthesis filter is fed into the postfilter described in subclause 2.B.1.3.2.

The output signal is in the range from -32768 to 32767.

## 2.5.8 Variable rate decoder

### 2.5.8.1 Tool description

This subclause describes tools for variable rate decoding with HVXC core. This tool allows HVXC to operate at variable bit rates, where the average bit rate is reduced to 1.2 - 1.7 kbps for typical speech material. The major part of the algorithm is composed of "background noise decoding", where only the mode bits are received during the "background noise mode", and unvoiced frame is received with certain period of time for background noise generation.

### 2.5.8.2 Definitions

#### Definitions of constants

$BGN\_INTVL$ : maximum background noise interval (=8)

#### Definitions of variables

$idVUV$ : V/UV decision of the current frame

$prevLSP1$ : previously transmitted LSP vector

$prevLSP2$ : previously transmitted LSP vector before  $prevLSP1$

$bgnCnt$ : frame count of successive "Background Noise" frames

2.5.8.3 Decoding process

*idVUV* is a parameter that has the result of V/UV decision and defined as;

$$idVUV = \begin{cases} 0 & \text{Unvoiced Speech} \\ 1 & \text{Background noise interval} \\ 2 & \text{Mixed voiced speech} \\ 3 & \text{Voiced speech} \end{cases}$$

Using the background noise detection method, variable rate coding is carried out based on fixed bit rate 2kbps HVXC.

**Table 2.64 — Bit allocations of the encoded parameters for the variable bit rate mode**

Mode ( <i>idVUV</i> )	Background Noise (1)	UV (0)	MV (2),V (3)
V/UV	2 bit/20 msec	2 bit/20 msec	2 bit/20 msec
LSP	0 bit/20 msec	18 bit/20 msec	18 bit/20 msec
Excitation	0 bit/20 msec	8 bit/20 msec (gain only)	20 bit/20 msec (Pitch & harmonic spectral parameters)
Total	2 bit/20 msec  0.1 kbps	28 bit/20 msec  1.4 kbps	40 bit/20 msec  2.0 kbps

For “Mixed voiced speech” and “Voiced speech” (*idVUV* = 2,3 ), the same decoding method as fixed bit rate mode is used.

In the decoder, two sets of LSP parameters, *prevLSP1* and *prevLSP2* , are hold where *prevLSP1* represents previously transmitted LSP parameters and *prevLSP2* represents previously transmitted LSP parameters before *prevLSP1* . For “Background Noise” frame (*idVUV* = 1), VXC decoder is used in the same manner as UV frame, but no LSP parameters are sent. LSP parameters generated by linearly interpolating *prevLSP1* and *prevLSP2* are used for LPC synthesis, and the same gain index as the previous frame is used for excitation generation of VXC decoding. During the period of "Background Noise" frame, “Unvoiced speech (UV)” frame is inserted every (*BGN\_INTVL* + 1) (=9) frames to transmit background noise parameters. This UV frame may or may not be a real UV frame of the beginning of speech bursts. Whether or not the frame is real UV is judged by the transmitted gain index. If the gain index is smaller than or equal to that of previous one+2, then this UV frame is regarded as "Background Noise" frame, and therefore the previously transmitted LSP vector (= *prevLSP1* ) is used to keep the smooth variation of LSP parameters, otherwise; currently transmitted LSPs are used as a real UV frame. The gain indices are sorted according to the magnitudes. If “Background Noise” mode is selected again, then linearly interpolated LSPs using *prevLSP1* and *prevLSP2* are used.

For both “Unvoiced speech” and “Background Noise” frame (*idVUV* = 0,1), Gaussian noise with a unit energy is used for excitation of VXC decoding (in place of stochastic shape codevector for VXC decoding).

Figure 2.13 shows an example. Suppose that Frame #0 and Frame #1 are “Unvoiced speech” frame, and Frame #2...Frame #9 are “Background Noise” frame. During decoding of Frame #2...Frame #9, *prevLSP1* and *prevLSP2*

are set as:  $prevLSP1 = LSP(1)$  and  $prevLSP2 = LSP(0)$  and LSP vector of Frame#  $i$ ,  $LSP(i)$  ( $2 \leq i \leq 9$ ), are generated as

$$LSP(i) = \frac{prevLSP2 \times (2 \times BGN\_INTVL - 2 \times bgnCnt - 1) + prevLSP1 \times (2 \times bgnCnt + 1)}{2 \times BGN\_INTVL}$$

where  $BGN\_INTVL$  is maximum background noise interval (=8) and  $bgnCnt$  is frame count of successive “Background Noise” frames.

In this example,  $bgnCnt = 0$  for Frame #2,  $bgnCnt = 1$  for Frame #3, ...,  $bgnCnt = 7$  for Frame #9. As for gain index of VXC decoding during Frame #2...Frame #9, the gain index of Frame #1 is used. When parameters of Frame #10 are received,  $prevLSP1$  and  $prevLSP2$  are updated as:  $prevLSP1 = LSP(10)$  and  $prevLSP2 = LSP(1)$ . For decoding of Frame #10, gain index is first checked whether or not it is greater than “Frame #1’s index value + 2”. If yes, Frame #10 is decoded as usual UV frame; otherwise it is decoded as “Background Noise” frame and  $LSP(1)$  is used instead of  $LSP(10)$  while received gain index is used for both cases.

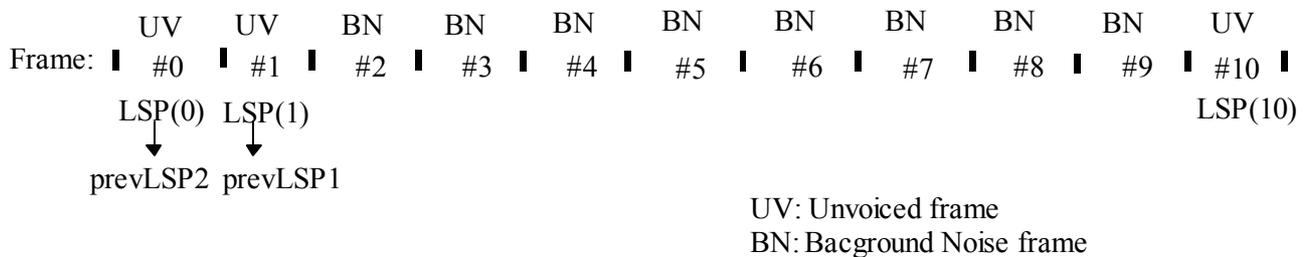


Figure 2.13 — Decoder parameter generation for background noise interval

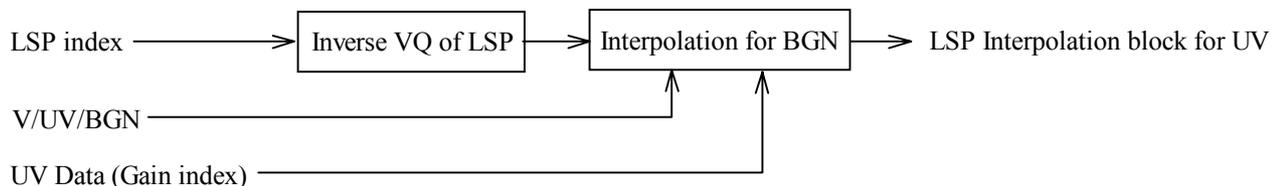


Figure 2.14 — Background noise decoder for variable rate

## 2.5.9 Extension of HVXC variable rate mode

### 2.5.9.1 Tool description

In subclause 2.5.8, variable bitrate mode based on 2 kbit/s mode is described. Here the operation of the variable bitrate mode of 4.0 kbit/s maximum is described.

In the fixed bitrate mode, we have 2 bit V/UV decision that is:

VUV = 3 : full voiced, VUV = 2 : mixed voiced, VUV=1 : mixed voiced, VUV = 0 : unvoiced.

When the operating mode is variable bitrate mode, VUV = 1 indicates “Background noise” status instead of “mixed voiced”. The current operating mode is defined by “HVXCconfig()” and decoder knows whether it’s variable or fixed rate mode and can understand the meaning of VUV = 1. In the “variable rate coding”, bit assignment is varied depending on Voiced/unvoiced decision and bitrate saving is obtained mostly by reducing the bit assignment for

Unvoiced speech (VUV = 0) segment. When VUV = 0 is selected, then it is checked whether the segment is real “unvoiced speech” or “background noise” segments. If it is declared to be “background noise”, then VUV is changed to 1 and bit assignment to the frame is further reduced. During the “background noise” mode, only the mode bits or noise update frame is transmitted according to the change of the background noise characteristics. Using this variable rate mode, average bitrate is reduced to 56-85% of the fixed bitrate mode depending on the source items.

**2.5.9.2 Definitions**

**Definitions of constants**

NUM\_SUBF1: the number of subframes in one frame(=2)

NUM\_SHAPE\_L0: the number of codebook index(=64)

BGN\_INTVL: background noise update interval(=12)

**Definitions of variables**

prevLSP1: transmitted LSP parameters

prevLSP2: transmitted LSP parameters before prevLSP1

qLsp: LSP to be used for decoding operation of the current frame

bgnIntval: a counter which counts the number of consecutive background noise frames

rnd: a randomly generated integer value between -3 and 3

**2.5.9.3 Transmission Payload**

Transmission payloads with four different bitrates are used depending on V/UV decision and the result of background noise detection. VUV flag and UpdateFlag indicate the type of transmission payloads.

VUV is a parameter that has the result of V/UV decision and defined as;

$$VUV = \begin{cases} 0 & \text{Unvoiced speech} \\ 1 & \text{Background noise interval} \\ 2 & \text{Voiced speech 1} \\ 3 & \text{Voiced speech 2} \end{cases}$$

To indicate whether or not the frame marked “VUV=1” is noise update frame, a parameter “UpdateFlag” is introduced. UpdateFlag is used only when VUV=1.

$$UpdateFlag = \begin{cases} 0 & \text{not noise update frame} \\ 1 & \text{noise update frame} \end{cases}$$

If UpdateFlag is 0, the frame is not noise update frame, and if UpdateFlag is 1, the frame is noise update frame. The first frame of the “Background noise” mode is always classified as the noise update frame. In addition, if the gain or spectral envelope of the background noise frame is changed, a noise update frame is inserted.

At the noise update frame, the average of LSP parameters over the last 3 frames is computed and coded as LSP indices in the encoder. In the same manner, the average of Celp gain over the last 4 frames (8 subframes) is computed and coded as Celp gain index.

During the background noise interval ( $VUV = 1$ ), LSP parameters and excitation parameters are sent only when noise update frame is selected ( $UpdateFlag = 1$ ). Decoder output signals for background noise interval are generated using the LSP and excitation parameters transmitted at noise update frames.

If the current frame or the previous frame is "Background noise" mode, differential mode in LSP quantization is inhibited in the encoder, because LSP parameters are not sent during "Background noise" mode and inter frame coding is not possible.

Using the background noise detection method described above, variable rate coding is carried out based on fixed bitrate 4 kbit/s HVXC. The bitrate at each mode is shown below.

Mode(VUV)	Back Ground Noise(1)		UV(0)	V(2,3)
	UpdateFlag=0	UpdateFlag=1		
V/UV	2bit/20msec	2bit/20msec	2bit/20msec	2bit/20msec
UpdateFlag	1bit/20msec	1bit/20msec	0bit/20msec	0bit/20msec
LSP	0bit/20msec	18bit/20msec	18bit/20msec	26bit/20msec
Excitation		4bit/20msec (gain only)	20bit/20msec	52bit/20msec
Total	3bit/20msec 0.15 kbit/s	25bit/20msec 1.25 kbit/s	40bit/20msec 2.0 kbit/s	80bit/20msec 4.0 kbit/s

#### 2.5.9.4 Decoding Process

In the decoder, voiced frame ( $VUV = 2,3$ ) is processed in the same manner as 4 kbit/s fixed bitrate mode, and unvoiced frame ( $VUV = 0$ ) is processed in the same manner as 2 kbit/s fixed bitrate mode. When the background noise mode is selected ( $VUV=1$ ), decoder output signal is generated in the same manner as unvoiced speech at 2 kbit/s fixed bitrate mode. The decoder parameters for back ground noise interval are generated by using the parameters transmitted at noise update frames ( $VUV = 1$ ,  $UpdateFlag = 1$ ) and sometimes at preceding unvoiced frames ( $VUV = 0$ ). The subclauses below show how to generate the decoder parameters for back ground noise interval.

##### 2.5.9.4.1 LSP decoding

In the decoder, two sets of previously transmitted LSP parameters,  $prevLSP1$  and  $prevLSP2$ , are held.

$prevLSP1$ : transmitted LSP parameters

$prevLSP2$ : transmitted LSP parameters before  $prevLSP1$

"Background noise" mode occurs only after "unvoiced" or "background noise" mode. When the "background noise" mode is selected, LSP parameters are transmitted only when the frame is "noise update frame" ( $UpdateFlag = 1$ ). If new LSP parameters are transmitted,  $prevLSP1$  is copied to  $prevLSP2$  and newly transmitted LSPs are copied to  $prevLSP1$  regardless of  $VUV$  decision.

LSP parameters for each frame during the "background noise" mode are generated by the interpolation between  $prevLSP1$  and  $prevLSP2$  using the equation:

$$qLsp(i) = ratio \cdot prevLsp1(i) + (1 - ratio) \cdot prevLsp2(i) \cdots i = 1..10 \quad (2.5.9.1)$$

where

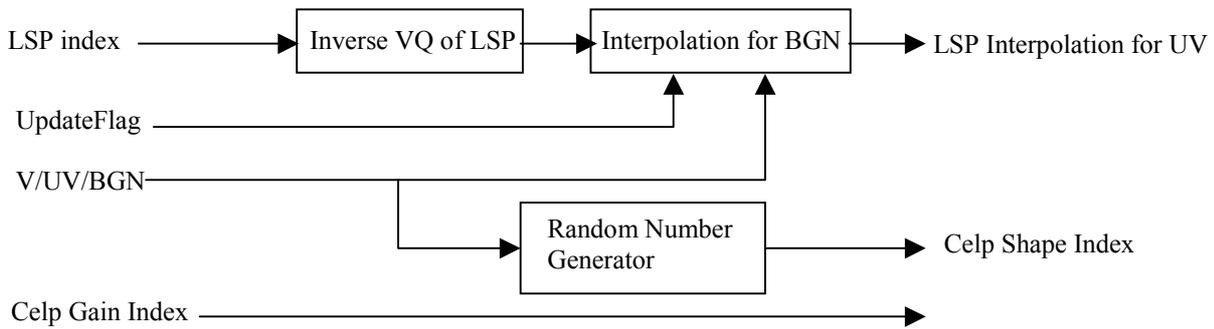
$$ratio = \frac{2 \cdot (bgnIntval + rnd) + 1}{2 \cdot BGN\_INTVL} \quad (2.5.9.2)$$

$qLsp(i)$  is the  $i$ -th LSP to be used for decoding operation of the current frame,  $prevLsp1(i)$  is the  $i$ -th LSP of  $prevLSP1$ ,  $prevLsp2(i)$  is the  $i$ -th LSP of  $prevLSP2$  ( $1 \leq i \leq 10$ ). In this equation,  $bgnIntval$  is a counter which

counts the number of consecutive background noise frames, and is reset to 0 at the receipt of background noise update frame.  $BGN\_INTVL(=12)$  is a constant, and  $rnd$  is a randomly generated integer value between  $-3$  and  $3$ . If counter  $bgnIntvl$  reaches  $BGN\_INTVL$ ,  $bgnIntvl$  is set to  $BGN\_INTVL-1$ , and if the ratio obtained by the equation (2.5.9.2) is smaller than 0 or greater than 1, the value of  $rnd$  is set to 0 and ratio is recomputed.

**2.5.9.5 Excitation generation**

During the period of “background noise” mode, the Gain index ( $VX\_gain[0]$ ) transmitted in the noise update frame is used for all the subframes, the values of Shape index ( $VX\_Shape1[0,1]$ ) are randomly generated between 0 and  $NUM\_SHAPE\_L0-1$ . These excitation parameters are used with the interpolated LSP parameters as described above to generate the signals of background noise mode.



**Figure 2.15 — Additional diagram for variable rate decoder**

## Annex 2.A (informative)

### HVXC Encoder tools

#### 2.A.1 Overview of encoder tools

Figure 2.A.1 shows the overall structure of the encoder. Speech input at a sampling rate of 8 kHz is formed into frames with a length and interval of 256 and 160 samples, respectively. LPC analysis is carried out using windowed input data over one frame. LPC residual signals are computed by the inverse filtering of input data using quantized and interpolated LSP parameters. The residual signals are then fed into the pitch and spectral magnitude estimation block, where the spectral envelopes for LPC residual are estimated in the same manner as in the MBE coder except that only a two-bit V/UV decision is used per frame. The spectral envelope for voiced segment is then vector quantized with weighted distortion measure. For unvoiced segment, a closed loop search for the vector excitation coding is carried out. Detailed configurations are described below.

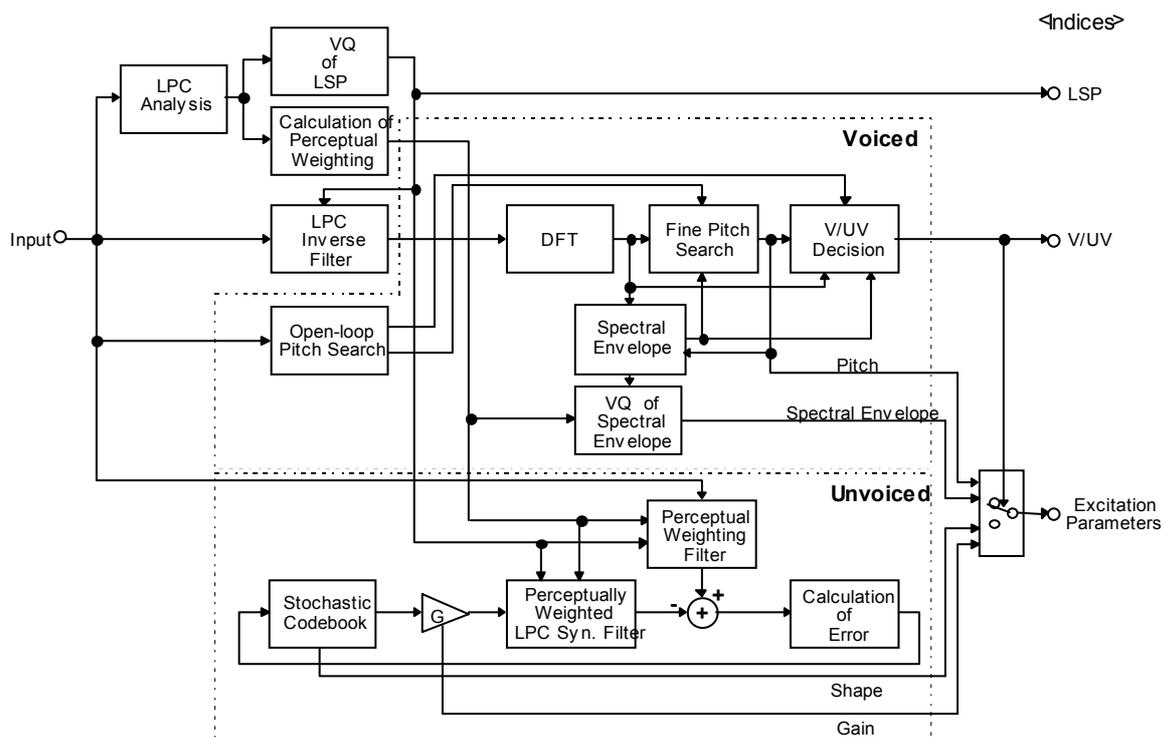


Figure 2.A.1 — Blockdiagram of the HVXC encoder

#### 2.A.2 Normalization

##### 2.A.2.1 Tool description

The normalization process is composed of three operations, that is, LPC analysis, LSP parameter quantization, and inverse filtering. These operations are described below.

## 2.A.2.2 Normalization process

### 2.A.2.2.1 LPC analysis

10th order LPC coefficients are computed for every frame, using Hamming-windowed input signals by autocorrelation method.

#### 2.A.2.2.2 LSP quantization

The same LSP quantizer as that of the narrowband CELP is used.

LPC coefficients are first converted to Line Spectral Pair (LSP) parameters. LSP parameters are then quantized with a Vector Quantization (VQ). In case of the base layer, there are two methods for quantizing the LSPs as described in the decoding section; a two-stage VQ without interframe prediction, and a combination of the VQ and the inter-frame predictive VQ. At the encoding process, both methods are used to quantize the LSPs and one of them is selected by comparing the quantization error. The quantization error is calculated as a weighted euclidean distance.

In the case of the enhancement layer, a 10-dimensional vector quantizer, which has an 8 bit codebook, is added to the bottom of the current LSP quantizer scheme of the 2.0 kbps coder. The bit rate of LSPs is increased from 18 bits/20 msec to 26 bits/20 msec.

The encoding process of the base layer is as follows.

The weighting coefficients ( $w[i]$ ) are,

$$w[i] = \begin{cases} \frac{1}{lsp[0]} + \frac{1}{lsp[1] - lsp[0]} & (i = 0) \\ \frac{1}{lsp[i] - lsp[i-1]} + \frac{1}{lsp[i+1] - lsp[i]} & (0 < i < Np - 1) \\ \frac{1}{lsp[Np-1] - lsp[Np-2]} + \frac{1}{1.0 - lsp[Np-1]} & (i = Np - 1) \end{cases}$$

where  $Np$  is the LP analysis order and  $lsp[i]$ s are the converted LSPs.

```
w_fact = 1.;
for (i = 0; i < 4; i++) w[i] *= w_fact;
for (i = 4; i < 8; i++) {
    w_fact *= .694;
    w[i] *= w_fact;
}
for (i = 8; i < 10; i++) {
    w_fact *= .510;
    w[i] *= w_fact;
}
```

The first stage quantizer is the same for each quantization method. The LSPs are quantized by using a vector quantizer and corresponding index is stored in **LSP1**. In order to carry out delayed decision, plural indices are stored as candidates for the second stage. The quantization error in the first stage  $err1[n]$  is given by:

$$err1[n] = \sum_{i=0}^{\dim-1} \left\{ \left( lsp[sp+i] - lsp\_tbl[n][m][i] \right)^2 \cdot w[sp+i] \right\} \quad n = 0$$

where  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector and  $dim$  is the dimension of the  $n$ -th split vector. ( $lsp\_tbl$  is shown in Annex 2.E)

**Table 2.A.1 — Starting order and dimension of the first stage LSP vector**

Split vector number: $n$	Starting LSP order: $sp$	Dimension of the vector: $dim$
0	0	10

In the second stage, above-mentioned two quantization methods, which are two-split vector quantizer, are applied respectively. Total quantization errors in the second stage are calculated for all combinations of the first stage candidates and the second stage candidates and the one which has the minimum error is selected. As a result, indices of the first stage are determined and corresponding indices and signs for the second stage are stored in **LSP2** and **LSP3**. The flag which indicates the selected quantization method is also stored in **LSP4**. The quantization error in the second stage  $err2\_total$  is given by:

VQ without interframe prediction:

$$err2\_total = err2[0] + err2[1]$$

$$err2[n] = \sum_{i=0}^{dim-1} \left\{ \left( lsp\_res[sp+i] - sign[n] \cdot d\_tbl[n][m][i] \right)^2 \cdot w[sp+i] \right\} \quad n = 0,1$$

$$lsp\_res[sp+i] = lsp[sp+i] - lsp\_first[sp+i]$$

where  $lsp\_first$  is the quantized LSP vector of the first stage,  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector and  $dim$  is the dimension of the  $n$ -th split vector. ( $d\_tbl$  is shown in Annex 2.E.)

VQ with interframe prediction:

$$err2\_total = err2[0] + err2[1]$$

$$err2[n] = \sum_{i=0}^{dim-1} \left\{ \left( lsp\_pres[sp+i] - sign[n] \cdot pd\_tbl[n][m][i] \right)^2 \cdot w[sp+i] \right\} \quad n = 0,1$$

$$lsp\_pres[sp+i] = lsp[sp+i] - \left\{ (1 - ratio\_predict) \cdot lsp\_first[sp+i] + ratio\_predict \cdot lsp\_previous[sp+i] \right\}$$

where  $lsp\_first$  is the quantized LSP vector of the first stage,  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector,  $dim$  is the dimension of the  $n$ -th split vector and  $ratio\_predict = 0.7$ . ( $pd\_tbl$  is shown in Annex 2.E.)

**Table 2.A.2 — Starting order and dimension of the second stage LSP vector**

Split vector number: $n$	Starting LSP order: $sp$	Dimension of the vector: $dim$
0	0	5
1	5	5

The quantized LSPs *lsp\_current[]* are stabilized in order to ensure stability of the LPC synthesis filter which is derived from the quantized LSPs. The quantized LSPs are arranged in ascending order, having a minimum distance between adjacent coefficients.

```

for (i = 0; i < LPCORDER; i++) {
    if (lsp_current[i] < min_gap) lsp_current[i] = min_gap;
}
for (i = 0; i < LPCORDER-1; i++) {
    if (lsp_current[i+1]-lsp_current[i] < min_gap) {
        lsp_current[i+1] = lsp_current[i]+min_gap;
    }
}
for (i = 0; i < LPCORDER; i++) {
    if (lsp_current[i] > 1-min_gap) lsp_current[i] = 1-min_gap;
}
for (i = LPCORDER-1; i > 0; i--) {
    if (lsp_current[i]-lsp_current[i-1] < min_gap) {
        lsp_current[i-1] = lsp_current[i]-min_gap;
    }
}

for (i = 0; i < LPCORDER; i++){
    qLsp[i] = lsp_current[i];
}

```

where  $\text{min\_gap} = 4.0/256.0$

After the LSP encoding process, the current LSPs have to be stored in memory, since they are used for prediction at the next frame.

```

for (i = 0; i < LPCORDER; i++) {
    lsp_previous[i] = lsp_current[i];
}

```

It must be noted that the stored LSPs *lsp\_previous[]* must be initialized as described below when the whole of the encoder is initialized.

```

for (i = 0; i < LPCORDER; i++) {
    lsp_previous[i] = (i+1) / (LPCORDER+1);
}

```

The third stage for the enhancement layer (4.0 and 3.7 kbps) has 10-dimensional VQ structure. The error between the quantized version of the base layer and the original version is quantized and corresponding index is stored in **LSP5**.

After the quantization, the LSPs of the enhancement layer *qLsp[]* are stabilized again.

```

for (i = 0; i < 2; i++)
{
    if (qLsp[i + 1] - qLsp[i] < 0)
    {
        tmp = qLsp[i + 1];
        qLsp[i + 1] = qLsp[i];
        qLsp[i] = tmp;
    }

    if (qLsp[i + 1] - qLsp[i] < THRS LD_L)
    {
        qLsp[i + 1] = qLsp[i] + THRS LD_L;
    }
}

```

```

}

for (i = 2; i < 6; i++)
{
  if (qLsp[i + 1] - qLsp[i] < THRSLD_M)
  {
    tmp = (qLsp[i + 1] + qLsp[i]) / 2.0;
    qLsp[i + 1] = tmp + THRSLD_M / 2.0;
    qLsp[i] = tmp - THRSLD_M / 2.0;
  }
}

for (i = 6; i < LPCORDER - 1; i++)
{
  if (qLsp[i + 1] - qLsp[i] < 0)
  {
    tmp = qLsp[i + 1];
    qLsp[i + 1] = qLsp[i];
    qLsp[i] = tmp;
  }

  if (qLsp[i + 1] - qLsp[i] < THRSLD_H)
  {
    qLsp[i] = qLsp[i + 1] - THRSLD_H;
  }
}

```

where, THRSLD\_L = 0.020, THRSLD\_M = 0.020 and THRSLD\_H = 0.020

**Table 2.A.3 — Configuration of the multistage LSP VQ**

1st stage	10 LSP VQ	5bits
2nd stage	(5+5)LSP VQ	(7+5+1)bits
3rd stage	10LSP VQ	8bits

### 2.A.2.2.3 LPC inverse filter

LSPs are converted to alpha parameters to form a LPC inverse filter in a direct form. LPC residual signals are then computed by inverse filtering the input signal. Only the current frame's quantized LPC is used without any interpolation for the inverse filtering to compute the LPC residual signal. The residual signal is then 256 point Hamming windowed to compute the power spectrum. The transfer function of the LPC inverse filter is,

$$A(z) = \prod_{n=0}^P \alpha_n z^{-n}$$

where  $P = 10$  and  $\alpha_0 = 1$ . LPC coefficients  $\alpha_n$  stay unchanged during the computation of residual samples of one frame length (256 samples).

## 2.A.3 Pitch estimation

### 2.A.3.1 Tool description

To obtain the first estimation of the pitch lag value, the autocorrelation values of the LPC residual signals are computed. Based on the lag values which give the peaks in autocorrelation, the open loop pitch is estimated. Pitch tracking is carried out in the process of pitch estimation so that the estimated pitch gets more reliable.

### 2.A.3.2 Pitch estimation process

In the low delay mode encoder, pitch tracking is conducted using only current and past frames to keep encoder delay 26 ms. When the normal delay mode is used, pitch tracking uses one frame ahead and the encoder delay becomes 46 ms.

### 2.A.3.3 Pitch tracking

For the low delay mode of HVXC, pitch tracking algorithm does not use the pitch value of the future (look ahead) frame. The pitch tracking algorithm operates based on reliable pitch "rbIPch", V/UV decision of the previous frame "prevVUV", and past/current pitch parameters.

The basic operation is as follows:

- When prevVUV != 0 and rbIPch != 0, pitch is tracked based on rbIPch. However, the pitch value of the previous frame has higher priority.
- When prevVUV = 0 and rbIPch != 0, pitch is tracked based on rbIPch.
- When prevVUV != 0 and rbIPch = 0, tracking is conducted based on the pitch of the previous frame.
- When prevVUV = 0 and rbIPch = 0, current pitch parameter is simply used.

prevVUV != 0 represents Voiced, and prevVUV = 0 represents Unvoiced status respectively.

By this strategy, pitch tracking is carried out without any look ahead. Source code of the pitch tracking is shown below.

```
typedef struct
{
    float pitch;      /* pitch */
    float prob;       /* 1st peak divided by 2nd peak of autocorrelation */
    float r0r;        /* 1st peak of autocorrelation - modified */
    float rawR0r;     /* 1st peak of autocorrelation - raw */
    float rawPitch;   /* pitch with no tracking */
}
NgbPrm;

static int NearPitch(
float p0,
float p1,
float ratio)
{
    return((p0 * (1.0 - ratio) < p1) && (p1 < p0 * (1.0 + ratio)));
}

static float TrackingPitch(
NgbPrm *crntPrm, /* current parameter */
NgbPrm *prevPrm, /* previous parameter */
int *scanlimit, /* Number of autocorrelation peaks */
float *ac, /* Autocorrelation */
int peakPos[PEAKMAX], /* Position of autocorrelation peaks */
int prevVUV) /* V/UV of previous frame */
```

```

{
float kimete;
float pitch;
int i;
static float prevRawp= 0.0;
int st0, st1, st2;
float stdPch;
static float rblPch = 0.0;
static float prevRblPch = 0.0;

rblPch = global_pitch;
if (prevVUV != 0 && rblPch != 0.0)
{
st0 = Ambiguous(prevPrm->pitch, rblPch, 0.11);
st1 = Ambiguous(crntPrm->pitch, rblPch, 0.11);
if(!(st0 || st1))
{
if (NearPitch(crntPrm->pitch, prevPrm->pitch, 0.2))
pitch = crntPrm->pitch;
else if (NearPitch(crntPrm->pitch, rblPch, 0.2))
pitch = crntPrm->pitch;
else if (NearPitch(prevPrm->pitch, rblPch, 0.2))
{
if (crntPrm->r0r > prevPrm->r0r && crntPrm->prob > prevPrm->prob)
pitch = crntPrm->pitch;
else
pitch = prevPrm->pitch;
}
else
pitch = GetStdPch2Elms(crntPrm, prevPrm, scanlimit, peakPos, ac);
}
else if (!st0)
{
if (NearPitch(prevPrm->pitch, crntPrm->pitch, 0.2))
pitch = crntPrm->pitch;
else if ((gpMax * 1.2 > crntPrm->pitch) &&
NearPitch(prevPrm->rawPitch, crntPrm->pitch, 0.2))
pitch = crntPrm->pitch;
else
pitch = prevPrm->pitch;
}
else if (!st1)
{
if ((crntPrm->rawPitch != crntPrm->pitch) &&
NearPitch(crntPrm->rawPitch, prevPrm->rawPitch, 0.2))
pitch = crntPrm->rawPitch;
else
pitch = crntPrm->pitch;
}
else
{
if (NearPitch(prevPrm->pitch, crntPrm->pitch, 0.2))
pitch = crntPrm->pitch;
else
pitch = rblPch;
}
}
else if (prevVUV == 0 && rblPch != 0.0)
{
st1 = Ambiguous(crntPrm->pitch, rblPch, 0.11);
if (!st1)
pitch = crntPrm->pitch;
else
pitch = rblPch;
}
else if (prevVUV != 0 && rblPch == 0.0)
{
st1 = Ambiguous(crntPrm->pitch, prevPrm->pitch, 0.11);
}
}

```

```

if (!st1)
    pitch = GetStdPch2Elms(crntPrm, prevPrm, scanlimit, peakPos, ac);
else
{
    if (prevPrm->r0r < crntPrm->r0r)
        pitch = crntPrm->pitch;
    else
        pitch = prevPrm->pitch;
}
}
else
pitch = crntPrm->pitch;

crntPrm->pitch = pitch;
prevRblPch = rblPch;
prevRawp = pitch;
return(pitch);
}

```

## 2.A.4 Harmonic magnitudes extraction

### 2.A.4.1 Tool description

Harmonic magnitudes extraction consists of two steps: fine pitch search and estimation of the spectral envelope. The operation of each step is described below.

### 2.A.4.2 Harmonic magnitudes extraction process

#### 2.A.4.2.1 Fine pitch search

Using the open loop integer pitch lag, the fractional pitch lag value is estimated here. The step size of the fraction is 0.25. This is carried out by minimizing the error between the synthesized spectrum and original spectrum. Here, pitch lag value and spectral harmonic magnitudes are estimated simultaneously. Estimated pitch lag value, *pch*, is transmitted to the decoder as **Pitch**.

$$Pitch = (int)(pch - 20.0)$$

#### 2.A.4.2.2 Estimation of spectral envelope

A 256 point DFT is applied to the LPC residual signals to obtain the original spectrum. Using the original spectrum, estimation of the spectral envelope is carried out in the part of the above mentioned fine pitch search. The spectral envelope is a set of spectral magnitudes estimated at each harmonic. This magnitude estimation is carried out by computing an optimal amplitude  $A_m$  using pre-defined basis function  $E(j)$ , and original spectrum  $X(j)$ . Let  $a_m$  and  $b_m$  be the indices of DFT coefficient of lower and higher boundary of the *m*-th band respectively, covering *m*-th harmonic band. The amplitude estimation error  $\epsilon_m$  is defined as:

$$\epsilon_m = \sum_{j=a_m}^{b_m} \left( |X(j)| - |A_m| |E(j)| \right)^2$$

Solving

$$\frac{\partial \epsilon_m}{\partial |A_m|} = 0$$

gives

$$|A_m| = \frac{\prod_{j=a_m}^{b_m} |X(j)| |E(j)|}{\prod_{j=a_m}^{b_m} |E(j)|^2}$$

This value is defined as spectral magnitude. Basis function  $E(j)$  can be obtained by DFT of 256 point Hamming window.

## 2.A.5 Perceptual weighting

### 2.A.5.1 Tool description

The frequency response of a perceptual weighting filter is computed which is for the use of weighted vector quantization of the harmonic spectral envelope. Here, a perceptual weighting filter is derived from linear predictive coefficients  $\alpha_n$ . The transfer function of the perceptual weighting filter is;

$$w(z) = \frac{\prod_{n=0}^P \alpha_n A^n z^{-n}}{\prod_{n=0}^P \alpha_n B^n z^{-n}}$$

where  $A = 0.9$   $B = 0.4$  could be used. In this tool, the frequency response of the LPC synthesis filter  $h(z)$  is also computed so that it could be incorporated where,

$$h(z) = \frac{1}{\prod_{n=0}^P \alpha_n z^{-n}}$$

In this tool, frequency response of  $w(z)h(z)$  is computed and outputted as an array \*per\_weight, which could be used as diagonal components of the weighting matrices  $WH$ .

## 2.A.6 Harmonic VQ encoder

### 2.A.6.1 Tool description

The harmonic VQ encoding process consists of two steps: dimension conversion and vector quantization of the spectral envelope vectors. The operations of each step are given below

## 2.A.6.2 Encoding process

### 2.A.6.2.1 Dimension converter

The number of points which composes the spectral envelope varies depending on pitch values, since the spectral envelope is a set of the estimates of the magnitudes at each harmonic. The number of harmonics ranges from about 9 to 70.

In order to vector quantize the spectral envelope, the coder has to convert them to a constant number for a fixed-dimension VQ. A band-limited interpolation is used for the sampling frequency conversion to obtain the fixed-dimension spectral vectors. The number of points, which represent the shape of the spectral envelope, should be modified without changing the shape. For this purpose, a dimension converter for a spectral envelope by a combination of low pass filter and 1st order linear interpolator is used. An FIR low pass filter with 7 sets of coefficients, each set consisting of 8 coefficients, is used for the first stage 8-times over-sampling. The 7 sets of the filter coefficients are obtained by grouping 8 every coefficients from a windowed sinc,  $coef[i]$ , with the offsets of 1 through 7, where

$$coef[i] = \frac{\sin \pi(i-32)/8}{\pi(i-32)/8} (0.5 - 0.5 \cos 2\pi i / 64) \quad 0 \leq i \leq 64$$

This FIR filtering allows decimated computation, in which only the points used at the next stage are computed. They are the left and right adjacent points of the final output of the dimension converter.

At the second over-sampling stage, 1st order linear interpolation is applied to obtain the necessary output points. In this way, we get fixed-dimension (= 44) spectral vectors.

### 2.A.6.2.2 Vector quantization

A fixed-dimension (= 44) spectral vector is then quantized. In the base layer, a two-stage vector quantization scheme is employed for the spectral shape together with a scalar quantizer for the gain. The weighted distortion measure  $D$  is used for the codebook search of both shape and gain.

$$D = \|\mathbf{WH}(\mathbf{x} - g(\mathbf{s}_1 + \mathbf{s}_2))\|^2$$

where  $x$  is a source vector,  $s_1$  is the output of Spectral Envelope (SE) shape1 codebook,  $s_2$  is the output of SE shape2 codebook, and  $g$  is the output of the SE gain codebook. Corresponding indices are denoted as **SE\_shape1**, **SE\_shape2** and **SE\_gain** respectively. The dimension of the shape codebooks is fixed (=44). The diagonal components of the matrices  $\mathbf{H}$  and  $\mathbf{W}$  are the magnitudes of the frequency response of the LPC synthesis filter and the perceptual weighting filter, respectively. For enhancement layer, additional vector quantizers are added to the bottom of the quantizer of the base layer. The 2.0kbps mode uses only the quantizers of the base layer.

For 4.0 kbps mode, the quantized harmonic magnitudes with fixed dimension (=44) at the base layer is first converted to the dimension of the original harmonic vector, which varies depending on the pitch value. The difference between the quantized/dimension recovered harmonic vector and the original harmonic vector is computed. This difference is then quantized with a split VQ scheme composed of four vector quantizers at the enhancement layer. The corresponding indices are **SE\_shape3**, **SE\_shape4**, **SE\_shape5** and **SE\_shape6**.

Index **SE\_shape6** is not used when 3.7 kbps mode is selected.

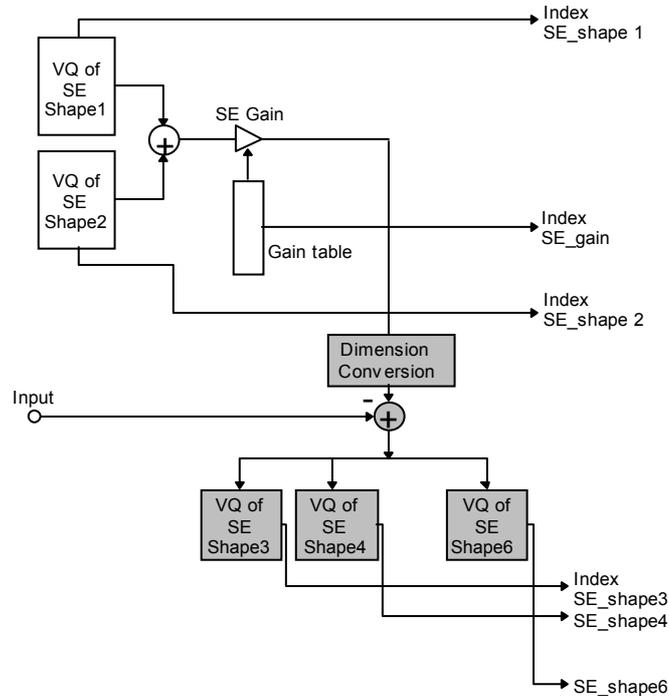


Figure 2.A.2 — Vector quantization of spectral envelope

## 2.A.7 V/UV decision

### 2.A.7.1 Tool description

A V/UV decision is made every 20 ms frame. The decision is made based on: similarity of the shape of the synthesized spectrum and original spectrum, signal power, maximum autocorrelation of LPC residual signals normalized by residual signal power, and number of zero crossing.

### 2.A.7.2 Encoding process

The V/UV decision is composed of three different modes, that is, Unvoiced, Mixed Voiced, and Fully Voiced.

In order to send out the information of the three modes, two bits are used for V/UV. First, a decision is made whether or not the current frame is Unvoiced. When this decision is Voiced, then the voicing strength is evaluated from the value of the normalized maximum peak of the autocorrelation of LPC residual signal. Let us denote the value  $r_0r$ .

Shown below is the decision rule :

When the first decision is Unvoiced	V/UV is 0	- Unvoiced
When the first decision is Voiced	V/UV is 1	for $r_0r < TH_2$ - Mixed Voiced 1

V/UV is 2 for  $TH2 \leq r0r < TH1$  - Mixed Voiced 2  
 V/UV is 3 for  $TH1 \leq r0r$  - Fully Voiced

Example:

TH1 = 0.7

TH2 = 0.5

The number 0,1,2,3 is send out to the decoder as index **VUV** using the two bits.

## 2.A.8 Time domain encoder

### 2.A.8.1 Tool description

When a speech segment is unvoiced, Vector Excitation Coding (VXC) algorithm is used. Figure 2.A.3 shows the overall structures of the VXC encoder. The operation of the VXC is described below.

### 2.A.8.2 Encoding process

LPC analysis is carried out first, and LPC coefficients ( $\alpha$ ) are then converted to LSP parameters in the same manner as in the voiced case. LSP parameters are quantized, and quantized LSPs are converted to LPC coefficients ( $\hat{\alpha}$ ).

The perceptually weighted LPC synthesis filter  $H(z)$  is expressed as:

$$H(z) = A(z)W(z)$$

where  $A(z)$  is a transfer function of the LPC synthesis filter, and  $W(z)$  is a perceptual weighting filter derived from LPC coefficients.

Let  $x_w(n)$  be perceptually weighted input signal. Subtracting zero-input response  $z(n)$  from  $x_w(n)$ , we obtain reference signal,  $r(n)$ , for the analysis-by-synthesis procedure of the VXC. Optimal shape and gain vectors are searched using the distortion measure  $E$ :

$$E = \sum_{n=0}^{N-1} (r(n) - g \times syn(n))^2$$

where  $syn(n)$  is zero-state response of  $H(z)$ , driven by a excitation input by only a shape vector  $s(n)$ , which is an output of VX\_shape codebook.  $g$  is a gain, which is an output of VX\_gain coodbook.  $N$  is vector dimension of VX\_shape codebook.

The codebook search process for the VXC consists of two steps, that are:

1. Search  $s(n)$  that maximize

$$E_s = \frac{\sum_{n=0}^{N-1} r(n) \times \text{syn}(n)}{\sqrt{\sum_{m=0}^{N-1} \text{syn}(m)^2}}$$

2. Search  $g$  that minimize

$$E_g = (g_{ref} - g)^2$$

where

$$g_{ref} = \frac{\sum_{n=0}^{N-1} r(n) \times \text{syn}(n)}{\sum_{m=0}^{N-1} \text{syn}(m)^2}$$

The quantization error  $e(n)$  is computed as:

$$e(n) = r(n) - g \times \text{syn}(n)$$

When the bit-rate is 4 kbps, one more stage is used for the quantization of unvoiced segments, and  $e(n)$  is used as reference input to the second stage VQ.

The operation of the second stage VQ is the same as that of the first stage VQ.

The 2.0 kbps coder uses 6 bits shape and 4 bit gain codebooks for the unvoiced excitation for every 10 msec. The corresponding indices are **VX\_shape[i]**, **VX\_gain[i]** ( $i=0,1$ ). The 4.0 kbps scheme adds 5 bits shape and 3 bits gain codebooks for every 5 msec at the bottom of the current quantizer. The corresponding indices are **VX\_shape2[i]**, **VX\_gain2[i]** ( $i = 0,1,2,3$ ). 3.7 kbps mode may use the same encoding procedure as that of 4.0kbps mode though VX\_shape2[3] and VX\_gain2[3] are not used in the decoder at 3.7kbps mode.

**Table 2.A.4 — Configuration of the VXC codebooks**

1st stage	(80dimension 6bits shape + 4bits gain) x 2
2nd stage	(40dimension 5bits shape + 3bits gain) x 4

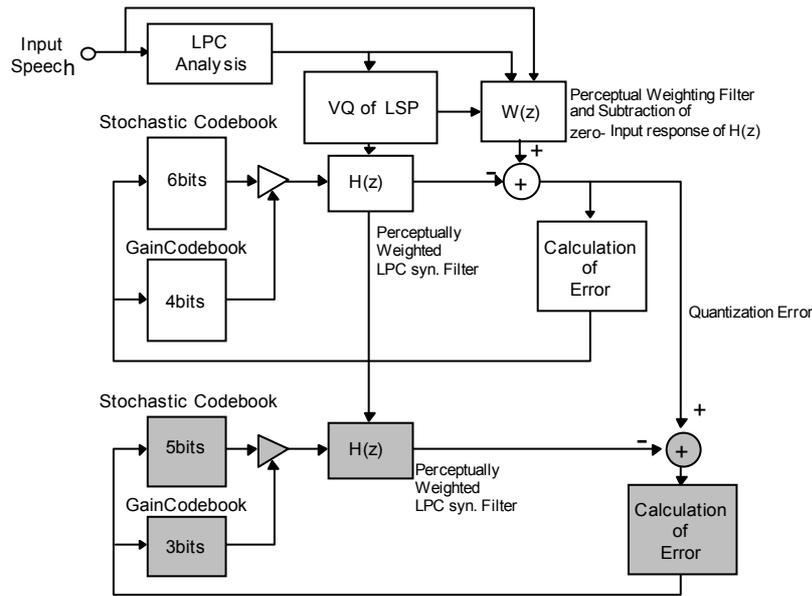


Figure 2.A.3 — Vector Excitation Coding (VXC) for unvoiced signals

### 2.A.9 Variable rate encoder

This subclause describes a tool for variable rate coding with the HVXC core. This tool allows HVXC to operate at variable bit rates. The major part of the algorithm is composed of "background noise interval detection", where only the mode bits are transmitted during the "background noise mode", and unvoiced frame is inserted with certain period of time to send parameters for background noise generation

In the encoding algorithm, minimum level tracker has temporal minimum level in order to adjust a threshold value by which a decision is made about whether or not the input segment is speech.

#### Minimum level tracking

Parameters are defined as follows:

lev: r.m.s. of a speech frame

vCont: number of continuous voiced frames

cdLev: candidate value of minimum level

prevLev: r.m.s. of a previous frame

gmlSetState: number of frames in which the candidate value is set

gmlResetState: number of frames in which the candidate value is not set after setting of minimum level

gml: minimum level

The minimum level is tracked according to the algorithm shown below.

```

if (vCont > 4)
{
    cdLev = 0.0;
}
    
```

```

    gmlSetState = 0;
    gmlResetState++;
}
else if (lev < MIN_GML)
{
    *gml = MIN_GML;
    gmlResetState = 0;
    gmlSetState = 0;
}
else if (*gml > lev)
{
    *gml = lev;
    gmlResetState = 0;
    gmlSetState = 0;
}
else if ((lev < 500.0 && cdLev * 0.70 < lev && lev < cdLev * 1.30) || lev < 100.0)
{
    if (gmlSetState > 6)
    {
        *gml = lev;
        gmlResetState = 0;
        gmlSetState = 0;
    }
    else
    {
        cdLev = lev;
        gmlResetState = 0;
        gmlSetState++;
    }
}
else if ((lev < 500.0 && prevLev * 0.70 < lev && lev < prevLev * 1.30) || lev < 100.0)
{
    cdLev = lev;
    gmlResetState = 0;
    gmlSetState++;
}
else if (gmlResetState > 40)
{
    *gml = MIN_GML;
    gmlResetState = 0;
    gmlSetState = 0;
}
else
{
    cdLev = 0.0;
    gmlSetState = 0;
    gmlResetState++;
}
}

```

As shown above, the minimum level is held during appropriate period of time and updated. The minimum level is always set higher than a predetermined value MIN\_GML.

**Background noise detection based on the minimum level**

The reference level *refLev* is computed as,

$$refLev = A \times \max(lev, refLev) + (1.0 - A) \times \min(lev, refLev) \tag{1}$$

Usually *A* is set to 0.75. Background noise detection is carried out using the *refLevel* derived from equation (1).

For the frames where "voiced" decision is made:

```
if (refLev < B *gml && contV < 2) {
    idVUV = 1;
}
```

For the frames where "unvoiced" decision is made:

```
if (refLev < B *gml) { /* condition-1 */
    if (bgnCnt < 3) {
        bgnCnt++;
    }
    else {
        if (bgnIntvl < 8) {
            idVUV=1;
            bgnIntvl++;
        }
        else {
            bgnIntvl=0;
        }
    }
}
else {
    bgnCnt=0;
}
```

where *B* is a constant. In this case we set *B*=2.0

Each parameter is defined below.

*countV* : number of consecutive voiced frames

*bgnCnt*: number of frames which satisfies the condition-1

*bgnIntvl*: number of frames where "Background noise" mode is declared

*idVUV* is a parameter that has the result of V/UV decision and defined as;

- 0 Unvoiced speech
- 1 Background noise interval
- 2 Mixed voiced speech
- 3 Voiced speech

If the current frame is declared to be "Voiced", it is checked whether or not the previous frame is "Voiced". If the previous frame is "Voiced", "backgroundnoise" mode is not selected; otherwise, "background noise" mode is selected.

If the current frame is declared to be "Unvoiced", "background noise" mode is selected only after the condition-1 is satisfied for four consecutive frames. When "background noise" mode is selected for consecutive N frames, then the last frame is replaced with "Unvoiced" mode in order to transmit speech parameters which represents characteristics of the time varying back ground noise.

At this moment, N is set to 9.

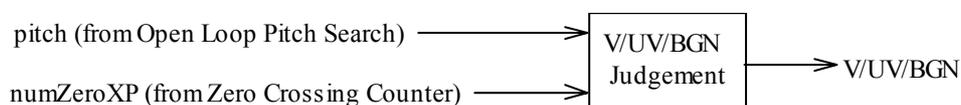
### Variable rate encoding:

Using the background noise detection method described above, variable rate coding is carried out based on fixed bit rate 2 kbps HVXC.

**Table 2.A.5 — Bit allocations of the encoded parameters for the variable bit rate mode**

Mode (idVUV)	Back Ground Noise (1)	UV (0)	MV (2), V (3)
V/UV	2bit/20msec	2bit/20msec	2bit/20msec
LSP	0bit/20msec	18bit/20msec	18bit/20msec
Excitation	0bit/20msec	8bit/20msec (gain only)	20bit/20msec
Total	2bit/20msec 0.1kbps	28bit/20msec 1.4kbps	40bit/20msec 2.0kbps

If the current frame or the previous frame is "Background noise" mode, differential mode in LSP quantization is inhibited in the encoder because LSP parameters are not sent during "Background noise" mode and inter frame coding is not possible.



**Figure 2.A.4 — Block diagram for variable rate encoding**

## 2.A.10 Extension of HVXC variable rate encoder

In subclause 2.A.9, the encoder operation of the variable rate mode of 2.0 kbit/s maximum is described. Here in this subclause one example of the implementation of HVXC variable rate mode encoder of 4.0 kbit/s maximum is described. Basically any kind of background noise/unvoiced speech decision algorithm could be used. The change of the signal level and the spectral envelope are used to detect background noise interval from unvoiced frames. During the noise interval, it is assumed that the signal level and the shape of the spectral envelope are stable. The log squared magnitude response at low frequency range of the spectral envelope is computed from LPC cepstrum coefficients. The log squared magnitude response of the current frame is compared with the average of the log magnitude response over several past frames. If the difference is small, it is assumed that the signal is stable. When these parameters show the signal condition is stable enough, the frame is classified as "background noise interval". If the signal characteristic is changed in certain range, it is assumed that the background noise characteristic is changed, and noise update frame is transmitted. If the signal characteristic is changed more than pre-defined range, then signal is assumed to be unvoiced speech.

### 2.A.10.1 Definitions

stFlag: signal stability flag  
 bgnCnt: background noise counter

BgnIntvl: background noise interval counter  
 UpdateFlag : background noise update flag

**2.A.10.2 RMS computation**

RMS of the input signal  $s[]$  is first computed to obtain minimum signal level ( $min\_rms$ ). If the RMS value is smaller than the predefined value that is the smallest possible speech level, and the deviation of RMS values of several frames are in certain range, then the minimum level is smoothly updated using the detected RMS value and the current  $min\_rms$ . The current RMS value “ $rms$ ” is then divided by current  $min\_rms$  to obtain the value “ $ratio$ ”.

$$ratio = \frac{rms}{min\_rms}$$

This value is used for signal stability detection as described in 2.A.10.4.

**2.A.10.3 Spectral comparison**

The spectral envelope is computed using Linear Prediction (LP) coefficients. LP coefficients are converted to cepstrum parameters  $C_L[]$ . From cepstrum parameters, log squared magnitude response  $\ln|H_L(e^{j\Omega})|^2$  is computed as:

$$\ln|H_L(e^{j\Omega})|^2 = 2 \cdot \sum_{m=0}^M C_L(m) \cos(\Omega m)$$

The average level of each of the frequency bands at the n-th frame is computed as:

$$\log Amp(n, i) = \frac{1}{w} \int_{\Omega_i}^{\Omega_{i+1}} \ln|H_L(e^{j\Omega})|^2 d\Omega = \frac{1}{w} \left[ 2 \sum_{m=1}^M \frac{1}{m} C_L(m) \sin(\Omega m) \right]_{\Omega_i}^{\Omega_{i+1}} \quad i = 0 \dots 3$$

Here,  $w$  is the band width (=500Hz), and  $i$  is the band number where 4 bands between 0 and 2kHz are computed. From the obtained log squared magnitude values of the last 4 frames, averaged values for each band is computed as:

$$aveAmp(n, i) = \frac{1}{4} \sum_{j=1}^4 \log Amp(n - j, i)$$

Using these equations, The difference “ $wdif$ ” between the current level and averaged level for each of the frequency band  $i$  is computed as shown below.

$$wdif = \sqrt{\frac{1}{4} \sum_{i=0}^3 (\log Amp(n, i) - aveAmp(n, i))^2}$$

**2.A.10.4 Signal stability detection**

From the combination of  $ratio$  and  $wdif$ , signal stability flag “stFlag” which has the following 3 states is computed. Naturally, the smaller these variables are, the more stable the signal state is.

$$\text{stFlag} = \begin{cases} 0 & \text{Almost stable} \\ 1 & \text{Unstable} \\ 2 & \text{A little stable} \end{cases}$$

### 2.A.10.5 Background noise detection

According to the variable *stFlag* and the V/UV decision, background noise is detected. The flowchart is shown in Figure 2.A.6 — 2.A.6. When the V/UV decision is voiced ( $VUV = 2,3$ ), the frame is classified as voiced regardless as the value of *stFlag*. When background noise is detected in unvoiced interval ( $VUV = 0$ ), *VUV* is set to 1. When background noise parameters have to be updated, “UpdateFlag” is set to 1. In order to secure stable operation of the background noise detection algorithm, the variables, background noise counter (*bgnCnt*) and background noise interval counter (*bgnIntvl*), are introduced. *BGN\_CNT* and *BGN\_INTVL* are predefined constants.

### 2.A.10.6 Transmitted parameters decision

*VUV* is a parameter that has the result of V/UV decision and defined as;

$$VUV = \begin{cases} 0 & \text{Unvoiced speech} \\ 1 & \text{Background noise interval} \\ 2 & \text{Voiced speech 1} \\ 3 & \text{Voiced speech 2} \end{cases}$$

In order to indicate whether or not the frame marked “ $VUV = 1$ ” is noise update frame, a parameter “UpdateFlag” is introduced. UpdateFlag below is used only when  $VUV = 1$ .

$$\text{UpdateFlag} = \begin{cases} 0 & \text{not noise update frame} \\ 1 & \text{noise update frame} \end{cases}$$

At noise update frame, averaged LSP and Celp Gain parameters are computed.

As for LSP, raw LSPs are averaged around the last 3 frames.

$$\text{aveLsp}[n][i] = \frac{1}{3} \sum_{j=0}^2 \text{Lsp}[n-j][i], \quad i = 1, \dots, NP$$

where  $\text{aveLsp}[n][i]$  denotes the averaged LSP at the *n*-th frame and  $\text{Lsp}[n][i]$  denotes the raw LSP at the *n*-th frame. *NP* is the order of LSP.  $\text{aveLsp}[n][i]$  is quantized and coded, where LSP coding by differential mode is inhibited.

As for Celp gain, raw Celp gains around the last 4 frames(8 subframes) are averaged.

$$\text{aveGain}[n] = \frac{1}{8} \sum_{j=0}^3 \sum_{k=0}^1 \text{Gain}[n-j][k]$$

where  $\text{aveGain}[n]$  is the averaged Celp gain at the *n*-th frame and  $\text{Gain}[n][k]$  is the raw Celp gain at the *n*-th frame and the *k*-th subframe. The averaged Celp gain is quantized and coded in the same manner as usual Celp gain.

According to *VUV*, the following parameters are transmitted.

Table 2.A.6 — coded parameters for 4 kbit/s variable rate mode

Mode(VUV)	Back Ground Noise(1)		UV(0)	V(2,3)
	UpdateFlag=0	UpdateFlag=1		
V/UV	2bit/20msec	2bit/20msec	2bit/20msec	2bit/20msec
UpdateFlag	1bit/20msec	1bit/20msec	0bit/20msec	0bit/20msec
LSP	0bit/20msec	18bit/20msec	18bit/20msec	26bit/20msec
Excitation		4bit/20msec (gain only)	20bit/20msec	52bit/20msec
Total	3bit/20msec 0.15 kbit/s	25bit/20msec 1.25 kbit/s	40bit/20msec 2.0 kbit/s	80bit/20msec 4.0 kbit/s

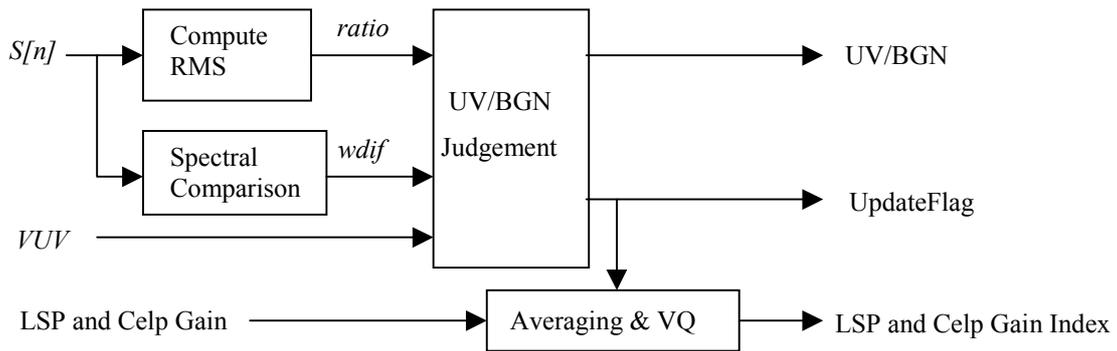


Figure 2.A.5 — Additional Blockdiagram for Encoder

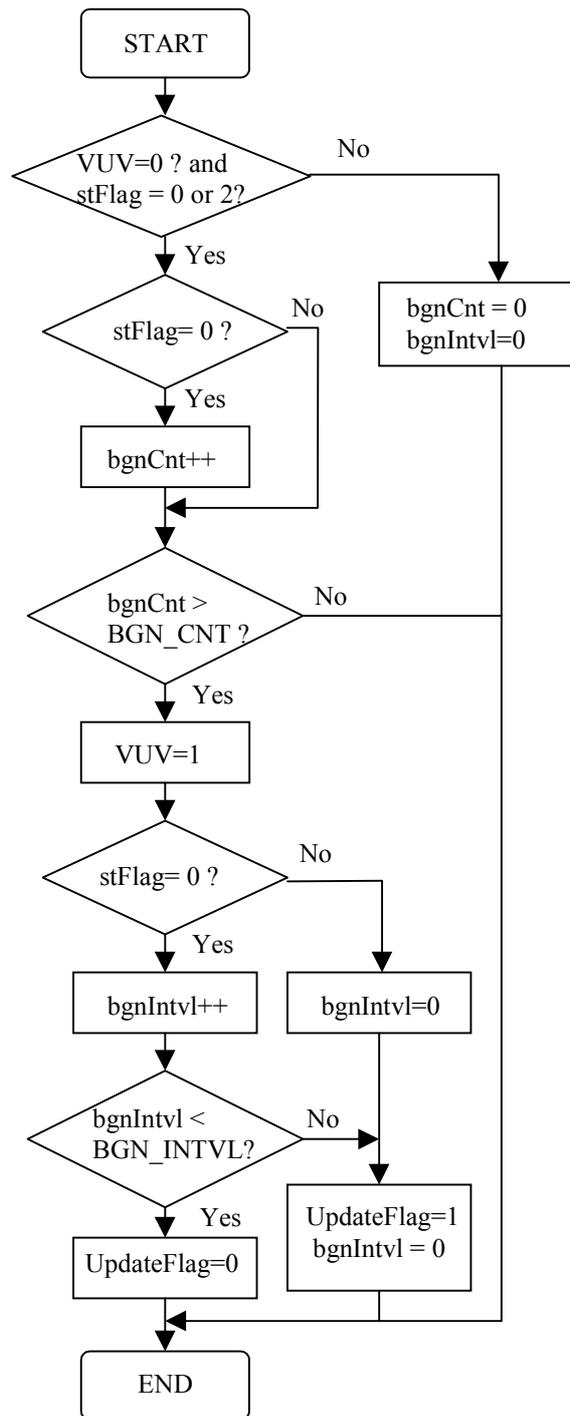


Figure 2.A.6 — Flowchart of noise detection

## Annex 2.B (informative)

### HVXC Decoder tools

#### 2.B.1 Postfilter

##### 2.B.1.1 Tool description

The basic operation of the post-filter is to enhance the spectral formants and suppress the spectral valleys. One can use a single postfilter after voiced and unvoiced synthesized speech are added. Alternatively, one can use independent postfilters for voiced and unvoiced speech respectively. Use of independent postfilters for voiced and unvoiced signals is recommended.

In the description below, each of the voiced speech and unvoiced speech obtained is fed into the independent postfilters.

The use of a postfilter is required, however, the configuration and constants of the postfilters described here is one example and not normative, and they could be modified.

##### 2.B.1.2 Definitions

$Pf_v(z)$ : Transfer function of spectral shaping filter for voiced speech.

$Pf_{uv}(z)$ : Transfer function of spectral shaping filter for unvoiced speech

$r_{adj}$ : gain adjustment factor for spectral shaping.

$S(n)$ : LPC synthesis filter  $H(z)$  output.

$S_{pf}(n)$ : Spectral shaping filter  $Pf_v(z)$  output.

$S'_{pf}(n)$ : Spectral shaped and gain adjusted output.

$S'_{pf\_prev}(n)$ :  $S'_{pf}(n)$  computed using previous frame's  $\alpha_n$  and  $r_{adj}$ .

$vspeech(n)$ : Postfiltered voiced speech.

$uvspeech(n)$ : Postfiltered unvoiced speech.

##### 2.B.1.3 Processing

Each of the operation of the postfilter consists of three steps, that is, spectral shaping, gain adjustment and smoothing process.

###### 2.B.1.3.1 Voiced speech

**Spectral shaping:**

$$Pf_v(z) = \frac{\sum_{n=0}^P \alpha_n \gamma^n z^{-n}}{\sum_{n=0}^P \alpha_n \beta^n z^{-n}} (1 - \delta z^{-1})$$

Output of the LPC synthesis filter  $s(n)$  is first fed into the spectral shaping filter  $Pf_v(z)$ , where  $\alpha_n$  are linear predictive coefficients converted from de-quantized and linearly interpolated LSPs, which are updated every 2.5 ms.  $p = 10, \gamma = 0.5, \beta = 0.8, \delta = -0.15 \times \alpha_1$  where the value of  $\delta$  is limited to the range of  $0 \leq \delta \leq 0.5$ . When low delay decode mode is selected, the decode frame interval is shifted by 2.5 ms and interpolation of LSPs is carried out for the first 17.5ms of decode frame interval shown in Figure 2.9, and the latest LSPs are used for the last 2.5 ms without interpolation.

### Gain adjustment:

The output of the spectral shaping filter,  $S_{pf}(n)$ , is then gain adjusted so that the frame gain of the input and output of the spectral shaping is unchanged. Gain adjustment is done once every 160 sample frame while LSPs are updated every 2.5ms. The gain adjustment factor  $r_{adj}$  is computed as follows:

$$r_{adj} = \sqrt{\frac{\sum_{n=0}^{159} \{s(n)\}^2}{\sum_{n=0}^{159} \{S_{pf}(n)\}^2}}$$

The spectrally shaped and gain adjusted output  $s_{pf}'(n)$  is obtained as:

$$s_{pf}'(n) = r_{adj} S_{pf}(n) \quad (0 \leq n \leq 159)$$

### Smoothing process:

The spectrally shaped and gain adjusted output  $s_{pf}'(n)$  is then smoothed to avoid discontinuities due to parameter change at the beginning of each frame. Postfiltered voiced output  $vspeech(n)$  is obtained as follows

$$vspeech(n) = \begin{cases} 1 - \frac{n}{20} s_{pf\_prev}'(n) + \frac{n}{20} s_{pf}'(n) & (0 \leq n \leq 19) \\ s_{pf}'(n) & (20 \leq n \leq 159) \end{cases}$$

where  $s_{pf\_prev}'(n)$  is a  $s_{pf}'(n)$  computed using previous frame's  $\alpha_n$  and  $r_{adj}$ .

2.B.1.3.2 Unvoiced speech

Spectral shaping:

$$Pf_{uv}(z) = \frac{\sum_{n=0}^P \alpha_n \gamma^n z^{-n}}{\sum_{n=0}^P \alpha_n \beta^n z^{-n}} (1 - \delta z^{-1})$$

Similarly the transfer function of the spectral shaping filter for unvoiced speech is given as  $Pf_{uv}(z)$ , where  $\alpha_n$  are linear predictive coefficients converted from de-quantized LSPs, which are updated every 20 ms. When normal decode mode is selected, LSP coefficients are updated at the middle of decode frame interval. If low delay decode mode is selected, decode frame interval is shifted by 2.5 ms and LSP update happens at 7.5 ms point from the beginning of the decode interval in Figure 2.9  $p=10, \gamma=0.5, \beta=0.8, \delta=0.1$ . The **gain adjustment** and the **smoothing process** are the same as in the voiced part described above, and produces postfiltered unvoiced speech  $uvspeech(n)$ .

The output of each of the postfilters,  $vspeech(n)$  and  $uvspeech(n)$  are added to generate postfiltered speech output.

2.B.2 Post processing

2.B.2.1 Tool description

The output of the postfilter is fed into the post processing part. Post processing is composed of three filters, those are, high pass filter, high frequency emphasis filter, and low pass filter. High pass filter is used to remove unnecessary low frequency components, high frequency emphasis is used to increase the brightness of the speech, and low pass filter is used to remove unnecessary high frequency components. The filter configurations and constants described here is one example and not normative, and they could be modified.

2.B.2.2 Definitions

$HPF(z)$ : Transfer function of high pass filter.

$Emp(z)$ : Transfer function of high frequency emphasis filter.

$LPF(z)$ : Transfer function of low pass filter.

2.B.2.3 Processing

The three filters below are applied to the output of the postfilter.

High Pass Filter:

$$HPF(z) = G_{inv} \frac{1 + A_1 z^{-1} + B_1 z^{-2}}{1 + C_1 z^{-1} + D_1 z^{-2}} \cdot \frac{1 + A_2 z^{-1} + B_2 z^{-2}}{1 + C_2 z^{-1} + D_2 z^{-2}}$$

**High Frequency Emphasis:**

$$Emp(z) = GG \frac{1 + AA z^{-1} + BB z^{-2}}{1 + CC z^{-1} + DD z^{-2}}$$

**Low Pass Filter:**

$$LPF(z) = GL \frac{1 + AL z^{-1} + BL z^{-2}}{1 + CL z^{-1} + DL z^{-2}}$$

**2.B.2.4 Tables****Table 2.B.1 — Coefficients of the high pass filter**

$G_{inv}$	1.100000000000000
$A_1$	-1.998066423746901
$B_1$	1.000000000000000
$C_1$	-1.962822436245804
$D_1$	0.9684991816600951
$A_2$	-1.999633313803449
$B_2$	0.999999999999999
$C_2$	-1.858097918647416
$D_2$	0.8654599838007603

**Table 2.B.2 — Coefficients of the high frequency emphasis filter**

$AA$	0.551543
$BB$	0.152100
$CC$	0.89
$DD$	0.198025
$GG$	1.226

**Table 2.B.3 — Coefficients of the low pass filter**

$AL$	$-2. * 1. * \cos((4.0/4.0) * \pi)$
$BL$	1.
$CL$	$-2. * 0.78 * \cos((3.55/4.0) * \pi)$
$DL$	$0.78 * 0.78$
$GL$	0.768

## **Annex 2.C** (informative)

### **System layer definitions**

#### **2.C.1 Random access point**

Random access point in HVXC bitstream can be set at any frame boundary point.

## Annex 2.D (informative)

### Example of EP tool setting and error concealment for HVXC

#### 2.D.1 Overview

This section describes one example of the implementation of EP (Error Protection) tool and error concealment method for HVXC. Some of perceptually important bits are protected by FEC (forward error correction) scheme and some are checked by CRC to judge whether or not erroneous bits are included. When CRC error occurs, error concealment is executed to reduce perceptible degradation.

It should be noted that error correction method and EP tool setting, error concealment algorithm described below are one example, and they should be modified depending on the actual channel conditions.

#### 2.D.2 EP tool setting

##### 2.D.2.1 Out-band Information Example for HVXC

- 2 kbit/s fixed rate

ESC(Error Sensitivity Category) instances of two adjacent frames are applied for EP classes directly:

Class 1: 22 bit (fixed), 2frame concatenated, SRCPC code rate 8/16, 6 bit CRC

Class 2: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC

Class 3: 4 bit (fixed), SRCPC code rate 8/8, 1 bit CRC

Class 4: 20 bit (fixed), 2frame concatenated, SRCPC code rate 8/8, no CRC

1	<i>/* number of predefined sets */</i>
2	<i>/* bit interleaving */</i>
0	<i>/* bitstuffing */</i>
2	<i>/* 2 frame concatenate */</i>
4	<i>/* number of classes */</i>
0 0 0 1 0 0 2 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
22	<i>/* bits used for class length (0 = until the end) */</i>
8	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
6	<i>/* crc length */</i>
0 0 0 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
4	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
1	<i>/* crc length */</i>
0 0 0 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
4	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
1	<i>/* crc length */</i>
0 0 0 1 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>

10	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
0	<i>/* crc length */</i>

- 4 kbit/s fixed rate

ESC(Error Sensitivity Category) instances of two adjacent frames are applied for EP classes directly:

Class 1: 33 bit (fixed), 2frame concatenated, SRCPC code rate 8/16, 6bit CRC

Class 2: 22 bit (fixed), 2frame concatenated, SRCPC code rate 8/8, 6bit CRC

Class 3: 4 bit (fixed), SRCPC code rate 8/8, 1bit CRC

Class 4: 4 bit (fixed), SRCPC code rate 8/8, 1bit CRC

Class 5: 17 bit (fixed), 2frame concatenated, SRCPC code rate 8/8, no CRC

1	<i>/* number of predefined sets */</i>
2	<i>/* 1 bit interleaving */</i>
0	<i>/* bitstuffing */</i>
2	<i>/* 2 frame concatenate */</i>
5	<i>/* number of classes */</i>
0 0 0 1 0 0 2 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
33	<i>/* bits used for class length (0 = until the end) */</i>
8	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
6	<i>/* crc length */</i>
0 0 0 1 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
22	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
6	<i>/* crc length */</i>
0 0 0 0 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
4	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
1	<i>/* crc length */</i>
0 0 0 0 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
4	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
0	<i>/* crc length */</i>
0 0 0 1 0 0 3 0	<i>/* length_esc, srcpc_esc, crc_esc, concatenate, FEC type, No termination, interleave SW, class option */</i>
17	<i>/* bits used for class length (0 = until the end) */</i>
0	<i>/* puncture rate for srcpc 0 = 8/8 ... 24 = 32/8 */</i>
0	<i>/* crc length */</i>

The Table 2.D.1 below shows a channel coded bit assignment for the use of the above EP tool settings.

Table 2.D.1 — The channel coded bit assignment for the use of the EP tool

	2 kbit/s fixed rate	4 kbit/s fixed rate
<b>Class I</b>		
Source coder bits	44 <sup>(*)</sup>	66 <sup>(*)</sup>
CRC parity	6	6
Code Rate	8/16	8/16
Class I total	100	144
<b>Class II</b>		
Source coder bits	4	44 <sup>(*)</sup>
CRC parity	1	6
Code Rate	8/8	8/8
Class II total	5	50
<b>Class III</b>		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class III total	5	5
<b>Class IV</b>		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class IV total	5	5
<b>Class V</b>		
Source coder bits	4	4
CRC parity	1	1
Code Rate	8/8	8/8
Class V total	5	5
<b>Class VI</b>		
Source coder bits	20 <sup>(*)</sup>	4
CRC parity	0	1
Code Rate	8/8	8/8
Class VI total	20	5
<b>Class VII</b>		
Source coder bits		34 <sup>(*)</sup>
CRC parity		0
Code Rate		8/8
Class VII total		34
Total Bit of All Classes	140	248
Bitrate	3.5 kbit/s	6.2 kbit/s

(\*) 2 frame concatenated.

Class I:

CRC covers all the Class I bits, and Class I bits including CRC are protected by convolutional coding.

Class II-V(2 kbit/s), II-VI(4 kbit/s):

At least one CRC bits cover the source coder bits of these classes.

Class VI(2 kbit/s), VII(4 kbit/s) :

The source coder bits are not checked by CRC nor protected by any error correction scheme

### 2.D.3 Error concealment

When CRC error is detected, error concealment processing (bad frame masking) is carried out. An example of concealment method is described below.

A frame masking state of the current frame is updated based on the decoded CRC result of Class I. The state transition diagram is shown in Figure 2.D.1. The initial state is state = 0. The arrow with a letter “1” denotes the transition for a bad frame, and that with a letter “0” a good frame.

#### 2.D.3.1 Parameter replacement

According to the state value, the following parameter replacement is done. In error free condition, state value becomes 0, and received source coder bits are used without any concealment processing.

##### 2.D.3.1.1 LSP parameters

At state = 1..6, LSP parameters are replaced with those of previous ones.

When state = 7, If LSP4 = 0 (LSP quantization mode without inter-frame prediction), then LSP parameters are calculated from all LSP indices received in the current frame. If LSP4 = 1 (LSP quantization mode with inter-frame coding), then LSP parameters are calculated with the following method.

In this mode, LSP parameters from LSP1 index are interpolated with the previous LSPs.

$$LSP_{base}(n) = p \cdot LSP_{prev}(n) + (1 - p)LSP_{1st}(n) \quad \text{for } n = 1..10 \quad (1)$$

$LSP_{base}(n)$  is LSP parameters of the base layer,  $LSP_{prev}(n)$  is the previous LSPs,  $LSP_{1st}(n)$  is the decoded LSPs from the current LSP1 index, and  $p$  is the factor of interpolation.  $p$  is changed according to the number of previous CRC error frames of Class I bits as shown in Table 2.D.2. LSP indices LSP2, LSP3 and LSP5 are not used and  $LSP_{base}(n)$  is used as current LSP parameters.

**Table 2.D.2 — p factor**

Frame	p
0	0.7
1	0.6
2	0.5
3	0.4
4	0.3
5	0.2
6	0.1
≥7	0.0

### 2.D.3.1.2 Mute variable

According to the “state” value, a variable “mute” is set to control output level of speech.

The “mute” value below is used.

In state = 7, the average of 1.0 and “mute” value of the previous frame(= 0.5 ( 1.0 + previous “mute value” )) is used, but when this value is more than 0.8, “mute” value is replaced with 0.8.

**Table 2.D.3 — mute variable**

State	mute
0	1.000
1	0.800
2	0.700
3	0.500
4	0.250
5	0.125
6	0.000
7	Average/0.800

### 2.D.3.1.3 Replacement and gain control of “voiced” parameters

In state = 1..6, spectrum parameter SE\_shape1, SE\_shape2, spectrum gain parameter SE\_gain, spectrum parameter for 4 kbit/s codec SE\_shape3 .. SE\_shape6 are replaced with corresponding parameters of the previous frame. Also, to control volume of output speech, harmonic magnitude parameters of LPC residual signal “ $Am[0\dots127]$ ” is gain controlled as shown in Eq.(1). In the equation,  $Am_{(org)}[i]$  is computed from the received spectrum parameters from the latest error free frame.

$$Am[i] = mute * Am_{(org)}[i] \quad \text{for } i=0..127 \quad (1)$$

If previous frame is unvoiced and current state is state=7, Eq.(1) is replaced with Eq.(2).

$$Am[i] = 0.6 * mute * Am_{(org)}[i] \quad \text{for } i=0..127 \quad (2)$$

As described before, SE\_shape1 and SE\_shape2 are individually protected by 1 bit CRC. In state = 0 or 7, when CRC errors of these classes are detected at the same time, the quantized harmonic magnitudes with fixed dimension  $Am_{qnt}[1..44]$  are gain suppressed as shown in Eq.(3).

$$Am_{qnt}[i] = s[i] * Am_{qnt(org)}[i] \quad \text{for } i=1..44 \quad (3)$$

$s[i]$  is the factor for the gain suppression.

**Table 2.D.4 — factor for gain suppression ‘s[0..44]’**

$i$	1	2	3	4	5	6	7..44
$s[i]$	0.10	0.25	0.40	0.55	0.70	0.85	1.00

At 4 kbit/s, SE\_shape4, SE\_shape5, and SE\_shape6 are checked by CRC as Class II bits. When CRC error is detected, the spectrum parameter of the enhancement layer is not used.

**2.D.3.1.4 Replacement and gain control of “unvoiced” parameters.**

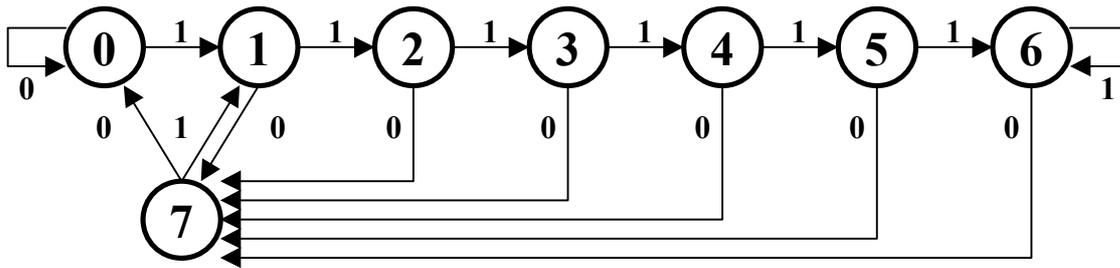
In state = 1..6, stochastic codebook gain parameter  $VX\_gain1[0]$ ,  $VX\_gain1[1]$  are replaced with the  $VX\_gain1[1]$  from the latest error free frame. Also stochastic codebook gain parameter for 4 kbit/s codec  $VX\_gain2[0]..VX\_gain2[3]$  are replaced with the  $VX\_gain2[3]$  from the latest error free frame.

Stochastic codebook shape parameter  $VX\_shape1[0]$ ,  $VX\_shape1[1]$ , and stochastic codebook shape parameter for 4 kbit/s codec are generated from randomly generated index values.

Also, to control volume of output speech, LPC residual signal  $res[0..159]$  is gain controlled as shown in Eq.(4). In the equation,  $res_{(org)}[i]$  is computed from stochastic codebook parameters.

$$res[i] = mute * res_{(org)}[i] \quad (0 \leq i \leq 159) \quad (4)$$

**2.D.3.1.5 Frame Masking State Transitions**



**Figure 2.D.1 — Frame Masking State Transitions**

## Annex 2.E (normative)

### VQ codebooks for HVXC

#### 2.E.1 List of the VQ codebooks

In this Annex, VQ codebook tables listed in the Table 2.E.1 are given. All the codeword values in the tables in this Annex must be divided by the values indicated as a factor for each of the tables before use.

Table 2.E.1 — List of the VQ codebooks

harmonic VQ table - 2k	CbAm
harmonic VQ table - 4k	CbAm4k
stochastic codebook table - 2k	CbCelp
stochastic codebook table - 4k	CbCelp4k
LSP quantizer table - 2k	CbLsp
LSP quantizer table - 4k	CbLsp4k

#### 2.E.2 CbAm

VQ codebook for harmonic spectral vector for 2 kbps

Table 2.E.2 — Spectral gain codebook (base layer):  $g_0[]$   
dim= 1 x 32 codewords  
16 bits signed  
factor =  $2^2$

index	Codeword	index	codeword
0	57	16	26628
1	98	17	22212
2	206	18	17853
3	152	19	20138
4	625	20	12642
5	480	21	13691
6	278	22	16103
7	364	23	14774
8	4544	24	5362
9	3787	25	6172
10	2460	26	8005
11	3077	27	7125
12	826	28	11519
13	1110	29	10688
14	1940	30	8954
15	1482	31	9856

**Table 2.E.3 — Spectral shape (base layer): cb0[16][44]  
dim = 44 \* 16 codevectors  
16 bits signed  
factor = 2<sup>17</sup>**

index (SE_shape1)	codeword			
0	7710	12303	6176	1720
	5594	7400	2762	185
	2501	4264	3853	2958
	4090	6822	6583	4672
	3971	4733	3289	2402
	3414	4836	5483	5375
	5788	6150	6913	5797
	4675	4611	5268	3911
	2219	1691	2596	3258
	4269	5670	7460	8297
	6711	3040	2429	938
	1	6406	10409	8027
9265		7299	2691	-89
547		2846	4081	3718
2848		4351	5958	8480
9650		8783	5877	4566
5500		7227	8808	8891
7361		6209	5672	5526
5247		5120	5262	3651
1414		881	2038	3277
3965		4049	4623	5491
5508		6985	12000	11198
2		3235	5535	5477
	6480	6626	5549	3676
	2540	3077	4530	4482
	3887	6011	6418	6445
	6392	7353	6602	4748
	4929	6353	6300	4968
	4259	4319	4881	6797
	11052	13332	15290	16039
	13107	9461	5263	2697
	2047	2080	3535	4610
	3164	-679	-831	700
	3	4236	9106	11295
10359		9003	6981	4421
2691		2005	2262	2279
2289		4095	4052	3312
3592		3944	2875	1864
2101		3171	3253	3162
2987		3429	3525	3078
2896		2494	1813	920
292		715	2401	3234
4049		4442	4998	5256
3711		-395	-154	1377
		13954	8825	-2191
	2890	2461	2722	2351
	2592	3760	4872	3592
	1614	3113	3932	5058

4	5208	4689	2860	1190
	1548	3179	4830	4917
	5052	5547	5793	5944
	6135	5499	3854	2075
	473	1034	2835	3291
	4368	5192	6498	6736
	4248	536	-645	-861
5	2225	5420	8507	10359
	9443	4811	-1364	-4304
	-2831	434	2964	3312
	2106	2885	2677	2142
	2840	4028	3783	2347
	2311	2970	3318	2793
	1998	2021	2407	2170
	1991	2124	2534	2117
	1596	1733	1779	1550
	1795	1555	2944	4183
2887	-736	-1242	-152	
6	1326	4724	9967	15539
	16304	10623	3163	-788
	1521	5819	8273	6952
	4371	4060	3718	3298
	4107	5364	4891	3022
	3069	4169	4688	3447
	2611	3101	3915	4441
	4701	4975	3489	1533
	-17	219	1874	3136
	4116	3947	4988	5386
3392	-1057	-2291	-1434	
7	5676	11275	11435	8100
	4416	1903	429	-130
	1590	4552	7473	7119
	4485	3794	2766	2043
	2936	3365	2673	1994
	2694	4167	4494	4121
	3967	4379	4656	4373
	3685	2961	2096	1185
	552	1424	3723	5183
	5873	5071	5288	5628
4194	397	-662	25	
8	8006	14433	13555	7820
	5616	9749	15468	13912
	8212	4869	5584	7375
	9117	12166	11620	9748
	9115	9020	8153	7046
	7170	8236	8790	8369
	8279	8558	9550	9980
	9885	8427	7520	5986
	5138	5211	7231	9033
	10498	11176	12207	12670
10706	7004	6441	4155	
9	5036	10205	11528	9840
	9263	8782	7973	7590
	7427	7360	7549	6667
	6528	9201	10278	10508
	11114	10054	7920	6415
	6869	8687	10127	11499

	13521	16759	17481	14237
	9991	7277	5499	4023
	3069	3202	4544	5645
	7247	9846	14884	22076
	23893	17146	9262	2938
10	2928	6339	7501	7908
	8465	8757	7683	6864
	6934	7277	8001	6882
	4939	6921	8146	8670
	9110	9483	8002	6317
	6217	6919	6816	6580
	6868	7313	7860	7728
	7708	7120	4417	2995
	5624	13956	22866	26244
	25377	20595	14318	8088
	3734	-843	-1401	-767
11	2324	4896	6745	9373
	13292	15734	15021	11516
	8153	6101	5412	4278
	3763	6757	9367	11234
	12719	13716	12301	10678
	10558	11499	11287	9989
	8202	7439	7656	8212
	8505	8095	7800	6342
	5458	5804	7203	8282
	8899	9103	10506	11557
	10899	8015	7049	5679
12	5291	9095	6217	2989
	3371	6555	9455	10807
	10012	8879	8801	7892
	6204	8161	9912	10641
	9898	9877	8923	8066
	7580	7453	7666	7519
	7339	8115	8627	8928
	8720	7023	5735	4203
	3283	3492	4904	5389
	4974	4628	5865	7185
	9450	14948	24101	24286
13	7485	7194	760	6246
	12284	4764	-576	2634
	5063	4651	3470	2519
	2409	4105	3939	3596
	4844	5418	4188	3338
	3544	4956	5411	5607
	5167	5010	5619	5694
	4935	4568	3990	2538
	744	412	2351	3498
	5146	6572	8301	8349
	6779	2113	665	1038
14	3861	7854	10553	12074
	13784	13715	11321	7859
	6536	8311	12485	16123
	17146	16537	12534	9378
	8763	9137	8451	7949
	8435	9215	9124	8284
	7246	6931	7589	8877
	10426	10428	10085	8625

	7294	7266	8688	9873
	10573	10885	12186	13044
	11178	6062	4333	3668
15	5319	10458	11442	9126
	6605	4864	4486	5813
	8369	9902	9479	7280
	5596	7771	9447	10339
	12120	13645	13169	11839
	11237	10432	8377	6807
	5851	5981	6977	8020
	10188	11005	9799	7195
	4792	4291	5736	6737
	6776	6560	7849	9576
	8904	5430	4240	2797

**Table 2.E.4 — Spectral shape (base layer): cb1[16][44]  
dim=44 \* 16 codevectors  
16 bits signed  
factor = 2<sup>17</sup>**

index (SE_shape2)	Codeword			
0	5073	9567	9861	7804
	9063	9510	6902	4357
	3660	4111	4709	6181
	7125	6090	8049	9594
	7528	5771	6747	7965
	7779	6443	5107	5658
	6264	6812	7159	7779
	9055	8740	7823	8271
	9104	9062	7726	6345
	5475	5241	4112	4086
	6450	10299	10485	7481
1	7272	13230	10938	6723
	11197	18250	15132	9622
	9201	10191	10757	10474
	10315	8562	8277	9264
	9009	8390	8987	9757
	9670	9058	9393	10433
	11379	10843	9726	9623
	9375	9673	9576	10383
	11793	12855	14061	14168
	13653	14983	15013	13399
	12238	13728	11364	6705
2	2959	7446	13747	18610
	18985	17157	15474	13007
	9416	6379	4671	5822
	9248	10374	11050	10787
	9149	8527	9056	9764
	8961	7911	8203	9124
	8923	8179	7701	7757
	8100	8968	10546	12239
	13814	13619	11804	9965
	8487	7732	6518	5977
	7981	11564	10534	7579
	881	3834	9534	15687

3	19571	21127	21317	20686
	18354	16020	14101	14310
	15415	13359	12665	12287
	11855	11085	11885	12729
	12010	11130	10545	11096
	12206	12018	11505	11086
	10859	11481	12562	14110
	16114	16406	14734	13185
	12023	11632	10977	11301
	14069	18042	15926	11411
4	7360	14622	15669	9368
	2755	2083	5117	7701
	7120	4817	3060	3642
	4554	3001	2864	3714
	4100	3934	4084	4683
	4396	3489	2996	3929
	5090	5027	4643	4134
	3751	3700	4283	5958
	7244	7072	5787	4815
	4386	3571	1939	1097
2929	6701	7463	7336	
5	5196	10635	12117	10303
	7333	5421	5336	6648
	9173	12792	15868	15774
	11876	5957	4484	5594
	6152	5943	7013	8206
	7946	6912	6904	8086
	9500	9693	9573	9461
	8868	9403	9563	10010
	10884	10854	9298	7607
	7178	7287	6657	5052
6361	10084	8921	5501	
6	3814	7967	12276	15441
	13000	8461	5054	3396
	3144	3838	5477	7326
	8611	6356	5259	4945
	4360	4073	5227	6583
	6294	5237	5170	5842
	6016	5587	5788	5732
	5040	4746	5318	6409
	7897	7905	6350	5237
	4578	4646	4151	4475
8546	16193	17112	11921	
7	3614	8494	13158	15420
	14353	11638	9963	11367
	14001	15703	14012	11770
	10243	7114	6543	7277
	7620	7790	9649	11153
	10989	9370	8266	7678
	8114	8703	9417	10506
	10421	10014	9189	10121
	11809	12712	12751	12325
	10815	9566	8154	8222
9942	12697	10582	6937	
	9640	16893	13829	7074
	5462	9678	15386	15346
	10141	7792	9380	12736

8	13929	10195	8097	8979
	9992	9693	10282	11185
	11110	9595	8741	8421
	8601	8993	9737	9894
	10042	10655	11404	12775
	14831	15267	13130	11306
	10232	9384	8076	7109
	8837	12760	11663	6908
9	4431	9140	11976	11846
	10802	10726	11703	12710
	11853	10104	8574	9669
	11691	10610	10325	10593
	9775	9589	11402	14198
	15648	15642	15299	14194
	11693	8709	6792	6841
	7525	9517	12122	16429
	20201	21244	18251	13723
	8741	6187	5201	5710
	8559	12380	10814	7065
10	6417	13791	17968	17891
	13116	9753	9387	10587
	10112	9537	8292	9676
	11265	10567	10373	10581
	10035	9550	10655	11942
	11224	10169	9913	10739
	12516	13678	13764	13060
	11483	10871	11190	10454
	11006	11958	13540	17694
	22764	25085	23894	17793
12022	10893	8946	5785	
11	6643	13495	16708	14546
	9833	8439	12580	19060
	21155	17246	11261	8975
	10488	10761	11801	12682
	12643	11747	12055	12582
	12365	11183	10826	11197
	10922	10288	9831	10217
	10597	11134	11651	13620
	15546	15759	14419	13073
	11822	11093	10186	10018
11946	15324	13076	8063	
12	9320	13367	7272	3508
	4787	6638	6347	4309
	3669	4306	5158	5702
	6564	4750	4151	4588
	4773	4885	6161	6830
	6057	4794	4497	5135
	5719	5897	5270	4610
	4953	6288	6932	7984
	8446	7828	6074	5357
	4867	4268	2723	3372
	7136	12675	11294	5078
	9271	12500	5475	5105
13647	13655	7248	5595	
5206	5703	8553	11643	
10651	7301	6630	8221	
7870	7294	8693	9878	

13	9740	8182	8139	7921
	8812	9644	10152	10275
	10590	10528	9952	9091
	9880	10394	8606	7573
	6580	5574	3725	2508
	5452	13958	21519	22685
14	7366	14917	18246	15291
	9380	6686	7609	8991
	8434	6401	4936	7007
	11702	13856	13723	12350
	10270	8661	9110	10490
	10160	8741	8462	8722
	8443	8320	8454	8981
	9163	10173	10973	11715
	12739	12526	10981	9192
	7477	6617	5552	5244
7887	14437	15124	10911	
15	3647	8297	13029	15393
	13816	10428	7568	6298
	5446	5598	6989	10927
	14126	13634	13227	13814
	13809	13528	12929	11754
	9866	8138	8304	9576
	11156	12009	11476	10823
	10434	10355	11424	13811
	16041	16689	15293	12292
	8551	5943	4131	4170
7446	13011	12150	8225	

### 2.E.3 CbAm4k

VQ codebook for harmonic spectral vector quantization for 4kbps.

**Table 2.E.5 — Spectral shape (enhancement layer): cb4k[0][128][2]**  
 dim = 2x128 codewords  
 16 bits signed  
 factor = 2<sup>6</sup>

index (SE_shape3)	codeword	
0	-5773	858
1	-1768	1201
2	644	1969
3	444	46
4	-2723	3150
5	-121	172
6	-4459	6812
7	-1193	2298
8	-11663	2137
9	-2386	-178
10	-3323	1768
11	-195	-15
12	-2449	567
13	45	-11

14	-7228	3785
15	-2010	1917
16	-1169	3581
17	417	284
18	-684	8757
19	-439	2544
20	-2127	14132
21	501	4365
22	-3449	25883
23	-3584	9653
24	-3401	917
25	-172	-463
26	-848	6067
27	380	1285
28	-4159	3823
29	-1099	810
30	-4328	16051
31	-2563	4871
32	970	382
33	388	-200
34	7754	1041
35	2254	1818
36	698	598
37	745	-435
38	1684	2655
39	842	137
40	-4839	2005
41	-50	627
42	1287	-141
43	701	-127
44	-169	1056
45	242	-411
46	-834	1453
47	18	93
48	4585	2897
49	1556	348
50	9446	6193
51	3441	976
52	2890	4293
53	1702	898
54	5292	11266
55	274	3043
56	305	827
57	528	-791
58	4109	29
59	1634	-459
60	-210	1695
61	-83	-244
62	2193	7152
63	1071	1264
64	5773	-858
65	1768	-1201
66	-644	-1969
67	-444	-46
68	2723	-3150
69	121	-172
70	4459	-6812

71	1193	-2298
72	11663	-2137
73	2386	178
74	3323	-1768
75	195	15
76	2449	-567
77	-45	11
78	7228	-3785
79	2010	-1917
80	1169	-3581
81	-417	-284
82	684	-8757
83	439	-2544
84	2127	-14132
85	-501	-4365
86	3449	-25883
87	3584	-9653
88	3401	-917
89	172	463
90	848	-6067
91	-380	-1285
92	4159	-3823
93	1099	-810
94	4328	-16051
95	2563	-4871
96	-970	-382
97	-388	200
98	-7754	-1041
99	-2254	-1818
100	-698	-598
101	-745	435
102	-1684	-2655
103	-842	-137
104	4839	-2005
105	50	-627
106	-1287	141
107	-701	127
108	169	-1056
109	-242	411
110	834	-1453
111	-18	-93
112	-4585	-2897
113	-1556	-348
114	-9446	-6193
115	-3441	-976
116	-2890	-4293
117	-1702	-898
118	-5292	-11266
119	-274	-3043
120	-305	-827
121	-528	791
122	-4109	-29
123	-1634	459
124	210	-1695
125	83	244
126	-2193	-7152
127	-1071	-1264

**Table 2.E.6 — Spectral shape (enhancement layer): cb4k[1][1024][4]  
dim = 4x1024 codewords  
16 bits signed  
factor = 2<sup>6</sup>**

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3	-425	627	-5619	3556
4	-7817	7075	-10600	2258
5	-3925	2833	72	1358
6	-11037	7197	-5288	14434
7	-2425	4350	-333	9257
8	-1515	-215	194	-90
9	-1555	2325	-2488	2620
10	-687	657	-3195	650
11	-3784	3980	-12574	-5902
12	-1194	1024	-2115	25
13	4326	4919	7640	-326
14	-793	2014	-1672	6939
15	-653	754	-2286	-318
16	-5673	1116	-8246	2246
17	-11688	8435	-16555	-2551
18	1247	1855	-4749	1838
19	1005	7635	-17838	475
20	-1301	1082	-2757	4124
21	-9574	1676	-8532	952
22	-2056	2257	-7359	4384
23	-2787	1039	-5899	11
24	-1070	4111	-1342	-1460
25	-1116	12475	-9995	-650
26	-1723	6725	-6020	-5427
27	1257	12863	-20202	-9518
28	-730	-2201	2676	-568
29	-426	2249	-2616	2826
30	-236	197	-921	-2407
31	-347	3829	-8218	-8722
32	-13058	10488	-8453	9753
33	-5056	2191	-4350	905
34	-1778	15731	-4754	10386
35	-2236	5103	-2860	3991
36	-7333	9303	-15598	16357
37	-6027	13542	-2855	7737
38	-61	12148	-12735	23167
39	1448	14926	-6518	13767
40	-8335	2500	1145	3713
41	-1633	-1142	-251	-2105
42	-3704	2818	-4206	5422
43	681	1046	-4699	-4321
44	-5111	8969	-10188	2045
45	-3247	1963	-1582	2605
46	-2955	7990	-14298	5719
47	-1580	4537	-825	6925
48	-4958	5233	-573	2004
49	-4890	14378	-10816	-4353

50	-2741	2600	-3685	4183
51	-5465	1216	-8887	-3905
52	-6944	5380	-5414	7765
53	-3224	4618	-1955	1816
54	335	10495	-15036	6311
55	-90	4485	-1280	3781
56	290	-398	727	-2585
57	1072	3908	-3961	-8898
58	-1920	1344	-7820	-2107
59	4502	8827	-17295	-6552
60	-967	1650	1808	-4294
61	-480	493	-750	-1257
62	971	368	-5922	5544
63	-462	2029	-8023	-2102
64	-782	470	269	853
65	-661	803	-223	123
66	-2119	266	635	4444
67	-630	-1052	-315	942
68	-3596	473	-2921	1915
69	-277	-356	759	478
70	-2282	2421	-5053	10636
71	-1276	818	-1150	2733
72	355	-532	1715	-1003
73	-53	-1318	1661	582
74	632	-2107	-217	-1528
75	211	-551	-2387	-1385
76	-616	-292	501	527
77	-2509	364	5987	2601
78	391	166	-236	-3245
79	438	-1049	1580	-160
80	-5314	325	1110	295
81	-4272	653	-7224	3198
82	-1	-133	-365	268
83	-1713	1072	-5118	-100
84	-198	-287	48	211
85	-2646	-1197	-1365	624
86	-673	1509	-1153	1719
87	-236	-1532	-859	319
88	2237	58	5065	-7544
89	-1467	312	-3129	-1035
90	3474	-1701	-1247	-1553
91	7069	813	-11959	-7397
92	-61	-242	58	-2288
93	-588	131	1017	1208
94	3938	4608	-446	-10269
95	873	54	-2588	-3892
96	-7524	2222	-2307	5325
97	-912	628	-939	696
98	3404	1697	-1102	10570
99	-1136	-638	-1377	3107
100	1671	2529	-3694	9330
101	-697	3208	1806	5118
102	3035	3843	-15529	23374
103	-3701	2884	-9284	8114
104	-1406	-309	-1186	730
105	62	-62	8	32
106	1637	-1305	-1739	2757

107	274	18	-1460	41
108	-2344	265	-1672	629
109	-1109	3991	1669	2016
110	410	923	-10336	6935
111	-403	148	-1632	3694
112	-1298	-807	252	1014
113	325	3999	-3187	-3229
114	1073	1368	-2383	4904
115	-1227	255	-1438	-666
116	-670	14	-2684	3137
117	-287	103	63	135
118	255	3490	-11611	9597
119	522	36	-2783	4220
120	1835	-2453	1409	-1043
121	218	-1024	-813	-2278
122	-54	186	-408	-884
123	3969	4248	-5097	-4668
124	26	-991	1669	-862
125	-19	-137	245	-54
126	1085	6265	-376	1538
127	-108	-130	-1726	-1060
128	-1121	635	-236	988
129	-1737	1161	771	1046
130	-1419	1019	-760	1417
131	-356	144	-410	270
132	-4517	438	-722	1664
133	-763	3156	5529	9680
134	-2411	1731	1934	8028
135	1668	-1040	1629	2868
136	-6824	4650	-315	-2845
137	-810	3890	5416	3951
138	-1088	-249	-388	-310
139	-1714	-705	-2398	-520
140	1243	8345	6597	302
141	595	12918	13592	9238
142	-15	-744	809	-3756
143	2603	2817	8832	702
144	-3589	2930	274	-1360
145	-11450	7157	-1170	-474
146	-486	138	-202	-726
147	-198	3273	-5179	2160
148	-649	-2464	410	1835
149	-3013	-221	-1093	2541
150	360	458	-597	-1915
151	-129	75	-75	152
152	-5599	10181	-1527	-13002
153	-5539	2837	-1770	-1665
154	-2093	1851	-4449	-5297
155	-34	7568	-8611	-3506
156	-958	2360	-1516	-4489
157	1209	2368	7398	1540
158	6201	5468	-1745	-7751
159	1834	204	-3621	-1843
160	-4192	2003	-1263	9486
161	48	101	-1465	1441
162	-1987	5262	1146	4680
163	339	445	-67	1228

164	-9438	9847	-3534	4707
165	-1770	2859	992	3176
166	6020	10262	-774	10647
167	-12	1028	-1248	10011
168	-2051	-420	-50	956
169	652	-684	1852	1829
170	-93	186	-430	1151
171	94	-261	-2394	2313
172	-2006	504	-1688	1855
173	213	1148	4752	7458
174	-814	230	-4472	6898
175	-165	95	-3559	2178
176	-1963	-2056	207	2212
177	-2769	5330	-1410	-1443
178	25	331	158	1048
179	-827	-650	-2209	622
180	-5239	33	-1597	9961
181	-972	-1168	-365	2043
182	-1400	1650	-4458	4091
183	1630	305	-3728	3573
184	-5091	1693	2809	-2600
185	-258	-485	-1732	264
186	580	-2735	-2014	2558
187	125	135	-11841	2969
188	-1858	-1064	-981	1674
189	-2773	74	-4578	1621
190	1280	3555	-3417	-2632
191	-2153	3308	-15960	2431
192	-4241	958	416	-865
193	-3128	-2675	-610	-858
194	1026	-2422	-211	-571
195	-228	-3161	1008	-2019
196	-20	-63	-54	-41
197	-986	-1518	1451	2295
198	9	1388	-29	-3287
199	-456	-443	-111	600
200	-2355	38	8709	-6915
201	-1567	-840	1919	-117
202	3597	48	-3335	-6013
203	1694	-2322	315	-1579
204	-180	1768	-1223	-4678
205	-5114	3261	8514	1950
206	6386	179	-1249	-16705
207	1122	813	-965	-5297
208	-10496	6615	-2131	-9755
209	-7880	1186	-407	393
210	-1599	20	-3765	-2851
211	-842	-123	476	-700
212	-900	493	-116	-3041
213	-1045	-407	-332	589
214	-747	5161	-3022	-10826
215	4573	1692	339	-870
216	-3881	3559	5075	-19570
217	-2652	1226	401	-4968
218	7038	1565	-1483	-12077
219	899	-918	-616	-2329
220	7936	3162	3507	-6532

221	3970	187	3887	1722
222	12721	12975	-942	-19702
223	12004	5659	2488	-4690
224	-352	266	-911	1398
225	-1089	-2159	-699	-243
226	2629	-586	-1150	2141
227	-129	-893	397	804
228	-2755	289	-1942	3435
229	-287	213	683	701
230	3615	4600	-6882	6219
231	-1395	23	-990	6559
232	-2218	-2573	606	-2765
233	-677	-3951	1530	86
234	130	-3203	-1032	-1364
235	-276	-3743	-919	457
236	-469	127	428	-45
237	-1603	387	1020	80
238	1750	1781	-1595	-3811
239	157	-203	-318	687
240	-2340	127	-2231	-2730
241	-526	67	38	658
242	2557	-1795	986	-113
243	680	-1638	-353	-266
244	-1100	13	-1727	1545
245	839	-2868	-84	593
246	-9	5786	-565	2024
247	-148	1	-83	655
248	-1465	226	-319	-5095
249	-1974	-2118	-1354	-1007
250	3150	2292	14	-2752
251	780	-2824	-2501	-139
252	958	5024	1323	252
253	11	-25	7	354
254	9236	13635	-293	-4887
255	1463	3887	-2164	1698
256	-708	3836	-6314	-3506
257	-1970	17471	-12464	-351
258	-869	208	-168	1846
259	-1071	5458	-2417	569
260	-1464	1135	-2463	1733
261	-1187	3095	-5791	1952
262	-4159	3332	-734	3910
263	2275	10821	395	4807
264	86	-624	-1147	-246
265	-1117	4502	-4199	-2764
266	166	52	-118	173
267	449	3424	-3234	696
268	-311	-1708	2741	-2083
269	-2785	4153	3701	2760
270	-1135	302	550	-1588
271	-1184	971	9	4240
272	-808	68	-1424	26
273	-5136	6187	-3183	-3564
274	-7	-188	-960	324
275	748	1252	-7581	1604
276	-14	-912	2205	-1789
277	-1405	751	-1377	554

278	-648	-514	-1042	836
279	143	953	-515	1550
280	-883	-725	612	-3834
281	-662	2368	-2651	1351
282	1726	4225	973	-1570
283	4675	12839	-9323	-2710
284	-3621	174	12424	-5116
285	-1433	-1693	1521	-2069
286	-267	1064	4460	-2164
287	-1065	3217	-2198	1709
288	-6483	74	-2695	5213
289	2023	3583	-5542	-1418
290	779	3571	-587	5003
291	8624	5214	-562	3138
292	-110	2848	-5952	6395
293	2292	3251	-2925	2462
294	1034	10647	-9901	8040
295	14588	11498	-1318	8601
296	413	2206	934	-3276
297	140	1706	-22	1385
298	206	1621	-209	604
299	5955	10295	72	-2099
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301	-1370	1144	2203	378
302	-2795	669	-3004	3822
303	7437	1868	-4126	905
304	-400	26	554	-3070
305	-3637	2283	-3713	-5056
306	-433	901	222	-712
307	-699	8416	770	-46
308	1113	2821	2692	-2759
309	-294	697	400	98
310	1524	1989	-3552	4000
311	5160	4928	2172	1541
312	-1064	1709	2875	-15351
313	-760	6388	-492	-3470
314	-2026	4468	-1561	-5057
315	11	19162	-6693	-6267
316	3885	2603	9824	-16992
317	985	1742	339	-6632
318	2117	350	1174	-5597
319	2698	11997	-4125	-5799
320	-232	304	-1121	142
321	-3770	4053	-6203	2270
322	-2	-2321	-597	1057
323	-451	681	-671	599
324	609	379	1198	1452
325	-3582	-989	1566	1552
326	531	1194	-1106	1851
327	1116	716	1651	2403
328	-81	-2175	1785	-429
329	-2400	529	1340	1498
330	3614	-1796	546	-359
331	1849	-1169	-37	562
332	-3540	-1102	2407	-391
333	-12764	592	7511	2433
334	-715	6994	3431	-7914

335	-2516	-1617	2003	-1330
336	-654	-705	256	57
337	-179	653	-1087	-743
338	3320	-318	676	253
339	-154	-473	-615	477
340	-735	-944	1342	-358
341	-503	-742	1803	1393
342	310	1132	4767	542
343	134	-4	288	12
344	1170	828	3958	-1735
345	-1877	636	180	724
346	14629	473	2203	-5314
347	4959	3220	-1851	716
348	-3032	2368	6663	-1038
349	-5137	1085	2171	6217
350	4773	7174	12228	-6629
351	1695	2016	4811	-791
352	-700	206	-691	999
353	-931	195	-509	254
354	-210	354	24	5106
355	1461	-303	460	1298
356	1767	322	1276	7437
357	-1073	77	3022	-246
358	-328	3909	-5164	13052
359	4962	1555	1346	4890
360	164	-825	148	-664
361	-712	1534	1882	100
362	1639	-1477	1551	719
363	458	1604	1689	681
364	-492	693	2036	-752
365	-2953	3422	12980	1546
366	-1684	1399	-743	2662
367	170	975	2754	3402
368	1001	-1123	965	625
369	-1298	-706	383	-913
370	3957	834	-44	2801
371	578	807	589	314
372	1491	-2300	2439	275
373	383	-1387	863	-617
374	3110	763	-3207	3776
375	350	345	254	272
376	-1470	-415	1447	-2557
377	-709	488	425	-357
378	6649	123	2816	576
379	668	7132	-705	-1755
380	404	1746	9037	-2016
381	78	-579	4192	809
382	1569	4734	2570	621
383	948	2114	-481	471
384	-230	-404	-911	-496
385	364	6114	-3405	772
386	219	-1386	-590	392
387	132	409	-12	327
388	-415	-1352	527	839
389	1262	1249	1092	4365
390	-316	-137	284	-576
391	1052	813	784	682

392	-1133	708	-59	-802
393	-1122	-1	1803	2188
394	-140	-868	-698	-1287
395	30	-191	-227	-322
396	-440	-1237	2506	481
397	-462	222	11632	6914
398	-682	2262	-3326	-7404
399	693	1250	69	1324
400	-837	-670	562	-1320
401	-3340	-763	15	562
402	20	-429	-138	175
403	-54	303	-643	439
404	692	-1589	1010	-97
405	215	51	496	351
406	-404	-569	3822	-821
407	526	2893	1705	5612
408	-1516	262	1503	-3924
409	-1227	-468	1129	836
410	-813	138	-17	-123
411	-209	750	-4825	-3249
412	-789	-180	1749	-1877
413	-359	1774	1935	3252
414	-94	4214	4910	-1833
415	-3882	7957	-5511	3855
416	-367	-47	-342	1252
417	-134	229	-149	-240
418	250	394	-833	716
419	2121	-202	266	2311
420	-4210	116	-748	4067
421	786	-1697	147	2305
422	5624	1248	-5200	3876
423	5066	352	-768	9894
424	204	-382	-154	-238
425	-184	-879	-95	-465
426	-1776	-1346	-649	-43
427	-539	1756	-4159	-445
428	374	-683	-626	-1193
429	-229	1834	-493	2550
430	-1266	149	-2937	1089
431	3233	9153	-9378	3144
432	1149	-1498	174	44
433	-351	332	-22	-165
434	2122	-117	617	378
435	-1944	2443	-3429	403
436	-1496	19	58	2165
437	3673	231	-1501	5085
438	699	3509	2043	2589
439	3559	11798	-3227	5935
440	-658	-1097	-445	-2784
441	-3450	1745	-2433	-2001
442	-6719	3703	-2081	1694
443	-7042	9134	-13671	-2614
444	364	1059	630	-5065
445	-228	6003	-3945	3588
446	847	4540	-6326	742
447	3283	16010	-17227	1636
448	285	-362	322	-672

449	-473	199	-786	-215
450	-369	229	798	-1100
451	182	-2018	-639	-464
452	-561	111	55	-1666
453	583	-2159	1414	751
454	-4419	3446	3790	-7302
455	39	518	-15	-1495
456	-1016	990	3025	-1759
457	-2307	-200	948	-624
458	-2763	2359	1216	-7404
459	-231	752	577	-1353
460	-752	1261	2326	-10115
461	-1315	740	5628	1252
462	-5387	7958	1691	-19224
463	1006	5692	4524	-8230
464	-2944	1850	2262	-1848
465	-1732	-1352	1341	956
466	-194	1366	3307	-500
467	64	-293	192	-355
468	-456	847	1121	-1999
469	-54	-539	525	31
470	2387	9134	9081	-7328
471	-2028	3516	2003	215
472	-9535	708	11389	-7382
473	-7260	3968	5869	228
474	1707	1846	4683	-4601
475	-953	-262	948	96
476	-234	3920	7662	-8159
477	198	731	1058	-1634
478	812	11394	10228	-14268
479	-1258	3393	4573	-4991
480	75	-1149	63	-73
481	-3042	-3091	903	67
482	2452	-2662	1675	1564
483	1612	-3458	53	-543
484	165	-2659	745	2079
485	285	-1048	448	364
486	-690	394	-1441	2126
487	1270	-1203	20	1981
488	-319	-987	689	-240
489	212	-524	354	73
490	-2474	-606	-106	-1153
491	-502	-80	-106	-13
492	-1307	-3030	240	-1958
493	-179	2199	1957	1373
494	-4028	935	-2361	-9619
495	-78	92	-2357	-258
496	2251	-942	2698	1414
497	183	-2076	890	255
498	12708	266	3516	1450
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1016	1948	-1732	-4074	774
1017	1101	-338	-457	-252
1018	-2653	-1423	-1556	-3652

1019	2851	-2299	4021	-778
1020	977	-702	-1439	1033
1021	-512	-190	1255	-1133
1022	-3095	-2366	-4297	3993
1023	-5603	-6801	3278	-4825

**Table 2.E.7 — Spectral shape (enhancement layer) cb4k[2][512][4]  
dim = 4x512 codewords  
16 bits signed  
factor = 2<sup>5</sup>**

index (SE_shape5)	codeword			
0	-412	-994	-739	-344
1	236	-1661	-696	-684
2	-2190	821	-1694	1341
3	-707	1508	61	1107
4	1198	336	93	370
5	-803	459	-843	146
6	1259	3665	436	2673
7	-5678	2246	-1009	947
8	1069	-145	-185	764
9	-52	234	-2248	1307
10	54	723	-828	2248
11	-5190	2683	-3314	4210
12	1910	1707	-1557	4536
13	-3734	4448	-2791	1786
14	681	2683	-3198	10239}
15	-20207	14438	-4021	16989
16	-821	1524	-2028	2000
17	46	263	-961	-1384
18	-5621	3750	-8129	-305
19	-1551	2159	-2029	226
20	-2125	-1176	765	-4
21	261	979	-764	237
22	-1468	1029	-1065	-1167
23	-823	1334	-409	-876
24	-1237	-1215	-1426	-445
25	7	3	117	-388
26	-1877	90	-1748	-2169
27	-451	1766	-647	201
28	1134	643	-735	-105
29	-2354	1324	-886	-2290
30	-604	2078	1214	2999
31	-4053	7276	-2774	306
32	-386	1110	-1488	91
33	670	24	-1432	173
34	-2221	1788	-9716	1702
35	-1343	2122	-3768	2392
36	40	126	-283	-57
37	865	-807	-576	-312
38	1103	2566	-4258	-64
39	-1057	-155	-1320	1680
40	309	31	1	-682
41	36	-1863	-722	170
42	-480	-113	-2137	-861

43	-906	864	-110	2023
44	967	-467	68	375
45	-274	433	-396	1233
46	1733	1607	1388	4135
47	-4658	3707	-2344	4721
48	-2776	4606	-4730	-867
49	1978	1217	-4881	-3162
50	-4620	1838	-13993	-7419
51	-2658	6355	-8561	700
52	-830	863	1077	-2990
53	472	22	-1019	-893
54	-2349	2474	-4673	-6953
55	496	1994	-2199	-2257
56	770	448	-3023	-3298
57	436	-876	-1691	-1622
58	1138	987	-5905	-7911
59	384	1468	-2813	-324
60	216	86	445	-259
61	-476	-148	-158	70
62	1318	178	-2396	-1602
63	-1541	1857	842	1390
64	1104	2194	-991	-1366
65	183	240	-55	-218
66	1676	612	-410	1323
67	2785	515	599	1015
68	-216	96	-849	461
69	281	375	-951	1161
70	167	2080	-4014	3066
71	-1276	-1228	-1647	620
72	-583	-785	-4	-450
73	-485	564	-641	-434
74	139	-150	59	149
75	-1418	-789	-536	1140
76	-320	625	-349	353
77	-1834	1808	-4797	61
78	-634	246	651	3934
79	-6263	1011	-976	3099
80	2044	2788	649	394
81	-15	399	-1583	-494
82	-2441	726	-1402	-99
83	-86	386	225	223
84	-737	1069	-79	-133
85	-2916	3617	-4112	1740
86	975	250	-599	445
87	-2853	1039	-199	550
88	-66	435	-158	-405
89	-1400	3094	-379	-982
90	-523	386	-40	107
91	-2380	4244	1863	-125
92	-809	2683	-2140	-3902
93	-7338	5424	-3747	-5313
94	-3068	375	-431	1535
95	-8049	4056	-672	-3038
96	-1059	9447	-2592	-163
97	-285	3122	415	-518
98	693	3599	-1614	3600
99	-452	108	-1904	627

100	1553	1954	-1475	1034
101	4315	362	-1755	958
102	4709	3342	-8685	5266
103	2318	294	-6746	2268
104	-832	2786	35	327
105	-327	-286	-359	-353
106	206	159	-367	227
107	-827	-1979	-13	-354
108	1294	-427	-997	-283
109	10	137	-742	-12
110	1515	785	-3089	2309
111	-547	1041	-1296	994
112	2724	7267	3470	-3280
113	4307	87	-3989	-2418
114	-454	516	-4247	-2829
115	-976	2313	-1135	1590
116	1965	3341	3746	-1796
117	119	922	-740	-523
118	316	1407	-2417	1308
119	1171	-1377	-1912	-257
120	1645	2575	816	-1268
121	562	-820	-1226	-605
122	1082	142	-1702	-2577
123	-284	342	279	-209
124	-411	-376	-97	-1217
125	-3046	2378	-627	-523
126	83	-66	-87	77
127	-1852	2106	1081	-487
128	232	762	1513	-252
129	-70	-1473	273	-736
130	1608	305	907	323
131	1307	562	446	1448
132	-1495	1065	7	-118
133	-200	-29	480	458
134	142	-122	409	743
135	-1785	3094	-27	3163
136	116	-210	157	-182
137	-418	-35	76	-299
138	47	863	67	218
139	-3150	2681	1193	896
140	99	429	-454	717
141	-2329	1558	1614	2031
142	81	2328	370	4929
143	-9217	4208	3606	8575
144	-56	1395	605	-1845
145	392	-53	-296	-1474
146	-2063	1886	-327	724
147	-95	359	805	97
148	-6063	1753	2742	-3384
149	-749	1189	1409	-1212
150	-679	137	629	-1369
151	-576	5069	3880	-729
152	-208	-1798	717	-1709
153	-1360	-1858	-194	-1201
154	1837	-312	-568	-944
155	-2331	-180	1320	-315
156	-620	511	-138	-2351

157	-646	533	2408	-1218
158	-1855	-1354	1500	160
159	-6498	2601	4574	-776
160	-989	5956	2366	2583
161	-453	1352	544	-776
162	-127	3113	-2159	286
163	-629	-616	-572	77
164	-241	2165	2393	-1884
165	-253	-111	63	-654
166	717	-28	-498	-27
167	-516	228	349	377
168	972	1692	428	2383
169	12	10	-40	-38
170	974	357	-392	-640
171	-1975	-1191	538	1088
172	47	436	304	-584
173	-202	575	229	716
174	107	424	1091	632
175	-4219	2280	3206	3278
176	5301	3994	-60	-2715
177	1568	1015	-309	-6296
178	-3493	1401	-3514	-2795
179	-737	1947	-1687	-1067
180	-5407	4499	3109	-8521
181	-1102	3285	263	-2446
182	-2427	596	964	-1298
183	-345	862	375	-97
184	519	602	792	-885
185	1069	-256	517	-1184
186	1374	1187	-3730	-4497
187	40	-1048	-720	-1240
188	-1030	1415	4368	-2676
189	728	-62	337	-690
190	556	796	-230	-1395
191	-1759	1011	2868	1114
192	1371	5701	528	6
193	3030	1144	869	-29
194	3378	3010	2763	1943
195	4054	1751	3238	3947
196	215	1657	-1001	-282
197	124	47	84	-74
198	979	972	-557	905
199	2015	641	2513	929
200	-4	867	-54	-255
201	34	172	70	71
202	647	281	712	1043
203	1029	55	872	3129
204	1085	-638	-134	-793
205	483	2163	1615	1069
206	921	207	3274	198
207	-939	1034	6613	4879
208	3647	499	4586	-1013
209	3299	1829	-1729	-1373
210	1472	-314	648	-478
211	3224	865	-236	2468
212	140	1736	2784	-3675
213	-109	674	-1718	-1344

214	-463	-907	-1230	-1868
215	-367	494	708	-753
216	316	778	4421	-1091
217	691	15	587	376
218	3163	648	1817	-1144
219	83	308	367	-6
220	1493	2	1757	-2674
221	-1778	1130	90	-1162
222	-300	264	-286	-108
223	-1769	3654	1894	674
224	6094	13540	1477	2528
225	2028	192	1053	-4005
226	1446	8069	2503	4816
227	-323	2370	2421	1159
228	-347	6855	-1048	-3343
229	636	1544	-162	-597
230	3994	2764	-2044	557
231	510	345	-1363	774
232	211	4953	-1633	1087
233	378	559	-550	-81
234	-1555	4061	-93	1843
235	195	353	-69	82
236	-196	1292	-958	-1485
237	69	9	191	255
238	528	471	-25	-389
239	181	824	3944	1653
240	15684	9882	11257	-3356
241	14361	3408	1203	-12054
242	3316	1761	4754	-364
243	4565	344	-1059	-391
244	4585	7075	7707	-13837
245	1228	128	1479	-5643
246	-249	1882	-2060	-6610
247	1507	420	-230	-2383
248	2804	4819	5060	341
249	3754	2544	251	-940
250	1353	855	41	-5
251	972	36	140	-183
252	-3375	1698	1958	-5789
253	-607	522	82	-988
254	-100	551	42	-1312
255	-245	76	168	71
256	412	994	739	344
257	-236	1661	696	684
258	2190	-821	1694	-1341
259	707	-1508	-61	-1107
260	-1198	-336	-93	-370
261	803	-459	843	-146
262	-1259	-3665	-436	-2673
263	5678	-2246	1009	-947
264	-1069	145	185	-764
265	52	-234	2248	-1307
266	-54	-723	828	-2248
267	5190	-2683	3314	-4210
268	-1910	-1707	1557	-4536
269	3734	-4448	2791	-1786
270	-681	-2683	3198	-10239

271	20207	-14438	4021	-16989
272	821	-1524	2028	-2000
273	-46	-263	961	1384
274	5621	-3750	8129	305
275	1551	-2159	2029	-226
276	2125	1176	-765	4
277	-261	-979	764	-237
278	1468	-1029	1065	1167
279	823	-1334	409	876
280	1237	1215	1426	445
281	-7	-3	-117	388
282	1877	-90	1748	2169
283	451	-1766	647	-201
284	-1134	-643	735	105
285	2354	-1324	886	2290
286	604	-2078	-1214	-2999
287	4053	-7276	2774	-306
288	386	-1110	1488	-91
289	-670	-24	1432	-173
290	2221	-1788	9716	-1702
291	1343	-2122	3768	-2392
292	-40	-126	283	57
293	-865	807	576	312
294	-1103	-2566	4258	64
295	1057	155	1320	-1680
296	-309	-31	-1	682
297	-36	1863	722	-170
298	480	113	2137	861
299	906	-864	110	-2023
300	-967	467	-68	-375
301	274	-433	396	-1233
302	-1733	-1607	-1388	-4135
303	4658	-3707	2344	-4721
304	2776	-4606	4730	867
305	-1978	-1217	4881	3162
306	4620	-1838	13993	7419
307	2658	-6355	8561	-700
308	830	-863	-1077	2990
309	-472	-22	1019	893
310	2349	-2474	4673	6953
311	-496	-1994	2199	2257
312	-770	-448	3023	3298
313	-436	876	1691	1622
314	-1138	-987	5905	7911
315	-384	-1468	2813	324
316	-216	-86	-445	259
317	476	148	158	-70
318	-1318	-178	2396	1602
319	1541	-1857	-842	-1390
320	-1104	-2194	991	1366
321	-183	-240	55	218
322	-1676	-612	410	-1323
323	-2785	-515	-599	-1015
324	216	-96	849	-461
325	-281	-375	951	-1161
326	-167	-2080	4014	-3066
327	1276	1228	1647	-620

328	583	785	4	450
329	485	-564	641	434
330	-139	150	-59	-149
331	1418	789	536	-1140
332	320	-625	349	-353
333	1834	-1808	4797	-61
334	634	-246	-651	-3934
335	6263	-1011	976	-3099
336	-2044	-2788	-649	-394
337	15	-399	1583	494
338	2441	-726	1402	99
339	86	-386	-225	-223
340	737	-1069	79	133
341	2916	-3617	4112	-1740
342	-975	-250	599	-445
343	2853	-1039	199	-550
344	66	-435	158	405
345	1400	-3094	379	982
346	523	-386	40	-107
347	2380	-4244	-1863	125
348	809	-2683	2140	3902
349	7338	-5424	3747	5313
350	3068	-375	431	-1535
351	8049	-4056	672	3038
352	1059	-9447	2592	163
353	285	-3122	-415	518
354	-693	-3599	1614	-3600
355	452	-108	1904	-627
356	-1553	-1954	1475	-1034
357	-4315	-362	1755	-958
358	-4709	-3342	8685	-5266
359	-2318	-294	6746	-2268
360	832	-2786	-35	-327
361	327	286	359	353
362	-206	-159	367	-227
363	827	1979	13	354
364	-1294	427	997	283
365	-10	-137	742	12
366	-1515	-785	3089	-2309
367	547	-1041	1296	-994
368	-2724	-7267	-3470	3280
369	-4307	-87	3989	2418
370	454	-516	4247	2829
371	976	-2313	1135	-1590
372	-1965	-3341	-3746	1796
373	-119	-922	740	523
374	-316	-1407	2417	-1308
375	-1171	1377	1912	257
376	-1645	-2575	-816	1268
377	-562	820	1226	605
378	-1082	-142	1702	2577
379	284	-342	-279	209
380	411	376	97	1217
381	3046	-2378	627	523
382	-83	66	87	-77
383	1852	-2106	-1081	487
384	-232	-762	-1513	252

385	70	1473	-273	736
386	-1608	-305	-907	-323
387	-1307	-562	-446	-1448
388	1495	-1065	-7	118
389	200	29	-480	-458
390	-142	122	-409	-743
391	1785	-3094	27	-3163
392	-116	210	-157	182
393	418	35	-76	299
394	-47	-863	-67	-218
395	3150	-2681	-1193	-896
396	-99	-429	454	-717
397	2329	-1558	-1614	-2031
398	-81	-2328	-370	-4929
399	9217	-4208	-3606	-8575
400	56	-1395	-605	1845
401	-392	53	296	1474
402	2063	-1886	327	-724
403	95	-359	-805	-97
404	6063	-1753	-2742	3384
405	749	-1189	-1409	1212
406	679	-137	-629	1369
407	576	-5069	-3880	729
408	208	1798	-717	1709
409	1360	1858	194	1201
410	-1837	312	568	944
411	2331	180	-1320	315
412	620	-511	138	2351
413	646	-533	-2408	1218
414	1855	1354	-1500	-160
415	6498	-2601	-4574	776
416	989	-5956	-2366	-2583
417	453	-1352	-544	776
418	127	-3113	2159	-286
419	629	616	572	-77
420	241	-2165	-2393	1884
421	253	111	-63	654
422	-717	28	498	27
423	516	-228	-349	-377
424	-972	-1692	-428	-2383
425	-12	-10	40	38
426	-974	-357	392	640
427	1975	1191	-538	-1088
428	-47	-436	-304	584
429	202	-575	-229	-716
430	-107	-424	-1091	-632
431	4219	-2280	-3206	-3278
432	-5301	-3994	60	2715
433	-1568	-1015	309	6296
434	3493	-1401	3514	2795
435	737	-1947	1687	1067
436	5407	-4499	-3109	8521
437	1102	-3285	-263	2446
438	2427	-596	-964	1298
439	345	-862	-375	97
440	-519	-602	-792	885
441	-1069	256	-517	1184

442	-1374	-1187	3730	4497
443	-40	1048	720	1240
444	1030	-1415	-4368	2676
445	-728	62	-337	690
446	-556	-796	230	1395
447	1759	-1011	-2868	-1114
448	-1371	-5701	-528	-6
449	-3030	-1144	-869	29
450	-3378	-3010	-2763	-1943
451	-4054	-1751	-3238	-3947
452	-215	-1657	1001	282
453	-124	-47	-84	74
454	-979	-972	557	-905
455	-2015	-641	-2513	-929
456	4	-867	54	255
457	-34	-172	-70	-71
458	-647	-281	-712	-1043
459	-1029	-55	-872	-3129
460	-1085	638	134	793
461	-483	-2163	-1615	-1069
462	-921	-207	-3274	-198
463	939	-1034	-6613	-4879
464	-3647	-499	-4586	1013
465	-3299	-1829	1729	1373
466	-1472	314	-648	478
467	-3224	-865	236	-2468
468	-140	-1736	-2784	3675
469	109	-674	1718	1344
470	463	907	1230	1868
471	367	-494	-708	753
472	-316	-778	-4421	1091
473	-691	-15	-587	-376
474	-3163	-648	-1817	1144
475	-83	-308	-367	6
476	-1493	-2	-1757	2674
477	1778	-1130	-90	1162
478	300	-264	286	108
479	1769	-3654	-1894	-674
480	-6094	-13540	-1477	-2528
481	-2028	-192	-1053	4005
482	-1446	-8069	-2503	-4816
483	323	-2370	-2421	-1159
484	347	-6855	1048	3343
485	-636	-1544	162	597
486	-3994	-2764	2044	-557
487	-510	-345	1363	-774
488	-211	-4953	1633	-1087
489	-378	-559	550	81
490	1555	-4061	93	-1843
491	-195	-353	69	-82
492	196	-1292	958	1485
493	-69	-9	-191	-255
494	-528	-471	25	389
495	-181	-824	-3944	-1653
496	-15684	-9882	-11257	3356
497	-14361	-3408	-1203	12054
498	-3316	-1761	-4754	364

499	-4565	-344	1059	391
500	-4585	-7075	-7707	13837
501	-1228	-128	-1479	5643
502	249	-1882	2060	6610
503	-1507	-420	230	2383
504	-2804	-4819	-5060	-341
505	-3754	-2544	-251	940
506	-1353	-855	-41	5
507	-972	-36	-140	183
508	3375	-1698	-1958	5789
509	607	-522	-82	988
510	100	-551	-42	1312
511	245	-76	-168	-71

**Table 2.E.8 — Spectral shape (enhancement layer): cb4k[3][64][4]  
dim = 4x64 codewords  
16 bits signed  
factor = 2^6**

index (SE_shape6)	codeword			
0	-3768	947	-5129	3159
1	1856	645	-1585	744
2	-4337	2591	1792	1066
3	-4965	-846	-951	-897
4	-8691	3396	-5050	-2288
5	-2722	-2820	-2120	387
6	-1439	-2113	-366	-1372
7	-3042	265	1742	-5266
8	777	338	487	285
9	8269	4345	-3265	-4617
10	-1473	51	9119	7231
11	-132	126	126	136
12	-899	-707	-2724	-2446
13	1298	2196	-4573	-505
14	-1113	869	733	5361
15	654	-787	-1161	-806
16	-1026	9637	-5916	4157
17	2689	405	86	-1898
18	-6583	15055	4584	5480
19	-5119	3610	8620	-5558
20	-1484	1303	-485	-738
21	390	1243	-86	-628
22	-2005	3752	-2611	-3901
23	283	6610	6930	-15838
24	2401	5663	5252	3885
25	23296	5077	7584	-6529
26	-1723	3993	283	1313
27	558	-1877	2107	-2168
28	-1	379	-887	599
29	1464	5776	-374	-3465
30	38	-1486	-3389	1175
31	326	736	-98	-2403
32	3768	-947	5129	-3159
33	-1856	-645	1585	-744
34	4337	-2591	-1792	-1066

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35	4965	846	951	897
36	8691	-3396	5050	2288
37	2722	2820	2120	-387
38	1439	2113	366	1372
39	3042	-265	-1742	5266
40	-777	-338	-487	-285
41	-8269	-4345	3265	4617
42	1473	-51	-9119	-7231
43	132	-126	-126	-136
44	899	707	2724	2446
45	-1298	-2196	4573	505
46	1113	-869	-733	-5361
47	-654	787	1161	806
48	1026	-9637	5916	-4157
49	-2689	-405	-86	1898
50	6583	-15055	-4584	-5480
51	5119	-3610	-8620	5558
52	1484	-1303	485	738
53	-390	-1243	86	628
54	2005	-3752	2611	3901
55	-283	-6610	-6930	15838
56	-2401	-5663	-5252	-3885
57	-23296	-5077	-7584	6529
58	1723	-3993	-283	-1313
59	-558	1877	-2107	2168
60	1	-379	887	-599
61	-1464	-5776	374	3465
62	-38	1486	3389	-1175
63	-326	-736	98	2403

## 2.E.4 CbCelp

VQ codebook for stochastic excitation vector for 2 kbps

**Table 2.E.9 — 1st stage VXC gain codebook (base layer): cbL0\_g[16]**  
 dim = 1 x 16 codewords  
 16 bits signed  
 factor = 2<sup>2</sup>

index (VX_gain1[])	codeword
0	65
1	164
2	325
3	764
4	1505
5	2069
6	2428
7	3257
8	4132
9	4687
10	5729
11	6294
12	7137
13	8037
14	11402
15	18768

Table 2.E.10 — 1st stage VXC shape codebook(base layer): cbL0\_s[64][80]  
 dim = 80 x 64 codewords  
 16 bits signed  
 factor = 2^15

index (VX_ shape1[])	codeword										
0	0	0	0	1628	0	-9648	0	0	0	0	0
	0	0	0	0	0	1446	0	0	0	0	-886
	0	-5869	5431	0	0	0	0	0	-5434	423	0
	0	0	-10553	0	0	-1118	0	0	0	0	0
	0	0	0	0	-2550	0	0	0	0	0	0
	26	0	350	0	0	-339	5478	-541	0	0	0
	0	0	0	0	2070	-16335	9139	0	2613	-15972	0
1	0	0	0	1475	2107	0	755	0	0	-3955	
	-2615	-965	-407	-708	1825	0	-5847	3465	420	-6981	
	0	0	-2308	2285	-2949	0	0	6434	-7241	-3798	
	3568	1179	0	4944	0	0	-4981	3712	-2667	-6447	
	5312	-9873	0	3222	-6426	0	669	5199	0	0	
	412	-2163	2657	0	0	1597	2207	2172	-7297	0	
	5462	-971	-3483	749	0	2047	-3053	0	0	-11458	
2	-2981	0	-4517	3871	-931	4693	10075	2245	-2730	6256	
	0	-3703	4128	676	0	-874	4680	-4808	1978	-8956	
	1205	8163	0	0	-466	-3607	7256	0	0	-617	
	0	0	2287	0	1506	-1742	1919	0	986	5364	
	-3468	0	493	4803	0	1028	550	2746	0	0	
	116	6542	0	480	1829	-3433	999	-10662	-8148	-985	
	-3087	4157	-309	3235	-10231	5358	-4104	-3156	0	4481	
3	-2203	0	5019	0	6958	0	0	-5297	0	-557	
	-4389	0	5956	623	-3635	-3938	344	-2245	5708	1950	
	0	0	1073	-2434	-6808	2035	0	-3928	0	-2511	
	-2238	3122	4694	-12171	0	0	-1316	0	552	0	
	-1752	2655	693	-948	5612	3864	-196	1977	0	3197	
	-2269	776	-2206	605	-901	-1997	-1395	502	-9523	2649	
	3943	-2173	-647	325	-3185	-1515	199	-11174	-1384	-6662	
4	-1582	0	0	-3042	4265	4346	7434	5001	-789	1679	
	1369	655	-6038	2194	-1661	0	5080	3424	-1932	98	
	-2938	11836	6427	0	0	918	0	-1182	0	-1693	
	-4632	0	336	-2778	-4905	-3720	57	195	6479	4647	
	6028	-3904	3466	0	3156	-1882	1249	-2516	-106	-770	
	2434	-7902	7161	-1952	-8165	0	-7560	0	0	-5449	
	0	2652	0	696	0	0	-2030	-1140	4057	-2308	
5	1796	-4008	0	0	0	-1746	0	1361	0	1440	
	-8255	2610	-5339	-463	-2478	-4294	-3816	-4121	0	-6542	
	0	-4338	-576	0	0	7433	0	5051	-1554	-8066	
	7895	-2736	7067	289	2992	0	0	4541	11	0	
	0	0	0	0	0	0	-2440	0	2741	0	
	0	0	4142	0	0	0	5335	0	10364	0	
	0	0	0	-5110	0	0	0	13977	-90	-2187	
5	2840	0	10564	0	0	0	0	0	0	0	
	0	4350	-3172	1911	2832	0	0	-4587	0	2496	
	-4029	-309	3503	6785	0	676	235	0	0	0	
	0	0	11368	0	-8166	0	0	0	0	-1013	

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	-5017	0	143	0	286	-10526	0	0	9233	0
6	2801	3312	0	3537	-2895	3251	-2901	-1217	0	-3317
	-2481	-2216	-626	1046	723	-514	0	-1160	0	7014
	0	-5144	-5483	2965	4999	-3799	-6616	-4771	-4315	-3333
	7797	-488	0	3845	1670	2468	5530	7787	0	-1978
	6397	-2176	0	522	-3652	652	-1728	2135	-3578	0
	-71	0	-11335	1286	-8933	-2911	-377	847	0	-1155
	3491	-1048	-1559	5575	4336	8164	0	0	0	-581
	0	-764	0	-372	-3988	-3748	0	9410	0	-2331
7	-5896	2103	0	0	0	0	0	0	0	0
	0	0	-1125	0	1197	0	0	1459	0	7113
	-4932	0	3371	1837	0	0	0	259	0	-17178
	0	1971	11477	0	-367	-9599	0	3890	3020	0
	0	-3923	0	0	0	0	0	-2635	0	0
	0	0	0	0	5266	-2257	0	2639	0	6862
	0	-2905	846	0	0	7696	-6590	-293	0	0
0	8117	0	9712	3390	0	0	0	0	0	
8	-1065	-5252	-2075	3686	3042	-2580	556	-5459	4931	-1895
	-3616	162	-392	5018	-1610	-101	2791	8132	38	-4170
	6590	5939	0	4939	0	2589	3788	-197	0	5150
	2915	-4902	0	-1952	-6332	-6494	-4614	5070	0	6238
	-1369	213	-3010	-579	745	2480	0	1121	-8112	0
	0	-7134	-4975	0	0	5318	1336	0	-516	-5017
	0	0	8462	0	0	564	0	4592	-9288	0
	-1438	1780	1410	0	-1208	-3160	1072	2857	5309	-3738
9	849	0	1435	-552	0	-8434	1680	1106	3764	-1977
	98	0	-5953	0	14849	4047	-3176	0	130	0
	3853	-3289	-5691	5670	1809	-1264	-329	0	-1706	0
	-852	-11144	3495	-3522	-2924	4615	-2256	960	-705	-2819
	266	-3377	-2016	0	0	0	1344	2383	7518	-971
	1613	1710	-3127	-2451	-2077	2992	-10137	0	-265	2079
	0	-8393	0	2089	446	-334	0	-2613	0	-153
	3342	0	4900	-1937	-7294	1668	0	1973	0	-235
10	0	-3054	1210	55	0	10118	3822	-1164	4682	1485
	-3077	1877	-5951	0	-3389	-3625	2156	0	-3513	0
	-4489	5203	4396	0	-1647	0	-443	-1805	0	4814
	7914	-696	0	-973	0	0	-2873	3341	2900	0
	4343	-5076	1170	638	-2612	-1071	0	0	-2392	0
	3912	-7665	2586	-322	-2691	-202	-10380	-9307	4649	3806
	-1057	1274	1739	0	-592	7202	-1524	5719	0	-789
	5937	7425	5298	-2991	0	1659	-4841	283	3365	-2322
11	0	0	-1134	0	3516	0	-4115	4584	1049	-731
	0	0	392	-5397	3288	0	4564	-11448	0	1214
	0	-2453	-867	0	2145	-1018	0	1303	0	1205
	-1938	-1729	371	0	0	1984	265	469	-4587	-3683
	-4090	0	-786	5759	-3493	7663	-685	2011	-1585	0
	5208	4688	2324	-2484	-983	0	-141	-9515	-2796	4548
	3400	-4490	2241	-173	321	5670	-176	-482	10008	-1300
	3679	5685	6846	6285	-7339	-60	4848	-1152	1883	-8428
12	5050	-2352	0	-2587	-33	-5587	-7823	3089	273	1542
	-1374	0	638	2094	2421	-1455	6703	4091	4090	-6905
	3113	0	-1907	-1358	0	-558	0	0	176	12935
	-2019	-4243	0	0	185	-2409	-412	0	-2999	541
	0	-4530	1876	0	0	-8879	-862	-1789	9535	-347
	-4275	3231	0	189	-3061	-3445	-1998	-782	1297	0
	3723	-109	2703	-1393	-3715	3781	-614	-6610	0	779
	3172	-6262	-1869	1864	-3723	9765	0	2667	3084	6209

13	0	0	-6233	0	4287	5387	2358	1427	-3202	4462
	-1146	-91	436	3305	-684	4828	3859	3954	10108	-167
	-7522	824	-6239	-1820	0	2383	3595	141	-5560	697
	-5941	-3208	-3586	-2679	3457	2050	0	-6246	-5370	-892
	0	6372	-2173	4247	0	-3670	4879	1486	447	-107
	-3250	2538	1010	-4783	-1306	-2882	-1413	0	2587	1033
	6844	-46	4332	0	0	-1890	2144	6195	-2670	4559
	-1876	0	2828	-9121	0	-2064	0	-8753	-412	-1143
14	0	0	0	9266	0	-4289	0	0	15630	0
	0	0	0	0	0	0	0	0	-3536	0
	0	0	0	0	0	1043	0	0	-1938	-3441
	0	0	0	0	1315	3492	6433	12535	-15578	0
	3885	0	0	6219	-5423	3477	0	0	0	0
	0	0	0	-2157	0	0	-5362	0	0	0
	1369	0	4980	0	0	0	-986	0	0	0
	6508	0	0	0	0	0	-6175	2022	0	0
15	0	1000	0	0	0	4115	-411	0	0	0
	0	0	0	0	4732	0	0	0	0	0
	-552	-1705	0	0	0	2493	4927	-1114	1317	0
	7065	0	0	0	4801	0	0	-5461	0	0
	0	0	0	21047	0	0	0	0	0	12979
	0	0	0	1009	0	0	-4331	0	0	4513
	0	0	5996	0	0	-763	0	5993	-1291	0
	-7022	8196	0	5302	0	-4124	-2552	0	0	0
16	0	0	0	0	-5383	-7389	0	0	0	0
	0	-13760	0	0	-3669	0	0	-1643	0	0
	0	2977	0	4044	0	0	0	0	0	6383
	13648	0	-6472	0	13024	4114	0	0	-1042	0
	0	0	0	0	0	0	3187	5351	0	0
	0	0	8936	0	0	0	0	0	4985	0
	1943	-8121	4195	0	0	-7224	0	-839	0	0
	0	0	0	241	-1024	4255	0	0	0	0
17	0	-352	-9216	-2509	0	1151	0	1168	0	6570
	-830	0	0	0	-2908	8076	0	5816	5142	0
	7360	-713	-7775	-276	42	-885	0	6374	3988	0
	-1463	3099	0	1129	-296	1663	-9442	-1374	395	-2783
	0	0	0	0	-6757	-432	-2752	268	2439	27
	814	0	8202	1398	3575	5765	0	5918	-4320	5177
	-343	-3570	0	0	-362	0	-3256	9844	-3848	0
	-5975	781	-6308	5389	-564	-2132	0	1289	0	3434
18	11485	4693	-1464	0	1169	-373	-2657	-1288	-3197	0
	2976	819	-5121	0	290	-6066	3512	0	-4592	-3678
	-5017	7883	2549	-2245	0	0	-2861	0	0	3968
	0	-105	8171	0	-182	-5712	-1732	-2874	-51	-7855
	5330	-4002	0	2438	2085	128	-7971	647	1517	-1079
	-2742	8536	4959	-11	351	2243	314	3201	0	-4812
	4864	-3055	-693	-271	0	0	0	6266	-709	4732
	-6127	740	-3295	553	1130	0	4146	-6180	2141	3239
19	0	0	0	0	0	0	0	0	-6369	0
	0	0	-8103	-12722	0	0	0	0	0	-5372
	0	0	4509	0	8314	0	0	0	6881	0
	-2386	0	0	0	0	0	-985	0	0	0
	0	0	-1170	0	0	0	0	0	0	0
	14144	0	0	0	3394	5389	11441	0	0	0
	0	0	0	0	0	0	0	6490	0	0
	-11175	-7384	-944	-51	0	5487	-2815	0	0	0
0	0	7331	-30	772	-3345	-4	5323	0	0	

20	-3825	861	4892	-3041	0	0	-5795	4004	2822	-3616
	-3113	-1839	0	-3353	-2123	4453	2619	-2365	0	-573
	0	8823	4250	4137	11473	5642	0	4533	-1725	0
	0	1444	789	1955	0	-939	2634	5827	-2601	0
	3843	3417	-4439	8355	0	-1822	13	-1928	2517	6680
	-1708	0	0	0	2300	-1011	0	-3620	6077	-9616
	0	-2230	0	2865	1787	0	-2575	-475	-9613	1683
21	-6370	3044	-1614	5027	0	0	-188	-4008	4652	1786
	0	0	-3383	-80	-397	773	5044	-2376	-3987	2986
	-2588	0	-2188	7185	-2573	38	7660	6425	4679	0
	-4949	-7600	0	0	4828	-4138	-735	115	3320	-2284
	-455	4452	0	0	-678	2518	-2983	1463	9195	2537
	1221	3618	5296	5192	1556	981	-2289	0	-372	0
	-203	-508	343	5721	-9816	-8157	0	511	6950	-1274
2501	-217	-1725	-8232	-953	0	-4249	1549	-1561	-1298	
22	-4120	-2452	0	0	0	-7557	-253	5271	-4395	0
	1383	1664	-448	0	-6496	0	8286	6876	-2217	-2241
	-5546	-4159	3094	89	1928	-1582	-2991	-6757	0	8154
	-6394	1902	-3271	2294	5468	8427	110	1715	-445	8136
	0	0	0	7386	-1552	8494	0	0	2300	-689
	-3332	-3630	0	-138	1099	-3992	-913	946	0	0
	0	-3311	0	0	-5438	3781	-4147	0	-1997	-7183
2832	-1887	-3664	251	147	0	2943	1761	-295	-3906	
23	-5107	0	0	5554	0	-7204	0	0	-3108	10958
	2828	-2865	-4753	-3109	-5593	-3208	-1682	-1097	-4323	0
	0	0	4268	0	-2139	1085	0	-4253	3418	2898
	0	2676	-567	-4796	178	0	1409	0	6034	36
	0	-354	6967	-2796	8976	7085	0	3037	2837	0
	4467	2711	-5297	-1146	-6279	-2830	5912	-4656	1005	-2739
	0	-320	1205	-6012	-5827	277	2814	-838	3587	1270
-959	-5659	-851	-2368	3944	0	-6922	0	4475	0	
24	2041	-2004	5807	-7	0	93	0	-804	0	2145
	-3096	-443	1422	4705	0	-1012	-1070	-3094	-3329	3558
	-5845	2769	6684	13751	-159	-4829	0	0	4992	-630
	-394	-1387	870	-5289	0	1702	6045	-2847	638	0
	120	2919	2205	5628	-2922	0	3884	1216	-2743	-8517
	0	0	0	-2379	3830	3287	-5517	0	-3794	1250
	0	-5108	0	6727	3890	0	8902	2978	0	-2671
378	2132	-7835	2072	-7198	3121	3499	0	-701	0	
25	-1084	-9811	-2812	307	-1685	1395	2176	874	938	2237
	0	0	2626	-1287	-2104	-1192	5465	1341	-279	0
	9960	4426	-3028	-6230	-8698	0	-480	-2983	2306	1797
	215	-4147	-323	455	-4713	0	-1598	-12536	0	-3033
	-1293	5039	-4209	-2357	3772	3194	-1317	-6097	-97	0
	3311	0	2859	-3491	-946	-2342	-2145	2822	2197	-785
	-1301	-6387	-4577	0	6077	-2620	-2301	2787	-5771	359
3002	-2689	-2601	5782	-1777	1149	0	-902	-2232	-6885	
26	-1706	3623	-7171	5205	-717	819	0	-5399	-3431	-9984
	0	-895	-812	2398	0	-230	-6871	0	5805	0
	0	-1062	6539	2553	-3109	-511	-8288	1209	4089	3174
	1641	0	-1375	6902	1479	4840	129	-3638	0	-5979
	0	0	2057	1653	3548	-4513	1652	0	-5528	0
	0	2222	-318	1322	4640	8416	-1588	0	5503	-6732
	0	0	2958	-3042	0	3508	9357	2262	4697	4754
602	455	2598	0	1652	5161	-1436	0	1153	-2628	
0	0	-4122	2261	2255	5431	165	232	0	-1523	
2961	8009	1679	0	2634	5995	-5033	2076	4643	3632	

27	10186	-2828	3728	0	-3280	-264	-2782	2747	-4782	4130
	3962	1547	-2122	0	3027	0	0	-5551	1812	-3402
	-1021	2948	5856	-3754	5926	0	-832	-4974	1819	3626
	4830	758	0	0	0	7293	0	-7381	-1682	2552
	2267	-3943	-3057	-77	0	-7395	0	0	0	8258
	-1749	5276	911	-1313	6356	101	7532	1735	0	0
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	0	0	-605	-1272	6247	-4822	0	406	5400	0
61	1097	0	3924	8023	0	-2634	0	0	0	0
	6244	0	10975	2833	0	0	0	5461	0	-2082
	0	0	0	3415	9918	-1542	-4593	0	0	0
	0	-5352	0	0	8238	0	0	12856	0	0
	0	-3890	4495	0	0	0	0	2047	0	0
	0	0	5080	0	0	4531	0	0	0	12455
	-1336	-2662	3712	473	0	3681	-4013	0	0	2858
	0	-30	0	0	1423	0	0	0	9231	0
62	1128	0	-3372	-2295	3313	7195	-1280	0	-1432	-418
	0	2962	3011	-1226	-5502	-4299	-9680	-6982	-66	-6894
	0	1637	-3362	-5338	-1142	-467	-1384	2865	0	0
	-1018	-1847	-368	-11959	0	-7847	-4692	4576	3965	2424
	1024	-4240	1882	1208	0	3357	0	2708	0	1789
	5718	2094	-1961	-791	0	0	0	0	3306	-1323
	-2281	-940	6635	-4014	0	-4353	-2789	0	-4408	-3033

	-7680	-3426	-6043	-1490	-3924	-4250	2864	0	2128	-5098
63	0	0	3227	0	3958	-67	-9489	2570	2475	2381
	3205	4690	8091	0	2284	58	0	0	0	1318
	-5077	-2795	-4787	0	3518	707	515	-1896	10246	-563
	-6495	-3516	-5934	-4480	-976	-12457	0	2461	0	1943
	473	-5375	0	-3354	-620	-3095	2340	1644	-1973	0
	0	-3	593	0	689	0	-5169	2305	3561	-4592
	1724	-2567	2833	0	-7678	-3137	-636	0	896	3601
	1221	866	-6375	-3067	341	-945	5307	4781	-6805	2

### 2.E.5 CbCelp4k

VQ codebook for stochastic excitation vector for 4 kbps

**Table 2.E.11 — 2nd stage VXC gain (enhancement layer) codebook cbL1\_g[8]**  
 dim = 1 x 8 codewords  
 16 bits signed  
 factor = 2<sup>1</sup>

index (VX_gain2[])	codeword
0	29
1	351
2	1523
3	820
4	16956
5	2571
6	7670
7	4303

**Table 2.E.12 — 2nd stage VXC shape (enhancement layer) codebook cbL1\_s[32][40]**  
 dim = 40 x 32 codewords  
 16 bits signed  
 factor = 2<sup>15</sup>

index (VX_shape2[])	codeword									
0	0	0	0	0	-3670	0	-3592	6877	0	0
	0	0	0	-1882	0	0	0	5892	0	5063
	0	0	0	0	0	11204	0	0	0	0
	-619	-6147	0	0	0	0	9657	8329	16851	-18053
1	0	0	0	-3118	0	0	-5467	0	9244	0
	2584	0	1675	0	5550	-786	5419	2660	0	15964
	-14118	-15419	0	0	0	0	-1030	0	0	0
	2789	1168	5227	0	0	0	0	-7551	0	-9213
2	1105	2945	7478	0	2906	542	-538	-1123	6571	-9293
	8215	5999	5572	0	1720	-2450	12025	3924	-8051	-2883
	2211	0	3123	-299	5563	2605	8868	2438	2353	6959
	-242	-675	-1992	-5682	-4249	12192	-4202	0	6805	-5750
3	54	9003	0	2103	0	266	-7156	-846	3992	0
	4324	5100	-6345	0	1619	-3191	0	6368	0	0
	0	-6450	-1637	-2510	-4786	-8345	-4638	-7092	-4275	4220

	16349	-4636	0	0	-10748	0	8287	0	0	-7619
4	0	0	0	0	0	0	0	0	0	0
	0	-30522	0	-6518	0	0	0	0	0	0
	0	0	0	2300	0	3129	0	0	0	0
	0	0	0	5230	0	0	0	7566	0	0
5	0	-532	0	6930	0	0	0	0	0	59
	0	-8218	0	0	1674	0	-24232	0	0	712
	0	0	0	0	0	0	0	0	0	0
	0	10185	-3778	9	-3862	-7654	0	0	-13262	0
6	0	0	0	0	-2098	0	0	-19805	0	0
	795	-10197	0	0	0	0	0	10192	-11014	0
	-1663	0	0	0	2713	0	0	0	0	0
	0	0	0	0	-18106	0	3056	0	0	0
7	0	-1230	0	0	4856	15371	0	-7639	0	16108
	7534	0	0	0	0	0	4774	0	3514	0
	0	0	-1939	0	0	-4330	0	0	-16240	0
	0	0	0	0	-8721	-6353	0	0	0	0
8	-7583	-949	0	1701	-4425	0	0	-8468	-7271	0
	1000	3659	-1614	5090	-6310	-5811	0	-535	13517	0
	0	3450	-1640	0	2814	-4112	-2567	6184	508	10094
	0	-1806	5543	-1061	-4553	0	-12870	-3778	10647	5770
9	-5533	-9268	0	5815	-456	0	0	3105	5292	8540
	2957	11480	-877	1426	-1279	4972	3974	5746	-614	15998
	-8941	404	-6202	0	0	3109	-4787	-3417	1754	0
	3235	-6437	7917	4443	-3728	725	-4565	0	-1519	0
10	0	0	0	0	0	0	0	15292	0	4112
	-3166	-131	20232	0	0	0	-7397	0	0	0
	0	15002	0	7723	0	0	0	5995	0	0
	0	3968	0	-3532	0	0	0	0	0	0
11	0	1009	0	-6702	-793	7142	419	7381	6528	1006
	-10093	10645	-11016	735	0	-7898	-3148	426	494	2241
	13739	7757	3838	-753	-4526	0	6304	0	0	-5553
	-1579	-2655	0	3192	0	0	-4345	-2671	-7876	0
12	0	-2889	-207	240	4040	5354	0	-12614	0	-4645
	3752	-14607	4174	-2397	1174	0	1403	3077	6548	0
	10126	0	-2811	0	2615	8341	6045	0	2943	0
	-341	0	10856	4636	5544	-11308	346	189	1374	1064
13	0	-17688	14233	7304	3965	1493	0	0	0	0
	-3907	-2200	0	0	1184	0	0	0	0	0
	0	-4015	0	0	0	0	0	0	0	0
	0	17747	0	0	-4870	0	10521	0	0	0
14	-1201	-7227	-8405	0	2758	4653	-3605	-4825	-4317	-7995
	938	-7482	190	4838	3207	0	-2449	-8396	1109	-10123
	-225	13603	5322	5193	6497	-920	4448	587	-122	-1543
	6005	-4309	1921	3173	7250	5770	0	-8388	0	0
15	0	-3983	0	-2562	19732	0	0	0	0	0
	0	0	-6400	0	0	-11621	2715	0	0	-1657
	0	6695	0	-2777	0	-11764	8241	0	-13969	0
	2647	0	0	0	0	2337	0	0	3062	0
16	0	0	0	0	3670	0	3592	-6877	0	0
	0	0	0	1882	0	0	0	-5892	0	-5063
	0	0	0	0	0	-11204	0	0	0	0
	619	6147	0	0	0	0	-9657	-8329	-16851	18053
17	0	0	0	3118	0	0	5467	0	-9244	0
	-2584	0	-1675	0	-5550	786	-5419	-2660	0	-15964
	14118	15419	0	0	0	0	1030	0	0	0
	-2789	-1168	-5227	0	0	0	0	7551	0	9213

18	-1105	-2945	-7478	0	-2906	-542	538	1123	-6571	9293
	-8215	-5999	-5572	0	-1720	2450	-12025	-3924	8051	2883
	-2211	0	-3123	299	-5563	-2605	-8868	-2438	-2353	-6959
	242	675	1992	5682	4249	-12192	4202	0	-6805	5750
19	-54	-9003	0	-2103	0	-266	7156	846	-3992	0
	-4324	-5100	6345	0	-1619	3191	0	-6368	0	0
	0	6450	1637	2510	4786	8345	4638	7092	4275	-4220
	-16349	4636	0	0	10748	0	-8287	0	0	7619
20	0	0	0	0	0	0	0	0	0	0
	0	30522	0	6518	0	0	0	0	0	0
	0	0	0	-2300	0	-3129	0	0	0	0
	0	0	0	-5230	0	0	0	-7566	0	0
21	0	532	0	-6930	0	0	0	0	0	-59
	0	8218	0	0	-1674	0	24232	0	0	-712
	0	0	0	0	0	0	0	0	0	0
	0	-10185	3778	-9	3862	7654	0	0	13262	0
22	0	0	0	0	2098	0	0	19805	0	0
	-795	10197	0	0	0	0	0	-10192	11014	0
	1663	0	0	0	-2713	0	0	0	0	0
	0	0	0	0	18106	0	-3056	0	0	0
23	0	1230	0	0	-4856	-15371	0	7639	0	-16108
	-7534	0	0	0	0	0	-4774	0	-3514	0
	0	0	1939	0	0	4330	0	0	16240	0
	0	0	0	0	8721	6353	0	0	0	0
24	7583	949	0	-1701	4425	0	0	8468	7271	0
	-1000	-3659	1614	-5090	6310	5811	0	535	-13517	0
	0	-3450	1640	0	-2814	4112	2567	-6184	-508	-10094
	0	1806	-5543	1061	4553	0	12870	3778	-10647	-5770
25	5533	9268	0	-5815	456	0	0	-3105	-5292	-8540
	-2957	-11480	877	-1426	1279	-4972	-3974	-5746	614	-15998
	8941	-404	6202	0	0	-3109	4787	3417	-1754	0
	-3235	6437	-7917	-4443	3728	-725	4565	0	1519	0
26	0	0	0	0	0	0	0	-15292	0	-4112
	3166	131	-20232	0	0	0	7397	0	0	0
	0	-15002	0	-7723	0	0	0	-5995	0	0
	0	-3968	0	3532	0	0	0	0	0	0
27	0	-1009	0	6702	793	-7142	-419	-7381	-6528	-1006
	10093	-10645	11016	-735	0	7898	3148	-426	-494	-2241
	-13739	-7757	-3838	753	4526	0	-6304	0	0	5553
	1579	2655	0	-3192	0	0	4345	2671	7876	0
28	0	2889	207	-240	-4040	-5354	0	12614	0	4645
	-3752	14607	-4174	2397	-1174	0	-1403	-3077	-6548	0
	-10126	0	2811	0	-2615	-8341	-6045	0	-2943	0
	341	0	-10856	-4636	-5544	11308	-346	-189	-1374	-1064
29	0	17688	-14233	-7304	-3965	-1493	0	0	0	0
	3907	2200	0	0	-1184	0	0	0	0	0
	0	4015	0	0	0	0	0	0	0	0
	0	-17747	0	0	4870	0	-10521	0	0	0
30	1201	7227	8405	0	-2758	-4653	3605	4825	4317	7995
	-938	7482	-190	-4838	-3207	0	2449	8396	-1109	10123
	225	-13603	-5322	-5193	-6497	920	-4448	-587	122	1543
	-6005	4309	-1921	-3173	-7250	-5770	0	8388	0	0
31	0	3983	0	2562	-19732	0	0	0	0	0
	0	0	6400	0	0	11621	-2715	0	0	1657
	0	-6695	0	2777	0	11764	-8241	0	13969	0
	-2647	0	0	0	0	-2337	0	0	-3062	0

2.E.6 CbLsp

Table 2.E.13 — LSP table for the first stage (base layer)  $lsp\_tbl[0][32][10]$   
 dim = 10 x 32 codevectors  
 16 bits signed  
 factor =  $2^{15}$

index (LSP1)	codeword									
0	3375	4912	6549	9040	10942	13276	18798	21307	25336	28572
1	2974	4358	6129	8373	10084	12442	19149	23195	26224	28839
2	3077	4360	6147	9751	12072	14239	16729	19410	25495	28508
3	2919	4067	6571	9049	11301	13176	15546	21792	25596	28758
4	3935	6019	9204	12175	15469	18353	21731	24243	27476	29137
5	3746	5894	8346	10956	14067	16427	19980	22820	26182	28388
6	3138	4830	6689	9712	13093	14804	17683	20287	23614	27858
7	3660	5585	8408	11300	13434	15086	18394	21960	25381	27908
8	2546	3478	5301	11498	13855	15746	18993	21490	26264	28407
9	2415	3239	5561	12877	15707	17387	20352	22286	26337	28318
10	2887	5150	8479	11388	14513	17282	20858	23623	26958	29134
11	2229	4162	7949	11474	14612	16990	19987	22614	25791	28349
12	2086	3932	8403	12430	16065	19196	22252	24785	27464	29264
13	3539	5949	9973	13626	17153	20423	23144	25356	28016	29594
14	3748	6180	9973	12764	15130	17300	20631	23046	26361	28654
15	4005	6856	12057	14541	17344	19943	22286	24277	26824	28540
16	2959	4902	6772	9100	12970	14348	17631	22669	24817	27497
17	1659	3244	6690	9923	13244	16000	19579	22730	26119	28767
18	2116	2880	4959	10683	16288	18759	21936	24273	27098	29082
19	1623	2982	7079	10655	14022	17143	20470	23340	26641	29021
20	2954	4057	5645	9387	15193	16955	19540	22376	24759	27631
21	1829	2579	4149	9097	13989	16374	19707	22537	26415	29208
22	2395	3424	5074	8394	15219	18639	20682	22949	25314	27660
23	2155	2832	4495	7776	13914	18654	22078	24004	26849	28673
24	2853	4870	7173	10343	12233	14846	19653	22280	26468	28773
25	2952	4252	6548	8892	10909	16697	19529	21846	25191	27573
26	2438	3437	5374	7557	9440	15048	19085	22361	26340	29023
27	2745	3740	5868	8032	10298	16927	21146	23387	26806	28435
28	2212	3253	5397	9031	13431	15972	19009	20587	24238	28524
29	2327	3469	5224	7762	13556	15354	18303	22448	25218	28360
30	2114	3126	4926	9253	11770	14176	19094	21896	25847	28980
31	1877	2610	4300	7363	12346	16129	19777	22688	26019	29255

Table 2.E.14 — LSP table for the second stage of VQ (base layer) with inter-frame prediction  
 $pd\_tbl[0][64][5] \dots$  Lower 5 LSPs  
 dim = 5 x 64 codevectors  
 16 bits signed  
 factor =  $2^{18}$

index (LSP2&0x3f)	codeword				
0	2981	1974	3673	2079	3154
1	467	1177	2624	4315	-2970

2	7204	-2351	-225	2548	1793
3	3151	1499	1654	7626	8950
4	1476	2753	-2614	5096	4202
5	2121	4158	5054	-4650	-4200
6	3688	5151	590	7476	-4205
7	-435	1111	1001	-257	3523
8	199	1958	-2865	-1864	4551
9	-2645	-3880	1767	6223	2994
10	2283	5489	4357	412	-7054
11	-1025	668	-181	4580	713
12	506	-401	-3597	8910	9823
13	-1358	-388	624	3479	5877
14	-501	-886	-506	10302	4008
15	-4779	3673	611	3201	3169
16	1979	3589	779	1408	7565
17	2129	6236	2383	5214	1688
18	5956	11047	-2496	1672	-1735
19	1969	1523	1908	7647	1473
20	5550	9285	7505	6436	5741
21	6357	13996	6349	-2076	-2341
22	7812	4527	1326	6386	2207
23	3594	4936	-2939	1523	288
24	755	1649	3576	-1211	-401
25	-239	-1389	-2181	765	7891
26	1617	852	3819	15383	92
27	792	-1261	2456	3584	1098
28	1575	1148	3979	7198	-8126
29	2071	1214	-574	1085	307
30	3397	501	4493	1132	-3308
31	-844	794	6753	8625	-1594
32	440	-1832	3555	-1777	2459
33	797	-1148	-936	1547	3481
34	3329	477	-2144	5308	-2666
35	149	-4244	8061	3492	739
36	855	2485	7891	-6944	404
37	4160	6139	-4802	-5122	3500
38	637	1712	6758	7673	4768
39	-63	-2758	1751	8480	-2330
40	-2645	-3741	2750	-225	7649
41	-2021	-4108	6286	11770	7086
42	-8	3906	1311	1583	-1916
43	-3064	-2095	-1437	4685	10533
44	-1667	6748	8748	2755	-1358
45	-60	994	5023	2697	9461
46	-2593	-121	4202	2273	771
47	-4401	-724	12926	3948	3434
48	1274	4462	894	-2286	1214
49	4538	9141	-1080	962	6478
50	5405	1950	1001	-4055	551
51	1395	4590	16809	6637	1025
52	4538	6252	6019	-252	2855
53	8968	5665	1282	-199	-2789
54	3869	3712	6493	4913	-933
55	4045	2713	438	304	-3185
56	-1114	3025	6289	-367	3639
57	907	-1691	-3196	5450	2254
58	1311	2721	-3743	551	13002

59	-1077	10402	2066	-398	907
60	1211	1067	1772	-5211	5101
61	3953	66	-3337	-1368	5204
62	744	629	1077	-2087	11838
63	-304	-299	8247	-414	-3557

**Table 2.E.15 — LSP table for the second stage of VQ (base layer) with inter-frame prediction**  
**pd\_tbl[1][16][5]...Higher 5 LSPs**  
**dim = 5 x 16 codevectors**  
**16 bits signed**  
**factor = 2<sup>18</sup>**

index (LSP3&0x0f))	codeword				
0	9524	346	-2981	267	-336
1	2629	-5468	-1733	7104	2469
2	2336	3321	-2915	6391	2404
3	-3961	-370	1623	9820	4352
4	9849	6079	3437	7157	2553
5	3586	755	1937	-1298	2530
6	5240	8829	-624	-1243	-464
7	-2223	3743	-31	1688	5419
8	6027	-2265	2632	3738	-1646
9	-792	-3861	5951	2705	-160
10	671	2637	1455	1216	-2724
11	-4336	2679	7699	1557	-186
12	9484	2750	3806	-2873	-4066
13	3054	4257	8559	6189	1531
14	1922	4716	7917	-3945	-2375
15	-881	10551	3992	3806	2097

**Table 2.E.16 — LSP table for the second stage of VQ (base layer) without inter-frame prediction**  
**d\_tbl[0][64][5] ...Lower 5 LSPs**  
**dim = 5 x 64 codevectors**  
**16 bits signed**  
**factor = 2<sup>18</sup>**

index (LSP2&0x3f))	codeword				
0	-223	1541	5665	3589	4611
1	-1733	5350	5348	-2451	2902
2	4365	1696	-1562	10659	-2362
3	2954	3694	8486	1274	1578
4	4567	7319	2747	1699	8294
5	-47	2110	650	2165	983
6	7083	9013	-2564	-8268	3416
7	3979	278	4509	2923	-1523
8	-2687	-4168	10231	5379	2732
9	-1269	-2312	15862	4470	-5888
10	1927	383	9885	10635	102
11	3358	5584	194	4881	-9241
12	-29	-81	-454	3785	7969
13	3743	6973	7581	-6653	637
14	3510	3856	8617	4687	-6399

15	10040	9558	5277	2257	13
16	-3358	2847	9891	3261	-1167
17	-6430	-1893	9864	11762	6879
18	-191	1827	2063	7943	-3277
19	-1903	-3143	5510	-446	7222
20	-16	-2212	-3951	10250	4797
21	-4687	548	2074	7198	3906
22	2074	1735	-1153	10121	12945
23	-377	-419	6189	10315	-8486
24	-7073	1169	3332	2551	9020
25	-4939	-8029	17592	12255	703
26	-2564	6800	2024	4650	71
27	-1458	6008	13668	5980	5924
28	-3209	-5445	-3395	14132	7644
29	-687	7188	8622	-2095	-6593
30	-912	2008	-5607	6719	3109
31	3513	6559	6921	8247	1853
32	4669	17485	10142	-1971	-2771
33	3135	5544	-954	-9437	8593
34	10533	126	-6483	-3484	419
35	8284	3707	-1059	3534	-2933
36	10785	12945	-1140	-8242	-8908
37	5337	-89	-3880	-404	8646
38	6491	6700	-1067	-2202	1523
39	2485	-1591	3112	-2773	4467
40	1725	2511	7398	1536	11975
41	3169	5062	886	6984	3715
42	6868	4021	3720	-3122	-6226
43	8420	8389	-8045	734	322
44	5730	2192	1583	2847	5028
45	6637	-4359	1064	6286	2658
46	1316	2881	3332	-2060	-3492
47	3445	6693	2477	328	-1851
48	448	-3172	4742	3854	760
49	-1769	-1250	-6430	2102	13361
50	4126	666	-2931	336	477
51	3101	3133	1699	-4653	3374
52	5838	996	-8074	6229	3678
53	328	294	8632	-553	-4315
54	844	-147	4902	10163	7196
55	-2157	821	-881	-2902	7178
56	-2763	-5652	1966	6113	8562
57	-1442	-4297	1481	8989	385
58	1667	2842	-637	-3439	13508
59	3287	4981	-8630	2231	10179
60	338	2173	10124	-7749	3300
61	1201	5500	-3513	747	3932
62	-3038	12727	2823	-4664	2621
63	-1376	-1489	634	15435	-1937

**Table 2.E.17 — LSP table for the second stage of VQ (base layer) without inter-frame prediction  
d\_tbl[1][16][5] ...Higher 5 LSPs  
dim = 5 x 16 codevectors  
16 bits signed  
factor = 2<sup>18</sup>**

index (LSP3&0x0f)	codeword				
0	9875	-2490	3812	946	-2427
1	3125	-3028	7267	8889	954
2	11600	5883	2380	-3463	-4367
3	2878	8431	9744	-3673	-4653
4	3400	1762	-202	-380	-1056
5	-6367	2517	7846	2265	-58
6	8800	10082	7710	7075	2535
7	2302	6040	3728	6978	1756
8	2412	-3458	-2760	10473	4608
9	-7044	-2173	3982	11235	7959
10	11136	1680	-4422	5780	2074
11	1387	965	4569	802	2606
12	-1717	5264	-3919	6189	5738
13	-2530	8092	12281	9626	4338
14	5219	9477	-2813	-852	1308
15	-4401	12027	3458	-1256	1859

**2.E.7 CbLsp4k**

**Table 2.E.18 — VQ codebook for LSP quantization of enhancement layer for 4kbps  
vqLsp[256][10].  
dim = 10 x 256 codevectors  
16 bits signed  
factor = 2<sup>18</sup>**

index (LSP5)	codeword									
0	-1488	1955	6590	-1047	-422	-567	-311	46	-916	-397
1	445	1443	1785	6945	2534	806	2409	1184	707	4339
2	553	2225	250	390	3316	4497	-67	-2833	-169	3250
3	1219	1234	1126	639	1238	1503	5514	4088	258	4326
4	-716	-923	1856	-2189	1003	-851	-1693	2519	-3541	-1953
5	3885	-4033	-1171	1778	1840	-201	423	-254	-1660	110
6	532	-674	26	-1549	531	896	-1359	-2189	-817	2616
7	1160	-652	-444	879	-264	1034	1506	-637	-759	5774
8	-284	-767	2894	163	463	532	-1775	-1743	1438	3535
9	14	1248	1582	1472	7825	-41	-1292	-738	638	2979
10	1723	-1233	-729	-2381	2160	951	-366	2293	2087	2766
11	633	-1517	-1386	-104	4742	1236	-553	2077	-1963	-19
12	-889	-1170	1810	-2026	1300	1412	2235	-2597	-365	-73
13	-315	-1085	1493	-804	4255	581	-384	-1215	4135	1961
14	-367	-1149	843	-3832	-751	1773	-141	1380	2936	1253
15	-1436	-2531	-1351	2589	3187	548	-322	1020	2293	235
16	-3201	-3223	7383	297	-543	651	-678	-323	1849	103
17	279	-953	-88	4524	1394	985	-368	-162	3737	869
18	140	1243	1575	1246	1088	12797	-2644	1357	1423	3826

19	276	1147	-496	2601	816	5761	-409	2353	180	3808
20	-1839	850	1755	987	-1721	-136	-1827	1149	1978	2236
21	-1593	-989	2006	1295	-178	383	370	2375	2045	-1645
22	676	706	442	-406	582	3248	-2396	-1511	102	-834
23	958	743	-1318	380	143	1849	618	2813	646	-691
24	-1454	-1321	534	-226	-169	498	-728	-3274	6877	4855
25	-2038	907	756	503	2000	-682	-511	-1216	455	2455
26	654	-1055	80	-932	1317	7334	-623	-987	2224	1816
27	-1838	934	-1394	1145	1012	1086	30	-1165	3178	5932
28	-650	-1000	439	28	855	1335	309	3629	8164	22246
29	-74	624	981	-277	2096	949	-252	1934	2831	1794
30	-390	-824	10	-502	180	1024	-3594	1312	453	13048
31	-441	-689	-748	339	1238	1602	-256	1273	4056	1139
32	-488	847	1551	-1291	-1350	-2360	1121	1975	2557	1389
33	-28	-1340	-598	4263	267	-583	339	2073	-5695	-1087
34	604	-1471	-121	-810	-204	556	8401	9515	-1066	529
35	-990	1082	-143	2570	2955	846	3306	2630	-2567	-953
36	612	-870	816	-2482	-2483	-141	219	-1016	-8297	-4247
37	1846	-970	-555	1981	70	36	-506	-3256	-4191	-3182
38	277	-1461	1531	-1314	-77	-841	5243	-549	-3285	-835
39	-911	-775	878	110	-495	1006	210	728	-3255	7673
40	-435	682	1077	223	1909	-2036	2401	-1488	170	146
41	-1198	-729	200	1756	657	-562	2930	-1896	-760	569
42	-402	-833	-1728	-1750	-410	1849	1699	-567	594	-1553
43	-2410	-992	-784	-55	181	206	2038	-1294	-5254	1128
44	183	-1304	-251	-43	-1774	588	3407	-6621	-2567	-811
45	-968	-765	530	-424	-1	916	945	-1418	-215	-11098
46	-387	-813	-507	-3149	-587	-334	2211	953	-177	2276
47	-4723	-2206	387	-2062	1889	242	-460	295	-50	1006
48	148	-1071	5072	591	-2189	271	-891	1074	1059	-269
49	610	-1281	1949	2982	-300	2178	1236	-1529	1117	2360
50	-964	-1344	808	772	218	4011	1237	11201	5525	6017
51	-1284	-902	-1566	935	1881	2420	2133	1300	-508	-605
52	253	-1230	1713	-143	-4161	-46	972	-3265	2170	-1523
53	293	-985	224	1218	-1262	392	-21	-1539	3888	-4842
54	1135	777	142	-1582	-2476	2111	752	-1979	-819	-3038
55	-1636	-3260	-2040	1427	-1727	1199	704	-383	-1769	-1835
56	84	997	466	1081	-699	-424	-1982	-4373	5391	-2342
57	927	1404	173	1332	715	1537	4062	-3081	-2540	265
58	-469	986	610	-1306	-366	2774	955	1021	1785	4607
59	-45	811	-1953	1637	1037	126	376	-1108	-3463	339
60	-466	1024	-256	-234	-559	-601	2253	-4365	7628	2666
61	250	-820	-1061	-430	-1	-1248	2152	-2411	3407	-9281
62	-923	746	-980	-318	-1947	-611	-1862	-41	2343	4071
63	-1280	-879	-1667	1035	-453	-426	-725	-3454	674	1283
64	2634	1214	3448	394	-462	228	382	-1273	-558	-1341
65	1627	814	332	1085	527	977	-1435	-625	-2965	860
66	656	770	389	-1721	1732	761	140	-1008	-3829	-5355
67	-206	2713	263	421	-59	-440	477	593	616	576
68	595	-700	-107	-1406	-1509	-2131	-50	341	143	4214
69	2611	-1155	-866	1679	-1	1544	-692	2680	893	-1559
70	758	793	-1172	-1539	-2007	-646	323	30	2017	4193
71	2312	981	-173	653	-1087	-1344	2133	-650	28	968
72	-318	999	2863	-1696	307	681	-926	-1649	-39	-781
73	1284	799	891	-801	1564	-2095	-1583	848	-1212	-327
74	1808	1162	66	-4747	3304	1008	-173	-423	-1215	1181
75	2641	1069	-902	232	2953	688	522	268	293	175

76	797	-1150	1524	-4061	3382	-287	-484	-45	-3144	-3137
77	470	-666	-106	-1850	1222	-829	-293	1278	1657	-5862
78	-1069	-1820	-3524	-5746	2775	-406	-445	-44	1401	1840
79	1027	831	-346	950	1179	-982	1914	222	1854	2065
80	-129	733	1895	58	-1454	746	1360	1403	-1829	-3023
81	300	901	1115	2174	-863	-1753	632	6038	-2705	-874
82	-722	886	485	-1167	-214	1057	-3351	2192	-5680	-3009
83	-824	5704	-1072	2166	346	-335	528	304	-376	-858
84	1073	1305	1095	269	-3150	618	-826	2718	2248	6336
85	979	-1038	-1193	-271	-1048	-620	29	5764	4654	2798
86	201	-729	-2020	-1455	-100	-444	-1882	1077	-3250	-928
87	-571	950	-1500	130	-763	1072	-1895	4771	-1534	-3396
88	-158	875	465	-1906	441	72	-1897	-3564	1026	4080
89	-20	982	-334	-101	2873	-544	-2399	-1914	-1003	-1133
90	-1045	729	-1377	-2187	874	2318	-872	-52	-1335	-1465
91	-697	2235	-2466	-177	525	1147	1289	-2700	-424	86
92	697	1379	1799	642	14	-1276	-215	2441	16386	10749
93	-554	-794	-418	-40	1042	-382	-1777	7380	618	-1064
94	1822	1848	-3881	-582	-1192	1700	-540	639	4302	3165
95	166	793	-1864	-171	1504	-175	-1231	-792	3369	1618
96	87	1121	-1578	-1136	-2467	-2734	-7351	-1152	-2241	-8569
97	855	970	-420	301	36	582	-8104	426	-8356	-9449
98	952	1536	432	-2641	-115	-1466	5846	233	-1257	-2578
99	-2224	1399	-1103	-450	1763	-998	1686	1920	-736	-47
100	-50	1189	-1158	-1160	-3325	-15702	-2440	-887	-4671	-1783
101	183	-828	-1639	626	237	395	-2563	167	-1248	-11786
102	-87	841	-985	605	-2211	-1697	5499	238	127	4349
103	-1165	1051	-848	-402	-1601	-332	2467	252	-3375	847
104	253	-1060	417	413	68	-1570	-3920	-10768	1361	-5063
105	-166	-1070	-193	-170	111	-740	-3604	-2427	-11876	-5145
106	-10	616	-659	-1902	294	244	2431	-33	1045	-1334
107	-1057	-726	-302	-1061	1595	-1635	-514	-511	-2395	266
108	-940	-1624	-582	-786	-468	-4660	-1003	-15749	-6529	-2107
109	97	-1067	63	-165	-1211	-1610	224	-1875	-3150	-19091
110	217	-1432	-2153	-1075	833	-1807	3821	-1762	-1981	-121
111	209	1024	-535	-1537	1524	-3995	3431	-1481	2876	-237
112	30	-1606	551	1401	-4167	-1196	-2925	613	-2783	-3431
113	-221	1002	-928	526	-1723	1525	-2327	-2027	-2499	-607
114	-2516	1081	-673	1540	33	-33	-1258	1336	-2577	-223
115	-5703	2336	-1985	1779	197	370	931	-409	540	-540
116	438	1551	-1680	-902	-8699	-284	-91	-1765	-2392	-1349
117	581	1066	-308	265	-1940	72	-584	-344	564	-10666
118	-461	-1095	-3661	-587	-3356	-1699	647	-1080	2132	24
119	-2050	1114	-2993	-786	-1373	-398	258	-373	3718	-35
120	741	1008	-744	-1369	-1576	-2146	-7186	-21217	-308	-2551
121	243	949	-942	-268	122	817	1439	-2225	-15141	-4756
122	-1657	788	16	-902	-1171	1864	324	-2561	397	-3813
123	-819	706	-1349	-35	-290	-2124	-637	-2343	-1058	-890
124	-956	-2053	-1641	-1195	-1850	-4251	-10855	-15001	3517	-3817
125	-417	1287	964	-355	359	-935	-2955	-1426	289	-14426
126	1541	-786	-2131	-433	-802	-932	-2248	-2316	4924	96
127	202	1178	-2964	833	-995	-5359	69	-4858	1256	-4349
128	1488	-1955	-6590	1047	422	567	311	-46	916	397
129	-445	-1443	-1785	-6945	-2534	-806	-2409	-1184	-707	-4339
130	-553	-2225	-250	-390	-3316	-4497	67	2833	169	-3250
131	-1219	-1234	-1126	-639	-1238	-1503	-5514	-4088	-258	-4326
132	716	923	-1856	2189	-1003	851	1693	-2519	3541	1953

133	-3885	4033	1171	-1778	-1840	201	-423	254	1660	-110
134	-532	674	-26	1549	-531	-896	1359	2189	817	-2616
135	-1160	652	444	-879	264	-1034	-1506	637	759	-5774
136	284	767	-2894	-163	-463	-532	1775	1743	-1438	-3535
137	-14	-1248	-1582	-1472	-7825	41	1292	738	-638	-2979
138	-1723	1233	729	2381	-2160	-951	366	-2293	-2087	-2766
139	-633	1517	1386	104	-4742	-1236	553	-2077	1963	19
140	889	1170	-1810	2026	-1300	-1412	-2235	2597	365	73
141	315	1085	-1493	804	-4255	-581	384	1215	-4135	-1961
142	367	1149	-843	3832	751	-1773	141	-1380	-2936	-1253
143	1436	2531	1351	-2589	-3187	-548	322	-1020	-2293	-235
144	3201	3223	-7383	-297	543	-651	678	323	-1849	-103
145	-279	953	88	-4524	-1394	-985	368	162	-3737	-869
146	-140	-1243	-1575	-1246	-1088	-12797	2644	-1357	-1423	-3826
147	-276	-1147	496	-2601	-816	-5761	409	-2353	-180	-3808
148	1839	-850	-1755	-987	1721	136	1827	-1149	-1978	-2236
149	1593	989	-2006	-1295	178	-383	-370	-2375	-2045	1645
150	-676	-706	-442	406	-582	-3248	2396	1511	-102	834
151	-958	-743	1318	-380	-143	-1849	-618	-2813	-646	691
152	1454	1321	-534	226	169	-498	728	3274	-6877	-4855
153	2038	-907	-756	-503	-2000	682	511	1216	-455	-2455
154	-654	1055	-80	932	-1317	-7334	623	987	-2224	-1816
155	1838	-934	1394	-1145	-1012	-1086	-30	1165	-3178	-5932
156	650	1000	-439	-28	-855	-1335	-309	-3629	-8164	-22246
157	74	-624	-981	277	-2096	-949	252	-1934	-2831	-1794
158	390	824	-10	502	-180	-1024	3594	-1312	-453	-13048
159	441	689	748	-339	-1238	-1602	256	-1273	-4056	-1139
160	488	-847	-1551	1291	1350	2360	-1121	-1975	-2557	-1389
161	28	1340	598	-4263	-267	583	-339	-2073	5695	1087
162	-604	1471	121	810	204	-556	-8401	-9515	1066	-529
163	990	-1082	143	-2570	-2955	-846	-3306	-2630	2567	953
164	-612	870	-816	2482	2483	141	-219	1016	8297	4247
165	-1846	970	555	-1981	-70	-36	506	3256	4191	3182
166	-277	1461	-1531	1314	77	841	-5243	549	3285	835
167	911	775	-878	-110	495	-1006	-210	-728	3255	-7673
168	435	-682	-1077	-223	-1909	2036	-2401	1488	-170	-146
169	1198	729	-200	-1756	-657	562	-2930	1896	760	-569
170	402	833	1728	1750	410	-1849	-1699	567	-594	1553
171	2410	992	784	55	-181	-206	-2038	1294	5254	-1128
172	-183	1304	251	43	1774	-588	-3407	6621	2567	811
173	968	765	-530	424	1	-916	-945	1418	215	11098
174	387	813	507	3149	587	334	-2211	-953	177	-2276
175	4723	2206	-387	2062	-1889	-242	460	-295	50	-1006
176	-148	1071	-5072	-591	2189	-271	891	-1074	-1059	269
177	-610	1281	-1949	-2982	300	-2178	-1236	1529	-1117	-2360
178	964	1344	-808	-772	-218	-4011	-1237	-11201	-5525	-6017
179	1284	902	1566	-935	-1881	-2420	-2133	-1300	508	605
180	-253	1230	-1713	143	4161	46	-972	3265	-2170	1523
181	-293	985	-224	-1218	1262	-392	21	1539	-3888	4842
182	-1135	-777	-142	1582	2476	-2111	-752	1979	819	3038
183	1636	3260	2040	-1427	1727	-1199	-704	383	1769	1835
184	-84	-997	-466	-1081	699	424	1982	4373	-5391	2342
185	-927	-1404	-173	-1332	-715	-1537	-4062	3081	2540	-265
186	469	-986	-610	1306	366	-2774	-955	-1021	-1785	-4607
187	45	-811	1953	-1637	-1037	-126	-376	1108	3463	-339
188	466	-1024	256	234	559	601	-2253	4365	-7628	-2666
189	-250	820	1061	430	1	1248	-2152	2411	-3407	9281

190	923	-746	980	318	1947	611	1862	41	-2343	-4071
191	1280	879	1667	-1035	453	426	725	3454	-674	-1283
192	-2634	-1214	-3448	-394	462	-228	-382	1273	558	1341
193	-1627	-814	-332	-1085	-527	-977	1435	625	2965	-860
194	-656	-770	-389	1721	-1732	-761	-140	1008	3829	5355
195	206	-2713	-263	-421	59	440	-477	-593	-616	-576
196	-595	700	107	1406	1509	2131	50	-341	-143	-4214
197	-2611	1155	866	-1679	1	-1544	692	-2680	-893	1559
198	-758	-793	1172	1539	2007	646	-323	-30	-2017	-4193
199	-2312	-981	173	-653	1087	1344	-2133	650	-28	-968
200	318	-999	-2863	1696	-307	-681	926	1649	39	781
201	-1284	-799	-891	801	-1564	2095	1583	-848	1212	327
202	-1808	-1162	-66	4747	-3304	-1008	173	423	1215	-1181
203	-2641	-1069	902	-232	-2953	-688	-522	-268	-293	-175
204	-797	1150	-1524	4061	-3382	287	484	45	3144	3137
205	-470	666	106	1850	-1222	829	293	-1278	-1657	5862
206	1069	1820	3524	5746	-2775	406	445	44	-1401	-1840
207	-1027	-831	346	-950	-1179	982	-1914	-222	-1854	-2065
208	129	-733	-1895	-58	1454	-746	-1360	-1403	1829	3023
209	-300	-901	-1115	-2174	863	1753	-632	-6038	2705	874
210	722	-886	-485	1167	214	-1057	3351	-2192	5680	3009
211	824	-5704	1072	-2166	-346	335	-528	-304	376	858
212	-1073	-1305	-1095	-269	3150	-618	826	-2718	-2248	-6336
213	-979	1038	1193	271	1048	620	-29	-5764	-4654	-2798
214	-201	729	2020	1455	100	444	1882	-1077	3250	928
215	571	-950	1500	-130	763	-1072	1895	-4771	1534	3396
216	158	-875	-465	1906	-441	-72	1897	3564	-1026	-4080
217	20	-982	334	101	-2873	544	2399	1914	1003	1133
218	1045	-729	1377	2187	-874	-2318	872	52	1335	1465
219	697	-2235	2466	177	-525	-1147	-1289	2700	424	-86
220	-697	-1379	-1799	-642	-14	1276	215	-2441	-16386	-10749
221	554	794	418	40	-1042	382	1777	-7380	-618	1064
222	-1822	-1848	3881	582	1192	-1700	540	-639	-4302	-3165
223	-166	-793	1864	171	-1504	175	1231	792	-3369	-1618
224	-87	-1121	1578	1136	2467	2734	7351	1152	2241	8569
225	-855	-970	420	-301	-36	-582	8104	-426	8356	9449
226	-952	-1536	-432	2641	115	1466	-5846	-233	1257	2578
227	2224	-1399	1103	450	-1763	998	-1686	-1920	736	47
228	50	-1189	1158	1160	3325	15702	2440	887	4671	1783
229	-183	828	1639	-626	-237	-395	2563	-167	1248	11786
230	87	-841	985	-605	2211	1697	-5499	-238	-127	-4349
231	1165	-1051	848	402	1601	332	-2467	-252	3375	-847
232	-253	1060	-417	-413	-68	1570	3920	10768	-1361	5063
233	166	1070	193	170	-111	740	3604	2427	11876	5145
234	10	-616	659	1902	-294	-244	-2431	33	-1045	1334
235	1057	726	302	1061	-1595	1635	514	511	2395	-266
236	940	1624	582	786	468	4660	1003	15749	6529	2107
237	-97	1067	-63	165	1211	1610	-224	1875	3150	19091
238	-217	1432	2153	1075	-833	1807	-3821	1762	1981	121
239	-209	-1024	535	1537	-1524	3995	-3431	1481	-2876	237
240	-30	1606	-551	-1401	4167	1196	2925	-613	2783	3431
241	221	-1002	928	-526	1723	-1525	2327	2027	2499	607
242	2516	-1081	673	-1540	-33	33	1258	-1336	2577	223
243	5703	-2336	1985	-1779	-197	-370	-931	409	-540	540
244	-438	-1551	1680	902	8699	284	91	1765	2392	1349
245	-581	-1066	308	-265	1940	-72	584	344	-564	10666
246	461	1095	3661	587	3356	1699	-647	1080	-2132	-24

247	2050	-1114	2993	786	1373	398	-258	373	-3718	35
248	-741	-1008	744	1369	1576	2146	7186	21217	308	2551
249	-243	-949	942	268	-122	-817	-1439	2225	15141	4756
250	1657	-788	-16	902	1171	-1864	-324	2561	-397	3813
251	819	-706	1349	35	290	2124	637	2343	1058	890
252	956	2053	1641	1195	1850	4251	10855	15001	-3517	3817
253	417	-1287	-964	355	-359	935	2955	1426	-289	14426
254	-1541	786	2131	433	802	932	2248	2316	-4924	-96
255	-202	-1178	2964	-833	995	5359	-69	4858	-1256	4349



## Contents for Subpart 3

3.1	Scope .....	2
3.1.1	General description of the CELP decoder.....	2
3.1.2	Functionality of MPEG-4 CELP.....	2
3.2	Terms and Definitions .....	5
3.3	Bitstream syntax .....	6
3.3.1	CELP object type .....	6
3.3.2	ER-CELP object type .....	11
3.4	Semantics .....	25
3.4.1	Header semantics .....	25
3.4.2	Frame semantics.....	28
3.5	MPEG-4 CELP Decoder tools .....	33
3.5.1	General Introduction to the MPEG-4 CELP decoder tool-set .....	34
3.5.2	AAC/CELP scalable configuration.....	35
3.5.3	Helping variables .....	35
3.5.4	Bitstream elements for the MPEG-4 CELP decoder tool-set.....	36
3.5.5	CELP bitstream demultiplexer.....	36
3.5.6	CELP LPC decoder and interpolator.....	37
3.5.7	CELP excitation generator .....	57
3.5.8	CELP LPC synthesis filter.....	80
3.5.9	CELP silence compression tool .....	81
Annex 3.A	(informative) MPEG-4 CELP decoder tools .....	89
3.A.1	CELP post-processor .....	89
Annex 3.B	(informative) MPEG-4 CELP encoder tools .....	92
3.B.1	General Introduction to the MPEG-4 CELP encoder tool-set.....	92
3.B.2	Helping variables .....	92
3.B.3	Bitstream elements for the MPEG-4 CELP encoder tool-set.....	94
3.B.4	CELP preprocessing.....	94
3.B.5	CELP LPC analysis .....	95
3.B.6	CELP LPC quantizer and interpolator.....	97
3.B.7	CELP LPC analysis filter .....	106
3.B.8	CELP weighting module.....	107
3.B.9	CELP excitation analysis .....	108
3.B.10	CELP bitstream multiplexer .....	123
3.B.11	CELP silence compression tool .....	123
Annex 3.C	(normative) Tables.....	129
3.C.1	LSP VQ tables and gain VQ tables for 8 kHz sampling rate .....	129
3.C.2	LSP VQ tables and gain VQ tables for the 16 kHz sampling rate.....	137
3.C.3	Gain tables for the bitrate scalable tool .....	153
3.C.4	LSP VQ tables and gain VQ tables for the bandwidth scalable tool.....	153
Annex 3.D	(informative) Tables .....	165
3.D.1	Bandwidth expansion tables in LPC analysis of the mode II coder .....	165
3.D.2	Downsampling filter coefficients for the bandwidth scalable tool .....	165
Annex 3.E	(informative) Example of a simple CELP transport stream.....	167
Annex 3.F	(informative) Random access points.....	169

## Subpart 3: Speech Coding - CELP

### 3.1 Scope

#### 3.1.1 General description of the CELP decoder

This subclause provides a brief overview of the CELP (Code Excited Linear Prediction) decoder. A basic block diagram of the CELP decoder is given in Figure 3.1.

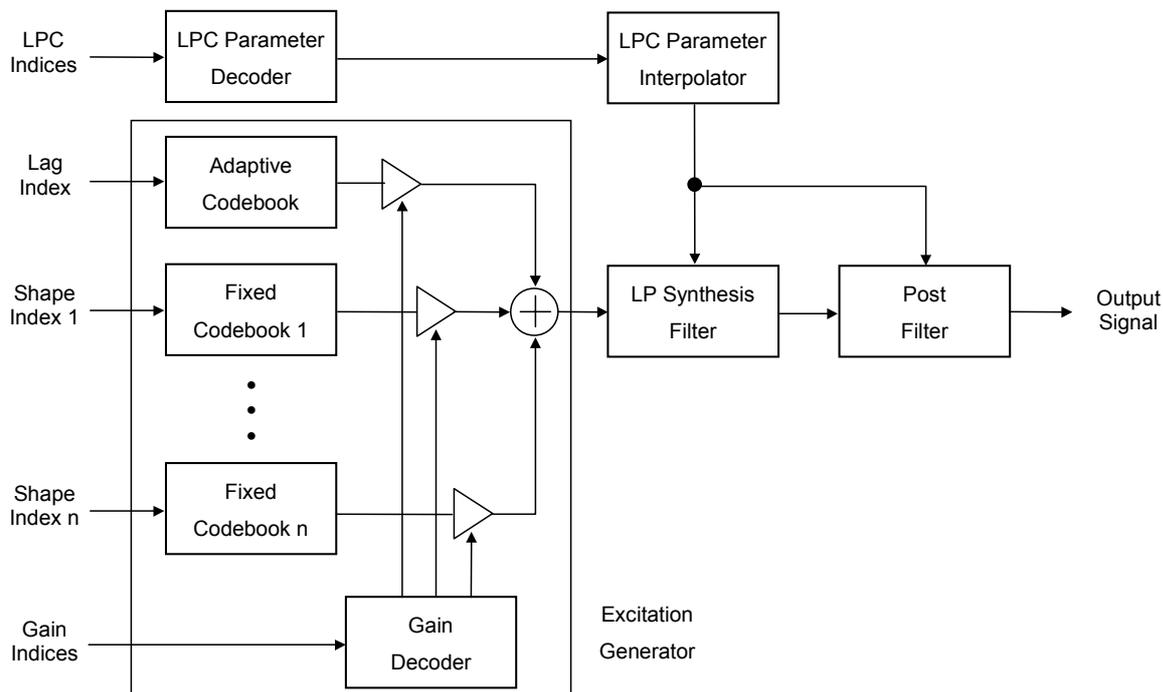


Figure 3.1 — Block diagram of a CELP decoder

The CELP decoder primarily consists of an excitation generator and a synthesis filter. Additionally, CELP decoders often include a post-filter. The excitation generator has an adaptive codebook to model periodic components, fixed codebooks to model random components and a gain decoder to represent a speech signal level. Indices for the codebooks and gains are provided by the encoder. The codebook indices (pitch-lag index for the adaptive codebook and shape index for the fixed codebook) and gain indices (adaptive and fixed codebook gains) are used to generate the excitation signal. It is then filtered by the linear predictive synthesis filter (LP synthesis filter). Filter coefficients are reconstructed using the LPC indices, then are interpolated with the filter coefficients of successive analysis frames. Finally, a post-filter can optionally be applied in order to enhance the speech quality.

#### 3.1.2 Functionality of MPEG-4 CELP

MPEG-4 CELP is a generic coding algorithm with new functionalities. Conventional CELP coders offer compression at a single bitrate and are optimized for specific applications. Compression is one of the functions provided by MPEG-4 CELP, enabling the use of one basic coder for various applications. It provides scalability in bitrate and bandwidth, as well as the ability to generate bitstreams at arbitrary bitrates. The MPEG-4 CELP coder supports two sampling rates, namely, 8 and 16 kHz. The associated bandwidths are 100 – 3400 Hz for 8 kHz sampling rate and 50 – 7000 Hz for 16 kHz sampling rate. Furthermore, silence compression and error resilient bitstream reordering are newly adopted.

### 3.1.2.1 Configuration of the MPEG-4 CELP coder

Two different tools can be used to generate the excitation signal. These are the Multi-Pulse Excitation (MPE) tool or the Regular-Pulse Excitation (RPE) tool. MPE is used for speech sampled at 8 kHz or 16 kHz. RPE is only used for speech sampled at 16 kHz. The two possible coding modes are summarized in Table 3.1.

**Table 3.1 — Coding modes in the MPEG-4 CELP coder**

Coding Mode	Excitation tool	Sampling rate
I	RPE	16 kHz
II	MPE	8, 16 kHz

### 3.1.2.2 Features of the MPEG-4 CELP coder

The MPEG-4 CELP coder offers the following functionality, depending on the coding mode.

**Table 3.2 — Functionality of the MPEG-4 CELP coder**

Coding Mode	Functionality
I	Multiple bitrates, FineRate Control
II	Multiple bitrates, Bitrate Scalability, Bandwidth Scalability, FineRate Control

For both coding modes, silence compression and error resilient bitstream reordering are available.

**Multiple bitrates:** The available bitrates depend on the coding mode and the sampling rate. The following fixed bitrates are supported:

**Table 3.3 — Fixed bitrates for the mode I coder**

Bitrates for the 16 kHz sampling rate (bit/s)
14400, 16000, 18667, 22533

**Table 3.4 — Fixed bitrates for the mode II coder**

Bitrates for the 8 kHz sampling rate (bit/s)	Bitrates for the 16 kHz sampling rate (bit/s)
3850, 4250, 4650, 4900, 5200, 5500, 5700, 6000, 6200, 6300, 6600, 6900, 7100, 7300, 7700, 8300, 8700, 9100, 9500, 9900, 10300, 10500, 10700, 11000, 11400, 11800, 12000, 12200	10900, 11500, 12100, 12700, 13300, 13900, 14300, 14700, 15900, 17100, 17900, 18700, 19500, 20300, 21100, 13600, 14200, 14800, 15400, 16000, 16600, 17000, 17400, 18600, 19800, 20600, 21400, 22200, 23000, 23800

During non-active frames, the silence compression tool is used and the CELP coder operates at bitrates shown in Table 3.5. The bitrate depends on the coding mode, the sampling rate and the frame length.

**Table 3.5 — Bitrates for the silence compression tool**

Coding mode	Sampling rate [kHz]	Band width scalability	Frame length [ms]	Bitrate [bit/s]		
				TX_flag	HR-SID	LR-SID
I (RPE)	16	-	15	133	2533	400
			10	200	3800	600
II (MPE)	8	On, Off	40	50	525	150
			30	67	700	200
			20	100	1050	300
			10	200	2100	600
	16	Off	20	100	1900	300
			10	200	3800	600
		On	40	50	1050	150
			30	67	1400	200
			20	100	2100	300
			10	200	4200	600

**Fine Rate Control:** Enables fine step bitrate control (permitting variable bitrate operation). This is achieved purely by controlling the transmission rate of the LPC parameters using a combinations of the two bitstream elements **interpolation\_flag** and **LPC\_present** flag. Using FineRate Control it is possible to vary the ratio of LPC-frames to total frames between 50% and 100%. This enables the bitrate to be decreased with respect to the anchor bitrate, as defined in the Semantics.

**Bitrate Scalability:** Bitrate scalability is provided by adding enhancement layers. Enhancement layers can be added with a step of 2000 bit/s for signals sampled at 8 kHz or 4000 bit/s for signals sampled at 16 kHz. A maximum of three enhancement layers may be combined with any bitrate chosen from Table 3.4.

**Bandwidth Scalability:** Bandwidth scalability to cover both sampling rates is achieved by incorporating a bandwidth extension tool in the CELP coder. This is an enhancement tool, supported in Mode II, which may be added if scalability from the 8 kHz sampling rate to the 16 kHz sampling rate is required. A complete coder with bandwidth scalability consists of a core CELP coder for the 8 kHz sampling rate and the bandwidth extension tool to provide a single layer of scalability. The core CELP coder for the 8 kHz sampling rate can comprise several layers. It should be noted that an 8 kHz sampling rate coder with this tool is not the same as a 16 kHz sampling rate coder. Both configurations (8 kHz sampling rate coder with bandwidth scalability and 16 kHz sampling rate coder) offer greater intelligibility and naturalness of decoded speech than does the 8 kHz coder alone because they expand the bandwidth to 7 kHz. The additional bitrate required for the bandwidth scalability tool can be selected from 4 discrete steps for each core layer bitrate as shown in Table 3.6.

**Table 3.6 — Bitrates for the bandwidth scalable mode**

Bitrate of the core layer (bit/s)	Additional bitrate (bit/s)
3850 - 4650	+9200, +10400, +11600, +12400
4900 - 5500	+9467, +10667, +11867, +12667
5700 - 10700	+10000, +11200, +12400, +13200
11000 - 12200	+11600, +12800, +14000, +14800

**Silence compression:** The silence compression tool can be used to reduce the bitrate for input signals with little voice activity. For such non-active periods, the decoder substitutes the regular excitation signal with synthetically generated noise. For voice active periods, the regular speech synthesis process is always used. The silence compression tool is available when the ER-CELP object type is used.

**Error resilient bitstream reordering:** Error resilient bitstream reordering allows the effective use of advanced channel coding techniques like unequal error protection (UEP). The basic idea is to rearrange the audio frame

content depending on its error sensitivity in one or more instances belonging to different error sensitivity categories (ESC). This rearrangement works either data element-wise or even bit-wise. An error resilient bitstream frame is build by concatenating these instances. This functionality is available when the ER-CELP object type is used.

### 3.1.2.3 Algorithmic delay of MPEG-4 CELP modes

The algorithmic delay of the CELP coder comes from the frame length and an additional look ahead length. The frame length depends on the coding mode and the bitrate. The look ahead length, which is an informative parameter, also depends on the coding mode. The delays presented below are applicable to the modes where FineRate Control is off. When FineRate Control is on, additional one-frame delay is introduced. Bandwidth scalability in the mode II coder requires an additional look ahead of 5 ms due to down-sampling.

**Table 3.7 — Delay and frame length for the mode I coder of the 16 kHz sampling rate**

Bitrate for Mode I (bit/s)	Delay (ms)	Frame Length (ms)
14400	26.25	15
16000	18.75	10
18667	26.56	15
22533	26.75	15

**Table 3.8 — Delay and frame length for the mode II coder of the 8 kHz sampling rate**

Bitrate for Mode II (bit/s)	Delay (ms)	Frame Length (ms)
3850, 4250, 4650	45	40
4900, 5200, 5500, 6200	35	30
5700, 6000, 6300, 6600, 6900, 7100, 7300, 7700, 8300, 8700, 9100, 9500, 9900, 10300, 10500, 10700	25	20
11000, 11400, 11800, 12000, 12200	15	10

**Table 3.9 — Delay and frame length for the mode II coder of the 16 kHz sampling rate**

Bitrate for Mode II (bit/s)	Delay (ms)	Frame Length (ms)
10900, 11500, 12100, 12700, 13300, 13900, 14300, 14700, 15900, 17100, 17900, 18700, 19500, 20300, 21100	25	20
13600, 14200, 14800, 15400, 16000, 16600, 17000, 17400, 18600, 19800, 20600, 21400, 22200, 23000, 23800	15	10

In case silence compression is used, the algorithmic delay is the same as without silence compression, since the same frame length and the same additional look-ahead length are used.

## 3.2 Terms and Definitions

Terms and Definitions can be found in subpart 1, subclause 1.3.

### 3.3 Bitstream syntax

#### 3.3.1 CELP object type

##### 3.3.1.1 Header syntax

##### CelpSpecificConfig()

The following CelpSpecificConfig() is required for the CELP object type:

**Table 3.10 — Syntax of CelpSpecificConfig ()**

Syntax	No. of bits	Mnemonic
CelpSpecificConfig (uint(4) samplingFrequencyIndex)		
{		
<b>isBaseLayer</b>	<b>1</b>	<b>uimsbf</b>
if (isBaseLayer)		
{		
CelpHeader (samplingFrequencyIndex);		
}		
else		
{		
<b>isBWSLayer;</b>	<b>1</b>	<b>uimsbf</b>
if (isBWSLayer)		
{		
CelpBWSenhHeader ();		
}		
else		
{		
<b>CELP-BRS-id;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		
}		

**Table 3.11 — Syntax of CelpHeader()**

Syntax	No. of bits	Mnemonic
CelpHeader (samplingFrequencyIndex)		
{		
<b>ExcitationMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>SampleRateMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>FineRateControl;</b>	<b>1</b>	<b>uimsbf</b>
if (ExcitationMode == RPE) {		
<b>RPE_Configuration;</b>	<b>3</b>	<b>uimsbf</b>
}		
if (ExcitationMode == MPE) {		
<b>MPE_Configuration;</b>	<b>5</b>	<b>uimsbf</b>
<b>NumEnhLayers;</b>	<b>2</b>	<b>uimsbf</b>
<b>BandwidthScalabilityMode;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

Table 3.12 — Syntax of CelpBWSenhHeader()

Syntax	No. of bits	Mnemonic
CelpBWSenhHeader ()		
{		
<b>BWS_configuration;</b>	<b>2</b>	<b>uimsbf</b>
}		

### 3.3.1.2 Frame syntax

#### Transmission of CELP bitstreams

Each layer of an MPEG-4 CELP audio bitstream is transmitted in an Elementary Stream. In sIPacketPayload, the following dynamic data for CELP Audio has to be included:

#### CELP Base Layer -- Access Unit payload

```
sIPacketPayload
{
    CelpBaseFrame();
}
```

#### CELP Enhancement Layer -- Access Unit payload

To parse and decode the CELP enhancement layer, information decoded from the CELP base layer is required. For the bitrate scalable mode, the following data for the CELP enhancement layer has to be included:

```
sIPacketPayload
{
    CelpBRSenhFrame();
}
```

For the bandwidth scalable mode, the following data for the CELP enhancement layer has to be included:

```
sIPacketPayload
{
    CelpBWSenhFrame();
}
```

In case bitrate scalability and bandwidth scalability are both used simultaneously, first all bitrate enhancement layers have to be conveyed prior to the bandwidth scalability layer.

**Table 3.13 — Syntax of CelpBaseFrame()**

Syntax	No. of bits	Mnemonic
<pre> CelpBaseFrame() {   Celp_LPC();   if (ExcitationMode == MPE) {     MPE_frame();   }   if ((ExcitationMode == RPE) &amp;&amp; (SampleRateMode == 16kHz)) {     RPE_frame();   } } </pre>		

**Table 3.14 — Syntax of CelpBRSenhFrame()**

Syntax	No. of bits	Mnemonic
<pre> CelpBRSenhFrame() {   for (subframe = 0; subframe &lt; nrof_subframes; subframe++) {     <b>shape_enh_positions [subframe][enh_layer];</b>     <b>shape_enh_signs [subframe][enh_layer];</b>     <b>gain_enh_index [subframe][enh_layer];</b>   } } </pre>	<p><b>4, 12</b></p> <p><b>2, 4</b></p> <p><b>4</b></p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>

**Table 3.15 — Syntax of CelpBWSenhFrame()**

Syntax	No. of bits	Mnemonic
<pre> CelpBWSenhFrame() {   BandScalable_LSP()   for (subframe = 0; subframe &lt; nrof_subframe_bws; subframe++)   {     <b>shape_bws_delay [subframe];</b>     <b>shape_bws_positions [subframe];</b>     <b>shape_bws_signs [subframe];</b>     <b>gain_bws_index [subframe];</b>   } } </pre>	<p><b>3</b></p> <p><b>22, 26, 30, 32</b></p> <p><b>6, 8, 10, 12</b></p> <p><b>11</b></p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>



Table 3.19 — Syntax of BandScalable\_LSP()

Syntax	No. of bits	Mnemonic
BandScalable_LSP() {		
lpc_indices [5];	4	uimsbf
lpc_indices [6];	7	uimsbf
lpc_indices [7];	4	uimsbf
lpc_indices [8];	6	uimsbf
lpc_indices [9];	7	uimsbf
lpc_indices [10];	4	uimsbf
}		

Table 3.20 — Syntax of WideBand\_LSP()

Syntax	No. of bits	Mnemonic
WideBand_LSP() {		
lpc_indices [0];	5	uimsbf
lpc_indices [1];	5	uimsbf
lpc_indices [2];	7	uimsbf
lpc_indices [3];	7	uimsbf
lpc_indices [4];	1	uimsbf
lpc_indices [5];	4	uimsbf
lpc_indices [6];	4	uimsbf
lpc_indices [7];	7	uimsbf
lpc_indices [8];	5	uimsbf
lpc_indices [9];	1	uimsbf
}		

## 3.3.1.2.2 Excitation syntax

Table 3.21 — Syntax of RPE\_frame()

Syntax	No. of bits	Mnemonic
RPE_frame() {		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay [subframe];	8	uimsbf
shape_index [subframe];	11,12	uimsbf
gain_indices [0][subframe];	6	uimsbf
gain_indices [1][subframe];	3,5	uimsbf
}		
}		

Table 3.22 — Syntax of MPE\_frame()

Syntax	No. of bits	Mnemonic
MPE_frame() {		
<b>signal_mode;</b>	<b>2</b>	<b>uimsbf</b>
<b>rms_index;</b>	<b>6</b>	<b>uimsbf</b>
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
<b>shape_delay [subframe];</b>	<b>8, 9</b>	<b>uimsbf</b>
<b>shape_positions [subframe];</b>	<b>14 ... 32</b>	<b>uimsbf</b>
<b>shape_signs [subframe];</b>	<b>3 ... 12</b>	<b>uimsbf</b>
<b>gain_index [subframe];</b>	<b>6, 7</b>	<b>uimsbf</b>
}		
}		

### 3.3.2 ER-CELP object type

#### 3.3.2.1 Header syntax

##### ErrorResilientCelpSpecificConfig()

The following ErrorResilientCelpSpecificConfig () is required for the ER-CELP object type:

Table 3.23 — Syntax of ErrorResilientCelpSpecificConfig ()

Syntax	No. of bits	Mnemonic
ErrorResilientCelpSpecificConfig (uint(4) samplingFrequencyIndex) {		
<b>isBaseLayer;</b>	<b>1</b>	<b>uimsbf</b>
if (isBaseLayer)		
{		
ER_SC_CelpHeader (samplingFrequencyIndex);		
}		
else		
{		
<b>isBWLayer;</b>	<b>1</b>	<b>uimsbf</b>
if (isBWLayer)		
{		
CelpBWSenhHeader ();		
}		
else		
{		
<b>CELP-BRS-id;</b>	<b>2</b>	<b>uimsbf</b>
}		
}		
}		

Table 3.24 — Syntax of ER\_SC\_CelpHeader()

Syntax	No. of bits	Mnemonic
ER_SC_CelpHeader (samplingFrequencyIndex)		
{		
<b>ExcitationMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>SampleRateMode;</b>	<b>1</b>	<b>uimsbf</b>
<b>FineRateControl;</b>	<b>1</b>	<b>uimsbf</b>
<b>SilenceCompression;</b>	<b>1</b>	<b>uimsbf</b>
if (ExcitationMode == RPE) {		
<b>RPE_Configuration;</b>	<b>3</b>	<b>uimsbf</b>
}		
if (ExcitationMode == MPE) {		
<b>MPE_Configuration;</b>	<b>5</b>	<b>uimsbf</b>
<b>NumEnhLayers;</b>	<b>2</b>	<b>uimsbf</b>
<b>BandwidthScalabilityMode;</b>	<b>1</b>	<b>uimsbf</b>
}		
}		

### 3.3.2.2 Frame syntax

In order to describe the bit error sensitivity of bitstream elements, error sensitivity categories (ESC) are introduced. To describe single bits of elements, the following notation is used.

#### gain, x-y

Denotes bit x to bit y of element gain, whereby x is transmitted first. The LSB is bit zero and the MSB of an element that consist of N bit is N-1. The MSB is always the first bit in the bitstream.

The following syntax is a replacement for CelpBaseFrame. The syntax for enhancement layer for bitrate and bandwidth scalability is not affected.

#### Transmission of CELP bitstreams

The payload data for the ER CELP object is transmitted as sIPacketPayload payload in the base layer and the optional enhancement layer Elementary Stream.

#### Error Resilient CELP Base Layer -- Access Unit payload

```
sIPacketPayload
{
    ER_SC_CelpBaseFrame();
}
```

#### Error Resilient CELP Enhancement Layer -- Access Unit payload

To parse and decode the Error Resilient CELP enhancement layers, information decoded from the Error Resilient CELP base layer is required. For the bitrate scalable mode, the following data for the Error Resilient CELP enhancement layers has to be included:

```
sIPacketPayload
{
    ER_SC_CelpBRSenhFrame();
}
```

For the bandwidth scalable mode, the following data for the Error Resilient CELP enhancement layer has to be included:

```
slPacketPayload
{
    ER_SC_CelpBWSenhFrame();
}
```

### 3.3.2.2.1 CELP base layer

Table 3.25 — Syntax of ER\_SC\_CelpBaseFrame()

Syntax	No. of bits	Mnemonic
ER_SC_CelpBaseFrame() { if (SilenceCompression == OFF) { ER_CelpBaseFrame(); } else { SC_VoiceActivity_ESC0(); if (TX_flag == 1) { ER_CelpBaseFrame(); } else if (TX_flag == 2) { SID_LSP_VQ_ESC0(); SID_Frame_ESC0(); } else if (TX_flag == 3) { SID_Frame_ESC0(); } } }		

Table 3.26 — Syntax of SC\_VoiceActivity\_ESC0 ()

Syntax	No. of bits	Mnemonic
SC_VoiceActivity_ESC0 () { <b>TX_flag;</b> }	<b>2</b>	<b>uimsbf</b>

Table 3.27 — Syntax of ER\_CelpBaseFrame ()

Syntax	No. of bits	Mnemonic
<pre> ER_CelpBaseFrame() {   if (ExcitationMode == MPE) {     if (SampleRateMode == 8kHz) {       MPE_NarrowBand_ESC0();       MPE_NarrowBand_ESC1();       MPE_NarrowBand_ESC2();       MPE_NarrowBand_ESC3();       MPE_NarrowBand_ESC4();     }     if (SampleRateMode == 16kHz) {       MPE_WideBand_ESC0();       MPE_WideBand_ESC1();       MPE_WideBand_ESC2();       MPE_WideBand_ESC3();       MPE_WideBand_ESC4();     }   }    if ((ExcitationMode == RPE) &amp;&amp; (SampleRateMode == 16kHz)) {     RPE_WideBand_ESC0();     RPE_WideBand_ESC1();     RPE_WideBand_ESC2();     RPE_WideBand_ESC3();     RPE_WideBand_ESC4();   } } </pre>		

3.3.2.2.1.1 MPE narrowband syntax

Table 3.28 — Syntax of MPE\_NarrowBand\_ESC0()

Syntax	No. of bits	Mnemonic
<pre> MPE_NarrowBand_ESC0() {   if (FineRateControl == ON) {     <b>interpolation_flag;</b>     <b>LPC_Present;</b>   }   <b>rms_index, 5-4;</b>   for (subframe = 0; subframe &lt; nrof_subframes; subframe++) {     <b>shape_delay[subframe], 7;</b>   } } </pre>	<p>1</p> <p>1</p> <p>2</p> <p>1</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>

Table 3.29 — Syntax of MPE\_NarrowBand\_ESC1()

Syntax	No. of bits	Mnemonic
MPE_NarrowBand_ESC1() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [0], 1-0;	2	uimsbf
lpc_indices [1], 0;	1	uimsbf
}		
} else {		
lpc_indices [0], 1-0;	2	uimsbf
lpc_indices [1], 0;	1	uimsbf
}		
<b>signal_mode;</b>	2	uimsbf
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
<b>shape_delay</b> [subframe], 6-5;	2	uimsbf
}		
}		

Table 3.30 — Syntax of MPE\_NarrowBand\_ESC2()

Syntax	No. of bits	Mnemonic
MPE_NarrowBand_ESC2() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [2], 6;	1	uimsbf
lpc_indices [2], 0;	1	uimsbf
lpc_indices [4];	1	uimsbf
}		
} else {		
lpc_indices [2], 6;	1	uimsbf
lpc_indices [2], 0;	1	uimsbf
lpc_indices [4];	1	uimsbf
}		
<b>rms_index, 3</b>	1	uimsbf
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
<b>shape_delay</b> [subframe], 4-3;	2	uimsbf
<b>gain_index</b> [subframe], 1-0;	2	uimsbf
}		
}		

Table 3.31 — Syntax of MPE\_NarrowBand\_ESC3()

Syntax	No. of bits	Mnemonic
MPE_NarrowBand_ESC3() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [0], 3-2;	2	uimsbf
lpc_indices [1], 2-1;	2	uimsbf
lpc_indices [2], 5-1;	5	uimsbf
}		
} else {		
lpc_indices [0], 3-2;	2	uimsbf
lpc_indices [1], 2-1;	2	uimsbf
lpc_indices [2], 5-1;	5	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 2-0;	3	uimsbf
shape_signs[subframe];	3 ... 12	uimsbf
gain_index[subframe], 2;	1	uimsbf
}		
}		

Table 3.32 — Syntax of MPE\_NarrowBand\_ESC4()

Syntax	No. of bits	Mnemonic
MPE_NarrowBand_ESC4() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [1], 3;	1	uimsbf
lpc_indices [3];	6	uimsbf
}		
} else {		
lpc_indices [1], 3;	1	uimsbf
lpc_indices [3];	6	uimsbf
}		
rms_index, 2-0	3	uimsbf
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_positions[subframe];	13 ... 32	uimsbf
gain_index[subframe], 5-3;	3	uimsbf
}		
}		

## 3.3.2.2.1.2 MPE wideband syntax

Table 3.33 — Syntax of MPE\_WideBand\_ESC0()

Syntax	No. of bits	Mnemonic
MPE_WideBand_ESC0() {		
if (FineRateControl == ON) {		
<b>interpolation_flag;</b>	1	<b>uimsbf</b>
<b>LPC_Present;</b>	1	<b>uimsbf</b>
if (LPC_Present == YES) {		
<b>lpc_indices [0];</b>	5	<b>uimsbf</b>
<b>lpc_indices [1], 1-0;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 6;</b>	1	<b>uimsbf</b>
<b>lpc_indices [2], 4-0;</b>	5	<b>uimsbf</b>
<b>lpc_indices [4];</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 0;</b>	1	<b>uimsbf</b>
}		
} else {		
<b>lpc_indices [0];</b>	5	<b>uimsbf</b>
<b>lpc_indices [1], 1-0;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 6;</b>	1	<b>uimsbf</b>
<b>lpc_indices [2], 4-0;</b>	5	<b>uimsbf</b>
<b>lpc_indices [4];</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 0;</b>	1	<b>uimsbf</b>
}		
<b>rms_index, 4-5;</b>	2	<b>uimsbf</b>
}		

Table 3.34 — Syntax of MPE\_WideBand\_ESC1()

Syntax	No. of bits	Mnemonic
MPE_WideBand_ESC1() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
<b>lpc_indices [1], 3-2;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 5;</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 1;</b>	1	<b>uimsbf</b>
<b>lpc_indices [6], 1-0;</b>	2	<b>uimsbf</b>
}		
} else {		
<b>lpc_indices [1], 3-2;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 5;</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 1;</b>	1	<b>uimsbf</b>
<b>lpc_indices [6], 1-0;</b>	2	<b>uimsbf</b>
}		
<b>signal_mode;</b>	2	<b>uimsbf</b>
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
<b>shape_delay[subframe], 8-6;</b>	3	<b>uimsbf</b>
}		
}		

Table 3.35 — Syntax of MPE\_WideBand\_ESC2()

Syntax	No. of bits	Mnemonic
MPE_WideBand_ESC2()		
{		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [1], 4;	1	uimsbf
lpc_indices [3], 6;	1	uimsbf
lpc_indices [3], 1;	1	uimsbf
lpc_indices [5], 2;	1	uimsbf
lpc_indices [6], 3;	1	uimsbf
lpc_indices [7], 6;	1	uimsbf
lpc_indices [7], 4;	1	uimsbf
lpc_indices [7], 1-0;	2	uimsbf
lpc_indices [9];	1	uimsbf
}		
} else {		
lpc_indices [1], 4;	1	uimsbf
lpc_indices [3], 6;	1	uimsbf
lpc_indices [3], 1;	1	uimsbf
lpc_indices [5], 2;	1	uimsbf
lpc_indices [6], 3;	1	uimsbf
lpc_indices [7], 6;	1	uimsbf
lpc_indices [7], 4;	1	uimsbf
lpc_indices [7], 1-0;	2	uimsbf
lpc_indices [9];	1	uimsbf
}		
rms_index, 3;	1	uimsbf
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 5-4;	2	uimsbf
gain_index[subframe], 1-0;	2	uimsbf
}		
}		

Table 3.36 — Syntax of MPE\_WideBand\_ESC3()

Syntax	No. of bits	Mnemonic
MPE_WideBand_ESC3() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [3], 4-2;	3	uimsbf
lpc_indices [3], 0;	1	uimsbf
lpc_indices [5], 3;	1	uimsbf
lpc_indices [6], 2;	1	uimsbf
lpc_indices [7], 5;	1	uimsbf
lpc_indices [7], 3-2;	2	uimsbf
lpc_indices [8], 4-1;	4	uimsbf
}		
} else {		
lpc_indices [3], 4-2;	3	uimsbf
lpc_indices [3], 0;	1	uimsbf
lpc_indices [5], 3;	1	uimsbf
lpc_indices [6], 2;	1	uimsbf
lpc_indices [7], 5;	1	uimsbf
lpc_indices [7], 3-2;	2	uimsbf
lpc_indices [8], 4-1;	4	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 3-2;	2	uimsbf
shape_signs[subframe];	3 ... 12	uimsbf
gain_index[subframe], 2;	1	uimsbf
}		
}		

Table 3.37 — Syntax of MPE\_WideBand\_ESC4()

Syntax	No. of bits	Mnemonic
MPE_WideBand_ESC4() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [3], 5;	1	uimsbf
lpc_indices [8], 0;	1	uimsbf
}		
} else {		
lpc_indices [3], 5;	1	uimsbf
lpc_indices [8], 0;	1	uimsbf
}		
rms_index, 2-0;	3	uimsbf
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 1-0;	2	uimsbf
shape_positions[subframe];	14 ... 32	uimsbf
gain_index[subframe], 6-3;	4	uimsbf
}		
}		

## 3.3.2.2.1.3 RPE wideband syntax

Table 3.38 — Syntax of RPE\_WideBand\_ESC0()

Syntax	No. of bits	Mnemonic
RPE_WideBand_ESC0() {		
if (FineRateControl == ON){		
<b>interpolation_flag;</b>	1	<b>uimsbf</b>
<b>LPC_Present;</b>	1	<b>uimsbf</b>
if (LPC_Present == YES) {		
<b>lpc_indices [0];</b>	5	<b>uimsbf</b>
<b>lpc_indices [1], 1-0;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 6;</b>	1	<b>uimsbf</b>
<b>lpc_indices [2], 4-0;</b>	5	<b>uimsbf</b>
<b>lpc_indices [4];</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 0;</b>	1	<b>uimsbf</b>
}		
} else {		
<b>lpc_indices [0];</b>	5	<b>uimsbf</b>
<b>lpc_indices [1], 1-0;</b>	2	<b>uimsbf</b>
<b>lpc_indices [2], 6;</b>	1	<b>uimsbf</b>
<b>lpc_indices [2], 4-0;</b>	5	<b>uimsbf</b>
<b>lpc_indices [4];</b>	1	<b>uimsbf</b>
<b>lpc_indices [5], 0;</b>	1	<b>uimsbf</b>
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
<b>gain_indices[0][subframe], 5-3;</b>	3	<b>uimsbf</b>
if (subframe == 0) {		
<b>gain_indices[1][subframe], 4-3;</b>	2	<b>uimsbf</b>
} else {		
<b>gain_indices[1][subframe], 2;</b>	1	<b>uimsbf</b>
}		
}		
}		

Table 3.39 — Syntax of RPE\_WideBand\_ESC1()

Syntax	No. of bits	Mnemonic
RPE_WideBand_ESC1() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [1], 3-2;	2	uimsbf
lpc_indices [2], 5;	1	uimsbf
lpc_indices [5], 1;	1	uimsbf
lpc_indices [6], 1-0;	2	uimsbf
}		
} else {		
lpc_indices [1], 3-2;	2	uimsbf
lpc_indices [2], 5;	1	uimsbf
lpc_indices [5], 1;	1	uimsbf
lpc_indices [6], 1-0;	2	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 7-5;	3	uimsbf
}		
}		

Table 3.40 — Syntax of RPE\_WideBand\_ESC2()

Syntax	No. of bits	Mnemonic
RPE_WideBand_ESC2()		
{		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [1], 4;	1	uimsbf
lpc_indices [3], 6;	1	uimsbf
lpc_indices [3], 1;	1	uimsbf
lpc_indices [5], 2;	1	uimsbf
lpc_indices [6], 3;	1	uimsbf
lpc_indices [7], 6;	1	uimsbf
lpc_indices [7], 4;	1	uimsbf
lpc_indices [7], 1-0;	2	uimsbf
lpc_indices [9];	1	uimsbf
}		
} else {		
lpc_indices [1], 4;	1	uimsbf
lpc_indices [3], 6;	1	uimsbf
lpc_indices [3], 1;	1	uimsbf
lpc_indices [5], 2;	1	uimsbf
lpc_indices [6], 3;	1	uimsbf
lpc_indices [7], 6;	1	uimsbf
lpc_indices [7], 4;	1	uimsbf
lpc_indices [7], 1-0;	2	uimsbf
lpc_indices [9];	1	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 4-3;	2	uimsbf
gain_indices[0][subframe], 2;	1	uimsbf
if (subframe == 0) {		
gain_indices[1][subframe], 2;	1	uimsbf
} else {		
gain_indices[1][subframe], 1;	1	uimsbf
}		
}		
}		

Table 3.41 — Syntax of RPE\_WideBand\_ESC3()

Syntax	No. of bits	Mnemonic
RPE_WideBand_ESC3() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [3], 4-2;	3	uimsbf
lpc_indices [3], 0;	1	uimsbf
lpc_indices [5], 3;	1	uimsbf
lpc_indices [6], 2;	1	uimsbf
lpc_indices [7], 5;	1	uimsbf
lpc_indices [7], 3-2;	2	uimsbf
lpc_indices [8], 4-1;	4	uimsbf
}		
} else {		
lpc_indices [3], 4-2;	3	uimsbf
lpc_indices [3], 0;	1	uimsbf
lpc_indices [5], 3;	1	uimsbf
lpc_indices [6], 2;	1	uimsbf
lpc_indices [7], 5;	1	uimsbf
lpc_indices [7], 3-2;	2	uimsbf
lpc_indices [8], 4-1;	4	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 2-1;	2	uimsbf
}		
}		

Table 3.42 — Syntax of RPE\_WideBand\_ESC4()

Syntax	No. of bits	Mnemonic
RPE_WideBand_ESC4() {		
if (FineRateControl == ON) {		
if (LPC_Present == YES) {		
lpc_indices [3], 5;	1	uimsbf
lpc_indices [8], 0;	1	uimsbf
}		
} else {		
lpc_indices [3], 5;	1	uimsbf
lpc_indices [8], 0;	1	uimsbf
}		
for (subframe = 0; subframe < nrof_subframes; subframe++) {		
shape_delay[subframe], 0;	1	uimsbf
shape_index[subframe];	11, 12	uimsbf
gain_indices[0][subframe], 1-0;	2	uimsbf
if (subframe == 0) {		
gain_indices[1][subframe], 1-0;	2	uimsbf
} else {		
gain_indices[1][subframe], 0;	1	uimsbf
}		
}		
}		

3.3.2.2.2 CELP enhancement layers

Table 3.43 — Syntax of ER\_SC\_CelpBRSenhFrame()

Syntax	No. of bits	Mnemonic
<pre>ER_SC_CelpBRSenhFrame() {   if (SilenceCompression == OFF) {     CelpBRSenhFrame();   } else if (TX_flag == 1) {     CelpBRSenhFrame();   } }</pre>		

Table 3.44 — Syntax of ER\_SC\_CelpBWSenhFrame()

Syntax	No. of bits	Mnemonic
<pre>ER_SC_CelpBWSenhFrame() {   if (SilenceCompression == OFF) {     CelpBWSenhFrame();   } else {     if (TX_flag == 1) {       CelpBWSenhFrame();     }     if (TX_flag == 2) {       SID_BandScalable_LSP();     }   } }</pre>		

3.3.2.2.3 Syntax elements for non-active frames

Table 3.45 — Syntax of SID\_LSP\_VQ\_ESC0 ()

Syntax	No. of bits	Mnemonic
<pre>SID_LSP_VQ_ESC0() {   if (SampleRateMode == 8kHz) {     SID_NarrowBand_LSP();   } else {     SID_WideBand_LSP();   } }</pre>		

Table 3.46 — Syntax of SID\_NarrowBand\_LSP()

Syntax	No. of bits	Mnemonic
SID_NarrowBand_LSP()		
{		
<b>SID_lpc_indices</b> [0];	<b>4</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [1];	<b>4</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [2];	<b>7</b>	<b>uimsbf</b>
}		

Table 3.47 — Syntax of SID\_BandScalable\_LSP()

Syntax	No. of bits	Mnemonic
SID_BandScalable_LSP()		
{		
<b>SID_lpc_indices</b> [3];	<b>4</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [4];	<b>7</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [5];	<b>4</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [6];	<b>6</b>	<b>uimsbf</b>
}		

Table 3.48 — Syntax of SID\_WideBand\_LSP()

Syntax	No. of bits	Mnemonic
SID_WideBand_LSP()		
{		
<b>SID_lpc_indices</b> [0];	<b>5</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [1];	<b>5</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [2];	<b>7</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [3];	<b>7</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [4];	<b>4</b>	<b>uimsbf</b>
<b>SID_lpc_indices</b> [5];	<b>4</b>	<b>uimsbf</b>
}		

Table 3.49 — Syntax of SID\_Frame\_ESC0 ()

Syntax	No. of bits	Mnemonic
SID_Frame_ESC0()		
{		
<b>SID_rms_index</b> ;	<b>6</b>	<b>uimsbf</b>
}		

### 3.4 Semantics

This subclause describes the semantics of the syntactic elements. Bitstream elements are shown in **bold-face** and the help variables that appear in the syntax and are needed to extract the bitstream elements are shown in *italics*.

#### 3.4.1 Header semantics

**isBaseLayer** A one-bit identifier representing whether the corresponding layer is the base layer (1) or a bandwidth scalable or bitrate scalable enhancement layer (0).

**isBWSLayer** A one-bit identifier representing whether the corresponding layer is the bandwidth scalable enhancement layer (1) or the bitrate scalable enhancement layer (0).

**CELP-BRS-id** A two-bit identifier representing the order of the bitrate scalable enhancement layers, where the first enhancement layer has the value of '1'. The value of '0' should not be used.

**ExcitationMode** A one-bit identifier representing whether the Multi-Pulse Excitation tool or the Regular-Pulse Excitation tool is used.

**Table 3.50 — Description of ExcitationMode**

ExcitationMode	ExcitationID	Description
0	MPE	MPE tool is used
1	RPE	RPE tool is used

**SampleRateMode** A one-bit identifier representing the sampling rate. Two sampling rates are supported.

**Table 3.51 — Description of SampleRateMode**

SampleRateMode	SampleRateID	Description
0	8kHz	8 kHz Sampling rate
1	16kHz	16 kHz Sampling rate

**FineRateControl** A one-bit flag indicating whether fine rate control in very fine steps is enabled or disabled.

**Table 3.52 — Description of FineRateControl**

FineRateControl	RateControlID	Description
0	OFF	Fine-rate control is disabled
1	ON	Fine-rate control is enabled

FineRate Control enables the bitrate to be decreased with respect to its anchor bitrate. When transmitting the LPC parameters in every frame, the anchor bitrate will be obtained. The lowest bitrate possible bitrate for each configuration can be obtained by transmitting the LPC parameters in 50% of the frames.

**SilenceCompression** A one bit identifier indicating whether Silence Compression is used or not.

SilenceCompression	SilenceCompressionID	Description
0	SC_OFF	SilenceCompression is disabled
1	SC_ON	SilenceCompression is enabled

**RPE\_Configuration** This is a 3-bit identifier which configures the MPEG-4 CELP coder using the Regular-Pulse Excitation tool. This parameter directly determines the set of allowed bitrates (Table 3.53) and the number of subframes in a CELP frame (Table 3.54).

**Table 3.53 — Rate allocation for the 16 kHz mode I coder**

RPE_Configuration	Fixed bitrate FineRate Control OFF (bit/s)	Min. bitrate FineRate Control ON, 50% LPC (bit/s)	Max. bitrate FineRate Control ON, 100% LPC (bit/s)
0	14400	13000	14533
1	16000	13900	16200
2	18667	17267	18800
3	22533	21133	22667
4 ... 7	Reserved		

**MPE\_Configuration** This is a 5-bit field that configures the MPEG-4 CELP coder using the Multi-Pulse Excitation tool. This parameter determines the variables *nrof\_subframes* and *nrof\_subframes\_bws*. This parameter also specifies the number of bits for **shape\_positions[i]**, **shape\_signs[i]**, **shape\_enh\_positions[i][j]** and **shape\_enh\_signs[i][j]**.

*nrof\_subframes* is a help parameter, specifying the number of subframes in a CELP frame, and is used to signal how many times the excitation parameters must be read. For the Regular-Pulse Excitation tool operating at the sampling rate of 16 kHz, this variable is dependent on the **RPE\_Configuration** as follows:

**Table 3.54 — Definition of *nrof\_subframes* for the 16 kHz mode I coder**

RPE_Configuration	<i>nrof_subframes</i>
0	6
1	4
2	8
3	10
4 ... 7	Reserved

For the Multi-Pulse Excitation tool, it is derived from the **MPE\_Configuration** depending on the sampling rate as follows:

**Table 3.55 — Definition of *nrof\_subframes* for the 8 kHz mode II coder**

MPE_Configuration	<i>nrof_subframes</i>
0,1,2	4
3,4,5	3
6 ... 12	2
13 ... 21	4
22 ... 26	2
27	4
28 ... 31	reserved

**Table 3.56 — Definition of nrof\_subframes for the 16 kHz mode II coder**

MPE_Configuration	nrof_subframes
0 ... 6	4
8 ... 15	8
16 ... 22	2
24 ... 31	4
7, 23	reserved

**NumEnhLayers** This is a two-bit field specifying the number of enhancement layers that are used.

**Table 3.57 — Definition of nrof\_enh\_layers**

NumEnhLayers	nrof_enh_layers
0	0
1	1
2	2
3	3

**BandwidthScalabilityMode** This is a one-bit identifier that indicates whether bandwidth scalability is enabled. This mode is only valid when **ExcitationMode** = MPE.

**Table 3.58 — Description of BandwidthScalabilityMode**

BandwidthScalabilityMode	ScalableID	Description
0	OFF	Bandwidth scalability is disabled
1	ON	Bandwidth scalability is enabled

**BWS\_Configuration** This is a two-bit field that configures the bandwidth extension tool. This identifier is only valid when **BandwidthScalabilityMode** = ON. This parameter specifies the number of bits for **shape\_bws\_positions[i]**, **shape\_bws\_signs[i]**.

**nrof\_subframes\_bws** This parameter, which is a help variable, represents the number of subframes in the bandwidth extension tool and is derived from the MPE\_Configuration as follows:

**Table 3.59 — Definition of nrof\_subframes\_bws**

MPE_Configuration	nrof_subframes_bws
0,1,2	8
3,4,5	6
6 ... 12	4
13 ... 21	4
22 ... 26	2
27	not valid
28 ... 31	reserved

### 3.4.2 Frame semantics

**interpolation\_flag** This is a one-bit flag. When set, it indicates that the LPC parameters for the current frame must be derived using interpolation.

**Table 3.60 — Description of interpolation\_flag**

interpolation_flag	InterpolationID	Description
0	OFF	LPC coefficients of the frame do not have to be interpolated
1	ON	LPC coefficients of the frame must be retrieved by interpolation

**LPC\_Present** This bit indicates whether LPC parameters are attached to the current frame. These LPC parameters are either of the current frame or the next frame.

**Table 3.61 — Description of LPC\_Present**

LPC_Present	LPCID	Description
0	NO	Frame does not carry LPC data
1	YES	Frame carries LPC data

Together, the **interpolation\_flag** and the **LPC\_Present** flag describe how the LPC parameters are to be derived.

**Table 3.62 — LPC decoding process described by interpolation\_flag and LPC\_Present flag**

interpolation_flag	LPC_Present	Description
1	1	LPC Parameters of the current frame must be extracted using interpolation. The current frame carries LPC Parameters belonging to the next frame.
1	0	RESERVED
0	1	LPC Parameters of the current frame are present in the current frame.
0	0	LPC Parameters of the previous frame must be used in the current frame.

**lpc\_indices[]** These are multi-bit fields representing LPC coefficients. These contain information needed to extract the LSP coefficients. The exact extraction procedure is described in the Decoding Process.

**shape\_delay[subframe]** This bit field represents the adaptive codebook lag. The decoding of this field depends on **ExcitationMode** and **SampleRateMode**.

**Table 3.63 — Number of bits for shape\_delay[]**

ExcitationMode	SampleRateMode	shape_delay[] (bits)
RPE	16 kHz	8
MPE	8 kHz	8
MPE	16 kHz	9

**shape\_index[subframe]** This index contains information needed to extract the fixed codebook contribution from the regular pulse codebook. The number of bits consumed by this field depends on the bitrate (derived from the **RPE\_configuration**).

**Table 3.64 — Number of bits for shape\_index[]**

RPE Configuration	number of bits representing shape_index[]
0	11
1	11
2	12
3	12
4 ... 7	Reserved

**gain\_indices[0][subframe]** These bit fields specify the adaptive codebook gain in the RPE tool using 6 bits. It is read from the bitstream for every subframe.

**gain\_indices[1][subframe]** These bit fields specify the fixed codebook gain in the RPE tool. It is read from the bitstream for every subframe. The number of bits read to represent this field depends on the subframe number. For the first subframe this is 5 bits, while for the remaining subframes it is 3 bits.

**gain\_index[subframe]** This 6 or 7-bit field represents the gains for the adaptive codebook and the multi-pulse excitation for the 8 kHz or 16 kHz sampling rate, respectively.

**gain\_enh\_index[subframe]** This 4-bit field represents the gain for the enhancement multi-pulse excitation in the CELP coder at 8 kHz.

**gain\_bws\_index[subframe]** This 11-bit field represents the gains for the adaptive codebook and two multi-pulse excitation in the bandwidth extension tool.

**signal\_mode** This 2-bit field represents the type of signal. This information is used in the MPE tool. The gain codebooks are switched depending on this information.

**Table 3.65 — Description of signal\_mode**

signal_mode	Description
0	Unvoiced
1,2,3	Voiced

**rms\_index** This parameter indicates the rms level of the frame. This information is only utilized in the MPE tool.

**shape\_positions[subframe], shape\_signs[subframe]** These bit fields represent the pulse positions and the pulse signs for the multi-pulse excitation. The length of the bit field is dependent on **MPE\_Configurations**.

Table 3.66 — Definitions of shape\_positions[] and shape\_signs[] for speech sampled at 8 kHz

MPE_Configuration	shape_positions[] (bits)	shape_signs[] (bits)
0	14	3
1	17	4
2	20	5
3	20	5
4	22	6
5	24	7
6	22	6
7	24	7
8	26	8
9	28	9
10	30	10
11	31	11
12	32	12
13	13	4
14	15	5
15	16	6
16	17	7
17	18	8
18	19	9
19	20	10
20	20	11
21	20	12
22	18	8
23	19	9
24	20	10
25	20	11
26	20	12
27	19	6
28 ... 31	reserved	

Table 3.67 — Definitions of shape\_positions[] and shape\_signs[] for speech sampled at 16 kHz

MPE_Configuration	shape_positions[] (bits)	shape_signs[] (bits)
0, 16	20	5
1, 17	22	6
2, 18	24	7
3, 19	26	8
4, 20	28	9
5, 21	30	10
6, 22	31	11
7, 23	reserved	reserved
8, 24	11	3
9, 25	13	4
10, 26	15	5
11, 27	16	6
12, 28	17	7
13, 29	18	8
14, 30	19	9
15, 31	20	10

**shape\_enh\_positions[subframe][], shape\_enh\_signs[subframe][]** These bit fields represent the pulse positions and the pulse signs for the multi-pulse excitation in each enhancement layer. The length of the bit field is dependent on **MPE\_Configuration**.

**Table 3.68 — Definition of shape\_enh\_positions[][] and shape\_enh\_signs[][] for speech sampled at 8 kHz**

MPE_Configuration	shape_enh_positions[][] (bits)	shape_enh_signs[][] (bits)
0 ... 12	12	4
13 ... 26	4	2
27	not valid	
28 ... 31	reserved	

**Table 3.69 — Definition of shape\_enh\_positions[][] and shape\_enh\_signs[][] for speech sampled at 16 kHz**

MPE_Configuration	shape_enh_positions[][] (bits)	shape_enh_signs[][] (bits)
0 ... 6, 16 ... 22	12	4
8 ... 15, 24 ... 31	4	2
7, 23	reserved	

**shape\_bws\_delay[subframe]** This 3-bit field is utilized in decoding the adaptive codebook for the bandwidth extension tool. This value indicates the differential lag from the lag described in **shape\_delay[]**.

**shape\_bws\_positions[subframe], shape\_bws\_signs[subframe]** These fields represent the pulse positions and the pulse signs for the multi-pulse excitation in the bandwidth extension tool. The length of the bit field is dependent on **BWS\_Configuration**.

**Table 3.70 — Definition of shape\_bws\_positions[] and shape\_bws\_signs[]**

BWS_Configuration	shape_bws_positions[] (bits)	shape_bws_signs[] (bits)
0	22	6
1	26	8
2	30	10
3	32	12

**TX\_flag** Two-bit field indicating the transmission mode.

**Table 3.71 — Definition of TX\_flag**

TX_flag	Transmission mode
0	Non-active frame. No frame energy or LPC indices are transmitted.
1	Active frame.
2	Non-active frame. Frame energy and LPC indices are transmitted.
3	Non-active frame. Only frame energy is transmitted, no LPC indices are transmitted.

**SID\_rms\_index** 6-bit field indicating the energy of the frame.

**SID\_lpc\_indices** These are multi-bit fields representing LPC coefficients for the non-active LPC frames (TX\_flag = 2). These contain information needed to extract the LSP coefficients. Bitstream semantics for the SID\_lpc\_indices are shown in Table 3.72.

Table 3.72 — Bitstream semantics for the SID\_lpc\_indices

Coding mode	Sampling rate [ kHz]	Band width scalability	Parameter	Description
I	16	Off	SID_lpc_indices[0]	0-4 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[1]	5-9 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[2]	10-14 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[3]	15-19 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[4]	0-4 <sup>th</sup> LSPs of the 2nd stage VQ
			SID_lpc_indices[5]	5-9 <sup>th</sup> LSPs of the 2nd stage VQ
II	8	On, Off	SID_lpc_indices[0]	0-4 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[1]	5-9 <sup>th</sup> LSPs of the 1st stage VQ
			SID_lpc_indices[2]	0-4 <sup>th</sup> LSPs of the 2nd stage VQ
	16	On	SID_lpc_indices[3]	0-9 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[4]	10-19 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[5]	0-4 <sup>th</sup> LSPs of 2nd stage VQ
			SID_lpc_indices[6]	5-9 <sup>th</sup> LSPs of 2nd stage VQ
		Off	SID_lpc_indices[0]	0-4 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[1]	5-9 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[2]	10-14 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[3]	15-19 <sup>th</sup> LSPs of 1st stage VQ
			SID_lpc_indices[4]	0-4 <sup>th</sup> LSPs of 2nd stage VQ
			SID_lpc_indices[5]	5-9 <sup>th</sup> LSPs of 2nd stage VQ
			SID_lpc_indices[6]	10-14 <sup>th</sup> LSPs of 2nd stage VQ

### 3.5 MPEG-4 CELP Decoder tools

This subclause provides a brief description of the functionality, parameter definition and the decoding processes of the tools supported by the MPEG-4 CELP core. The description of each tool comprises three parts and an optional part containing tables used by the tool:

1. Tool description: a short description of the functionality of the tool is given together with its interface.
2. Definitions: the input and output parameters as well as help elements of the tool are described here. Each element is either bold or italic. Bold names indicate that the element is read from the bitstream, italic names indicate auxiliary elements. If elements are already used by another tool, a reference to the previous definition is given.
3. Decoding process: The decoding process is explained here in detail with the aid of mathematical equations and pseudo-C code.
4. Tables: this optional fourth part contains tables that are used by the tool.

3.5.1 General Introduction to the MPEG-4 CELP decoder tool-set

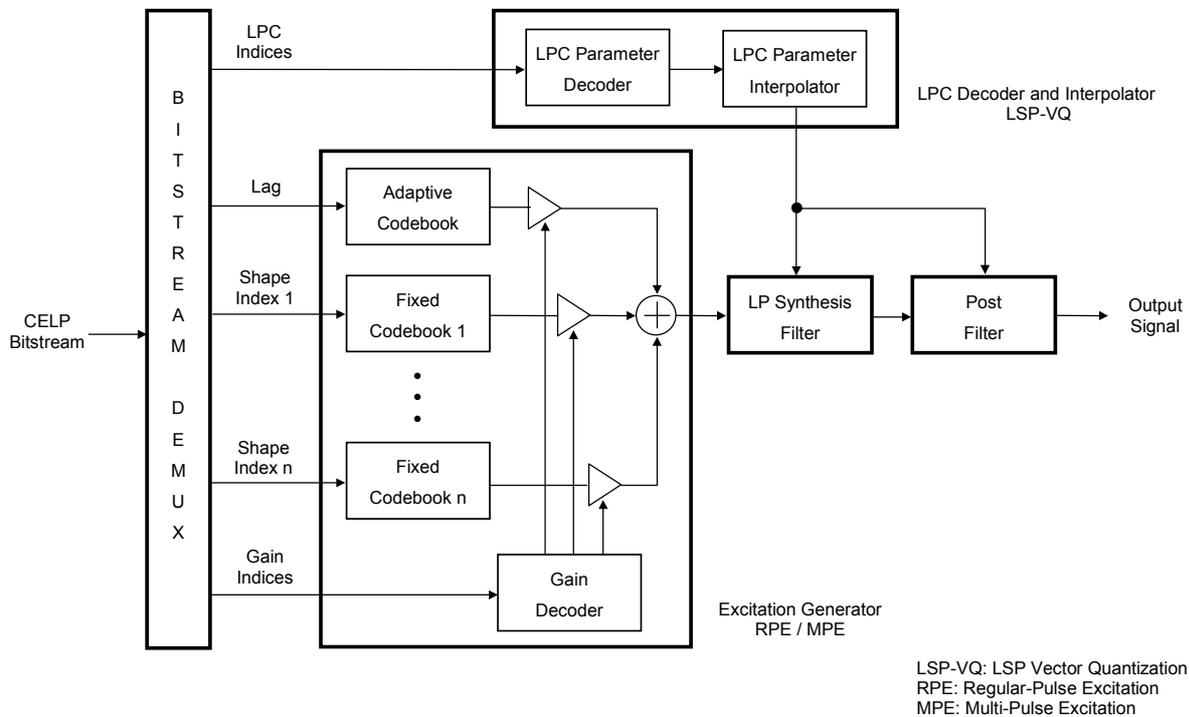


Figure 3.2 — Block diagram illustrating the tools used in the MPEG-4 CELP coder

Figure 3.2 illustrates the MPEG-4 CELP decoder. It operates at a sampling rate of 8 or 16 kHz. One or more individual blocks have been grouped together (outlined in bold), forming the tools available for MPEG-4 CELP decoding. The following tools are supported:

- CELP bitstream demultiplexer
- CELP LPC decoder and interpolator
  - Narrowband LSP-VQ Decoding Tool
  - Wideband LSP-VQ Decoding Tool
  - Bandwidth Scalable LSP-VQ Decoding Tool
- CELP excitation generator
  - Regular-Pulse Excitation Generation Tool
  - Multi-Pulse Excitation Generation Tool
  - Bitrate Scalable Multi-Pulse Excitation Generation Tool
  - Bandwidth Scalable Multi-Pulse Excitation Generation Tool
- CELP LPC synthesis filter
- CELP post-processor (Informative Tool)

The decoding is performed on a frame basis and each frame is divided into subframes. A frame in the bitstream is demultiplexed by the CELP bitstream demultiplexer module. The parameters that are extracted from the bitstream are header information, codes representing LPC coefficients of the frame and the excitation parameters for each subframe. These codes are decoded and interpolated for each subframe by the CELP LPC decoder and interpolator module. For each subframe, the excitation parameters are used to generate the excitation signal using the CELP excitation generator module. The CELP LPC synthesis filter module reconstructs the speech signal on a

subframe basis from the interpolated LPC coefficients and the generated excitation signal. Enhancement of the synthesized signal is obtained by the optional CELP post-processor module.

In order to realize Bitrate Scalability, the Bitrate Scalable Multi-Pulse Excitation (MPE) Generation tool is utilized to generate the excitation signal. The Bitrate Scalable MPE Generation tool is realized by adding the enhancement excitation decoding tool to the MPE generation tool in order to enhance the quality of the excitation signal.

The Bandwidth Scalable CELP decoder is realized using both the Bandwidth Scalable LSP-VQ tool and the Bandwidth Scalable MPE Generation tool. These scalable tools are utilized to expand the bandwidth of the decoded signal from 3.4 kHz to 7 kHz.

### 3.5.2 AAC/CELP scalable configuration

When the Narrowband CELP decoder is used as a “core coder” in the AAC/CELP scalable configuration (see the T/F part) for large-step scalability, the post-filter is switched off. In case the Narrowband CELP decoder operates as a core decoder in a scalable configuration, this decoder may decode the CELP audio object at a sampling rate other than 8 kHz. The sampling frequency of the CELP audio object is specified by **samplingFrequencyIndex** in **AudioSpecificInfo** (See subpart 1). The **SampleRateMode** flag in the CELP header controlling the decoder operation, should indicate 8 kHz. In case the **samplingFrequencyIndex** indicates a sampling rate other than 8000 Hz, the bitrate, the frame length and delay are changed accordingly.

### 3.5.3 Helping variables

Although each tool has a description of the variables it uses, provided in this subclause are the most commonly used variables shared by multiple tools.

*frame\_size*: This field indicates the number of samples in a frame. The decoder outputs a frame with *frame\_size* samples.

*nrof\_subframes*: A frame is built up of a number of subframes. The number of subframes is specified in this field.

*sbfrm\_size*: A subframe consists of a number of samples, which is indicated by this field. The number of samples in a frame must always be equal to the sum of the number of samples in the subframes. Accordingly, the following relation must always hold

$$frame\_size = nrof\_subframes * sbfrm\_size$$

These three parameters depend on the coding mode and the bitrate settings as tabulated in Table 3.73 for Mode I, and Table 3.74 and Table 3.75 for Mode II.

**Table 3.73 — CELP coder (Mode I) configuration for 16 kHz sampling rate**

RPE_Configuration	Frame_size (#samples)	nrof_subframes	sbfrm_size (#samples)
0	240	6	40
1	160	4	40
2	240	8	30
3	240	10	24
4 ... 7	Reserved		

**Table 3.74 — CELP coder (Mode II) configuration for 8 kHz sampling rate**

MPE_Configuration	Frame_size (#samples)	nrof_subframes	sbfrm_size (#samples)
0,1,2	320	4	80
3,4,5	240	3	80
6 ... 12	160	2	80
13 ... 21	160	4	40
22 ... 26	80	2	40
27	240	4	60
28 ... 31	Reserved		

**Table 3.75 — CELP coder (Mode II) configuration for 16 kHz sampling rate**

MPE_Configuration	Frame_size (#samples)	nrof_subframes	sbfrm_size (#samples)
0, ..., 6	320	4	80
8, ..., 15	320	8	40
16, ..., 22	160	2	80
24, ..., 31	160	4	40
7, 23	Reserved		

*lpc\_order*: This field indicates the number of coefficients used for Linear Prediction. The value of this field is 20 for a sampling rate of 16 kHz and 10 for 8 kHz.

*num\_lpc\_indices*: This parameter specifies the number of indices containing LPC information that must be read from a bitstream. This is not equal to the LPC-order. The *num\_lpc\_indices* is 5 in the 8 kHz mode and an additional 6 for the bandwidth extension tool. For a sampling rate of 16 kHz in the Vector Quantizer, this value is 10.

**3.5.4 Bitstream elements for the MPEG-4 CELP decoder tool-set**

Descriptions of all the bitstream variables are listed in subclause 3.4.

**3.5.5 CELP bitstream demultiplexer**

**3.5.5.1 Tool description**

The CELP bitstream demultiplexer tool extracts a CELP frame from the received bitstream.

**3.5.5.2 Definitions**

All the bitstream elements and the associated help variables have been defined in the subclause 3.4.

**3.5.5.3 Decoding process**

The decoding of bitstream elements is in accordance with the Syntax described in the subclause 3.3.

### 3.5.6 CELP LPC decoder and interpolator

The CELP LPC decoder and interpolator has two functions:

1. Retrieves LPC coefficients from the `lpc_indices[]`.
2. Interpolates the retrieved LPC coefficients for every subframe.

Depending on the quantization mode, the `lpc_indices[]` contain information needed to retrieve LSP Coefficients. In the LSP Decoding process, there are three types of decoding processes, namely the Narrowband LSP Decoding process, the Wideband LSP Decoding process and the Bandwidth Scalable LSP Decoding process. The outputs of this process are the filter coefficients, called LPC coefficients, or a-parameters that can be used in the direct-form filter.

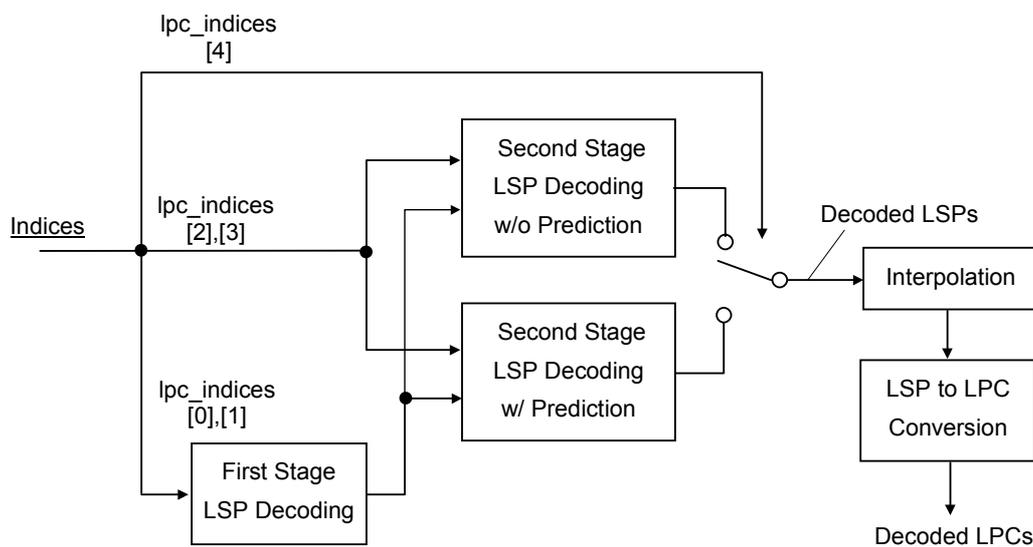
**Table 3.76 — LPC decoding tools**

Coding Mode	Sampling Rate	Tool
Mode I	16 kHz	Wideband LSP-VQ
Mode II	8 kHz	Narrowband LSP-VQ
	16 kHz	Wideband LSP-VQ
	8/16 kHz (BWS)	Bandwidth Scalable (BWS) LSP-VQ

#### 3.5.6.1 Narrowband LSP-VQ decoding tool

##### 3.5.6.1.1 Tool description

The Narrowband LSP Decoding tool has a two-stage VQ structure. The quantized LSPs are reproduced by adding LSPs decoded in the first stage and in the second stage. In the second stage there are two decoding processes, with or without interframe prediction, and the decoding process is selected according to the `lpc_indices[4]` flag. Then the decoded LSPs are interpolated and converted to LPC coefficients.



**Figure 3.3 — Structure of the narrowband LSP decoder**

**3.5.6.1.2 Definitions**

Input

**lpc\_indices[]**: The dimension of this array is *num\_lpc\_indices* and contains the packed lpc indices.

Output

**int\_Qlpc\_coefficients[]**: This array contains the LPC coefficients for each subframe. The LPC coefficients are decoded and interpolated as described in the decoding process. The LPC coefficients are stacked one after the other in blocks of *lpc\_order*. Thus, the dimension of the array is *lpc\_order \* nrof\_subframes*.

Configuration

*lpc\_order*: This field indicates the order of LPC being used.

*num\_lpc\_indices*: This field contains the number of packed LPC codes. For the Narrowband LSP decoding process, *num\_lpc\_indices* is set to 5.

*nrof\_subframes*: This field contains the number of subframes.

The following are help elements used in the Narrowband LSP decoding process:

<i>lsp_tbl[][][]</i>	look-up tables for the first stage decoding process
<i>d_tbl[][][]</i>	look-up tables for the second stage decoding process of the VQ without interframe prediction
<i>pd_tbl[][][]</i>	look-up tables for the second stage decoding process of the VQ with interframe prediction.
<i>dim[][]</i>	dimensions for the split vector quantization
<i>sign</i>	sign of code vector for the second stage decoding process
<i>idx</i>	unpacked index for the second stage decoding process
<i>lsp_first[]</i>	the LSPs decoded at the first stage decoding process
<i>lsp_previous[]</i>	the LSPs decoded at the previous frame
<i>lsp_predict[]</i>	the LSPs predicted from <i>lsp_previous[]</i> and <i>lsp_first[]</i>
<i>lsp_current[]</i>	the LSPs decoded at the current frame
<i>lsp_subframe[][]</i>	the LSPs interpolated at each subframe
<i>ratio_predict</i>	prediction ratio for predicting <i>lsp_predict[]</i>
<i>ratio_sub</i>	interpolation ratio for calculating <i>lsp_subframe[][]</i>
<i>min_gap</i>	the minimum distance between adjacent LSPs
<i>Convert2lpc()</i>	function for converting LSPs to LPCs

**3.5.6.1.3 Decoding process**

The LSP decoding process for retrieving interpolated LPC coefficients for each subframe is described below.

### 3.5.6.1.3.1 Converting indices to LSPs

The LSPs of the current frame (*lsp\_current[]*), which are coded by split and two-stage vector quantization, are decoded with a two-stage decoding process. The dimension of each vector is described in Table 3.77 and Table 3.78. The **lpc\_indices[0],[1]** and **lpc\_indices[2],[3]** represent indices for the first and the second stage respectively.

**Table 3.77 — Dimension of the first stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[0][i]
0	5
1	5

**Table 3.78 — Dimension of the second stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[1][i]
0	5
1	5

In the first stage, the LSP vector of the first stage *lsp\_first[]* is decoded by looking up the table *lsp\_tbl[][][]*. (The table *lsp\_tbl[][][]* is shown in Annex 3.C)

```
for(i = 0; i < dim[0][0]; i++)
{
    lsp_first[i] = lsp_tbl[0][lpc_indices[0]][i];
}

for(i = 0; i < dim[0][1]; i++)
{
    lsp_first[dim[0][0]+i] = lsp_tbl[1][lpc_indices[1]][i];
}
```

In the second stage, there are two types of decoding processes, namely, the decoding process of VQ without interframe prediction and of VQ with interframe prediction. The **lpc\_indices[4]** indicates which process should be selected.

**Table 3.79 — Decoding process for the second stage**

LPC Index: lpc_indices[4]	Decoding process
0	VQ without interframe prediction
1	VQ with interframe prediction

#### Decoding process of VQ without interframe prediction

In order to obtain LSPs of the current frame *lsp\_current[]*, the decoded vectors in the second stage are added to the decoded first stage LSP vector *lsp\_first[]*. The MSB of the **lpc\_indices[]** represents the sign of the decoded vector, and the remaining bits represent the index for the table *d\_tbl[][][]*. (The table *d\_tbl[][][]* is shown in Annex 3.C)

```
sign = lpc_indices[2] >> 6;
idx = lpc_indices[2] & 0x3f;
if (sign==0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
```

```

        lsp_current[i] = lsp_first[i] + d_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current[i] = lsp_first[i] - d_tbl[0][idx][i];
    }
}
sign = lpc_indices[3] >> 5;
idx = lpc_indices[3] & 0x1f;
if (sign==0)
{
    for(i = 0; i < dim[1][1]; i++)
    {
        lsp_current[dim[1][0]+i] = lsp_first[dim[1][0]+i] + d_tbl[1][idx][i];
    }
}
else
{
    for(i = 0; i < dim[1][1]; i++)
    {
        lsp_current[dim[1][0]+i] = lsp_first[dim[1][0]+i] - d_tbl[1][idx][i];
    }
}
}

```

Decoding process of VQ with interframe prediction

In order to obtain LSPs of the current frame *lsp\_current[]*, the decoded vectors of the second stage are added to the LSP vector *lsp\_predict[]*, which are predicted from the decoded LSPs of the previous frame *lsp\_previous[]* and the decoded first stage LSP vector *lsp\_first[]*. In the same way as the decoding process of VQ without interframe prediction, the MSB of the **lpc\_indices[]** represents the sign of the decoded vector, and the remaining bits represent the index for the table *pd\_tbl[][][]*. (The table *pd\_tbl[][][]* is shown in Annex 3.C)

```

for (i = 0; i < lpc_order; i++)
{
    lsp_predict[i]=(1-ratio_predict)*lsp_first[i]
                +ratio_predict*lsp_previous[i]
}

```

where *ratio\_predict* = 0.5

```

sign = lpc_indices[2] >> 6;
idx = lpc_indices[2] & 0x3f;
if (sign==0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current[i] = lsp_predict[i] + pd_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current[i] = lsp_predict[i] - pd_tbl[0][idx][i];
    }
}

```

```

    }
}
sign = lpc_indices[3] >> 5;
idx = lpc_indices[3] & 0x1f;
if(sign==0)
{
    for(i = 0; i < dim[1][1]; i++)
    {
        lsp_current[dim[1][0]+i] = lsp_predict[dim[1][0]+i] + pd_tbl[1][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current[dim[1][0]+i] = lsp_predict[dim[1][0]+i] - pd_tbl[1][idx][i];
    }
}

```

### 3.5.6.1.3.2 Stabilization of LSPs

The decoded LSPs *lsp\_current[]* are stabilized in order to ensure stability of the LPC synthesis filter, which is derived from the decoded LSPs. The decoded LSPs are arranged in ascending order, having a distance of at least *min\_gap* between adjacent coefficients.

```

for(i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] < min_gap)
    {
        lsp_current[i] = min_gap;
    }
}
for (i = 0; i < lpc_order-1; i++)
{
    if (lsp_current[i+1]-lsp_current[i] < min_gap)
    {
        lsp_current[i+1] = lsp_current[i]+min_gap;
    }
}
for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] > 1-min_gap)
    {
        lsp_current[i] = 1-min_gap;
    }
}
for (i = lpc_order-1; i > 0; i--)
{
    if (lsp_current[i]-lsp_current[i-1] < min_gap)
    {
        lsp_current[i-1] = lsp_current[i]-min_gap;
    }
}

```

where  $\text{min\_gap} = 2.0/256.0$

### 3.5.6.1.3.3 Interpolation of LSPs

The decoded LSPs *lsp\_current[]* are interpolated linearly at each subframe using the LSPs of the previous frame *lsp\_previous[]*.

```
for (n = 0; n < nrof_subframes; n++)
{
    ratio_sub=(n+1)/nrof_subframes
    for(i = 0; i < lpc_order; i++)
    {
        lsp_subframe[n][i] = ((1-ratio_sub)*lsp_previous[i]+ratio_sub*lsp_current[i]);
    }
}
```

### 3.5.6.1.3.4 LSP to LPC conversion

The interpolated LSPs are converted to the LPCs using the auxiliary function *Convert2lpc()*.

```
for (n = 0; n < nrof_subframes; n++)
{
    Convert2lpc (lpc_order, lsp_subframe[n], int_Qlpc_coefficients + n*lpc_order);
}
```

The LSP to LPC conversion is described below. The input LSPs must be normalized in the range of zero to  $\pi$ .

```
tmp_lpc[0] = 1.0;
for (i = 1; i < lpc_order + 1; i++) tmp_lpc[i] = 0.0;
for (i = 0; i < (lpc_order+1)*2; i++) w[i] = 0.0;

for (j = 0; j < lpc_order + 1; j++)
{
    xin1 = tmp_lpc[j];
    xin2 = tmp_lpc[j];
    for (i = 0; i < (lpc_order >> 1); i++)
    {
        n1 = i*4;
        n2 = n1+1;
        n3 = n2+1;
        n4 = n3+1;
        xout1 = -2. * cos(lsp_coefficients[i*2+0]) * w[n1] + w[n2] + xin1;
        xout2 = -2. * cos(lsp_coefficients[i*2+1]) * w[n3] + w[n4] + xin2;
        w[n2] = w[n1];
        w[n1] = xin1;
        w[n4] = w[n3];
        w[n3] = xin2;
        xin1 = xout1;
        xin2 = xout2;
    }

    xout1 = xin1 + w[n4+1];
    xout2 = xin2 - w[n4+2];
    tmp_lpc[j] = 0.5 * (xout1 + xout2);

    w[n4+1] = xin1;
    w[n4+2] = xin2;
}
```

```

for (i = 0; i < lpc_order; i++)
{
    lpc_coefficients[i] = tmp_lpc[i+1];
}

```

### 3.5.6.1.3.5 Storing the coefficients

After calculation of the LPC coefficients, the current LSPs must be stored in memory, since they are used for interpolation at the next frame.

```

for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = lsp_current[i];
}

```

It should be noted that the stored LSPs *lsp\_previous[]* must be initialized as described below when the entire decoder is initialized.

```

for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = (i+1) / (lpc_order+1);
}

```

## 3.5.6.2 Wideband LSP-VQ decoding tool

### 3.5.6.2.1 Tool description

The Wideband LSP decoding process is based on the Narrowband LSP Decoding process. As described in Figure 3.4, the Wideband LSP Decoding process consists of two LSP decoding blocks connected in parallel. Each of the decoding blocks is identical to the Narrowband LSP Decoding process and decodes the lower and the upper part of the LSPs respectively. The decoded LSPs are combined and output as one set of the parameters.

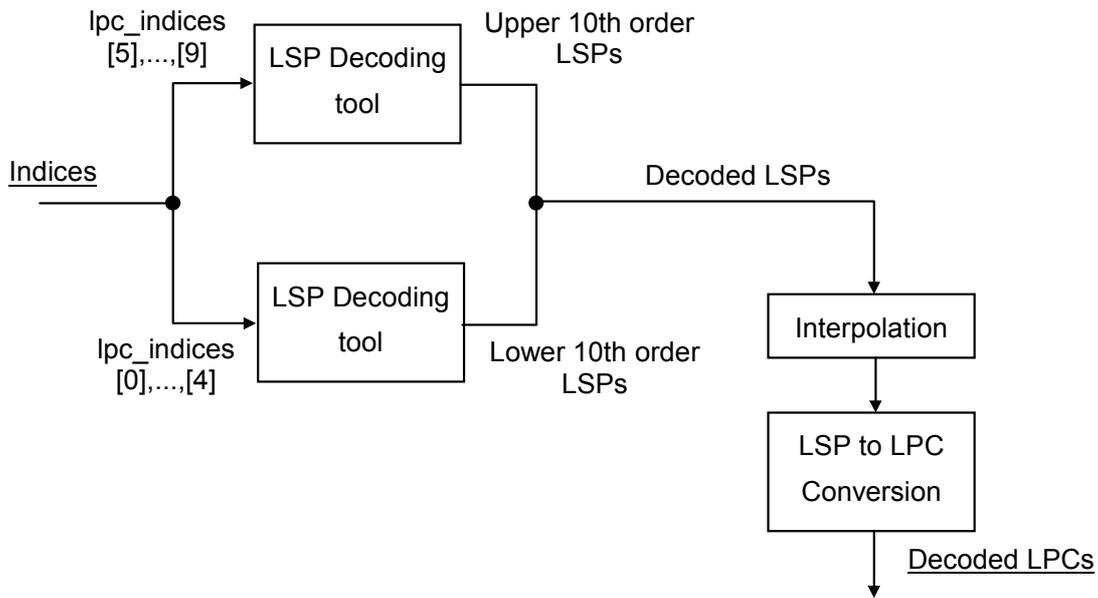


Figure 3.4 — Structure of the wideband LSP decoder

3.5.6.2.2 Definitions

Input

**lpc\_indices[]**: The dimension of this array is *num\_lpc\_indices* and contains the packed lpc indices.

Output

**int\_Qlpc\_coefficients[]**: This array contains the LPC coefficients for each subframe. The LPC coefficients are decoded and interpolated as described in the decoding process. The LPC coefficients are stacked one after the other in blocks of *lpc\_order*. Thus, the dimension of the array is *lpc\_order \* nrof\_subframes*.

Configuration

**lpc\_order**: This field indicates the order of LPC being used.

**num\_lpc\_indices**: This field contains the number of packed LPC codes. For the Wideband LSP Decoding process, *num\_lpc\_indices* is set to 10.

**nrof\_subframes**: This field contains the number of subframes.

The following are help elements used in the Wideband LSP Decoding process:

- lsp\_tbl[][][]** look-up tables for the first stage decoding process
- d\_tbl[][][]** look-up tables for the second stage decoding process of the VQ without interframe prediction
- pd\_tbl[][][]** look-up tables for the second stage decoding process of the VQ with interframe prediction.
- dim[][]** dimensions for the split vector quantization

<i>sign</i>	sign of code vector for the second stage decoding process
<i>idx</i>	unpacked index for the second stage decoding process
<i>lsp_first[]</i>	the LSPs decoded at the first stage decoding process
<i>lsp_previous[]</i>	the LSPs decoded at the previous frame
<i>lsp_predict[]</i>	the LSPs predicted from <i>lsp_previous[]</i> and <i>lsp_first[]</i>
<i>lsp_current[]</i>	the LSPs decoded at the current frame
<i>lsp_current_lower[]</i>	the lower part of the current LSPs
<i>lsp_current_upper[]</i>	the upper part of the current LSPs
<i>lsp_subframe[][]</i>	the LSPs interpolated at each subframe
<i>ratio_predict</i>	prediction ratio for predicting <i>lsp_predict[]</i>
<i>ratio_sub</i>	interpolation ratio for calculating <i>lsp_subframe[][]</i>
<i>min_gap</i>	the minimum distance between adjacent LSPs
<i>Convert2lpc()</i>	function for converting LSPs to LPCs

### 3.5.6.2.3 Decoding process

The LSP decoding process for retrieving interpolated LPC coefficients for each subframe is described below.

#### 3.5.6.2.3.1 Converting indices to LSPs

Using the same manner as the Narrowband LSP Decoding process, The LSPs of the current frame (*lsp\_current[]*), which are coded by split and two-stage vector quantization, are decoded with a two-stage decoding process.

Firstly, the lower part of the current LSPs *lsp\_current\_lower[]* are decoded. The dimension of each vector is described in Table 3.80 and Table 3.81. The **lpc\_indices[0],[1]** and **lpc\_indices[2],[3]** represent indices for the first and the second stage respectively.

**Table 3.80 — Dimension of the first stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[0][i]
0	5
1	5

**Table 3.81 — Dimension of the second stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[1][i]
0	5
1	5

In the first stage, the LSP vector of the first stage *lsp\_first[]* is decoded by looking up the table *lsp\_tbl[][][]*. (The table *lsp\_tbl[][][]* is shown in Annex 3.C)

```

for (i = 0; i < dim[0][0]; i++)
{
    lsp_first[i] = lsp_tbl[0][lpc_indices[0]][i];
}

for (i = 0; i < dim[0][1]; i++)
{
    lsp_first[dim[0][0]+i] = lsp_tbl[1][lpc_indices[1]][i];
}

```

In the second stage, the **lpc\_indices[4]** indicates which process should be selected.

**Table 3.82 — Decoding process for the second stage**

LPC Index: lpc_indices[4]	Decoding process
0	VQ without interframe prediction
1	VQ with interframe prediction

Decoding process of VQ without interframe prediction

In order to obtain LSPs of the current frame *lsp\_current\_lower[]*, the decoded vectors in the second stage are added to the decoded first stage LSP vector *lsp\_first\_lower[]*. The MSB of the **lpc\_indices[]** represents the sign of the decoded vector, and the remaining bits represent the index for the table *d\_tbl[][][]*. (The table *d\_tbl[][][]* is shown in Annex 3.C)

```

sign = lpc_indices[2] >> 6;
idx = lpc_indices[2] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_lower[i] = lsp_first[i] + d_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_lower[i] = lsp_first[i] - d_tbl[0][idx][i];
    }
}
sign = lpc_indices[3] >> 6;
idx = lpc_indices[3] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_lower[dim[1][0]+i] = lsp_first[dim[1][0]+i] + d_tbl[1][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][1]; i++)

```

```

    {
        lsp_current_lower[dim[1][0]+i] = lsp_first[dim[1][0]+i] - d_tbl[1][idx][i];
    }
}

```

### Decoding process of VQ with interframe prediction

In order to obtain LSPs of the current frame *lsp\_current\_lower[]*, the decoded vectors of the second stage are added to the LSP vector *lsp\_predict[]*, which are predicted from the decoded LSPs of the previous frame *lsp\_previous[]* and the decoded first stage LSP vector *lsp\_first[]*. In the same way as the decoding process of VQ without interframe prediction, the MSB of the *lpc\_indices[]* represents the sign of the decoded vector, and the remaining bits represent the index for the table *pd\_tbl[][][]*. (The table *pd\_tbl[][][]* is shown in Annex 3.C)

```

for (i = 0; i < lpc_order/2; i++)
{
    lsp_predict[i] = (1 - ratio_predict) * lsp_first[i] + ratio_predict * lsp_previous[i];
}

```

where *ratio\_predict* = 0.5

```

sign = lpc_indices[2] >> 6;
idx = lpc_indices[2] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_lower[i] = lsp_predict[i] + pd_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_lower[i] = lsp_predict[i] - pd_tbl[0][idx][i];
    }
}
sign = lpc_indices[3] >> 6;
idx = lpc_indices[3] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_lower[dim[1][0]+i] = lsp_predict[dim[1][0]+i] + pd_tbl[1][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_lower[dim[1][0]+i] = lsp_predict[dim[1][0]+i] - pd_tbl[1][idx][i];
    }
}
}

```

Next, the upper part of the current LSPs are decoded in the same manner as the decoding process of the lower part. The dimension of each vector is described in Table 3.83 and Table 3.84. The `lpc_indices[5],[6]` and `lpc_indices[7],[8]` represent indices for the first and the second stage respectively.

**Table 3.83 — Dimension of the first stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[0][i]
0	5
1	5

**Table 3.84 — Dimension of the second stage LSP vector**

Split Vector Index: i	Vector Dimension: dim[1][i]
0	5
1	5

```

for (i = 0; i < dim[0][0]; i++)
{
    lsp_first[i] = lsp_tbl[0][lpc_indices[5]][i];
}

for (i = 0; i < dim[0][1]; i++)
{
    lsp_first[dim[0][0]+i] = lsp_tbl[1][lpc_indices[6]][i];
}
    
```

In the second stage, the `lpc_indices[9]` indicates which process should be selected.

**Table 3.85 — Decoding process for the second stage**

LPC Index: lpc_indices[9]	Decoding process
0	VQ without interframe prediction
1	VQ with interframe prediction

Decoding process of VQ without interframe prediction

```

sign = lpc_indices[7] >> 6;
idx = lpc_indices[7] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_upper[i] = lsp_first[i] + d_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_upper[i] = lsp_first[i] - d_tbl[0][idx][i];
    }
}
sign = lpc_indices[8] >> 4;
idx = lpc_indices[8] & 0x0f;
if (sign == 0)
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_upper[dim[1][0]+i] = lsp_first[dim[1][0]+i] + d_tbl[1][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_upper[dim[1][0]+i] = lsp_first[dim[1][0]+i] - d_tbl[1][idx][i];
    }
}

```

Decoding process of VQ with interframe prediction

```

for (i = 0; i < lpc_order/2; i++)
{
    lsp_predict[i] = (1-ratio_predict)*lsp_first[i] + ratio_predict*lsp_previous[lpc_order/2+i];
}

```

where *ratio\_predict* = 0.5

```

sign = lpc_indices[7] >> 6;
idx = lpc_indices[7] & 0x3f;
if (sign == 0)
{
    for (i = 0; i < dim[1][0]; i++)
    {
        lsp_current_upper[i] = lsp_predict[i] + pd_tbl[0][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][0]; i++)

```

```

    {
        lsp_current_upper[i] = lsp_predict[i] - pd_tbl[0][idx][i];
    }
}
sign = lpc_indices[8] >> 4;
idx = lpc_indices[8] & 0x0f;
if (sign == 0)
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_upper[dim[1][0]+i] = lsp_predict[dim[1][0]+i] + pd_tbl[1][idx][i];
    }
}
else
{
    for (i = 0; i < dim[1][1]; i++)
    {
        lsp_current_upper[dim[1][0]+i] = lsp_predict[dim[1][0]+i] - pd_tbl[1][idx][i];
    }
}

```

Finally, the decoded LSPs *lsp\_current\_lower[]* and *lsp\_current\_upper[]* are combined and stored to the array *lsp\_current[]*.

```

for (i = 0; i < lpc_order/2; i++)
{
    lsp_current[i] = lsp_current_lower[i];
}
for (i = 0; i < lpc_order/2; i++)
{
    lsp_current[lpc_order/2 + i] = lsp_current_upper[i];
}

```

#### 3.5.6.2.4 Stabilization of LSPs

The decoded LSPs *lsp\_current[]* are stabilized in order to ensure stability of the LPC synthesis filter, which is derived from the decoded LSPs. The decoded LSPs are arranged in ascending order, having a distance of at least *min\_gap* between adjacent coefficients.

```

for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] < min_gap)
    {
        lsp_current[i] = min_gap;
    }
}

for (i = 0; i < lpc_order - 1; i++)
{
    if (lsp_current[i+1]-lsp_current[i] < min_gap)
    {
        lsp_current[i+1] = lsp_current[i]+min_gap;
    }
}

```

```

for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] > 1-min_gap)
    {
        lsp_current[i] = 1-min_gap;
    }
}

for (i = lpc_order - 1; i > 0; i--)
{
    if (lsp_current[i]-lsp_current[i-1] < min_gap)
    {
        lsp_current[i-1] = lsp_current[i]-min_gap;
    }
}

```

where  $lpc\_order = 20$  and  $min\_gap = 1.0/256.0$

### 3.5.6.2.5 Interpolation of LSPs

The decoded LSPs *lsp\_current[]* are interpolated linearly at each subframe using the LSPs of the previous frame *lsp\_previous[]*.

```

for (n = 0; n < nrof_subframes; n++)
{
    ratio_sub=(n+1)/nrof_subframes
    for (i = 0; i < lpc_order; i++)
    {
        lsp_subframe[n][i] = ((1-ratio_sub)*lsp_previous[i]+ratio_sub*lsp_current[i]);
    }
}

```

### 3.5.6.2.6 LSP to LPC conversion

The interpolated LSPs are converted to the LPCs using the auxiliary function *Convert2lpc()*.

```

for (n = 0; n < nrof_subframes; n++)
{
    Convert2lpc (lpc_order, lsp_subframe[n], int_Qlpc_coefficients + n*lpc_order);
}

```

### 3.5.6.2.7 Storing the coefficients

After calculation of the LPC coefficients, the current LSPs must be stored in memory, since they are used for interpolation at the next frame.

```

for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = lsp_current[i];
}

```

It should be noted that the stored LSPs *lsp\_previous[]* must be initialized as described below when the entire decoder is initialized.

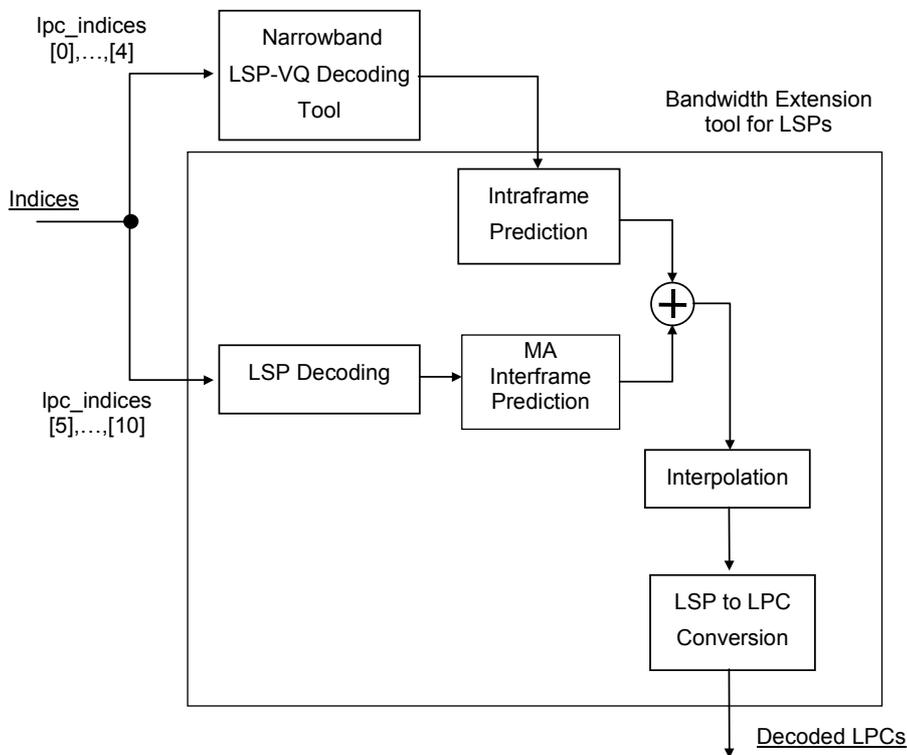
```

for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = (i+1) / (lpc_order+1);
}
    
```

**3.5.6.3 Bandwidth scalable LSP-VQ decoding tool**

**3.5.6.3.1 Tool description**

The Bandwidth Scalable LSP-VQ decoding tool is utilized to add bandwidth scalability to the Mode II coder. In the Bandwidth Scalable LSP-VQ decoding tool, the bandwidth extension tool is connected with the Narrowband LSP-VQ decoding tool as shown in Figure 3.5. The LPC coefficients for each subframes are reconstructed by retrieving **lpc\_indices[]** and converting the decoded LSPs in the narrowband mode.



**Figure 3.5 — Blockdiagram of the bandwidth scalable LSP-VQ decoding tool**

**3.5.6.3.2 Definitions**

Input

**lpc\_indices[]**: The dimension of this array is *num\_lpc\_indices* and contains the packed lpc indices.

*lsp\_current[]*: This array contains the decoded LSP parameters, which are normalized in the range of zero to PI, at the Narrowband LSP Decoding tool. These parameters are obtained as intermediate parameters in the Narrowband LSP Decoding process described in subclause 3.5.6.1 and forwarded to the Bandwidth Scalable LSP Decoding tool.

## Output

*int\_Qlpc\_coefficients[]*: This array contains the LPC coefficients for each subframe. The LPC coefficients are decoded and interpolated as described in the decoding process. The LPC coefficients are stacked one after the other in blocks of *lpc\_order*. Thus, the dimension of the array is *lpc\_order \* nrof\_subframes*.

## Configuration

*lpc\_order*: This field indicates the order of LPC being used.

*num\_lpc\_indices*: This field contains the number of packed LPC codes. For the Bandwidth Scalable LSP Decoding process, *num\_lpc\_indices* is set to 11.

*nrof\_subframes*: This field contains the number of subframes.

*nrof\_subframes\_bws*: This parameter, which is a help variable, represents the number of subframes in the bandwidth extension tool.

The following help elements are used in the decoding procedure.

*lsp\_bws\_tbl[][][]* look-up tables for bandwidth scalable LSP decoding process

*lsp\_bws\_buf[][]* buffer containing LSP prediction residual

*bws\_ma\_prdct[][]* prediction coefficients for moving average prediction

*bws\_nw\_prdct[]* prediction coefficients for conversion for LSPs from narrowband to wideband

*lsp\_current[]* the decoded LSPs in the narrowband CELP coder

*lsp\_bws\_current[]* the decoded LSPs at the current frame in the bandwidth extension tool

*lsp\_bws\_previous[]* the decoded LSPs at the previous frame in the bandwidth extension tool

*lpc\_order\_bws* the order of LPC in the bandwidth extension tool (=20). This is twice the *lpc\_order* in narrowband CELP.

### 3.5.6.3.3 Decoding process

The LPC coefficients at each subframe are reproduced using *lpc\_indices[5]*, ... , *lpc\_indices[10]* and the current LSPs (*lsp\_current[]*) for the narrowband CELP coder. The decoding procedure consists of three steps, decoding LSPs at last subframe, interpolation for the other subframes and conversion of LSPs to LPC coefficients.

#### Decoding process of the bandwidth extension tool for LSP parameters

The intraframe prediction module produces estimated LSP parameters by converting the decoded LSP parameters (*lsp\_current[]*) at the Narrowband LSP-VQ decoding tool. The estimated residual LSP parameters are decoded from *lpc\_indices[]* by means of the moving average (MA) interframe prediction.

The LSPs at the last subframe (*lsp\_bws\_current[]*) for the bandwidth extension tool are reconstructed by table look up and prediction as follows:

```

for (i = 0; i < 5; i++)
{
    lsp_bws_buf[0][i] = lsp_bws_tbl[0][lpc_indices[5]][i]+lsp_bws_tbl[2][lpc_indices[7]][i];
}

for (i = 5; i < 10; i++)
{
    lsp_bws_buf[0][i] = lsp_bws_tbl[0][lpc_indices[5]][i]+lsp_bws_tbl[3][lpc_indices[8]][i-5];
}

for (i = 10; i < 15; i++)
{
    lsp_bws_buf[0][i] = lsp_bws_tbl[1][lpc_indices[6]][i-10]+lsp_bws_tbl[4][lpc_indices[9]][i-10];
}

for (i = 15; i < 20; i++)
{
    lsp_bws_buf[0][i] = lsp_bws_tbl[1][lpc_indices[6]][i-10]+lsp_bws_tbl[5][lpc_indices[10]][i-15];
}

for (n = 0; n <= 2; n++)
{
    for (i = 0; i < 20; i++)
    {
        lsp_bws_current[i] += bws_ma_prdct[n][i]*lsp_bws_buf[n][i];
    }
}

for (i = 0; i < 10; i++)
{
    lsp_bws_current[i] += bws_nw_prdct[i]*lsp_current[i];
}

```

where *lsp\_bws\_tbl[][][]* are LSP codebooks listed in Annex 3.C. *bws\_ma\_prdct[][]* and *bws\_nw\_prdct[]* are prediction coefficients for moving average interframe prediction and intraframe prediction, respectively. *lsp\_bws\_buf[][]* is a buffer containing LSP prediction residual at the current frame and previous two ones. This buffer is shifted for the next frame operation as follows:

```

for (n = 2; n > 0; n--)
{
    for (i = 0; i < 20; i++)
    {
        lsp_bws_buf[n][i] = lsp_bws_buf[n-1][i];
    }
}

```

The decoded LSPs *lsp\_bws\_current[]* are stabilized in order to ensure stability of the LPC synthesis filter, which is derived from the decoded LSPs. The decoded LSPs are arranged in ascending order, having a distance of at least *min\_gap* between adjacent coefficients.

```

for (i = 0; i < lpc_order_bws; i++)
{
    if (lsp_bws_current[i] < 0.0 || lsp_bws_current[i] > PAI)

```

```

    {
        lsp_bws_current[i] = 0.05 + PAI * i / lpc_order_bws;
    }
}

for (i = (lpc_order_bws-1); i > 0; i--)
{
    for (j = 0; j < i; j++)
    {
        if (lsp_bws_current[j] + min_gap > lsp_bws_current[j+1])
        {
            tmp = 0.5 * (lsp_bws_current[j] + lsp_bws_current[j+1]);
            lsp_bws_current[j] = tmp - 0.51 * min_gap;
            lsp_bws_current[j+1] = tmp + 0.51 * min_gap;
        }
    }
}

```

where PAI = 3.141592 and min\_gap = 0.028.

#### Interpolation of the LSP parameters

The decoded LSPs are interpolated linearly at each subframe.

```

for (n = 0; n < nrof_subframes_bws; n++)
{
    ratio_sub = (n+1)/nrof_subframes_bws;
    for (i = 0; i < 2*lpc_order; i++)
    {
        lsp_bws_subframe[n][i] = ((1-ratio_sub)*lsp_bws_previous[i]
                                + ratio_sub*lsp_bws_current[i]);
    }
}

for (i = 0; i < 2*lpc_order; i++)
{
    lsp_bws_previous[i] = lsp_bws_subframe[nrof_subframes_bws-1][i];
}

```

#### Conversion of LSPs to LPC coefficients

The interpolated LSPs are converted to the LPC coefficients at each subframes.

```

for (n = 0; n < nrof_subframes_bws; n++)
{
    Convert2lpc (lpc_order_bws, lsp_bws_subframe[n],
                &int_Qlpc_coefficients[n*lpc_order_bws]);
}

```

### 3.5.6.4 Fine rate control in the LSP decoding tool

#### 3.5.6.4.1 Tool description

Fine Rate Control is available with the LSP Decoding tool. If the FRC is used, two additional parameters, **interpolation\_flag** and **LPC\_present**, are included in the input parameters. The Narrowband LSP Decoding process is carried out if the **lpc\_indices[]** are present in the current frame (**LPC\_present** = YES).

#### 3.5.6.4.2 Definitions

##### Input

**lpc\_indices[]**: The dimension of this array is *num\_lpc\_indices* and contains the LPC indices.

**interpolation\_flag**: This is a one-bit flag. When set, the flag indicates that the frame under consideration is an incomplete frame, i.e. the frame does not carry the LPC coefficients of the current speech frame, but only its excitation parameters (adaptive and fixed codebook parameters). The LPC coefficients for the speech frame under consideration should be obtained using interpolation of the LPC coefficients of the adjacent frames.

1 LPC coefficients of the frame must be retrieved by interpolation

0 LPC coefficients of the frame do not have to be interpolated.

For maintaining good subjective quality, there may never be more than one frame in succession without the LPC information, i.e. the **interpolation\_flag** may not have the value 1 in two successive CelpFrames.

**LPC\_Present** This field indicates the presence of LPC parameters in the speech frame under consideration. These LPC coefficients are either of the current speech frame or those of a subsequent frame. When used in combination with the **interpolation\_flag**, the two parameters completely describe how the LPC coefficients of the current frame are derived. If the interpolation flag is set, the LPC coefficients of the current frame are calculated by using the LPC coefficients of the previous and next frame. Generally, this would mean that the decoding of the current frame must be delayed by one frame. To avoid this additional delay in the decoder, the LPC coefficients of the next frame are enclosed in the current frame. In this case, the **LPC\_Present** flag is set. Since the LPC coefficients of the next frame are already present in the current frame, the next frame will not contain LPC information.

- If the **interpolation\_flag** is “1” and the **LPC\_Present** is “1”, then (a) the LPC parameters of the current frame are derived using the LPC parameters of the previous frame and that of the next frame and (b) the current frame (frame under consideration) carries LPC parameters of a subsequent frame, but not those of the frame under consideration. The LPC coefficients of the frame under consideration are obtained by interpolation of the previously received LPC parameters and the LPC parameters received in the frame under consideration.
- If the **interpolation\_flag** is “0” and the **LPC\_Present** is “0”, the LPC parameters to be used with the frame under consideration are those received in the previous frame.
- When **interpolation\_flag** is “0” and the **LPC\_Present** is “1”, then the current frame is a complete frame and the LPC parameters received in the current frame belong to the current frame.

Such a construction is chosen so as to minimize the delay when the decoder begins reconstructing the frame, the LPC coefficients of which are obtained using interpolation without having to wait for the next frame to arrive. Secondly, such a combination makes it possible to decode the bitstream from any point (random access). In the fixed bitrate configuration, these two flags exhibit a fixed pattern

01, 01, 01, 01, 01, 01, ... The string 01 is repeated

11, 00, 11, 00, 11, 00, ... The string 11, 00 is repeated (Fixed bitrate achieved over two frames)

For variable bitrate (when **FineRateControl** = ON), the string will, generally, not exhibit a fixed pattern.

### Output

*int\_Qlpc\_coefficients*[]): This array contains the LPC coefficients for each subframe. The LPC coefficients are decoded and interpolated as described in the decoding process.

#### 3.5.6.4.3 Decoding process

If the **interpolation\_flag** is set, the LSPs decoded in the current frame belong to the next frame and LSPs for the current frame are calculated by linearly interpolating the LSPs of the adjacent frames.

If the **interpolation\_flag** is not set and the **lpc\_indices**[] are present in the current frame, the computed coefficients belong to the current frame and no interpolation need be performed.

If the **interpolation\_flag** is not set and the **lpc\_indices**[] are not present in the current frame, the LSPs for the current frame are already received in the previous frame. Therefore the LSPs received in the previous frame are copied and used in the current frame.

### 3.5.7 CELP excitation generator

The CELP excitation generator generates the excitation signal for one subframe from the received indices using either regular pulse excitation (RPE) process or a multi-pulse excitation (MPE) process depending on the coding mode.

**Table 3.86 — Excitation generation tools**

Coding Mode	Sampling Rate	Tool
Mode I	16 kHz	RPE
Mode II	8, 16 kHz	MPE
	8, 16 kHz	Bitrate Scalable MPE
	8/16 kHz (BWS)	Bandwidth Scalable MPE

#### 3.5.7.1 Regular pulse excitation generation tool

##### 3.5.7.1.1 Tool description

Figure 3.6 illustrates the excitation generation process used for the 16 kHz sampling rate. The excitation signal is constructed from a periodic component (adaptive codebook contribution) and non periodic component (RPE contribution) scaled by their respective gains. Using the **shape\_delay**[*sub\_frame*] and the **gain\_indices**[0][*sub\_frame*], the adaptive codebook contribution is computed. The RPE contribution is computed by using **shape\_index**[*sub\_frame*] and **gain\_indices**[1][*sub\_frame*]. For clarity, the indexing based on *sub\_frame* is dropped. It is noted that the excitation generation process is repeated every subframe.

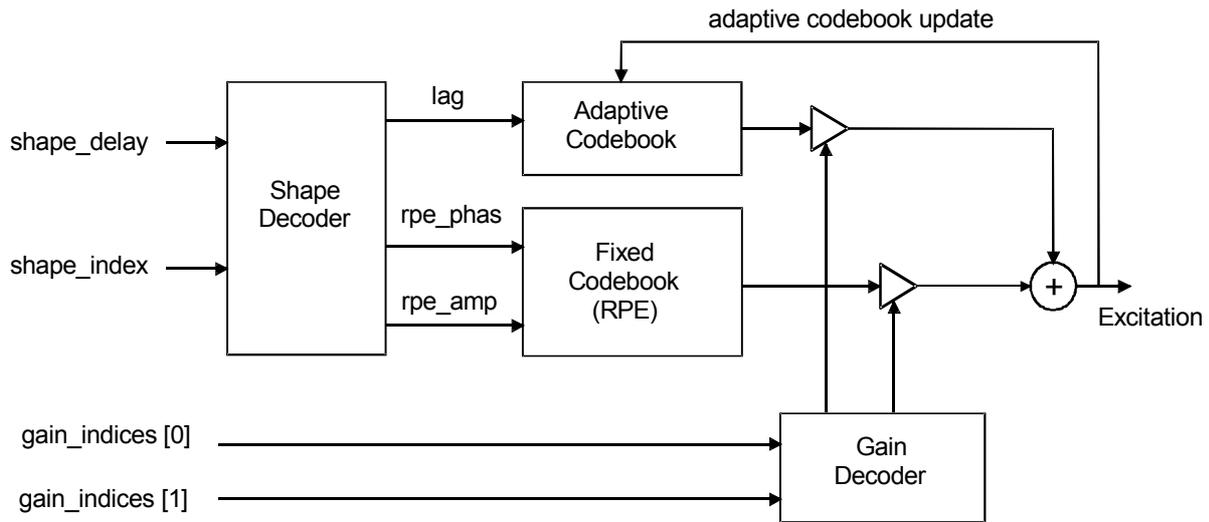


Figure 3.6 — Regular pulse excitation generator

3.5.7.1.2 Definitions

Input

**shape\_delay[]**: This array is of dimension *nrof\_subframes* and contains the adaptive codebook lag.

**shape\_index[]**: This array is of dimension *nrof\_subframes* and contains the RPE codebook index.

**gain\_indices[0][]**: This array is of dimension *nrof\_subframes* and contains the adaptive codebook gain index.

**gain\_indices[1][]**: This array is of dimension *nrof\_subframes* and contains the RPE codebook gain.

Output

**excitation[]**: This array is of dimension *sbfrm\_size* and contains the excitation signal. This signal is reconstructed from shape and gain vectors using the adaptive and fixed codebooks.

**lag**: This field contains the decoded lag (pitch period) for adaptive codevector.

**adaptive\_gain**: This field contains the decoded gain for adaptive codevector.

Configuration

**sbfrm\_size**: This field indicates the number of samples in the subframe.

**nrof\_subframes**: This field indicates the number of subframes.

The additional elements used in the RPE excitation mode are as follows:

- tbl\_cba\_gain[]**                    look-up-table for adaptive codebook gain
- tbl\_cbf\_gain[]**                    look-up-table for fixed codebook gain
- tbl\_cbf\_gain\_dif []**                look-up-table for fixed codebook gain difference
- cba[]**                                adaptive codebook

*prev\_Gf* fixed codebook gain of the previous subframe

### 3.5.7.1.3 Decoding process

#### 3.5.7.1.3.1 Shape decoder

This block describes the extraction of Adaptive codebook lag and the RPE parameters. The adaptive codebook lag is derived from the **shape\_delay** in the following way:

```
lag = Lmax - Lmin - shape_delay
```

where Lmax and Lmin are the maximum and minimum lag, respectively, equal to 295 and 40. The decimation factor D and number of pulses  $N_p$  are tabulated below. The RPE parameters, namely the RPE phase (*rpe\_phase*) and the RPE amplitudes (*rpe\_amps*) are derived as follows:

```
rpe_index = shape_index;
rpe_phase = rpe_index MOD D
rpe_index = rpe_index / D;
for (n = Np - 1; n >= 0; n--)
{
    rpe_amps[n] = (rpe_index MOD 3) - 1;
    rpe_index = rpe_index / 3;
}
```

#### 3.5.7.1.3.2 Gain decoder

This block derives the scalar quantized adaptive and fixed codebook gains from the gain indices. The adaptive codebook gain  $G_a$  is determined by a table look-up on *cba\_gain*[] (Table 3.88):

```
if (gain_indices[0] > 31)
{
    Ga = -1 * cba_gain[((64 - gain_indices[0]) - 1)];
}
else
{
    Ga = cba_gain[gain_indices[0]];
}
```

The decoding of the fixed codebook gain depends on the subframe under consideration. The gain vector is used for retrieving the fixed codebook gain  $G_f$ . For the first subframe in a frame the gain is decoded with:

```
Gf = cbf_gain[gain_indices[1]];
```

where *cbf\_gain*[] is provided in Table 3.89. For all later subframes the gain is decoded using *cbf\_gain\_dif*[] provided in Table 3.90:

```
Gf = cbf_gain_dif[gain_indices[1]] * prev_Gf;
```

where *prev\_Gf* is the decoded gain of the previous subframes.

#### 3.5.7.1.3.3 Adaptive codebook generation

First the generation of the excitation signal due to the adaptive codebook will be described. The excitation for the adaptive codebook  $ya[n]$  is computed using the shape vector:

```
for (n = 0; n < sbfrm_size; n++)
{
    ya[n] = cba[lag + n];
}
```

**3.5.7.1.3.4 Fixed codebook generation**

The excitation for the fixed codebook is computed in two steps. To generate the fixed codebook, we also need two extra parameters, namely  $D$  (the pulse spacing or the decimation factor) and  $Np$ , the number of pulses.

These values are dependent on the bitrate and are tabulated in Table 3.87.

**Table 3.87 — Allocation of pulse spacing and number of pulses in RPE**

RPE_Configuration	D	Np
0	8	5
1	8	5
2	5	6
3	4	6
4 ... 7	Reserved	

Once the phase and amplitudes are known, the amplitudes are placed on a regular grid. The amplitudes can have 3 different values: -1, 0 and 1. The excitation for the fixed codebook,  $yf$ , is computed using the RPE-formula:

```
for (n = 0; n < sbfrm_size; n++)
{
    yf[n] = 0;
}
for (n = 0; n < Np; n++)
{
    yf[phase + D*n] = amp[n];
}
```

**3.5.7.1.3.5 Excitation generation**

The excitation is the sum of adaptive and fixed excitation multiplied by their corresponding gains:

```
for (n = 0; n < sbfrm_size; n++)
{
    excitation[n] = Ga * ya[n] + Gf * yf[n];
}
```

Finally, the adaptive codebook has to be updated using the excitation. This is done by shifting the adaptive codebook by  $sbfrm\_size$  entries and filling the empty entries with the calculated excitation.

```

for (n = sbfrm_size; n < Lmax; n++)
{
    cba[n-sbfrm_size] = cba[n];
}
for (n = 0; n < sbfrm_size; n++)
{
    cba[Lmax-1-n] = excitation[sbfrm_size - 1 - n];
}

```

The adaptive codebook is initialized by filling it with zeros.

**Table 3.88 — Representation table for adaptive codebook gain**

Scale factor:  $2^{17}$

Index	cba_gain	Index	cba_gain
0	12386	3	47147
1	27800	4	54827
2	38483	5	61669

Scale factor:  $2^{15}$

Index	cba_gain	Index	cba_gain
6	16993	15	30284
7	18520	16	31877
8	20021	17	33607
9	21493	18	35550
10	22938	19	37808
11	24396	20	40613
12	25834	21	44122
13	27292	22	48847
14	28767	23	55745

Scale factor:  $2^8$

Index	cba_gain	Index	cba_gain
24	528	28	5553
25	727	29	11107
26	1388	30	22214
27	2777	31	44426

**Table 3.89 — Representation table for fixed codebook gain**

Scale factor:  $2^{12}$

Index	cbf_gain	Index	cbf_gain
0	8192	4	26573
1	11578	5	34324
2	14877	6	44160
3	19909	7	55564

Scale factor: 2<sup>9</sup>

Index	cbf_gain
8	8739
9	10823
10	13458
11	16451
12	19978
13	24039

Index	cbf_gain
14	28624
15	33682
16	39118
17	45372
18	52626
19	60243

Scale factor: 2<sup>5</sup>

Index	cbf_gain
20	4327
21	4954
22	5700
23	6551
24	7649
25	8977

Index	cbf_gain
26	10689
27	13066
28	16183
29	21291
30	32832
31	reserved

**Table 3.90 — Representation table for differential fixed codebook gain**

Scale factor: 2<sup>13</sup>

Index	cbf_gain_dif
0	819
1	3205
2	5418
3	7335

Index	cbf_gain_dif
4	9544
5	12763
6	22118
7	53248

### 3.5.7.2 Multi-pulse excitation generation tool

#### 3.5.7.2.1 Tool description

Figure 3.7 shows the block diagram of the Multi-Pulse Excitation Generation tool. For clarity, the indexing for each subframe is dropped. It is noted that the excitation generation process is repeated every subframe. The excitation signal is constructed from a periodic component (adaptive codebook vector) and non-periodic component (fixed codebook vector) scaled by their respective gains. Both the adaptive and the fixed codebook vectors are decoded from **shape\_delay**, **shape\_positions** and **shape\_signs**. The gains are decoded from three types of indices, **signal\_mode**, **rms\_index** and **gain\_index**.

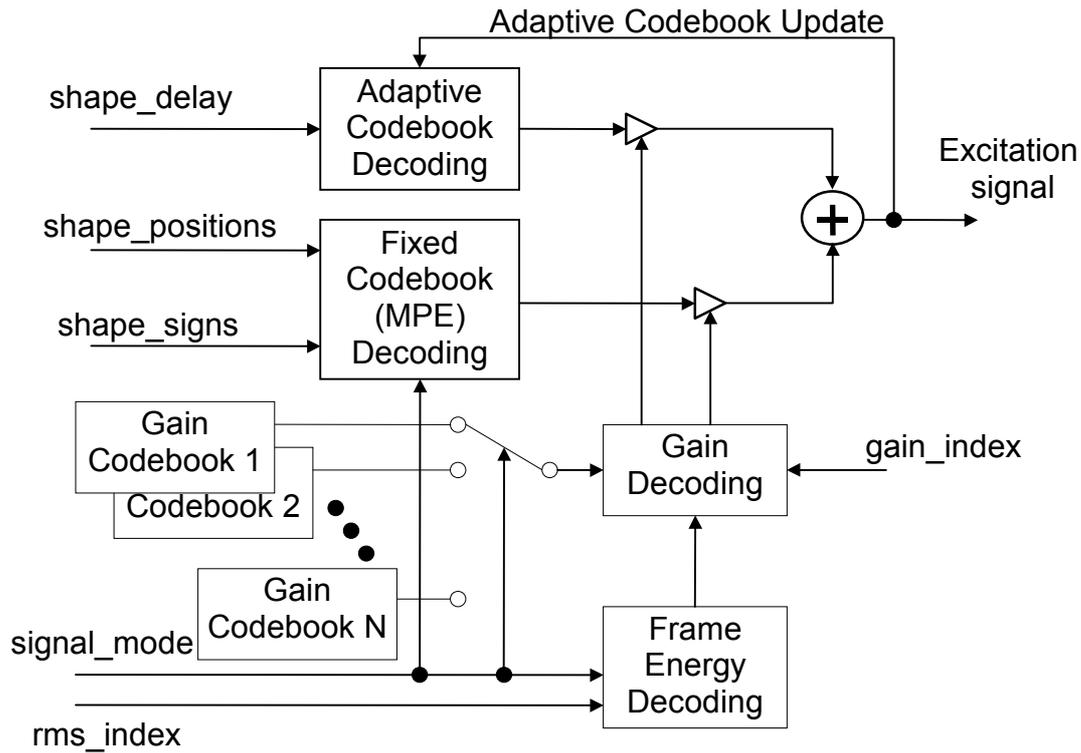


Figure 3.7 — Multi-pulse excitation generator

### 3.5.7.2.2 Definitions

#### Input

**shape\_delay[]**: This array is of dimension  $nrof\_subframes$  and contains the adaptive codebook lag.

**shape\_positions[]**: This array is of dimension  $nrof\_subframes$  and contains the pulse positions.

**shape\_signs[]**: This array is of dimension  $nrof\_subframes$  and contains the pulse signs.

**gain\_index[]**: This array is of dimension  $nrof\_subframes$  and contains the adaptive codebook gain index and the fixed codebook gain index.

**rms\_index**: This field defines the index for the signal power.

**signal\_mode**: This field contains the voiced/unvoiced flag.

**int\_Qlpc\_coefficients[]**: This is an array of dimension  $lpc\_order$  and contains the quantized and interpolated LPC coefficients of one subframe.

#### Output

**excitation[]**: This array is of dimension  $sbrfm\_size$  and contains the excitation signal. This signal is reconstructed from shape and gain vectors using the adaptive and fixed codebooks.

**acb\_delay**: This field contains the decoded lag for the adaptive codevector.

*adaptive\_gain*: This field contains the decoded gain for the adaptive codevector.

Configuration

*lpc\_order*: This field indicates the order of LPC that is used.

*sbfrm\_size*: This field indicates the number of samples in the subframe.

*nrof\_subframes*: This field indicates the number of subframes.

The additional elements used in the MPE tool are as follows:

<i>pacb[]</i>	look-up-table of excitation for the periodic component
<i>pos_tbl[][]</i>	look-up-table of excitation for the non-periodic component
<i>acb[]</i>	decoded excitation signal as the periodic component
<i>fcb[]</i>	decoded excitation signal as the non-periodic component
<i>ga</i>	decoded gain for the periodic component
<i>gf</i>	decoded gain for the non-periodic component
<i>qxnrm[]</i>	decoded root mean squares of the speech signal
<i>par[]</i>	reflection coefficients converted from the <i>int_Qlpc_coefficients[]</i>
<i>acb_energy</i>	energy of <i>acb[]</i>
<i>fcb_energy</i>	energy of <i>fcb[]</i>
<i>acb_delay</i>	Integer part of the pitch lag
<i>acb_frac</i>	Fractional part of the pitch lag

**3.5.7.2.3 Decoding process**

**3.5.7.2.3.1 Decoding of signal\_mode**

**Signal\_mode** represents one of four modes for each frame. Modes 0 and 1 correspond to unvoiced and transition frames, respectively. Modes 2 and 3 correspond to voiced frames, and the latter indicates higher pitch periodicity than the former. This information is utilized in decoding the frame energy, the multi-pulse excitation and gains.

**3.5.7.2.3.2 Decoding of frame energy**

The root mean square (rms) value at last subframe is reconstructed using **signal\_mode** and **rms\_index**. The rms value is decoded in the  $\mu$ -law scale.  $\mu$ -law parameters are dependent on **signal\_mode**. The rms values of other subframes are obtained by linear-interpolating the decoded rms values at the last subframe of the current and the previous frames. The quantized rms values are used for the gain decoding process.

```
delt = 1.0 / 64;
aa = 1.0 / log10(1.0 + mu_law);
bb = rms_max / mu_law;

pwk = aa * log10(1.0 + pqxnrm / bb);
```

```

qwk = delt*(rms_index+1);
for (i = 0; i < n_subframes; i++)
{
    nwk = (qwk-pwk)*(i+1)/n_subframes + pwk;
    qxnorm[i] = bb * (pow((double)10.0, (nwk/aa)) - 1.0);
}
pqxnorm = qxnorm [n_subframes-1];

```

Table 3.91 — Value of rms\_max and mu\_law

Signal_mode	rms_max	mu_law
0	7932	1024
1, 2, 3	15864	512

### 3.5.7.2.3.3 Decoding of the adaptive codebook vector

The integer and the fractional parts of the pitch delay are obtained from **shape\_delay**. The mapping tables between **shape\_delay** and the two parts of the pitch delay are shown in Table 3.92 for the 8 kHz sampling rate and Table 3.93 for the 16 kHz sampling rate. The adaptive codebook vector  $acb[n]$  is computed by interpolating the past excitation signal  $pacb[n]$  at the decoded integer delay  $acb\_delay$  and fraction  $acb\_frac$ . The interpolation is done using an FIR filter  $int\_fil[k]$  based on a Hamming-windowed sinc function. If the value of **shape\_delay** is 255 for the 8 kHz sampling rate or 511 for the 16 kHz sampling rate, the output  $acb[]$  consists of all zero-valued samples. For the other indices, the output  $acb[]$  is produced by the following procedure:

```

for (n = 0; n < sbfrm_size;)
{
    tt += acb_frac;
    kt = acb_delay + tt / 6;
    tt = tt % 6;
    for (i = 0; i < kt && n < sbfrm_size; i++, n++)
    {
        for (k = -iftap; k <= iftap; k++)
        {
            kk = (k+1) * 6 - tt;
            acb[n] += int_fil[abs(kk)] * pacb[pacb_size-(kt-i+k+1)];
            pacb[pacb_size+n] = acb[n];
        }
    }
}

```

where the filter coefficients for the 8 kHz and 16 kHz sampling rates are listed in Annex 3.C. The help parameters  $iftap$  and  $pacb\_size$  are dependent on the sampling rate.

Table 3.92 — The mapping table between the shape\_delay and the pitch delay for 8 kHz sampling rate

shape_delay	acb_delay	acb_frac
0 – 161	$shape\_delay/3+17$	$(2*shape\_delay)\%6$
162 – 199	$(shape\_delay-162)/2+71$	$(3*(shape\_delay-162))\%6$
200 – 254	$shape\_delay-200+90$	0
255	0	0

Table 3.93 — The mapping table between the shape\_delay and the pitch delay for 16 kHz sampling rate

shape_delay	acb_delay	acb_frac
0 – 215	$shape\_delay/3+20$	$(2*shape\_delay)\%6$

216 – 397	$(\text{shape\_delay} - 216)/2 + 92$	$(3 * (\text{shape\_delay} - 216)) \% 6$
398 – 510	$\text{shape\_delay} - 398 + 183$	0
511	0	0

**Table 3.94 — The number of interpolation filter taps and adaptive codebook size**

Sampling Rate	<i>iftap</i>	<i>pacb_size</i>
8 kHz	6	150
16 kHz	11	306

**3.5.7.2.3.4 Decoding of the fixed codebook vector**

The fixed codebook vector contains several non-zero pulses and is represented by the pulse position and pulse amplitudes. The pulse positions *pul\_pos[j]* are extracted from **shape\_positions**. The pulse amplitudes *pul\_amp[j]* are obtained from **shape\_signs**.

```

for (i = num_pulse-1, k = 0; i >= 0; i--)
{
  for (j = 0; j < num_bit_pos[i]; j++)
  {
    pos_idx[i] |= ((shape_positions >> k) & 0x1) << j;
    k++;
  }
  pul_amp[i] = 1.0;
  if (((shape_signs >> (num_pulse-1-i)) & 0x1) == 1)
  {
    pul_amp[i] = -1.0;
  }
  pul_pos[i] = pos_tbl[i][pos_idx[i]];
}

```

**Table 3.95 — The number of pulses for 8 kHz sampling rate**

MPE_Con figuration	num_pulse	MPE_Con figuration	num_pulse	MPE_Con figuration	num_pulse
0	3	10	10	20	11
1	4	11	11	21	12
2	5	12	12	22	8
3	5	13	4	23	9
4	6	14	5	24	10
5	7	15	6	25	11
6	6	16	7	26	12
7	7	17	8	27 ... 31	reserved
8	8	18	9		
9	9	19	10		

Table 3.96 — The number of pulses for 16 kHz sampling rate

MPE_Configuration	num_pulse	MPE_Configuration	num_pulse
0, 16	5	8, 24	3
1, 17	6	9, 25	4
2, 18	7	10, 26	5
3, 19	8	11, 27	6
4, 20	9	12, 28	7
5, 21	10	13, 29	8
6, 22	11	14, 30	9
7, 23	reserved	15, 31	10

where *num\_pulse* is the number of pulses and is set from **MPE\_Configuration** depending on the sampling rate. *num\_bit\_pos[i]* is the number of bits for encoding the *i*-th pulse position. *pos\_tbl[i][j]* is the restriction table, which indicates the possible positions for each pulse. The table indicates the possible positions for each pulse. The table is set up in accordance with the combination of *subfrm\_size*, *num\_pulse* and *num\_bit\_pos[]* as follows:

```

step = subfrm_size / min_num_bit_pos;
for (i = 0; i < num_pulse; i++)
{
    m = 1 << (num_bit_pos[i]-min_num_bit_pos);
    for (j = 0, k = 0; k < m)
    {
        ch[j] = i;
        k++;
        j += (long)((float)step/m + 0.5);
        j = j % step;
    }
}

for (i = 0; i < num_pulse; i++)
{
    for (l = 0, k = 0; k < step; k++)
    {
        if (i == ch[k])
        {
            for (j = 0; j < min_num_bit_pos; j++)
            {
                pos_tbl[i][l++] = k + step * j;
            }
        }
    }
}

```

For example, the pulse position table used in 6 kbit/s Mode II coder for the 8 kHz sampling rate is shown in Table 3.97.

Table 3.97 — The pulse position table for 6 kbit/s coder

Pulse number: l	Num_bit_pos[i]	Pulse positions: pos_tbl[i][j]
0	4	0,5,10,15,20,25,30,35, 40,45,50,55,60,65,70,75
1	4	1,6,11,16,21,26,31,36, 41,46,51,56,61,66,71,76
2	4	2,7,12,17,22,27,32,37 42,47,52,57,62,67,72,77
3	3	3,13,23,33,43,53,63,73
4	3	4,14,24,34,44,54,64,74
5	3	8,18,28,38,48,58,68,78
6	3	9,19,29,39,49,59,69,79

The fixed codebook vector  $fc_b[n]$  is computed from  $pul\_pos[i]$  and  $pul\_amp[i]$  as follows:

```
for (n = 0; n < sbfrm_size; n++)
{
    fcb[n] = 0.0;
}
for (i = 0; i < num_pulse; i++)
{
    fcb[pul_pos[i]] = pul_amp[i];
}
```

If the integer delay  $acb\_delay$  is less than the subframe size  $sbfrm\_size$ , the fixed codebook vector signal  $fc_b[n]$  is modified by zero-state-combfiltering as follows:

```
for (n = 0; n < sbfrm_size; n++)
{
    if (n - acb_delayt >= 0)
    {
        ix = fcb[n - acb_delay];
    }
    else
    {
        ix = 0.0;
    }
    fcb[n] += cga[signal_mode] * ix;
}
```

where  $cga[4] = \{0.0, 0.0, 0.6, 0.8\}$  are the gains of the comb-filter.

### 3.5.7.2.3.5 Decoding of the adaptive and the fixed codebook gains

The **gain\_index** is converted to the normalized gains  $nga$ ,  $ngf$  for the adaptive and the fixed codebook vectors by looking up the gain table. The gain table is changed in accordance with **signal\_mode**,  $sbfrm\_size$  and sampling rate. The gain tables for this tool are given in Annex 3.C. The adaptive codebook gain  $ga$  and the fixed codebook gain  $gf$  are calculated as follows:

```
ga = nga * sqrt (norm / acb_energy);
gf = ngf * sqrt (norm / fcb_energy);
```

where *acb\_energy* and *fcb\_energy* are the energies for the adaptive codebook and fixed codebook vectors, respectively. The *norm* is the normalization factor.

```
norm = (qxnorm*subfrm_size)*(qxnorm*subfrm_size);
for(i = 0; i < lpc_order; i++)
{
    norm *= (1 - par[i] * par[i]);
}
```

where *par[]* are the reflection coefficients and are calculated from the LP coefficients *int\_Qlpc\_coefficients[]*. *qxnorm* is the quantized subframe energy, which is decoded from the **rms\_indx** (see subclause 3.5.7.2.3.2).

### 3.5.7.2.3.6 Excitation signal generation

The excitation signal (*excitation[]*) is calculated by summing *acb[]* and *fcb[]* scaled by *ga* and *gf* respectively.

```
for (i = 0; i < sbfrm_size; i++)
{
    excitation[i] = ga*acb[i] + gf*fcb[i];
}
```

### 3.5.7.2.3.7 Updating the adaptive codebook

The adaptive codebook is updated for the decoding process at the next frame by the generated excitation signal *excitation[]* as follows:

```
for (i = 0; i < pacb_size - sbfrm_size; i++)
{
    pacb[i] = pacb[sbfrm_size+i];
}

for (i = 0; i < sbfrm_size; i++)
{
    pacb[pacb_size-sbfrm_size+i] = excitation[i];
}
```

## 3.5.7.3 Bitrate scalable multi-pulse excitation generation tool

### 3.5.7.3.1 Tool description

The bitrate scalable decoder is realized using the bitrate scalable Multi-Pulse Excitation tool, which consists of the Multi-Pulse Excitation tool and the enhancement excitation decoding tool. This scalability enhancement is allowed only for the Mode II coder. The enhancement excitation signal is reconstructed by retrieving **shape\_enh\_positions**, **shape\_enh\_signs**, **gain\_enh\_index** and utilizing the decoded multi-pulse excitation signal in the Multi-Pulse Excitation tool.

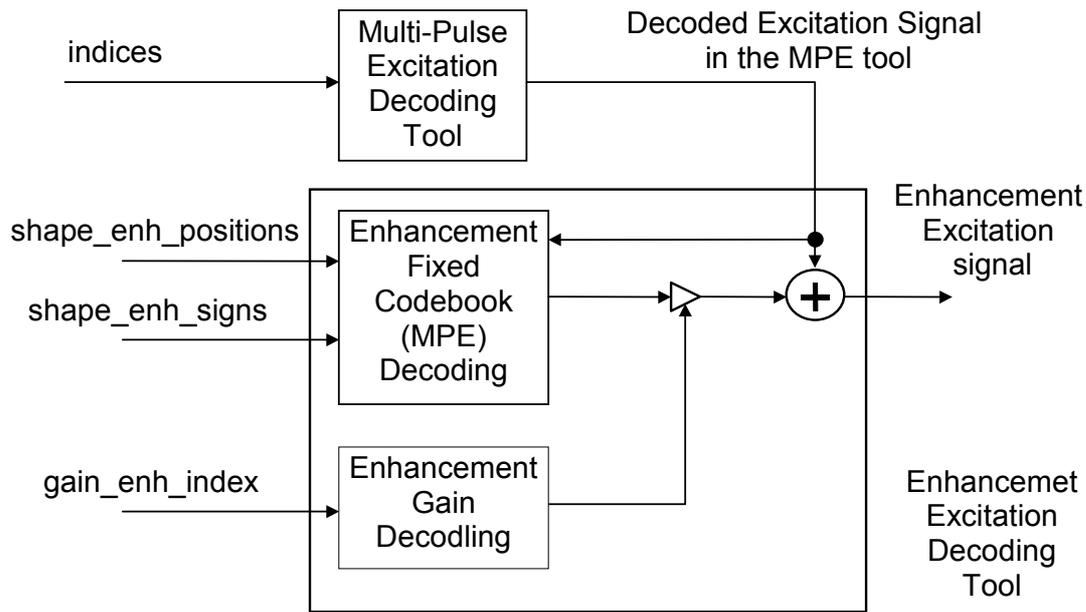


Figure 3.8 — Bitrate scalable multi-pulse excitation generation process

3.5.7.3.2 Definitions

Input

**shape\_delay[]**: This array is of dimension *nrof\_subframes* and contains the adaptive codebook lag.

**shape\_positions[]**: This array is of dimension *nrof\_subframes* and contains the pulse positions.

**shape\_signs[]**: This array is of dimension *nrof\_subframes* and contains the pulse signs.

**shape\_enh\_positions[]**: This array is of dimension *nrof\_subframes* and contains the pulse positions.

**shape\_enh\_signs[]**: This array is of dimension *nrof\_subframes* and contains the pulse signs.

**gain\_enh\_index[]**: This array is of dimension *nrof\_subframes* and contains the adaptive codebook gain index and the fixed codebook gain index.

**int\_Qlpc\_coefficients[]**: This is an array of dimension *lpc\_order* and contains the quantized and interpolated LPC coefficients of one subframe.

Output

**enh\_excitation[]**: This array is of dimension *sbfrm\_size* and contains the enhancement excitation signal. This signal is reconstructed from shape and gain vectors using the fixed codebook.

Configuration

**lpc\_order**: This field indicates the order of LPC that is used.

**sbfrm\_size**: This field indicates the number of samples in the subframe.

*nrof\_subframes*: This field indicates the number of subframes.

The additional elements used in the Bitrate Scalable MPE tool are as follows:

<i>pos_tbl[][]</i>	look-up-table of excitation for the non-periodic component
<i>enh_fcb[]</i>	decoded excitation signal as the non-periodic component
<i>ge</i>	decoded gain for the non-periodic component
<i>enh_fcb_energy</i>	energy of <i>enh_fcb[]</i>

### 3.5.7.3.3 Decoding process

#### 3.5.7.3.3.1 Decoding of the enhancement fixed codebook vector

The enhancement fixed codebook vector also consists of several non-zero pulses. The pulse positions and amplitudes are extracted from **shape\_enh\_positions**, **shape\_enh\_signs** by the same decoding algorithm as the fixed codebook. The enhancement fixed codebook vectors *enh\_fcb[n]* is computed from *pul\_pos[i]* and *pul\_amp[i]* as follows:

```

for (i = num_pulse_enh-1, k = 0; i >= 0; i--)
{
  for (j = 0; j < num_bit_pos[i]; j++)
  {
    pos_idx[i] |= ((shape_enh_positions>>k)&0x1) << j;
    k++;
  }
  pul_amp[i] = 1.0;
  if (((shape_enh_signs >> (num_pulse_enh-1-i)) & 0x1) == 1)
  {
    pul_amp[i] = -1.0;
  }
  pul_pos[i] = pos_tbl[i][pos_idx[i]];
}

for (n = 0; n < sbfrm_size; n++)
{
  enh_fcb[n] = 0.0;
}
for (i = 0; i < num_pulse_enh; i++)
{
  enh_fcb[pul_pos[i]] = pul_amp[i];
}

```

**Table 3.98 — Definition of num\_pulse\_enh**

<i>sbfrm_size</i>	<i>num_pulse_enh</i>
40	2
80	4

The pulse position table used in the enhancement tool is adaptively controlled so that the enhancement pulses stand at different positions from those of the pulses selected in the core excitation. The pulse position table is temporarily generated by the same decoding algorithm as the fixed codebook. The temporary pulse position table is modified as follows,

```

for (n = 0; n < num_enh; n++)
{
  for (i = num[n]-1, k = 0; i >= 0; i--)
  {
    pul_loc = 0;
    for (j = 0; j < bit_pos[i]; j++)
    {
      pul_loc |= ((idx[n]>>k)&0x1)<<j;
      k++;
    }
    pul_loc = chn_pos[i*len+pul_loc];
    for (l = 0; l < 10; l++)
    {
      for (m = 0; m < (1 << bit_pos_org[l]); m++)
      {
        if (pul_loc == chn_pos_org[l*len+m])
        {
          chn_ctr[l]++;
          break;
        }
      }
    }
  }
}

for (i = 0; i < 10; i++)
{
  ctr_tmp[i] = chn_ctr[i];
}
for (i = 0; i < num[n+1]; i++)
{
  min_ctr = len;
  for (j = 0; j < 10; j++)
  {
    if (ctr_tmp[j] < min_ctr)
    {
      min_ctr = ctr_tmp[j];
      min_chn = j;
    }
  }
  ctr_tmp[min_chn] = len;
  bit_pos[i] = bit_pos_org[min_chn];
  for (j = 0; j < (1<<bit_pos_org[min_chn]); j++)
  {
    chn_pos[i*len+j] = chn_pos_org[min_chn*len+j];
  }
}

for (i = 0; i < num[num_enh]; i++)
{
  bit[i] = bit_pos[i];
  for (j = 0; j < (1<<bit[i]); j++)
  {
    pos_tbl[i*len+j] = chn_pos[i*len+j];
  }
}

```

The temporary pulse position tables used in the enhancement excitation tool is shown in Table 3.99 and Table 3.100. The table changes depending on the subframe length.

**Table 3.99 — The temporary pulse position table for 80-sample subframe**

Pulse number: i	bit_pos_org[i]	Pulse positions: chn_pos_org[i][j]
0	3	0,10,20,30,40,50,60,70
1	3	1,11,21,31,41,51,61,71
2	3	2,12,22,32,42,52,62,72
3	3	3,13,23,33,43,53,63,73
4	3	4,14,24,34,44,54,64,74
5	3	5,15,25,35,45,55,65,75
6	3	6,16,26,36,46,56,66,76
7	3	7,17,27,37,47,57,67,77
8	3	8,18,28,38,48,58,68,78
9	3	9,19,29,39,49,59,69,79

**Table 3.100 — The temporary pulse position table for 40-sample subframe**

Pulse number: i	bit_pos_org[i]	Pulse positions: chn_pos_org[i][j]
0	2	0,10,20,30
1	2	1,11,21,31
2	2	2,12,22,32
3	2	3,13,23,33
4	2	4,14,24,34
5	2	5,15,25,35
6	2	6,16,26,36
7	2	7,17,27,37
8	2	8,18,28,38
9	2	9,19,29,39

### 3.5.7.3.3.2 Decoding of the enhancement fixed codebook gain

The **gain\_enh\_index** is converted to the normalized gain *nge* for the enhancement fixed codebook vector by looking up the gain table. The gain table is changed in accordance with **signal\_mode** and is given in Annex 3.C. The enhancement fixed codebook gain *ge* are calculated as follows:

$$ge = nge * \text{sqrt} (norm / \text{enh\_fcb\_energy});$$

where the *enh\_fcb\_energy* is the energy for the enhancement fixed codebook vector. The *norm* is the normalization factor.

### 3.5.7.3.3.3 Enhanced excitation signal generation

The enhanced excitation signal (*enh\_excitation[]*) is calculated by adding the enhancement codebook vector to excitation signals.

```
for (i = 0; i < sbfrm_size; i++)
{
    enh_excitation[i] = excitation[i] + ge*enh_fcb[i];
}
```

3.5.7.4 Bandwidth scalable multi-pulse excitation generation tool

3.5.7.4.1 Tool description

The excitation decoding process in the bandwidth scalable decoder is achieved by the bandwidth scalable Multi-Pulse Excitation tool, which consists of the Multi-Pulse Excitation tool and the bandwidth extension tool as shown in Figure 3.9. This scalability enhancement is allowed only for the Mode II coder. The scalable excitation signal is reconstructed by retrieving **shape\_bws\_positions**, **shape\_bws\_signs** and **gain\_bws\_index** and utilizing the decoded outputs for the 8 kHz sampling rate, namely the integer and the fractional parts of the pitch delay and the fixed codebook vector. These outputs are generated at the MPE decoding tool (subclause 3.5.7.2) or the bitrate scalable MPE decoding tool (subclause 3.5.7.3).

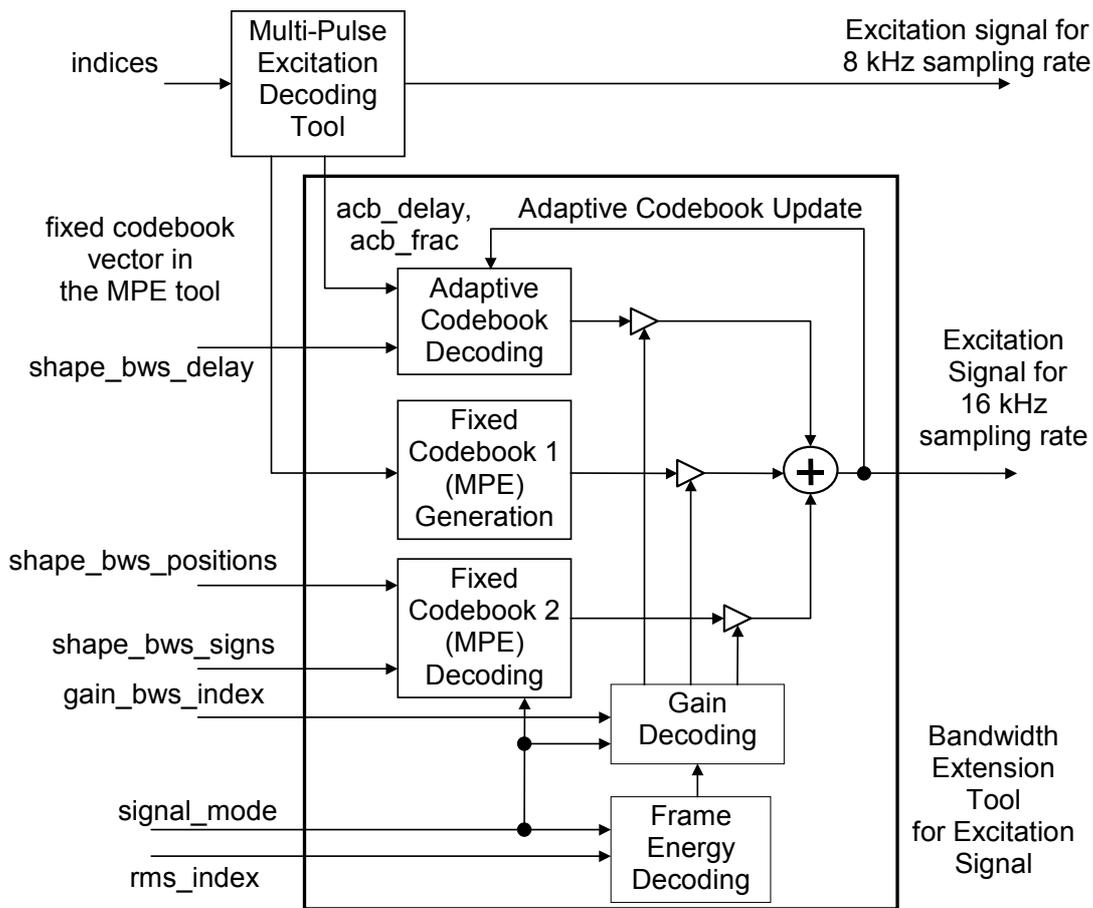


Figure 3.9 — Bandwidth scalable multi-pulse excitation generation process

3.5.7.4.2 Definitions

Input

**shape\_bws\_delay[]**: This array is of dimension *nrof\_subframes\_bws* and contains the adaptive codebook lag.

**shape\_bws\_positions[]**: This array is of dimension *nrof\_subframes\_bws* and contains the pulse positions.

**shape\_bws\_signs[]**: This array is of dimension *nrof\_subframes\_bws* and contains the pulse signs.

**gain\_bws\_index[]**: This array is of dimension *nrof\_subframes\_bws* and contains the adaptive codebook gain index and the fixed codebook gain index.

**rms\_index**: This field defines the index for the signal power.

**signal\_mode**: This field contains the voiced/unvoiced flag.

**int\_Qlpc\_coefficients[]**: This is an array of dimension *lpc\_order* and contain the quantized and interpolated LPC coefficients of one subframe.

### Output

**excitation[]**: This array is of dimension *sbfrm\_size* and contains the excitation signal. This signal is reconstructed from shape and gain vectors using the adaptive and fixed codebooks.

**acb\_delay**: This field contains the decoded lag for the adaptive codevector.

**adaptive\_gain**: This field contains the decoded gain for the adaptive codevector.

### Configuration

**lpc\_order**: This field indicates the order of LPC that is used.

**sbfrm\_size**: This field indicates the number of samples in the subframe in the bandwidth extension tool.

**nrof\_subframes\_bws**: This field indicates the number of subframes in the bandwidth extension tool.

The additional elements used in the Bandwidth Scalable MPE tool are as follows:

<i>pacb[]</i>	look-up-table of excitation for the periodic component
<i>pos_tbl[][]</i>	look-up-table of excitation for the non-periodic component
<i>acb[]</i>	decoded excitation signal as the periodic component
<i>fcb1[]</i>	decoded excitation signal as the non-periodic component
<i>fcb2[]</i>	decoded excitation signal as the non-periodic component
<i>ga</i>	decoded gain for the periodic component
<i>gn</i>	decoded gain for the non-periodic component
<i>gf</i>	decoded gain for the non-periodic component
<i>qxnrm[]</i>	decoded root mean squares of the speech signal
<i>par[]</i>	reflection coefficients converted from the <i>int_Qlpc_coefficients[]</i>
<i>acb_energy</i>	energy of <i>acb[]</i>
<i>fcb1_energy</i>	energy of <i>fcb1[]</i>
<i>fcb2_energy</i>	energy of <i>fcb2[]</i>
<i>acb_delay_wb</i>	Integer part of the pitch lag

*acb\_frac\_wb* Fractional part of the pitch lag

### 3.5.7.4.3 Decoding process

For the Mode II decoder with bandwidth scalability, the excitation signal at 16 kHz sampling is constructed from a periodic component (adaptive codebook vector) and two non-periodic components (fixed codebook vector 1 and 2) scaled by their respective gains.

#### 3.5.7.4.3.1 Decoding of signal\_mode

**Signal\_mode** is also utilized in decoding the frame energy, the multi-pulse excitation and gains in this decoding process.

#### 3.5.7.4.3.2 Decoding of frame energy

The decoding procedure is same as the MPE decoding tool.

#### 3.5.7.4.3.3 Decoding of the adaptive codebook vector

The integer and the fractional parts of the pitch delay are obtained from **shape\_delay** and **shape\_bws\_delay**.

The *acb\_delay* and *acb\_frac* in the 8 kHz sampling rate are decoded at the MPE tool and are fed to the bandwidth extension tool for the excitation signal. The 8 kHz sampling rate parameters are converted to the 16 kHz sampling rate parameters *acb\_delay\_wb*, *acb\_frac\_wb* in 16 kHz sampling rate as follows:

```

op_delay_wb = 2 * acb_delay
if (acb_frac != 0)
{
    op_delay_wb++;
}

if (op_delay_wb == 0)
{
    op_idx_wb = 778;
}
else
{
    op_idx_wb = (op_delay_wb - 32) * 3 + 2;
}

st_idx_wb = op_idx_wb - 4;
if (st_idx_wb < 0)
{
    st_idx_wb = 0;
}
if ((st_idx_wb + 7) >= 778)
{
    st_idx_wb = 778 - 8;
}

if (op_idx_wb == 778)
{
    acb_idx_wb = 778;
}
else

```

```

{
  acb_idx_wb = st_idx_wb + shape_bws_delay;
}

```

The mapping table between `acb_idx_wb` and the pitch delay parameters `acb_delay_wb`, `acb_frac_wb` is shown in Table 3.101. The adaptive codebook vector  $acb[n]$  is computed by interpolating the past excitation signal  $pacb[n]$  at the decoded integer delay `acb_delay_wb` and fraction `acb_frac_wb`. The interpolation is done using an FIR filter  $int\_fil[k]$ ,  $k=0,\dots,66$  based on a Hamming windowed sinc function. If the value of **shape\_delay** is 255 or the value of **shape\_bws\_delay** is 768, the output  $acb[n]$  consists of all zero-valued samples. For other combinations of the indices, the output  $acb[n]$  is produced by the following procedure:

```

for (n = 0; n < sbfrm_size;)
{
  tt += acb_frac_wb;
  kt = acb_delay_wb + tt / 6;
  tt = tt % 6;
  for (i = 0; i < kt && n < sbfrm_size; i++, n++)
  {
    for (k = -11; k <= 11; k++)
    {
      kk = (k+1) * 6 - tt;
      acb[n] += int_fil [abs(kk)] * pacb [306-(kt-i+k)];
      pacb[306+n] = acb[n];
    }
  }
}

```

where the filter coefficients for the 16 kHz sampling rate are listed in Annex 3.C.

**Table 3.101 — The mapping table between the shape\_delay and the pitch delay**

acb_idx_wb	acb_delay_wb	acb_frac_wb
0, 1	32	$(2^{*}(\text{acb\_idx\_wb}+1))\%6$
2 - 777	$32+2^{*}(\text{acb\_idx\_wb}-2)/6$	$(2^{*}(\text{acb\_idx\_wb}-2))\%6$
778	0	0

#### 3.5.7.4.3.4 Decoding of the fixed codebook vector 1

The fixed codebook vector 1  $fcbl[n]$  is obtained by sampling-rate conversion of the fixed codebook vector  $nb\_fcb[n]$  used in the MPE tool or the bitrate scalable MPE tool as follows:

```

for (n = 0; n < sbfrm_size/2; n++)
{
  fcbl[2*n] = nb_fcb[n];
  fcbl[2*n+1] = 0;
}

```

#### 3.5.7.4.3.5 Decoding of the fixed codebook vector 2

The fixed codebook vector 2 contains several non-zero pulses and is represented by the pulse position and pulse amplitudes. The pulse positions  $pul\_pos[i]$  are extracted from **shape\_bws\_positions**. The pulse amplitudes  $pul\_amp[i]$  are obtained from **shape\_bws\_signs**.

```

for (i = num_pulse_bws - 1, k = 0; i >= 0; i--)
{
    for (j = 0; j < num_bit_pos[i]; j++)
    {
        pos_idx[i] |= ((shape_bws_positions >> k) & 0x1) << j;
        k++;
    }
    pul_amp[i] = 1.0;

    if (((shape_bws_signs >> (num_pulse_bws-1-i)) & 0x1) == 1)
    {
        pul_amp[i] = -1.0;
    }
    pul_pos[i] = pos_tbl[i][pos_idx[i]];
}

```

where *num\_pulse\_bws* is the number of pulses and is one of 6, 8, 10, 12. The choice is dependent on *BWS\_configuration*. *num\_bit\_pos[i]* is the number of bits for encoding the *i*-th pulse position. *pos\_tbl[i][j]* is the restriction table, which indicates the possible positions for each pulse. The table indicates the possible positions for each pulse.

**Table 3.102 — Definition of num\_pulse\_bws**

BWS_configuration	num_pulse_bws
0	6
1	8
2	10
3	12

The pulse position tables for each number of pulses are also set up in accordance with the combination of *subfrm\_size*, *num\_pulse* and *num\_bit\_pos[]* by the same procedure as the MPE tool.

The fixed codebook vector *fc2[n]* is computed from *pul\_pos[i]* and *pul\_amp[i]* as follows:

```

for (n = 0; n < sbfrm_size; n++)
{
    fcb2[n] = 0.0;
}
for (i = 0; i < num_pulse_bws; i++)
{
    fcb2[pul_pos[i]] = pul_amp[i];
}

```

If the integer delay *acb\_delay\_wb* is less than the subframe size *sbrm\_size*, the fixed codebook vector signal *fc2[n]* is modified by zero-state-comb filtering as follows:

```

for (n = 0; n < sbfrm_size; n++)
{
    if (n - acb_delayt >= 0)
    {
        ix = fcb2[n - acb_delay];
    }
    else
    {
        ix = 0.0;
    }
}

```

```

    }
    fcb2[n] += cga[signal_mode] * ix;
}

```

where  $cga[4] = \{0.0, 0.0, 0.6, 0.8\}$  are the gains of the comb-filter.

#### 3.5.7.4.3.6 Decoding of the adaptive and the fixed codebook gains

The **gain\_bws\_index** is converted to two indices.

```

qga_idx = gain_bws_index >> 7;
qgc_idx = gain_bws_index - (gain_bws_index << 7);

```

The  $qga\_idx$  is converted to the normalized gains  $nga$ ,  $ngf$  for the adaptive and the fixed codebook vector 2 by looking up the gain table. The  $qgc\_idx$  is converted to the normalized gain  $ngn$  for the fixed codebook vector 1 by looking up the gain table. The gain table is changed in accordance with the **signal\_mode** and is given in Annex 3.C. The adaptive codebook gain  $ga$ , the fixed codebook 1 gain  $gn$  and the fixed codebook 2 gain  $gf$  are calculated as follows:

```

ga = nga * sqrt( norm / acb_energy );
gn = ngn * sqrt( norm / fcb1_energy );
gf = ngf * sqrt( norm / fcb2_energy );

```

where the  $acb\_energy$ ,  $fcb2\_energy$  and  $fcb1\_energy$  are the energies for the adaptive codebook and the two fixed codebook vectors. The  $norm$  is the normalization factor.

```

norm = (qxnorm*subfrm_size) * (qxnorm*subfrm_size);
for (i = 0; i < lpc_order; i++)
{
    norm *= (1 - par[i] * par[i]);
}

```

where  $par[]$  are the reflection coefficients and are calculated from the LP coefficients  $int\_Qlpc\_coefficients[]$ . The  $qxnorm$  is the quantized subframe energy and is decoded from the **rms\_indx**.

#### 3.5.7.4.3.7 Excitation signal generation

The excitation signal ( $excitation[]$ ) is calculated by summing  $acb[]$ ,  $fcb1[]$  and  $fcb2[n]$  scaled by  $ga$ ,  $gn$  and  $gf$  respectively.

```

for (i = 0; i < sbfrm_size; i++)
{
    excitation[i] = ga*acb[i] + gn*fcb1[i] + gf*fcb2[i];
}

```

#### 3.5.7.4.3.8 Updating the adaptive codebook

The adaptive codebook is updated for the decoding process at the next frame by the generated excitation signal  $excitation[]$  as follows:

```

for (i = 0; i < 306-sbfrm_size; i++)
{
    pacb[i] = pacb[sbfrm_size+i];
}

for (i = 0; i < sbfrm_size; i++)
{
    pacb[306-sbfrm_size+i] = excitation[i];
}

```

### 3.5.8 CELP LPC synthesis filter

#### 3.5.8.1 Tool description

The CELP LPC synthesis filter constructs the synthesized signal from the LPC coefficients and the excitation signal for each subframe.

#### 3.5.8.2 Definitions

##### Input

*excitation[]*: This array contains the excitation signal for one subframe.

*int\_Qlpc\_coefficients[]*: This array of dimension *lpc\_order* contains the quantized and interpolated LPC coefficients.

##### Output

*synth\_signal[]*: The excitation signal, *excitation[]*, is fed through the synthesis filter using the LPC coefficients of *int\_Qlpc\_coefficients[]*. The dimension of this array is *lpc\_order*.

##### Configuration

*lpc\_order*: This field contains the order of LPC used.

*sbfrm\_size*: This field contains the number of samples in the subframe.

#### 3.5.8.3 Decoding process

Using the interpolated LPC coefficients of one subframe, the excitation signal is fed through the following filter:

$$H_s(z) = \frac{1}{\widehat{A}(z)} = \frac{1}{1 - \sum_{k=1}^{lpc\_order} \widehat{a}_k \cdot z^{-k}}$$

where  $\widehat{A}(z)$  is the LPC inverse filter using the quantized LPC coefficients. The coefficient  $\widehat{a}_k$  is the  $k$ -th LPC coefficient (*int\_Qlpc\_coefficients*[ $k-1$ ]). The output of the inverse filter is the reconstructed speech. The LPC order is fixed to 10 and 20 for the 8 kHz and 16 kHz sampling rate, respectively.

The following algorithm is an implementation of the above filter.

```

for (n = 0; n < sbfrm_size; n++)
{
    tmp = excitation[n];
    for (k = 0; k < lpc_order; k++)
    {
        tmp = tmp + Filter_states[k] * int_Qlpc_coefficients[k];
    }
    synth_signal[n] = tmp;
    for (k = lpc_order-1; k > 0; k--)
    {
        Filter_states[k] = Filter_states[k-1];
    }
    Filter_states[0] = synth_signal[n];
}

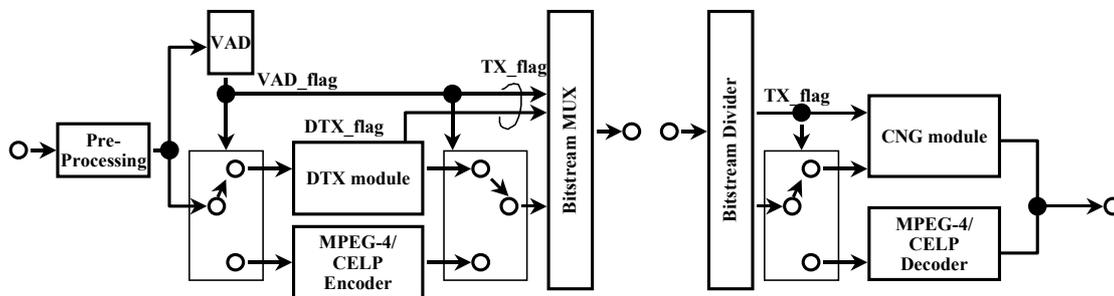
```

The array `Filter_states` is initially set to zero.

### 3.5.9 CELP silence compression tool

#### 3.5.9.1 Tool description

The silence compression tool comprises a Voice Activity Detection (VAD) module, a Discontinuous Transmission (DTX) unit and a Comfort Noise Generator (CNG) module. The tool encodes/decodes the input signal at a lower bitrates during the non-active (silent) frames. During the active-voice (speech) frames, MPEG-4 CELP encoding and decoding are used. A block diagram of the CELP codec system with the silence compression tool is depicted in Figure 3.10.



**Figure 3.10 — Block diagram of the codec system with the silence compression tool**

At the transmission side, the DTX module encodes the input speech during the non-active frames. During the active-voice frames, the MPEG-4 CELP encoder is used. The voice activity flag (`VAD_flag`) indicating a non-active frame (`VAD_flag=0`) or an active-voice frame (`VAD_flag=1`) is determined from the input speech by the VAD module. During the non-active frames, the DTX module detects frames where the input characteristics change (`DTX_flag=1` and `2`: Change, `DTX_flag=0`: No Change). When a change is detected, the DTX module encodes the input speech to generate a SID (Silence Insertion Descriptor) information. The `VAD_flag` and the `DTX_flag` are sent together as a `TX_flag` to the decoder to keep synchronization between the encoder and the decoder.

At the receive side, the CNG module generates comfort noise based on the SID information during the non-active frames. During the active-voice frames, the MPEG-4 CELP decoder is used instead. Either the CNG module or the MPEG-4 CELP decoder is selected according to the `TX_flag`.

The SID information and the `TX_flag` are transmitted only when a change of the input characteristics is detected. Otherwise only the `TX_flag` is transmitted during non-active frames.

**3.5.9.2 Definitions**

*CNG*: Comfort Noise Generation

*Coding mode*: “I” for the RPE and “II” for the MPE (see Table 3.1)

*DTX*: Discontinuous Transmission

*LP*: Linear Prediction

*LPCs*: LP Coefficients

*MPE*: Multi-Pulse Excitation

*MPE\_Configuration*: see subclause 3.4

*RMS*: root mean square

*RPE*: Regular-Pulse Excitation

*RPE\_Configuration*: see subclause 3.4

*SID*: Silence Insertion Descriptor

*SID frame*: frame where the SID information is sent/received

*signal mode*: mode determined based on the average pitch prediction gain (see subclause 3.4)

*VAD*: Voice Activity Detection

**3.5.9.3 Decoding process**

**3.5.9.3.1 Transmission payload**

There are four types of transmission payloads depending on the VAD/DTX decision. A TX\_flag indicates the type of transmission payload and is determined by the VAD\_flag and the DTX\_flag as shown in Table 3.103. When the TX\_flag indicates a active-voice frame (TX\_flag = 1), information generated by the MPEG-4 CELP encoder and the TX\_flag are transmitted. When the TX\_flag indicates a transition frame between an active-voice frame and a non-active frame, or a non-active frame in which the spectral characteristics of the input signal changes (TX\_flag = 2), High-Rate (HR) SID information and the TX\_flag are transmitted to update the CNG parameters. When the TX\_flag indicates a non-active frame in which the frame power of the input signal changes (TX\_flag = 3), Low-Rate (LR) SID information and the TX\_flag are transmitted. Other non-active frames are categorized into the fourth type of the TX\_flag (TX\_flag = 0). In this case, only the TX\_flag is transmitted. Examples of the TX\_flag change according to the VAD\_flag and the DTX\_flag are shown in Table 3.104.

**Table 3.103 — Relation among flags for the silence compression tool**

Flags	Active-voice	Non-active		
VAD_flag	1	0		
DTX_flag	-	0	1	2
TX_flag	1	0	2	3

**Table 3.104 — Examples of the TX\_flag change according to the VAD\_flag and the DTX\_flag**

Frame #	...k-5	k-4	k-3	k-2	k-1	k	k+1	k+2	k+3	k+4	k+5	k+6	k+7
VAD_flag	...1	1	1	1	0	0	0	0	0	0	0	0	0...
DTX_flag	...-	-	-	-	1	0	0	0	1	0	0	0	2...
TX_flag	...1	1	1	1	2	0	0	0	2	0	0	0	3...

active-voice period	non-active period
---------------------	-------------------

### 3.5.9.3.2 LSP transmission

In case the silence compression tool is used in combination with FineRate Control enabled, a CELP frame with `LPC_present = 1` and `interpolation_flag = 0` must be transmitted in the first voice-active frame following a non-active frame. Voice-active frames are signaled by `TX_flag = 1`, non-active frames are signaled by `TX_flag = 0, 2` or `3`.

### 3.5.9.3.3 CNG module

Figure 3.11 depicts a structure of the CNG module that generates the comfort noise. The comfort noise is generated by filtering an excitation with an LP synthesis filter in a similar manner as with voice-active speech signals. The post filter may be used to improve the coding quality. The excitation is given by adding a multi-pulse excitation or a regular pulse excitation and a random excitation, scaled by their corresponding gains. The excitations are generated based on a random sequence independent of the SID information. The coefficients for the LP synthesis filter and gains are calculated from LSPs and a RMS value (frame energy) respectively, which are received as the SID information. The LSPs and the RMS are smoothed in order to improve the coding quality for the noisy input speech. The CNG module uses the same frame and subframe sizes as those in active speech frames. Processing in each part is described in the following subclauses.

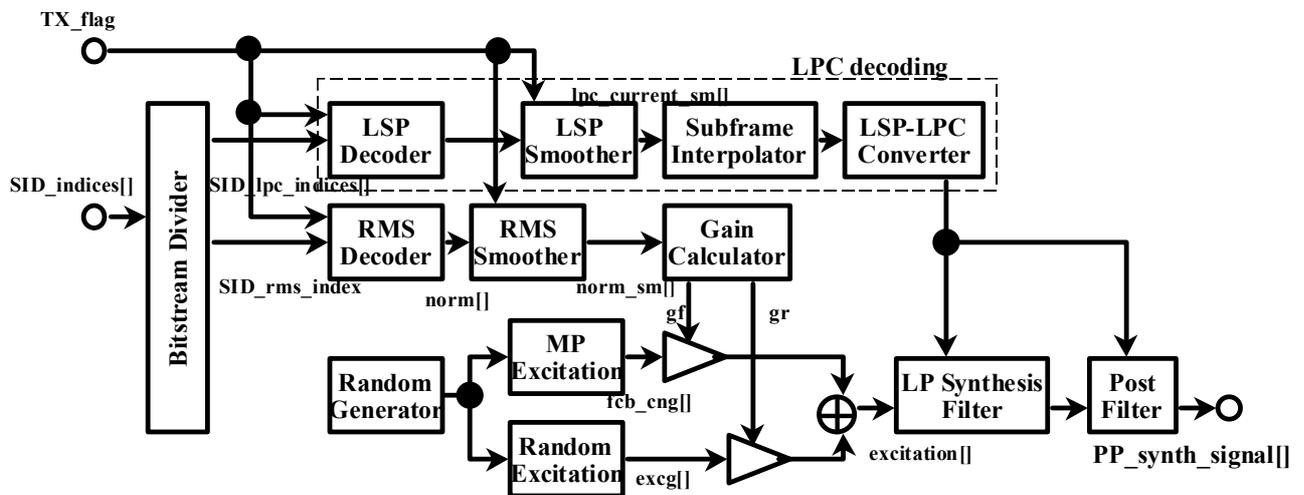


Figure 3.11 — CNG module

#### 3.5.9.3.3.1 Definitions

Input

- TX\_flag This field contains the transmission mode.
- SID\_lpc\_indices[] This array contains the packed LP indices. The dimension is 3, 5 or 6 (see Table 3.72).
- SID\_rms\_index This field contains the RMS index.

Output

PP\_synth\_signal[] This array contains the post-filtered (enhanced) speech signal. The dimension is *sbfrm\_size*. The following are help elements used in the CNG module:

*lpc\_order*: the order of LP

*sbfrm\_size*: the number of samples in a subframe

*n\_subframe*: the number of subframes in a frame

*int\_Q\_lpc\_coefficients*[]): interpolated LPCs (see subclause 3.5.8.2).

**3.5.9.3.3.2 LSP decoder**

LSP *lpc\_current*[] is decoded from the LSP indices *SID\_lpc\_indices*[],. The decoding process is identical to that described in subclause 3.5.6 with the following exceptions:

- (1) A sub-set of the *lpc\_indices*[] is transmitted to the decoder. A relation between the transmitted LSP indices *SID\_lpc\_indices*[] and the LSP indices for MPEG-4 CELP, *lpc\_indices*[] is shown in Table 3.105.
- (2) The decoding process is not carried out for the untransmitted indices.

**Table 3.105 — LSP index relation between the silence compression tool and MPEG-4 CELP**

Coding mode	Sampling rate [kHz]	Band width scalability	Silence compression tool	MPEG-4 CELP		
I (RPE)	16	Off	SID_lpc_indices[0]	lpc_indices[0]		
			SID_lpc_indices[1]	lpc_indices[1]		
			SID_lpc_indices[2]	lpc_indices[2]		
			SID_lpc_indices[3]	lpc_indices[3]		
			SID_lpc_indices[4]	lpc_indices[5]		
			SID_lpc_indices[5]	lpc_indices[6]		
II (MPE)	8	On, Off	SID_lpc_indices[0]	lpc_indices[0]		
			SID_lpc_indices[1]	lpc_indices[1]		
			SID_lpc_indices[2]	lpc_indices[2]		
	16	On	SID_lpc_indices[3]	lpc_indices[5]		
			SID_lpc_indices[4]	lpc_indices[6]		
			SID_lpc_indices[5]	lpc_indices[7]		
			SID_lpc_indices[6]	lpc_indices[8]		
			Off	SID_lpc_indices[0]	lpc_indices[0]	
				SID_lpc_indices[1]	lpc_indices[1]	
		SID_lpc_indices[2]		lpc_indices[2]		
					SID_lpc_indices[3]	lpc_indices[3]
					SID_lpc_indices[4]	lpc_indices[5]
SID_lpc_indices[5]	lpc_indices[6]					

**3.5.9.3.3.3 LSP smoother**

Smoothed LSPs *lsp\_current\_sm*[] are updated using the decoded LSPs *lsp\_current*[] in each frame as:

$$lsp\_current\_sm[i] = \begin{cases} 0.875 lsp\_current\_sm[i] + 0.125 lsp\_current[i], & TX\_flag = 2 \\ 0.875 lsp\_current\_sm[i] + 0.125 lsp\_current\_sid[i], & TX\_flag = 0 \text{ or } 3 \end{cases}$$

where  $i=0, \dots, lpc\_order-1$  and  $lsp\_current\_sid[i]$  is  $lsp\_current[i]$  in the last SID frame. At the beginning of every non-active period,  $lsp\_current\_sm[i]$  is initialized with  $lsp\_current[i]$  at the end of the previous active-voice period.

#### 3.5.9.3.3.4 LSP interpolation and LSP-LPC conversion

LPCs for the LP synthesis,  $int\_Q\_lpc\_coefficients[]$  are calculated from the smoothed LSPs  $lpc\_current\_sm[]$  using the LSP interpolation with the stabilization and the LSP-to-LPC conversion. These processes are described in subclause 3.5.6. A common buffer for the previous frame  $lsp\_previous[]$  is used in both the silence compression tool and in CELP coding for active speech frames.

#### 3.5.9.3.3.5 RMS decoder

The RMS of the input speech  $qxnorm$  in each subframe is reconstructed using  $SID\_rms\_index$  in the same process as described in subclause 3.5.7.2.3.2 with the exception that the  $\mu$ -law parameters are independent of the signal mode and set as  $rms\_max=7932$  and  $mu\_law=1024$ .

The reconstructed RMS of the input speech is converted into the RMS of the excitation signal ( $norm$ ) using the reflection coefficients  $par[]$  as follows and used for the gain calculation:

```
norm = (qxnorm*subfrm_size)*(qxnorm*subfrm_size);
for (i = 0; i < lpc_order; i++)
{
    norm *= (1 - par[i] * par[i]) *  $\alpha_s$ ;
}
```

where  $par[]$  is calculated from the LPCs  $int\_Q\_lpc\_coefficients[]$  and a scaling factor  $\alpha_s$  is 0.8.

#### 3.5.9.3.3.6 RMS smoother

A smoothed RMS  $norm\_sm$  is updated using  $norm$  in each subframe as follows:

$$norm\_sm = \begin{cases} 0.875 norm\_sm + 0.125 norm[subnum] & \text{for } TX\_flag = 2 \text{ or } 3 \\ 0.875 norm\_sm + 0.125 norm\_sid & \text{for } TX\_flag = 0 \end{cases}$$

where  $subnum$  is the current subframe number ranging from 0 to  $n\_subframe-1$  and  $norm\_sid$  is  $norm[n\_subframe-1]$  in the last SID frame. In the first frame of every non-active period,  $norm\_sm$  is set to  $norm$ . During the first 40 msec of the non-active period,  $norm\_sm$  is initialized with  $norm[subnum]$ , when  $TX\_flag = 2$  or 3 and

$$|20\log_{10}norm\_sid - 20\log_{10}norm[n\_subframe-1]| > 6 \text{ dB}.$$

#### 3.5.9.3.3.7 CNG excitation generation

The CNG excitation signal  $excitation[]$  is computed from a multi-pulse excitation signal and a random excitation signal as follows:

```
for (i = 0; i < sbfrm_size; i++)
{
    excitation[i] = gf * fcb_cng[i] + gr * excg[i];
}
```

where `fcg_cng[]` and `excg[]` are respectively the multi-pulse (MP) or regular pulse (RP) excitation signal and a random excitation signal. `gf` and `gr` are their corresponding gains. The random excitation signal, positions and signs of pulses for the MP/RP excitation are sequentially produced from a random sequence in each subframe. In order to synchronise the random number CNG generator in the encoder and the decoder, the random excitation signal `excg[]` for a given subframe must be computed prior to the MP/RP Excitation generation `fcg_cng[]` for that subframe.

**3.5.9.3.3.7.1 Random sequence**

Random sequence are generated by the following function and are used for generation of the multi-pulse and the random excitation signals:

```
short Random (*seed)
{
    *seed = (short) ((int)(*seed * 31821 + 13849));
    return (*seed);
}
```

with an initial seed value of 21845 and commonly used for both excitations. This generator has a periodic cycle of 16 bits. The seed is initialized with 21845 at the beginning of every non-active period.

**3.5.9.3.3.7.2 Generation of the random excitation**

The random excitation signal of each subframe is a Gaussian random sequence, which is generated as follows:

```
for (i = 0; i < sbfrm_size; i++)
{
    excg[i] = Gauss (seed);
}
```

where

```
float Gauss (short *seed)
{
    temp = 0;
    for (i = 0; i < 12; i++)
    {
        temp += (float) Random (seed);
    }
    temp /= (2 * 32768);
    return (temp);
}
```

**3.5.9.3.3.7.3 Multi-pulse excitation generation**

In case MPE is used for coding of the voice-active frames, a multi-pulse excitation signal is generated for each subframe by randomly selecting the positions and signs of pulses. Multi-pulse structures of MPEG-4 version 1 CELP with MPE\_Configuration = 24 and 31 are used for the sampling rate of 8 and 16 kbit/s, respectively. Positions and signs of 10 pulses are generated in a 40-sample vector. For subframe size of 80 samples, it is carried out twice to generate 20 pulses in an 80-sample vector. Indices of the positions and signs, `mp_pos_idx` and `mp_sign_idx`, are generated in each subframe as follows:

```
if (subframe size is 40 samples)
{
    setRandomBits (&mp_pos_idx, 20, seed);
    setRandomBits (&mp_sgn_idx, 10, seed);
}

if (subframe size is 80 samples)
```

```

{
  setRandomBits (&mp_pos_idx_1st_half, 20, seed);
  setRandomBits (&mp_sgn_idx_1st_half, 10, seed);
  setRandomBits (&mp_pos_idx_2nd_half, 20, seed);
  setRandomBits (&mp_sgn_idx_2nd_half, 10, seed);
}

```

where `mp_pos_idx_1st_half` and `mp_sgn_idx_1st_half` are indices of the positions and signs of the first half of the subframe and `mp_pos_idx_2nd_half` and `20 mp_sgn_idx_2nd_half` are indices for the second half. The function `setRandomBits()` is defined in subclause 3.5.9.3.3.7.5.

#### 3.5.9.3.3.7.4 Regular Pulse Excitation

In case RPE is used for coding of the voice-active frames, a regular pulse excitation signal is generated for each subframe. In case of non-active frames, the content of the adaptive codebook is initialised with zero. For the non-active frames only the fixed codebook is used. The fixed codebook excitation signal is generated by using a random `shape_index` as input for the RPE decoding process.

```

setRandomBits (&shape_index, n_bits, seed);

rpe_index = shape_index;
rpe_phase = rpe_index % D;
rpe_index = rpe_index / D;

for (n = Np - 1; n >= 0; n--)
{
  rpe_amps [n] = (rpe_index % 3) - 1;
  rpe_index = rpe_index / 3;
}

for (n = 0; n < sbfrm_size; n++)
{
  fcb_cng[n] = 0.0F;
}

for (n = 0; n < Np; n++)
{
  fcb_cng[rpe_phase + D*n] = gn[RPE_Configuration] * (float)(rpe_amps [n]);
}

```

`nbits` is set to 11 for RPE\_Configurations 0 and 1 and set to 12 for RPE\_configurations 2 and 3. `D` is the decimation factor, `Np` is the number of pulses per subframe and `sbfrm_size` is the number of samples per subframe as defined in MPEG-4 CELP version 1. The normalising factor `gn` is defined in Table 3.106.

**Table 3.106 — Normalizing factor  $g_n$  for RPE**

RPE_Configuration	$g_n$ [ ]
0	56756 / 32768
1	56756 / 32738
2	44869 / 32768
3	40132 / 32768

#### 3.5.9.3.3.7.5 Random index generator function

A random index generator function `setRandomBits()` is defined for MPE and RPE as follows:

```

void setRandomBits (long *l, int n, short *seed)
{

```

```

*1 = 0xffff & Random(seed);
if (n > 16)
{
    *1 |= (0xffff & Random(seed)) << 16;
}

if (n < 32)
{
    *1 &= ((unsigned long)1 << n) - 1;
}
}

```

### 3.5.9.3.3.7.6 Gain calculation

Gains  $gf$  and  $gr$  are calculated from the smoothed RMS of the excitation,  $norm\_sm$  as follows:

$$gf = \alpha \cdot norm\_sm / \sqrt{\sum_{i=0}^{sbfrm\_size-1} fcb\_cng(i)^2} / sbfrm\_size.$$

$$gr = [-\alpha \cdot A_3 + \sqrt{\alpha^2 \cdot A_3^2 - (\alpha^2 - 1)A_1A_2}] / A_2$$

where  $\alpha = 0.6$  and

$$A_1 = \sum_{i=0}^{sbfrm\_size-1} gf^2 fcb\_cng[i]^2$$

$$A_2 = \sum_{i=0}^{sbfrm\_size-1} excg[i]^2$$

$$A_3 = \sum_{i=0}^{sbfrm\_size-1} gf \cdot fcb\_cng[i] \cdot excg[i].$$

### 3.5.9.3.3.8 LP Synthesis filter

The synthesis filter is identical to LP synthesis filter in MPEG-4 CELP described in subclause 3.5.8.

### 3.5.9.3.3.9 Memory update

Since the encoder and decoder need to be kept synchronized during non-active periods, the excitation generation is performed on both encoder and decoder sides to update the corresponding buffers for the LP synthesis. The adaptive codebook is not used and is initialized with zero during non-active frames.

## Annex 3.A (informative)

### MPEG-4 CELP decoder tools

#### 3.A.1 CELP post-processor

##### 3.A.1.1 Tool description

The CELP post-processor enhances the reconstructed speech signal generated by the synthesis filter for one subframe. The post-filtering tools comprise a formant post-filter and a tilt compensation post-filter. Some examples on implementation of the post-processor are shown in Figure 3.A.1.

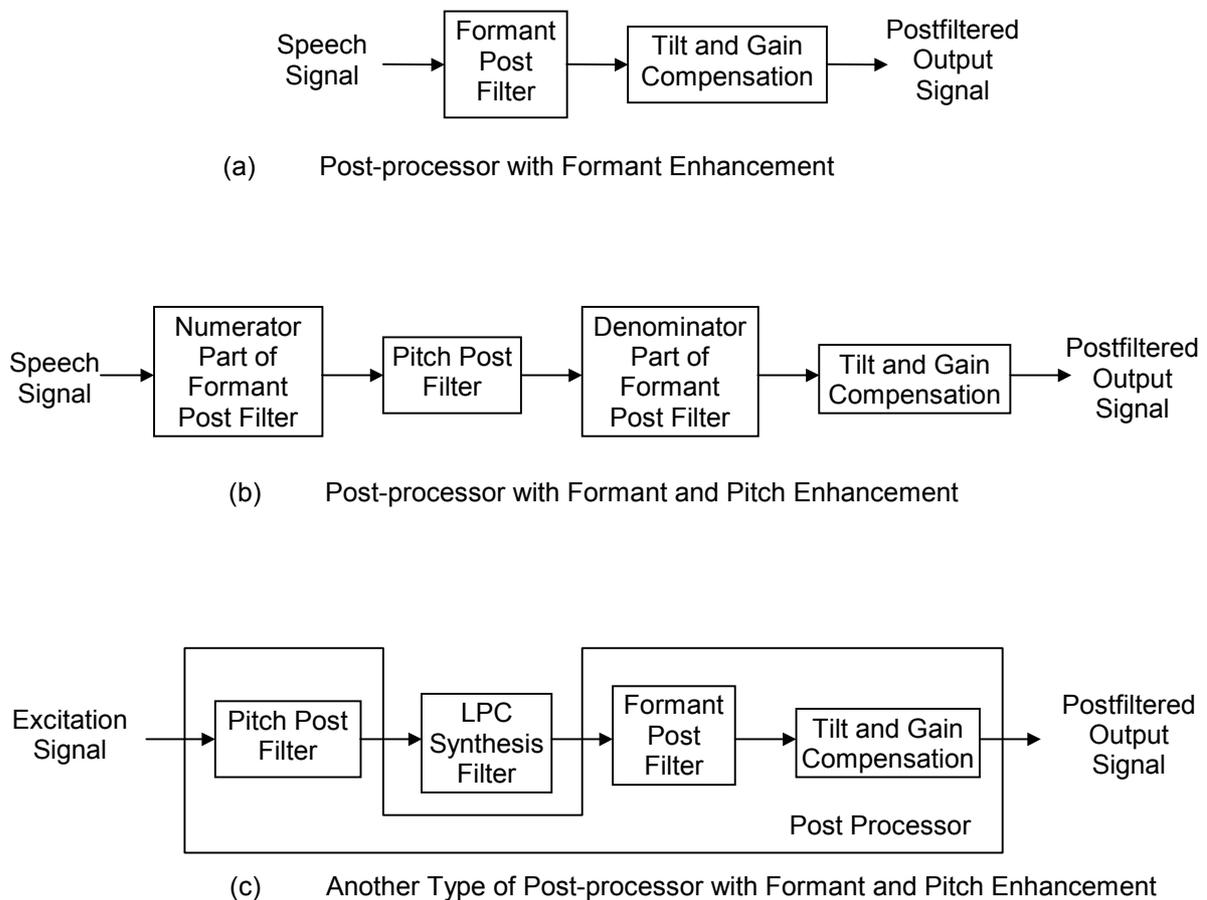


Figure 3.A.1 — Examples of post-processor

### 3.A.1.2 Definitions

#### Input

*synth\_signal[]*: This array contains the reconstructed speech signal.

*int\_Qlpc\_coefficients[]*: This array contains the LPC coefficients for each subframe.

*acb\_delay*: This field indicates the pitch delay, which is used for the pitch post-filter. If the pitch post-filter is not needed, *acb\_delay* must be set to a value less than 10.

*adaptive\_gain*: This field indicates the gain coefficient for the periodic component of the excitation signal. This gain coefficient is used for the pitch post-filter. If the pitch post-filter is not needed, *adaptive\_gain* must be set to a value less than 0.4.

#### Output

*PP\_synth\_signal[]*: This array contains the post-filtered (enhanced) speech signal. The dimension of this array is *sbfrm\_size*.

#### Configuration

*lpc\_order*: This field indicates the order of LPC that is used.

*sbfrm\_size*: This field indicates the number of samples in a subframe.

### 3.A.1.3 Decoding process

The decoding procedure is composed of post-filtering and adaptive gain control. The post-filter  $H_{fpt}(z)$  is the cascade of three filters: a formant post-filter  $H_f(z)$ , a optional pitch post-filter  $H_p(z)$ , and a tilt compensation filter  $H_t(z)$ :

$$H_{fpt}(z) = H_f(z) \cdot H_p(z) \cdot H_t(z)$$

The formant post-filter is given by

$$H_f(z) = \frac{\widehat{A}(z/\gamma_n)}{\widehat{A}(z/\gamma_d)} = \frac{1 - \sum_{i=1}^{lpc\_order} \gamma_n^i \widehat{a}_i z^{-i}}{1 - \sum_{i=1}^{lpc\_order} \gamma_d^i \widehat{a}'_i z^{-i}},$$

where  $\widehat{A}(z)$  is the LPC inverse filter and the factors  $\gamma_n$  and  $\gamma_d$  control the degree of formant post-filtering.  $\gamma_n$  and  $\gamma_d$  are set to 0.65 and 0.75, respectively.

The pitch post-filter is given by

$$H_p(z) = \frac{1}{1 + \gamma_p g_a z^{-acb\_delay}},$$

$$g_a = \frac{\sum_{n=0}^{sbfrm\_size-1} s_r(n)s_r(n - acb\_delay)}{\sum_{n=0}^{sbfrm\_size-1} s_r^2(n - acb\_delay)},$$

where  $s_r(n)$  is the residual signal produced by filtering the input signal through the numerator part of the formant post-filter. The gain coefficient  $g_a$  is bounded by 1. The factor  $\gamma_p$  controls the degree of pitch post-filtering and has the value of 0.5. The pitch post-filter is applied only if the gain is more than 0.4 and the pitch delay is more than 10. In the 16 kHz sampling rate for the Mode I coder, the pitch post-filter is not applied.

The filter  $H_t(z)$  compensates the high-frequency tilt and is given by

$$H_t(z) = 1 - \gamma_t z^{-1},$$

where  $\gamma_t$  is a tilt factor of 0.3.

The synthesized signal ( $synth\_signal[]$ ) is filtered through the numerator part of the formant post-filter  $\hat{A}(z/\gamma_n)$  to produce the residual signal. In the Mode II coder, the residual signal is then filtered through the pitch post-filter  $H_p(z)$ , whereas in the Mode I coder no pitch post-filter is used. The pitch post-filtered residual is fed through the denominator part of the formant post-filter  $\hat{A}(z/\gamma_d)$ . The output signal of the formant and pitch post-filters is passed through the tilt compensation filter  $H_t(z)$  to generate the post-filtered synthesized signal that is not yet gain-compensated.

Adaptive gain control compensates gain differences between the synthesized signal  $s(n)$  and the post-filtered signal  $s_p(n)$ . The gain control factor  $G$  for the current subframe is computed by

$$G = \sqrt{\frac{\sum_{n=0}^{sbfrm\_size-1} s^2(n)}{\sum_{n=0}^{sbfrm\_size-1} s_p^2(n)}}.$$

The gain-scaled post-filtered signal  $s'_p(n)$  is given by

$$s'(n) = g(n)s_p(n), \quad 0 \leq n \leq sbfrm\_size - 1,$$

where  $g(n)$  is updated on a sample-by-sample basis and given by

$$g(n) = 0.95g(n-1) + 0.05G, \quad 0 \leq n \leq sbfrm\_size - 1.$$

The initial value of  $g(-1) = 0.0$  is used. Then for each new subframe,  $g(-1)$  is set equal to  $g(N-1)$  of the previous subframe.

## Annex 3.B (informative)

### MPEG-4 CELP encoder tools

#### 3.B.1 General Introduction to the MPEG-4 CELP encoder tool-set

This Annex provides a brief description of the functionality, parameter definition and the encoding processes of the tools supported by the MPEG-4 CELP core. The description of each tool comprises up to four parts: tool description, definitions, encoding process and tables.

The following encoder tools are supported:

- CELP preprocessing
- CELP LPC analysis
- CELP LPC quantizer and interpolator
  - Vector Quantizer
  - Bandwidth Scalable encoder
- CELP LPC analysis filter
- CELP weighting module
- CELP excitation analysis
  - regular pulse excitation
  - multi-pulse excitation
- CELP bitstream multiplexer

The encoding is performed on frame basis and each frame is divided in subframes. The CELP excitation analysis tool is used every subframe, while the other tools are used every frame.

#### 3.B.2 Helping variables

For each encoder tool a description of the variables it uses is given. In this subclause the most commonly used variables are provided, which are shared by multiple tools.

*frame\_size*: This field indicates the number of samples in a frame. The decoder outputs a frame with *frame\_size* samples.

*nrof\_subframes*: A frame is built up of a number of subframes. The number of subframes is specified in this field.

*sbfm\_size*: A subframe consists of a number of samples, which is indicated by this field. The number of samples in a frame must always be equal to the sum of the number of samples in the subframes. So, the following relation must always hold

$$frame\_size = nrof\_subframes * sbfm\_size$$

These three parameters depend on the sampling rate and the bitrate settings as tabulated in Table 3.73, for the Mode I coder and Table 3.74, for the Mode II coder.

*lpc\_order*: This field indicates the number of coefficients used for Linear Prediction. By default, the value of this field is 20 for a sampling rate of 16 kHz and 10 for 8 kHz.

*num\_lpc\_indices*: This parameter specifies the number of indices containing LPC information, that must be written to the bitstream. This is not equal to the LPC-order. The *num\_lpc\_indices* is 5 in the 8 kHz mode and an additional 6 for the band scalable layer.

*n\_lpc\_analysis*: This field indicates how often LPC analysis is performed per frame. It is possible to perform several LPC analyses per frame with a varying window size and offset. For 16 kHz sampling rate, the value of this field is 1, indicating that LPC analysis is only performed once. For 8 kHz sampling rate, the value of this field is determined by the ratio *sbfrm\_size*/80.

*window\_offsets*[]): This array contains the offsets of the LPC analysis windows and its dimension is *n\_lpc\_analysis*.

*window\_sizes*[]): This array contains the window sizes for the LPC analysis. Since the LPC analysis is performed *n\_lpc\_analysis* times, the dimension of this array is *n\_lpc\_analysis*.

**Table 3.B.1 — Window size and offset for the 16 kHz mode I coder**

RPE_Configuration	Window_sizes[] (samples)	Window_offsets[] (samples)
0	400	280
1	320	160
2	400	280
3	400	280
4...7	Reserved	

**Table 3.B.2 — Window size and offset for the 8 kHz mode II coder**

MPE_Configuration	Window_sizes[] (samples)	Window_offsets[] (samples)
0,1,2	200	0, 80, 160, 240
3,4,5	200	0, 80, 160
6 ... 12	200	0, 80
13 ... 21	200	0, 80
22 ... 26	200	0

**Table 3.B.3 — Window size and offset for the 16 kHz mode II coder**

MPE_Configuration	Window_sizes[] (samples)	Window_offsets[] (samples)
0 ... 6, 8 ... 15	320	0, 80, 160, 240
16 ... 22, 24 ... 31	320	0, 80

*windows*[]): This array contains the window for each analysis, so the length of this array is the sum of *window\_sizes* times *n\_lpc\_analysis*. For the Mode I coder a squared Hamming window is used:

```
for (x = 0; x < window_sizes[i]; x++)
{
    window[i][x] = (0.54 - 0.46 * cos(2 * pi * (x/window_sizes[i])));
    window[i][x] = window[i][x] * window[i][x];
}
```

For the Mode II coder, a hybrid window is used. The window consists of two parts; the half of a Hamming window and a quarter of the cosine function, given by:

```

for (n = 0; n < nlb+len_lpcana/2; n++)
{
    Hw(n) = 0.54 - 0.46 * cos(2 * PI * n / (2*(nlb+len_lpcana/2) - 1));
}

for (n = nlb+len_lpcana/2; n < window_sizes[i]; n++)
{
    Hw(n) = cos(2 * PI * (n - (nlb+len_lpcana/2)) / (4*(nla+len_lpcana/2)-1));
}

```

*nlb* samples of the past analysis frame, *len\_lpcana* samples of the present analysis frame, and *nla* samples of the future frame form one block for windowing.

**Table 3.B.4 — Window parameters for the mode II coder**

Sampling rate (kHz)	<i>nla</i>	<i>nlb</i>	<i>Len_lpc_ana</i>
8	40	80	80
16	80	160	80

*gamma\_be[]*: This array is of size *lpc\_order* and contains the weights for applying bandwidth expansion on the LPC coefficients. This information is used only for the mode I coder.

```

gamma_be[0] = GAMMA;
for (x = 1; x < lpc_order; x++)
{
    gamma_be[x] = GAMMA * gamma_be[x-1];
}

```

The value of GAMMA is 0.9883 for the RPE tool.

*n\_lag\_candidates*: This field contains the number of pitch candidates. This information is used only for 16 kHz sampling rate, the value of this field is 15.

*max\_pitch\_frequency*: This field contains the maximum frequency of the pitch (lag). For 16 kHz sampling rate, this field has the value 0.025, since the minimum lag is 40. For 8 kHz sampling rate, this field has the value 0.05882, since the minimum lag is 17.

*min\_pitch\_frequency*: This field contains the minimum frequency of the pitch (lag). The value of this field for 16 kHz sampling rate is 3.3898E-3, since the maximum lag is 295. For 8 kHz sampling rate, this field has the value 6.944E-3, since the maximum lag is 144.

### 3.B.3 Bitstream elements for the MPEG-4 CELP encoder tool-set

See subclause 3.5.4.

### 3.B.4 CELP preprocessing

#### 3.B.4.1 Tool description

The CELP preprocessing tool produces a DC free speech signal.

### 3.B.4.2 Definitions

#### Input

*s*[]): This is an array of dimension *frame\_size* and contains input speech samples.

#### Output

*pp\_s* []: This is an array of length *frame\_size* and contains the DC free speech samples.

#### Input/Output

*prev\_x*, *prev\_y*: memory of the preprocessing filter

#### Configuration

*frame\_size*: This field indicates the number of samples in the input signal.

### 3.B.4.3 Encoding process

This block removes the DC element from the input signal, *s*[*n*]. It is first order recursive filter of the form:

$$H_{pre}(z) = \frac{1 - z^{-1}}{1 - 0.99 \cdot z^{-1}}$$

An implementation of this filter is given below:

```
for (n = 0; n < frame_size; n++)
{
    pp_s[n] = s[n] - prev_x + 0.99 * prev_y;
    prev_x = s[n];
    prev_y = pp_s[n];
}
```

The input/output filter states *prev\_x* and *prev\_y* are initialized to zero.

### 3.B.5 CELP LPC analysis

#### 3.B.5.1 Tool description

The CELP LPC Analysis tool estimates the short-term spectrum. The LPC analysis is performed on the preprocessed speech signal, *pp\_s*[]. The order of linear prediction is specified by the parameter *lpc\_order*. A window, with size specified in *window\_size*[], is used to weight the preprocessed speech. The parameter, *window\_offset*[], is provided to specify the offset for each window.

#### 3.B.5.2 Definitions

##### Input

*PP\_InputSignal*[]): This array contains the preprocessed speech signal. This dimension is *frame\_size*.

##### Output

*lpc\_coefficients[]* : This array contains the computed LPC coefficients and has size *lpc\_order*.

*first\_order\_lpc\_par* : This output field contains the LPC coefficient for 1st-order fit. This parameter is used for the preselection in the adaptive codebook search.

Configuration

*frame\_size*: This field denotes the number of samples in frame.

*window\_offset[]*: This array contains the offset of the window.

*window\_size[]*: This array contains the LPC analysis-window size.

*windows[]*: This array contains the windows used to weight the speech signal.

*gamma\_be[]*: This array contains the gamma coefficients that are used for bandwidth expansion of the LPC coefficients.

*lpc\_order*: This field indicates the order of LPC.

*n\_lpc\_analysis*: This field denotes the number of LPC analysis.

**3.B.5.3 Encoding process**

The Linear Prediction analysis is performed *n\_lpc\_analysis* times, each with a different window size and offset as specified in the array's *window\_size* and *window\_offset*. Each time the input signal *PP\_InputSignal* is weighted, *sw[n]*.

By means of the weighted signal the autocorrelation coefficients are derived using:

$$acf[k] = \sum_{n=0}^{window\_size-k-1} sw[n] \cdot sw[n+k], 0 \leq k \leq lpc\_order$$

There are *lpc\_order+1* autocorrelation coefficients. For the Mode II coder, a bandwidth expansion and a white-noise correction is applied by modifying the autocorrelation coefficients as follows:

```
for (k = 0; k < lpc_order; k++)
{
    acf[k] *= lag_win[k];
}
```

where *lag\_win[]* is the coefficients for the bandwidth expansion and is shown in Annex 3.D.

The LPC coefficients are computed using the conventional Levinson-Durbin recursion. The first LPC coefficient is assigned to *first\_order\_lpc\_par*. For Mode I coder, bandwidth expansion is applied on the LPC coefficients using array *gamma\_be*. For each LPC analysis, the calculated *lpc\_coefficients* are stacked, resulting in *n\_lpc\_analysis \* lpc\_order* coefficients.

### 3.B.6 CELP LPC quantizer and interpolator

The LPC coefficients are quantized by using one of three quantizers, Narrowband LSP Quantization tool, Wideband LSP Quantization tool or Bandwidth Scalable LSP Quantization tool.

#### 3.B.6.1 Narrowband LSP quantization tool

##### 3.B.6.1.1 Tool description

The Narrowband LSP Quantization tool quantizes the LPC coefficients as LSP parameters using a two-stage and split vector quantization technique.

##### 3.B.6.1.2 Definitions

###### Input

*lpc\_coefficients*[]): This is an array of dimension *lpc\_order* and contains the current unquantized LPC coefficients.

###### Output

*int\_Qlpc\_coefficients*[]): This is an array of length *nrof\_subframes* \* *lpc\_order* and contains the interpolated and quantized LPC coefficients for each subframe. The LPC coefficients for each subframe are stacked one after the other, resulting in an *nrof\_subframes* \* *lpc\_order* array.

*lpc\_indices*[]): This is an array of dimension *num\_lpc\_indices* and contains the packed lpc indices that are written to the bitstream.

###### Configuration

*lpc\_order*: This field contains the order of LPC.

*num\_lpc\_indices*: This field indicates the number of packed LPC codes.

*n\_lpc\_analysis*: This field contains the number of LPC parameters.

*nrof\_subframes*: This field contains the number of subframes.

##### 3.B.6.1.3 Encoding process

The LPCs are converted into LSPs and quantized. As described in the decoding process, there are two methods for quantizing the LSPs; a two-stage VQ without interframe prediction, and a combination of the VQ and the interframe predictive VQ. At the encoding process, both methods are tried to quantize the LSPs and which method should be applied is determined by comparing the quantization error. The quantization error is calculated as a weighted Euclidean distance. The weighting coefficients ( $w[l]$ ) are,

$$w[i] = \begin{cases} \frac{1}{lsp[0]} + \frac{1}{lsp[1] - lsp[0]} & (i = 0) \\ \frac{1}{lsp[i] - lsp[i-1]} + \frac{1}{lsp[i+1] - lsp[i]} & (0 < i < Np - 1) \\ \frac{1}{lsp[Np-1] - lsp[Np-2]} + \frac{1}{1.0 - lsp[Np-1]} & (i = Np - 1) \end{cases}$$

where  $Np$  is the LP analysis order  $lpc\_order$  and  $lsp[]$  is the LSPs converted from the LPCs.

The first stage quantizer is the same for each quantization method. The LSPs are quantized by using a two-split vector quantizer and corresponding indices are stored in **lpc\_indices[0]** and **lpc\_indices[1]**. In order to carry out delayed decision, two indices, namely the best and the second best, are stored as candidates for the second stage. The quantization error in the first stage  $err1[]$  is given by:

$$err1[n] = \sum_{i=0}^{dim-1} \left\{ (lsp[sp + i] - lsp\_tbl[n][m][i])^2 \cdot w[sp + i] \right\} \quad n = 0,1$$

where  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector and  $dim$  is the dimension of the  $n$ -th split vector. ( $lsp\_tbl[][][]$  is shown in Annex 3.C)

**Table 3.B.5 — Starting order and dimension of the first stage LSP vector**

Split vector number: n	Starting LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

In the second stage, the above-mentioned two quantization methods, which are also two-split vector quantizers, are applied respectively. Total quantization errors in the second stage are calculated for all combinations of the first stage candidates and the second stage candidates and the one that has the minimum error is selected. As a result, indices of the first stage are determined and corresponding indices and signs for the second stage are stored in **lpc\_indices[2]** and **lpc\_indices[3]**. The flag that indicates the selected quantization method is also stored in **lpc\_indices[4]**. The quantization error in the second stage  $err2\_total$  is given by:

VQ without interframe prediction:

$$err2\_total = err2[0] + err2[1]$$

$$err2[n] = \sum_{i=0}^{dim-1} \left\{ (lsp\_res[sp + i] - sign[n] \cdot d\_tbl[n][m][i])^2 \cdot w[sp + i] \right\} \quad n = 0,1$$

$$lsp\_res[sp + i] = lsp[sp + i] - lsp\_first[sp + i]$$

where  $lsp\_first[]$  is the quantized LSP vector of the first stage,  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector and  $dim$  is the dimension of the  $n$ -th split vector. ( $d\_tbl[][][]$  is shown in Annex 3.C)

VQ with interframe prediction:

$$err2\_total = err2[0] + err2[1]$$

$$err2[n] = \sum_{i=0}^{\dim-1} \left\{ (lsp\_pres[sp+i] - sign[n] \cdot pd\_tbl[n][m][i])^2 \cdot w[sp+i] \right\} \quad n = 0,1$$

$$lsp\_pres[sp+i] = lsp[sp+i] - \left\{ (1 - ratio\_predict) \cdot lsp\_first[sp+i] + ratio\_predict \cdot lsp\_previous[sp+i] \right\}$$

where  $lsp\_first[]$  is the quantized LSP vector of the first stage,  $n$  is the split vector number,  $m$  is the index of the candidate split vector,  $sp$  is the starting LSP order of the  $n$ -th split vector,  $\dim$  is the dimension of the  $n$ -th split vector and  $ratio\_predict=0.5$ . ( $pd\_tbl[][][]$  is shown in Annex 3.C)

**Table 3.B.6 — Starting order and dimension of the second stage LSP vector**

Split vector number: n	Starting LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

The quantized LSPs  $lsp\_current[]$  are stabilized in order to ensure stability of the LPC synthesis filter, which is derived from the quantized LSPs. The quantized LSPs are arranged in order, having a distance of at least  $min\_gap$  between adjacent coefficients.

```

for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] < min_gap)
    {
        lsp_current[i] = min_gap;
    }
}

for (i = 0; i < lpc_order - 1; i++)
{
    if (lsp_current[i+1] - lsp_current[i] < min_gap)
    {
        lsp_current[i+1] = lsp_current[i]+min_gap;
    }
}

for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] > 1-min_gap)
    {
        lsp_current[i] = 1-min_gap;
    }
}

for (i = lpc_order - 1; i > 0; i--)
{
    if (lsp_current[i]-lsp_current[i-1] < min_gap)
    {
        lsp_current[i-1] = lsp_current[i]-min_gap;
    }
}

```

where  $\text{min\_gap} = 2.0/256.0$

After the quantization process, the quantized LSPs are interpolated linearly at each subframe.

```
for (n = 0; n < nrof_subframes; n++)
{
    ratio_sub=(n+1)/nrof_subframes;
    for (i = 0; i < lpc_order; i++)
    {
        lsp_subframe[n][i]=((1-ratio_sub)*lsp_previous[i] + ratio_sub*lsp_current[i]);
    }
}
```

The interpolated LSPs are converted to the LPCs using the auxiliary function *Convert2lpc()*.

```
for (n = 0; n < nrof_subframes; n++)
{
    Convert2lpc(lpc_order, lsp_subframe[n], int_Qlpc_coefficients + n*lpc_order);
}
```

After calculation of the LPC coefficients, the current LSPs must be stored in memory, since they are used for interpolation at the next frame.

```
for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = lsp_current[i];
}
```

It should be noted that the stored LSPs *lsp\_previous[]* must be initialized as described below when the entire encoder is initialized.

```
for (i = 0; i < lpc_order; i++)
{
    lsp_previous[i] = (i+1) / (lpc_order+1);
}
```

### 3.B.6.2 Wideband LSP Quantization Tool

#### 3.B.6.2.1 Tool description

The Wideband LSP Quantization tool quantizes the LPC coefficients as LSP parameters using a two-stage and split vector quantization technique.

#### 3.B.6.2.2 Definitions

##### Input

*lpc\_coefficients[]*: This is an array of dimension *lpc\_order* and contains the current unquantized LPC coefficients.

##### Output

*int\_Qlpc\_coefficients*[]): This is an array of length  $nrof\_subframes * lpc\_order$  and contains the interpolated and quantized LPC coefficients for each subframe. The LPC coefficients for each subframe are stacked one after the other, resulting in a  $nrof\_subframes * lpc\_order$  array.

**lpc\_indices**[]): This is an array of dimension  $num\_lpc\_indices$  and contains the packed lpc indices that are written to the bitstream.

### Configuration

*lpc\_order*: This field contains the order of LPC.

*num\_lpc\_indices*: This field indicates the number of packed LPC codes.

*n\_lpc\_analysis*: This field contains the number of LPC parameters.

*nrof\_subframes*: This field contains the number of subframes.

### 3.B.6.2.3 Encoding process

The quantization scheme is based on the Narrowband LSP Quantization. The quantizer consists of two quantization blocks connected in parallel, each of which is identical to the Narrowband LSP Quantization tool. The input LSPs are divided into two parts, namely the lower part and the upper part, then the divided LSPs are input to the quantization blocks respectively.

First, the lower part is quantized in the same manner as the Narrowband LSP Quantization. The starting order and the dimension of the LSP vectors are described in Table 3.B.7 and

Table 3.B.8. The quantized LSPs are stored in the array *lsp\_current\_lower*[].

**Table 3.B.7 — Starting order and dimension of the first stage LSP vector**

Split vector number: n	Starting order of the lower LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

**Table 3.B.8 — Starting order and dimension of the second stage LSP vector**

Split vector number: n	Starting order of the lower LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

Next, the upper part is quantized in the same manner. The starting order and the dimension of the LSP vectors are described in Table 3.B.9 and

Table 3.B.10. The quantized LSPs are stored in the array *lsp\_current\_upper*[],. In the quantization of the upper part, indices of the first stage are stored in **lpc\_indices**[5] and **lpc\_indices**[6], and indices and signs for the second stage are stored in **lpc\_indices**[7] and **lpc\_indices**[8]. The flag that indicates the selected quantization method is also stored in **lpc\_indices**[9].

**Table 3.B.9 — Starting order and dimension of the first stage LSP vector**

Split vector number: n	Starting order of the upper LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

**Table 3.B.10 — Starting order and dimension of the second stage LSP vector**

Split vector number: n	Starting order of the upper LSP order: sp	Dimension of the vector: dim
0	0	5
1	5	5

Finally, the decoded LSPs *lsp\_current\_lower[]* and *lsp\_current\_upper[]* are combined and stored in the array *lsp\_current[]*.

```

for (i = 0; i < lpc_order/2; i++)
{
    lsp_current[i] = lsp_current_lower[i];
}
for (i = 0; i < lpc_order/2; i++)
{
    lsp_current[lpc_order/2+i] = lsp_current_upper[i];
}
    
```

The quantized LSPs *lsp\_current[]* are stabilized in order to ensure stability of the LPC synthesis filter, which is derived from the quantized LSPs. The quantized LSPs are arranged in ascending order, having a distance of at least *min\_gap* between adjacent coefficients.

```

for (i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] < min_gap)
    {
        lsp_current[i] = min_gap;
    }
}

for (i = 0; i < lpc_order - 1; i++)
{
    if (lsp_current[i+1] - lsp_current[i] < min_gap)
    {
        lsp_current[i+1] = lsp_current[i]+min_gap;
    }
}

for(i = 0; i < lpc_order; i++)
{
    if (lsp_current[i] > 1-min_gap)
    {
        lsp_current[i] = 1-min_gap;
    }
}

for (i = lpc_order - 1; i > 0; i--)
    
```

```

{
  if (lsp_current[i]-lsp_current[i-1] < min_gap)
  {
    lsp_current[i-1] = lsp_current[i]-min_gap;
  }
}

```

where  $lpc\_order = 20$  and  $min\_gap = 1.0/256.0$

After the quantization process, the quantized LSPs are interpolated linearly at each subframe.

```

for (n = 0; n < nrof_subframes; n++)
{
  ratio_sub=(n+1)/nrof_subframes;
  for (i = 0; i < lpc_order; i++)
  {
    lsp_subframe[n][i]=((1-ratio_sub)*lsp_previous[i] + ratio_sub*lsp_current[i]);
  }
}

```

The interpolated LSPs are converted to the LPCs using the auxiliary function *Convert2lpc()*.

```

for (n = 0; n < nrof_subframes; n++)
{
  Convert2lpc(lpc_order, lsp_subframe[n], int_Qlpc_coefficients + n*lpc_order);
}

```

After calculation of the LPC coefficients, the current LSPs must be stored in memory, since they are used for interpolation at the next frame.

```

for (i = 0; i < lpc_order; i++)
{
  lsp_previous[i] = lsp_current[i];
}

```

It should be noted that the stored LSPs *lsp\_previous[]* must be initialized as described below when the entire encoder is initialized.

```

for (i = 0; i < lpc_order; i++)
{
  lsp_previous[i] = (i+1) / (lpc_order+1);
}

```

### 3.B.6.3 Bandwidth scalable LSP quantization tool

#### 3.B.6.3.1 Tool description

The Bandwidth Scalable LSP Quantization tool quantizes the input 16 kHz sampling rate LSPs using vector quantization scheme with intraframe and interframe prediction.

### 3.B.6.3.2 Definitions

#### Input

*lpc\_coefficients*[]): This is an array of dimension *lpc\_order* and contains the current unquantized LPC coefficients.

*lsp\_current*[]): This array contains the decoded LSP parameters, which are normalized in the range of zero to  $\pi$ , at the Narrowband LSP Quantization tool. These parameters are obtained as intermediate parameters in the Narrowband LSP Quantization process and forwarded to the Bandwidth Scalable LSP Decoding tool.

#### Output

*int\_Qlpc\_coefficients*[]): This is an array of length *nrof\_subframes* \* *lpc\_order* and contains the interpolated and quantized LPC coefficients for each subframe. The LPC coefficients for each subframe are stacked one after the other, resulting in an *nrof\_subframes* \* *lpc\_order* array.

*lpc\_indices*[]): This is an array of dimension *num\_lpc\_indices* and contains the packed lpc indices that are written to the bitstream.

#### Configuration

*lpc\_order*: This field contains the order of LPC.

*num\_lpc\_indices*: This field indicates the number of packed LPC codes.

*n\_lpc\_analysis*: This field contains the number of LPC parameters.

*nrof\_subframes*: This field contains the number of subframes.

*nrof\_subframes\_bws*: This parameter, which is a help variable, represents the number of subframes in the bandwidth scalable layer.

### 3.B.6.3.3 Encoding process

The input 16 kHz sampling rate LSPs (*input\_lsp*[]) are vector-quantized with intraframe and interframe prediction approaches. The intraframe prediction module produces estimated LSPs by converting the quantized LSPs obtained in the 8 kHz sampling rate CELP coder. Furthermore, an interframe moving average predictive VQ is also employed for more accurate prediction. The prediction residual LSPs (*err\_lsp*[]) are computed as follows:

```

for (i = 0; i < 20; i++)
{
    err_lsp[i] = (input_lsp[i] - pred_lsp[i]) / bws_ma_prdct[0][i];
}

for (n = 1; n <= 2; n++)
{
    for (i = 0; i < 20; i++)
    {
        pred_lsp[i] += bws_ma_prdct[n][i]*lsp_bws_buf[n][i];
    }
}

for (i = 0; i < 10; i++)
{
    pred_lsp[i] += bws_nw_prdct[i]*lsp_current[i];
}

```

where *pred\_lsp[]* contains the predicted LSPs. *bws\_ma\_prdct[][]* and *bws\_nw\_prdct[]* are prediction coefficients for moving average interframe prediction and intraframe prediction, respectively. *lsp\_bws\_buf[][]* is a buffer containing LSP prediction residual at the previous two frames.

Then, *err\_lsp[]* are vector-quantized using a two-stage and split vector quantization scheme and corresponding indices are stored in *lpc\_indices[5]*, ... , *lpc\_indices[10]*. The buffer *lsp\_bws\_buf[][]* is shifted for the next frame operation as follows:

```
for (i = 0; i < 20; i++)
{
    lsp_bws_buf[0][i] = err_lsp[i];
}

for (n = 2; n > 0; n--)
{
    for (i = 0; i < 20; i++)
    {
        lsp_bws_buf[n][i] = lsp_bws_buf[n-1][i];
    }
}
```

After the quantization process, the quantized LSPs (*lsp\_bws\_current[]*) are interpolated linearly at each subframe.

```
for (n = 0; n < nrof_subframes_bws; n++)
{
    ratio_sub = (n+1)/nrof_subframes_bws;
    for(i = 0; i < 2*lpc_order; i++)
    {
        lsp_bws_subframe[n][i] = ((1-ratio_sub)*lsp_bws_previous[i]
                                + ratio_sub*lsp_bws_current[i]);
    }
}

for (i = 0; i < 2*lpc_order; i++)
{
    lsp_bws_previous[i] = lsp_bws_subframe[nrof_subframes_bws-1][i];
}
```

The interpolated LSPs are converted to the LPC coefficients at each subframes.

```
for (n = 0; n < nrof_subframes_bws; n++)
{
    Convert2lpc (lpc_order_bws, lsp_bws_subframe[n],
                &int_Qlpc_coefficients[n*lpc_order_bws]);
}
```

### 3.B.6.4 Fine rate control in the LSP quantization tool

#### 3.B.6.4.1 Tool description

Fine Rate Control (FRC) is available with the LSP Quantization tool. If the FRC is used, the array *lpc\_coefficients[]* contains the LPC coefficients of the next frame which is the frame following the frame currently processed. The decision is made whether or not the LSPs of the currently processed frame are transmitted to the decoder. According to the decision, the **interpolation\_flag** and **LPC\_present** are set to 1 or 0.

### 3.B.6.4.2 Definitions

#### Input

*lpc\_coefficients*[]): This is an array of dimension *lpc\_order* and contains the current unquantized LPC coefficients.

#### Output

*int\_Qlpc\_coefficients*[]): This is an array of length *nrof\_subframes* \* *lpc\_order* and contains the interpolated and quantized LPC coefficients for each subframe. The LPC coefficients for each subframe are stacked one after the other, resulting in an *nrof\_subframes* \* *lpc\_order* array.

**lpc\_indices**[]): This is an array of dimension *num\_lpc\_indices* and contains the packed lpc indices that are written to the bitstream.

**interpolation\_flag**: This field indicates if interpolation on the LPC coefficients between frames is done. If the interpolation flag is set, the current LPC coefficients are computed from the previous and next LPC coefficients.

**LPC\_Present**: This flag indicates whether the current frame is complete or incomplete.

### 3.B.6.4.3 Encoding process

The decision whether or not the LPC coefficients of the speech frame under analysis are transmitted to the decoder depends on the amount of change *d*, between the spectrum of the current frame and the spectrum of the adjacent frames. If *d* is greater than a particular threshold then the coefficients are transmitted to the decoder. This threshold is further made dependent on the desired bitrate setting as follows: The threshold is raised if the actual bitrate is higher than the desired bitrate setting and is lowered otherwise.

## 3.B.7 CELP LPC analysis filter

### 3.B.7.1 Tool description

The CELP LPC Analysis filter tool feeds the input signals through a filter with LPC coefficients and returns the residual signal.

### 3.B.7.2 Definitions

#### Input

*PP\_InputSignal*[]): This array has dimension *sbfrm\_size* and contains the input signal.

*int\_Qlpc\_coefficients*[]): This array has dimension *lpc\_order* and contains the LPC coefficients.

#### Output

*lpc\_residual*[]): This array has dimension *sbfrm\_size* and contains the LPC filtered residual signal.

#### Configuration

*lpc\_order*: This field indicates the order of LPC.

*sbfrm\_size*: This field indicates the number of samples in one subframe.

### 3.B.7.3 Encoding process

The input signal is filtered using the filter coefficients.

```

for (k = 0; k < sbfrm_size; k++)
{
    tmp = PP_InputSignal[k];
    for (j = 0; j < lpc_order; j++)
    {
        tmp = tmp - int_Qlpc_coefficients[j] * Filter_States[j];
    }
    lpc_residual[k] = tmp;
    update_Filter_States;
}

```

The initial filter states are set to zero.

## 3.B.8 CELP weighting module

### 3.B.8.1 Tool description

The CELP weighting module computes the weights to be applied on the LPC coefficients.

### 3.B.8.2 Definitions

#### Input

*lpc\_coefficients*[]): This is an array of dimension *lpc\_order*, containing the LPC Coefficients.

*gamma\_num*: This field contains the weighting factor of the numerator.

*gamma\_den*: This field contains the weighting factor of the denominator.

#### Output

*Wnum\_coeff*[]): This is an array of dimension *Wnum\_order*, containing the weighted numerator coefficients.

*Wden\_coeff*[]): This array has dimension *Wden\_order* and contains the weighted denominator coefficients.

#### Configuration

*lpc\_order*: This field contains the order of the LPC.

### 3.B.8.3 Encoding process

The weighted coefficients of the numerator are computed by:

```

for (k = 0; k < Wnum_order; k++)
{
    Wnum_coeff[k] = lpc_coefficients[k] * gamma_numk+1;
}

```

The weighted coefficients of the denominator are computed by:

```

for (k = 0; k < Wden_order; k++)
{
    Wden_coeff[k] = lpc_coefficients[k] * gamma_denk+1;
}

```

### 3.B.9 CELP excitation analysis

The CELP excitation analysis computes the shape and gain vectors as well as the decoded synthesized speech signal. For excitation analysis modules, Regular Pulse Excitation (RPE) and Multi Pulse Excitation (MPE) are defined.

#### 3.B.9.1 Regular pulse excitation

##### 3.B.9.1.1 Tool description

For Regular Pulse Excitation, the output of the excitation analysis are **shape\_delay[]**, **shape\_index[]** and **gain\_indices[]**. The shape and gain indices are generated every subframe. The vector **shape\_delay[]** contains the adaptive codebook lag for each subframe, while the vector **shape\_index[]** contains the fixed codebook index. The vector **gain\_indices[0][]** contains the adaptive codebook gain for every subframe. The fixed codebook gain for every subframe is stored in vector **gain\_indices[1][]**.

##### 3.B.9.1.2 Definitions

###### Input

*PP\_inputSignal[]*: This array contains the preprocessed input signal and is of dimension *sfrm\_size*.

*lpc\_residual[]*: This contains the lpc residual signal.

*int\_Qlpc\_coefficients[]*: This array contains the interpolated and quantized LPC coefficients.

*Wnum\_coeff[]*: This array contains the weighting filter coefficients of the numerator.

*Wden\_coeff[]*: This array contains the weighting filter coefficients of the denominator.

*first\_order\_lpc\_par*: This field indicates the first LPC coefficient.

*lag\_candidates[]*: This array contains the lag candidates.

**signal\_mode**: This field contains the voiced/unvoiced flag .

**rms\_index**: This field defines the index for the signal power.

###### Output

**shape\_delay[]**: This array is of dimension *nrof\_subframes*. It contains the codebook lag for the adaptive codebooks.

**shape\_index[]**: This array is of dimension *nrof\_subframes*. It contains the shape indices for the fixed codebooks.

**gain\_indices[][]**: This array is of dimension  $2 * nrof\_subframes$ . It contains the gain indices for the adaptive and fixed codebooks.

*decoded\_excitation[]*: This array is of length *sbfrm\_size* and contains the synthesized speech signal.

Configuration

*n\_lag\_candidates*: This field indicates the number of lag candidates.

*frame\_size*: This field indicates the number of samples in one frame.

*sbfrm\_size*: This field indicates the number of samples in one subframe.

*nrof\_subframes*: This field indicates the number of subframes in one frame.

*lpc\_order*: This field indicates the order of LPC.

**3.B.9.1.3 Encoding process**

All blocks are performed on a once per subframe basis. For each subframe the following steps are performed:

- perceptual weighting
- adaptive codebook search preselection
- adaptive codebook search
- fixed codebook search preselection
- fixed codebook search
- simulation of decoder

Next, each step will be described in detail. For convenience, *aq[]* is used instead of *int\_Qlpc\_coefficients[]*, so the *aq[]* contains the quantized LPC coefficients for the subframe under consideration.

Perceptual weighting is performed by filtering the input signal *PP\_InputSignal[]* by the following filter:

$$W(z) = \frac{A(z)}{A(z/\gamma)} = \frac{1 - \sum_{k=0}^{lpc\_order-1} aq[k] \cdot z^{-k-1}}{1 - \sum_{k=0}^{lpc\_order-1} aq[k] \cdot \gamma^{k+1} \cdot z^{-k-1}}$$

with  $\gamma = 0.8$ . The resulting signal is called *ws[n]*.

The zero-input response *z[n]*, is determined by computing the response of *S(z)* to a zero-valued input signal.

$$S(z) = \frac{1}{A(z/\gamma)} = \frac{1}{1 - \sum_{k=0}^{lpc\_order-1} aq[k] \cdot \gamma^{k+1} \cdot z^{-k-1}}$$

where  $\gamma = 0.8$ .

The weighted target signal *t[n]* is obtained by subtracting *z[n]* from *ws[n]*:

```

for (n = 0; n < Nm; n++)
{
    t[n] = ws[n] - z[n];
}

```

The impulse response  $h[n]$  is calculated as follows:

```

for (k = 0; k < lpc_order; k++)
{
    tmp_states[k] = 0;
}
tmp = 1.0;
for (n = 0; n < sbfrm_size; n++)
{
    g = 0.8;
    for (k = 0; k < lpc_order; k++)
    {
        tmp = tmp + aq[k][s] * g * tmp_states[k];
        g = 0.8 * g;
    }
    h[n] = tmp;
    for (k = lpc_order-1; k > 0; k--)
    {
        tmp_states[k] = tmp_states[k-1];
    }
    tmp_states[0] = tmp;
}

```

After the above mentioned computations, preselection on the adaptive codebook is performed. The adaptive codebook contains 256 codebook sequences of which 5 are preselected. Preselection is achieved by evaluating the term (for  $0 \leq l < Lm$  and  $0 \leq i < nrof\_subframes$ ):

$$rap[i \cdot Lm + l] = \frac{\left( \sum_{n=0}^{sbfrm\_size-1} ca[Lmin + i \cdot sbfrm\_size + l \cdot 3 - n - 1] \cdot ta[n] \right)^2}{Ea[i \cdot Lm + l]}$$

where:

$$Lm = 1 + \left\lfloor \frac{sbfrm\_size - 1}{3} \right\rfloor$$

and  $ca[Lmin + i \cdot sbfrm\_size + 3 \cdot l - n - 1]$  and  $ta[n]$  representing the codebook sequence at delay  $(Lmin + i \cdot sbfrm\_size + 3 \cdot l)$  and the 'backward-filtered' target signal  $t[n]$ , respectively.

Backward filtering comprises the time-reversal of  $t[n]$ , filtering by  $S(z)$  and time-reversal again.  $Lmin$  is the minimum lag in samples. The value of  $Lmin$  is 40.

$Ea[i \cdot Lm + l]$  is the energy of that codebook sequence filtered by a reduced complexity synthesis filter  $S_p(z)$  which is a first-order estimation of the LPC synthesis filter  $S(z)$ :

$$S_p(z) = \frac{1}{A_p(z/\gamma)} = \frac{1}{1 - a \cdot \gamma \cdot z^{-1}}$$

The first LPC coefficient of a frame is taken for  $a$ . Depending on the number of subframe, which is under consideration, the first LPC coefficient of the current or the previous is taken using the following:

```

if (subframe_number < nr_subframes/2)
{
  a = prev_a;
}
else
{
  a = cur_a;
}

```

After evaluation of  $rap[]$  the 5 maximum sequences are selected together with their 2 neighbor sequences resulting in 15 candidates on which full search is applied. The indices of the preselected sequences and their neighbors are stored in  $ia[r]$  ( $0 \leq r < 15$ ).

The adaptive codebook search minimizes the mean-square weighted error between the original and reconstructed speech. This is achieved by searching for the index  $r$  maximizing the term

$$ra[r] = \frac{\left( \sum_{n=0}^{sbfrm\_size-1} t[n] \cdot y[r][n] \right)^2}{\sum_{n=0}^{sbfrm\_size-1} y^2[r][n]}$$

The signal  $t[n]$  represents the weighted target signal and  $y[r][n]$  is the convolution of the sequence  $ca[ia[r]-n-1]$  with the impulse response  $h[n]$ . The index ( $ia[r]-Lmin$ ) of the maximum is referred as **shape\_delay**[subframe].

After determining the index the gain factor is computed according to

$$g = \frac{\sum_{n=0}^{sbfrm\_size-1} t[n] \cdot y'[n]}{\sum_{n=0}^{sbfrm\_size-1} y'^2[n]}$$

with  $y[n]$  equal to the convolution of  $ca[l+Lmin-n-1]$  with  $h[n]$ . The gain factor is quantized by a non-uniform quantizer:

```

for (j = 0; abs(g) > cba_gain_quant[j] && j < 31; j ++);

if (g < 0)
{
  Ga = -cba_gain[j];
  gain_indices[0] = (((-j - 1) & 63));
}
else
{
  Ga = cba_gain[j];
  Gain_indices[0][subframe] = j;
}

```

The quantized gain factor is referred as  $G_a$ . With **shape\_delay[]** and  $G_a$  the contribution  $p[n]$  of the adaptive codebook is computed according to

$$p[n] = G_a \cdot y'[n]$$

The contribution  $p[n]$  of the adaptive codebook is subtracted from the weighted target signal  $t[n]$  to obtain the residual signal  $e[n]$ :

$$e[n] = t[n] - p[n], 0 \leq n < sbfrm\_size$$

The residual signal  $e[n]$  is 'backward-filtered' to obtain  $tf[n]$ .

The fixed codebook search also consists of a preselection and a selection phase. An RPE-codebook is used for the fixed codebook excitation. Each codebook vector has `sbfrm_size` pulses of which  $N_p$  pulses may have an amplitude of +1, 0 or -1. These  $N_p$  pulses are positioned on a regular grid defined by the phase  $p$  and the pulse spacing  $D$  such that the grid positions are at  $p + D/l$  where  $l$  is between 0 and  $N_p$ . The leaving (`sbfrm_size`- $N_p$ ) pulses are zero.  $D$  and  $N_p$  are dependent on the bitrate setting as is given in Table 3.87.

To reduce complexity a local RPE-codebook is generated for each subframe containing a subset of 16 entries. All vectors of this local RPE-codebook have the same phase  $P$  which computed as follows:

```

max = 0;
for (p = 0; p < D; p++)
{
    tmp_max = 0;
    for (l = 0; l < Np-1; l++)
    {
        tmp_max += abs(tf[p+D * l]);
    }
    if (tmp_max > max)
    {
        max = tmp_max;
        P = p;
    }
}

```

Having determined the phase  $P$  it is required that the amplitude of pulse  $l$ ,  $0 \leq l < N_p$ , is either zero or equal to the sign of the corresponding sample of  $tf[n]$ . The sign is stored in `amp[l]` as follows:

```

for (l = 0; l < Np; l++)
{
    amp[l] = sign (tf[P + D * l]);
}

```

where `sign` is +1 for values greater than 0 and -1 otherwise.

For  $N_p-4$  pulses the possibility of zero-amplitude is excluded a-priori at those positions where  $tf[n]$  is maximal. Therefore, array `pos[]` is used, which has the following semantic:

- `pos[l] = 0` indicates that zero-amplitude possibility is included for pulse  $l$ .
- `pos[l] = 1` indicates that zero-amplitude possibility is excluded.

The following algorithm is used to determine the array `pos[]`:

1. initialize pos[] with zeros,
2. Determine n such that  $\text{abs}(tf[P + D * n]) \geq \text{abs}(tf[P + D * i])$  for all i not equal to n
3. pos[n] = 1
4.  $tf[P + D * n] = 0$
5. Proceed with step 2 until Np-4 positions are fixed

Based on P, amp[] and pos the local RPE-codebook cf[k][n] is generated, using the following algorithm:

```

for (k = 0; k < 16; k++)
{
  for (n = 0; n < sbfrm_size; n++)
  {
    cf[k][n] = 0;
  }
}
for (l = 0; l < Np; l++)
{
  cf[0][P+D*l]=amp[l];
}

m = 1;
for (l = 0; l < Np; l++)
{
  if (pos[l]==0)
  {
    c = m;
    for (q = 0; q < c; q++)
    {
      for (n = 0; n < Np; n++)
      {
        cf[m][P+D*n] = cf[q][P+D*n];
      }
      cf[m++][P+D*l] = 0;
    }
  }
}

```

Based on the local RPE-codebook, 5 vectors out of 16 are preselected for the closed-loop search. Preselection is achieved by evaluating the term for  $0 \leq k < 16$ :

$$rfp[k] = \frac{\left( \sum_{n=0}^{sbfrm\_size-1} cf[k][n] \cdot tf[n] \right)^2}{Ef[l]}$$

with  $cf[k][n]$  representing a vector of the local RPE-codebook.  $Ef[k]$  is the energy of that codebook vector filtered by the reduced complexity synthesis filter  $S_p(z)$ . The indices of the preselected vectors are stored in  $ifr$ .

Using these preselected indices, a closed-loop fixed codebook search is performed. By the closed-loop fixed codebook search it is searched for the index  $r$  maximizing the term

$$rf[r] = 2 \cdot Gf \cdot \sum_{n=0}^{sbfm\_size-1} e[n] \cdot y[r][n] - Gf^2 \cdot \sum_{n=0}^{sbfm\_size-1} y^2[r][n]$$

The signal  $e[n]$  represents the residual signal of the adaptive codebook search and  $y[r][n]$  is the convolution of  $cf[if[r]][n]$  with the impulse response  $h[n]$ .  $Gf$  is the quantized gain factor  $g$  which is determined according to

$$g = \frac{\sum_{n=0}^{sbfm\_size-1} e[n] \cdot y[r][n]}{\sum_{n=0}^{sbfm\_size-1} y^2[r][n]}$$

The quantization process of the fixed codebook gain is given below, where  $Gp$  is the previous quantized fixed codebook gain.

```

if (first subframe)
{
  for (m = 0; g > cbf_gain_quant[m] && m < 30; m ++);
  Gf = cbf_gain[m];
}
else
{
  g = g / Gp;
  for (m = 0; g > cbf_gain_quant_dif[m] && m < 7; m ++);
  g = Gp * cbf_gain_dif[m];
}
gain_indices[0] = m;

```

The representation tables  $cbf\_gain$  and  $cbf\_gain\_dif$  are given in Table 3.89 and Table 3.90, whereas the quantization tables  $cbf\_gain\_quant$  and  $cbf\_gain\_quant\_dif$  are given below.

Finally, the decoder is simulated by performing the following steps:

- the excitation of the fixed codebook is computed
- the excitation of the fixed and adaptive codebook are summed
- the memory of the adaptive codebook is updated
- the memory of the filters is updated

A detailed description of these steps is given in the decoder.

**Tables used for gain quantization**

**Table 3.B.11 — Quantization table for adaptive codebook gain**

Index	Cba_gain_quant	Index	cba_gain_quant
0	0.1622	16	0.9989
1	0.2542	17	1.0539
2	0.3285	18	1.1183
3	0.3900	19	1.1933
4	0.4457	20	1.2877
5	0.4952	21	1.4136
6	0.5425	22	1.5842
7	0.5887	23	1.8559
8	0.6341	24	2.3603
9	0.6783	25	3.8348
10	0.7227	26	7.6697
11	0.7664	27	15.339
12	0.8104	28	30.679
13	0.8556	29	61.357
14	0.9005	30	122.71
15	0.9487	31	245.43

**Table 3.B.12 — Quantization table for fixed codebook gain**

Index	Cbf_gain_quant	Index	cbf_gain_quant
0	2.4726	16	82.3374
1	3.1895	17	95.3755
2	4.2182	18	109.8997
3	5.6228	19	126.3037
4	7.3781	20	144.3995
5	9.5300	21	165.5142
6	12.1013	22	190.9742
7	15.2262	23	220.6299
8	19.0319	24	258.2699
9	23.6342	25	305.5086
10	29.1562	26	368.5894
11	35.3606	27	453.5156
12	42.8301	28	573.6164
13	51.1987	29	801.6422
14	60.6440	30	9999.9
15	70.9884	31	-----

**Table 3.B.13 — Quantization table for differential fixed codebook gain**

Index	cbf_gain_quant_dif	Index	cbf_gain_quant_dif
0	0.2500	4	1.3356
1	0.5378	5	1.8869
2	0.7795	6	4.2000
3	1.0230	7	9999.9

### 3.B.9.2 Multi-pulse excitation

#### 3.B.9.2.1 Tool description

For the CELP coder based on Multi Pulse Excitation, the output of the excitation analysis are **signal\_mode**, **rms\_index**, **shape\_delay[]**, **shape\_positions[]**, **shape\_signs[]** and **gain\_index[]**. The shape and gain indices are generated every subframe. The vector **shape\_delay[]** contains the adaptive codebook lag for each subframe, while the vectors **shape\_positions[]** and **shape\_signs[]** contain the pulse positions and signs respectively. The adaptive codebook gain and multi-pulse gain for each subframe are vector quantized and stored in the vector **gain\_index[]**.

#### 3.B.9.2.2 Definitions

##### Input

*PP\_inputSignal[]*: This array contains the preprocessed input signal and is of dimension *sfrm\_size*.

*int\_Qlpc\_coefficients[]*: This array contains the interpolated and quantized LPC coefficients.

*Wnum\_coeff[]*: This array contains the weighting filter coefficients of the numerator.

*Wden\_coeff[]*: This array contains the weighting filter coefficients of the denominator.

##### Output

**signal\_mode**: This field contains the voiced/unvoiced flag .

**rms\_index**: This field defines the index for the signal power.

**shape\_delay[]**: This array is of dimension *nrof\_subframes*. It contains the codebook lag for the adaptive and fixed codebooks.

**shape\_positions[]**: This array is of dimension *nrof\_subframes*. It contains the pulse positions.

**shape\_signs[]**: This array is of dimension *nrof\_subframes*. It contains the pulse signs.

**gain\_index[]**: This array is of dimension *nrof\_subframes*. It contains the vector quantized gains for the adaptive codebook and the multi-pulse.

##### Configuration

*frame\_size*: This field indicates the number of samples in one frame.

*sbfrm\_size*: This field indicates the number of samples in one subframe.

*nrof\_subframes*: This field indicates the number of subframes in one frame.

*lpc\_order*: This field indicates the order of LPC.

#### 3.B.9.2.3 Encoding process

For the Mode II coder, the excitation signal is extracted and encoded on an analysis-by-synthesis basis with three steps, the adaptive codebook search for a periodic component, the fixed codebook search for a non-periodic component and the quantization of the gains for each component. The target signal is obtained by subtracting the zero-input response of the synthesis and perceptual weighting filters from the weighted input speech signal.

### Frame Energy Quantization

The root mean square (rms) value of subframe input samples is calculated at the last subframe. The rms value is scalar-quantized in the  $\mu$ -law scale. The rms values of other subframes are obtained by linear-interpolating the quantized rms values at the last subframe of the current and the previous frames. The quantized rms values are used for gain normalization.

### Open-loop Pitch Estimation and Mode Decision

The open-loop pitch estimation and mode decision procedures are jointly performed every analysis interval of 10 ms using the perceptually weighted signal. The pitch estimate is selected to minimize the square error between the current and previous blocks of the weighted input samples. A pitch prediction gain is calculated for each analysis interval. Every frame is classified into one of the four modes based on the average pitch prediction gain. Modes 0 and 1 correspond to unvoiced and transition frames, respectively. Modes 2 and 3 correspond to voiced frames, and the latter has higher periodicity than the former. If the subframe length is 5 ms, the same estimated pitch is used in two subframes for a closed-loop pitch search.

### Encoding of Excitation Signal

The excitation signal is represented by a linear combination of the adaptive codevector and the fixed codevector scaled by their respective gains. Each component of the excitation signal is successively chosen by an analysis-by-synthesis search procedure so that the perceptually weighted error between the input signal and the reconstructed signal is minimized. The adaptive codevector parameters are a closed-loop delay and a gain. The closed-loop delay is selected in the range around the estimated open-loop delay. The adaptive codevector is generated from a block of the past excitation signal samples with the selected closed-loop delay. The fixed codevector contains several non-zero pulses. The pulse positions are restricted in an algebraic structure. The restriction table of the pulse positions are set up from the parameters. In order to improve the performance, after determining plural sets of pulse position candidates, a combination search between the pulse position candidates and the pulse amplitude index is carried out. The gains for both the adaptive and the multi-pulse codevectors are normalized and vector-quantized. The normalization factor is calculated from the quantized LP coefficients, the quantized subframe rms and an excitation signal rms. The gain codebook is changed in accordance with the mode.

### Adaptive Codebook Search

For each subframe the optimal delay is determined through closed-loop analysis so that the mean-square error between the target signal  $x(n)$  and the weighted synthesized signal  $y_t(n)$  of the adaptive codevector is minimized.

$$E_t = \sum_{n=0}^{N-1} (x(n) - g_t y_t(n))^2, \quad t_{op} - 8 < t < t_{op} + 8$$

where  $t_{op}$  is the open-loop delay determined in the open-loop pitch analysis.  $g_t$  is the optimal gain as follows:

$$g_t = \frac{\sum_{n=0}^{N-1} x(n)y_t(n)}{\sum_{n=0}^{N-1} y_t^2(n)}$$

The optimal pitch delay is encoded with 8 bits based on the relationship between the shape\_indices[0] and the delay (see Decoding process).

**Fixed Codebook Search**

The fixed codebook vector is represented by the pulse position and pulse amplitudes. The pulse positions and amplitudes are searched to minimize the mean-square error between the target signal and the weighted synthesized signal.

$$E_k = \sum_{n=0}^{N-1} (x(n) - g_t y_t(n) - g_k z_k(n))^2$$

where  $g_t$  is the optimal gain as follows:

$$g_k = \frac{\sum_{n=0}^{N-1} (x(n) - g_t y_t(n)) z_k(n)}{\sum_{n=0}^{N-1} z_k^2(n)}$$

where  $k$  indicates the possible combination of the `shape_indices[1]` and the `shape_indices[2]` (see subclause 3.4.2). The fixed codebook vector is constructed from the pulse positions and the pulse amplitudes (see subclause 3.4.2). The weighted signal  $z_k(n)$  is computed by filtering the fixed codebook vector through the LP synthesis filter  $1/A(z)$  and the perceptual weighting filter  $W(z)$ .

**Gain Quantization**

Gains for the adaptive codevector and the fixed codevector are normalized by the prediction residual energy  $rs$  and scalar-quantized. The residual energy  $rs$  is calculated based on frame energy and reflection coefficients. The frame energy is calculated every subframe as a root mean square (RMS) value and quantized in the  $\mu$ -law domain. The reflection coefficients  $k(i)$  are converted from the interpolated LPCs `int_Qlpc_coefficients[]`. Consequently, the prediction residual energy ( $rs$ ) of a subframe is

$$rs = sbfrm\_len \cdot q\_amp^2 \cdot \prod_{i=1}^{N_p} (1 - k^2(i)),$$

where  $N_p$  is the LP analysis order,  $q\_amp$  is the quantized RMS amplitude and  $sbfrm\_len$  is the subframe length.

The gain quantization is carried out by finding the pair of gain indices that minimize the error between the target and the weighted synthesized signal. The error  $err_g$  due to gain quantization is given by:

$$err_g = \sum_{i=0}^{sbfrm\_len-1} \left( \sqrt{\frac{rs}{pow_{AC}}} \cdot AdaptGainCB[m] \cdot ac\_syn(i) + \sqrt{\frac{rs}{pow_{SC}}} \cdot FixedGainCB[n] \cdot sc\_syn(i) - t \arg(i) \right)^2$$

$$pow_{ac} = \sum_{i=0}^{sbfrm\_len-1} ac\_ex^2(i)$$

$$pow_{sc} = \sum_{i=0}^{sbfrm\_len-1} sc\_ex^2(i)$$

where  $m$  and  $n$  are indices,  $ac\_ex(i)$  is the selected adaptive codevector,  $ac\_syn(i)$  is the signal which is synthesized from  $ac\_ex(i)$  and perceptually weighted,  $sc\_ex(i)$  is the selected stochastic codevector and  $sc\_syn(i)$  is the signal which is synthesized from  $sc\_ex(i)$  and perceptually weighted.

The index pair of  $n$  and  $m$  that minimize the error  $err_g$  is selected and is stored in  $gain\_indices[]$ . Then the quantized adaptive gain  $qacg$ , the quantized stochastic  $qscg$  and the excitation signal  $decoded\_excitation(i)$  are calculated as follows.

$$decoded\_excitation(i) = qacg \cdot ac\_ex(i) + qscg \cdot sc\_ex(i) \quad (0 \leq i \leq sbfrm\_len - 1)$$

$$qacg = \sqrt{\frac{rs}{pow\_ac}} \cdot AdaptGainCB(m)$$

$$qscg = \sqrt{\frac{rs}{pow\_sc}} \cdot FixedGainCB(n)$$

### 3.B.9.3 Bitrate scalable multi-pulse excitation

#### 3.B.9.3.1 Tool description

The output of the enhancement excitation analysis are **shape\_enh\_positions[]**, **shape\_enh\_signs[]** and **gain\_enh\_index[]**. The shape and gain indices are generated every subframe. The vectors **shape\_enh\_positions[]** and **shape\_enh\_signs[]** contain the enhancement pulse positions and signs respectively. The enhancement multi-pulse gain for each subframe is scalar quantized and stored in the vector **gain\_index[]**.

#### 3.B.9.3.2 Definitions

##### Input

*PP\_inputSignal[]*: This array contains the preprocessed input signal and is of dimension *sfrm\_size*.

*int\_Qlpc\_coefficients[]*: This array contains the interpolated and quantized LPC coefficients.

*Wnum\_coeff[]*: This array contains the weighting filter coefficients of the numerator.

*Wden\_coeff[]*: This array contains the weighting filter coefficients of the denominator.

##### Output

**shape\_enh\_positions[]**: This array is of dimension *nrof\_subframes*. It contains the pulse positions.

**shape\_enh\_signs[]**: This array is of dimension *nrof\_subframes*. It contains the pulse signs.

**gain\_enh\_index[]**: This array is of dimension *nrof\_subframes*. It contains the vector quantized gains for the adaptive codebook and the multi-pulse.

##### Configuration

*n\_lag\_candidates*: This field indicates the number of lag candidates.

*frame\_size*: This field indicates the number of samples in one frame.

*sbfrm\_size*: This field indicates the number of samples in one subframe.

*nrof\_subframes*: This field indicates the number of subframes in one frame.

*lpc\_order*: This field indicates the order of LPC.

### 3.B.9.3.3 Encoding process

The fixed codebook vector is represented by the pulse position and pulse amplitudes. The pulse positions and amplitudes are searched to minimize the mean-square error between the target signal and the weighted synthesized signal.

$$E_k = \sum_{n=0}^{N-1} (x(n) - g_t y_t(n) - g_k z_k(n))^2$$

where  $g_t$  is the optimal gain as follows:

$$g_k = \frac{\sum_{n=0}^{N-1} (x(n) - g_t y_t(n)) z_k(n)}{\sum_{n=0}^{N-1} z_k^2(n)}$$

where  $k$  indicates the possible combination of the *shape\_enh\_positions* and the *shape\_enh\_signs* (see subclause 3.4.2). The fixed codebook vector is constructed from the pulse positions and the pulse amplitudes (see subclause 3.4.2). The weighted signal  $z_k(n)$  is computed by filtering the fixed codebook vector through the LP synthesis filter  $1/A(z)$  and the perceptual weighting filter  $W(z)$ .

### 3.B.9.4 Multi-pulse excitation for the bandwidth extension tool

#### 3.B.9.4.1 Tool description

The output of the excitation analysis in the bandwidth extension tool are **shape\_bws\_delay[]**, **shape\_bws\_positions[]**, **shape\_bws\_signs[]** and **gain\_bws\_index[]**. The shape and gain indices are generated every subframe. The vector **shape\_bws\_delay[]** contains the adaptive codebook lag for each subframe, while the vectors **shape\_bws\_positions[]** and **shape\_bws\_signs[]** contain the pulse positions and signs respectively. The adaptive codebook gain and multi-pulse gain for each subframe are vector quantized and stored in the vector **gain\_bws\_index[]**.

#### 3.B.9.4.2 Definitions

##### Input

*PP\_inputSignal[]*: This array contains the preprocessed input signal and is of dimension *sfrm\_size*.

*int\_Qlpc\_coefficients[]*: This array contains the interpolated and quantized LPC coefficients.

*Wnum\_coeff[]*: This array contains the weighting filter coefficients of the numerator.

*Wden\_coeff[]*: This array contains the weighting filter coefficients of the denominator.

##### Output

**shape\_bws\_delay[]**: This array is of dimension *nrof\_subframes\_bws*. It contains the codebook lag for the adaptive and fixed codebooks.

**shape\_bws\_positions[]**: This array is of dimension *nrof\_subframes\_bws*. It contains the pulse positions.

**shape\_bws\_signs[]**: This array is of dimension *nrof\_subframes\_bws*. It contains the pulse signs.

**gain\_bws\_index[]**: This array is of dimension *nrof\_subframes\_bws*. It contains the vector quantized gains for the adaptive codebook and the multi-pulse.

#### Configuration

*n\_lag\_candidates*: This field indicates the number of lag candidates.

*frame\_size*: This field indicates the number of samples in one frame.

*sbfrm\_size*: This field indicates the number of samples in one subframe in the bandwidth extension tool.

*nrof\_subframes\_bws*: This field indicates the number of subframes in the bandwidth extension tool.

*lpc\_order*: This field indicates the order of LPC.

#### **3.B.9.4.3 Encoding process**

For the bandwidth extension tool, the excitation signal is extracted and encoded on an analysis-by-synthesis basis with three steps, the adaptive codebook search for a periodic component, the fixed codebook search for a non-periodic component and the quantization of the gains for each component. The target signal is obtained by subtracting the zero-input response of the synthesis and perceptual weighting filters from the weighted input speech signal.

#### **Frame Energy Quantization**

The same root mean square (rms) value as the 8 kHz sampling rate CELP is used.

#### **Open-loop Pitch Estimation and Mode Decision**

The open-loop pitch estimation is done by converting the 8 kHz sampling rate pitch delay (see Decoding process). The same mode as the 8 kHz sampling rate CELP is used.

#### **Encoding of Excitation Signal**

The excitation signal is represented by a linear combination of the adaptive codevector and the two fixed codevectors scaled by their respective gains. The pitch delay is decided in the range around the open-loop estimated pitch delay. One of the two fixed codevectors is obtained by sampling-rate expansion of the fixed codevector used in the 8 kHz sampling rate coder. The other fixed codevector is determined by an analysis-by-synthesis search procedure.

#### **Adaptive Codebook Search**

For each subframe the optimal delay is determined through closed-loop analysis so that the mean-square error between the target signal  $x(n)$  and the weighted synthesized signal  $y_t(n)$  of the adaptive codevector is minimized.

$$E_t = \sum_{n=0}^{N-1} (x(n) - g_t y_t(n))^2, \quad t_{op} - 8 < t < t_{op} + 8$$

where  $t_{op}$  is the open-loop delay determined in the open-loop pitch analysis.  $g_t$  is the optimal gain as follows:

$$g_t = \frac{\sum_{n=0}^{N-1} x(n)y_t(n)}{\sum_{n=0}^{N-1} y_t^2(n)}$$

The difference between the optimal pitch delay and the open-loop pitch delay is encoded with 3 bits based on the relationship between the shape\_bws\_delay and the differential delay (see Decoding process).

**Fixed Codebook 1 Search**

The fixed codevector 1 is obtained by sampling-rate expansion of the fixed codevector used in the 8 kHz sampling rate coder (see subclause 3.5.7.4.3.4).

**Fixed Codebook 2 Search**

The fixed codebook vector is represented by the pulse position and pulse amplitudes. The pulse positions and amplitudes are searched to minimize the mean-square error between the target signal and the weighted synthesized signal.

$$E_k = \sum_{n=0}^{N-1} (x(n) - g_t y_t(n) - g_k z_k(n))^2$$

where  $g_t$  is the optimal gain as follows:

$$g_k = \frac{\sum_{n=0}^{N-1} (x(n) - g_t y_t(n))z_k(n)}{\sum_{n=0}^{N-1} z_k^2(n)}$$

where k indicates the possible combination of the shape\_bws\_positions and the shape\_bws\_signs (see subclause 3.4.2). The fixed codebook vector is constructed from the pulse positions and the pulse amplitudes (see subclause 3.4.2). The weighted signal  $z_k(n)$  is computed by filtering the fixed codebook vector through the LP synthesis filter  $1/A(z)$  and the perceptual weighting filter  $W(z)$ .

**Gain Quantization**

Gains for the adaptive codevector and the two fixed codevectors are normalized by the prediction residual energy  $rs$  and quantized. The residual energy  $rs$  is calculated based on frame energy and reflection coefficients. The frame energy is calculated every subframe as a root mean square (RMS) value and quantized in the  $\mu$ -law domain. The reflection coefficients  $k(i)$  are converted from the interpolated LPCs  $int\_Qlpc\_coefficients[]$ . Consequently, the prediction residual energy ( $rs$ ) of a subframe is

$$rs = sbfrm\_len \cdot q\_amp^2 \cdot \prod_{i=1}^{N_p} (1 - k^2(i)),$$

where  $N_p$  is the LP analysis order,  $q\_amp$  is the quantized RMS amplitude and  $sbfrm\_len$  is the subframe length.

The gains for the adaptive codevector and the fixed codevector 2 are vector quantized. The gain for the fixed codevector 1 is scalar quantized. These quantization operations are achieved by minimizing the perceptually weighted distortion (closed-loop).

### 3.B.10 CELP bitstream multiplexer

#### 3.B.10.1 Tool description

The tool CELP bitstream multiplexer multiplexes a frame into the bitstream.

#### 3.B.10.2 Definitions

All the bitstream elements and the helping variables have been defined in subclause 3.5.3 and subclause 3.5.4.

#### 3.B.10.3 Encoding process

The parameters are encoded into a bitstream according to the syntax described in subclause 3.3.

### 3.B.11 CELP silence compression tool

#### 3.B.11.1 VAD module

The VAD module makes a decision whether a frame is a non-active-voice frame or an active-voice frame based on how much the characteristics of the input signal change. The characteristics are represented by four parameters: the full-band and the low-band energies, the LSPs, and the zero-crossing rate of the input signal frame. A temporal decision is made in every 80 samples and the final frame decision is made based on the temporal decisions with a hangover constraint. For the wideband mode, the characteristics parameters are calculated from the input speech down-sampled from 16 kHz to 8 kHz.

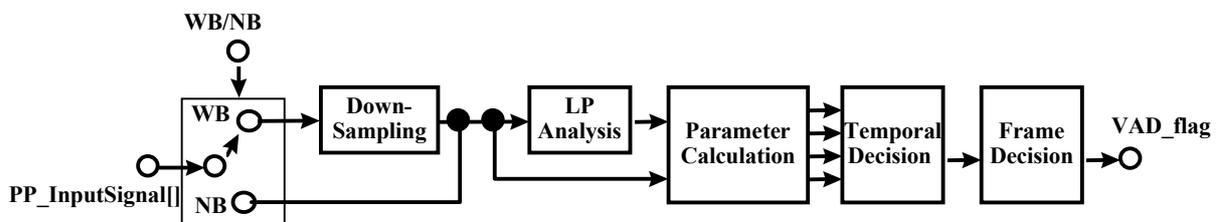


Figure 3.B.1 — VAD module

##### 3.B.11.1.1 Definitions

Input

PP\_InputSignal[] This array contains the pre-processed speech signal. The dimension is *frame\_size*.

Output

VAD\_flag This field contains the VAD flag (see Table 3.103).

The following are help elements used in the VAD module:

*lpc\_order*: the order of LP

*sbfrm\_size*: the number of samples in a subframe

*frame\_size*: the number of samples in a frame

*n\_subframe*: the number of subframes in a frame

### 3.B.11.1.2 Down-sampling for the wideband

A signal *s\_vad*[] used in the VAD module is generated by preprocessing the input signal in the same manner as that described in subclause 3.B.4. When the sampling rate is 16 kHz, the input signal is down-sampled to 8 kHz after the preprocessing.

### 3.B.11.1.3 Parameter calculation

The LSPs of the input signal, *lsp*[] are calculated from the LPCs *lpc\_coefficients*[], which is given by the MPEG-4 CELP weighting module described in subclause 3.B.8. A full-band energy *P*, a low-band energy *P<sub>l</sub>* between 0 and 1 kHz, and a zero crossing rate *Z* are calculated as follows:

$$P = 10 \log_{10} R[0]$$

$$P_l = 10 \log_{10} \mathbf{h}^T \mathbf{R} \mathbf{h}$$

$$Z = \frac{1}{80} \sum_{i=0}^{80} |\text{sign}[s\_vad[i]] - \text{sign}[s\_vad[i-1]]| ,$$

where *h* is an impulse response vector of the FIR filter with a cutoff frequency of 1 kHz. *R*[0] is the first autocorrelation coefficient, and *R* is a Toeplitz autocorrelation matrix with autocorrelation coefficients in each diagonal. These parameters are calculated every 10 msec. Their averages are updated as follows:

$$\bar{P} = a \bar{P} + (1 - a) P$$

$$\bar{P}_l = b \bar{P}_l + (1 - b) P_l$$

$$\bar{Z} = c \bar{Z} + (1 - c) Z$$

$$\bar{L}sp[i] = d \bar{L}sp[i] + (1 - d) Lsp[i], i=1, \dots, lpc\_order$$

where *a* = 0.995, *b* = 0.995, *c* = 0.998 and *d* = 0.75. The following differential parameters are evaluated to make a temporal decision whether the input signal is characterized as an active-voice or a non-active-voice every 80 samples:

$$\Delta P = \bar{P} - P$$

$$\Delta P_l = \bar{P}_l - P_l$$

$$\Delta Z = \bar{Z} - Z$$

$$\Delta Lsp = \sum_{i=1}^{lpc\_order} [\bar{L}sp[i] - Lsp[i]]^2, i=1, \dots, lpc\_order.$$

### 3.B.11.1.4 Temporal voice activity decision

If any of the following inequalities is satisfied, the temporal voice activity flag,  $vad\_flag\_sub[i]$  for  $i=0, \dots, \frac{frm\_size[samples]}{80[samples]}$ , is set to 1.

```

if(
     $\Delta L_{sp} > 0.0009$  or
     $\Delta L_{sp} > 0.00175 * \Delta Z + 0.00085$  or
     $\Delta L_{sp} > -0.00455 * \Delta Z + 0.00116$  or
     $\Delta P < -0.47$  or
     $\Delta P < -2.5 * \Delta Z - 0.5$  or
     $\Delta P < 2.0 * \Delta Z - 0.6$  or
     $\Delta P < 2.5 * \Delta Z - 0.7$  or
     $\Delta P < -2.91 * \Delta Z - 0.482$  or
     $\Delta P < 880.0 * \Delta L_{sp} - 1.22$  or
     $\Delta P_1 < 1400.0 * \Delta L_{sp} - 1.55$  or
     $\Delta P_1 > 0.929 * \Delta P + 0.114$  or
     $\Delta P_1 < -1.5 * \Delta P - 0.9$  or
     $\Delta P_1 < 0.714 * \Delta P - 0.214$ 
)
{
     $vad\_flag\_sub[i] = 1;$ 
} else {
     $vad\_flag\_sub[i] = 0;$ 
}

```

### 3.B.11.1.5 Frame voice activity decision

A frame voice activity flag,  $vad\_flag$  is determined based on the temporal flag  $vad\_flag\_sub[]$ , which is made in the corresponding frame as follows:

$$vad\_flag = vad\_flag\_sub[0] \cup vad\_flag\_sub[1] \cup \dots \cup vad\_flag\_sub[L-1],$$

where “ $\cup$ ” stands for a logical OR.

### 3.B.11.1.6 Hangover

A hangover is applied to the final VAD decision  $VAD\_flag$  after the switch from an active-voice frame to a non-active-voice frame:

$$VAD\_flag = \begin{cases} 1, & \text{first 80 msec after the active-voice period (} vad\_flag = 1 \text{)} \\ vad\_flag, & \text{otherwise} \end{cases}$$

When the active-voice period ( $vad\_flag=1$ ) is shorter than 80 msec,  $VAD\_flag$  is always equal to  $vad\_flag$ .

3.B.11.2 DTX module

Figure 3.B.2 shows the structure of the DTX module. The DTX module detects frames in which the input characteristics change during the non-active-voice frames. In the first frame during each non-active-voice period and in the frame where the change is detected, the DTX module extracts the parameters; the frame energy and the LSPs of the input speech, and encodes these parameters. There are three DTX modes; DTX\_flag = 0, 1 and 2 depending on what information is transmitted. When a change in the LSPs is detected (DTX\_flag = 1), the encoded LSP and RMS parameters and the TX\_flag are transmitted as HR-SID information. When a change of the RMS (the frame energy) is detected (DTX\_flag = 2), only the encoded RMS parameter and the TX\_flag are transmitted as LR-SID information. Otherwise, only the TX\_flag is transmitted.

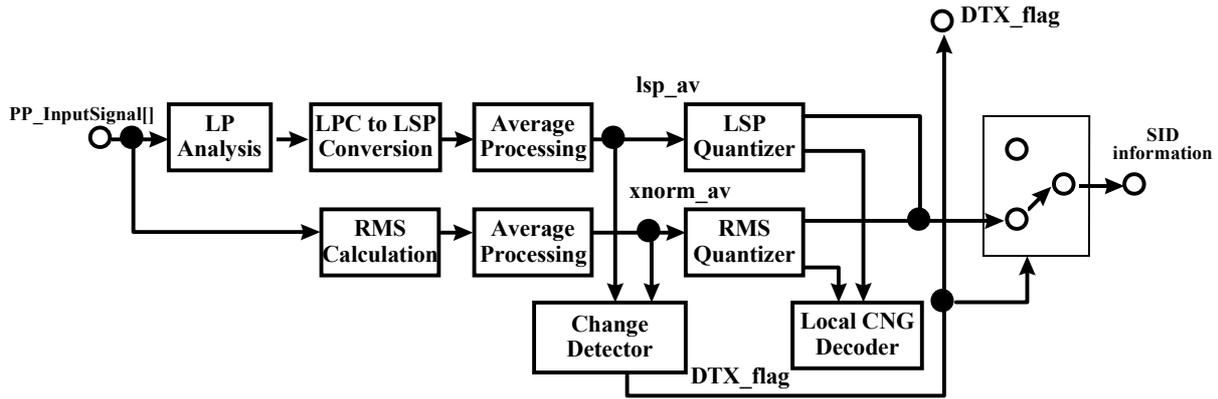


Figure 3.B.2 — DTX module

3.B.11.2.1 Definitions

Input

PP\_InputSignal[] This array contains the pre-processed speech signal. The dimension is *frame\_size*.

TX\_flag This field contains the transmission mode (see Table 3.103).

Output

VAD\_flag This field contains the VAD flag (see Table 3.103).

The following are help elements used in the DTX module:

*lpc\_order*: the order of LP

*frame\_size*: the number of samples in a frame

*n\_subframe*: the number of subframes in a frame

3.B.11.2.2 LP analysis

The same LP analysis and LPC-to-LSP conversion as those described in subclause 3.B.5 is used. This gives unquantized LSPs *lsp[][]*.

3.B.11.2.3 Averaging of the LSP

An average LSP *lsp\_av[]* is calculated from the unquantized LSPs *lsp[][]* as follows:

$$lsp\_av[i] = (1/L) \sum_{j=0}^{L-1} lsp[j][i], \quad i=0, \dots, lpc\_order-1.$$

where  $lsp[j][i]$  are unquantized LSPs in the  $j$ -th most recent frame, which is calculated from the unquantized LPCs  $lpc\_coefficients[i]$  described in subclause 3.B.6.  $L$  is the number of frames per 80 msec.

#### 3.B.11.2.4 RMS calculation

A RMS of the input signal is calculated in an identical manner to that described in subclause 3.B.9. This calculation gives unquantized RMS  $xnorm[]$  in each subframe.

#### 3.B.11.2.5 Averaging of the RMS

An average RMS of the input signal,  $xnorm\_av[]$  is computed from the unquantized RMS  $xnorm[]$  as follows:

$$xnorm\_av = (1/M) \sum_{j=0}^{M-1} xnorm[j]$$

where  $M$  is the number of subframes per 80 msec. Also,  $xnorm\_av\_end$  is  $xnorm\_av[n\_subframe-1]$ , where  $n\_subframe$  is the number of subframes in a frame.

#### 3.B.11.2.6 Detection of the characteristics change

Any change in the characteristics is detected based on the variations in the frame energy and the spectrum computed from the input signal as follows:

$$DTX\_flag = 0$$

$$\text{if} ( |20 \log_{10} xnorm\_sid - 20 \log_{10} xnorm\_end| > D_{xnorm} \text{ dB} ) DTX\_flag = 2$$

$$\text{if} ( \sum_{i=1}^{lpc\_order} [lsp\_sid[i] - lsp\_av[i]]^2 > D_{lsp} ) DTX\_flag = 1,$$

where LSPs are normalized to a range from 0 to 1. A threshold  $D_{xnorm}$  is switched according to  $xnorm\_sid$  as shown in Table 3.B.14. A threshold  $D_{lsp}$  is changed according to the sampling rate; 0.002 for 8 kHz and 0.0015 for 16 kHz.

$lsp\_av\_sid$  and  $xnorm\_sid$  are  $lsp\_av$  and  $xnorm\_av\_end$  of the last SID frame, respectively. There is a minimum period where the detection is not made. The length of the period is normally 20 msec, but it is 0 msec during the first 40 msec of the non-active-voice period.

**Table 3.B.14 — Relationship between  $D_{xnorm}$  and  $xnorm\_sid$**

$20 \log_{10} xnorm\_sid$ [dB]	$D_{xnorm}$ [dB]
< 1.0:	6.0
1.0 ~ 5.0	4.0
5.0 ~ 9.0	3.0
9.0 ~ 12.0	2.5
12.0 <	2.0

### 3.B.11.2.7 Parameter encoding

The average LSP  $lsp\_av[]$  is encoded using the same process as that described in subclause 3.B.6 with the exceptions described in subclause 3.5.9.3. The encoding of the average RMS  $xnorm\_av[]$  is identical to that described in subclause 3.B.9, with the exceptions that the  $\mu$ -law parameters are independent of the signal mode and are set as  $rms\_max = 7932$  and  $mu\_law = 1024$ .

### 3.B.11.2.8 Local CNG decoder

Local CNG decoding is performed to update buffers for the LP synthesis filter. The processing is identical to the decoding process in the decoder.

## Annex 3.C (normative)

### Tables

#### 3.C.1 LSP VQ tables and gain VQ tables for 8 kHz sampling rate

**Table 3.C.1 — LSP table for the first stage ( $lsp\_tbl[0][Index][[]]$ )**

scale\_factor =  $2^{15}$

Index	Codeword				
	0	1	2	3	4
0	2465	3900	5664	7874	9529
1	2430	4275	6343	8750	11411
2	1911	2913	4284	6757	12988
3	2978	5317	8371	11095	14258
4	1878	2844	4285	6524	8539
5	2382	3849	5636	8795	13807
6	1171	1944	4453	9571	12822
7	3212	6003	9409	12522	15568
8	1755	2837	4879	8370	11087
9	2987	5164	7422	9876	12412
10	1895	2870	4047	7313	15485
11	1749	3596	7928	12060	15520
12	1545	2253	3430	5844	11194
13	1816	3463	6818	10315	13176
14	1919	2912	4709	10681	15270
15	3405	6578	11374	14885	17519

**Table 3.C.2 — LSP table for the first stage ( $lsp\_tbl[1][Index][[]]$ )**

scale\_factor =  $2^{15}$

Index	Codeword				
	0	1	2	3	4
0	16725	19702	22552	25746	27870
1	14891	18400	21563	24768	29116
2	18815	21093	23260	25905	28001
3	14648	18159	21499	24676	27025
4	16274	19321	22413	26092	29415
5	11847	19451	22070	25544	28584
6	20171	22959	25232	27632	29514
7	12579	16636	20917	23824	26623
8	16685	18912	21352	24430	27213
9	14427	18039	20139	26405	28635
10	17223	20497	23557	26856	29546
11	14627	17482	19981	22769	26192
12	14411	19945	22550	26869	29219
13	11882	16454	21931	25698	28836
14	18194	21846	24892	27871	29977
15	13161	16571	19143	23542	28717

Table 3.C.3 — LSP table for the second stage of VQ without interframe prediction (d\_tbl[0][Index])  
 scale\_factor = 2<sup>18</sup>

Index	Codeword				
	0	1	2	3	4
0	-1126	-109	2708	-1644	4815
1	-2453	2636	8784	5571	-1482
2	-1303	5382	2696	7113	-4855
3	2833	-70	915	1226	-223
4	3977	1246	-3288	-719	6271
5	3881	5460	5880	3784	-2910
6	-465	443	-2027	4891	2781
7	7186	8149	-6311	785	766
8	3509	4478	5088	354	3239
9	-2612	-1774	8384	2234	3546
10	455	-565	17	10318	-2947
11	3618	6966	7303	-7657	4683
12	-3251	-3354	-2990	6106	10100
13	3272	4496	5938	-3011	-3413
14	-1571	-2953	-4055	10707	3887
15	6610	11258	-11073	-1875	9146
16	661	5993	-567	-2306	8104
17	-2670	8858	6054	-96	1956
18	-4109	-3480	3662	11658	-1416
19	-200	425	3682	4465	-3850
10	6475	-1500	5350	6261	570
21	3196	3229	8497	2406	-8489
22	751	3263	3153	6347	2053
23	4730	7170	-728	3346	-5906
24	1520	1650	1984	5703	7990
25	-4481	-4064	15246	6561	-4680
26	1745	3676	7627	12515	177
27	2901	2443	2531	-5361	2756
28	988	-4549	-1624	404	9058
29	6914	11203	8517	683	-1274
30	-3178	-6034	10596	20414	12845
31	6578	8953	-2591	-7687	6476
32	4211	104	3424	-301	8485
33	-2034	1585	11201	-5153	1532
34	1639	2492	5533	10513	-8746
35	2358	5574	-808	-495	-316
36	3080	3666	-5363	6444	6223
37	9041	1554	2776	1534	-8749
38	-1962	-3634	1107	5018	4525
39	6003	9151	552	2144	5896
40	3118	4920	10734	3848	3150
41	-4584	-3459	4470	988	10814
42	4337	2406	-5712	8307	-3022
43	-675	3459	7994	-2264	9644
44	-1961	960	-1511	1664	8638
45	5874	10566	6805	-12232	-4384
46	1303	1031	231	12526	3738
47	11179	1372	-10700	1154	9015
48	573	758	906	-7236	10705
49	-5865	14935	10133	563	-8644
50	-2600	-2868	6607	8258	4025
51	244	-983	7562	-440	-2133
52	6886	-5508	-2676	5119	4081
53	-1360	4510	12050	-1224	-5202

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54	-6719	3411	2672	5165	3416
55	6765	10685	-3144	-5258	-5219
56	891	4010	6076	11065	12632
57	-6906	-6860	13524	3954	4216
58	6686	6774	894	9019	380
59	11611	2335	203	-1753	1440
60	1729	725	-5941	624	13626
61	9827	13514	8008	-2229	-11638
62	-2343	-7659	-7405	15476	14625
63	16104	22055	-1580	110	3939

Table 3.C.4 — LSP table for the second stage of VQ without interframe prediction ( $d\_tbl[1][Index]$ )scale\_factor =  $2^{18}$ 

Index	Codeword				
	0	1	2	3	4
0	-784	4977	10919	2178	-7243
1	-1182	-3519	5460	3383	6735
2	2546	6242	2843	2291	6144
3	6510	-2312	3823	-3920	5884
4	-166	1153	4178	2694	-24
5	-6243	6349	-1005	7150	2470
6	2245	6605	2211	867	-3388
7	326	341	-2498	2721	5056
8	7981	2249	3442	4998	2602
9	-6443	-87	11399	890	2895
10	3935	1676	-5725	10571	2817
11	1083	-1790	-950	1243	15407
12	5676	7745	-1065	-6090	5950
13	-383	7422	7295	8602	2479
14	6200	768	8053	-2876	-2433
15	2730	-6624	2061	11171	923
16	-496	1617	4072	10057	-7194
17	4849	-5669	11789	3548	-816
18	-911	8873	-5233	1736	8291
19	-3367	4160	5035	-4114	7573
20	5630	810	-1134	573	-292
21	-6603	-703	5740	10320	2070
22	2973	12420	4445	-4076	-5042
23	10283	622	-7195	1350	7339
24	10608	-1538	1579	5882	-6505
25	-8052	10656	7008	-292	1287
26	4279	8612	-5498	5182	-3520
27	-5223	-2292	523	9511	13373
28	11418	5020	422	-4211	-911
29	8496	7600	10246	12203	12798
30	2299	5319	13330	-8096	1792
31	7693	-7706	-249	4207	6541

Table 3.C.5 — LSP table for the second stage of VQ with interframe prediction ( $pd\_tbl[0][Index]$ )scale\_factor =  $2^{19}$ 

Index	Codeword				
	0	1	2	3	4
0	2532	3998	7136	9485	5083
1	-2097	5173	3711	4349	-2269

2	3145	936	-3045	4502	3310
3	-2425	-3497	2046	7254	4988
4	-1978	-2428	-5680	11862	7647
5	4003	306	4245	-2666	1903
6	1961	-2177	1126	120	9677
7	-1550	6306	8296	-4272	1462
8	1651	1603	5298	10637	-10274
9	3951	10014	1254	4008	-10934
10	6296	9279	-7936	1487	3241
11	-2658	-794	12908	609	-3544
12	631	1808	4942	10243	14943
13	6384	8886	7088	-543	7770
14	-707	482	6315	-6654	11595
15	-11649	12236	13527	9612	-2440
16	4684	5285	1631	18867	6223
17	3528	4132	7496	-15	-7553
18	2181	360	-3133	12049	-1862
19	-2449	-1085	9723	2395	6722
20	-560	-3142	-6080	28195	10042
21	4649	5443	-54	-8917	3778
22	-2700	-4856	-5676	10230	23087
23	4181	6107	16421	-6980	887
24	-4017	-2248	10625	12374	-2242
25	12560	13793	6109	843	-11284
26	16905	2231	-1388	-3055	2074
27	-2914	-1769	27848	7691	-3565
28	2504	5855	4073	3666	25794
29	16701	15278	9923	8078	1800
30	4846	4518	-3418	-5273	13037
31	4344	7195	13937	5995	-1118
32	6069	9436	4428	9661	-1595
33	2587	-1877	4404	5849	-2742
34	-2951	10357	-5009	10431	-1785
35	9470	-8852	10152	4690	-1396
36	5616	1774	-12799	12062	7965
37	-1384	6827	514	732	6505
38	-2066	990	-5485	2642	14029
39	6054	9511	5459	-6155	-3512
40	3894	5517	7460	19731	-12932
41	6945	6225	-1180	807	-3202
42	8980	9279	-16470	-2140	14198
43	-6027	-2368	20039	-3642	6502
44	6729	5214	-821	8497	9492
45	3454	8769	17467	847	14653
46	4004	7837	6595	-14235	9321
47	-3091	23678	7283	-149	8
48	4511	6737	14600	21923	4316
49	2426	8975	16750	1587	-14478
50	12018	6049	-5398	11537	-6297
51	-12145	8219	5436	-1020	10428
52	-3522	-6973	2794	16587	9151
53	9935	16017	-3390	-8287	1157
54	-5035	-5864	3814	2300	16845
55	7871	13250	13574	-14814	-5398
56	-1223	-1589	2711	21386	-3234
57	24577	29116	4713	-6772	-11585
58	10902	-8650	-4718	3868	10261
59	-6979	-10767	20809	14282	10494

60	3887	7227	11996	22138	24134
61	10278	16482	-939	5802	13611
62	924	-278	-2632	-11433	23190
63	6606	19360	25601	8376	4412

Table 3.C.6 — LSP table for the second stage of VQ with interframe prediction (pd\_tbi[1][Index])

scale\_factor = 2<sup>19</sup>

Index	Codeword				
	0	1	2	3	4
0	6216	-10079	2425	14328	263
1	444	660	4677	5548	1890
2	-9634	3530	-1050	15523	4278
3	-7425	10943	-3973	378	14153
4	1564	4930	8272	23911	-7335
5	-3677	13414	8730	-1472	-6113
6	-8226	4572	12153	-2383	10206
7	5960	5150	-8113	11969	176
8	9559	1543	-1145	1563	-2662
9	19979	1828	9044	10797	90
10	-6465	-6562	1897	5629	15698
11	5548	6185	4786	-5789	4433
12	2335	-3422	15593	5129	-6218
13	-250	3805	20165	-10762	2234
14	4761	8374	7904	10727	14375
15	2897	14078	-5945	-3216	1636
16	20354	-10762	-5133	5894	2845
17	8021	-7160	10153	-3581	7498
18	1747	-3572	-8747	21621	14054
19	7631	1608	-8888	2778	12518
20	10893	13976	3339	6689	-11601
21	7958	24421	15064	-14485	795
22	-19013	20319	15065	12309	6725
23	-3356	14533	498	9132	-1070
24	22372	6674	-5593	-7807	2231
25	17496	3465	14129	-6401	-7937
26	10957	-11012	5450	8484	19068
27	8076	4615	132	-13695	17504
28	-7926	-6788	19762	13593	5532
29	3638	11875	23191	6498	416
30	10807	25123	27312	12296	21037
31	11303	22562	-3922	6445	9484

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Table 3.C.7 — Gain VQ tables for narrowband CELP coder (subframe 10 ms)

scale\_factor = 2<sup>14</sup>

Index	Mode 0		Mode 1		Mode 2		Mode 3	
	Codeword		Codeword		Codeword		Codeword	
	0	1	0	1	0	1	0	1
0	96	281	368	936	1306	2931	3022	6368
1	845	8737	5856	5202	7476	4118	9583	2479
2	871	6180	986	9557	5780	4373	7846	2595
3	4506	9494	6509	10788	12130	3716	11850	2593
4	709	4694	967	7335	5905	12020	1480	10720
5	706	10947	8873	6200	9709	3898	12085	5902
6	3881	6269	1282	15500	8719	6102	9043	4222

7	1279	16019	10471	17218	14796	9719	14434	3000
8	716	3313	1125	5115	1100	9653	6162	3034
9	3065	9462	6809	8187	9908	6467	11563	4303
10	3005	8360	4195	9873	3435	8728	10165	4356
11	7511	9871	8713	9682	15981	5240	12613	3700
12	2311	4285	3743	7146	2705	17876	7575	5897
13	3107	12901	11690	6429	11973	5831	14900	5233
14	5864	8939	2797	22418	9299	8816	9774	8479
15	5868	19081	19571	9804	23501	8763	17281	6495
16	557	2243	772	3424	1928	6882	6070	5420
17	2011	9153	7457	5735	9521	5312	10837	4086
18	1825	7239	925	12834	7829	5600	9652	3576
19	5558	11154	7986	12868	13957	4168	12966	2753
20	1952	6072	2791	9451	9505	11808	4916	12059
21	594	12315	10061	7133	10872	5904	12947	6324
22	5426	6526	4846	15527	8007	8422	9093	5935
23	3158	22812	14455	15456	13886	14573	15696	4124
24	2101	2949	3656	3962	1060	13149	7980	4211
25	2963	11141	8574	8122	11217	6998	12292	4651
26	4469	7696	4343	12456	5831	7830	10306	5719
27	10380	14515	12104	10043	17088	8137	13752	4874
28	3604	4931	5513	8467	8486	19002	7582	9357
29	5220	13359	14517	8918	13544	7879	14949	8191
30	7338	7999	7715	21994	11773	8537	11595	9334
31	9446	26080	20331	24179	22611	14536	23132	8850
32	493	1250	1037	2067	3707	4104	4637	3690
33	760	9893	5958	6948	8662	4462	10800	2566
34	764	7523	1116	11096	6600	5919	8773	3183
35	4708	10373	7971	10992	12729	4786	11969	3522
36	1639	5099	2369	7838	7255	14492	3708	9061
37	1750	11533	10381	5297	10847	3952	12039	7252
38	3557	7381	2307	18621	8907	7296	9639	4995
39	2017	18755	14314	20305	16349	12224	14379	4154
40	1426	3783	2609	5638	2162	10979	7052	3907
41	3637	10224	7307	9336	10293	7768	11653	5121
42	3775	8693	4999	10883	4576	10169	10873	5023
43	8861	11649	10093	10929	18764	6195	13094	4351
44	2766	5362	4448	8137	3795	25643	8457	7271
45	4064	15446	14209	6152	13187	6012	15496	6400
46	6091	9979	3392	29021	10528	9754	10810	7304
47	9807	19395	26110	13673	28108	13457	19747	6402
48	1323	2190	2146	3452	4667	6562	6163	7827
49	2185	10203	7695	7195	10418	5010	11242	3436
50	2308	7885	2734	13459	7471	7123	10368	3358
51	6892	11954	9775	13841	14328	5757	13550	3585
52	2820	6600	2876	11267	11101	13711	7341	20130
53	1252	13617	11657	8071	11569	4879	13531	7617
54	5622	7800	7343	16406	7996	10345	9750	6737
55	2966	28962	19660	15528	17861	17087	17267	4304
56	3336	3610	4366	5732	3443	13095	8562	5111
57	4297	11656	10011	8757	12345	7093	12939	5312
58	4812	8669	6094	12674	6487	9379	11206	6105
59	15465	16210	12367	12693	19647	10249	14038	6109
60	4959	5156	5834	9531	13310	23800	9634	11944
61	7145	14652	16105	12086	15149	7295	17026	9771
62	10026	9255	12981	28541	12619	10600	13830	10845
63	19180	26086	27798	24140	25784	23526	22790	15754

Table 3.C.8 — Gain VQ tables for narrowband CELP coder (subframe 5 ms)

scale\_factor = 2<sup>14</sup>

Index	Mode 0		Mode 1		Mode 2		Mode 3	
	Codeword		Codeword		Codeword		Codeword	
	0	1	0	1	0	1	0	1
0	391	1069	961	2363	1259	3029	1668	2677
1	5628	8023	8086	10164	7429	3747	9023	1963
2	829	8075	1156	9804	1329	10359	7434	2339
3	4140	12001	12362	4422	12439	2804	13857	2348
4	2737	7627	4699	4094	5954	3003	2904	5219
5	6954	10082	7567	4483	10677	3109	12795	2244
6	605	11822	1718	15709	8353	8941	10352	4793
7	1641	23690	19114	6013	17744	4540	18047	3357
8	915	5938	1056	6890	1308	6536	5974	2422
9	6832	11666	11421	10629	10975	4609	10554	2002
10	2738	9942	3921	9479	3023	17711	7977	6447
11	5245	16314	14333	7447	14874	3557	16346	2783
12	4451	4950	5972	9629	7137	5548	6258	10555
13	9248	11696	10037	7031	12123	6395	13598	3833
14	1108	14505	2485	24553	13258	7967	12066	4764
15	9896	17912	19492	12937	25939	9249	23084	5066
16	1237	4207	2899	3481	3041	2522	4664	2572
17	5410	9776	7877	13160	8970	3281	10241	3399
18	580	10144	1026	12705	5216	13404	9338	3486
19	4300	13531	14297	4156	12858	3999	14940	2521
20	4145	7719	6914	6858	4521	5187	2490	9940
21	9812	9792	10276	4339	12021	4928	12790	3475
22	2725	11800	7859	16648	10923	12399	11570	3269
23	7439	23129	23881	7023	23428	5987	18230	5831
24	2542	5383	4367	6669	4047	10576	7302	4612
25	6683	13086	12151	16983	10998	7464	11722	2116
26	4040	9861	5580	12745	5804	25533	11106	6224
27	9643	14815	15398	10431	16073	5571	15755	4799
28	7251	6897	8435	7460	9627	5713	4223	20978
29	13087	12577	12206	7124	14679	5729	14597	4329
30	1583	17435	11352	23655	17201	9850	13704	6495
31	17862	21631	21928	18475	23768	15557	28103	5726
32	1086	2604	1268	4528	2766	4553	3367	2373
33	6469	8905	9508	11003	8530	4977	9815	2508
34	1789	9034	2644	11119	1703	13131	8312	2981
35	5401	12323	13316	5662	13683	3154	14272	3294
36	3326	8775	5811	5419	5951	4597	5456	6165
37	8041	10614	8917	5781	11722	3697	13293	2960
38	1419	12776	2697	19079	10012	9407	11288	4662
39	4159	28738	19686	9274	20011	5339	19885	4449
40	1901	6811	2751	7956	3462	7679	6681	3515
41	8160	12688	13169	12490	10860	5906	11013	2739
42	3468	10893	4638	11131	8584	17996	9664	7087
43	6930	18050	16591	8026	16056	3835	16828	4183
44	5196	6583	6736	11176	8007	6954	9638	13184
45	10702	12680	10952	8527	13506	6436	13697	4894
46	3165	15136	5373	28942	14178	10526	12509	5786
47	12581	20934	24291	12982	29840	8673	25353	8792
48	2697	3664	2949	5624	4445	3303	5300	3932
49	5964	10859	10292	13748	9922	4299	10959	3752
50	1801	10841	3357	13330	7808	11753	9382	4964
51	5642	14198	16184	5465	14082	4498	15360	3579

52	4643	8881	7335	8619	5797	6905	2593	14049
53	11948	8660	11005	5798	13093	5209	12874	4452
54	2959	13080	7186	20738	14391	15928	12077	3842
55	11554	27975	29648	11305	21401	8985	20987	8017
56	3619	6425	5338	8052	6216	9336	8459	4302
57	7612	14974	16002	19976	11916	9009	12211	2850
58	4763	10908	5258	15290	16067	25296	11966	8220
59	12680	16015	16309	14492	17912	6929	16506	6487
60	8164	8664	9310	8810	9607	7222	9287	25100
61	18715	14800	12917	9000	15344	7661	14840	5640
62	3702	19285	17523	27956	19372	13134	14961	9721
63	21995	27675	27604	24296	29648	18568	30284	10468

Table 3.C.9 — Filter coefficients for adaptive codebook interpolation (for 8 kHz sampling rate)

scale\_factor = 2<sup>15</sup>

Coefficients.	Value
0	32767
1	31236
2	26910
3	20534
4	13174
5	5989
6	0
7	-4097
8	-6045
9	-6016
10	-4528
11	-2286
12	0
13	1768
14	2700
15	2750
16	2100
17	1068
18	0
19	-822
20	-1246
21	-1253
22	-942
23	-469
24	0
25	346
26	509
27	498
28	363
29	176
30	0
31	-123
32	-181
33	-180
34	-138
35	-72
36	0

## 3.C.2 LSP VQ tables and gain VQ tables for the 16 kHz sampling rate

Table 3.C.10 — Table for the first stage, lower part of the wideband VQ ( $\text{Isp\_tbl}[0][\text{Index}][\ ]$ )scale\_factor =  $2^{15}$ 

Index	Codeword				
	0	1	2	3	4
0	1143	1850	2663	3727	5954
1	1190	1963	2858	4886	6208
2	773	1128	1666	2979	6276
3	1443	2587	4221	5995	7901
4	1174	1842	2711	3795	4538
5	584	1103	2971	4905	6525
6	715	1124	1896	4198	6235
7	2006	3414	5142	6644	8172
8	1295	2233	3107	4179	5193
9	1400	2383	3809	5381	7268
10	1009	1540	2200	3365	6642
11	729	1567	3993	5900	7466
12	827	1284	2127	3769	5157
13	1066	1763	2925	5187	7259
14	1146	1767	2500	4182	7030
15	1999	3874	6449	8025	9364
16	858	1641	3267	4316	5789
17	1361	2327	3246	4400	6554
18	881	1269	1925	2947	5442
19	1696	3106	4766	6030	7295
20	990	1518	2218	3231	4121
21	942	1917	3716	5212	6448
22	525	871	2059	5076	6670
23	1198	2899	4793	6665	8389
24	1528	2668	3599	4566	5630
25	1501	2692	4132	5353	6512
26	904	1378	1924	3294	7600
27	722	1671	4608	6828	8449
28	984	1561	2432	4460	5426
29	748	1182	2763	6170	7946
30	926	1387	2016	4515	7881
31	1564	3114	5408	7424	9031

Table 3.C.11 — Table for the first stage, lower part of the wideband VQ ( $\text{Isp\_tbl}[1][\text{Index}][\ ]$ )scale\_factor =  $2^{15}$ 

Index	Codeword				
	0	1	2	3	4
0	7472	8934	10015	11175	13217
1	8233	9479	10725	12434	14154
2	7335	8745	10993	12330	13616
3	9920	11062	12168	13485	14666
4	6489	9325	10463	11799	13238
5	8528	9865	11277	12892	14502
6	7269	9858	11109	12483	14120
7	10116	11670	13053	14259	15501
8	6483	8177	9776	10950	12794
9	9462	10452	11420	12647	13795
10	7358	8940	9940	12541	13866
11	9136	10921	12617	14312	15907

12	5832	8075	11126	12420	13807
13	8475	10059	11736	13415	15033
14	6595	9134	10637	12879	14612
15	9831	11871	13624	15369	16869
16	7177	8241	10290	11834	12840
17	8737	9749	11079	12313	13420
18	8125	9201	10510	11858	13194
19	8977	10622	12235	13805	15243
20	6082	8849	10203	11627	14131
21	7740	9703	11425	13202	14809
22	7728	9142	10867	12826	14627
23	10868	12391	13674	14779	15831
24	6481	7886	8959	11538	13726
25	8873	10533	11925	13167	14460
26	7523	9139	10338	11718	14253
27	9226	11198	12943	14965	16628
28	6355	7787	10010	12579	13995
29	8071	10160	11937	14095	15765
30	5708	9623	11333	12828	14479
31	10737	12670	14292	15797	17066

Table 3.C.12 — Table for the second stage without interframe prediction, lower part of the wideband VQ (d\_tbl[0][Index][ $\square$ ])

scale\_factor = 2<sup>19</sup>

Index	Codeword				
	0	1	2	3	4
0	-2586	3968	2886	204	5966
1	1012	1572	-2381	4464	887
2	1167	1363	3292	853	1003
3	2042	3034	7092	3956	5132
4	4979	4760	-9300	-134	10831
5	-2905	-2776	674	2514	7577
6	3569	4039	2941	-3478	-1578
7	-1710	-548	3294	5376	3153
8	2186	3100	660	-1778	6145
9	-957	-795	3033	11341	-3589
10	4970	-4147	6490	4298	-572
11	417	7710	3470	273	-1453
12	-7	1046	-4507	2892	6555
13	-714	-1568	-2967	7020	4636
14	3772	3302	451	2446	-4140
15	2578	4384	9710	5358	-1217
16	-2532	-4202	7133	1880	5630
17	4804	5348	-3984	7228	3642
18	1111	3491	-1114	-430	1191
19	2678	3617	7802	-1392	-192
20	3806	8452	-2869	-3324	1352
21	3318	-6471	-1011	3546	7725
22	-3433	9091	3886	-6341	3227
23	2265	2960	3294	6694	-768
24	591	-253	91	-2479	9720
25	817	1668	4829	2207	-5378
26	6623	-2303	-3276	7908	1206
27	-4181	2006	12396	-134	3092
28	1687	1536	1396	4260	7281
29	-1745	-5444	996	9423	3266

30	6297	6104	5194	-160	-4582
31	-2136	-1987	7585	5756	-1961
32	738	1096	5525	-4589	4666
33	-645	-1470	265	5541	-1617
34	-2338	-431	7307	-347	-301
35	5627	6740	3288	2521	2080
36	10415	8027	-9823	-2155	3777
37	1325	-1970	-95	350	4293
38	3441	4597	5252	-8180	46
39	-6571	1403	-214	6608	4145
40	5454	3212	-1819	-6519	5840
41	1182	419	-1725	9004	-5253
42	7910	-2008	3452	-1016	4758
43	-3129	5539	2500	5557	-535
44	-349	9416	-3637	930	7559
45	1518	-2084	-9645	11648	4557
46	10192	415	-1341	1486	-5110
47	-2889	-1897	16428	3547	-5875
48	-3242	-265	6994	-2384	10971
49	5179	8334	-3396	4486	-3902
50	6665	2127	-3112	-489	1744
51	2773	6348	11843	-2666	-5595
52	8771	10848	112	-4867	-2993
53	-2051	-2805	-5007	3121	13573
54	4260	7545	5957	-4577	6355
55	1229	1851	2712	10833	3892
56	2501	4189	-323	-8917	13459
57	1756	3967	4998	7420	-8324
58	11279	-2442	-5259	2201	6640
59	-9527	9310	9443	2568	-1220
60	2819	5071	951	2904	13584
61	-3158	-4143	2986	14946	13769
62	-241	15823	5566	-2882	-8545
63	-4884	-7481	12990	9516	2628

**Table 3.C.13 — Table for the second stage without interframe prediction, lower part of the wideband VQ  
(d\_tbl[1][Index][])**

scale\_factor = 2<sup>19</sup>

Index	Codeword				
	0	1	2	3	4
0	-2443	7932	-738	6162	-4136
1	-677	2914	7398	3994	-3822
2	-880	11860	48	-2227	3747
3	4653	-2433	-645	1289	5774
4	-5992	6932	4819	-4768	8843
5	4269	2134	4339	-913	-4016
6	263	2727	191	-150	822
7	3804	4965	330	3122	-3902
8	8235	-4048	447	3751	-2411
9	-10260	3203	8066	6308	472
10	33	2151	-404	6877	6237
11	6166	884	1015	-712	240
12	204	-3409	1364	5262	1605
13	1922	-2206	5780	510	1024
14	1655	4433	8847	-1457	4321
15	5754	7462	709	-1632	252

16	3275	830	-4011	5372	166
17	3562	7869	5134	71	-10033
18	-2276	8828	-7269	3063	4892
19	9444	-1435	-8008	3165	6199
10	-2110	3531	8135	5994	6521
21	11605	-5656	1614	-2073	3288
22	2976	3726	3134	3191	2662
23	10317	4135	-865	4701	3892
24	2399	469	2879	9433	-256
25	-2899	7059	5111	-1257	-1483
26	-643	-1379	-6800	4876	10284
27	3759	4372	-4707	714	6033
28	-3760	-4921	2991	3895	7671
29	71	-7924	6377	8260	1392
30	7179	3685	2958	-4074	7007
31	11439	2351	2992	-1922	-6670
32	2341	8850	-929	8357	1839
33	-2267	6105	13450	-523	-4823
34	1194	6805	-3370	-6589	7559
35	5727	-6273	160	4685	10448
36	-4980	-363	9224	-1874	4153
37	4819	-1241	10584	-1704	-4963
38	-3671	1662	2849	2825	1633
39	7957	1782	-753	8559	-7203
40	5991	-4262	7252	5705	-6894
41	-6883	5544	-1600	9315	2474
42	-1126	635	919	-748	7096
43	8914	1872	-3524	-5301	3577
44	-5824	-2532	1377	10418	2894
45	3915	-5883	8429	374	5885
46	2308	7252	4975	-7637	1080
47	4649	10765	-5746	1833	-2783
48	4233	407	-10181	10870	1626
49	6270	10391	8420	2016	-1259
50	-6408	5141	-729	1832	8457
51	5277	-5990	-2125	10813	4036
52	3332	5508	1806	2232	12391
53	7024	-1108	7624	-7499	1621
54	8546	280	6937	3987	1828
55	10174	1977	-4947	1058	-2864
56	-2769	315	4868	12245	-6828
57	-7240	11848	8060	3016	-3061
58	6405	-892	-5941	-3827	13649
59	9181	8383	-9470	-2700	6218
60	-5669	-1140	3997	10502	15094
61	-2045	-3486	12851	5447	-72
62	810	-2441	4093	-5399	11607
63	11810	9801	-1199	-7897	-3987

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**Table 3.C.14 — Table for the second stage with interframe prediction, lower part of the wideband VQ (pd\_tbi[0][Index[]])**

scale\_factor = 2^20

Index	Codeword				
	0	1	2	3	4
0	-423	8162	3591	1027	-1393
1	1510	-976	2127	-1862	5588

2	2712	1163	819	1966	-1098
3	1486	112	-2991	9568	-622
4	1310	1001	4839	7098	-5310
5	-1708	-4724	-1417	5607	9148
6	11270	12024	-9823	-2764	5319
7	-752	-1163	2297	5558	2639
8	3631	5562	10288	-3185	6849
9	-593	5589	3305	-8302	17200
10	6747	228	-4789	2016	3751
11	4539	5167	1948	9513	983
12	3071	22303	16697	3399	2876
13	2795	5989	1847	3168	6673
14	6878	6170	-824	2331	-7044
15	-2295	-6294	14996	1112	2109
16	2489	5774	5387	-8274	422
17	3293	6658	-3129	-4113	5510
18	664	18193	-504	9089	-5500
19	7492	6943	-7597	12275	-4567
20	2269	5895	7606	115	-7688
21	1370	1789	-6680	7488	7975
22	9523	12672	5301	-562	8445
23	1098	394	7784	-681	-368
24	-6107	6257	5386	-2910	5967
25	2687	3503	3902	2818	15370
26	7294	-7244	3126	1692	7991
27	55	447	153	16418	-8055
28	4039	4046	16811	2263	-6952
29	1351	1697	2436	10875	9000
30	5744	13856	-963	-4810	-3509
31	-4506	-709	5657	3139	7615
32	7301	2845	3243	-3558	-1997
33	-2526	-3081	6945	-4163	12184
34	2301	7126	-4694	3079	928
35	-1226	-3968	-3739	17350	7246
36	4379	5219	5428	9210	-12915
37	-1230	759	-3616	-536	11064
38	1094	8486	-12784	-556	15950
39	-2749	-4054	5048	12674	283
40	-2930	4167	14576	-11640	1847
41	4466	-561	-3958	-6857	19397
42	16820	3128	-3428	-6008	2652
43	2865	5115	8956	15768	-1583
44	-7399	25928	2084	-1257	3236
45	4036	4005	10262	6870	4940
46	11239	10153	6019	6280	-1579
47	-599	-6625	21393	13356	-4515
48	4022	12032	1243	-16378	5832
49	2807	2194	1544	-7964	8298
50	-7914	7848	-476	9582	1789
51	16219	2978	-4132	12303	5813
52	5665	8958	11103	-7796	-6245
53	3759	-5038	-9499	8712	16729
54	9512	16283	-1075	10111	12046
55	-5294	3076	10980	5078	-2573
56	-9705	8933	17367	5422	10101
57	-4006	-2796	-530	5854	18467
58	10783	-5541	5154	7238	-3177
59	2381	1238	2707	24266	2222

60	-8717	14128	16220	7269	-13329
61	2432	4218	6801	15890	16440
62	22723	16656	2318	-4151	-12759
63	-4697	-7096	9352	11102	11601

**Table 3.C.15 — Table for the second stage with the interframe prediction, lower part of the wideband VQ  
(pd\_tbi[1][Index][])  
scale\_factor = 2<sup>20</sup>**

Index	Codeword				
	0	1	2	3	4
0	9444	1828	-1379	664	1434
1	4316	-2360	7487	2691	232
2	-11548	800	13052	5765	8617
3	641	2689	-924	8929	-203
4	4737	814	2443	-6190	9333
5	-3842	-3076	909	7521	8486
6	1278	7620	3374	2521	-7664
7	-1781	4195	2105	-819	3093
8	15423	-3892	-9834	10664	448
9	9649	-731	3882	11712	7743
10	9704	3535	14839	4161	-9247
11	-5719	-2397	7548	9921	-2687
12	6872	11224	1951	-5952	-575
13	-11306	6686	-2318	8593	12745
14	-8832	10229	3631	5130	-156
15	2783	-624	-6826	3757	11469
16	8302	7502	-5167	6202	-7792
17	6088	-5881	94	12905	-2917
18	-113	-6158	15625	-3577	6335
19	2693	3359	-10442	15397	3406
20	13212	3987	-4853	-9899	13329
21	9749	-10490	2505	2257	9095
22	-1472	7684	11857	17536	-1232
23	1323	13374	-1514	-11289	12611
24	1977	-11506	-5158	16140	11268
25	13178	-4523	8932	-9732	5223
26	-3782	6838	11830	-3381	-598
27	-13884	-850	3082	23106	4970
28	19156	4585	235	-2255	-7658
29	4708	11415	1083	5521	11720
30	5705	12002	9256	5396	1247
31	953	10260	-6028	2010	1594
32	12552	-4987	5014	3963	-5039
33	6338	-10086	16190	8226	-4857
34	-8543	4389	3591	-3744	12922
35	-4168	13190	-3595	13328	-857
36	1596	6948	13535	-8044	11255
37	-2168	-13544	9163	11309	6468
38	-701	19627	8271	1945	-13273
39	473	3278	8118	4081	9567
40	14675	6431	-12422	276	4922
41	17014	5380	10270	1179	8012
42	7791	2301	9203	-6660	-3391
43	-6446	2897	19837	5364	-8208
44	16528	17154	767	-17143	-891
45	-12809	-2839	-419	10518	27038

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46	-15472	21829	13893	7094	3026
47	-2340	10375	-10220	1851	13771
48	15023	9591	-166	12676	107
49	5362	-5174	6927	27166	-3086
50	6180	2204	18574	10349	9697
51	2408	8231	1457	21820	11880
52	1460	-408	-1465	-6386	27823
53	-427	-8411	6757	1010	18652
54	1356	5111	6358	15292	-14303
55	-2694	20171	5540	-3881	4060
56	11474	1094	-9006	9698	21887
57	23666	-7657	-1296	-414	5498
58	3539	11764	23025	-12228	-1961
59	-10130	-6581	24949	21344	10365
60	15803	16471	5757	352	-12586
61	-1231	13685	11266	7257	22928
62	7389	25109	10922	15030	2091
63	7499	21420	-7188	2244	1319

**Table 3.C.16 — Table for the first stage, upper part of the wideband VQ ( $I_{sp\_tbl}[0][Index][i]$ )**  
 $scale\_factor = 2^{15}$

Index	Codeword				
	0	1	2	3	4
0	14931	17260	19135	20731	22466
1	16130	17759	19272	20469	21832
2	14608	16251	18746	20647	22478
3	16753	18362	20121	21770	23327
4	15552	16841	18257	20245	22266
5	16539	18104	19687	21273	22868
6	15101	16611	18049	19528	21357
7	17953	19286	20727	22128	23608
8	15756	17140	18998	20941	22707
9	16502	17762	18956	20549	22556
10	14473	15588	17705	20283	22335
11	17433	18714	19989	21305	22774
12	15968	17244	18508	19837	21410
13	15937	17691	19538	21274	22907
14	14948	15926	17038	19218	21851
15	18442	19887	21423	22906	24280

**Table 3.C.17 — Table for the first stage, upper part of the wideband VQ ( $I_{sp\_tbl}[1][Index][i]$ )**  
 $scale\_factor = 2^{15}$

Index	Codeword				
	0	1	2	3	4
0	23968	25814	27338	28667	30395
1	24526	26064	27151	28531	29485
2	24511	26318	27711	29022	30694
3	23743	25259	27101	29078	30925
4	23698	25736	27594	29289	30968
5	23930	25559	26778	28291	29305
6	25175	26565	27871	29456	31049
7	22685	24448	26843	28939	30843
8	24480	25808	27105	28900	30888
9	25107	26620	27630	28864	29742

10	24549	26242	27882	29551	31152
11	22947	25325	27402	29152	30891
12	24232	25919	27608	29356	31064
13	23232	24879	26331	28014	29310
14	25418	27091	28522	29944	31227
15	23582	25139	26655	28449	30535

**Table 3.C.18 — Table for the second stage without interframe prediction, upper part of the wideband VQ (d\_tbl[0][Index[]])**

scale\_factor = 2<sup>19</sup>

Index	Codeword				
	0	1	2	3	4
0	-2560	2297	6975	798	-1926
1	-2735	3872	-4564	8120	5746
2	4373	947	-955	-914	1315
3	-908	4401	2667	-1287	1377
4	-2268	5853	10025	-6673	-163
5	1631	-28	2810	1904	-776
6	4776	6142	-2947	949	1415
7	-2833	-2024	-1112	4600	7474
8	-3864	2739	1593	4064	2570
9	6155	-1553	5820	4596	3997
10	9695	1441	-7817	1020	3792
11	-7730	2722	1634	1598	10322
12	3962	312	9630	2830	-2605
13	-2899	2110	2715	8973	-2241
14	12594	3871	-1360	-3011	-1569
15	-320	2494	3735	6371	9300
16	-757	3321	8918	3096	3193
17	2877	2783	2616	3357	3051
18	7251	-1824	3237	-1791	-634
19	-1369	9286	-1718	2204	129
20	4206	8370	4473	-668	4288
21	-2354	1560	6156	-856	8537
22	8254	4987	-2811	-8196	8943
23	3138	-3705	-698	2210	5013
24	-6427	-949	6278	3290	2970
25	8151	2254	-2434	6749	-5431
26	10346	-3913	-5767	8392	2997
27	924	1305	-2580	-1451	7512
28	2445	6006	2659	5765	-2794
29	-925	-3269	4200	6055	1733
30	5123	4744	7229	-3713	-3367
31	-6848	-4226	1631	11032	8429
32	-4902	2443	11540	4342	-6701
33	3104	1331	-2367	7153	1612
34	4133	2777	577	-437	-2305
35	2507	7589	2359	-615	-3543
36	-2694	9975	2067	-5305	6002
37	-984	-139	1242	1991	2742
38	6947	9379	-9670	-2294	2472
39	2133	-6472	35	10186	4359
40	-7413	6480	4407	2173	1195
41	6511	-5078	1092	4486	-556
42	11374	-5247	-2568	-352	6938
43	-631	8186	-5089	571	10441

44	8932	-2634	5515	968	-7191
45	-6319	-2454	6844	14208	63
46	9519	4144	3772	2319	-76
47	7155	-182	1678	11743	12984
48	1535	6830	7371	8848	3087
49	7643	2726	-1115	3775	7386
50	5540	1143	5004	-5812	4505
51	-1943	11191	6790	166	-1802
52	12402	12273	2874	-1611	2355
53	-976	-4154	8899	-646	3132
54	4645	7836	-96	-7035	547
55	387	-7025	3243	1364	9505
56	-12238	2499	6829	6815	5606
57	5210	-2761	4878	11656	-3536
58	3995	-5308	-7682	5622	15238
59	3851	777	-876	-3333	15812
60	3980	3863	6117	4229	-9652
61	408	-9600	9596	6683	2041
62	11040	9818	2056	-4210	-9529
63	-6364	-3140	3061	12611	19828

**Table 3.C.19 — Table for the second stage without interframe prediction, upper part of the wideband VQ ( $d\_tbl[1][Index[]]$ )**

scale\_factor =  $2^{19}$

Index	Codeword				
	0	1	2	3	4
0	1422	1178	933	494	245
1	2062	-50	1059	-1613	8136
2	6145	-3291	537	1902	636
3	5415	1583	3075	-34	-5337
4	3988	3443	4127	3416	2860
5	-1029	557	-693	4690	2872
6	5403	1770	-3950	1666	1720
7	-4164	3395	5114	1181	2743
8	571	6317	1269	-501	-1899
9	-1421	5826	-3067	449	6809
10	-194	-3079	5512	3052	1681
11	-66	233	2800	7851	-3319
12	6361	3473	-317	-4739	430
13	-4976	-1191	2889	10022	8119
14	3194	-4129	-3960	7152	6974
15	-3360	5872	10195	3113	-5435

**Table 3.C.20 — Table for the second stage with interframe prediction, upper part of the wideband VQ ( $pd\_tbl[0][Index[]]$ )**

scale\_factor =  $2^{20}$

Index	Codeword				
	0	1	2	3	4
0	-1203	944	1720	3038	3535
1	5458	5668	869	7066	-6563
2	3802	4199	2277	-1104	839
3	1430	-1000	-4780	1722	12432
4	-3481	4866	15357	-7220	5939
5	-39	-2956	9749	3445	1807

6	7477	17963	-7474	-6183	-826
7	4002	5024	-6877	3408	2368
8	-8652	7341	-6437	11935	8628
9	-10816	6463	16189	4581	485
10	17072	2029	7080	4366	7505
11	7739	8418	-3875	-10078	9607
12	10534	-3599	12877	-102	-3909
13	-7772	-6321	8296	3489	14360
14	14615	2173	-10507	590	4864
15	-1143	-5842	158	11118	3919
16	5949	5520	2089	7716	7633
17	-2745	-3749	6564	27658	945
18	11687	-4594	216	-6389	7029
19	11556	444	-13192	-4181	21192
20	-873	7995	10019	-2129	-5377
21	-369	-7926	20397	8882	-7803
22	607	15613	8257	12527	3173
23	4507	-3390	-12943	14817	7604
24	-4219	10154	2618	-1573	2973
25	-1579	1587	8487	10408	-3916
26	8905	-8575	-663	8312	4636
27	3444	17398	4070	2	10376
28	2063	7578	24687	4928	-5119
29	-245	3940	9563	14485	11203
30	12855	7088	695	-5315	-4689
31	-10581	-7648	-1577	16167	14056
32	-3105	10468	-1419	6311	-852
33	14162	4204	-7633	11235	-5516
34	9829	-1206	427	868	-594
35	7368	-7729	854	5349	19156
36	865	1591	5717	-6359	13827
37	5506	-9137	11190	-1984	8665
38	2610	21044	4984	294	-8907
39	5334	14543	-12802	6947	8133
40	-4716	10487	-8174	-1002	16152
41	-14240	1302	8133	16156	3859
42	14699	2379	8972	19069	3086
43	-2564	16674	3571	-14974	9552
44	12229	3721	8738	4077	-13926
45	-9855	6261	4855	1123	13212
46	24316	9325	-5634	-5552	5707
47	926	3508	-4145	18955	-1468
48	6134	7499	13623	3666	5145
49	8844	-7849	4340	12907	-5543
50	9855	6391	10506	-11434	1879
51	362	-983	-13635	6571	30326
52	3270	15666	19579	-10066	-10388
53	1502	-10344	15691	14109	6226
54	13715	15044	2230	6362	1724
55	15769	1574	-8019	16234	14189
56	-14732	20228	5382	1847	1919
57	-749	6117	11215	16353	-16089
58	23579	-7536	-1287	6310	-1700
59	14786	17464	1283	7296	20111
60	14265	20437	23019	9216	7590
61	712	7336	11183	11507	27807
62	25661	16635	5441	-2706	-6497
63	-492	-7793	-2651	31751	22163

**Table 3.C.21 — Table for the second stage with interframe prediction, upper part of the wideband VQ**  
**(pd\_tbl[1][Index][i])**

scale\_factor = 2<sup>20</sup>

Index	Codeword				
	0	1	2	3	4
0	6381	-7650	4834	7646	932
1	-4305	8028	-987	6332	2983
2	-5563	737	7443	2389	1608
3	1154	3384	5233	10481	-7363
4	14733	4933	2231	1558	-679
5	-3648	10342	3735	-4610	10841
6	71	-2562	-1188	4491	13847
7	4948	10669	3642	-4753	-2807
8	4454	-5104	9349	-5458	8376
9	2866	1850	1176	764	635
10	-7310	251	9250	17609	8502
11	-4875	17621	12117	3826	-3023
12	17912	-5764	-7648	-132	8401
13	6281	11385	-8672	-577	4955
14	4289	-2614	-7635	14525	4348
15	2977	1457	15505	5	-7012

**Table 3.C.22 — Gain VQ tables for wideband CELP coder (subframe 5 ms)**

scale\_factor = 2<sup>14</sup>

Index	Mode 0		Mode 1		Mode 2		Mode 3	
	Codeword		Codeword		Codeword		Codeword	
	0	1	0	1	0	1	0	1
0	599	1298	921	1797	1035	4241	3783	2563
1	3784	8406	4936	4301	7553	3257	10037	3498
2	581	7957	864	7173	2967	3207	6451	1634
3	4942	10321	3850	13075	10901	3097	11975	1865
4	1300	6724	2314	7483	633	9042	6235	3533
5	6920	7215	7528	7618	12395	6988	11098	3753
6	513	10942	4555	10181	6634	6057	9183	3336
7	1201	14544	12644	8988	16328	3625	14991	2517
8	2061	5070	2986	4312	3984	7127	5117	1456
9	3884	9804	7037	4080	9791	5902	10326	1756
10	2461	9152	1032	10962	6958	4985	8423	1702
11	4957	14317	7835	16139	12333	3345	13414	2265
12	3262	7068	5031	7939	2677	15607	6757	5391
13	7729	8838	10302	9184	14873	9446	12594	5533
14	2628	12220	6918	12161	8031	6654	10097	4761
15	5519	17797	10732	18523	20272	3969	18268	4229
16	578	4716	957	5617	3512	4340	2775	5249
17	4880	9642	6512	6810	9787	3094	10657	2882
18	583	9435	2089	8670	5721	2457	8336	2738
19	6077	11871	2134	16662	11813	5534	13085	3145
20	2025	8112	3683	9109	3648	9315	7153	3994
21	6078	10223	6776	10350	11161	9303	11618	3622
22	2063	11531	5198	11228	7688	9861	8988	5010
23	1356	21967	20897	8812	18483	7804	17195	5592
24	3273	5001	3963	6995	5995	4324	6806	3000
25	4036	10986	11311	4569	11124	5030	11677	2654

26	2718	10100	3197	11103	8130	3983	9377	1700
27	8055	16469	2497	29313	13682	6394	14588	4414
28	5235	6729	5934	8224	8616	14962	7609	7663
29	8609	11771	13311	13135	19242	11679	12796	6707
30	4352	12357	9255	12338	9999	8627	11878	4405
31	11833	21206	15036	28428	23752	7325	20724	3765
32	1790	4058	2329	3522	1095	6554	5370	3292
33	4381	8633	5799	5809	9046	3700	10525	3564
34	1587	9070	712	9386	5436	3537	7507	1546
35	5464	11198	5621	15640	11831	4584	12685	1954
36	2114	7225	3309	8079	1663	10700	5032	5233
37	6342	9273	8588	8857	12567	8603	11014	4705
38	1049	12492	5986	9462	7326	8023	9579	3823
39	3465	18246	15150	6736	18316	4608	16558	3172
40	2105	6254	4042	6080	5767	8387	5723	2219
41	4167	10407	9659	5438	9854	6755	11015	1839
42	3145	9183	1125	13013	8103	5217	9185	2491
43	7151	14299	5957	21510	15111	4637	13715	3115
44	3977	7482	4620	8888	2714	23350	8086	5592
45	8389	10349	11301	10090	16607	11483	13967	5190
46	3110	14265	6781	14406	8558	7235	10273	5808
47	7255	21228	15508	21007	25117	4387	21764	6654
48	1433	5237	2623	5611	4727	6014	3007	10841
49	5360	9203	7340	6003	9910	4686	11079	2581
50	1704	10340	2353	10036	6966	4041	8503	3675
51	6913	11744	2367	22911	13097	5213	13125	4655
52	2805	8173	3744	10150	5318	12239	7923	4231
53	7179	10672	7985	10213	12904	11892	12798	3945
54	3314	11295	5753	11999	8800	11173	9491	6396
55	3660	28526	21722	13636	20381	6825	18735	7428
56	3566	6378	4921	6642	5664	6616	7668	2787
57	4754	11395	10095	7628	10784	6714	12416	2964
58	3440	10239	4533	11966	8944	5064	10021	2579
59	13254	17336	8867	24609	15539	6973	14814	5391
60	5003	8520	6950	9494	14154	17320	10893	8085
61	11698	12315	14671	15807	18446	20359	15172	8048
62	5358	12793	10619	13654	10920	7946	11769	6293
63	13834	29363	23354	21673	24703	15306	29030	6036
64	1217	3385	970	3773	2118	5206	4362	4087
65	3884	9153	6109	4402	8589	2983	10093	4046
66	1233	8428	1157	8314	4418	3302	7110	2223
67	5514	10509	4160	14769	11371	3970	12164	2471
68	1446	7421	3142	7222	2312	8066	6436	4471
69	6677	8470	8489	7821	13225	7445	11390	4214
70	1339	11251	5135	10444	7225	6896	9699	3222
71	1586	17391	13607	10505	16576	5367	15330	3342
72	2617	5358	3718	4905	4923	7648	4838	2611
73	4400	9853	8193	4698	10381	5612	10563	2324
74	2540	9677	2080	11469	7508	5739	8802	2189
75	5796	15608	8973	17349	13874	3817	14129	2471
76	3361	7818	5350	8771	5746	16868	7281	6389
77	9229	9006	10300	11272	16274	8794	13319	5727
78	3283	12954	8018	11970	9116	6473	10556	5058
79	7833	18892	12609	19254	21761	5505	19430	5621
80	736	5974	1800	6476	4088	5205	4194	6743
81	5356	9852	6698	7888	10437	3967	10904	3276
82	1098	10008	2877	8999	6536	3172	8778	3014
83	6480	12689	3018	19568	12560	5915	13384	3764

84	2334	8655	4221	9541	5040	9895	7468	4847
85	6612	10298	7310	11011	11744	10640	12132	3724
86	2724	11412	6218	11104	8850	9212	9534	5349
87	3089	24397	18307	11421	18131	9618	16750	7371
88	4095	5433	4410	7552	6247	5217	7326	3299
89	4110	11619	12974	5577	11048	5901	11946	3120
90	2826	10652	3268	12117	8630	4487	9801	2108
91	10339	16358	7854	30218	14324	7749	15442	4383
92	5729	7590	6501	8819	11463	15415	8741	8959
93	9284	13013	15291	12012	21497	13238	13494	8009
94	4363	13274	9151	14161	10102	10112	12006	5155
95	12772	25369	20681	29050	24798	10061	24790	3779
96	2540	4393	1865	4907	3107	6252	5651	4337
97	4601	9188	6652	5401	9552	4135	10617	4209
98	1906	9616	1543	9909	4984	4537	7929	2192
99	6196	11030	5841	18197	12631	4421	12787	2581
100	2652	7549	4054	8309	2985	12035	5928	6327
101	7174	9672	9234	9576	13356	9540	11438	5050
102	2112	13108	5889	10320	8105	8329	9540	4472
103	4464	20881	16440	8650	18603	6196	16418	4537
104	2765	6423	4756	5557	6585	9426	6273	2626
105	4730	10792	9195	6994	10171	7491	11462	2165
106	3263	9696	2380	13715	8574	5861	9549	2705
107	8523	14450	8870	21111	14774	5944	14338	3508
108	4606	7810	5135	9562	7565	20550	8669	6361
109	9922	10880	11802	11997	17612	15318	14223	6533
110	3950	15507	7771	13519	9210	7896	11060	5833
111	7844	24825	18328	22318	28486	6690	24442	8629
112	1831	5906	3151	6223	5524	5478	5793	9717
113	5887	9674	8244	6383	10514	4767	11358	3086
114	2181	10586	3024	10188	7608	4508	9006	4056
115	7632	12635	4519	25658	13976	5215	13784	4333
116	3134	8648	4096	11021	7163	12105	8454	4613
117	7613	11318	8930	10905	14556	12567	12439	4499
118	3485	12027	6291	13058	10252	12315	10265	7043
119	7791	29772	27639	14102	21184	9147	19895	10141
120	4323	6777	5660	7239	6410	7279	7963	3426
121	5219	11927	11532	7212	11596	6692	12610	3439
122	3547	10753	5058	13115	9448	5297	10245	2992
123	16531	19141	11854	23948	16924	7041	15764	5995
124	5738	8568	7630	8963	12211	24436	11009	10871
125	11457	14382	17618	16423	23208	23197	15748	10756
126	5953	13714	11619	15720	11784	7975	12010	7860
127	20557	25673	27408	24006	29628	14761	28518	13371

**Table 3.C.23 — Gain VQ tables for wideband CELP coder (subframe 2.5 ms)**

 scale\_factor = 2<sup>14</sup>

Index	Mode 0		Mode 1		Mode 2		Mode 3	
	Codeword		Codeword		Codeword		Codeword	
	0	1	0	1	0	1	0	1
0	623	1653	703	1600	925	1765	2183	1360
1	4977	7329	6999	7720	10136	2637	11461	1963
2	666	8305	773	4726	7284	2854	8235	1604
3	6899	10324	9384	5419	17693	3408	15241	1764
4	836	5878	5366	3996	3552	3626	6278	1420
5	8041	6466	1915	16812	13092	3610	13663	1633

6	758	10452	5218	9537	1252	8172	9952	1789
7	1744	17965	19240	5324	23891	4297	22255	2789
8	549	3524	3271	1975	930	4302	4727	1322
9	5259	9455	9741	10111	11475	7817	12848	3913
10	3357	7053	1016	7984	8913	5660	8032	5330
11	6477	14339	12019	10014	17203	6961	18901	2415
12	3465	4971	4268	6354	6085	3225	2755	4856
13	9498	11637	11057	19287	12780	7358	16607	5271
14	1162	13163	946	11254	1815	18430	9771	3962
15	14727	17847	18993	13700	21501	9618	24263	3548
16	2233	2742	2075	2655	2302	1585	3591	1370
17	6369	8148	9499	7235	10910	6438	12842	1755
18	2536	8587	2638	6053	8442	4614	8667	3083
19	7455	12035	12796	8078	20904	3754	16896	2250
20	1963	6182	7161	3209	4850	2132	7267	1518
21	9478	7743	2041	23208	15193	3309	14301	3564
22	3258	10620	6277	11342	1335	11752	11027	4360
23	2613	24379	24992	6118	30539	5101	21581	5803
24	2534	4510	3613	4377	5072	5039	5165	2753
25	4889	11162	7034	14112	10023	13225	14433	5337
26	3929	8618	3149	9271	4564	10549	2408	10035
27	10225	15484	14716	11416	17995	11869	18893	4618
28	5476	5282	5370	6841	7577	5740	7027	3594
29	12164	9762	15173	24543	14943	9463	18019	9866
30	3904	13407	4491	10826	14608	19852	10090	8292
31	16937	23095	29120	18723	25794	13415	28540	4549
32	719	2541	779	3070	2606	3061	3110	2077
33	5234	8582	8205	9287	11966	2871	12052	2613
34	1807	9290	859	6469	8410	2312	9039	1675
35	8211	9973	12740	5245	18666	5720	16124	2967
36	1498	7350	6175	5725	4664	3660	6560	2780
37	8017	8770	6764	16570	14048	4666	14753	2756
38	2227	10852	6582	9774	3404	7347	10781	2531
39	7023	18444	19832	10216	26317	4819	23634	5631
40	711	4714	4478	3309	3108	5525	5485	1551
41	5837	10768	10060	12511	13335	10213	13281	6175
42	4032	7748	2029	9324	10184	8061	9102	6383
43	8018	15511	12951	14163	19605	7670	20401	2633
44	4517	6184	4033	8206	6730	5149	5143	4680
45	12431	12041	14750	19319	14925	6376	17882	5722
46	1360	15172	1150	13784	2672	25528	10689	6099
47	21735	11850	21933	18982	25088	8345	25872	5708
48	3146	3669	2299	4178	3707	1495	4155	2796
49	6623	9260	11213	6767	12884	4993	13446	3316
50	2867	9706	2324	8065	10124	4932	10007	2862
51	9061	13290	14623	7410	21285	6916	17382	3230
52	2592	7688	7695	6137	5774	1902	7730	3213
53	10125	9396	5796	29402	16270	5653	15271	3473
54	3444	11804	7552	11915	5529	13556	11725	4997
55	9279	26510	25370	14528	28811	10300	23781	9389
56	2900	6049	4537	4853	5186	6805	5895	2900
57	6087	12216	9353	15797	10698	17281	15594	8576
58	4428	9889	3412	10687	8572	9470	4753	15856
59	9824	19814	15614	13667	21070	13055	20099	5645
60	6083	6650	5778	7953	7253	8188	6322	5956
61	14453	13532	19960	23752	13521	15297	18278	20753
62	4923	14800	4816	13435	19660	21913	12968	8969
63	24149	25073	26801	27969	26157	19536	29872	7509

64	1343	1958	1433	1972	1599	2840	1908	2712
65	5680	7722	7363	8708	10742	3638	12110	1597
66	845	9291	1692	5314	7933	3554	8326	2497
67	7852	10939	11043	4444	19097	3850	16095	1979
68	649	7044	6131	4275	4117	4580	6680	1974
69	8020	7694	4401	18565	14022	3151	14425	1890
70	1021	11649	5658	10466	3031	9278	10759	1586
71	4159	19643	21037	7928	24314	6271	22615	4297
72	1259	4010	3534	3208	1480	6154	4884	2127
73	5980	9889	10760	11043	12038	9471	13691	4463
74	4221	6962	791	9418	9774	6331	8909	4764
75	7905	13873	12597	11680	18157	8325	19421	3347
76	4371	5233	4516	7380	6633	3933	4270	5976
77	10680	12405	11586	22535	14104	7856	17412	4387
78	2586	13391	2157	12058	5314	19783	10044	4979
79	19007	19045	19934	16982	23597	10993	26254	3792
80	1980	3682	2644	3236	3174	2232	4178	1871
81	7128	8386	9236	8718	12090	5940	13302	2392
82	3176	8928	3308	6841	9449	4230	9172	3544
83	8469	12171	13966	9660	22246	5133	17895	2222
84	2427	6886	8753	3815	5250	2969	7755	2189
85	11337	7698	6214	23362	16354	3701	14966	4403
86	4069	10808	6373	12770	2903	14044	12013	3726
87	4726	29112	27001	8663	31096	8058	22199	7899
88	2566	5327	3626	5575	5905	5607	5405	3593
89	5277	11907	8489	13693	12120	12563	15585	5790
90	4537	9036	4155	9495	6506	10300	5333	8248
91	12014	17514	16827	10952	19001	15802	20115	4200
92	6832	5489	6418	6897	8057	6793	7221	4629
93	14981	10741	15658	29992	16610	10120	20225	11398
94	5273	13231	5049	11959	15893	27203	10868	11634
95	20157	28819	30803	23245	29259	15955	31248	4920
96	1319	2820	1504	3509	2374	4523	3315	3170
97	5901	8853	8577	10477	11889	4263	12697	2808
98	2006	10065	2016	6954	9045	3286	9333	2509
99	9150	10509	15152	4593	20107	5650	16662	3594
100	1775	8200	7212	4999	5640	4202	7201	2539
101	8961	9029	8028	19624	15144	4868	15429	2582
102	2385	11910	7351	10473	5328	8469	11381	3089
103	7377	22105	22295	12494	27918	6680	24775	7065
104	1734	5092	5147	2434	4266	6026	5886	2154
105	6678	11294	11181	13566	14762	12104	14331	7187
106	4702	8097	2271	10465	10433	10178	7955	9288
107	9089	17272	13586	16661	19612	10085	21153	3886
108	5263	6481	4910	8463	7461	4469	6051	4688
109	12274	14408	17041	20188	15871	7869	18924	6832
110	3309	15633	3010	14167	8007	29735	11824	6891
111	25017	18875	24828	19876	26724	9599	27400	7572
112	4402	3892	2859	4974	4059	2583	4363	3797
113	7410	9475	10800	8629	13674	6069	13961	2589
114	3733	9648	3238	7996	11064	5044	10569	3542
115	10146	13762	16439	8127	22951	7729	18249	3540
116	3305	8002	8169	7370	6582	2320	8174	4007
117	10831	10739	10658	28201	17431	5055	15913	4137
118	4326	12211	8946	11821	7740	15831	12650	5130
119	13330	28821	30514	12153	31223	12105	24856	13314
120	3668	6089	5168	5596	6491	6879	6295	3676
121	6832	12875	11177	16352	10034	22914	16956	7306

122	5036	10302	3554	12262	8204	11735	7943	19699
123	12133	22306	16900	16123	22923	16432	20369	7676
124	6891	7251	6187	8862	8955	7639	7342	6851
125	17114	15040	21821	28616	16435	14789	27140	20279
126	5790	16427	4909	15182	24024	27601	14456	13292
127	29293	29716	31068	29537	30256	23347	30549	11980

Table 3.C.24 — Filter coefficients for adaptive codebook interpolation (for 16 kHz sampling rate)

scale\_factor = 2<sup>15</sup>

Coefficients	Value
0	32767
1	31275
2	27042
3	20763
4	13437
5	6177
6	0
7	-4356
8	-6550
9	-6664
10	-5142
11	-2668
12	0
13	2202
14	3490
15	3703
16	2957
17	1578
18	0
19	-1357
20	-2185
21	-2349
22	-1896
23	-1020
24	0
25	890
26	1439
27	1552
28	1255
29	677
30	0
31	-588
32	-951
33	-1023
34	-825
35	-443
36	0
37	383
38	616
39	659
40	528
41	282
42	0
43	-239
44	-381
45	-404
46	-321

47	-169
48	0
49	142
50	224
51	236
52	186
53	98
54	0
55	-80
56	-126
57	-132
58	-105
59	-55
60	0
61	48
62	77
63	84
64	70
65	39
66	0

### 3.C.3 Gain tables for the bitrate scalable tool

Table 3.C.25 — Enhanced gain tables for bitrate scalable MPE tool (nge)

scale\_factor =  $2^{14}$

Index	Mode 0	Mode 1	Mode 2	Mode 3
	Codeword	Codeword	Codeword	Codeword
0	460	3342	4827	9045
1	5049	3231	2242	1739
2	3328	1482	435	883
3	8745	5359	4010	4160
4	2155	135	1187	3582
5	6211	4113	3016	2736
6	4199	2452	1450	680
7	15087	8599	5760	7686
8	1199	1005	2490	5747
9	5562	3650	2617	2216
10	3775	2015	995	0
11	11112	6358	4691	5391
12	2832	848	241	2087
13	7228	4629	3477	3351
14	4615	2838	1854	1225
15	23253	15013	7969	11417

### 3.C.4 LSP VQ tables and gain VQ tables for the bandwidth scalable tool

Table 3.C.26 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbf[0])

scale\_factor =  $2^{13}$

Index	Codeword									
	0	1	2	3	4	5	6	7	8	9
0	-41	-58	-95	-284	-293	-417	-687	-734	-862	-1824

1	-78	-159	-181	-258	-225	-155	19	48	237	104
2	-68	-60	-140	-243	-236	-1003	-265	-489	-647	-792
3	-3	70	117	128	110	180	490	363	806	749
4	-50	-46	-74	-272	-225	-372	-497	-498	-1380	-1000
5	-4	46	59	46	82	17	111	23	66	629
6	-52	-87	-125	-234	-261	-408	-430	-358	-309	-165
7	34	144	228	389	413	363	921	581	1211	1604
8	-36	-57	-114	-255	-261	-520	-518	-1228	-501	-901
9	-6	56	104	117	156	194	198	367	191	78
10	-19	-39	-45	-110	-102	-168	-159	-267	-345	-579
11	43	228	387	391	422	601	644	1149	851	856
12	-28	-48	-70	-208	-222	-277	-396	-499	-563	-1032
13	23	118	249	214	470	357	396	664	266	785
14	-4	40	27	1	41	-17	8	2	-70	-177
15	29	181	406	504	654	829	1167	1610	1555	1759

Table 3.C.27 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbl[1])

scale\_factor = 2<sup>13</sup>

Index	Codeword									
	0	1	2	3	4	5	6	7	8	9
0	10907	11766	12650	13565	14862	16624	18655	20941	22376	23585
1	11684	12595	13708	14900	16640	18214	19709	20667	21539	22525
2	11557	12621	13445	14584	15623	16358	17467	19933	22077	23331
3	12453	14102	15206	16263	17778	19035	20062	21238	22586	23799
4	10069	10772	12097	15128	17076	18536	20125	21164	22354	23609
5	11437	12290	14402	15497	16507	18259	20110	21510	22548	23866
6	10428	11550	13785	15584	17082	18505	19972	21362	22629	24040
7	13519	14437	15489	16474	17504	18223	19346	20260	21700	22671
8	11294	12217	13323	15370	16935	17819	19016	20873	22499	23702
9	12175	13269	14532	15637	16921	18040	19261	20229	21464	22346
10	12340	13277	14078	14979	15869	16793	19200	21345	22628	23859
11	13040	14209	15418	16742	17867	19029	20399	21350	22383	24253
12	10575	11293	11743	12973	15928	17866	19506	20907	22281	23775
13	12774	13427	14128	15005	16614	18510	20037	21408	22641	23998
14	11383	12366	13514	14730	16354	17611	18467	19590	21632	23199
15	14219	15088	15945	16742	17772	18822	20169	21096	22383	23076
16	11660	12545	13516	14524	15328	16601	19698	21415	22499	23376
17	12097	13307	14751	16156	17703	18738	19906	20757	21767	22627
18	11458	12811	14633	15588	16456	17350	18823	21007	22409	23592
19	12713	14672	15607	16709	17968	19510	20504	21681	22778	24083
20	10854	12003	13319	14259	16308	18370	19876	20997	22332	23913
21	11693	13666	14594	15448	17036	18421	19975	21474	22600	23992
22	11212	12873	14108	15210	17179	18468	19742	21081	22603	24048
23	13337	14268	15483	16817	18358	19295	20151	20896	21939	22724
24	11315	12649	13823	15061	17013	18564	21079	21928	22707	23308
25	12643	14086	15445	16473	17672	18695	19858	20768	21806	22616
26	13027	13721	14586	15957	17748	18844	19873	20745	21921	22785
27	13017	14220	15862	17090	18039	19147	20194	21511	22870	24079
28	11874	12624	13624	16234	17876	18853	19847	20702	21800	23113
29	12235	13632	15246	16266	17735	19063	20434	21858	22985	24491
30	11772	13540	15444	16547	17618	18357	19526	20418	21695	23442
31	14176	15233	16608	17791	18928	19646	20504	21269	22417	23112
32	11555	12468	13325	14186	16130	17528	18871	21143	22702	23983
33	12263	13275	14213	15361	16544	17688	19627	20733	22008	23127
34	12268	13260	14245	15156	16011	17040	18445	19590	21135	22502
35	12687	14062	15575	17189	18508	19599	20602	21568	22530	23829

36	11011	11731	12574	15253	17566	18568	19606	20538	21687	23153
37	12277	13236	14189	15629	17473	18858	20305	21733	22853	24353
38	10804	11753	13556	16294	18124	19224	20416	21279	22163	23291
39	13723	14568	15407	16425	17685	18831	20199	21009	22044	22759
40	12281	13269	14281	15760	17026	17823	19116	21239	22708	24006
41	13027	13935	14995	15941	16983	18139	19731	20719	21866	22601
42	12950	13976	14895	15815	16656	17439	18754	20665	22392	23447
43	13209	14239	15546	16814	18223	19333	20724	21848	22819	23543
44	11301	12020	12825	14070	17862	19418	20221	21125	22038	22948
45	12599	13411	14686	16302	17593	18998	20409	21827	22938	24441
46	11079	12232	14712	16017	17347	18206	19365	20374	21459	22870
47	14605	15535	16539	17338	18225	18959	19995	20885	22150	22882
48	11980	12961	13916	15070	17327	18500	19397	20507	22224	23689
49	12635	13608	14486	16291	17879	19395	20475	21406	22526	23907
50	12884	13800	14866	16695	17782	18659	19504	20597	22548	23877
51	13210	14328	15395	17103	18408	19454	20589	21687	22862	24303
52	11537	12323	13228	15167	17128	18898	20139	21372	22612	24073
53	11630	13730	14976	16399	17819	18939	20366	21637	22786	24166
54	11600	12937	14730	16090	17547	18924	20322	21772	22966	24445
55	14038	14990	16155	17219	18431	19453	20524	21208	22098	22768
56	12377	13483	14329	15311	17352	19158	20556	21374	22232	23017
57	13196	14174	15129	16082	17595	18849	20034	21032	22409	23146
58	13219	14289	14973	15687	16825	18633	20054	21364	22683	24070
59	13596	14613	15630	16771	18060	19138	20351	21647	22857	24294
60	11811	12929	14541	16053	17968	19782	20991	21708	22547	23559
61	12973	13958	15130	16734	18149	19201	20104	21750	22739	24049
62	11980	13767	15252	16959	17983	18928	20056	21157	22516	24032
63	14560	15534	16855	17972	19259	20100	21174	21854	22739	23280
64	11124	11915	13006	14838	15996	17157	19806	21653	22694	23721
65	11865	12936	14494	15839	17081	18236	19854	21004	22171	23000
66	12039	13102	13970	15107	16409	17327	18234	20445	22402	23722
67	12559	14111	15651	16731	17889	18967	20595	21688	22853	23943
68	10907	11714	12655	15055	17189	18884	20439	21654	22816	24213
69	12157	13171	14850	15851	16713	18603	20057	21359	22651	24068
70	11268	12146	14053	15983	17479	19054	20327	21583	22828	24213
71	13654	14819	16087	16993	17916	18623	19605	20522	22094	22989
72	11886	12696	13600	15575	16743	17762	19749	21490	22764	24053
73	12677	13744	15118	16219	17267	17986	19001	19888	21173	22142
74	12500	13587	14603	15564	16224	17338	19760	21668	23066	24193
75	13212	14183	15512	16436	17901	19524	20588	21663	22910	24196
76	11461	12178	12953	13883	15708	18666	20491	21381	22214	23141
77	12875	13648	14490	15698	17226	18289	20227	21858	23229	24271
78	11826	13288	14501	15868	17225	18048	18687	19656	22147	23559
79	14347	15334	16282	17307	18463	19509	20813	21608	22756	23358
80	12117	13159	14143	15321	16246	17682	20680	21755	22534	23266
81	12721	13508	15211	16121	17393	18360	19910	20824	22537	23381
82	11596	13812	15102	16106	17306	18166	19305	21120	22670	24020
83	12945	14571	15957	16990	18222	19348	20552	21777	23226	24419
84	11897	12862	14031	14917	16045	18237	19632	20995	22521	24045
85	12405	13813	14920	15812	17195	19245	20361	21635	22729	24025
86	10722	12804	14726	16072	17480	18862	20393	21364	22572	24020
87	13214	14506	16008	17050	18241	19275	20448	21361	22422	23196
88	12007	13171	14371	16289	17532	18732	21021	22062	22833	23501
89	13127	14043	15633	16744	17713	18819	20088	21178	22566	23242
90	12813	13993	15104	16112	17035	18061	20544	21715	22650	23342
91	13123	14793	15903	17038	18155	19189	20731	21785	22759	24035
92	12097	13086	14358	16610	18342	19402	20391	21148	22105	23037
93	12632	13900	15229	16543	17863	19170	20465	21966	22979	24529

94	11829	13441	15356	16337	17497	18756	20500	21414	22338	23098
95	14162	15325	16455	17659	18882	20060	21309	22418	23607	24472
96	12045	12847	13603	14450	16622	18426	20131	21616	22662	23924
97	12536	13617	14648	15575	16898	18591	19915	20970	22325	23043
98	12758	13636	14608	15798	16991	18225	19289	20383	21974	23815
99	12937	13718	15908	16869	18273	19405	20532	22671	23228	24035
100	11437	12259	13419	16195	18560	19580	20752	21828	23392	24403
101	12209	13019	15003	16484	17833	19177	20337	21436	22667	24075
102	11304	12537	14991	17148	18293	19139	20258	21199	22254	23559
103	13731	14744	15748	16970	18119	19390	20420	21416	22704	23536
104	12407	13326	14216	16176	17406	18491	19962	21156	22586	23850
105	13545	14580	15519	16456	17282	18164	19486	21053	22615	23852
106	12828	13959	15004	16154	17103	18199	18975	21215	23554	24506
107	13157	14462	15596	16821	18281	19763	20964	22012	23087	24329
108	11930	12741	13600	15086	17889	19365	20485	21511	22374	23246
109	13107	13994	14770	16370	17623	18984	20429	21574	23259	24282
110	11668	12599	14730	16358	17495	18417	19686	20984	22445	23896
111	15211	16134	17070	17965	18948	19620	20531	21290	22452	23034
112	12028	13013	13994	15115	16462	18398	20611	22476	23786	24570
113	12754	13834	15219	16509	18221	19449	20686	21448	22429	23138
114	12689	13824	15334	16647	17611	18465	19999	21569	22733	24159
115	13192	14300	16110	17226	18366	19584	20642	21645	22743	24179
116	11945	12739	13931	15701	17380	19109	20298	21508	22805	24138
117	12440	13777	15246	16751	18197	19637	20724	21662	22857	24211
118	11754	13477	14683	15761	17770	19081	20010	20890	22185	23886
119	13513	14663	15961	17337	18879	20081	21087	21778	22742	23409
120	12689	13744	14688	15645	17441	19107	21083	22232	23384	24243
121	13568	14439	15227	16313	17796	19363	20657	21617	22614	23544
122	13260	14617	15420	16243	17466	19002	20515	22061	23493	24525
123	13649	14770	15936	17149	18350	19477	20664	21918	23003	24228
124	12357	13518	14911	16697	18469	19951	21383	22319	23439	24360
125	13132	14203	15467	16836	18003	19226	20614	22038	23058	24481
126	12456	13734	15927	17116	18128	19069	20126	20945	21992	23021
127	15200	16311	17435	18492	19609	20520	21689	22532	23451	24216

Table 3.C.28 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbf[2])

scale\_factor = 2<sup>13</sup>

Index	Codeword				
	0	1	2	3	4
0	-22	-5	-91	-439	36
1	-86	-180	-160	-22	116
2	19	-16	-10	-62	-383
3	-29	-32	67	-98	-32
4	29	54	-48	-89	222
5	-33	-132	61	158	-99
6	40	0	-121	106	-3
7	62	148	8	-85	-75
8	11	115	193	-72	122
9	-42	-15	64	127	160
10	26	89	129	154	-148
11	-18	66	432	178	101
12	-4	13	72	142	490
13	-21	-51	88	525	69
14	94	115	86	177	155
15	53	459	137	126	33

Table 3.C.29 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbi[3])

scale\_factor = 2<sup>13</sup>

Index	Codeword				
	0	1	2	3	4
0	-274	-22	-435	135	-32
1	-61	-196	-282	-120	-75
2	-278	-398	105	-256	93
3	-398	-149	-392	-711	-473
4	-137	-85	-339	-351	285
5	14	-739	31	25	-160
6	-243	-109	-43	18	-169
7	-137	138	-257	-4	-204
8	-210	-25	-89	-202	61
9	494	285	-188	-275	-241
10	113	-400	-90	-301	115
11	-613	83	-80	-30	-12
12	29	-24	-65	-658	20
13	264	-111	-162	-295	-174
14	-319	-365	238	165	-236
15	-233	212	77	-100	-421
16	-180	-319	-126	142	235
17	128	-297	-472	131	-44
18	-25	-203	72	-219	-248
19	21	137	-129	-155	213
20	185	-113	-305	-84	304
21	69	-33	-280	-5	-338
22	-202	119	-86	298	-385
23	80	101	-460	-227	-42
24	96	132	-481	233	-113
25	139	123	-101	-183	-732
26	42	-191	-18	14	5
27	-235	160	111	3	244
28	-38	99	71	-4	-58
29	691	-152	-245	69	-42
30	6	-119	447	9	-247
31	-154	413	-234	-209	11
32	-76	-218	-204	228	-249
33	186	41	-114	-29	-75
34	-100	-155	358	13	171
35	-28	60	-105	-265	-216
36	-115	149	-268	142	400
37	242	-269	9	23	-347
38	-7	-63	54	60	-410
39	124	331	-116	57	-347
40	-30	-5	-248	103	105
41	73	213	123	-388	48
42	170	-430	143	74	371
43	-155	326	-95	239	40
44	-11	-87	95	-208	333
45	301	10	67	-183	236
46	91	-275	157	346	-81
47	-231	48	294	-269	-92
48	-19	-64	-291	588	29
49	255	-84	-178	278	150
50	185	-192	303	-272	9
51	-61	292	50	-279	685
52	61	20	40	136	317
53	220	-33	-150	317	-415

54	74	181	234	231	-329
55	221	283	-199	72	156
56	103	127	-40	275	-53
57	167	116	185	-192	-325
58	198	-43	197	106	35
59	-142	-23	14	292	85
60	120	270	198	2	127
61	405	132	99	108	-187
62	-198	121	359	243	-47
63	-107	511	244	-46	-107

Table 3.C.30 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbf[4])

scale\_factor = 2<sup>13</sup>

Index	Codeword				
	0	1	2	3	4
0	97	-370	-55	31	-621
1	-692	315	193	-429	-730
2	-742	351	-448	-248	181
3	-223	-313	94	-619	-108
4	-104	-304	-774	-46	348
5	-415	-27	-405	-23	-113
6	-601	335	33	-3	-236
7	-970	-240	175	-132	-278
8	-408	-152	-46	-192	5
9	724	458	54	-493	-553
10	-395	233	-31	24	233
11	-404	-109	409	146	-609
12	207	-117	-364	-528	-616
13	208	141	0	-286	-420
14	-155	185	-441	167	-463
15	26	12	-389	616	-108
16	32	-171	-389	78	41
17	-346	491	-85	-545	164
18	-137	364	-33	228	-25
19	355	-270	-159	-337	-120
20	130	-186	-636	-1034	27
21	264	-381	-69	147	-126
22	-249	-826	454	256	-253
23	-417	-725	-608	630	138
24	-237	-267	-174	247	141
25	-373	147	338	-252	86
26	-124	20	86	-354	314
27	59	-468	176	-220	-95
28	400	181	-78	120	-714
29	196	347	109	15	-279
30	-1156	-675	408	435	264
31	193	-22	-75	285	72
32	96	-12	172	162	-329
33	-54	602	376	-129	-746
34	-309	419	-27	178	704
35	137	315	219	-197	43
36	62	-621	-232	21	249
37	269	51	-528	-253	76
38	-735	475	711	182	-296
39	-613	251	-212	474	14
40	13	-236	-57	-88	191

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41	307	-44	517	121	-658
42	-269	-127	196	158	365
43	-169	-325	194	130	-208
44	185	126	-79	-571	39
45	462	389	51	213	292
46	-200	237	389	138	-80
47	145	-236	-599	669	814
48	593	-78	-32	530	-11
49	226	496	210	-440	442
50	-599	287	514	339	329
51	530	-83	-172	10	94
52	769	305	-85	-391	430
53	370	284	-179	364	-226
54	-48	-42	626	-103	314
55	-234	-206	58	856	432
56	106	197	136	126	90
57	31	-110	773	315	-201
58	167	7	264	-74	738
59	477	65	401	74	144
60	692	573	311	-12	-144
61	116	569	720	422	192
62	-174	-698	939	300	508
63	392	106	34	684	563
64	-374	-701	41	-235	-651
65	-440	28	-202	-527	-401
66	-730	-180	-223	156	322
67	153	-242	252	-389	-633
68	-726	-567	-587	-558	240
69	-89	-380	-679	-263	-476
70	-1226	531	195	-86	93
71	-539	-403	-120	292	-259
72	-201	-476	-212	-102	-164
73	259	161	-71	-674	-1205
74	-665	-14	118	-378	563
75	-331	-306	598	-210	-287
76	-732	-870	-1259	-2795	-3315
77	-14	-120	-172	-200	-235
78	-298	29	-22	-39	-538
79	-82	-425	-477	375	-342
80	394	-264	-699	175	-118
81	-121	164	-398	-408	-69
82	-114	319	-416	106	144
83	-45	-292	-298	-461	142
84	-24	71	-321	-729	644
85	-134	-87	-164	219	-216
86	-367	-553	241	-152	187
87	-28	-514	161	408	103
88	-498	-80	210	153	-15
89	-180	245	-34	-210	-91
90	146	-406	236	-472	418
91	350	-373	457	24	-188
92	278	-80	-348	81	-450
93	-71	455	-196	-147	-422
94	-451	-334	642	569	-19
95	46	25	-124	206	416
96	-148	-13	225	-206	-260
97	-87	547	244	-440	-279
98	339	246	-263	-78	816

99	345	-17	333	-422	7
100	287	-316	180	198	338
101	133	170	-253	32	-98
102	-356	826	285	4	70
103	-39	433	17	563	234
104	-252	-39	-321	-190	378
105	620	-52	99	19	-331
106	-198	-408	-72	-1	702
107	158	-297	113	509	-376
108	583	241	-406	-798	-85
109	86	268	-177	-184	284
110	-228	228	72	417	-390
111	-309	73	-463	455	418
112	193	-359	-363	643	229
113	123	640	-113	-54	101
114	-60	363	268	16	386
115	241	-18	57	-68	-77
116	359	-218	-373	-235	507
117	-157	-18	101	482	25
118	24	-142	308	26	71
119	75	-2	382	375	269
120	-144	3	-10	16	18
121	125	200	545	-80	-266
122	288	20	51	-153	329
123	299	127	282	424	-132
124	481	271	-173	-188	-100
125	66	600	367	296	-318
126	247	-286	555	781	101
127	453	136	-466	306	279

Table 3.C.31 — LSP VQ tables for the bandwidth scalable tool (lsp\_bws\_tbi[5])

scale\_factor = 2<sup>13</sup>

Index	Codeword				
	0	1	2	3	4
0	-231	-647	-207	108	-41
1	8	-228	-481	-388	60
2	182	-257	245	105	-240
3	-383	-213	-24	-290	-364
4	-494	-67	-22	106	177
5	238	149	-131	-415	-434
6	-130	370	-149	-166	239
7	-294	344	288	-86	-347
8	92	-234	-394	307	318
9	546	22	-210	-92	125
10	5	46	-196	176	-154
11	25	-93	187	-260	199
12	-128	-329	318	349	351
13	422	469	252	-33	-133
14	238	174	114	339	316
15	-172	311	472	307	46

Table 3.C.32 — LSP VQ tables for the bandwidth scalable tool (bws\_ma\_prdct)

scale\_factor = 2<sup>15</sup>

Order
-------

Codeword	0	1	2
0	32307	6025	3826
1	29035	5743	3609
2	32346	4386	2552
3	29547	5161	2645
4	27530	6708	4095
5	28237	8367	4965
6	27312	8564	4803
7	24644	8340	4905
8	27090	10058	5834
9	23277	9490	5693
10	20474	8283	4014
11	21357	7799	3607
12	22134	7214	3424
13	22373	6960	3431
14	21710	7190	3887
15	20912	7440	4427
16	20712	7440	4634
17	20797	7242	4759
18	20987	6867	4921
19	23026	6144	3612

Table 3.C.33 — LSP VQ tables for the bandwidth scalable tool (bws\_nw\_prdct)

scale\_factor = 2<sup>15</sup>

Codeword	Order
0	16438
1	16363
2	16258
3	16625
4	16492
5	16832
6	16688
7	16911
8	16822
9	17241

Table 3.C.34 — Gain VQ tables for bandwidth extension tool

scale\_factor = 2<sup>13</sup> for Codeword 0, 2<sup>10</sup> for Codeword 1

Index	Mode 0		Mode 1		Mode 2		Mode 3	
	Codeword		Codeword		Codeword		Codeword	
	0	1	0	1	0	1	0	1
0	29	10	72	16	36	4	22	5
1	155	1313	1527	428	3773	164	4836	118
2	139	691	66	470	2280	147	3203	107
3	4311	1706	3693	442	184	789	4417	258
4	52	453	654	407	232	429	2521	193
5	7966	1055	2237	548	5995	164	6309	166
6	437	1062	1102	572	4182	262	3493	134
7	1482	4291	214	884	2085	2647	10201	139
8	267	245	431	290	503	214	1577	128
9	1721	1509	2608	456	4927	167	5443	115
10	3405	424	311	573	2878	157	3365	287
11	1202	2863	4799	447	1005	1218	5688	222
12	2032	319	1555	501	2604	430	3410	545

13	6916	1810	3188	651	6883	193	7856	112
14	3862	990	2265	602	4740	540	4120	104
15	3565	7136	3853	1489	371	6591	12458	88
16	332	72	288	141	428	57	591	312
17	335	2036	2305	494	4755	255	5426	186
18	2385	725	448	490	2389	273	2962	204
19	4815	2630	3789	598	493	956	4896	349
20	1120	468	886	510	1678	392	2300	461
21	6648	1551	2753	570	6457	382	6572	202
22	2272	999	1365	636	4938	405	4062	211
23	1474	5520	2073	903	4991	3408	11982	58
24	355	341	1800	274	1692	161	2375	89
25	3714	1293	3019	522	5496	256	5844	122
26	3851	738	332	689	3285	269	3997	341
27	9201	3462	6836	583	4511	1297	5709	313
28	2015	515	1746	553	3331	447	5663	735
29	12655	2009	3902	684	8027	246	7292	390
30	5839	949	1904	752	6078	868	4790	167
31	4604	13921	1932	2359	7119	16128	8754	232
32	326	36	188	84	152	32	131	135
33	628	1564	2057	427	4486	161	5023	164
34	1278	660	453	597	2706	233	3139	156
35	3767	2135	3900	560	596	855	4638	295
36	26	568	1068	447	982	281	2449	314
37	10488	1524	2500	574	6249	291	7008	161
38	828	949	1469	581	4458	377	3655	182
39	9425	4374	579	1221	8901	2654	11389	95
40	1130	264	948	316	1261	213	2040	131
41	2480	1676	3062	465	5376	160	5664	182
42	3932	542	767	621	3666	230	3805	265
43	4684	3644	4726	600	283	1452	6126	294
44	2514	389	1924	503	2226	616	4259	1073
45	7858	2378	2984	685	7234	309	7348	263
46	3288	1131	2576	645	6374	688	4494	116
47	1949	9019	9106	1394	21348	8971	13310	134
48	244	158	757	179	636	114	1699	319
49	1465	2347	2542	508	5070	285	5198	272
50	2440	860	715	546	2894	324	3297	225
51	5127	3083	4130	622	2433	977	5217	424
52	1409	548	1327	533	845	570	3136	424
53	9384	1804	2884	620	7343	531	6585	275
54	1700	1179	1845	645	5696	534	4361	169
55	14524	5593	2195	1168	2014	4582	11911	95
56	949	352	2252	363	1713	239	2886	97
57	5212	1499	3302	571	5775	319	6061	201
58	5362	700	1089	710	3690	354	4434	372
59	14442	3913	7643	1058	9267	1586	5986	489
60	2555	625	1883	593	3502	555	8981	919
61	11609	2905	4804	809	9577	442	7210	513
62	5427	1277	2983	771	6900	1105	5013	231
63	0	31787	5778	4721	22789	22049	9665	362
64	89	29	290	46	71	16	316	37
65	1238	1345	1710	468	4123	199	5119	119
66	697	792	21	534	2438	200	3639	79
67	4938	1937	4056	507	368	858	4687	236
68	645	496	795	472	237	513	2681	242
69	8986	1283	2267	575	6402	207	6626	137
70	259	1138	1178	603	4408	299	3826	136

71	4997	4849	905	969	3979	2327	11173	47
72	682	279	367	359	443	271	1777	208
73	3340	1543	2820	495	5127	220	5597	147
74	3153	528	332	619	3270	195	3587	338
75	1141	3398	5130	537	2115	1347	5898	255
76	1869	409	1713	518	2826	517	4038	639
77	7180	2034	3458	655	7379	224	8178	158
78	4736	998	2287	639	5138	669	4157	148
79	14840	7980	6170	1582	11237	7536	12675	100
80	680	106	344	215	178	109	1118	505
81	1687	2022	2401	529	4776	322	5365	228
82	3014	779	558	529	2431	342	3013	265
83	7087	2675	3723	635	114	1132	5295	332
84	1651	469	1090	530	2112	424	2150	714
85	7959	1618	3005	570	7028	406	6909	226
86	2427	1091	1535	670	5424	426	4303	215
87	6492	5822	2768	1003	7010	3938	12193	55
88	656	406	2464	234	2067	216	2601	123
89	4191	1420	3253	538	5953	240	6124	134
90	4479	834	559	767	3484	305	4300	315
91	13038	3429	6516	773	5118	1514	5794	382
92	2478	543	1976	566	3806	441	7203	762
93	14216	2430	4054	748	8929	295	7748	341
94	6018	1114	2372	775	7857	891	4868	202
95	3475	20505	5337	2943	3759	14544	8590	358
96	397	42	796	97	344	28	1165	191
97	382	1663	2101	473	4510	220	5287	153
98	1702	773	325	651	2964	253	3369	179
99	5845	2257	4305	566	1340	816	4914	278
100	829	587	1305	483	981	371	2863	340
101	13478	1351	2613	607	6686	292	7459	185
102	1678	933	1657	612	4322	466	3916	182
103	10888	4993	207	1579	12791	3031	11089	182
104	1585	218	1274	360	1448	299	2276	167
105	2991	1866	3427	501	5609	196	5898	175
106	4919	539	1015	651	3868	281	4101	269
107	8233	3965	5274	687	1575	1684	6346	355
108	2585	462	2070	527	2962	713	4180	2808
109	10285	2523	3345	729	8010	388	7974	250
110	4196	1172	2521	690	4466	935	4597	151
111	16560	10713	11683	1915	8917	11836	13208	206
112	791	196	1199	220	1020	154	2152	248
113	3636	2419	2675	539	5337	334	5511	269
114	3207	912	814	573	3214	373	3602	229
115	8462	3005	4503	673	2528	1076	5061	562
116	1899	621	1506	549	1531	520	3877	422
117	9930	2086	3203	606	8439	576	6895	323
118	2647	1322	2063	689	6391	498	4560	199
119	12868	6493	4074	1172	10597	4788	12074	108
120	1286	408	3429	341	1963	301	2836	155
121	5676	1686	3525	577	5940	399	6269	232
122	7086	803	1352	804	4069	361	4552	459
123	14891	4520	10736	841	6723	1888	6633	430
124	3296	639	2029	620	3979	632	12932	1373
125	15411	3038	5187	987	11638	607	8281	562
126	7025	1326	3450	879	9588	1258	5173	197
127	1466	336	8587	10865	0	26530	11167	457

**Table 3.C.35 — Gain tables for excitation codebook 1 of bandwidth extension tool**  
 scale\_factor =  $2^{12}$

Index	Mode 0	Mode 1	Mode 2	Mode 3
	Codeword	Codeword	Codeword	Codeword
0	-9890	-334	-1119	-6181
1	-3715	429	-55	-2339
2	-1164	1003	557	-996
3	180	1442	1137	-261
4	1124	1802	1625	243
5	1717	2116	2059	708
6	2378	2403	2470	1157
7	3013	2684	2915	1641
8	3519	3001	3408	2180
9	4213	3349	3914	2802
10	5112	3750	4541	3361
11	6184	4275	5297	4052
12	8150	5030	6450	5008
13	11196	6491	8837	6691
14	16806	9366	14863	10432
15	26264	27589	31529	22189

## Annex 3.D (informative)

### Tables

#### 3.D.1 Bandwidth expansion tables in LPC analysis of the mode II coder

Table 3.D.1 — Bandwidth expansion tables in LPC analysis

For 8 kHz sampling rate		For 16 kHz sampling rate	
0	1.0001000	0	1.0000100
1	0.9988903	1	0.9997225
2	0.9955685	2	0.9988903
3	0.9900568	3	0.9975049
4	0.9823916	4	0.9955685
5	0.9726235	5	0.9930844
6	0.9608164	6	0.9900568
7	0.9470474	7	0.9864905
8	0.9314049	8	0.9823916
9	0.9139889	9	0.9777667
10	0.8949091	10	0.9726235
		11	0.9669703
		12	0.9608164
		13	0.9541719
		14	0.9470474
		15	0.9394543
		16	0.9314049
		17	0.9229120
		18	0.9139889
		19	0.9046499
		20	0.8949091

#### 3.D.2 Downsampling filter coefficients for the bandwidth scalable tool

Table 3.D.2 — Downsampling filter coefficients

0	0.487498	25	0.006314	50	0.003645	75	0.001263
1	0.318007	26	0.009227	51	0.001586	76	-0.000190
2	0.012479	27	-0.005043	52	-0.003242	77	-0.001148
3	-0.105197	28	-0.008776	53	-0.001706	78	0.000159
4	-0.012414	29	0.003938	54	0.002858	79	0.005156
5	0.062159	30	0.008312	55	0.001785	80	-0.000092
6	0.012306	31	-0.002977	56	-0.002497		
7	-0.043381	32	-0.007836	57	-0.001830		
8	-0.012155	33	0.002141	58	0.002158		
9	0.032701	34	0.007355	59	0.001844		
10	0.011965	35	-0.001414	60	-0.001842		
11	-0.025712	36	-0.006869	61	-0.001831		
12	-0.011735	37	0.000785	62	0.001551		
13	0.020722	38	0.006383	63	0.001794		

14	0.011468	39	-0.000241	64	-0.001284
15	-0.016942	40	-0.005902	65	-0.001737
16	-0.011167	41	-0.000222	66	0.001042
17	0.013954	42	0.005428	67	0.001663
18	0.010830	43	0.000616	68	-0.000825
19	-0.011527	44	-0.004961	69	-0.001576
20	-0.010467	45	-0.000942	70	0.000632
21	0.009494	46	0.004508	71	0.001478
22	1.01E-02	47	0.001210	72	-0.000462
23	-7.78E-03	48	-0.004068	73	-0.001373
24	-9.66E-03	49	-0.001423	74	0.000315

## Annex 3.E (informative)

### Example of a simple CELP transport stream

Two types of streams, header streams and raw data streams, are part of the MPEG-4 CELP coder syntax. The header streams contain configuration information necessary for the decoding process and parsing of the raw data streams. The raw data streams contain the coded audio information. A transport layer handles the delivery of these streams. The multiplexed bitstream of the header and the raw data stream is shown in the following pseudo code example:

```
CelpHeader()
if (BandwidthScalabilityMode == ON)
{
    CelpBWSenhHeader();
}
while(1)
{
    CelpBaseFrame();
    for (i = 0; i < nrof_enh_layers; i++)
    {
        CelpBRSenhFrame();
    }
    if (BandwidthScalabilityMode == ON)
    {
        CelpBWSenhFrame();
    }
}
```

The header information is attached at the beginning of the bitstream. Its update is necessary only when changes are made in the configuration of the bitstream. The raw data information follows the header stream and is transmitted frame by frame. In a scalable bitstream, two types of information, namely base information and enhancement information, are transmitted every frame.

In order to control transmission of the enhancement information, which is in `CelpBrsenhFrame()` or `CelpBwsenhFrame()`, frame by frame, the decoder requires additional parameters which indicate whether the enhancement information is available for decoding at every frame. The parameters for bitrate scalability and bandwidth scalability are `dec_enh_layers` and `dec_bws_mode`, respectively. The following procedure is applied to parse the bitstream.

```
CelpHeader()
if (BandwidthScalabilityMode == ON)
{
    CelpBWSenhHeader();
}
while(1)
{
    CelpBaseFrame();
    for (i = 0; i < dec_enh_layers; i++ )
    {
        CelpBRSenhFrame();
    }
    if (BandwidthScalabilityMode == ON && dec_bws_mode == ON)
    {

```

```

    CelpBWSenhFrame ();
}
}

```

where *dec\_enh\_layers* is the number of available enhancement layers in the current frame and is equal to or less than the value of *nrof\_enh\_layers* in the header information. *dec\_bws\_mode* is a one-bit parameter that indicates whether bandwidth scalability is enabled in the current frame as follows:

**Table 3.E.1 — Definition of *dec\_bws\_mode***

<i>dec_bws_mode</i>	ScalableID	Description
0	OFF	Bandwidth scalability is disabled
1	ON	Bandwidth scalability is enabled

## **Annex 3.F** (informative)

### **Random access points**

Random access to the CELP bitstream is available at every frame. However, the decoder should be initialised before decoding the first frame. If FineRate Control is enabled the LPC filter coefficients should be initialized to zero as no LPC parameters might be present in the first frame being parsed.



## Contents for Subpart 4

4.1	Scope .....	3
4.1.1	Technical Overview .....	3
4.2	Normative references .....	11
4.3	Terms and definitions .....	11
4.4	Syntax .....	11
4.4.1	Decoder configuration (GASpecificConfig) .....	11
4.4.2	GA bitstream payloads .....	13
4.5	Overall data structure .....	53
4.5.1	Decoding of the GA specific configuration .....	53
4.5.2	Decoding of the GA bitstream payloads .....	56
4.5.3	Buffer requirements .....	123
4.5.4	Tables .....	124
4.5.5	Figures .....	138
4.6	GA-Tool Descriptions .....	139
4.6.1	Quantization .....	139
4.6.2	Scalefactors .....	140
4.6.3	Noiseless coding .....	142
4.6.4	Noiseless coding for the fine grain scalability .....	149
4.6.5	Interleaved vector quantization .....	158
4.6.6	Frequency domain prediction .....	163
4.6.7	Long term prediction (LTP) .....	163
4.6.8	Joint Coding .....	166
4.6.9	Temporal noise shaping (TNS) .....	173
4.6.10	Spectrum normalization .....	177
4.6.11	Filterbank and block switching .....	188
4.6.12	Gain Control .....	193
4.6.13	Perceptual noise substitution (PNS) .....	200
4.6.14	Frequency selective switch (FSS) Module .....	203
4.6.15	Upsampling filter tool .....	206
4.6.16	Tools for AAC error resilience .....	207
4.6.17	Low delay codec .....	217
4.6.18	SBR tool .....	220
4.6.19	Low Delay SBR .....	269
4.6.20	Enhanced Low Delay Codec .....	276
Annex 4.A (normative)	Normative Tables .....	280

4.A.1	Huffman codebook tables for AAC-type noiseless coding .....	280
4.A.2	Window tables .....	293
4.A.3	Differential scalefactor to index tables .....	317
4.A.4	Tables for TwinVQ .....	317
4.A.5	Tables for ER BSAC .....	339
4.A.6	Tables for SBR .....	348
Annex 4.B (informative)	Encoder tools .....	373
4.B.1	Psychoacoustic model .....	373
4.B.2	Gain control .....	373
4.B.3	Filterbank and block switching .....	373
4.B.4	Frequency domain prediction .....	373
4.B.5	Temporal noise shaping (TNS) .....	373
4.B.6	Joint coding .....	373
4.B.7	Quantization .....	373
4.B.8	Noiseless coding .....	373
4.B.9	Features of AAC dynamic range control .....	373
4.B.10	Long term prediction .....	373
4.B.11	Perceptual Noise Substitution (PNS) .....	375
4.B.12	Random access points for GA coded bitstreams payloads .....	375
4.B.13	Weighted interleave vector quantization .....	376
4.B.14	Spectrum normalization .....	378
4.B.15	Scalable AAC with core coder .....	382
4.B.16	Scalable controller .....	384
4.B.17	Fine grain scalability: BSAC (Bit-Sliced Arithmetic Coding) .....	384
4.B.18	Informative SBR encoder description .....	394
4.B.19	Low Delay SBR .....	400
4.B.20	Enhanced Low Delay Codec .....	400
4.B.21	Delayless mixing using AAC ELD .....	401

## Subpart 4: General Audio Coding (GA) – AAC, TwinVQ, BSAC

### 4.1 Scope

The General Audio (GA) coding subpart of MPEG-4 Audio is mainly intended to be used for generic audio coding at all but the lowest bitrates. Typically, GA encoding is used for complex music material in mono from 6 kbit/s per channel and for stereo signals from 12 kbit/s per stereo signal up to broadcast quality audio at 64 kbit/s or more per channel. MPEG-4 coded material can be represented either by a single set of data, like in MPEG-1 and MPEG-2 Audio, or by several subsets which allow the decoding at different quality levels, depending on the number of subsets being available at the decoder side (bitrate scalability).

MPEG-2 Advanced Audio Coding (AAC) syntax (including support for multi-channel audio) is fully supported by MPEG-4 Audio GA coding. All the features and possibilities of the MPEG-2 AAC standard also apply to MPEG-4. AAC has been tested to allow for ITU-R 'indistinguishable' quality according to [4] at data rates of 320 kb/s for five full-bandwidth channel audio signals. In MPEG-4 the tools derived from MPEG-2 AAC are available together with other MPEG-4 GA coding tools which provide additional functionalities, like bit rate scalability and improved coding efficiency at very low bit rates. Bit rate scalability is either achieved with only GA coding tools, or by using a combination with an external (non-GA, e.g. CELP) core coder.

MPEG-4 GA coding is not restricted to some fixed bitrates but supports a wide range of bitrates and variable rate coding. While efficient mono, stereo and multi-channel coding is possible using extended, MPEG-2 AAC derived tools, the document also provides extensions to this tool set which allow mono/stereo scalability, where a mono signal can be extracted by decoding only subsets of the encoded stereo stream.

#### 4.1.1 Technical Overview

##### 4.1.1.1 Encoder and decoder block diagrams

The block diagrams of the GA encoder and decoder reflect the structure of MPEG-4 GA coding. In general, there are the MPEG-2 AAC related tools with MPEG-4 add-ons for some of them and the tools related to the TwinVQ quantization and coding. The TwinVQ is an alternative module for the AAC-type quantization and it is based on an interleaved vector quantization and LPC (Linear Predictive Coding) spectral estimation. It operates from 6 kbit/s/ch and is recommended to be used below 16 kbit/s/ch with constant bitrate.

The basic structure of the MPEG-4 GA system is shown in Figure 4.1 and Figure 4.2. The data flow in this diagram is from left to right, top to bottom. The functions of the decoder are to find the description of the quantized audio spectra in the bitstream payload, decode the quantized values and other reconstruction information, reconstruct the quantized spectra, process the reconstructed spectra through whatever tools are active in the bitstream payload in order to arrive at the actual signal spectra as described by the input bitstream payload, and finally convert the frequency domain spectra to the time domain, with or without an optional gain control tool. Following the initial reconstruction and scaling of the spectrum reconstruction, there are many optional tools that modify one or more of the spectra in order to provide more efficient coding. For each of the optional tools that operate in the spectral domain, the option to "pass through" is retained, and in all cases where a spectral operation is omitted, the spectra at its input are passed directly through the tool without modification.

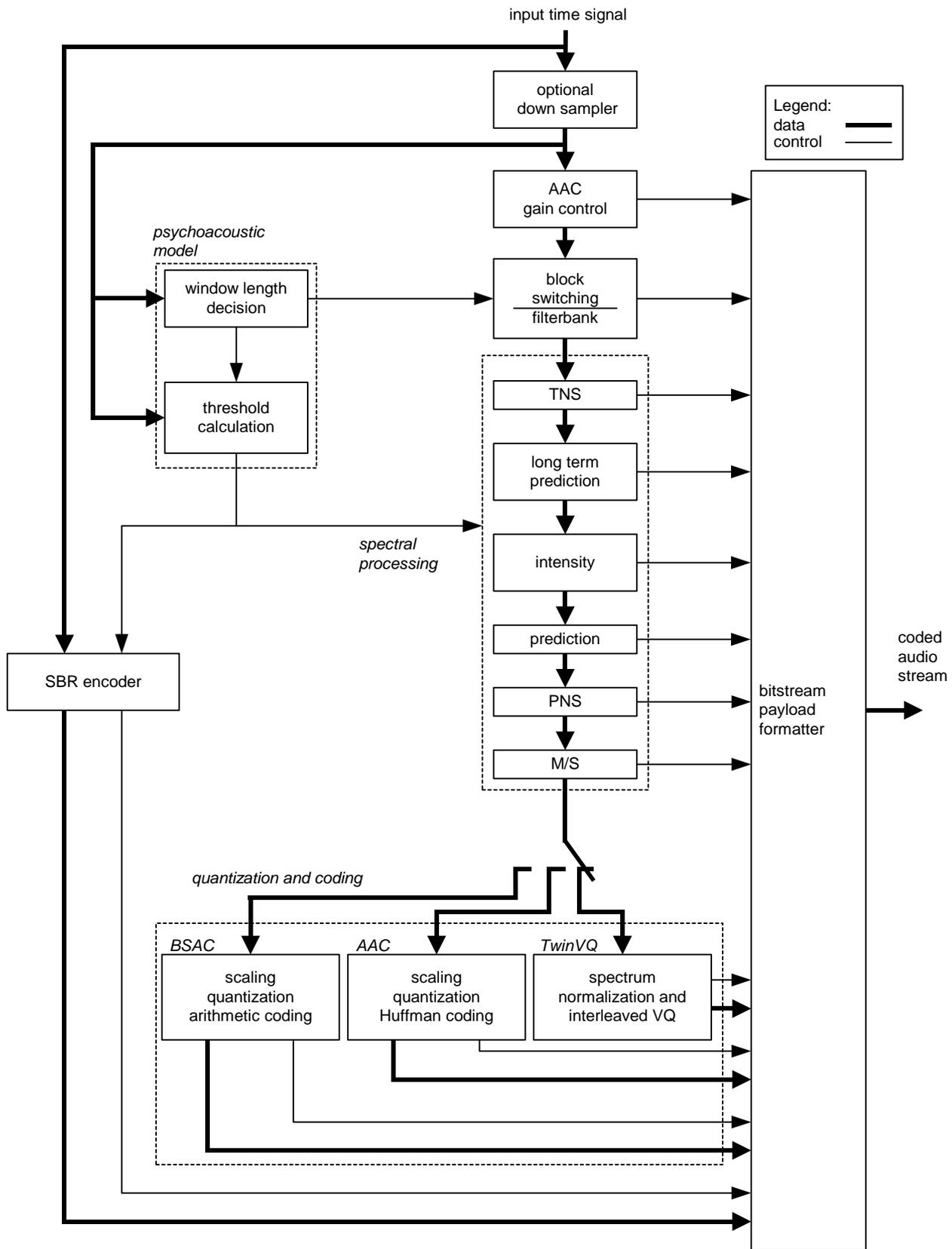


Figure 4.1 – Block diagram GA non scalable encoder

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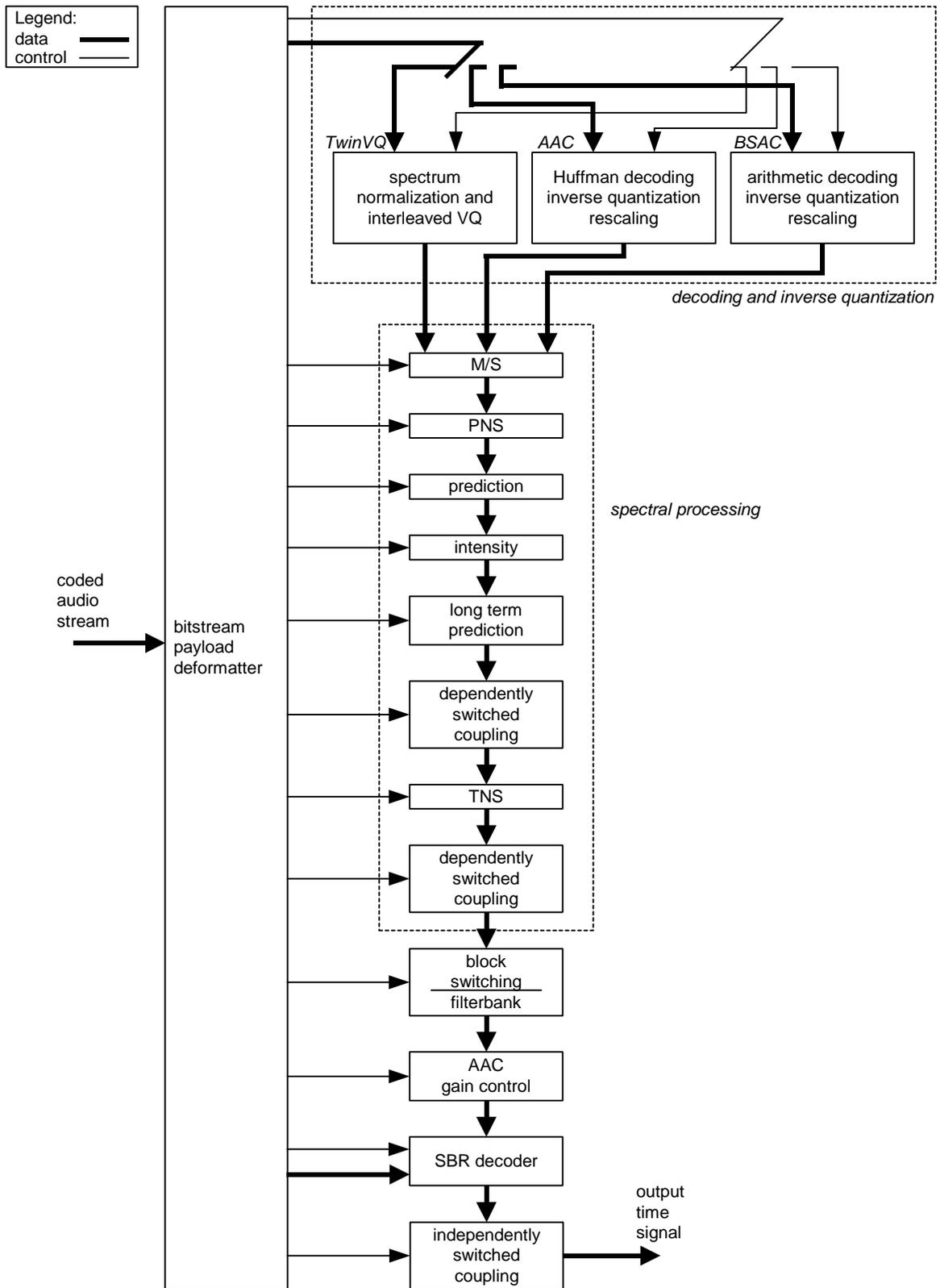


Figure 4.2 – Block diagram of the GA non scalable decoder

#### 4.1.1.2 Overview of the encoder and decoder tools

The input to the bitstream payload demultiplexer tool is the MPEG-4 GA bitstream payload. The demultiplexer separates the bitstream payload into the parts for each tool, and provides each of the tools with the bitstream payload information related to that tool.

The outputs from the bitstream payload demultiplexer tool are:

- The quantized (and optionally noiselessly coded) spectra represented by either
  - the sectioning information and the noiselessly coded spectra (AAC) or
  - a set of indices of code vectors (TwinVQ) or
  - the arithmetic model information and the noiselessly coded spectra (BSAC)
- The M/S decision information (optional)
- The predictor side information (optional)
- The perceptual noise substitution (PNS) information (optional)
- The intensity stereo control information and coupling channel control information (both optional)
- The temporal noise shaping (TNS) information (optional)
- The filterbank control information
- The gain control information (optional)
- Bitrate scalability related side information (optional)

The AAC noiseless decoding tool takes information from the bitstream payload demultiplexer, parses that information, decodes the Huffman coded data, and reconstructs the quantized spectra and the Huffman and DPCM coded scalefactors.

The inputs to the noiseless decoding tool are:

- The sectioning information for the noiselessly coded spectra
- The noiselessly coded spectra

The outputs of the noiseless decoding tool are:

- The decoded integer representation of the scalefactors:
- The quantized values for the spectra

The inverse quantizer tool takes the quantized values for the spectra, and converts the integer values to the non-scaled, reconstructed spectra. This quantizer is a non-uniform quantizer.

The input to the Inverse Quantizer tool is:

- The quantized values for the spectra

The output of the inverse quantizer tool is:

- The un-scaled, inversely quantized spectra

The rescaling tool converts the integer representation of the scalefactors to the actual values, and multiplies the un-scaled inversely quantized spectra by the relevant scalefactors.

The inputs to the scalefactors tool are:

- The decoded integer representation of the scalefactors
- The un-scaled, inversely quantized spectra

The output from the scalefactors tool is:

- The scaled, inversely quantized spectra

The M/S tool converts spectra pairs from Mid/Side to Left/Right under control of the M/S decision information, improving stereo imaging quality and sometimes providing coding efficiency.

The inputs to the M/S tool are:

- The M/S decision information
- The scaled, inversely quantized spectra related to pairs of channels

The output from the M/S tool is:

- The scaled, inversely quantized spectra related to pairs of channels, after M/S decoding

Note: The scaled, inversely quantized spectra of individually coded channels are not processed by the M/S block, rather they are passed directly through the block without modification. If the M/S block is not active, all spectra are passed through this block unmodified.

The prediction tool reverses the prediction process carried out at the encoder. This prediction process re-inserts the redundancy that was extracted by the prediction tool at the encoder, under the control of the predictor state information. This tool is implemented as a second order backward adaptive predictor.

The inputs to the prediction tool are:

- The predictor state information
- The predictor side information
- The scaled, inversely quantized spectra

The output from the prediction tool is:

- The scaled, inversely quantized spectra, after prediction is applied.

Note: If the prediction is disabled, the scaled, inversely quantized spectra are passed directly through the block without modification.

Alternatively, there is a forward adaptive long term prediction tool provided.

The inputs to the long term prediction tool are:

- The reconstructed time domain output of the decoder
- The scaled, inversely quantized spectra

The output from the long term prediction tool is:

- The scaled, inversely quantized spectra, after prediction is applied.

Note: If the prediction is disabled, the scaled, inversely quantized spectra are passed directly through the block without modification.

The perceptual noise substitution (PNS) tool implements noise substitution decoding on channel spectra by providing an efficient representation for noise-like signal components.

The inputs to the perceptual noise substitution tool are:

- The inversely quantized spectra
- The perceptual noise substitution control information

The output from the perceptual noise substitution tool is:

- The inversely quantized spectra

Note: If either part of this block is disabled, the scaled, inversely quantized spectra are passed directly through this part without modification. If the perceptual noise substitution block is not active, all spectra are passed through this block unmodified.

The intensity stereo tool implements intensity stereo decoding on pairs of spectra.

The inputs to the intensity stereo tool are:

- The inversely quantized spectra
- The intensity stereo control information

The output from the intensity stereo tool is:

- The inversely quantized spectra after intensity channel decoding.

Note: The scaled, inversely quantized spectra of individually coded channels are passed directly through this tool without modification. The intensity stereo tool and M/S tool are arranged so that the operation of M/S and intensity stereo are mutually exclusive on any given scalefactor band and group of one pair of spectra.

The coupling tool for dependently switched coupling channels adds the relevant data from dependently switched coupling channels to the spectra, as directed by the coupling control information.

The inputs to the coupling tool are:

- The inversely quantized spectra
- The coupling control information

The output from the coupling tool is:

- The inversely quantized spectra coupled with the dependently switched coupling channels.

Note: The scaled, inversely quantized spectra are passed directly through this tool without modification, if coupling is not indicated. Depending on the coupling control information, dependently switched coupling channels might either be coupled before or after the TNS processing.

The coupling tool for independently switched coupling channels adds the relevant data from independently switched coupling channels to the time signal, as directed by the coupling control information.

The inputs to the coupling tool are:

- The time signal as output by the filterbank
- The coupling control information

The output from the coupling tool is:

- The time signal coupled with the independently switched coupling channels.

Note: The time signal is passed directly through this tool without modification, if coupling is not indicated.

The temporal noise shaping (TNS) tool implements a control of the fine time structure of the coding noise. In the encoder, the TNS process has flattened the temporal envelope of the signal to which it has been applied. In the decoder, the inverse process is used to restore the actual temporal envelope(s), under control of the TNS information. This is done by applying a filtering process to parts of the spectral data.

The inputs to the TNS tool are:

- The inversely quantized spectra
- The TNS information

The output from the TNS block is:

- The inversely quantized spectra

Note: If this block is disabled, the inversely quantized spectra are passed through without modification.

The filterbank / block switching tool applies the inverse of the frequency mapping that was carried out in the encoder. An inverse modified discrete cosine transform (IMDCT) is used for the filterbank tool. The IMDCT can be configured to support either one set of 120, 128, 480, 512, 960, or 1024, or four sets of 32 or 256 spectral coefficients.

The inputs to the filterbank tool are:

- The inversely quantized spectra
- The filterbank control information

The output(s) from the filterbank tool is (are):

- The time domain reconstructed audio signal(s).

When present, the gain control tool applies a separate time domain gain control to each of 4 frequency bands that have been created by the gain control PQF filterbank in the encoder. Then, it assembles the 4 frequency bands and reconstructs the time waveform through the gain control tool's filterbank.

The inputs to the gain control tool are:

- The time domain reconstructed audio signal(s)
- The gain control information

The output(s) from the gain control tool is (are):

- The time domain reconstructed audio signal(s)

If the gain control tool is not active, the time domain reconstructed audio signal(s) are passed directly from the filterbank tool to the output of the decoder. This tool is used for the scalable sampling rate (SSR) audio object type only.

The SBR tool regenerates the highband of the audio signal. It is based on replication of the sequences of harmonics, truncated during encoding. It adjusts the spectral envelope of the generated high-band and applies inverse filtering, and adds noise and sinusoidal components in order to recreate the spectral characteristics of the original signal.

The input to the SBR tool is:

- The quantized envelope data;
- Misc. control data;
- A time domain signal from the AAC core decoder.

The output of the SBR tool is:

- A time domain signal.

The spectrum normalization tool converts the reconstructed flat spectra to the actual values at the decoder. The spectral envelope is specified by LPC coefficients, a Bark scale envelope, periodic peak components, and gain.

The input to the spectral normalization tool are

- The reconstructed flat spectra
- The information of LPC coefficients, a Bark scale envelope, periodic peak components and gain

The output from the spectral normalization tool is

- The reconstructed actual spectra

The interleaved VQ tool converts the vector index to the flattened spectra at the TwinVQ decoder by means of table look-up of the codebook and inverse interleaving of the spectra. Quantization noise is minimized by a weighted distortion measure at the encoder instead of an adaptive bit allocation. This is an alternative to the AAC quantization tool.

The input to the interleaved VQ tool is:

- A set of indices of the code vector.

The output from the TwinVQ tool is:

- The reconstructed flattened spectra

The Frequency Selective Switch (FSS) tool is used to control the combination of the AAC coding layer with both, TwinVQ, and CELP coding layer, if these are used as base layer coder in scalable configurations. In a second function this tool is applied to control the combination of mono and stereo coding layer in scalable configurations where both mono, and stereo coding layer are used to code a stereo input signal.

The Up-sampling Filter tool adapts the sampling rate of a CELP core coder, which can be used as base layer coder in scalable configurations, to the sampling rate of the AAC extension layer.

The input to the Upsampling Filter tool is:

- The output of a CELP core coder running at a lower sampling rate than the AAC extension layer

The output from the Up-sampling Filter tool is:

- The up-sampled CELP core coder output, matching the sampling rate of the AAC extension layer, transformed into the frequency domain with exactly the same frequency and time resolution as the AAC extension layer.

The BSAC noiseless decoding tool takes information from the bitstream payload demultiplexer, parses that information, decodes the Arithmetic coded data, and reconstructs the quantized spectra and the Arithmetic coded scalefactors. The BSAC noiseless coding module is an alternative to the AAC coding module. The BSAC noiseless coding is used to make the bitstream payload scalable and error resilient and further reduce the redundancy of the scalefactors and the quantized spectrum.

The inputs to the BSAC decoding tool are

- The Arithmetic model information for the noiselessly coded spectra
- The noiselessly coded bit-sliced data

The outputs from the BSAC decoding tool are

- The decoded integer representation of the scalefactors
- The quantized value for the spectra

The virtual codebooks (VCB11) tool can extend the part of the bitstream payload demultiplexer that decodes the sectioning information. The VCB11 tool gives the opportunity to detect serious errors within the spectral data of an MPEG-4 AAC bitstream payload.

The input of the VCB11 tool is:

- The encoded section data using virtual codebooks

The output of the VCB11 tool is:

- The decoded sectioning information

The reversible variable length coding (RVLC) tool can replace the part of the noiseless coding tool that decodes the Huffman and DPCM coded scalefactors. The RVLC tool is used to increase the error resilience for the scalefactor data within an MPEG-4 AAC bitstream payload.

The input of the RVLC tool is:

- The noiselessly coded scalefactors using RVLC

The output of the RVLC tool is:

- The decoded integer representation of the scalefactors

The Huffman codeword reordering (HCR) tool can extend the part of the noiseless coding tool that decodes the Huffman coded spectral data. The HCR tool is used to increase the error resilience for the spectral data within an MPEG-4 AAC bitstream payload.

The input of the HCR tool is:

- The sectioning information for the noiselessly coded spectra
- The noiselessly coded spectral data in an error resilient reordered manner
- The length of the longest codeword within spectral\_data
- The length of spectral\_data

The output of the HCR tool is:

- The quantized value of the spectra

## 4.2 Normative references

Normative references can be found in subpart 1, subclause 1.2.

## 4.3 Terms and definitions

Definitions can be found in subpart 1, subclause 1.3.

## 4.4 Syntax

### 4.4.1 Decoder configuration (GASpecificConfig)

Table 4.1 – Syntax of GASpecificConfig()

Syntax	No. of bits	Mnemonic
GASpecificConfig (samplingFrequencyIndex, channelConfiguration, audioObjectType)		
{		
<b>frameLengthFlag;</b>	<b>1</b>	<b>bslbf</b>
<b>dependsOnCoreCoder;</b>	<b>1</b>	<b>bslbf</b>
if (dependsOnCoreCoder) {		
<b>coreCoderDelay;</b>	<b>14</b>	<b>uimsbf</b>
}		
<b>extensionFlag;</b>	<b>1</b>	<b>bslbf</b>
if (! channelConfiguration) {		
program_config_element ();		
}		
if ((audioObjectType == 6)    (audioObjectType == 20)) {		
<b>layerNr;</b>	<b>3</b>	<b>uimsbf</b>
}		
if (extensionFlag) {		
if (audioObjectType == 22) {		
<b>numOfSubFrame;</b>	<b>5</b>	<b>bslbf</b>
}		
}		
}		

<b>layer_length;</b>	<b>11</b>	<b>bslbf</b>
}		
if (audioObjectType == 17    audioObjectType == 19    audioObjectType == 20    audioObjectType == 23) {		
<b>aacSectionDataResilienceFlag;</b>	<b>1</b>	<b>bslbf</b>
<b>aacScalefactorDataResilienceFlag;</b>	<b>1</b>	<b>bslbf</b>
<b>aacSpectralDataResilienceFlag;</b>	<b>1</b>	<b>bslbf</b>
}		
<b>extensionFlag3;</b>	<b>1</b>	<b>bslbf</b>
if (extensionFlag3) {		
/* tbd in version 3 */		
}		
}		

4.4.1.1 Program config element

Table 4.2 – Syntax of program\_config\_element()

Syntax	No. of bits	Mnemonic
program_config_element()		
{		
<b>element_instance_tag;</b>	<b>4</b>	<b>uimsbf</b>
<b>object_type;</b>	<b>2</b>	<b>uimsbf</b>
<b>sampling_frequency_index;</b>	<b>4</b>	<b>uimsbf</b>
<b>num_front_channel_elements;</b>	<b>4</b>	<b>uimsbf</b>
<b>num_side_channel_elements;</b>	<b>4</b>	<b>uimsbf</b>
<b>num_back_channel_elements;</b>	<b>4</b>	<b>uimsbf</b>
<b>num_lfe_channel_elements;</b>	<b>2</b>	<b>uimsbf</b>
<b>num_assoc_data_elements;</b>	<b>3</b>	<b>uimsbf</b>
<b>num_valid_cc_elements;</b>	<b>4</b>	<b>uimsbf</b>
<b>mono_mixdown_present;</b>	<b>1</b>	<b>uimsbf</b>
if (mono_mixdown_present == 1 )		
<b>mono_mixdown_element_number;</b>	<b>4</b>	<b>uimsbf</b>
<b>stereo_mixdown_present;</b>	<b>1</b>	<b>uimsbf</b>
if (stereo_mixdown_present == 1 )		
<b>stereo_mixdown_element_number;</b>	<b>4</b>	<b>uimsbf</b>
<b>matrix_mixdown_idx_present;</b>	<b>1</b>	<b>uimsbf</b>
if (matrix_mixdown_idx_present == 1 ) {		
<b>matrix_mixdown_idx ;</b>	<b>2</b>	<b>uimsbf</b>
<b>pseudo_surround_enable;</b>	<b>1</b>	<b>uimsbf</b>
}		
for (i = 0; i < num_front_channel_elements; i++) {		
<b>front_element_is_cpe[i];</b>	<b>1</b>	<b>bslbf</b>
<b>front_element_tag_select[i];</b>	<b>4</b>	<b>uimsbf</b>
}		
for (i = 0; i < num_side_channel_elements; i++) {		
<b>side_element_is_cpe[i];</b>	<b>1</b>	<b>bslbf</b>
<b>side_element_tag_select[i];</b>	<b>4</b>	<b>uimsbf</b>
}		
for (i = 0; i < num_back_channel_elements; i++) {		
<b>back_element_is_cpe[i];</b>	<b>1</b>	<b>bslbf</b>
<b>back_element_tag_select[i];</b>	<b>4</b>	<b>uimsbf</b>
}		
for (i = 0; i < num_lfe_channel_elements; i++)		

<b>lfe_element_tag_select</b> [i];	<b>4</b>	<b>uimsbf</b>
for ( i = 0; i < num_assoc_data_elements; i++)		
<b>assoc_data_element_tag_select</b> [i];	<b>4</b>	<b>uimsbf</b>
for ( i = 0; i < num_valid_cc_elements; i++) {		
<b>cc_element_is_ind_sw</b> [i];	<b>1</b>	<b>uimsbf</b>
<b>valid_cc_element_tag_select</b> [i];	<b>4</b>	<b>uimsbf</b>
}		
byte_alignment();		Note 1
<b>comment_field_bytes</b> ;	<b>8</b>	<b>uimsbf</b>
for ( i = 0; i < comment_field_bytes; i++)		
<b>comment_field_data</b> [i];	<b>8</b>	<b>uimsbf</b>
}		

Note 1: If called from within an AudioSpecificConfig(), this byte\_alignment shall be relative to the start of the AudioSpecificConfig().

## 4.4.2 GA bitstream payloads

### 4.4.2.1 Payloads for the audio object types AAC main, AAC SSR, AAC LC and AAC LTP

Table 4.3 – Syntax of top level payload for audio object types AAC Main, SSR, LC, and LTP (raw\_data\_block())

Syntax	No. of bits	Mnemonic
raw_data_block()		
{		
while( (id = <b>id_syn_ele</b> ) != ID_END ){	<b>3</b>	<b>uimsbf</b>
switch (id) {		
case ID_SCE: single_channel_element();		
break;		
case ID_CPE: channel_pair_element();		
break;		
case ID_CCE: coupling_channel_element();		
break;		
case ID_LFE: lfe_channel_element();		
break;		
case ID_DSE: data_stream_element();		
break;		
case ID_PCE: program_config_element();		
break;		
case ID_FIL: fill_element();		
}		
}		
byte_alignment();		
}		

Table 4.4 – Syntax of single\_channel\_element()

Syntax	No. of bits	Mnemonic
single_channel_element()		
{		
<b>element_instance_tag</b> ;	<b>4</b>	<b>uimsbf</b>
individual_channel_stream(0,0);		
}		

Table 4.5 – Syntax of channel\_pair\_element()

Syntax	No. of bits	Mnemonic
channel_pair_element() {		
<b>element_instance_tag;</b>	<b>4</b>	<b>uimsbf</b>
<b>common_window;</b>	<b>1</b>	<b>uimsbf</b>
if (common_window) {		
ics_info();		
<b>ms_mask_present;</b>	<b>2</b>	<b>uimsbf</b>
if ( ms_mask_present == 1 ) {		
for (g = 0; g < num_window_groups; g++) {		
for (sfb = 0; sfb < max_sfb; sfb++) {		
<b>ms_used[g][sfb];</b>	<b>1</b>	<b>uimsbf</b>
}		
}		
}		
individual_channel_stream(common_window,0);		
individual_channel_stream(common_window,0);		
}		

Table 4.6 – Syntax of ics\_info()

Syntax	No. of bits	Mnemonic
ics_info() {		
<b>ics_reserved_bit;</b>	<b>1</b>	<b>bslbf</b>
<b>window_sequence;</b>	<b>2</b>	<b>uimsbf</b>
<b>window_shape;</b>	<b>1</b>	<b>uimsbf</b>
if (window_sequence == EIGHT_SHORT_SEQUENCE) {		
<b>max_sfb;</b>	<b>4</b>	<b>uimsbf</b>
<b>scale_factor_grouping;</b>	<b>7</b>	<b>uimsbf</b>
}		
else {		
<b>max_sfb;</b>	<b>6</b>	<b>uimsbf</b>
<b>predictor_data_present;</b>	<b>1</b>	<b>uimsbf</b>
if (predictor_data_present) {		
if (audioObjectType == 1) {		
<b>predictor_reset;</b>	<b>1</b>	<b>uimsbf</b>
if (predictor_reset) {		
<b>predictor_reset_group_number;</b>	<b>5</b>	<b>uimsbf</b>
}		
for (sfb = 0; sfb < min ( max_sfb, PRED_SFB_MAX ); sfb++) {		
<b>prediction_used[sfb];</b>	<b>1</b>	<b>uimsbf</b>
}		
}		
else {		
<b>ltp_data_present;</b>	<b>1</b>	<b>uimsbf</b>
if (ltp_data_present) {		
ltp_data();		
}		
if (common_window) {		
<b>ltp_data_present;</b>	<b>1</b>	<b>uimsbf</b>

```

        if (ltp_data_present) {
            ltp_data();
        }
    }
}

```

Table 4.7 – Syntax of pulse\_data()

Syntax	No. of bits	Mnemonic
pulse_data() {		
<b>number_pulse;</b>	<b>2</b>	<b>uimsbf</b>
<b>pulse_start_sfb;</b>	<b>6</b>	<b>uimsbf</b>
for (i = 0; i < number_pulse+1; i++) {		
<b>pulse_offset[i];</b>	<b>5</b>	<b>uimsbf</b>
<b>pulse_amp[i];</b>	<b>4</b>	<b>uimsbf</b>
}		
}		

Table 4.8 – Syntax of coupling\_channel\_element()

Syntax	No. of bits	Mnemonic
coupling_channel_element()		
{		
<b>element_instance_tag;</b>	<b>4</b>	<b>uimsbf</b>
<b>ind_sw_cce_flag;</b>	<b>1</b>	<b>uimsbf</b>
<b>num_coupled_elements;</b>	<b>3</b>	<b>uimsbf</b>
num_gain_element_lists = 0;		
for (c = 0; c < num_coupled_elements+1; c++) {		
num_gain_element_lists++;		
<b>cc_target_is_cpe[c];</b>	<b>1</b>	<b>uimsbf</b>
<b>cc_target_tag_select[c];</b>	<b>4</b>	<b>uimsbf</b>
if (cc_target_is_cpe[c]) {		
<b>cc_l[c];</b>	<b>1</b>	<b>uimsbf</b>
<b>cc_r[c];</b>	<b>1</b>	<b>uimsbf</b>
if (cc_l[c] && cc_r[c])		
num_gain_element_lists++;		
}		
}		
<b>cc_domain;</b>	<b>1</b>	<b>uimsbf</b>
<b>gain_element_sign;</b>	<b>1</b>	<b>uimsbf</b>
<b>gain_element_scale;</b>	<b>2</b>	<b>uimsbf</b>
individual_channel_stream(0,0);		
for (c=1; c<num_gain_element_lists; c++) {		
if (ind_sw_cce_flag) {		
cge = 1;		
} else {		
<b>common_gain_element_present[c];</b>	<b>1</b>	<b>uimsbf</b>
cge = common_gain_element_present[c];		
}		
if (cge)		

```

        hcod_sf[common_gain_element[c]];          1..19      vlclbf
    else {
        for (g = 0; g < num_window_groups; g++) {
            for (sfb=0; sfb<max_sfb; sfb++) {
                if (sfb_cb[g][sfb] != ZERO_HCB)
                    hcod_sf[dpcm_gain_element[c][g][sfb]]; 1..19      vlclbf
            }
        }
    }
}

```

**Table 4.9 – Syntax of lfe\_channel\_element()**

Syntax	No. of bits	Mnemonic
lfe_channel_element() { <b>element_instance_tag</b> ; individual_channel_stream(0,0); }	4	<b>uimsbf</b>

**Table 4.10 – Syntax of data\_stream\_element()**

Syntax	No. of bits	Mnemonic
data_stream_element() { <b>element_instance_tag</b> ; <b>data_byte_align_flag</b> ; cnt = <b>count</b> ; if (cnt == 255) cnt += <b>esc_count</b> ; if (data_byte_align_flag) byte_alignment(); for (i = 0; i < cnt; i++) <b>data_stream_byte</b> [element_instance_tag][i]; }	4 1 8 8 8	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

**Table 4.11 – Syntax of fill\_element()**

Syntax	No. of bits	Mnemonic
Fill_element() { cnt = <b>count</b> ; if (cnt == 15) cnt += <b>esc_count</b> - 1; while (cnt > 0) { cnt -= extension_payload(cnt); } }	4 8	<b>uimsbf</b> <b>uimsbf</b>

**Table 4.12 – Syntax of gain\_control\_data()**

Syntax	No. of bits	Mnemonic
gain_control_data() { <b>max_band</b> ; if (window_sequence == ONLY_LONG_SEQUENCE) { for (bd = 1; bd <= max_band; bd++) {	2	<b>uimsbf</b>



<pre> {   aac_scalable_main_header();   for (ch=0; ch&lt;(this_layer_stereo ? 2:1); ch++){     individual_channel_stream(1, 1);   }   cnt = bits_to_decode() / 8;   while (cnt &gt;= 1) {     cnt -= extension_payload(cnt);   }   byte_alignment(); } </pre>
<p>Note 1: For ER AAC AOTs, the top level payload described above is treated as logical bitstream payload. Preprocessing steps as outlined in subclause 4.5.2.4 are required in order to retrieve this logical bitstream payload from the physical bitstream payload.</p>

**Table 4.14 – Syntax of the ASEE top level payload for the audio object type AAC scalable (aac\_scalable\_extension\_element)**

Syntax	No. of bits	Mnemonic
<pre> aac_scalable_extension_element() {   aac_scalable_extension_header()   for (ch=0; ch&lt;(this_layer_stereo ? 2:1); ch++){     individual_channel_stream(1, 1)   }   cnt = bits_to_decode() / 8   while (cnt &gt;= 1) {     cnt -= extension_payload(cnt)   }   byte_alignment(); } </pre>		Note 1
<p>Note 1: For ER AAC AOTs, the top level payload described above is treated as logical bitstream payload. Preprocessing steps as outlined in subclause 4.5.2.4 are required in order to retrieve this logical bitstream payload from the physical bitstream payload.</p>		

**Table 4.15 – Syntax of aac\_scalable\_main\_header()**

Syntax	No. of bits	Mnemonic
<pre> aac_scalable_main_header() {   <b>ics_reserved_bit;</b>   If (tvq_layer_present == 0) {     <b>window_sequence;</b>     <b>window_shape;</b>   }   if (window_sequence == EIGHT_SHORT_SEQUENCE) {     <b>max_sfb;</b>     <b>scale_factor_grouping;</b>   } else {     <b>max_sfb;</b>   }   if (this_layer_stereo) {     <b>ms_mask_present;</b> </pre>	<pre> 1 2 1 4 7 6 2 </pre>	<pre> <b>bslbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>bslbf</b> </pre>

<pre> if (ms_mask_present == 1) {     ms_data(); } } if (mono_stereo_flag &amp;&amp; ( core_flag    (tvq_layer_present &amp;&amp; tvq_mono_tns == 0))) <b>tns_channel_mono_layer;</b> </pre>	<b>1</b>	<b>bslbf</b>
<pre> for (ch = 0; ch &lt; (this_layer_stereo ? 2:1 ); ch++) {     if (!tvq_layer_present    (tns_aac_tvq_en[ch] == 1)) {         <b>tns_data_present;</b>         if (tns_data_present)             tns_data();     }     if (core_flag    tvq_layer_present) {         if ((ch == 0)    ((ch == 1) &amp;&amp; (core_stereo                diff_control_data());         if (mono_stereo_flag)             diff_control_data_lr();     } else {         <b>ltp_data_present;</b>         if (ltp_data_present) {             ltp_data ();         }     } } } } </pre>	<b>1</b>	<b>bslbf</b>

Table 4.16 – Syntax of aac\_scalable\_extension\_header()

Syntax	No. of bits	Mnemonic
<pre> aac_scalable_extension_header() {     if (window_sequence == EIGHT_SHORT_SEQUENCE) {         <b>max_sfb;</b>     } else {         <b>max_sfb;</b>     }     if (this_layer_stereo) {         <b>ms_mask_present;</b>         if (ms_mask_present == 1) {             ms_data();         }     }     if (mono_stereo_flag) {         for (ch = 0; ch &lt; 2; ch++) {             <b>tns_data_present;</b>             if (tns_data_present)                 tns_data();         }     }     if ((mono_layer_flag) &amp;&amp; (this_layer_stereo)) {         for (ch = 0; ch &lt; 2; ch++) {             diff_control_data_lr();         }     } } </pre>	<b>4</b>	<b>bslbf</b>
	<b>6</b>	<b>bslbf</b>
	<b>2</b>	<b>bslbf</b>
	<b>1</b>	<b>bslbf</b>

**Table 4.17 – Syntax of diff\_control\_data()**

Syntax	No. of bits	Mnemonic
diff_control_data() { if (window_sequence == EIGHT_SHORT_SEQUENCE) for (win = 0; win < 8; w++) <b>diff_control[win][0];</b> else for (dc_group=0; dc_group<no_of_dc_groups; dc_group++); <b>diff_control[0][dc_group];</b> }	<b>1</b>  <b>2..5</b>	<b>bslbf</b>  <b>bslbf</b>

**Table 4.18 – Syntax of diff\_control\_data\_lr()**

Syntax	No. of bits	Mnemonic
diff_control_data_lr() { if (window_sequence != EIGHT_SHORT_SEQUENCE) { for (sfb = last_max_sfb_ms; sfb < min(last_mono_max_sfb;max_sfb); sfb++)  if (!ms_used[0][sfb]) <b>diff_control_lr[0][sfb];</b> } else { if (last_max_sfb_ms == 0) /* only in the first stereo layer*/ for (win = 0; win < 8; win++) <b>diff_control_lr[win][0];</b> } }	<b>1</b>    <b>1</b>	<b>bslbf</b>    <b>bslbf</b>

**4.4.2.3 Payloads for the audio object types ER AAC LC, ER AAC LTP and ER AAC LD**

**Table 4.19 – Syntax of top level payload for audio object types ER AAC LC, ER AAC LTP and ER AAC LD (er\_raw\_data\_block())**

Syntax	No. of bits	Mnemonic
er_raw_data_block() { if ( channelConfiguration == 0 ) { /* reserved */ } if ( channelConfiguration == 1 ) { single_channel_element(); } if ( channelConfiguration == 2 ) { channel_pair_element(); } if ( channelConfiguration == 3 ) { single_channel_element(); channel_pair_element(); } if ( channelConfiguration == 4 ) {		Note 1

```

    single_channel_element();
    channel_pair_element();
    single_channel_element();
}
if ( channelConfiguration == 5 ) {
    single_channel_element();
    channel_pair_element();
    channel_pair_element();
}
if ( channelConfiguration == 6 ) {
    single_channel_element();
    channel_pair_element();
    channel_pair_element();
    lfe_channel_element();
}
if ( channelConfiguration == 7 ) {
    single_channel_element();
    channel_pair_element();
    channel_pair_element();
    channel_pair_element();
    lfe_channel_element();
}
if ( channelConfiguration >= 8 ) {
    /* reserved */
}
cnt = bits_to_decode() / 8;
while ( cnt >= 1 ) {
    cnt -= extension_payload(cnt);
}
byte_alignment();
}

```

Note 1: The top level payload described above is treated as logical bitstream payload. Preprocessing steps as outlined in subclause 4.5.2.4 are required in order to retrieve this logical bitstream payload from the physical bitstream payload.

#### 4.4.2.4 Payloads for the audio object type Twin\_VQ

**Table 4.20 – Syntax of the TSME top level payload for the audio object type Twin\_VQ (tvq\_scalable\_main\_element)**

Syntax	No. of bits	Mnemonic
<pre> tvq_scalable_main_element() {     tvq_scalable_main_header();     vq_single_element(0); } </pre>		

**Table 4.21 – Syntax of the TSEE top level payload for the audio object type Twin\_VQ (tvq\_scalable\_extension\_element)**

Syntax	No. of bits	Mnemonic
<pre> tvq_scalable_extension_element() {     tvq_scalable_extension_header();     vq_single_element(lay); } </pre>		

}

**Table 4.22 – Syntax of tvq\_scalable\_main\_header()**

Syntax	No. of bits	Mnemonic
tvq_scalable_main_header() {		
<b>window_sequence;</b>	<b>2</b>	<b>bslbf</b>
<b>window_shape;</b>	<b>1</b>	<b>bslbf</b>
if (this_layer_stereo) {		
<b>ms_mask_present;</b>	<b>2</b>	<b>bslbf</b>
if (ms_mask_present == 1) {		
if (window_sequence == EIGHT_SHORT_SEQUENCE)		
<b>scale_factor_grouping;</b>	<b>7</b>	<b>bslbf</b>
ms_data();		
}		
}		
for( ch = 0; ch < (this_layer_stereo ? 2:1); ch++ ) {		
<b>ltp_data_present;</b>	<b>1</b>	<b>bslbf</b>
if (ltp_data_present)		
ltp_data ();		
<b>tns_data_present;</b>	<b>1</b>	<b>bslbf</b>
if (tns_data_present)		
tns_data();		
}		
}		

**Table 4.23 – Syntax of tvq\_scalable\_extension\_header()**

Syntax	No. of bits	Mnemonic
tvq_scalable_extension_header() {		
if (this_layer_stereo) {		
<b>ms_mask_present;</b>	<b>2</b>	<b>bslbf</b>
if (ms_mask_present == 1) {		
ms_data();		
}		
}		
}		

**Table 4.24 – Syntax of vq\_single\_element**

Syntax	No. of bits	Mnemonic
vq_single_element( lyr) {		
if (lyr == 0);		
<b>bandlimit_present</b>	<b>1</b>	<b>uimsbf</b>
if (window_sequence != EIGHT_SHORT_SEQUENCE && lyr == 0) {		
<b>ppc_present;</b>	<b>1</b>	<b>uimsbf</b>
<b>postprocess_present;</b>	<b>1</b>	<b>uimsbf</b>
}		
if (lyr >= 1)		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		

<b>fb_shift[i_ch];</b>	<b>2</b>	<b>uimsbf</b>
}		
if (lyr == 0 && <b>bandlimit_present</b> ) {		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_blim_h[i_ch];</b>	<b>2</b>	<b>uimsbf</b>
<b>index_blim_l[i_ch];</b>	<b>1</b>	<b>uimsbf</b>
}		
}		
if ( <b>ppc_present</b> ) {		
for (idiv = 0; idiv < N_DIV_P; idiv++) {		
<b>index_shape0_p[idiv];</b>	<b>7</b>	<b>uimsbf</b>
<b>index_shape1_p[idiv];</b>	<b>7</b>	<b>uimsbf</b>
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_pit[i_ch];</b>	<b>8</b>	<b>uimsbf</b>
<b>index_pgain[i_ch];</b>	<b>7</b>	<b>uimsbf</b>
}		
}		
for (idiv = 0; idiv < N_DIV; idiv++) {		
<b>index_shape0[idiv];</b>	<b>5/6</b>	<b>uimsbf</b>
<b>index_shape1[idiv];</b>	<b>5/6</b>	<b>uimsbf</b>
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
for (isb = 0; isb < N_SF; isb++) {		
for (ifdiv = 0; ifdiv < FW_N_DIV; ifdiv++) {		
<b>index_env[i_ch][isb][ifdiv];</b>	<b>0,6</b>	<b>uimsbf</b>
}		
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
for (isbm = 0; isbm < N_SF; isbm++){		
<b>index_fw_alf[i_ch][isbm];</b>	<b>0,1</b>	<b>uimsbf</b>
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++){		
<b>index_gain[i_ch]</b>	<b>8..9</b>	<b>uimsbf</b>
if (N_SF[b_type] > 1){		
for (isbm = 0; isbm < N_SF[b_type]; isbm++) {		
<b>index_gain_sb[i_ch][isbm]</b>	<b>4</b>	<b>uimsbf</b>
}		
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_lsp0[i_ch]</b>	<b>1</b>	<b>uimsbf</b>
<b>index_lsp1[i_ch]</b>	<b>6</b>	<b>uimsbf</b>
for (isplt = 0; isplt < LSP_SPLIT; isplt++) {		
<b>index_lsp2[i_ch][isplt]</b>	<b>4</b>	<b>uimsbf</b>
}		
}		
}		

#### 4.4.2.5 Payloads for the audio object type ER TwinVQ

Table 4.25 – Syntax of ER TwinVQ object type (base)

Syntax	No. of bits	Mnemonic
tvq_scalable_main_element()		



<b>index_shape1_p[idiv];</b>	<b>7</b>	<b>uimsbf</b>
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_pit[i_ch];</b>	<b>8</b>	<b>uimsbf</b>
<b>index_pgain[i_ch];</b>	<b>7</b>	<b>uimsbf</b>
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_gain[i_ch];</b>	<b>9</b>	<b>uimsbf</b>
if (N_SF[b_type] > 1) {		
for (isbm = 0; isbm < N_SF[b_type]; isbm++) {		
<b>index_gain_sb[i_ch][isbm];</b>	<b>4</b>	<b>uimsbf</b>
}		
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
<b>index_lsp0[i_ch];</b>	<b>1</b>	<b>uimsbf</b>
<b>index_lsp1[i_ch];</b>	<b>6</b>	<b>uimsbf</b>
for (isplt = 0; isplt < LSP_SPLIT; isplt++) {		
<b>index_lsp2[i_ch][isplt];</b>	<b>4</b>	<b>uimsbf</b>
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
for (isb = 0; isb < N_SF; isb++) {		
for (ifdiv = 0; ifdiv < FW_N_DIV; ifdiv++) {		
<b>index_env[i_ch][isb][ifdiv];</b>	<b>0,6</b>	<b>uimsbf</b>
}		
}		
}		
for (i_ch = 0; i_ch < n_ch; i_ch++) {		
for (isbm = 0; isbm < N_SF; isbm++) {		
<b>index_fw_alf[i_ch][isbm];</b>	<b>0,1</b>	<b>uimsbf</b>
}		
}		

Table 4.28 – Syntax of Error\_Sensitivity\_Category2()

Syntax	No. of bits	Mnemonic
Error_Sensitivity_Category2()		
{		
for (idiv = 0; idiv < N_DIV; idiv++) {		
<b>index_shape0[idiv];</b>	<b>5/6</b>	<b>uimsbf</b>
<b>index_shape1[idiv];</b>	<b>5/6</b>	<b>uimsbf</b>
}		
}		

Table 4.29 – Syntax of Error\_Sensitivity\_Category3()

Syntax	No. of bits	Mnemonic
Error_Sensitivity_Category3()		
{		

if (this_layer_stereo) { <b>ms_mask_present;</b> if (ms_mask_present == 1) { ms_data(); } }	<b>2</b>	<b>bslbf</b>
for (i_ch = 0; i_ch < n_ch; i_ch++) { <b>fb_shift[i_ch];</b> }	<b>2</b>	<b>uimsbf</b>
for (i_ch = 0; i_ch < n_ch; i_ch++) { <b>index_gain[i_ch];</b> if (N_SF[b_type] > 1) { for (isbm = 0; isbm < N_SF[b_type]; isbm++) { <b>index_gain_sb[i_ch][isbm];</b> } } }	<b>8</b>	<b>uimsbf</b>
for (i_ch = 0; i_ch < n_ch; i_ch++) { <b>index_lsp0[i_ch];</b> <b>index_lsp1[i_ch];</b> for (isplt = 0; isplt < LSP_SPLIT; isplt++) { <b>index_lsp2[i_ch][isplt];</b> } }	<b>1</b> <b>6</b> <b>4</b>	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>
for (i_ch = 0; i_ch < n_ch; i_ch++) { for (isb = 0; isb < N_SF; isb++) { for (ifdiv = 0; ifdiv < FW_N_DIV; ifdiv++) { <b>index_env[i_ch][isb][ifdiv];</b> } } }	<b>0,6</b>	<b>uimsbf</b>
for (i_ch = 0; i_ch < n_ch; i_ch++) { for (isbm = 0; isbm < N_SF; isbm++) { <b>index_fw_alf[i_ch][isbm];</b> } }	<b>0,1</b>	<b>uimsbf</b>

Table 4.30 – Syntax of Error\_Sensitivity\_Category4()

Syntax	No. of bits	Mnemonic
Error_Sensitivity_Category4() { for (idiv = 0; idiv < N_DIV; idiv++) { <b>index_shape0[idiv];</b> <b>index_shape1[idiv];</b> } }	<b>5/6</b> <b>5/6</b>	<b>uimsbf</b> <b>uimsbf</b>

## 4.4.2.6 Payloads for the audio object type ER BSAC

Table 4.31 – Syntax of top level payload for audio object type ER BSAC (bsac\_payload())

Syntax	No. of bits	Mnemonic
<pre>bsac_payload(layer) {     for (frm = 0; frm &lt; numOfSubFrame; frm++) {         bsac_lstep_element(frm, layer);     } } /* bsac_lstep_element(frm, layer) should be mapped to the fine grain audio data, bsac_raw_data_block(), for the actual decoding. See subclause "Decoding of payload for audio object type ER BSAC" for more detailed description.*/ }</pre>		

Table 4.32 – Syntax of bsac\_lstep\_element()

Syntax	No. of bits	Mnemonic
<pre>bsac_lstep_element(frm, layer) {     offset = LayerStartByte[frm][layer];     for(i = 0; i &lt; LayerLength[frm][layer]; i++)         <b>bsac_stream_byte[frm][offset+i];</b> } /* bsac_stream_byte should be mapped to the fine grain audio data, bsac_raw_data_block(), for the actual decoding. See subclause "Decoding of payload for audio object type ER BSAC" for more detailed description. */ }</pre>	<b>8</b>	<b>uimbsf</b>

Table 4.33 – Syntax of bsac\_raw\_data\_block()

Syntax	No. of bits	Mnemonic
<pre>bsac_raw_data_block() {     bsac_base_element();     layer=slayer_size;     while(data_available() &amp;&amp; layer&lt;(top_layer+slayer_size)) {         bsac_layer_element(layer);         layer++;     }     byte_alignment();     if (data_available()) {         <b>zero_code</b>         <b>sync_word</b>         while( bits_to_decode() &gt; 4 ) {             <b>extension_type</b>             switch(extension_type) {                 case EXT_BSAC_CHANNEL :                     extended_bsac_raw_data_block();                     break;                 case EXT_BSAC_SBR_DATA :                     extended_bsac_sbr_data(nch, 0);                     break;</pre>	<b>32</b> <b>4</b> <b>4</b>	<b>bslbf</b> <b>bslbf</b> <b>bslbf</b>
		Note 1

<pre>                 case EXT_BSAC_SBR_DATA_CRC :                     extended_bsac_sbr_data(nch, 1);                     break;                 case EXT_BSAC_CHANNEL_SBR :                     extended_bsac_raw_data_block();                     extended_bsac_sbr_data(nch, 0);                     break;                 case EXT_BSAC_CHANNEL_SBR_CRC :                     extended_bsac_raw_data_block();                     extended_bsac_sbr_data(nch, 1);                     break;                 case EXT_BSAC_SAC_DATA :                     extended_bsac_sac_data();                     break;                 default :                     extended_bsac_data();                     break;             }         }     } } </pre>	<p>Note 1</p> <p>Note 1</p>
<p>Note 1 : The byte_alignment() functions in extended_bsac_raw_data_block() are relative to the start of extended_bsac_raw_data_block().</p>	

**Table 4.34 – Syntax of bsac\_base\_element()**

Syntax	No. of bits	Mnemonic
<pre> bsac_base_element() {     <b>frame_length;</b>     bsac_header();     general_header();     byte_alignment();     for (slayer = 0; slayer &lt; slayer_size; slayer++)         bsac_layer_element(slayer); } </pre>	<p><b>11</b></p>	<p><b>uimbf</b></p>

**Table 4.35 – Syntax of bsac\_header()**

Syntax	No. of bits	Mnemonic
<pre> bsac_header() {     <b>header_length;</b>     <b>sba_mode;</b>     <b>top_layer;</b>     <b>base_snf_thr;</b>      for (ch = 0; ch &lt; nch; ch++)         <b>max_scalefactor[ch];</b>      <b>base_band;</b>      for(ch = 0; ch &lt; nch; ch++) {         <b>cband_si_type[ch];</b>     } } </pre>	<p><b>4</b></p> <p><b>1</b></p> <p><b>6</b></p> <p><b>2</b></p> <p><b>8</b></p> <p><b>5</b></p> <p><b>5</b></p>	<p><b>uimbf</b></p> <p><b>uimbf</b></p> <p><b>uimbf</b></p> <p><b>uimbf</b></p> <p><b>uimbf</b></p> <p><b>uimbf</b></p> <p><b>uimbf</b></p>

<b>base_scf_model[ch];</b>	<b>3</b>	<b>uimbf</b>
<b>enh_scf_model[ch];</b>	<b>3</b>	<b>uimbf</b>
<b>max_sfb_si_len[ch];</b>	<b>4</b>	<b>uimbf</b>
}		
}		

Table 4.36 – Syntax of `general_header()`

Syntax	No. of bits	Mnemonic
<code>general_header()</code>		
{		
<b>reserved_bit;</b>	<b>1</b>	<b>bslbf</b>
<b>window_sequence;</b>	<b>2</b>	<b>uimsbf</b>
<b>window_shape;</b>	<b>1</b>	<b>uimsbf</b>
if ( <code>window_sequence == EIGHT_SHORT_SEQUENCE</code> ) {		
<b>max_sfb;</b>	<b>4</b>	<b>uimsbf</b>
<b>scale_factor_grouping;</b>	<b>7</b>	<b>uimsbf</b>
} else {		
<b>max_sfb;</b>	<b>6</b>	<b>uimsbf</b>
}		
<b>pns_data_present;</b>	<b>1</b>	<b>uimbf</b>
if ( <code>pns_data_present</code> )		
<b>pns_start_sfb;</b>	<b>6</b>	<b>uimbf</b>
if ( <code>nch == 2</code> )		
<b>ms_mask_present;</b>	<b>2</b>	<b>bslbf</b>
for ( <code>ch = 0 ch &lt; nch; ch++</code> ) {		
<b>tns_data_present[ch];</b>	<b>1</b>	<b>bslbf</b>
if ( <code>tns_data_present[ch]</code> )		
<code>tns_data();</code>		
<b>ltp_data_present[ch];</b>	<b>1</b>	<b>bslbf</b>
if ( <code>ltp_data_present[ch]</code> )		
<code>ltp_data(last_max_sfb, max_sfb);</code>		
}		
}		

Table 4.37 – Syntax of `bsac_layer_element()`

Syntax	No. of bits	Mnemonic
<code>bsac_layer_element(layer)</code>		
{		
<code>layer_cband_si(layer);</code>		
<code>layer_sfb_si(layer);</code>		
<code>bsac_layer_spectra (layer);</code>		
if ( <code>!sba_mode</code> ) {		
<code>bsac_lower_spectra (layer);</code>		
}		
else if ( <code>terminal_layer[layer]</code> ) {		
<code>bsac_lower_spectra (layer);</code>		
<code>bsac_higher_spectra (layer);</code>		
}		
}		

}  
}

**Table 4.38 – Syntax of layer\_cband\_si()**

Syntax	No. of bits	Mnemonic
<pre> layer_cband_si(layer) {   g = layer_group[layer];   for (ch = 0; ch &lt; nch; ch++) {     for (cband = layer_start_cband[layer];          cband &lt; layer_end_cband[layer]; cband++) {       <b>acode_cband_si[ch][g][cband];</b>     }   } } </pre>	<b>1..14</b>	<b>bslbf</b>

**Table 4.39 – Syntax of layer\_sfb\_si()**

Syntax	No. of bits	Mnemonic
<pre> layer_sfb_si (layer) {   g = layer_group[layer];   for (ch = 0; ch &lt; nch; ch++)   for (sfb = layer_start_sfb[layer]; sfb &lt; layer_end_sfb[layer]; sfb++) {     if (nch == 1) {       if (pns_data_present &amp;&amp; sfb &gt;= pns_start_sfb) {         <b>acode_noise_flag[g][sfb];</b>       }     } else if (stereo_side_info_coded[g][sfb] == 0) {       if (ms_mask_present != 2) {         if (ms_mask_present == 1) {           <b>acode_ms_used[g][sfb];</b>         } else if (ms_mask_present == 3) {           <b>acode_stereo_info[g][sfb];</b>         }       }       if (pns_data_present &amp;&amp; sfb &gt;= pns_start_sfb) {         <b>acode_noise_flag_l[g][sfb];</b>         <b>acode_noise_flag_r[g][sfb];</b>         if (ms_mask_present == 3 &amp;&amp; stereo_info == 3) {           if (noise_flag_l &amp;&amp; noise_flag_r){             <b>acode_noise_mode[g][sfb];</b>           }         }       }     }     stereo_side_info_coded[g][sfb] = 1;   }   if (noise_flag[ch][g][sfb]) {     if (noise_pcm_flag[ch] == 1) {       <b>acode_max_noise_energy[ch];</b>       noise_pcm_flag[ch] = 0;     }     <b>acode_dpcm_noise_energy_index[ch][g][sfb];</b>   } else if (stereo_info[g][sfb] &gt;= 2 &amp;&amp; ch == 1) {     <b>acode_is_position_index[g][sfb];</b>   } } </pre>	<p><b>1</b></p> <p><b>0..2</b></p> <p><b>0..4</b></p> <p><b>1</b></p> <p><b>1</b></p> <p><b>2</b></p> <p><b>9</b></p> <p><b>0..14</b></p> <p><b>0..14</b></p>	<p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p> <p><b>bslbf</b></p>

<pre>         } else {             acode_scf_index[ch][g][sfb];         }     } } </pre>	0..14	bslbf
--	-------	-------

Table 4.40 – Syntax of bsac\_layer\_spectra()

Syntax	No. of bits	Mnemonic
<pre> bsac_layer_spectra(layer) {     g = layer_group[layer];     start_index[g] = layer_start_index[layer];     end_index[g] = layer_end_index[layer];     if (layer &lt; slayer_size)         thr_snf = base_snf_thr;     else         thr_snf = 0;     bsac_spectral_data (g, g+1, thr_snf, cur_snf); } </pre>		

Table 4.41 – Syntax of bsac\_lower\_spectra()

Syntax	No. of bits	Mnemonic
<pre> bsac_lower_spectra(layer) {     for (g = 0; g &lt; num_window_groups; g++) {         start_index[g] = 0;         end_index[g] = 0;     }     for (play = 0; play &lt; layer; play++) {         end_index[layer_group[play] ] = layer_end_index[play];     }     bsac_spectral_data (0, num_window_groups, 0, unc_snf); } </pre>		

Table 4.42 – Syntax of bsac\_higher\_spectra()

Syntax	No. of bits	Mnemonic
<pre> bsac_higher_spectra(layer) {     for (nlay = layer+1; nlay &lt; top_layer+slayer_size; nlay++) {         g = layer_group[nlay];         start_index[g] = layer_start_index[nlay];         end_index[g] = layer_end_index[nlay];         bsac_spectral_data (g, g+1, 0, unc_snf);     } } </pre>		

Table 4.43 – Syntax of bsac\_spectral\_data ()

Syntax	No. of bits	Mnemonic
<pre> bsac_spectral_data(start_g, end_g, thr_snf, cur_snf) {     if (!layer_data_available()) return; } </pre>		

```

for (snf = maxsnf; snf > thr_snf; snf--)
for (g = start_g; g < end_g; g++)
for (i = start_index[g]; i < end_index[g]; i++)
for (ch = 0; ch < nch; ch++) {
    if (cur_snf[ch][g][i] < snf) continue;

    if (!sample[ch][g][i] || sign_is_coded[ch][g][i])
        acod_sliced_bit[ch][g][i][snf];           0..6       bslbf

    if (sample[ch][g][i] && !sign_is_coded[ch][g][i]) {
        if (layer_data_available()) return;
        acod_sign[ch][g][i];                   1           bslbf
        sign_is_coded[ch][g][i] = 1;
    }
    cur_snf[ch][g][i]--;
    if (layer_data_available()) return;
}
}

```

**Table 4.44 – Syntax of extended\_bsac\_raw\_data\_block()**

Syntax	No. of bits	Mnemonic
<pre> extended_bsac_raw_data_block() {     extended_bsac_base_element();     layer=slayer_size;     while(data_available() &amp;&amp; layer&lt;(top_layer+slayer_size)) {         bsac_layer_element(layer);         layer++;     }     byte_alignment(); } </pre>		

**Table 4.45 – Syntax of extended\_bsac\_base\_element()**

Syntax	No. of bits	Mnemonic
<pre> extended_bsac_base_element() {     <b>element_length</b>           <b>11</b>       <b>uimbf</b>     <b>channel_configuration_index</b> <b>3</b>         <b>uimbf</b>     <b>reserved_bit</b>             <b>1</b>         <b>uimbf</b>     bsac_header();     general_header();     byte_alignment();     for (slayer = 0; slayer &lt; slayer_size; slayer++)         bsac_layer_element(slayer); } </pre>		

**Table 4.46 – Syntax of extended\_bsac\_sbr\_data ()**

Syntax	No. of bits	Mnemonic
<pre> extended_bsac_sbr_data(nch, crc_flag) {     num_sbr_bits = 0;     cnt = <b>count</b>;     num_sbr_bits += 4; </pre>	<b>4</b>	<b>uimsbf</b>

if (cnt == 15) { cnt += <b>esc_count</b> - 1; num_sbr_bits += 8; }	8	<b>uimsbf</b>
if (crc_flag) { <b>bs_sbr_crc_bits</b> ; num_sbr_bits += 10; }	10	<b>uimsbf</b>
num_sbr_bits += 1; if ( <b>bs_header_flag</b> ) num_sbr_bits += sbr_header(); num_sbr_bits += bsac_sbr_data(nch, bs_amp_res); num_align_bits = (8*cnt - num_sbr_bits); <b>bs_fill_bits</b> ;	1	<b>uimsbf</b>
	num_align_ bits	<b>uimsbf</b>

Table 4.47 – Syntax of bsac\_sbr\_data()

Syntax	No. of bits	Mnemonic
bsac_sbr_data(nch, bs_amp_res) { switch (nch) { case 1 : sbr_single_channel_element(bs_amp_res) break; case 2 : sbr_channel_pair_element(bs_amp_res) break; } }		

Table 4.48 – Syntax of extended\_bsac\_data()

Syntax	No. of bits	Mnemonic
extended_bsac_data() { cnt = <b>count</b> ;	8	<b>uimsbf</b>
if (cnt == 255) { cnt += <b>esc_count</b> - 1; }	8	<b>uimsbf</b>
for ( i=0 ; i < cnt-1 ; i++) { <b>byte_payload</b> }	8	<b>uimsbf</b>

Table 4.49 – Syntax of extended\_bsac\_sac\_data()

Syntax	No. of bits	Mnemonic
extended_bsac_sac_data() { cnt = <b>count</b> ;	8	<b>uimsbf</b>
if (cnt == 255) { cnt += <b>esc_count</b> - 1; }	8	<b>uimsbf</b>
<b>ancType</b> ;	2	<b>uimsbf</b>
<b>ancStart</b> ;	1	<b>uimsbf</b>

<b>ancStop;</b>	<b>1</b>	<b>uimsbf</b>
<b>bs_crc_flag</b>	<b>1</b>	<b>uimsbf</b>
<b>bs_fill_bits</b>	<b>3</b>	<b>uimsbf</b>
if (bs_crc_flag) {		
<b>ancCrcWord;</b>	<b>8</b>	<b>uimsbf</b>
cnt = cnt - 1;		
}		
for (i=0; i<cnt-2; i++) {		
<b>ancDataSegmentByte[i];</b>	<b>8</b>	<b>bslbf</b>
}		
}		

4.4.2.7 Subsidiary payloads

Table 4.50 – Syntax of individual\_channel\_stream()

Syntax	No. of bits	Mnemonic
individual_channel_stream(common_window, scale_flag)		
{		
<b>global_gain;</b>	<b>8</b>	<b>uimsbf</b>
if (! common_window && ! scale_flag) {		
ics_info ();		
}		
section_data ();		
scale_factor_data ();		
if (! scale_flag) {		
<b>pulse_data_present;</b>	<b>1</b>	<b>uimsbf</b>
if (pulse_data_present) {		
pulse_data ();		
}		
<b>tns_data_present;</b>	<b>1</b>	<b>uimsbf</b>
if (tns_data_present) {		
tns_data ();		
}		
<b>gain_control_data_present;</b>	<b>1</b>	<b>uimsbf</b>
if (gain_control_data_present) {		
gain_control_data ();		
}		
}		
if (! aacSpectralDataResilienceFlag) {		
spectral_data ();		
}		
else {		
<b>length_of_reordered_spectral_data;</b>	<b>14</b>	<b>uimsbf</b>
<b>length_of_longest_codeword;</b>	<b>6</b>	<b>uimsbf</b>
reordered_spectral_data ();		
}		
}		

Table 4.51 – Syntax of reordered\_spectral\_data ()

Syntax	No. of bits	Mnemonic
reordered_spectral_data ()		
{		

```

/* complex reordering, see tool description of Huffman
codeword reordering (subclause 4.6.16.3) */
}

```

Table 4.52 – Syntax of section\_data()

Syntax	No. of bits	Mnemonic
<pre> section_data() {   if (window_sequence == EIGHT_SHORT_SEQUENCE) {     sect_esc_val = ( 1 &lt;&lt; 3 ) - 1;   }   else {     sect_esc_val = ( 1 &lt;&lt; 5 ) - 1;   }   for (g = 0; g &lt; num_window_groups; g++) {     k = 0;     i = 0;     while (k &lt; max_sfb) {       if (aacSectionDataResilienceFlag)         <b>sect_cb[g][i];</b>       }       else {         <b>sect_cb[g][i];</b>       }       sect_len = 0;       if (! aacSectionDataResilienceFlag              sect_cb &lt; 11    ( sect_cb &gt; 11 &amp;&amp; sect_cb &lt; 16 )) {         while (<b>sect_len_incr</b> == sect_esc_val) {           sect_len += sect_esc_val;         }       }       else {         sect_len_incr = 1;       }       sect_len += sect_len_incr;       sect_start[g][i] = k;       sect_end[g][i] = k + sect_len;       for (sfb = k; sfb &lt; k + sect_len; sfb++) {         sfb_cb[g][sfb] = sect_cb[g][i];       }       k += sect_len;       i++;     }     num_sec[g] = i;   } } </pre>	<p>5</p> <p>4</p> <p>3/5</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>

Table 4.53 – Syntax of scale\_factor\_data()

Syntax	No. of bits	Mnemonic
<pre> scale_factor_data() {   if ( ! aacScalefactorDataResilienceFlag ) {     noise_pcm_flag = 1;   } } </pre>		

<pre> for ( g = 0; g &lt; num_window_groups; g++ ) {   for ( sfb = 0; sfb &lt; max_sfb; sfb++ ) {     if ( sfb_cb[g][sfb] != ZERO_HCB ) {       if ( is_intensity ( g, sfb ) ) {         <b>hcod_sf[dpcm_is_position[g][sfb]];</b>       }       else {         if ( is_noise(g, sfb) ) {           if ( noise_pcm_flag ) {             noise_pcm_flag = 0;             <b>dpcm_noise_nrg[g][sfb];</b>           }           else {             <b>hcod_sf[dpcm_noise_nrg[g][sfb]];</b>           }         }         else {           <b>hcod_sf[dpcm_sf[g][sfb]];</b>         }       }     }   } } </pre>	<p><b>1..19</b></p> <p><b>9</b></p> <p><b>1..19</b></p> <p><b>1..19</b></p>	<p><b>vlc1bf</b></p> <p><b>uimsbf</b></p> <p><b>vlc1bf</b></p> <p><b>vlc1bf</b></p>
<pre> } else {   intensity_used = 0;   noise_used = 0;   <b>sf_concealment;</b>   <b>rev_global_gain;</b>   <b>length_of_rvlc_sf;</b>   for ( g = 0; g &lt; num_window_groups; g++ ) {     for ( sfb=0; sfb &lt; max_sfb; sfb++ ) {       if ( sfb_cb[g][sfb] != ZERO_HCB ) {         if ( is_intensity ( g, sfb ) ) {           intensity_used = 1;           <b>rvlc_cod_sf[dpcm_is_position[g][sfb]];</b>         }         else {           if ( is_noise(g,sfb) ) {             if ( ! noise_used ) {               noise_used = 1;               <b>dpcm_noise_nrg[g][sfb];</b>             }             else {               <b>rvlc_cod_sf[dpcm_noise_nrg[g][sfb]];</b>             }           }           else {             <b>rvlc_cod_sf[dpcm_sf[g][sfb]];</b>           }         }       }     }   } } if ( intensity_used ) { </pre>	<p><b>1</b></p> <p><b>8</b></p> <p><b>11/9</b></p> <p><b>1..9</b></p> <p><b>9</b></p> <p><b>1..9</b></p> <p><b>1..9</b></p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>vlc1bf</b></p> <p><b>uimsbf</b></p> <p><b>vlc1bf</b></p> <p><b>vlc1bf</b></p>

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<b>rvlc_cod_sf[dpcm_is_last_position];</b>	<b>1..9</b>	<b>vlclbf</b>
<b>sf_escapes_present;</b>	<b>1</b>	<b>uimsbf</b>
<b>length_of_rvlc_escapes;</b>	<b>8</b>	<b>uimsbf</b>
<b>rvlc_esc_sf[dpcm_is_position[g][sfb]];</b>	<b>2..20</b>	<b>vlclbf</b>
<b>rvlc_esc_sf[dpcm_noise_nrg[g][sfb]];</b>	<b>2..20</b>	<b>vlclbf</b>
<b>rvlc_esc_sf[dpcm_sf[g][sfb]];</b>	<b>2..20</b>	<b>vlclbf</b>
<b>rvlc_esc_sf[dpcm_is_last_position];</b>	<b>2..20</b>	<b>vlclbf</b>
<b>dpcm_noise_last_position;</b>	<b>9</b>	<b>uimsbf</b>

Table 4.54 – Syntax of tns\_data()

Syntax	No. of bits	Mnemonic
tns_data() { for (w = 0; w < num_windows; w++) { <b>n_filt[w];</b> if (n_filt[w]) <b>coef_res[w];</b> for (filt = 0; filt < n_filt[w]; filt++) {	<b>1..2</b>	<b>uimsbf</b>
	<b>1</b>	<b>uimsbf</b>

<b>length[w][filt];</b>	<b>{4;6}</b>	<b>uimsbf</b>
<b>order[w][filt];</b>	<b>{3;5}</b>	<b>uimsbf</b>
if (order[w][filt]) {		
<b>direction[w][filt];</b>	<b>1</b>	<b>uimsbf</b>
<b>coef_compress[w][filt];</b>	<b>1</b>	<b>uimsbf</b>
for (i = 0; i < order[w][filt]; i++)		
<b>coef[w][filt][i];</b>	<b>2..4</b>	<b>uimsbf</b>
}		
}		
}		

Table 4.55 – Syntax of ltp\_data()

Syntax	No. of bits	Mnemonic
ltp_data()		
{		
if ( AudioObjectType == ER_AAC_LD ) {		
<b>ltp_lag_update;</b>	<b>1</b>	<b>uimsbf</b>
if ( ltp_lag_update ) {		
<b>ltp_lag;</b>	<b>10</b>	<b>uimsbf</b>
} else {		
ltp_lag = ltp_prev_lag;		
}		
<b>ltp_coef;</b>	<b>3</b>	<b>uimsbf</b>
for (sfb = 0; sfb < min(max_sfb, MAX_LTP_LONG_SFB); sfb++) {		
<b>ltp_long_used[sfb];</b>	<b>1</b>	<b>uimsbf</b>
}		
}		
else {		
<b>ltp_lag;</b>	<b>11</b>	<b>uimsbf</b>
<b>ltp_coef;</b>	<b>3</b>	<b>uimsbf</b>
if(window_sequence!=EIGHT_SHORT_SEQUENCE) {		
for (sfb=0; sfb<min(max_sfb, MAX_LTP_LONG_SFB); sfb++) {		
<b>ltp_long_used[sfb];</b>	<b>1</b>	<b>uimsbf</b>
}		
}		
}		
}		

Table 4.56 – Syntax of spectral\_data()

Syntax	No. of bits	Mnemonic
Spectral_data()		
{		
for (g = 0; g < num_window_groups; g++) {		
for (i = 0; i < num_sec[g]; i++) {		
if (sect_cb[g][i] != ZERO_HCB &&		
sect_cb[g][i] != NOISE_HCB &&		
sect_cb[g][i] != INTENSITY_HCB &&		
sect_cb[g][i] != INTENSITY_HCB2 ) {		
for (k = sect_sfb_offset[g][sect_start[g][i];		
k < sect_sfb_offset[g][sect_end[g][i]); {		
if (sect_cb[g][i]<FIRST_PAIR_HCB) {		
<b>hcod[sect_cb[g][i]][w][x][y][z];</b>	<b>1..16</b>	<b>vlclbf</b>
if (unsigned_cb[sect_cb[g][i])		
}		
}		
}		
}		
}		
}		

<b>quad_sign_bits;</b>	<b>0..4</b>	<b>bslbf</b>
k += QUAD_LEN;		
}		
else {		
<b>hcod[sect_cb[g][i]][y][z];</b>	<b>1..15</b>	<b>vlclbf</b>
if (unsigned_cb[sect_cb[g][i]])		
<b>pair_sign_bits;</b>	<b>0..2</b>	<b>bslbf</b>
k += PAIR_LEN;		
if (sect_cb[g][i] == ESC_HCB) {		
if (y == ESC_FLAG)		
<b>hcod_esc_y;</b>	<b>5..21</b>	<b>vlclbf</b>
if (z == ESC_FLAG)		
<b>hcod_esc_z;</b>	<b>5..21</b>	<b>vlclbf</b>
}		
}		
}		
}		

Table 4.57 – Syntax of extension\_payload()

Syntax	No. of bits	Mnemonic
extension_payload(cnt)		
{		
<b>extension_type;</b>	<b>4</b>	<b>uimsbf</b>
align = 4;		
switch( extension_type ) {		
case EXT_DYNAMIC_RANGE:		
return dynamic_range_info();		
case EXT_SAC_DATA:		
return sac_extension_data(cnt);		
case EXT_SBR_DATA:		Note 1
return sbr_extension_data(id_aac, 0);		
case EXT_SBR_DATA_CRC:		Note 1
return sbr_extension_data(id_aac, 1);		
case EXT_FILL_DATA:		
<b>fill_nibble;</b> /* must be '0000' */	<b>4</b>	<b>uimsbf</b>
for (i=0; i<cnt-1; i++) {		
<b>fill_byte[i];</b> /* must be '10100101' */	<b>8</b>	<b>uimsbf</b>
}		
return cnt;		
case EXT_DATA_ELEMENT:		
<b>data_element_version;</b>	<b>4</b>	<b>uimsbf</b>
switch( data_element_version ) {		
case ANC_DATA:		
loopCounter = 0;		
dataElementLength = 0;		
do {		
<b>dataElementLengthPart;</b>	<b>8</b>	<b>uimsbf</b>
dataElementLength += dataElementLengthPart;		
loopCounter++;		
} while (dataElementLengthPart == 255);		
for (i=0; i<dataElementLength; i++) {		
<b>data_element_byte[i];</b>	<b>8</b>	<b>uimsbf</b>
}		
}		
}		

<pre>                 }                 return (dataElementLength+loopCounter+1);             default:                 align = 0;         }         case EXT_FIL:         default:             for (i=0; i&lt;8*(cnt-1)+align; i++) {                 <b>other_bits[i];</b>             }             return cnt;     } } </pre>	<b>1</b>	<b>uimsbf</b>
<p>Note 1: id_aac is the id_syn_ele of the corresponding AAC element (ID_SCE or ID_CPE) or ID_SCE in case of CCE.</p>		

**Table 4.58 – Syntax of dynamic\_range\_info()**

Syntax	No. of bits	Mnemonic
dynamic_range_info()		
{		
n = 1;		
drc_num_bands = 1;		
<b>pce_tag_present</b> ;	<b>1</b>	<b>uimsbf</b>
if (pce_tag_present == 1) {		
<b>pce_instance_tag</b> ;	<b>4</b>	<b>uimsbf</b>
<b>drc_tag_reserved_bits</b> ;	<b>4</b>	<b>uimsbf</b>
n++;		
}		
<b>excluded_chns_present</b> ;	<b>1</b>	<b>uimsbf</b>
if (excluded_chns_present == 1) {		
n += excluded_channels();		
}		
<b>drc_bands_present</b> ;	<b>1</b>	<b>uimsbf</b>
if (drc_bands_present == 1) {		
<b>drc_band_incr</b> ;	<b>4</b>	<b>uimsbf</b>
<b>drc_interpolation_scheme</b> ;	<b>4</b>	<b>uimsbf</b>
n++;		
drc_num_bands = drc_num_bands + drc_band_incr;		
for (i = 0; i < drc_num_bands; i++) {		
<b>drc_band_top[i]</b> ;	<b>8</b>	<b>uimsbf</b>
n++;		
}		
}		
<b>prog_ref_level_present</b> ;	<b>1</b>	<b>uimsbf</b>
if (prog_ref_level_present == 1) {		
<b>prog_ref_level</b> ;	<b>7</b>	<b>uimsbf</b>
<b>prog_ref_level_reserved_bits</b> ;	<b>1</b>	<b>uimsbf</b>
n++;		
}		
for (i = 0; i < drc_num_bands; i++) {		
<b>dyn_rng_sgn[i]</b> ;	<b>1</b>	<b>uimsbf</b>
<b>dyn_rng_ctl[i]</b> ;	<b>7</b>	<b>uimsbf</b>
n++;		
}		
}		

```

return n;
}

```

Table 4.59 – Syntax of excluded\_channels()

Syntax	No. of bits	Mnemonic
<pre> Excluded_channels() {   n = 0;   num_excl_chan = 7;   for (i = 0; i &lt; 7; i++)     <b>exclude_mask</b>[ i ];   n++;   while (<b>additional_excluded_chns</b>[n-1] == 1) {     for (i = num_excl_chan; i &lt; num_excl_chan+7; i++)       <b>exclude_mask</b>[ i ];     n++;     num_excl_chan += 7;   }   return n; } </pre>	1	<b>uimsbf</b>
	1	<b>uimsbf</b>
	1	<b>uimsbf</b>

Table 4.60 – Syntax of ms\_data()

Syntax	No. of bits	Mnemonic
<pre> ms_data() {   for (g = 0; g &lt; num_window_groups; g++) {     for (sfb = last_max_sfb_ms; sfb &lt; max_sfb; sfb++) {       <b>ms_used</b>[g][sfb];     }   } } </pre>	1	<b>bslbf</b>

Table 4.61 – Syntax of sac\_extension\_data()

Syntax	No. of bits	Mnemonic
<pre> sac_extension_data(cnt) {   <b>ancType</b>;   <b>ancStart</b>;   <b>ancStop</b>;   for (i=0; i&lt;cnt-1; i++) {     <b>ancDataSegmentByte</b>[i];   }   return (cnt); } </pre>	2	<b>uimsbf</b>
	1	<b>uimsbf</b>
	1	<b>uimsbf</b>
	8	<b>bslbf</b>

#### 4.4.2.8 Payloads for the audio object type SBR

Table 4.62 – Syntax of sbr\_extension\_data()

Syntax	No. of bits	Mnemonic
<pre> sbr_extension_data(id_aac, crc_flag) { </pre>		

num_sbr_bits = 0;		
if (crc_flag) {		
<b>bs_sbr_crc_bits;</b>	<b>10</b>	<b>uimsbf</b>
num_sbr_bits += 10;		
}		
if (sbr_layer != SBR_STEREO_ENHANCE) {		Note 1
num_sbr_bits += 1;		
if ( <b>bs_header_flag</b> )	<b>1</b>	Note 2
num_sbr_bits += sbr_header();		
}		
num_sbr_bits += sbr_data(id_aac, bs_amp_res);		Note 2
num_align_bits = (8*cnt - 4 - num_sbr_bits)%8;		
<b>bs_fill_bits;</b>	num_align_bits	<b>uimsbf</b>
return ((num_sbr_bits + num_align_bits + 4) / 8)		
}		

Note 1: When the SBR tool is used with a non-scalable AAC core coder, the value of the helper variable sbr\_layer is SBR\_NOT\_SCALABLE. When the SBR tool is used with a scalable AAC core coder, the value of the helper variable sbr\_layer depends on the current layer and the scalability configuration of the AAC core coder as defined in Table 4.120 in subclause 4.5.2.8.2.4.

Note 2: sbr\_header() and sbr\_data() return the number of bits read (cnt is a parameter in extension\_payload()).

**Table 4.63 – Syntax of sbr\_header()**

Syntax	No. of bits	Mnemonic
sbr_header()		
{		
<b>bs_amp_res;</b>	<b>1</b>	
<b>bs_start_freq;</b>	<b>4</b>	<b>uimsbf,</b> Note 1
<b>bs_stop_freq;</b>	<b>4</b>	<b>uimsbf,</b> Note 1
<b>bs_xover_band;</b>	<b>3</b>	<b>uimsbf,</b> Note 2
<b>bs_reserved;</b>	<b>2</b>	<b>uimsbf</b>
<b>bs_header_extra_1;</b>	<b>1</b>	
<b>bs_header_extra_2;</b>	<b>1</b>	
if (bs_header_extra_1) {		Note 3
<b>bs_freq_scale;</b>	<b>2</b>	<b>uimsbf</b>
<b>bs_alter_scale;</b>	<b>1</b>	
<b>bs_noise_bands;</b>	<b>2</b>	<b>uimsbf</b>
}		
if (bs_header_extra_2) {		Note 3
<b>bs_limiter_bands;</b>	<b>2</b>	<b>uimsbf</b>
<b>bs_limiter_gains;</b>	<b>2</b>	<b>uimsbf</b>
<b>bs_interpol_freq;</b>	<b>1</b>	

<pre> <b>bs_smoothing_mode;</b>     } } </pre>	<b>1</b>
<p>Note 1: <code>bs_start_freq</code> and <code>bs_stop_freq</code> shall define a frequency band that does not exceed the limits defined in subclause 4.6.18.3.6.</p> <p>Note 2: Index to the master frequency band table, indicating where the current SBR range begins</p> <p>Note 3: If this bit is not set the default values for the underlying data elements shall be used disregarded any previous value.</p>	

**Table 4.64 – Syntax of `sbr_data()`**

Syntax	No. of bits	Mnemonic
<pre> sbr_data(id_aac, bs_amp_res) {     switch (sbr_layer) {         case SBR_NOT_SCALABLE             switch (id_aac) {                 case ID_SCE                     sbr_single_channel_element(bs_amp_res)                     break;                 case ID_CPE                     sbr_channel_pair_element(bs_amp_res)                     break;             }             break;         case SBR_MONO_BASE             sbr_channel_pair_base_element(bs_amp_res)             break;         case SBR_STEREO_ENHANCE             sbr_channel_pair_enhance_element(bs_amp_res)             break;         case SBR_STEREO_BASE             sbr_channel_pair_element(bs_amp_res)             break;     } } </pre>		Note 1
<p>Note 1: When the SBR tool is used with a non-scalable AAC core coder, the value of the helper variable <code>sbr_layer</code> is <code>SBR_NOT_SCALABLE</code>. When the SBR tool is used with a scalable AAC core coder, the value of the helper variable <code>sbr_layer</code> depends on the current layer and the scalability configuration of the AAC core coder as defined in Table 4.120 in subclause 4.5.2.8.2.4.</p>		

**Table 4.65 – Syntax of `sbr_single_channel_element()`**

Syntax	No. of bits	Mnemonic
<pre> sbr_single_channel_element(bs_amp_res) {     if (<b>bs_data_extra</b>)         <b>bs_reserved;</b>      sbr_grid(0);     sbr_dtdf(0);     sbr_invf(0);     sbr_envelope(0, 0, bs_amp_res);     sbr_noise(0, 0); } </pre>	<p style="text-align: right;"><b>1</b></p> <p style="text-align: right;"><b>4</b></p>	<b>uimsbf</b>

if ( <b>bs_add_harmonic_flag</b> [0]) sbr_sinusoidal_coding(0);	<b>1</b>	
if ( <b>bs_extended_data</b> ) { cnt = <b>bs_extension_size</b> ;	<b>1</b>	<b>uimsbf</b>
if (cnt == 15) cnt += <b>bs_esc_count</b> ;	<b>4</b>	<b>uimsbf</b>
num_bits_left = 8 * cnt; while (num_bits_left > 7) { <b>bs_extension_id</b> ;	<b>8</b>	<b>uimsbf</b>
num_bits_left -= 2; sbr_extension(bs_extension_id, num_bits_left);	<b>2</b>	<b>uimsbf</b>
}		Note 1
<b>bs_fill_bits</b> ;	num_bits _left	
}		

Note 1: sbr\_extension() shall decrease the variable num\_bits\_left by the number of bits read from the bitstream payload within sbr\_extension().

**Table 4.66 – Syntax of sbr\_channel\_pair\_element()**

Syntax	No. of bits	Mnemonic
sbr_channel_pair_element(bs_amp_res) {		
if ( <b>bs_data_extra</b> ) {	<b>1</b>	
<b>bs_reserved</b> ;	<b>4</b>	<b>uimsbf</b>
<b>bs_reserved</b> ;	<b>4</b>	<b>uimsbf</b>
}		
if ( <b>bs_coupling</b> ) {	<b>1</b>	
sbr_grid(0);		
sbr_dtdf(0);		
sbr_dtdf(1);		
sbr_invf(0);		
sbr_envelope(0,1, bs_amp_res);		
sbr_noise(0,1);		
sbr_envelope(1,1, bs_amp_res);		
sbr_noise(1,1);		
} else {		
sbr_grid(0);		
sbr_grid(1);		
sbr_dtdf(0);		
sbr_dtdf(1);		
sbr_invf(0);		
sbr_invf(1);		
sbr_envelope(0,0, bs_amp_res);		
sbr_envelope(1,0, bs_amp_res);		
sbr_noise(0,0);		
sbr_noise(1,0);		
}		

if ( <b>bs_add_harmonic_flag</b> [0]) sbr_sinusoidal_coding(0);	1	
if ( <b>bs_add_harmonic_flag</b> [1]) sbr_sinusoidal_coding(1);	1	
if ( <b>bs_extended_data</b> ) { cnt = <b>bs_extension_size</b> ;	1	
if (cnt == 15) cnt += <b>bs_esc_count</b> ;	4	<b>uimsbf</b>
num_bits_left = 8 * cnt;	8	<b>uimsbf</b>
while (num_bits_left > 7) { <b>bs_extension_id</b> ;	2	<b>uimsbf</b>
num_bits_left -= 2;		
sbr_extension( <b>bs_extension_id</b> , num_bits_left);		Note 1
}		
<b>bs_fill_bits</b> ;	num_bits _left	
}		
}		

Note 1: sbr\_extension() shall decrease the variable num\_bits\_left by the number of bits read from the bitstream payload within sbr\_extension().

Table 4.67 – Syntax of sbr\_channel\_pair\_base\_element()

Syntax	No. of bits	Mnemonic
sbr_channel_pair_base_element(bs_amp_res) {		
if ( <b>bs_data_extra</b> ) {	1	
<b>bs_reserved</b> ;	4	<b>uimsbf</b>
<b>bs_reserved</b> ;	4	<b>uimsbf</b>
}		
<b>bs_coupling</b>	1	Note 1
sbr_grid(0);		
sbr_dtdf(0);		
sbr_invf(0);		
sbr_envelope(0,1, bs_amp_res);		
sbr_noise(0,1);		
if ( <b>bs_add_harmonic_flag</b> [0]) sbr_sinusoidal_coding(0);	1	
if ( <b>bs_extended_data</b> ) {	1	
cnt = <b>bs_extension_size</b> ;	4	<b>uimsbf</b>
if (cnt == 15) cnt += <b>bs_esc_count</b> ;	8	<b>uimsbf</b>
num_bits_left = 8 * cnt;		
while (num_bits_left > 7) {		
<b>bs_extension_id</b> ;	2	<b>uimsbf</b>
num_bits_left -= 2;		
sbr_extension( <b>bs_extension_id</b> , num_bits_left);		Note 2
}		



<pre> for (env = 0; env &lt; bs_num_env[ch]; env++)     <b>bs_freq_res</b>[ch][env]; break; case VARVAR     <b>bs_var_bord_0</b>[ch];     <b>bs_var_bord_1</b>[ch];     <b>bs_num_rel_0</b>[ch];     <b>bs_num_rel_1</b>[ch];     bs_num_env[ch] = bs_num_rel_0[ch] + bs_num_rel_1[ch] + 1;     for (rel = 0; rel &lt; bs_num_rel_0[ch]; rel++)         bs_rel_bord_0[ch][rel] = 2* <b>tmp</b> + 2;     for (rel = 0; rel &lt; bs_num_rel_1[ch]; rel++)         bs_rel_bord_1[ch][rel] = 2* <b>tmp</b> + 2;     ptr_bits = ceil (log(bs_num_env[ch] + 1) / log (2));     <b>bs_pointer</b>[ch];     for (env = 0; env &lt; bs_num_env[ch]; env++)         <b>bs_freq_res</b>[ch][env]; break; }  if (bs_num_env[ch] &gt; 1)     bs_num_noise[ch] = 2; else     bs_num_noise[ch] = 1; } </pre>	<p>1</p> <p>2</p> <p>2</p> <p>2</p> <p>2</p> <p>2</p> <p>ptr_bits</p> <p>1</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p>Note 1</p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p>Note 2</p> <p><b>uimsbf</b></p>
<p>Note 1: bs_num_env is restricted according to subclause 4.6.18.3.6.</p> <p>Note 2: the division (/) is a float division without rounding or truncation.</p>		

Table 4.70 – Syntax of sbr\_dtdf()

Syntax	No. of bits	Mnemonic
<pre> sbr_dtdf(ch) {     for (env = 0; env &lt; bs_num_env[ch]; env++)         <b>bs_df_env</b>[ch][env];     for (noise = 0; noise &lt; bs_num_noise[ch]; noise++)         <b>bs_df_noise</b>[ch][noise]; } </pre>	<p>1</p> <p>1</p>	

Table 4.71 – Syntax of sbr\_invf()

Syntax	No. of bits	Mnemonic
<pre> sbr_invf(ch) {     for (n = 0; n &lt; num_noise_bands[ch]; n++)         <b>bs_invf_mode</b>[ch][n]; } </pre>	<p>2</p>	<p>Note 1</p> <p><b>uimsbf</b></p>
<p>Note 1: num_noise_bands[ch] is derived from the header, according to subclause 4.6.18.3 and is named <math>N_Q</math>.</p>		

Table 4.72 – Syntax of sbr\_envelope()

Syntax	No. of bits	Mnemonic
<pre> sbr_envelope(ch, bs_coupling, bs_amp_res) { </pre>		

```

if (bs_coupling) {
    if (ch) {
        if (bs_amp_res) {
            t_huff = t_huffman_env_bal_3_0dB;
            f_huff = f_huffman_env_bal_3_0dB;
        } else {
            t_huff = t_huffman_env_bal_1_5dB;
            f_huff = f_huffman_env_bal_1_5dB;
        }
    } else {
        if (bs_amp_res) {
            t_huff = t_huffman_env_3_0dB;
            f_huff = f_huffman_env_3_0dB;
        } else {
            t_huff = t_huffman_env_1_5dB;
            f_huff = f_huffman_env_1_5dB;
        }
    }
} else {
    if (bs_amp_res) {
        t_huff = t_huffman_env_3_0dB;
        f_huff = f_huffman_env_3_0dB;
    } else {
        t_huff = t_huffman_env_1_5dB;
        f_huff = f_huffman_env_1_5dB;
    }
}

for (env = 0; env < bs_num_env[ch]; env++) {
    if (bs_df_env[ch][env] == 0) {
        if (bs_coupling && ch) {
            if (bs_amp_res)
                bs_data_env[ch][env][0] = bs_env_start_value_balance;      5          uimsbf
            else
                bs_data_env[ch][env][0] = bs_env_start_value_balance;      6          uimsbf
        } else {
            if (bs_amp_res)
                bs_data_env[ch][env][0] = bs_env_start_value_level;        6          uimsbf
            else
                bs_data_env[ch][env][0] = bs_env_start_value_level;        7          uimsbf
        }
        for (band = 1; band < num_env_bands[bs_freq_res[ch][env]]; band++)
            bs_data_env[ch][env][band] = sbr_huff_dec(f_huff, bs_codeword); 1..18      Note 1
    } else {
        for (band = 0; band < num_env_bands[bs_freq_res[ch][env]]; band++)
            bs_data_env[ch][env][band] = sbr_huff_dec(t_huff, bs_codeword); 1..18      Note 2
    }
}
}

```

Note 1: num\_env\_bands[bs\_freq\_res[ch][env]] is derived from the header according to subclause 4.6.18.3 and is named **n**.

Note 2: sbr\_huff\_dec() is defined in Annex 4.A.6.1.

Table 4.73 – Syntax of sbr\_noise()

Syntax	No. of bits	Mnemonic
<pre> sbr_noise(ch,bs_coupling) {   if (bs_coupling) {     if (ch) {       t_huff = t_huffman_noise_bal_3_0dB;       f_huff = f_huffman_noise_bal_3_0dB;     } else {       t_huff = t_huffman_noise_3_0dB;       f_huff = f_huffman_noise_3_0dB;     }   } else {     t_huff = t_huffman_noise_3_0dB;     f_huff = f_huffman_noise_3_0dB;   }    for (noise = 0; noise &lt; bs_num_noise[ch]; noise++) {     if (bs_df_noise[ch][noise] == 0) {       if (bs_coupling &amp;&amp; ch)         bs_data_noise[ch][noise][0] = <b>bs_noise_start_value_balance</b>;       else         bs_data_noise[ch][noise][0] = <b>bs_noise_start_value_level</b>;        for (band = 1; band &lt; num_noise_bands[ch]; band++)         bs_data_noise[ch][noise][band] = sbr_huff_dec(f_huff,<b>bs_codeword</b>);     } else {       for (band = 0; band &lt; num_noise_bands[ch]; band++)         bs_data_noise[ch][noise][band] = sbr_huff_dec(t_huff,<b>bs_codeword</b>);     }   } } </pre>	<p>5</p> <p>5</p> <p>1..18</p> <p>1..18</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p>Note 1</p> <p>Note 2</p> <p>Note 1</p> <p>Note 2</p>
<p>Note 1: num_noise_bands[ch] is derived from the header according to subclause 4.6.18.3 and is named N<sub>Q</sub>.</p> <p>Note 2: sbr_huff_dec() is defined in Annex 4.A.6.1.</p>		

Table 4.74 – Syntax of sbr\_sinusoidal\_coding()

Syntax	No. of bits	Mnemonic
<pre> sbr_sinusoidal_coding(ch) {   for (n = 0; n &lt; num_high_res[ch]; n++)     <b>bs_add_harmonic</b>[ch][n] } </pre>	1	Note 1
<p>Note 1: num_high_res[ch] is derived from the header according to subclause 4.6.18.3 and is named N<sub>High</sub>.</p>		

#### 4.4.2.9 Payloads for the audio object type ER AAC ELD

Table 4.75 – Syntax of top level payload for audio object type ER AAC ELD (er\_raw\_data\_block\_eld())

Syntax	No. of bits	Mnemonic
er_raw_data_block_eld(channelConfiguration)		Note 1
{		
switch(channelConfiguration) {		

case 1:
single_channel_element_eld();
break;
case 2:
channel_pair_element_eld();
break;
case 3:
single_channel_element_eld();
channel_pair_element_eld();
break;
case 4:
single_channel_element_eld();
channel_pair_element_eld();
single_channel_element_eld();
break;
case 5:
single_channel_element_eld();
channel_pair_element_eld();
channel_pair_element_eld();
break;
case 6:
single_channel_element_eld();
channel_pair_element_eld();
channel_pair_element_eld();
lfe_channel_element_eld();
break;
case 7:
single_channel_element_eld();
channel_pair_element_eld();
channel_pair_element_eld();
channel_pair_element_eld();
lfe_channel_element_eld();
break;
default:
/* reserved */
break;
}
if (ldSbrPresentFlag) {
er_low_delay_sbr_block(channelConfiguration);
}
cnt = bits_to_decode() / 8;
while ( cnt >= 1 ) {
cnt -= extension_payload(cnt);
}
byte_alignment();
}
Note 1: The top level payload described above is treated as logical bitstream payload. Preprocessing steps as outlined in subclause 4.5.2.4 are required in order to retrieve this logical bitstream payload from the physical bitstream payload.



<pre> length_of_longest_codeword; reordered_spectral_data (); } </pre>	<b>6</b>	<b>uimsbf</b>
--	----------	---------------

**Table 4.80 – Syntax of er\_low\_delay\_sbr\_block**

Syntax	No. of bits	Mnemonic
er_low_delay_sbr_block(channelConfiguration)		
{		
switch (channelConfiguration) {		
case 1:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 2:		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 3:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 4:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 5:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 6:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
break;		
case 7:		
low_delay_sbr_data(ID_SCE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
low_delay_sbr_data(ID_CPE, ldSbrCrcFlag, bs_amp_res);		
break;		
default:		
/* reserved */		
break;		
}		
}		

**Table 4.81 – Syntax of low\_delay\_sbr\_data()**

Syntax	No. of bits	Mnemonic
low_delay_sbr_data(id_aac, ldSbrCrcFlag, bs_amp_res)		
{		

```

if (IdSbrCrcFlag) {
    bs_sbr_crc_bits;           10           uimsbf
}

if (bs_header_flag) {
    sbr_header();
}

If (id_aac==ID_SCE) {
    sbr_single_channel_element(bs_amp_res);
} else if (id_aac==ID_CPE) {
    sbr_channel_pair_element(bs_amp_res);
}
}

```

Note 1: bs\_amp\_res is in general transmitted inside sbr\_header() function enclosed in the ELDSpecificConfig(). But the parameter can be updated by the sbr\_header() function here.

## 4.5 Overall data structure

### 4.5.1 Decoding of the GA specific configuration

#### 4.5.1.1 GASpecificConfig()

The call parameters 'samplingFrequencyIndex', 'channelConfiguration', 'audioObjectType' are passed down from the audio specific configuration element defined in subpart 1. The information contained in these parameters is mandatory for the decoding process.

If the sampling rate is not one of the rates listed in the right column in Table 4.82, the sampling frequency dependent tables (code tables, scale factor band tables etc.) must be deduced in order for the bitstream payload to be parsed. Since a given sampling frequency is associated with only one sampling frequency table, and since maximum flexibility is desired in the range of possible sampling frequencies, the following table shall be used to associate an implied sampling frequency with the desired sampling frequency dependent tables.

**Table 4.82 – Sampling frequency mapping**

Frequency range (in Hz)	Use tables for sampling frequency (in Hz)
$f \geq 92017$	96000
$92017 > f \geq 75132$	88200
$75132 > f \geq 55426$	64000
$55426 > f \geq 46009$	48000
$46009 > f \geq 37566$	44100
$37566 > f \geq 27713$	32000
$27713 > f \geq 23004$	24000
$23004 > f \geq 18783$	22050
$18783 > f \geq 13856$	16000
$13856 > f \geq 11502$	12000
$11502 > f \geq 9391$	11025
$9391 > f$	8000

If in a certain sampling frequency dependent table a sampling frequency stated in the right column of Table 4.82 is not defined, the nearest defined table shall be used.

#### **frameLengthFlag**

Length of the frame, number of spectral lines, respective.  
For all General Audio Object Types except AAC SSR and ER AAC LD:

If set to "0" a 1024/128 lines IMDCT is used and frameLength is set to 1024, if set to "1" a 960/120 line IMDCT is used and frameLength is set to 960.

For ER AAC LD: If set to "0" a 512 lines IMDCT is used and frameLength is set to 512, if set to "1" a 480 line IMDCT is used and frameLength is set to 480.

For AAC SSR: Must be set to "0". A 256/32 lines IMDCT is used.

Note: The actual number of lines for the IMDCT (first or second value) is distinguished by the value of window\_sequence.

<b>DependsOnCoreCoder</b>	Signals that a core coder has been used in an underlying base layer of a scalable AAC configuration.
<b>CoreCoderDelay</b>	The delay in samples that has to be applied to the up-sampled (if necessary) core decoder output, before the MDCT calculation.
<b>extensionFlag</b>	Shall be '0' for audio object types 1, 2, 3, 4, 6, 7. Shall be '1' for audio object types 17, 19, 20, 21, 22, 23.
<b>layerNr</b>	A 3-bit field indicating the AAC layer number in a scalable configuration. The first AAC layer is signaled by a value of 0.
<b>numOfSubFrame</b>	A 5-bit unsigned integer value representing the number of the sub-frames which are grouped and transmitted in a super-frame.
<b>layer_length</b>	An 11-bit unsigned integer value representing the average length of the large-step layers in bytes.
<b>aacSectionDataResilienceFlag</b>	This flag signals a different coding scheme of AAC section data. If codebook 11 is used, this scheme transmits additional information about the maximum absolute value for spectral lines. This allows error detection of spectral lines that are larger than this value.
<b>aacScalefactorDataResilienceFlag</b>	This flag signals a different coding scheme of the AAC scalefactor data, that is more resilient against errors as the original one.
<b>aacSpectralDataResilienceFlag</b>	This flag signals a different coding scheme (HCR) of the AAC spectral data, that is more resilient against errors as the original one
<b>extensionFlag3</b>	Extension flag for the future use. Shall be '0'.

**Restrictions:**

program\_config\_element() shall be used only for the audio object types AAC main, AAC SSR, AAC LC and AAC LTP.

**4.5.1.2 Program config element (PCE)**

See ISO/IEC13818-7 (13818-7:2005, subclause 8.5 "Program Config Element (PCE)").

The following changes apply in the context of MPEG-4:

A program\_config\_element() may occur outside the AAC payload e. g. as part of the GASpecificConfig() or the adif\_header(), but also inside the AAC payload as syntactic element in a raw\_data\_block().

Note that the channel configuration given in a program\_config\_element() inside the AAC payload is evaluated only, if no channel configuration is given outside the AAC payload. In the context of ISO/IEC 14496-3 this is only the case for MPEG-4 ADTS with channel\_configuration==0.

The sampling\_frequency\_index given in a program\_config\_element() can indicate a nominal sampling frequency that is different from the actual sampling frequency, i.e., the intended sampling frequency of the decoder output signal. This is the case when an actual sampling frequency is used that cannot be represented by means of the sampling\_frequency\_index in the program\_config\_element(). The actual sampling frequency is signaled in the AudioSpecificConfig() or is implicitly known to the system. The relation between the actual sampling frequency and the nominal sampling frequency is defined in Table 4.82 in subclause 4.5.1.1.

In any case only one program may be configured at a certain time.

**object\_type**

The two-bit object type index from Table 4.83. This field replaces the profile field of the PCE in ISO/IEC 13818-7 in a backward compatible way.

**Table 4.83 – Object type index**

Index	object type
0	AAC Main
1	AAC LC
2	AAC SSR
3	AAC LTP

**sampling\_frequency\_index**

Indicates the sampling frequency of the program according to the table defined in subclause 1.6.3.3 (samplingFrequencyIndex). The escape value is not permitted.

**4.5.1.2.1 Channel configuration**

The AAC audio syntax provides three ways to convey the mapping of channels within a set of syntactic elements to physical locations of speakers. However in in the context of ISO/IEC 14496-3 only two of them are permitted.

**4.5.1.2.1.1 Explicit channel mapping using default channel settings**

Default channel mappings are defined in subpart 1, Table 1.17 (values larger 0). If MPEG-4 Audio is used together with the MPEG-4 Systems audio compositor only these mappings shall be used.

**4.5.1.2.1.2 Explicit channel mapping using a program\_config\_element()**

Any possible channel configuration can be specified using a program\_config\_element(). The same specifications and restrictions as defined in ISO/IEC 13818-7 apply with respect to the PCE when used in the context of ISO/IEC 14496-3.

An MPEG-4 decoder is always required to parse any program\_config\_element() inside the AAC payload. However, the decoder is only required to evaluate it, if no channel configuration is given outside the AAC payload.

**4.5.1.2.1.3 Implicit channel mapping**

This kind of channel mapping as specified in ISO/IEC 13818-7 is not permitted in the context of ISO/IEC 14496-3.

**4.5.1.2.2 Matrix-mixdown method****4.5.1.2.2.1 Description**

The matrix-mixdown method applies only for mixing a 3-front/2-back speaker configuration, 5-channel program, down to a stereo or a mono program. It is not applicable to any program with other than the 3/2 configuration.

**4.5.1.2.2.2 Matrix-mixdown process**

A derived stereo signal can be generated within a matrix-mixdown decoder by use of one of the two following sets of equations.

Set 1:

$$L' = \frac{1}{1 + 1/\sqrt{2} + A} \cdot [L + C/\sqrt{2} + A \cdot L_S]$$

$$R = \frac{1}{1 + 1/\sqrt{2} + A} \cdot [R + C/\sqrt{2} + A \cdot R_S]$$

Set 2:

$$L' = \frac{1}{1 + 1/\sqrt{2} + 2 \cdot A} \cdot [L + C/\sqrt{2} - A \cdot (L_S + R_S)]$$

$$R' = \frac{1}{1 + 1/\sqrt{2} + 2 \cdot A} \cdot [R + C/\sqrt{2} + A \cdot (L_S + R_S)]$$

Where L, C, R, LS and RS are the source signals, L' and R' are the derived stereo signals and A is the matrix coefficient indicated by matrix\_mixdown\_idx. LFE channels are omitted from the mixdown.

If pseudo\_surround\_enable is not set, then only set 1 should be used. If pseudo\_surround\_enable is set, then either set 1 or set 2 equations can be used, depending on whether the receiver has facilities to invoke some form of surround synthesis.

As further information it should be noted that one can derive a mono signal using the following equation:

$$M = \frac{1}{3 + 2 \cdot A} \cdot [L + C + R + A \cdot (L_S + R_S)]$$

**4.5.1.2.2.3 Advisory**

The matrix-mixdown provision enables a mode of operation which may be beneficial in some circumstances. However, it is advised that this method should not be used. The psychoacoustic principles on which the audio coding is based are violated by this form of post-processing, and a perceptually faithful reconstruction of the signal cannot be guaranteed. The preferred method is to use the stereo or mono mixdown channels in the AAC syntax to provide stereo or mono programming which is specifically created by conventional studio mixing prior to bitrate reduction.

The stereo and mono mixdown channels additionally enable the content provider to separately optimize the stereo and multichannel program mixes - this is not possible by using the matrix-mixdown method.

It is additionally relevant to note that, due to the algorithms used for the multichannel and stereo mixdown coding, a better combination of quality and bitrate is usually provided by use of the stereo mixdown channels than can be provided by the matrix-mixdown process.

**4.5.1.2.2.4 Tables**

**Table 4.84 – Matrix-mixdown coefficients**

matrix_mixdown_idx	A
0	$1/\sqrt{2}$
1	$1/2$
2	$1/(2\sqrt{2})$
3	0

**4.5.2 Decoding of the GA bitstream payloads**

**4.5.2.1 Top level payloads for the audio object types AAC main, AAC SSR, AAC LC and AAC LTP**

**4.5.2.1.1 Definitions**

raw\_data\_block()

block of raw data that contains audio data for a time period of 1024 or 960 samples, related information and other data. There are seven syntactic elements, identified by the data element id\_syn\_ele. The audio\_channel\_element()'s in one raw\_data\_block() must have one and only one sampling rate. In the raw\_data\_block(), several instances of the same syntactic element may occur, but must have a different 4 bit element\_instance\_tag, except for data\_stream\_element()'s and fill\_element()'s. Therefore, in one raw\_data\_block(), there can be from 0 to at most 16 instances of any syntactic element, except for data\_stream\_element()'s and fill\_element()'s, where this limitation does not apply. If multiple data\_stream\_element()'s occur which have the

same `element_instance_tag` then they are part of the same data stream. The `fill_element()` has no `element_instance_tag` (since the content does not require subsequent reference) and can occur any number of times. The end of a `raw_data_block()` is indicated with a special `id_syn_ele` (TERM), which may occur only once in a `raw_data_block()`.

**id\_syn\_ele**

a data element that identifies one of the following syntactic elements:

**Table 4.85 – Syntactic elements**

ID name	encoding	Abbreviation	Syntactic Element
ID_SCE	0x0	SCE	<code>single_channel_element()</code>
ID_CPE	0x1	CPE	<code>channel_pair_element()</code>
ID_CCE	0x2	CCE	<code>coupling_channel_element()</code>
ID_LFE	0x3	LFE	<code>lfe_channel_element()</code>
ID_DSE	0x4	DSE	<code>data_stream_element()</code>
ID_PCE	0x5	PCE	<code>program_config_element()</code>
ID_FIL	0x6	FIL	<code>fill_element()</code>
ID_END	0x7	TERM	

`single_channel_element()`

abbreviation SCE. Syntactic element of the bitstream containing coded data for a single audio channel. A `single_channel_element()` basically consists of an `individual_channel_stream()`. There may be up to 16 such elements per raw data block, each one must have a unique `element_instance_tag`.

`channel_pair_element()`

abbreviation CPE. Syntactic element of the bitstream payload containing data for a pair of channels. A `channel_pair_element()` consists of two `individual_channel_streams` and additional joint channel coding information. The two channels may share common side information. The `channel_pair_element()` has the same restrictions as the single channel element as far as `element_instance_tag`, and number of occurrences.

`coupling_channel_element()`

Abbreviation CCE. Syntactic element that contains audio data for a coupling channel. A coupling channel represents the information for multi-channel intensity for one block, or alternately for dialogue for multilingual programming. The rules for number of `coupling_channel_element()`'s and instance tags are as for `single_channel_element()`.

`lfe_channel_element()`

Abbreviation LFE. Syntactic element that contains a low sampling frequency enhancement channel. The rules for the number of `lfe_channel_element()`'s and instance tags are as for `single_channel_element()`'s.

`program_config_element()`

Abbreviation PCE. Syntactic element that contains program configuration data. The rules for the number of `program_config_element()`'s and element instance tags are the same as for `single_channel_element()`'s. PCEs must come before all other syntactic elements in a `raw_data_block()`.

`fill_element()`

Abbreviation FIL. Syntactic element that contains fill data. There may be any number of fill elements, that can come in any order in the raw data block.

`data_stream_element()`

Abbreviation DSE. Syntactic element that contains data. Again, there are 16 `element_instance_tags`. There is, however, no restriction on the number of `data_stream_element()`'s with any one instance tag, as a single data stream may continue across multiple `data_stream_element()`'s with the same instance tag.

- element\_instance\_tag** Unique instance tag for syntactic elements other than fill\_element(). All syntactic elements containing instance tags may occur more than once, but, except for data\_stream\_element()'s, must have a unique element\_instance\_tag in each raw\_data\_block(). This tag is also used to reference audio syntactic elements in single\_channel\_element()'s, channel\_pair\_element()'s, lfe\_channel\_element()'s, data\_channel\_element()'s, and coupling\_channel\_element()'s inside a program\_config\_element(), and provides the possibility of up to 16 independent program\_config\_element()'s.
- audio\_channel\_element** generic term for single\_channel\_element(), channel\_pair\_element, coupling\_channel\_element() and lfe\_channel\_element().
- common\_window** a flag indicating whether the two individual\_channel\_streams share a common ics\_info or not. In case of sharing, the ics\_info is part of the channel\_pair\_element() and must be used for both channels. Otherwise, the ics\_info is part of each individual\_channel\_stream.

**4.5.2.1.2 Decoding process**

Assuming that the start of a raw\_data\_block is known, it can be decoded without any additional „transport-level“ information except the sampling rate (needed for the selection of sfb and other tables) and produces 1024 or 960 audio samples per output channel.

**Table 4.86 – Examples of the simplest possible bitstream payloads**

bitstream payload segment	output signal
<SCE><TERM><SCE><TERM>...	mono signal
<CPE><TERM><CPE><TERM>...	stereo signal
<SCE><CPE><CPE><LFE><TERM><SCE><CPE><CPE><LFE><TERM>...	5.1 channel signal

where angle brackets (< >) are used to delimit syntactic elements. For the mono signal each SCE must have the same value in its **element\_instance\_tag**, and similarly, for the stereo signal each CPE must have the same value in its **element\_instance\_tag**. For the 5.1 channel signal each SCE must have the same value in its **element\_instance\_tag**, each CPE associated with the front channel pair must have the same value in its **element\_instance\_tag**, and each CPE associated with the back channel pair must have the same value in its **element\_instance\_tag**.

If these bitstream payloads are to be transmitted over a constant rate channel then they might include a fill\_element() to adjust the instantaneous bit rate. In this case an example of a coded stereo signal is

<CPE><FIL><TERM><CPE><FIL><TERM>...

If the bitstream payloads are to carry ancillary data and run over a constant rate channel then an example of a coded stereo signal is

<CPE><DSE><FIL><TERM><CPE><DSE><FIL><TERM>...

All data\_stream\_element()'s have the same element\_instance\_tag if they are part of the same data stream.

A single\_channel\_element() is composed of an element\_instance\_tag and an individual\_channel\_stream. In this case ics\_info is always located in the individual\_channel\_stream.

A channel\_pair\_element() begins with an element\_instance\_tag and common\_window flag. If the common\_window equals '1', then ics\_info is shared amongst the two individual\_channel\_stream elements and the MS information is transmitted. If common\_window equals '0', then there is an ics\_info within each individual\_channel\_stream and there is no MS information.

**4.5.2.1.3 Low frequency enhancement (LFE) channel element**

**4.5.2.1.3.1 General**

In order to maintain a regular structure in the decoder, the lfe\_channel\_element() is defined as a standard individual\_channel\_stream(0) element, i.e. equal to a single\_channel\_element(). Thus, decoding can be done using the standard procedure for decoding a single\_channel\_element().

In order to accommodate a more bitrate and hardware efficient implementation of the LFE decoder, however, several restrictions apply to the options used for the encoding of this element:

- The `window_shape` field is always set to 0, i.e. sine window
- The `window_sequence` field is always set to 0 (ONLY\_LONG\_SEQUENCE)
- Only the lowest 12 spectral coefficients of any LFE may be non-zero
- No Temporal Noise Shaping is used, i.e. `tns_data_present` is set to 0
- No prediction is used, i.e. `predictor_data_present` is set to 0

#### 4.5.2.1.4 Data stream element (DSE)

See ISO/IEC13818-7 (13818-7:2005, subclause 8.6 "Data Stream Element (DSE)").

#### 4.5.2.1.5 Fill element (FIL)

##### 4.5.2.1.5.1 Data elements

**count** Initial value for length of fill data.  
**esc\_count** Incremental value of length of fill data.

##### 4.5.2.1.5.2 Helper elements

**cnt** value equal to the total length in bytes of all subsequent extension\_payload()'s.

Any number of fill elements is allowed.

Fill elements containing an `extension_payload()` with an `extension_type` of `EXT_SBR_DATA` or `EXT_SBR_DATA_CRC` shall not contain any other `extension_payload()` of any other `extension_type`.

##### 4.5.2.1.5.3 Decoding process

The syntactic element **count** gives the initial value of the length of the subsequent `extension_payload()`'s. In the same way as for the data element this value is incremented with the value of **esc\_count** if **count** equals 15. The resulting number (**cnt**) gives the number of bytes to be read.

#### 4.5.2.2 Payloads for the audio object type AAC scalable

##### 4.5.2.2.1 Definitions

`aac_scalable_main_element()` abbreviation ASME; syntactic element of the bitstream payload containing coded data for the first AAC coding layer in a scalable configuration. This type of syntax can be used also for single coding layer (non-scalable) applications. In this case exactly one ASME contains all the coded information. An ASME consists of an `aac_scalable_main_header()`, and for each coded audio output channel, one `individual_channel_stream()`. The maximum number of such elements is limited to 1 for each audio object.

`aac_scalable_main_header()` contains all side information required for the first AAC coding layer, with the exception of the side information which is transmitted within the individual channel streams. The `ics_info()` sub-block of AAC is not used here. Instead the information, normally carried in `ics_info()`, is contained directly in this element.

`aac_scalable_extension_element()` abbreviation ASEE; syntactic element of the bitstream payload containing coded data for all other than the first AAC coding layer in a scalable configuration. An ASEE consists of an `aac_scalable_extension_header()`, and for each coded audio output channel, one `individual_channel_stream()`. The maximum number of such elements is limited to 7 for one audio object.

*aac\_scalable\_extension\_header()* contains all side information required for an AAC extension layer, with the exception of the side information that is transmitted within the individual channel streams. Extension in this context means, that this is not the first AAC coding layer.

*bits\_to\_decode()* a helper function; returns the number of bits not yet decoded in the current top level payload if the length of that payload is signaled by a system/transport layer. If the length of the top level payload is unknown, *bits\_to\_decode()* returns 0.

**diff\_control[w][dc\_group]** for each window this is the FSS control information for one *dc\_group*. If the window type is not *SHORT\_WINDOW*. **diff\_control[w][dc\_group]** is huffman encoded using Table 4.165 in the bitstream payload.

**diff\_control\_lr[w][sfb]** element used in a mono GA / stereo GA configuration, to control the interaction of the M-channel with the L and R channel.

**4.5.2.2.1.1 Help elements**

*this\_layer\_stereo* set to '1', if the current layer is a stereo layer; set to '0' otherwise.

*mono\_layer\_flag* set to '1', if there is any mono layer; set to '0' otherwise.

*mono\_stereo\_flag* set to '1', if there is at least one mono layer and this is the first stereo layer; set to '0' otherwise.

*last\_max\_sfb* max\_sfb of the previous coding layer. If the previous layer is running at a different sampling rate or is a non GA coder, *last\_max\_sfb* is set to '4\* no\_of\_dc\_groups-1' if the window type is not *SHORT\_WINDOW*, otherwise it is set to the lowest sfb covering all *diff\_short\_lines*.

*last\_max\_sfb\_ms* max\_sfb of the previous stereo layer; set to '0', if the previous layer is a mono layer

*last\_mono\_max\_sfb* max\_sfb of the highest mono layer.

*core\_flag* set to '1', if a core coder is present. Within the MPEG-4 Audio standard the only valid core coder is MPEG-4 Celp, although the mechanisms could be used e.g. for other waveform coders or an AAC coder operating at a lower sampling rate than the AAC extension layer; set to '0' if there is no core coder.

*tvq\_layer\_present* set to '1', if a TwinVQ coder is present in the previous layer; set to '0' otherwise.

*tvq\_tns\_present* set to '1', if *tns\_present* is set to 1 in the previous TwinVQ layer; set to '0' otherwise.

*tvq\_stereo* set to '1', if the previous TwinVQ layer is stereo; set to '0' otherwise.

*tvq\_mono\_tns* set to '1', if there is a tvq mono layer present that uses *tns*; set to 0 if there is a tvq mono layer present that does not use *tns*.

*tvq\_stereo\_tns\_left* set to '1', if there is a tvq stereo layer present that uses *tns* in the left channel; set to 0 if there is a tvq stereo layer present that does not use *tns* in the left channel.

*tvq\_stereo\_tns\_right* set to '1', if there is a tvq stereo layer present that uses *tns* in the right channel; set to 0 if there is a tvq stereo layer present that does not use *tns* in the right channel.

*tns\_aac\_tvq\_en[ch]* set to '1' if a *tns\_data\_present* bit is transmitted in an ASME, if a TwinVQ layer is present (see Table 4.90).

**4.5.2.2.2 Decoding process**

Assuming that the start of an **ASME** or **ASEE** is known, it can be decoded with the additional information given by the *GASpecificConfig()*. 1024 or 960 audio samples per output channel are produced for each element.

The **ASME** or **ASEE** support encoding for both, constant rate, and variable rate channels. In each case the structure of the bitstream payload and the operation of the decoder are identical.

In the decoder, the output of the ASME and the output of all the available ASEE, where the previous coding layer output is available, has to be combined to form the output signal, giving the maximum audio quality. If there is an intermediate layer missing, e.g. due to transmission errors, the information in the subsequent layers can not be used. Furthermore, if there is a CELP core coder, or one or more TwinVQ layer signaled for a scalable audio object, the output of these coders has to be combined with the AAC coding layers.

A list of the valid combinations of different coding schemes is given in the subsequent subclause. The methods how to combine the individual coding layers are described in subclauses 4.5.2.2.4, 4.5.2.2.5, and 4.5.2.2.6.

#### 4.5.2.2.3 Valid combinations of AAC with either TwinVQ or CELP

The AAC scalable coder in combination with a TwinVq or CELP base layer coder provides one way of achieving bit rate scalability. It is based on the calculation of a difference signal between the output signal of a base layer coder and the original input signal. At least one AAC extension layer is used. Joint stereo coding and mixed mono/stereo configurations are possible. All AAC joint stereo modes are available in the combined coder.

Three major classes of scalable configurations with AAC exist, depending on the coder types used:

1. AAC layers only
2. Narrow-band CELP base layer plus AAC
3. TwinVQ base layer plus AAC

In any configuration, the transmitted bandwidth (by means of `max_sfb` in the case of AAC and TwinVQ and by means of `no_of_dc_groups` or `diff_short_lines` in the case of CELP) of a certain layer must not be smaller than that of the preceding layer.

AAC or TwinVQ coding layer can be either coded in mono, or stereo/joint stereo. The following table summarizes the possible transitions between two layers with respect to the coder combinations and the channel configurations for each of the three coder types in mono and stereo.

**Table 4.87 – Valid scalable combinations**

Layer N	Layer N+1				
	NB CELP mono	TwinVQ mono	TwinVQ stereo	AAC mono	AAC stereo
Narrow Band CELP mono	X			X	X
TwinVQ mono		X		X	X
TwinVQ stereo			X		X
AAC mono				X	X
AAC stereo					X

#### 4.5.2.2.4 Decoding of AAC only combinations

AAC only combinations basically rely on the difference encoding of the spectrum in the **Scalable Inverse AAC Quantization Module (SIAQ)** as shown in Figure 4.3 below. In the SIAQ module, after the inverse quantization, the reconstructed spectra of all individual\_channel\_streams are added. The number of layers is restricted to one AAC main layer and up to 7 AAC extension layers. The bitrate of the main layer and the additional layers can be any bit rate possible for AAC. However, the bitstream payload buffer restrictions given in subclause 4.5.2.7 apply. SIAQ is also a main building block for the CELP and TwinVQ combinations with AAC. (Exceptions from this are given in subclause 4.5.2.2.7)

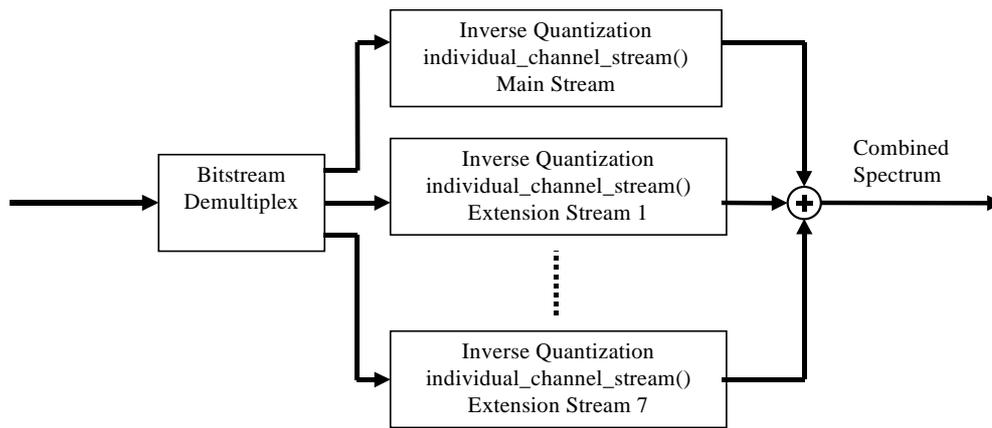


Figure 4.3 – Scalable Inverse AAC Quantization Module (SIAQ)

Three audio channel configurations of a scalable coder, based on AAC only, are possible:

- |               |                                    |                                |
|---------------|------------------------------------|--------------------------------|
| AAC-Only-M:   | AAC mono main layer                | plus                           |
|               | 0 to 7 AAC mono extension layers   |                                |
| AAC-Only-S:   | AAC stereo main layer              | plus                           |
|               | 0 to 7 AAC stereo extension layers |                                |
| AAC-Only-M/S: | AAC mono main layer                | plus                           |
|               | 0 to 7 AAC mono extension layers   | plus                           |
|               | 1 to 7 AAC stereo extension layers | ( total number of layer <= 8 ) |

Figure 4.4 shows the block diagram of the decoder for mode AAC-Only-M/S, which is a superset of both of the other modes. In this mode three SIAQ modules are present. The first one for the Left (L), or Mid (M), or Intensity (I) audio channel, respectively, the second for the Right (R), or Side (S), audio channel, and the third one for the mono layer (M) which, in general, is a down-mix of Left and Right which was generated in the encoder according to  $M = 0.5 * (L+R)$ . For all scale factor bands where M/S coding is selected, the M-Signal is calculated by adding  $M''$  and  $M'$  (the restrictions given in subclause 4.5.2.2.7 have to be followed which prohibit the addition under certain circumstances). For all bands where L/R coding is selected L and R are generated from  $L'$ ,  $R'$ , and  $2.0 * M''$ , using **diff\_control\_lr** to control the corresponding FSS unit. M, S, L and R are then fed into the AAC inverse joint-stereo processing module which produces the L and R spectra. After processing in the serial TNS filter combination, as described in subclauses 4.6.9 to 4.6.9.5, the time signals can be calculated via the IMDCT filterbank.

To decode mode AAC-Only-S both FSS modules and the Inverse TNS-M filters are by-passed. The  $M''$ -channel SIAQ is not present in this mode. For the decoding of mode AAC-Only-M the inverse joint stereo processing module and the Right/Side SIAQ module, as well as the corresponding TNS/IMDCT combination are not required. Only one inverse TNS filter is applied to the spectrum before the IMDCT.

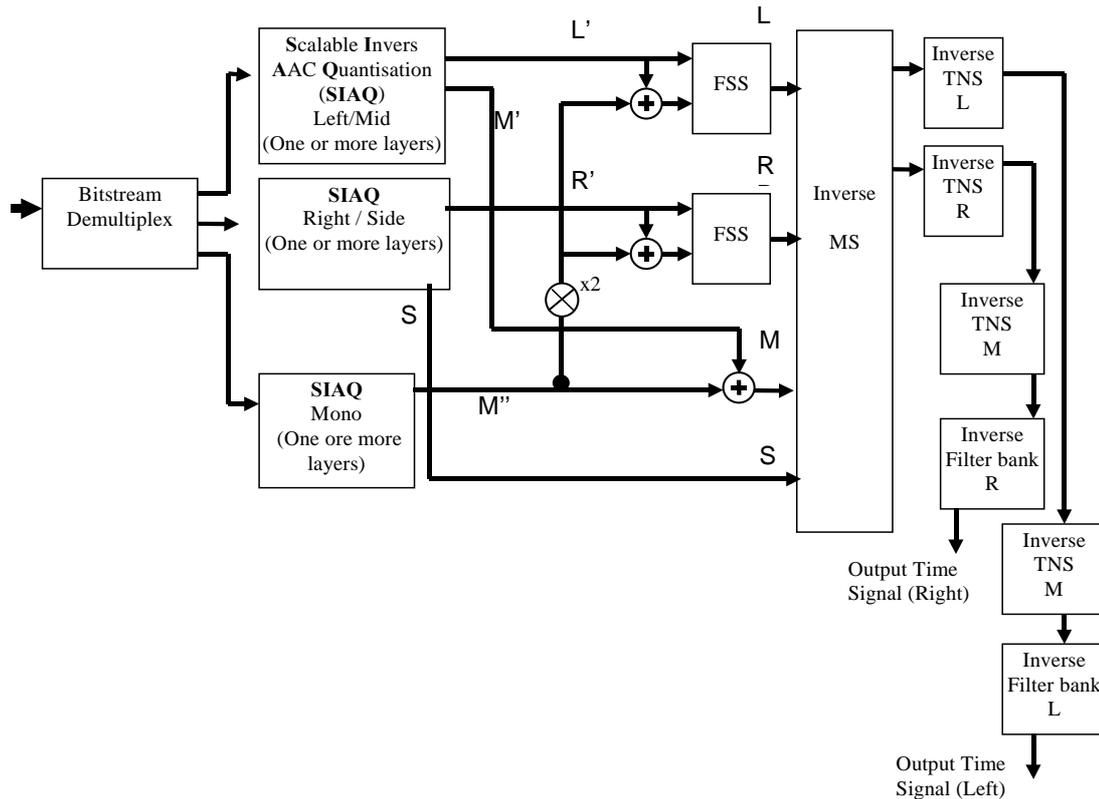


Figure 4.4 – AAC mono / AAC stereo configuration (AAC-Only-M/S)

#### 4.5.2.2.5 Decoding of CELP / AAC combinations

This combination is primarily used to add a very low bit rate base layer in a scalable system. While in the TwinVQ/AAC combinations (see subclause 4.5.2.2.6) all coding layers work in the frequency domain, the CELP coding layer (called core coder subsequently) is a time domain coder which, in general, works with a different sampling rate. Using the up-sampling tool, which is described in subclause 4.6.15, and a FSS module (see subclause 4.6.14), the combination makes the coding gain of the CELP core coder available for the subsequent extension layers. The ratio of the sampling rate of the core coder and the sampling rate of the AAC coder always is an integer, to make the use of the upsampling tool defined in subclause 4.6.15 possible.

##### 4.5.2.2.5.1 CELP post-filter

The post-filter of the CELP core coder has to be switched off for the output signal which is then combined with the AAC enhancement stages in the scalable coder. If only the CELP coder output is desired, the post-filter can be used to generate this output.

##### 4.5.2.2.5.2 Frame length adaptation / super-frames

Since the AAC frame length may not necessarily be a multiple of the core frame length, the least common multiple of these two lengths can be very large. To avoid such situations an alternative AAC frame length of 960 samples, instead of 1024, is available. These frame length allows an easy integration of the MPEG-4 CELP core and AAC by constructing super-frames of a reasonable size. Some combinations of CELP-coder and GAC-coder sampling rates require different numbers of core coder frames and AAC frames to build common super-frames. Table 4.88 gives an overview of the length of an AAC frame with 960 samples at various sampling rates and the length of the smallest possible super-frames for each sampling rate for all of the MPEG-4 CELP core frame length options (40, 30, 20, and 10 ms; see subpart 3, Table 3.8). Also listed in the table is the number of AAC and CELP coder frames in these smallest possible super-frames. These superframes do not exist in MPEG-4 Systems, as only single frames of each coding layer are addressed by MPEG-4 systems, making different solutions possible. However, the MPEG-4 Audio decoder is only required to support these minimum frame length superframes. Note that it is not necessary to buffer the whole super-frame. The decoder can start to produce the combined output samples whenever a sufficient number of up-sampled CELP core output samples and a full AAC layer frame is available.

In general, the CELP core signal has to be delayed after the zero-insertion in the up-sampling tool (see subclause 4.6.15) before MDCT filterbank. The value „coreCoderDelay“ given in the GASpecificConfig() of the first AAC coding layer is the number of samples, which the core signal has to be delayed. The encoder has the choice between optimizing the total system delay for the Celp core stream (no additional delay added to the CELP coder in the encoder), or to adjust the signal of the core and AAC layer in a way so that there is no delay in the scalable decoder necessary, or any value in between those two extremes. In any case the Composition Time Stamp (if used, see ISO/IEC 14496-1) of the first Core and its corresponding first AAC frame in a superframe (see above) has to be identical. However, if only the output of the Celp core presented to the compositor and a minimum delay is desired (eg. for 2-way communication) the receiving terminal can subtract the time *td* that corresponds to **CoreCoderDelay** from the Composition Time. This is only true if there is no associated video stream for which synchronous composition has to be assured. *td* is calculated according to:

$$td = \text{CoreCoderDelay} / fs$$

where *fs* is the sampling rate of the AAC layer(s).

**Table 4.88 – AAC frame lengths for 960 samples per frame and super-frame lengths of AAC/CELP combinations**

Sampling rate (kHz)	96	64	48	32	24	16	8
AAC Frame length (ms)	10	15	20	30	40	60	120
Super-Frame length (40 ms core frame) (ms)	40	120	40	120	40	120	120
AAC / CELP frames per super-frame	4 / 1	8 / 3	2 / 1	4 / 3	1 / 1	2 / 3	1 / 3
Super-Frame length (30 ms core frame) (ms)	30	30	60	30	120	60	120
AAC / CELP frames per super-frame	3 / 1	2 / 1	3 / 2	1 / 1	3 / 4	1 / 2	1 / 4
Super-Frame length (20 ms core frame) (ms)	20	60	20	60	40	60	120
AAC / CELP frames per super-frame	2 / 1	4 / 3	1 / 1	2 / 3	1 / 2	1 / 3	1 / 6
Super-Frame length (15 ms core frame) (ms)	30	15	60	30	120	60	120
AAC / CELP frames per super-frame	3 / 2	1 / 1	3 / 4	1 / 2	3 / 8	1 / 4	1 / 8
Super-Frame length (10 ms core frame) (ms)	10	30	20	30	40	60	120
AAC / CELP frames per super-frame	1 / 1	2 / 3	1 / 2	1 / 3	1 / 4	1 / 6	1 / 12

**4.5.2.2.5.3 CELP core coder with AAC running at 88.2 kHz, 44.1 kHz, or 22.05 kHz sampling rate**

AAC frames using sampling rates of 88.2 kHz, 44.1 kHz, or 22.05 kHz can be achieved by adjusting the sampling rate of the CELP core coder such, that an integer ratio between these two sampling rate is achieved. Table 4.131 shows the mapping of the AAC sampling rates to the CELP core coder sampling rates. The CELP core coder runs with the sampling rate listed in this table. The CELP decoding process is completely identical to the methods defined for a sampling rate of 8 kHz for the narrow band CELP coder. Table 4.89 shows the super frame parameters for the AAC sampling rates 88.2 kHz, 44.1 kHz, and 22.05 kHz.

**Table 4.89 – Super-frame parameters of AAC/CELP combinations at AAC sampling rates of 88.2 kHz, 44.1 kHz and 22.05 kHz**

Sampling rate AAC (kHz)	88.2	44.1	22.05
AAC Frame length (ms)	10.884	21.768	43.537
Super-frame length (43.537 ms core frame) (ms)	43.537	43.537	43.537
AAC / CELP frames per super-frame	4/1	2 / 1	1 / 1
Super-frame length (32.653 ms core frame) (ms)	32.653	65.306	130.612
AAC / CELP frames per super-frame	3/1	3 / 2	3 / 4
Super-frame length (21.768 ms core frame) (ms)	21.768	21.768	43.537
AAC / CELP frames per super-frame	2/1	1 / 1	1 / 2
Super-frame length (16.326 ms core frame) (ms)	32.653	65.306	130.612
AAC / CELP frames per super-frame	3 / 2	3 / 4	3 / 8
Super-frame length (10.884 ms core frame) (ms)	10.884	21.768	43.537
AAC / CELP frames per super-frame	1/1	1 / 2	1 / 4

The possible sampling rates for the CELP core in this case are 7350 Hz (narrow-band core) or 14700 Hz (wide-band core).

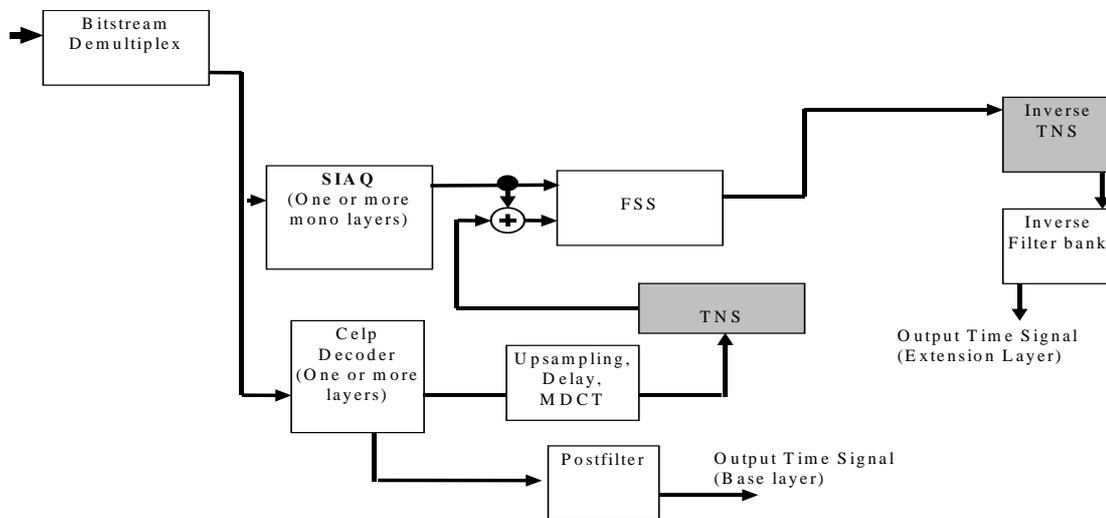
**4.5.2.2.5.4 CELP / AAC Configurations**

Several CELP/AAC combinations, which differ in the number of audio channels in each layer, are defined:

CELP-AAC-M	CELP mono layer	plus	
	AAC mono main layer	plus	
	0 to 7 AAC mono extension layer		
CELP-AAC-MS	CELP mono layer	plus	
	AAC mono main layer	plus	
	0 to 7 AAC extension layer	plus	
	0 to 7 AAC stereo extension layer		(total number of AAC layer <= 8)
CELP-AAC-S	CELP mono layer	plus	
	AAC stereo main layer	plus	
	0 to 7 AAC stereo extension layer		

Note that the CELP coder also has an intrinsic scalability function (see subpart 3). This means that the CELP coding layer itself might consist of several narrow-band CELP coding layers.

Figure 4.5 below shows the decoder block diagram of the CELP-AAC-M configuration.:



**Figure 4.5 – Block diagram of the Celp + AAC mono combination**

The CELP core is decoded and the output is up-sampled, delayed and transformed into the frequency domain as described in subclause 4.6.15. This signal is then combined with the reconstructed AAC layer spectrum in the Frequency Selective Switch (FSS, see subclause 4.6.14) tool to get the complete output spectrum. If TNS is used the encoder TNS filter is applied to the spectrum of the core coder. The normal decoder TNS-filter is then applied before the calculation of the inverse IMDCT Filterbank as usual.

Figure 4.6 shows mode CELP-AAC-S, where a mono CELP core is combined with a stereo AAC layer. The TNS filter is applied to the MDCT coefficients calculated from the upsampled CELP decoder output. The inverse TNS filter is needed only for the enhancement layer output.

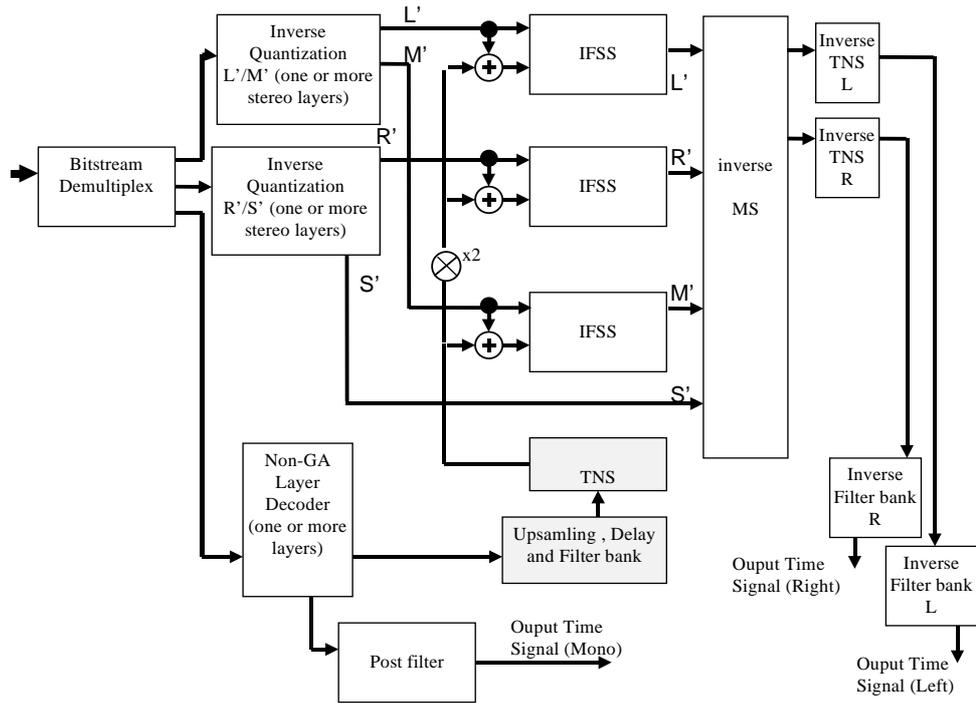


Figure 4.6 – Decoding of a non-GA (i.e. CELP) mono plus AAC stereo combination

Figure 4.7 shows mode CELP-AAC-MS, where a non-GA (i.e. CELP) mono layer, and at least one AAC mono layer, plus at least one AAC stereo layer is combined. The TNS filter for the Mid signal is applied to the MDCT coefficients reconstructed from the CELP core. Both inverse TNS filter, the inverse filter for the M signal, and the inverse TNS filter for the L/R signal are applied to the final layer output as described in subclause 4.6.9 to 4.6.9.5.

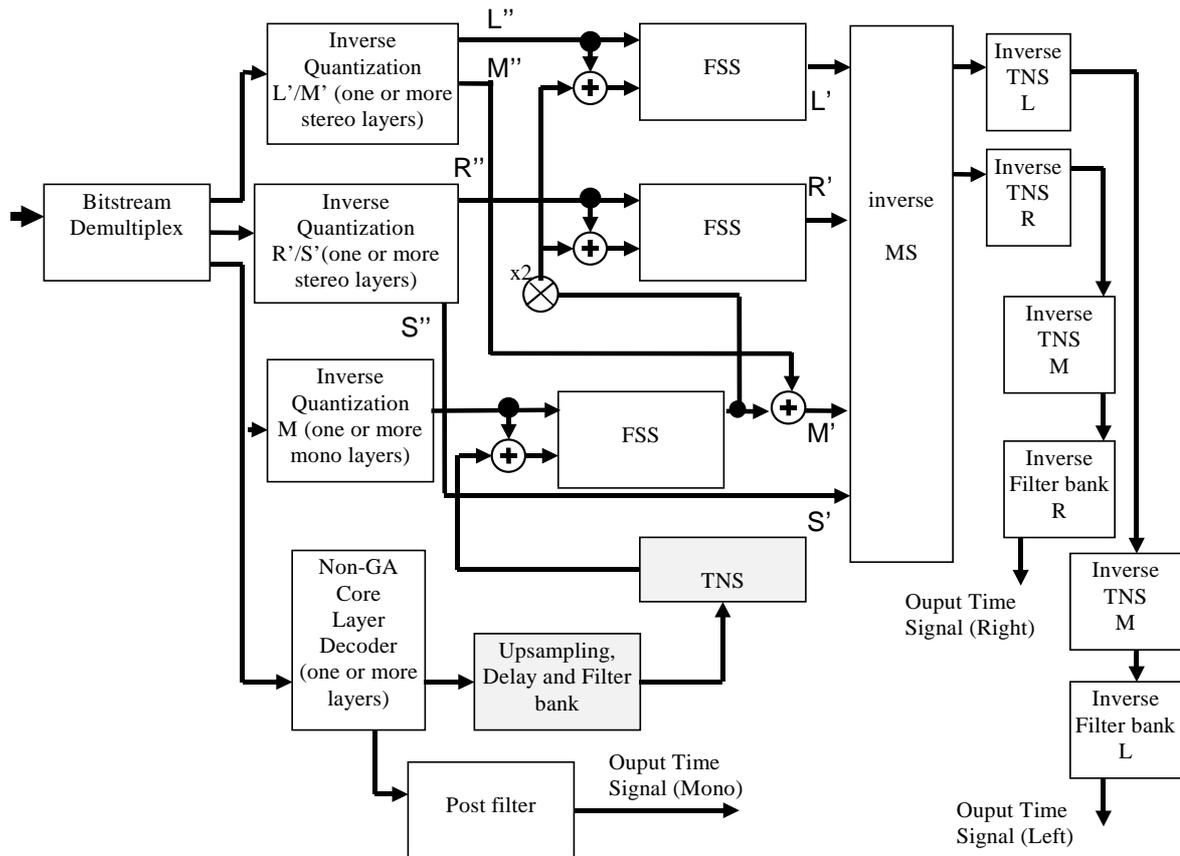


Figure 4.7 – Decoding of a Celp(mono) + AAC(mono) + AAC(stereo) configuration

#### 4.5.2.2.5.5 Alternative core coder

Within MPEG-4, only the MPEG-4 CELP coder in a single layer configuration is available as core coder. However, there is no principal limitation as to which core coder can be used, although, in general, the core coder should encode the waveform of the input signal, to allow a useful difference signal to be calculated. Especially CELP coder with a frame length of a multiple of 10 ms can easily be integrated.

#### 4.5.2.2.6 Decoding of TwinVQ / AAC combinations

It is possible to construct a scalable coder by combining TwinVQ and AAC. This configuration provides the advantages of both coders: TwinVQ can reduce the averaged distortion at the low bit rate range and AAC is good at controlling the quantization noise for high quality coding. The scalable bitstream payload is first demultiplexed and the spectral information of the TwinVQ and the AAC layer are extracted, as well as the side information. After the AAC inverse quantization, the addition of the two spectra is performed considering the FSS control information. Finally, time domain signal is generated through IMDCT.

One advantage of the combined TwinVQ - AAC audio coding is that both quantization schemes operate on the same signal space, i.e. MDCT coefficients. Also, both coders share the same sampling rate. Therefore no "interfacing" is necessary to convert between the internal representations of the audio data of the two coders, like for the CELP coder, where an additional up-sampling filterbank has to be calculated.

#### 4.5.2.2.6.1 Combination with the AAC tools

The AAC oriented tools such as TNS, LTP and joint stereo coding can be combined with TwinVQ and the scalable coder. LTP is applied only to the base layer and other tools are commonly used for both TwinVQ layer and AAC layer. There are number of possibilities how to combine these tools. The combinations of TwinVQ with TNS are shown in Figure 4.8 to Figure 4.15. The transmission of `tns_data_present` and `tns_data()` in the first AAC layer depends on the actually used configuration. Table 4.90 shows the conditions when the TNS related data elements are transmitted, and when they are not.

Table 4.90 – Values of helper element `tns_aac_tvq_en[]` depending on `tvq_mono_tns`, `tvq_stereo_tns_left` and `tvq_stereo_tns_right`.

	tns aac tvq en[0]	tns aac tvq en[1]
<code>(tvq_mono_tns==1) &amp;&amp; (this_layer_stereo == 1)</code>	1	1
<code>(tvq_mono_tns==1) &amp;&amp; (this_layer_stereo == 0)</code>	0	X
<code>(tvq_mono_tns==0)</code>	1	1
<code>(tvq_stereo_tns_left==1) &amp;&amp; (tvq_stereo_tns_right==1)</code>	0	0
<code>(tvq_stereo_tns_left==1) &amp;&amp; (tvq_stereo_tns_right==0)</code>	0	1
<code>(tvq_stereo_tns_left==0) &amp;&amp; (tvq_stereo_tns_right==1)</code>	1	0
<code>(tvq_stereo_tns_left==0) &amp;&amp; (tvq_stereo_tns_right==0)</code>	1	1

4.5.2.2.6.2 max\_sfb and scale\_factor\_grouping for TwinVQ

The scalefactor band tables and the parameter **max\_sfb** are necessary for most of the AAC related tools, such as LTP, TNS, FSS (diff\_control) and joint stereo coding. If TwinVQ is combined with these tools, the scalefactor bands as defined in Table 4.129 to Table 4.147 (see subclause 4.5.4) are used. On the other hand, TwinVQ normally does not use the parameter **max\_sfb**. However, it can be calculated from the frequency range that is used in the interleaved vector quantization as shown in the following pseudo-code:

```
tvq_main_element():
    max_line = (int) (frame_length/num_windows * qsamples);
    for (iw = 0, i_sfb = 0; ((iw < num_swb) && (swb_offset[iw] < max_line)); iw++){
        i_sfb = iw+1;
    }
    max_sfb = i_sfb;
```

```
tvq_extension_element():
    max_line = (int) (frame_length / num_windows * (qsamples+bias*3));
    for (iw = 0, i_sfb = 0; ((iw < num_swb) && (swb_offset[iw] < max_line)); iw++) {
        i_sfb = iw+1;
    }
    max_sfb[lyr] = i_sfb;
```

The parameter, `max_line` is a highest frequency line that the interleaved VQ quantizes. `Frame_length` is either 1024 or 960. In case of `EIGHT_SHORT_SEQUENCES`, `num_windows` is 8, otherwise it is 1. The values of **qsamples** and **bias** are defined in the section of adaptive active band selection (subclause 4.6.5.4), and depend only on the sampling frequency and the bitrate. The tables of `swb_offset` are listed in subclause 4.5.4. Note that the parameter of **scale\_factor\_grouping** is transmitted in the TwinVQ element if it is needed for stereo joint coding with TwinVQ.

4.5.2.2.6.3 Audio channel configurations

TVQ-AAC-MM	TwinVQ mono layer	plus
	AAC mono main layer	plus
	0 to 7 AAC mono extension layer	
TVQ-AAC-MMS	TwinVQ mono layer	plus
	AAC mono main layer	plus
	0 to 7 AAC mono extension layer	plus

0 to 7 AAC stereo extension layer (total number of AAC layer <= 8)

TVQ-AAC-MS	TwinVQ mono layer	plus	
	AAC stereo main layer	plus	
	0 to 7 AAC stereo extension layer		
TVQ-AAC-SS	TwinVQ stereo layer	plus	
	AAC stereo main layer	plus	
	0 to 7 AAC stereo extension layer		

Figure 4.8 shows the case where TwinVQ is mono, and TwinVQ does not use TNS, where the TNS filter is applied to the reconstructed MDCT coefficients of the TwinVQ decoder. The inverse TNS filters for the L- and R-channel are needed only for the extension layer output.

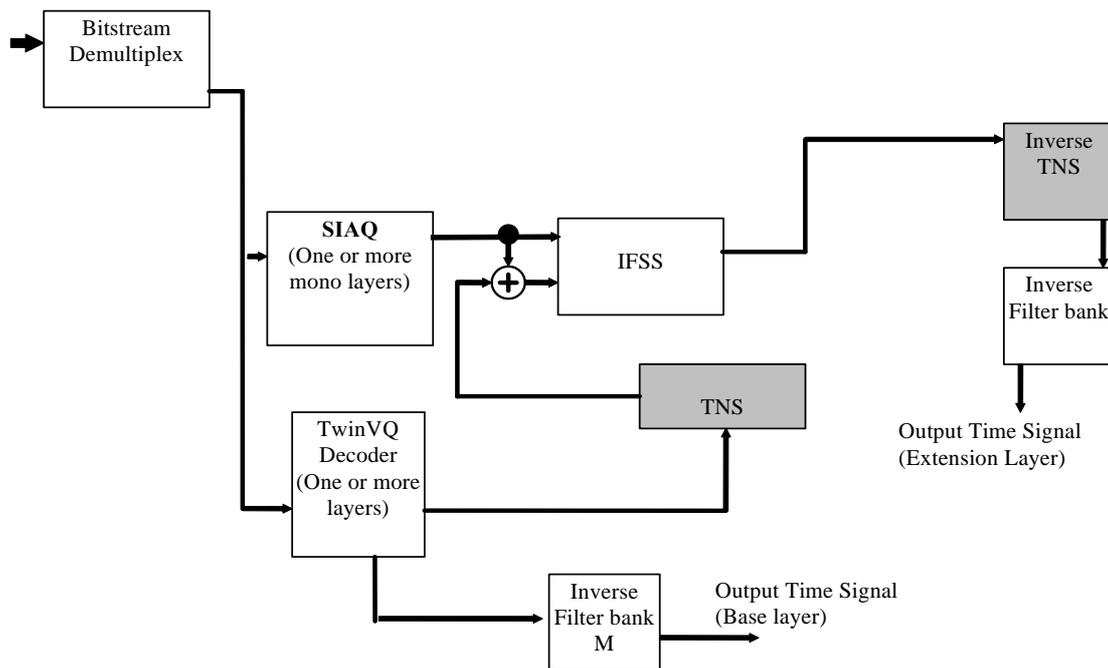


Figure 4.8 – Decoding of a TwinVQ (mono) + AAC (mono) configuration, TwinVQ does not use TNS

Figure 4.9 shows the case where TwinVQ is mono, and uses TNS, where the inverse TNS filter is applied for both, for the TwinVQ only output, and the combined TwinVQ-AAC output signal.

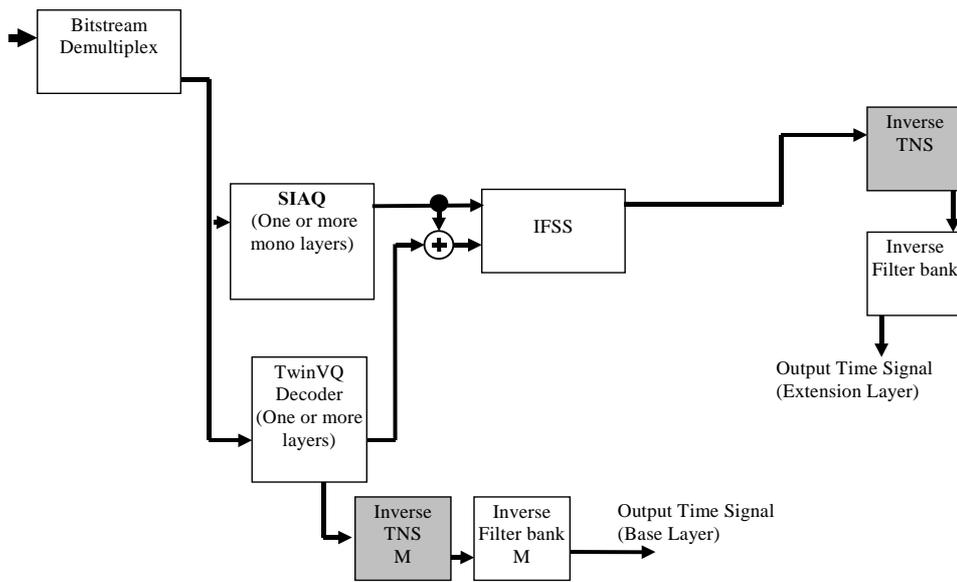


Figure 4.9 – Decoding of a TwinVQ (mono) + AAC (mono) configuration, TwinVQ does use TNS

Figure 4.10 shows the case where TwinVQ is mono, and uses TNS, and at least one AAC mono and one AAC stereo layer is present, where the both the middle, and the left/right inverse TNS filter is applied to the left and right spectrum before calculating the IMDCT.

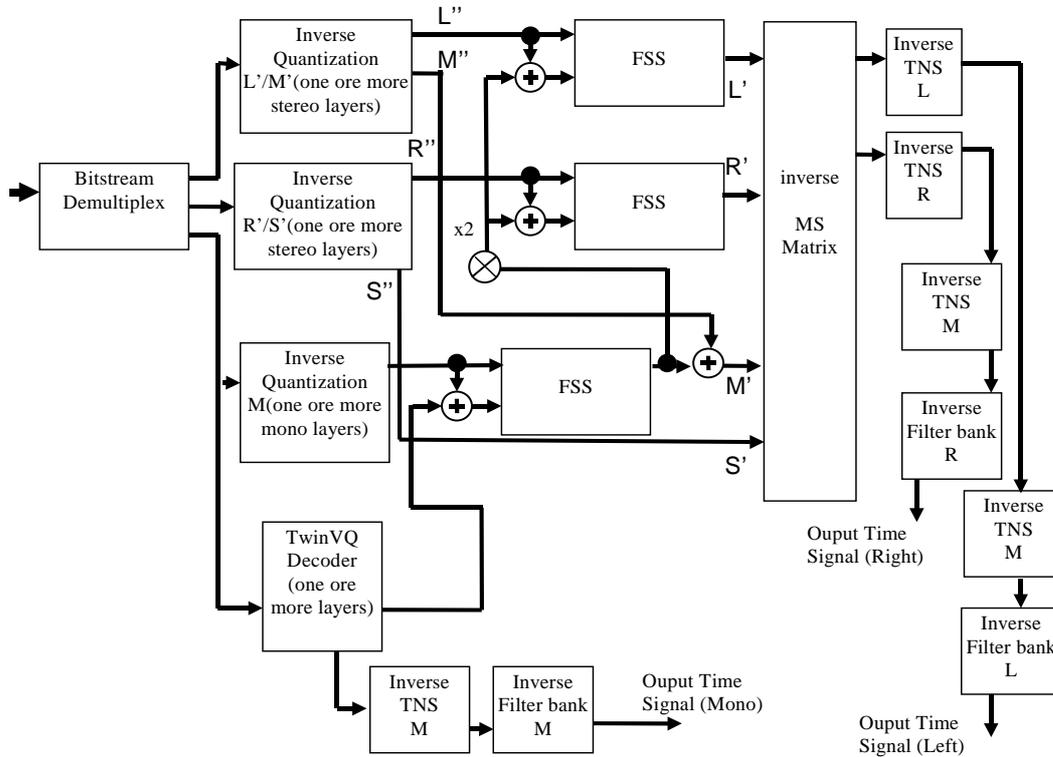


Figure 4.10 – Decoding of a TwinVQ (mono) AAC (mono) and AAC (stereo) configuration, TwinVQ does use TNS

Figure 4.11 shows the case where TwinVQ is mono and does **not** use TNS, and at least one AAC mono and one AAC stereo layer is present, where both, the middle, and the left/right channel inverse TNS filter is applied to the left and right spectrum before calculating the IMDCT.

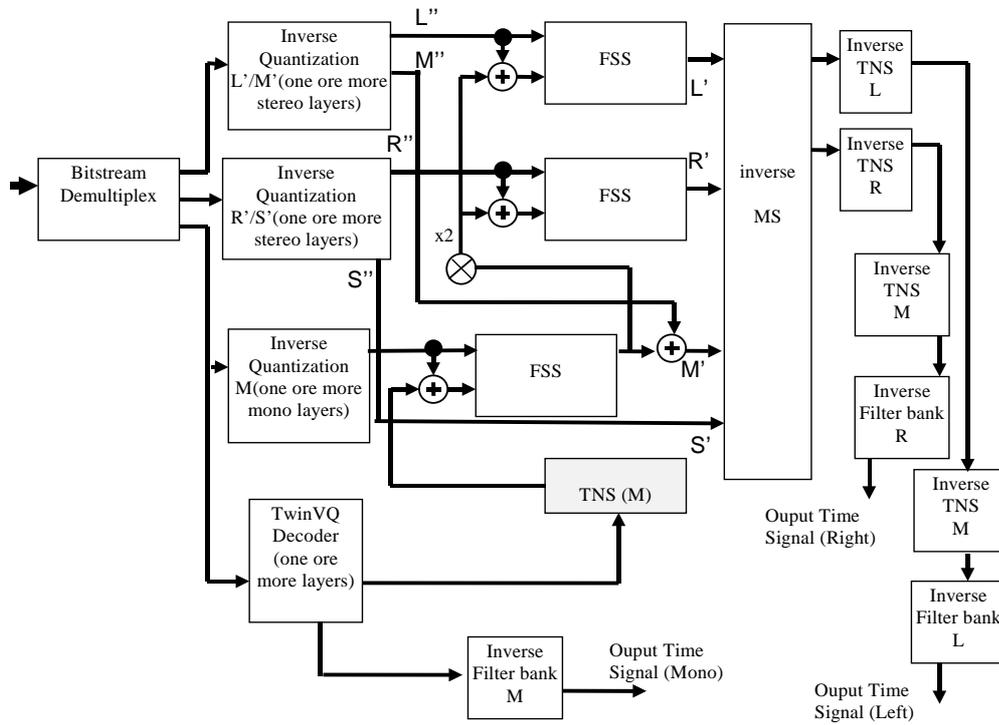


Figure 4.11 – Decoding of a TwinVQ (mono) + AAC (mono) + AAC (stereo) configuration, if TNS is NOT used in the TwinVQ layer

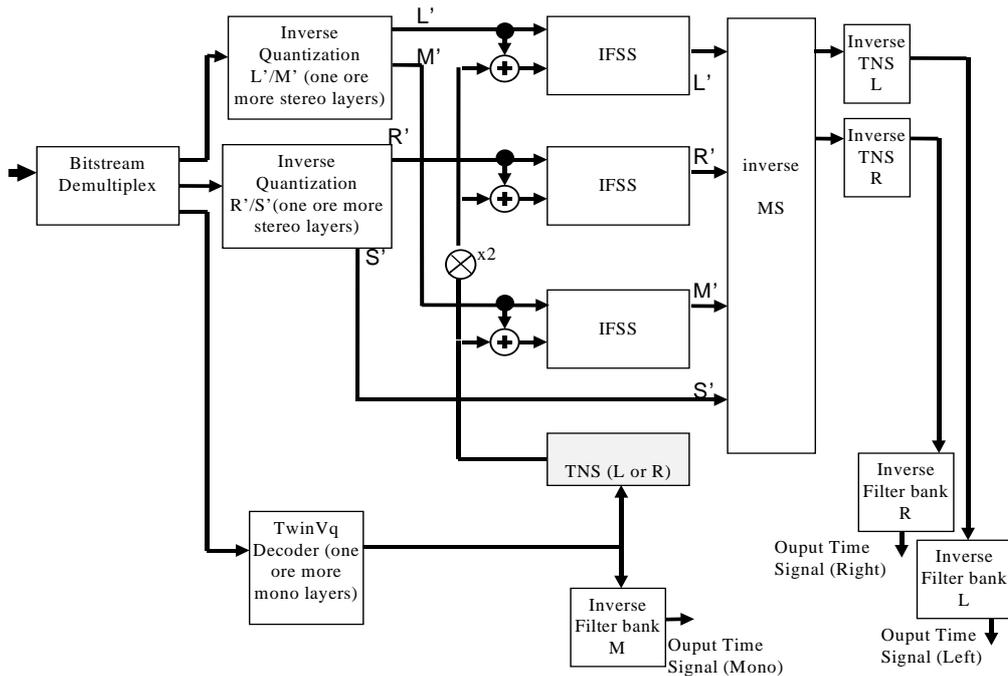


Figure 4.12 – Decoding of a TwinVQ (mono) + AAC (stereo) configuration, if TNS is NOT used in the TwinVQ layer

Figure 4.13 shows the case that TwinVQ is stereo and TwinVQ does not use TNS. Here a TNS filter is applied to the reconstructed MDCT coefficients of the TwinVQ decoder. The inverse TNS filter is needed only for the enhancement layer output.

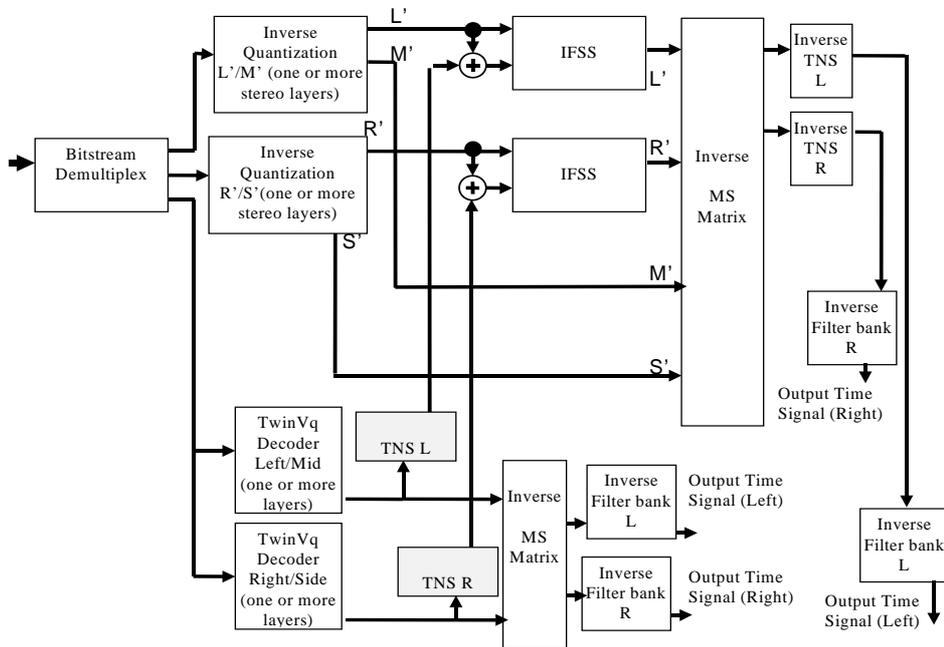


Figure 4.13 – Decoding of a TwinVQ (stereo) + AAC (stereo) configuration, if TNS is NOT used in the TwinVQ layer

Figure 4.14 shows the case where TwinVQ is stereo, and TwinVQ uses TNS, where the common inverse TNS filter is applied to both, the base layer, and the enhancement layer output.

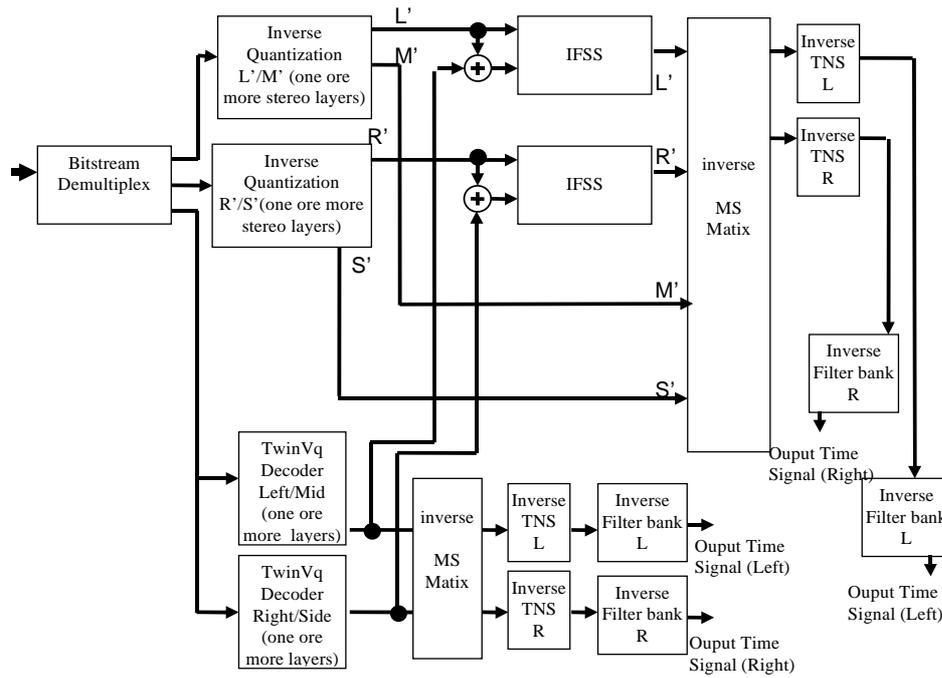


Figure 4.14 – Decoding of a TwinVQ (stereo) + AAC (stereo) configuration, if TNS is used in a TwinVQ layer

Figure 4.15 shows the case where TwinVQ is mono, and TwinVQ uses TNS, where the common inverse TNS filter is applied to both, the base layer, and the enhancement layer output.

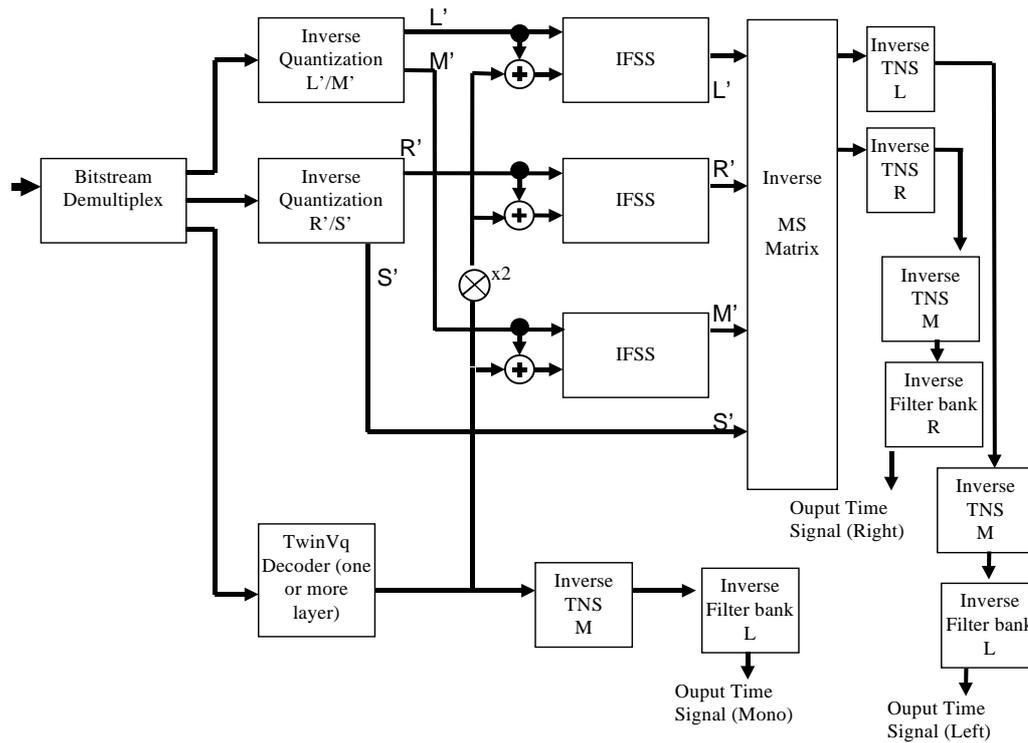


Figure 4.15 – Decoding of a TwinVQ (mono) + AAC (stereo) configuration, if TNS is used in the first TwinVQ layer

4.5.2.2.7 Combining AAC layers if PNS, MS or Intensity tools are used in a particular scale factor band

The following tables specify the output spectrum of a particular scale factor band of the combined layers N and N+1 for various combinations of the PNS, Intensity, and MS coding tools in layer N and layer N+1 for different layer combinations:

Table 4.91 – Mono-mono layer combination

Tool used in Layer N	Tool used in Layer N+1	Output of the combined Layer:
No Tool	No Tool	Sum of Layer N and Layer N+1
No Tool	PNS	Invalid combination
PNS	No Tool	see subclause 4.6.13.6
PNS	PNS	Layer N+1

Table 4.92 – Stereo-stereo layer combination

Tool used in Layer N	Tool used in Layer N+1	Output of the combined Layer:
No Tool or MS	No Tool or MS	Sum of Layer N and Layer N+1
No Tool or MS	PNS	Invalid combination
No Tool or MS	Intensity	Invalid combination
No Tool or MS	PNS & Intensity	Invalid combination
PNS	No Tool	see subclause 4.6.13.6
PNS	MS	see subclause 4.6.13.6
PNS	Intensity	Layer N+1
PNS	PNS	Layer N+1
PNS	PNS & Intensity	Layer N+1
Intensity	No Tool or MS	Layer N+1
Intensity	PNS	Invalid combination
Intensity	Intensity	Sum of Layer N and Layer N+1, only M/L-channel; Take Positions from Layer N+1
Intensity	PNS & Intensity	Invalid combination
PNS & Intensity	No Tool or MS	Layer N+1
PNS & Intensity	PNS	Invalid combination
PNS & Intensity	Intensity	Layer N+1
PNS & Intensity	PNS & Intensity	Layer N+1

Table 4.93 – Mono-stereo layer combination

Tool used in Layer N	Tool used in Layer N+1	Output of the combined Layer:
No Tool	No Tool	Sum of Layer N and Layer N+1 (FSS-Tool)
No Tool	MS	Sum of Layer N and Layer N+1
No Tool	PNS	Invalid combination
No Tool	Intensity	Layer N+1
No Tool	PNS & Intensity	Layer N+1
PNS	No Tool	Layer N+1
PNS	MS	Layer N+1
PNS	Intensity	Layer N+1
PNS	PNS	Layer N+1
PNS	PNS & Intensity	Layer N+1

#### 4.5.2.3 Decoding of an individual\_channel\_stream (ICS) and ics\_info

The Individual Channel Stream (ICS) elements are sub-elements of both, the MPEG-2 AAC style audio object types (AAC Main, LC, SSR, LTP), and the scalable AAC audio object type (AAC Scalable).

##### 4.5.2.3.1 Definitions

###### 4.5.2.3.1.1 Data elements

<code>individual_channel_stream()</code>	contains data necessary to decode one channel
<code>ics_info()</code>	contains side information necessary to decode an <code>individual_channel_stream</code> for SCE and CPE elements. The <code>individual_channel_streams</code> of a <code>channel_pair_element()</code> may share one common <code>ics_info</code>
<code>ics_reserved_bit</code>	flag reserved for future use. Shall be '0'.
<code>window_sequence</code>	indicates the sequence of windows as defined in Table 4.128.
<code>window_shape</code>	A 1 bit field that determines what window is used for the right hand part of this analysis window

**max\_sfb** number of scalefactor bands transmitted per group either in `ics_info()`, `aac_scalable_main_element()`, or `aac_scalable_extension_element()`. For TwinVQ elements `max_sfb` is not transmitted directly, but is calculated in the decoder from other transmitted information as specified in subclause 4.5.2.2.6.1.

**scale\_factor\_grouping** a bit field that contains information about grouping of short spectral data

**4.5.2.3.1.2 Help elements**

`scalefactor window band` term for scalefactor bands within a window, given in Table 4.129 to Table 4.147.

`scalefactor band` term for scalefactor band within a group. In case of `EIGHT_SHORT_SEQUENCE` and grouping a scalefactor band may contain several scalefactor window bands of corresponding frequency. For all other `window_sequences` scalefactor bands and scalefactor window bands are identical.

`g` group index

`win` window index within group

`sfb` scalefactor band index within group

`swb` scalefactor window band index within window

`bin` coefficient index

`num_window_groups` number of groups of windows which share one set of scalefactors

`window_group_length[g]` number of windows in each group.

`bit_set(bit_field,bit_num)` function that returns the value of bit number `bit_num` of a `bit_field` (most right bit is bit 0)

`num_windows` number of windows of the actual window sequence

`num_swb_long_window` number of scalefactor bands for long windows. This number has to be selected depending on the sampling frequency. See subclause 4.5.4.

`num_swb_short_window` number of scalefactor window bands for short windows. This number has to be selected depending on the sampling frequency. See subclause 4.5.4.

`num_swb` number of scalefactor window bands for short windows in case of `EIGHT_SHORT_SEQUENCE`, number of scalefactor window bands for long windows otherwise

`swb_offset_long_window[swb]` table containing the index of the lowest spectral coefficient of scalefactor band `sfb` for long windows. This table has to be selected depending on the sampling frequency.

`swb_offset_short_window[swb]` table containing the index of the lowest spectral coefficient of scalefactor band `sfb` for short windows. This table has to be selected depending on the sampling frequency.

`swb_offset[swb]` table containing the index of the lowest spectral coefficient of scalefactor band `sfb` for short windows in case of `EIGHT_SHORT_SEQUENCE`, otherwise for long windows

`sect_sfb_offset[g][section]` table that gives the number of the start coefficient for the `section_data()` within a group. This offset depends on the `window_sequence` and `scale_factor_grouping`.

`sampling_frequency_index` see subclause 1.6.3.3 of subpart 1.

#### 4.5.2.3.2 Decoding process

##### Decoding an individual\_channel\_stream (ICS)

In the individual\_channel\_stream, the order of decoding is:

- Get global\_gain
- Get ics\_info (parse bitstream payload if common information is not present)
- Get section\_data, if present
- Get scale\_factor\_data, if present
- Get pulse\_data if present
- Get tns\_data, if present
- Get gain\_control\_data, if present

If the HCR tool is not used:

- Get spectral\_data, if present

If the HCR tool is used:

- Get length\_of\_reordered\_spectral\_data, if present
- Get length\_of\_longest\_codeword, if present
- Get reordered\_spectral\_data, if present

The process of recovering pulse\_data is described in subclause 4.6.3, tns\_data in subclause 4.6.9, and gain\_control data in subclause 4.6.12. An overview of how to decode ics\_info section\_data, scalefactor\_data, and spectral\_data will be in the following paragraphs.

##### Recovering ics\_info()

For single\_channel\_element()'s ics\_info is always located immediately after the global\_gain in the individual\_channel\_stream. For a channel pair element there are two possible locations for the ics\_info.

In case of channel\_pair\_element() if the common\_window flag is set to 1 both channels share the same ics\_info() (i.e. both have same window\_sequence, same window\_shape, same scale\_factor\_grouping, same max\_sfb etc.). Otherwise (i.e. common\_window is set to 0) there is an ics\_info immediately after the global\_gain for each of the two individual\_channel\_stream().

The ics\_info() carries the window information associated with an ICS and thus permits channels in a channel\_pair to switch separately if desired. In addition it carries the max\_sfb which places an upper limit on the number of ms\_used[] and predictor\_used[] bits that must be transmitted. If the window\_sequence is EIGHT\_SHORT\_SEQUENCE then scale\_factor\_grouping is transmitted. If a set of short windows form a group then they share scalefactors as well as intensity stereo positions and PNS information and have their spectral coefficients interleaved. The first short window is always a new group so no grouping bit is transmitted. Subsequent short windows are in the same group if the associated grouping bit is 1. A new group is started if the associated grouping bit is 0. It is assumed that grouped short windows have similar signal statistics. Hence their spectra are interleaved to place correlated coefficients next to each other. The manner of interleaving is indicated in Figure 4.24. The ics\_info() also carries the prediction\_data() for the individual channel or channel pair (see subclause 4.6.6 and 4.6.7).

##### Recovering section\_data()

In the ICS, the information about one long window, or eight short windows, is recovered. The section\_data() is the first field to be decoded, and describes the Huffman codes that apply to the scalefactor bands in the ICS. The form of the section data is:

- sect\_cb** The codebook for the section
- and

**sect\_len** The length of the section.

This length is recovered by reading the bitstream payload sequentially for a section length, adding the escape value to the total length of the section until a non-escape value is found, which is added to establish the total length of the section. This process is clearly explained in the C-like syntax description. If the `aacSectionDataResilienceFlag` is set, **sect\_len\_incr** is not transmitted but is set to one per default in case the codebook for a section is 11 or in the range of 16 and 31. Note that within each group the sections must delineate the scalefactor bands from zero to **max\_sfb** so that the first section within each group starts at bands zero and the last section within each group ends at **max\_sfb**.

The sectioning data describes the codebook, and then the length of the section using that codebook, starting from the first scalefactor band and continuing until the total number of scalefactor bands is reached.

After this description is provided, all scalefactors and spectral data corresponding to codebook zero are zeroed, and no values corresponding to these scalefactors or spectral data will be transmitted. When scanning for scale-factor data it is important to note that scalefactors for any scalefactor bands whose Huffman codebook is zero will be omitted. Similarly, all spectral data associated with Huffman codebook zero are omitted.

In addition spectral data associated with the scalefactor bands that have an intensity codebook will not be transmitted, but intensity stereo positions will be transmitted in place of the scalefactors, as described in subclause 4.6.8.1.4.

#### **scalefactor\_data() parsing and decoding**

For each scalefactor band that is not in a section coded with the zero codebook (ZERO\_HCB), a scalefactor is transmitted. These will be denoted as 'active' scalefactor bands and the associated scalefactors as active scalefactors. Global gain, the first data element in an ICS, is typically the value of the first active scalefactor. All scalefactors ( and also the stereo positions and pns energies) are transmitted using Huffman coded DPCM relative to the previous active scalefactor (respectively previous stereo position or previous pns energy, see subclause 4.6.2 and 4.6.3). The first active scalefactor is differentially coded relative to the global gain. Note that it is not illegal, merely inefficient, to provide a `global_gain` that is different from the first active scalefactor and then a non-zero DPCM value for the first scalefactor DPCM value. If any intensity stereo positions are received interspersed with the DPCM scalefactor elements, they are sent to the intensity stereo module, and are not involved in the DPCM coding of scalefactor values. This also applies for PNS energies. The value of the first active scalefactor is usually transmitted as the `global_gain` with the first DPCM scalefactor having a zero value. Once the scalefactors are decoded, the actual values are found via a power function.

#### **spectral\_data() parsing and decoding**

The spectral data is recovered as the last part of the parsing of an ICS. It consists of all the non-zeroed coefficients remaining in the spectrum or spectra, ordered as described in the `ICS_info`. For each non-zero, non-intensity codebook, the data are recovered via Huffman decoding in quads or pairs, as indicated in the noiseless coding tool (see subclause 4.6.9). If the spectral data is associated with an unsigned Huffman codebook, the necessary sign bits follow the Huffman codeword. In the case of the ESCAPE codebook, if any escape value is received, a corresponding escape sequence will appear after that Huffman code. There may be zero, one or two escape sequences for each codeword in the ESCAPE codebook, as indicated by the presence of escape values in that decoded codeword. For each section the Huffman decoding continues until all the spectral values in that section have been decoded. Once all sections have been decoded, the data is multiplied by the decoded scalefactors and deinterleaved if necessary.

If the HCR tool is used, spectral data does not consist of consecutive codewords anymore. Concerning HCR, the whole data necessary to decode two or four lines are referred as codeword. This includes Huffman codeword, sign bits, and escape sequences.

#### **4.5.2.3.3 Windows and window sequences**

Quantization and coding is done in the frequency domain. For this purpose, the time signal is mapped into the frequency domain in the encoder. The decoder performs the inverse mapping as described in subclause 4.6.11. Depending on the signal, the coder may change the time/frequency resolution by using two different windows: `LONG_WINDOW` and `SHORT_WINDOW`. To switch between windows, the transition windows `LONG_START_WINDOW` and `LONG_STOP_WINDOW` are used. Table 4.127 lists the windows, specifies the corresponding transform length and shows the shape of the windows schematically. Two

transform lengths are used: 1024 (or 960) (referred to as long transform) and 128 (or 120) coefficients (referred to as short transform).

Window sequences are composed of windows in a way that a `raw_data_block` always contains data representing 1024 (or 960) output samples. The data element **window\_sequence** indicates the window sequence that is actually used. Lists how the window sequences are composed of individual windows. Refer to subclause 4.6.11 for more detailed information about the transform and the windows.

#### 4.5.2.3.4 Scalefactor bands and grouping

Many tools of the decoder perform operations on groups of consecutive spectral values called scalefactor bands (abbreviation 'sfb'). The width of the scalefactor bands is built in imitation of the critical bands of the human auditory system. For that reason the number of scalefactor bands in a spectrum and their width depend on the transform length and the sampling frequency. Table 4.129 to Table 4.147 list the offset to the beginning of each scalefactor band on the transform lengths 1024 (960) and 128 (120) and on the sampling frequencies.

To reduce the amount of side information in case of sequences which contain `SHORT_WINDOWS`, consecutive `SHORT_WINDOWS` may be grouped (see Figure 4.22). The information about the grouping is contained in the **scale\_factor\_grouping** data element. Grouping means that only one set of scalefactors is transmitted for all grouped windows as if there was only one window. The scalefactors are then applied to the corresponding spectral data in all grouped windows. To increase the efficiency of the noiseless coding (see subclause 4.6.3), the spectral data of a group is transmitted in an interleaved order given in subclause 4.5.2.3.5. The interleaving is done on a scalefactor band by scalefactor band basis, so that the spectral data can be grouped to form a virtual scalefactor band to which the common scalefactor can be applied. Within this document the expression 'scalefactor band' (abbreviation 'sfb') denotes these virtual scalefactor bands. If the scalefactor bands of the single windows are referred to, the expression 'scalefactor window band' (abbreviation 'swb') is used. Due to its influence on the scalefactor bands, grouping affects the meaning of `section_data` (see subclause 4.6.3), the order of spectral data (see subclause 4.5.2.3.5), and the total number of scalefactor bands. For a `LONG_WINDOW` scalefactor bands and scalefactor window bands are identical since there is only one group with only one window.

To reduce the amount of information needed for the transmission of side information specific to each scalefactor band, the data element **max\_sfb** is transmitted. Its value is one greater than the highest active scalefactor band in all groups. **max\_sfb** has influence on the interpretation of section data (see subclause 4.6.3), the transmission of scalefactors (see subclause 4.6.3 and 4.6.2), the transmission of predictor data (see subclause 4.6.6 and 4.6.7), the FSS control information in the mono-stereo coding modes (see subclause 4.6.14 and 4.5.2.2), and the transmission of the `ms_mask` (see subclause 4.6.8.1).

Since scalefactor bands are a basic element of the coding algorithm, some help variables and arrays are needed to describe the decoding process in all tools using scalefactor bands. These help variables depend on `sampling_frequency`, **window\_sequence**, **scalefactor\_grouping** and **max\_sfb** and must be built up for each `raw_data_block`. The pseudo code shown below describes

- how to determine the number of windows in a `window_sequence` named `num_windows`
- how to determine the number of `window_groups` named `num_window_groups`
- how to determine the number of windows in each group named `window_group_length[g]`
- how to determine the total number of scalefactor window bands named `num_swb` for the actual window type
- how to determine `swb_offset[swb]`, the offset of the first coefficient in scalefactor window band named `swb` of the window actually used
- how to determine `sect_sfb_offset[g][section]`, the offset of the first coefficient in section named `section`. This offset depends on **window\_sequence** and **scale\_factor\_grouping** and is needed to decode the `spectral_data()`.

A long transform window is always described as a `window_group` containing a single window. Since the number of scalefactor bands and their width depend on the sampling frequency, the affected variables are indexed with `sampling_frequency_index` to select the appropriate table.

```
fs_index = sampling_frequency_index;
```

```

switch (window_sequence) {
  case ONLY_LONG_SEQUENCE:
  case LONG_START_SEQUENCE:
  case LONG_STOP_SEQUENCE:
    num_windows = 1;
    num_window_groups = 1;
    window_group_length[num_window_groups-1] = 1;
    num_swb = num_swb_long_window[fs_index];
    /* preparation of sect_sfb_offset for long blocks */
    /* also copy the last value! */
    for(i = 0; i < max_sfb + 1; i++) {
      sect_sfb_offset[0][i] = swb_offset_long_window[fs_index][i];
      swb_offset[i] = swb_offset_long_window[fs_index][i];
    }
    break;
  case EIGHT_SHORT_SEQUENCE:
    num_windows = 8;
    num_window_groups = 1;
    window_group_length[num_window_groups-1] = 1;
    num_swb = num_swb_short_window[fs_index];
    for (i = 0; i < num_swb_short_window[fs_index] + 1; i++)
      swb_offset[i] = swb_offset_short_window[fs_index][i];
    for (i = 0; i < num_windows-1; i++) {
      if (bit_set(scale_factor_grouping,6-i) == 0) {
        num_window_groups += 1;
        window_group_length[num_window_groups-1] = 1;
      }
      else {
        window_group_length[num_window_groups-1] += 1;
      }
    }
    /* preparation of sect_sfb_offset for short blocks */
    for (g = 0; g < num_window_groups; g++) {
      sect_sfb = 0;
      offset = 0;
      for (i = 0; i < max_sfb; i++) {
        width = swb_offset_short_window[fs_index][i+1] -
          swb_offset_short_window[fs_index][i];
        width *= window_group_length[g];
        sect_sfb_offset[g][sect_sfb++] = offset;
        offset += width;
      }
      sect_sfb_offset[g][sect_sfb] = offset;
    }
    break;
  default:
    break;
}

```

#### 4.5.2.3.5 Order of spectral coefficients in spectral\_data

If the Huffman codeword reordering (HCR) tool is used subclause 4.6.16.3.3 applies. Otherwise the spectral coefficients are ordered as follows.

For ONLY\_LONG\_SEQUENCE windows (num\_window\_groups = 1, window\_group\_length[0] = 1) the spectral data is in ascending spectral order, as shown in Figure 4.23.

For the EIGHT\_SHORT\_SEQUENCE window, the spectral order depends on the grouping in the following manner:

- Groups are ordered sequentially
- Within a group, a scalefactor band consists of the spectral data of all grouped SHORT\_WINDOWS for the associated scalefactor window band. To clarify via example, the length of a group is in the range of one to eight SHORT\_WINDOWS.

- If there are eight groups each with length one (`num_window_groups = 8`, `window_group_length[0..7] = 1`), the result is a sequence of eight spectra, each in ascending spectral order.
- If there is only one group with length eight (`num_window_groups = 1`, `window_group_length[0] = 8`), the result is that spectral data of all eight SHORT\_WINDOWS is interleaved by scalefactor window bands.
- Figure 4.24 shows the spectral ordering for an EIGHT\_SHORT\_SEQUENCE with grouping of SHORT\_WINDOWS according to Figure 4.22 (`num_window_groups = 4`).
- Within a scalefactor window band, the coefficients are in ascending spectral order.

#### 4.5.2.3.6 Output word length

The global gain for each audio channel is scaled such that the integer part of the output of the IMDCT can be used directly as a 16-bit PCM audio output to a digital-to-analog (D/A) converter. This is the default mode of operation and will result in correct audio levels. If the decoder has a D/A converter with a resolution greater than 16-bit then the output of the IMDCT can be scaled up such that the appropriate number of fractional bits are included to form the desired D/A word size. In this case the level of the converter output would be matched to that of a 16-bit D/A, but would have the advantage of greater signal dynamic range and lower converter noise floor. Similarly, shorter D/A word lengths can be accommodated.

#### 4.5.2.4 Payloads for the audio object types ER AAC LC, ER AAC LTP, ER AAC LD, ER AAC ELD and ER AAC scalable

For AAC, two kinds of bitstream payload syntax are available: scalable and multichannel. The following changes have to be applied to them to obtain their error resilient counterparts:

- **Multichannel AAC:** The syntax of the top-level payload has been modified. `raw_data_block()` is – depending on the AOT – replaced by either the `er_raw_data_block()` as described in Table 4.19 or the `er_raw_data_block_eld()` as described in Table 4.75. Due to this modification `coupling_channel_element()`, `data_stream_element()`, `program_config_element()`, and `fill_element()` are not supported within the error resilient bitstream payload syntax. For the definition of the helper function `bits_to_decode()` see subclause 4.5.2.1.
- **Scalable AAC:** The syntax of `aac_scalable_main_element()` and `aac_scalable_extension_element()` is not changed for error resilience.

With respect to the ER AAC AudioObjectTypes, the above mentioned top level payloads, i.e.:

- `er_raw_data_block()` as described in Table 4.19
- `er_raw_data_block_eld()` as described in Table 4.75
- `aac_scalable_main_element()` as described in Table 4.13
- `aac_scalable_extension_element()` as described in Table 4.14

are treated as logical bitstream payloads.

Preprocessing steps are required in order to retrieve this logical bitstream payload from the physical bitstream payload.

The correspondence and relationships between the logical and the physical payload is described subsequently:

On encoder site, data elements are subdivided into different error sensitivity categories depending on their error sensitivity and collected in instances of these error sensitivity categories.

Depending on the setting of `epConfig`, there are different ways to obtain these instances on decoder site as described in subclause 1.6.3.6 (`epConfig`) of subpart 1.

Both, the order of these instances within an error resilient AAC frame and the order of data elements inside these instances, are described within the next section. If separate access units are used, the dependency structure between elementary streams has to be set up according to the order of instances.

**4.5.2.4.1 Error sensitivity category assignment**

The following table gives an overview about the error sensitive categories used for AAC (channel\_pair\_element() = CPE, individual\_channel\_stream() = ICS, extension\_payload() = EPL):

**Table 4.94 – Error sensitivity category assignment**

category	payload	mandatory	leads / may lead to one instance per	Description
0	main	yes	CPE / stereo layer	commonly used side information
1	main	yes	ICS	channel dependent side information
2	main	no	ICS	error resilient scale factor data
3	main	no	ICS	TNS data
4	main	yes	ICS	spectral data
5	extended	no	EPL	extension type / data_element_version
6	extended	no	EPL	DRC data
7	extended	no	EPL	bit stuffing
8	extended	no	EPL	ANC data
9	extended	no	EPL	SBR data

Table 4.148 shows the category assignment for the main payload (supported elements are SCE, LFE, and CPE). Within this table “-“ means that this data element does not occur within this configuration.

Table 4.149 shows the category assignment for the extended payload.

**4.5.2.4.2 Category instances and its dependency structure**

The subdivision into instances is done on a frame basis, in case of scalable syntax in addition on a layer basis.

The order of instances within the error resilient AAC frame/layer as well as the dependency structure in case of several elementary streams is assigned according to the following rules:

**Table 4.95 – Dependency structure within the ER AAC payload**

hierarchy level	error resilient multi-channel syntax	error resilience scalable syntax
frame / layer	base payload followed by extension payload	
base payload	order of syntactic elements follows order stated in Table 4.19	commonly used side information followed by channel dependent information
extended payload	no rule regarding the order of multiple EPLs is given, the kind of extension payload can be identified by extension_type	
syntactic element in base payload	commonly used side information followed by channel dependent information	-
channel dependent information	left channel followed by right channel	
channel dependent information / EPL	dependency structure according to category numbers	

Note: Channel dependent information consists of individual\_channel\_stream() (ICS). Exceptions:

- ltp\_data\_present and ltp\_data() are treated as channel dependent information even if they are not part of ICS.
- For the ER AAC Scalable object type, tns\_data\_present, tns\_data(), diff\_control\_data() and diff\_control\_data\_lr() are treated as channel dependent information even if they are not part of ICS.

Figure 4.25 and Figure 4.26 show examples for the error resilient multi-channel syntax. The order of data elements inside each instance is based on the syntax description, i. e. the logical order is kept. The bits of the data function byte\_alignment() terminating the AAC top level payloads are always assigned to the last instance of the dependency chain and stored at its end.

Note: If the reversible variable length coding (RVLC) tool is not used (aacScalefactorDataResilienceFlag == 0), the decoder does not expect instances of error sensitivity category 2, i. e. no access unit shall contain those instances.

#### 4.5.2.5 Payloads for the audio object types TwinVQ and ER TwinVQ

##### 4.5.2.5.1 Definitions

<code>tvq_scalable_main_element()</code>	data element to decode core TwinVQ data.
<code>tvq_scalable_extension_element()</code>	data element to decode scalable TwinVQ data.
<code>vq_single_element()</code>	A data block containing one frame of syntax elements for TwinVQ base layer element and TwinVQ enhancement layer element.
<code>ppc_present</code>	indicates the activation of periodic peak components coding.
<code>postprocess_present</code>	indicates the activation of the postprocessor in the spectral normalization
<code>bandlimit_present</code>	indicates the activation of the bandwidth control of MDCT coefficients
<code>fb_shift</code>	indicates location of active frequency band in enhancement layer
<code>index_blim_h</code>	indicates the upper boundary for the bandwidth control process of the spectrum normalization tool.
<code>index_blim_l</code>	indicates the lower boundary for the bandwidth control process of the spectrum normalization tool
<code>index_shape0</code>	indicates the codevector number of the shape codebook 1 and polarity of the codevector for the interleaved vector quantization tool.
<code>index_shape1</code>	indicates the codevector number of the shape codebook 1 of the interleaved vector quantization tool.
<code>index_env</code>	indicates the codevector number of the Bark-scale envelope codebook of the spectrum normalization tool.
<code>index_fw_alf</code>	indicates the prediction switch of the Bark-scale envelope coding of the spectrum normalization tool.
<code>index_gain</code>	indicates the gain factor of the spectrum normalization tool.
<code>index_gain_sb</code>	indicates the sub-block gain factor of the spectrum normalization tool.
<code>index_lsp0</code>	indicates LSP MA prediction switch of the spectrum normalization tool.
<code>index_lsp1</code>	indicates codevector number of the first-stage LSP VQ in the spectrum normalization tool.
<code>index_lsp2</code>	indicates codevector number of the second-stage LSP VQ in the spectrum normalization tool.
<code>index_shape0_p</code>	indicates the codevector number of codebook 0 of the periodic peak component coding in the spectrum normalization tool.
<code>index_shape1_p</code>	indicates the codevector number of codebook 1 of the periodic peak component coding in the spectrum normalization tool.
<code>index_pit</code>	indicates the base frequency of the periodic peak component in the spectrum normalization tool.
<code>index_pgain</code>	indicates the gain factor of the periodic peak component in the spectrum normalization tool.

##### 4.5.2.5.2 Parameter setting

Following parameters are used for decoding of TwinVQ base layer element and the TwinVQ enhancement layer element:

<code>N_CH</code>	number of channels defined by the system layer
-------------------	--

N_DIV	number of sub-vector division for interleaved vector quantization It is calculated according to the decoder status.
N_SF	number of filterbank subblocks in a frame
FW_N_DIV	number of codebook division for the Bark-scale envelop quantization
LSP_SPLIT	number of subvectors for LSP VQ.
N_DIV_P	number of sub-vector division for periodic peak component coding

These parameters are also referenced from the interleaved vector quantization tool and the spectrum normalization tool. The following parameters have constant values as shown below:

**Table 4.96 – parameters that have constant values**

N_SF (SHORT)	=	8
N_SF (LONG)	=	1
FW_N_DIV	=	7
LSP_SPLIT	=	3
N_DIV_P	=	2*N_CH

**4.5.2.5.3 Bit allocation**

**4.5.2.5.3.1 Spectrum normalization tool**

For syntax elements listed below, the number of bits is set according to the parameters **lyr** and **window\_sequence**:

**Table 4.97 – Bit allocation of syntax elements**

Name of variables	Name of number of bits	lyr = 0 LONG	lyr = 0 SHORT	lyr >= 1 LONG	lyr >= 1 SHORT	Times per frame
<b>fb_shift</b>	-	0	0	2	2	N_CH
<b>index_blim_h</b>	-	2/0	2/0	0	0	N_CH
<b>index_blim_l</b>	-	1/0	1/0	0	0	N_CH
<b>index_env</b>	FW_N_BIT	6	0	6	0	FW_N_DIV*N_CH
<b>index_fw_alf</b>	-	1	0	1	0	N_CH
<b>index_gain</b>	GAIN_BIT	9	9	8	8	N_CH
<b>index_gain_sb</b>	SUB_GAIN_BIT	0	4	0	4	N_SF*N_CH
<b>index_lsp0</b>	LSP0_BIT	1	1	1	1	N_CH
<b>index_lsp1</b>	LSP1_BIT	6	6	6	6	N_CH
<b>index_lsp2</b>	LSP2_BIT	4	4	4	4	LSP_SPLIT*N_CH
<b>index_shape0_p</b>	MAXBIT_P+1	7/0	0	0	0	N_DIV_P
<b>index_shape1_p</b>	MAXBIT_P+1	7/0	0	0	0	N_DIV_P
<b>index_pit</b>	BASF_BIT	8/0	0	0	0	N_CH
<b>index_pgain</b>	PGAIN_BIT	7/0	0	0	0	N_CH

**4.5.2.5.3.2 Interleaved VQ tool**

Parameter N\_DIV, Number of bits of shape code index 0, bits0, and number of bits of shape code index 1, bits1, are calculated according to the following procedures:

First, number of bits for side information, bits\_for\_side\_information is calculated as follows:

$$\text{bits\_for\_side\_information} = \text{WS\_TBIT} + \text{OPT\_TBIT} + \text{LSP\_TBIT} + \text{GAIN\_TBIT} + \text{FW\_TBIT} + \text{PIT\_TBIT} + \text{BAND\_TBIT} + \text{used\_bits}$$

where

WS\_TBIT Is numer of bits for the flags for window sequence, window shape, joint stereo coding (ms\_present), LTP, and TNS tools,

OPT\_BIT is number of bits for the flags for bandlimit, ppc, postprocess and active band selection.

Note that If ppc\_present is activated, 43 bits per channel (PIT\_TBIT), and bandlimit\_present is activated 3 bits per channel (BAND\_TBIT) are consumed.

used\_bit is number of bits used by tools other than spectrum normalization tool such as joint stereo tools, LTP tools and TNS tools.

LSP\_TBIT, GAIN\_TBIT, FW\_TBIT, and PIT\_TBIT is number of bits for optional information, lsp coding, gain coding, Bark-scale envelope coding, and periodic peak components coding respectively. They are set as follows:

```
LSP_TBIT = (LSP_BIT0+LSP_BIT1+(LSP_BIT2*LSP_SPLIT)) * N_CH;
if (FW_N_BIT>0){
    FW_TBIT = ((FW_N_BIT * FW_N_DIV + 1) * N_SF) * N_CH;
}
else{
    FW_TBIT = 0;
}

switch(window_sequence){
case EIGHT_SHORT_SEQUENCE:
    GAIN_TBIT = (GAIN_BIT + SUB_GAIN_BIT * N_SF) * N_CH;
    PIT_TBIT = 0;
    break;
default:
    GAIN_TBIT = GAIN_BIT * N_CH;
    if (ppc_present == TRUE)
        PIT_TBIT = (MAXBIT_P+1)*N_DIV_P*2+ (BASF_BIT + PGAIN_BIT) * N_CH;
    else
        PIT_TBIT = 0;
    break;
}
```

Numbers of bits for side Information are listed in the following table.

**Table 4.98 – Bit allocation of side information**

Scalable layer lyr Window_sequence	0 long	0 long	0 short	0 short	>=1 long	>=1 long	>=1 short	>=1 short
N_CH	1	2	1	2	1	2	1	2
WS_TBIT	5	9	5	9	0	2	0	2
OPT_TBIT	3	3	1	1	2	4	2	4
LSP_TBIT	19	38	19	38	19	38	19	38
GAIN_TBIT	9	18	41	82	8	16	40	80
FW_TBIT	43	86	0	0	43	86	0	0
BAND_TBIT	3/0	6/0	3/0	6/0	0	0	0	0
PIT_TBIT	43/0	86/0	0	0	0	0	0	0

Number of available bits, bits\_available\_vq is calculated as follows:

$$\text{bits\_available\_vq} = (\text{int})(((\text{FRAME\_SIZE} * \text{bitrate}/\text{sampling\_frequency})/8+0.5)*8) - \text{bits\_for\_side\_information},$$

where bitrate is given by a system parameter in [bit/s] and sampling frequency is given in the right column of Table 4.82.

Finally, number of shape sub-vector, N\_DIV and number of bits for shape code indices, bits0 and bits1, are calculated as follows:

```
N_DIV = ((int)((bits_available_vq + MAXBIT*2-1)/(MAXBIT*2));
bits = (bits_available_vq + N_DIV - 1 - idiv) / N_DIV;
bits0 = (int)(bits+1) / 2;
bits1 = (int)bits/2;
```

where MAXBIT is maximum number of shape code bit. The MAXBIT is always set to 6.

**4.5.2.5.3.3 Scalable coder by means of TwinVQ**

Scalable coder can be constructed by cascading the TwinVQ core and the TwinVQ extension (enhancement) quantizers. The decoding process is straight forward. MDCT coefficients are reconstructed by using the demultiplexed bitstream payload for each layer. Each enhancement layer covers the different part of the coefficients whose regions are adaptively specified by the shift parameters. Summation of these reconstructed coefficients is performed. Finally, an IMDCT filter bank maps back the spectrum (MDCT coefficients) into a time domain signal using a synthesis window and overlap / add techniques. If one wishes to decode only the core MDCT coefficients, the decoded core coefficients have to be passed on directly to the filter bank. The core layer of this scalable coder can be combined with the AAC tools such as TNS, LTP and joint stereo coding. This coder, however, does neither support the mono-stereo cascading coder nor the FSS control.

If in `tvq_scalable_element()` the following condition is true:

```
(ms_mask == 1) && (this_layer_stereo == 1) &&
(window_sequence == EIGHT_SHORT_SEQUENCE) &&
```

there is no **scale\_factor\_grouping** information in the previous layer(s)

then

`num_window_group` shall be set to '1' (`scale_factor_grouping = 0x7F`).

**4.5.2.6 Payloads for the audio object type ER BSAC**

**4.5.2.6.1 Decoding of payload for audio object type ER BSAC (`bsac_payload()`)**

Fine grain scalability would create large overhead if one would try to transmit fine grain layers over multiple elementary streams (ES). So, in order to reduce overhead and implement the fine grain scalability efficiently in current MPEG-4 system, the server can organize the fine grain audio data into the payload by dividing the fine grain audio data into the large-step layers and concatenating the large step layers of the several sub-frames. Then the payload is transmitted over ES.

So, the payload transmitted over ES requires the rearrangement process for the actual decoding.

**4.5.2.6.1.1 Definitions**

<code>bsac_payload(layer)</code>	Sequence of <code>bsac_lstep_element()</code> s. Syntactic element of the payload transmitted over layer ES. A <code>bsac_payload(layer)</code> basically consists of several layer bitstream, <code>bsac_lstep_element()</code> of several sub-frames.
<code>bsac_lstep_element(frm, layer)</code>	Syntactic element for the layer large-step layer bitstream of <code>frm</code> sub-frame.
<code>bsac_stream_byte[frm][offset+i]</code>	( <code>offset+i</code> )-th byte which is extracted from the payload. After <code>bsac</code> stream bytes are extracted from all the payloads that have been transmitted to the receiver, these data are concatenated and saved in the array <code>bsac_stream_byte[frm][i]</code> which is the bitstream of <code>frm</code> sub-frame. Then, we proceed to decode the concatenated stream, <code>bsac_stream_byte[frm][i]</code> using the syntax of the BSAC fine grain scalability.

**4.5.2.6.1.1.1 Help elements**

<code>data_available()</code>	function that returns "1" as long as data is available, otherwise "0"
<code>LayerStartByte[frm][layer]</code>	Start position of layer large-step layer in bytes which is located on <code>frm</code> sub-frame's bitstream. See sub-clause 4.5.2.6.1.2 for the calculation process of this value.
<code>LayerLength[frm][layer]</code>	Length of the large-step layer in bytes which is located on the payload of layer ES and concatenated to <code>frm</code> sub-frame's bitstream. See sub-clause 4.5.2.6.1.2 for the calculation process of this value.

LayerOffset[frm][lay]	Start position of the large-step layer of frmth frame in bytes which is located on the payload of layth layer ES. See sub-clause 4.5.2.6.1.2 for the calculation process of this value.
frm	index of frame in which bsac stream bytes are saved.
lay	index of the large-step layer over which the fine granule audio data is transmitted.
numOfSubFrame	Number of the sub-frames which are grouped and transmitted in a super-frame in order to reduce the transmission overhead.
layer_length	Average length of the large-step layers in bytes which are assembled in a payload.
numOfLayer	number of the large-step layers which the fine grain audio data is divided into.

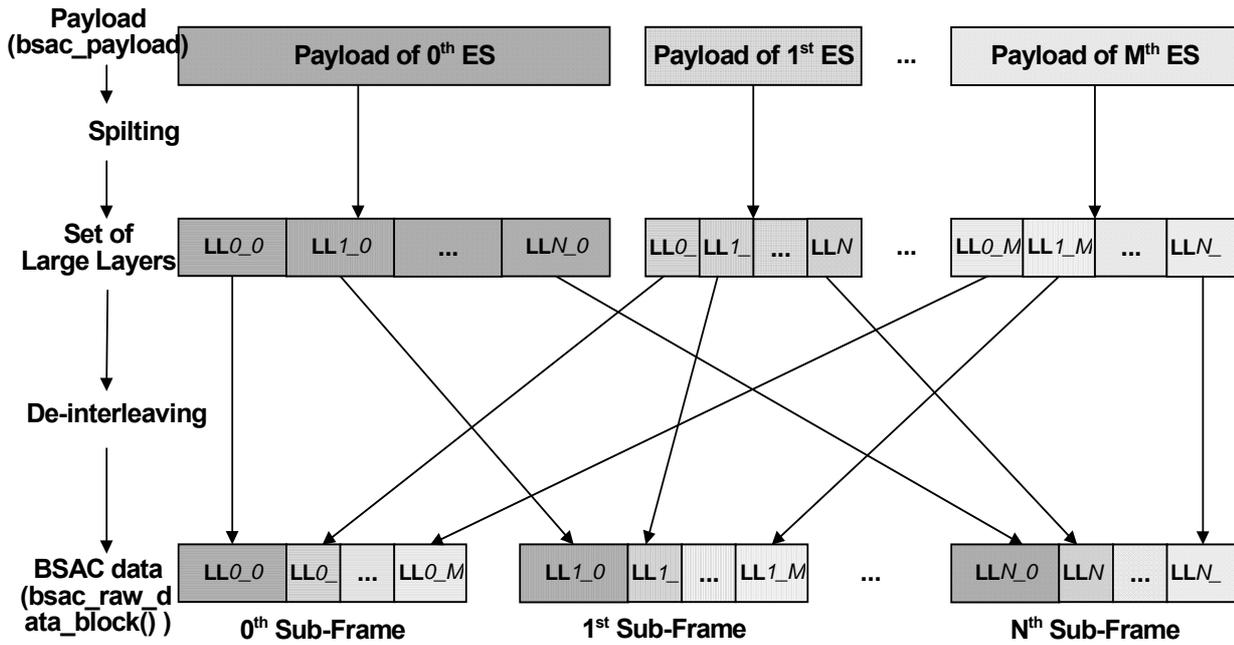
#### 4.5.2.6.1.2 Decoding process

On the sync layer (SL) of MPEG-4 system, an elementary stream is packetized into access units or parts thereof. Such a packet is called SL packet. Access Unit(AU)s are the only semantic entities at the sync layer (SL) of MPEG-4 system that need to be preserved from end to end. AUs are used as the basic unit for synchronization which are made up of one or more SL packets.

The dynamic data for the BSAC is transmitted as SL\_Packet payload in the base layer Elementary Stream(ES) and the enhancement layer ESs. The dynamic data is made up of the large-step layers of one or more subsequent sub-frames.

When the SL packets of an AU arrives in the receiver, a sequence of packet is mapped into a payload which is split into the large step layers, bsac\_lstep\_layer(frm, lay) for the subsequent sub-frames. And the split layers should be concatenated with the large-step layers which are transmitted over the other ES.

In the receiver, BSAC data is reconstructed from the payloads as shown in Figure 4.16.



where,  $LL_{i,k}$  is the  $k$ -th large-step layer of the  $i$ -th sub-frame  
 $(M+1)$  is the number of the large-step layer to be transmitted (numOfLayer)  
 $(N+1)$  is the number of the sub-frame to be grouped in an AU (numOfSubFrame)

Figure 4.16 – Reconstruction of BSAC data

The large-step layers are split from a payload of  $k^{\text{th}}$  layer ES that is organized as shown in Figure 4.17.

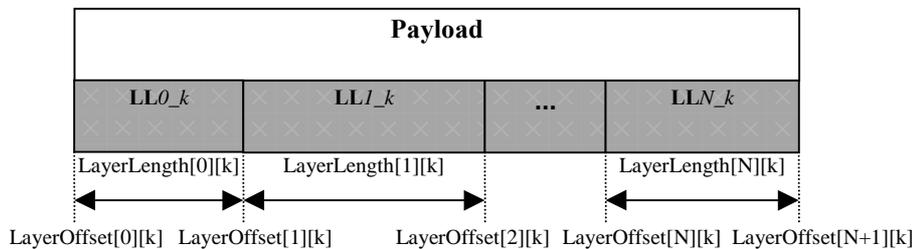


Figure 4.17 – Structure of the payload

The split large-step layers are deinterleaved and concatenated to map the entire fine grain BSAC data. And then, decode the concatenated bitstreams using the syntax (bsac\_raw\_data\_block()) for fine grain scalability to make the reconstructed signal.

Some help variables and arrays are needed to describe the re-arranging process of the payload transmitted over ES. These help variables depend on layer, numOfLayer, numofSubFrame, layer\_length and frame\_length and must be built up for mapping bsac\_raw\_data\_block() of each sub-frame from the payloads. The pseudo code shown below describes

- how to calculate  $LayerLength[i][k]$ , the length of the large-step layer which is located on the fine granule audio data, bsac\_raw\_data\_block() of  $i^{\text{th}}$  sub-frame.
- how to calculate  $LayerOffset[i][k]$  which indicates the start position of the large-step layer of  $i^{\text{th}}$  frame which is located on the payload of the  $k^{\text{th}}$  ES ( bsac\_payload() )

- how to calculate  $LayerStartByte[i][k]$  which indicates the start position of the large-step layer which is located on the fine granule audio data, `bsac_raw_data_block()` of  $i^{th}$  sub-frame

```

for (k = 0; k < numOfLayer; k++) {
  LayerStartByte[0][k] = 0;
  for (i = 0; i < numOfSubFrame; i++) {
    if (k == (numOfLayer-1)) {
      LayerEndByte[i][k] = frame_length[i];
    } else {
      LayerEndByte[i][k] = LayerStartByte[i][k] + layer_length[k];
      if (frame_length[i] < LayerEndByte[i][k])
        LayerEndByte[i][k] = frame_length[i];
    }
    LayerStartByte[i+1][k] = LayerEndByte[i][k];
    LayerLength[i][k] = LayerEndByte[i][k] - LayerStartByte[i][k];
  }
}
for (k = 0; k < numOfLayer; k++) {
  LayerOffset[0][k] = 0;
  for (i = 0; i < numOfSubFrame; i++) {
    LayerOffset[i+1][k] = LayerOffset[i][k] + LayerLength[i][k];
  }
}

```

Where,  $frame\_length[i]$  is the length of  $i^{th}$  frame's bitstream which is obtained from the syntax element **frame\_length** and  $layer\_length[i]$  is the average length of the large-step layers in the payload of  $i^{th}$  layer ES and is obtained from `AudioDecoderSpecificInfo`.

#### 4.5.2.6.2 Decoding of a `bsac_raw_data_block()`

##### 4.5.2.6.2.1 Definitions

##### 4.5.2.6.2.1.1 Data elements

<code>bsac_raw_data_block()</code>	block of raw data that contains coded audio data, related information and other data. A <code>bsac_raw_data_block()</code> basically consists of <code>bsac_base_element()</code> and several <code>bsac_layer_element()</code> . There exists a module that determines whether the BSAC bitstream has an extended part.
<code>bsac_base_element()</code>	Syntactic element of the base layer bitstream containing coded audio data, related information and other data.
<code>frame_length</code>	the length of the frame including headers in bytes.
<code>bsac_header()</code>	contains general information used for BSAC.
<code>header_length</code>	the length of the headers including <code>frame_length</code> , <code>bsac_header()</code> and <code>general_header()</code> in bytes. The actual length is ( <code>header_length+7</code> ) bytes. However if <code>header_length</code> is 0, it represents that the actual length is smaller than or equal to 7 bytes. And if <code>header_length</code> is 15, it represents that the actual length is larger than or equal to ( <code>15+7</code> ) bytes and should be calculated through the decoding of the headers. In case of <code>extended_bsac_base_element()</code> , <code>header_length</code> includes <code>element_length</code> , <code>channel_configuration_index</code> , <code>reserved_bit</code> , <code>bsac_header</code> and <code>general_header()</code> .
<code>sba_mode</code>	indicates that the segmented binary arithmetic coding (SBA) scheme is used if this element is 1. Otherwise the general binary arithmetic coding scheme is used.
<code>top_layer</code>	top scalability layer index

base_snf_thr	significance threshold used for coding the bit-sliced data of the base layer.
base_band	indicates the maximum spectral line of the base layer. If the window_sequence is SHORT_WINDOW, 4*base_band is the maximum spectral line. Otherwise, 32*base_band is the maximum spectral line.
max_scalefactor[ch]	the maximum value of the scalefactors
cband_si_type[ch]	the type of the coding band side-information(si). Using this element, the largest value of cband_si's and the arithmetic model for decoding cband_si can be set as shown in Table 4.A.31.
base_scf_model[ch]	the arithmetic model for decoding the scalefactors in the base layer.
enh_scf_model[ch]	the arithmetic model used for decoding the scalefactors in the other enhancement layers.
max_sfb_si_len[ch]	maximum length which can be used per channel for coding the scalefactor-band side information including scalefactor and stereo-related information within a scalefactor band. This value has a offset(5). The actual maximum length is (max_sfb_si_len+5). This value is used for determining the bitstream size of each layer.
general_header()	contains header data for the General Audio Coding
reserved_bit	bit reserved for future use
window_sequence	indicates the sequence of windows.
window_shape	A 1 bit field that determines what window is used for the trailing part of this analysis window
max_sfb	number of scalefactor bands transmitted per group
scale_factor_grouping	A bit field that contains information about grouping of short spectral data
pns_data_present	the flag indicating whether the perceptual noise substitution(pns) will be used (1) or not (0).
pns_start_sfb	the scalefactor band from which the pcns tool is started.
ms_mask_present	this two bit field (see ) indicates that the stereo mask is 00 Independent 01 1 bit mask of ms_used is located in the layer sfb side information part (layer_sfb_si()). 10 All ms_used are ones 11 2 bit mask of stereo_info is located in the layer sfb side information part layer_sfb_si()).
layer_cband_si()	contains the coding band side information necessary for Arithmetic encoding/decoding of the bit-sliced data within a coding band.
layer_sfb_si()	contains the side information of a scalefactor band such as the stereo-, the pns and the scalefactor information.bsac_layer_element() Syntactic element of the enhancement layer bitstream containing coded audio data for a time period of 1024(960) samples, related information and other data.
bsac_layer_spectra()	contains the arithmetic coded audio data of the quantized spectral coefficients which are newly added to each layer. See subclause 4.5.2.6.2.5 for the new spectral coefficients.
bsac_lower_spectra()	contains the arithmetic coded audio data of the quantized spectral coefficients which are lower than the spectra added to each layer.

<code>bsac_higher_spectra()</code>	contains the arithmetic coded audio data of the quantized spectral coefficients which are higher than the spectra added to each layer.
<code>bsac_spectral_data()</code>	contains the arithmetic coded audio data of the quantized spectral coefficients.
<b>zero_code</b>	32-bit zero values in order to terminate the arithmetic decoding for the stereo part.
<b>sync_word</b>	a four bit code that identifies the start of the extended part. The bit string '1111'.
<code>bits_to_decode()</code>	A helper function; returns the number of bits not yet decoded in the current <code>bsac_raw_data_block()</code> .
<b>extension_type</b>	a four bit code that identifies the extension type according to Table 4.99.

Table 4.99 – BSAC extension\_type

Symbol	Value of extension_type	Purpose
EXT_BSAC_CHANNEL	'1111'	BSAC channel extension
EXT_BSAC_SBR_DATA	'0000'	BSAC SBR enhancement
EXT_BSAC_SBR_DATA_CRC	'0001'	SBR enhancement with CRC
EXT_BSAC_SAC_DATA	'0010'	MPEG Surround enhancement
EXT_BSAC_CHANNEL_SBR	'1110'	BSAC channel extension with SBR
EXT_BSAC_CHANNEL_SBR_CRC	'1101'	BSAC channel extension with SBR_CRC
RESERVED	'0011' ~ '1100'	reserved

<code>extended_bsac_raw_data_block()</code>	block of raw data that contains coded audio data, related information and other data for the extended part. An <code>extended_bsac_raw_data_block()</code> basically consists of <code>extended_bsac_base_element()</code> and several <code>bsac_layer_element()</code> .
<code>extended_bsac_base_element()</code>	syntactic element of the base layer bitstream containing coded audio data, related information and other data for the extended part of BSAC.
<b>element_length</b>	the length of the <code>extended_bsac_raw_data_block()</code> in bytes. This is used for proper arithmetic decoding.
<b>channel_configuration_index</b>	a three bit field that indicates the audio output channel configuration in the extended part. Each index specifies the number of channels given the channel to speaker mapping.

Table 4.100 – channel\_configuration\_index

Index	channel to speaker mapping	number of channels (nch)
0	center front speaker	1
1	left, right front speakers	2
2	rear surround speakers	1
3	left surround, right surround rear speakers	2
4	front low frequency effects speaker	1
5	left, right outside front speakers	2
6-7	reserved	-

<b>reserved_bit</b>	bit reserved for future use <code>extended_bsac_sbr_data()</code> Syntactic element that contains the SBR extension data for ER BSAC
<b>count</b>	Initial length of <code>extended_bsac_sbr_data()</code> or <code>extended_bsac_data()</code>

<b>esc_count</b>	Incremental length of <code>extended_bsac_sbr_data()</code> or <code>extended_bsac_data()</code>
<b>bs_sbr_crc_bits</b>	Cyclic redundancy checksum for the SBR extension data. The CRC code is defined by the generator polynomial $G_{10}(x) = x^{10} + x^9 + x^5 + x^4 + x + 1$ and the initial value for the CRC calculation is zero
<b>bs_header_flag</b>	Indicates if an SBR header is present
<code>sbr_header ()</code>	Syntactic element that contains the SBR header. See Table 4.63-Syntax of <code>sbr_header()</code>
<code>bsac_sbr_data()</code>	Syntactic element that contains the SBR data for ER BSAC
<b>bs_fill_bits</b>	Byte alignment bits
<code>sbr_single_channel_element()</code>	Syntactic element that contains data for an SBR single channel element. See Table 4.65 -Syntax of <code>sbr_single_channel_element()</code>
<code>sbr_channel_pair_element()</code>	Syntactic element that contains data for an SBR channel pair element. See Table 4.66 -Syntax of <code>sbr_channel_pair_element()</code>
<code>extended_bsac_data()</code>	Syntactic element that contains the payload to be discarded by decoder. This is for the further extensions.
<b>byte_payload</b>	Byte to be discarded by the decoder
<code>extended_bsac_sac_data()</code>	The syntax element <code>extended_bsac_sac_data()</code> contains spatial audio coding side information for MPEG Surround decoding as defined in ISO/IEC 23003-1. The semantics of the syntax elements <code>ancType</code> , <code>ancStart</code> , <code>ancStop</code> , <code>ancCrcWord</code> , and <code>ancDataSegmentByte</code> are defined in ISO/IEC 23003-1 subclause 7.2.4.
<b>bs_crc_flag</b>	Indicates if CRC word is present

**4.5.2.6.2.1.2 Help elements**

<code>data_available()</code>	function that returns “1” as long as bitstream is available, otherwise “0”
<code>nch</code>	a data element that identifies the number of the channel.
<code>scalefactor window band</code>	term for scalefactor bands within a window.
<code>scalefactor band</code>	term for scalefactor band within a group. In case of <code>EIGHT_SHORT_SEQUENCE</code> and grouping a scalefactor band may contain several scalefactor window bands of corresponding frequency. For all other <code>window_sequences</code> scalefactor bands and scalefactor window bands are identical.
<code>g</code>	group index
<code>win</code>	window index within group
<code>sfb</code>	scalefactor band index within group
<code>swb</code>	scalefactor window band index within window
<code>num_window_groups</code>	number of groups of windows which share one set of scalefactors. See subclause 4.5.2.6.2.4
<code>window_group_length[g]</code>	number of windows in each group. See subclause 4.5.2.6.2.4
<code>bit_set(bit_field,bit_num)</code>	function that returns the value of bit number <code>bit_num</code> of a <code>bit_field</code> (most right bit is bit 0)
<code>num_windows</code>	number of windows of the actual window sequence. See subclause 4.5.2.6.2.4
<code>num_swb_long_window</code>	number of scalefactor bands for long windows. This number has to be selected depending on the sampling frequency.

num_swb_short_window	number of scalefactor window bands for short windows. This number has to be selected depending on the sampling frequency.
num_swb	number of scalefactor window bands for shortwindows in case of EIGHT_SHORT_SEQUENCE, number of scalefactor window bands for long windows otherwise. See subclause 4.5.2.6.2.4
swb_offset_long_window[swb]	table containing the index of the lowest spectral coefficient of scalefactor band sfb for long windows. This table has to be selected depending on the sampling frequency.
swb_offset_short_window[swb]	table containing the index of the lowest spectral coefficient of scalefactor band sfb for short windows. This table has to be selected depending on the sampling frequency.
swb_offset[g][swb]	table containing the index of the lowest spectral coefficient of scalefactor band sfb for short windows in case of EIGHT_SHORT_SEQUENCE, otherwise for long windows. See subclause 4.5.2.6.2.4
layer_group[layer]	indicates the group index of the spectral data to be added newly in the scalability layer
layer_start_sfb[layer]	indicates the index of the lowest scalefactor band index to be added newly in the scalability layer
layer_end_sfb[layer]	indicates the highest scalefactor band index to be added newly in the scalability layer
layer_start_cband[layer]	indicates the lowest coding band index to be added newly in the scalability layer
layer_end_cband[layer]	indicates the highest coding band index to be added newly in the scalability layer
layer_start_index[layer]	indicates the index of the lowest spectral component to be added newly in the scalability layer
layer_end_index[layer]	indicates the index of the highest spectral component to be added newly in the scalability layer
start_index[g]	indicates the index of the lowest spectral component to be coded in the group g
end_index[g]	indicates the index of the highest spectral component to be coded in the group g
layer_data_available()	function that returns "1" as long as each layer's bitstream is available, otherwise "0". In other words, this function indicates whether the remaining bitstream of each layer is available or not.
terminal_layer[layer]	indicates whether a layer is the terminal layer of a segment which is made up of one or more scalability layers. If the segmented binary arithmetic coding is not activated, all these values are always set to 0 except that of the top layer. Otherwise, these values are defined as described in subclause 4.6.4.6.3.

#### 4.5.2.6.2.2 Decoding process

##### 4.5.2.6.2.2.1 Zero stuffing

In order to do the arithmetic decoding perfectly, 32-bit zero value should be concatenated to the bitstream. In case of the SBA mode, the bitstream of a frame is split into the several segments. So, zero value should be concatenated to all segments. For the detailed description, see subclause 4.6.4.6.3. However, in case of the non-SBA mode, one zero stuffing is good enough since the bitstream of a frame is not split.

#### 4.5.2.6.2.2.2 **bsac\_raw\_data\_block**

A total BSAC stream, `bsac_raw_data_block` has the layered structure. First, `bsac_base_element()` is parsed and decoded which is the bitstream for base scalability layer. Then, `bsac_layer_element()` for the next enhancement layer is parsed and decoded. `bsac_layer_element()` decoding routine is repeated while the decoded bitstream data is available and layer is smaller than or equal to the top layer, **top\_layer**.

#### 4.5.2.6.2.2.3 **bsac\_base\_element**

A `bsac_base_element()` is made up of **frame\_length**, `bsac_header`, `general_header` and `bsac_layer_element()`.

First, **frame\_length** is parsed from syntax. It represents the length of the frame including headers in bytes.

The syntax elements for the base layer are parsed which are composed of a `bsac_header()`, a `general_header()`, a `layer_cband_si()`, `layer_sfb_si()` and `bsac_layer_element`. `bsac_base_element()` has several `bsac_layer_element()` because the base layer is split into the several sub-layers for the error resilience of the base layer. The number of the sub-layers, *slayer\_size* is calculated using the group index and the coding band as shown in subclause 4.5.2.6.2.5.

#### 4.5.2.6.2.2.4 **Recovering a bsac\_header**

BSAC provides a 1-kits/sec/ch fine grain scalability which has the layered structure, one base layer and several enhancement layers. Base layer contains the general side information for all the layers, the specific side information for the base layer and the audio data. The general side information is transmitted in the syntax of `bsac_header()` and `general_header()`.

`bsac_header` consists of **top\_layer**, **header\_length**, **sba\_mode**, **base\_band**, **max\_scalefactor**, **cband\_si\_type**, **base\_scf\_model** and **enh\_scf\_model**. All the data elements are included in the form of the unsigned integer.

First, 4 bit **header\_length** is parsed which represents the length of the headers including `frame_length`, `bsac_header` and `general_header` in bytes. The length of the headers is  $(\text{header\_length}+7)*8$ . Next, 1 bit **sba\_mode** is parsed which represents whether the segmented binary arithmetic coding (SBA) is used or the binary arithmetic coding is used.

Next, 6 bit **top\_layer** is parsed which represents the top scalability layer index to be encoded. Next, 2 bit **base\_snf\_thr** is parsed which represents the significance threshold used for coding the bit-sliced data of the base layer.

Next, 8 bit **max\_scalefactor** is parsed which represents the maximum value of the scalefactors. If the number of the channel is not 1, this value is parsed one more.

Next, 5-bit **base\_band** is parsed which represents minimum spectral line which is coded in the base layer. If the window sequence is `SHORT_WINDOW`,  $4*\text{base\_band}$  indicates the minimum spectral line. Otherwise  $32*\text{base\_band}$  indicates the minimum spectral line.

And, 5 bit **cband\_si\_type** is parsed which represents the arithmetic model of `cband_si` and the largest `cband_si` which can be decoded as shown in Table 4.A.31. 3 bit **base\_scf\_model** and **enh\_scf\_model** are parsed which represent the arithmetic model table for the scalefactors of the base layer and the other enhancement layers, respectively. Next, 4 bit **max\_sfb\_si\_len** is parsed which represents the maximum length of the scalefactor band side information to be able to used in each layer. The maximum length is  $(\text{max\_sfb\_si\_len}+5)$ .

#### 4.5.2.6.2.2.5 **Recovering a general\_header**

The order for decoding the syntax of a `bsac_header` is:

- get reserved\_bit
- get window\_sequence
- get window\_shape
- get max\_sfb
- get scale\_factor\_grouping if the window\_sequence is `EIGHT_SHORT_SEQUENCE`
- get pns\_present

- get pns\_start\_sfb if present
- get ms\_mask\_present flag if the number of the channel is 2
- get tns\_data\_present
- get TNS data if present
- get ltp\_data\_present
- get ltp data if present

If the number of the channel is not 1, the decoding of another channel is done as follows:

- get tns\_data\_present
- get TNS data if present
- get ltp\_data\_present
- get ltp data if present

The process of recovering tns\_data and ltp\_data is described in subclause 4.6.9.

#### 4.5.2.6.2.2.6 bsac\_layer\_element

A bsac\_layer\_element() is an enhancement layer bitstream and composed of layer\_cband\_si(), layer\_sfb\_si(), bsac\_layer\_spectra(), bsac\_lower\_spectra() and bsac\_higher\_spectra(). Decoding process of bsac\_layer\_element() is as follows:

- Decode layer\_cband\_si
- Decode layer\_sfb\_si
- Decode bsac\_layer\_spectra
- Decode bsac\_lower\_spectra
- Decode bsac\_higher\_spectra

#### 4.5.2.6.2.2.7 Decoding of coding band side information (layer\_cband\_si)

The spectral coefficients are divided into coding bands which contain 32 quantized spectral coefficients for the noiseless coding. Coding bands(abbreviation 'cband') are the basic units used for the noiseless coding.

cband\_si represents the MSB plane and the probability table of the sliced bits within a coding band as shown in Table 4.A.33. Using this cband\_si, the bit-sliced data of each coding band are arithmetic-coded.

cband\_si is arithmetic\_coded with the model which is given in the syntax element **cband\_si\_type** as shown in Table 4.A.31.

An overview of how to decode cband\_si will be given in subclause 4.6.4.5.

#### 4.5.2.6.2.2.8 Decoding of the scalefactor band side information (layer\_sfb\_si)

An overview of how to decode layer\_sfb\_si will be given here. layer\_sfb\_si is made up of as follows:

Decoding of stereo\_info, ms\_used or noise\_flag.

Decoding of scalefactors

#### 4.5.2.6.2.2.9 Decoding of stereo\_info, noise\_flag or ms\_used

Decoding process of stereo\_info, noise\_flag or ms\_used is depended on pns\_data\_present, number of channel, ms\_mask\_present.

If pns data is not present, decoding process is as follows:

If ms\_mask\_present is 0, arithmetic decoding of stereo\_info or ms\_used is not needed.

If `ms_mask_present` is 2, all `ms_used` values are ones in this case. So, M/S stereo processing of AAC is done at all scalefactor band.

If `ms_mask_present` is 1, 1 bit mask of `max_sfb` bands of `ms_used` is conveyed in this case. So, `ms_used` is arithmetic decoded. M/S stereo processing of AAC is done according to the decoded `ms_used`.

If `ms_mask_present` is 3, `stereo_info` is arithmetic decoded. `stereo_info` is two-bit flag per scalefactor band indicating the M/S coding or Intensity coding mode. If `stereo_info` is not 0, M/S stereo or intensity stereo of AAC is done with these decoded data.

If pns data is present and the number of channel is 1, decoding process is as follows:

If the number of channel is 1 and pns data is present, noise flag of the scalefactor bands between `pns_start_sfb` to `max_sfb` is arithmetic decoded. Perceptual noise substitution is done according to the decoded noise flag.

If pns data is present and the number of channel is 2, decoding process is as follows:

If `ms_mask_present` is 0, noise flag for pns is arithmetic decoded. Perceptual noise substitution of independent mode is done according to the decoded noise flag.

If `ms_mask_present` is 2, all `ms_used` values are ones in this case. So, M/S stereo processing of AAC is done at all scalefactor band. However, there is no pns processing regardless of `pns_data_present` flag

If `ms_mask_present` is 1, 1 bit mask of `max_sfb` bands of `ms_used` is conveyed in this case. So, `ms_used` is arithmetic decoded. M/S stereo processing of AAC is done according to the decoded `ms_used`. If `ms_used` is 1, there is no pns processing.

If `ms_mask_present` is 3, `stereo_info` is arithmetic decoded. If `stereo_info` is 1 or 2, M/S stereo or intensity stereo processing of AAC is done with these decoded data and there is no pns processing. If `stereo_info` is 3 and scalefactor band is smaller than `pns_start_sfb`, `out_of_phase` intensity stereo processing is done. If `stereo_info` is 3 and scalefactor band is larger than or equal to `pns_start_sfb`, noise flag for pns is arithmetic decoded. And then if the both noise flags of two channel are 1, noise substitution mode is arithmetic decoded. The perceptual noise is substituted or `out_of_phase` intensity stereo processing is done according to the substitution mode. Otherwise, the perceptual noise is substituted only if noise flag is 1.

The detailed description of how to decode this side information will be given in subclause 4.6.4.3.

#### 4.5.2.6.2.2.10 Decoding of scalefactors

The spectral coefficients are divided into scalefactor bands that contain a multiple of 4 quantized spectral coefficients. Each scalefactor band has a scalefactor. For all scalefactors the difference to the maximum scalefactor value, `max_scalefactor` is arithmetic-coded using the arithmetic model given in Table 4.A.32. The arithmetic model necessary for coding the differential scalefactors in the base layer is given as a 3-bit unsigned integer in the data element, `base_scf_model`. The arithmetic model necessary for coding the differential scalefactors in the other enhancement layers is given as a 3-bit unsigned integer in the data element, `enh_scf_model`. The maximum scalefactor value is given explicitly as a 8 bit PCM in the data element `max_scalefactor`.

The detailed description of how to decode this side information will be given in subclause 4.6.4.4.

#### 4.5.2.6.2.2.11 Bit-Sliced spectral data

In BSAC encoder, the absolute values of quantized spectral coefficients is mapped into a bit-sliced sequence. These sliced bits are the symbols of the arithmetic coding. Every sliced bits are binary arithmetic coded with the proper probability (arithmetic model) from the lowest-frequency coefficient to the highest-frequency coefficient of the scalability layer, starting the Most Significant Bit(MSB) plane and progressing to the Least Significant Bit(LSB) plane. The arithmetic coding of the sign bits associated with non-zero coefficient follows that of the sliced bit when the sliced bit is 1 for the first time.

The probability value should be defined in order to arithmetic-code the symbols (the sliced bits). Binary probability table is made up of probability values of the symbol "0". First of all, probability table is selected using `cband_si` as shown Table 4.A.33. The probability value is selected among the several values in the selected table according to the context such as the remaining available bit size and the sliced bits of successive non-overlapping 4 spectral data.

For the case of multiple windows per block, the concatenated and possibly grouped and interleaved set of spectral coefficients is treated as a single set of coefficients that progress from low to high as described in subclause 4.5.2.6.2.6. This set of spectral coefficients needs to be de-interleaved after they are decoded. The set of bit-sliced sequence is divided into coding bands. The probability table index used for encoding the bit-sliced data within each coding band is included in the bitstream element **cband\_si** and transmitted starting from the lowest frequency coding band and progressing to the highest frequency coding band. The spectral information for all scalefactor bands equal to or greater than **max\_sfb** is set to zero.

#### 4.5.2.6.2.2.12 Decoding the sliced bits of the spectral data

The spectral bandwidth is increased in proportion to the scalability layer. So, the new spectral data is added to each layer. First of all, these new spectral data are coded in each layer (`bsac_layer_spectra()`). The coding process is continued until the data of each layer is not available or all the sliced bits of the new spectra are coded. The length of the available bitstream (`available_len[]`) is initialized at the beginning of each layer as described in subclause 4.5.2.6.2.5. The estimated length of the codeword (`est_cw_len`) to be decoded is calculated from the arithmetic decoding process as described in subclause 4.5.2.6.2.7. After the arithmetic decoding of a symbol, the length of the available bitstream should be updated by subtracting the estimated codeword length from it. We can detect whether the remaining bitstream of each layer is available or not by checking the array `available_len[]`.

From the lowest layer to the top layer, the new spectra are arithmetic-coded layer-by-layer in the above first process (`bsac_layer_spectra()`). Some sliced bits cannot be coded for lack of the codeword allocated to the layer. After the first coding process is finished, the current significances (`cur_snf`) are saved for the secondary coding processes (`bsac_lower_spectra()` and `bsac_higher_spectra()`). The sliced bits which remain uncoded is coded using the saved significances (`unc_snf`) in the secondary coding process.

If there remains the available codewords after the first coding, the next symbol to be decoded with these redundant codewords depends on whether the segmented binary arithmetic coding (SBA) mode is active.

In case of the non-SBA mode, the uncoded symbols of the lower spectra in the layers than the current layer are coded in the secondary coding process (`bsac_lower_spectra()`).

In case of the SBA mode for the error resilience, the next symbol is dependant upon whether the layer is the terminal layer of a segment or not. If the layer is not a terminal of the segment, the spectral data of the next layer (`bsac_layer_spectra(layer+1)`) should be decoded. That is to say, the redundant length of the layer is added to the available bitstream length (`available_len[layer+1]`) of the next layer in the first coding process.

If the layer is a terminal of the segment, the uncoded symbols of the lower spectra in the layers than the current layer are coded in the secondary coding process (`bsac_lower_spectra()`). The uncoded symbol of the spectra in the layers higher than the current layer are coded in the secondary coding process (`bsac_higher_spectra()`) if the codeword of the layer is available in spite of having coded the lower spectra. And the remaining symbols are continuously coded in the layers whose codeword is available, starting from the lowest layer and progressing to the top layer.

If there are the redundant bits after the secondary coding, the size of the redundant bits is added to the available bitstream length (`available_len[layer+1]`) of the next layer and the redundant bits are used in the first coding of the next layer.

#### 4.5.2.6.2.2.13 Reconstruction of the decoded sample from bit-sliced data

In order to reconstruct the spectral data, a bit-sliced sequence that has been decoded should be mapped into quantized spectral values. An arithmetic decoded symbol is a sliced bit. A decoded symbol is translated to the bit values of quantized spectral coefficients, as specified in the following pseudo C code:

```
snf = the significance of the symbol (the sliced bit) to be decoded;
sliced_bit[ch][g][i][snf] = the decoded symbol (the sliced bits of the quantized
spectrum);
sample[ch][g][i] = buffer for quantized spectral coefficients to be reconstructed;
scaled_bit = sliced_bit[ch][g][i][snf] << (snf-1);
if (sample[ch][g][i] < 0)
    sample[ch][g][i] -= scaled_bit;
else
    sample[ch][g][i] += scaled_bit;
```

And if the sign bit of the decoded sample is 1, the decoded sample `sample[ch][g][i]` has the negative value as follows :

```

if (sample[ch][g][i] != 0) {
    if (sign_bit[ch][g][i] == 1) sample[ch][g][i] = -sample[ch][g][i];
}

```

#### 4.5.2.6.2.2.14 Decoding the extended part

The functions `extended_bsac_raw_data_block`, `extended_bsac_base_element`, `extended_bsac_sbr_data`, and `extended_bsac_sac_data` are defined in subclauses 4.5.2.11.

#### 4.5.2.6.2.3 Windows and window sequences for BSAC

Quantization and coding is done in the frequency domain. For this purpose, the time signal is mapped into the frequency domain in the encoder. Depending on the signal, the coder may change the time/frequency resolution by using two different windows: `LONG_WINDOW` and `SHORT_WINDOW`. To switch between windows, the transition windows `LONG_START_WINDOW` and `LONG_STOP_WINDOW` are used. Refer to subclause 4.6.11 for more detailed information about the transform and the windows since BSAC has the same transform and windows with AAC.

#### 4.5.2.6.2.4 Scalefactor bands, grouping and coding bands for BSAC

Many tools of the AAC/BSAC decoder perform operations on groups of consecutive spectral values called scalefactor bands (abbreviation “sfb”). The width of the scalefactor bands is built in imitation of the critical bands of the human auditory system. For that reason the number of scalefactor bands in a spectrum and their width depend on the transform length and the sampling frequency. Refer to subclause 4.6.2 for more detailed information about the scalefactor bands and grouping because BSAC has the same process with AAC.

BSAC decoding tool performs operations on groups of consecutive spectral values called coding bands (abbreviation “cband”). To increase the efficiency of the noiseless coding, the width of the coding bands is fixed as 32 irrespective of the transform length and the sampling frequency. In case of sequences which contain `LONG_WINDOW`, 32 spectral data are simply grouped into a coding band. Since the spectral data within a group are interleaved in an ascending spectral order in case of `SHORT_WINDOW`, the interleaved spectral data are grouped into a coding band. Each spectral index within a group is mapped into a coding band with a mapping function,  $cband = spectral\_index/32$ .

Since scalefactor bands and coding bands are a basic element of the BSAC coding algorithm, some help variables and arrays are needed to describe the decoding process in all tools using scalefactor bands and coding bands. These help variables must be defined for BSAC decoding. These help variables depend on `sampling_frequency`, **window\_sequence**, **scalefactor\_grouping** and **max\_sfb** and must be built up for each `bsac_raw_data_block`. The pseudo code shown below describes

- how to determine the number of windows in a window\_sequence *num\_windows*
- how to determine the number of window\_groups *num\_window\_groups*
- how to determine the number of windows in each group *window\_group\_length[g]*
- how to determine the total number of scalefactor window bands *num\_swb* for the actual window type
- how to determine *swb\_offset[g][swb]*, the offset of the first coefficient in scalefactor window band *swb* of the window actually used

A long transform window is always described as a window\_group containing a single window. Since the number of scalefactor bands and their width depend on the sampling frequency, the affected variables are indexed with `sampling_frequency_index` to select the appropriate table.

```

fs_index = sampling_frequency_index;
switch (window_sequence) {
    case ONLY_LONG_SEQUENCE:
    case LONG_START_SEQUENCE:
    case LONG_STOP_SEQUENCE:
        num_windows = 1;
        num_window_groups = 1;
        window_group_length[num_window_groups-1] = 1;
        num_swb = num_swb_long_window[fs_index];
}

```

```

    for (sfb = 0; sfb < max_sfb+1; sfb++) {
        swb_offset[0][sfb] = swb_offset_long_window[fs_index][sfb];
    }
    break;
case EIGHT_SHORT_SEQUENCE:
    num_windows = 8;
    num_window_groups = 1;
    window_group_length[num_window_groups-1] = 1;
    num_swb = num_swb_short_window[fs_index];
    for(i = 0; i < num_windows-1; i++) {
        if (bit_set(scale_factor_grouping,6-i) == 0 ) {
            num_window_groups += 1;
            window_group_length[num_window_groups-1] = 1;
        }
        else {
            window_group_length[num_window_groups-1] += 1;
        }
    }
    }

    for (g = 0; g < num_window_groups; g++)
        swb_offset[g][0] = 0;

    for (sfb = 0; sfb < max_sfb+1; sfb++) {
        for (g = 0; g < num_window_groups; g++) {
            swb_offset[g][sfb] = swb_offset_short_window[fs_index][sfb];
            swb_offset[g][sfb] = swb_offset[g][sfb] * window_group_length[g];
        }
    }
    break;
default:
    break;
}
}

```

#### 4.5.2.6.2.5 BSAC fine grain scalability layer

BSAC provides a 1-kits/sec/ch fine grain scalability which has the layered bitstream, one BSAC base layer and various enhancement layers. BSAC base layer is made up of the general side information for all the fine grain layers, the specific side information for only the base layer and the audio data. BSAC enhancement layers contain the layer side information and the audio data.

BSAC scalable coding scheme has the scalable band-limit according to the fine grain layer. First of all, the base band-limit is set. The base band-limit depends on the signal to be encoded and is in the syntax element, **base\_band**. The actually limited spectral line is  $4 \times \text{base\_band}$  if the window sequence is SHORT\_WINDOW. Otherwise, the limited spectral line is  $32 \times \text{base\_band}$ . In order to provide the fine grain scalability, BSAC extends the band-limit according to the fine grain layer. The band limit of each layer depends on the base band-limit, the transform lengths 1024(960) and 128(120) and the sampling frequencies. The spectral band is extended more and more as the number of the enhancement layer is increased. So, the new spectral components are added to each layer.

Some help variables and arrays are needed to describe the bit-sliced decoding process of the side information and spectral data in each BSAC fine grain layer. These help variables depend on *sampling\_frequency*, *layer*, **nch**, **frame\_length**, **top\_layer**, **window\_sequence** and **max\_sfb** and must be built up for each *bsac\_layer\_element*. The pseudo code shown below describes

- how to determine *slayer\_size*, the number of the sub-layers which the base layer is split into.

```

slayer_size = 0;
for (g = 0; g < num_window_groups; g++) {
    if (window_sequence == EIGHT_SHORT_SEQUENCE) {
        end_index[g] = base_band * 4 * window_group_length[g];
        if (fs == 44100 || fs == 48000) {
            if (end_index[g]%32>=16)
                end_index[g] = (int)(end_index[g]/32)*32 + 20;
            else if (end_index[g]%32 >= 4)
                end_index[g] = (int)(end_index[g]/32)*32 + 8;
        }
    }
}

```

```

    }
    else if (fs == 22050 || fs == 24000 || fs == 32000)
        end_index[g] = (int)(end_index[g]/16)*16;
    else if (fs == 11025 || fs == 12000 || fs == 16000)
        end_index[g] = (int)(end_index[g]/32)*32;
    else end_index[g] = (int)(end_index[g]/64)*64;
    end_cband[g] = (end_index[g] + 31) / 32;
}
else
    end_cband[g] = base_band;
slayer_size += end_cband[g];
}

```

- how to determine *layer\_group[]*, the group index of the spectral components to be added newly in the scalability layer

```

layer = 0
for (g = 0; g < num_window_groups; g++)
for (cband = 1; cband <= end_cband[g]; layer++, cband++)
    layer_group[layer] = g;

layer = slayer_size;
for (g = 0; g < num_window_groups; g++)
    for (w = 0; w < window_group_length[g]; w++)
        layer_group[layer++] = g;
for (layer = slayer_size+8; layer < (top_layer+slayer_size); layer++)
    layer_group[layer] = layer_group[layer-8];

```

- how to determine *layer\_end\_index[]*, the end offset of the spectral components to be added newly in each scalability layer
- how to determine *layer\_end\_cband[]*, the end coding band to be added newly in each scalability layer
- how to determine *layer\_start\_index[]*, the start offset of the spectral components to be added newly in each scalability layer
- how to determine *layer\_start\_cband[]*, the start coding band to be added newly in each scalability layer

```

layer = 0;
for (g = 0; g < num_window_groups; g++) {
    for (cband = 0; cband < end_cband[g]; cband++) {
        layer_start_cband[layer] = cband;
        end_cband[layer] = cband+1;
        layer_start_index[layer] = cband * 32;
        end_index[layer] = (cband+1) * 32;
    }
    if (window_sequence == EIGHT_SHORT_SEQUENCE)
        last_index[g] = swb_offset_short_window[fs_index][max_sfb] *
window_group_length[g];
    else
        last_index[g] = swb_offset_long_window[fs_index][max_sfb];
}

for (layer = slayer_size; layer < (top_layer+slayer_size); layer++) {
    g = layer_group[layer];
    layer_start_index[layer] = end_index[g];
    if (fs == 44100 || fs == 48000) {
        if (end_index[g]%32 == 0)
            end_index[g] += 8;
        else
            end_index[g] += 12;
    }
    else if (fs == 22050 || fs == 24000 || fs == 32000)
        end_index[g] += 16;
    else if (fs == 11025 || fs == 12000 || fs == 16000)

```

```

    end_index[g] += 32;
else
    end_index[g] += 64;
if (end_index[g] > last_index[g])
    end_index[g] = last_index[g];
layer_end_index[layer] = end_index[g];
layer_start_cband[layer] = end_cband[g];
end_cband[g] = layer_end_cband[layer] = (end_index[g] + 31) / 32;
}

```

where, *fs* is the sampling frequency.

- how to determine *layer\_end\_sfb[]*, the end scalefactor band to be added newly in each scalability layer
- how to determine *layer\_start\_sfb[]*, the start scalefactor band to be added newly in each scalability layer

```

for (g = 0; g < num_window_groups; g++)
    end_sfb[g] = 0;
for (layer = 0; layer < (top_layer+slayer_size); layer++) {
    g = layer_group[layer];
    layer_start_sfb[layer] = end_sfb[g];
    layer_end_sfb[layer] = max_sfb;
    for (sfb = 0; sfb < max_sfb; sfb++) {
        if (layer_end_index[layer] <=
            swb_offset_short_window[fs_index][sfb] * window_group_length[g]) {
            layer_end_sfb[layer] = sfb + 1;
            break;
        }
    }
    end_sfb[g] = layer_end_sfb[layer];
}

```

- how to determine *available\_len[i]*, the available maximum size of the bitstream of the *i*-th layer. If the arithmetic coding was initialized at the beginning of the layer, 1 should subtracted from *available\_len[i]*<sup>5</sup> since the additional 1 bit is required at the arithmetic coding termination. The maximum length of the 0<sup>th</sup> coding band side information (*max\_cband0\_si\_len*) is defined as 11.

```

for (layer = 0; layer < (top_layer+slayer_size); layer++) {
    layer_si_maxlen[layer] = 0;
    for (cband = layer_start_cband[layer]; cband < layer_end_cband[layer]; cband++) {
        for (ch=0; ch < nch; ch++) {
            if (cband == 0)
                layer_si_maxlen[layer] += max_cband0_si_len;
            else
                layer_si_maxlen[layer] += max_cband_si_len[cband_si_type[ch]];
        }
    }
    for (sfb = layer_start_sfb[layer]; sfb < layer_end_sfb[layer]; sfb++)
        for (ch = 0; ch < nch; ch++)
            layer_si_maxlen[layer] += max_sfb_si_len[ch] + 5;
}

for (layer = slayer_size; layer <= (top_layer + slayer_size); layer++) {
    layer_bitrate = nch * ( (layer-slayer_size) * 1000 + 16000);
    layer_bit_offset[layer] = layer_bitrate * BLOCK_SIZE_SAMPLES_IN_FRAME;
    layer_bit_offset[layer] = (int)(layer_bit_offset[layer] / SAMPLING_FREQUENCY / 8 ) * 8;
    if (layer_bit_offset[layer] > frame_length*8)
        layer_bit_offset[layer] = frame_length*8;
}

for (layer = (top_layer + slayer_size -1); layer >= slayer_size; layer--) {
    bit_offset = layer_bit_offset[layer+1] - layer_si_maxlen[layer]
    if ( bit_offset < layer_bit_offset[layer] )
        layer_bit_offset[layer] = bit_offset
}

```

```

}
for (layer = slayer_size - 1; layer >= 0; slayer--)
    layer_bit_offset[layer] = layer_bit_offset[layer+1] - layer_si_maxlen[layer];
overflow_size = (header_length + 7) * 8 - layer_bit_offset[0];
layer_bit_offset[0] = (header_length + 7) * 8;
if (overflow_size > 0) {
    for (layer = (top_layer+slayer_size-1); layer >= slayer_size; layer--) {
        layer_bit_size = layer_bit_offset[layer+1] - layer_bit_offset[layer];
        layer_bit_size -= layer_si_maxlen[layer];
        if (layer_bit_size >= overflow_size) {
            layer_bit_size = overflow_size;
            overflow_size = 0;
        }
        else
            overflow_size = overflow_size - layer_bit_size;
        for (m = 1; m <= layer; m++)
            layer_bit_offset[m] += layer_bit_size;
        if (overflow_size <= 0)
            break;
    }
}
else {
    underflow_size = -overflow_size;
    for (m = 1; m < slayer_size; m++) {
        layer_bit_offset[m] = layer_bit_offset[m-1] + layer_si_maxlen[m-1];
        layer_bit_offset[m] += underflow_size / slayer_size;
        if (layer <= (underflow_size%slayer_size);
            layer_bit_offset[m] += 1;
        }
    }
}
for (layer = 0; layer < (top_layer+slayer_size); layer++)
    available_len[layer] = layer_bit_offset[layer+1] - layer_bit_offset[layer];

```

Some help variables and arrays are needed to describe the bit-sliced decoding process of the spectral values in each BSAC fine grain layer. `cur_snf[ch][g][i]` is initialized as the MSB plane (`MSBplane[ch][g][cband]`) allocated to the coding band `cband`, where we can get `MSBplane[][]` from `cband_si[ch][g][cband]` using Table 4.A.33. And, we start the decoding of the bit-sliced data in each layer from the maximum significance, `maxsnf`.

These help variables and arrays must be built up for each `bsac_spectral_data()`. The pseudo code shown below describes

- how to initialize `cur_snf[][][]`, the current significance of the spectra to be added newly due to the spectral band extension in each enhancement scalability layer.

```

/* set current snf */
g = layer_group[layer];
for (ch = 0; ch < nch; ch++) {
    for (i = layer_start_index[layer]; i < layer_end_index[layer]; i++) {
        cband = i/32;
        cur_snf[ch][g][i] = MSBplane[ch][g][cband];
    }
}

```

- how to determine `maxsnf`, the maximum significance of all vectors to be decoded.

```

maxsnf = 0;
for (g = start_g; g < end_g; g++)
for (ch = 0; ch < nch; ch++) {
    for (i = start_index[g]; i < end_index[g]; i++)
        if (maxsnf < cur_snf[ch][g][i])
            maxsnf = cur_snf[ch][g][i];
}

```

- how to store `cur_snf[][][]` for the secondary coding (`bsac_lower_spectra()` and `bsac_higher_spectra()`) after the sliced bits of the new spectra has been coded in each layer (`bsac_layer_spectra()`).

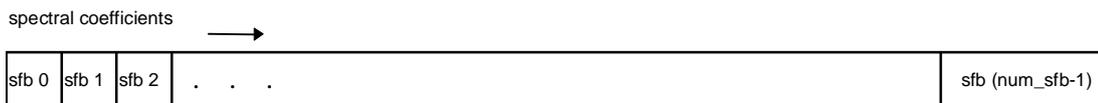
```

/* store current snf */
for (g = 0; g < no_window_groups;g++)
for (ch = 0; ch < nch; ch++) {
  for (i = layer_start_index[layer]; i < layer_end_index[layer]; i++) {
    unc_snf[ch][g][i] = cur_snf[ch][g][i];
  }
}

```

**4.5.2.6.2.6 Order of spectral coefficients in spectral\_data**

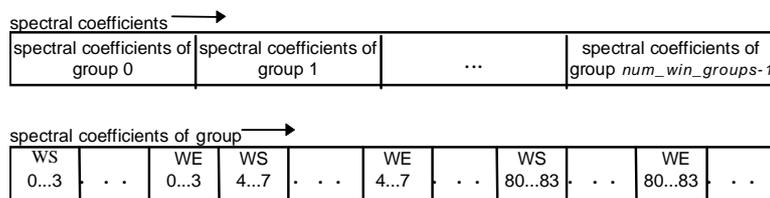
For ONLY\_LONG\_SEQUENCE windows (num\_window\_groups = 1, window\_group\_length[0] = 1) the spectral data is in ascending spectral order, as shown in Figure 4.18.



Order of scalefactor bands for ONLY\_LONG\_SEQUENCE

**Figure 4.18 – Order of scalefactor bands for ONLY\_LONG\_SEQUENCE**

For the EIGHT\_SHORT\_SEQUENCE window, each 4 spectral coefficients of blocks within each group are interleaved in ascending spectral order and the interleaved spectral coefficients are interleaved in ascending group number, as shown in Figure 4.19.



where, WS is the start window index and WE is the end window index of group g

Order of spectral data for EIGHT\_SHORT\_SEQUENCE

**Figure 4.19 – Order of spectral data for EIGHT\_SHORT\_SEQUENCE**

**4.5.2.6.2.7 Arithmetic coding procedure**

Arithmetic Coding consists of the following 2 steps:

- Initialization which is performed prior to the coding of the first symbol
- Coding of the symbol themselves.

**4.5.2.6.2.7.1 Registers, symbols and constants**

Several registers, symbols and constants are defined to describe the arithmetic decoder.

- half[] : 32-bit fixed point array equal to 1/2
- range: 32-bit fixed point register. Contains the range of the interval.
- value: 32-bit fixed point register. Contains the value of the arithmetic code.
- est\_cw\_len: 16-bit fixed point register. Contains the estimated length of the arithmetic codeword to be decoded.

- p0: 16-bit fixed point register (Upper 6 MSBs are available, Other LSBs are 0). Probability of the “0” symbol.
- p1: 16-bit fixed point register (Upper 6 MSBs are available, Other LSBs are 0). Probability of the “1” symbol.
- cum\_freq : 16-bit fixed point registers. Cumulative Probabilities of the symbols.

#### 4.5.2.6.2.7.2 Initialization

The bitstreams of each segment are read in the buffer of each segment. And 32-bit zero is concatenated to the buffer of each segment. If the segmented arithmetic coding is not, all the bitstreams of a frame is a segment and the zero stuffing is used. See subclause 4.6.4.6.3 for the detailed description of the segment.

The register *value* is set to 0, *range* to 1 and *est\_cw\_len* to 30. Using these initialized registers, the 30 bits are read in register *value* and registers are updated when the first symbol is decoded.

#### 4.5.2.6.2.7.3 Decoding a symbol

Arithmetic decoding procedure varies on the symbol to be decoded. If the symbol is the sliced bit of the spectral data, the binary arithmetic decoding is used. Otherwise, the general arithmetic decoding is used.

When a symbol is binary arithmetic-decoded, the probability p0 of the “0” symbol is provided according to the context computed properly and using the probability table. p0 uses a 6-bit fixed-point number representation. Since the decoder is binary, the probability of the “1” symbol is defined to be 1 minus the probability of the “0” symbol, i.e. p1 = 1-p0.

When a symbol is arithmetic-decoded, the cumulative probability values of multiple symbols are provided. The probability values are regarded as the arithmetic model. The arithmetic model for decoding a symbol is given in the data elements. For example, arithmetic models of scalefactor and cband\_si are given in the data elements, **base\_scf\_model**, **enh\_scf\_model** and **cband\_si\_type**. Each value of the arithmetic model uses a 14-bit fixed-point representation.

#### 4.5.2.6.2.7.4 Software

```

unsigned long half[16] =
{
    0x20000000, 0x10000000, 0x08000000, 0x04000000,
    0x02000000, 0x01000000, 0x00800000, 0x00400000,
    0x00200000, 0x00100000, 0x00080000, 0x00040000,
    0x00020000, 0x00010000, 0x00008000, 0x00004000
};
/* Initialize the Parameters of the Arithmetic Decoder */
void initArDecode()
{
    value = 0;
    range = 1;
    est_cw_len = 30;
}
/* GENEAL ARITHMETIC DECODE */
int decode_symbol (buf_idx, cum_freq, symbol)
int buf_idx; /* buffer index to save the arithmetic code word */
int cum_freq[]; /* Cumulative symbol frequencies */
int *symbol; /* Symbol decoded */
{
    if (est_cw_len) {
        range = (range << est_cw_len);
        value = (value << est_cw_len) | readBits(buf_idx, est_cw_len);
        /* read bitstream from the buffer */
    }

    range >>= 14;
    cum = value/range; /* Find cum freq */

    /* Find symbol */
    for (sym = 0; cum_freq[sym]>cum; sym++);
    *symbol = sym;

    /* Narrow the code region to that allotted to this symbol. */
    value -= (range * cum_freq[sym]);

```

```

if (sym > 0) {
    range = range * (cum_freq[sym-1]-cum_freq[sym]);
}
else {
    range = range * (16384-cum_freq[sym]);
}

for (est_cw_len = 0; range < half[est_cw_len]; est_cw_len++) ;
return est_cw_len;
}
/* BINARY ARITHMETIC-DECODE THE NEXT SYMBOL. */
int decode_symbol2 (buf_idx, freq0, symbol)
int buf_idx; /* buffer index to save the arithmetic code word */
int p0; /* Normalized probability of symbol 0 */
int *symbol; /* Symbol decoded */
{
    if (est_cw_len) {
        range = (range << est_cw_len);
        value = (value << est_cw_len) | readBits(buf_idx, est_cw_len);
        /* read bitstream from the buffer */
    }

    range >>= 14;

    /* Find symbol */
    if ( ( p0 * range) <= value ) {
        *symbol = 1;

        /* Narrow the code region to that allotted to this symbol. */
        value -= range * p0;
        p1 = 16384 - p0;
        range = range * p1;
    }
    else {
        *symbol = 0;

        /* Narrow the code region to that allotted to this symbol. */
        range = range * p0;
    }

    for (est_cw_len = 0; range < half[est_cw_len]; est_cw_len++);
    return est_cw_len;
}

```

#### 4.5.2.6.3 Error sensitivity category assignment

BSAC has the layered structure where the syntax is arranged in order of importance in order to support the fine grain scalability and error resilience. Therefore the BSAC syntax can be channel coded effectively without the bitstream reordering for advanced channel coding techniques like unequal error protection (UEP) since the error resilient syntax are included in the subclause 4.4.2.6. However, error sensitivity categories (ESC) of the data elements should be defined for advanced channel coding. The data element can be classified into the error sensitivity categories depending upon its error sensitivity as follows:

**Table 4.101 – BSAC error sensitivity category assignment**

Category	data elements	description
0	frame_length, bsac_header() and general_header()	commonly used side information
1	bsac_layer_element(0)	BSAC base layer except common side
2	bsac_layer_element(1) –	1 <sup>st</sup> quaternary enhancement layers
3	bsac_layer_element(top_layer/4+1) –	2 <sup>nd</sup> quaternary enhancement layers
4	bsac_layer_element(top_layer/2+1) ~	3 <sup>rd</sup> quaternary enhancement layers
5	basc_layer_element(top_layer*3/4+1) ~	4 <sup>th</sup> quaternary enhancement layers

The lower category indicates the class with the higher error sensitivity, whereas the higher category indicates the class with the lower sensitivity.

4.5.2.7 Dynamic Range Control (DRC)

4.5.2.7.1 Definitions

- pce\_tag\_present** One bit indicating that program element tag is present;
- pce\_instance\_tag** Tag field that indicates with which program the dynamic range information is associated
- drc\_tag\_reserved\_bits** Reserved
- excluded\_chns\_present** One bit indicating that excluded channels are present
- drc\_bands\_present** One bit indicating that DRC multi-band information is present
- drc\_band\_incr** Number of DRC bands greater than 1 having DRC information
- drc\_interpolation\_scheme** Indicates which interpolation scheme is used for the DRC data in the SBR QMF domain according to:

Table 4.102 – drc\_interploation\_scheme

drc_interpolation_scheme	Meaning
0	default interpolation
1	steep slope interpolation at position 0
2	steep slope interpolation at position 1
3	steep slope interpolation at position 2
4	steep slope interpolation at position 3
5	steep slope interpolation at position 4
6	steep slope interpolation at position 5
7	steep slope interpolation at position 6
8	steep slope interpolation at position 7
9-15	reserved

- drc\_band\_top[i]** Indicates top of i-th DRC band in units of 4 spectral lines. If **drc\_band\_top[i]=k**, then the index (w.r.t. zero) of the highest spectral coefficient that is in the i-th DRC band is =  $k*4+3$ . In case of an EIGHT\_SHORT\_SEQUENCE window\_sequence the index is interpreted as pointing into the concatenated array of  $8*128$  (de-interleaved) frequency points corresponding to the 8 short transforms.
- prog\_ref\_level\_present** One bit indicating that reference level is present
- prog\_ref\_level** Reference level. A measure of long-term program audio level for all channels combined.
- prog\_ref\_level\_reserved\_bits** Reserved
- dyn\_rng\_sgn[i]** Dynamic range control sign information. One bit indicating the sign of **dyn\_rng\_ctl** (0 if positive, 1 if negative)
- dyn\_rng\_ctl[i]** Dynamic range control magnitude information
- exclude\_mask[ i ]** Boolean array indicating the audio channels of a program that are excluded from DRC processing using this DRC information.
- additional\_excluded\_chns[ i ]** One bit indicating that additional excluded channels are present

4.5.2.7.2 Decoding process

The transport of the DRC information does not involve the MPEG-4 System layer but is handled completely within the GA data elements instead. Furthermore, the evaluation of potentially available dynamic range control information in the GA decoder is optional. No DRC related information is passed on to a subsequent audio compositor.

Advisory: Interactions between DRC and the audio compositor (see ISO/IEC 14496-1) are possible. If a mix between several audio objects in the audio compositor is intended, DRC has to be applied with care.

**prog\_ref\_level\_present** indicates that **prog\_ref\_level** is being transmitted. This permits **prog\_ref\_level** to be sent as infrequently as desired (e.g. once), although periodic transmission would permit break-in.

**prog\_ref\_level** is quantized in 0.25 dB steps using 7 bits, and therefore has a range of approximately 32 dB. It indicates program level relative to full scale (i.e. dB below full scale), and is reconstructed as:

$$level = 32767 \cdot 2^{\frac{-prog\_ref\_level}{24}}$$

where „full scale level,, is 32767 (prog\_ref\_level equal to 0).

**pce\_tag\_present** indicates that **pce\_instance\_tag** is being transmitted. This permits **pce\_instance\_tag** to be sent as infrequently as desired (e.g. once), although periodic transmission would permit break-in.

**pce\_instance\_tag** indicates with which program the dynamic range information is associated. If this is not present then the default program is indicated. Since each AAC bitstream payload typically has just one program, this would be the most common mode. Each program in a multi-program bitstream payload would send its dynamic range information in a distinct extension\_payload() of the fill\_element(). In the multiple program case, the **pce\_instance\_tag** would always have to be signaled.

The **drc\_tag\_reserved\_bits** fill out the optional fields to an integral number of bytes in length.

The **excluded\_chns\_present** bit indicates that channels that are to be *excluded* from dynamic range processing will be signaled immediately following this bit. The excluded channel mask information must be transmitted in each frame where channels are excluded. The following ordering principles are used to assign the exclude\_mask to channel outputs:

- If a PCE is present, the **exclude\_mask** bits correspond to the audio channels in the SCE, CPE, CCE and LFE syntax elements in the order of their appearance in the PCE. In the case of a CPE, the first transmitted mask bit corresponds to the first channel in the CPE, the second transmitted mask bit to the second channel. In the case of a CCE, a mask bit is transmitted only if the coupling channel is specified to be an independently switched coupling channel.
- If no PCE is present, the **exclude\_mask** bits correspond to the audio channels in the SCE, CPE and LFE syntax elements in the order of their appearance in the bitstream payload, followed by the audio channels in the CCE syntax elements in the order of their appearance in the bitstream payload. In the case of a CPE, the first transmitted mask bit corresponds to the first channel in the CPE, the second transmitted mask bit to the second channel. In the case of CCE, a mask bit is transmitted only if the coupling channel is specified to be an independently switched coupling channel.

**drc\_band\_incr** is the number of bands greater than one if there is multi-band DRC information.

**dyn\_rng\_ctl** is quantized in 0.25 dB steps using a 7-bit unsigned integer, and therefore, in association with **dyn\_rng\_sgn**, has a range of +/-31.75 dB. It is interpreted as a gain value that shall be applied to the decoded audio output samples of the current frame.

The range supported by the dynamic range information is summarized in the following table:

**Table 4.103 – Dynamic range information**

Field	bits	steps	stepsize, dB	range, dB
<b>prog_ref_level</b>	7	128	0.25	31.75
<b>dyn_rng_sgn</b> and <b>dyn_rng_ctl</b>	1 and 7	+/- 127	0.25	+/- 31.75

The dynamic range control process is applied to the spectral data spec[i] of one frame immediately before the synthesis filterbank. In case of an EIGHT\_SHORT\_SEQUENCE window\_sequence the index i is interpreted as pointing into the concatenated array of 8\*128 (de-interleaved) frequency points corresponding to the 8 short transforms.

The following pseudo code is for illustrative purposes only, showing one method for applying one set of dynamic range control information to a frame of a target audio channel. The constants ctrl1 and ctrl2 are compression constants (typically between 0 and 1, zero meaning no compression) that may optionally be used to scale the dynamic range compression characteristics for levels greater than or less than the program reference level, respectively. The constant target\_level describes the output level desired by the user, expressed in the same scaling as prog\_ref\_level.

```

#define FRAME_SIZE 1024 /* Change to 960 for 960-framing*/
bottom = 0;
drc_num_bands = 1;
if (drc_bands_present)
    drc_num_bands += drc_band_incr;
else
    drc_band_top[0] = FRAME_SIZE/4 - 1;
for (bd = 0; bd < drc_num_bands; bd++) {
    top = 4 * (drc_band_top[bd] + 1);

    /* Decode DRC gain factor */
    if (dyn_rng_sgn[bd])
        factor = 2^(-ctrl1*dyn_rng_ctl[bd]/24); /* compress */
    else
        factor = 2^(ctrl2*dyn_rng_ctl[bd]/24); /* boost */

    /* If program reference normalization is done in the digital domain, modify
    * factor to perform normalization.
    * prog_ref_level can alternatively be passed to the system for modification
    * of the level in the analog domain. Analog level modification avoids problems
    * with reduced DAC SNR (if signal is attenuated) or clipping (if signal is boosted)
    */
    factor *= 0.5^((target_level-prog_ref_level)/24);

    /* Apply gain factor */
    for (i = bottom; i < top; i++)
        spec[i] *= factor;
    bottom = top;
}

```

Note the relation between dynamic range control and coupling channels:

- Dependently switched coupling channels are always coupled onto their target channels as spectral coefficients prior to the DRC and synthesis filtering of these channels. Therefore a dependently switched coupling channel's signal that couples onto to a specific target channel will undergo the DRC processing of that target channel.
- Since independently switched coupling channels couple to their target channels in the time domain, each independently switched coupling channel will undergo DRC and subsequent synthesis filtering separate from its target channels. This permits the independently switched coupling channel to have distinct DRC processing if desired.

#### 4.5.2.7.3 Persistence of DRC information

At the beginning of a stream, all DRC information for all channels is assumed to be set to its default value: program reference level equal to the decoder's target reference level, one DRC band, with no DRC gain modification for that band. Unless this data is specifically overwritten, this remains in effect.

There are two cases for the persistence of DRC information that has been transmitted:

- The program reference level is per audio program, and persists until a new value is transmitted, at which point the new data overwrites the old and takes effect that frame. (It may be appropriate to send this value periodically to allow break-in in the case of streaming.)
- Other DRC information persists on a per-channel basis. Note that if a channel is excluded via the appropriate **exclude\_mask[]** bit, then effectively no information is transmitted for that channel in that call to `dynamic_range_info()`. The excluded channel mask information must be transmitted in each frame where channels are excluded.

The rules for retaining per-channel DRC information are as follows:

- If there is no DRC information in a given frame for a given channel, use the information that was used in the previous frame. (This means that one adjustment can hold for a long time, although it may be appropriate to transmit the DRC information periodically to permit break-in.)

- If any DRC information for this channel appears in the current frame, the following sequence occurs: first, overwrite all per-channel DRC information for that channel with the default values (one DRC band, with no DRC gain modification for that band), then overwrite any per-channel DRC information with the transmitted values.

#### 4.5.2.7.4 Usage of DRC with the audio object type AAC scalable

If DRC is used with the AAC scalable audio object type, the following additional restrictions and information apply:

1. The field **pce\_tag\_present** must be '0' (no reference to a PCE).
2. The field **excluded\_chns\_present** must be '0' (common control of all audio channels).
3. DRC information may be transmitted in several layers of the scalable audio object. The DRC information to be used for the DRC processing is the one carried in the highest layer available to the decoder.

#### 4.5.2.7.5 Usage of DRC with the audio object type SBR

If DRC is used with the SBR audio object type, the process shall be applied to the spectral data in the SBR QMF domain. It is mandatory for a High Efficiency AAC Profile decoder to be able to parse the DRC extension element. The ability to decode and apply DRC data is optional for a High Efficiency AAC Profile decoder. However, if it is implemented, the implementation outlined here shall be used. The DRC for High Efficiency AAC (SBR) is fully backwards compatible with DRC for AAC without SBR.

The following pseudo code and equations show how the DRC factors are stored for use in the SBR QMF domain. The borders of the DRC bands are quantized to match the frequency resolution of the SBR QMF filterbank. In order to ensure proper backwards compatibility, the delay between the MDCT synthesis and the QMF synthesis must be considered. Hence, the DRC parameters applied to the SBR QMF subsamples shall be delayed by the same amount of time as the signal between the MDCT synthesis and QMF synthesis.

The DRC factors are stored in the matrix factorQMF[l][k], where 'l' indicates which QMF subsample the values correspond to. Since the DRC band borders relate to 1024 MDCT lines (or 960 MDCT lines for 960-framing), the borders are mapped to corresponding borders in the 32 subbands of the lower part of the SBR QMF.

For short AAC window sequences no interpolation of the DRC factors is used. For other AAC window sequences the DRC factors are interpolated over time in order to avoid zipper noise. For the DRC used with the High Efficiency AAC decoder, it is possible to signal the temporal border between the DRC factors. Hence, it is possible to control transient behaviour of the DRC without having to rely on short AAC window sequences. This is accomplished with the `drc_interpolation_scheme` data element.

The bands covered by the DRC data covers only the frequency range of the AAC MDCT, i.e., up to half of the sampling frequency of the AAC. The DRC data for the frequency range above that up to half of the sampling frequency of the output signal, is the same as the highest transmitted DRC band.

In the pseudo code below the differences compared to DRC application without SBR are marked by bold letters.

```
#define FRAME_SIZE 1024 /* Change to 960 for 960-framing.*/
#define NUM_QMF_SUBSAMPLES (FRAME_SIZE/32)
#define NUM_QMF_SUBSAMPLES_2 (FRAME_SIZE/64)

#if 1 /* 1024 FRAMING */
static float offset[8] = {0, 4, 8, 12, 16, 20, 24, 28};
#else /* 960 FRAMING */
static float offset[8] = {0, 4, 8, 11, 15, 19, 23, 26};
#endif

for (i = 0 ; i < 64; i++){
    for (j = 0; j < NUM_QMF_SUBSAMPLES; j++){
        factorQMF[j][i] = factorQMF[NUM_QMF_SUBSAMPLES + j][i];
    }
    previousFactors[i] = factorQMF[2*NUM_QMF_SUBSAMPLES-1][i];
}

bottom = 0;
drc_num_bands = 1;
if (drc_bands_present)
```

```

    drc_num_bands += drc_band_incr;
if (!drc_bands_present)
    drc_band_top[0] = FRAME_SIZE/4 - 1;
for (bd = 0; bd < drc_num_bands; bd++) {
    top    = 4 * (drc_band_top[bd] + 1);

    /* Decode DRC gain factor */
    if (dyn_rng_sgn[bd])
        factor = 2^(-ctrl1*dyn_rng_ctl[bd]/24); /* compress */
    else
        factor = 2^(ctrl2*dyn_rng_ctl[bd]/24); /* boost */

    /* If program reference normalization is done in the digital domain, modify
    * factor to perform normalization.
    * prog_ref_level can alternatively be passed to the system for modification
    * of the level in the analog domain. Analog level modification avoids problems
    * with reduced DAC SNR (if signal is attenuated) or clipping (if signal is boosted)
    */
    factor *= 0.5^((target_level-prog_ref_level)/24);

    /* Truncate bottom and top to be a multiple of NUM_QMF_SUBSAMPLES.*/
    if(bt != EIGHT_SHORT_WINDOW){
        bottom = NUM_QMF_SUBSAMPLES*(int)(bottom/NUM_QMF_SUBSAMPLES);
        top    = NUM_QMF_SUBSAMPLES*(int)(top/NUM_QMF_SUBSAMPLES);
    }
    else{
        bottom = (int)(NUM_QMF_SUBSAMPLES/8*(int)(bottom*8/NUM_QMF_SUBSAMPLES));
        top    = (int)(NUM_QMF_SUBSAMPLES/8*(int)(top*8/NUM_QMF_SUBSAMPLES));
    }

    /* Apply gain factor */
    /* for (i = bottom; i < top; i++)
        spec[i] *= factor;
    */

    if(bt != EIGHT_SHORT_WINDOW){ /* bt indicates the AAC window sequence for the
        current frame. */
        bottomQMF = bottom*32/FRAME_SIZE;
        for (j = -NUM_QMF_SUBSAMPLES_2; j < NUM_QMF_SUBSAMPLES; j++){
            alphaValue = 0;
            if(j+NUM_QMF_SUBSAMPLES_2 < NUM_QMF_SUBSAMPLES){
                if(p->drc_interp_scheme == 0){
                    k = 1.0f / ((float) NUM_QMF_SUBSAMPLES);
                    alphaValue = (j+NUM_QMF_SUBSAMPLES_2)*k;
                }
                else{
                    if (j+NUM_QMF_SUBSAMPLES_2 >= offset[p->drc_interp_scheme - 1])
                        alphaValue = 1;
                }
            }
            else
                alphaValue = 1;
            for (i = bottomQMF ; i < 64 ; i++) {
                factorQMF[NUM_QMF_SUBSAMPLES + j ][i] = alphaValue*factor +
                    (1 - alphaValue)*previousFactors[i];
            }
        }
    }
}
else{

```

```

/* StartSample and stopSample indicate the borders in QMF subsamples for the current
DRC data. They are derived by first removing all integer multiples of the short
window length. Since there are always 32 QMF analysis subbands for FRAME_SIZE
input frame size, the number of QMF subsamples is FRAME_SIZE/32
(NUM_QMF_SUBSAMPLES) for every frame. Hence the number of QMF subsamples for a
short window is (NUM_QMF_SUBSAMPLES)/8. bottomQMF is calculated from the remainder
when the integer multiples of the short window length have been removed. This
index to an MDCT line is mapped to the corresponding subband in the QMF by
multiplying by 32/(FRAME_SIZE/8). If the borders between two short windows are
crossed, i.e. startSample is located in short window n-1 and stopSample is located
in short window n, the bottomQMF needs to be set to zero when the border between
the frames is crossed.
*/

/* startSample is truncated to the corresponding start subsample in the
QMF of the short window bottom is present in.*/
startSample = floor((float)
                    bottom/(FRAME_SIZE/8.0f))*(NUM_QMF_SUBSAMPLES)/8;

/* stopSample is rounded upwards to the nearest corresponding stop subsample
in the QMF of the short window top is present in.*/
stopSample = ceil ((float) top/(FRAME_SIZE/8.0f))*(NUM_QMF_SUBSAMPLES)/8;
bottomQMF = (bottom%(FRAME_SIZE/8))*32/(FRAME_SIZE/8);
for (j = startSample; j < stopSample; j++){
    if(j > startSample && j%4 == 0){
        bottomQMF = 0;
    }
    for (i = bottomQMF ; i < 64 ; i++) {
        factorQMF[NUM_QMF_SUBSAMPLES + j][i] = factor;
    }
}
}
bottom = top;
}

```

The different interpolation windows calculated based on `drc_interpolation_scheme` in the pseudo code above are displayed in the following figure. For all `drc_interpolation_scheme` values except zero, a step function is used. For the first frame that is not an eight short AAC window sequence frame, following an eight short AAC window sequence, the `drc_interpolation_scheme` should be five. In the lower half of the figure an example of a window sequence is given, where the line corresponding to one minus the window value is also drawn (labeled 1-  $\alpha$ Value).

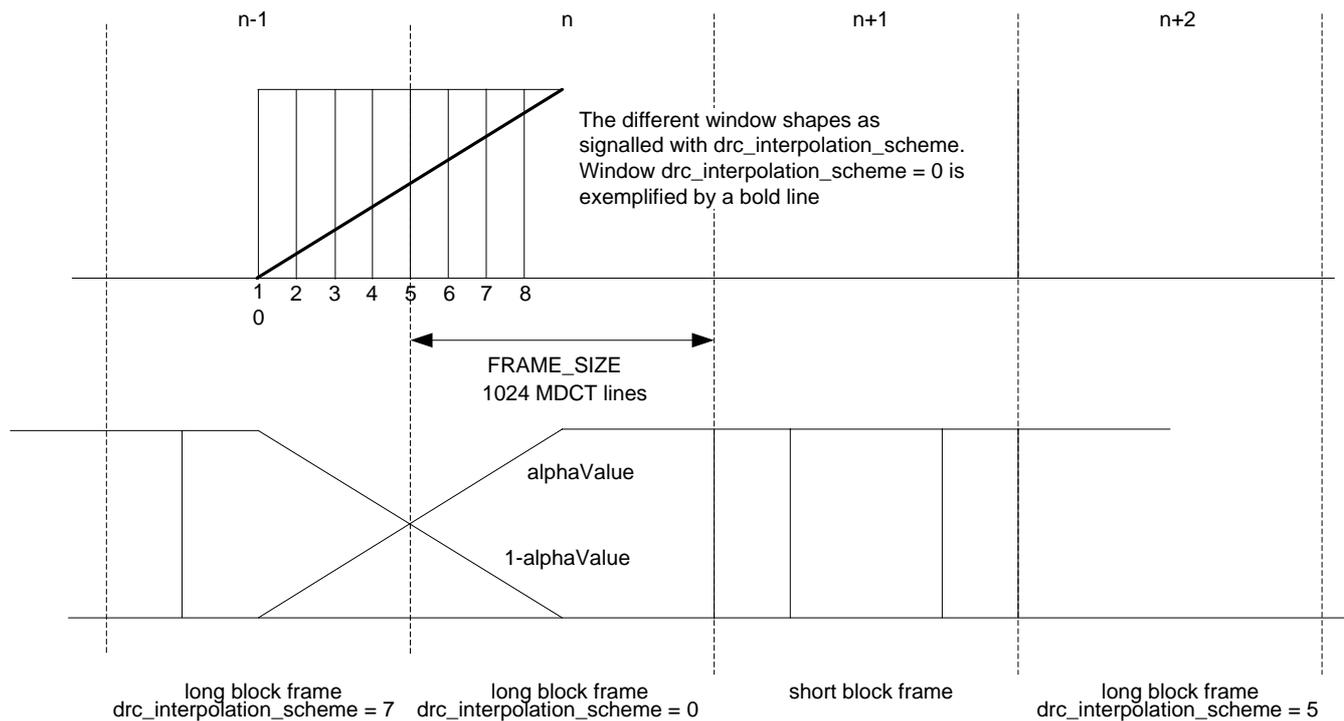


Figure 4.20 – Interpolation windows and window sequence example

The DRC is applied immediately prior to the SBR QMF synthesis by multiplying every element in the matrix holding the subbands samples to be synthesised by the corresponding element in  $factor(k,l)$ , where  $factor(k,l) = factor_{QMF}[RATE \cdot numTimeSlots - l_{border} + l][k]$ , and  $l_{border}$  is calculated as follows

$$l_{border} = \frac{\frac{FRAME\_SIZE}{2} + \frac{L_{Analysisfilter}}{2} - N_{analysisChannles} + Delay_{buffer}}{N_{analysisChannles}} = \begin{cases} 26 & \text{for } FRAME\_SIZE = 1024 \\ 25 & \text{for } FRAME\_SIZE = 960 \end{cases}$$

where

$$N_{analysisChannles} = 32, L_{Analysisfilter} = 320 \text{ and } Delay_{buffer} = 6 \cdot 32.$$

#### 4.5.2.8 Payloads for the audio object type SBR

##### 4.5.2.8.1 Definitions

<b>bs_reserved</b>	Bits reserved for future use, default value is zero.
<b>sbr_extension_data()</b>	Syntactic element that contains the SBR extension data.
<b>bs_sbr_crc_bits</b>	Cyclic redundancy checksum for the SBR extension data. The CRC code is defined by the generator polynomial $G_{10}(x) = x^{10} + x^9 + x^5 + x^4 + x + 1$ and the initial value for the CRC calculation is zero.
<b>bs_header_flag</b>	Indicates if an SBR header is present.
<b>sbr_header()</b>	Syntactic element that contains the SBR header.
<b>sbr_data()</b>	Syntactic element that contains the SBR data.
<b>bs_fill_bits</b>	Byte alignment bits.
<b>bs_amp_res</b>	Defines the resolution of the envelope estimates according to:

Table 4.104 – bs\_amp\_res

bs_amp_res	Meaning
0	1.5 dB
1	3.0 dB

<b>bs_start_freq</b>	Input parameter to function that calculates start of master frequency table.
<b>bs_stop_freq</b>	Input parameter to function that calculates stop of master frequency table.
<b>bs_xover_band</b>	Index to master frequency table.
<b>bs_header_extra_1</b>	Indicates if the optional header part 1 is present.
<b>bs_header_extra_2</b>	Indicates if the optional header part 2 is present.
<b>bs_freq_scale</b>	Input parameter to function that calculates master frequency table, defined by:

Table 4.105 – bs\_freq\_scale

bs_freq_scale	Meaning
0	linear
1	12 bands/octave
2 (default)	10 bands/octave
3	8 bands/octave

<b>bs_alter_scale</b>	Input parameter to function that calculates master frequency table, defined by:
-----------------------	---

Table 4.106 – bs\_alter\_scale

bs_alter_scale	Meaning for bs_freq_scale = 0	Meaning for bs_freq_scale > 0
0	no grouping of channels	no alteration
1 (default)	groups of 2 channels	extra wide bands in highest range

<b>bs_noise_bands</b>	Input parameter to function that calculates noise band table, defined by:
-----------------------	---

Table 4.107 – bs\_noise\_bands

bs_noise_bands	Meaning
0	1 band
1	1 band/octave
2 (default)	2 bands/octave
3	3 bands/octave

<b>bs_limiter_bands</b>	Input parameter to function that calculates limiter band table, defined by:
-------------------------	---

Table 4.108 – bs\_limiter\_bands

bs_limiter_bands	Meaning	Note
0	1 band	single band
1	1.2 bands/octave	multi-band
2 (default)	2.0 bands/octave	multi-band
3	3.0 bands/octave	multi-band

<b>bs_limiter_gains</b>	Defines the maximum gain of the limiters according to:
-------------------------	--

Table 4.109 – bs\_limiter\_gains

bs_limiter_gains	Meaning
0	-3 dB Max Gain
1	0 dB Max Gain

2 (default)	3 dB Max Gain
3	inf. dB Max Gain(i.e. limiter off)

**bs\_interpol\_freq** Defines if the frequency interpolation shall be applied according to:

**Table 4.110 – bs\_interpol\_freq**

bs_interpol_freq	Meaning
0	off
1 (default)	on

**bs\_smoothing\_mode** Defines if smoothing shall be applied according to:

**Table 4.111 – bs\_smoothing\_mode**

bs_smoothing_mode	Meaning
0	on
1 (default)	off

- sbr\_single\_channel\_element() Syntactic element that contains data for an SBR single channel element.
- sbr\_channel\_pair\_element() Syntactic element that contains data for an SBR channel pair element.
- sbr\_channel\_pair\_base\_element() Syntactic element that contains base-layer data for an SBR channel pair element, in a scalable system.
- sbr\_channel\_pair\_enhance\_element() Syntactic element that contains enhancement-layer data for an SBR channel pair element, in a scalable system.
- bs\_data\_extra** Indicates if reserved bits in the SBR data part are present.
- sbr\_grid() Syntactic element that contains the time frequency grid.
- sbr\_dtdf() Syntactic element that contains the information on how the envelope and noise data is delta coded.
- sbr\_invf() Syntactic element that contains the inverse filtering data.
- sbr\_envelope() Syntactic element that contains the huffman coded envelope data.
- sbr\_noise() Syntactic element that contains the huffman coded noise floor data.
- bs\_add\_harmonic\_flag** Indicates if sinusoidal coding information is present.
- sbr\_sinusoidal\_coding() Syntactic element that contains sinusoidal coding data.
- bs\_extended\_data** Indicates if an SBR extended data element is present.
- bs\_extension\_size** Defines the size of the SBR extended data element in bytes.
- bs\_esc\_count** Further defines the size of the SBR extended data element in cases where the size is larger than 14 bytes.
- bs\_extension\_id** Defines the ID of the SBR extended data element, for future use, according to:

**Table 4.112 – bs\_extension\_id**

bs_extension_id	Meaning	Notes
0	reserved	
1	reserved	
2	EXTENSION_ID_PS (Note 1)	Note 1
3	reserved	
Note 1: See subclause 8.A		

- sbr\_extension() Syntactic element for future extensions.
- bs\_coupling** Indicates if the stereo information between the two channels is coupled or not according to:

**Table 4.113 – bs\_coupling**

bs_coupling	Meaning
0	the channels are not coupled
1	the channels are coupled

**bs\_frame\_class** Indicates the frame class of the current SBR frame according to:

Table 4.114 – bs\_frame\_class

bs_frame_class	Meaning
0	FIXFIX
1	FIXVAR
2	VARFIX
3	VARVAR

**tmp** Helper variable used in sbr\_grid().

**bs\_num\_env** Indicates the number of SBR envelopes in the current SBR frame.

**bs\_freq\_res** Indicates the frequency resolution for each channel and SBR envelope according to:

Table 4.115 – bs\_freq\_res vector element

bs_freq_res[]	Meaning
0	low frequency resolution
1	high frequency resolution

**bs\_pointer** Pointer to a specific border.

**bs\_var\_bord\_0** Indicates the position of the leading variable border for class VARVAR and VARFIX.

**bs\_var\_bord\_1** Indicates the position of the trailing variable border for class VARVAR and FIXVAR.

**bs\_num\_rel\_0** Indicates number of relative borders starting from bs\_var\_bord\_0.

**bs\_num\_rel\_1** Indicates number of relative borders starting from bs\_var\_bord\_1.

**bs\_rel\_bord\_0** Indicates the lengths of the relative borders starting from bs\_var\_bord\_0.

**bs\_rel\_bord\_1** Indicates the lengths of the relative borders starting from bs\_var\_bord\_1.

**bs\_df\_env** Indicates delta coding direction for each SBR envelope according to:

Table 4.116 – bs\_df\_env vector element

bs_df_env[]	Meaning
0	apply delta decoding in frequency direction for the indicated frequency band
1	apply delta decoding in time direction for the indicated frequency band

**bs\_df\_noise** Indicates delta coding direction for each noise floor according to:

Table 4.117 – bs\_df\_noise vector element

bs_df_noise[]	Meaning
0	apply delta decoding in frequency direction for the indicated frequency band
1	apply delta decoding in time direction for the indicated frequency band

**bs\_invf\_mode** Indicates the level of inverse filtering for each frequency band according to:

Table 4.118 – bs\_invf\_mode vector element:

bs_invf_mode[]	Meaning
0	no inverse filtering
1	low level inverse filtering
2	intermediate inverse filtering
3	strong inverse filtering

- bs\_env\_start\_value\_balance** Holds the first envelope scalefactor in case of a coupled stereo bitstream payload.
- bs\_data\_env** Holds the raw envelope scalefactors for each channel, SBR envelope and band.
- bs\_env\_start\_value\_level** Holds the first envelope scalefactor in case of a non-coupled stereo or a mono bitstream payload.
- sbr\_huff\_dec()** Huffman decoder defined in Annex 4.A.6
- bs\_codeword** Huffman code word.
- bs\_noise\_start\_value\_balance** Holds the first noise floor value in case of a coupled stereo bitstream payload.
- bs\_data\_noise** Holds the raw noise floor data for each envelope and band.
- bs\_noise\_start\_value\_level** Holds the first noise floor value in case of a non-coupled stereo or a mono bitstream payload.
- bs\_add\_harmonic** Indicates if a sinusoidal should be added to a specific frequency band according to:

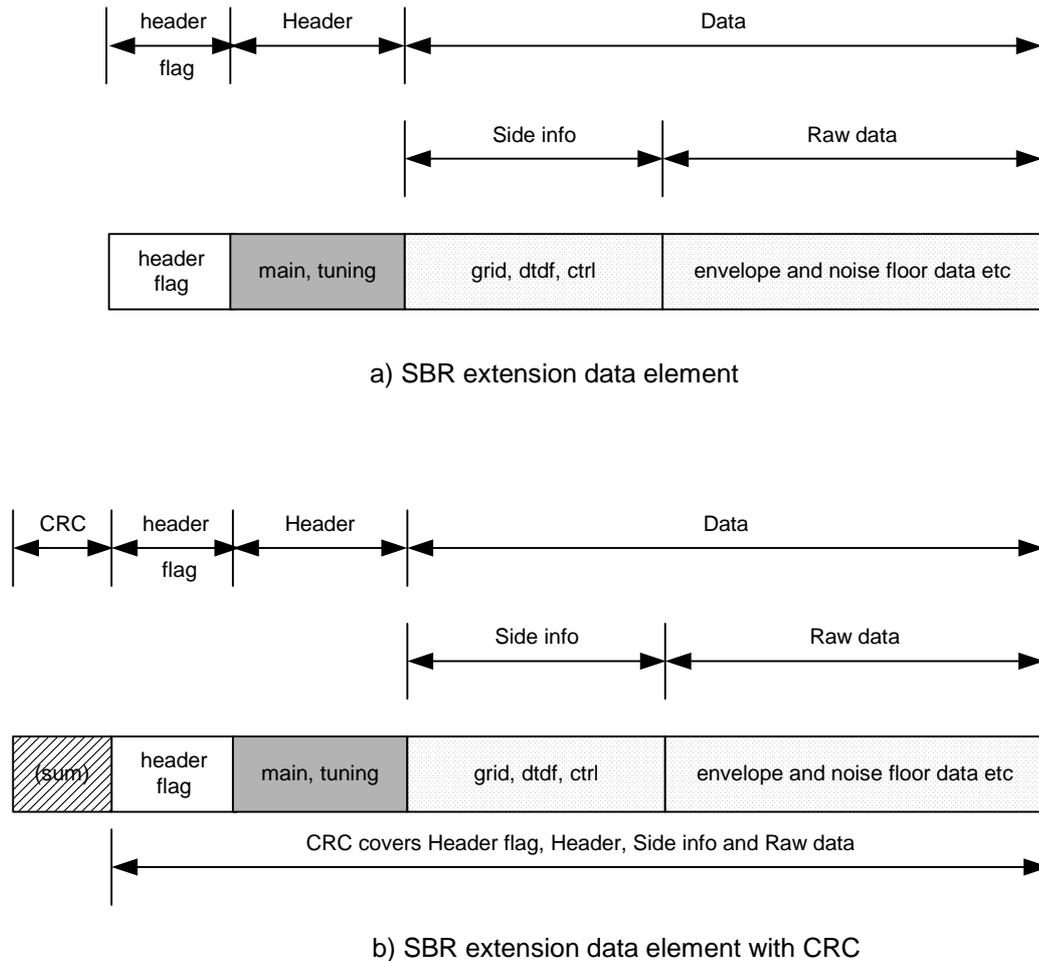
**Table 4.119 – bs\_add\_harmonic vector element**

<b>bs_add_harmonic[]</b>	<b>Meaning</b>
0	do not add a sinusoidal to the indicated frequency band
1	add a sinusoidal to the indicated frequency band

**4.5.2.8.2 Decoding process**

**4.5.2.8.2.1 SBR frame overview**

An overview of the contents of the two possible SBR extension data elements is given in Figure 4.21 below.



**Figure 4.21 – The basic sections of the SBR extension data elements**

The CRC field (if applicable) holds a Cyclic Redundancy Code checksum of 10 bit length. The checksum shall be calculated covering the whole SBR data range including possible `bs_fill_bits`.

The `bs_header_flag`, if set, indicates that an SBR header part is present. The SBR header part contains fundamental information such as SBR frequency range (denoted as `main` in Figure 4.21), as well as control signals that do not require frequent changes (denoted as `tuning`). Prior to SBR decoding, a SBR header part must be present. As long as no SBR header part is present, the SBR decoder performs upsampling and delay adjustment only. In continuous broadcast applications, SBR extension data elements with an SBR header part are typically sent twice per second. In addition, a SBR header part can any time be inserted, if an instantaneous, possibly program dependent, change of header parameters is required.

The SBR data part can be subdivided into side info and raw data, where side info is defined as signals needed to decode the raw data and some decoder tuning signals. Raw data consists of Huffman coded envelope scalefactors and noise floor estimates. The grid part describes how the current SBR frame is subdivided in time into time segments, and the frequency resolution of those time segments. The `dtdf` part signals how the data is encoded (delta coding in time or frequency direction). Channel configuration issues and decoding procedures are discussed in detail in subclause 4.6.18.3.

#### 4.5.2.8.2.2 SBR extension payload for the audio object types AAC main, AAC SSR, AAC LC and AAC LTP

One SBR fill element is used per AAC syntactic element that is to be enhanced by SBR. SBR elements are inserted into the `raw_data_block()` after the corresponding AAC elements. Each AAC SCE, CPE or independently switched CCE must be succeeded by a corresponding SBR element. LFE elements are decoded according to standard AAC procedures but must be delay adjusted and re-sampled to match the output sample

rate. Given below is an example of the structure of syntactic elements within a raw data block of a 5.1 (multi-channel) configuration, where SBR is used without a CRC check.

```
<SCE> <FIL <EXT_SBR_DATA(SCE)>> // center
<CPE> <FIL <EXT_SBR_DATA(CPE)>> // front L/R
<CPE> <FIL <EXT_SBR_DATA(CPE)>> // back L/R
<LFE> // sub
<END> // (end of raw data block)
```

The time domain mix of an independently switched CCE is done after SBR decoding. A dependently switched CCE is first added to the target SCE or CPE channels and SBR is applied after this addition.

**4.5.2.8.2.3 SBR extension payload for the audio object types ER AAC LC and ER AAC LTP**

The number and the order of the SBR extension data elements (if present) is given by the channelConfiguration. To each SCE or CPE in one er\_raw\_data\_block(), there is a corresponding SBR extension\_payload() containing either sbr\_extension\_data(ID\_SCE) or sbr\_extension\_data(ID\_CPE). There is no SBR extension\_payload() for LFE. LFE elements are decoded according to standard AAC procedures but must be delay adjusted and re-sampled to match the output sample rate. Only SBR extension data elements without CRC check are allowed for the audio object types ER AAC LC and ER AAC LTP. The SBR extension elements shall be placed after any other extension elements. Given below is an example of the structure of syntactic elements for channelConfiguration 6.

```
<SCE> <CPE> <CPE> <LFE> <EXT <SBR(SCE)> > <EXT <SBR(CPE)> > <EXT <SBR(CPE)>>
```

**4.5.2.8.2.4 SBR extension payload for the audio object types AAC scalable and ER AAC scalable**

Bandwidth scalability and mono/stereo scalability of the AAC core coder is supported by the SBR bandwidth extension tool. For core coder bandwidth scalability, the SBR data is transmitted in the lowest AAC core coder layer and the SBR data covers the largest SBR frequency range used in the scalable system. The start frequency transmitted for the SBR frequency range is set to the lowest upper frequency of the AAC core coder frequency range. Hence, such a core coder bandwidth scalable system with SBR provides a constant audio bandwidth for all layers. If only the lowest layer is available for decoding, the high frequency range is covered by SBR. If higher layers are available, the high frequency range is partly (or even completely) covered by AAC, and the SBR signal is only partly needed for the remaining upper part of the high frequency range that is not covered by AAC.

The scalable SBR data is embedded into the MPEG-4 stream in the same way as for non-scalable SBR data elements, by means of using the extension\_payload(). The SBR extension elements shall be placed after any other extension elements. However, only the lowest layer, or, in case mono/stereo scalability is chosen, in addition also the lowest stereo layer, carries an SBR data element. The different types of scalable SBR layers for all possible configurations of bandwidth scalability and mono/stereo scalability of the AAC core coder are described in Table 4.120.

**Table 4.120 – SBR data in scalable SBR layers**

Description	sbr_layer	sbr_extension_data() in extension_payload()
Non-scalable AAC core coder	SBR_NOT_SCALABLE	yes
First layer of scalable AAC core coder (mono)	SBR_MONO_BASE	yes
First stereo AAC layer in a mono/stereo scalable AAC core coder configuration	SBR_STEREO_ENHANCE	yes
First layer of scalable AAC core coder (stereo)	SBR_STEREO_BASE	yes
All other layers of a scalable AAC core coder		no

#### 4.5.2.9 Extension payload

##### 4.5.2.9.1 Data elements

<b>extension_type</b>	Four bit field indicating the type of fill element content, see Table 4.121.
<b>fill_nibble</b>	Four bit field for fill, shall be set to '0000'.
<b>fill_byte</b>	Byte to be discarded by the decoder, shall be set to '10100101' (to ensure that self-clocked data streams, such as radio modems, can perform reliable clock recovery).
<b>data_element_version</b>	Four bit field indicating the version of the data element, see Table 4.122.
<b>dataElementLengthPart</b>	a field indicating the length of the extension payload 'data element'. The value 255 is used as an escape value and indicates that at least one more <b>dataElementLengthPart</b> value is following. The overall length of the transmitted 'data element' is calculated by summing up the partial values.
<b>data_element_byte:</b>	a variable indicating the partial values of the extension payload 'data element' with type 'ANC_DATA' in bytes
<b>other_bits</b>	bits to be discarded by the decoder.
<b>4.5.2.9.2 Helper elements</b>	
<b>align</b>	a helper variable indicating the amount of bits which are already processed to fulfill byte alignment requirements in extension payload.
<b>loopCounter</b>	a helper variable indicating the length of the variable <b>dataElementLength</b> in bytes.
<b>dataElementLength</b>	a helper variable indicating the length of the extension payload 'data element'.

##### 4.5.2.9.3 Tables

**Table 4.121 – Values of the extension\_type field**

Symbol	Value of extension_type	Purpose
EXT_FILL	'0000'	bitstream payload filler
EXT_FILL_DATA	'0001'	bitstream payload data as filler
EXT_DATA_ELEMENT	'0010'	data element
EXT_DYNAMIC_RANGE	'1011'	dynamic range control
EXT_SAC_DATA	'1100'	MPEG Surround
EXT_SBR_DATA	'1101'	SBR enhancement
EXT_SBR_DATA_CRC	'1110'	SBR enhancement with CRC
-	all other values	Reserved: These values can be used for a further extension of the syntax in a compatible way.

Note: Extension payloads of the type EXT\_FILL or EXT\_FILL\_DATA have to be added to the bitstream payload if the total bits for all audio data together with all additional data are lower than the minimum allowed number of bits in this frame necessary to reach the target bitrate. Those extension payloads are avoided under normal conditions and free bits are used to fill up the bit reservoir. Those extension payloads are written only if the bit reservoir is full.

4.5.2.9.4 Decoding process

Table 4.122 – Values of the data\_element\_version

Symbol	Value of data_element_version	Purpose
ANC_DATA	'0000'	Ancillary data element
-	all other values	Reserved

4.5.2.10 MPEG Surround (Spatial Audio Coding)

The syntax element sac\_extension\_data() is used to embed spatial audio coding side information for MPEG Surround decoding as defined in ISO/IEC 23003-1. The semantics of the syntax elements ancType, ancStart, ancStop, and ancDataSegmentByte is defined in ISO/IEC 23003-1 subclause 7.2.4.

4.5.2.11 Payloads for the audio object type ER BSAC Extensions

4.5.2.11.1 Introduction

ER BSAC is extended to enhance the performance with extension payloads such as multichannel, SBR, and MPEG Surround payloads. This extension is designed to maintain the functionality of FGS when a bitstream is transmitted over MPEG-4 system. ER BSAC can provide several operating modes for various application scenarios, as shown Table 4.123 .

Table 4.123 – Operating modes of BSAC Extensions

Operating Mode	Extension payload
BSAC mono/stereo	Not available
BSAC multichannel	BSAC multichannel extension payload
BSAC mono/stereo with SBR	BSAC SBR payload
BSAC multichannel with SBR	BSAC multichannel extension payload and BSAC SBR payload
BSAC with MPEG Surround	MPEG Surround payload

The integration of ER BSAC and SBR is to provide fine grain scalable reproduction with bandwidth extension for stereo and multi-channel. In the preferred modes of operating the integration of ER BSAC and SBR, the high frequency sound components can be either an ER BSAC enhancement layer signal or synthesized SBR signal.

4.5.2.11.1.2 Decoding process

4.5.2.11.1.2.1 zero\_code and sync\_word

Syntax elements **zero\_code** and **sync\_word** are parsed while data is available after decoding a BSAC mono or stereo part. Syntax element **zero\_code** is used for the arithmetic termination of the BSAC mono or stereo part, and **sync\_word** is used to indicate the beginning of an extended part.

4.5.2.11.1.2.2 extended\_bsac\_raw\_data\_block

An extended\_bsac\_raw\_data\_block contains multichannel information and has the layered structure as bsac\_raw\_data\_block.

#### 4.5.2.11.1.2.3 extended\_bsac\_base\_element

An extended bsac\_base\_element consists of **element\_length**, **channel\_configuration\_index**, **reserved\_bit**, bsac\_header, general\_header and bsac\_layer\_element. For the stereo part, the value of *nch* is obtained from **channelConfiguration** in Table 1.15 (Syntax of AudioSpecificConfig) and it is limited to either 1 or 2 (left and right front speakers). For the extended part, the parameter, *nch*, is concerned with the rest of speakers, and the exact value is determined by **channel\_configuration\_index** specified in Table 4.100. Each index indicates the number of channels given the channel to speaker mapping.

#### 4.5.2.11.1.2.4 extended\_bsac\_sbr\_data

SBR elements are inserted into the bsac\_raw\_data\_block() after layer element data. By combining a BSAC core coder and the SBR bandwidth extension tool, the functionality of fine grain scalability is supported with full bandwidth for all layers. The start frequency for the SBR frequency range is the base\_band frequency of the BSAC base layer. The SBR part covers high frequency regions beyond the base layer frequency range. If enhancement layers of the BSAC core are transmitted, the overlapped region of the SBR data is replaced with core data.

#### 4.5.2.11.1.2.5 extended\_bsac\_sac\_data

MPEG Surround payload is embedded into the bsac\_raw\_data\_block() after layer element data. For the MPEG Surround payload, the extension type 'EXT\_BSAC\_SAC\_DATA' is defined. The syntax extended\_bsac\_sac\_data() is shown in Table 4.49. The semantics of the syntax elements *ancType*, *ancStart*, *ancStop*, *ancCrcWord*, and *ancDataSegmentByte* are defined in ISO/IEC 23003-1 subclause 7.2.4. In case that CRC error checking is necessary for the MPEG Surround payload, the *bs\_crc\_flag* is set to 1 and the *ancCrcWord* is transmitted. As specified in ISO/IEC 23003-1 subclause 7.2.3, the interface between ER BSAC and the MPEG Surround decoder can be either the time-domain or the QMF domain. In case of ER BSAC combined with SBR tool, the QMF representation is available as an input of the MPEG Surround decoder.

#### 4.5.2.11.1.3 Technical overview of the integration of ER BSAC and SBR tool

The basic structure of the integration of ER BSAC and SBR tool is shown in Figure 4.124. This subclause describes the decoding process of SBR tool integrated with ER BSAC in a scalable manner. The scalable SBR tool is made possible by adding a module referred to as the HF-Overlap. The decoding process of the scalable SBR tool is identical to that of SBR tool except the HF-Overlap module. The scalable SBR decoding block diagram is shown in Figure 4.124. It displays how the HF-Overlap module is interconnected into the SBR decoder.

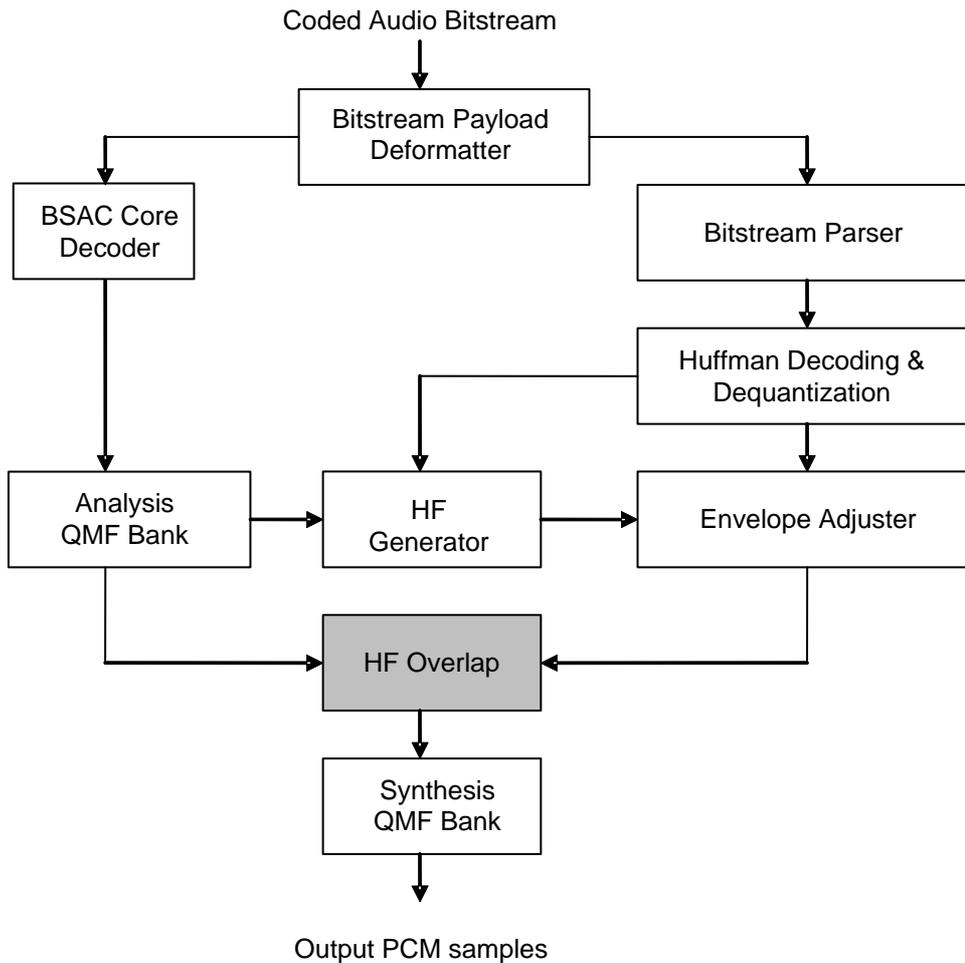


Figure 4.124 – Overview of the integration of ER BSAC and SBR decoder

4.5.2.11.1.4 HF Overlap

The BSAC scalable bitstream and SBR decoding are performed in parallel. In the BSAC core decoding process, *layer\_max\_freq* value is extracted from *layer\_end\_index[layer]* which represents the upper frequency of the decoded highest layer. The *layer\_max\_freq* value is delivered for the decoding of the SBR tool.

In the SBR decoding process, a QMF subband index corresponding to *layer\_max\_freq* is calculated. The subband index denoted as *core\_max\_band*, is determined by

$$core\_max\_band = INT \left( 64 \cdot \frac{layer\_max\_freq}{1024} \right)$$

If the *core\_max\_band* is greater than HF patched subband denoted as *HFPatchStartBand*, the overlapped region of the SBR data are replaced with the BSAC core data in scalable BSAC part. This process is depicted in the flowchart of Figure 4.125.

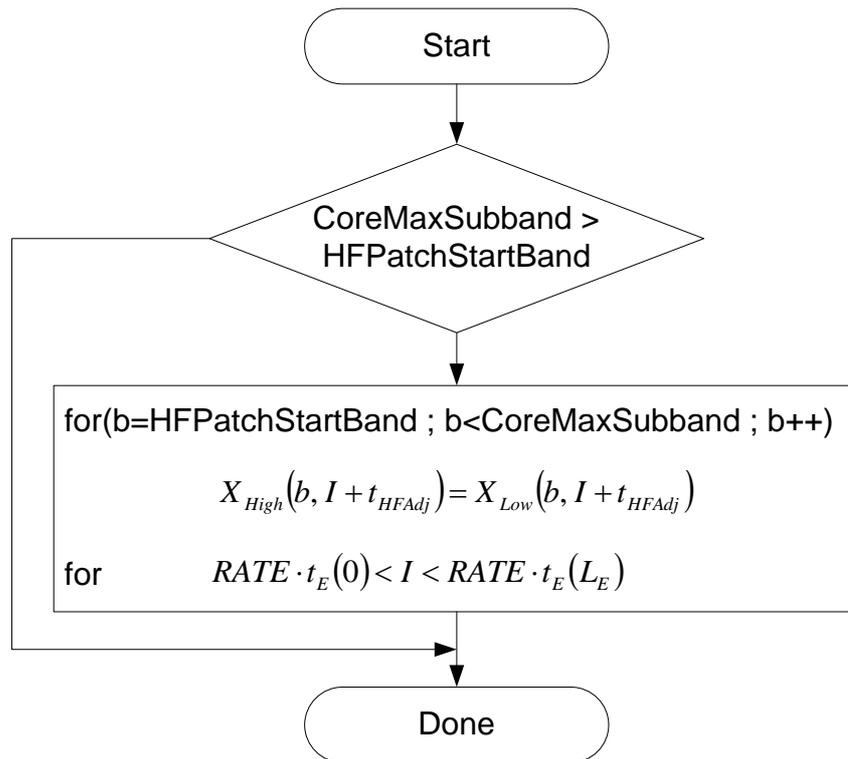


Figure 4.125 – Flowchart of HF-Overlap

### 4.5.3 Buffer requirements

#### 4.5.3.1 Minimum decoder input buffer

The following rules are used to calculate the maximum number of bits in the input buffer both for the bitstream payload as a whole, for any given program, or for any given SCE/CPE/CCE:

The input buffer size is 6144 bits per SCE or independently switched CCE, plus 12288 bits per CPE (6144\*NCC). Both the total buffer and the individual buffer sizes are limited, so that the buffering limit can be calculated for either the entire bitstream payload, any entire program, or the individual audio elements permitting the decoder to break a multichannel bitstream payload into separate mono and stereo bitstream payloads which are decoded by separate mono and stereo decoders, respectively. All bits for LFE's or dependent CCE's must be supplied from the total buffer requirements based on the independent CCE's, SCE's and CPE's. Furthermore, all bits required for any DSE's, PCE's, FIL's, or fixed headers, variable headers, byte\_alignment, and CRC must also be supplied from the same total buffer requirements.

For any error protected payload a supplementary decoder input buffer is specified. It is (N+5) % larger than the input buffer for the unprotected payload as specified above, where N is the value of "max. redundancy by class FEC" as specified in the level definition of any appropriate profile.. Furthermore, all bits required for any DSE's, PCE's, FIL's, or fixed headers, variable headers, byte\_alignment, and CRC must also be supplied from the same total buffer requirements.

For the audio object type AAC scalable the same restrictions apply, however, here they apply for the combined size of the input buffers of all ASME and ASEE. This means, if a mono program is encoded a buffer size of 6144=1024\*6 bits is required, and for a stereo program a total buffer size of 12288 bits is available. In the case of the scalable configurations with both, mono- and stereo-layers, the maximum buffer size for all mono layers is 6144 bits. The total buffer size for all layers is 12288 bits.

#### 4.5.3.2 Bit reservoir

The bit reservoir is controlled at the encoder. The maximum bit reservoir in the encoder depends on the NCC and the mean bitrate. The maximum bit reservoir size for constant rate channels can be calculated by subtracting the mean number of bits per block from the minimum decoder input buffer size. For example, at

96 kbit/s for a stereo signal at 44.1 kHz sampling frequency the mean number of bits per block (mean\_framelength) is  $(96000 \text{ bit/s} / 44100 \text{ 1/s} * 1024) = 2229.1156\dots$ . This leads to a maximum bit reservoir size (max\_bit\_reservoir) of  $\text{INT}(12288 \text{ bit} - 2229.1156\dots) = 10058$ . For variable bitrate channels the encoder must operate in a way that the input buffer requirements do not exceed the minimum decoder input buffer.

The state of the bit reservoir (bit\_reservoir\_state) is transmitted in the buffer\_fullness field, either as the state of the bit reservoir truncated to an integer value (adif\_buffer\_fullness) or as the state of the bit reservoir divided by the NCC divided by 32 and truncated to an integer value (adts\_buffer\_fullness).

The bit\_reservoir\_state of subsequent frames can be derived as follows:

$$\text{bit\_reservoir\_state}[\text{frame}] = \text{bit\_reservoir\_state}[\text{frame} - 1] + \text{mean\_framelength} - \text{framelength}[\text{frame}]$$

Framelengths have to be adjusted such that the following restriction is met:

$$0 \leq \text{bit\_reservoir\_state}[\text{frame}] \leq \text{max\_bit\_reservoir}$$

**4.5.3.3 Maximum bit rate**

The maximum bitrate depends on the audio sampling rate. It can be calculated based on the minimum input buffer size according to the formula:

$$\frac{6144 \frac{\text{bit}}{\text{block}}}{1024 \frac{\text{samples}}{\text{block}}} \cdot \text{sampling\_frequency} \cdot \text{NCC}$$

Table 4.126 gives some examples of the maximum bitrates per channel depending on the used sampling frequency.

**Table 4.126 – Maximum bitrate depending on the sampling frequency**

sampling frequency	maximum bitrate / NCC
48 kHz	288 kbit/s
44.1 kHz	264.6 kbit/s
32 kHz	192 kbit/s

**4.5.4 Tables**

**Table 4.127 – Transform windows**

Window	num_swb	#coeffs	looks like
LONG_WINDOW	49	1024/960	
SHORT_WINDOW	14	128/120	
LONG_START_WINDOW	49	1024/960	
LONG_STOP_WINDOW	49	1024/960	

Table 4.128 – Window Sequences

Value	window_sequence	num_windows	looks like
0	ONLY_LONG_SEQUENCE = LONG_WINDOW	1	
1	LONG_START_SEQUENCE = LONG_START_WINDOW	1	
2	EIGHT_SHORT_SEQUENCE = 8 * SHORT_WINDOW	8	
3	LONG_STOP_SEQUENCE = LONG_STOP_WINDOW	1	

Table 4.129 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 44.1 and 48 kHz

fs [kHz]	44.1,48
num_swb_long_window	49
swb	swb_offset_long_window
0	0
1	4
2	8
3	12
4	16
5	20
6	24
7	28
8	32
9	36
10	40
11	48
12	56
13	64
14	72
15	80
16	88
17	96
18	108
19	120
20	132
21	144
22	160
23	176
24	196

swb	swb_offset_long_window
25	216
26	240
27	264
28	292
29	320
30	352
31	384
32	416
33	448
34	480
35	512
36	544
37	576
38	608
39	640
40	672
41	704
42	736
43	768
44	800
45	832
46	864
47	896
48	928
	1024 (960)

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**Table 4.130 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 32, 44.1 and 48 kHz**

fs [kHz]	32,44.1,48	swb	swb_offset_short window
num_swb_short_window	14		
0	0	8	44
1	4	9	56
2	8	10	68
3	12	11	80
4	16	12	96
5	20	13	112
6	28		128 (120)
7	36		

**Table 4.131 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 32 kHz**

fs [kHz]	32	swb	swb_offset_long window
num_swb_long_window	51		
0	0	26	240
1	4	27	264
2	8	28	292
3	12	29	320
4	16	30	352
5	20	31	384
6	24	32	416
7	28	33	448
8	32	34	480
9	36	35	512
10	40	36	544
11	48	37	576
12	56	38	608
13	64	39	640
14	72	40	672
15	80	41	704
16	88	42	736
17	96	43	768
18	108	44	800
19	120	45	832
20	132	46	864
21	144	47	896
22	160	48	928
23	176	49	960
24	196	50	992 (-)
25	216		1024 (-)

**Table 4.132 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 8 kHz**

fs [kHz]	8		
num_swb_long_window	40		
swb	swb_offset_long_window	swb	Swb_offset_long_window
0	0	21	288
1	12	22	308
2	24	23	328
3	36	24	348
4	48	25	372
5	60	26	396
6	72	27	420
7	84	28	448
8	96	29	476
9	108	30	508
10	120	31	544
11	132	32	580
12	144	33	620
13	156	34	664
14	172	35	712
15	188	36	764
16	204	37	820
17	220	38	880
18	236	39	944
19	252		1024 (960)
20	268		

**Table 4.133 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 8 kHz**

fs [kHz]	8		
num_swb_short_window	15		
swb	swb_offset_short_window	swb	swb_offset_short_window
0	0	8	36
1	4	9	44
2	8	10	52
3	12	11	60
4	16	12	72
5	20	13	88
6	24	14	108
7	28		128 (120)

**Table 4.134 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 11.025, 12 and 16 kHz**

fs [kHz]	11.025, 12, 16	swb	swb_offset_long_window
num_swb_long_window	43		
0	0	22	228
1	8	23	244
2	16	24	260
3	24	25	280
4	32	26	300
5	40	27	320
6	48	28	344
7	56	29	368
8	64	30	396
9	72	31	424
10	80	32	456
11	88	33	492
12	100	34	532
13	112	35	572
14	124	36	616
15	136	37	664
16	148	38	716
17	160	39	772
18	172	40	832
19	184	41	896
20	196	42	960
21	212		1024 (-)

**Table 4.135 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 11.025, 12 and 16 kHz**

fs [kHz]	11.025, 12, 16	swb	swb_offset_short_window
num_swb_short_window	15		
0	0	8	32
1	4	9	40
2	8	10	48
3	12	11	60
4	16	12	72
5	20	13	88
6	24	14	108
7	28		128 (120)

**Table 4.136 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 22.05 and 24 kHz**

fs [kHz]	22.05 and 24	
num_swb_long_window	47	
swb	swb_offset_long_window	swb_offset_long_window
0	0	24
1	4	25
2	8	26
3	12	27
4	16	28
5	20	29
6	24	30
7	28	31
8	32	32
9	36	33
10	40	34
11	44	35
12	52	36
13	60	37
14	68	38
15	76	39
16	84	40
17	92	41
18	100	42
19	108	43
20	116	44
21	124	45
22	136	46
23	148	1024 (-)

**Table 4.137 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 22.05 and 24 kHz**

fs [kHz]	22.05 and 24	
num_swb_short_window	15	
swb	swb_offset_short_window	swb_offset_short_window
0	0	8
1	4	9
2	8	10
3	12	11
4	16	12
5	20	13
6	24	14
7	28	128 (120)

**Table 4.138 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 64 kHz**

fs [kHz]	64		
num_swb_long_window	47 (46)		
swb	swb_offset_long_window	swb	swb_offset_long_window
0	0	24	172
1	4	25	192
2	8	26	216
3	12	27	240
4	16	28	268
5	20	29	304
6	24	30	344
7	28	31	384
8	32	32	424
9	36	33	464
10	40	34	504
11	44	35	544
12	48	36	584
13	52	37	624
14	56	38	664
15	64	39	704
16	72	40	744
17	80	41	784
18	88	42	824
19	100	43	864
20	112	44	904
21	124	45	944
22	140	46	984 (960)
23	156		1024 (-)

**Table 4.139 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 64 kHz**

fs [kHz]	64		
num_swb_short_window	12		
swb	swb_offset_short_window	swb	swb_offset_short_window
0	0	7	32
1	4	8	40
2	8	9	48
3	12	10	64
4	16	11	92
5	20		128 (120)
6	24		

**Table 4.140 – scalefactor bands for a window length of 2048 and 1920 (values for 1920 in brackets) for LONG\_WINDOW, LONG\_START\_WINDOW, LONG\_STOP\_WINDOW at 88.2 and 96 kHz**

fs [kHz]	88.2 and 96		
num_swb_long_window	41		
swb	swb_offset_long_window	swb	swb_offset_long_window
0	0	21	120
1	4	22	132
2	8	23	144
3	12	24	156
4	16	25	172
5	20	26	188
6	24	27	212
7	28	28	240
8	32	29	276
9	36	30	320
10	40	31	384
11	44	32	448
12	48	33	512
13	52	34	576
14	56	35	640
15	64	36	704
16	72	37	768
17	80	38	832
18	88	39	896
19	96	40	960
20	108		1024 (-)

**Table 4.141 – scalefactor bands for a window length of 256 and 240 (values for 240 in brackets) for SHORT\_WINDOW at 88.2 and 96 kHz**

fs [kHz]	88.2 and 96		
num_swb_short_window	12		
swb	swb_offset_short_window	swb	swb_offset_short_window
0	0	7	32
1	4	8	40
2	8	9	48
3	12	10	64
4	16	11	92
5	20		128 (120)
6	24		

**Table 4.142 – scalefactor bands for a window length of 960 at 44.1 and 48 kHz**

fs [kHz]	44.1, 48
num_swb_long_window	35
swb	swb_offset_long_window
0	0
1	4
2	8
3	12
4	16
5	20
6	24
7	28
8	32
9	36
10	40
11	44
12	48
13	52
14	56
15	64
16	72
17	80

swb	swb_offset_long_window
18	88
19	96
20	108
21	120
22	132
23	144
24	156
25	172
26	188
27	212
28	240
29	272
30	304
31	336
32	368
33	400
34	432
	480

**Table 4.143 – scalefactor bands for a window length of 1024 at 44.1 and 48 kHz**

fs [kHz]	44.1, 48
num_swb_long_window	36
swb	swb_offset_long_window
0	0
1	4
2	8
3	12
4	16
5	20
6	24
7	28
8	32
9	36
10	40
11	44
12	48
13	52
14	56
15	60
16	68
17	76
18	84

swb	swb_offset_long_window
19	92
20	100
21	112
22	124
23	136
24	148
25	164
26	184
27	208
28	236
29	268
30	300
31	332
32	364
33	396
34	428
35	460
	512

**Table 4.144 – scalefactor bands for a window length of 960 at 32 kHz**

fs [kHz]	32
num_swb_long_ window	37
Swb	swb_offset_long_ window
0	0
1	4
2	8
3	12
4	16
5	20
6	24
7	28
8	32
9	36
10	40
11	44
12	48
13	52
14	56
15	60
16	64
17	72
18	80

Swb	swb_offset_long_ window
19	88
20	96
21	104
22	112
23	124
24	136
25	148
26	164
27	180
28	200
29	224
30	256
31	288
32	320
33	352
34	384
35	416
36	448
	480

**Table 4.145 – scalefactor bands for a window length of 1024 at 32 kHz**

fs [kHz]	32
num_swb_long_ window	37
Swb	swb_offset_long_ window
0	0
1	4
2	8
3	12
4	16
5	20
6	24
7	28
8	32
9	36
10	40
11	44
12	48
13	52
14	56
15	64
16	72
17	80
18	88

swb	swb_offset_long_ window
19	96
20	108
21	120
22	132
23	144
24	160
25	176
26	192
27	212
28	236
29	260
30	288
31	320
32	352
33	384
34	416
35	448
36	480
	512

**Table 4.146 – scalefactor bands for a window length of 960 at 22.05 and 24 kHz**

fs [kHz]	24, 22.05	
num_swb_long_window	30	
swb	swb_offset_long_window	
0	0	
1	4	
2	8	
3	12	
4	16	
5	20	
6	24	
7	28	
8	32	
9	36	
10	40	
11	44	
12	52	
13	60	
14	68	
15	80	

swb	swb_offset_long_window
16	92
17	104
18	120
19	140
20	164
21	192
22	224
23	256
24	288
25	320
26	352
27	384
28	416
29	448
	480

**Table 4.147 – scalefactor bands for a window length of 1024 at 22.05 and 24 kHz**

fs [kHz]	24, 22.05	
num_swb_long_window	31	
swb	swb_offset_long_window	
0	0	
1	4	
2	8	
3	12	
4	16	
5	20	
6	24	
7	28	
8	32	
9	36	
10	40	
11	44	
12	52	
13	60	
14	68	
15	80	

swb	swb_offset_long_window
16	92
17	104
18	120
19	140
20	164
21	192
22	224
23	256
24	288
25	320
26	352
27	384
28	416
29	448
30	480
	512

**Table 4.148 – AAC error sensitivity category assignment for main payload**

SCE / LFE / mono layer	CPE, common_window == 0	CPE, common_window == 1 / stereo layer	data_element	function
1	-	0	max_sfb	aac_scalable_extension_header()
-	-	0	ms_mask_present	aac_scalable_extension_header()
1	-	1	tns_data_present	aac_scalable_extension_header()

SCE / LFE / mono layer	CPE, common_window == 0	CPE, common_window == 1 / stereo layer	data_element	function
1	-	0	ics_reserved_bit	aac_scalable_main_header()
1	-	1	ltp_data_present	aac_scalable_main_header()
1	-	0	max_sfb	aac_scalable_main_header()
-	-	0	ms_mask_present	aac_scalable_main_header()
1	-	0	scale_factor_grouping	aac_scalable_main_header()
-	-	0	tns_channel_mono_layer	aac_scalable_main_header()
1	-	1	tns_data_present	aac_scalable_main_header()
1	-	0	window_sequence	aac_scalable_main_header()
1	-	0	window_shape	aac_scalable_main_header()
-	0	0	common_window	channel_pair_element()
-	0	0	element_instance_tag	channel_pair_element()
-	0	0	ms_mask_present	channel_pair_element()
-	0	0	ms_used	channel_pair_element()
1	1	1	diff_control	diff_control_data()
1	1	1	diff_control_lr	diff_control_data_lr()
1	1	0	ics_reserved_bit	ics_info()
1	1	1	ltp_data_present	ics_info()
1	1	0	max_sfb	ics_info()
1	1	0	predictor_data_present	ics_info()
1	1	0	scale_factor_grouping	ics_info()
1	1	0	window_sequence	ics_info()
1	1	0	window_shape	ics_info()
1	1	1	gain_control_data_present	individual_channel_stream()
1	1	1	global_gain	individual_channel_stream()
1	1	1	length_of_longest_codeword	individual_channel_stream()
1	1	1	length_of_reordered_spectral_data	individual_channel_stream()
1	1	1	pulse_data_present	individual_channel_stream()
1	1	1	tns_data_present	individual_channel_stream()
1	-	-	element_instance_tag	lfe_channel_element()
1	1	1	ltp_coef	ltp_data()
1	1	1	ltp_lag	ltp_data()
1	1	1	ltp_lag_update	ltp_data()
1	1	1	ltp_long_used	ltp_data()
-	-	0	ms_used	ms_data()
1	1	1	number_pulse	pulse_data()
1	1	1	pulse_amp	pulse_data()
1	1	1	pulse_offset	pulse_data()
1	1	1	pulse_start_sfb	pulse_data()
4	4	4	reordered_spectral_data	reordered_spectral_data()
1	1	1	dpcm_noise_last_position	scale_factor_data()
1	1	1	dpcm_noise_nrg	scale_factor_data()
1	1	1	hcod_sf	scale_factor_data()
1	1	1	length_of_rvlc_escapes	scale_factor_data()
1	1	1	length_of_rvlc_sf	scale_factor_data()

SCE / LFE / mono layer	CPE, common_window == 0	CPE, common_window == 1 / stereo layer	data_element	function
1	1	1	rev_global_gain	scale_factor_data()
2	2	2	rvlc_cod_sf	scale_factor_data()
2	2	2	rvlc_esc_sf	scale_factor_data()
1	1	1	sf_concealment	scale_factor_data()
1	1	1	sf_escapes_present	scale_factor_data()
1	1	1	sect_cb	section_data()
1	1	1	sect_len_incr	section_data()
1	-	-	element_instance_tag	single_channel_element()
4	4	4	hcod	spectral_data()
4	4	4	hcod_esc_y	spectral_data()
4	4	4	hcod_esc_z	spectral_data()
4	4	4	pair_sign_bits	spectral_data()
4	4	4	quad_sign_bits	spectral_data()
3	3	3	coef	tns_data()
3	3	3	coef_compress	tns_data()
3	3	3	coef_res	tns_data()
3	3	3	direction	tns_data()
3	3	3	length	tns_data()
3	3	3	n_filt	tns_data()
3	3	3	order	tns_data()

Table 4.149 – AAC error sensitivity category assignment for extended payload and low delay sbr payload

extension_payload	low delay sbr payload	data_element	function
6	-	drc_band_top	dynamic_range_info()
6	-	drc_bands_incr	dynamic_range_info()
6	-	drc_bands_present	dynamic_range_info()
6	-	drc_bands_reserved_bits	dynamic_range_info()
6	-	drc_tag_reserved_bits	dynamic_range_info()
6	-	dyn_rng_ct	dynamic_range_info()
6	-	dyn_rng_sgn	dynamic_range_info()
6	-	excluded_chns_present	dynamic_range_info()
6	-	pce_instance_tag	dynamic_range_info()
6	-	pce_tag_present	dynamic_range_info()

6	-	prog_ref_level	dynamic_range_info()
6	-	prog_ref_level_present	dynamic_range_info()
6	-	prog_ref_level_reserved_bits	dynamic_range_info()
6	-	additional_excluded_chns	excluded_channels()
6	-	exclude_mask	excluded_channels()
5	-	extension_type	extension_payload()
5	-	data_element_version	extension_payload()
7	-	fill_byte	extension_payload()
7	-	fill_nibble	extension_payload()
7	-	other_bits	extension_payload()
8	-	dataElementLengthPart	extension_payload()
8	-	data_element_byte	extension_payload()
9	-	bs_sbr_crc_bits	sbr_extension_data()
9	-	bs_header_flag	sbr_extension_data()
9	-	bs_fill_bits	sbr_extension_data()
9	9	bs_amp_res	sbr_header()
9	9	bs_start_freq	sbr_header()
9	9	bs_stop_freq	sbr_header()
9	9	bs_xover_band	sbr_header()
9	9	bs_reserved	sbr_header()
9	9	bs_header_extra_1	sbr_header()
9	9	bs_header_extra_2	sbr_header()
9	9	bs_freq_scale	sbr_header()
9	9	bs_alter_scale	sbr_header()
9	9	bs_noise_bands	sbr_header()
9	9	bs_limiter_bands	sbr_header()
9	9	bs_limiter_gains	sbr_header()
9	9	bs_interpol_freq	sbr_header()
9	9	bs_smoothing_mode	sbr_header()
9	9	bs_data_extra	sbr_single_channel_element()
9	9	bs_reserved	sbr_single_channel_element()
9	10	bs_add_harmonic_flag	sbr_single_channel_element()
9	10	bs_extended_data	sbr_single_channel_element()
9	10	bs_extension_size	sbr_single_channel_element()
9	10	bs_esc_count	sbr_single_channel_element()
9	10	bs_extension_id	sbr_single_channel_element()
9	9	bs_data_extra	sbr_channel_pair_element()
9	9	bs_reserved	sbr_channel_pair_element()
9	9	bs_coupling	sbr_channel_pair_element()
9	10	bs_add_harmonic_flag	sbr_channel_pair_element()
9	10	bs_extended_data	sbr_channel_pair_element()
9	10	bs_extension_size	sbr_channel_pair_element()
9	10	bs_esc_count	sbr_channel_pair_element()
9	10	bs_extension_id	sbr_channel_pair_element()
9	-	bs_frame_class	sbr_grid()
9	-	tmp	sbr_grid()
9	-	bs_freq_res	sbr_grid()
9	-	bs_pointer	sbr_grid()
9	-	bs_var_bord_0	sbr_grid()
9	-	bs_var_bord_1	sbr_grid()
9	-	bs_num_rel_0	sbr_grid()
9	-	bs_num_rel_1	sbr_grid()
9	9	bs_df_env	sbr_dtdf()
9	9	bs_df_noise	sbr_dtdf()
9	10	bs_invf_mode	sbr_invf()
9	10	bs_env_start_value_balance	sbr_envelope()
9	10	bs_env_start_value_level	sbr_envelope()

9	10	bs_codeword	sbr_envelope()
9	10	bs_noise_start_value_balance	sbr_noise()
9	10	bs_noise_start_value_level	sbr_noise()
9	10	bs_codeword	sbr_noise()
9	10	bs_add_harmonic	sbr_sinusoidal_coding()
-	9	bs_frame_class	sbr_ld_grid()
-	9	tmp	sbr_ld_grid()
-	9	bs_freq_res	sbr_ld_grid()
-	9	bs_transient_position	sbr_ld_grid()
-	9	bs_sbr_crc_bits	low_delay_sbr_data()
-	9	bs_header_flag	low_delay_sbr_data()

4.5.5 Figures

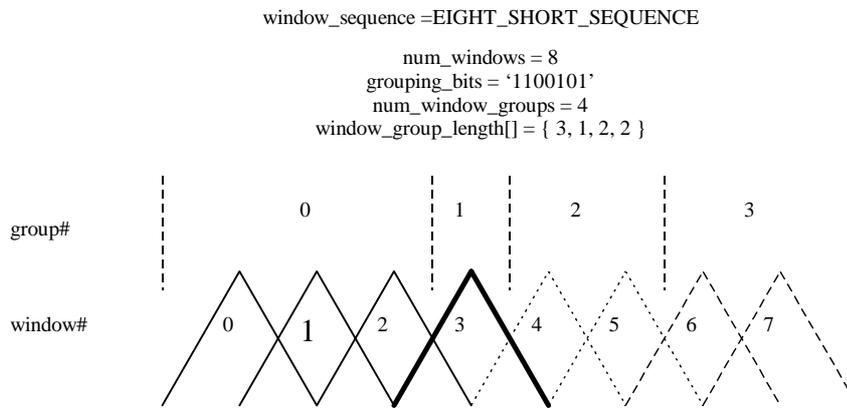
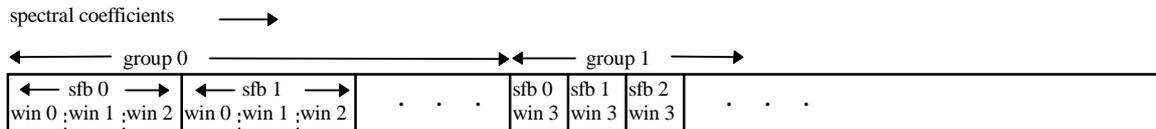


Figure 4.22 – Example for short window grouping



Order of scalefactor bands for ONLY\_LONG\_SEQUENCE

Figure 4.23 – Spectral order of scalefactor bands in case of ONLY\_LONG\_SEQUENCE



Order of scale factor bands for EIGHT\_SHORT\_SEQUENCE  
window\_group\_length[] = { 3, 1, ... }

Figure 4.24 – Spectral order of scalefactor bands in case of EIGHT\_SHORT\_SEQUENCE

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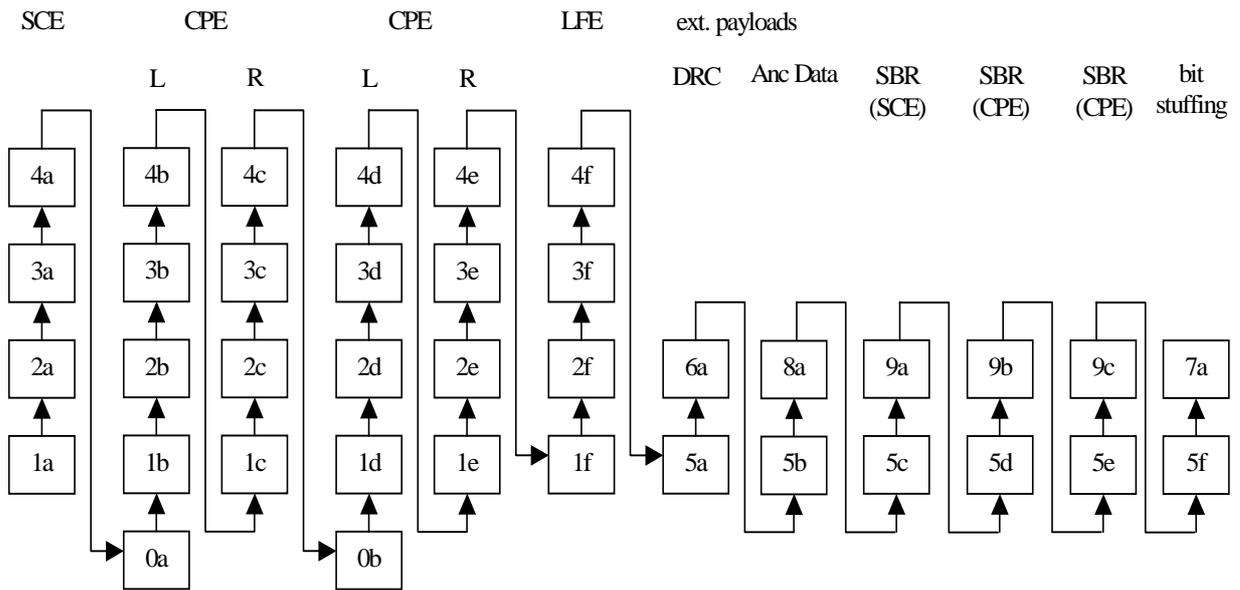


Figure 4.25 – Dependency structure in the case of error resilient multichannel AAC syntax (channelConfiguration == 6)

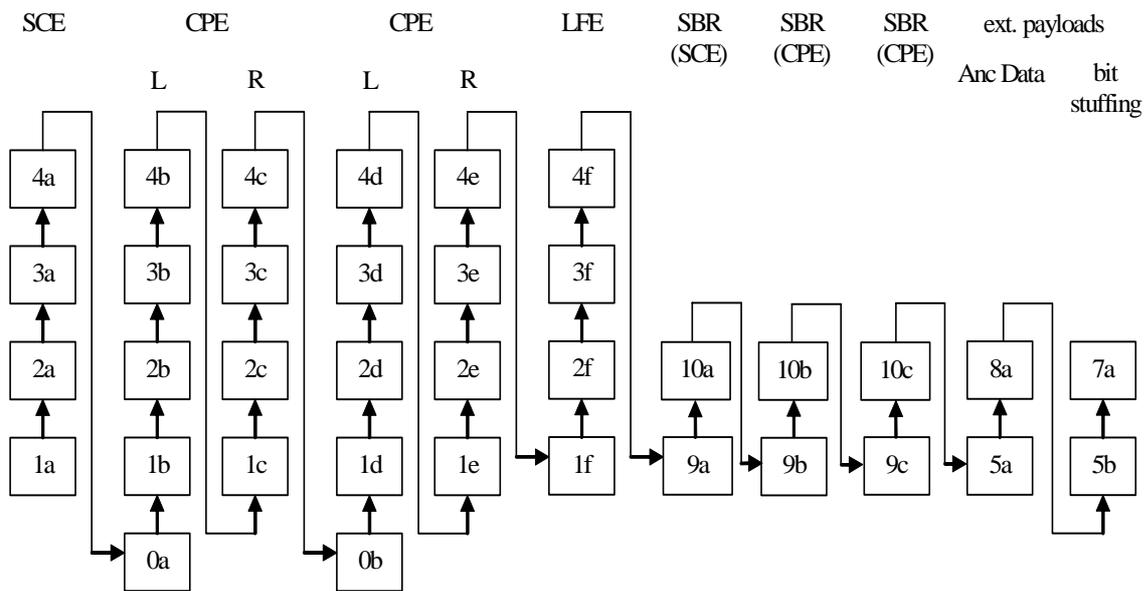


Figure 4.26 – Dependency structure in the case of ER multichannel AAC ELD syntax (channelConfiguration == 6)

## 4.6GA-Tool Descriptions

### 4.6.1 Quantization

(subclause identical to ISO/IEC 13818-7)

**4.6.1.1 Tool description**

For quantization of the spectral coefficients in the encoder a non uniform quantizer is used. Therefore the decoder must perform the inverse non uniform quantization after the Huffman decoding of the scalefactors (see subclauses 4.6.3 and 4.6.2) and spectral data (see subclause 4.6.3).

**4.6.1.2 Definitions**

**Help elements:**

- x\_quant[g][win][sfb][bin] quantized spectral coefficient for group *g*, window *win*, scalefactor band *sfb*, coefficient *bin*.
- x\_invquant[g][win][sfb][bin] spectral coefficient for group *g*, window *win*, scalefactor band *sfb*, coefficient *bin* after inverse quantization.

**4.6.1.3 Decoding process**

The inverse quantization is described by the following formula:

$$x\_invquant = Sign(x\_quant) \cdot |x\_quant|^{\frac{4}{3}}$$

The maximum allowed absolute amplitude for x\_quant is 8191. The inverse quantization is applied as follows:

```
for (g = 0; g < num_window_groups; g++) {
  for (sfb = 0; sfb < max_sfb; sfb++) {
    width = (swb_offset [sfb+1] - swb_offset [sfb]);
    for (win = 0; win < window_group_len[g]; win++) {
      for (bin = 0; bin < width; bin++) {
        x_invquant[g][win][sfb][bin] = sign(x_quant[g][win][sfb][bin]) *
          abs(x_quant[g][win][sfb][bin]) ^ (4/3);
      }
    }
  }
}
```

**4.6.2 Scalefactors**

(subclause similar to ISO/IEC 13818-7)

**4.6.2.1 Tool description**

The basic method to adjust the quantization noise in the frequency domain is the noise shaping using scalefactors. For this purpose the spectrum is divided in several groups of spectral coefficients called scalefactor bands which share one scalefactor (see subclause 4.5.2.3.4). A scalefactor represents a gain value which is used to change the amplitude of all spectral coefficients in that scalefactor band. This mechanism is used to change the allocation of the quantization noise in the spectral domain generated by the non uniform quantizer.

For window\_sequences which contain SHORT\_WINDOWs grouping can be applied, i.e. a specified number of consecutive SHORT\_WINDOWs may have only one set of scalefactors. Each scalefactor is then applied to a group of scalefactor bands corresponding in frequency (see subclause 4.5.2.3.4).

In this tool the scalefactors are applied to the inverse quantized coefficients to reconstruct the spectral values.

**4.6.2.2 Definitions**

**4.6.2.2.1 Data elements**

- global\_gain** An 8-bit unsigned integer value representing the value of the first scalefactor. It is also the start value for the following differential coded scalefactors
- scale\_factor\_data()** Part of bitstream payload which contains the differential coded scalefactors
- hcod\_sf[]** Huffman codeword from the Huffman code table used for coding of scalefactors, see subclause 4.6.3.2

#### 4.6.2.2.2 Help elements

dpcm_sf[g][sfb]	Differential coded scalefactor of group g, scalefactor band sfb.
x_rescal[]	rescaled spectral coefficients
sf[g][sfb]	Array for scalefactors of each group
get_scale_factor_gain()	Function that returns the gain value corresponding to a scalefactor

#### 4.6.2.3 Decoding process

##### 4.6.2.3.1 Scalefactor bands

Scalefactors are used to shape the quantization noise in the spectral domain. For this purpose, the spectrum is divided into several scalefactor bands (see subclause 4.5.2.3.4). Each scalefactor band has a scalefactor, which represents a certain gain value which has to be applied to all spectral coefficients in this scalefactor band. In case of EIGHT\_SHORT\_SEQUENCE a scalefactor band may contain multiple scalefactor window bands of consecutive SHORT\_WINDOWS (see subclause 4.5.2.3.4 and 4.5.2.3.5).

##### 4.6.2.3.2 Decoding of scalefactors

For all scalefactors the difference to the preceding value is coded using the Huffman code book given in Table 4.A.1. See subclause 4.6.3 for a detailed description of the Huffman decoding process. The start value is given explicitly as a 8 bit PCM in the data element **global\_gain**. A scalefactor is not transmitted for scalefactor bands which are coded with the Huffman codebook ZERO\_HCB. If the Huffman codebook for a scalefactor band is coded with INTENSITY\_HCB or INTENSITY\_HCB2, the scalefactor is used for intensity stereo (see subclauses 4.6.3 and 4.6.8.1.4). In that case a normal scalefactor does not exist (but is initialized to zero to have a valid entry in the array).

The following pseudo code describes how to decode the scalefactors sf[g][sfb]:

```

last_sf = global_gain;
for (g = 0; g < num_window_groups; g++) {
    for (sfb = 0; sfb < max_sfb; sfb++) {
        if (sfb_cb[g][sfb] != ZERO_HCB && sfb_cb[g][sfb] != INTENSITY_HCB
            && sfb_cb[g][sfb] != INTENSITY_HCB2 ) {
            dpcm_sf = decode_huffman() - index_offset; /* see subclause 4.6.3
                                                    „Noiseless Coding” */
            sf[g][sfb] = dpcm_sf + last_sf;
            last_sf = sf[g][sfb];
        }
        else {
            sf[g][sfb] = 0;
        }
    }
}

```

Note that scalefactors, sf[g][sfb], must be within the range of zero to 255, both inclusive.

In case of error resilient scalefactor coding, a RVLC has been used instead of a Huffman code. The decoding process of the RVLC words is the same as for the Huffman codewords; just another codebook has to be used. This codebook uses symmetric codewords. Due to this it is possible to detect errors, because asymmetric codewords are illegal. Furthermore, decoding can be started at both sides. To allow backward decoding, an additional value is available within the bitstream payload, which contains the last scalefactor value. In case of intensity an additional codeword is available, which allows backwards decoding. In case of PNS an additional DPCM value is available for the same reason.

In the case of sf\_escapes\_present==1, a decoded value of  $\pm 7$  is used as ESC\_FLAG. It signals that an escape value exists, that has to be added to +7 or subtracted from -7 in order to find the actual scalefactor value. This escape value is Huffman encoded.

#### 4.6.2.3.3 Applying scalefactors

The spectral coefficients of all scalefactor bands which correspond to a scalefactor have to be rescaled according to their scalefactor. In case of a window sequence that contains groups of short windows all coefficients in grouped scalefactor window bands have to be scaled using the same scalefactor.

In case of window\_sequences with only one window, the scalefactor bands and their corresponding coefficients are in spectral ascending order. In case of EIGHT\_SHORT\_SEQUENCE and grouping the spectral coefficients of grouped short windows are interleaved by scalefactor window bands. See subclause 4.5.2.3.5 for more detailed information.

The rescaling operation is done according to the following pseudo code:

```
for (g = 0; g < num_window_groups; g++) {
    for (sfb = 0; sfb < max_sfb; sfb++) {
        width = (swb_offset [sfb+1] - swb_offset [sfb] );
        for (win = 0; win < window_group_len[g]; win++) {
            gain = get_scale_factor_gain( sf[g][sfb] );
            for (k = 0; k < width; k++) {
                x_rescal[g][window][sfb][k] =
                    x_invquant[g][window][sfb][k] * gain;
            }
        }
    }
}
```

The function `get_scale_factor_gain(sf[g][sfb])` returns the gain factor that corresponds to a scalefactor. The return value follows the equation:

$$gain = 2^{0.25 \cdot (sf[g][sfb] - SF\_OFFSET)}$$

The constant `SF_OFFSET` must be set to 100.

The following pseudo code describes this operation:

```
get_scale_factor_gain( sf[g][sfb] ) {
    SF_OFFSET = 100;
    gain = 2^(0.25 * ( sf[g][sfb] - SF_OFFSET));
    return( gain );
}
```

### 4.6.3 Noiseless coding

(subclause similar to ISO/IEC 13818-7)

#### 4.6.3.1 Tool description

Noiseless coding is used to further reduce the redundancy of the scalefactors and the quantized spectrum of each audio channel.

The `global_gain` is coded as an 8 bit unsigned integer. The first scalefactor associated with the quantized spectrum is differentially coded relative to the `global_gain` value and then Huffman coded using the scalefactor codebook. The remaining scalefactors are differentially coded relative to the previous scalefactor and then Huffman coded using the scalefactor codebook.

Noiseless coding of the quantized spectrum relies on two divisions of the spectral coefficients. The first is a division into scalefactor bands that contain a multiple of 4 quantized spectral coefficients (see subclauses 4.5.2.3.4 and 4.5.2.3.5).

The second division, which is dependent on the quantized spectral data, is a division by scalefactor bands to form sections. The significance of a section is that the quantized spectrum within the section is represented using a single Huffman codebook chosen from a set of 11 possible codebooks. The length of a section and its associated Huffman codebook must be transmitted as side information in addition to the section's Huffman coded spectrum. Note that the length of a section is given in scalefactor bands rather than scalefactor window bands (see subclauses 4.5.2.3.4). In order to maximize the match of the statistics of the quantized spectrum to

that of the Huffman codebooks the number of sections is permitted to be as large as the number of scalefactor bands. The maximum size of a section is `max_sfb` scalefactor bands.

As indicated in Table 4.151, spectrum Huffman codebooks can represent signed or unsigned n-tuples of coefficients. For unsigned codebooks, sign bits for every non-zero coefficient in the n-tuple immediately follow the associated codeword.

The noiseless coding has two ways to represent large quantized spectra. One way is to send the escape flag from the escape (ESC) Huffman codebook, which signals that the bits immediately following that codeword plus optional sign bits are an escape sequence that encodes values larger than those represented by the ESC Huffman codebook. A second way is the pulse escape method, in which relatively large-amplitude coefficients can be replaced by coefficients with smaller amplitudes in order to enable the use of Huffman code tables with higher coding efficiency. This replacement is corrected by sending the position of the spectral coefficient and the differences in amplitude as side information. The frequency information is represented by the combination of the scalefactor band number to indicate a base frequency and an offset into that scalefactor band.

#### 4.6.3.2 Definitions

<b>sect_cb[g][i]</b>	spectrum Huffman codebook used for section <i>i</i> in group <i>g</i> .
<b>sect_len_incr</b>	used to compute the length of a section, measures number of scalefactor bands from start of section. The length of <b>sect_len_incr</b> is 3 bits if <code>window_sequence</code> is <code>EIGHT_SHORT_SEQUENCE</code> and 5 bits otherwise.
<b>global_gain</b>	global gain of the quantized spectrum, sent as unsigned integer value.
<b>hcod_sf[]</b>	Huffman codeword from the Huffman code table used for coding of scalefactors.
<b>hcod[sect_cb[g][i]][w][x][y][z]</b>	Huffman codeword from codebook <b>sect_cb[g][i]</b> that encodes the next 4-tuple ( <i>w</i> , <i>x</i> , <i>y</i> , <i>z</i> ) of spectral coefficients, where <i>w</i> , <i>x</i> , <i>y</i> , <i>z</i> are quantized spectral coefficients. Within an <i>n</i> -tuple, <i>w</i> , <i>x</i> , <i>y</i> , <i>z</i> are ordered as described in subclause 4.5.2.3.5. so that $x\_quant[group][win][sfb][bin] = w$ , $x\_quant[group][win][sfb][bin+1] = x$ , $x\_quant[group][win][sfb][bin+2] = y$ and $x\_quant[group][win][sfb][bin+3] = z$ . <i>N</i> -tuples progress from low to high frequency within the current section.
<b>hcod[sect_cb[g][i]][y][z]</b>	Huffman codeword from codebook <b>sect_cb[g][i]</b> that encodes the next 2-tuple ( <i>y</i> , <i>z</i> ) of spectral coefficients, where <i>y</i> , <i>z</i> are quantized spectral coefficients. Within an <i>n</i> -tuple, <i>y</i> , <i>z</i> are ordered as described in subclause 4.5.2.3.5 so that $x\_quant[group][win][sfb][bin] = y$ and $x\_quant[group][win][sfb][bin+1] = z$ . <i>N</i> -tuples progress from low to high frequency within the current section.
<b>quad_sign_bits</b>	sign bits for non-zero coefficients in the spectral 4-tuple. A '1' indicates a negative coefficient, a '0' a positive one. Bits associated with lower frequency coefficients are sent first.
<b>pair_sign_bits</b>	sign bits for non-zero coefficients in the spectral 2-tuple. A '1' indicates a negative coefficient, a '0' a positive one. Bits associated with lower frequency coefficients are sent first.
<b>hcod_esc_y</b>	escape sequence for quantized spectral coefficient <i>y</i> of 2-tuple ( <i>y</i> , <i>z</i> ) associated with the preceding Huffman codeword.
<b>hcod_esc_z</b>	escape sequence for quantized spectral coefficient <i>z</i> of 2-tuple ( <i>y</i> , <i>z</i> ) associated with the preceding Huffman codeword.
<b>pulse_data_present</b>	1 bit indicating whether the pulse escape is used (1) or not (0). Note that <code>pulse_data_present</code> must be 0, if <code>window_sequence == EIGHT_SHORT_SEQUENCE</code> ;
<b>number_pulse</b>	2 bits indicating how many pulse escapes are used. The number of pulse escapes is from 1 to 4.

<b>pulse_start_sfb</b>	6 bits indicating the index of the lowest scalefactor band where the pulse escape is achieved.
<b>pulse_offset[i]</b>	5 bits indicating the offset.
<b>pulse_amp[i]</b>	4 bits indicating the unsigned magnitude of the pulse.
sect_start[g][i]	offset to first scalefactor band in section i of group g.
sect_end[g][i]	offset to one higher than last scalefactor band in section i of group g.
num_sec[g]	number of sections in group g.
escape_flag	the value of 16 in the ESC Huffman codebook
escape_prefix	the bit sequence of N 1's
escape_separator	one 0 bit
escape_word	an N+4 bit unsigned integer word, msb first
escape_sequence	the sequence of escape_prefix, escape_separator and escape_word
escape_code	$2^{(N+4)} + \text{escape\_word}$
x_quant[g][win][sfb][bin]	<i>Huffman decoded value for group g, window win, scalefactor band sfb, coefficient bin</i>
spec[w][k]	<i>de-interleaved spectrum. w ranges from 0 to num_windows-1 and k ranges from 0 to swb_offset[num_swb]-1.</i>

The noiseless coding tool requires these constants (see Table 4.56).

ZERO_HCB	0
FIRST_PAIR_HCB	5
ESC_HCB	11
QUAD_LEN	4
PAIR_LEN	2
NOISE_HCB	13
INTENSITY_HCB2	14
INTENSITY_HCB	15
ESC_FLAG	16

#### 4.6.3.3 Decoding process

Four-tuples or 2-tuples of quantized spectral coefficients are Huffman coded and transmitted starting from the lowest-frequency coefficient and progressing to the highest-frequency coefficient. For the case of multiple windows per block (*EIGHT\_SHORT\_SEQUENCE*), the grouped and interleaved set of spectral coefficients is treated as a single set of coefficients that progress from low to high. The set of coefficients may need to be de-interleaved after they are decoded (see subclause 4.5.2.3.5). Coefficients are stored in the array `x_quant[g][win][sfb][bin]`, and the order of transmission of the Huffman codewords is such that when they are decoded in the order received and stored in the array, *bin* is the most rapidly incrementing index and *g* is the most slowly incrementing index. Within a codeword, for those associated with spectral four-tuples, the order of decoding is *w, x, y, z*; for codewords associated with spectral two-tuples, the order of decoding is *y, z*. The set of coefficients is divided into sections and the sectioning information is transmitted starting from the lowest frequency section and progressing to the highest frequency section. The spectral information for sections that are coded with the „zero“ codebook is not sent as this spectral information is zero. Similarly, spectral information for sections coded with the „intensity“ codebooks is not sent. The spectral information for all scalefactor bands at and above **max\_sfb**, for which there is no section data, is zero.

There is a single differential scalefactor codebook which represents a range of values as shown in Table 4.150. The differential scalefactor codebook is shown in Table 4.A.1. There are eleven Huffman codebooks for the

spectral data, as shown in Table 4.151. The codebooks are shown in Table 4.A.2 through Table 4.A.12. There are four other „codebooks“ above and beyond the actual Huffman codebooks, specifically the „zero“ codebook, indicating that neither scalefactors nor quantized data will be transmitted, and the „intensity“ codebooks indicating that this individual channel is part of a channel pair, and that the data that would normally be scalefactors is instead steering data for intensity stereo. Similarly, the „noise substitution“ codebook indicates that the spectral coefficients are derived from random numbers rather than quantized spectral values, and that the data that would normally be scalefactors is instead noise energy data. In these cases, no quantized spectral data are transmitted. Codebook index 12 is reserved.

The spectrum Huffman codebooks encode 2- or 4-tuples of signed or unsigned quantized spectral coefficients, as shown in Table 4.151. This table also indicates the largest absolute value (LAV) able to be encoded by each codebook and defines a boolean helper variable array, `unsigned_cb[]`, that is 1 if the codebook is unsigned and 0 if signed.

The result of Huffman decoding each differential scalefactor codeword is the codeword index, listed in the first column of Table 4.A.1. This is translated to the desired differential scalefactor by adding `index_offset` to the index. `Index_offset` has a value of -60, as shown in Table 4.150. Likewise, the result of Huffman decoding each spectrum n-tuple is the codeword index, listed in the first column of Table 4.A.2 through Table 4.A.12. This index is translated to the n-tuple spectral values as specified in the following pseudo C-code:

`unsigned` = Boolean value `unsigned_cb[i]`, listed in second column of Table 4.151.  
`dim` = Dimension of codebook, listed in the third column of Table 4.151.  
`lav` = LAV, listed in the fourth column of Table 4.151.  
`idx` = codeword index

```

if (unsigned) {
    mod = lav + 1;
    off = 0;
}
else {
    mod = 2*lav + 1;
    off = lav;
}

if (dim == 4) {
    w = INT(idx/(mod*mod*mod)) - off;
    idx -= (w+off)*(mod*mod*mod);
    x = INT(idx/(mod*mod)) - off;
    idx -= (x+off)*(mod*mod);
    y = INT(idx/mod) - off;
    idx -= (y+off)*mod;
    z = idx - off;
}
else {
    y = INT(idx/mod) - off;
    idx -= (y+off)*mod;
    z = idx - off;
}

```

If the Huffman codebook represents signed values, the decoding of the quantized spectral n-tuple is complete after Huffman decoding and translation of codeword index to quantized spectral coefficients. If the codebook represents unsigned values then the sign bits associated with non-zero coefficients immediately follow the Huffman codeword, with a '1' indicating a negative coefficient and a '0' indicating a positive one. For example, if a Huffman codeword from codebook 7

#### **hcod[7][y][z]**

has been parsed, then immediately following this in the bitstream payload is

#### **pair\_sign\_bits**

which is a variable length field of 0 to 2 bits. It can be parsed directly from the bitstream payload as

```

if (y != 0)
    if (one_sign_bit == 1)
        y = -y ;
if (z != 0)
    if (one_sign_bit == 1)

```

$$z = -z;$$

where `one_sign_bit` is the next bit in the bitstream payload and `pair_sign_bits` is the concatenation of the `one_sign_bit` fields.

The ESC codebook is a special case. It represents values from 0 to 16 inclusive, but values from 0 to 15 encode actual data values, and the value 16 is an `escape_flag` that signals the presence of `hcod_esc_y` or `hcod_esc_z`, either of which will be denoted as an `escape_sequence`. This `escape_sequence` permits quantized spectral elements of  $LAV > 15$  to be encoded. It consists of an `escape_prefix` of  $N$  1's, followed by an `escape_separator` of one zero, followed by an `escape_word` of  $N+4$  bits representing an unsigned integer value. The `escape_sequence` has a decoded value of  $2^{(N+4)} + \text{escape\_word}$ . The desired quantized spectral coefficient is then the sign indicated by the `pair_sign_bits` applied to the value of the `escape_sequence`. In other words, an `escape_sequence` of 00000 would decode as 16, an `escape_sequence` of 01111 as 31, an `escape_sequence` of 1000000 as 32, one of 1011111 as 63, and so on. Note that restrictions in subclause 4.6.1.3 dictate that the length of the `escape_sequence` is always less than 22 bits. For escape Huffman codewords the ordering of data elements is Huffman codeword followed by 0 to 2 sign bits followed by 0 to 2 escape sequences.

When `pulse_data_present` is 1 (the pulse escape is used), one or several quantized coefficients have been replaced by coefficients with smaller amplitudes in the encoder. The number of coefficients replaced is indicated by `number_pulse`. In reconstructing the quantized spectral coefficients `x_quant` this replacement is compensated by adding `pulse_amp` to or subtracting `pulse_amp` from the previously determined coefficients whose frequency indices are indicated by `pulse_start_sfb` and `pulse_offset`. Note that the pulse escape method is illegal for a block whose `window_sequence` is `EIGHT_SHORT_SEQUENCE`. The decoding process is specified in the following pseudo-C code:

```
if (pulse_data_present) {
    g = 0;
    win = 0;
    k = swb_offset[pulse_start_sfb];
    for (j = 0; j < number_pulse+1; j++) {
        k += pulse_offset[j];

        /* translate_pulse_parameters(); */
        for (sfb = pulse_start_sfb; sfb < num_swb; sfb++) {
            if (k < swb_offset[sfb+1]) {
                bin = k - swb_offset[sfb];
                break;
            }
        }

        /* restore coefficients */
        if (x_quant[g][win][sfb][bin] > 0 )
            x_quant[g][win][sfb][bin] += pulse_amp[j];
        else
            x_quant[g][win][sfb][bin] -= pulse_amp[j];
    }
}
```

Several decoder tools (TNS, filterbank) access the spectral coefficients in a non-interleaved fashion, i.e. all spectral coefficients are ordered according to window number and frequency within a window. This is indicated by using the notation `spec[w][k]` rather than `x_quant[g][w][sfb][bin]`.

The following pseudo C-code indicates the correspondence between the four-dimensional, or interleaved, structure of array `x_quant[ ][ ][ ][ ]` and the two-dimensional, or de-interleaved, structure of array `spec[ ][ ]`. In the latter array the first index increments over the individual windows in the window sequence, and the second index increments over the spectral coefficients that correspond to each window, where the coefficients progress linearly from low to high frequency.

```
quant_to_spec() {
    k = 0;
    for (g = 0; g < num_window_groups; g++ ) {
        j = 0;
        for (sfb = 0; sfb < num_swb; sfb++) {
            width = swb_offset[sfb+1] - swb_offset[sfb];
            for (win = 0; win < window_group_length[g]; win++) {
                for (bin=0; bin < width; bin++) {
```

```

        spec[win+k][bin+j] = x_quant[g][win][sfb][bin] ;
    }
    }
    j+=width;
}
k+=window_group_length[g];
}
}

```

Decoding of reordered spectral data cannot be done straightforward. The following c-like description shows the decoding process:

```

/* helper functions */
void InitReordering(void);
/* Initializes variables used by the reordering functions like the segment
widths and the used offsets in segments and codewords */

void InitRemainingBitsInSegment(void);
/* Initializes remainingBitsInSegment[] array for each segment with the
total size of the segment */

int DecodeCodeword(codewordNr, segmentNr, direction);
/* Try to decode the codeword indexed by codewordNr using data already read
for this codeword and using data from the segment index by segmentNr.
The read direction in the segment is given by direction.
DecodeCodeword returns the number of bits read from the indexed segment. */

void MoveFromSegmentToCodeword(codewordNr, segmentNr, bitLen, direction);
/* Move bitLen bits from the segment indexed by segmentNr to the codeword
indexed by codewordNr using direction as read direction in the segment.
The bits are appended to existing bits for the codeword and the codeword
length is adjusted. */

void AdjustOffsetsInSegment(segmentNr, bitLen, direction);
/* Like MoveFromSegmentToCodeword(), but no bits are moved. Only the offsets
for the segment indexed by segmentNr are adjusted according bitLen and
direction. */

void MarkCodewordAsDecoded(codewordNr);
/* Marks the codeword indexed by codewordNr as decoded. */

bool CodewordIsNotDecoded(codewordNr);
/* Returns TRUE if the codeword indexed by codewordNr is not decoded. */

void ToggleReadDirection(void);
/* Toggles the read direction in the segments between forward and backward. */

/* (input) variables */
numberOfCodewords;
numberOfSegments;
numberOfSets;

DecodeReorderedSpectralData()
{
    InitReordering();
    InitRemainingBitsInSegment();

    /* first step: decode PCWs (set 0) */
    readDirection = forward;
    for (codeword = 0; codeword < numberOfSegments; codeword++) {
        cwLen = DecodeCodeword(codeword, codeword, readDirection);
        if (cwLen <= remainingBitsInSegment[codeword]) {
            AdjustOffsetsInSegment(codeword, cwLen, readDirection);
            MarkCodewordAsDecoded(codeword);
            remainingBitsInSegment[codeword] -= cwLen;
        }
        else {
            /* error !!! (PCWs do always fit into segments) */
        }
    }
}

```



19	1	2	16 (with ESC 63)	Table 4.A.12
20	1	2	16 (with ESC 95)	Table 4.A.12
21	1	2	16 (with ESC 127)	Table 4.A.12
22	1	2	16 (with ESC 159)	Table 4.A.12
23	1	2	16 (with ESC 191)	Table 4.A.12
24	1	2	16 (with ESC 223)	Table 4.A.12
25	1	2	16 (with ESC 255)	Table 4.A.12
26	1	2	16 (with ESC 319)	Table 4.A.12
27	1	2	16 (with ESC 383)	Table 4.A.12
28	1	2	16 (with ESC 511)	Table 4.A.12
29	1	2	16 (with ESC 767)	Table 4.A.12
30	1	2	16 (with ESC 1023)	Table 4.A.12
31	1	2	16 (with ESC 2047)	Table 4.A.12

## 4.6.4 Noiseless coding for the fine grain scalability

### 4.6.4.1 Tool description

BSAC stands for bit sliced arithmetic coding and is the name of a noiseless coder and bitstream payload formatter that provides a fine grain scalability and error resilience in the MPEG-4 General Audio (GA) coder. The BSAC noiseless coding module is an alternative to the AAC coding module, with all other modules of the AAC-based coder remaining unchanged. The BSAC noiseless coding is used to make the bitstream payload scalable and error resilience and further reduce the redundancy of the scalefactors and the quantized spectrum. The BSAC noiseless decoding process is split into 4 subclauses. Subclause 4.6.4.2 to 4.6.4.6 describes the detailed decoding process of the spectral data, the stereo or pns related data, the scalefactors and the coding band side information.

### 4.6.4.2 Decoding of bit sliced spectral data (bsac\_spectral\_data)

#### 4.6.4.2.1 Description

BSAC uses the bit-slicing scheme of the quantized spectral coefficients in order to provide the fine grain scalability. And it encode the bit-sliced data using binary arithmetic coding scheme in order to reduce the average bits transmitted while suffering no loss of fidelity.

In BSAC scalable coding scheme, a quantized sequence is divided into coding bands, as shown in subclause 4.5.2.6.2.5. And, a quantized sequence is mapped into a bit-sliced sequence within a coding band. The noiseless coding of the sliced bits relies on the probability table of the coding band, the significance and the other contexts.

The significance of the bit-sliced data is the position of the sliced bit to be coded.

The flags, `sign_is_coded[]` are updated with coding the vectors from MSB to LSB. They are initialized to 0. And they are set to 1 when the sign of the quantized spectrum is coded.

The probability table for encoding the bit-sliced data within each coding band is included in the bistream element **`cband_si_type`** and transmitted starting from the lowest coding band and progressing to the highest coding band allocated to each layer. For the detailed description of the coding band side information **`cband_si_type`**, see subclause 4.6.4.5. Table 4.A.33 lists 23 probability tables which are used for encoding/decoding the bit-sliced data. The BSAC probability table consists of several sub-tables. Sub-tables are classified and chosen according to the significance and the coded upper bits as shown Table 4.A.56 to Table 4.A.77. Every sliced bit is arithmetic encoded using the probability value chosen among several possible sub-tables of BSAC probability table.

#### 4.6.4.2.2 Definitions

##### 4.6.4.2.2.1 Data elements

`acod_sliced_bit[ch][g][i]`

Arithmetic codeword necessary for arithmetic decoding of the sliced bit. Using this decoded bit, we can reconstruct each bit value of the

quantized spectral value. The actually reconstructed bit-value is dependent on the significance of the sliced bit.

acod\_sign[ch][g][i]

Arithmetic codeword from binary arithmetic coding sign\_bit. The probability of the “0” symbol is defined to 0.5 which uses 8192 as a 14-bit fixed-point number. sign\_bit indicates sign bit for non-zero coefficient. A “1” indicates a negative coefficient, a “0” a positive one. When the bit value of the quantized signal is assigned 1 for the first time, sign bit is arithmetic coded and sent.

**4.6.4.2.2.2 Help elements**

layer	scalability layer index
snf	significance of vector to be decoded.
ch	channel index
nch	the number of channel
cur_snf [i]	current significance of the i-th vector. cur_snf[] is initialized to Abit[cband]. See subclause 4.5.2.6.2.5.
maxsnf	maximum of current significance of the vectors to be decoded. See subclause 4.5.2.6.2.5.
snf	significance index
layer_data_available()	function that returns “1” as long as each layer’s bitstream payload is available, otherwise “0”. In other words, it indicates whether the remaining bitstream payload of each layer is available or not.
layer_group[layer]	indicates the group index of the spectral data to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
layer_start_index[layer]	indicates the index of the lowest spectral component to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
layer_end_index[layer]	indicates the index of the highest spectral component to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
start_index[g]	indicates the index of the lowest spectral component to be coded in the group g
end_index[g]	indicates the index of the lowest spectral component to be coded in the group g
sliced_bit	the decoded value of the sliced bits of the quantized spectrum.
sample[ch][g][i]	quantized spectral coefficients reconstructed from the decoded bit-sliced data of spectral line i in channel ch and group index g. See subclause 4.5.2.6.2.2
sign_is_coded[ch][g][i]	flag that indicates whether the sign of the ith quantized spectrum is already coded (1) or not (0) in channel ch and group index g.
sign_bit[ch][g][i]	sign bit for non-zero coefficient. A “1” indicates a negative coefficient, a “0” a positive one. When the bit value of the quantized signal is assigned 1 for the first time, sign bit is arithmetic coded and sent.

**4.6.4.2.3 Decoding process**

In BSAC encoder, the absolute values of quantized spectral coefficients is mapped into a bit-sliced sequence. These sliced bits are the symbols of the arithmetic coding. Every sliced bits are binary arithmetic coded from the lowest-frequency coefficient to the highest-frequency coefficient of the scalability layer, starting the Most Significant Bit(MSB) plane and progressing to the Least Significant Bit(LSB) plane. The arithmetic coding of the sign bits associated with non-zero coefficient follows that of the sliced bit when the bit-slice of the spectral coefficient is 1 for the first time.

For the case of multiple windows per block, the concatenated and possibly grouped and interleaved set of spectral coefficients is treated as a single set of coefficients that progress from low to high as described in subclause 4.5.2.6.2.6. This set of spectral coefficients may need to be de-interleaved after they are decoded. The spectral information for all scalefactor bands equal to or greater than `max_sfb` is set to zero.

After all MSB data are encoded from the lowest frequency line to the highest, the same encoding process is repeated until LSB data is encoded or the layer data is not available.

The length of the available bitstream payload (*available\_len[]*) is initialized at the beginning of each layer as described in subclause 4.5.2.6.2.5. The estimated length of the codeword (*est\_cw\_len*) to be decoded is calculated from the arithmetic decoding process as described in subclause 4.5.2.6.2.7. After the arithmetic decoding of a symbol, the length of the available bitstream payload should be updated by subtracting the estimated codeword length from it. We can detect whether the remaining bitstream payload of each layer is available or not by checking the *available\_len*.

The bit-sliced data is decoded with the probability, which is selected among values listed in from Table 4.A.56 to Table 4.A.77.

The probability value should be defined in order to arithmetic-code the symbols (the sliced bits). Binary probability table is made up of probability values (*p0*) of the symbol '0'. First of all, probability table is selected using *cband\_si* as shown in Table 4.A.31. Next, the sub-table is selected in the probability table according to the context such as the current significance of the spectral coefficient and the higher bit-slices that have been decoded. All the vector of the higher bit-slices, *higher\_bit\_vector* are initialized to 0 before the coding of the bit-sliced data is started. Whenever the bit-slice is coded, the vector, *higher\_bit\_vector* is updated as follows:

```
higher_bit_vector[ch][g][i] = (higher_bit_vector[ch][g][i]<<1) + decoded_bitslice;
if(higher_bit_vector[ch][g][i]) {
    if (higher_bit_vector[ch][g][i] > 15)
        p0_index = 15;
    else
        p0_index = higher_bit_vector[ch][g][i] - 1;
}
```

And, the probability (*p0*) is selected among the several values in the sub-table. In order to select one of the several probability values in the sub-table, the index of the probability should be decided. If the higher bit-slice vector is non-zero, the index of the probability (*p0*) is (*higher\_bit\_vector[ch][g][i] - 1*). Otherwise, it relies upon the sliced bits of successive non-overlapping 4 spectral data as shown in Table 4.A.34.

However if the available codeword size is smaller than 14, there is a constraints on the selected probability value as follows:

```
if (available_len < 14) {
    if (p0 < min_p0[available_len])
        p0 = min_p0[available_len];
    else if (p0 > max_p0[available_len])
        p0 = max_p0[available_len];
}
```

The minimum probability *min\_p0[]* and the maximum probability *max\_p0[]* is listed in Table 4.A.35 and Table 4.A.36.

Detailed arithmetic decoding procedure is described in this subclause 4.5.2.6.2.7.

There are 23 probability tables which can be used for encoding/decoding the bit-sliced data. 23 probability table are provided to cover the different statistics of the bit-slices. In order to transmit the probability table used in encoding process, the probability table is included in the syntax element, **cband\_si**. After **cband\_si** is decoded, the probability table is mapped from **cband\_si** using Table 4.A.33 and the decoding of the bit-sliced data shall be started.

The current significance of the spectral coefficient represents the bit-plane of the bit-slice to be decoded. Table 4.A.33 shows the MSB plane of the decoded sample according to **cband\_si**. Current significance, *cur\_snf[]* of all spectral coefficient within a coding band are initialized to the MSB plane. For the detailed initialization process, see subclause 4.5.2.6.2.5

The arithmetic decoding of the sign bit associated with non-zero coefficient follows the arithmetic decoding of the sliced bit when the bit-value of the quantized spectral coefficient is 1 for the first time, with a 1 indicating a

negative coefficient and a 0 indicating a positive one. The flag, *sign\_is\_coded* represents whether the sign bit of the quantized spectrum has been decoded or not. Before the decoding of the bit-sliced data is started, all the *sign\_is\_coded* flags are set to 0. The flag, *sign\_is\_coded* is set to 1 after the sign bit is decoded. The decoding process of the sign bit can be summarized as follows:

```
i = the spectral line index
if (sample[ch][g][i] && !sign_is_coded[ch][g][i]) {
    arithmetic decoding of the sign bit;
    sign_is_coded[ch][g][i] = 1;
}
```

Decoded symbol need to be reconstructed to the sample. For the detailed reconstruction of the bit-sliced data, see **Reconstruction of the decoded sample from bit-sliced data** part in subclause 4.5.2.6.2.2.

**4.6.4.3 Decoding of stereo\_info, ms\_used and noise\_flag**

**4.6.4.3.1 Descriptions**

The BSAC scalable coding scheme includes the noiseless coding which is different from MPEG-4 AAC coding and further reduce the redundancy of the stereo-related data.

Decoding of the stereo-related data and Perceptual Noise Substitution(pns) data is depended on pns\_data\_present and stereo\_info which indicates the stereo mask. Since the decoded data is the same value with MPEG-4 AAC, the MPEG-4 AAC stereo-related and pns processing follows the decoding of the stereo-related data and pns data.

**4.6.4.3.2 Definitions**

**4.6.4.3.2.1 Data elements**

acode_ms_used[g][sfb]	arithmetic codeword from the arithmetic coding of ms_used which is one-bit flag per scalefactor band indicating that M/S coding is being used in window group g and scalefactor band sfb, as follows: 0 Independent 1 ms_used
acode_stereo_info[g][sfb]	arithmetic codeword from the arithmetic coding of stereo_info which is two-bit flag per scalefactor band indicating that M/S coding or Intensity coding is being used in window group g and scalefactor band sfb, as follows: 00 Independent 01 ms_used 10 Intensity_in_phase 11 Intensity_out_of_phase or noise_flag_is_used Note : If ms_mask_present is 3, noise_flag_l and noise_flag_r are 0 value, then stereo_info is interpreted as out-of-phase intensity stereo regardless the value of pns_data_present.
acode_noise_flag[g][sfb]	arithmetic codeword from the arithmetic coding of noise_flag which is 1-bit flag per scalefactor band indicating whether the perceptual noise substitution is used(1) or not(0) in window group g and scalefactor band sfb.
acode_noise_flag_l[g][sfb]	arithmetic codeword from the arithmetic coding of noise_flag_l which is 1-bit flag per scalefactor band indicating whether the perceptual noise substitution is used(1) or not(0) in the left channel, window group g and scalefactor band sfb .
acode_noise_flag_r[g][sfb]	arithmetic codeword from the arithmetic coding of noise_flag which is 1-bit flag per scalefactor band indicating whether the perceptual noise substitution is used(1) or not(0) in the right channel, window group g and scalefactor band sfb.

acode\_noise\_mode[g][sfb] arithmetic codeword from the arithmetic coding of noise\_mode which is two-bit flag per scalefactor band indicating that which noise substitution is being used in window group g and scalefactor band sfb, as follows:  
 00 Noise Subst L+R (independent)  
 01 Noise Subst L+R (correlated)  
 10 Noise Subst L+R (correlated, out-of-phase)  
 11 reserved

#### 4.6.4.3.2.2 Help elements

ch	channel index
g	group index
sfb	scalefactor band index within group
layer	scalability layer index
nch	the number of channel
ms_mask_present	this two bit field indicates that the stereo mask is 00 Independent 01 1 bit mask of ms_used is located in the layer sfb side information part. 10 All ms_used are ones 11 2 bit mask of stereo_info is located in the layer sfb side information part.
layer_group[layer]	indicates the group index of the spectral data to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
layer_start_sfb[layer]	indicates the index of the lowest scalefactor band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
layer_end_sfb[layer]	indicates the highest scalefactor band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5

#### 4.6.4.3.3 Decoding process

Decoding process of ms\_mask\_present, noise\_flag or ms\_used is depended on pns\_data\_present, number of channel and ms\_mask\_present. pns\_data\_present flag is conveyed as a element in syntax of general\_header(). pns\_data\_present indicates whether pns tool is used or not at each frame. stereo\_info indicates the stereo mask as follows :

- 00 Independent
- 01 1 bit mask of ms\_used is located in the layer sfb side information part.
- 10 All ms\_used are ones
- 11 2 bit mask of stereo\_info is located in the layer sfb side information part.

Detailed arithmetic decoding procedure is described in this subclause 4.5.2.6.2.7.

Decoding process is classified as follows :

- 1 channel, no pns data  
 If the number of channel is 1 and pns data is not present, there is no data elements related to stereo or pns.
- 1 channel, pns data  
 If the number of channel is 1 and pns data is present, noise flag of the scalefactor bands between **pns\_start\_sfb** to **max\_sfb** is arithmetic decoded using model shown in Table 4.A.54. Perceptual noise substitution is done according to the decoded noise flag.

- 2 channel, ms\_mask\_present=0 (Independent), No pns data  
If ms\_mask\_present is 0 and pns data is not present, arithmetic decoding of stereo\_info or ms\_used is not needed.
- 2 channel, ms\_mask\_present=0 (Independent), pns data  
If ms\_mask\_present is 0 and pns data is present, noise flag for pns is arithmetic decoded using model shown in Table 4.A.54. Perceptual noise substitution of independent mode is done according to the decoded noise flag.
- 2 channel, ms\_mask\_present=2 (all ms\_used), pns data or no pns data  
All ms\_used values are ones in this case. So, M/S stereo processing of AAC is done at all scalefactor band. And naturally there can be no pns processing regardless of pns\_data\_present flag.
- 2 channel, ms\_mask\_present=1 (optional ms\_used), pns data or no pns data  
1 bit mask of max\_sfb bands of ms\_used is conveyed in this case. So, ms\_used is arithmetic decoded using the ms\_used model given in Table 4.A.52. M/S stereo processing of AAC is done or not according to the decoded ms\_used. If ms\_used is 1, there is no pns processing.
- 2 channel, ms\_mask\_present=3 (optional ms\_used/intensity/pns), no pns data  
At first, stereo\_info is arithmetic decoded using the stereo\_info model given in Table 4.A.53.  
stereo\_info is is two-bit flag per scalefactor band indicating that M/S coding or Intensity coding is being used in window group g and scalefactor band sfb as follows :
  - 00 Independent
  - 01 ms\_used
  - 10 Intensity\_in\_phase
  - 11 Intensity\_out\_of\_phase
 If stereo\_info is not 0, M/S stereo or intensity stereo of AAC is done with these decoded data. Since pns data is not present, we don't have to process pns.
- 2 channel, ms\_mask\_present=3 (optional ms\_used/intensity/pns), pns data  
stereo\_info is arithmetic decoded using the stereo\_info model given in Table 4.A.53.  
If stereo\_info is 1 or 2, M/S stereo or intensity stereo processing of AAC is done with these decoded data and there is no pns processing.  
If stereo\_info is 3 and scalefactor band is larger than or equal to pns\_start\_sfb, noise flag for pns is arithmetic decoded using model given in Table 4.A.54. And then if the both noise flags of two channel are 1, noise substitution mode is arithmetic decoded using model given in Table 4.A.55. The perceptual noise is substituted or out\_of\_phase intensity stereo processing is done according to the substitution mode. Otherwise, the perceptual noise is substituted only if noise flag is 1.  
If stereo\_info is 3 and scalefactor band is smaller than pns\_start\_sfb, out\_of\_phase intensity stereo processing is done.

**4.6.4.4 Decoding of scalefactors, noise energy and intensity stereo position**

**4.6.4.4.1 Description**

The BSAC scalable coding scheme includes the noiseless coding which is different from AAC and further reduce the redundancy of the scalefactors.

The max\_scalefactor is coded as an 8 bit unsigned integer. The scalefactors are differentially coded relative to the max\_scalefactor value and then Arithmetic coded using the differential scalefactor model.

**4.6.4.4.2 Definitions**

**4.6.4.4.2.1 Data elements**

**acode\_scf\_index[ch][g][sfb]** Arithmetic codeword from the coding of the differential scalefactors.

<b>acode_max_noise_energy [ch]</b>	Arithmetic codeword from the coding of the maximum of the noise energies.
<b>acode_dpcm_noise_energy_index[ch][g][sfb]</b>	Arithmetic codeword from the coding of the differential noise energy index.
<b>acode_is_position_index[g][sfb]</b>	Arithmetic codeword from the coding of the intensity stereo position index.

#### 4.6.4.4.2.2 Help elements

<i>ch</i>	channel index
<i>g</i>	group index
<i>sfb</i>	scalefactor band index within group
<i>layer</i>	scalability layer index
<i>nch</i>	the number of channel
<i>layer_group[layer]</i>	indicates the group index of the spectral data to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
<i>layer_start_sfb[layer]</i>	indicates the index of the lowest scalefactor band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
<i>layer_end_sfb[layer]</i>	indicates the highest scalefactor band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
<i>scf[ch][g][sfb]</i>	indicates the scalefactors.
<i>max_noise_energy[ch]</i>	indicates the maximum of the noise energy.
<i>dpcm_noise_energy_index[ch][g][sfb]</i>	indicates the differential noise energy index.
<i>is_position_index[g][sfb]</i>	indicates the intensity stereo position index.

#### 4.6.4.4.3 Decoding process

The spectral coefficients are divided into scalefactor bands that contain a multiple of 4 quantized spectral coefficients. Each scalefactor band has a scalefactor.

The differential scalefactor index is arithmetic-decoded using the arithmetic model given in Table 4.A.32. The arithmetic model of the scalefactor for the base layer is given as a 3 bit unsigned integer data element, **base\_scf\_model**. The arithmetic model of the scalefactor for the enhancement layers is given as a 3 bit unsigned integer data element, **enh\_scf\_model**.

For all scalefactors the difference to the offset value is arithmetic-decoded. All scalefactors are calculated from the difference and the offset value. The offset value is given explicitly as a 8 bit PCM in the data element **max\_scalefactor[ch]**. Detailed arithmetic decoding procedure is described in this subclause 4.5.2.6.2.7.

The following pseudo code describes how to decode the scalefactors *scf[ch][g][sfb]* in base layer and each enhancement layer:

```

for (ch = 0; ch < nch; ch++) {
    g = layer_group[layer];
    for (sfb = layer_start_sfb[layer]; sfb < layer_end_sfb[layer]; sfb++) {
        diff_scf = arithmetic_decoding();
        scf[ch][g][sfb] = max_scalefactor[ch] - diff_scf;
    }
}

```

If noise substitution coding is active for a particular group and scalefactor band, a noise energy value is transmitted instead of the scalefactor of the respective channel.

Noise energies are arithmetic-coded of differential values. For all noise energies the difference to the offset value is arithmetic-decoded. All noise energies are calculated from the difference and the offset value. The offset value, *max\_noise\_energy[ch]* is arithmetic-decoded before the first differential noise energy is decoded.

Noise substitution decoding process is same as the PNS part of MPEG-4 Audio General Audio. The noise energy decoding in each layer is defined by the following pseudo code:

```

for (ch =0; ch < nch; ch++) {
  g = layer_group[layer];
  for (sfb = layer_start_sfb[layer]; sfb < layer_end_sfb[layer]; sfb++) {
    if (noise_flag[ch][g][sfb]) {
      dpcm_noise_energy_index[ch][g][sfb] = arithmetic_decoding();
      noise_nrg[ch][g][sfb] = max_noise_energy[ch] - dpcm_noise_energy[ch][g][sfb];
    }
  }
}

```

The direction information for the intensity stereo decoding is represented by an “intensity stereo position” value indicating the relation between left and right channel scaling. If intensity stereois active for a particular group and scalefactor band, an intensity stereo position value is transmitted in stead of the scalefactor of the right channel.

When intensity positions are arithmetic-coded, the same arithmetic model is used. The intensity decoding process is same as the intensity stereo of MPEG-4 Audio General Audio. The intensity stereo position decoding in each layer is defined by the following pseudo code:

```

g = layer_group[layer]
for (sfb = layer_start_sfb[layer]; sfb < layer_end_sfb[layer]; sfb++) {
  if (stereo_info[g][sfb] && ch==1) {
    is_position_index[g][sfb] = arithmetic_decoding();
    if (is_position_sign[g][sfb]%2)
      is_position[g][sfb] = -(int)((is_position_index[g][sfb]+1)/2);
    else
      is_position[g][sfb] = (int)(is_position_index[g][sfb]/2);
  }
}

```

**4.6.4.5 Decoding of coding band side information**

**4.6.4.5.1 Description**

In BSAC scalable coding scheme, the spectral coefficients are divided into coding bands which contain 32 quantized spectral coefficients for the noiseless coding. Coding bands are the basic units used for the noiseless coding. The set of bit-sliced sequence is divided into coding bands. The MSB plane and the probability table of each coding band are included in this layer coding band side information, **cband\_si** as shown in Table 4.A.33. The coding band side informations of each layer are transmitted starting from the lowest coding band (layer\_start\_cband[layer]) and progressing to the highest coding band (layer\_end\_cband[layer]). For all cband\_si, it is arithmetic-coded using the arithmetic model as given in Table 4.A.31.

**4.6.4.5.2 Definitions**

**Data element:**

**acode\_cband\_si[ch][g][cband]** Arithmetic codeword from the arithmetic coding of **cband\_si** for each coding-band.

**Help elements:**

- g group index
- cband coding band index within group
- ch channel index
- nch the number of channel
- layer\_group[layer] indicates the group index of the spectral data to be added newly in the scalability layer. See subclause 4.5.2.6.2.5
- layer\_start\_cband[layer] indicates the lowest coding band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5

`layer_end_cband[layer]` indicates the highest coding band index to be added newly in the scalability layer. See subclause 4.5.2.6.2.5

#### 4.6.4.5.3 Decoding process

`cband_si` is arithmetic-coded using the arithmetic model as given in Table 4.A.31. The arithmetic model used for coding `cband_si` is dependent on a 5-bit unsigned integer in the data element, **`cband_si_type`** as shown in Table 4.A.31. And, the largest value of the decodable `cband_si` is given in Table 4.A.31. If the decoded `cband_si` larger than this value, it can be considered that there was a bit-error in the bitstream. Detailed arithmetic decoding procedure is described in this subclause 4.5.2.6.2.7.

The following pseudo code describes how to decode the `cband_si` `cband_si[ch][g][cband]` in base layer and each enhancement layer:

```
g = layer_group[layer];
for (ch = 0; ch < nch; ch++) {
    for (cband = layer_start_cband[layer]; cband < layer_cband[layer]; cband++) {
        cband_si[ch][g][cband] = arithmetic_decoding();
        if (cband_si[ch][g][cband] > largest_cband_si)
            bit_error_is_generated;
    }
}
```

where, `layer_cband[layer]` is the start coding band and `layer_cband[layer]` is the end coding band for decoding the arithmetic model index in each layer.

#### 4.6.4.6 Segmented binary arithmetic coding (SBA)

##### 4.6.4.6.1 Tool Description

Segmented Binary Arithmetic Coding (SBA) is based on the fact that the arithmetic codewords can be partitioned at known positions so that these codewords can be decoded independent of any error within other sections. Therefore, this tool avoids error propagation to those sections. The arithmetic coding should be initialized at the beginning of these segments and terminated at the end of these segments in order to localize the arithmetic codewords. This tool is activated if the syntax element, **`sba_mode`** is 1. And this flag should be set to 1 if the BSAC is used in the error-prone environment.

##### 4.6.4.6.2 Definitions

There is no definition because only the initialization and termination process are added at the beginning and the end of the segments in order to localize the arithmetic codewords.

##### 4.6.4.6.3 Decoding process

The arithmetic coding is terminated at the end of the segments, and re-initialized at the beginning of the next segment. The segment is made up of the scalability layers. `terminal_layer[layer]` indicates whether each layer is the last layer of the segment, which is set as follows :

```
for (layer = 0; layer < (top_layer+slayer_size-1); layer++) {
    if (layer_start_cband[layer] != layer_start_cband[layer+1])
        terminal_layer[layer] = 1;
    else
        terminal_layer[layer] = 0;
}
```

where, `toplayer` is the top layer to be encoded, `layer_max_cband[]` are the maximum coding band limit to be encoded and `slayer_size` is the sub-layer size of the base layer. Figure 4.27 shows an example of the segmented bistream to be made in the encoder.

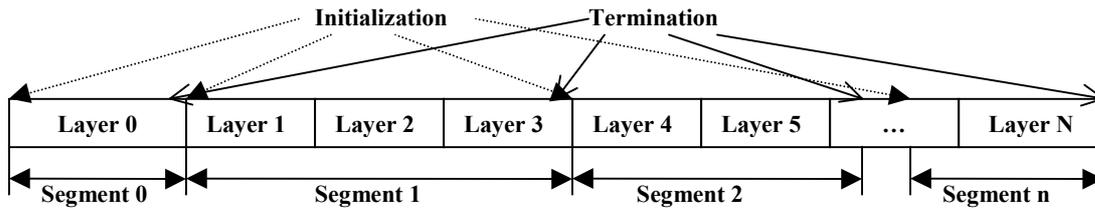


Figure 4.27 – The structure of SBA coded bitstream payload

In the decoder, the bitstream payload of each layer is split from the total bitstream payload. If the previous layer is the last of the segment, the split bitstream payload is stored in the independent buffer and arithmetic decoding process is re-initialized. Otherwise, the split bitstream payload is concatenated to that of the previous layer and used for arithmetic decoding sequentially.

In order to do the arithmetic decoding perfectly, 32-bit zero value should be concatenated to the split bitstream payload if the layer is the last part of the segment. Figure 4.28 shows an example of the bitstream payload splitting and zero stuffing in decoder part.

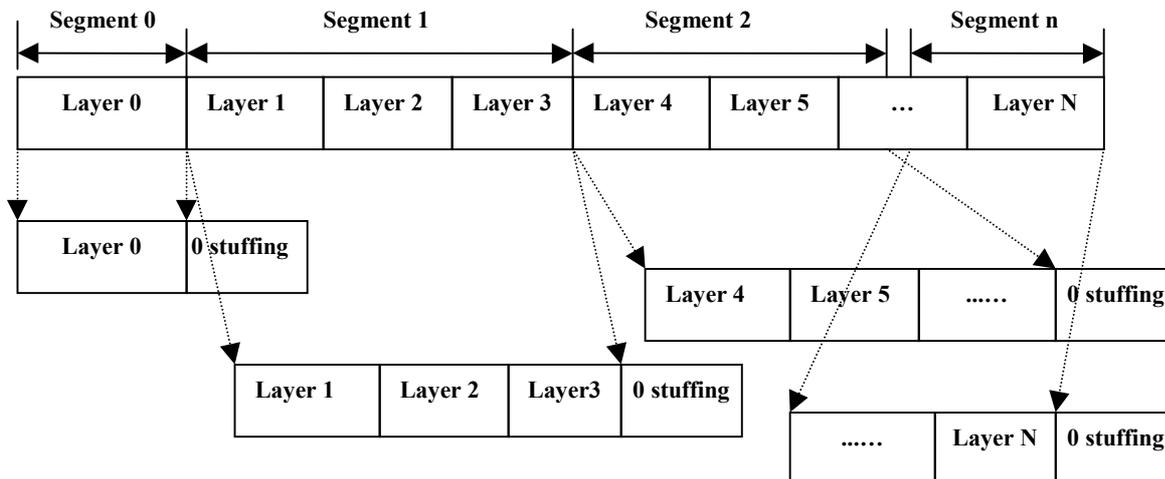


Figure 4.28 – Decoding of SBA bitstream payload

### 4.6.5 Interleaved vector quantization

#### 4.6.5.1 Tool description

This process generates flattened MDCT spectrum using vector quantization. This quantization tool provides high coding gain, even at lower bitrates. Bitstream payload for this quantizer has a simple fixed-length structure, thus it is robust against transmission channel errors.

The decoding process consists of vector quantization part and reconstruction part. In the vector quantization part, subvectors are specified by codevector index. Then, subvectors are interleaved and combined into one output vector (see Figure 4.29).

#### 4.6.5.2 Definitions

Inputs:

`fb_shift[]`

Syntax element indicating the active frequency band of the adaptive bandwidth control.

`index0[]`

data element indicating the codevector number of codebook 0

<b>index1[]</b>	data element indicating the codevector number of codebook 1
<b>window_sequence</b>	data element indicating window sequence type
<i>side_info_bits</i>	number of bits for side information
<i>bitrate</i>	system parameter indicating bitrate
<i>used_bits</i>	number of bits used by variable bit-rate tool, such as long term prediction tool
<i>lyr</i>	indicates enhancement layer number. Number 0 is assigned for the base layer.

**Outputs:**

*x\_flat[]* reconstructed MDCT coefficients

**Parameters:**

<i>FRAME_SIZE</i>	frame length
<i>MAXBIT</i>	maximum bits for shape codebook index representation
<i>N_CH</i>	number of channels
<i>N_DIV</i>	number of subvectors
<i>N_SF</i>	number of subframes in a frame
<i>sp_cv0[][]</i>	shape codebook of conjugate channel 0 (Elements are given in Table 4.A.21, Table 4.A.23, Table 4.A.25, Table 4.A.27)
<i>sp_cv1[][]</i>	shape codebook of conjugate channel 1 (Elements are given in tables Table 4.A.22, Table 4.A.24, Table 4.A.26, Table 4.A.28)
<i>SP_CB_SIZE</i>	shape codebook size
<i>shape_index0</i>	points the selected codevector of MDCT shape codebook 0
<i>shape_index1</i>	points the selected codevector of MDCT shape codebook 1
<i>pol0</i>	negates the selected codevector of MDCT shape codebook 0
<i>pol1</i>	negates the selected codevector of MDCT shape codebook 1

**4.6.5.3 Parameter settings**

The shape codebook vectors of the flattened MDCT coefficients, *sp\_cv0[][]* and *sp\_cv1[][]* are listed in Annex 4.A.

Parameters are set initially as listed below:

```

MAXBIT_SHAPE = 5
MAXBIT       = MAXBIT_SHAPE + 1
SP_CB_SIZE   = (1<<MAXBIT_SHAPE)

```

**4.6.5.4 Decoding process****4.6.5.4.1 Initializations**

Based on the *bits\_available\_vq* defined in 4.5.2.5.3.2,

*N\_DIV* and the length of each subvector, *length[]*, are calculated by

*N\_DIV* and the length of each subvector, *length[]* is calculated by

```
N_DIV = ((int)((bits_available_vq + MAXBIT*2-1)/(MAXBIT*2)))
```

```
for(idiv=0; idiv<ntt_N_DIV; idiv++){
    length[idiv] = ((int) (N_FR*qsample)*N_SF*N_CH+N_DIV-1-idiv) / N_DIV;
}
```

where N\_FR is the number of samples in a subframe and qsample is defined in subclause 4.6.5.4.4

Detailed calculation of side\_info\_bits is described in subclause 4.5.2.5.3 with bits\_for\_side\_information.

If the codevector length, length[], exceeds the number of codevector elements which are described in subclause 4.A.4, the undefined elements of sp\_cv0[] and sp\_cv1[] (i.e. elements beyond the defined area) are set to zero.

#### 4.6.5.4.2 Index unpacking

The quantization index consists of the polarity and shape code information. So in the first stage of the inverse quantization, the input indices are unpacked, and the polarities and shapes are extracted.

The extracting of the polarities is described as follows:

```
for (idiv = 0; idiv < N_DIV; idiv++){
    pol0[idiv] = 2 * (index0 [idiv] / SP_CB_SIZE) - 1;
    pol1[idiv] = 2 * (index1 [idiv] / SP_CB_SIZE) - 1;
}
```

where

pol0[]: polarity of conjugate channel 0  
 pol1[]: polarity of conjugate channel 1

The shape code extraction is described as follows:

```
for (idiv = 0; idiv < N_DIV; idiv++){
    index_shape0[idiv] = index0 [idiv] % SP_CB_SIZE;
    index_shape1[idiv] = index1 [idiv] % SP_CB_SIZE;
}
```

#### 4.6.5.4.3 Reconstruction

Output coefficients are reconstructed as follows:

```
for (idiv = 0; idiv < N_DIV; idiv++) {
    for (icv = 0; icv < length[idiv]; icv++) {
        if ((icv<length[0]-1) &&
            ((N_DIV%(N_SF*N_CH)==0 && (N_SF*N_CH)>1) || ((N_SF*N_CH)&0x1)==0)))
            itmp = ((idiv+icv)%N_DIV)+icv*N_DIV;
        else
            itmp = idiv + icv * N_DIV;
        ismp = itmp / (N_SF*N_CH) + ((itmp % (N_SF*N_CH)) * (FRAME_SIZE / (N_SF*N_CH)));
        x_flat_tmp[ismp] =
            (pol0[idiv]*sp_cv0[index_shape0[idiv]][icv]
             + pol1[idiv]*sp_cv1[index_shape1[idiv]][icv]) / 2;
    }
}
```

where

icv: indicates sample number in the shape code vector  
 idiv: indicates interleaved-division subvector  
 ismp: indicates sample number in the subframe  
 itmp: an integer

#### 4.6.5.4.4 Adaptive active band selection

This procedure selects the active band (see Figure 4.30) depending on the **fb\_shift** parameter.

If  $lyr=0$ , the active band is fixed as listed below:

```
bandUpper_i = 95 * BPS / ISAMPF;
bandUpper_i = min(100000, bandUpper_i);
bandUpper_i *= 16384;
bandUpper_i += 1562;
bandUpper_i /= 3125;
qsample = (double)(bandUpper_i) / 524288.;
AC_TOP[lyr][i_ch][0] = qsample;
AC_BTM[lyr][i_ch][0] = 0.0;
```

where ISAMP is an integer sampling frequency in [kHz] truncated from the standard frequency values listed in the right column of Table 4.82 and

BPS is bitrate in [bits/s/ch] based on the byte-aligned bits for a frame and it equals

$(int)((FRAME\_SIZE * bitrate / sampling\_frequency) / 8 + 0.5) * 8 * sampling\_frequency / FRAME\_SIZE / N\_CH$ .

If  $lyr$  is greater or equal '1', the upper limit of the quantization bandwidth of a certain layer is defined as follows using the accumulated bitrate  $totalbps$  up to the layer.

```
totalbps = bpsbase;
for (isc10 = 1; isc10 <= lyr; isc10++){
    totalbps += BPS_SCL[isc10];
}

upperlimit_i = (totalbps * 100) / ISAMPF;
upperlimit_i = min(100000, upperlimit_i);
upperlimit_i *= 16384;
upperlimit_i += 1562;
upperlimit_i /= 3125;
upperlimit = (double)(upperlimit_i) / 524288.;
```

UPPER\_BOUNDARY and LOWER\_BOUNDARY of the quantization bandwidth is defined in the tables of  $AC\_TOP[lyr][i\_ch][fb\_shift]$  and  $AC\_BTM[lyr][i\_ch][fb\_shift]$  as follows, depending on the band selection code and the value of bitrate per channel  $BPS\_SCL[lyr]$  in [bits/s/ch] for each enhancement layer.

Note that  $BPS\_SCL[lyr]$  should be based on the byte-aligned bits for a frame and it equals

$(int)((FRAME\_SIZE * bitrate / sampling\_frequency) / 8 + 0.5) * 8 * sampling\_frequency / FRAME\_SIZE / N\_CH$ .

```
qsample_i = (BPS_SCL[lyr] * 130) / ISAMPF;
qsample_i = min(100000, qsample_i);
qsample_i *= 16384;
qsample_i += 1562;
qsample_i /= 3125;
bias_i = (upperlimit_i - qsample_i) / 4;
if (qsample_i < bias_i) {
    bias_i = upperlimit_i / 4;
    qsample_i = bias_i;
}
bias = (double) bias_i;
qsample = (double)(qsample_i) / 524288. / 16384 * 32 * /
if (bias <= 0.0) bias = 0.0;
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    AC_TOP[lyr][i_ch][0] = qsample;
```

```

AC_BTM[lyr][i_ch][0] = 0.0;
AC_TOP[lyr][i_ch][1] = qsample+bias;
AC_BTM[lyr][i_ch][1] = bias;
AC_TOP[lyr][i_ch][2] = qsample + bias*2;
AC_BTM[lyr][i_ch][2] = bias*2;
AC_TOP[lyr][i_ch][3] = qsample + bias*3;
AC_BTM[lyr][i_ch][3] = bias*3;
}

```

where AC\_BTM and AC\_TOP is the bottom and top frequency of the active band, respectively. Values are ranged from 0 to 1.0 (i.e. 1.0 means the highest frequency).

The lower and upper boundaries in MDCT domain are calculated as follows:

```

for (i_ch = 0; i_ch < N_CH; i_ch++){
    LOWER_BOUNDARY[lyr][i_ch] = AC_BTM[lyr][i_ch][fb_shft] * N_FR;
    UPPER_BOUNDARY[lyr][i_ch] = AC_TOP[lyr][i_ch][fb_shift] * N_FR;
}

```

Then, the output x\_flat[] is copied from x\_flat\_tmp[] as follows:

```

for (i_ch = 0; i_ch < N_CH; i_ch++) {
    for (isf = 0; isf < N_SF; isf++) {
        for (ismp = 0; ismp < LOWER_BOUNDARY[lyr][i_ch]; ismp++) {
            x_flat[ismp+(isf+i_ch*N_SF)*N_FR] = 0.;
        }
        for (ismp = LOWER_BOUNDARY[lyr][i_ch]; ismp < UPPER_BOUNDARY[lyr][i_ch]; ismp++) {
            ismp2 = ismp - LOWER_BOUNDARY[lyr][i_ch];
            x_flat[ismp+(isf+i_ch*N_SF)*N_FR] = x_flat_tmp[ismp2+(isf+i_ch*N_SF)*N_FR];
        }
        for (ismp = UPPER_BOUNDARY[lyr][i_ch]; ismp < N_FR; ismp++) {
            x_flat[ismp+(isf+i_ch*N_SF)*N_FR] = 0.;
        }
    }
}

```

4.6.5.5 Diagrams

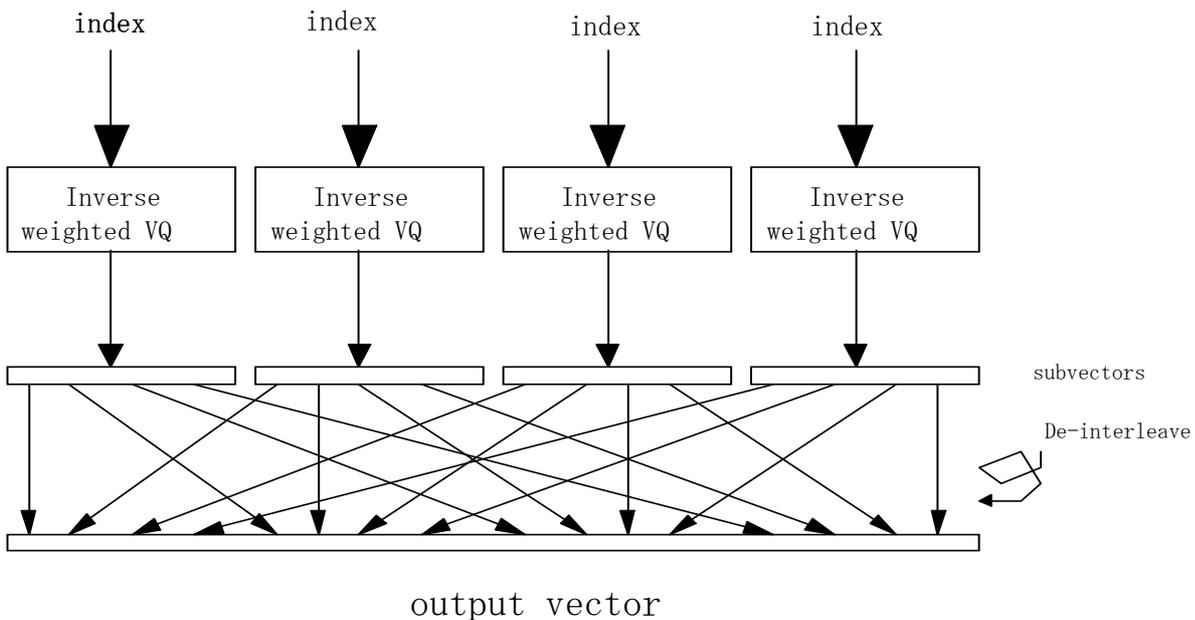
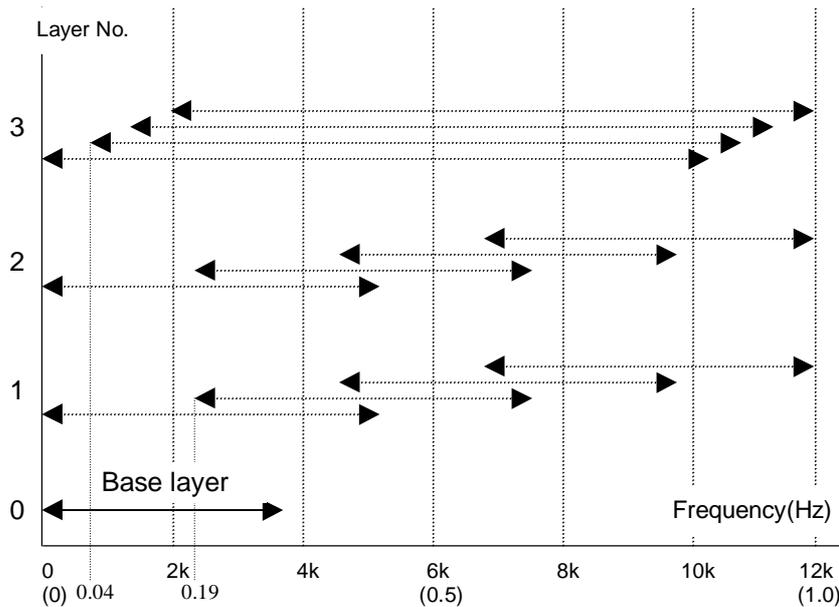


Figure 4.29 – Decoding process of interleaved vector quantization tool.



**Figure 4.30 – Example of adaptive active band selection.**  
 (Sampling\_Frequency=24kHz, Total bitrate=40kbit/s(8+8+8+16kpbs)  
 In this case,  $q_{\text{sample}} = 0.43$ ,  $\text{bias} = 0.19$  for layer 1 and layer 2  
 $q_{\text{sample}} = 0.87$ ,  $\text{bias} = 0.04$  for layer 3)

## 4.6.6 Frequency domain prediction

See ISO/IEC13818-7 (13818-7:2005, subclause 13.3.2 "Predictor Processing").

Notes:

The use of the prediction tool is object type / profile dependent. See subpart 1 for detailed information on the MPEG-4 Audio object types and profiles.

The frequency domain prediction tool can be used only for AudioObjectType 1 (AAC Main).

## 4.6.7 Long term prediction (LTP)

### 4.6.7.1 Tool description

Long term prediction (LTP) is an efficient tool for reducing the redundancy of a signal between successive coding frames. This tool is especially effective for the parts of a signal which have clear pitch property. The implementation complexity of LTP is significantly lower than the complexity of Backward Adaptive Prediction. Because the Long Term Predictor is a forward adaptive predictor (prediction coefficients are sent as side information), it is inherently less sensitive to round-off numerical errors in the decoder or bit errors in the transmitted spectral coefficients.

LTP is restricted to long windows only.

### 4.6.7.2 Definitions

**ltp\_data\_present**

1 bit indicating whether prediction is used in current frame (1) or not (0) (always present)

**ltp\_lag**

11-bit number specifying the optimal delay from 0 to 2047.

**ltp\_coef**

3-bit index indicating the LTP coefficient in the table below.

**Table 4.152 – LTP coefficient**

Value of <b>ltp_coef</b>	value of LTP coefficient
000	0.570829
001	0.696616
010	0.813004
011	0.911304

100	0.984900
101	1.067894
110	1.194601
111	1.369533

**ltp\_long\_used** 1 bit for each scalefactor band (sfb) where LTP can be used indicating whether LTP is switched on (1) or off (0) in that sfb.

For the audio object type ER AAC LD the following data elements are available:

**ltp\_lag\_update** 1 bit indicating whether a new value for the ltp lag is transmitted. Due to the high consistency of the LTP lag for many signals, one additional bit is introduced signaling that the lag of the previous frame is repeated (`ltp_lag_update == 0`). Otherwise, a new value for the ltp lag is transmitted (`ltp_lag_update == 1`).

**ltp\_lag** 10-bit number specifying the optimal delay from 0 to 1023.

The maximum number of scalefactor bands used in prediction is limited by a constant:

$$\text{MAX\_LTP\_LONG\_SFB} = 40 \quad (\text{for long frames})$$

#### 4.6.7.3 Decoding process

The decoding process for LTP is carried out on each window of the current frame by applying 1-tap IIR filtering in the time domain to predict samples in the current frame by (quantized) samples in the previous frames. The process is controlled by the transmitted side information in a two step approach. The first control step defines whether LTP is used at all for the current frame. In the case of long window, the second control step defines, on which scalefactor bands LTP is used. At the start of the decoding process, the reconstructed time domain samples are initialized by zeros.

For each frame, the LTP side information is extracted from the bitstream payload to control the further predictor processing at the decoder. In the case of a `single_channel_element()` the control information is valid for the channel with that element. In the case of a `channel_pair_element()` there are two sets of control data. The order of occurrence of LTP control data sets in the case of `common_window==1` is such that LTP side information is first extracted for the left channel and then for the right channel of the corresponding `channel_pair_element()`.

First, the `ltp_data_present` bit is read. If this bit is not set (0) then LTP is switched off for the current frame and there is no further predictor side information present. In this case the `ltp_long_used` flag for each scalefactor band stored in the decoder has to be set to zero. If the `ltp_data_present` bit is set (1) then LTP is used for the current frame and the LTP parameters are read.

For long windows, the LTP parameters are used to calculate the predicted time domain signals using the following formula:

$$x\_est(i) = \text{ltp\_coef} * x\_rec(i - M - \text{ltp\_lag})$$

$$i = 0, \dots, N-1$$

where `x_est(i)` are the predicted samples

`x_rec(i)` are reconstructed time domain samples

N is the length of the transform window

M = N/2 if `aot == ER AAC LD`, otherwise M = 0

The different value for M used in combination with the low delay codec is to achieve a similar range of possible lag values in absolute time, despite of the shorter frame.

The reference point for index i and the content of the buffer `x_rec` are arranged so that `x_rec(0 ... N/2 - 1)` contains the last aliased half window from the IMDCT (with the overlap-add windowing also applied), and

$x_{rec}(N/2 \dots N-1)$  is always all zeros. The rest of  $x_{rec}$  ( $i < 0$ ) contains the previous fully reconstructed time domain samples, i.e., output of the decoder.

Using the MDCT for long windows, the predicted spectral components are obtained for the current frame from the predicted time domain signal. Next, the **ltp\_long\_used** bits are read from the bitstream payload, which control the use of prediction in each scalefactor band individually, i.e. if the bit is set for a particular scalefactor band, all the predicted spectral components of this scalefactor band are used. Otherwise the predicted spectral components are set to zeros. That is, if the **ltp\_long\_used** bit is set, then the quantized prediction error reconstructed from the transmitted data is added to the predicted spectral component. If the bit is not set (0), then the quantized value of spectral component is reconstructed directly from the transmitted data.

The signal reconstruction part of decoding process for one channel can be described as following pseudo code. Here  $x_{est}$  is the predicted time domain signal,  $X_{est}$  is the corresponding frequency domain vector,  $Y_{rec}$  is the vector of decoded spectral coefficients and  $X_{rec}$  is the vector of reconstructed spectral coefficients.

```

if (ONLY_LONG_SEQUENCE || LONG_START_SEQUENCE || LONG_STOP_SEQUENCE) {
    x_est = predict();
    X_est = MDCT(x_est);
    for (sfb = 0; sfb < min(max_sfb, MAX_LTP_LONG_SFB); sfb++) {
        if (ltp_data_present && ltp_long_used[sfb])
            X_rec = X_est + Y_rec;
        else
            X_rec = Y_rec;
    }
}

```

#### 4.6.7.4 Integration of LTP with other GA tools

##### 4.6.7.4.1 LTP with TNS

Because TNS needs to be applied to a reconstructed spectrum, the TNS filter must occur after LTP in the decoding chain. This makes an additional TNS analysis filter necessary in the LTP loop, as shown in Figure 4.31.

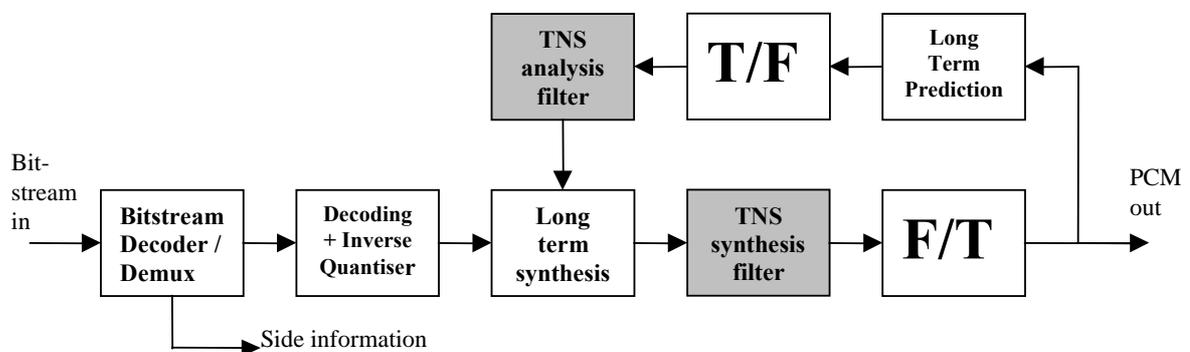


Figure 4.31 – Long Term Prediction with TNS

##### 4.6.7.4.2 LTP with PNS

Simultaneous use of LTP and PNS is not prevented in the syntax. If both LTP, and PNS are enabled on the same scalefactor band, PNS takes precedence, and no prediction is applied to this band.

##### 4.6.7.4.3 LTP with dependently switched coupling

No dependently switched coupling and hence no dependently switched CCE is permitted in any audio object type that utilizes LTP.

##### 4.6.7.5 LTP in a scalable GA decoder

In a scalable coder LTP is used only on the lowest GA coding layer and the predictor update is based on the time domain output of the first GA layer. Either LTP or a core coder can be used, but not both at the same time.

The LTP decoding process is similar to the process used when the lowest layer is a core coder. The LTP part itself is decoded exactly in the same way as in a non-scalable GA decoder.

In a scalable configuration the simultaneous use of LTP and Intensity Stereo is not prevented in the syntax. However if both LTP and Intensity Stereo are enabled in the same scalefactor band in the first GA layer, Intensity Stereo takes precedence and no prediction is applied to this band.

### 4.6.8 Joint Coding

(similar to ISO/IEC 13818-7)

#### 4.6.8.1 M/S stereo

##### 4.6.8.1.1 Tool description

The M/S joint channel coding operates on channel pairs. Channels are most often paired such that they have symmetric presentation relative to the listener, such as left/right or left surround/right surround. The first channel in the pair is denoted „left“ and the second „right.“ On a per-spectral-coefficient basis, the vector formed by the left and right channel signals is reconstructed or de-matrixed by either the identity matrix

$$\begin{bmatrix} l \\ r \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} l \\ r \end{bmatrix}$$

or the inverse M/S matrix

$$\begin{bmatrix} l \\ r \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \begin{bmatrix} m \\ s \end{bmatrix}$$

The decision on which matrix to use is done on a scalefactor band by scalefactor band basis as indicated by the `ms_used` flags. For the audio object types AAC Main, LC, SSR, and LTP, M/S joint channel coding can only be used if **common\_window** is '1'. For the AAC scalable audio object type always common windows between the two audio channels are used, so that M/S coding is always possible.

##### 4.6.8.1.2 Definitions

<b>ms_mask_present</b>	this two bit field indicates that the MS mask is 00 All zeros 01 A mask of max_sfb bands of ms_used follows this field 10 All ones 11 Reserved
<b>ms_used[g][sfb]</b>	one-bit flag per scalefactor band indicating that M/S coding is being used in windowgroup g and scalefactor band sfb.
<b>l_spec[]</b>	Array containing the left channel spectrum of the respective channel pair.
<b>r_spec[]</b>	Array containing the right channel spectrum of the respective channel pair.
<b>is_intensity(g,sfb)</b>	function returning the intensity status, defined in subclause 4.6.8.2.3.
<b>is_noise(g,sfb)</b>	function returning the noise substitution status, defined in subclause 4.6.13.3.

##### 4.6.8.1.3 Decoding process

Reconstruct the spectral coefficients of the first („left“) and second („right“) channel as specified by the **ms\_mask\_present** and the **ms\_used[][]** flags as follows:

```
if (ms_mask_present >= 1) {
    for (g = 0; g < num_window_groups; g++) {
        for (b = 0; b < window_group_length[g]; b++) {
            for (sfb = 0; sfb < max_sfb; sfb++) {
                if ((ms_used[g][sfb] || ms_mask_present == 2) &&
                    !is_intensity(g,sfb) && !is_noise(g,sfb)) {
```

```

        for (i = 0; i < swb_offset[sfb+1]-swb_offset[sfb]; i++) {
            tmp = l_spec[g][b][sfb][i] - r_spec[g][b][sfb][i];
            l_spec[g][b][sfb][i] = l_spec[g][b][sfb][i] +
                r_spec[g][b][sfb][i];
            r_spec[g][b][sfb][i] = tmp;
        }
    }
}

```

Please note that `ms_used[][]` is also used in the context of intensity stereo coding and perceptual noise substitution. If intensity stereo coding or noise substitution is on for a particular scalefactor band, no M/S stereo decoding is carried out.

#### 4.6.8.1.4 Integration of the M/S stereo tool for the audio object type AAC scalable

The same MS mask is applied to all layers. If subsequent layers specify an increasing `max_sfb`, `ms_mask_present` and `ms_used[][]` are transmitted for the additional scale factor bands and groups only (see Table 4.54 - Syntax of `ms_data()`).

### 4.6.8.2 Intensity Stereo (IS)

#### 4.6.8.2.1 Tool description

This tool is used to implement joint intensity stereo coding between both channels of a channel pair. Thus, both channel outputs are derived from a single set of spectral coefficients after the inverse quantization process. This is done selectively on a scalefactor band basis when intensity stereo is flagged as active.

#### 4.6.8.2.2 Definitions

<code>hcod_sf[]</code>	Huffman codeword from the Huffman code table used for coding of scalefactors (see subclause 4.6.3.2)
<code>dpcm_is_position[][]</code>	Differentially encoded intensity stereo position
<code>is_position[group][sfb]</code>	Intensity stereo position for each group and scalefactor band
<code>l_spec[]</code>	Array containing the left channel spectrum of the respective channel pair
<code>r_spec[]</code>	Array containing the right channel spectrum of the respective channel pair

#### 4.6.8.2.3 Decoding process

The use of intensity stereo coding is signaled by the use of the pseudo codebooks INTENSITY\_HCB and INTENSITY\_HCB2 (15 and 14) only in the right channel of a `channel_pair_element()` having a common `ics_info()` (**common\_window** == 1). INTENSITY\_HCB and INTENSITY\_HCB2 signal in-phase and out-of-phase intensity stereo coding, respectively.

In addition, in case of a non-scalable GA decoder the phase relationship of the intensity stereo coding can be reversed by means of the `ms_used` field: Because M/S stereo coding and intensity stereo coding are mutually exclusive for a particular scalefactor band and group, the primary phase relationship indicated by the Huffman code tables is changed from in-phase to out-of-phase or vice versa if the corresponding `ms_used` bit is set for the respective band.

The directional information for the intensity stereo decoding is represented by an "intensity stereo position" value indicating the relation between left and right channel scaling. If intensity stereo coding is active for a particular group and scalefactor band, an intensity stereo position value is transmitted instead of the scalefactor of the right channel. Intensity positions are coded just like scalefactors, i.e. by Huffman coding of differential values with two differences:

- There is no first value that is sent as PCM. Instead, the differential decoding is started assuming the last intensity stereo position value to be zero.
- Differential decoding is done separately between scalefactors and intensity stereo positions. In other words, the scalefactor decoder ignores interposed intensity stereo position values and vice versa (see

subclause 4.6.2.3.2)

The same codebook is used for coding intensity stereo positions as for scalefactors.

Two pseudo functions are defined for use in intensity stereo decoding:

```
function is_intensity(group,sfb) {
+1   for window groups / scalefactor bands with right channel
      codebook sfb_cb[group][sfb] == INTENSITY_HCB
-1   for window groups / scalefactor bands with right channel
      codebook sfb_cb[group][sfb] == INTENSITY_HCB2
  0   otherwise
}

function invert_intensity(group,sfb) {
  1-2*ms_used[group][sfb]   if (ms_mask_present == 1) && aot != AAC scalable
+1   otherwise
}
```

The intensity stereo decoding for one channel pair is defined by the following pseudo code:

```
p = 0;
for (g = 0; g < num_window_groups; g++) {

  /* Decode intensity positions for this group */
  for (sfb = 0; sfb < max_sfb; sfb++)
    if (is_intensity(g,sfb))
      is_position[g][sfb] = p += dpcm_is_position[g][sfb];

  /* Do intensity stereo decoding */
  for (b = 0; b < window_group_length[g]; b++) {
    for (sfb = 0; sfb < max_sfb; sfb++) {
      if (is_intensity(g,sfb)) {

        scale = is_intensity(g,sfb) * invert_intensity(g,sfb) *
                0.5^(0.25*is_position[g][sfb]);
        /* Scale from left to right channel,
           do not touch left channel */
        for (i = 0; i < swb_offset[sfb+1]-swb_offset[sfb]; i++)
          r_spec[g][b][sfb][i] = scale * l_spec[g][b][sfb][i];
      }
    }
  }
}
```

In case of reversible variable length coding (RVLC) there is no last value that is sent as PCM. Instead, the backwards differential decoding is started assuming the last intensity stereo position value to be zero. The decoding of the intensity stereo positions is defined by the following pseudo code:

```
p = dpcm_is_last_position;

for (g = win-1; g >= 0; g--) {
  for (sfb = sfbmax-1; sfb >= 0; sfb--) {
    is_pos[g][sfb]=p;
    p -= dpcm_is_pos[g][sfb];
  }
}
```

#### 4.6.8.2.4 Integration with the intra channel prediction tool

For scalefactor bands coded in intensity stereo the corresponding predictors in the right channel are switched to "off" thus effectively overriding the status specified by the prediction\_used mask. The update of these predictors is done by feeding the intensity stereo decoded spectral values of the right channel as the "last quantized value"  $x_{rec}(n-1)$ . These values result from the scaling process from left to right channel as described in the pseudo code.

#### 4.6.8.2.5 Integration with the long term prediction tool

In case of a non-scalable configuration the function of Long Term Prediction does not depend on Intensity Stereo. The LTP tool shall be applied to both channels of a channel pair element after intensity stereo coding has been carried out.

#### 4.6.8.2.6 Integration of the intensity tool for the audio object type AAC scalable

If a particular scalefactor band and group is coded by intensity stereo, its contribution to the spectral components of the output signal is omitted if spectral coefficients are transmitted for this scalefactor band and group in any of the higher (enhancement) layers (that contributes to the output signal) by means of a non-intensity codebook number.

If a particular scalefactor band and group is coded by intensity stereo, and if the same scalefactor band in the next enhancement layer is also coded by intensity stereo, only the spectral components of the left channel are combined. The spectral components of the right channel are re-calculated for the enhancement layer using the intensity factor of this enhancement layer.

In a scalable configuration the simultaneous use of Intensity Stereo and LTP is not prevented in the syntax. However if both Intensity Stereo and LTP are enabled in the same scalefactor band in the first GA layer, Intensity Stereo takes precedence and no prediction is applied to this band.

In case of an AAC scalable configuration the `ms_used` field is ignored in Intensity Stereo decoded scalefactor bands but may still signal the use of M/S stereo decoding in higher (enhancement) layers.

Additional information on decoding intensity tool in a scalable bitstream payload is given in subclause 4.5.2.2.7.

#### 4.6.8.3 Coupling channel

(identical to ISO/IEC 13818-7)

##### 4.6.8.3.1 Tool description

`coupling_channel element()`'s provide two functionalities: First, coupling channels may be used to implement generalized intensity stereo coding where channel spectra can be shared across channel boundaries. Second, coupling channels may be used to dynamically perform a downmix of one sound object into the stereo image.

Note that this tool includes certain object type dependent parameters (see subclause 4.6.9).

##### 4.6.8.3.2 Definitions

<b><code>ind_sw_cce_flag</code></b>	one bit indicating whether the coupled target syntax element is an independently switched (1) or a dependently switched (0).
<b><code>num_coupled_elements</code></b>	Number of coupled target channels is equal to <code>num_coupled_elements+1</code> . The minimum value is 0 indicating 1 coupled target channel.
<b><code>cc_target_is_cpe</code></b>	one bit indicating if the coupled target syntax element is a CPE (1) or a SCE (0).
<b><code>cc_target_tag_select</code></b>	four bit field specifying the <code>element_instance_tag</code> of the coupled target syntax element.
<b><code>cc_l</code></b>	one bit indicating that a list of <code>gain_element</code> values is applied to the left channel of a channel pair.
<b><code>cc_r</code></b>	one bit indicating that a list of <code>gain_element</code> values is applied to the right channel of a channel pair).
<b><code>cc_domain</code></b>	one bit indicating whether the coupling is performed before (0) or after (1) the TNS decoding of the coupled target channels
<b><code>gain_element_sign</code></b>	one bit indicating if the transmitted <code>gain_element</code> values contain information about in-phase / out-of-phase coupling (1) or not (0)
<b><code>gain_element_scale</code></b>	determines the amplitude resolution <code>cc_scale</code> of the scaling operation according to Table 4.154.

<b>common_gain_element_present[c]</b>	one bit indicating whether Huffman coded common_gain_element values are transmitted (1) or whether Huffman coded differential gain_elements are sent (0)
<i>dpcm_gain_element[][]</i>	Differentially encoded gain element
<i>gain_element[group][sfb]</i>	Gain element for each group and scalefactor band
<i>common_gain_element[]</i>	Gain element that is used for all window groups and scalefactor bands of one coupling target channel
<i>spectrum_m(idx, domain)</i>	Pointer to the spectral data associated with the single_channel_element() with index idx. Depending on the value of "domain", the spectral coefficients before (0) or after (1) TNS decoding are pointed to.
<i>spectrum_l(idx, domain)</i>	Pointer to the spectral data associated with the left channel of the channel_pair_element() with index idx. Depending on the value of "domain", the spectral coefficients before (0) or after (1) TNS decoding are pointed to.
<i>spectrum_r(idx, domain)</i>	Pointer to the spectral data associated with the right channel of the channel_pair_element() with index idx. Depending on the value of "domain", the spectral coefficients before (0) or after (1) TNS decoding are pointed to.

**4.6.8.3.3 Decoding process**

The coupling channel is based on an embedded single\_channel\_element() which is combined with some dedicated fields to accommodate its special purpose.

The coupled target syntax elements (SCEs or CPEs) are addressed using two syntax elements. First, the cc\_target\_is\_cpe field selects whether a SCE or CPE is addressed. Second, a cc\_target\_tag\_select field selects the instance\_tag of the SCE/CPE.

The scaling operation involved in channel coupling is defined by gain\_element values which describe the applicable gain factor and sign. In accordance with the coding procedures for scalefactors and intensity stereo positions, gain\_element values are differentially encoded using the Huffman table for scalefactors. Similarly, the decoded gain factors for coupling relate to window groups of spectral coefficients.

Independently switched CCEs vs. dependently switched CCEs

There are two kinds of CCEs. They are „independently switched“ and „dependently switched“ CCEs. An independently switched CCE is a CCE in which the window state (i.e. window\_sequence and window\_shape) of the CCE does not have to match that of any of the SCE or CPE channels that the CCE is coupled onto (target channels). This has several important implications:

- First, it is required that an independently switched CCE must only use the common\_gain element, not a list of gain\_elements.
- Second, the independently switched CCE must be decoded all the way to the time domain (i.e. including the synthesis filterbank) before it is scaled and added onto the various SCE and CPE channels that it is coupled to in the case that window state does not match.

A dependently switched CCE, on the other hand, must have a window state that matches all of the target SCE and CPE channels that it is coupled onto as determined by the list of cc\_l and cc\_r elements. In this case, the CCE only needs to be decoded as far as the frequency domain and then scaled as directed by the gain list before it is added to the target SCE or CPE channels.

The following pseudo code in function decode\_coupling\_channel() defines the decoding operation for a dependently switched coupling channel element. First the spectral coefficients of the embedded single\_channel\_element() are decoded into an internal buffer. Since the gain elements for the first coupled target (list\_index == 0) are not transmitted, all gain\_element values associated with this target are assumed to be 0, i.e. the coupling channel is added to the coupled target channel in its natural scaling. Otherwise the spectral coefficients are scaled and added to the coefficients of the coupled target channels using the appropriate list of gain\_element values.

An independently switched CCE is decoded like a dependently switched CCE having only common\_gain\_elements. However, the resulting scaled spectrum is transformed back into its time representation and then coupled in the time domain.

Please note that the gain\_element lists may be shared between the left and the right channel of a target channel pair element. This is signalled by both cc\_l and cc\_r being zero as indicated in the table below:

**Table 4.153 – Shared gain\_element lists**

cc_l, cc_r	shared gain list present	left gain list present	right gain list present
0, 0	yes	no	no
0, 1	no	no	yes
1, 0	no	yes	no
1, 1	no	yes	yes

```

decode_coupling_channel()
{
    /*
    first:
    decode spectral coefficients of embedded single_channel_element
    into buffer "cc_spectrum[]"
    (no pseudo code is given for this task)

    second:
    Couple spectral coefficients onto target channels
    (according to the following pseudo code)
    */
    list_index = 0;
    for (c = 0; c < num_coupled_elements+1; c++) {
        if (!cc_target_is_cpe[c]) {
            couple_channel( cc_spectrum,
                           spectrum_m( cc_target_tag_select[c], cc_domain ),
                           list_index++ );
        }
        if (cc_target_is_cpe[c]) {
            if (!cc_l[c] && !cc_r[c]) {
                couple_channel( cc_spectrum,
                               spectrum_l( cc_target_tag_select[c], cc_domain ),
                               list_index );
                couple_channel( cc_spectrum,
                               spectrum_r( cc_target_tag_select[c], cc_domain ),
                               list_index++ );
            }
            if (cc_l[c]) {
                couple_channel( cc_spectrum,
                               spectrum_l( cc_target_tag_select[c], cc_domain ),
                               list_index++ );
            }
            if (cc_r[c]) {
                couple_channel( cc_spectrum,
                               spectrum_r( cc_target_tag_select[c], cc_domain ),
                               list_index++ );
            }
        }
    }
}

```

```

couple_channel(source_spectrum[], dest_spectrum[], gain_list_index)
{
    idx = gain_list_index;
    a = 0;
    cc_scale = cc_scale_table[gain_element_scale];
    for (g = 0; g < num_window_groups; g++) {

        /* Decode coupling gain elements for this group */
        if (common_gain_element_present[idx]) {

```



Note: the Coupling Channel Tool can only be applied to AudioObjectTypes AAC Main, LC, SSR, and LTP.

## 4.6.9 Temporal noise shaping (TNS)

(similar to ISO/IEC 13818-7)

### 4.6.9.1 Tool description

Temporal Noise Shaping is used to control the temporal shape of the quantization noise within each window of the transform. This is done by applying a filtering process to parts of the spectral data of each channel.

### 4.6.9.2 Definitions

<b>n_filt[w]</b>	number of noise shaping filters used for window w
<b>coef_res[w]</b>	token indicating the resolution of the transmitted filter coefficients for window w, switching between a resolution of 3 bits (0) and 4 bits (1)
<b>length[w][filt]</b>	length of the region to which one filter is applied in window w (in units of scalefactor bands)
<b>order[w][filt]</b>	order of one noise shaping filter applied to window w
<b>direction[w][filt]</b>	1 bit indicating whether the filter is applied in upward (0) or downward (1) direction
<b>coef_compress[w][filt]</b>	1 bit indicating whether the most significant bit of the coefficients of the noise shaping filter filt in window w are omitted from transmission (1) or not (0)
<b>coef[w][filt][i]</b>	coefficients of one noise shaping filter applied to window w
<b>spec[w][k]</b>	Array containing the spectrum for the window w of the channel being processed

Depending on the window\_sequence the size of the following data elements is switched for each transform window according to its window size:

**Table 4.155 – Size of data elements**

Name	Window with 128 spectral lines	Other window size
'n_filt'	1	2
'length'	4	6
'order'	3	5

### 4.6.9.3 Decoding process

The decoding process for Temporal Noise Shaping is carried out separately on each window of the current frame by applying all-pole filtering to selected regions of the spectral coefficients (see function `tns_decode_frame`). The number of noise shaping filters applied to each window is specified by "n\_filt". The target range of spectral coefficients is defined in units of scalefactor bands counting down "length" bands from the top band (or the bottom of the previous noise shaping band).

First the transmitted filter coefficients have to be decoded, i.e. conversion to signed numbers, inverse quantization, conversion to LPC coefficients as described in function `tns_decode_coef`. Then the all-pole filters are applied to the target frequency regions of the channel's spectral coefficients (see function `tns_ar_filter`). The token "direction" is used to determine the direction the filter is slid across the coefficients (0=upward, 1=downward). The constant `TNS_MAX_BANDS` defines the maximum number of scalefactor bands to which Temporal Noise Shaping is applied. The maximum possible filter order is defined by the constant `TNS_MAX_ORDER`. Both constants are object type dependent parameters.

The decoding process for one channel can be described as follows pseudo code:

```
/* TNS decoding for one channel and frame */
tns_decode_frame()
{
    for (w = 0; w < num_windows; w++) {
```

```

bottom = num_swb;
for (f = 0; f < n_filt[w]; f++) {

    top = bottom;
    bottom = max( top - length[w][f], 0 );
    tns_order = min( order[w][f], TNS_MAX_ORDER );
    if (!tns_order) continue;

    tns_decode_coef( tns_order, coef_res[w]+3, coef_compress[w][f],
                    coef[w][f], lpc[] );

    start = swb_offset[min(bottom,TNS_MAX_BANDS,max_sfb)];
    end = swb_offset[min(top,TNS_MAX_BANDS,max_sfb)];
    if ((size = end - start) <= 0) continue;

    if (direction[w][f]) {
        inc = -1; start = end - 1;
    } else {
        inc = 1;
    }

    tns_ar_filter( &spec[w][start], size, inc, lpc[], tns_order );

}

}

}

/* Decoder transmitted coefficients for one TNS filter */
tns_decode_coef( order, coef_res_bits, coef_compress, coef[], a[] )
{

    /* Some internal tables */
    sgn_mask[] = { 0x2, 0x4, 0x8 };
    neg_mask[] = { ~0x3, ~0x7, ~0xf };

                                /* size used for transmission */
    coef_res2 = coef_res_bits - coef_compress;
    s_mask = sgn_mask[ coef_res2 - 2 ]; /* mask for sign bit */
    n_mask = neg_mask[ coef_res2 - 2 ]; /* mask for padding neg. values */

    /* Conversion to signed integer */
    for (i = 0; i < order; i++)
        tmp[i] = (coef[i] & s_mask) ? (coef[i] | n_mask) : coef[i];

    /* Inverse quantization */
    iqfac = ((1 << (coef_res_bits-1)) - 0.5) / (pi/2.0);
    iqfac_m = ((1 << (coef_res_bits-1)) + 0.5) / (pi/2.0);
    for (i = 0; i < order; i++) {
        tmp2[i] = sin( tmp[i] / ((tmp[i] >= 0) ? iqfac : iqfac_m) );
    }
}

/* Conversion to LPC coefficients */
a[0] = 1;
for (m = 1; m <= order; m++) {
    for (i = 1; i < m; i++) { /* loop only while i<m */
        b[i] = a[i] + tmp2[m-1] * a[m-i];
    }
    for (i = 1; i < m; i++) { /* loop only while i<m */
        a[i] = b[i];
    }
    a[m] = tmp2[m-1]; /* changed */
}

tns_ar_filter( spectrum[], size, inc, lpc[], order )
{
    - Simple all-pole filter of order "order" defined by

```

```

y(n) = x(n) - lpc[1]*y(n-1) - ... - lpc[order]*y(n-order)
- The state variables of the filter are initialized to zero every time
- The output data is written over the input data ("in-place operation")
- An input vector of "size" samples is processed and the index increment
  to the next data sample is given by "inc"
}

```

Please note that this pseudo code uses a „C“-style interpretation of arrays and vectors, i.e. if `coef[w][filt][i]` describes the coefficients for all windows and filters, `coef[w][filt]` is a pointer to the coefficients of one particular window and filter. Also, the identifier `coef` is used as a formal parameter in function `tns_decode_coef()`.

#### 4.6.9.4 Maximum TNS order and bandwidth

The value for the constant `MAX_TNS_ORDER` depends on audio object type and windowing, and. Table 4.156 defines `MAX_TNS_ORDER` depending on these parameters.

**Table 4.156 – Definition of TNS\_MAX\_ORDER depending on AOT and windowing**

	windowing	
	short windows	long windows
AOT 1 (AAC Main)	7	20
other AOT using TNS	7	12

According to the sampling rate and audio object type in use, the value for the constant `TNS_MAX_BANDS` is set as follows:

**Table 4.157 – Definition of TNS\_MAX\_BANDS depending on AOT, windowing and sampling rate**

Sampling Rate [Hz]	AudioObject types without PQF filterbank (long windows)	AudioObject types without PQF filterbank (short windows)	AudioObject types with PQF filterbank (long windows)	AudioObject types with PQF filterbank (short windows)
96000	31	9	28	7
88200	31	9	28	7
64000	34	10	27	7
48000	40	14	26	6
44100	42	14	26	6
32000	51	14	26	6
24000	46	14	29	7
22050	46	14	29	7
16000	42	14	23	8
12000	42	14	23	8
11025	42	14	23	8
8000	39	14	19	7

#### 4.6.9.5 TNS in the scalable coder

For the first mono layer, and for both channels of the first stereo layer a `tns_data_present` bit is available which activates the usage of TNS for a certain channel. The TNS filter information, however, is not necessarily transmitted along with the enable bit. Table 4.158 lists the source channel from which the TNS filter information for a specific output channel must be taken.

In all TwinVQ-mono/AAC-mono and TwinVQ-stereo/AAC-stereo configurations either the first TwinVQ layer uses TNS (`tns_data_present == 1` in `tvq_main_header()`, no `tns_data_present` bit in ASME), or the ASME (`tns_data_present == 0` in `tvq_main_header()`, `tns_data_present` bit in ASME), but not both at the same time..

In all TwinVQ-mono/AAC-stereo configurations the first TwinVQ layer carries the `tns_data_present` bit and two `tns_data_present` bits occur in the first AAC stereo layer (one for each audio output channel).

In all TwinVQ-mono/AAC-mono/AAC-stereo configurations either the first TwinVQ layer uses TNS and therefore the `tns_data_present` bit and `tns_data()` occurs in the `tvq_main_header()`, or the first AAC mono-layer and in both cases two `tns_data_present` bits in the first AAC stereo layer.

**Table 4.158 – TNS filter information source channel information depending on `tns_data_present` in the scalable mono / stereo coder**

<code>tns_data_present</code> M-Channel	<code>tns_data_present</code> L-Channel	<code>tns_data_present</code> R-Channel	TNS Info M Source Chan.	TNS Info L Source Chan.	TNS Info R Source Chan.
0	0	0	-	-	-
1	0	0	M	M	M
0	1	1	-	L	R
0	1	0	-	L	-
0	0	1	-	-	R
1	0	1	M	M	R/M
1	1	0	M	L/M	M
1	1	1	M	L/M	R/M

The L/M and R/M TNS entries describe a serial layout of two TNS filters (See also the block diagrams in subclause 4.5.2.2). Additionally, the following rules apply in this case:

- The execution of the M-Filter must stop at the scale factor band, which is denoted by the `max_sfb` parameter of the highest mono-layer.
- The M-filter is not calculated if the lower boundary of the L-, or R-Filter, respectively, is lower than `max_sfb` of the highest mono layer. This allows to override the M-Filter for the lower frequency bands, if desired.

With this definition each spectral line is still filtered once at most. Therefore, the overall complexity of this combined filter is the same as the complexity of the usual single filter

If TNS is used in a scalable coder with a core coder, the TNS encoder filter of the first mono AAC layer have to be applied to the output of the MDCT which is employed to generate the spectrum of the core coder. These encoder filters use the LPC coefficients already decoded for the corresponding TNS decoder filters. The filters are slid across the specified target frequency range exactly the way described for the decoder filter. The difference between decoder and encoder filtering is that each all-pole (auto-regressive) decoder filter used for TNS decoding is replaced by its inverse (all-zero, moving average) filter.

If TNS is used in a scalable coder with a TwinVQ coder, and if the TwinVQ layer does not use TNS, the TNS encoder filter of the first mono AAC layer have to be applied to the output of the TwinVQ decoder. These encoder filters use the LPC coefficients already decoded for the corresponding TNS decoder filters. The filters are slid across the specified target frequency range exactly the way described for the decoder filter. The difference between decoder and encoder filtering is that each all-pole (auto-regressive) decoder filter used for TNS decoding is replaced by its inverse (all-zero, moving average) filter.

The filter equation is :

$$y[n] = x[n] + lpc[1] * x[n-1] + ... + lpc[order] * x[n-order]$$

The number of filters, filtering direction etc. is controlled exactly like in the decoding process.

**4.6.9.5.1 Mono base + Stereo AAC without any AAC mono layer**

If TNS is used in a scalable coder with a core coder, the TNS encoder filter of either the left or the right channel (depending on the `tns_channel_mono_layer` flag) of the first AAC stereo layer has to be applied to the output of the core coder.

If TNS is used in a scalable coder with a TwinVQ coder, and if the TwinVQ layer does not use TNS, the TNS encoder filter of either the left or the right channel (depending on the `tns_channel_mono_layer` flag) of the first AAC stereo layer have to be applied to the output of the TwinVQ decoder.

## 4.6.10 Spectrum normalization

### 4.6.10.1 Tool description

In the TwinVQ decoder, spectral de-normalization is used in combination with inverse vector quantization of the MDCT coefficients, whose reproduction has globally flat shape. Using this tool, the spectral envelope is regenerated by decoding gain, Bark-scale envelope and an envelope specified with LPC parameters. Bark-scale envelope is reconstructed using a vector quantization decoder. LPC coefficients are quantized in LSP domain by means of 2-stage split vector quantization with moving average interframe prediction. Decoded LSP coefficients are directly used for generating an amplitude spectrum (square root of the power spectral envelope).

In a long MDCT block size mode, periodic peak components are optionally added to the flattened MDCT coefficients for the low rate coder.

### 4.6.10.2 Definitions

<i>a</i>	MA prediction coefficients used for LSP quantization
<i>alfq</i> [][]	Predictive coefficients for Bark-envelope
<i>AMP_MAX</i>	maximum value of mu-law quantizer for global gain
<i>AMP_NM</i>	normalization factor for global gain
<i>BASF_STEP</i>	step size of base frequency quantizer for periodic peak components coding
<i>bfreq</i> []	base frequency of periodic peak components
<i>blim_h</i> []	bandwidth control factor (higher part)
<i>blim_l</i> []	bandwidth control factor (lower part)
<i>BLIM_STEP_H</i>	number of steps of bandwidth control quantization (higher part)
<i>BLIM_STEP_L</i>	number of steps of bandwidth control quantization (lower part)
<i>CUT_M_H</i>	minimum bandwidth ratio (higher part)
<i>CUT_M_L</i>	maximum bandwidth ratio (lower part)
<i>cv_env</i> [][]	code vectors of envelope codebook
<i>env</i> [][][]	Bark-scale envelope projected onto Bark-scale frequency axis
<b>fb_shift</b> [][]	Syntax element indicating the active frequency band of the adaptive bandwidth control.
<i>FW_ALF_STEP</i>	MA prediction coefficient for quantization of Bark-scale envelope
<i>FW_CB_LEN</i>	length of code vector of Bark-scale envelope codebook
<i>FW_N_DIV</i>	number of interleaved division of Bark-scale envelope vector quantization
<i>gain_p</i> [][]	gain factors of the periodic peak components
<i>gain</i> [][]	gain factors of MDCT coefficients
<i>global_gain</i> []	global gain of MDCT coefficients normalized by AMP_NM
<b>index_blim_h</b> []	syntax element indicating higher part bandwidth control
<b>index_blim_l</b> []	syntax element indicating lower part of bandwidth control
<b>index_env</b> [][][]	syntax elements indicating Bark-scale envelope elements
<b>index_fw_alf</b> []	syntax element indicating the MA prediction switch of Bark-scale envelope quantization
<b>index_gain</b> [][]	syntax elements indicating global gain of MDCT coefficients
<b>index_gain_sb</b> [][][]	syntax elements indicating subblock gain of MDCT coefficients

<b>index_isp0</b> [][]	syntax elements indicating MA prediction coefficients used for LSP quantization
<b>index_isp1</b> []	syntax element indicating the first-stage LSP quantization
<b>index_isp2</b> [][]	syntax element indicating the second-stage LSP quantization
<b>index_pgain</b> []	syntax elements indicating gain of periodic peak components
<b>index_pit</b> [][]	syntax elements indicating base frequency of periodic peak components
<b>index_shape0_p</b> []	syntax element indicating peak elements quantization index for shape vector of conjugate channel 0
<b>index_shape1_p</b> []	periodic peak elements quantization index for shape vector of conjugate channel 1
<i>isp</i> []	split point table for second-stage LSP quantization
<i>lengthp</i> []	lengths of code vectors for periodic peak components quantization
<i>lnenv</i> [][]	Bark-scale envelope projected onto linear-scale frequency axis
LOWER_BOUNDARY[][]	lower boundary of active frequency band used in scalable layers
<i>lpenv</i> [][]	LPC spectral envelope
<i>lsp</i> [][]	LPC coefficients which range is set from zero to $\pi$ .
<i>lyr</i>	indicates enhancement layer number. Number 0 is assigned for the base layer.
<i>LSP_SPLIT</i>	number of splits of 2nd-stage vector quantization for LSP coding
<i>MU</i>	mu factor for mu-law quantization for gain
<i>N_CRB</i>	number of subbands for Bark-scale envelope coding
<i>N_DIV_P</i>	number of interleave division for periodic peak components coding
<i>N_FR</i>	number of samples in a subframe
<i>N_FR_P</i>	number of elements of periodic peak components
<i>N_SF</i>	number of subframe in a frame. The value is set in subclause 4.5.2.5.2.
<i>p_cv_env</i> [][]	Bark-scale envelope vector reconstructed in the previous frame
<i>pit</i> []	periodic peak components
<i>pit_seq</i> [][][]	periodic peak components projected into linear scale
<i>PIT_CB_SIZE</i>	size of codebook for periodic peak components quantization
<i>PGAIN_MAX</i>	maximum value of mu-law quantizer for gain of periodic peak components
<i>PGAIN_MU</i>	mu factor for mu-law quantization for periodic peak components
<i>PGAIN_STEP</i>	step size of mu-law quantizer for gain of periodic peak components
<i>pol0_p</i>	polarity of conjugate channel 0 for periodic peak components quantization
<i>pol1_p</i>	polarity of conjugate channel 1 for periodic peak components quantization
<i>pit_cv0</i> []	econstructed shape of conjugate channel 0 for periodic peak components quantization (Elements are given in the first 64 rows of Table 4.A.30.)

<i>pit_cv1</i> []	reconstructed shape of conjugate channel 1 for periodic peak components quantization (Elements are given in the last 64 rows of Table 4.A.30)
<i>STEP</i>	step size of mu-law quantizer for global gain
<i>SUB_AMP_MAX</i>	maximum amplitude of mu-law quantizer for subframe gain ratio
<i>SUB_AMP_NM</i>	normalization factor for subframe gain ratio
<i>SUB_STEP</i>	step size of mu-law quantizer for subframe gain
<i>subg_ratio</i> []	subframe gain ratio
<i>UPPER_BOUNDARY</i> [][]	upper boundary of active frequency band used in scalable layers
<i>v</i> []	LSP coefficients
<i>v1</i> []	reconstructed vector from 1st-stage VQ for LSP coding
<i>v2</i> []	reconstructed vector from 2nd-stage VQ for LSP coding
<i>x_flat</i> []	normalized MDCT coefficients (input)
<i>spec</i> [][][]	de-normalized MDCT coefficients (output)

#### 4.6.10.3 Decoding process

The decoding process consists of five parts: gain decoding, Bark-scale envelope decoding, periodic peak components decoding, LPC spectrum decoding, and inverse normalization (see Figure 4.32).

##### 4.6.10.3.1 Initializations

Before starting any process, prediction memories *p\_cv\_env*[][] and  $\alpha_i^{(j)}$  are cleared.

##### 4.6.10.3.2 Gain decoding

In the first step of gain decoding, the global gain is decoded using mu-law inverse quantizer described as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    g_temp = index_gain * STEP + STEP / 2;
    global_gain =
        (AMP_MAX * (exp10(g_temp * log10(1.+MU) / AMP_MAX) - 1) / MU) / AMP_NM;
}
```

Next, subband gain ratios are decoded using mu-law inverse quantizer described as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    if (N_SF > 1) {
        for (isf = 0; isf < N_SF; isf++) {
            g_temp = index_gain_sb[i_ch][isf+1] * SUB_STEP + SUB_STEP/2.;
            subg_ratio[isf] =
                (SUB_AMP_MAX * (exp10(g_temp * log10(1.+MU) / SUB_AMP_MAX) - 1) / MU)
                / SUB_AMP_NM;
        }
    }
    else {
        subg_ratio[i_ch][0] = 1;
    }
}
```

Finally, gain factors are reconstructed as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    for (isf = 0; isf < N_SF; isf++) {
        gain[i_ch][isf] = global_gain[i_ch] * subg_ratio[i_ch][isf] / SUB_AMP_NM;
    }
}
```

#### 4.6.10.3.3 Decoding of periodic peak components

Periodic peak components are optionally added to the input coefficients. The periodic peak components are coded using vector quantization. This process is active when the parameter **ppc\_present** is set to TRUE. Otherwise, all the elements of output array, `pit_seq[]` are set to zero and the process is skipped.

```
MAXBIT_P = 6
PIT_CB_SIZE = (1 << MAXBIT_P)
```

##### 4.6.10.3.3.1 Decoding of polarity

```
for (idiv = 0; idiv < N_DIV_P; idiv++) {
    pol0[idiv] = 2*(index_shape0_p[idiv] / PIT_CB_SIZE) - 1;
    pol1[idiv] = 2*(index_shape1_p[idiv] / PIT_CB_SIZE) - 1;
}
```

##### 4.6.10.3.3.2 Decoding of shape code

```
for (idiv = 0; idiv < N_DIV_P; idiv++) {
    index0[idiv] = index_shape0_p[idiv] % PIT_CB_SIZE;
    index1[idiv] = index_shape1_p[idiv] % PIT_CB_SIZE;
}
```

#### Decoding gain of periodic peak components

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    temp = index_pgain[i_ch] * PGAIN_STEP + PGAIN_STEP / 2;
    gain_p[i_ch] =
        (PGAIN_MAX*(exp10(temp*log10(1.+PGAIN_MU)/PGAIN_MAX)-1)/PGAIN_MU)/AMP_NM;
}
```

##### 4.6.10.3.3.3 Reconstruction of periodic peak components

There are two steps of procedures. First the lengths of code vectors for periodic peak components, `lengthp[]`, are calculated. Then, the periodic peak components `pit[]` are calculated.

```
for (idiv = 0; idiv < N_DIV_P; idiv++) {
    lengthp[idiv] = (N_FR_P*N_CH+N_DIV_P-1-idiv) / N_DIV_P;
}

for (idiv = 0; idiv < N_DIV_P; idiv++) {
    if (N_CH == 1) {
        for (icv = 0; icv < lengthp[idiv]; icv++) {
            ismp = idiv + icv * N_DIV_P;
            pit[ismp] = (pol0[idiv]*pit_cv0[index0[idiv]][icv]] +
                pol1[idiv]*pit_cv1[index1[idiv]][icv]) / 2;
        }
    }
    else {
        for (icv = 0; icv < lengthp[idiv]-1; icv++) {
            ismp = ((icv+idiv)%N_DIV_P)+ icv * N_DIV_P;
            ismp = ismp/2+(ismp%2)*20;
            pit[ismp] = (pol0[idiv]*pit_cv0[index0[idiv]][icv]] +
                pol1[idiv]*pit_cv1[index1[idiv]][icv]) / 2;
        }
        icv = lengthp[idiv]-1;
        ismp = idiv + icv* N_DIV_P;
        ismp = ismp/2+(ismp%2)*20;
        pit[ismp] = (pol0[idiv]*pit_cv0[index0[idiv]][icv]] +
            pol1[idiv]*pit_cv1[index1[idiv]][icv]) / 2;
    }
}
```

##### 4.6.10.3.3.4 Projecting periodic peak components into linear scale

First, parameters are calculated as following:

```
fcmin = log2((N_FR/(double)ISAMPF)*0.2);
fcmax = log2((N_FR/(double)ISAMPF)*2.4);
if (ISAMPF == 8) bandwidth = 1.5;
else if (ISAMPF >= 11) bandwidth = 2.0;
```

```

else if (ISAMPF >= 22) bandwidth = 4.0;
if (bandwidth < 1./UPPER_BOUNDARY[0][0] ) bandwidth = 1./UPPER_BOUNDARY[0][0];

```

where *f<sub>min</sub>* is the minimum frequency to be quantization expressed in log scale, *f<sub>max</sub>* is the maximum and ISAMPF is an integer sampling frequency in [kHz] truncated from the standard frequency values listed in the right column of Table 4.82.

Next, the base frequency of the periodic peak components is decoded according to the following procedure:

```

for (i_ch = 0; i_ch < N_CH; i_ch++) {
    pow_i = (int)(pow( 1.009792f, (float)index_pit[i_sup] ) *4096.+0.5);
    bl_i = (int)((float)block_size_samples/(float)isampf * 0.2*1024+0.5);
    pitch_i = pow_i *bl_i /256.;
    bfreq[I_ch] = pitch_i/16384.;
}

```

Before projecting the periodic peak components into linear scale, all the elements of target array *pit\_seq*[][] is set to zero:

```

for (i_ch = 0; i_ch < N_CH; i_ch++) {
    for (ismp = 0; ismp < N_FR; ismp++) {
        pit_seq[i_ch][ismp] = 0.;
    }
}

```

Then, reconstructed periodic peak components are projected to linear-scale as follows:

```

for (i_ch = 0; i_ch < N_CH; i_ch++) {
    if (bandwidth * upperlimit_i < 16384) {
        tmpnp0_i = pitch_i*16384. / (upperlimit_i);
        tmpnp1_i = tmpnp0_i *N_FR_P;
        tmpnp0_i = tmpnp1_i / N_FR;
        npcount = tmpnp0_i/16384.;
    } else {
        tmpnp0_i = pitch_i * bandwidth*2;
        tmpnp1_i = tmpnp0_i *N_FR_P;
        tmpnp0_i = tmpnp1_i/N_FR;
        npcount = tmpnp0_i / 32768;
    }

    iscount=0;
    for (jj = 0; jj < npcount/2; jj++) {
        pit_seq[i_ch][jj] = pit[jj+i_ch*N_FR_P];
        iscount ++;
    }
    for (ii = 0; ii < (ntt_N_FR_P)&& (iscount<ntt_N_FR_P); ii++) {
        tmpnp0_i = pitch_i * (ii+1);
        tmpnp0_i += 8192;
        i_smp = tmpnp0_i / 16384;

        for (jj = npcount/2; jj < (npcount-1)/2+1; jj++) {
            pit_seq[i_ch][i_smp+jj] = pit[iscount+i_ch*N_FR_P];
            iscount ++;
            if (iscount >= N_FR_P) break;
        }
    }
}

```

In case of skipping the periodic peak components decoding process, all the elements of pitch component array *pit\_seq*[][] are set to zero.

#### 4.6.10.3.4 Decoding of bark-scale envelope

The Bark-scale envelope is decoded in each subframe. There are two procedure stages: inverse quantizing of the envelope vectors `env[][]` and projecting the bark-scale envelopes `env[][]` onto the linear scale envelopes `lnenv[][]`.

##### 4.6.10.3.4.1 Inverse quantization of envelope vector

The inverse quantization part is illustrated in Figure 4.29.

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
  for (isf = 0; isf < N_SF; isf++) {
    alfq[i_ch][isf] = index_fw_alf[i_ch][isf] * FW_ALF_STEP;
    for (ifdiv = 0; ifdiv < FW_N_DIV; ifdiv++) {
      for (icv = 0; icv < FW_CB_LEN; icv++) {
        ienv = FW_N_DIV * icv + ifdiv;
        dtmp = cv_env[index_env[ich][isf][ifdiv]][icv];
        env[i_ch][isf][ienv] = dtmp + alfq[i_ch][isf] * p_cv_env[i_ch][icv] + 1;
        p_cv_env[i_ch][icv] = dtmp;
      }
    }
  }
}
```

The `cv_env[][]` is the Bark-scale envelope codebook listed in Table 4.A.29.

##### 4.6.10.3.4.2 Projecting the Bark-scale envelope onto a linear scale

The envelopes `env[][][]` are expressed using the Bark scale on the frequency axis. The de-normalization procedure requires a linear-scale envelopes.

Before the projecting process, the boundary table of the bark-scale subband, `crb_tbl[]`, is determined. In case of the base layer (`lyr = 0`),

```
if (sampling_rate <= 16000)
  use the scalefactor band table of AAC for 16 kHz up to 41st value (Table 4.134)
else
  use the scalefactor band table of AAC for 24 kHz up to 41st value (Table 4.136)
```

Number of scalefactor bands is 42 for long frame size.

42nd value is the frame length of the long frame (1024 or 960).

Barkscale estimation is not used for short frames.

If `lyr >= 1`, the values of the Bark-scale subband table are calculated as follows.

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
  lower_band_i = (int)(AC_BTM[lyr][i_ch][fb_shift]*16384.);
  upper_band_i = (int)( AC_TOP[lyr][i_ch][fb_shift]*16384.);
  average_number_of_lines=
    (int)(frame_length*(upper_band_i-lower_band_i))/N_CRB;
  for (i = 0; i < N_CRB-1; i++) {
    crb_tbl[i_ch][i] = (int)((i+1)*(i+1)* average_number_of_lines/
N_CRB/2.0)
    crb_tbl[i_ch][i] += (i+1)* average_number_of_lines/2.0 +8192;
    crb_tbl[i_ch][i] /= 16384.
    crb_tbl[i_ch][i] += (int)(frame_length*lower_band_i)/16384.;
  }
  crb_tbl[i_ch][N_CRB-1] = (int)(frame_length*lower_band_i)/16384.
  +(int)(frame_length*(upper_band_i-lower_band_i))/16384.;
}
```

After the `crb_tbl[][]` is determined, the projecting process is done as follows:

```
for (i_ch = 0; i_ch < N_CH ; i_ch++){
  for (isf = 0; isf < N_SF; isf++){
    ismp = 0
```

```

    for (ienv = 0; ienv < N_CRB; ienv++) {
        while (ismp < crb_tbl[i_ch][ienv]) {
            lnenv[i_ch][isf][ismp] = env[i_ch][isf][ienv];
            ismp++;
        }
    }
}

```

The values of the UPPER\_BOUNDARY[][] and LOWER\_BOUNDARY[][] are defined in subclause 4.6.5.4.4.

If **postprocess\_present** is active, lnenv[i\_ch][isf][ismp] is modified as follows:

```

    If (lnenv[i_ch][isf][ismp] < 1.0) lnenv[i_ch][isf][ismp] = lnenv[i_ch][isf][ismp] *
    lnenv[i_ch][isf][ismp];

```

#### 4.6.10.3.5 Decoding of LPC spectrum

The LPC spectrum is represented by LSP coefficients. In the decoding process the LSP coefficients are reconstructed first; then they are transformed into the LPC spectrum, which represents the square root of the power spectrum.

##### 4.6.10.3.5.1 LSP coefficients decoding using the MA prediction

MA prediction coefficients are determined by referring to the coefficient table  $\alpha_i^{(j)}$ . The rule is:

$$\alpha_i^{(j)}[i\_ch] = \alpha_i^{(j)}[i\_ch](index\_lsp0[i\_ch]) \quad \text{for } i = 1 \text{ to } N\_PR, j = 1 \text{ to } MA\_NP, i\_ch = 0 \text{ to } N\_CH - 1,$$

where  $i$  is LPC order and  $j$  is MA prediction order. The coefficient table  $\alpha_i^{(j)}$  is listed in subclause Table 4.A.4, Table 4.A.19 and Table 4.A.20 (81th and 82th row).

##### 4.6.10.3.5.2 First-stage inverse quantization of LSP decoding

$$v1_i[i\_ch] = lspcode1_i(index\_lsp1[i\_ch]) \quad \text{for } i = 1 \text{ to } N\_PR, i\_ch = 0 \text{ to } N\_CH - 1,$$

where lspcode1 is the first-stage LSP codebook listed in subclause 4.A.4, Table 4.A.19 and Table 4.A.20 (from 1st to 64th row).

##### 4.6.10.3.5.3 Second-stage inverse quantization of LSP decoding

$$v2_i[i\_ch] = lspcode2_i(index\_lsp2[i\_ch][k])$$

$$\text{for } k = 0 \text{ to } LSP\_SPLIT - 1, i = isp(k) + 1 \text{ to } isp(k + 1) - 1, i\_ch = 0 \text{ to } N\_CH - 1'$$

where lspcode2 is the second-stage LSP codebook listed in subclause 4.A.4 (from 65th to 80th row). Values of  $isp(k)$  are listed in Table 4.161.

##### 4.6.10.3.5.4 Reconstruction of LSP coefficients

LSP coefficients lsp[][] are calculated as follows:

$$\vec{v}[i\_ch] = v1[i\_ch] + v2[i\_ch], \quad \text{for } i\_ch = 0 \text{ to } N\_CH - 1$$

$$\alpha_i^{(0)}[i\_ch] = 1 - \sum_{j=1}^{MA\_NP} \alpha_i^{(j)}[i\_ch] \quad \text{for } i = 1 \text{ to } N\_PR, i\_ch = 0 \text{ to } N\_CH - 1$$

$$lsp[i\_ch][i] = \sum_{j=0}^{MA\_NP} \alpha_i^{(j)}[i\_ch] \cdot v_i^{(-j)}[i\_ch] \quad \text{for } i = 1 \text{ to } N\_PR, i\_ch = 0 \text{ to } N\_CH - 1$$

$$\vec{v}^{(j-1)}[i\_ch] = \vec{v}^{(j)}[i\_ch] \quad \text{for } j = -MA\_NP - 1 \text{ to } 0, i\_ch = 0 \text{ to } N\_CH - 1$$

#### 4.6.10.3.5.5 Transforming LSP parameters into LPC spectrum

The LPC amplitude spectrum envelope corresponding to the  $ii$ -th MDCT coefficient,  $lpenv[[ii]$ , is defined as follows:

Note that  $lpenv[[ii]$  seems to represent the power spectrum envelope, but actually represents the amplitude envelope, since the original LPC spectral envelope is derived from the square root of the power spectrum at the encoder.

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
  for (ii = 1; ii <= N_FR-1; ii++) {
    for (i = 2, P[i_ch] = 1.0; i <= N_PR; i += 2)
      P[i_ch] *= (cos(PI*ii/N_FR)-cos(lsp[i]))^2;
    for (i = 1, Q[i_ch] = 1.0; i <= N_PR; i += 2)
      Q[i_ch] *= (cos(PI*ii/N_FR)-cos(lsp[i]))^2;
    lpenv[i_ch][ii] = 1/((1-cos(PI*ii/N_FR))*P[i_ch] + (1+cos(PI*ii/N_FR))*Q[i_ch]);
  }
}
```

In the case of long frames ( $N\_FR == 1024$ , or  $960$ ),  $lpenv[[ii]$  shall be calculated only at frequency points  $ii$  that satisfy the following conditions:

```
(
  ((ii = 0 <= ii < N_FR/2) && (ii%4 == 0))
  || ((ii = 0 <= ii < N_FR/2-4) && ((ii%2 == 0) && (lpenv[[ii/4*4] >
lpenv[[ii/4*4+8] ) &&
  (lpenv[[ii/4*4+4]*1.95 > lpenv[[ii/4*4] +
lpenv[[ii/4*4+8] )))
  || ((ii = N_FR/2 <= ii < N_FR) && (ii%8 == 0))
  || ((ii = N_FR/2 <= ii < N_FR-8) && ((ii%4 == 0) && (lpenv[[ii/8*8] >
lpenv[[ii/8*8+16] ) &&
  (lpenv[[ii/8*8+8]*1.95 > lpenv[[ii/8*8] +
lpenv[[ii/8*8+16] )))
).
```

For the remaining frequency points, the  $lpenv[[ii]$  values are calculated by linear interpolation from the values already calculated at the nearest two frequency points. If frequency points are larger than  $N\_FR-8$ ,  $lpenv[[ii]$  shall be equal to  $lpenv[[N\_FR-8]$ .

If this tool is used as an element of scalable coder, LPC spectrum is squeezed into the active frequency band:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
  nfr_lu = UPPER_BOUNDARY[lyr][i_ch] - LOWER_BOUNDARY[lyr][i_ch];
  for (ismp = 0; ismp < LOWER_BOUNDARY[lyr][i_ch]; ismp++) {
    lpenv_tmp[i_ch][ismp] = 0;
  }
  upperband_i = (int)( AC_TOP[lyr][i_ch][fb_shift] * 16384.);
  lowerband_i = (int)( AC_BTM[lyr][i_ch][fb_shift] * 16384.);
  ftmp = (16384*16384)/( upperband_i - lowerband_i );
  for (ismp = 0; ismp < nfr_lu; ismp++) {
    ftmp = (int)(ismp*ftmp)/16384;
    lpenv_tmp[i_ch][ismp+LOWER_BOUNDARY[lyr][i_ch]] = lpenv[i_ch][ftmp];
  }

  for (ismp = UPPER_BOUNDARY[lyr][i_ch]; ismp < N_FR; ismp++) {
    lpenv_tmp[i_ch][ismp] = 0;
  }
  for (ismp = 0; ismp < N_FR; ismp++) {
    lpenv[i_ch][ismp] = lpenv_tmp[i_ch][ismp];
  }
}
```

The values of `UPPER_BOUNDARY`, `LOWER_BOUNDARY`, `AC_TOP` and `AC_BTM` are defined in subclause 4.6.5.4.4.

#### 4.6.10.3.6 Inverse normalization

The input coefficients `x_flat[]` are applied to inverse normalization according to the following procedure, and output coefficients `spec[][][]` are created.

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    for (isf = 0; isf < N_SF; isf++) {
        for (ismp = 0; ismp < N_FR; ismp++) {
            spec[isf][ismp] =
                (x_flat[ismp + (isf+i_ch*N_SF)*N_FR]
                 *lnenv[i_ch][isf][ismp]* gain[i_ch][isf] + p_gain[i_ch]*pit_seq[i_ch][ismp])
                 *lpenv[i_ch][ismp];
        }
    }
}
```

#### 4.6.10.3.7 Bandwidth control

This functionality is valid only if **bandlimit\_present** flag is ON.

After the inverse normalization the upper and lower bands of the output coefficients `spec[][][]` are set to zero.

In the bandwidth decoding modules the higher signal bandwidth ratio `blim_h[]` is decoded as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    blim_h[i_ch] =
        (1. - (1.-CUT_M_H) * (double)index_blim_h[i_ch]/(double)BLIM_STEP_H)
        * UPPER_BOUNDARY[0][i_ch];
}
```

The `blim_l[]` is decoded as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    if (index_blim_l[i_ch] == 1)
        blim_l[i_ch] = LOWER_BOUNDARY[0][i_ch]+CUT_M_L;
    else
        blim_l[i_ch] = 0;
}
```

In the bandwidth limitation module, higher and lower parts of MDCT coefficients are set to zero as follows:

```
for (i_ch = 0; i_ch < N_CH; i_ch++) {
    NbaseH = blim_h[i_ch] * N_FR;
    NbaseL = blim_l[i_ch] * N_FR;
    for (isf = 0; isf < N_SF; isf++) {
        for (ismp = NbaseH; ismp < N_FR; ismp++) {
            spec[i_ch][isf][ismp] = 0;
        }
        for (ismp = 0; ismp < NbaseL; ismp++) {
            spec[i_ch][isf][ismp] = 0;
        }
    }
}
```

4.6.10.4 Diagrams  
input coefficients

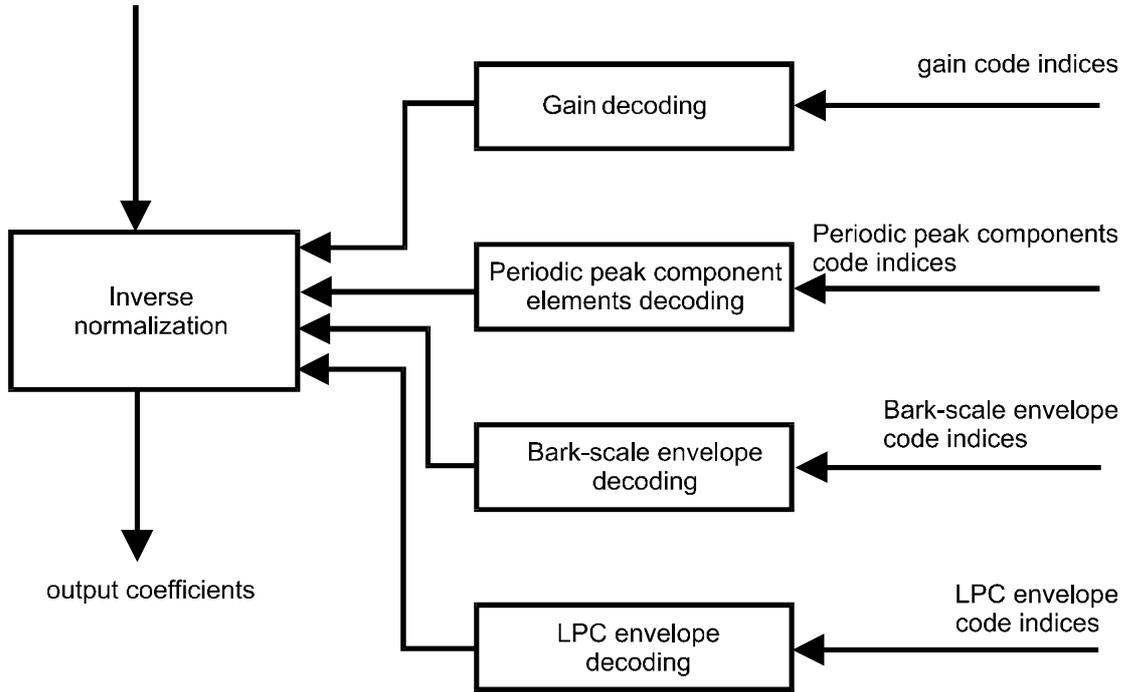


Figure 4.32 – Decoding process of the TwinVQ

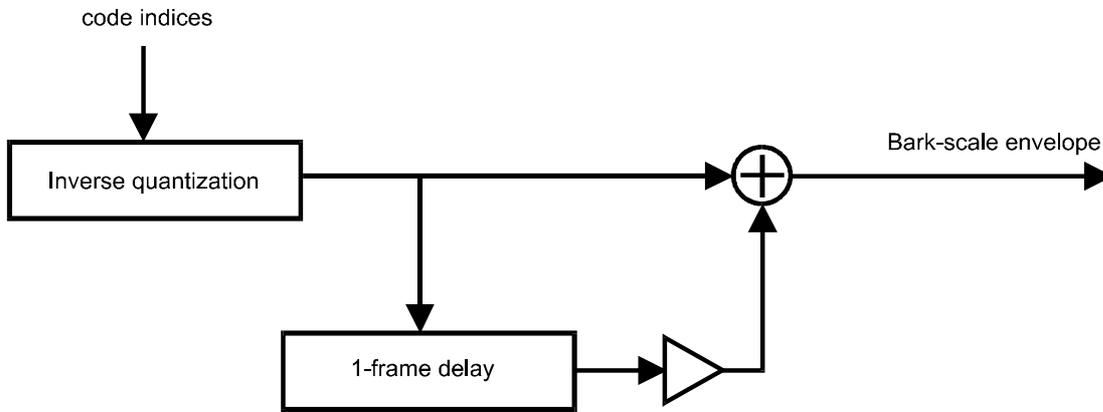


Figure 4.33 – Reconstruction process of the Bark-scale envelope

4.6.10.5 Tables

Parameters used in the inverse spectrum normalization process are set as follows. Other parameters related to the syntax are defined in subclause 4.5.2.5.3.

Table 4.159 – Parameter table for the core and core\_960 mode

Parameter	Value	Meaning
Common:		
AMP_MAX	16000	Maximum amplitude in the mu-law coding of the frame gain
AMP_NM	1024	Normalized amplitude of flattened coefficients
BLIM_BITS_H	2	Bits for higher bandwidth control

BLIM_BITS_L	1	Bits for lower bandwidth control
BLIM_STEP_H	4	Number of steps of band limitation quantization
CUT_M_H	0.7	Minimum bandwidth ratio (higher part)
CUT_M_L	0.0025	Maximum bandwidth ratio (lower part)
FW_ALF_STEP	0.5	MA prediction coefficient for the envelope coding
MA_NP	1	MA prediction order (LSP coding)
MU	100	Parameter mu in the mu-law coding of the frame power
N_PR	20	LPC order
NUM_STEP	512	Number of gain-quantization steps
STEP	$AMP\_MAX / (NUM\_STEP - 1) = 31.31$	Step width of gain-quantization
SUB_AMP_MAX	4700	Maximum of subframe-gain to frame-gain ratio
SUB_AMP_NM	1024	Normalized subframe amplitude of flattened coefficients
SUB_GAIN_BIT	4	Number of bits for the subframe gain coding
SUB_NUM_STEP	16	Number of sub-gain-quantization steps
SUB_STEP	$SUB\_AMP\_MAX / (SUB\_NUM\_STEP - 1) = 313.3$	Step width of sub-gain-quantization
PGAIN_MAX	20000	maximum value of mu-law quantizer for gain of ppc
PGAIN_MU	200	mu factor for mu-law quantization for ppc
PGAIN_STEP	$PGAIN\_MAX / (1 \ll PGAIN\_BIT)$	step size of mu-law quantizer for gain of ppc
Long block:		
FW_CB_LEN	6	Envelope code vector length
FW_N_BIT	6	Envelope code bits
FW_N_DIV	7	Number of divisions in the envelope coding
N_CRB	42	Number of bark-scale subbands
N_FR	1024/960	MDCT block size
Short block:		
N_FR	128/120	MDCT block size

Table 4.160 – Parameter table for the enhance and enhance\_960 mode

Parameter	Value	Meaning
Common:		
AMP_MAX	8000	Maximum amplitude in the mu-law coding of the frame gain
AMP_NM	1024	Normalized amplitude of flattened coefficients
FW_ALF_STEP	0.5	MA prediction coefficient for the envelope coding
MA_NP	1	MA prediction order (LSP coding)
MU	100	Parameter mu in the mu-law coding of the frame power
N_PR	20	LPC order
NUM_STEP	256	Number of gain-quantization steps
STEP	$AMP\_MAX / (NUM\_STEP - 1) = 31.37$	Step width of gain-quantization
SUB_AMP_MAX	6000	Maximum of subframe-gain to frame-gain ratio
SUB_AMP_NM	1024	Normalized subframe amplitude of flattened coefficients
SUB_GAIN_BIT	4	Number of bits for the subframe gain coding
SUB_NUM_STEP	16	Number of sub-gain-quantization steps
SUB_STEP	$SUB\_AMP\_MAX / (SUB\_NUM\_STEP - 1) = 400.0$	Step width of sub-gain-quantization
Long block:		
FW_CB_LEN	7	Envelope code vector length
FW_N_BIT	6	Envelope code bits
FW_N_DIV	7	Number of division in the envelope coding
N_CRB	42	Number of bark-scale subbands
N_FR	1024/960	MDCT block size



Window length 2048:

$$N = \begin{cases} 2048, & \text{if ONLY\_LONG\_SEQUENCE (0x0)} \\ 2048, & \text{if LONG\_START\_SEQUENCE (0x1)} \\ 256, & \text{if EIGHT\_SHORT\_SEQUENCE (0x2), (8 times)} \\ 2048, & \text{if LONG\_STOP\_SEQUENCE (0x3)} \end{cases}$$

Window length 1920:

$$N = \begin{cases} 1920, & \text{if ONLY\_LONG\_SEQUENCE (0x0)} \\ 1920, & \text{if LONG\_START\_SEQUENCE (0x1)} \\ 240, & \text{if EIGHT\_SHORT\_SEQUENCE (0x2), (8 times)} \\ 1920, & \text{if LONG\_STOP\_SEQUENCE (0x3)} \end{cases}$$

The meaningful block transitions are as follows:

from ONLY_LONG_SEQUENCE	to	{ ONLY_LONG_SEQUENCE LONG_START_SEQUENCE
from LONG_START_SEQUENCE	to	{ EIGHT_SHORT_SEQUENCE LONG_STOP_SEQUENCE
from LONG_STOP_SEQUENCE	to	{ ONLY_LONG_SEQUENCE LONG_START_SEQUENCE
from EIGHT_SHORT_SEQUENCE	to	{ EIGHT_SHORT_SEQUENCE LONG_STOP_SEQUENCE

In addition to the meaningful block transitions the following transitions are possible:

from ONLY_LONG_SEQUENCE	to	{ EIGHT_SHORT_SEQUENCE LONG_STOP_SEQUENCE
from LONG_START_SEQUENCE	to	{ ONLY_LONG_SEQUENCE LONG_START_SEQUENCE
from LONG_STOP_SEQUENCE	to	{ EIGHT_SHORT_SEQUENCE LONG_STOP_SEQUENCE
from EIGHT_SHORT_SEQUENCE	to	{ ONLY_LONG_SEQUENCE LONG_START_SEQUENCE

This will still result in a reasonably smooth transition from one block to the next.

#### 4.6.11.3.2 Windowing and block switching

Depending on the **window\_sequence** and **window\_shape** element different transform windows are used. A combination of the window halves described as follows offers all possible window\_sequences.

For **window\_shape** == 1, the window coefficients are given by the Kaiser - Bessel derived (KBD) window as follows:

$$W_{KBD\_LEFT,N}(n) = \sqrt{\frac{\sum_{p=0}^n [W'(p,\alpha)]}{\sum_{p=0}^{N/2} [W'(p,\alpha)]}} \quad \text{for } 0 \leq n < \frac{N}{2}$$

$$W_{KBD\_RIGHT,N}(n) = \sqrt{\frac{\sum_{p=0}^{N-n-1} [W'(p,\alpha)]}{\sum_{p=0}^{N/2} [W'(p,\alpha)]}} \quad \text{for } \frac{N}{2} \leq n < N$$

where

$W'$  (Kaiser-Bessel kernel window function) is defined as follows:

$$W'(n,\alpha) = \frac{I_0\left[\pi\alpha\sqrt{1.0 - \left(\frac{n - N/4}{N/4}\right)^2}\right]}{I_0[\pi\alpha]} \quad \text{for } 0 \leq n \leq \frac{N}{2}$$

$$I_0[x] = \sum_{k=0}^{\infty} \left[ \frac{\left(\frac{x}{2}\right)^k}{k!} \right]^2$$

$\alpha$  = kernel window alpha factor,  $\alpha = \begin{cases} 4 & \text{for } N = 2048 \text{ (1920)} \\ 6 & \text{for } N = 256 \text{ (240)} \end{cases}$

Otherwise, for **window\_shape** == 0, a sine window is employed as follows:

$$W_{SIN\_LEFT,N}(n) = \sin\left(\frac{\pi}{N}\left(n + \frac{1}{2}\right)\right) \quad \text{for } 0 \leq n < \frac{N}{2}$$

$$W_{SIN\_RIGHT,N}(n) = \sin\left(\frac{\pi}{N}\left(n + \frac{1}{2}\right)\right) \quad \text{for } \frac{N}{2} \leq n < N$$

The window length  $N$  can be 2048 (1920) or 256 (240) for the KBD and the sine window. How to obtain the possible window sequences is explained in the parts a)-d) of this subclause. All four window\_sequences described below have a total length of 2048 samples.

For all kinds of window\_sequences the window\_shape of the left half of the first transform window is determined by the window shape of the previous block. The following formula expresses this fact:

$$W_{LEFT,N}(n) = \begin{cases} W_{KBD\_LEFT,N}(n), & \text{if } \text{window\_shape\_previous\_block} == 1 \\ W_{SIN\_LEFT,N}(n), & \text{if } \text{window\_shape\_previous\_block} == 0 \end{cases}$$

where:

**window\_shape\_previous\_block**: **window\_shape** of the previous block (i-1).

For the first raw\_data\_block() to be decoded the **window\_shape** of the left and right half of the window are identical.

**a) ONLY\_LONG\_SEQUENCE:**

The **window\_sequence** == ONLY\_LONG\_SEQUENCE is equal to one LONG\_WINDOW with a total window length  $N_l$  of 2048 (1920).

For **window\_shape** == 1 the window for ONLY\_LONG\_SEQUENCE is given as follows:

$$W(n) = \begin{cases} W_{LEFT,N_l}(n), & \text{for } 0 \leq n < N_l / 2 \\ W_{KBD\_RIGHT,N_l}(n), & \text{for } N_l / 2 \leq n < N_l \end{cases}$$

If **window\_shape** == 0 the window for ONLY\_LONG\_SEQUENCE can be described as follows:

$$W(n) = \begin{cases} W_{LEFT,N_l}(n), & \text{for } 0 \leq n < N_l / 2 \\ W_{SIN\_RIGHT,N_l}(n), & \text{for } N_l / 2 \leq n < N_l \end{cases}$$

After windowing, the time domain values ( $z_{i,n}$ ) can be expressed as:

$$Z_{i,n} = w(n) \cdot X_{i,n};$$

**b) LONG\_START\_SEQUENCE:**

The LONG\_START\_SEQUENCE is needed to obtain a correct overlap and add for a block transition from a ONLY\_LONG\_SEQUENCE to a EIGHT\_SHORT\_SEQUENCE.

Window length  $N_l$  and  $N_s$  is set to 2048 (1920) and 256 (240) respectively.

If **window\_shape** == 1 the window for LONG\_START\_SEQUENCE is given as follows:

$$W(n) = \begin{cases} W_{LEFT,N_l}(n), & \text{for } 0 \leq n < N_l / 2 \\ 1.0, & \text{for } N_l / 2 \leq n < \frac{3N_l - N_s}{4} \\ W_{KBD\_RIGHT,N_s}(n + \frac{N_s}{2} - \frac{3N_l - N_s}{4}), & \text{for } \frac{3N_l - N_s}{4} \leq n < \frac{3N_l + N_s}{4} \\ 0.0, & \text{for } \frac{3N_l + N_s}{4} \leq n < N_l \end{cases}$$

If **window\_shape** == 0 the window for LONG\_START\_SEQUENCE looks like:

$$W(n) = \begin{cases} W_{LEFT,N_l}(n), & \text{for } 0 \leq n < N_l / 2 \\ 1.0, & \text{for } N_l / 2 \leq n < \frac{3N_l - N_s}{4} \\ W_{SIN\_RIGHT,N_s}(n + \frac{N_s}{2} - \frac{3N_l - N_s}{4}), & \text{for } \frac{3N_l - N_s}{4} \leq n < \frac{3N_l + N_s}{4} \\ 0.0, & \text{for } \frac{3N_l + N_s}{4} \leq n < N_l \end{cases}$$

The windowed time-domain values can be calculated with the formula explained in a).

**c) EIGHT\_SHORT\_SEQUENCE**

The **window\_sequence** == EIGHT\_SHORT\_SEQUENCE comprises eight overlapped and added SHORT\_WINDOWS with a length  $N_s$  of 256 (240) each. The total length of the window\_sequence together with leading and following zeros is 2048 (1920). Each of the eight short blocks are windowed separately first. The short block number is indexed with the variable  $j = 0, \dots, M-1$  ( $M=N_l/N_s$ ).

The **window\_shape** of the previous block influences the first of the eight short blocks ( $W_0(n)$ ) only. If **window\_shape** == 1 the window functions can be given as follows:

$$W_0(n) = \begin{cases} W_{LEFT,N_s}(n), & \text{for } 0 \leq n < N_s/2 \\ W_{KBD\_RIGHT,N_s}(n), & \text{for } N_s/2 \leq n < N_s \end{cases}$$

$$W_j(n) = \begin{cases} W_{KBD\_LEFT,N_s}(n), & \text{for } 0 \leq n < N_s/2 \\ W_{KBD\_RIGHT,N_s}(n), & \text{for } N_s/2 \leq n < N_s \end{cases}, 0 < j < M$$

Otherwise, if **window\_shape** == 0, the window functions can be described as:

$$W_0(n) = \begin{cases} W_{LEFT,N_s}(n), & \text{for } 0 \leq n < N_s/2 \\ W_{SIN\_RIGHT,N_s}(n), & \text{for } N_s/2 \leq n < N_s \end{cases}$$

$$W_j(n) = \begin{cases} W_{SIN\_LEFT,N_s}(n), & \text{for } 0 \leq n < N_s/2 \\ W_{SIN\_RIGHT,N_s}(n), & \text{for } N_s/2 \leq n < N_s \end{cases}, 0 < j < M$$

The overlap and add between the EIGHT\_SHORT\_SEQUENCE resulting in the windowed time domain values  $z_{i,n}$  is described as follows:

$$z_{i,n} = \begin{cases} 0, & \text{for } 0 \leq n < \frac{N_l - N_s}{4} \\ x_{0,n - \frac{N_l - N_s}{4}} \cdot W_0\left(n - \frac{N_l - N_s}{4}\right), & \text{for } \frac{N_l - N_s}{4} \leq n < \frac{N_l + N_s}{4} \\ x_{j-1,n - \frac{N_l + (2j-3)N_s}{4}} \cdot W_{j-1}\left(n - \frac{N_l + (2j-3)N_s}{4}\right) + x_{j,n - \frac{N_l + (2j-1)N_s}{4}} \cdot W_j\left(n - \frac{N_l + (2j-1)N_s}{4}\right), & \text{for } 1 \leq j < M, \frac{N_l + (2j-1)N_s}{4} \leq n < \frac{N_l + (2j+1)N_s}{4} \\ x_{M-1,n - \frac{N_l + (2M-3)N_s}{4}} \cdot W_{M-1}\left(n - \frac{N_l + (2M-3)N_s}{4}\right), & \text{for } \frac{N_l + (2M-1)N_s}{4} \leq n < \frac{N_l + (2M+1)N_s}{4} \\ 0, & \text{for } \frac{N_l + (2M+1)N_s}{4} \leq n < N_l \end{cases}$$

**d) LONG\_STOP\_SEQUENCE**

This **window\_sequence** is needed to switch from a EIGHT\_SHORT\_SEQUENCE back to a ONLY\_LONG\_SEQUENCE.

If **window\_shape** == 1 the window for LONG\_STOP\_SEQUENCE is given as follows:

$$W(n) = \begin{cases} 0.0, & \text{for } 0 \leq n < \frac{N_l - N_s}{4} \\ W_{LEFT,N_s}\left(n - \frac{N_l - N_s}{4}\right), & \text{for } \frac{N_l - N_s}{4} \leq n < \frac{N_l + N_s}{4} \\ 1.0, & \text{for } \frac{N_l + N_s}{4} \leq n < N_l / 2 \\ W_{KBD\_RIGHT,N_l}(n), & \text{for } N_l / 2 \leq n < N_l \end{cases}$$

If **window\_shape** == 0 the window for LONG\_START\_SEQUENCE is determined by:

$$W(n) = \begin{cases} 0.0, & \text{for } 0 \leq n < \frac{N_l - N_s}{4} \\ W_{LEFT, N_s}(n - \frac{N_l - N_s}{4}), & \text{for } \frac{N_l - N_s}{4} \leq n < \frac{N_l + N_s}{4} \\ 1.0, & \text{for } \frac{N_l + N_s}{4} \leq n < N_l / 2 \\ W_{SIN\_RIGHT, N_l}(n), & \text{for } N_l / 2 \leq n < N_l \end{cases}$$

The windowed time domain values can be calculated with the formula explained in a).

#### 4.6.11.3.3 Overlapping and adding with previous window sequence

Besides the overlap and add within the EIGHT\_SHORT\_SEQUENCE the first (left) half of every **window\_sequence** is overlapped and added with the second (right) half of the previous **window\_sequence** resulting in the final time domain values  $out_{i,n}$ . The mathematic expression for this operation can be described as follows. It is valid for all four possible window\_sequences.

$$out_{i,n} = z_{i,n} + z_{i-1, n + \frac{N}{2}}; \quad \text{for } 0 \leq n < \frac{N}{2}, \quad N = 2048 \text{ (1920)}$$

### 4.6.12 Gain Control

#### 4.6.12.1 Tool description

The gain control tool is made up of several gain compensators and overlap/add processing stages, and an IPQF (Inverse Polyphase Quadrature Filter) stage. This tool receives non-overlapped signal sequences provided by the IMDCT stages, window\_sequence and gain\_control\_data, and then reproduces the output PCM data. The block diagram for the gain control tool is shown in Figure 4.34.

Due to the characteristics of the PQF filterbank, the order of the MDCT coefficients in each even PQF band must be reversed. This is done by reversing the spectral order of the MDCT coefficients, i.e. exchanging the higher frequency MDCT coefficients with the lower frequency MDCT coefficients.

If the gain control tool is used, the configuration of the filter bank tool is changed as follows. In the case of an EIGHT\_SHORT\_SEQUENCE window\_sequence, the number of coefficients for the IMDCT is 32 instead of 128 and eight IMDCTs are carried out. In the case of other window\_sequence values, the number of coefficients for the IMDCT is 256 instead of 1024 and one IMDCT is performed. In all cases, the filter bank tool outputs a total of 2048 non-overlapped values per frame. These values are supplied to the gain control tool as  $U_{w,B}(j)$  defined in subclause 4.6.12.3.3.

The IPQF combines four uniform frequency bands and produces a decoded time domain output signal. The aliasing components introduced by the PQF in the encoder are cancelled by the IPQF.

The gain values for each band can be controlled independently except for the lowest frequency band. The step size of gain control is  $2^n$  where  $n$  is an integer.

The gain control tool outputs a time signal sequence, which is  $AS(n)$  defined in subclause 4.6.12.3.4.

#### 4.6.12.2 Definitions

gain control data	side information indicating the gain values and the positions used for the gain change.
IPQF band	each split band of IPQF.
<b>adjust_num</b>	3-bit field indicating the number of gain changes for each IPQF band. The maximum number of gain changes is seven
<b>max_band</b>	2-bit field indicating the number of IPQF bands in which their signal gain have been controlled. The meanings of this value are shown below: 0: no bands have activated gain control. 1: signal gain on 2nd IPQF band has been controlled.

- 2: signal gain on 2nd and 3rd IPQF bands have been controlled.
  - 3: signal gain on 2nd, 3rd and 4th IPQF bands have been controlled.
- alevcode** 4-bit field indicating the gain value for one gain change.
- alocode** 2-, 4-, or 5-bit field indicating the position for one gain change. The length of this data varies depending on the window sequence.

**4.6.12.3 Decoding process**

The following four processes are required for decoding.

- (1) Gain control data decoding
- (2) Gain control function setting
- (3) Gain control windowing and overlapping
- (4) Synthesis filter

**4.6.12.3.1 Gain control data decoding**

Gain control data are reconstructed as follows.

(1)

$$NAD_{W,B} = \mathbf{adjust\_num}[B][W]$$

(2)

$$ALOC_{W,B}(m) = \mathit{AdjLoc}(\mathbf{alocode}[B][W][m-1]), \quad 1 \leq m \leq NAD_{W,B}$$

$$ALEV_{W,B}(m) = 2^{\mathit{AdjLev}(\mathbf{alevcode}[B][W][m-1])}, \quad 1 \leq m \leq NAD_{W,B}$$

(3)

$$ALOC_{W,B}(0) = 0$$

$$ALEV_{W,B}(0) = \begin{cases} 1, & \text{if } NAD_{W,B} = 0 \\ ALEV_{W,B}(1), & \text{otherwise} \end{cases}$$

(4)

$$ALOC_{W,B}(NAD_{W,B} + 1) = \begin{cases} \left. \begin{matrix} 256, & W = 0 \\ 112, & W = 0 \\ 32, & W = 1 \end{matrix} \right\} & \text{if } \mathbf{ONLY\_LONG\_SEQUENCE} \\ \left. \begin{matrix} 32, & 0 \leq W \leq 7 \\ 112, & W = 0 \\ 256, & W = 1 \end{matrix} \right\} & \text{if } \mathbf{EIGHT\_SHORT\_SEQUENCE} \\ \left. \begin{matrix} 112, & W = 0 \\ 256, & W = 1 \end{matrix} \right\} & \text{if } \mathbf{LONG\_STOP\_SEQUENCE} \end{cases}$$

$$ALEV_{W,B}(NAD_{W,B} + 1) = 1$$

where

- $NAD_{W,B}$ : Gain Control Information Number, an integer
- $ALOC_{W,B}(m)$ : Gain Control Location, an integer
- $ALEV_{W,B}(m)$ : Gain Control Level, an integer-valued real number
- $B$ : Band ID, an integer from 1 to 3
- $W$ : Window ID, an integer from 0 to 7

$m$ : an integer

$\mathbf{alocode}[B][W][m]$  must be set so that  $\{ALOC_{W,B}(m)\}$  satisfies the following conditions.

$$ALOC_{W,B}(m_1) < ALOC_{W,B}(m_2), \quad 1 \leq m_1 < m_2 \leq NAD_{W,B} + 1$$

In cases of LONG\_START\_SEQUENCE and LONG\_STOP\_SEQUENCE, the values 14 and 15 of  $\mathbf{alocode}[B][0][m]$  are invalid.  $AdjLoc()$  is defined in Table 4.162.  $AdjLev()$  is defined in Table 4.163.

#### 4.6.12.3.2 Gain control function setting

The Gain control function is obtained as follows.

(1)

$$M_{W,B,j} = \text{Max}\{m: ALOC_{W,B}(m) \leq j\},$$

$$\begin{aligned} &0 \leq j \leq 255, \quad W = 0 \quad \text{if ONLY\_LONG\_SEQUENCE} \\ &\left. \begin{aligned} &0 \leq j \leq 111, \quad W = 0 \\ &0 \leq j \leq 31, \quad W = 1 \end{aligned} \right\} \quad \text{if LONG\_START\_SEQUENCE} \\ &0 \leq j \leq 31, \quad 0 \leq W \leq 7 \quad \text{if EIGHT\_SHORT\_SEQUENCE} \\ &\left. \begin{aligned} &0 \leq j \leq 111, \quad W = 0 \\ &0 \leq j \leq 255, \quad W = 1 \end{aligned} \right\} \quad \text{if LONG\_STOP\_SEQUENCE} \end{aligned}$$

(2)

$$FMD_{W,B}(j) = \begin{cases} \text{Inter} \left( \begin{aligned} &ALEV_{W,B}(M_{W,B,j}), \\ &ALEV_{W,B}(M_{W,B,j} + 1), \\ &j - ALOC_{W,B}(M_{W,B,j}) \end{aligned} \right), \\ \quad \text{if } ALOC_{W,B}(M_{W,B,j}) \leq j \leq ALOC_{W,B}(M_{W,B,j}) + 7 \\ ALEV_{W,B}(M_{W,B,j} + 1), \quad \text{otherwise} \end{cases}$$

(3)

if ONLY\_LONG\_SEQUENCE

$$GMF_{0,B}(j) = \begin{cases} ALEV_{0,B}(0) \times PFMD_B(j), & 0 \leq j \leq 255 \\ FMD_{0,B}(j - 256), & 256 \leq j \leq 511 \end{cases}$$

$$PFMD_B(j) = FMD_{0,B}(j), \quad 0 \leq j \leq 255$$

if LONG\_START\_SEQUENCE

$$GMF_{0,B}(j) = \begin{cases} ALEV_{0,B}(0) \times ALEV_{1,B}(0) \times PFMD_B(j), & 0 \leq j \leq 255 \\ ALEV_{1,B}(0) \times FMD_{0,B}(j - 256), & 256 \leq j \leq 367 \\ FMD_{1,B}(j - 368), & 368 \leq j \leq 399 \\ 1, & 400 \leq j \leq 511 \end{cases}$$

$$PFMD_B(j) = FMD_{1,B}(j), \quad 0 \leq j \leq 31$$

if EIGHT\_SHORT\_SEQUENCE

$$GMF_{W,B}(j) = \begin{cases} ALEV_{W,B}(0) \times PFMD_B(j), & W = 0, \quad 0 \leq j \leq 31 \\ ALEV_{W,B}(0) \times FMD_{W-1,B}(j), & 1 \leq W \leq 7, \quad 0 \leq j \leq 31 \\ FMD_{W,B}(j - 32), & 0 \leq W \leq 7, \quad 32 \leq j \leq 63 \end{cases}$$

$$PFMD_B(j) = FMD_{7,B}(j), \quad 0 \leq j \leq 31$$

if LONG\_STOP\_SEQUENCE

$$GMF_{0,B}(j) = \begin{cases} 1, & 0 \leq j \leq 111 \\ ALEV_{0,B}(0) \times ALEV_{1,B}(0) \times PFMD_B(j-112), & 112 \leq j \leq 143 \\ ALEV_{1,B}(0) \times FMD_{0,B}(j-144), & 144 \leq j \leq 255 \\ FMD_{1,B}(j-256), & 256 \leq j \leq 511 \end{cases}$$

$$PFMD_B(j) = FMD_{1,B}(j), \quad 0 \leq j \leq 255$$

(4)

$$AD_{W,B}(j) = \frac{1}{GMF_{W,B}(j)},$$

$$0 \leq j \leq 511, \quad W = 0 \quad \text{if ONLY\_LONG\_SEQUENCE}$$

$$0 \leq j \leq 511, \quad W = 0 \quad \text{if LONG\_START\_SEQUENCE}$$

$$0 \leq j \leq 63, \quad 0 \leq W \leq 7 \quad \text{if EIGHT\_SHORT\_SEQUENCE}$$

$$0 \leq j \leq 511, \quad W = 0 \quad \text{if LONG\_STOP\_SEQUENCE}$$

where

$FMD_{W,B}(j)$ : Fragment Modification Function, a real number

$PFMD_B(j)$ : Fragment Modification Function of previous frame, a real number

$GMF_{W,B}(j)$ : Gain Modification Function, a real number

$AD_{W,B}(j)$ : Gain Control Function, a real number

$ALOC_{W,B}(m)$ : Gain Control Location defined in subclause 4.6.12.3.1, an integer

$ALEV_{W,B}(m)$ : Gain Control Level defined in subclause 4.6.12.3.1, an integer-valued real number

$B$ : Band ID, an integer from 1 to 3

$W$ : Window ID, an integer from 0 to 7

$M_{W,B,j}$ : an integer

$m$ : an integer

and

$$Inter(a, b, j) = 2^{\frac{(8-j)\log_2(a) + j\log_2(b)}{8}}$$

Note that the initial value of  $PFMD_B(j)$  must be set to 1.0.

#### 4.6.12.3.3 Gain control windowing and overlapping

Band Sample Data are obtained through the processes (1) to (2) shown below.

(1) Gain Control Windowing

if  $B = 0$

$$T_{W,B}(j) = U_{W,B}(j),$$

$$0 \leq j \leq 511, \quad W = 0 \quad \text{if ONLY\_LONG\_SEQUENCE}$$

$0 \leq j \leq 511, W == 0$  if LONG\_START\_SEQUENCE

$0 \leq j \leq 63, 0 \leq W \leq 7$  if EIGHT\_SHORT\_SEQUENCE

$0 \leq j \leq 511, W == 0$  if LONG\_STOP\_SEQUENCE

else

$$T_{W,B}(j) = AD_{W,B}(j) \times U_{W,B}(j),$$

$0 \leq j \leq 511, W == 0$  if ONLY\_LONG\_SEQUENCE

$0 \leq j \leq 511, W == 0$  if LONG\_START\_SEQUENCE

$0 \leq j \leq 63, 0 \leq W \leq 7$  if EIGHT\_SHORT\_SEQUENCE

$0 \leq j \leq 511, W == 0$  if LONG\_STOP\_SEQUENCE

(2) Overlapping

if ONLY\_LONG\_SEQUENCE

$$V_B(j) = PT_B(j) + T_{0,B}(j), \quad 0 \leq j \leq 255$$

$$PT_B(j) = T_{0,B}(j + 256), \quad 0 \leq j \leq 255$$

if LONG\_START\_SEQUENCE

$$V_B(j) = PT_B(j) + T_{0,B}(j), \quad 0 \leq j \leq 255$$

$$V_B(j + 256) = T_{0,B}(j + 256), \quad 0 \leq j \leq 111$$

$$PT_B(j) = T_{0,B}(j + 368), \quad 0 \leq j \leq 31$$

if EIGHT\_SHORT\_SEQUENCE

$$V_B(j) = PT_B(j) + T_{W,B}(j), \quad W == 0, \quad 0 \leq j \leq 31$$

$$V_B(32W + j) = T_{W-1,B}(j + 32) + T_{W,B}(j), \quad 1 \leq W \leq 7, \quad 0 \leq j \leq 31$$

$$PT_B(j) = T_{W,B}(j + 32), \quad W == 7, \quad 0 \leq j \leq 31$$

if LONG\_STOP\_SEQUENCE

$$V_B(j) = PT_B(j) + T_{0,B}(j + 112), \quad 0 \leq j \leq 31$$

$$V_B(j + 32) = T_{0,B}(j + 144), \quad 0 \leq j \leq 111$$

$$PT_B(j) = T_{0,B}(j + 256), \quad 0 \leq j \leq 255$$

where

$U_{W,B}(j)$ : Band Spectrum Data, a real number

$T_{W,B}(j)$ : Gain Controlled Block Sample Data, a real number

$PT_B(j)$ : Gain Controlled Block Sample Data of previous frame, a real number

$V_B(j)$ : Band Sample Data, a real number

$AD_{W,B}(j)$ : Gain Control Function defined in subclause 4.6.12.3.2 a real number

$B$ : Band ID, an integer from 0 to 3

$W$ : Window ID, an integer from 0 to 7

$j$ : an integer

Note that the initial value of  $PT_B(j)$  must be set to 0.0.

**4.6.12.3.4 Synthesis filter**

Audio Sample Data are obtained from the following equations.

(1)

$$\tilde{V}_B(j) = \begin{cases} V_B(k), & \text{if } j = 4k, \\ 0, & \text{else} \end{cases} \quad 0 \leq B \leq 3$$

(2)

$$Q_B(j) = Q(j) \times \cos\left(\frac{(2B+1)(2j-3)\pi}{16}\right), \quad 0 \leq j \leq 95, \quad 0 \leq B \leq 3$$

(3)

$$AS(n) = \sum_{B=0}^3 \sum_{j=0}^{95} Q_B(j) \times \tilde{V}_B(n-j)$$

where

$AS(n)$ : Audio Sample Data

$V_B(n)$ : Band Sample Data defined in subclause 4.6.12.3.3, a real number

$\tilde{V}_B(j)$ : Interpolated Band Sample Data, a real number

$Q_B(j)$ : Synthesis Filter Coefficients, a real number

$Q(j)$ : Prototype Coefficients given below, a real number

$B$ : Band ID, an integer from 0 to 3

$W$ : Window ID, an integer from 0 to 7

$n$ : an integer

$j$ : an integer

$k$ : an integer

The values of  $Q(0)$  to  $Q(47)$  are shown in Table 4.164. The values of  $Q(48)$  to  $Q(95)$  are obtained from the following equation.

$$Q(j) = Q(95-j), \quad 48 \leq j \leq 95$$

4.6.12.4 Diagrams

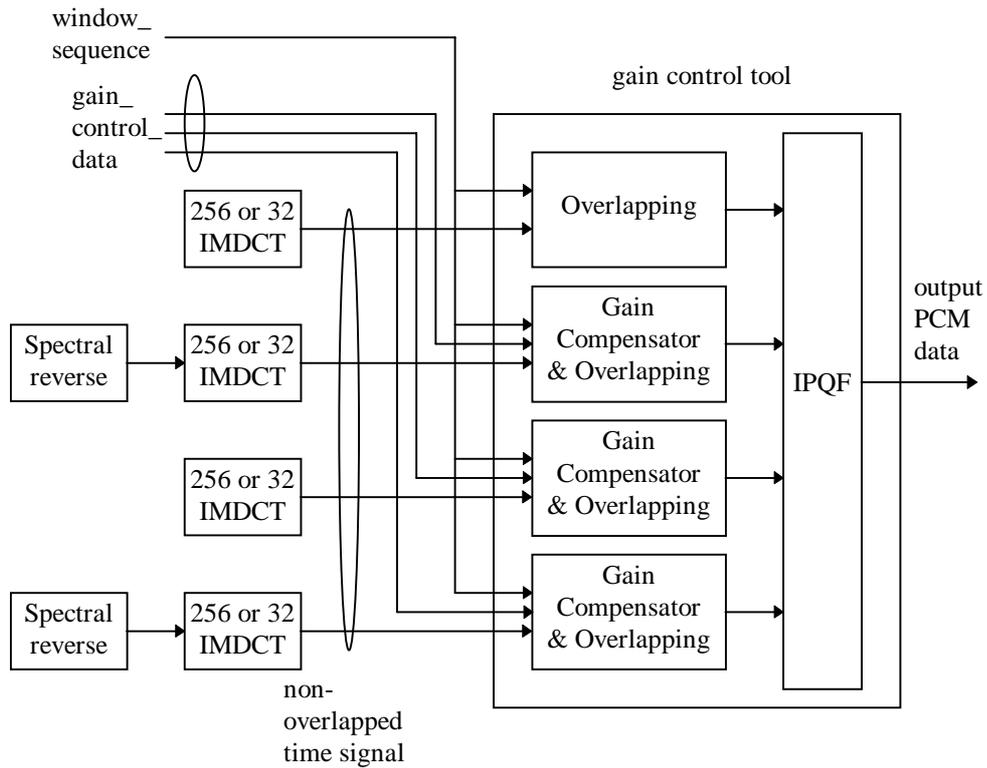


Figure 4.34 – Block diagram of gain control tool

4.6.12.5 Tables

Table 4.162 – AdjLoc()

AC	AdjLoc(AC)	AC	AdjLoc(AC)
0	0	16	128
1	8	17	136
2	16	18	144
3	24	19	152
4	32	20	160
5	40	21	168
6	48	22	176
7	56	23	184
8	64	24	192
9	72	25	200
10	80	26	208
11	88	27	216
12	96	28	224
13	104	29	232
14	112	30	240
15	120	31	248

Table 4.163 – AdjLev()

AV	AdjLev(AV)
0	-4
1	-3
2	-2
3	-1

4	0
5	1
6	2
7	3
8	4
9	5
10	6
11	7
12	8
13	9
14	10
15	11

Table 4.164 – Q()

<i>j</i>	<i>Q(j)</i>	<i>j</i>	<i>Q(j)</i>
0	9.7655291007575512E-05	24	-2.2656858741499447E-02
1	1.3809589379038567E-04	25	-6.8031113858963354E-03
2	9.8400749256623534E-05	26	1.5085400948280744E-02
3	-8.6671544782335723E-05	27	3.9750993388272739E-02
4	-4.6217998911921346E-04	28	6.2445363629436743E-02
5	-1.0211814095158174E-03	29	7.7622327748721326E-02
6	-1.6772149340010668E-03	30	7.9968338496132926E-02
7	-2.2533338951411081E-03	31	6.5615493068475583E-02
8	-2.4987888343213967E-03	32	3.3313658300882690E-02
9	-2.1390815966761882E-03	33	-1.4691563058190206E-02
10	-9.5595397454597772E-04	34	-7.2307890475334147E-02
11	1.1172111530118943E-03	35	-1.2993222541703875E-01
12	3.9091309127348584E-03	36	-1.7551641029040532E-01
13	6.9635703420118673E-03	37	-1.9626543957670528E-01
14	9.5595442159478339E-03	38	-1.8073330670215029E-01
15	1.0815766540021360E-02	39	-1.2097653136035738E-01
16	9.8770514991715300E-03	40	-1.4377370758549035E-02
17	6.1562567291327357E-03	41	1.3522730742860303E-01
18	-4.1793946063629710E-04	42	3.1737852699301633E-01
19	-9.2128743097707640E-03	43	5.1590021798482233E-01
20	-1.8830775873369020E-02	44	7.1080020379761377E-01
21	-2.7226498457701823E-02	45	8.8090632488444798E-01
22	-3.2022840857588906E-02	46	1.0068321641150089E+00
23	-3.0996332527754609E-02	47	1.0737914947736096E+00

### 4.6.13 Perceptual noise substitution (PNS)

#### 4.6.13.1 Tool description

This tool is used to implement perceptual noise substitution coding within an ICS. Thus, certain sets of spectral coefficients are derived from random vectors rather than from Huffman coded symbols and an inverse quantization process. This is done selectively on a scalefactor band and group basis when perceptual noise substitution is flagged as active.

PNS can also be utilized in conjunction with bit sliced arithmetic coding. While the bitstream payload syntax is different there, the decoding process is the same. For details, see subclause 4.6.4.4.

#### 4.6.13.2 Definitions

**hcod\_sf[]**

Huffman codeword from the Huffman code table used for coding of scalefactors (see subclause 4.6.2)

<i>dpcm_noise_nrg</i> [][]]	Differentially encoded noise energy
<i>noise_nrg</i> [group][sfb]	Noise energy for each group and scalefactor band
<i>spec</i> []]	Array containing the channel spectrum of the respective channel

#### 4.6.13.3 Decoding process

The use of the perceptual noise substitution tool is signaled by the use of the pseudo codebook NOISE\_HCB (13).

Furthermore, if the same scalefactor band and group is coded by perceptual noise substitution in both channels of a channel pair, the correlation of the noise signal can be controlled by means of the *ms\_used* field: While the default noise generation process works independently for each channel (separate generation of random vectors), the same random vector is used for both channels if *ms\_used*[] is set for a particular scalefactor band and group or *ms\_mask\_present* is set to '10'. In this case, no M/S stereo coding is carried out (because M/S stereo coding and noise substitution coding are mutually exclusive). If the same scalefactor band and group is coded by perceptual noise substitution in only one channel of a channel pair the setting of *ms\_used*[] is not evaluated.

The energy information for perceptual noise substitution decoding is represented by a "noise energy" value indicating the overall power of the substituted spectral coefficients in steps of 1.5 dB. If noise substitution coding is active for a particular group and scalefactor band, a noise energy value is transmitted instead of the scalefactor of the respective channel.

Noise energies are coded just like scalefactors, i.e. by Huffman coding of differential values:

- the start value for the DPCM decoding is given by *global\_gain*.
- Differential decoding is done separately between scalefactors, intensity stereo positions and noise energies. In

other words, the noise energy decoder ignores interposed scalefactors and intensity stereo position values and

vice versa (see subclause 4.6.2.3.2)

The same codebook is used for coding of noise energies as for scalefactors.

One pseudo function is defined for use in perceptual noise substitution decoding:

```
function is_noise(group,sfb) {
    1    for window groups / scalefactor bands with
        codebook sfb_cb[group][sfb] == NOISE_HCB
    0    otherwise
}
```

The noise substitution decoding process for one channel is defined by the following pseudo code:

```
nrg = global_gain - NOISE_OFFSET - 256;
for (g=0; g<num_window_groups; g++) {
    /* Decode noise energies for this group */
    for (sfb=0; sfb<max_sfb; sfb++) {
        if (is_noise(g,sfb)) {
            nrg += dpcm_noise_nrg[g][sfb];
            noise_nrg[g][sfb] = nrg;
        }
    }
    /* Do perceptual noise substitution decoding */
    for (b=0; b<window_group_length[g]; b++) {
        for (sfb=0; sfb<max_sfb; sfb++) {
            if (is_noise(g,sfb)) {
                size = swb_offset[sfb+1] - swb_offset[sfb];
                /* Generate random vector */
                gen_rand_vector( &spec[g][b][sfb][0], size );
                nrg=0;
                for (i=0; i<size; i++) {
                    nrg+= spec[g][b][sfb][i] * spec[g][b][sfb][i];
                }
                sqrt_nrg = sqrt (nrg);
            }
        }
    }
}
```



- layer N+1 does not use PNS in this scalefactor band
- all spectral coefficients of layer N+1 in this scalefactor band are decoded to zero. In case of M/S coding this is required for both channels of the channel pair element.
- If a particular scalefactor band and group is coded by perceptual noise substitution in both channels of a channel pair, the higher (enhancement) layers may still use the M/S stereo flag `ms_used[][]` to signal the use of M/S stereo decoding.

#### 4.6.14 Frequency selective switch (FSS) Module

The Frequency Selective Switching Unit (FSS) is used in various scalable coder configurations (see the diagrams in Figure 4.4 to Figure 4.15). It consists of a bank of switches which have the function to select one of two input signals, independently for each scale factor band (sfb). For each sfb a control bit is available which controls the selection. The form of the transmission of these control bits differs depending on the configuration in which the FSS module is used.

##### 4.6.14.1 FSS in combined TwinVQ /CELP- AAC systems

###### 4.6.14.1.1 Definitions

<code>dc_group</code>	Four consecutive scalefactor bands if the window type is not <code>SHORT_WINDOW</code> . One band of <code>diff_short_lines</code> , if the window type is <code>SHORT_WINDOW</code> .
<code>no_of_dc_groups</code>	If the window type is not <code>SHORT_WINDOW</code> , the number of groups depending on the sampling frequency is given in Table 4.131 below. If the window type is <code>SHORT_WINDOW</code> , <code>no_of_dc_groups</code> is '1'.
<code>diff_short_lines</code>	Only used, if the window type is <code>SHORT_WINDOW</code> . The number of spectral lines in the single <code>dc_group</code> per window, depending on the sampling rate, is given in Table 4.131 below.
<code>diff_control_sfb[w][sfb]</code>	Only applies, if the window type is not <code>SHORT_WINDOW</code> ; These are the decoded <code>diff_control[w][dc_group]</code> values; One control bit for each switched scale factor band is available.

###### 4.6.14.1.2 Decoding for the CELP – AAC combination

In the bitstream payload `diff_control[w][dc_group]` is used to transmit the huffman encoded values of `diff_control_sfb[w][sfb]` according to the following table:

**Table 4.165 – Huffman code table for `diff_control[w][dc_group]`**

Index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Code	0	20	21	22	23	24	25	8	9	26	27	28	29	30	31	1
Length	2	5	5	5	5	5	5	4	4	5	5	5	5	5	5	2

Table 4.112 expands the sampling frequency mapping specified in Table 4.55 for the CELP – AAC combination. In addition to the specification of the sampling frequency dependent tables, it specifies the ratio between AAC sampling frequency and core sampling frequency, the values of `no_of_dc_groups` and `diff_short_lines`. In addition to the sampling frequency ratio, the target sampling frequencies for both, the core and the AAC enhancement layers are given as a reference.

Table 4.112 – Sampling frequency mapping for the AAC CELP – AAC combinations

AAC sampling frequency range (Hz)	use tables for sampling frequenc (in kHz)	AAC target sampling frequency (kHz)	narrowband CELP				wideband CELP			
			sampling frequency ratio between AAC and core	core target sampling frequency (kHz)	no_of_dc_groups	diff_short_lines	sampling frequency ratio between AAC and core	core target sampling frequency (kHz)	no_of_dc_groups	diff_short_lines
fs_aac >= 184035	96	192					12	16	4	8
184035 > fs_aac >= 150264	96	176.4					12	14.7	4	8
150264 > fs_aac >= 110851	96	128					8	16	5	13
110851 > fs_aac >= 92017	96	96	12	8	4	8	6	16	6	18
92017 > fs_aac >= 75132	88.2	88.2	12	7.35	4	8	6	14.7	6	18
75132 > fs_aac >= 55426	64	64	8	8	5	13	4	16	6	26
55426 > fs_aac >= 46009	48	48	6	8	5	18	3	16	7	36
46009 > fs_aac >= 37566	44.1	44.1	6	7.35	5	18	3	14.7	7	36
37566 > fs_aac >= 27713	32	32	4	8	6	26	2	16	8	54
27713 > fs_aac >= 23004	24	24	3	8	8	36	1	24	9	104
23004 > fs_aac >= 18783	22.05	22.05	3	7.35	8	36	1	22.05	9	104
18783 > fs_aac >= 13856	16	16	2	8	8	54	1	16	10	104
13856 > fs_aac >= 11502	12	12	1	12	10	104				
11502 > fs_aac >= 9391	11.025	11.025	1	11.025	10	104				
9391 > fs_aac	8	8	1	8	9	104				

4.6.14.1.3 FSS for the TwinVQ – AAC combination

The same huffman encoding (Table 4.165) of the values of diff\_control\_sfb[w][sfb] which is defined for the CELP – AAC combination, is also used for combined TwinVQ – AAC systems. However, the value of no\_of\_dc\_groups is calculated from the value of max\_sfb of the last TwinVQ layer as follows:

$$\text{no\_of\_dc\_groups} = \text{int} ((\text{max\_sfb} + 3) / 4 )$$

The value for diff\_short\_lines is taken from the scalefactor band table for SHORT\_WINDOW of the corresponding sampling rate:

$$\text{diff\_short\_lines} = \text{swb\_offset} [\text{max\_sfb}]$$

#### 4.6.14.1.4 Common decoding process

##### Decoding if the window type is not SHORT\_WINDOW:

After Huffman decoding `diff_control[w][dc_group]` from the bitstream payload, the array `diff_control_sfb[w][sfb]` is generated according to:

```
if ( ! SHORT_WINDOW ) {
    dc_group = 0;
    while (dc_group < no_of_dc_groups) {
        for (i = 0; i < 4; i++) {
            diff_control_sfb[0][dc_group*4+i] = diff_control[0][dc_group] & 0x8;
            diff_control[0][dc_group] <<= 1;
        }
        dc_group++;
    }
}
```

For all scale factor bands which did not get a value assigned in `diff_control_sfb[w][sfb]` in the above procedure, `diff_control_sfb[w][sfb]` is set to '1';

Finally the switching for all scale factor bands is done according to:

```
if (diff_control_sfb[w][sfb] == 0) {
    spectrum_out[w][sfb] = spectrum_AAC[w][sfb] + spectrum_Celp/TwinVQ[w][sfb];
} else {
    spectrum_out[w][sfb] = spectrum_AAC[w][sfb];
}
```

##### Decoding if the window type is SHORT\_WINDOW:

If the window type is `SHORT_WINDOW`, there is only one band of `diff_short_lines` per window where the diff control mechanism is applied:

For the spectral lines from 0 to `diff_short_lines-1`:

```
if (diff_control_sfb[w][0] == 0) {
    spectrum_out[w] = spectrum_AAC[w] + spectrum_Celp/TwinVQ[w];
} else {
    spectrum_out[w] = spectrum_AAC[w];
}
```

For the remaining lines the output of the switch is identical to the input:

```
spectrum_out[w] = spectrum_AAC[w];
```

#### 4.6.14.2 FSS in combined mono / stereo scalable configurations

##### 4.6.14.2.1 Decoding process

In a combined mono-stereo coder, where a mono (M) signal which is derived from a stereo input is coded with one or more mono layers, and later coded with one or more stereo layers, the FSS tool is also used to control the addition of the output signal of the combined M-coding stages to the Left (L) or Right (R) channel signals of the stereo coding stages. In this case the number of processed bands in the current layer is equal to **max\_sfb** of the current layer. However, if `last_max_sfb` is larger than **max\_sfb** of the current layer, the unused **diff\_control\_lr[w][sfb]** bits are preserved for a subsequent layer. The control bits for these FSS modules are directly available from the **diff\_control\_lr[w][sfb]** syntax elements. Since the combined L+M, or R+M signals in one scale factor band (sfb) are only needed in subsequent coding stages of the current layer, if MS-coding is not selected for this specific sfb, **diff\_control\_lr[w][sfb]** is only transmitted for a sfb for which MS-coding is not selected.

##### Decoding if the window type is not SHORT\_WINDOW:

For all scale factor bands for which no value is transmitted **diff\_control\_lr[w][sfb]** is set to '1';

The switching for all scale factor bands is done according to:

```
if (diff_control_lr[w][sfb] == 0) {
```

```

    spectrum_L/R_out[w][sfb] = spectrum_L/R[w][sfb] + 2 * spectrum_M[w][sfb];
} else {
    spectrum_L/R_out[w][sfb] = spectrum_L/R[w][sfb];
}

```

Decoding if the window type is SHORT\_WINDOW:

If the window type is SHORT\_WINDOW, the value **diff\_control\_lr[win][0]** is used for all scale factor bands from 0 to last\_max\_sfb-1. For all other bands a value of '1' is used.

### 4.6.15 Upsampling filter tool

#### 4.6.15.1 Tool description

The upsampling filter tool is used to adapt the sampling rate of the (CELP) core coder to the sampling rate of the time/frequency coder. The upsampling filter uses the MDCT filterbank of the AAC encoder. This filterbank is very similar to the IMDCT filterbank, which is used in the decoder. Both use the same window functions.

The filterbank takes a block of time samples of the core coder output and inserts an appropriate number of zeroes between these samples to generate a signal at the desired higher sampling rate. These up-sampled values are then delayed by the number of samples given by the data element coreCoderDelay in the GASpecificConfig() of the first enhancement layer, and then modulated with the same window function which is used for the IMDCT of this block. The window type and the window shape from the IMDCT are used (To save RAM in the decoder it is also possible to delay the core bitstream payload by an appropriate number of core frames instead of delaying the upsampled core signal). Each block of input samples is overlapped by 50% with the immediately preceding block. The transform input block length N is set to either 2048 (1920) or 256 (240) samples depending on the value of **frameLengthFlag**.

The output of the MDCT filterbank is connected to the FSS module, which only uses the output values in the FSS-bands. Since the upper FSS band doesn't exceed half of the lower sampling rate, aliasing effects are omitted.

#### 4.6.15.2 Definitions

up-sampling-factor	ratio of T/F coder sampling rate and core coder sampling rate.
$X_{in-mdct-core}[i]$	temporal data field, which is used to hold the up-sampled input to the MDCT filterbank
$X_{out-core}[i]$	Output samples of the core decoder

#### 4.6.15.3 Decoding process

The analysis window length N for the transform is a function of the syntax element **window\_sequence** and the algorithmic context. It is derived in an identical way to the procedure described for the Filterbank and Blockswitching tool.

##### 4.6.15.3.1 Upsampling by insertion of zeroes

The input to the filterbank is generated by :

$$\begin{aligned}
 X_{in-mdct-core}[k] &= 0 && \text{for } k = [0 : N/2-1] \\
 X_{in-mdct-core}[up-sampling-factor*i] &= X_{out-core}[i] * up-sampling-factor && \text{for } i = [0 : N/2/up-sampling-factor-1]
 \end{aligned}$$

##### 4.6.15.3.2 Windowing and block switching

The adaptation of the time-frequency resolution of the filterbank is done by shifting between transforms whose input lengths are either 2048 (1920) or 256 (240) samples, synchronously to the decoder IMDCT filterbank. The selection between the 2048/256 or the 1920/240 pairs is done depending on the value of **frameLengthFlag**.

The windowed time domain values can be calculated by using exactly the same windows  $w(n)$  as defined for the IMDCT filterbank (see subclause 4.6.11).

The windowed coefficients are calculated by

$$z_{i,n} = w(n) \cdot x'_{in-mdct-core}(n);$$

#### 4.6.15.3.3 MDCT

The windowed coefficients are transformed into the frequency domain with an MDCT.

The MDCT spectral coefficient,  $X_{i,k}$ , are defined as follows:

$$X_{i,k} = 2 \cdot \sum_{n=0}^{N-1} z_{i,n} \cos\left(\frac{2\pi}{N}(n+n_0)\left(k+\frac{1}{2}\right)\right) \text{ for } 0 \leq k < N/2.$$

where:

$z_{in}$  = windowed input sequence

$n$  = sample index

$k$  = spectral coefficient index

$i$  = block index

$N$  = window length of the one transform window based on the window\_sequence value

$n_0$  =  $(N/2 + 1)/2$

Only the output values from 0 to  $N/2/\text{up-sampling-factor}-1$  can be used without aliasing distortions. This is ensured by a subsequently following FSS module.

### 4.6.16 Tools for AAC error resilience

#### 4.6.16.1 Virtual codebooks for AAC section data

##### 4.6.16.1.1 Tool description

Virtual codebooks are used to limit the largest absolute value permitted within a certain scale factor band where escape values are allowed, i. e. where codebook 11 is used originally. This tool allows 17 different codebook indices (11, 16...31) for the escape codebook. All these codebook indices refer to codebook 11. They are therefore called virtual codebooks. The difference between these codebook indices is the allowed maximum of spectral values belonging to the appropriate section. Due to this, errors within spectral data resulting in too large spectral values can be located and the according spectral lines can be concealed.

##### 4.6.16.1.2 Decoding process

See subclause 4.5.2.3.2.

#### 4.6.16.2 RVLC for AAC scalefactors

##### 4.6.16.2.1 Tool description

RVLC (reversible variable length coding) is used instead of Huffman coding to achieve entropy coding of the scalefactors, because of its better performance in terms of error resilience. It can be considered to be a plug-in of the noiseless coding tool defined in 4.6.3 which allows decoding error resilient encoded scalefactor data.

RVLC enables additional backward decoding. Some error detection is possible in addition because not all nodes of the coding tree are used as codewords. The error resilience performance of the RVLC is as better as smaller the number of codewords. Therefore the RVLC table contains only values from -7 to +7, whereas the original Huffman codebook contains values from -60 to +60. A decoded value of  $\pm 7$  is used as ESC\_FLAG. It signals that an escape value exists, that has to be added to +7 or subtracted from -7 in order to find the actual scalefactor value. This escape value is Huffman encoded.

It is necessary to transmit an additional value in order to have a starting point for backward decoding for the DPCM encoded scalefactors. This value is called reversible global gain. If intensity stereo coding or PNS is used, additional values are also necessary for them. The length of the RVLC bitstream payload part has to be

transmitted to allow backward decoding. Furthermore the length of the bitstream payload part containing the escape codewords should be transmitted to keep synchronization in case of bit errors.

#### 4.6.16.2.2 Definitions

The following data elements are available within the bitstream payload, if the GASpecificConfig() enables the RVLC tool.

<b>sf_concealment:</b>	is a data field that indicates the similarity between scale factors of the last frame and those of the current one. It shall be set to '0', if the scale factors of the last frame are dissimilar to those of the current frame. It shall set to '1', if they are similar. Note: This data field is not required to decode error-free payload, but might be used to apply appropriate concealment strategies in case of corrupted scale factor data. No similarity criterion is specified since concealment is out of the scope of this standard.
<b>rev_global_gain</b>	contains the last scalefactor value as a start value for the backward decoding. The length of this data field is 8 bits.
<b>length_of_rvlc_sf</b>	is a data field that contains the length of the current RVLC data part in bits, including the DPCM start value for PNS. The length of this data field depends on window_sequence: If window_sequence == EIGHT_SHORT_SEQUENCE, the field consists of 11 bits, otherwise it consists of 9 bits.
<b>rvlc_cod_sf</b>	RVLC word from the RVLC table used for coding of scalefactors, intensity positions or noise energy.
<b>sf_escapes_present</b>	is a data field that signals whether there are escapes coded in the bitstream payload or not. The length of this data is 1 bit.
<b>length_of_rvlc_escapes</b>	is a data field that contains the length of the current RVLC escape data part in bits. The length of this data is 8 bits.
<b>rvlc_esc_sf</b>	Huffman codeword from the Huffman table for RVLC-ESC-values used for coding values larger than $\pm 6$ .
<b>dpcm_is_last_position</b>	DPCM value allowing backward decoding of intensity stereo data part. It is the symmetric value to dpcm_is_position.
<b>dpcm_noise_last_position</b>	DPCM value allowing backward decoding of PNS data part. The length of this data is 9 bit. It is the symmetric value to dpcm_noise_nrg.

#### 4.6.16.2.3 Decoding process

See subclause 4.6.2.3.2.

## 4.6.16.2.4 Tables

Table 4.166 – RVLC codebook

index	length	codeword
-7	7	65
-6	9	257
-5	8	129
-4	6	33
-3	5	17
-2	4	9
-1	3	5
0	1	0
1	3	7
2	5	27
3	6	51
4	7	107
5	8	195
6	9	427
7	7	99

Table 4.167 – Asymmetric (forbidden) codewords

length	codeword
6	50
7	96
9	256
8	194
7	98
6	52
9	426
8	212

Table 4.168 – Huffman codebook for RVLC escape values

index	length	codeword
0	2	2
1	2	0
2	3	6
3	3	2
4	4	14
5	5	31
6	5	15
7	5	13
8	6	61
9	6	29
10	6	25
11	6	24
12	7	120
13	7	56
14	8	242
15	8	114
16	9	486
17	9	230
18	10	974
19	10	463
20	11	1950

index	length	codeword
27	20	473482
28	20	473483
29	20	473484
30	20	473485
31	20	473486
32	20	473487
33	20	473488
34	20	473489
35	20	473490
36	20	473491
37	20	473492
38	20	473493
39	20	473494
40	20	473495
41	20	473496
42	20	473497
43	20	473498
44	20	473499
45	20	473500
46	20	473501
47	20	473502

21	11	1951	48	20	473503
22	11	925	49	19	236736
23	12	1848	50	19	236737
24	14	7399	51	19	236738
25	13	3698	52	19	236739
26	15	14797	53	19	236740

**4.6.16.3 Huffman codeword reordering (HCR) for AAC spectral data**

**4.6.16.3.1 Tool description**

The Huffman codeword reordering (HCR) algorithm for AAC spectral data is based on the fact that some of the codewords can be placed at known positions so that these codewords can be decoded independent of any error within other codewords. Therefore, this algorithm avoids error propagation to those codewords, the so-called priority codewords (PCW). To achieve this, segments of known length are defined and those codewords are placed at the beginning of these segments.

The remaining codewords (non-priority codewords, non-PCW) are filled into the gaps left by the PCWs using a special algorithm that minimizes error propagation to the non-PCWs codewords.

This reordering algorithm does not increase the size of spectral data.

Before applying the reordering algorithm itself, a pre-sorting process is applied to the codewords. It sorts all codewords depending on their importance, i. e. it determines the PCWs.

**4.6.16.3.2 Definitions**

The following data elements are available within the bitstream payload, if the GASpecificConfig() enables the HCR tool.

**length\_of\_reordered\_spectral\_data** is a 14-bit data field that contains the length of spectral data in bits. The maximum value is 6144 in case of a single\_channel\_element(), a coupling\_channel\_element() and a lfe\_channel\_element() and 12288 in case of a channel\_pair\_element(). Larger values are reserved for future use. If those values occur, current decoders have to replace them by the valid maximum value.

**length\_of\_longest\_codeword** is a 6-bit data field that contains the length of the longest codeword available within the current spectral data in bits. This field is used to decrease the distance between protected codewords. Valid values are between 0 and 49. Values between 50 and 63 are reserved for future use. If those values occur, current decoders have to replace them by 49.

**4.6.16.3.3 Bitstream payload structure**

**4.6.16.3.3.1 Pre-sorting**

Subclause 4.5.2.3.5 is not valid if this tool is used. Instead, the procedure described in the following paragraphs has to be applied:

For explanation of the pre-sorting steps the term “unit” is introduced. A unit covers four spectral lines, i. e. two two-dimensional codewords or one four-dimensional codeword. In case of two two-dimensional codewords their natural order is kept, i. e. the higher frequency codeword follows the lower frequency codeword.

In case of one long window (1024 spectral lines per long block, one long block per frame), each window contains 256 units.

In case of eight short windows (128 spectral lines per short block, eight short blocks per frame), each window contains 32 units.

First pre-sorting step:

Units representing the same part of the spectrum are collected together in temporal order and denoted as unit group. In case of one long window, each unit group contains one unit. In case of eight short windows, each unit group contains eight units.

Unit groups are collected ascending in spectral direction. For one long window, that gives the original codeword order, but for eight short windows a unit based window interleaving has been applied.

Using this scheme, the codewords representing the lowest frequencies are the first codewords within spectral data for both, long and short blocks.

Table 4.169 shows an example output of the first pre-sorting step for short blocks, assuming two-dimensional codebooks for window 0, 1, 6, and 7 and four-dimensional codebooks for window 2, 3, 4, and 5.

Second pre-sorting step:

The more energy a spectral line contains, the more audible is its distortion. The energy within spectral lines is related to the used codebook. Codebooks with low numbers can represent only low values and allow only small errors, while codebooks with high numbers can represent high values and allow large errors.

Therefore, the codewords are pre-sorted depending on the used codebook. If the error resilient section data is used, the order is 11, 31, 30, 29, 28, 27, 26, 25, 24, 23, 22, 21, 20, 19, 18, 17, 16, 9/10, 7/8, 5/6, 3/4, 1/2. If the normal section data is used, the order is 11, 9/10, 7/8, 5/6, 3/4, 1/2. This order is based on the largest absolute value of the tables. This second pre-sorting step is done on the described unit by unit base used in the first pre-sorting step. The output of the first pre-sorting step is scanned in a consecutive way for each codebook.

Assigning numbers to units according the following metric can perform these two pre-sorting steps:

```
codbookPriority[32] =
{x, 21, 21, 20, 20, 19, 19, 18, 18, 17, 17, 0, x, x, x, x, 16, 15, 14, 13, 12, 11, 10, 9, 8, 7, 6, 5, 4, 3, 2, 1}

assignedUnitNr = ( codbookPriority[cb] * maxNrOfLinesInWindow
                  + nrOfFirstLineInUnit ) * MaxNrOfWindows + window
```

with:

codebookPriority[cb]	codebook priority according the second pre-sorting step.
maxNrOfLinesInWindow	constant number: f in case of one long window and 128 in case of eight short windows
nrOfFirstLineInUnit	a number between 0 and 1020 in case of one long window and between 0 and 124 in case of eight short windows (this number is always a multiple of four)
maxNrOfWindows	constant number: 1 in case of one long window and 8 in case of eight short windows
window	always 0 in case of one long window, a number between 0 and 7 in case of eight short windows and sort the units in ascending order using these assigned unit numbers.

Encoder note: In order to reduce audible artifacts in case of errors within spectral data it is strongly recommended to use codebook 11 only if necessary!

#### 4.6.16.3.3.2 Segment width and segment instantiation

The segment widths depend on the Huffman codebook used. They are derived as the minimums of the (codebook dependent) maximum codeword length and the transmitted longest codeword length:

```
segmentWidth = min (maxCwLen, length_of_longest_codeword)
```

Table 4.170 shows the values of maxCwLen depending on the Huffman codebook.

Segments are instantiated until the available buffer is exhausted, whereas the size of this buffer is given by the data element length\_of\_reordered\_spectral\_data. The remaining bits at the end of the buffer increase the size of the last segment.

#### 4.6.16.3.3.3 Order of Huffman codewords in spectral data

Figure 4.35 shows the general scheme of the segmentation and the arrangement of the PCWs. In this Figure 4.35, five segments can be provided to protect codewords from section 0 and section 1 against error propagation. Segment widths are different, because the length of the longest possible codeword depends on the current codebook.

The writing scheme for the non-PCWs is as follows (PCWs have been written already):

The proposed scheme introduces the term set. A set contains a certain number of codewords. Given N is the number of segments; all sets except the last one contain N codewords. Non-PCWs are written consecutively into these sets. Due to the pre-sorting algorithm set one contains the most important non-PCWs. The importance of the codewords stored within a set is the smaller the higher the set number.

Sets are written consecutively. If one set was written completely, writing of the next set starts. To improve the error propagation behavior between consecutive sets, the writing direction within segments changes from set to set. While PCWs are written from left to right, codewords of set one are written from right to left, codewords of set two are again written from left to right and so on. Writing into a remaining part of a segment always starts at the outermost position of the remaining part of that segment (leftmost position for write direction from left to right and rightmost position for write direction from right to left).

Writing of a set might need several trials.

First trial: The first codeword of the current set is written into the remaining part of the first segment, the second codeword into the remaining part of the second segment and so on. The last codeword of the current set is written into the remaining part of the last segment.

Second trial: The remaining part of the first codeword (if any) is written into the remaining part of the second segment, the remaining part of the second codeword (if any) into the remaining part of the third segment and so on. The remaining part of the last codeword (if any) is written into the remaining part of the first segment (modulo shift).

If a codeword does not fit into the remaining part of a segment, it is only partly written and its remaining part is stored. At least after a maximum of N trials all codewords are completely written into segments.

#### 4.6.16.3.3.4 Encoding process

The structure of the reordered spectral data cannot be described within the C like syntax commonly used. Therefore, Figure 4.36 shows an example and the following c-like description is provided:

```

/* helper functions */
void InitReordering(void);
    /* Initializes variables used by the reordering functions like the segment
       widths and the used offsets in segments and codewords. */

void InitRemainingBitsInCodeword(void);
    /* Initializes remainingBitsInCodeword[] array for each codeword with
       the total size of the codeword. */

int WriteCodewordToSegment(codewordNr, segmentNr, direction);
    /* Writes a codeword or only a part of a codeword indexed by codewordNr
       to the segment indexed by segmentNr with a given direction.
       Write offsets for each segment are handled internally.
       The function returns the number of bits written to the segment.
       This number may be lower than the codeword length.
       WriteCodewordToSegment handles already written parts of the codeword
       internally. */

void ToggleWriteDirection(void);
    /* Toggles the write direction in the segments between forward and backward. */

/* (input) variables */
numberOfCodewords; /* 15 in the example */
numberOfSegments; /* 6 in the example */
numberOfSets; /* 3 in the example */

ReorderSpectralData()
{
    InitReordering();

```

```

InitRemainingBitsInCodeword();

/* first step: write PCWs (set 0) */
writeDirection = forward;
for (codeword = 0; codeword < numberOfSegments; codeword++) {
    WriteCodewordToSegment(codeword, codeword, writeDirection);
}

/* second step: write nonPCWs */
for (set = 1; set < numberOfSets; set++) {
    ToggleWriteDirection();
    for (trial = 0; trial < numberOfSegments; trial++) {
        for (codewordBase = 0; codewordBase < numberOfSegments; codewordBase++) {
            segment = (trial + codewordBase) % numberOfSegments;
            codeword = codewordBase + set*numberOfSegments;

            if (remainingBitsInCodeword[codeword] > 0) {
                remainingBitsInCodeword[codeword] -= WriteCodewordToSegment(codeword,
                                                                              segment,
                                                                              writeDirection);
            }
        }
    }
}

```

#### 4.6.16.3.4 Decoding process

See subclauses 4.5.2.3.2 and 4.6.3.3.

#### 4.6.16.3.5 Tables

**Table 4.169 – Example output of the first pre-sorting step for short blocks, assuming two-dimensional codebooks for window 0, 1, 6, and 7 and four-dimensional codebooks for window 2, 3, 4, and 5**

index	codeword entry	
	window	window index
0	0	0
1	0	1
2	1	0
3	1	1
4	2	0
5	3	0
6	4	0
7	5	0
8	6	0
9	6	1
10	7	0
11	7	1
12	0	2
13	0	3
14	1	2
15	1	3
16	2	1
17	3	1
18	4	1
19	5	1
20	6	2
21	6	3
22	7	2

23	7	3
...	...	...

**Table 4.170 – Values of maxCwLen depending on the Huffman codebook**

codebook	maximum codeword length (maxCwLen)
0	0
1	11
2	9
3	20
4	16
5	13
6	11
7	14
8	12
9	17
10	14
11	49
16	14
17	17
18	21
19	21
20	25
21	25
22	29
23	29
24	29
25	29
26	33
27	33
28	33
29	37
30	37
31	41

4.6.16.3.6 Figures

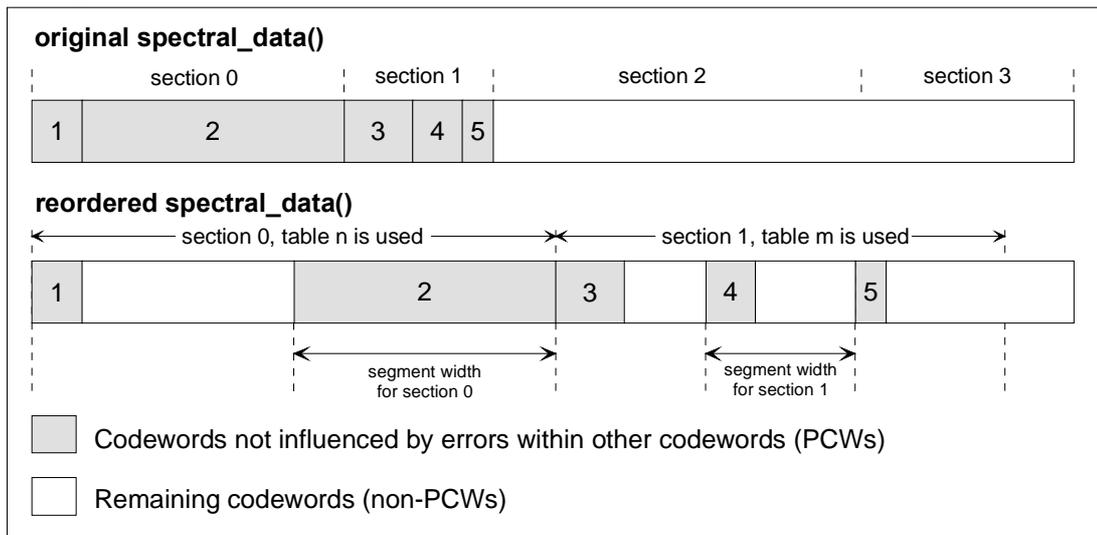


Figure 4.35 – General scheme of segmentation and arrangement of PCWs

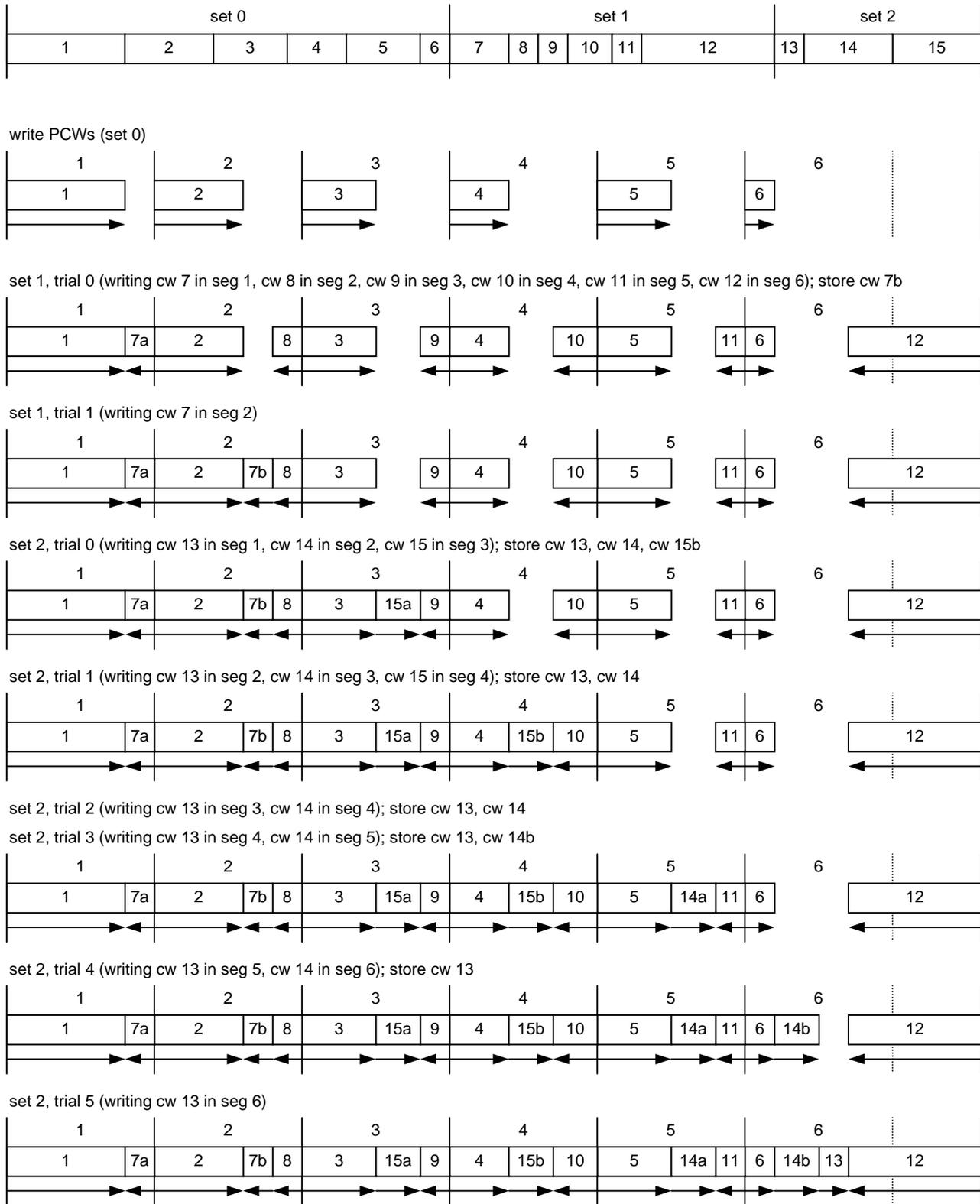


Figure 4.36 – Example for HCR encoding algorithm (only one segment width, pre-sorting has been done before)

## 4.6.17 Low delay codec

### 4.6.17.1 Introduction

The low delay coding functionality provides the ability to extend the usage of generic low bitrate audio coding to applications requiring a very low delay of the encoding / decoding chain (e.g. full-duplex real-time communications).

This subclause specifies a low delay audio coder providing a mode with an algorithmic delay not exceeding 20 ms.

The overall algorithmic delay of a general audio coder is determined by the following factors:

- **Frame length**  
For block-based processing, a certain amount of time has to pass to collect the samples belonging to one block
- **Filterbank delay**  
Use of an analysis-synthesis filterbank pair causes a certain amount of delay.
- **Look-ahead for block switching decision**  
Due to the underlying principles of the block switching scheme, the detection of transients has to use a certain amount of “look-ahead” in order to ensure that all transient signal parts are covered properly by short windows.
- **Use of bit reservoir**  
While the bit reservoir facilitates the use of a locally varying bitrate, this implies an additional delay depending on the size of the bit reservoir relative to the average bitrate per block.

The overall algorithmic delay can be calculated as

$$t_{\text{delay}} = \frac{N_{\text{Frame}} + N_{\text{FB}} + N_{\text{look\_ahead}} + N_{\text{bitres}}}{F_s}$$

where  $F_s$  is the coder sampling rate,  $N_{\text{Frame}}$  is the frame size,  $N_{\text{FB}}$  is the delay due to the filterbank (s),  $N_{\text{look\_ahead}}$  corresponds to the look-ahead delay for block switching and  $N_{\text{bitres}}$  is the delay due to the use of the bit reservoir.

The low delay codec is derived from the AAC LTP audio object type, i.e. a coder consisting of the low complexity AAC codec plus the PNS (Perceptual Noise Substitution) and the LTP (Long Term Predictor) tools. Figure 4.37 shows the overall structure of the decoder.

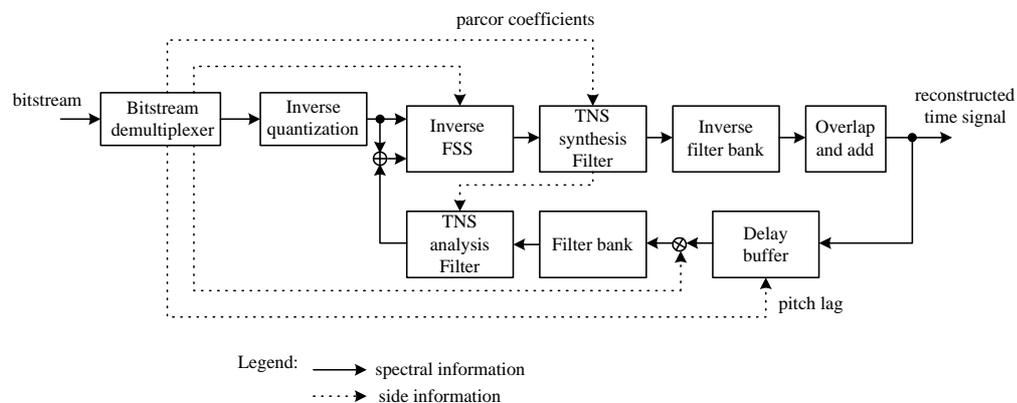


Figure 4.37 – Decoder structure

Figure 4.38 shows the overall structure of the encoder.

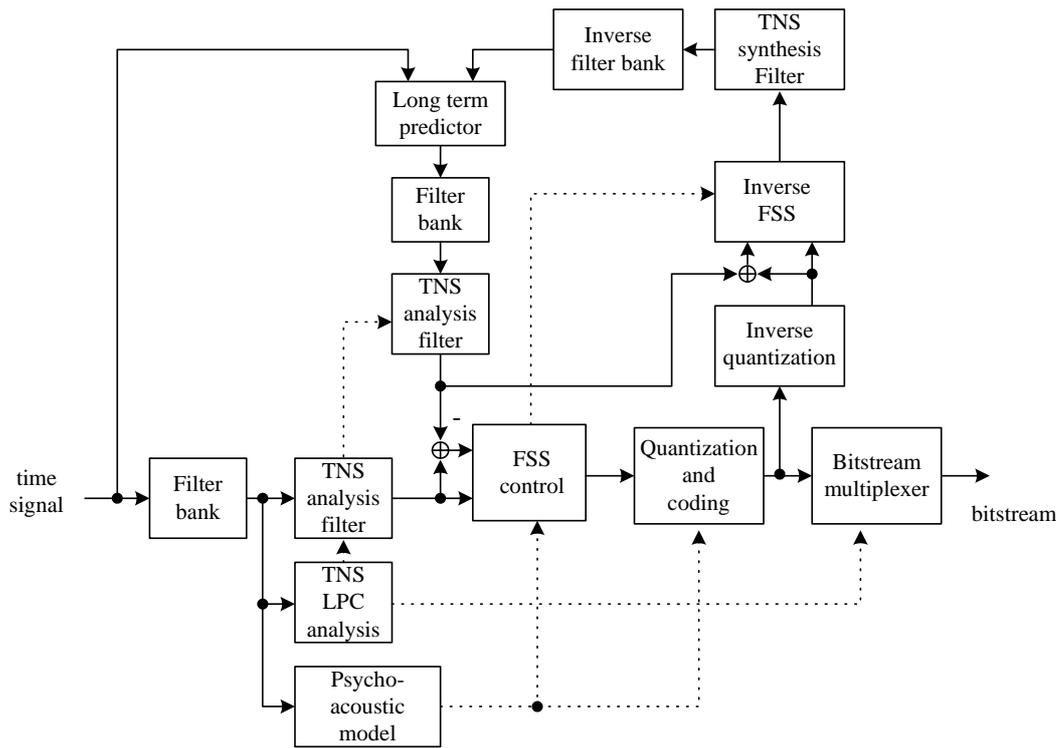


Figure 4.38 – Encoder structure

**4.6.17.2 Coder description**

The low delay codec is defined by the following modifications with respect to the standard algorithm (i.e. AAC LTP audio object type) to achieve low delay operation:

**4.6.17.2.1 Frame size/window length**

The length of the analysis window is reduced to 1024 or 960 time domain samples corresponding to 512 and 480 spectral values, respectively. The latter choice enables the coder to have a frame size that is commensurate with widely used speech codecs (20 ms). The corresponding scalefactor band tables are given in subclause 4.5.4.

**4.6.17.2.2 Block switching**

Due to the contribution of the look-ahead time to the overall delay, no block switching is used.

**4.6.17.2.3 Window shape**

As stated in the previous chapter, block switching is not used in the low delay coder to keep the delay as low as possible. As an alternative tool to improve coding of transient signals, the low delay coder uses the window shape switching feature with a slight modification compared to normal-delay AAC: The low delay coder still uses the sine window shape, but the Kaiser-Bessel derived window is replaced by a low-overlap window. As indicated by its name, this window has a rather low overlap with the following window, thus being optimized for the use of the TNS tool to prevent preecho artefacts in case of transient signals. For normal coding of non-transient signals the sine window is used because of its advantageous frequency response.

In line with normal-delay AAC, the window\_shape indicates the shape of the trailing part (i.e. the second half) of the analysis window. The shape of the leading part (i.e. the first half) of the analysis window is identical to the window\_shape of the last block.

Table 4.171 – window depending on window\_shape

window_shape	window
0x0	sine
0x1	low-overlap

The low-overlap window is defined by:

$$W(i) = \begin{cases} 0 & i = 0..3 \cdot N/16 - 1 \\ \sin\left[\frac{\pi(i - 3 \cdot N/16 + 0.5)}{N/4}\right] & i = 3 \cdot N/16..5 \cdot N/16 - 1 \\ 1 & i = 5 \cdot N/16..11 \cdot N/16 - 1 \\ \sin\left[\frac{\pi(i - 9 \cdot N/16 + 0.5)}{N/4}\right] & i = 11 \cdot N/16..13 \cdot N/16 - 1 \\ 0 & i = 13 \cdot N/16..N - 1 \end{cases}$$

with  $N = 1024$  or  $N = 960$ .

#### 4.6.17.2.4 Bit reservoir use

Use of the bit reservoir is minimized in order to reach the desired target delay. As one extreme case, no bit reservoir is used at all.

#### 4.6.17.2.5 Tables for temporal noise shaping (TNS)

The following tables specify the value of TNS\_MAX\_BANDS for the low delay coder:

Table 4.172 – TNS\_MAX\_BANDS in case of 480 samples per frame

Sampling Rate	TNS_MAX_BANDS
48000	31
44100	32
32000	37
24000	30
22050	30

Table 4.173 – TNS\_MAX\_BANDS in case of 512 samples per frame

Sampling Rate	TNS_MAX_BANDS
48000	31
44100	32
32000	37
24000	31
22050	31

#### 4.6.17.2.6 Long term prediction

The size of the LTP delay buffer size is scaled down proportionally with the frame size. Thus, the size is 2048 and 1920 samples for frame sizes of  $N=512$  and  $N=480$ , respectively (see subclause 4.6.7).

#### 4.6.17.2.7 Adaptation to systems using lower sampling rates

In certain applications it may be necessary to integrate the low delay decoder into an audio system running at lower sampling rates (e.g. 16 kHz) while the nominal sampling rate of the bitstream payload is much higher

(e.g. 48 kHz, corresponding to an algorithmic codec delay of approx. 20 ms). In such cases, it is favorable to decode the output of the low delay codec directly at the target sampling rate rather than using an additional sampling rate conversion operation after decoding.

This can be approximated by appropriate downscaling of both, the frame size and the sampling rate, by some integer factor (e.g. 2, 3), resulting in the same time/frequency resolution of the codec. For example, the codec output can be generated at 16 kHz sampling rate instead of the nominal 48 kHz by retaining only the lowest third (i.e.  $480/3 = 160$ ) of the spectral coefficients prior to the synthesis filterbank and reducing the inverse transform size to one third (i.e. window size  $960/3 = 320$ ).

As a consequence, decoding for lower sampling rates reduces both memory and computational requirements, but may not produce exactly the same output as a full-bandwidth decoding, followed by band limiting and sample rate conversion.

Please note that decoding at a lower sampling rate, as described above, does not affect the interpretation of levels, which refers to the nominal sampling rate of the AAC low delay bitstream payload.

## 4.6.18 SBR tool

### 4.6.18.1 Tool description

The human voice and musical instruments generate either quasi-stationary excitation signals that emerge from oscillating systems or signals originated from different noise sources. A wide-band excitation spectrum could be initialized by one or by a set of several sources, e.g. vocal cords, strings, and reeds etc. They all have different frequency components depending on the source. The excitation signals are subsequently filtered by resonators such as the vocal tract, violin body etc, giving the voice or musical instrument its characteristic tone color or timbre. A bandwidth limitation of such a signal is equivalent to a truncation of the sequence of harmonics. Such a truncation alters the perceived timbre and the audio signal sounds “muffled” or “dull”, and particularly for speech the intelligibility may be reduced.

The SBR tool (Spectral Band Replication) extends the audio bandwidth of the decoded bandwidth-limited audio signal. The process is based on replication of the sequences of harmonics, previously truncated in order to reduce data rate, from the available bandwidth limited signal and control data obtained from the encoder. The ratio between tonal and noise-like components is maintained by adaptive inverse filtering as well as optional addition of noise and sinusoidals.

### 4.6.18.2 Definitions

#### 4.6.18.2.1 SBR specific definitions

For the purpose of subclause 4.6.18, the following terms and definitions apply.

##### 4.6.18.2.1.1

###### **band**

(as in limiter band, noise floor band, etc.) a group of consecutive QMF subbands

##### 4.6.18.2.1.2

###### **chirp factor**

the bandwidth expansion factor of the formants described by a LPC polynomial

##### 4.6.18.2.1.3

###### **Down Sampled SBR**

the SBR Tool with a modified synthesis filterbank resulting in a down sampled output signal with the same sample rate as the input signal to the SBR Tool. May be used whenever a lower sample rate output is desired.

##### 4.6.18.2.1.4

###### **envelope scalefactor**

an element representing the averaged energy of a signal over a region described by a frequency band and a time segment

**4.6.18.2.1.5****frequency band**

interval in frequency, group of consecutive QMF subbands

**4.6.18.2.1.6****frequency border**

frequency band delimiter, expressed as a specific QMF subband

**4.6.18.2.1.7****NA**

Not Applicable

**4.6.18.2.1.8****noise floor**

a vector of noise floor scalefactors

**4.6.18.2.1.9****noise floor scalefactor**

an element associated with a region described by a frequency band and a time segment, representing the ratio between the energy of the noise to be added to the envelope adjusted HF generated signal and the energy of the same

**4.6.18.2.1.10****patch**

a number of adjoining QMF subbands moved to a different frequency location

**4.6.18.2.1.11****QMF**

Quadrature Mirror Filter

**4.6.18.2.1.12****SBR**

Spectral Band Replication

**4.6.18.2.1.13****SBR envelope**

a vector of envelope scalefactors

**4.6.18.2.1.14****SBR frame**

time segment associated with one SBR extension data element

**4.6.18.2.1.15****SBR range**

the frequency range of the signal generated by the SBR algorithm

**4.6.18.2.1.16****subband**

a frequency range represented by one row in a QMF matrix, carrying a subsampled signal

**4.6.18.2.1.17****time border**

time segment delimiter, expressed as a specific time slot

**4.6.18.2.1.18**

**time segment**

interval in time, group of consecutive time slots

**4.6.18.2.1.19**

**time / frequency grid**

a description of SBR envelope time segments and associated frequency resolution tables as well as description of noise floor time segments

**4.6.18.2.1.20**

**time slot**

finest resolution in time for SBR envelopes and noise floors. One time slot equals two subsamples in the QMF domain

**4.6.18.2.2 SBR specific notation**

The description of the SBR tool uses the following notation:

- Vectors are indicated by bold lower-case names, e.g. **vector**.
- Matrices (and vectors of vectors) are indicated by bold upper-case single letter names, e.g. **M**.
- Variables are indicated by italic, e.g. *variable*.
- Functions are indicated as *func(x)*.
- Data elements are indicated as multiple-word names with prefix “bs\_”, e.g. bs\_bitstream\_element.

For equations in the text, normal mathematical interpretation is assumed (no rounding or truncation unless explicitly stated). Hence the following example from the text,

$$\mathbf{Q}_{Mapped}(m - k_x, l) = \mathbf{Q}_{Orig}(i, k(l)), \mathbf{f}_{TableNoise}(i) \leq m < \mathbf{f}_{TableNoise}(i + 1), 0 \leq i < N_Q, 0 \leq l < L_E$$

$$\text{where } k(l) \text{ is defined by } \mathbf{t}(l) \geq \mathbf{t}_Q(k(l)), \mathbf{t}(l + 1) \leq \mathbf{t}_Q(k(l) + 1)$$

should be interpreted as follows.  $\mathbf{Q}_{Mapped}(m - k_x, l)$  equals  $\mathbf{Q}_{Orig}(i, k(l))$  for  $\mathbf{f}_{TableNoise}(i) \leq m < \mathbf{f}_{TableNoise}(i + 1)$  and  $0 \leq i < N_Q$  and  $0 \leq l < L_E$ . The function  $k(l)$  returns, for a given  $l$ , a value for which  $\mathbf{t}(l) \geq \mathbf{t}_Q(k(l))$  and  $\mathbf{t}(l + 1) \leq \mathbf{t}_Q(k(l) + 1)$  is true. The result is a  $\mathbf{Q}_{Mapped}$  matrix that is piecewise constant.

The expression  $\sum_{k=a}^b f(k)$  evaluates to zero if  $b < a$ .

For flowcharts, normal pseudo-code interpretation is assumed, with no rounding or truncation unless explicitly stated.

**4.6.18.2.3 Scalar operations**

$X^*$  is the complex conjugate of  $X$

**4.6.18.2.4 Vector operations**

$y = \text{sort}(\mathbf{x})$ .  $y$  is equal to the sorted vector  $\mathbf{x}$ , where the elements of  $\mathbf{x}$  are sorted in ascending order.

$y = \text{length}(\mathbf{x})$ .  $y$  is the number of elements of the vector  $\mathbf{x}$ .

$y = \text{ceil}(x)$  represents rounding to the nearest integer towards infinity.

**4.6.18.2.5 Constants**

$\varepsilon = 1$	A constant to avoid division by zero, e.g. 96 dB below maximum signal input.
$HI = 1$	Index used for SBR envelope high frequency resolution.
$LO = 0$	Index used for SBR envelope low frequency resolution.
$NOISE\_FLOOR\_OFFSET = 6$	Offset of noise floor.
$RATE = 2$	A constant indicating the number of QMF subband samples per timeslot.

**4.6.18.2.6 Variables**

Description of variables defined in one subclause and used in other subclauses.

$ch$	is the current channel.
$bsco$	bandwidth scalable codec offset, indicates for a bandwidth scalable system the number of QMF subbands above $k_x$ in the SBR range that should be replaced by AAC data from a bandwidth scalable enhancement layer. If a bandwidth scalable core coder is not used, the variable is zero.
$\mathbf{E}_{Orig}$	has $L_E$ columns where each column is of length $N_{Low}$ or $N_{High}$ depending on the frequency resolution for each SBR envelope. The elements in $\mathbf{E}_{Orig}$ contains the envelope scalefactors of the original signal.
$\mathbf{F} = [\mathbf{f}_{TableLow}, \mathbf{f}_{TableHigh}]$	has two column vectors containing the frequency border tables for low and high frequency resolution.
$F_{SBR}$	internal sampling frequency of the SBR Tool, twice the sampling frequency of the core coder (after sampling frequency mapping, Table 4.82). The sampling frequency of the SBR enhanced output signal is equal to the internal sampling frequency of the SBR Tool, unless the SBR Tool is operated in downsampled mode. If the SBR Tool is operated in downsampled mode, the output sampling frequency is equal to the sampling frequency of the core coder.
$\mathbf{f}_{Master}$	is of length $N_{Master}+1$ and contains QMF master frequency grouping information.
$\mathbf{f}_{TableHigh}$	is of length $N_{High}+1$ and contains frequency borders for high frequency resolution SBR envelopes.
$\mathbf{f}_{TableLim}$	is of length $N_L+1$ and contains frequency borders used by the limiter.
$\mathbf{f}_{TableLow}$	is of length $N_{Low}+1$ and contains frequency borders for low frequency resolution SBR envelopes.
$\mathbf{f}_{TableNoise}$	is of length $N_Q+1$ and contains frequency borders used by noise floors.
$k_x$	the first QMF subband in the SBR range.
$k_0$	the first QMF subband in the $\mathbf{f}_{Master}$ table.
$L_E$	number of SBR envelopes.
$L_Q$	number of noise floors.
$M$	number of QMF subbands in the SBR range.
$middleBorder$	points to a specific time border.
$N_L$	number of limiter bands.
$N_{Master}$	number of frequency bands in the master frequency resolution table.
$N_Q$	number of noise floor bands.

$\mathbf{n} = [N_{Low}, N_{High}]$	number of frequency bands for low and high frequency resolution.
$numPatches$	a variable indicating the number of patches in the SBR range.
$numTimeSlots$	number of SBR envelope time slots that exist within an AAC frame, 16 for a 1024 AAC frame and 15 for a 960 AAC frame.
$panOffset = [24, 12]$	offset-values for the SBR envelope and noise floor data, when using coupled channels.
<b>patchBorders</b>	a vector containing the frequency borders of the patches.
<b>patchNumSubbands</b>	a vector holding the number of subbands in every patch.
$Q_{Orig}$	has $L_Q$ columns where each column is of length $N_Q$ and contains the noise floor scalefactors.
$\mathbf{r} = [r_0, \dots, r_{L-1}]$	frequency resolution for all SBR envelopes in the current SBR frame, zero for low resolution, one for high resolution.
$reset$	a variable in the encoder and the decoder set to one if certain data elements have changed from the previous SBR frame, otherwise set to zero.
$\mathbf{t}_E$	is of length $L_E+1$ and contains start and stop time borders for all SBR envelopes in the current SBR frame.
$t_{HFAdj}$	offset for the envelope adjuster module.
$t_{HFGen}$	offset for the HF-generation module.
$\mathbf{t}_Q$	is of length $L_Q+1$ and contains start and stop time borders for all noise floors in the current SBR frame.
$\mathbf{W}$	is the subband matrix where the QMF filtered subband samples are stored.
$\mathbf{X}_{High}$	is the complex input QMF bank subband matrix to the HF adjuster.
$\mathbf{X}_{Low}$	is the complex input QMF bank subband matrix to the HF generator.
$\mathbf{Y}$	is the complex output QMF bank subband matrix from the HF adjuster.

### 4.6.18.3 Decoding process

#### 4.6.18.3.1 Introduction

SBR incorporates adaptive time and frequency resolution for the envelope coding and adjustment. The adaptation is obtained by flexible grouping of QMF subband samples in time and frequency. For each such group, a corresponding scalefactor is calculated and transmitted. The subclause 4.6.18.3 describes how to recreate the time and frequency grouping chosen by the encoder. Furthermore, it shows how delta coded SBR envelopes and noise floors are decoded. The decoding process is outlined for one single channel element as well as for one channel pair element. For a single channel element, the channel number is denoted zero. For channel pair elements, the two channels are indexed zero and one, where zero represents the firstly decoded channel data in the channel pair element and one represents the secondly decoded channel in the channel pair element. The system is reset ( $reset = 1$ ) if the value of any of the following data elements in the SBR header differs from that of the previous SBR frame:

- $bs\_start\_freq$
- $bs\_stop\_freq$
- $bs\_freq\_scale$
- $bs\_alter\_scale$
- $bs\_xover\_band$
- $bs\_noise\_bands$

**4.6.18.3.2 Frequency band tables**

The grouping of QMF subband samples in frequency is described by frequency band tables. The tables are defined by functions, most arguments of which are transmitted in the SBR header. For each SBR envelope, two frequency band tables are available, a high frequency resolution table,  $f_{TableHigh}$ , and a low frequency resolution table,  $f_{TableLow}$ . The noise floor and the limiter also have corresponding frequency band tables,  $f_{TableNoise}$  and  $f_{TableLim}$ . All aforementioned tables are derived from one master frequency band table,  $f_{Master}$ . The frequency band tables contain the frequency borders for each frequency band, represented as QMF subbands. Each frequency band is defined by a start frequency border and a stop frequency border. The QMF subband indicated by the start frequency border is included in the frequency band, the QMF subband indicated by the stop frequency border is excluded from the frequency band. For all tables derived from  $f_{Master}$  the stop frequency border of band  $n$  equals the start frequency border of band  $n+1$ , where  $n$  is an arbitrary frequency band in the table. The following subclauses describe how to calculate  $f_{Master}$ ,  $f_{TableHigh}$ ,  $f_{TableLow}$ ,  $f_{TableNoise}$  and  $f_{TableLim}$ .

**4.6.18.3.2.1 Master frequency band table**

In order to build the master frequency band table, the QMF subbands representing the boundaries of the table must first be calculated. The subband representing the lower frequency boundary of the table is denoted  $k_0$ , and is defined by:

$$k_0 = startMin + offset(bs\_start\_freq),$$

where

$$offset = \begin{cases} [-8,-7,-6,-5,-4,-3,-2,-1,0,1,2,3,4,5,6,7] & , F_{S_{SBR}} = 16000 \\ [-5,-4,-3,-2,-1,0,1,2,3,4,5,6,7,9,11,13] & , F_{S_{SBR}} = 22050 \\ [-5,-3,-2,-1,0,1,2,3,4,5,6,7,9,11,13,16] & , F_{S_{SBR}} = 24000 \\ [-6,-4,-2,-1,0,1,2,3,4,5,6,7,9,11,13,16] & , F_{S_{SBR}} = 32000 \\ [-4,-2,-1,0,1,2,3,4,5,6,7,9,11,13,16,20] & , 44100 \leq F_{S_{SBR}} \leq 64000 \\ [-2,-1,0,1,2,3,4,5,6,7,9,11,13,16,20,24] & , F_{S_{SBR}} > 64000 \end{cases}$$

$$startMin = \begin{cases} NINT\left(3000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , F_{S_{SBR}} < 32000 \\ NINT\left(4000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , 32000 \leq F_{S_{SBR}} < 64000 \\ NINT\left(5000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , 64000 \leq F_{S_{SBR}} \end{cases}$$

The upper frequency boundary, denoted  $k_2$ , is defined by:

$$k_2 = \begin{cases} \min\left(64, stopMin + \sum_{i=0}^{bs\_stop\_freq-1} stopDkSort(i)\right) & , 0 \leq bs\_stop\_freq < 14 \\ \min(64, 2 \cdot k_0) & , bs\_stop\_freq = 14 \\ \min(64, 3 \cdot k_0) & , bs\_stop\_freq = 15 \end{cases}$$

where

$$stopMin = \begin{cases} NINT\left(6000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , F_{S_{SBR}} < 32000 \\ NINT\left(8000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , 32000 \leq F_{S_{SBR}} < 64000 \\ NINT\left(10000 \cdot \frac{128}{F_{S_{SBR}}}\right) & , 64000 \leq F_{S_{SBR}} \end{cases}$$

$$stopDkSort = sort(stopDk)$$

$$stopDk(p) = NINT\left(stopMin \cdot \left(\frac{64}{stopMin}\right)^{\frac{p+1}{13}}\right) - NINT\left(stopMin \cdot \left(\frac{64}{stopMin}\right)^{\frac{p}{13}}\right), 0 \leq p \leq 12$$

The master frequency band table,  $f_{Master}$ , is calculated according to the flowcharts in Figure 4.39 and Figure 4.40. The input variables to the flowcharts are  $k_0$  and  $k_2$ , as calculated above, and the data elements  $bs\_freq\_scale$  and  $bs\_alter\_scale$ . The table  $f_{Master}$  is only defined for  $k_2 > k_0$ . Furthermore, the restrictions in subclause 4.6.18.3.6 apply.

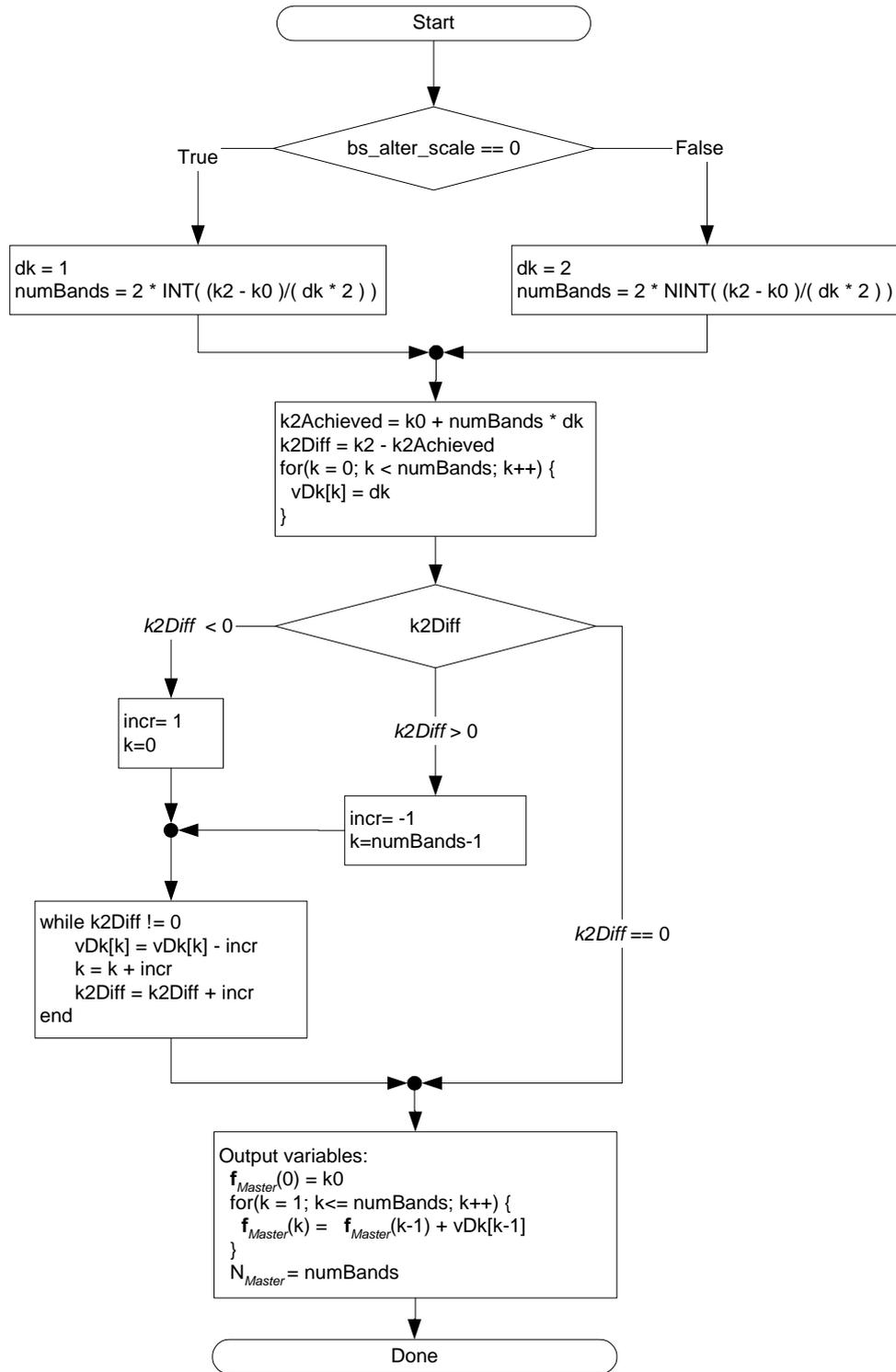


Figure 4.39 – Flowchart calculation of  $f_{Master}$  when  $bs\_freq\_scale = 0$

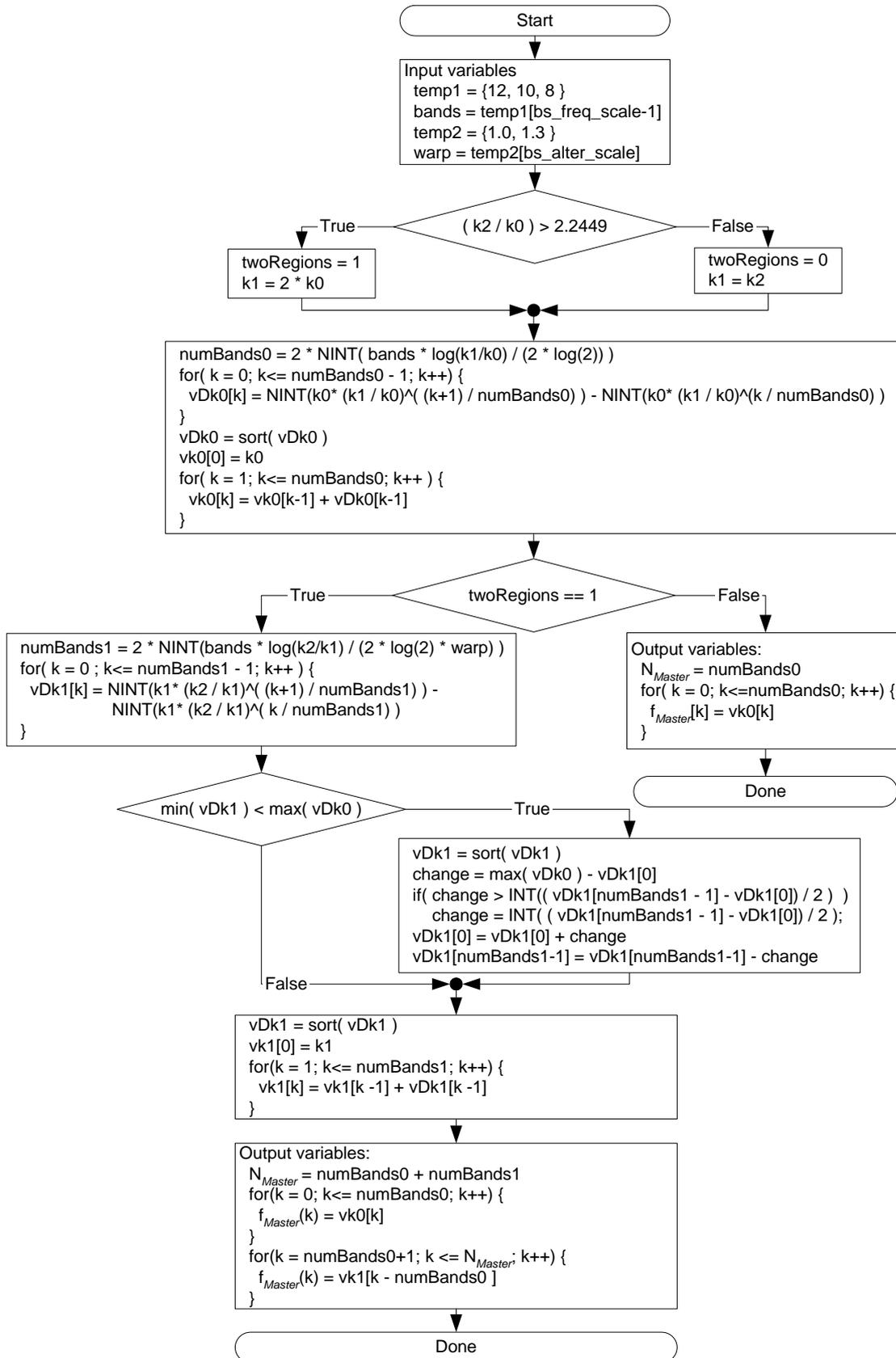


Figure 4.40 – Flowchart calculation of  $f_{Master}$  when  $bs\_freq\_scale > 0$

#### 4.6.18.3.2.2 Derived frequency band tables

The frequency band table  $\mathbf{f}_{TableHigh}$ , used for high frequency resolution SBR envelopes, is obtained by extracting a subset of the borders from  $\mathbf{f}_{Master}$  according to:

$$N_{High} = N_{Master} - bs\_xover\_band$$

$$N_{Low} = INT\left(\frac{N_{High}}{2}\right) + \left(N_{High} - 2 \cdot INT\left(\frac{N_{High}}{2}\right)\right)$$

$$\mathbf{n} = [N_{Low}, N_{High}]$$

$$\mathbf{f}_{TableHigh}(k) = \mathbf{f}_{Master}(k + bs\_xover\_band) \quad , 0 \leq k \leq N_{High}$$

$$M = \mathbf{f}_{TableHigh}(N_{high}) - \mathbf{f}_{TableHigh}(0)$$

$$k_x = \mathbf{f}_{TableHigh}(0)$$

The frequency band table  $\mathbf{f}_{TableLow}$ , used for low frequency resolution SBR envelopes, is obtained by extracting a subset of the borders from  $\mathbf{f}_{TableHigh}$  according to:

$$\mathbf{f}_{TableLow}(k) = \mathbf{f}_{TableHigh}(i(k)) \quad , 0 \leq k \leq N_{Low}$$

where  $i(k)$  is defined by

$$i(k) = \begin{cases} 0 & \text{if } k = 0 \\ 2 \cdot k - \frac{1 - (-1)^{N_{High}}}{2} & \text{if } k \neq 0 \end{cases}$$

The noise floor frequency band table  $\mathbf{f}_{TableNoise}$ , is extracted from  $\mathbf{f}_{TableLow}$  according to

$$\mathbf{f}_{TableNoise}(k) = \mathbf{f}_{TableLow}(i(k)) \quad , 0 \leq k \leq N_Q$$

where  $i(k)$  and  $N_Q$  are defined by

$$i(k) = \begin{cases} 0 & \text{if } k = 0 \\ i(k-1) + INT\left(\frac{N_{Low} - i(k-1)}{N_Q + 1 - k}\right) & \text{if } k \neq 0 \end{cases}$$

$$N_Q = \max\left(1, NINT\left(bs\_noise\_bands \cdot \frac{\log\left(\frac{k_2}{k_x}\right)}{\log(2)}\right)\right) \quad , 0 \leq bs\_noise\_bands \leq 3 \cdot$$

#### 4.6.18.3.2.3 Limiter frequency band table

The limiter frequency band table  $\mathbf{f}_{TableLim}$ , is constructed to have either exactly one limiter band over the entire SBR range, or approximately 1.2, 2 or 3 bands per octave, signaled by  $bs\_limiter\_bands$  from the bitstream payload. The table holds indices of the synthesis filterbank subbands, where the number of elements equals

the number of bands plus one. The first element is always  $k_x$ ,  $\mathbf{f}_{TableLim}$  is a subset of the union of  $\mathbf{f}_{TableLow}$  and the patch borders defined in subclause 4.6.18.6.

If  $bs\_limiter\_bands$  is zero only one limiter band is used and  $\mathbf{f}_{TableLim}$  is created as

$$\mathbf{f}_{TableLim} = [\mathbf{f}_{TableLow}(0), \mathbf{f}_{TableLow}(N_{Low})]$$

$$N_L = 1$$

If  $bs\_limiter\_bands > 0$  the limiter frequency resolution table is created according to the flowchart of Figure 4.41. The variables  $numPatches$ ,  $patchBorders$  and  $patchNumSubbands$  are calculated in subclause 4.6.18.6.

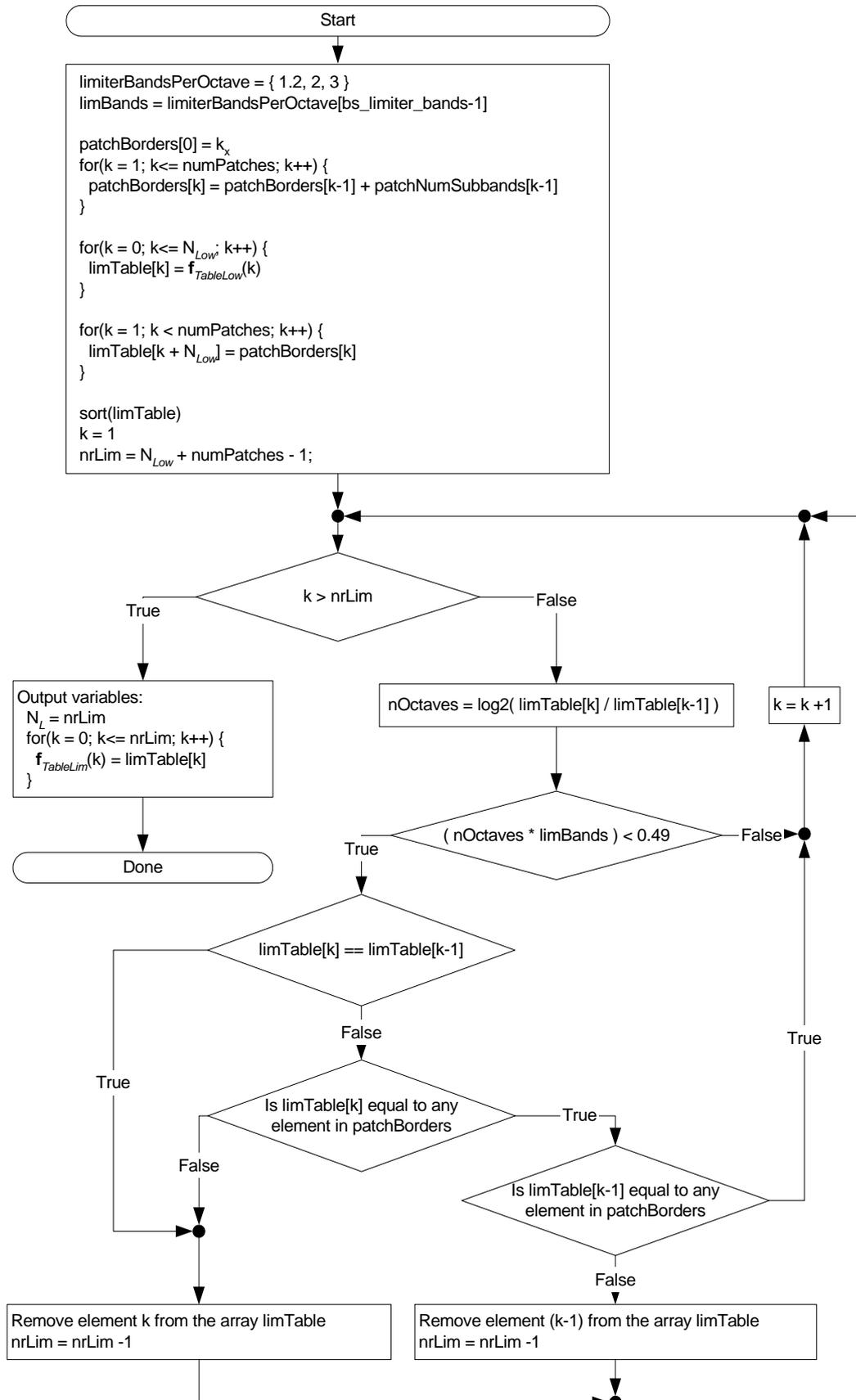


Figure 4.41 – Calculation of  $f_{TableLim}$  if  $bs\_limiter\_bands > 0$

4.6.18.3.3 Time / frequency grid

The time/frequency grid part of the bitstream payload describes the number of SBR envelopes and noise floors as well as the time segment associated with each SBR envelope and noise floor. Furthermore, it describes what frequency band table to use for each SBR envelope. Four different SBR frame classes, FIXFIX, FIXVAR, VARFIX and VARVAR, are used, each of which has different capabilities with respect to time/frequency grid selection. The names refer to whether the locations of the leading and trailing SBR frame borders (i.e. the SBR frame boundaries) are variable or not from a syntactical point of view. The SBR envelope and noise floor time segments are described by the vectors  $\mathbf{t}_E(l)$  and  $\mathbf{t}_Q(l)$  respectively, which contain the borders for each time segment expressed in time slots. Each time segment is defined by a start time border and a stop time border. The time slot indicated by the start time border is included in the time segment, the time slot indicated by the stop time border is excluded from the time segment. For both vectors, the stop time border of time segment  $l$  equals the start time border of time segment  $l+1$ , where  $l$  is an arbitrary time segment in the vector. The calculation of  $\mathbf{t}_E(l)$  is described below.

First the leading SBR frame border,  $absBordLead$ , and the trailing SBR frame border,  $absBordTrail$ , are obtained from the bitstream payload according to:

$$absBordLead = \begin{cases} 0 & ,bs\_frame\_class = FIXFIX \text{ or } FIXVAR \\ bs\_var\_bord\_0 & ,bs\_frame\_class = VARVAR \text{ or } VARFIX \end{cases}$$

$$absBordTrail = \begin{cases} numTimeSlots & ,bs\_frame\_class = FIXFIX \text{ or } VARFIX \\ bs\_var\_bord\_1 + numTimeSlots & ,bs\_frame\_class = VARVAR \text{ or } FIXVAR \end{cases}$$

In order to decode the time borders of all SBR envelopes within the SBR frame, the number of relative borders associated with the leading and trailing time borders respectively are calculated according to:

$$n_{RelLead} = \begin{cases} L_E - 1 & ,bs\_frame\_class = FIXFIX \\ 0 & ,bs\_frame\_class = FIXVAR \\ bs\_num\_rel\_0 & ,bs\_frame\_class = VARVAR \text{ or } VARFIX \end{cases}$$

$$n_{RelTrail} = \begin{cases} 0 & ,bs\_frame\_class = FIXFIX \text{ or } VARFIX \\ bs\_num\_rel\_1 & ,bs\_frame\_class = VARVAR \text{ or } FIXVAR \end{cases}$$

where

$$L_E = bs\_num\_env$$

The SBR envelope time border vector of the current SBR frame,  $\mathbf{t}_E(l)$ , is calculated according to:

$$\mathbf{t}_E(l) = \begin{cases} absBordLead & \text{if } l = 0 \\ absBordTrail & \text{if } l = L_E \\ absBordLead + \sum_{i=0}^{l-1} \mathbf{relBordLead}(i) & \text{if } 1 \leq l \leq n_{RelLead} \\ absBordTrail - \sum_{i=0}^{L_E-l-1} \mathbf{relBordTrail}(i) & \text{if } n_{RelLead} < l < L_E \end{cases}$$

where  $0 \leq l \leq L_E$  and  $\mathbf{relBordLead}(l)$  and  $\mathbf{relBordTrail}(l)$  are vectors containing the relative borders associated with the leading and trailing borders respectively. Both vectors are (if applicable) defined below.

$$\text{relBordLead}(l) = \begin{cases} NINT\left(\frac{\text{numTimeSlots}}{L_E}\right) & , \text{bs\_frame\_class} = \text{FIXFIX} \\ NA & , \text{bs\_frame\_class} = \text{FIXVAR} \\ \text{bs\_rel\_bord\_0}(l) & , \text{bs\_frame\_class} = \text{VARVAR or VARFIX} \end{cases}$$

where  $0 \leq l < n_{\text{RelLead}}$

$$\text{relBordTrail}(l) = \begin{cases} NA & , \text{bs\_frame\_class} = \text{FIXFIX or VARFIX} \\ \text{bs\_rel\_bord\_1}(l) & , \text{bs\_frame\_class} = \text{VARVAR or FIXVAR} \end{cases}$$

where  $0 \leq l < n_{\text{RelTrail}}$

Within one SBR frame there can either be one or two noise floors. The noise floor time borders are derived from the SBR envelope time border vector according to:

$$L_Q = \text{bs\_num\_noise}$$

$$\mathbf{t}_Q = \begin{cases} [\mathbf{t}_E(0), \mathbf{t}_E(1)] & , L_E = 1 \\ [\mathbf{t}_E(0), \mathbf{t}_E(\text{middleBorder}), \mathbf{t}_E(L_E)] & , L_E > 1 \end{cases}$$

where  $\text{middleBorder} = \text{func}(\text{bs\_frame\_class}, \text{bs\_pointer}, L_E)$  is calculated according to Table 4.174 below.

**Table 4.174 – middleBorder function**

<i>bs_pointer</i>	<i>bs_frame_class</i>		
	<i>FIXFIX</i>	<i>VARFIX</i>	<i>FIXVAR, VARVAR</i>
=0	$\frac{L_E}{2}$	1	$L_E - 1$
=1	$\frac{L_E}{2}$	$L_E - 1$	$L_E - 1$
>1	$\frac{L_E}{2}$	<i>bs_pointer</i> - 1	$L_E + 1 - \text{bs\_pointer}$

As previously stated, each SBR envelope can be of either high or low frequency resolution. This is described by an SBR envelope frequency resolution vector,  $\mathbf{r}(l)$ , which is calculated according to:

$$\mathbf{r}(l) = \text{bs\_freq\_res}(l) \quad , 0 \leq l < L_E$$

where

$\mathbf{r}(l) = 0$  signals the usage of  $\mathbf{f}_{\text{TableLow}}$  for SBR envelope  $l$

$\mathbf{r}(l) = 1$  signals the usage of  $\mathbf{f}_{\text{TableHigh}}$  for SBR envelope  $l$

4.6.18.3.4 SBR envelope and noise floor decoding

Delta coding of envelope scalefactors and noise floor scalefactors is done in either time or frequency direction for each SBR envelope, and noise floor. When delta coding in the time direction across SBR frame boundaries is applied, the first SBR envelope in the current SBR frame is delta coded with respect to the last SBR envelope of the previous SBR frame. The same is true for the noise floors.

If the SBR Tool is used with a scalable AAC codec, the envelope scalefactors of a stereo enhancement layer can only be decoded if the enhancement layer is available and the variable *enhanceLayDecE* is one. The *enhanceLayDecE* variable is defined as:

$$enhanceLayDecE = \begin{cases} 1 & , \text{if } enhanceLayDecE' = 1 \text{ or } bs\_df\_env(0) = 0 \\ 0 & , \text{otherwise} \end{cases}$$

where *enhanceLayDecE'* represents the value of the previous SBR frame. For the first SBR frame *enhanceLayDecE'* is set to one. If a scalable system is not used, the variable *enhanceLayDecE* shall be a constant set to one.

The deduction of the envelope scalefactors **E** from the delta coded envelope scalefactors **E<sub>Delta</sub>** is defined by:

$$\mathbf{E}(k,l) = \begin{cases} \sum_{i=0}^k \delta \cdot \mathbf{E}_{Delta}(i,l) & , \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 0 \end{cases} \\ g_E(k,l) + \delta \cdot \mathbf{E}_{Delta}(k,l) & , \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = g(l) \end{cases} \\ g_E(i(k),l) + \delta \cdot \mathbf{E}_{Delta}(k,l) & , \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = 0 \\ g(l) = 1 \\ i(k) \text{ is defined by} \\ \mathbf{f}_{TableHigh}(i(k)) = \mathbf{f}_{TableLow}(k) \end{cases} \\ g_E(i(k),l) + \delta \cdot \mathbf{E}_{Delta}(k,l) & , \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = 1 \\ g(l) = 0 \\ i(k) \text{ is defined by} \\ \mathbf{f}_{TableLow}(i(k)) \leq \mathbf{f}_{TableHigh}(k) < \mathbf{f}_{TableLow}(i(k)+1) \end{cases} \end{cases}$$

where

$$\delta = \begin{cases} 2 & \text{if } ch = 1 \text{ AND } bs\_coupling = 1 \\ 1 & \text{otherwise} \end{cases}$$

and where  $g_E(k, l)$  and  $g(l)$  are defined below and  $\mathbf{E}_{Delta}(k, l)$  is read from the data element *bs\_data\_env* as shown below. The envelope scalefactors from the previous SBR frame,  $\mathbf{E}'$ , are needed when delta coding in the time direction over SBR frame boundaries. The number of SBR envelopes of the previous SBR frame is denoted  $L'_E$ , and is also needed in that case, as well as the frequency resolution vector of the previous SBR frame, denoted  $\mathbf{r}'$ .

$$g_E(k, l) = \begin{cases} \mathbf{E}(k, l-1) & , \begin{cases} 1 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases} \\ \mathbf{E}'(k, L'_E - 1) & , \begin{cases} l = 0 \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases} \end{cases} \text{ and } g(l) = \begin{cases} \mathbf{r}(l-1) & , 1 \leq l < L_E \\ \mathbf{r}'(L'_E - 1) & , l = 0 \end{cases} .$$

$$\mathbf{E}_{Delta}(k, l) = \text{bs\_data\_env}[ch][l][k] , \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases}$$

If the SBR Tool is used with a scalable AAC codec, the noise floor data of a stereo enhancement layer can only be decoded if the enhancement layer is available and the variable *enhanceLayDecQ* is one. The *enhanceLayDecQ* variable is defined as:

$$\text{enhanceLayDecQ} = \begin{cases} 1 & , \text{if } \text{enhanceLayDecQ}' = 1 \text{ or } \text{bs\_df\_noise}(0) = 0 \\ 0 & , \text{otherwise} \end{cases}$$

where *enhanceLayDecQ'* represents the value of the previous SBR frame. For the first SBR frame *enhanceLayDecQ'* is set to one. If a scalable system is not used, the variable *enhanceLayDecQ* shall be a constant set to one.

The deduction of the noise floor data  $\mathbf{Q}$  from the delta coded noise floor data  $\mathbf{Q}_{Delta}$  is defined by.

$$\mathbf{Q}(k, l) = \begin{cases} \sum_{i=0}^k \delta \cdot \mathbf{Q}_{Delta}(i, l) & , \begin{cases} 0 \leq l < L_Q \\ 0 \leq k < N_Q \\ \text{bs\_df\_noise}(l) = 0 \end{cases} \\ \mathbf{Q}(k, l-1) + \delta \cdot \mathbf{Q}_{Delta}(k, l) & , \begin{cases} 1 \leq l < L_Q \\ 0 \leq k < N_Q \\ \text{bs\_df\_noise}(l) = 1 \end{cases} \\ \mathbf{Q}'(k, L'_Q - 1) + \delta \cdot \mathbf{Q}_{Delta}(k, 0) & , \begin{cases} l = 0 \\ 0 \leq k < N_Q \\ \text{bs\_df\_noise}(0) = 1 \end{cases} \end{cases}$$

where

$$\delta = \begin{cases} 2 & \text{if } ch = 1 \text{ AND } \text{bs\_coupling} = 1 \\ 1 & \text{otherwise} \end{cases}$$

and where  $\mathbf{Q}'$  is the noise floor scalefactors from the previous SBR frame and  $L'_Q$  is the number of noise floors from the previous SBR frame.  $\mathbf{Q}_{Delta}(k, l)$  is read from the data element *bs\_data\_noise* as shown below.

$$\mathbf{Q}_{\Delta}(k, l) = bs\_data\_noise[ch][l][k], \begin{cases} 0 \leq l < L_Q \\ 0 \leq k < N_Q \end{cases}$$

#### 4.6.18.3.5 Dequantization and stereo decoding

For the quantization of the envelope scalefactors, there are two quantization steps available.  $bs\_amp\_res = 0$  corresponds to a quantization step of 1.5 dB and  $bs\_amp\_res = 1$  corresponds to a quantization step of 3.0 dB.

For a single channel element, the envelope scalefactors are dequantized according to:

$$\mathbf{E}_{Orig}(k, l) = 64 \cdot 2^{\frac{\mathbf{E}(k, l)}{a}}, \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases}$$

where  $a = \begin{cases} 2, & bs\_amp\_res = 0 \\ 1, & bs\_amp\_res = 1 \end{cases}$ .

The noise floor scalefactors are dequantized according to:

$$\mathbf{Q}_{Orig}(k, l) = 2^{NOISE\_FLOOR\_OFFSET - \mathbf{Q}(k, l)}, \begin{cases} 0 \leq l < L_Q \\ 0 \leq k < N_Q \end{cases}$$

For a channel pair element where coupling mode is not used, i.e.  $bs\_coupling = 0$ , the individual channels are treated as the single channel element case above.

If coupling mode is used, i.e.  $bs\_coupling = 1$ , the time-grids  $\mathbf{t}_E$  and  $\mathbf{t}_Q$  are the same for both channels. Let  $\mathbf{E}_0$ ,  $\mathbf{E}_1$ ,  $\mathbf{Q}_0$ , and  $\mathbf{Q}_1$  represent the decoded envelope scalefactors and noise floor scalefactors, in accordance with the decoding process outlined above. Subscript zero represents the firstly decoded channel (the energy average and the noise-floor average of the original left and right channel) and subscript one represents the secondly decoded channel (the energy ratio and the noise-floor ratio of the original left and right channel).

Below it is shown how to dequantize the envelope scalefactors and noise floor scalefactors in coupling mode ( $bs\_coupling = 1$ ).

$$\mathbf{E}_{LeftOrig}(k, l) = 64 \cdot \frac{2^{\frac{\mathbf{E}_0(k, l)}{a} + 1}}{1 + 2^{\frac{\mathbf{panOffset}(bs\_amp\_res) - \mathbf{E}_1(k, l)}{a}}}, \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases}$$

$$\mathbf{E}_{RightOrig}(k, l) = 64 \cdot \frac{2^{\frac{\mathbf{E}_0(k, l)}{a} + 1}}{1 + 2^{\frac{\mathbf{E}_1(k, l) - \mathbf{panOffset}(bs\_amp\_res)}{a}}}, \begin{cases} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{cases}$$

$$\mathbf{Q}_{OrigLeft}(k, l) = \frac{2^{NOISE\_FLOOR\_OFFSET - \mathbf{Q}_0(k, l) + 1}}{1 + 2^{\mathbf{panOffset}(1) - \mathbf{Q}_1(k, l)}}, \begin{cases} 0 \leq l < L_Q \\ 0 \leq k < N_Q \end{cases}$$

$$\mathbf{Q}_{OrigRight}(k, l) = \frac{2^{NOISE\_FLOOR\_OFFSET - \mathbf{Q}_0(k, l) + 1}}{1 + 2^{\mathbf{Q}_1(k, l) - \mathbf{panOffset}(1)}}, \begin{cases} 0 \leq l < L_Q \\ 0 \leq k < N_Q \end{cases}$$

Above, the SBR envelopes and noise floors are denoted  $\mathbf{E}_{OrigLeft}$ ,  $\mathbf{E}_{OrigRight}$ ,  $\mathbf{Q}_{OrigLeft}$  and  $\mathbf{Q}_{OrigRight}$ , in order to differentiate between the two channels in the channel pair element. Since no channel dependency exists after the above decoding and dequantization, the envelopes and noise floors will from this point on be referred to as  $\mathbf{E}_{Orig}$  and  $\mathbf{Q}_{Orig}$ .

If the SBR Tool is used with a scalable AAC codec and a stereo enhancement layer is not present, the data in the channel pair element available in the mono base layer shall be dequantized as a single channel element, i.e.  $\mathbf{E}_{LeftOrig}(k, l)$  is calculated as  $\mathbf{E}_{Orig}(k, l)$ .

If the stereo enhancement layer is available, the envelope scalefactors in the channel pair element shall be dequantized and stereo decoded as a channel pair element, provided that the variable *enhanceLayDecE* is one. If the variable *enhanceLayDecE* is zero, the envelope scalefactors of the first channel are dequantized as a single channel element, i.e.  $\mathbf{E}_{LeftOrig}(k,l)$  is calculated as  $\mathbf{E}_{Orig}(k,l)$ , and the envelope scalefactors of the second channel, shall be set to the same values as the envelope scalefactors of the first channel, i.e.  $\mathbf{E}_{RightOrig}(k,l) = \mathbf{E}_{LeftOrig}(k,l)$ .

The same applies for the noise floor scalefactors, i.e. if the a stereo enhancement layer is not present, the data in the channel pair element available in the mono base layer shall be dequantized as a single channel element, i.e.  $\mathbf{Q}_{LeftOrig}(k,l)$  is calculated as  $\mathbf{Q}_{Orig}(k,l)$ .

If the stereo enhancement layer is available, the noise floor scalefactors in the channel pair element shall be dequantized and stereo decoded as a channel pair element, provided that the variable *enhanceLayDecQ* is one. If the variable *enhanceLayDecQ* is zero, the noise floor scalefactors of the first channel are dequantized as a single channel element, i.e.  $\mathbf{Q}_{LeftOrig}(k,l)$  is calculated as  $\mathbf{Q}_{Orig}(k,l)$ , and the noise floor scalefactors of the second channel, shall be set to the same values as the noise floor scalefactors of the first channel, i.e.  $\mathbf{Q}_{RightOrig}(k,l) = \mathbf{Q}_{LeftOrig}(k,l)$ .

#### 4.6.18.3.6 Requirements

The following requirements apply to the SBR data.

- The number of QMF subbands covered by SBR, i.e.  $k_2 - k_0$ , shall satisfy:

$$k_2 - k_0 \leq \begin{cases} 48 & , F_{SBR} \leq 32\text{kHz} \\ 35 & , F_{SBR} = 44.1\text{kHz} . \\ 32 & , F_{SBR} \geq 48\text{kHz} \end{cases}$$

- The stop frequency border of the SBR range shall be within  $\frac{F_{SBR}}{2}$ , i.e.  $k_x + M \leq 64$ .
- The start frequency border of the SBR range shall be within  $\frac{F_{SBR}}{4}$ , i.e.  $k_x \leq 32$ .
- The number of SBR envelopes in an SBR frame,  $L_E$ , shall satisfy:
 
$$L_E \leq \begin{cases} 4 & , bs\_frame\_class = \text{FIXFIX} \\ 5 & , bs\_frame\_class = \text{VARVAR} \end{cases}$$
- The number of noise floor scale factors,  $N_Q$ , shall satisfy  $N_Q \leq 5$ .
- The number of patches *numPatches*, shall satisfy  $numPatches \leq 5$ .
- For single channel elements and for channel pair elements where coupling is not used (i.e. *bs\_coupling* = 0), the quantized noise floor scalefactors  $\mathbf{Q}$  shall satisfy:  $\mathbf{Q} \in [0, 30]$ .
- For channel pair elements where coupling is used (i.e. *bs\_coupling* = 1), the quantized noise floor scalefactors shall satisfy:
  - $\mathbf{Q}_0 \in [0, 30]$
  - $\mathbf{Q}_1 \in [0, 2 \cdot \text{panOffset}(1)]$
- For channel pair elements where coupling is used (i.e. *bs\_coupling* = 1), the quantized noise floor scalefactors for the second decoded channel (i.e.  $\mathbf{Q}_1$ ), and the quantized envelope scalefactors for the second decoded channel (i.e.  $\mathbf{E}_1$ ) shall be an even integer.
- In the flowchart in Figure 4.40, when the flowchart has been executed, the following relations shall be satisfied:

- $numBands0 > 0$
  - $vDk0(i) > 0 \forall i$
  - $vDk1(i) > 0 \forall i$
- In the flowchart in Figure 4.39, when the flowchart has been executed, the variable  $numBands$  shall satisfy:  $numBands > 0$ .
  - The following relation shall be satisfied:  $bs\_xover\_band < N_{Master}$ .
  - Delta coded envelope scalefactors and noise floor scalefactors, shall be within the range of the Huffman tables in 4.A.6.1
  - If the SBR tool is used in a system that operates with mono/stereo scalability, the  $bs\_coupling$  bit shall be set to one.
  - If the SBR tool is used in a system that operates with bandwidth or mono/stereo scalability, all SBR bitstream payload, except the stereo enhancement part of the SBR data, shall be placed in the lowest layer of the data stream. The stereo enhancement part of the SBR data shall be placed in the lowest layer of the data stream carrying stereo data. All SBR data shall cover the largest SBR range that can occur for the different layers in the stream.

**4.6.18.4 SBR filterbanks**

**4.6.18.4.1 Analysis filterbank**

A QMF bank is used to split the time domain signal output from the core decoder into 32 subband signals. The output from the filterbank, i.e. the subband samples, are complex-valued and thus oversampled by a factor two compared to a regular QMF bank. The flowchart of this operation is given in Figure 4.42. The filtering involves the following steps, where an array  $x$  consisting of 320 time domain input samples is assumed. A higher index into the array corresponds to older samples.

- Shift the samples in the array  $x$  by 32 positions. The oldest 32 samples are discarded and 32 new samples are stored in positions 0 to 31.
- Multiply the samples of array  $x$  by every other coefficient of window  $c$ . The window coefficients can be found in Table 4.A.89.
- Sum the samples according to the formula in the flowchart to create the 64-element array  $u$ .
- Calculate 32 new subband samples by the matrix operation  $Mu$ , where

$$M(k,n) = 2 \cdot \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n - 0.5)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit.

Every loop in the flowchart produces 32 complex-valued subband samples, each representing the output from one filterbank subband. For every SBR frame the filterbank will produce  $numTimeSlots \cdot RATE$  subband samples for every subband, corresponding to a time domain signal of length  $numTimeSlots \cdot RATE \cdot 32$  samples. In the flowchart  $W[k][l]$  corresponds to subband sample  $l$  in QMF subband  $k$ .

**4.6.18.4.2 Synthesis filterbank**

Synthesis filtering of the SBR-processed subband signals is achieved using a 64-subband QMF bank. The output from the filterbank is real-valued time domain samples. The process is given by the flowchart in Figure 4.43. The synthesis filtering comprises the following steps, where an array  $v$  consisting of 1280 samples is assumed:

- Shift the samples in the array  $v$  by 128 positions. The oldest 128 samples are discarded.

- The 64 new complex-valued subband samples are multiplied by the matrix  $\mathbf{N}$ , where

$$\mathbf{N}(k,n) = \frac{1}{64} \cdot \exp\left(\frac{i \cdot \pi \cdot (k+0.5) \cdot (2 \cdot n - 255)}{128}\right), \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit. The real part of the output from this operation is stored in the positions 0 to 127 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.43 to create the 640-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by window  $\mathbf{c}$  to produce array  $\mathbf{w}$ . The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.89, and are the same as for the analysis filterbank.
- Calculate 64 new output samples by summation of samples from array  $\mathbf{w}$  according to the last step in the flowchart of Figure 4.43

Every SBR frame produces an output of  $numTimeSlots \cdot RATE \cdot 64$  time domain samples. In the flowchart of Figure 4.43  $\mathbf{X}[k][l]$  corresponds to subband sample  $l$  in the QMF subband  $k$ , and every new loop produces 64 time domain samples as output.

#### 4.6.18.4.3 Downsampled synthesis filterbank

The downsampled synthesis filtering of the SBR-processed subband signals is achieved using a 32-channel QMF bank. The output from the filterbank is real-valued time domain samples. The process is given of the flowchart in Figure 4.44. The synthesis filtering comprises the following steps, where an array  $\mathbf{v}$  consisting of 640 samples is assumed:

- Shift the samples in the array  $\mathbf{v}$  by 64 positions. The oldest 64 samples are discarded.
- The 32 new complex-valued subband samples are multiplied by the matrix  $\mathbf{N}$ , where

$$\mathbf{N}(k,n) = \frac{1}{64} \cdot \exp\left(\frac{i \cdot \pi \cdot (k+0.5) \cdot (2 \cdot n - 127.5)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit. The real part of the output from this operation is stored in the positions 0 to 63 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.44 to create the 320-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by every other coefficient of window  $\mathbf{w}$ . The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.89, and are the same as for the analysis filterbank.
- Calculate 32 new output samples by summation of samples from array  $\mathbf{w}$  according to the last step in the flowchart of Figure 4.44

Every SBR frame produces an output of  $numTimeSlots \cdot RATE \cdot 32$  time domain samples. In the flowchart of Figure 4.44  $\mathbf{X}[k][l]$  corresponds to subband sample  $l$  in the QMF subband  $k$ , and every new loop produces 32 time domain samples as output.

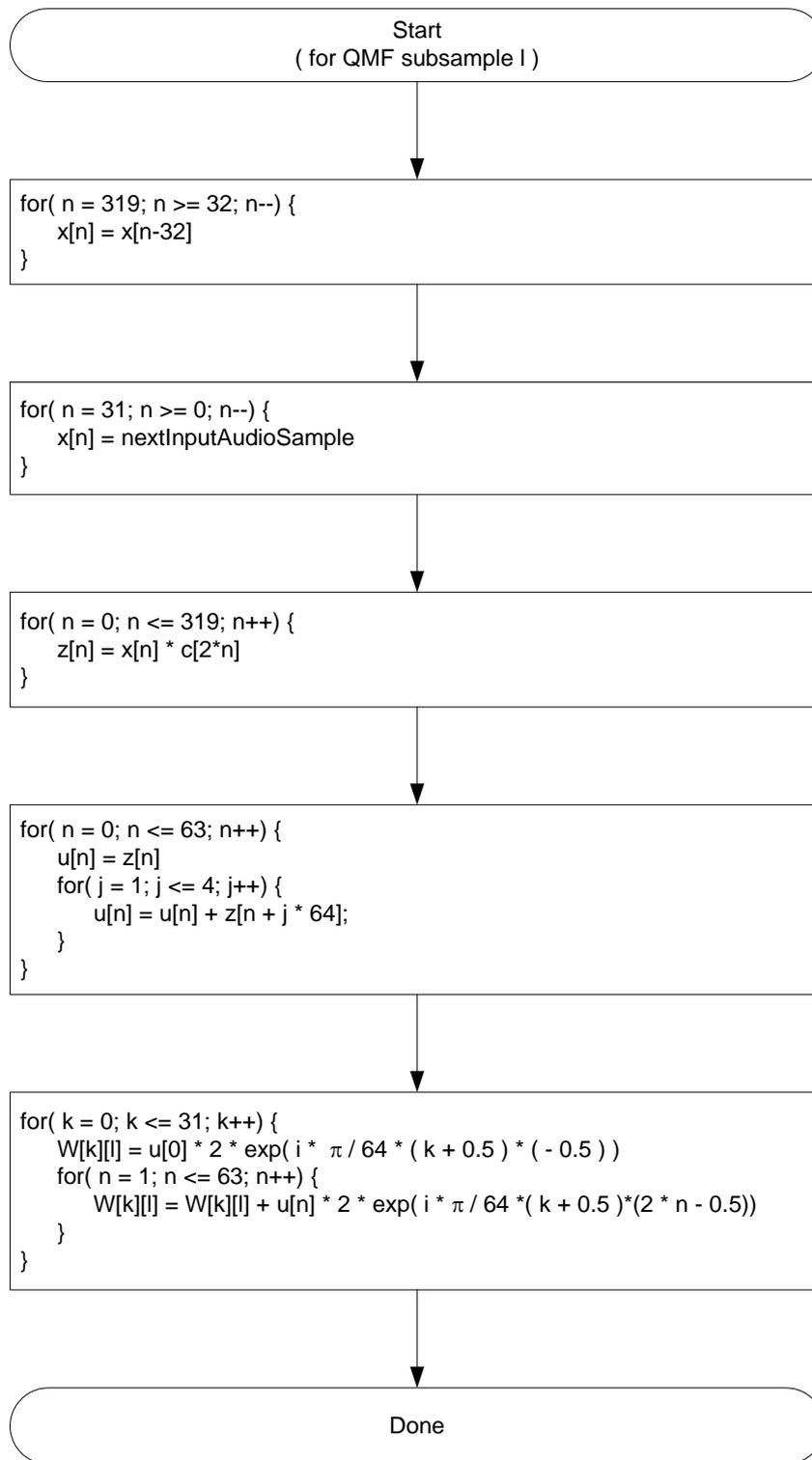


Figure 4.42 – Flowchart of decoder analysis QMF bank

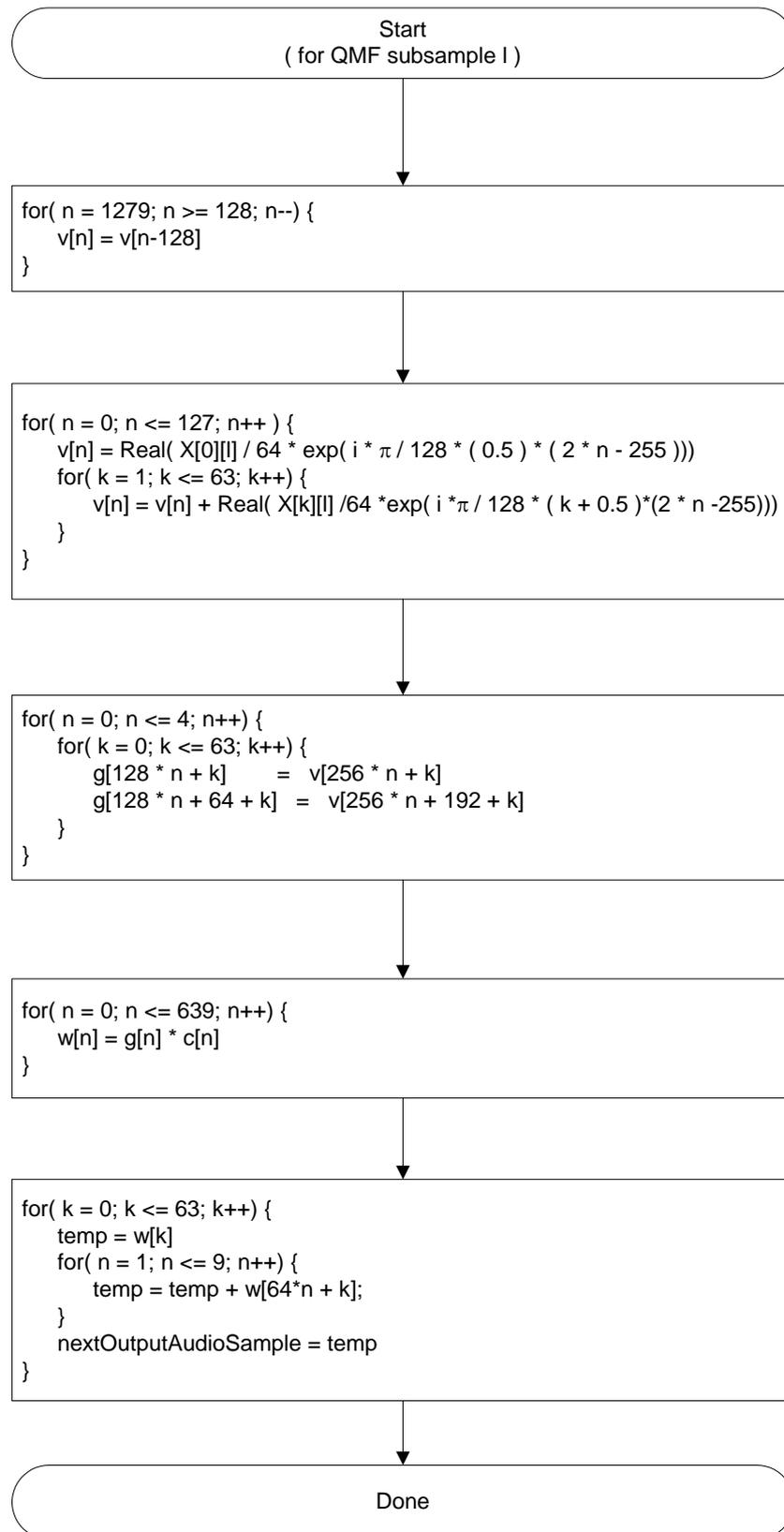


Figure 4.43 – Flowchart of decoder synthesis QMF bank

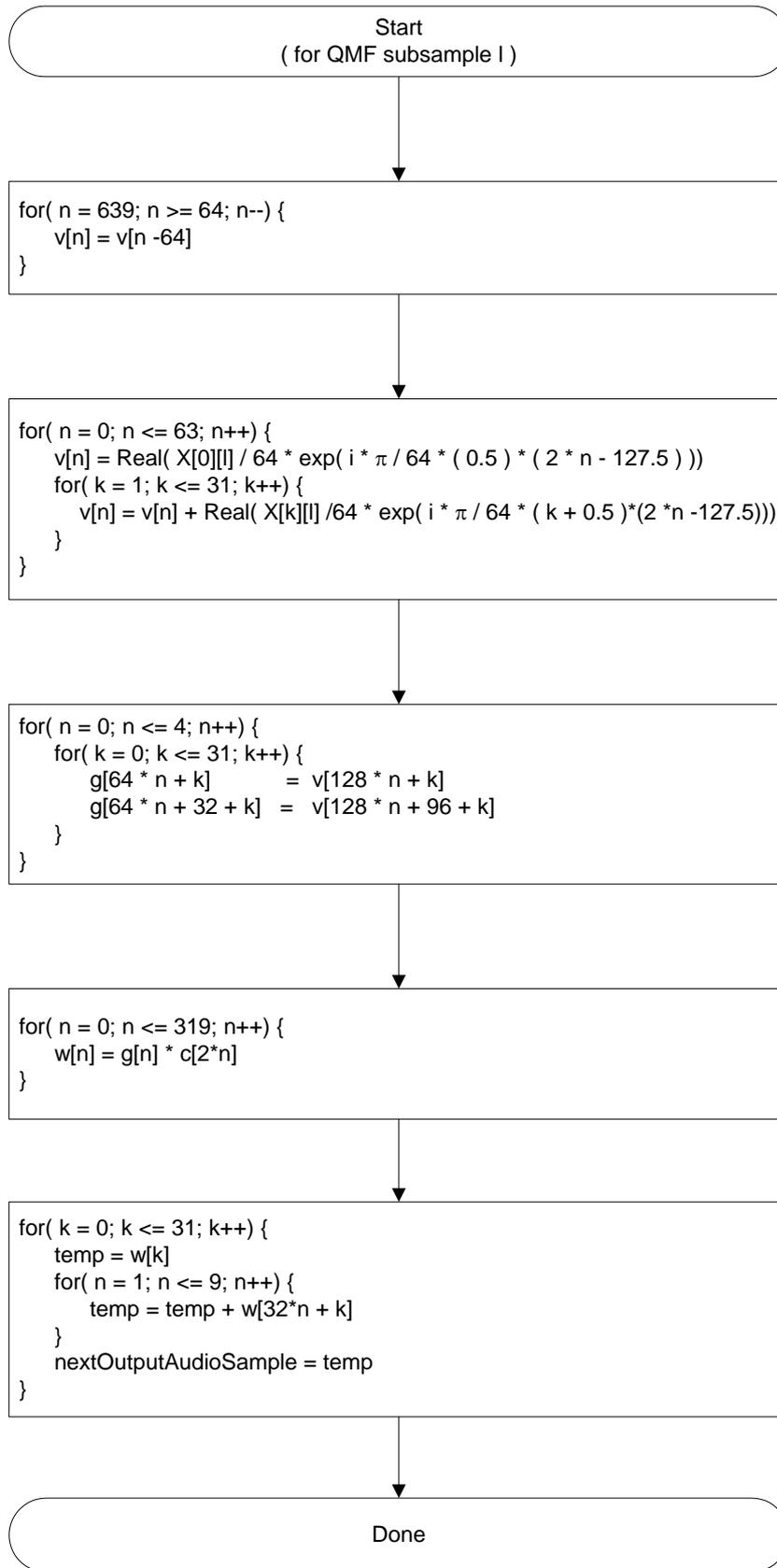


Figure 4.44 – Flowchart of decoder downsampled synthesis QMF bank

4.6.18.4.4 Complex-exponential phase-shifting in combination with the SBR QMF banks

The following subclause clarifies the allowed usage of phase-shifts in combination with the QMF analysis and synthesis filterbanks outlined in the previous subclauses. The restrictions of the phase shifts are defined in subclause 6.6.17.2.2 of ISO/IEC 14496-4:2004 *Conformance Testing*. The phase-shifts do not affect audio quality, and are allowed in order to facilitate efficient implementations. A given filterbank implementation operating in a certain sample-rate mode, i.e., normal dual-rate operation or down-sampled SBR mode, shall not have phase-shifts that are bitstream dependent.

The theory for the QMF banks used in SBR is a complex-exponential extension of the theory of cosine modulated filter banks. In cosine modulated filter banks the analysis  $h_k(n)$  and synthesis  $f_k(n)$  filters are given by

$$h_k(n) = p_0(n) \cos\left(\frac{\pi}{M}(k+0.5)\left(n-\frac{N}{2}\right) + \theta_k\right), \begin{cases} 0 \leq k < M \\ 0 \leq n \leq N \end{cases}$$

and

$$f_k(n) = p_0(n) \cos\left(\frac{\pi}{M}(k+0.5)\left(n-\frac{N}{2}\right) - \theta_k\right), \begin{cases} 0 \leq k < M \\ 0 \leq n \leq N \end{cases}$$

where  $p_0(n)$  is a real-valued symmetric low-pass prototype filter,  $M$  denotes the number of channels and  $N$  is the prototype filter order.  $\theta_k$  are channel dependent factors needed for the cancellation of the main alias terms. It can be shown that the alias cancellation constraints become obsolete when extending the cosine modulated filterbank with complex-exponential modulation. Thus, for the SBR QMF banks, both the analysis and synthesis filter coefficients are

$$h_k(n) = f_k(n) = p_0(n) \exp\left(i\frac{\pi}{M}(k+0.5)\left(n-\frac{N}{2}\right)\right), \begin{cases} 0 \leq k < M \\ 0 \leq n \leq N \end{cases}$$

Since the subband samples from the filter bank are complex-valued, an additive possibly channel-dependent phase-shift step may be appended to the analysis filterbank. These extra phase-shifts need to be compensated for before the synthesis filter bank. This is illustrated in Figure 4. where  $\alpha(k)$ ,  $0 \leq k < M$  denotes the channel dependent phase-shifts.

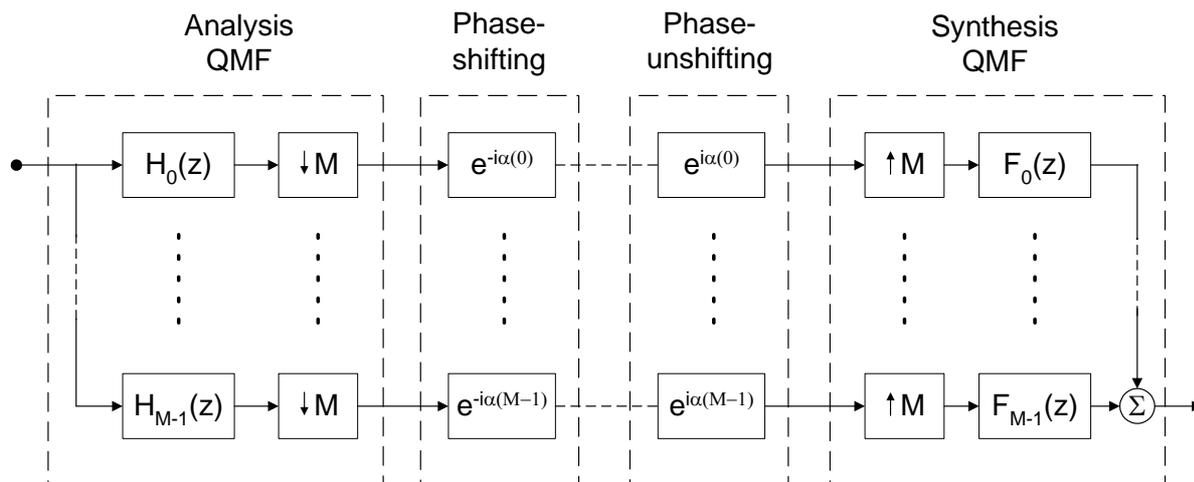


Figure 4.45 — Phase-shifting subband samples of complex-exponential modulated QMF banks

While the phase-shifting terms in principle can be of arbitrary values without destroying the operation of the QMF analysis / synthesis-chain, they are constrained to certain values by the conformance criterias. This is since the SBR signal will be affected by the choice of the phase factors while the low pass signal coming from the AAC decoder will not. The audio quality of the output signal is not affected. In subclause 6.6.17.2.2 of

ISO/IEC 14496-4:2004 *Conformance testing*, the constraints for the channel dependent phase-shifts are outlined. More specifically, the channel dependent phase-shifts  $\alpha(k)$  shall be

$$\alpha(k) = \frac{\pi}{M} \left\{ (k + 0.5) \left( \varphi + \frac{N}{2} \right) + \beta \right\}$$

where  $\varphi$  shall be limited to a value being an integer multiple of  $1/M$  and  $\beta$  shall be constrained to be an integer. Further, it is possible to identify the values of  $\alpha(k)$  for the complex QMF banks outlined in the previous subclauses. Given the modulation matrix  $\mathbf{M}$  or  $\mathbf{N}$ , for any of the QMF banks in subclauses 4.6.18.4.1 to 4.6.18.4.3, and the expression for the filter coefficients above, the phase-shifting factors are

$$\alpha(k) = -\frac{\pi}{32} (k + 0.5) \cdot 31.75$$

This corresponds to  $\varphi = 0.25$  and  $\beta = 32$  for  $M = 32$  in the subclause 6.6.17.2.2 of ISO/IEC 14496-4:2004 *Conformance testing*.

#### 4.6.18.5 SBR tool overview

The decoder block diagram of Figure 4.46 shows how the SBR parts and the AAC core decoder are interconnected. In order to synchronize the SBR envelope data and the AAC core decoder output, the SBR bitstream payload has to be time delayed with respect to the AAC core bitstream payload, i.e. the SBR parts in the encoder are operating on time delayed audio samples with respect to the AAC core encoder. To achieve a synchronized output signal, the following steps have to be acknowledged in the decoder:

- The bitstream payload deformatter divides the bitstream payload into two parts; the AAC core coder part and the SBR part.
- The SBR bitstream payload part is fed to the bitstream payload parser followed by dequantization. The raw data is Huffman decoded.
- The AAC bitstream payload part is fed to the AAC core decoder, where the bitstream payload of the current SBR frame is decoded, yielding a time domain audio signal block of 1024 samples or 960 samples depending on frame size.
- The core coder audio block is fed to the analysis QMF bank. This is illustrated in Figure 4.47 (a) by the dashed block. If a scalable core coder is used the audio block representing the highest available layer shall be used.
- The analysis QMF bank performs the filtering of the core coder audio signal. Subclause 4.6.18.4.1 describes the analysis filter bank and Figure 4.47 (a) shows the timing of the analysis windowing. The subband filtered low band is defined by  $\mathbf{X}_{Low}$  according to:

$$\mathbf{X}_{Low}(k, l) = \begin{cases} \mathbf{W}(k, l - t_{HFGen}) & , 0 \leq k < k_x, t_{HFGen} \leq l < l_f + t_{HFGen} \\ 0 & , k_x \leq k < 32, t_{HFGen} \leq l < l_f + t_{HFGen} \\ \mathbf{W}'(k, l + l_f - t_{HFGen}) & , 0 \leq k < k'_x, 0 \leq l < t_{HFGen} \\ 0 & , k'_x \leq k < 32, 0 \leq l < t_{HFGen} \end{cases}$$

where  $\mathbf{W}'$  is the  $\mathbf{W}$  matrix from the previous frame, and  $k'_x$  is the  $k_x$  value from the previous frame, and where

$l_f = numTimeSlots \cdot RATE$ . If scalable SBR is used, or if the SBR tool is used for pure upsampling without SBR processing, the following apply instead of the equation above:

$$\mathbf{X}_{Low}(k, l) = \begin{cases} \mathbf{W}(k, l - t_{HFGen}) & , 0 \leq k < 32, t_{HFGen} \leq l < l_f + t_{HFGen} \\ \mathbf{W}'(k, l + l_f - t_{HFGen}) & , 0 \leq k < 32, 0 \leq l < t_{HFGen} \end{cases}$$

- The output from the analysis QMF bank is hence delayed  $t_{HFGen}$  subband samples, before being fed to the synthesis QMF bank. To achieve synchronization  $t_{HFGen} = 8$ . The resulting subband samples are shown in Figure 4.47 (b) as the upper dashed block.
- The HF generator calculates  $\mathbf{X}_{High}$  given the matrix  $\mathbf{X}_{Low}$  according to the scheme outlined in subclause 4.6.18.6. The process is guided by the SBR data contained in the current SBR frame. The result is illustrated by the dashed block in Figure 4.47 (b).
- The envelope adjuster outlined in subclause 4.6.18.7 calculates the matrix  $\mathbf{Y}$  given the matrix  $\mathbf{X}_{High}$  and the SBR envelope data, extracted from the SBR bitstream payload. To achieve synchronization,  $t_{HFAdj}$  has to be set to  $t_{HFAdj} = 2$ , i.e. the envelope adjuster operates on data delayed  $t_{HFGen} - t_{HFAdj}$  subband samples.
- The synthesis QMF bank operates on the output from the analysis QMF bank and the output from the envelope adjuster. It first creates the matrix  $\mathbf{X}$  from these outputs according to:

$$\mathbf{X}(k, l) = \begin{cases} \mathbf{X}_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k'_x + bsc0', 0 \leq l < l_{Temp} \\ \mathbf{Y}(k, l + t_{HFAdj} + l_f) & , k'_x + bsc0' \leq k < k'_x + M', 0 \leq l < l_{Temp} \\ 0 & , \max(k'_x + bsc0', k'_x + M') \leq k < 64, 0 \leq l < l_{Temp} \\ \mathbf{X}_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k_x + bsc0, l_{Temp} \leq l < l_f \\ \mathbf{Y}(k, l + t_{HFAdj}) & , k_x + bsc0 \leq k < k_x + M, l_{Temp} \leq l < l_f \\ 0 & , \max(k_x + bsc0, k_x + M) \leq k < 64, l_{Temp} \leq l < l_f \end{cases}$$

where  $l_f = numTimeSlots \cdot RATE$ , and where  $l_{Temp} = RATE \cdot t_E'(L_E') - numTimeSlots \cdot RATE$ , and ' $t_E'$ ' indicates the value of the previous SBR frame. At start-up  $l_{Temp}$ ,  $k'_x$  and  $bsc0'$  are set to zero. Where  $bsc0 = 0$  unless a scalable core coder is used, for which  $bsc0 = \max(INT(maxAACLine \cdot 32 / frameLength) - k_x, 0)$ , and where  $bsc0 = \max(INT(maxAACLine \cdot 32 / frameLength) - lsb, 0)$ , and where

$$maxAACLine = \begin{cases} swb\_offset\_long\_window[\max(max\_sfb - 1, 0)] & , \text{for long blocks} \\ 8 \cdot swb\_offset\_short\_window[\max(max\_sfb - 1, 0)] & , \text{for short blocks} \end{cases}$$

If the SBR tool is used for pure upsampling without SBR processing, the matrix  $\mathbf{X}$  is created according to :

$$\mathbf{X}(k, l) = \begin{cases} \mathbf{X}_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < 32, 0 \leq l < numTimeSlots \cdot RATE \\ 0 & , 32 \leq k < 64, 0 \leq l < numTimeSlots \cdot RATE \end{cases}$$

Subsequently, the subband sample matrix

$$\mathbf{X}(k, l), 0 \leq k < 64, 0 \leq l < numTimeSlots \cdot RATE,$$

is synthesized in the synthesis QMF bank in accordance to subclause 4.6.18.4.2. The resulting output samples are shown as the dashed block of Figure 4.47 (c) (Figure 4.47 (d) if downsampled SBR is used), where also the timing of the synthesis windows is shown.

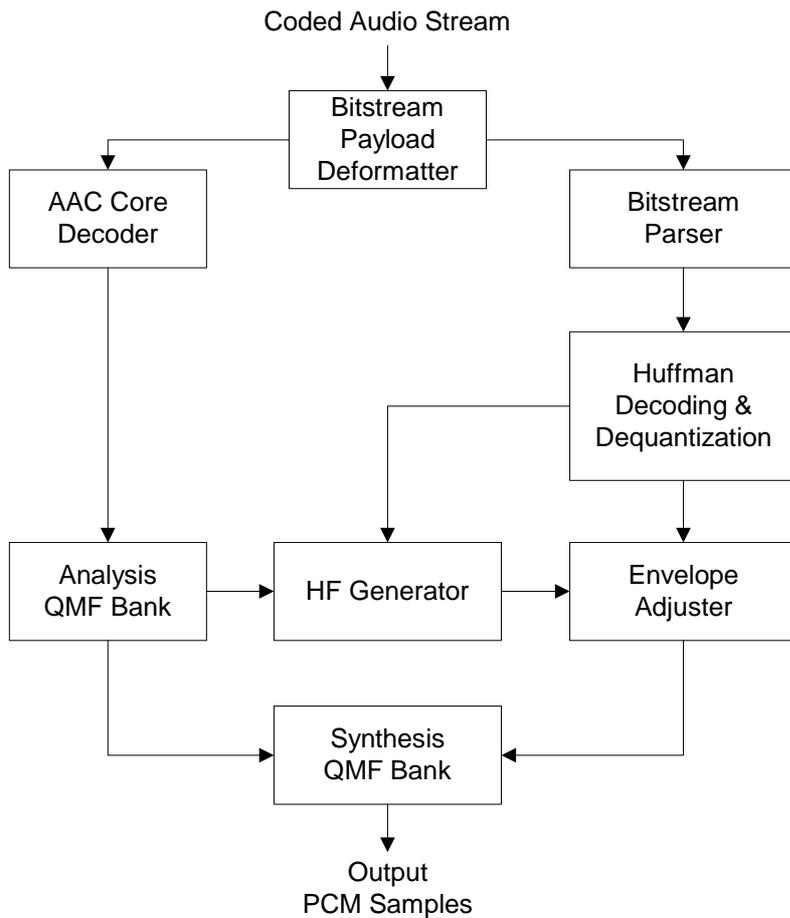
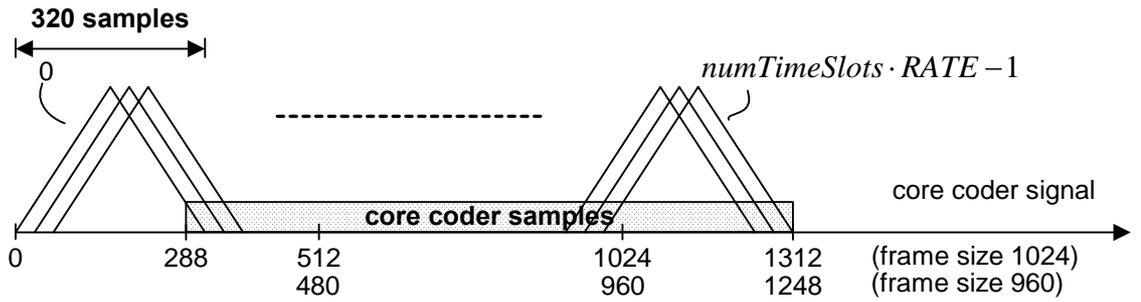
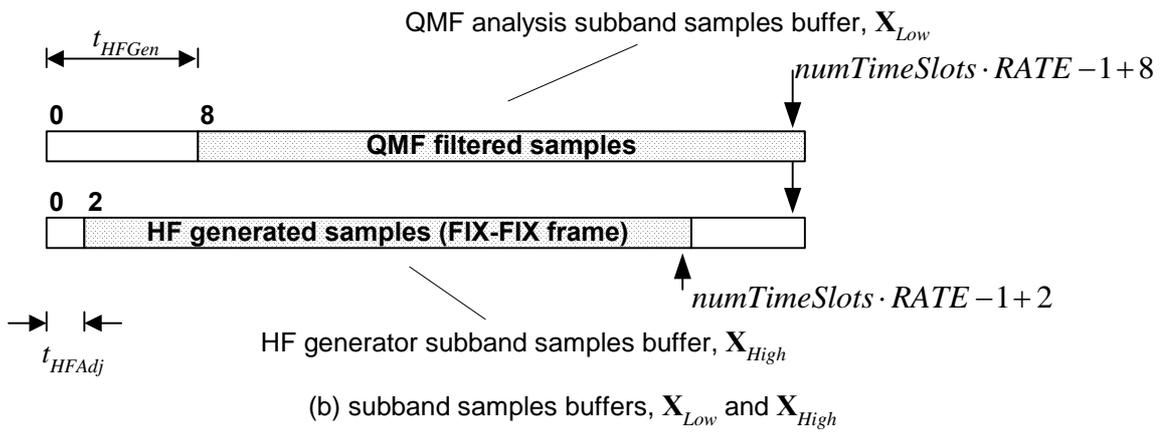


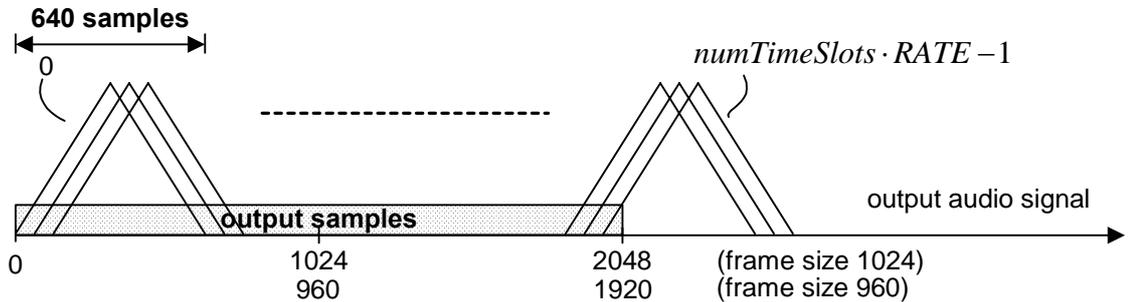
Figure 4.46 – Decoder block diagram



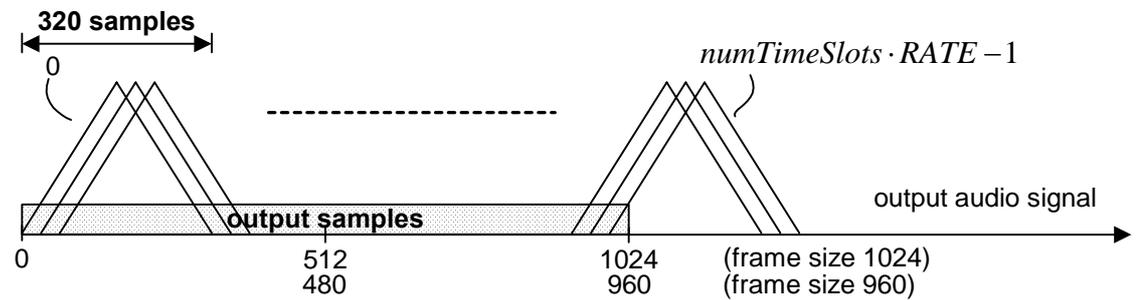
(a) core coder signal QMF analysis windowing



(b) subband samples buffers,  $X_{Low}$  and  $X_{High}$



(c) output signal QMF synthesis windowing



(d) output signal downsampled QMF synthesis windowing

Figure 4.47 – Synchronization and timing

4.6.18.6 HF generation

4.6.18.6.1 Introduction

The objective of the HF generator is to patch, or copy, a number of subband signals obtained from the analysis filterbank from consecutive subbands of matrix  $\mathbf{X}_{Low}$  to consecutive subbands of matrix  $\mathbf{X}_{High}$ . The definition of the patching, i.e. the number of patches and the source ranges for the individual patches, is described by the vectors **patchNumSubbands** and **patchStartSubband**, and the variable *numPatches*. The subband signals  $\mathbf{X}_{High}$  are inverse filtered according to the inverse filtering levels signaled from the encoder.

4.6.18.6.2 Inverse filtering

The inverse filtering is done in two steps. Linear prediction is first performed on the subband signals of  $\mathbf{X}_{Low}$ . Then the actual inverse filtering is done independently for each of the subband signals patched to  $\mathbf{X}_{High}$  by the HF generator. The subband signals are complex valued, which results in complex filter coefficients for the linear prediction as well as for the inverse filtering. The prediction filter coefficients are obtained from the covariance method. The covariance matrix elements calculated are:

$$\phi_k(i, j) = \sum_{n=0}^{numTimeSlots \cdot RATE + 6 - 1} \mathbf{X}_{Low}(k, n - i + t_{HFAdj}) \cdot \mathbf{X}_{Low}^*(k, n - j + t_{HFAdj}) \cdot \begin{cases} 0 \leq i < 3 \\ 1 \leq j < 3 \\ 0 \leq k < k_0 \end{cases}$$

The coefficients  $\alpha_0(k)$  and  $\alpha_1(k)$  used to filter the subband signal are calculated as:

$$d(k) = \phi_k(2, 2) \cdot \phi_k(1, 1) - \frac{1}{1 + \epsilon_{Inv}} |\phi_k(1, 2)|^2,$$

$$\alpha_1(k) = \begin{cases} \frac{\phi_k(0, 1) \cdot \phi_k(1, 2) - \phi_k(0, 2) \cdot \phi_k(1, 1)}{d(k)} & , d(k) \neq 0 \\ 0 & , d(k) = 0 \end{cases},$$

$$\alpha_0(k) = \begin{cases} -\frac{\phi_k(0, 1) + \alpha_1(k) \cdot \phi_k^*(1, 2)}{\phi_k(1, 1)} & , \phi_k(1, 1) \neq 0 \\ 0 & , \phi_k(1, 1) = 0 \end{cases}.$$

In the first formula above  $\epsilon_{Inv}$  is the relaxation parameter ( $\epsilon_{Inv} = 1E-6$ ). Moreover, if either of the magnitudes of  $\alpha_0(k)$  and  $\alpha_1(k)$  is greater than or equal to 4, both coefficients are set to zero.

The calculation of the chirp factors, **bwArray**, is shown below. Each chirp factor is used within a specific frequency range defined by the noise floor frequency band table,  $\mathbf{f}_{TableNoise}$ .

$$\mathbf{bwArray}(i) = \begin{cases} 0 & \text{if } \mathbf{tempBw}(i) < 0.015625 \\ \mathbf{tempBw}(i) & \text{if } \mathbf{tempBw}(i) \geq 0.015625 \end{cases}, \quad 0 \leq i < N_Q$$

where **tempBw**(*i*) is calculated as

$$\mathbf{tempBw}(i) = \begin{cases} 0.75000 \cdot newBw + 0.25000 \cdot \mathbf{bwArray}'(i) & \text{if } newBw < \mathbf{bwArray}'(i) \\ 0.90625 \cdot newBw + 0.09375 \cdot \mathbf{bwArray}'(i) & \text{if } newBw \geq \mathbf{bwArray}'(i) \end{cases}, \quad 0 \leq i < N_Q$$

**bwArray'** are the **bwArray** values calculated in the previous SBR frame, and are assumed to be zero for the first SBR frame. *newBw* is a function of **bs\_invf\_mode**(*i*) and **bs\_invf\_mode'**(*i*), given by Table 4.175, where **bs\_invf\_mode'** are the **bs\_invf\_mode** values from the previous SBR frame, and are assumed to be zero for the first frame.

Table 4.175 – *newBw* function

<b>bs_invf_mode(i)</b>	<b>bs_invf_mode(i)</b>			
	Off	Low	Intermediate	Strong
Off	0.0	0.6	0.9	0.98
Low	0.6	0.75	0.9	0.98
Intermediate	0.0	0.75	0.9	0.98
Strong	0.0	0.75	0.9	0.98

#### 4.6.18.6.3 HF generator

The patch is built in accordance to the flowchart of Figure 4.48, where the output variable *numPatches* is an integer value specifying the number of patches. **patchStartSubband** and **patchNumSubbands** are vectors holding the data output from the patch decision algorithm.

The HF generation is obtained according to:

$$\mathbf{X}_{High}(k, l + t_{HFAdj}) = \mathbf{X}_{Low}(p, l + t_{HFAdj}) + \mathbf{bwArray}(g(k)) \cdot \alpha_0(p) \cdot \mathbf{X}_{Low}(p, l - 1 + t_{HFAdj}) + [\mathbf{bwArray}(g(k))]^2 \cdot \alpha_1(p) \cdot \mathbf{X}_{Low}(p, l - 2 + t_{HFAdj}),$$

where  $g(k)$  is defined by  $\mathbf{f}_{TableNoise}(g(k)) \leq k < \mathbf{f}_{TableNoise}(g(k)+1)$  and

$$\begin{cases} k = k_x + x + \sum_{q=0}^{i-1} \mathbf{patchNumSubbands}(q) \\ p = \mathbf{patchStartSubband}(i) + x \end{cases}$$

for  $0 \leq x < \mathbf{patchNumSubbands}(i)$ ,  $0 \leq i < \mathbf{numPatches}$ ,  $RATE \cdot \mathbf{t}_E(0) \leq l < RATE \cdot \mathbf{t}_E(L_E)$ .

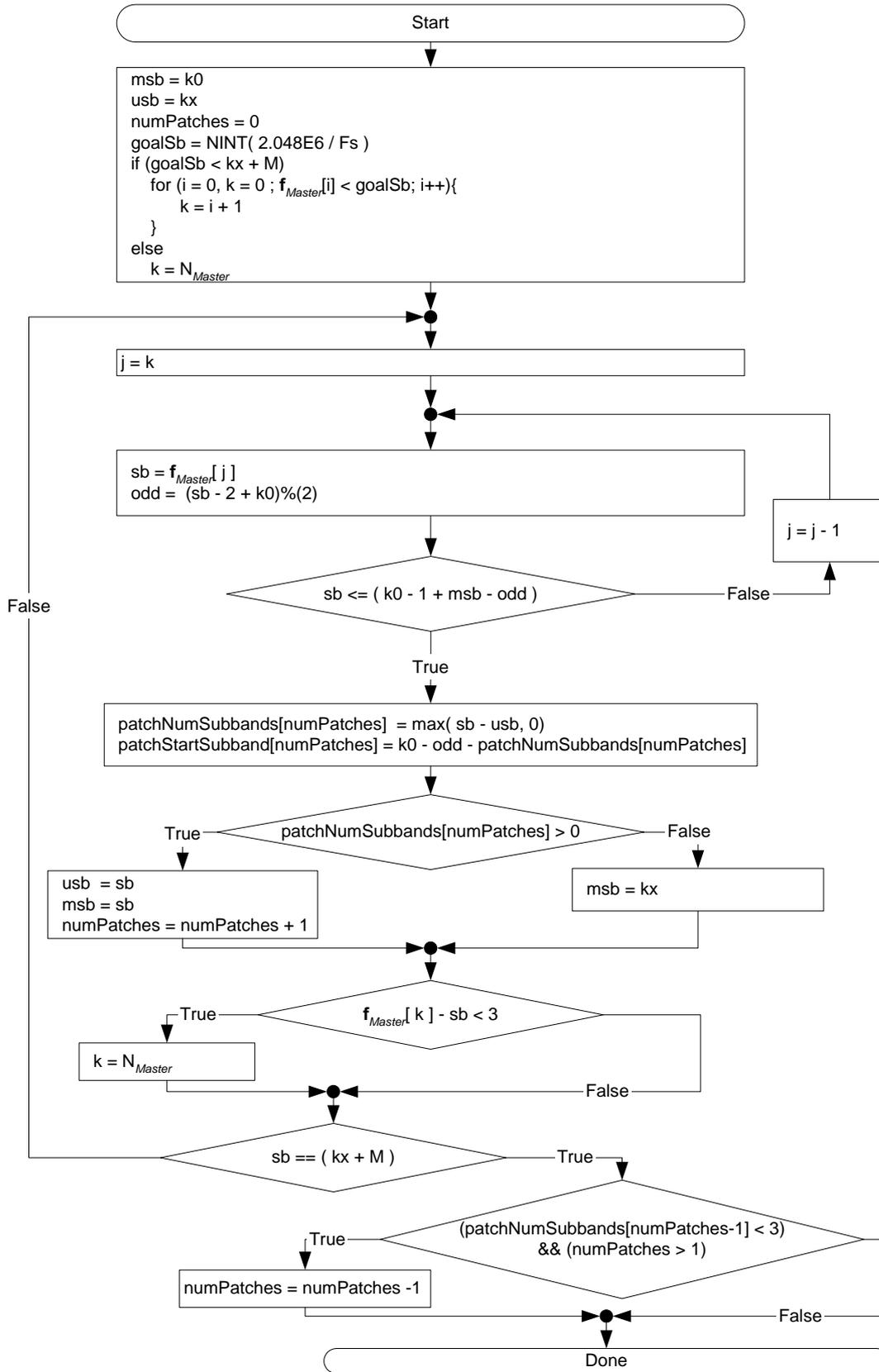


Figure 4.48 – Flowchart of patch construction

#### 4.6.18.7 HF adjustment

##### 4.6.18.7.1 Introduction

The envelope adjuster takes the input QMF-matrix  $\mathbf{X}_{High}$  and produces the output QMF matrix  $\mathbf{Y}$ . The envelope adjustment is done upon the entire SBR range covering  $M$  QMF subbands, starting on subband  $k_x$ , for the time-frame spanned by the current SBR frame (indicated by the vector  $\mathbf{t}_E$ ). Throughout the description below several temporary vectors and matrices are introduced in order to make the explanation stringent. All temporary matrices and vectors are indexed from zero, removing the  $k_x$  offset. The below description of the envelope adjustment is channel independent, and outlined for one channel only, and for one SBR frame only. Variables used below that originate from the processing of the previous SBR frame, are assumed to be zero for the first SBR frame.

##### 4.6.18.7.2 Mapping

Some of the data extracted from the bitstream payload are vectors (or matrices) containing data elements representing a frequency range of several QMF subbands. In order to simplify the explanation below, and sometimes out of necessity, this grouped data is mapped to the highest available frequency resolution for the envelope adjustment, i.e. to the individual QMF subbands within the SBR range. This means that several adjacent subbands in the mapped vectors (or matrices) will have the same value.

The mapping of the envelope scalefactors and the noise floor scalefactors is outlined below. The SBR envelope is mapped to the resolution of the QMF bank, albeit with preserved time resolution. The noise floor scalefactors are also mapped to the frequency resolution of the filterbank, but with the time resolution of the envelope scalefactors.

$$\mathbf{E}_{OrigMapped}(m - k_x, l) = \mathbf{E}_{Orig}(i, l) \quad , \mathbf{F}(i, \mathbf{r}(l)) \leq m < \mathbf{F}(i+1, \mathbf{r}(l)), 0 \leq i < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E$$

$$\mathbf{Q}_{Mapped}(m - k_x, l) = \mathbf{Q}_{Orig}(i, k(l)) \quad , \mathbf{f}_{TableNoise}(i) \leq m < \mathbf{f}_{TableNoise}(i+1), 0 \leq i < N_Q, 0 \leq l < L_E$$

where  $k(l)$  is defined by  $RATE \cdot \mathbf{t}_E(l) \geq RATE \cdot \mathbf{t}_Q(k(l)), RATE \cdot \mathbf{t}_E(l+1) \leq RATE \cdot \mathbf{t}_Q(k(l)+1)$  , and  $\mathbf{F}(i, \mathbf{r}(l))$  is indexed as row, column i.e.  $\mathbf{F}(i, \mathbf{r}(l))$  gives  $\mathbf{f}_{TableLow}(i)$  for  $\mathbf{r}(l) = LO$  and  $\mathbf{f}_{TableHigh}(i)$  for  $\mathbf{r}(l) = HI$  .

The mapping of the additional sinusoids is done below. In order to simplify two matrices are introduced,  $\mathbf{S}_{IndexMapped}$  and  $\mathbf{S}_{Mapped}$ . The former is a binary matrix indicating in which QMF subbands sinusoids should be added, the latter is a matrix used to compensate the energy-values for the frequency bands where a sinusoid is added. If the bitstream payload indicates a sinusoid in a QMF subband where there was none present in the previous SBR frame, the generated sine should start at the position indicated by  $l_A$  (Table 4.176) in the present SBR frame. The generated sinusoid is placed in the middle of the high frequency resolution band, according to the below:

Let,

$$\mathbf{S}_{Index}(i) = \begin{cases} \mathbf{bs\_add\_harmonic}(i) & , \mathbf{bs\_add\_harmonic\_flag} = 1 \\ 0 & , \mathbf{bs\_add\_harmonic\_flag} = 0 \end{cases}, 0 \leq i < N_{High}$$

$$\mathbf{S}_{IndexMapped}(m - k_x, l) = \begin{cases} 0 & \text{if } m \neq INT\left(\frac{\mathbf{f}_{TableHigh}(i+1) + \mathbf{f}_{TableHigh}(i)}{2}\right) \\ \mathbf{S}_{Index}(i) \cdot \delta_{Step}(m - k_x, l) & \text{if } m = INT\left(\frac{\mathbf{f}_{TableHigh}(i+1) + \mathbf{f}_{TableHigh}(i)}{2}\right) \end{cases}$$

for  $\mathbf{f}_{TableHigh}(i) \leq m < \mathbf{f}_{TableHigh}(i+1)$ ,  $0 \leq i < N_{High}$ ,  $0 \leq l < L_E$

where

$$\delta_{Step}(m,l) = \begin{cases} 1 & \text{if } (l \geq l_A) \text{ OR } (S'_{IndexMapped}(m, L'_E - 1) = 1) \\ 0 & \text{otherwise} \end{cases},$$

and where  $l_A$  is defined according to the table below,

**Table 4.176 – Table for calculation of  $l_A$**

<i>bs_pointer</i>	<i>bs_frame_class</i>		
	<i>FIXFIX</i>	<i>FIXVAR,VARVAR</i>	<i>VARFIX</i>
=0	-1	-1	-1
=1	-1	$L_E+1-bs\_pointer$	-1
>1	-1	$L_E+1-bs\_pointer$	$bs\_pointer-1$

and  $S'_{IndexMapped}$  is  $S_{IndexMapped}$  of the previous SBR frame for the same frequency range. If the frequency range is larger for the current frame, the entries for the QMF subbands not covered by the previous  $S_{IndexMapped}$  are assumed to be zero.

The frequency resolution of the transmitted information on additional sinusoids is constant, therefore the varying frequency resolution of the envelope scalefactors needs to be considered. Since the frequency resolution of the envelope scalefactors is always coarser or as fine as that of the additional sinusoid data, the varying frequency resolution is handled according to the below:

$$S_{Mapped}(m - k_x, l) = \delta_S(i, l), l_i \leq m < u_i, \begin{cases} u_i = \mathbf{F}(i+1, \mathbf{r}(l)) \\ l_i = \mathbf{F}(i, \mathbf{r}(l)) \end{cases}$$

for  $0 \leq i < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E$

where

$$\delta_S(i, l) = \begin{cases} 1 & , 1 \in \{S_{IndexMapped}(j - k_x, l) : \mathbf{F}(i, \mathbf{r}(l)) \leq j < \mathbf{F}(i+1, \mathbf{r}(l))\} \\ 0 & , \text{otherwise} \end{cases}.$$

The  $\delta_S(i, l)$  function returns one if any entry in the  $S_{IndexMapped}$  matrix is one within the given boundaries, i.e. if an additional sinusoid is present within the present frequency band. The  $S_{Mapped}$  matrix is hence one for all QMF subbands in the scalefactor bands where an additional sinusoid shall be added.

**4.6.18.7.3 Estimation of current envelope**

In order to envelope adjust the present SBR frame, the envelope of the current SBR signal needs to be estimated. This is done according to below, dependent on the data element *bs\_interpol\_freq*. The SBR envelope is estimated by averaging the squared complex subband samples over different time and frequency regions, given by the time/frequency grid represented by  $t_E$  and  $\mathbf{r}$ .

If interpolation (*bs\_interpol\_freq* = 1) is used:

$$\mathbf{E}_{Curr}(m, l) = \frac{\sum_{i=RATE \cdot \mathbf{t}_E(l) + t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1) - 1 + t_{HFAdj}} |\mathbf{X}_{High}(m + k_x, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l))}, \quad 0 \leq m < M, 0 \leq l < L_E$$

else, no interpolation ( $bs\_interpol\_freq = 0$ ):

$$\mathbf{E}_{Curr}(k - k_x, l) = \frac{\sum_{i=RATE \cdot \mathbf{t}_E(l) + t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1) - 1 + t_{HFAdj}} \sum_{j=k_l}^{k_h} |\mathbf{X}_{High}(j, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l)) \cdot (k_h - k_l + 1)},$$

$$k_l \leq k \leq k_h, \begin{cases} k_l = \mathbf{F}(p, \mathbf{r}(l)) \\ k_h = \mathbf{F}(p+1, \mathbf{r}(l)) - 1 \end{cases}, \quad 0 \leq p < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E$$

If interpolation is used, the energies are averaged over every QMF filterbank subband, else the energies are averaged over every frequency band. In either case, the energies are stored with the frequency resolution of the QMF filterbank. Hence the  $\mathbf{E}_{Curr}$  matrix has  $L_E$  columns (one for every SBR envelope) and  $M$  rows (the number of QMF subbands covered by the SBR range).

#### 4.6.18.7.4 Calculation of levels of additional HF signal components

The noise floor scalefactor is the ratio between the energy of the noise to be added to the envelope adjusted HF generated signal  $\mathbf{X}_{High}$  and the energy of the same. Hence, in order to add the correct amount of noise, the noise floor scalefactor needs to be converted to a proper amplitude value, according to the following.

$$\mathbf{Q}_M(m, l) = \sqrt{\mathbf{E}_{OrigMapped}(m, l) \cdot \frac{\mathbf{Q}_{Mapped}(m, l)}{1 + \mathbf{Q}_{Mapped}(m, l)}}, \quad 0 \leq m < M, 0 \leq l < L_E$$

The level of the sinusoids are derived from the SBR envelope scalefactors according to below.

$$\mathbf{S}_M(m, l) = \sqrt{\mathbf{E}_{OrigMapped}(m, l) \cdot \frac{\mathbf{S}_{IndexMapped}(m, l)}{1 + \mathbf{Q}_{Mapped}(m, l)}}, \quad 0 \leq m < M, 0 \leq l < L_E$$

#### 4.6.18.7.5 Calculation of gain

The gain to be applied for the subband samples in order to retain the correct envelope is calculated according to below. The level of additional sinusoids, as well as the level of the additional added noise, are taken into account.

$$\mathbf{G}(m, l) = \begin{cases} \sqrt{\frac{\mathbf{E}_{OrigMapped}(m, l)}{(\varepsilon + \mathbf{E}_{Curr}(m, l)) \cdot (1 + \delta(l) \cdot \mathbf{Q}_{Mapped}(m, l))}} & \text{if } \mathbf{S}_{Mapped}(m, l) = 0 \\ \sqrt{\frac{\mathbf{E}_{OrigMapped}(m, l) \cdot \mathbf{Q}_{Mapped}(m, l)}{(\varepsilon + \mathbf{E}_{Curr}(m, l)) \cdot (1 + \mathbf{Q}_{Mapped}(m, l))}} & \text{if } \mathbf{S}_{Mapped}(m, l) \neq 0 \end{cases}, \quad 0 \leq m < M, 0 \leq l < L_E$$

where

$$\delta(l) = \begin{cases} 0 & \text{if } l = l_A \text{ OR } l = l_{APrev} \\ 1 & \text{otherwise} \end{cases},$$

and where

$$l_{APrev} = \begin{cases} 0 & \text{if } l'_A = L'_E \\ -1 & \text{otherwise} \end{cases}$$

is introduced, derived from  $l'_A$  and  $L'_E$ , which are the  $l_A$  and  $L_E$  values of the previous SBR frame.

In order to avoid unwanted noise substitution, the gain values are limited according to the following. Furthermore, the total level of a particular limiter band is adjusted in order to compensate for the energy-loss imposed by the limiter.

$$\mathbf{G}_{MaxTemp}(k, l) = \sqrt{\frac{\varepsilon_0 + \sum_{i=f_{TableLim}(k)-k_x}^{f_{TableLim}(k+1)-1-k_x} \mathbf{E}_{OrigMapped}(i, l)}{\varepsilon_0 + \sum_{i=f_{TableLim}(k)-k_x}^{f_{TableLim}(k+1)-1-k_x} \mathbf{E}_{Curr}(i, l)}} \cdot \mathbf{limGain}(bs\_limiter\_gains), \quad 0 \leq k < N_L, 0 \leq l < L_E$$

$$\mathbf{G}_{Max}(m, l) = \min(\mathbf{G}_{MaxTemp}(k(m), l), 10^5), \quad 0 \leq m < M, 0 \leq l < L_E$$

where  $k(m)$  is defined by  $f_{TableLim}(k(m)) \leq m + k_x < f_{TableLim}(k(m) + 1)$ ,

and where  $\mathbf{limGain} = [0.70795, 1.0, 1.41254, 10^{10}]$ , and where  $\varepsilon_0 = 10^{-12}$ .

The additional noise added to the HF generated signal is limited in proportion to the energy lost due to the limitation of the gain values, according to the following:

$$\mathbf{Q}_{MLim}(m, l) = \min\left(\mathbf{Q}_M(m, l), \mathbf{Q}_M(m, l) \cdot \frac{\mathbf{G}_{Max}(m, l)}{\mathbf{G}(m, l)}\right), \quad 0 \leq m < M, 0 \leq l < L_E$$

The gain values are limited according to the following:

$$\mathbf{G}_{Lim}(m, l) = \min(\mathbf{G}(m, l), \mathbf{G}_{Max}(m, l)), \quad 0 \leq m < M, 0 \leq l < L_E$$

As mentioned above, the limiter is compensated for by adjusting the total gain for a limiter band, in proportion to the lost energy due to limitation. This is calculated according to the following:

$$\mathbf{G}_{BoostTemp}(k, l) = \sqrt{\frac{\varepsilon_0 + \sum_{i=f_{TableLim}(k)-k_x}^{f_{TableLim}(k+1)-1-k_x} \mathbf{E}_{OrigMapped}(i, l)}{\varepsilon_0 + \sum_{i=f_{TableLim}(k)-k_x}^{f_{TableLim}(k+1)-1-k_x} (\mathbf{E}_{Curr}(i, l) \cdot \mathbf{G}_{Lim}^2(i, l) + \mathbf{S}_M^2(i, l) + \delta(\mathbf{S}_M(i, l), l) \cdot \mathbf{Q}_{MLim}^2(i, l))}}$$

for  $0 \leq k < N_L, 0 \leq l < L_E$  where,  $\delta(\mathbf{S}_M(i, l), l) = \begin{cases} 0 & , \mathbf{S}_M(i, l) \neq 0 \text{ OR } l = l_A \text{ OR } l = l_{Aprev} \\ 1 & , \text{otherwise} \end{cases}$ .

The compensation, or boost factor, is limited in order not to get too high energy values, according to:

$$\mathbf{G}_{Boost}(m, l) = \min(\mathbf{G}_{BoostTemp}(k(m), l), 1.584893192), \quad 0 \leq m < M, 0 \leq l < L_E$$

where  $k(m)$  is defined by  $f_{TableLim}(k(m)) \leq m + k_x < f_{TableLim}(k(m) + 1)$ , and where  $\varepsilon_0 = 10^{-12}$ .

This compensation is applied to the gain, the noise floor scalefactors and the sinusoid levels, according to below.

$$\mathbf{G}_{LimBoost}(m, l) = \mathbf{G}_{Lim}(m, l) \cdot \mathbf{G}_{Boost}(m, l), \quad 0 \leq m < M, 0 \leq l < L_E$$

$$\mathbf{Q}_{M_{LimBoost}}(m,l) = \mathbf{Q}_{M_{Lim}}(m,l) \cdot \mathbf{G}_{Boost}(m,l), \quad 0 \leq m < M, 0 \leq l < L_E$$

$$\mathbf{S}_{MBoost}(m,l) = \mathbf{S}_M(m,l) \cdot \mathbf{G}_{Boost}(m,l), \quad 0 \leq m < M, 0 \leq l < L_E$$

#### 4.6.18.7.6 Assembling HF signals

Analogous to the mapping of SBR envelope data and noise floor data to a higher time and frequency resolution, the gain values, representing a time-span of several QMF subsamples, are mapped to the highest time-resolution available for the envelope adjustment, i.e. to the individual QMF subsamples within the current SBR frame.

The gain values to be applied to the subband samples are smoothed using the filter  $\mathbf{h}_{Smooth}$ . The variable  $h_{SL}$  is used to control whether smoothing is applied or not, according to:

$$h_{SL} = \begin{cases} 4 & , bs\_smoothing\_mode = 0 \\ 0 & , bs\_smoothing\_mode = 1 \end{cases} \text{ and the filter used is defined as following:}$$

$$\mathbf{h}_{Smooth} = \begin{bmatrix} 0.333333333333333 \\ 0.30150283239582 \\ 0.21816949906249 \\ 0.11516383427084 \\ 0.03183050093751 \end{bmatrix}.$$

The smoothed gain values  $\mathbf{G}_{Filt}$  are calculated according to the following equation:

$$\mathbf{G}_{Temp}(m, i + h_{SL}) = \mathbf{G}_{LimBoost}(m, l), \quad RATE \cdot \mathbf{t}_E(l) \leq i < RATE \cdot \mathbf{t}_E(l + 1), 0 \leq l < L_E, 0 \leq m < M$$

$$\mathbf{G}_{Filt}(m, i) = \begin{cases} \sum_{j=0}^{h_{SL}} \mathbf{G}_{Temp}(m, i - j + h_{SL}) \cdot \mathbf{h}_{Smooth}(j) & \text{if } l \neq l_A \text{ AND } h_{SL} \neq 0 \text{ AND } l \neq l_{APrev} \\ \mathbf{G}_{Temp}(m, i + h_{SL}) & \text{otherwise} \end{cases}$$

for  $RATE \cdot \mathbf{t}_E(l) \leq i < RATE \cdot \mathbf{t}_E(l + 1), 0 \leq m < M, 0 \leq l < L_E$

The first  $h_{SL}$  columns of the  $\mathbf{G}_{Temp}$  matrix are the last  $h_{SL}$  columns of the  $\mathbf{G}_{Temp}$  matrix of the previous SBR frame, unless the reset-flag is set ( $reset=1$ ) for which case the first  $h_{SL}$  columns of the  $\mathbf{G}_{Temp}$  matrix are equal to  $\mathbf{G}_{LimBoost}(m, 0)$  for all QMF subbands within the SBR range.

The smoothed gain values are applied to the input subband matrix  $\mathbf{X}_{High}$ , for all SBR envelopes of the current SBR frame, according to:

$$\mathbf{W}_1(m, i) = \mathbf{G}_{Filt}(m, i) \cdot \mathbf{X}_{High}(m + k_x, i + t_{HFAdj}), \quad RATE \cdot \mathbf{t}_E(0) \leq i < RATE \cdot \mathbf{t}_E(L_E), 0 \leq m < M$$

The level of the noise is smoothed similar to the smoothing of the gain values using the filter  $\mathbf{h}_{Smooth}$  of length  $h_{SL}$

$$\mathbf{Q}_{Temp}(m, i + h_{SL}) = \mathbf{Q}_{M_{LimBoost}}(m, l), \quad RATE \cdot \mathbf{t}_E(l) \leq i < RATE \cdot \mathbf{t}_E(l + 1), 0 \leq l < L_E, 0 \leq m < M$$

$$\mathbf{Q}_{Filt}(m,i) = \begin{cases} \mathbf{Q}_{Temp}(m,i) & \text{if } l \neq l_A \text{ AND } l \neq l_{APrev} \text{ AND } \mathbf{S}_{MBoost}(m,l) = 0 \text{ AND } h_{SL} = 0 \\ \sum_{j=0}^{h_{SL}} \mathbf{Q}_{Temp}(m,i-j+h_{SL}) \cdot \mathbf{h}_{Smooth}(j) & \text{if } l \neq l_A \text{ AND } l \neq l_{APrev} \text{ AND } \mathbf{S}_{MBoost}(m,l) = 0 \text{ AND } h_{SL} \neq 0 \\ 0 & \text{otherwise} \end{cases}$$

for  $RATE \cdot t_E(l) \leq i < RATE \cdot t_E(l+1), 0 \leq m < M, 0 \leq l < L_E$ .

The first  $h_{SL}$  columns of the  $\mathbf{Q}_{Temp}$  matrix are the last  $h_{SL}$  columns of the  $\mathbf{Q}_{Temp}$  matrix of the previous SBR frame, unless the reset-flag is set ( $reset=1$ ) for which case the first  $h_{SL}$  columns of the  $\mathbf{Q}_{Temp}$  matrix are equal to  $\mathbf{Q}_{M_{Lim}Boost}(m,0)$  for all QMF subbands within the SBR range.

The noise, based on the noise table  $\mathbf{V}$  (Table 4.A.91), is added to the output according to:

$$\left\{ \begin{array}{l} Re\{\mathbf{W}_2(m,i)\} = Re\{\mathbf{W}_1(m,i)\} + \mathbf{Q}_{Filt}(m,i) \cdot \mathbf{V}(0, f_{IndexNoise}(i)) \\ Im\{\mathbf{W}_2(m,i)\} = Im\{\mathbf{W}_1(m,i)\} + \mathbf{Q}_{Filt}(m,i) \cdot \mathbf{V}(1, f_{IndexNoise}(i)) \end{array} \right\} \left\{ \begin{array}{l} RATE \cdot t_E(l) \leq i < RATE \cdot t_E(l+1), 0 \leq l < L_E \\ 0 \leq m < M \end{array} \right.$$

where

$$f_{IndexNoise}(i) = (index_{Noise} + (i - RATE \cdot t_E(0)) \cdot M + m + 1) \bmod(512),$$

and  $index_{Noise}$  is the last  $f_{IndexNoise}$  from the previous SBR frame, unless the reset-flag is set ( $reset=1$ ) for which case  $index_{Noise} = 0$ .

In the equation above,  $\mathbf{V}(0, f_{IndexNoise(i)}) = \varphi_{Re,noise}(f_{IndexNoise}(i))$  and  $\mathbf{V}(1, f_{IndexNoise(i)}) = \varphi_{Im,noise}(f_{IndexNoise}(i))$ , where  $\varphi_{Re,noise}(i)$  and  $\varphi_{Im,noise}(i)$  are defined in Table 4.A.91.

The sinusoids are added at the level given by  $\mathbf{S}_{MBoost}(m,l)$  for the QMF subbands indicated by  $\mathbf{S}_{IndexMapped}(m,l)$ . This gives the final output QMF matrix  $\mathbf{Y}$ , according to:

$$\left\{ \begin{array}{l} Re\{\mathbf{Y}(m+k_x, i+t_{HFAdj})\} = Re\{\mathbf{W}_2(m,i)\} + \boldsymbol{\Psi}_{Re}(m,l,i) \\ Im\{\mathbf{Y}(m+k_x, i+t_{HFAdj})\} = Im\{\mathbf{W}_2(m,i)\} + \boldsymbol{\Psi}_{Im}(m,l,i) \end{array} \right\} \left\{ \begin{array}{l} RATE \cdot t_E(l) \leq i < RATE \cdot t_E(l+1), 0 \leq l < L_E \\ 0 \leq m < M \end{array} \right.$$

where

$$\boldsymbol{\Psi}_{Re}(m,l,i) = \mathbf{S}_{MBoost}(m,l) \cdot \boldsymbol{\Phi}_{Re,sin}(f_{IndexSine}(i))$$

$$\boldsymbol{\Psi}_{Im}(m,l,i) = \mathbf{S}_{MBoost}(m,l) \cdot (-1)^{m+k_x} \cdot \boldsymbol{\Phi}_{Im,sin}(f_{IndexSine}(i))$$

where  $\boldsymbol{\Phi}$  and  $f_{IndexSine}$  are defined below as:

$$\left\{ \begin{array}{l} \boldsymbol{\Phi}_{Re,sin} = [1, 0, -1, 0] \\ \boldsymbol{\Phi}_{Im,sin} = [0, 1, 0, -1] \end{array} \right\} \text{ and } f_{IndexSine}(i) = (index_{Sine} + i - RATE \cdot t_E(0)) \bmod(4),$$

$index_{Sine} = (\text{the last } f_{IndexSine} \text{ from the previous SBR frame} + 1) \bmod(4)$ , or  $index_{Sine} = 0$  for the first frame.

#### 4.6.18.8 Low power SBR tool

##### 4.6.18.8.1 Introduction

This subclause outlines the differences for the implementation of the low power version of the SBR tool compared to the high quality version of the SBR tool outlined in subclauses 4.6.18.1 to 4.6.18.7. The low power SBR tool operates on real-valued signals, and hence a real-valued filterbank is used and all references to the imaginary part of variables in subclauses 4.6.18.1 to 4.6.18.7 should be ignored. Furthermore, the low power SBR tool incorporates additional modules in order to reduce aliasing introduced due to the real-valued processing.

In Figure 4.49 a block diagram of the low power SBR decoder displays how the additional modules are interconnected into the SBR decoder.

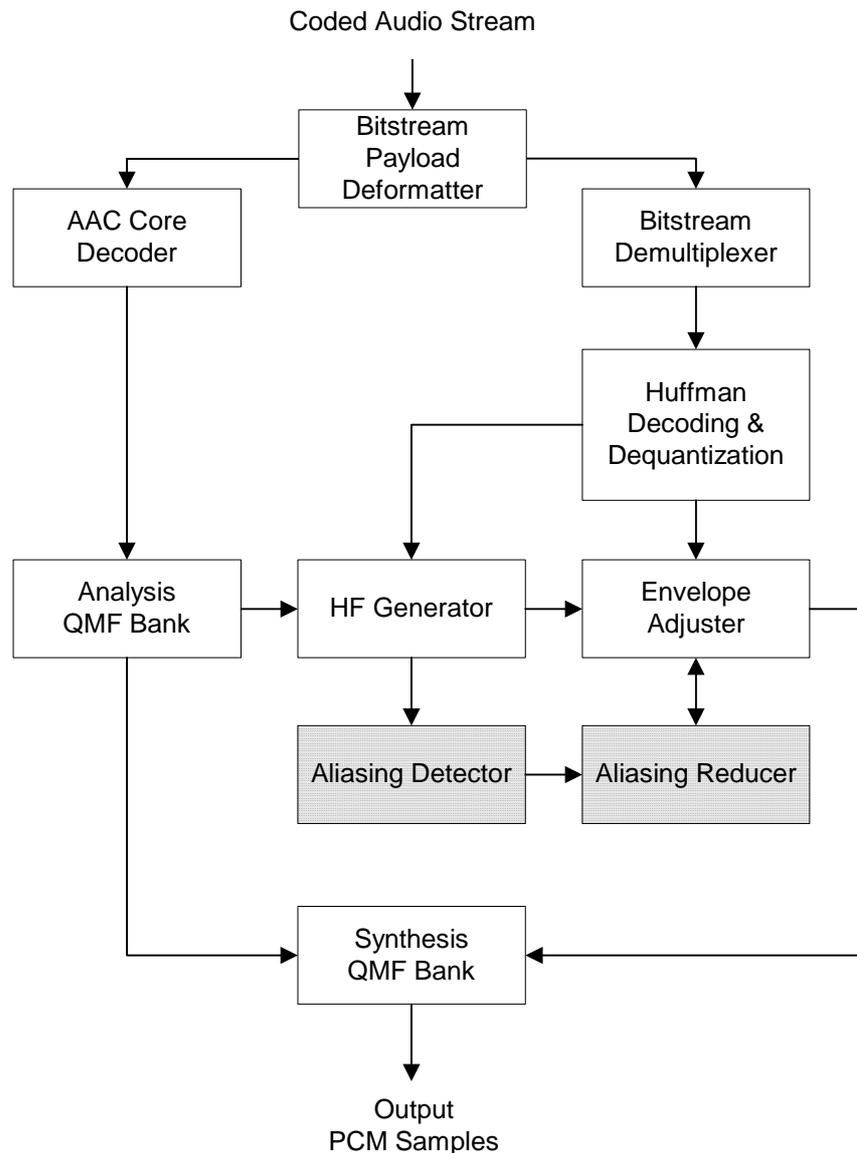


Figure 4.49 – Block diagram of the SBR low power decoder

4.6.18.8.2 Low power SBR tool filterbanks

4.6.18.8.2.1 Introduction

For the low power SBR tool, real-valued filterbanks are used. Hence, the filterbanks outlined in subclause 4.6.18.4 should be replaced by the following analysis and synthesis filterbanks.

4.6.18.8.2.2 Real-valued analysis filterbank

The real-valued QMF bank is used to split the time domain signal output from the core decoder into 32 subband signals. The output from the filterbank, i.e. the subband samples, are real-valued and critically sampled. The flowchart of the operation is given in Figure 4.50. The filtering involves the following steps, where an array  $x$  consisting of 320 time domain input samples is assumed. A higher index into the array corresponds to older samples.

- Shift the samples in the array  $x$  by 32 positions. The oldest 32 samples are discarded and 32 new samples are stored in positions 0 to 31.
- Multiply the samples of array  $x$  by every other coefficient of window  $c$ . The window coefficients can be found in Table 4.A.89.
- Sum the samples according to the formula in the flowchart to create the 64-element array  $u$ .
- Calculate new 32 subband samples by the matrix operation  $M_r u$ , where

$$M_r(k, n) = 2 \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 96)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

Every loop in the flowchart produces 32 subband samples, each representing the output from one filterbank subband. For every SBR frame the filterbank will produce  $numTimeSlots \cdot RATE$  subband samples for every subband, corresponding to a time domain signal of length  $numTimeSlots \cdot RATE \cdot 32$  samples. In the flowchart  $W[k][l]$  corresponds to subband sample  $l$  of QMF subband  $k$ .

4.6.18.8.2.3 Real-valued synthesis filterbank

Synthesis filtering of the SBR-processed subband signals is achieved using a 64-subband QMF bank. The output from the filterbank are real-valued time domain samples. The process is given by the flowchart in Figure 4.51. The synthesis filtering comprises the following steps, where an array  $v$  consisting of 1280 samples is assumed:

- Shift the samples in the array  $v$  by 128 positions. The oldest 128 samples are discarded.
- The 64 new subband samples are multiplied by the matrix  $N_r$ , where

$$N_r(k, n) = \frac{1}{32} \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 64)}{128}\right), \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

The output from this operation is stored in the positions 0 to 127 of array  $v$ .

- Extract samples from  $v$  according to the flowchart in Figure 4.51 to create the 640-element array  $g$ .
- Multiply the samples of array  $g$  by window  $c$  to produce array  $w$ . The window coefficients of  $c$  can be found in Table 4.A.89, and are the same as for the analysis filterbank.
- Calculate 64 new output samples by summation of samples from array  $w$  according to the formula in the flowchart of Figure 4.51.

Every SBR frame produces an output of  $numTimeSlots \cdot RATE \cdot 64$  time domain samples. In the flowchart below  $X[k][l]$  corresponds to subband sample  $l$  of QMF subband  $k$ , and every new loop produces 64 time domain samples as output.

#### 4.6.18.8.2.4 Downsamped real-valued synthesis filterbank

Synthesis filtering of the SBR-processed subband signals is achieved using a 32-channel QMF bank. The output from the filterbank is real-valued time domain samples. The process is given by the flowchart in Figure 4.52. The synthesis filtering comprises the following steps, where an array  $v$  consisting of 640 samples is assumed:

- Shift the samples in the array  $v$  by 64 positions. The oldest 64 samples are discarded.
- The 32 new subband samples are multiplied by the matrix  $N_r$ , where

$$N_r(k, n) = \frac{1}{32} \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 32)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

The output from this operation is stored in the positions 0 to 61 of array  $v$ .

- Extract samples from  $v$  according to the flowchart in Figure 4.52 to create the 320-element array  $g$ .
- Multiply the samples of array  $g$  by every other coefficient of window  $c$  to produce array  $w$ . The window coefficients of  $c$  can be found in Table 4.A.89, and are the same as for the analysis filterbank.
- Calculate 32 new output samples by summation of samples from array  $w$  according to the formula in the flowchart of Figure 4.52.

Every SBR frame produces an output of  $numTimeSlots \cdot RATE \cdot 32$  time domain samples. In the flowchart of Figure 4.52  $X[k][l]$  corresponds to subband sample  $l$  of QMF subband  $k$ , and every new loop produces 32 time domain samples as output.

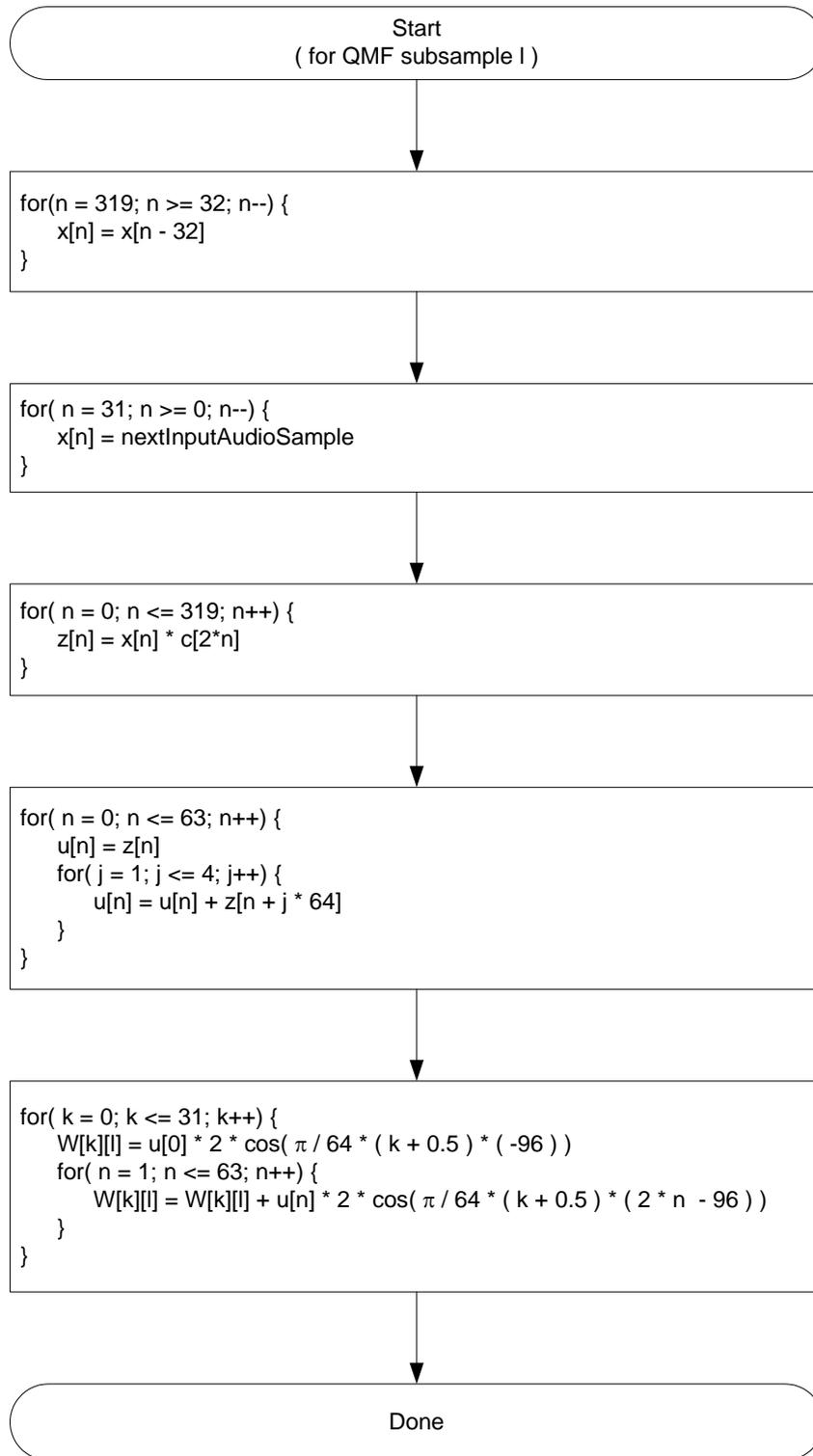


Figure 4.50 – Flowchart of decoder real-valued analysis QMF bank

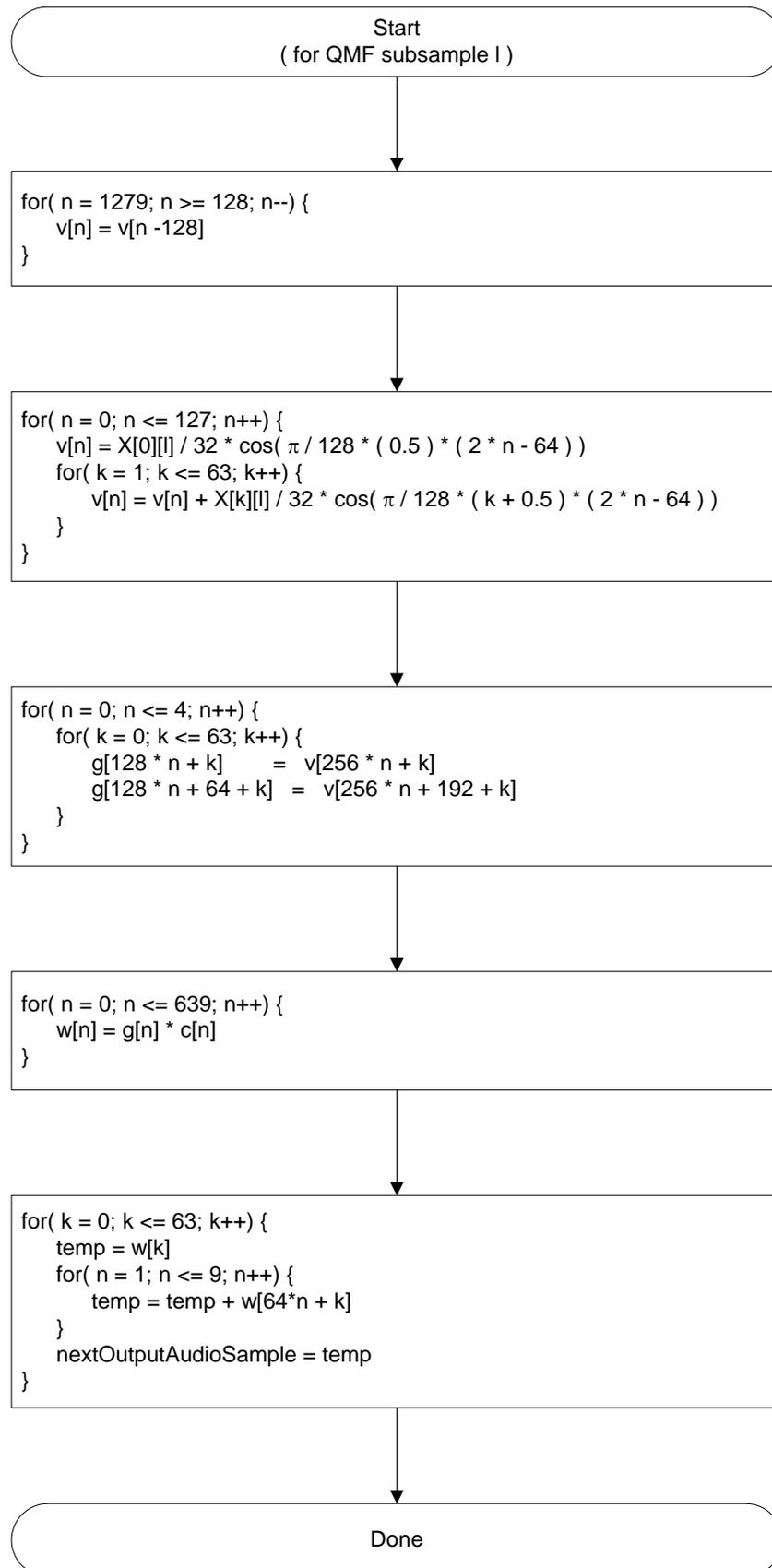


Figure 4.51 – Flowchart of decoder real-valued synthesis QMF bank

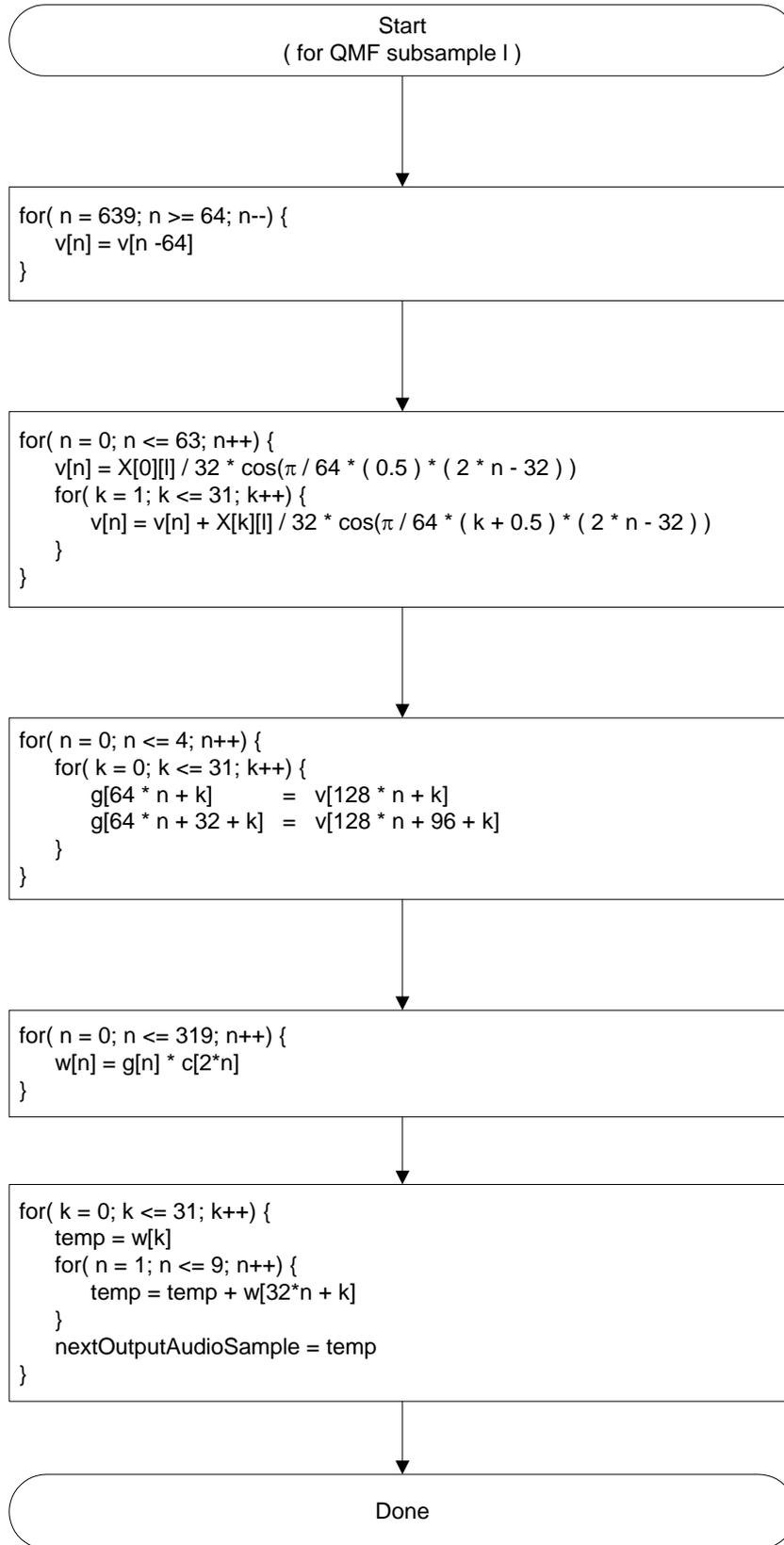


Figure 4.52 – Flowchart of decoder downsampled real-valued synthesis QMF bank

#### 4.6.18.8.3 Aliasing detection

In order to minimize the introduction of aliasing by the envelope adjuster, the QMF subbands where strong aliasing will potentially be introduced are identified. The detection module uses data from the HF Generation module outlined in subclause 4.6.18.6, and from the HF Adjustment module outlined in subclause 4.6.18.7.

The aliasing detection algorithm calculates the reflection coefficient for every subband in the low-band.

$$\mathbf{ref}(k) = \begin{cases} \min\left(\max\left(-\frac{\phi_k(0,1)}{\phi_k(1,1)}, -1\right), 1\right) & \text{if } \phi_k(1,1) \neq 0 \\ 0 & \text{otherwise} \end{cases}, \quad 0 \leq k < k_0$$

Given the reflection coefficients **ref**, the degree of aliasing **deg** is calculated for the low-band according to the flowchart given in Figure 4.53.

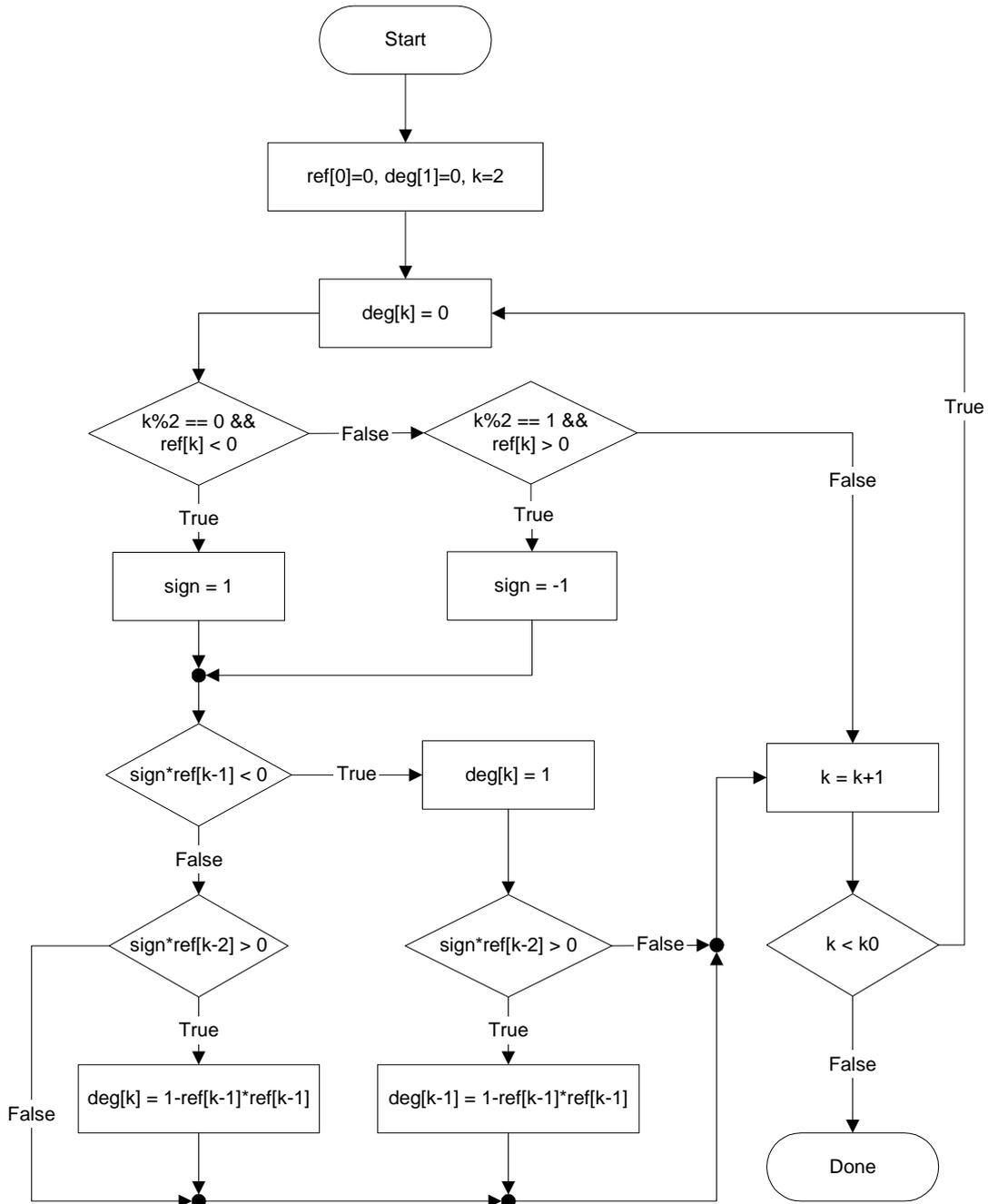


Figure 4.53 – Flowchart of the calculation of the aliasing degree

The degree of aliasing in the highband is obtained by using the patch information available in subclause 4.6.18.6, according to:

$$\mathbf{degPatched}(k) = \begin{cases} 0 & \text{if } x = 0 \\ \mathbf{deg}(p) & \text{otherwise} \end{cases}$$

where

$$\begin{cases} k = k_x + x + \sum_{q=0}^{i-1} \mathbf{patchNumSubbands}(q) \\ p = \mathbf{patchStartSubband}(i) + x \end{cases}, \quad 0 \leq x < \mathbf{patchNumSubbands}(i), 0 \leq i < \mathbf{numPatches}.$$

Since the patch information may not cover the whole SBR range, the degree of aliasing in the frequency region from where the patch ends to where the SBR range ends is defined by:

$$\mathbf{degPatched}(k) = 0, \quad k_x + \sum_{q=0}^{\mathbf{numPatches}-1} \mathbf{patchNumSubbands}(q) \leq k < k_x + M$$

Furthermore, the aliasing reduction algorithm needs a table to indicate the grouping of the gain-values. This table  $\mathbf{F}_{Group}$  has  $L_E$  vectors of length  $2 \cdot \mathbf{n}_G(l)$  representing the desired gain grouping for every SBR envelope of the SBR frame. It is calculated by the flowchart given in Figure 4.54. The table differs from previous tables in the text since it has individual start and stop indices for every group in frequency, whereas for the previous tables, the stop index of the previous group is taken as the start index of the current group. Hence, a vector representing  $N_G$  groups is  $2N_G$  entries long, whereas a table of the style previously used would have been  $N_G + 1$  entries long. The stop index of a group is exclusive, i.e. the stop index subband is not included in the group.

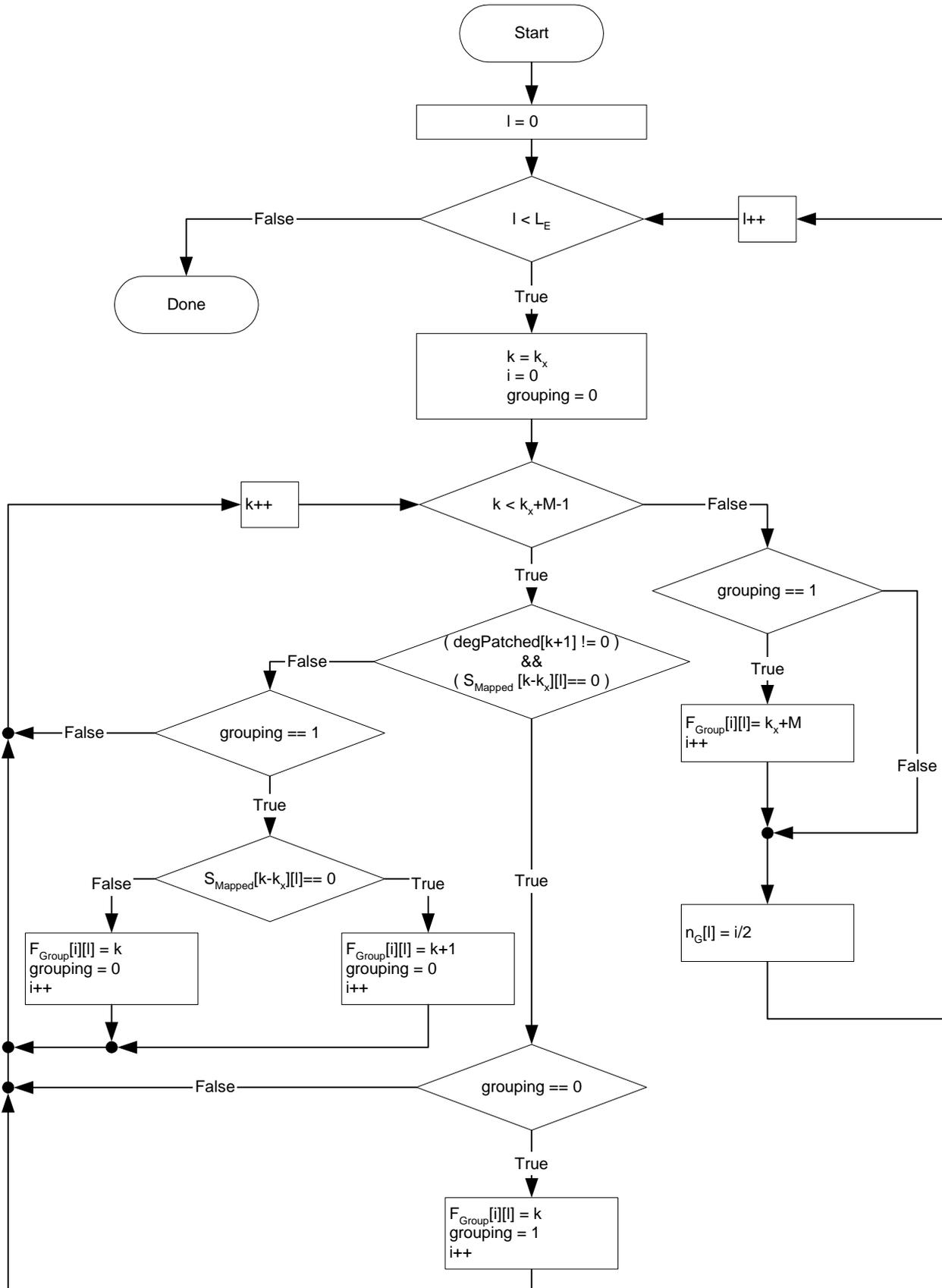


Figure 4.54 – Flowchart of the calculation of the gain groups

#### 4.6.18.8.4 Modification of the energy calculation

Since the low power version of the SBR tool does not use complex-valued representation of signals, a modification of the energy calculation in subclause 4.6.18.7.3 is required. The equations given:

$$\mathbf{E}_{Curr}(m, l) = \frac{\sum_{i=RATE \cdot \mathbf{t}_E(l)+t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1)-1+t_{HFAdj}} |\mathbf{X}_{High}(m+k_x, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l))}, \quad 0 \leq m < M, 0 \leq l < L_E$$

and

$$\mathbf{E}_{Curr}(k-k_x, l) = \frac{\sum_{i=RATE \cdot \mathbf{t}_E(l)+t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1)-1+t_{HFAdj}} \sum_{j=k_l}^{k_h} |\mathbf{X}_{High}(j, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l)) \cdot (k_h - k_l + 1)}$$

is replaced by

$$\mathbf{E}_{Curr}(m, l) = \frac{2 \cdot \sum_{i=RATE \cdot \mathbf{t}_E(l)+t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1)-1+t_{HFAdj}} |\mathbf{X}_{High}(m+k_x, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l))}, \quad 0 \leq m < M, 0 \leq l < L_E$$

and

$$\mathbf{E}_{Curr}(k-k_x, l) = \frac{2 \cdot \sum_{i=RATE \cdot \mathbf{t}_E(l)+t_{HFAdj}}^{RATE \cdot \mathbf{t}_E(l+1)-1+t_{HFAdj}} \sum_{j=k_l}^{k_h} |\mathbf{X}_{High}(j, i)|^2}{(RATE \cdot \mathbf{t}_E(l+1) - RATE \cdot \mathbf{t}_E(l)) \cdot (k_h - k_l + 1)}$$

#### 4.6.18.8.5 Aliasing reduction

The aliasing reduction module re-calculates gain values calculated by the (real-valued) HF Adjustment module outlined in subclause 4.6.18.7. The variables available in the HF Adjustment subclause 4.6.18.7.5 are used by the aliasing reduction module outlined below. For the low power implementation, the output variable from the aliasing reduction module  $\mathbf{G}_A$ , as calculated below, should be used instead of  $\mathbf{G}_{LimBoost}$  in the subsequent parts of the HF Adjustment module, i.e. subclause 4.6.18.7.6.

The energy of the subband signals in the affected subbands, if the calculated gain values  $\mathbf{G}_{LimBoost}$  were used, would be:

$$\mathbf{E}_{Total}(k, l) = \sum_{i=\mathbf{F}_{Group}(2 \cdot k, l)-k_x}^{\mathbf{F}_{Group}(2 \cdot k+1, l)-1-k_x} \mathbf{G}_{LimBoost}^2(i, l) \cdot \mathbf{E}_{Curr}(i, l), \quad 0 \leq k < \mathbf{n}_G(l), 0 \leq l < L_E$$

Given this target energy  $\mathbf{E}_{Total}$ , a target gain value is calculated as follows:

$$\mathbf{G}_{Target}^2(k, l) = \frac{\mathbf{E}_{Total}(k, l)}{\mathcal{E}_0 + \sum_{i=\mathbf{F}_{Group}(2 \cdot k, l)-k_x}^{\mathbf{F}_{Group}(2 \cdot k+1, l)-1-k_x} \mathbf{E}_{Curr}(i, l)}, \quad 0 \leq k < \mathbf{n}_G(l), 0 \leq l < L_E$$

Given the above calculated target gain, a new gain value is calculated as a weighted sum of the original gain value and the newly calculated target gain:

$$\begin{aligned} \mathbf{G}_{ARtemp}^2(m-k_x, l) &= \alpha(m) \cdot \mathbf{G}_{Target}^2(k, l) + (1 - \alpha(m)) \cdot \mathbf{G}_{LimBoost}^2(m-k_x, l), \\ \mathbf{F}_{Group}(2 \cdot k, l) \leq m < \mathbf{F}_{Group}(2 \cdot k+1, l) \\ 0 \leq k < \mathbf{n}_G(l), 0 \leq l < L_E \end{aligned}$$

where

$$\alpha(m) = \begin{cases} \max(\text{degPatched}(m), \text{degPatched}(m+1)) & , \text{if } m < M + k_x - 1 \\ \text{degPatched}(m) & , \text{if } m = M + k_x - 1 \end{cases}$$

where  $\text{degPatched}(m)$ , calculated in the aliasing detection part, is used as the degree of gain equalization between subband  $m-1$  and subband  $m$ .

A new energy value is calculated based on the new gain values, according to:

$$\mathbf{E}_{TotalNew}(k, l) = \sum_{i=\mathbf{F}_{Group}(2 \cdot k, l) - k_x}^{\mathbf{F}_{Group}(2 \cdot k + 1, l) - 1 - k_x} \mathbf{G}_{ARtemp}^2(i, l) \cdot \mathbf{E}_{Curr}(i, l) \quad , \quad 0 \leq k < \mathbf{n}_G(l), 0 \leq l < L_E$$

In order to retain the correct output energy, while limiting the gain-adjustment in order to avoid introduction of aliasing, the gain value  $\mathbf{G}_A$  is calculated according to:

$$\mathbf{G}_A(m - k_x, l) = \begin{cases} \mathbf{G}_{ARtemp}(m - k_x, l) \cdot \sqrt{\frac{\mathbf{E}_{Total}(\kappa(m), l)}{\varepsilon_0 + \mathbf{E}_{TotalNew}(\kappa(m), l)}} & , m \in A_G(l), \quad k_x \leq m < k_x + M, 0 \leq l < L_E \\ \mathbf{G}_{LimBoost}(m - k_x, l) & , m \notin A_G(l) \end{cases}$$

where

$$A_G(l) = \bigcup_{k=0}^{\mathbf{n}_G(l)-1} \{m : \mathbf{F}_{Group}(2 \cdot k, l) \leq m < \mathbf{F}_{Group}(2 \cdot k + 1, l)\},$$

and for  $m \in A_G(l)$  define  $\kappa(m)$  by  $\mathbf{F}_{Group}(2 \cdot \kappa(m), l) \leq m < \mathbf{F}_{Group}(2 \cdot \kappa(m) + 1, l)$ .

The  $\mathbf{G}_A$  values are the new gain values that should be used instead of the  $\mathbf{G}_{LimBoost}$  values in subclause 4.6.18.7.6.

For the low power version of the SBR tool, the gain smoothing process described in 4.6.18.7.6 is not applied regardless of the value of *bs\_smoothing\_mode*.

For the sinusoids added in subclause 4.6.18.7.6, modifications are required for the low-power version of the SBR tool. The following equations:

$$\left\{ \begin{array}{l} \text{Re}\{\mathbf{Y}(m + k_x, i + t_{HFAdj})\} = \text{Re}\{\mathbf{W}_2(m, i)\} + \boldsymbol{\Psi}_{Re}(m, l, i) \\ \text{Im}\{\mathbf{Y}(m + k_x, i + t_{HFAdj})\} = \text{Im}\{\mathbf{W}_2(m, i)\} + \boldsymbol{\Psi}_{Im}(m, l, i) \end{array} \right\} \left\{ \begin{array}{l} \text{RATE} \cdot \mathbf{t}_E(l) \leq i < \text{RATE} \cdot \mathbf{t}_E(l+1), 0 \leq l < L_E \\ 0 \leq m < M \end{array} \right.$$

where

$$\boldsymbol{\Psi}_{Re}(m, l, i) = \mathbf{S}_{MBoost}(m, l) \cdot \boldsymbol{\Phi}_{Re, sin}(f_{IndexSine}(i))$$

$$\boldsymbol{\Psi}_{Im}(m, l, i) = \mathbf{S}_{MBoost}(m, l) \cdot (-1)^{m+k_x} \cdot \boldsymbol{\Phi}_{Im, sin}(f_{IndexSine}(i))$$

is replaced by:

$$\text{Re}\{\mathbf{Y}(m + k_x, i + t_{HFAdj})\} = \begin{cases} \boldsymbol{\Psi}_m(m, l, i) & , m = -1 \\ \text{Re}\{\mathbf{W}_2(m, i)\} + \boldsymbol{\Psi}_m(m, l, i) & , 0 \leq m < M \\ \boldsymbol{\Psi}_m(m, l, i) & , m = M \text{ AND } m + k_x < 64 \end{cases}$$

where

$$-1 \leq m \leq M$$

$$RATE \cdot t_E(l) \leq i < RATE \cdot t_E(l+1), 0 \leq l < L_E$$

$$\Psi_m(m, l, i) = \Psi_{Re}(m, l, i) - 0.00815 \cdot (-1)^{m+k_x} \cdot (\Psi_{Re}(m-1, l, i-1) + \Psi_{Re}(m+1, l, i+1))$$

$$\Psi_{Re}(m, l, i) = S_{MBoost}(m, l) \cdot \Phi_{Re, sin}(f_{IndexSine}(i))$$

and where

$$S_{MBoost}(m, l) = 0, \text{ for } m < 0 \text{ or } m \geq M.$$

The above modifications are only done for the first 16 (calculated in increasing frequency order) sinusoids, for every time segment.

Furthermore, since a signal, according to the above, may be added to  $Y(k_x - 1, i)$ , i.e. the lowband, or  $Y(k_x + M, i)$ , i.e. one QMF subband above the SBR range, the following equation in subclause 4.6.18.5 needs to be modified:

$$X(k, l) = \begin{cases} X_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k'_x + bsco', 0 \leq l < l_{Temp} \\ Y'(k, l + t_{HFAdj} + l_f) & , k'_x + bsco' \leq k < k'_x + M', 0 \leq l < l_{Temp} \\ 0 & , \max(k'_x + bsco', k'_x + M') \leq k < 64, 0 \leq l < l_{Temp} \\ X_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k_x + bsco, l_{Temp} \leq l < l_f \\ Y(k, l + t_{HFAdj}) & , k_x + bsco \leq k < k_x + M, l_{Temp} \leq l < l_f \\ 0 & , \max(k_x + bsco, k_x + M) \leq k < 64, l_{Temp} \leq l < l_f \end{cases}$$

The above is replaced by

$$X(k, l) = \begin{cases} X_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k'_x - 1 + bsco', 0 \leq l < l_{Temp} \\ X_{Low}(k, l + t_{HFAdj}) + Y'(k, l + t_{HFAdj} + l_f) & , k = k'_x - 1 + bsco', 0 \leq l < l_{Temp} \\ Y'(k, l + t_{HFAdj} + l_f) & , k'_x + bsco' \leq k \leq \min(k'_x + M', 63), 0 \leq l < l_{Temp} \\ 0 & , \left. \begin{array}{l} \max(k'_x + bsco', k'_x + M') < k < 64 \\ 0 \leq l < l_{Temp} \end{array} \right\} \\ X_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < k_x - 1 + bsco, l_{Temp} \leq l < l_f \\ X_{Low}(k, l + t_{HFAdj}) + Y(k, l + t_{HFAdj}) & , k = k_x - 1 + bsco, l_{Temp} \leq l < l_f \\ Y(k, l + t_{HFAdj}) & , \left. \begin{array}{l} k_x + bsco \leq k \leq \min(k_x + M, 63) \\ l_{Temp} \leq l < l_f \end{array} \right\} \\ 0 & , \left. \begin{array}{l} \max(k_x + bsco, k_x + M) < k < 64 \\ l_{Temp} \leq l < l_f \end{array} \right\} \end{cases}$$

## 4.6.19 Low Delay SBR

### 4.6.19.1 Introduction

Low Delay SBR is derived from the standard SBR tool in order to be utilized as a bandwidth extension coder in communication scenarios. Thus, the algorithmic delay of this tool is minimized to achieve an overall delay low enough for bi-directional communication applications.

Summary of modifications:

- Frame length adopted to a core codec with 512 or 480 samples per frame
- Frame-locked time/frequency grid
- Minimization of delay in QMF buffer
- Utilizing a Complex Low Delay Filterbank

The low delay SBR tool is defined by the following modifications with respect to the standard algorithm (i.e. SBR audio object type). All clauses/subclauses can be found in the brackets after each headline.

**4.6.19.2 Definitions, Constants and Variables**

**4.6.19.2.1 Definitions (changes to subclause 4.6.18.2.1.20)**

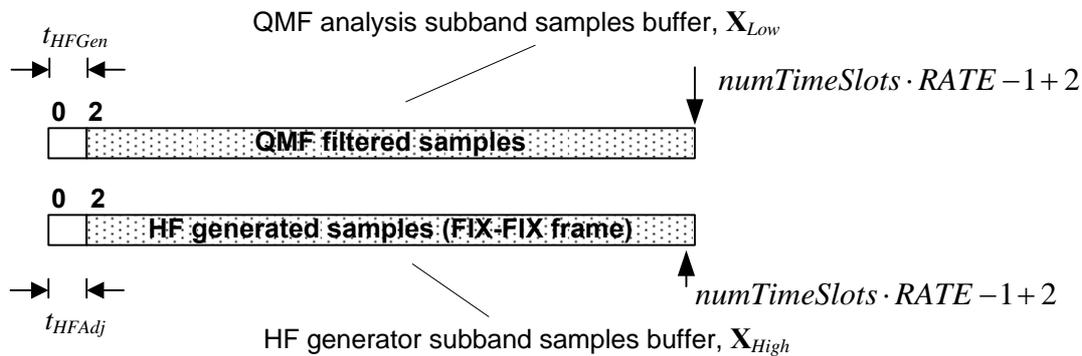
- **time slot:** finest resolution in time for SBR envelopes and noise floors. One time slot equals one subsample in the QMF domain.

**4.6.19.2.2 Constants (changes to subclause 4.6.18.2.5)**

- **RATE:** For core codec AAC ELD the constant  $RATE = 1$  should be used instead of  $RATE = 2$ .

**4.6.19.2.3 Variables (changes to subclause 4.6.18.2.6)**

- **numTimeSlots:** The number of time slots for core codec AAC ELD is  $numTimeSlots = 16$ , for 512 AAC frame and  $numTimeSlots = 15$ , for 480 AAC frame.
- $t_{HFGen}$ : Due to the removed additional delay the offset of the HF-generation module is set to  $t_{HFGen} = 2$ . The following Figure 4.55 explains the offsets for core codec AAC ELD correctly.



**Figure 4.55 – Synchronization for low delay SBR**

**4.6.19.2.4 Inverse Filtering (changes to subclause 4.6.18.6.2)**

Due to the modified buffer management the calculation of the covariance matrix has to be changed as follows: The upper limit of  $n$  is to be changed from  $numTimeSlots \cdot RATE + 6 - 1$  to  $numTimeSlots \cdot RATE - 1$ .

**4.6.19.3 Time-Locked frequency grid**

The time/frequency grid for the Low Delay SBR is specified in the following subclauses.

**4.6.19.3.1 FrameClasses**

Low Delay SBR uses a reduced set of frame classes which are listed in the Table below:

**Table 4.177 – bs\_frame\_class**

bs_frame_class	Meaning
0	FIXFIX
1	LD_TRAN

**4.6.19.3.2 sbr\_ld\_grid()**

Low Delay SBR uses a different syntax to signal the grid data. The standard sbr\_grid() syntax is replaced by sbr\_ld\_grid(), as defined in the following:

**Table 4.178 – Syntax of sbr\_ld\_grid**

Syntax	No. of bits	Mnemonic
sbr_ld_grid(ch)		
{		
switch ( <b>bs_frame_class</b> ) {	<b>1</b>	<b>uimsbf</b>
case FIXFIX:		
bs_num_env[ch] = 2 <sup>tmp</sup> ;	<b>2</b>	<b>uimsbf</b> , Note 1
if (bs_num_env[ch] == 1)		
<b>bs_amp_res</b> ;	<b>1</b>	<b>uimsbf</b>
<b>bs_freq_res</b> [ch][0];	<b>1</b>	
for (env = 1; env < bs_num_env[ch]; env++)		
bs_freq_res[ch][env] = bs_freq_res[ch][0];		
break;		
case LD_TRAN:		
<b>bs_transient_position</b>	<b>4</b>	<b>uimsbf</b>
bs_num_env[ch] =		Note 2
LD_Envelope_Table[bs_transient_position][num_envelopes];		
for (env = 0; env < bs_num_env[ch]; env++)		
<b>bs_freq_res</b> [ch][env];	<b>1</b>	
break;		
}		
if (bs_num_env[ch] > 1)		
bs_num_noise[ch] = 2;		
else		
bs_num_noise[ch] = 1;		
}		
Note 1: bs_num_env is restricted according to subclause 4.6.18.3.6.		
Note 2: the Table LD_Envelope_Table is given in Table 4.179		

**4.6.19.3.3 Calculation of  $t_E(l)$  (changes to subclause 4.6.18.3.3)**

in case bs\_frame\_class = LD\_TRAN:

$$t_E(0) = 0$$

$$t_E(L_E) = \text{numberTimeSlots}$$

$$t_E(l) = LD\_EnvelopeTable[bs\_transient\_position][l + 1] \text{ for } 0 < l < (L_E)$$

**4.6.19.3.4 Calculation of  $l_A$  (changes to subclause 4.6.18.7.2)**

in case bs\_frame\_class = LD\_TRAN:

$$l_A = LD\_EnvelopeTable[bs\_transient\_position][4]$$

**4.6.19.3.5 Envelope Lookup Table****Table 4.179 – Lookup Table for LD\_Envelope\_Table (bs\_transient\_position)**

bs_transient_position	num_envelopes	border[1]	border[2]	transientIdx
0	2	4	-	0

1	2	5	-	0
2	3	2	6	1
3	3	3	7	1
4	3	4	8	1
5	3	5	9	1
6	3	6	10	1
7	3	7	11	1
8	3	8	12	1
9	3	9	13	1
10*	3/2	10	14/-	1
11	2	11	-	1
12	2	12	-	1
13	2	13	-	1
14	2	14	-	1
15	2	15	-	1

\* in case of AAC frame = 480 use second Table entry

**4.6.19.4 Low Delay SBR Filterbank (changes to subclause 4.6.18.4)**

Instead of subclause 4.6.18.4, use the description below for filterbank processing. Note that basically the processing described in the flowcharts (Figure 4.42, Figure 4.43, Figure 4.44, Figure 4.50, Figure 4.51, Figure 4.52) is similar to the processing of the low-delay filterbank. Only the windowing and the modulation differ.

**4.6.19.4.1 Analysis filterbank**

- Shift the samples in the array **x** by 32 positions. The oldest 32 samples are discarded and 32 new samples are stored in positions 0 to 31.
- Multiply the samples of array **x** by the coefficient of window **ci**. The window coefficients **ci** are obtained by linear interpolation of the coefficients **c**, i.e. through the equation

$$ci(i) = \frac{1}{2} [c(2i+1) + c(2i)], \quad 0 \leq i < 320$$

The window coefficients of **c** can be found in Table 4.A.90.

- Sum the samples according to the formula in the flowchart in Figure 4.42 to create the 64-element array **u**.

Calculate 32 new subband samples by the matrix operation **Mu**, where

$$M(k, n) = 2 \cdot \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n - 95)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

In the equation, exp() denotes the complex exponential function and *i* is the imaginary unit.

**4.6.19.4.2 Synthesis filterbank**

- Shift the samples in the array **v** by 128 positions. The oldest 128 samples are discarded.

The 64 new complex-valued subband samples are multiplied by the matrix  $\mathbf{N}$ , where

$$N(k, n) = \frac{1}{64} \cdot \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n - 63)}{128}\right), \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit. The real part of the output from this operation is stored in the positions 0 to 127 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.43 to create the 640-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by window  $\mathbf{c}$  to produce array  $\mathbf{w}$ . The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.90.
- Calculate 64 new output samples by summation of samples from array  $\mathbf{w}$  according to the last step in the flowchart of Figure 4.43.

#### 4.6.19.4.3 Downsampled synthesis filterbank

- Shift the samples in the array  $\mathbf{v}$  by 64 positions. The oldest 64 samples are discarded.

The 32 new complex-valued subband samples are multiplied by the matrix  $\mathbf{N}$ , where

$$N(k, n) = \frac{1}{64} \cdot \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n - 31)}{64}\right), \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit. The real part of the output from this operation is stored in the positions 0 to 63 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.44 to create the 320-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by the coefficient of window  $\mathbf{ci}$  to produce array  $\mathbf{w}$ . The window coefficients  $\mathbf{ci}$  are obtained by linear interpolation of the coefficients  $\mathbf{c}$ , i.e. through the equation

$$ci(i) = \frac{1}{2} [c(2i + 1) + c(2i)], \quad 0 \leq i < 320$$

The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.90.

- Calculate 32 new output samples by summation of samples from array  $\mathbf{w}$  according to the last step in the flowchart of Figure 4.44.

#### 4.6.19.4.4 Complex-exponential phase-shifting in combination with the Low Delay SBR Filter banks

This subclause outlines the allowed usage of phase-shifts in combination with the complex-exponential modulated Low Delay analysis and synthesis filter banks described in the previous subclauses. The phase-shifts do not affect audio quality, and are allowed in order to facilitate efficient implementations. The theory for the filter banks used in Low Delay SBR is an extension of the theory of cosine modulated filter banks. In cosine modulated filter banks the analysis  $h_k(n)$  and synthesis  $f_k(n)$  filters are given by

$$h_k(n) = p_0(n) \cos\left(\frac{\pi}{M}(k + 0.5)\left(n - \frac{O}{2}\right) + \theta_k\right), \begin{cases} 0 \leq k < M \\ 0 \leq n < N \end{cases}$$

and

$$f_k(n) = p_0(n) \cos\left(\frac{\pi}{M}(k + 0.5)\left(n - \frac{O}{2}\right) - \theta_k\right), \begin{cases} 0 \leq k < M \\ 0 \leq n < N \end{cases}$$

where  $p_0(n)$  is a real-valued low-pass prototype filter of length  $N$ ,  $M$  denotes the number of filter bank channels and  $O$  is the order of the prototype filter  $p_0(n)$ .  $\theta_k$  are channel dependent factors needed for the cancellation of the main alias terms. It can be shown that the alias cancellation constraints become obsolete when extending the cosine modulated filter bank with complex-exponential modulation. Thus, for the complex-valued Low Delay SBR banks, both the analysis and synthesis filter coefficients can be obtained from

$$h_k(n) = f_k(n) = p_0(n) \exp\left(i \frac{\pi}{M} (k + 0.5) \left(n - \frac{O}{2}\right)\right), \begin{cases} 0 \leq k < M \\ 0 \leq n < N \end{cases}$$

Since the subband samples from the filter bank are complex-valued, an additive possibly channel-dependent phase-shift step may be appended to the analysis filter bank. These extra phase-shifts need to be reversed before the synthesis filter bank. This is illustrated in Figure AMD9.3 where  $\alpha(k)$ ,  $0 \leq k < M$  denotes the channel dependent phase-shifts.

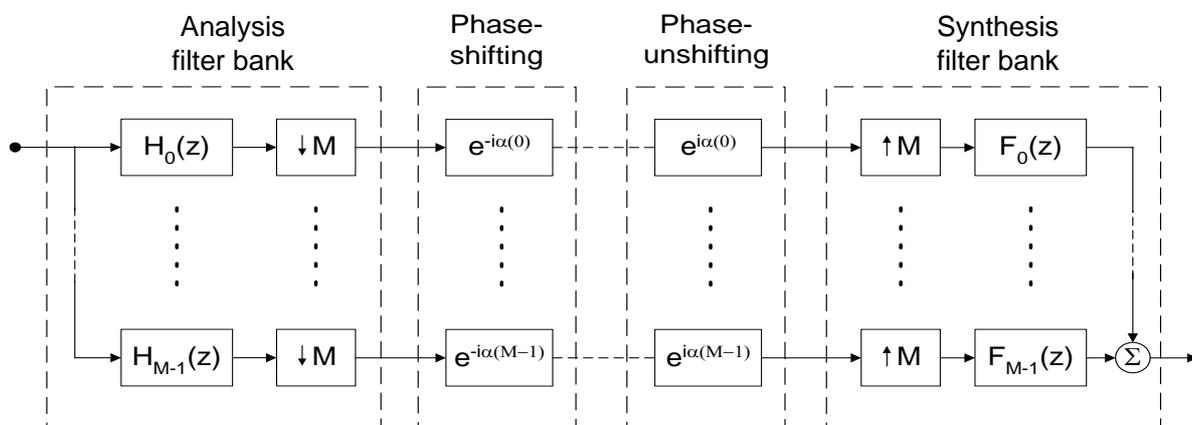


Figure 4.56 – Phase-shifting of subbands in complex-exponential modulated filter banks

While the phase-shifting terms in principle can be of arbitrary values without destroying the operation of the analysis/synthesis filter bank chain, they are constrained to certain values. This is since the SBR signal will be affected by the choice of the phase factors while the low band signal coming from the AAC decoder will not. More specifically, the channel dependent phase-shifts  $\alpha(k)$  shall be

$$\alpha(k) = \frac{\pi}{M} \left\{ (k + 0.5) \left( \varphi + \frac{O}{2} \right) + \beta \right\}$$

where  $\varphi$  shall be limited to a value being an integer multiple of  $1/M$  and  $\beta$  shall be constrained to be an integer. Further, it is possible to identify the values of  $\alpha(k)$  for the complex filter banks outlined in the previous subclauses. Given the modulation matrix  $\mathbf{M}$  or  $\mathbf{N}$ , for any of the filter banks in subclauses 4.6.19.4.1 to 4.6.19.4.3, and the expression for the filter coefficients above, where the filter order  $O = 639$  for  $M = 64$  and  $O = 319$  for  $M = 32$ , the phase-shifting factors are

$$\alpha(k) = \frac{\pi}{2} (k + 0.5)$$

This corresponds to e.g.  $\beta = 0$  and  $\varphi = \frac{M - O}{2}$ . It may be noted that these values of  $\alpha(k)$  are exactly the values needed for main alias cancellation of a cosine modulated filter bank. This is the reason why the arguments to the cosine expressions in the real-valued filter banks (4.6.19.5.1 to 4.6.19.5.3) do not change

compared to the arguments to the complex exponential expressions for the filter banks described in 4.6.19.4.1 to 4.6.19.4.3 above.

#### 4.6.19.5 Low Power SBR Filterbank (changes to subclause 4.6.18.8.2)

##### 4.6.19.5.1 Real-valued analysis filterbank

- Shift the samples in the array  $\mathbf{x}$  by 32 positions. The oldest 32 samples are discarded and 32 new samples are stored in positions 0 to 31.
- Multiply the samples of array  $\mathbf{x}$  by the coefficient of window  $\mathbf{ci}$ . The window coefficients  $\mathbf{ci}$  are obtained by linear interpolation of the coefficients  $\mathbf{c}$ , i.e. through the equation

$$ci(i) = \frac{1}{2} [c(2i+1) + c(2i)], \quad 0 \leq i < 320$$

The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.90.

- Sum the samples according to the formula in the flowchart to create the 64-element array  $\mathbf{u}$ .
- Calculate new 32 subband samples by the matrix operation  $\mathbf{M}_r \mathbf{u}$ , where

$$\mathbf{M}_r(k, n) = 2 \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 95)}{64}\right), \quad \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

##### 4.6.19.5.2 Real-valued synthesis filterbank

- Shift the samples in the array  $\mathbf{v}$  by 128 positions. The oldest 128 samples are discarded.

The 64 new subband samples are multiplied by the matrix  $\mathbf{N}_r$ , where

$$\mathbf{N}_r(k, n) = \frac{1}{32} \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 63)}{128}\right), \quad \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

The output from this operation is stored in the positions 0 to 127 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.51 to create the 640-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by window  $\mathbf{c}$  to produce array  $\mathbf{w}$ . The window coefficients of  $\mathbf{c}$  can be found in Table 4.A.90.
- Calculate 64 new output samples by summation of samples from array  $\mathbf{w}$  according to the formula in the flowchart of Figure 4.51.

##### 4.6.19.5.3 Downsampled real-valued synthesis filterbank

- Shift the samples in the array  $\mathbf{v}$  by 64 positions. The oldest 64 samples are discarded.

The 32 new subband samples are multiplied by the matrix  $\mathbf{N}_r$ , where

$$\mathbf{N}_r(k, n) = \frac{1}{32} \cdot \cos\left(\frac{\pi \cdot (k + 0.5) \cdot (2 \cdot n - 31)}{64}\right), \quad \begin{cases} 0 \leq k < 32 \\ 0 \leq n < 64 \end{cases}$$

The output from this operation is stored in the positions 0 to 61 of array  $\mathbf{v}$ .

- Extract samples from  $\mathbf{v}$  according to the flowchart in Figure 4.52 to create the 320-element array  $\mathbf{g}$ .
- Multiply the samples of array  $\mathbf{g}$  by the coefficient of window  $\mathbf{ci}$  to produce array  $\mathbf{w}$ . The window coefficients  $\mathbf{ci}$  are obtained by linear interpolation of the coefficients  $\mathbf{c}$ , i.e. through the equation

$$ci(i) = \frac{1}{2} [c(2i + 1) + c(2i)], \quad 0 \leq i < 320$$

The window coefficients of **c** can be found in Table 4.A.90.

- Calculate 32 new output samples by summation of samples from array **w** according to the formula in the flowchart of Figure 4.52.

#### 4.6.19.6 Low Power SBR Aliasing Reduction (changes to subclause 4.6.18.8.5)

For correct alias cancellation using Low Power AAC-ELD, add the following vector

$$\boldsymbol{\varphi}_{Re,cos} = [0.74384511, -0.66835201, -0.74384511, 0.66835201]$$

In subclause 4.6.18.8.5 Aliasing Reduction, replace the following set of equations

$$-1 \leq m \leq M$$

$$rate \cdot \mathbf{t}_E(l) \leq i < rate \cdot \mathbf{t}_E(l+1), 0 \leq l < L_E$$

$$\boldsymbol{\Psi}_m(m, l, i) = \boldsymbol{\Psi}_{Re}(m, l, i) - 0.00815 \cdot (-1)^{m+lsb} \cdot (\boldsymbol{\Psi}_{Re}(m-1, l, i-1) + \boldsymbol{\Psi}_{Re}(m+1, l, i+1))$$

$$\boldsymbol{\Psi}_{Re}(m, l, i) = \mathbf{S}_{IndexMapped}(m, l) \cdot \mathbf{S}_{MBoost}(m, l) \cdot \boldsymbol{\Phi}_{Re,sin}(f_{IndexSine}(i))$$

with

$$-1 \leq m \leq M$$

$$rate \cdot \mathbf{t}_E(l) \leq i < rate \cdot \mathbf{t}_E(l+1), 0 \leq l < L_E$$

$$\boldsymbol{\Psi}_m(m, l, i) = \boldsymbol{\Psi}_{Re}(m, l, i) + 0.16773 \cdot \left( (-1)^{(m+lsb) \cdot f_{IndexSine}(i)} \cdot \boldsymbol{\Psi}'_{Re}(m-1, l, i) + (-1)^{(m+lsb+1) \cdot f_{IndexSine}(i)} \cdot \boldsymbol{\Psi}'_{Re}(m+1, l, i) \right)$$

$$\boldsymbol{\Psi}_{Re}(m, l, i) = \mathbf{S}_{IndexMapped}(m, l) \cdot \mathbf{S}_{MBoost}(m, l) \cdot \boldsymbol{\Phi}_{Re,sin}(f_{IndexSine}(i))$$

$$\boldsymbol{\Psi}'_{Re}(m, l, i) = \mathbf{S}_{IndexMapped}(m, l) \cdot \mathbf{S}_{MBoost}(m, l) \cdot \boldsymbol{\Phi}_{Re,cos}(f_{IndexSine}(i))$$

### 4.6.20 Enhanced Low Delay Codec

#### 4.6.20.1 Code architecture

The Enhanced Low Delay (AAC-ELD) coding scheme provides an extension of the low delay coding functionalities described in subclause 4.6.17. The lowest algorithmic delay for this codec is 15 ms. This codec allows the usage of the Low Delay SBR tool in order to achieve a low bitrate and low delay coding scheme for communication applications.

The ER AAC ELD codec is derived from the ER AAC LD codec, described in subclause 4.6.17 (ER AAC LD). In order to achieve a sufficiently low delay, especially in combination with low delay SBR, some modifications are necessary, e.g. the use of a Low Delay Window (see subclause 4.6.20.2).

For ER AAC ELD, the *ldSbrPresentFlag* in the *ELDSpecificConfig* (see subclause 4.6.20.3) determines the usage of the Low Delay SBR tool. The SBR signaling methods for other AOTs, as described in subclause 1.6.5.1, are not allowed for ER AAC ELD. Furthermore, the *ldSbrSamplingRate* flag determines the behaviour of the Low Delay SBR tool regarding dual rate mode and downsampled mode, as described in subclause 4.6.20.4.

#### 4.6.20.2 Low Delay Window

The synthesis filterbank is modified in order to adopt a low-delay filterbank. The core IMDCT algorithm is mostly unchanged, but with a longer window, such that *n* is now running up to 2N-1 (rather than up to N-1), see subclauses 4.6.11.3.1, 4.6.17.2.1, 4.6.17.2.2.

$$x_{i,n} = -\frac{2}{N} \sum_{k=0}^{\frac{N-1}{2}} \text{spec}[i][k] \cos\left(\frac{2\pi}{N} (n + n_0) \left(k + \frac{1}{2}\right)\right) \quad \text{for } 0 \leq n < 2N$$

where:

- n = sample index
- i = window index
- k = spectral coefficient index
- N = window length
- $n_0 = (-N / 2 + 1) / 2$

with N = 960 or 1024.

The windowing and overlap-add is modified, compared to subclauses 4.6.11.3.2, 4.6.11.3.3, 4.6.17.2.3, in the following way:

The length N window is replaced by a length 2N window with more overlap in the past, and less overlap to the future (N/8 values are actually zero).

Windowing for the Low Delay Window:

$$z_{i,n} = w_{LD}(n) \cdot x_{i,n}$$

Where the window now has a length of 2N, hence  $n=0, \dots, 2N-1$ , with coefficients listed in Table 4.A.15 for N=1024 and Table 4.A.16 for N=960.

Overlap and add:

$$\text{out}_{i,n} = z_{i,n} + z_{i-1, n + \frac{N}{2}} + z_{i-2, n + N} + z_{i-3, n + N + \frac{N}{2}}$$

for  $0 \leq n < N/2$

#### 4.6.20.3 ELDSpecificConfig

Table 4.180 – Syntax of ELDSpecificConfig ()

Syntax	No. of bits	Mnemonic
ELDSpecificConfig (channelConfiguration)		
{		
<b>frameLengthFlag;</b>	1	bslbf
<b>aacSectionDataResilienceFlag;</b>	1	bslbf
<b>aacScalefactorDataResilienceFlag;</b>	1	bslbf
<b>aacSpectralDataResilienceFlag;</b>	1	bslbf
<b>IdSbrPresentFlag;</b>	1	bslbf
If (IdSbrPresentFlag) {		
<b>IdSbrSamplingRate;</b>	1	bslbf
<b>IdSbrCrcFlag;</b>	1	bslbf
Id_sbr_header(channelConfiguration);		
}		
while ( <b>eldExtType</b> != ELDEXT_TERM) {	4	bslbf
<b>eldExtLen;</b>	4	uimsbf
len = eldExtLen;		
if (eldExtLen == 15) {		

<b>eldExtLenAdd;</b> len += eldExtLenAdd; }	<b>8</b>	<b>uimsbf</b>
if (eldExtLenAdd == 255) { <b>eldExtLenAddAdd;</b> len += eldExtLenAddAdd; }	<b>16</b>	<b>uimsbf</b>
switch (eldExtType) { /* add future eld extension configs here */ default: for(cnt=0; cnt<len; cnt++) { <b>other_byte;</b> } break; }	<b>8</b>	<b>uimsbf</b>

**Table 4.181 – Syntax of Id\_sbr\_header ()**

Syntax	No. of bits	Mnemonic
<pre> Id_sbr_header(channelConfiguration) {   switch ( channelConfiguration ) {     case 1:     case 2:       numSbrHeader = 1;       break;     case 3:       numSbrHeader = 2;       break;     case 4:     case 5:     case 6:       numSbrHeader = 3;       break;     case 7:       numSbrHeader = 4;       break;     default:       numSbrHeader = 0;       break;   }    for (el=0; el&lt;numSbrHeader; el++) {     sbr_header();   } } </pre>		

**4.6.20.4 Decoding of ELDSpecificConfig**

The sematic of the syntax elements of the ELDSpecificConfig is described below.

**frameLengthFlag** see GASpecificConfig() (subclause 4.5.1.1)

<b>aacSectionDataResilienceFlag</b>	see GASpecificConfig() (subclause 4.5.1.1)
<b>aacScalefactorDataResilienceFlag</b>	see GASpecificConfig() (subclause 4.5.1.1)
<b>aacSpectralDataResilienceFlag</b>	see GASpecificConfig() (subclause 4.5.1.1)
<b>IdSbrPresentFlag</b>	The IdSbrPresentFlag corresponds to the variable sbrPresentFlag used for non low delay SBR. The decoder has the same behaviour with respect to this flag.
<b>IdSbrCrcFlag</b>	The variable IdSbrCrcFlag signals the CRC syntax for low delay SBR. The CRC calculation only includes the payload without any byte alignment bits.
<b>IdSbrSamplingRate</b>	The IdSbrSamplingRate determines the sampling rate factor between core coder and SBR, where 0 stands for single rate and 1 for dual rate.
<b>eldExtType</b>	A four bit code that identifies the extension type according to Table 4.182.
<b>eldExtLen</b>	Length descriptor of eld extension configuration in bytes
<b>eldExtLenAdd</b>	First additional length field of eld extension configuration in bytes
<b>eldExtLenAddAdd</b>	Second additional length field of eld extension configuration in bytes
<b>other_byte</b>	Helper variable for parsing over unknown configuration payload

Table 4.182 – ELD extension\_type

Symbol	Value of extension_type	Purpose
ELDEXT_TERM	'0000'	Termination tag
/* reserved */	'0001'	/* reserved */
...	...	...
/* reserved */	'1111'	/* reserved */

## Annex 4.A (normative)

### Normative Tables

#### 4.A.1 Huffman codebook tables for AAC-type noiseless coding

Table 4.A.1 – Scalefactor Huffman Codebook

Index	length	codeword (hexadecimal)	Index	length	codeword (hexadecimal)
0	18	3ffe8	61	4	a
1	18	3ffe6	62	4	c
2	18	3ffe7	63	5	1b
3	18	3ffe5	64	6	39
4	19	7ff5	65	6	3b
5	19	7ff1	66	7	78
6	19	7fed	67	7	7a
7	19	7ff6	68	8	f7
8	19	7fee	69	8	f9
9	19	7fef	70	9	1f6
10	19	7ff0	71	9	1f9
11	19	7ffc	72	10	3f4
12	19	7ffd	73	10	3f6
13	19	7fff	74	10	3f8
14	19	7ffe	75	11	7f5
15	19	7ff7	76	11	7f4
16	19	7ff8	77	11	7f6
17	19	7ffb	78	11	7f7
18	19	7ff9	79	12	ff5
19	18	3ffe4	80	12	ff8
20	19	7ffa	81	13	1ff4
21	18	3ffe3	82	13	1ff6
22	17	1fef	83	13	1ff8
23	17	1ff0	84	14	3ff8
24	16	fff5	85	14	3ff4
25	17	1fee	86	16	fff0
26	16	fff2	87	15	7ff4
27	16	fff3	88	16	fff6
28	16	fff4	89	15	7ff5
29	16	fff1	90	18	3ffe2
30	15	7ff6	91	19	7ffd9
31	15	7ff7	92	19	7ffda
32	14	3ff9	93	19	7ffdb
33	14	3ff5	94	19	7ffdc
34	14	3ff7	95	19	7ffdd
35	14	3ff3	96	19	7ffde
36	14	3ff6	97	19	7ffd8
37	14	3ff2	98	19	7ffd2
38	13	1ff7	99	19	7ffd3
39	13	1ff5	100	19	7ffd4
40	12	ff9	101	19	7ffd5
41	12	ff7	102	19	7ffd6
42	12	ff6	103	19	7fff2

43	11	7f9	104	19	7ffd
44	12	ff4	105	19	7ffe7
45	11	7f8	106	19	7ffe8
46	10	3f9	107	19	7ffe9
47	10	3f7	108	19	7ffea
48	10	3f5	109	19	7ffeb
49	9	1f8	110	19	7ffe6
50	9	1f7	111	19	7ffe0
51	8	fa	112	19	7ffe1
52	8	f8	113	19	7ffe2
53	8	f6	114	19	7ffe3
54	7	79	115	19	7ffe4
55	6	3a	116	19	7ffe5
56	6	38	117	19	7ffd7
57	5	1a	118	19	7ffec
58	4	b	119	19	7fff4
59	3	4	120	19	7fff3
60	1	0			

Table 4.A.2 – Spectrum Huffman Codebook 1

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	11	7f8	41	5	14
1	9	1f1	42	7	65
2	11	7fd	43	5	16
3	10	3f5	44	7	6d
4	7	68	45	9	1e9
5	10	3f0	46	7	63
6	11	7f7	47	9	1e4
7	9	1ec	48	7	6b
8	11	7f5	49	5	13
9	10	3f1	50	7	71
10	7	72	51	9	1e3
11	10	3f4	52	7	70
12	7	74	53	9	1f3
13	5	11	54	11	7fe
14	7	76	55	9	1e7
15	9	1eb	56	11	7f3
16	7	6c	57	9	1ef
17	10	3f6	58	7	60
18	11	7fc	59	9	1ee
19	9	1e1	60	11	7f0
20	11	7f1	61	9	1e2
21	9	1f0	62	11	7fa
22	7	61	63	10	3f3
23	9	1f6	64	7	6a
24	11	7f2	65	9	1e8
25	9	1ea	66	7	75
26	11	7fb	67	5	10
27	9	1f2	68	7	73
28	7	69	69	9	1f4
29	9	1ed	70	7	6e
30	7	77	71	10	3f7
31	5	17	72	11	7f6
32	7	6f	73	9	1e0

33	9	1e6	74	11	7f9
34	7	64	75	10	3f2
35	9	1e5	76	7	66
36	7	67	77	9	1f5
37	5	15	78	11	7ff
38	7	62	79	9	1f7
39	5	12	80	11	7f4
40	1	0			

Table 4.A.3 – Spectrum Huffman Codebook 2

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	9	1f3	41	5	7
1	7	6f	42	6	1d
2	9	1fd	43	5	b
3	8	eb	44	6	30
4	6	23	45	8	ef
5	8	ea	46	6	1c
6	9	1f7	47	7	64
7	8	e8	48	6	1e
8	9	1fa	49	5	c
9	8	f2	50	6	29
10	6	2d	51	8	f3
11	7	70	52	6	2f
12	6	20	53	8	f0
13	5	6	54	9	1fc
14	6	2b	55	7	71
15	7	6e	56	9	1f2
16	6	28	57	8	f4
17	8	e9	58	6	21
18	9	1f9	59	8	e6
19	7	66	60	8	f7
20	8	f8	61	7	68
21	8	e7	62	9	1f8
22	6	1b	63	8	ee
23	8	f1	64	6	22
24	9	1f4	65	7	65
25	7	6b	66	6	31
26	9	1f5	67	4	2
27	8	ec	68	6	26
28	6	2a	69	8	ed
29	7	6c	70	6	25
30	6	2c	71	7	6a
31	5	a	72	9	1fb
32	6	27	73	7	72
33	7	67	74	9	1fe
34	6	1a	75	7	69
35	8	f5	76	6	2e
36	6	24	77	8	f6
37	5	8	78	9	1ff
38	6	1f	79	7	6d
39	5	9	80	9	1f6
40	3	0			

Table 4.A.4 – Spectrum Huffman Codebook 3

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	1	0	41	10	3ef
1	4	9	42	9	1f3
2	8	ef	43	9	1f4
3	4	b	44	11	7f6
4	5	19	45	9	1e8
5	8	f0	46	10	3ea
6	9	1eb	47	13	1ffc
7	9	1e6	48	8	f2
8	10	3f2	49	9	1f1
9	4	a	50	12	ffb
10	6	35	51	10	3f5
11	9	1ef	52	11	7f3
12	6	34	53	12	ffc
13	6	37	54	8	ee
14	9	1e9	55	10	3f7
15	9	1ed	56	15	7ffe
16	9	1e7	57	9	1f0
17	10	3f3	58	11	7f5
18	9	1ee	59	15	7ffd
19	10	3ed	60	13	1ffb
20	13	1ffa	61	14	3ffa
21	9	1ec	62	16	fff
22	9	1f2	63	8	f1
23	11	7f9	64	10	3f0
24	11	7f8	65	14	3ffc
25	10	3f8	66	9	1ea
26	12	ff8	67	10	3ee
27	4	8	68	14	3fb
28	6	38	69	12	ff6
29	10	3f6	70	12	ffa
30	6	36	71	15	7fc
31	7	75	72	11	7f2
32	10	3f1	73	12	ff5
33	10	3eb	74	16	ffe
34	10	3ec	75	10	3f4
35	12	ff4	76	11	7f7
36	5	18	77	15	7fb
37	7	76	78	12	ff7
38	11	7f4	79	12	ff9
39	6	39	80	15	7fa
40	7	74			

Table 4.A.5 – Spectrum Huffman Codebook 4

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	4	7	41	7	6b
1	5	16	42	8	e3
2	8	f6	43	7	69
3	5	18	44	9	1f3
4	4	8	45	8	eb

5	8	ef	46	8	e6
6	9	1ef	47	10	3f6
7	8	f3	48	7	6e
8	11	7f8	49	7	6a
9	5	19	50	9	1f4
10	5	17	51	10	3ec
11	8	ed	52	9	1f0
12	5	15	53	10	3f9
13	4	1	54	8	f5
14	8	e2	55	8	ec
15	8	f0	56	11	7fb
16	7	70	57	8	ea
17	10	3f0	58	7	6f
18	9	1ee	59	10	3f7
19	8	f1	60	11	7f9
20	11	7fa	61	10	3f3
21	8	ee	62	12	fff
22	8	e4	63	8	e9
23	10	3f2	64	7	6d
24	11	7f6	65	10	3f8
25	10	3ef	66	7	6c
26	11	7fd	67	7	68
27	4	5	68	9	1f5
28	5	14	69	10	3ee
29	8	f2	70	9	1f2
30	4	9	71	11	7f4
31	4	4	72	11	7f7
32	8	e5	73	10	3f1
33	8	f4	74	12	ffe
34	8	e8	75	10	3ed
35	10	3f4	76	9	1f1
36	4	6	77	11	7f5
37	4	2	78	11	7fe
38	8	e7	79	10	3f5
39	4	3	80	11	7fc
40	4	0			

Table 4.A.6 – Spectrum Huffman Codebook 5

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	13	1ff	41	4	a
1	12	ff7	42	7	71
2	11	7f4	43	8	f3
3	11	7e8	44	11	7e9
4	10	3f1	45	11	7ef
5	11	7ee	46	9	1ee
6	11	7f9	47	8	ef
7	12	ff8	48	5	18
8	13	1fd	49	4	9
9	12	ffd	50	5	1b
10	11	7f1	51	8	eb
11	10	3e8	52	9	1e9
12	9	1e8	53	11	7ec
13	8	f0	54	11	7f6
14	9	1ec	55	10	3eb

15	10	3ee	56	9	1f3
16	11	7f2	57	8	ed
17	12	ffa	58	7	72
18	12	ff4	59	8	e9
19	10	3ef	60	9	1f1
20	9	1f2	61	10	3ed
21	8	e8	62	11	7f7
22	7	70	63	12	ff6
23	8	ec	64	11	7f0
24	9	1f0	65	10	3e9
25	10	3ea	66	9	1ed
26	11	7f3	67	8	f1
27	11	7eb	68	9	1ea
28	9	1eb	69	10	3ec
29	8	ea	70	11	7f8
30	5	1a	71	12	ff9
31	4	8	72	13	1ffc
32	5	19	73	12	ffc
33	8	ee	74	12	ff5
34	9	1ef	75	11	7ea
35	11	7ed	76	10	3f3
36	10	3f0	77	10	3f2
37	8	f2	78	11	7f5
38	7	73	79	12	ffb
39	4	b	80	13	1ffe
40	1	0			

Table 4.A.7 – Spectrum Huffman Codebook 6

index	Length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	11	7fe	41	4	3
1	10	3fd	42	6	2f
2	9	1f1	43	7	73
3	9	1eb	44	9	1fa
4	9	1f4	45	9	1e7
5	9	1ea	46	7	6e
6	9	1f0	47	6	2b
7	10	3fc	48	4	7
8	11	7fd	49	4	1
9	10	3f6	50	4	5
10	9	1e5	51	6	2c
11	8	ea	52	7	6d
12	7	6c	53	9	1ec
13	7	71	54	9	1f9
14	7	68	55	8	ee
15	8	f0	56	6	30
16	9	1e6	57	6	24
17	10	3f7	58	6	2a
18	9	1f3	59	6	25
19	8	ef	60	6	33
20	6	32	61	8	ec
21	6	27	62	9	1f2
22	6	28	63	10	3f8
23	6	26	64	9	1e4
24	6	31	65	8	ed

25	8	eb	66	7	6a
26	9	1f7	67	7	70
27	9	1e8	68	7	69
28	7	6f	69	7	74
29	6	2e	70	8	f1
30	4	8	71	10	3fa
31	4	4	72	11	7ff
32	4	6	73	10	3f9
33	6	29	74	9	1f6
34	7	6b	75	9	1ed
35	9	1ee	76	9	1f8
36	9	1ef	77	9	1e9
37	7	72	78	9	1f5
38	6	2d	79	10	3fb
39	4	2	80	11	7fc
40	4	0			

Table 4.A.8 – Spectrum Huffman Codebook 7

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	1	0	32	8	f3
1	3	5	33	8	ed
2	6	37	34	9	1e8
3	7	74	35	9	1ef
4	8	f2	36	10	3ef
5	9	1eb	37	10	3f1
6	10	3ed	38	10	3f9
7	11	7f7	39	11	7fb
8	3	4	40	9	1ed
9	4	c	41	8	ef
10	6	35	42	9	1ea
11	7	71	43	9	1f2
12	8	ec	44	10	3f3
13	8	ee	45	10	3f8
14	9	1ee	46	11	7f9
15	9	1f5	47	11	7fc
16	6	36	48	10	3ee
17	6	34	49	9	1ec
18	7	72	50	9	1f4
19	8	ea	51	10	3f4
20	8	f1	52	10	3f7
21	9	1e9	53	11	7f8
22	9	1f3	54	12	ffd
23	10	3f5	55	12	ffe
24	7	73	56	11	7f6
25	7	70	57	10	3f0
26	8	eb	58	10	3f2
27	8	f0	59	10	3f6
28	9	1f1	60	11	7fa
29	9	1f0	61	11	7fd
30	10	3ec	62	12	ffc
31	10	3fa	63	12	fff

Table 4.A.9 – Spectrum Huffman Codebook 8

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	5	e	32	7	71
1	4	5	33	6	2b
2	5	10	34	6	2d
3	6	30	35	6	31
4	7	6f	36	7	6d
5	8	f1	37	7	70
6	9	1fa	38	8	f2
7	10	3fe	39	9	1f9
8	4	3	40	8	ef
9	3	0	41	7	68
10	4	4	42	6	33
11	5	12	43	7	6b
12	6	2c	44	7	6e
13	7	6a	45	8	ee
14	7	75	46	8	f9
15	8	f8	47	10	3fc
16	5	f	48	9	1f8
17	4	2	49	7	74
18	4	6	50	7	73
19	5	14	51	8	ed
20	6	2e	52	8	f0
21	7	69	53	8	f6
22	7	72	54	9	1f6
23	8	f5	55	9	1fd
24	6	2f	56	10	3fd
25	5	11	57	8	f3
26	5	13	58	8	f4
27	6	2a	59	8	f7
28	6	32	60	9	1f7
29	7	6c	61	9	1fb
30	8	ec	62	9	1fc
31	8	fa	63	10	3ff

Table 4.A.10 – Spectrum Huffman Codebook 9

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	1	0	85	12	fa
1	3	5	86	12	fe3
2	6	37	87	12	fe9
3	8	e7	88	13	1fe6
4	9	1de	89	13	1ff3
5	10	3ce	90	13	1ff7
6	10	3d9	91	11	7d3
7	11	7c8	92	10	3d8
8	11	7cd	93	10	3e1
9	12	fc8	94	11	7d4
10	12	fdd	95	11	7d9
11	13	1fe4	96	12	fd3
12	13	1fec	97	12	fde
13	3	4	98	13	1fdd
14	4	c	99	13	1fd9

15	6	35	100	13	1fe2
16	7	72	101	13	1fea
17	8	ea	102	13	1ff1
18	8	ed	103	13	1ff6
19	9	1e2	104	11	7d2
20	10	3d1	105	10	3d4
21	10	3d3	106	10	3da
22	10	3e0	107	11	7c7
23	11	7d8	108	11	7d7
24	12	fcf	109	11	7e2
25	12	fd5	110	12	fce
26	6	36	111	12	fdb
27	6	34	112	13	1fd8
28	7	71	113	13	1fee
29	8	e8	114	14	3ff0
30	8	ec	115	13	1ff4
31	9	1e1	116	14	3ff2
32	10	3cf	117	11	7e1
33	10	3dd	118	10	3df
34	10	3db	119	11	7c9
35	11	7d0	120	11	7d6
36	12	fc7	121	12	fca
37	12	fd4	122	12	fd0
38	12	fe4	123	12	fe5
39	8	e6	124	12	fe6
40	7	70	125	13	1feb
41	8	e9	126	13	1fef
42	9	1dd	127	14	3ff3
43	9	1e3	128	14	3ff4
44	10	3d2	129	14	3ff5
45	10	3dc	130	12	fe0
46	11	7cc	131	11	7ce
47	11	7ca	132	11	7d5
48	11	7de	133	12	fc6
49	12	fd8	134	12	fd1
50	12	fea	135	12	fe1
51	13	1fdb	136	13	1fe0
52	9	1df	137	13	1fe8
53	8	eb	138	13	1ff0
54	9	1dc	139	14	3ff1
55	9	1e6	140	14	3ff8
56	10	3d5	141	14	3ff6
57	10	3de	142	15	7ffc
58	11	7cb	143	12	fe8
59	11	7dd	144	11	7df
60	11	7dc	145	12	fc9
61	12	fcd	146	12	fd7
62	12	fe2	147	12	fdc
63	12	fe7	148	13	1fdc
64	13	1fe1	149	13	1fdf
65	10	3d0	150	13	1fed
66	9	1e0	151	13	1ff5
67	9	1e4	152	14	3ff9
68	10	3d6	153	14	3ffb
69	11	7c5	154	15	7ffd
70	11	7d1	155	15	7ffe

71	11	7db	156	13	1fe7
72	12	fd2	157	12	fcc
73	11	7e0	158	12	fd6
74	12	fd9	159	12	fdf
75	12	feb	160	13	1fde
76	13	1fe3	161	13	1fda
77	13	1fe9	162	13	1fe5
78	11	7c4	163	13	1ff2
79	9	1e5	164	14	3ffa
80	10	3d7	165	14	3ff7
81	11	7c6	166	14	3ffc
82	11	7cf	167	14	3ffd
83	11	7da	168	15	7fff
84	12	fcb			

Table 4.A.11 – Spectrum Huffman Codebook 10

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	6	22	85	9	1c7
1	5	8	86	9	1ca
2	6	1d	87	9	1e0
3	6	26	88	10	3db
4	7	5f	89	10	3e8
5	8	d3	90	11	7ec
6	9	1cf	91	9	1e3
7	10	3d0	92	8	d2
8	10	3d7	93	8	cb
9	10	3ed	94	8	d0
10	11	7f0	95	8	d7
11	11	7f6	96	8	db
12	12	ffd	97	9	1c6
13	5	7	98	9	1d5
14	4	0	99	9	1d8
15	4	1	100	10	3ca
16	5	9	101	10	3da
17	6	20	102	11	7ea
18	7	54	103	11	7f1
19	7	60	104	9	1e1
20	8	d5	105	8	d4
21	8	dc	106	8	cf
22	9	1d4	107	8	d6
23	10	3cd	108	8	de
24	10	3de	109	8	e1
25	11	7e7	110	9	1d0
26	6	1c	111	9	1d6
27	4	2	112	10	3d1
28	5	6	113	10	3d5
29	5	c	114	10	3f2
30	6	1e	115	11	7ee
31	6	28	116	11	7fb
32	7	5b	117	10	3e9
33	8	cd	118	9	1cd
34	8	d9	119	9	1c8
35	9	1ce	120	9	1cb
36	9	1dc	121	9	1d1

37	10	3d9	122	9	1d7
38	10	3f1	123	9	1df
39	6	25	124	10	3cf
40	5	b	125	10	3e0
41	5	a	126	10	3ef
42	5	d	127	11	7e6
43	6	24	128	11	7f8
44	7	57	129	12	ffa
45	7	61	130	10	3eb
46	8	cc	131	9	1dd
47	8	dd	132	9	1d3
48	9	1cc	133	9	1d9
49	9	1de	134	9	1db
50	10	3d3	135	10	3d2
51	10	3e7	136	10	3cc
52	7	5d	137	10	3dc
53	6	21	138	10	3ea
54	6	1f	139	11	7ed
55	6	23	140	11	7f3
56	6	27	141	11	7f9
57	7	59	142	12	ff9
58	7	64	143	11	7f2
59	8	d8	144	10	3ce
60	8	df	145	9	1e4
61	9	1d2	146	10	3cb
62	9	1e2	147	10	3d8
63	10	3dd	148	10	3d6
64	10	3ee	149	10	3e2
65	8	d1	150	10	3e5
66	7	55	151	11	7e8
67	6	29	152	11	7f4
68	7	56	153	11	7f5
69	7	58	154	11	7f7
70	7	62	155	12	ffb
71	8	ce	156	11	7fa
72	8	e0	157	10	3ec
73	8	e2	158	10	3df
74	9	1da	159	10	3e1
75	10	3d4	160	10	3e4
76	10	3e3	161	10	3e6
77	11	7eb	162	10	3f0
78	9	1c9	163	11	7e9
79	7	5e	164	11	7ef
80	7	5a	165	12	ff8
81	7	5c	166	12	ffe
82	7	63	167	12	ffc
83	8	ca	168	12	fff
84	8	da			

Table 4.A.12 – Spectrum Huffman Codebook 11

index	length	codeword (hexadecimal)	index	length	codeword (hexadecimal)
0	4	0	145	10	38d
1	5	6	146	10	398
2	6	19	147	10	3b7

3	7	3d	148	10	3d3
4	8	9c	149	10	3d1
5	8	c6	150	10	3db
6	9	1a7	151	11	7dd
7	10	390	152	8	b4
8	10	3c2	153	10	3de
9	10	3df	154	9	1a9
10	11	7e6	155	9	19b
11	11	7f3	156	9	19c
12	12	ffb	157	9	1a1
13	11	7ec	158	9	1aa
14	12	ffa	159	9	1ad
15	12	ffe	160	9	1b3
16	10	38e	161	10	38b
17	5	5	162	10	3b2
18	4	1	163	10	3b8
19	5	8	164	10	3ce
20	6	14	165	10	3e1
21	7	37	166	10	3e0
22	7	42	167	11	7d2
23	8	92	168	11	7e5
24	8	af	169	8	b7
25	9	191	170	11	7e3
26	9	1a5	171	9	1bb
27	9	1b5	172	9	1a8
28	10	39e	173	9	1a6
29	10	3c0	174	9	1b0
30	10	3a2	175	9	1b2
31	10	3cd	176	9	1b7
32	11	7d6	177	10	39b
33	8	ae	178	10	39a
34	6	17	179	10	3ba
35	5	7	180	10	3b5
36	5	9	181	10	3d6
37	6	18	182	11	7d7
38	7	39	183	10	3e4
39	7	40	184	11	7d8
40	8	8e	185	11	7ea
41	8	a3	186	8	ba
42	8	b8	187	11	7e8
43	9	199	188	10	3a0
44	9	1ac	189	9	1bd
45	9	1c1	190	9	1b4
46	10	3b1	191	10	38a
47	10	396	192	9	1c4
48	10	3be	193	10	392
49	10	3ca	194	10	3aa
50	8	9d	195	10	3b0
51	7	3c	196	10	3bc
52	6	15	197	10	3d7
53	6	16	198	11	7d4
54	6	1a	199	11	7dc
55	7	3b	200	11	7db
56	7	44	201	11	7d5
57	8	91	202	11	7f0
58	8	a5	203	8	c1

59	8	be	204	11	7fb
60	9	196	205	10	3c8
61	9	1ae	206	10	3a3
62	9	1b9	207	10	395
63	10	3a1	208	10	39d
64	10	391	209	10	3ac
65	10	3a5	210	10	3ae
66	10	3d5	211	10	3c5
67	8	94	212	10	3d8
68	8	9a	213	10	3e2
69	7	36	214	10	3e6
70	7	38	215	11	7e4
71	7	3a	216	11	7e7
72	7	41	217	11	7e0
73	8	8c	218	11	7e9
74	8	9b	219	11	7f7
75	8	b0	220	9	190
76	8	c3	221	11	7f2
77	9	19e	222	10	393
78	9	1ab	223	9	1be
79	9	1bc	224	9	1c0
80	10	39f	225	10	394
81	10	38f	226	10	397
82	10	3a9	227	10	3ad
83	10	3cf	228	10	3c3
84	8	93	229	10	3c1
85	8	bf	230	10	3d2
86	7	3e	231	11	7da
87	7	3f	232	11	7d9
88	7	43	233	11	7df
89	7	45	234	11	7eb
90	8	9e	235	11	7f4
91	8	a7	236	11	7fa
92	8	b9	237	9	195
93	9	194	238	11	7f8
94	9	1a2	239	10	3bd
95	9	1ba	240	10	39c
96	9	1c3	241	10	3ab
97	10	3a6	242	10	3a8
98	10	3a7	243	10	3b3
99	10	3bb	244	10	3b9
100	10	3d4	245	10	3d0
101	8	9f	246	10	3e3
102	9	1a0	247	10	3e5
103	8	8f	248	11	7e2
104	8	8d	249	11	7de
105	8	90	250	11	7ed
106	8	98	251	11	7f1
107	8	a6	252	11	7f9
108	8	b6	253	11	7fc
109	8	c4	254	9	193
110	9	19f	255	12	ffd
111	9	1af	256	10	3dc
112	9	1bf	257	10	3b6
113	10	399	258	10	3c7
114	10	3bf	259	10	3cc

115	10	3b4	260	10	3cb
116	10	3c9	261	10	3d9
117	10	3e7	262	10	3da
118	8	a8	263	11	7d3
119	9	1b6	264	11	7e1
120	8	ab	265	11	7ee
121	8	a4	266	11	7ef
122	8	aa	267	11	7f5
123	8	b2	268	11	7f6
124	8	c2	269	12	ffc
125	8	c5	270	12	fff
126	9	198	271	9	19d
127	9	1a4	272	9	1c2
128	9	1b8	273	8	b5
129	10	38c	274	8	a1
130	10	3a4	275	8	96
131	10	3c4	276	8	97
132	10	3c6	277	8	95
133	10	3dd	278	8	99
134	10	3e8	279	8	a0
135	8	ad	280	8	a2
136	10	3af	281	8	ac
137	9	192	282	8	a9
138	8	bd	283	8	b1
139	8	bc	284	8	b3
140	9	18e	285	8	bb
141	9	197	286	8	c0
142	9	19a	287	9	18f
143	9	1a3	288	5	4
144	9	1b1			

#### 4.A.2 Window tables

Table 4.A.13 – Kaiser-Bessel window for AAC SSR object type EIGHT\_SHORT\_SEQUENCE

<i>i</i>	<i>w(i)</i>	<i>i</i>	<i>w(i)</i>
0	0.0000875914060105	16	0.7446454751465113
1	0.0009321760265333	17	0.8121892962974020
2	0.0032114611466596	18	0.8683559394406505
3	0.0081009893216786	19	0.9125649996381605
4	0.0171240286619181	20	0.9453396205809574
5	0.0320720743527833	21	0.9680864942677585
6	0.0548307856028528	22	0.9827581789763112
7	0.0871361822564870	23	0.9914756203467121
8	0.1302923415174603	24	0.9961964092194694
9	0.1848955425508276	25	0.9984956609571091
10	0.2506163195331889	26	0.9994855586984285
11	0.3260874142923209	27	0.9998533730714648
12	0.4089316830907141	28	0.9999671864476404
13	0.4959414909423747	29	0.9999948432453556
14	0.5833939894958904	30	0.9999995655238333
15	0.6674601983218376	31	0.9999999961638728

Table 4.A.14 – Kaiser-Bessel window for SSR object type for other window sequences.

<i>i</i>	<i>w(i)</i>	<i>i</i>	<i>w(i)</i>
0	0.0005851230124487	128	0.7110428359000029

1	0.0009642149851497	129	0.7188474364707993
2	0.0013558207534965	130	0.7265597347077880
3	0.0017771849644394	131	0.7341770687621900
4	0.0022352533849672	132	0.7416968783634273
5	0.0027342299070304	133	0.7491167073477523
6	0.0032773001022195	134	0.7564342060337386
7	0.0038671998069216	135	0.7636471334404891
8	0.0045064443384152	136	0.7707533593446514
9	0.0051974336885144	137	0.7777508661725849
10	0.0059425050016407	138	0.7846377507242818
11	0.0067439602523141	139	0.7914122257259034
12	0.0076040812644888	140	0.7980726212080798
13	0.0085251378135895	141	0.8046173857073919
14	0.0095093917383048	142	0.8110450872887550
15	0.0105590986429280	143	0.8173544143867162
16	0.0116765080854300	144	0.8235441764639875
17	0.0128638627792770	145	0.8296133044858474
18	0.0141233971318631	146	0.8355608512093652
19	0.0154573353235409	147	0.8413859912867303
20	0.0168678890600951	148	0.8470880211822968
21	0.0183572550877256	149	0.8526663589032990
22	0.0199276125319803	150	0.8581205435445334
23	0.0215811201042484	151	0.8634502346476508
24	0.0233199132076965	152	0.8686552113760616
25	0.0251461009666641	153	0.8737353715068081
26	0.0270617631981826	154	0.8786907302411250
27	0.0290689473405856	155	0.8835214188357692
28	0.0311696653515848	156	0.8882276830575707
29	0.0333658905863535	157	0.8928098814640207
30	0.0356595546648444	158	0.8972684835130879
31	0.0380525443366107	159	0.9016040675058185
32	0.0405466983507029	160	0.9058173183656508
33	0.0431438043376910	161	0.9099090252587376
34	0.0458455957104702	162	0.9138800790599416
35	0.0486537485902075	163	0.9177314696695282
36	0.0515698787635492	164	0.9214642831859411
37	0.0545955386770205	165	0.9250796989403991
38	0.0577322144743916	166	0.9285789863994010
39	0.0609813230826460	167	0.9319635019415643
40	0.0643442093520723	168	0.9352346855155568
41	0.0678221432558827	169	0.9383940571861993
42	0.0714163171546603	170	0.9414432135761304
43	0.0751278431308314	171	0.9443838242107182
44	0.0789577503982528	172	0.9472176277741918
45	0.0829069827918993	173	0.9499464282852282
46	0.0869763963425241	174	0.9525720912004834
47	0.0911667569410503	175	0.9550965394547873
48	0.0954787380973307	176	0.9575217494469370
49	0.0999129187977865	177	0.9598497469802043
50	0.1044697814663005	178	0.9620826031668507
51	0.1091497100326053	179	0.9642224303060783
52	0.1139529881122542	180	0.9662713777449607
53	0.1188797973021148	181	0.9682316277319895
54	0.1239302155951605	182	0.9701053912729269
55	0.1291042159181728	183	0.9718949039986892
56	0.1344016647957880	184	0.9736024220549734
57	0.1398223211441467	185	0.9752302180233160

58	0.1453658351972151	186	0.9767805768831932
59	0.1510317475686540	187	0.9782557920246753
60	0.1568194884519144	188	0.9796581613210076
61	0.1627283769610327	189	0.9809899832703159
62	0.1687576206143887	190	0.9822535532154261
63	0.1749063149634756	191	0.9834511596505429
64	0.1811734433685097	192	0.9845850806232530
65	0.1875578769224857	193	0.9856575802399989
66	0.1940583745250518	194	0.9866709052828243
67	0.2006735831073503	195	0.9876272819448033
68	0.2074020380087318	196	0.9885289126911557
69	0.2142421635060113	197	0.9893779732525968
70	0.2211922734956977	198	0.9901766097569984
71	0.2282505723293797	199	0.9909269360049311
72	0.2354151558022098	200	0.9916310308941294
73	0.2426840122941792	201	0.9922909359973702
74	0.2500550240636293	202	0.9929086532976777
75	0.2575259686921987	203	0.9934861430841844
76	0.2650945206801527	204	0.9940253220113651
77	0.2727582531907993	205	0.9945280613237534
78	0.2805146399424422	206	0.9949961852476154
79	0.2883610572460804	207	0.9954314695504363
80	0.2962947861868143	208	0.9958356402684387
81	0.3043130149466800	209	0.9962103726017252
82	0.3124128412663888	210	0.9965572899760172
83	0.3205912750432127	211	0.9968779632693499
84	0.3288452410620226	212	0.9971739102014799
85	0.3371715818562547	213	0.9974465948831872
86	0.3455670606953511	214	0.9976974275220812
87	0.3540283646950029	215	0.9979277642809907
88	0.3625521080463003	216	0.9981389072844972
89	0.3711348353596863	217	0.9983321047686901
90	0.3797730251194006	218	0.9985085513687731
91	0.3884630932439016	219	0.9986693885387259
92	0.3972013967475546	220	0.9988157050968516
93	0.4059842374986933	221	0.9989485378906924
94	0.4148078660689724	222	0.9990688725744943
95	0.4236684856687616	223	0.9991776444921379
96	0.4325622561631607	224	0.9992757396582338
97	0.4414852981630577	225	0.9993639958299003
98	0.4504336971855032	226	0.9994432036616085
99	0.4594035078775303	227	0.9995141079353859
100	0.4683907582974173	228	0.9995774088586188
101	0.4773914542472655	229	0.9996337634216871
102	0.4864015836506502	230	0.9996837868076957
103	0.4954171209689973	231	0.9997280538466377
104	0.5044340316502417	232	0.9997671005064359
105	0.5134482766032377	233	0.9998014254134544
106	0.5224558166913167	234	0.9998314913952471
107	0.5314526172383208	235	0.9998577270385304
108	0.5404346525403849	236	0.9998805282555989
109	0.5493979103766972	237	0.9999002598526793
110	0.5583383965124314	238	0.9999172570940037
111	0.5672521391870222	239	0.9999318272557038
112	0.5761351935809411	240	0.9999442511639580
113	0.5849836462541291	241	0.9999547847121726
114	0.5937936195492526	242	0.9999636603523446

115	0.6025612759529649	243	0.9999710885561258
116	0.6112828224083939	244	0.9999772592414866
117	0.6199545145721097	245	0.9999823431612708
118	0.6285726610088878	246	0.9999864932503106
119	0.6371336273176413	247	0.9999898459281599
120	0.6456338401819751	248	0.9999925223548691
121	0.6540697913388968	249	0.9999946296375997
122	0.6624380414593221	250	0.9999962619864214
123	0.6707352239341151	251	0.9999975018180320
124	0.6789580485595255	252	0.9999984208055542
125	0.6871033051160131	253	0.9999990808746198
126	0.6951678668345944	254	0.9999995351446231
127	0.7031486937449871	255	0.9999998288155155

Table 4.A.15 – Window coefficients  $w_{LD}$  for low delay filterbank for N=1024

n	$w_{LD}(n)$	N	$w_{LD}(n)$	n	$w_{LD}(n)$	n	$w_{LD}(n)$
0	0,00000000	512	1,00028215	1024	0,16098974	1536	-0,01310123
1	0,00000000	513	1,00084319	1025	0,15896561	1537	-0,01306470
2	0,00000000	514	1,00140472	1026	0,15696026	1538	-0,01302556
3	0,00000000	515	1,00196665	1027	0,15497259	1539	-0,01298381
4	0,00000000	516	1,00252889	1028	0,15300151	1540	-0,01293948
5	0,00000000	517	1,00309139	1029	0,15104590	1541	-0,01289255
6	0,00000000	518	1,00365404	1030	0,14910466	1542	-0,01284305
7	0,00000000	519	1,00421679	1031	0,14717666	1543	-0,01279095
8	0,00000000	520	1,00477954	1032	0,14526081	1544	-0,01273625
9	0,00000000	521	1,00534221	1033	0,14335599	1545	-0,01267893
10	0,00000000	522	1,00590474	1034	0,14146111	1546	-0,01261897
11	0,00000000	523	1,00646713	1035	0,13957570	1547	-0,01255632
12	0,00000000	524	1,00702945	1036	0,13769993	1548	-0,01249096
13	0,00000000	525	1,00759179	1037	0,13583399	1549	-0,01242283
14	0,00000000	526	1,00815424	1038	0,13397806	1550	-0,01235190
15	0,00000000	527	1,00871678	1039	0,13213229	1551	-0,01227827
16	0,00000000	528	1,00927930	1040	0,13029682	1552	-0,01220213
17	0,00000000	529	1,00984169	1041	0,12847178	1553	-0,01212366
18	0,00000000	530	1,01040384	1042	0,12665729	1554	-0,01204304
19	0,00000000	531	1,01096575	1043	0,12485353	1555	-0,01196032
20	0,00000000	532	1,01152747	1044	0,12306074	1556	-0,01187543
21	0,00000000	533	1,01208910	1045	0,12127916	1557	-0,01178829
22	0,00000000	534	1,01265070	1046	0,11950900	1558	-0,01169884
23	0,00000000	535	1,01321226	1047	0,11775043	1559	-0,01160718
24	0,00000000	536	1,01377365	1048	0,11600347	1560	-0,01151352
25	0,00000000	537	1,01433478	1049	0,11426820	1561	-0,01141809
26	0,00000000	538	1,01489551	1050	0,11254465	1562	-0,01132111
27	0,00000000	539	1,01545584	1051	0,11083292	1563	-0,01122272
28	0,00000000	540	1,01601582	1052	0,10913318	1564	-0,01112304
29	0,00000000	541	1,01657553	1053	0,10744559	1565	-0,01102217
30	0,00000000	542	1,01713502	1054	0,10577028	1566	-0,01092022
31	0,00000000	543	1,01769427	1055	0,10410733	1567	-0,01081730
32	0,00000000	544	1,01825316	1056	0,10245672	1568	-0,01071355
33	0,00000000	545	1,01881154	1057	0,10081842	1569	-0,01060912

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34	0,00000000	546	1,01936929	1058	0,09919240	1570	-0,01050411
35	0,00000000	547	1,01992639	1059	0,09757872	1571	-0,01039854
36	0,00000000	548	1,02048289	1060	0,09597750	1572	-0,01029227
37	0,00000000	549	1,02103888	1061	0,09438884	1573	-0,01018521
38	0,00000000	550	1,02159441	1062	0,09281288	1574	-0,01007727
39	0,00000000	551	1,02214945	1063	0,09124964	1575	-0,00996859
40	0,00000000	552	1,02270387	1064	0,08969907	1576	-0,00985959
41	0,00000000	553	1,02325751	1065	0,08816111	1577	-0,00975063
42	0,00000000	554	1,02381025	1066	0,08663570	1578	-0,00964208
43	0,00000000	555	1,02436204	1067	0,08512288	1579	-0,00953420
44	0,00000000	556	1,02491295	1068	0,08362274	1580	-0,00942723
45	0,00000000	557	1,02546304	1069	0,08213540	1581	-0,00932135
46	0,00000000	558	1,02601238	1070	0,08066096	1582	-0,00921677
47	0,00000000	559	1,02656092	1071	0,07919944	1583	-0,00911364
48	0,00000000	560	1,02710853	1072	0,07775076	1584	-0,00901208
49	0,00000000	561	1,02765508	1073	0,07631484	1585	-0,00891220
50	0,00000000	562	1,02820041	1074	0,07489161	1586	-0,00881412
51	0,00000000	563	1,02874449	1075	0,07348108	1587	-0,00871792
52	0,00000000	564	1,02928737	1076	0,07208335	1588	-0,00862369
53	0,00000000	565	1,02982913	1077	0,07069851	1589	-0,00853153
54	0,00000000	566	1,03036981	1078	0,06932667	1590	-0,00844149
55	0,00000000	567	1,03090937	1079	0,06796781	1591	-0,00835360
56	0,00000000	568	1,03144768	1080	0,06662187	1592	-0,00826785
57	0,00000000	569	1,03198460	1081	0,06528874	1593	-0,00818422
58	0,00000000	570	1,03252000	1082	0,06396833	1594	-0,00810267
59	0,00000000	571	1,03305384	1083	0,06266065	1595	-0,00802312
60	0,00000000	572	1,03358617	1084	0,06136578	1596	-0,00794547
61	0,00000000	573	1,03411707	1085	0,06008380	1597	-0,00786959
62	0,00000000	574	1,03464659	1086	0,05881480	1598	-0,00779533
63	0,00000000	575	1,03517470	1087	0,05755876	1599	-0,00772165
64	0,00000000	576	1,03570128	1088	0,05631557	1600	-0,00764673
65	0,00000000	577	1,03622620	1089	0,05508511	1601	-0,00756886
66	0,00000000	578	1,03674934	1090	0,05386728	1602	-0,00748649
67	0,00000000	579	1,03727066	1091	0,05266206	1603	-0,00739905
68	0,00000000	580	1,03779024	1092	0,05146951	1604	-0,00730681
69	0,00000000	581	1,03830815	1093	0,05028971	1605	-0,00721006
70	0,00000000	582	1,03882446	1094	0,04912272	1606	-0,00710910
71	0,00000000	583	1,03933914	1095	0,04796855	1607	-0,00700419
72	0,00000000	584	1,03985206	1096	0,04682709	1608	-0,00689559
73	0,00000000	585	1,04036312	1097	0,04569825	1609	-0,00678354
74	0,00000000	586	1,04087217	1098	0,04458194	1610	-0,00666829
75	0,00000000	587	1,04137920	1099	0,04347817	1611	-0,00655007
76	0,00000000	588	1,04188428	1100	0,04238704	1612	-0,00642916
77	0,00000000	589	1,04238748	1101	0,04130868	1613	-0,00630579
78	0,00000000	590	1,04288888	1102	0,04024318	1614	-0,00618022
79	0,00000000	591	1,04338845	1103	0,03919056	1615	-0,00605267
80	0,00000000	592	1,04388610	1104	0,03815071	1616	-0,00592333
81	0,00000000	593	1,04438170	1105	0,03712352	1617	-0,00579240

ISO/IEC 14496-3:2009(E)

82	0,00000000	594	1,04487515	1106	0,03610890	1618	-0,00566006
83	0,00000000	595	1,04536645	1107	0,03510679	1619	-0,00552651
84	0,00000000	596	1,04585569	1108	0,03411720	1620	-0,00539194
85	0,00000000	597	1,04634297	1109	0,03314013	1621	-0,00525653
86	0,00000000	598	1,04682838	1110	0,03217560	1622	-0,00512047
87	0,00000000	599	1,04731192	1111	0,03122343	1623	-0,00498390
88	0,00000000	600	1,04779350	1112	0,03028332	1624	-0,00484693
89	0,00000000	601	1,04827303	1113	0,02935494	1625	-0,00470969
90	0,00000000	602	1,04875042	1114	0,02843799	1626	-0,00457228
91	0,00000000	603	1,04922568	1115	0,02753230	1627	-0,00443482
92	0,00000000	604	1,04969891	1116	0,02663788	1628	-0,00429746
93	0,00000000	605	1,05017022	1117	0,02575472	1629	-0,00416034
94	0,00000000	606	1,05063974	1118	0,02488283	1630	-0,00402359
95	0,00000000	607	1,05110746	1119	0,02402232	1631	-0,00388738
96	0,00000000	608	1,05157332	1120	0,02317341	1632	-0,00375185
97	0,00000000	609	1,05203721	1121	0,02233631	1633	-0,00361718
98	0,00000000	610	1,05249907	1122	0,02151124	1634	-0,00348350
99	0,00000000	611	1,05295889	1123	0,02069866	1635	-0,00335100
100	0,00000000	612	1,05341676	1124	0,01989922	1636	-0,00321991
101	0,00000000	613	1,05387277	1125	0,01911359	1637	-0,00309043
102	0,00000000	614	1,05432700	1126	0,01834241	1638	-0,00296276
103	0,00000000	615	1,05477948	1127	0,01758563	1639	-0,00283698
104	0,00000000	616	1,05523018	1128	0,01684248	1640	-0,00271307
105	0,00000000	617	1,05567906	1129	0,01611219	1641	-0,00259098
106	0,00000000	618	1,05612608	1130	0,01539398	1642	-0,00247066
107	0,00000000	619	1,05657124	1131	0,01468726	1643	-0,00235210
108	0,00000000	620	1,05701459	1132	0,01399167	1644	-0,00223531
109	0,00000000	621	1,05745616	1133	0,01330687	1645	-0,00212030
110	0,00000000	622	1,05789601	1134	0,01263250	1646	-0,00200709
111	0,00000000	623	1,05833426	1135	0,01196871	1647	-0,00189576
112	0,00000000	624	1,05877109	1136	0,01131609	1648	-0,00178647
113	0,00000000	625	1,05920669	1137	0,01067527	1649	-0,00167936
114	0,00000000	626	1,05964125	1138	0,01004684	1650	-0,00157457
115	0,00000000	627	1,06007444	1139	0,00943077	1651	-0,00147216
116	0,00000000	628	1,06050542	1140	0,00882641	1652	-0,00137205
117	0,00000000	629	1,06093335	1141	0,00823307	1653	-0,00127418
118	0,00000000	630	1,06135746	1142	0,00765011	1654	-0,00117849
119	0,00000000	631	1,06177909	1143	0,00707735	1655	-0,00108498
120	0,00000000	632	1,06220164	1144	0,00651513	1656	-0,00099375
121	0,00000000	633	1,06262858	1145	0,00596377	1657	-0,00090486
122	0,00000000	634	1,06306309	1146	0,00542364	1658	-0,00081840
123	0,00000000	635	1,06350050	1147	0,00489514	1659	-0,00073444
124	0,00000000	636	1,06392837	1148	0,00437884	1660	-0,00065309
125	0,00000000	637	1,06433391	1149	0,00387530	1661	-0,00057445
126	0,00000000	638	1,06470443	1150	0,00338509	1662	-0,00049860
127	0,00000000	639	1,06502996	1151	0,00290795	1663	-0,00042551
128	0,00338834	640	1,06481076	1152	0,00244282	1664	-0,00035503
129	0,00567745	641	1,06469765	1153	0,00198860	1665	-0,00028700

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130	0,00847677	642	1,06445004	1154	0,00154417	1666	-0,00022125
131	0,01172641	643	1,06408002	1155	0,00110825	1667	-0,00015761
132	0,01532555	644	1,06361382	1156	0,00067934	1668	-0,00009588
133	0,01917664	645	1,06307719	1157	0,00025589	1669	-0,00003583
134	0,02318809	646	1,06249453	1158	-0,00016357	1670	0,00002272
135	0,02729259	647	1,06188365	1159	-0,00057897	1671	0,00007975
136	0,03144503	648	1,06125612	1160	-0,00098865	1672	0,00013501
137	0,03560261	649	1,06062291	1161	-0,00139089	1673	0,00018828
138	0,03972499	650	1,05999418	1162	-0,00178397	1674	0,00023933
139	0,04379783	651	1,05937132	1163	-0,00216547	1675	0,00028784
140	0,04783094	652	1,05874726	1164	-0,00253230	1676	0,00033342
141	0,05183357	653	1,05811486	1165	-0,00288133	1677	0,00037572
142	0,05581342	654	1,05746728	1166	-0,00320955	1678	0,00041438
143	0,05977723	655	1,05680000	1167	-0,00351626	1679	0,00044939
144	0,06373173	656	1,05611070	1168	-0,00380315	1680	0,00048103
145	0,06768364	657	1,05539715	1169	-0,00407198	1681	0,00050958
146	0,07163937	658	1,05465735	1170	-0,00432457	1682	0,00053533
147	0,07559976	659	1,05389329	1171	-0,00456373	1683	0,00055869
148	0,07956096	660	1,05311083	1172	-0,00479326	1684	0,00058015
149	0,08352024	661	1,05231578	1173	-0,00501699	1685	0,00060022
150	0,08747623	662	1,05151372	1174	-0,00523871	1686	0,00061935
151	0,09143035	663	1,05070811	1175	-0,00546066	1687	0,00063781
152	0,09538618	664	1,04990044	1176	-0,00568360	1688	0,00065568
153	0,09934771	665	1,04909210	1177	-0,00590821	1689	0,00067303
154	0,10331917	666	1,04828434	1178	-0,00613508	1690	0,00068991
155	0,10730456	667	1,04747647	1179	-0,00636311	1691	0,00070619
156	0,11130697	668	1,04666590	1180	-0,00658944	1692	0,00072155
157	0,11532867	669	1,04585003	1181	-0,00681117	1693	0,00073567
158	0,11937133	670	1,04502628	1182	-0,00702540	1694	0,00074826
159	0,12343922	671	1,04419009	1183	-0,00722982	1695	0,00075912
160	0,12753911	672	1,04333499	1184	-0,00742268	1696	0,00076811
161	0,13167705	673	1,04245452	1185	-0,00760226	1697	0,00077509
162	0,13585812	674	1,04154244	1186	-0,00776687	1698	0,00077997
163	0,14008529	675	1,04059452	1187	-0,00791580	1699	0,00078275
164	0,14435986	676	1,03960846	1188	-0,00804933	1700	0,00078351
165	0,14868291	677	1,03858207	1189	-0,00816774	1701	0,00078237
166	0,15305531	678	1,03751326	1190	-0,00827139	1702	0,00077943
167	0,15747594	679	1,03640189	1191	-0,00836122	1703	0,00077484
168	0,16194193	680	1,03524976	1192	-0,00843882	1704	0,00076884
169	0,16645070	681	1,03405868	1193	-0,00850583	1705	0,00076160
170	0,17099991	682	1,03283047	1194	-0,00856383	1706	0,00075335
171	0,17558633	683	1,03156812	1195	-0,00861430	1707	0,00074423
172	0,18020600	684	1,03027574	1196	-0,00865853	1708	0,00073442
173	0,18485548	685	1,02895743	1197	-0,00869781	1709	0,00072404
174	0,18953191	686	1,02761717	1198	-0,00873344	1710	0,00071323
175	0,19423322	687	1,02625804	1199	-0,00876633	1711	0,00070209
176	0,19895800	688	1,02488222	1200	-0,00879707	1712	0,00069068
177	0,20370512	689	1,02349184	1201	-0,00882622	1713	0,00067906

ISO/IEC 14496-3:2009(E)

178	0,20847374	690	1,02208892	1202	-0,00885433	1714	0,00066728
179	0,21326312	691	1,02067450	1203	-0,00888132	1715	0,00065534
180	0,21807244	692	1,01924861	1204	-0,00890652	1716	0,00064321
181	0,22290083	693	1,01781123	1205	-0,00892925	1717	0,00063086
182	0,22774742	694	1,01636229	1206	-0,00894881	1718	0,00061824
183	0,23261210	695	1,01490045	1207	-0,00896446	1719	0,00060534
184	0,23749542	696	1,01342315	1208	-0,00897541	1720	0,00059211
185	0,24239767	697	1,01192778	1209	-0,00898088	1721	0,00057855
186	0,24731889	698	1,01041175	1210	-0,00898010	1722	0,00056462
187	0,25225887	699	1,00887284	1211	-0,00897234	1723	0,00055033
188	0,25721719	700	1,00730915	1212	-0,00895696	1724	0,00053566
189	0,26219330	701	1,00571882	1213	-0,00893330	1725	0,00052063
190	0,26718648	702	1,00409996	1214	-0,00890076	1726	0,00050522
191	0,27219630	703	1,00245032	1215	-0,00885914	1727	0,00048949
192	0,27722262	704	1,00076734	1216	-0,00880875	1728	0,00047349
193	0,28226514	705	0,99904842	1217	-0,00874987	1729	0,00045728
194	0,28732336	706	0,99729101	1218	-0,00868282	1730	0,00044092
195	0,29239628	707	0,99549380	1219	-0,00860825	1731	0,00042447
196	0,29748247	708	0,99365664	1220	-0,00852716	1732	0,00040803
197	0,30258055	709	0,99177946	1221	-0,00844055	1733	0,00039166
198	0,30768914	710	0,98986234	1222	-0,00834941	1734	0,00037544
199	0,31280508	711	0,98791024	1223	-0,00825485	1735	0,00035943
200	0,31792385	712	0,98593294	1224	-0,00815807	1736	0,00034371
201	0,32304172	713	0,98394037	1225	-0,00806025	1737	0,00032833
202	0,32815579	714	0,98194226	1226	-0,00796253	1738	0,00031333
203	0,33326397	715	0,97994532	1227	-0,00786519	1739	0,00029874
204	0,33836470	716	0,97795324	1228	-0,00776767	1740	0,00028452
205	0,34345661	717	0,97596955	1229	-0,00766937	1741	0,00027067
206	0,34853868	718	0,97399748	1230	-0,00756971	1742	0,00025715
207	0,35361188	719	0,97203326	1231	-0,00746790	1743	0,00024395
208	0,35867865	720	0,97006624	1232	-0,00736305	1744	0,00023104
209	0,36374072	721	0,96808546	1233	-0,00725422	1745	0,00021842
210	0,36879900	722	0,96608018	1234	-0,00714055	1746	0,00020606
211	0,37385347	723	0,96404416	1235	-0,00702161	1747	0,00019398
212	0,37890349	724	0,96197556	1236	-0,00689746	1748	0,00018218
213	0,38394836	725	0,95987276	1237	-0,00676816	1749	0,00017069
214	0,38898730	726	0,95773420	1238	-0,00663381	1750	0,00015953
215	0,39401912	727	0,95556018	1239	-0,00649489	1751	0,00014871
216	0,39904236	728	0,95335291	1240	-0,00635230	1752	0,00013827
217	0,40405575	729	0,95111462	1241	-0,00620694	1753	0,00012823
218	0,40905820	730	0,94884764	1242	-0,00605969	1754	0,00011861
219	0,41404819	731	0,94655663	1243	-0,00591116	1755	0,00010942
220	0,41902398	732	0,94424858	1244	-0,00576167	1756	0,00010067
221	0,42398423	733	0,94193055	1245	-0,00561155	1757	0,00009236
222	0,42892805	734	0,93960953	1246	-0,00546110	1758	0,00008448
223	0,43385441	735	0,93729154	1247	-0,00531037	1759	0,00007703
224	0,43876210	736	0,93498157	1248	-0,00515917	1760	0,00006999
225	0,44365014	737	0,93268456	1249	-0,00500732	1761	0,00006337

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226	0,44851786	738	0,93040503	1250	-0,00485462	1762	0,00005714
227	0,45336632	739	0,92813771	1251	-0,00470075	1763	0,00005129
228	0,45819759	740	0,92586755	1252	-0,00454530	1764	0,00004583
229	0,46301302	741	0,92357910	1253	-0,00438786	1765	0,00004072
230	0,46781309	742	0,92125731	1254	-0,00422805	1766	0,00003597
231	0,47259722	743	0,91889642	1255	-0,00406594	1767	0,00003157
232	0,47736435	744	0,91649998	1256	-0,00390204	1768	0,00002752
233	0,48211365	745	0,91407191	1257	-0,00373686	1769	0,00002380
234	0,48684450	746	0,91161623	1258	-0,00357091	1770	0,00002042
235	0,49155594	747	0,90913975	1259	-0,00340448	1771	0,00001736
236	0,49624679	748	0,90665202	1260	-0,00323770	1772	0,00001461
237	0,50091636	749	0,90416271	1261	-0,00307066	1773	0,00001215
238	0,50556440	750	0,90168115	1262	-0,00290344	1774	0,00000998
239	0,51019132	751	0,89920934	1263	-0,00273610	1775	0,00000807
240	0,51479771	752	0,89674189	1264	-0,00256867	1776	0,00000641
241	0,51938391	753	0,89427312	1265	-0,00240117	1777	0,00000499
242	0,52394998	754	0,89179743	1266	-0,00223365	1778	0,00000378
243	0,52849587	755	0,88931147	1267	-0,00206614	1779	0,00000278
244	0,53302151	756	0,88681415	1268	-0,00189866	1780	0,00000196
245	0,53752680	757	0,88430445	1269	-0,00173123	1781	0,00000132
246	0,54201160	758	0,88178141	1270	-0,00156390	1782	0,00000082
247	0,54647575	759	0,87924528	1271	-0,00139674	1783	0,00000046
248	0,55091916	760	0,87669753	1272	-0,00122989	1784	0,00000020
249	0,55534181	761	0,87413966	1273	-0,00106351	1785	0,00000005
250	0,55974376	762	0,87157318	1274	-0,00089772	1786	-0,00000003
251	0,56412513	763	0,86899958	1275	-0,00073267	1787	-0,00000006
252	0,56848615	764	0,86642037	1276	-0,00056849	1788	-0,00000004
253	0,57282710	765	0,86383703	1277	-0,00040530	1789	-0,00000001
254	0,57714834	766	0,86125106	1278	-0,00024324	1790	0,00000001
255	0,58145030	767	0,85866393	1279	-0,00008241	1791	0,00000001
256	0,58492489	768	0,85604236	1280	0,00008214	1792	0,00000001
257	0,58918511	769	0,85344385	1281	0,00024102	1793	0,00000001
258	0,59342326	770	0,85083093	1282	0,00039922	1794	-0,00000001
259	0,59763936	771	0,84820550	1283	0,00055660	1795	-0,00000004
260	0,60183347	772	0,84556943	1284	0,00071299	1796	-0,00000005
261	0,60600561	773	0,84292458	1285	0,00086826	1797	-0,00000003
262	0,61015581	774	0,84027278	1286	0,00102224	1798	0,00000005
263	0,61428412	775	0,83761586	1287	0,00117480	1799	0,00000020
264	0,61839056	776	0,83495565	1288	0,00132579	1800	0,00000043
265	0,62247517	777	0,83229393	1289	0,00147507	1801	0,00000077
266	0,62653799	778	0,82963243	1290	0,00162252	1802	0,00000123
267	0,63057912	779	0,82697135	1291	0,00176804	1803	0,00000183
268	0,63459872	780	0,82430933	1292	0,00191161	1804	0,00000257
269	0,63859697	781	0,82164496	1293	0,00205319	1805	0,00000348
270	0,64257403	782	0,81897669	1294	0,00219277	1806	0,00000455
271	0,64653001	783	0,81630017	1295	0,00233029	1807	0,00000581
272	0,65046495	784	0,81360822	1296	0,00246567	1808	0,00000727
273	0,65437887	785	0,81089355	1297	0,00259886	1809	0,00000893

274	0,65827181	786	0,80814924	1298	0,00272975	1810	0,00001080
275	0,66214383	787	0,80537741	1299	0,00285832	1811	0,00001290
276	0,66599499	788	0,80258920	1300	0,00298453	1812	0,00001522
277	0,66982535	789	0,79979611	1301	0,00310839	1813	0,00001778
278	0,67363499	790	0,79700954	1302	0,00322990	1814	0,00002057
279	0,67742394	791	0,79423813	1303	0,00334886	1815	0,00002362
280	0,68119219	792	0,79148780	1304	0,00346494	1816	0,00002691
281	0,68493972	793	0,78876432	1305	0,00357778	1817	0,00003044
282	0,68866653	794	0,78607290	1306	0,00368706	1818	0,00003422
283	0,69237258	795	0,78340590	1307	0,00379273	1819	0,00003824
284	0,69605778	796	0,78074288	1308	0,00389501	1820	0,00004250
285	0,69972207	797	0,77806279	1309	0,00399411	1821	0,00004701
286	0,70336537	798	0,77534514	1310	0,00409020	1822	0,00005176
287	0,70698758	799	0,77258187	1311	0,00418350	1823	0,00005676
288	0,71058862	800	0,76977737	1312	0,00427419	1824	0,00006200
289	0,71416837	801	0,76693654	1313	0,00436249	1825	0,00006749
290	0,71772674	802	0,76406441	1314	0,00444858	1826	0,00007322
291	0,72126361	803	0,76116851	1315	0,00453250	1827	0,00007920
292	0,72477889	804	0,75825892	1316	0,00461411	1828	0,00008541
293	0,72827246	805	0,75534582	1317	0,00469328	1829	0,00009186
294	0,73174419	806	0,75243924	1318	0,00476988	1830	0,00009854
295	0,73519392	807	0,74954634	1319	0,00484356	1831	0,00010543
296	0,73862141	808	0,74667135	1320	0,00491375	1832	0,00011251
297	0,74202643	809	0,74381840	1321	0,00497987	1833	0,00011975
298	0,74540874	810	0,74099145	1322	0,00504139	1834	0,00012714
299	0,74876817	811	0,73819147	1323	0,00509806	1835	0,00013465
300	0,75210458	812	0,73541641	1324	0,00514990	1836	0,00014227
301	0,75541785	813	0,73266408	1325	0,00519693	1837	0,00014997
302	0,75870785	814	0,72993193	1326	0,00523920	1838	0,00015775
303	0,76197437	815	0,72720913	1327	0,00527700	1839	0,00016558
304	0,76521709	816	0,72447661	1328	0,00531083	1840	0,00017348
305	0,76843570	817	0,72171494	1329	0,00534122	1841	0,00018144
306	0,77162988	818	0,71890515	1330	0,00536864	1842	0,00018947
307	0,77479939	819	0,71603932	1331	0,00539357	1843	0,00019756
308	0,77794403	820	0,71312056	1332	0,00541649	1844	0,00020573
309	0,78106359	821	0,71015250	1333	0,00543785	1845	0,00021399
310	0,78415789	822	0,70713900	1334	0,00545809	1846	0,00022233
311	0,78722670	823	0,70409084	1335	0,00547713	1847	0,00023076
312	0,79026979	824	0,70102565	1336	0,00549441	1848	0,00023924
313	0,79328694	825	0,69796137	1337	0,00550936	1849	0,00024773
314	0,79627791	826	0,69491556	1338	0,00552146	1850	0,00025621
315	0,79924244	827	0,69189772	1339	0,00553017	1851	0,00026462
316	0,80218027	828	0,68890931	1340	0,00553494	1852	0,00027293
317	0,80509112	829	0,68595141	1341	0,00553524	1853	0,00028108
318	0,80797472	830	0,68302498	1342	0,00553058	1854	0,00028904
319	0,81083081	831	0,68012852	1343	0,00552066	1855	0,00029675
320	0,81365915	832	0,67725801	1344	0,00550536	1856	0,00030419
321	0,81645949	833	0,67440936	1345	0,00548459	1857	0,00031132

322	0,81923160	834	0,67157841	1346	0,00545828	1858	0,00031810
323	0,82197528	835	0,66876081	1347	0,00542662	1859	0,00032453
324	0,82469037	836	0,66595195	1348	0,00539007	1860	0,00033061
325	0,82737673	837	0,66314722	1349	0,00534910	1861	0,00033633
326	0,83003419	838	0,66034194	1350	0,00530415	1862	0,00034169
327	0,83266262	839	0,65753027	1351	0,00525568	1863	0,00034672
328	0,83526186	840	0,65470525	1352	0,00520418	1864	0,00035142
329	0,83783176	841	0,65185984	1353	0,00515009	1865	0,00035580
330	0,84037217	842	0,64898709	1354	0,00509387	1866	0,00035988
331	0,84288297	843	0,64608214	1355	0,00503595	1867	0,00036369
332	0,84536401	844	0,64314221	1356	0,00497674	1868	0,00036723
333	0,84781517	845	0,64016460	1357	0,00491665	1869	0,00037053
334	0,85023632	846	0,63714680	1358	0,00485605	1870	0,00037361
335	0,85262739	847	0,63409034	1359	0,00479503	1871	0,00037647
336	0,85498836	848	0,63100082	1360	0,00473336	1872	0,00037909
337	0,85731921	849	0,62788400	1361	0,00467082	1873	0,00038145
338	0,85961993	850	0,62474577	1362	0,00460721	1874	0,00038352
339	0,86189052	851	0,62159473	1363	0,00454216	1875	0,00038527
340	0,86413101	852	0,61844225	1364	0,00447517	1876	0,00038663
341	0,86634140	853	0,61529977	1365	0,00440575	1877	0,00038757
342	0,86852173	854	0,61217866	1366	0,00433344	1878	0,00038801
343	0,87067211	855	0,60908811	1367	0,00425768	1879	0,00038790
344	0,87279275	856	0,60603510	1368	0,00417786	1880	0,00038717
345	0,87488384	857	0,60302654	1369	0,00409336	1881	0,00038572
346	0,87694559	858	0,60006916	1370	0,00400363	1882	0,00038350
347	0,87897824	859	0,59716588	1371	0,00390837	1883	0,00038044
348	0,88098206	860	0,59431580	1372	0,00380759	1884	0,00037651
349	0,88295729	861	0,59151787	1373	0,00370130	1885	0,00037170
350	0,88490423	862	0,58877068	1374	0,00358952	1886	0,00036597
351	0,88682332	863	0,58606495	1375	0,00347268	1887	0,00035936
352	0,88871519	864	0,58338353	1376	0,00335157	1888	0,00035191
353	0,89058048	865	0,58070891	1377	0,00322699	1889	0,00034370
354	0,89241984	866	0,57802356	1378	0,00309975	1890	0,00033480
355	0,89423391	867	0,57530864	1379	0,00297088	1891	0,00032531
356	0,89602338	868	0,57254404	1380	0,00284164	1892	0,00031537
357	0,89778893	869	0,56970958	1381	0,00271328	1893	0,00030512
358	0,89953126	870	0,56678577	1382	0,00258700	1894	0,00029470
359	0,90125142	871	0,56376860	1383	0,00246328	1895	0,00028417
360	0,90295086	872	0,56066951	1384	0,00234195	1896	0,00027354
361	0,90463104	873	0,55750064	1385	0,00222281	1897	0,00026279
362	0,90629341	874	0,55427451	1386	0,00210562	1898	0,00025191
363	0,90793946	875	0,55101301	1387	0,00198958	1899	0,00024081
364	0,90957067	876	0,54774732	1388	0,00187331	1900	0,00022933
365	0,91118856	877	0,54450907	1389	0,00175546	1901	0,00021731
366	0,91279464	878	0,54132936	1390	0,00163474	1902	0,00020458
367	0,91439073	879	0,53822744	1391	0,00151020	1903	0,00019101
368	0,91597898	880	0,53521072	1392	0,00138130	1904	0,00017654
369	0,91756153	881	0,53228613	1393	0,00124750	1905	0,00016106

ISO/IEC 14496-3:2009(E)

370	0,91914049	882	0,52945979	1394	0,00110831	1906	0,00014452
371	0,92071690	883	0,52671997	1395	0,00096411	1907	0,00012694
372	0,92229070	884	0,52403708	1396	0,00081611	1908	0,00010848
373	0,92386182	885	0,52138072	1397	0,00066554	1909	0,00008929
374	0,92542993	886	0,51872085	1398	0,00051363	1910	0,00006953
375	0,92698946	887	0,51603570	1399	0,00036134	1911	0,00004935
376	0,92852960	888	0,51331170	1400	0,00020940	1912	0,00002884
377	0,93003929	889	0,51053560	1401	0,00005853	1913	0,00000813
378	0,93150727	890	0,50769466	1402	-0,00009058	1914	-0,00001268
379	0,93291739	891	0,50478931	1403	-0,00023783	1915	-0,00003357
380	0,93424863	892	0,50183308	1404	-0,00038368	1916	-0,00005457
381	0,93547974	893	0,49884001	1405	-0,00052861	1917	-0,00007574
382	0,93658982	894	0,49582406	1406	-0,00067310	1918	-0,00009714
383	0,93756587	895	0,49279905	1407	-0,00081757	1919	-0,00011882
384	0,93894072	896	0,48985748	1408	-0,00096237	1920	-0,00014082
385	0,93922780	897	0,48679641	1409	-0,00110786	1921	-0,00016318
386	0,93955477	898	0,48379429	1410	-0,00125442	1922	-0,00018595
387	0,93991290	899	0,48085363	1411	-0,00140210	1923	-0,00020912
388	0,94029104	900	0,47796576	1412	-0,00155065	1924	-0,00023265
389	0,94067794	901	0,47512151	1413	-0,00169984	1925	-0,00025650
390	0,94106258	902	0,47231151	1414	-0,00184940	1926	-0,00028060
391	0,94144084	903	0,46952402	1415	-0,00199911	1927	-0,00030492
392	0,94181549	904	0,46674486	1416	-0,00214872	1928	-0,00032941
393	0,94218963	905	0,46395979	1417	-0,00229798	1929	-0,00035400
394	0,94256628	906	0,46115496	1418	-0,00244664	1930	-0,00037865
395	0,94294662	907	0,45832607	1419	-0,00259462	1931	-0,00040333
396	0,94332998	908	0,45547830	1420	-0,00274205	1932	-0,00042804
397	0,94371562	909	0,45261727	1421	-0,00288912	1933	-0,00045279
398	0,94410280	910	0,44974866	1422	-0,00303596	1934	-0,00047759
399	0,94449122	911	0,44688011	1423	-0,00318259	1935	-0,00050243
400	0,94488106	912	0,44402125	1424	-0,00332890	1936	-0,00052728
401	0,94527249	913	0,44118178	1425	-0,00347480	1937	-0,00055209
402	0,94566568	914	0,43837094	1426	-0,00362024	1938	-0,00057685
403	0,94606074	915	0,43558772	1427	-0,00376519	1939	-0,00060153
404	0,94645772	916	0,43282082	1428	-0,00390962	1940	-0,00062611
405	0,94685665	917	0,43005847	1429	-0,00405345	1941	-0,00065056
406	0,94725759	918	0,42728913	1430	-0,00419658	1942	-0,00067485
407	0,94766054	919	0,42450572	1431	-0,00433902	1943	-0,00069895
408	0,94806547	920	0,42170567	1432	-0,00448085	1944	-0,00072287
409	0,94847234	921	0,41888658	1433	-0,00462219	1945	-0,00074660
410	0,94888115	922	0,41604633	1434	-0,00476309	1946	-0,00077013
411	0,94929190	923	0,41318897	1435	-0,00490357	1947	-0,00079345
412	0,94970469	924	0,41032472	1436	-0,00504361	1948	-0,00081653
413	0,95011960	925	0,40746405	1437	-0,00518321	1949	-0,00083936
414	0,95053672	926	0,40461724	1438	-0,00532243	1950	-0,00086192
415	0,95095604	927	0,40178943	1439	-0,00546132	1951	-0,00088421
416	0,95137751	928	0,39898066	1440	-0,00559988	1952	-0,00090619
417	0,95180105	929	0,39619073	1441	-0,00573811	1953	-0,00092786

418	0,95222658	930	0,39341940	1442	-0,00587602	1954	-0,00094919
419	0,95265413	931	0,39066519	1443	-0,00601363	1955	-0,00097017
420	0,95308380	932	0,38792536	1444	-0,00615094	1956	-0,00099077
421	0,95351571	933	0,38519713	1445	-0,00628795	1957	-0,00101098
422	0,95394994	934	0,38247773	1446	-0,00642466	1958	-0,00103077
423	0,95438653	935	0,37976476	1447	-0,00656111	1959	-0,00105012
424	0,95482538	936	0,37705620	1448	-0,00669737	1960	-0,00106904
425	0,95526643	937	0,37435006	1449	-0,00683352	1961	-0,00108750
426	0,95570958	938	0,37164438	1450	-0,00696963	1962	-0,00110549
427	0,95615486	939	0,36893869	1451	-0,00710578	1963	-0,00112301
428	0,95660234	940	0,36623396	1452	-0,00724208	1964	-0,00114005
429	0,95705214	941	0,36353124	1453	-0,00737862	1965	-0,00115660
430	0,95750433	942	0,36083153	1454	-0,00751554	1966	-0,00117265
431	0,95795892	943	0,35813533	1455	-0,00765295	1967	-0,00118821
432	0,95841582	944	0,35544262	1456	-0,00779098	1968	-0,00120325
433	0,95887493	945	0,35275338	1457	-0,00792976	1969	-0,00121779
434	0,95933616	946	0,35006755	1458	-0,00806941	1970	-0,00123180
435	0,95979949	947	0,34738530	1459	-0,00821006	1971	-0,00124528
436	0,96026500	948	0,34470699	1460	-0,00835183	1972	-0,00125822
437	0,96073277	949	0,34203296	1461	-0,00849485	1973	-0,00127061
438	0,96120286	950	0,33936359	1462	-0,00863926	1974	-0,00128243
439	0,96167526	951	0,33669923	1463	-0,00878522	1975	-0,00129368
440	0,96214986	952	0,33404027	1464	-0,00893293	1976	-0,00130435
441	0,96262655	953	0,33138711	1465	-0,00908260	1977	-0,00131445
442	0,96310522	954	0,32874013	1466	-0,00923444	1978	-0,00132395
443	0,96358586	955	0,32609944	1467	-0,00938864	1979	-0,00133285
444	0,96406853	956	0,32346493	1468	-0,00954537	1980	-0,00134113
445	0,96455330	957	0,32083645	1469	-0,00970482	1981	-0,00134878
446	0,96504026	958	0,31821388	1470	-0,00986715	1982	-0,00135578
447	0,96552936	959	0,31559703	1471	-0,01003173	1983	-0,00136215
448	0,96602051	960	0,31298573	1472	-0,01019711	1984	-0,00136797
449	0,96651360	961	0,31037987	1473	-0,01036164	1985	-0,00137333
450	0,96700850	962	0,30777941	1474	-0,01052357	1986	-0,00137834
451	0,96750520	963	0,30518446	1475	-0,01068184	1987	-0,00138305
452	0,96800376	964	0,30259525	1476	-0,01083622	1988	-0,00138748
453	0,96850424	965	0,30001202	1477	-0,01098652	1989	-0,00139163
454	0,96900670	966	0,29743499	1478	-0,01113252	1990	-0,00139551
455	0,96951112	967	0,29486428	1479	-0,01127409	1991	-0,00139913
456	0,97001738	968	0,29229989	1480	-0,01141114	1992	-0,00140249
457	0,97052533	969	0,28974179	1481	-0,01154358	1993	-0,00140559
458	0,97103488	970	0,28718997	1482	-0,01167135	1994	-0,00140844
459	0,97154597	971	0,28464452	1483	-0,01179439	1995	-0,00141102
460	0,97205867	972	0,28210562	1484	-0,01191268	1996	-0,00141334
461	0,97257304	973	0,27957346	1485	-0,01202619	1997	-0,00141538
462	0,97308915	974	0,27704820	1486	-0,01213493	1998	-0,00141714
463	0,97360694	975	0,27452992	1487	-0,01223891	1999	-0,00141861
464	0,97412631	976	0,27201854	1488	-0,01233817	2000	-0,00141978
465	0,97464711	977	0,26951399	1489	-0,01243275	2001	-0,00142064

ISO/IEC 14496-3:2009(E)

466	0,97516923	978	0,26701622	1490	-0,01252272	2002	-0,00142117
467	0,97569262	979	0,26452533	1491	-0,01260815	2003	-0,00142138
468	0,97621735	980	0,26204158	1492	-0,01268915	2004	-0,00142125
469	0,97674350	981	0,25956526	1493	-0,01276583	2005	-0,00142077
470	0,97727111	982	0,25709662	1494	-0,01283832	2006	-0,00141992
471	0,97780016	983	0,25463583	1495	-0,01290685	2007	-0,00141870
472	0,97833051	984	0,25218294	1496	-0,01297171	2008	-0,00141710
473	0,97886205	985	0,24973798	1497	-0,01303320	2009	-0,00141510
474	0,97939463	986	0,24730100	1498	-0,01309168	2010	-0,00141268
475	0,97992823	987	0,24487207	1499	-0,01314722	2011	-0,00140986
476	0,98046291	988	0,24245133	1500	-0,01319969	2012	-0,00140663
477	0,98099875	989	0,24003893	1501	-0,01324889	2013	-0,00140301
478	0,98153580	990	0,23763500	1502	-0,01329466	2014	-0,00139900
479	0,98207405	991	0,23523959	1503	-0,01333693	2015	-0,00139460
480	0,98261337	992	0,23285262	1504	-0,01337577	2016	-0,00138981
481	0,98315364	993	0,23047401	1505	-0,01341125	2017	-0,00138464
482	0,98369474	994	0,22810369	1506	-0,01344345	2018	-0,00137908
483	0,98423664	995	0,22574170	1507	-0,01347243	2019	-0,00137313
484	0,98477941	996	0,22338818	1508	-0,01349823	2020	-0,00136680
485	0,98532311	997	0,22104329	1509	-0,01352089	2021	-0,00136010
486	0,98586780	998	0,21870719	1510	-0,01354045	2022	-0,00135301
487	0,98641348	999	0,21637986	1511	-0,01355700	2023	-0,00134555
488	0,98696003	1000	0,21406117	1512	-0,01357068	2024	-0,00133772
489	0,98750734	1001	0,21175095	1513	-0,01358164	2025	-0,00132952
490	0,98805530	1002	0,20944904	1514	-0,01359003	2026	-0,00132095
491	0,98860389	1003	0,20715535	1515	-0,01359587	2027	-0,00131201
492	0,98915320	1004	0,20486987	1516	-0,01359901	2028	-0,00130272
493	0,98970328	1005	0,20259261	1517	-0,01359931	2029	-0,00129307
494	0,99025423	1006	0,20032356	1518	-0,01359661	2030	-0,00128309
495	0,99080602	1007	0,19806259	1519	-0,01359087	2031	-0,00127277
496	0,99135855	1008	0,19580944	1520	-0,01358219	2032	-0,00126211
497	0,99191171	1009	0,19356385	1521	-0,01357065	2033	-0,00125113
498	0,99246541	1010	0,19132556	1522	-0,01355637	2034	-0,00123981
499	0,99301962	1011	0,18909442	1523	-0,01353935	2035	-0,00122817
500	0,99357443	1012	0,18687040	1524	-0,01351949	2036	-0,00121622
501	0,99412992	1013	0,18465350	1525	-0,01349670	2037	-0,00120397
502	0,99468617	1014	0,18244372	1526	-0,01347088	2038	-0,00119141
503	0,99524320	1015	0,18024164	1527	-0,01344214	2039	-0,00117859
504	0,99580092	1016	0,17804841	1528	-0,01341078	2040	-0,00116552
505	0,99635926	1017	0,17586521	1529	-0,01337715	2041	-0,00115223
506	0,99691814	1018	0,17369322	1530	-0,01334158	2042	-0,00113877
507	0,99747748	1019	0,17153360	1531	-0,01330442	2043	-0,00112517
508	0,99803721	1020	0,16938755	1532	-0,01326601	2044	-0,00111144
509	0,99859725	1021	0,16725622	1533	-0,01322671	2045	-0,00109764
510	0,99915752	1022	0,16514081	1534	-0,01318689	2046	-0,00108377
511	0,99971793	1023	0,16304247	1535	-0,01314692	2047	-0,00106989

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Table 4.A.16 – Window coefficients  $w_{LD}$  for low delay filterbank for N=960

n	$w_{LD}(n)$	n	$w_{LD}(n)$	n	$w_{LD}(n)$	n	$w_{LD}(n)$
0	0,00000000	480	1,00058131	960	0,15990780	1440	-0,01308207
1	0,00000000	481	1,00118006	961	0,15776021	1441	-0,01304153
2	0,00000000	482	1,00177930	962	0,15563325	1442	-0,01299802
3	0,00000000	483	1,00237893	963	0,15352557	1443	-0,01295155
4	0,00000000	484	1,00297887	964	0,15143584	1444	-0,01290215
5	0,00000000	485	1,00357902	965	0,14936270	1445	-0,01284980
6	0,00000000	486	1,00417927	966	0,14730481	1446	-0,01279450
7	0,00000000	487	1,00477954	967	0,14526081	1447	-0,01273625
8	0,00000000	488	1,00537972	968	0,14322937	1448	-0,01267501
9	0,00000000	489	1,00597973	969	0,14120918	1449	-0,01261077
10	0,00000000	490	1,00657959	970	0,13919977	1450	-0,01254347
11	0,00000000	491	1,00717940	971	0,13720138	1451	-0,01247306
12	0,00000000	492	1,00777926	972	0,13521422	1452	-0,01239950
13	0,00000000	493	1,00837925	973	0,13323852	1453	-0,01232277
14	0,00000000	494	1,00897929	974	0,13127445	1454	-0,01224304
15	0,00000000	495	1,00957926	975	0,12932216	1455	-0,01216055
16	0,00000000	496	1,01017901	976	0,12738181	1456	-0,01207554
17	0,00000000	497	1,01077847	977	0,12545358	1457	-0,01198813
18	0,00000000	498	1,01137769	978	0,12353773	1458	-0,01189829
19	0,00000000	499	1,01197678	979	0,12163457	1459	-0,01180590
20	0,00000000	500	1,01257582	980	0,11974436	1460	-0,01171090
21	0,00000000	501	1,01317482	981	0,11786730	1461	-0,01161335
22	0,00000000	502	1,01377365	982	0,11600347	1462	-0,01151352
23	0,00000000	503	1,01437217	983	0,11415293	1463	-0,01141167
24	0,00000000	504	1,01497025	984	0,11231573	1464	-0,01130807
25	0,00000000	505	1,01556786	985	0,11049201	1465	-0,01120289
26	0,00000000	506	1,01616510	986	0,10868196	1466	-0,01109626
27	0,00000000	507	1,01676205	987	0,10688578	1467	-0,01098830
28	0,00000000	508	1,01735876	988	0,10510362	1468	-0,01087916
29	0,00000000	509	1,01795514	989	0,10333551	1469	-0,01076898
30	0,00000000	510	1,01855103	990	0,10158143	1470	-0,01065793
31	0,00000000	511	1,01914627	991	0,09984133	1471	-0,01054618
32	0,00000000	512	1,01974076	992	0,09811524	1472	-0,01043380
33	0,00000000	513	1,02033455	993	0,09640327	1473	-0,01032068
34	0,00000000	514	1,02092772	994	0,09470556	1474	-0,01020670
35	0,00000000	515	1,02152037	995	0,09302228	1475	-0,01009171
36	0,00000000	516	1,02211247	996	0,09135347	1476	-0,00997585
37	0,00000000	517	1,02270387	997	0,08969907	1477	-0,00985959
38	0,00000000	518	1,02329439	998	0,08805903	1478	-0,00974338
39	0,00000000	519	1,02388387	999	0,08643326	1479	-0,00962765
40	0,00000000	520	1,02447229	1000	0,08482183	1480	-0,00951273
41	0,00000000	521	1,02505972	1001	0,08322486	1481	-0,00939888
42	0,00000000	522	1,02564624	1002	0,08164249	1482	-0,00928634
43	0,00000000	523	1,02623190	1003	0,08007481	1483	-0,00917534
44	0,00000000	524	1,02681660	1004	0,07852179	1484	-0,00906604
45	0,00000000	525	1,02740017	1005	0,07698335	1485	-0,00895860

ISO/IEC 14496-3:2009(E)

46	0,00000000	526	1,02798242	1006	0,07545938	1486	-0,00885313
47	0,00000000	527	1,02856326	1007	0,07394984	1487	-0,00874977
48	0,00000000	528	1,02914272	1008	0,07245482	1488	-0,00864862
49	0,00000000	529	1,02972087	1009	0,07097444	1489	-0,00854979
50	0,00000000	530	1,03029778	1010	0,06950883	1490	-0,00845337
51	0,00000000	531	1,03087344	1011	0,06805800	1491	-0,00835939
52	0,00000000	532	1,03144768	1012	0,06662187	1492	-0,00826785
53	0,00000000	533	1,03202035	1013	0,06520031	1493	-0,00817872
54	0,00000000	534	1,03259127	1014	0,06379324	1494	-0,00809195
55	0,00000000	535	1,03316042	1015	0,06240065	1495	-0,00800745
56	0,00000000	536	1,03372788	1016	0,06102266	1496	-0,00792506
57	0,00000000	537	1,03429373	1017	0,05965936	1497	-0,00784469
58	0,00000000	538	1,03485801	1018	0,05831084	1498	-0,00776588
59	0,00000000	539	1,03542064	1019	0,05697701	1499	-0,00768695
60	0,00000000	540	1,03598146	1020	0,05565775	1500	-0,00760568
61	0,00000000	541	1,03654030	1021	0,05435290	1501	-0,00752004
62	0,00000000	542	1,03709708	1022	0,05306239	1502	-0,00742875
63	0,00000000	543	1,03765185	1023	0,05178628	1503	-0,00733186
64	0,00000000	544	1,03820470	1024	0,05052464	1504	-0,00722976
65	0,00000000	545	1,03875571	1025	0,04927758	1505	-0,00712279
66	0,00000000	546	1,03930488	1026	0,04804510	1506	-0,00701130
67	0,00000000	547	1,03985206	1027	0,04682709	1507	-0,00689559
68	0,00000000	548	1,04039712	1028	0,04562344	1508	-0,00677595
69	0,00000000	549	1,04093989	1029	0,04443405	1509	-0,00665269
70	0,00000000	550	1,04148037	1030	0,04325893	1510	-0,00652610
71	0,00000000	551	1,04201865	1031	0,04209822	1511	-0,00639649
72	0,00000000	552	1,04255481	1032	0,04095208	1512	-0,00626417
73	0,00000000	553	1,04308893	1033	0,03982059	1513	-0,00612943
74	0,00000000	554	1,04362093	1034	0,03870371	1514	-0,00599252
75	0,00000000	555	1,04415068	1035	0,03760131	1515	-0,00585368
76	0,00000000	556	1,04467803	1036	0,03651325	1516	-0,00571315
77	0,00000000	557	1,04520292	1037	0,03543944	1517	-0,00557115
78	0,00000000	558	1,04572542	1038	0,03437987	1518	-0,00542792
79	0,00000000	559	1,04624566	1039	0,03333454	1519	-0,00528367
80	0,00000000	560	1,04676376	1040	0,03230348	1520	-0,00513864
81	0,00000000	561	1,04727974	1041	0,03128653	1521	-0,00499301
82	0,00000000	562	1,04779350	1042	0,03028332	1522	-0,00484693
83	0,00000000	563	1,04830493	1043	0,02929346	1523	-0,00470054
84	0,00000000	564	1,04881391	1044	0,02831658	1524	-0,00455395
85	0,00000000	565	1,04932048	1045	0,02735252	1525	-0,00440733
86	0,00000000	566	1,04982477	1046	0,02640127	1526	-0,00426086
87	0,00000000	567	1,05032693	1047	0,02546283	1527	-0,00411471
88	0,00000000	568	1,05082705	1048	0,02453725	1528	-0,00396904
89	0,00000000	569	1,05132510	1049	0,02362471	1529	-0,00382404
90	0,00000000	570	1,05182098	1050	0,02272547	1530	-0,00367991
91	0,00000000	571	1,05231457	1051	0,02183980	1531	-0,00353684
92	0,00000000	572	1,05280584	1052	0,02096810	1532	-0,00339502
93	0,00000000	573	1,05329485	1053	0,02011108	1533	-0,00325472

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94	0,00000000	574	1,05378171	1054	0,01926957	1534	-0,00311618
95	0,00000000	575	1,05426654	1055	0,01844439	1535	-0,00297967
96	0,00000000	576	1,05474937	1056	0,01763565	1536	-0,00284531
97	0,00000000	577	1,05523018	1057	0,01684248	1537	-0,00271307
98	0,00000000	578	1,05570892	1058	0,01606394	1538	-0,00258290
99	0,00000000	579	1,05618554	1059	0,01529909	1539	-0,00245475
100	0,00000000	580	1,05666005	1060	0,01454726	1540	-0,00232860
101	0,00000000	581	1,05713251	1061	0,01380802	1541	-0,00220447
102	0,00000000	582	1,05760297	1062	0,01308092	1542	-0,00208236
103	0,00000000	583	1,05807149	1063	0,01236569	1543	-0,00196233
104	0,00000000	584	1,05853828	1064	0,01166273	1544	-0,00184450
105	0,00000000	585	1,05900355	1065	0,01097281	1545	-0,00172906
106	0,00000000	586	1,05946756	1066	0,01029671	1546	-0,00161620
107	0,00000000	587	1,05993024	1067	0,00963479	1547	-0,00150603
108	0,00000000	588	1,06039075	1068	0,00898646	1548	-0,00139852
109	0,00000000	589	1,06084806	1069	0,00835089	1549	-0,00129358
110	0,00000000	590	1,06130111	1070	0,00772725	1550	-0,00119112
111	0,00000000	591	1,06175099	1071	0,00711521	1551	-0,00109115
112	0,00000000	592	1,06220164	1072	0,00651513	1552	-0,00099375
113	0,00000000	593	1,06265732	1073	0,00592741	1553	-0,00089902
114	0,00000000	594	1,06312146	1074	0,00535249	1554	-0,00080705
115	0,00000000	595	1,06358726	1075	0,00479089	1555	-0,00071796
116	0,00000000	596	1,06403924	1076	0,00424328	1556	-0,00063185
117	0,00000000	597	1,06446186	1077	0,00371041	1557	-0,00054886
118	0,00000000	598	1,06484048	1078	0,00319271	1558	-0,00046904
119	0,00000000	599	1,06516440	1079	0,00268947	1559	-0,00039231
120	0,00101191	600	1,06527864	1080	0,00219928	1560	-0,00031845
121	0,00440397	601	1,06498077	1081	0,00172084	1561	-0,00024728
122	0,00718669	602	1,06470196	1082	0,00125271	1562	-0,00017860
123	0,01072130	603	1,06425743	1083	0,00079311	1563	-0,00011216
124	0,01459757	604	1,06372091	1084	0,00034023	1564	-0,00004772
125	0,01875954	605	1,06311464	1085	-0,00010786	1565	0,00001500
126	0,02308987	606	1,06246622	1086	-0,00055144	1566	0,00007600
127	0,02751541	607	1,06179277	1087	-0,00098865	1567	0,00013501
128	0,03198130	608	1,06110808	1088	-0,00141741	1568	0,00019176
129	0,03643738	609	1,06042455	1089	-0,00183557	1569	0,00024595
130	0,04085290	610	1,05974495	1090	-0,00224010	1570	0,00029720
131	0,04522835	611	1,05906206	1091	-0,00262725	1571	0,00034504
132	0,04957620	612	1,05836706	1092	-0,00299314	1572	0,00038902
133	0,05390454	613	1,05765243	1093	-0,00333475	1573	0,00042881
134	0,05821503	614	1,05691470	1094	-0,00365250	1574	0,00046456
135	0,06251214	615	1,05615178	1095	-0,00394867	1575	0,00049662
136	0,06680463	616	1,05536069	1096	-0,00422533	1576	0,00052534
137	0,07109582	617	1,05454152	1097	-0,00448528	1577	0,00055114
138	0,07538014	618	1,05370030	1098	-0,00473278	1578	0,00057459
139	0,07965207	619	1,05284445	1099	-0,00497252	1579	0,00059629
140	0,08390857	620	1,05198094	1100	-0,00520916	1580	0,00061684
141	0,08815177	621	1,05111433	1101	-0,00544584	1581	0,00063660

142	0,09238785	622	1,05024634	1102	-0,00568360	1582	0,00065568
143	0,09662163	623	1,04937859	1103	-0,00592326	1583	0,00067417
144	0,10085860	624	1,04851245	1104	-0,00616547	1584	0,00069213
145	0,10510892	625	1,04764614	1105	-0,00640861	1585	0,00070935
146	0,10938110	626	1,04677586	1106	-0,00664914	1586	0,00072545
147	0,11367819	627	1,04589855	1107	-0,00688354	1587	0,00074005
148	0,11800355	628	1,04501046	1108	-0,00710845	1588	0,00075283
149	0,12236410	629	1,04410500	1109	-0,00732136	1589	0,00076356
150	0,12676834	630	1,04317417	1110	-0,00752022	1590	0,00077209
151	0,13122384	631	1,04221010	1111	-0,00770289	1591	0,00077828
152	0,13573476	632	1,04120649	1112	-0,00786789	1592	0,00078205
153	0,14030106	633	1,04016012	1113	-0,00801521	1593	0,00078350
154	0,14492340	634	1,03906851	1114	-0,00814526	1594	0,00078275
155	0,14960315	635	1,03792894	1115	-0,00825839	1595	0,00077992
156	0,15433828	636	1,03674090	1116	-0,00835563	1596	0,00077520
157	0,15912396	637	1,03550649	1117	-0,00843882	1597	0,00076884
158	0,16395663	638	1,03422800	1118	-0,00850996	1598	0,00076108
159	0,16883310	639	1,03290769	1119	-0,00857097	1599	0,00075218
160	0,17374837	640	1,03154944	1120	-0,00862360	1600	0,00074232
161	0,17869679	641	1,03015834	1121	-0,00866943	1601	0,00073170
162	0,18367394	642	1,02873938	1122	-0,00871004	1602	0,00072048
163	0,18867661	643	1,02729712	1123	-0,00874688	1603	0,00070881
164	0,19370368	644	1,02583470	1124	-0,00878091	1604	0,00069680
165	0,19875413	645	1,02435463	1125	-0,00881277	1605	0,00068450
166	0,20382641	646	1,02285952	1126	-0,00884320	1606	0,00067201
167	0,20892055	647	1,02135114	1127	-0,00887248	1607	0,00065934
168	0,21403775	648	1,01982974	1128	-0,00890002	1608	0,00064647
169	0,21917761	649	1,01829520	1129	-0,00892494	1609	0,00063335
170	0,22433899	650	1,01674752	1130	-0,00894641	1610	0,00061994
171	0,22952250	651	1,01518534	1131	-0,00896355	1611	0,00060621
172	0,23472991	652	1,01360559	1132	-0,00897541	1612	0,00059211
173	0,23996189	653	1,01200510	1133	-0,00898104	1613	0,00057763
174	0,24521859	654	1,01038076	1134	-0,00897948	1614	0,00056274
175	0,25049930	655	1,00872996	1135	-0,00896990	1615	0,00054743
176	0,25580312	656	1,00705045	1136	-0,00895149	1616	0,00053169
177	0,26112942	657	1,00533999	1137	-0,00892346	1617	0,00051553
178	0,26647748	658	1,00359618	1138	-0,00888519	1618	0,00049897
179	0,27184703	659	1,00181613	1139	-0,00883670	1619	0,00048206
180	0,27723785	660	0,99999673	1140	-0,00877839	1620	0,00046487
181	0,28264967	661	0,99813477	1141	-0,00871058	1621	0,00044748
182	0,28808086	662	0,99622793	1142	-0,00863388	1622	0,00042996
183	0,29352832	663	0,99427571	1143	-0,00854936	1623	0,00041241
184	0,29898979	664	0,99227814	1144	-0,00845826	1624	0,00039492
185	0,30446379	665	0,99023501	1145	-0,00836179	1625	0,00037759
186	0,30994292	666	0,98815128	1146	-0,00826124	1626	0,00036049
187	0,31541664	667	0,98603857	1147	-0,00815807	1627	0,00034371
188	0,32087942	668	0,98390898	1148	-0,00805372	1628	0,00032732
189	0,32632772	669	0,98177413	1149	-0,00794953	1629	0,00031137

190	0,33176291	670	0,97964151	1150	-0,00784572	1630	0,00029587
191	0,33718641	671	0,97751528	1151	-0,00774156	1631	0,00028080
192	0,34259612	672	0,97539999	1152	-0,00763634	1632	0,00026612
193	0,34799346	673	0,97329751	1153	-0,00752929	1633	0,00025183
194	0,35338857	674	0,97119933	1154	-0,00741941	1634	0,00023789
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196	0,36419504	676	0,96696152	1156	-0,00718664	1636	0,00021097
197	0,36960630	677	0,96479824	1157	-0,00706184	1637	0,00019797
198	0,37501567	678	0,96259840	1158	-0,00693107	1638	0,00018530
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200	0,38582069	680	0,95808180	1160	-0,00665200	1640	0,00016100
201	0,39121276	681	0,95576295	1161	-0,00650428	1641	0,00014942
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203	0,40195993	683	0,95101436	1163	-0,00619718	1643	0,00012757
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205	0,41264382	685	0,94614009	1165	-0,00588133	1645	0,00010764
206	0,41795277	686	0,94367232	1166	-0,00572169	1646	0,00009841
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208	0,42849480	688	0,93871796	1168	-0,00540085	1648	0,00008145
209	0,43372753	689	0,93624630	1169	-0,00523988	1649	0,00007369
210	0,43893452	690	0,93378636	1170	-0,00507828	1650	0,00006641
211	0,44411398	691	0,93134465	1171	-0,00491582	1651	0,00005958
212	0,44927117	692	0,92892076	1172	-0,00475220	1652	0,00005320
213	0,45441882	693	0,92649974	1173	-0,00458693	1653	0,00004725
214	0,45956191	694	0,92406255	1174	-0,00441953	1654	0,00004171
215	0,46470167	695	0,92159041	1175	-0,00424950	1655	0,00003659
216	0,46983016	696	0,91907411	1176	-0,00407681	1656	0,00003186
217	0,47493636	697	0,91651711	1177	-0,00390204	1657	0,00002752
218	0,48001827	698	0,91392425	1178	-0,00372581	1658	0,00002357
219	0,48507480	699	0,91130056	1179	-0,00354874	1659	0,00002000
220	0,49010240	700	0,90865471	1180	-0,00337115	1660	0,00001679
221	0,49509781	701	0,90599838	1181	-0,00319318	1661	0,00001392
222	0,50005986	702	0,90334350	1182	-0,00301494	1662	0,00001140
223	0,50499037	703	0,90069934	1183	-0,00283652	1663	0,00000918
224	0,50989790	704	0,89806435	1184	-0,00265797	1664	0,00000726
225	0,51478708	705	0,89543132	1185	-0,00247934	1665	0,00000562
226	0,51965805	706	0,89279335	1186	-0,00230066	1666	0,00000424
227	0,52450975	707	0,89014496	1187	-0,00212197	1667	0,00000309
228	0,52933955	708	0,88748403	1188	-0,00194331	1668	0,00000217
229	0,53414668	709	0,88480945	1189	-0,00176471	1669	0,00000143
230	0,53893113	710	0,88211997	1190	-0,00158620	1670	0,00000088
231	0,54369178	711	0,87941558	1191	-0,00140787	1671	0,00000048
232	0,54842731	712	0,87669794	1192	-0,00122989	1672	0,00000020
233	0,55313757	713	0,87396891	1193	-0,00105244	1673	0,00000004
234	0,55782259	714	0,87123030	1194	-0,00087567	1674	-0,00000004
235	0,56248253	715	0,86848394	1195	-0,00069976	1675	-0,00000006
236	0,56711762	716	0,86573164	1196	-0,00052487	1676	-0,00000004
237	0,57172819	717	0,86297523	1197	-0,00035115	1677	0,00000000

ISO/IEC 14496-3:2009(E)

238	0,57631468	718	0,86021649	1198	-0,00017875	1678	0,00000002
239	0,58087761	719	0,85745725	1199	-0,00000782	1679	0,00000000
240	0,58719976	720	0,85474342	1200	0,00000779	1680	0,00000000
241	0,59173064	721	0,85193656	1201	0,00017701	1681	0,00000002
242	0,59623644	722	0,84911455	1202	0,00034552	1682	0,00000000
243	0,60071719	723	0,84627969	1203	0,00051313	1683	-0,00000004
244	0,60517294	724	0,84343424	1204	0,00067966	1684	-0,00000005
245	0,60960372	725	0,84058046	1205	0,00084492	1685	-0,00000004
246	0,61400958	726	0,83772057	1206	0,00100873	1686	0,00000004
247	0,61839056	727	0,83485680	1207	0,00117093	1687	0,00000019
248	0,62274670	728	0,83199134	1208	0,00133133	1688	0,00000045
249	0,62707805	729	0,82912621	1209	0,00148978	1689	0,00000083
250	0,63138475	730	0,82626143	1210	0,00164611	1690	0,00000134
251	0,63566700	731	0,82339529	1211	0,00180023	1691	0,00000201
252	0,63992500	732	0,82052619	1212	0,00195211	1692	0,00000285
253	0,64415895	733	0,81765147	1213	0,00210172	1693	0,00000387
254	0,64836893	734	0,81476433	1214	0,00224898	1694	0,00000510
255	0,65255499	735	0,81185593	1215	0,00239383	1695	0,00000654
256	0,65671715	736	0,80891701	1216	0,00253618	1696	0,00000821
257	0,66085548	737	0,80594452	1217	0,00267593	1697	0,00001011
258	0,66497005	738	0,80294885	1218	0,00281306	1698	0,00001227
259	0,66906094	739	0,79994431	1219	0,00294756	1699	0,00001468
260	0,67312824	740	0,79694485	1220	0,00307942	1700	0,00001735
261	0,67717199	741	0,79396166	1221	0,00320864	1701	0,00002030
262	0,68119219	742	0,79100220	1222	0,00333502	1702	0,00002352
263	0,68518882	743	0,78807349	1223	0,00345816	1703	0,00002702
264	0,68916187	744	0,78518123	1224	0,00357762	1704	0,00003080
265	0,69311129	745	0,78231422	1225	0,00369297	1705	0,00003486
266	0,69703698	746	0,77944709	1226	0,00380414	1706	0,00003918
267	0,70093884	747	0,77655407	1227	0,00391140	1707	0,00004379
268	0,70481679	748	0,77361369	1228	0,00401499	1708	0,00004866
269	0,70867071	749	0,77062281	1229	0,00411524	1709	0,00005382
270	0,71250047	750	0,76758806	1230	0,00421242	1710	0,00005924
271	0,71630596	751	0,76451506	1231	0,00430678	1711	0,00006495
272	0,72008705	752	0,76141145	1232	0,00439859	1712	0,00007093
273	0,72384360	753	0,75828860	1233	0,00448799	1713	0,00007719
274	0,72757549	754	0,75515892	1234	0,00457487	1714	0,00008373
275	0,73128256	755	0,75203479	1235	0,00465908	1715	0,00009053
276	0,73496463	756	0,74892561	1236	0,00474045	1716	0,00009758
277	0,73862141	757	0,74583682	1237	0,00481857	1717	0,00010488
278	0,74225263	758	0,74277342	1238	0,00489277	1718	0,00011240
279	0,74585799	759	0,73974008	1239	0,00496235	1719	0,00012010
280	0,74943730	760	0,73673754	1240	0,00502666	1720	0,00012796
281	0,75299039	761	0,73376310	1241	0,00508546	1721	0,00013596
282	0,75651711	762	0,73081444	1242	0,00513877	1722	0,00014406
283	0,76001729	763	0,72788616	1243	0,00518662	1723	0,00015226
284	0,76349062	764	0,72496070	1244	0,00522904	1724	0,00016053
285	0,76693670	765	0,72201426	1245	0,00526648	1725	0,00016886

286	0,77035516	766	0,71902283	1246	0,00529956	1726	0,00017725
287	0,77374564	767	0,71596990	1247	0,00532895	1727	0,00018571
288	0,77710790	768	0,71285541	1248	0,00535532	1728	0,00019424
289	0,78044169	769	0,70968427	1249	0,00537929	1729	0,00020286
290	0,78374678	770	0,70646064	1250	0,00540141	1730	0,00021156
291	0,78702291	771	0,70319589	1251	0,00542228	1731	0,00022037
292	0,79026979	772	0,69991077	1252	0,00544196	1732	0,00022928
293	0,79348715	773	0,69662714	1253	0,00545981	1733	0,00023825
294	0,79667471	774	0,69336592	1254	0,00547515	1734	0,00024724
295	0,79983215	775	0,69013742	1255	0,00548726	1735	0,00025621
296	0,80295914	776	0,68694302	1256	0,00549542	1736	0,00026509
297	0,80605536	777	0,68378420	1257	0,00549899	1737	0,00027385
298	0,80912047	778	0,68066143	1258	0,00549732	1738	0,00028241
299	0,81215417	779	0,67757157	1259	0,00548986	1739	0,00029072
300	0,81515616	780	0,67450951	1260	0,00547633	1740	0,00029874
301	0,81812616	781	0,67147030	1261	0,00545664	1741	0,00030643
302	0,82106389	782	0,66844879	1262	0,00543067	1742	0,00031374
303	0,82396915	783	0,66543949	1263	0,00539849	1743	0,00032065
304	0,82684176	784	0,66243677	1264	0,00536061	1744	0,00032715
305	0,82968154	785	0,65943505	1265	0,00531757	1745	0,00033325
306	0,83248830	786	0,65642755	1266	0,00526993	1746	0,00033895
307	0,83526186	787	0,65340591	1267	0,00521822	1747	0,00034425
308	0,83800204	788	0,65036160	1268	0,00516300	1748	0,00034917
309	0,84070866	789	0,64728630	1269	0,00510485	1749	0,00035374
310	0,84338156	790	0,64417440	1270	0,00504432	1750	0,00035796
311	0,84602058	791	0,64102268	1271	0,00498194	1751	0,00036187
312	0,84862556	792	0,63782771	1272	0,00491822	1752	0,00036549
313	0,85119636	793	0,63458757	1273	0,00485364	1753	0,00036883
314	0,85373292	794	0,63130628	1274	0,00478862	1754	0,00037194
315	0,85623523	795	0,62799109	1275	0,00472309	1755	0,00037479
316	0,85870326	796	0,62464879	1276	0,00465675	1756	0,00037736
317	0,86113701	797	0,62128816	1277	0,00458939	1757	0,00037963
318	0,86353649	798	0,61792203	1278	0,00452067	1758	0,00038154
319	0,86590173	799	0,61456438	1279	0,00445003	1759	0,00038306
320	0,86823275	800	0,61122915	1280	0,00437688	1760	0,00038411
321	0,87052968	801	0,60792802	1281	0,00430063	1761	0,00038462
322	0,87279275	802	0,60466971	1282	0,00422062	1762	0,00038453
323	0,87502220	803	0,60146257	1283	0,00413609	1763	0,00038373
324	0,87721829	804	0,59831460	1284	0,00404632	1764	0,00038213
325	0,87938130	805	0,59522876	1285	0,00395060	1765	0,00037965
326	0,88151157	806	0,59220375	1286	0,00384863	1766	0,00037621
327	0,88360940	807	0,58923859	1287	0,00374044	1767	0,00037179
328	0,88567517	808	0,58632936	1288	0,00362600	1768	0,00036636
329	0,88770954	809	0,58346064	1289	0,00350540	1769	0,00035989
330	0,88971328	810	0,58061078	1290	0,00337934	1770	0,00035244
331	0,89168716	811	0,57775874	1291	0,00324885	1771	0,00034407
332	0,89363199	812	0,57488246	1292	0,00311486	1772	0,00033488
333	0,89554856	813	0,57195790	1293	0,00297849	1773	0,00032497

334	0,89743771	814	0,56896078	1294	0,00284122	1774	0,00031449
335	0,89930025	815	0,56586637	1295	0,00270458	1775	0,00030361
336	0,90113740	816	0,56266594	1296	0,00257013	1776	0,00029252
337	0,90295086	817	0,55937186	1297	0,00243867	1777	0,00028133
338	0,90474240	818	0,55599898	1298	0,00231005	1778	0,00027003
339	0,90651380	819	0,55256299	1299	0,00218399	1779	0,00025862
340	0,90826684	820	0,54909184	1300	0,00206023	1780	0,00024706
341	0,91000335	821	0,54562376	1301	0,00193766	1781	0,00023524
342	0,91172515	822	0,54219742	1302	0,00181460	1782	0,00022297
343	0,91343416	823	0,53884728	1303	0,00168938	1783	0,00021004
344	0,91513276	824	0,53559047	1304	0,00156050	1784	0,00019626
345	0,91682357	825	0,53243453	1305	0,00142701	1785	0,00018150
346	0,91850924	826	0,52938894	1306	0,00128831	1786	0,00016566
347	0,92019170	827	0,52645052	1307	0,00114365	1787	0,00014864
348	0,92187129	828	0,52358958	1308	0,00099297	1788	0,00013041
349	0,92354778	829	0,52076862	1309	0,00083752	1789	0,00011112
350	0,92522116	830	0,51795080	1310	0,00067884	1790	0,00009096
351	0,92688597	831	0,51510761	1311	0,00051845	1791	0,00007014
352	0,92852960	832	0,51222179	1312	0,00035760	1792	0,00004884
353	0,93013861	833	0,50927733	1313	0,00019720	1793	0,00002718
354	0,93169897	834	0,50625944	1314	0,00003813	1794	0,00000530
355	0,93319114	835	0,50317073	1315	-0,00011885	1795	-0,00001667
356	0,93458502	836	0,50002767	1316	-0,00027375	1796	-0,00003871
357	0,93587626	837	0,49685021	1317	-0,00042718	1797	-0,00006090
358	0,93694276	838	0,49364116	1318	-0,00057975	1798	-0,00008331
359	0,93825562	839	0,49048690	1319	-0,00073204	1799	-0,00010600
360	0,93882222	840	0,48726128	1320	-0,00088453	1800	-0,00012902
361	0,93910780	841	0,48404889	1321	-0,00103767	1801	-0,00015244
362	0,93944183	842	0,48090875	1322	-0,00119192	1802	-0,00017631
363	0,93981497	843	0,47783482	1323	-0,00134747	1803	-0,00020065
364	0,94021434	844	0,47481564	1324	-0,00150411	1804	-0,00022541
365	0,94062629	845	0,47184024	1325	-0,00166151	1805	-0,00025052
366	0,94103714	846	0,46889391	1326	-0,00181932	1806	-0,00027594
367	0,94144084	847	0,46595836	1327	-0,00197723	1807	-0,00030159
368	0,94184042	848	0,46301611	1328	-0,00213493	1808	-0,00032740
369	0,94223966	849	0,46005089	1329	-0,00229210	1809	-0,00035332
370	0,94264206	850	0,45705924	1330	-0,00244849	1810	-0,00037928
371	0,94304859	851	0,45404822	1331	-0,00260415	1811	-0,00040527
372	0,94345831	852	0,45102447	1332	-0,00275928	1812	-0,00043131
373	0,94387033	853	0,44799543	1333	-0,00291410	1813	-0,00045741
374	0,94428390	854	0,44497138	1334	-0,00306879	1814	-0,00048357
375	0,94469895	855	0,44196397	1335	-0,00322332	1815	-0,00050978
376	0,94511572	856	0,43898547	1336	-0,00337759	1816	-0,00053599
377	0,94553441	857	0,43604105	1337	-0,00353145	1817	-0,00056217
378	0,94595520	858	0,43312057	1338	-0,00368470	1818	-0,00058827
379	0,94637816	859	0,43020942	1339	-0,00383722	1819	-0,00061423
380	0,94680335	860	0,42729337	1340	-0,00398892	1820	-0,00064002
381	0,94723080	861	0,42436272	1341	-0,00413972	1821	-0,00066562

382	0,94766054	862	0,42141388	1342	-0,00428967	1822	-0,00069100
383	0,94809253	863	0,41844400	1343	-0,00443889	1823	-0,00071616
384	0,94852674	864	0,41545081	1344	-0,00458749	1824	-0,00074110
385	0,94896314	865	0,41244014	1345	-0,00473571	1825	-0,00076584
386	0,94940178	866	0,40942464	1346	-0,00488366	1826	-0,00079036
387	0,94984276	867	0,40641716	1347	-0,00503137	1827	-0,00081465
388	0,95028618	868	0,40342874	1348	-0,00517887	1828	-0,00083869
389	0,95073213	869	0,40046292	1349	-0,00532610	1829	-0,00086245
390	0,95118056	870	0,39751923	1350	-0,00547302	1830	-0,00088590
391	0,95163139	871	0,39459758	1351	-0,00561965	1831	-0,00090901
392	0,95208451	872	0,39169692	1352	-0,00576598	1832	-0,00093176
393	0,95253992	873	0,38881435	1353	-0,00591199	1833	-0,00095413
394	0,95299770	874	0,38594643	1354	-0,00605766	1834	-0,00097608
395	0,95345799	875	0,38308980	1355	-0,00620300	1835	-0,00099758
396	0,95392092	876	0,38024146	1356	-0,00634801	1836	-0,00101862
397	0,95438653	877	0,37739896	1357	-0,00649273	1837	-0,00103918
398	0,95485472	878	0,37455986	1358	-0,00663727	1838	-0,00105924
399	0,95532539	879	0,37172187	1359	-0,00678170	1839	-0,00107879
400	0,95579847	880	0,36888463	1360	-0,00692617	1840	-0,00109783
401	0,95627397	881	0,36604937	1361	-0,00707084	1841	-0,00111635
402	0,95675201	882	0,36321735	1362	-0,00721583	1842	-0,00113434
403	0,95723273	883	0,36038967	1363	-0,00736129	1843	-0,00115181
404	0,95771618	884	0,35756668	1364	-0,00750735	1844	-0,00116873
405	0,95820232	885	0,35474832	1365	-0,00765415	1845	-0,00118510
406	0,95869103	886	0,35193455	1366	-0,00780184	1846	-0,00120091
407	0,95918218	887	0,34912542	1367	-0,00795060	1847	-0,00121615
408	0,95967573	888	0,34632129	1368	-0,00810058	1848	-0,00123082
409	0,96017172	889	0,34352258	1369	-0,00825195	1849	-0,00124490
410	0,96067026	890	0,34072974	1370	-0,00840487	1850	-0,00125838
411	0,96117144	891	0,33794323	1371	-0,00855950	1851	-0,00127125
412	0,96167526	892	0,33516354	1372	-0,00871607	1852	-0,00128350
413	0,96218157	893	0,33239114	1373	-0,00887480	1853	-0,00129511
414	0,96269026	894	0,32962648	1374	-0,00903596	1854	-0,00130610
415	0,96320119	895	0,32686967	1375	-0,00919978	1855	-0,00131643
416	0,96371437	896	0,32412042	1376	-0,00936650	1856	-0,00132610
417	0,96422988	897	0,32137919	1377	-0,00953635	1857	-0,00133509
418	0,96474782	898	0,31864044	1378	-0,00970931	1858	-0,00134334
419	0,96526824	899	0,31588373	1379	-0,00988421	1859	-0,00135069
420	0,96579106	900	0,31309909	1380	-0,01005916	1860	-0,00135711
421	0,96631614	901	0,31028631	1381	-0,01023208	1861	-0,00136272
422	0,96684334	902	0,30745528	1382	-0,01040130	1862	-0,00136768
423	0,96737257	903	0,30462678	1383	-0,01056627	1863	-0,00137225
424	0,96790390	904	0,30180656	1384	-0,01072678	1864	-0,00137649
425	0,96843740	905	0,29899424	1385	-0,01088259	1865	-0,00138042
426	0,96897315	906	0,29619082	1386	-0,01103348	1866	-0,00138404
427	0,96951112	907	0,29339717	1387	-0,01117933	1867	-0,00138737
428	0,97005119	908	0,29061333	1388	-0,01132004	1868	-0,00139041
429	0,97059318	909	0,28783935	1389	-0,01145552	1869	-0,00139317

430	0,97113697	910	0,28507563	1390	-0,01158573	1870	-0,00139565
431	0,97168253	911	0,28232266	1391	-0,01171065	1871	-0,00139785
432	0,97222994	912	0,27958067	1392	-0,01183025	1872	-0,00139976
433	0,97277928	913	0,27684984	1393	-0,01194454	1873	-0,00140137
434	0,97333058	914	0,27413017	1394	-0,01205352	1874	-0,00140267
435	0,97388375	915	0,27142157	1395	-0,01215722	1875	-0,00140366
436	0,97443863	916	0,26872396	1396	-0,01225572	1876	-0,00140432
437	0,97499505	917	0,26603737	1397	-0,01234911	1877	-0,00140464
438	0,97555292	918	0,26336211	1398	-0,01243749	1878	-0,00140461
439	0,97611230	919	0,26069855	1399	-0,01252102	1879	-0,00140423
440	0,97667326	920	0,25804700	1400	-0,01259985	1880	-0,00140347
441	0,97723589	921	0,25540830	1401	-0,01267419	1881	-0,00140235
442	0,97780016	922	0,25278329	1402	-0,01274437	1882	-0,00140084
443	0,97836592	923	0,25017211	1403	-0,01281078	1883	-0,00139894
444	0,97893300	924	0,24757451	1404	-0,01287379	1884	-0,00139664
445	0,97950127	925	0,24498713	1405	-0,01293350	1885	-0,00139388
446	0,98007071	926	0,24240740	1406	-0,01298972	1886	-0,00139065
447	0,98064139	927	0,23983550	1407	-0,01304224	1887	-0,00138694
448	0,98121342	928	0,23727200	1408	-0,01309086	1888	-0,00138278
449	0,98178684	929	0,23471866	1409	-0,01313556	1889	-0,00137818
450	0,98236156	930	0,23217624	1410	-0,01317644	1890	-0,00137317
451	0,98293743	931	0,22964458	1411	-0,01321357	1891	-0,00136772
452	0,98351428	932	0,22712346	1412	-0,01324707	1892	-0,00136185
453	0,98409205	933	0,22461258	1413	-0,01327697	1893	-0,00135556
454	0,98467078	934	0,22211202	1414	-0,01330334	1894	-0,00134884
455	0,98525056	935	0,21962197	1415	-0,01332622	1895	-0,00134170
456	0,98583146	936	0,21714290	1416	-0,01334570	1896	-0,00133415
457	0,98641348	937	0,21467522	1417	-0,01336194	1897	-0,00132619
458	0,98699650	938	0,21221877	1418	-0,01337510	1898	-0,00131784
459	0,98758037	939	0,20977323	1419	-0,01338538	1899	-0,00130908
460	0,98816497	940	0,20733693	1420	-0,01339276	1900	-0,00129991
461	0,98875030	941	0,20490860	1421	-0,01339708	1901	-0,00129031
462	0,98933647	942	0,20248823	1422	-0,01339816	1902	-0,00128031
463	0,98992356	943	0,20007615	1423	-0,01339584	1903	-0,00126990
464	0,99051163	944	0,19767358	1424	-0,01339014	1904	-0,00125912
465	0,99110062	945	0,19528091	1425	-0,01338116	1905	-0,00124797
466	0,99169038	946	0,19289781	1426	-0,01336903	1906	-0,00123645
467	0,99228079	947	0,19052347	1427	-0,01335382	1907	-0,00122458
468	0,99287177	948	0,18815661	1428	-0,01333545	1908	-0,00121233
469	0,99346341	949	0,18579693	1429	-0,01331381	1909	-0,00119972
470	0,99405581	950	0,18344441	1430	-0,01328876	1910	-0,00118676
471	0,99464907	951	0,18110010	1431	-0,01326033	1911	-0,00117347
472	0,99524320	952	0,17876595	1432	-0,01322880	1912	-0,00115988
473	0,99583812	953	0,17644344	1433	-0,01319457	1913	-0,00114605
474	0,99643375	954	0,17413400	1434	-0,01315806	1914	-0,00113200
475	0,99702997	955	0,17183905	1435	-0,01311968	1915	-0,00111778
476	0,99762671	956	0,16956003	1436	-0,01307987	1916	-0,00110343
477	0,99822386	957	0,16729836	1437	-0,01303906	1917	-0,00108898

478	0,99882134	958	0,16505547	1438	-0,01299769	1918	-0,00107448
479	0,99941903	959	0,16283278	1439	-0,01295623	1919	-0,00105995

#### 4.A.3 Differential scalefactor to index tables

Table 4.A.17 – transition table 0 (differential scalefactor to index)

DIFF	INDEX														
0	68	16	87	32	46	48	25	64	9	80	40	96	96	112	112
1	69	17	88	33	47	49	19	65	10	81	43	97	97	113	113
2	70	18	89	34	48	50	20	66	12	82	44	98	98	114	114
3	71	19	72	35	49	51	14	67	13	83	45	99	99	115	115
4	75	20	90	36	50	52	15	68	17	84	52	100	100	116	116
5	76	21	73	37	51	53	16	69	18	85	53	101	101	117	117
6	77	22	65	38	41	54	11	70	21	86	63	102	102	118	118
7	78	23	66	39	42	55	7	71	22	87	56	103	103	119	119
8	79	24	58	40	35	56	8	72	26	88	64	104	104	120	120
9	80	25	67	41	36	57	5	73	27	89	57	105	105	121	121
10	81	26	59	42	37	58	2	74	28	90	74	106	106	122	122
11	82	27	60	43	29	59	1	75	31	91	91	107	107	123	123
12	83	28	61	44	38	60	0	76	32	92	92	108	108	124	124
13	84	29	62	45	30	61	3	77	33	93	93	109	109	125	125
14	85	30	54	46	23	62	4	78	34	94	94	110	110	126	126
15	86	31	55	47	24	63	6	79	39	95	95	111	111	127	127

Table 4.A.18 – transition table 1 (index to differential scalefactor)

INDEX	DIFF														
0	60	16	53	32	76	48	34	64	88	80	9	96	96	112	112
1	59	17	68	33	77	49	35	65	22	81	10	97	97	113	113
2	58	18	69	34	78	50	36	66	23	82	11	98	98	114	114
3	61	19	49	35	40	51	37	67	25	83	12	99	99	115	115
4	62	20	50	36	41	52	84	68	0	84	13	100	100	116	116
5	57	21	70	37	42	53	85	69	1	85	14	101	101	117	117
6	63	22	71	38	44	54	30	70	2	86	15	102	102	118	118
7	55	23	46	39	79	55	31	71	3	87	16	103	103	119	119
8	56	24	47	40	80	56	87	72	19	88	17	104	104	120	120
9	64	25	48	41	38	57	89	73	21	89	18	105	105	121	121
10	65	26	72	42	39	58	24	74	90	90	20	106	106	122	122
11	54	27	73	43	81	59	26	75	4	91	91	107	107	123	123
12	66	28	74	44	82	60	27	76	5	92	92	108	108	124	124
13	67	29	43	45	83	61	28	77	6	93	93	109	109	125	125
14	51	30	45	46	32	62	29	78	7	94	94	110	110	126	126
15	52	31	75	47	33	63	86	79	8	95	95	111	111	127	127

#### 4.A.4 Tables for TwinVQ

Table 4.A.19 – LSP codebook for core coder

filename	contents	mode	number of elements	number of vectors
20b19s48bs	LSP	core	20	64+16+2

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	0.1174	0.2629	0.4047	0.5952	0.7249	0.8803	1.0465	1.1687	1.3174	1.4778	1.6125	1.779
	12	1.8951	2.0787	2.2225	2.3546	2.5002	2.6572	2.8355	2.9783				
1	0	0.1828	0.3386	0.4116	0.5621	0.721	0.8888	1.0088	1.1501	1.3288	1.4473	1.5727	1.7789
	12	1.8824	2.0304	2.1998	2.3585	2.4955	2.6511	2.814	2.9605				

2	0	0.1293	0.3249	0.4623	0.6582	0.7948	0.9477	1.1068	1.2104	1.3098	1.4293	1.569	1.757
	12	1.8739	2.0543	2.2133	2.363	2.5169	2.6593	2.8147	2.9676				
3	0	0.1359	0.3157	0.477	0.634	0.6863	0.797	1.0202	1.2062	1.3237	1.4243	1.571	1.788
	12	1.9209	2.0588	2.1726	2.3476	2.5309	2.6718	2.8079	2.9564				
4	0	0.1311	0.2912	0.4227	0.6131	0.7523	0.9053	1.0771	1.2221	1.3648	1.534	1.6759	1.8169
	12	1.902	2.0612	2.2039	2.3483	2.4994	2.6446	2.803	2.9602				
5	0	0.1264	0.2699	0.3763	0.581	0.7562	0.9114	1.0854	1.2163	1.373	1.5429	1.6488	1.7651
	12	1.8514	2.0338	2.1947	2.3444	2.4975	2.6497	2.8091	2.9622				
6	0	0.1437	0.2588	0.3554	0.5655	0.7454	0.9205	1.0799	1.171	1.3025	1.446	1.5693	1.7406
	12	1.8593	2.0314	2.1916	2.3495	2.5033	2.648	2.8094	2.9634				
7	0	0.1114	0.2943	0.4312	0.5984	0.7299	0.8952	1.0942	1.2548	1.3628	1.4482	1.5589	1.7495
	12	1.8897	2.0738	2.2136	2.3605	2.5199	2.6703	2.8201	2.9603				
8	0	0.0948	0.2009	0.3228	0.52	0.6759	0.8336	1.0034	1.169	1.3346	1.4869	1.6169	1.7833
	12	1.8962	2.0733	2.2408	2.3867	2.5308	2.6702	2.822	2.9715				
9	0	0.1354	0.2516	0.3765	0.5569	0.6437	0.7706	0.9587	1.1167	1.2847	1.448	1.5884	1.7576
	12	1.8759	2.0557	2.2131	2.3606	2.5117	2.6561	2.8135	2.9681				
10	0	0.1457	0.3447	0.4823	0.6299	0.7071	0.8266	1.0111	1.159	1.3168	1.4711	1.6095	1.7785
	12	1.8965	2.0814	2.2277	2.3749	2.5471	2.6981	2.8343	2.9631				
11	0	0.1308	0.2559	0.3849	0.5877	0.6716	0.7929	0.9911	1.1467	1.2875	1.4331	1.5804	1.7507
	12	1.8768	2.0533	2.2073	2.3571	2.5075	2.6526	2.8114	2.9668				
12	0	0.11	0.2342	0.3655	0.5678	0.7011	0.8539	1.0156	1.1344	1.2878	1.4475	1.5857	1.7553
	12	1.8788	2.0568	2.209	2.3575	2.5091	2.6533	2.8127	2.9664				
13	0	0.1276	0.2569	0.3779	0.5755	0.7111	0.8433	1.012	1.1497	1.2934	1.4561	1.5821	1.7393
	12	1.8597	2.0338	2.1981	2.3514	2.5005	2.6466	2.8099	2.9643				
14	0	0.1449	0.2648	0.3846	0.5961	0.7299	0.8612	1.0124	1.1361	1.276	1.4033	1.5325	1.7194
	12	1.8567	2.038	2.1982	2.3487	2.5037	2.6505	2.8093	2.9663				
15	0	0.1191	0.2724	0.3804	0.562	0.7344	0.8313	1.0047	1.1589	1.2462	1.4182	1.5826	1.7253
	12	1.8671	2.0428	2.1839	2.3524	2.4969	2.6468	2.8092	2.9619				
16	0	0.1605	0.3428	0.4476	0.5915	0.7067	0.8606	1.0336	1.1538	1.3018	1.4734	1.6169	1.7796
	12	1.9074	2.1093	2.2537	2.3646	2.5005	2.6536	2.8113	2.9592				
17	0	0.198	0.3043	0.3485	0.5137	0.6684	0.836	1.034	1.18	1.3348	1.4823	1.6125	1.7743
	12	1.8685	2.0274	2.1877	2.3437	2.5005	2.6494	2.8088	2.966				
18	0	0.1522	0.2816	0.3968	0.5617	0.7004	0.8729	1.0133	1.1174	1.2474	1.4405	1.6196	1.7822
	12	1.8452	2.0062	2.1803	2.3318	2.484	2.6414	2.8079	2.9638				
19	0	0.0838	0.2169	0.3715	0.6054	0.7642	0.8653	0.9821	1.1144	1.2896	1.4547	1.6024	1.7732
	12	1.8876	2.0665	2.2032	2.3498	2.507	2.6526	2.8113	2.9673				
20	0	0.175	0.3555	0.4484	0.5662	0.6469	0.7965	1.0043	1.1502	1.302	1.4691	1.6097	1.774
	12	1.8883	2.0712	2.2111	2.3544	2.5066	2.6538	2.813	2.9669				
21	0	0.1553	0.2518	0.3129	0.494	0.6681	0.84	1.0047	1.1351	1.2916	1.4545	1.6012	1.7689
	12	1.8857	2.0635	2.2054	2.3529	2.5061	2.6522	2.8115	2.9686				
22	0	0.151	0.2829	0.373	0.5356	0.672	0.8278	1.0133	1.1413	1.2788	1.4408	1.5875	1.7644
	12	1.8764	2.0482	2.1994	2.3476	2.5006	2.6488	2.8092	2.9658				
23	0	0.1242	0.2415	0.3427	0.5296	0.6996	0.8341	0.9758	1.1223	1.2826	1.4479	1.5923	1.7595
	12	1.8802	2.0585	2.1987	2.3476	2.5022	2.6495	2.8098	2.9672				
24	0	0.137	0.2369	0.3319	0.4979	0.6176	0.7802	0.9803	1.1446	1.3162	1.4684	1.6044	1.7707
	12	1.8874	2.063	2.2188	2.3651	2.5155	2.6591	2.8162	2.9694				
25	0	0.1082	0.2604	0.3933	0.5205	0.6302	0.8223	1.0015	1.1183	1.2792	1.4528	1.5918	1.7571
	12	1.8807	2.0589	2.1995	2.3482	2.5029	2.6504	2.8099	2.967				
26	0	0.1655	0.3502	0.4789	0.6442	0.7489	0.8833	1.0259	1.1458	1.276	1.4114	1.5562	1.7398
	12	1.8638	2.0451	2.2086	2.3589	2.5122	2.6577	2.8144	2.9662				
27	0	0.1398	0.2609	0.3662	0.539	0.6898	0.8598	1.0172	1.1053	1.2365	1.4228	1.5771	1.7457
	12	1.8741	2.0544	2.2075	2.3562	2.5101	2.6536	2.8122	2.9669				
28	0	0.0999	0.2563	0.3139	0.4686	0.6594	0.8453	1.0207	1.155	1.2962	1.4475	1.5626	1.7421
	12	1.8998	2.0971	2.2265	2.3679	2.5087	2.6455	2.7782	2.9457				
29	0	0.1138	0.2129	0.3229	0.5337	0.6762	0.8288	1.0247	1.1512	1.2682	1.4174	1.5696	1.7451
	12	1.8698	2.0503	2.2105	2.3574	2.5101	2.6545	2.8123	2.9678				
30	0	0.1464	0.2629	0.3682	0.5432	0.6492	0.8349	1.066	1.1853	1.2746	1.4003	1.5387	1.7244

31	12	1.8658	2.0481	2.2015	2.3521	2.5052	2.6515	2.8106	2.967	1.2714	1.4252	1.5776	1.7548
	0	0.1281	0.2253	0.3181	0.5448	0.738	0.8919	1.0314	1.1421				
32	12	1.8818	2.06	2.2127	2.3616	2.5133	2.6548	2.8141	2.9675	1.3094	1.4649	1.6072	1.7726
	0	0.1671	0.2982	0.3779	0.5418	0.6953	0.8556	1.0118	1.1498				
33	12	1.8847	2.0659	2.2223	2.3653	2.5163	2.6591	2.8135	2.9657	1.2239	1.4114	1.5721	1.7441
	0	0.1584	0.313	0.4545	0.612	0.7032	0.8315	1.0019	1.0994				
34	12	1.8778	2.0618	2.1931	2.3407	2.4986	2.6482	2.8099	2.9659	1.2672	1.4591	1.6018	1.7899
	0	0.1343	0.3271	0.4606	0.6457	0.8085	0.9335	1.0208	1.1017				
35	12	1.9118	2.0595	2.2023	2.3582	2.512	2.6632	2.8272	2.9739	1.2554	1.4493	1.5827	1.7606
	0	0.1772	0.3363	0.4214	0.5756	0.727	0.8934	1.0098	1.0963				
36	12	1.892	2.0618	2.1981	2.3514	2.5063	2.6505	2.8097	2.9658	1.3047	1.4725	1.5731	1.7454
	0	0.1629	0.3196	0.422	0.5608	0.6796	0.8588	1.007	1.1124				
37	12	1.8934	2.0498	2.1861	2.3488	2.5041	2.6429	2.8095	2.9683	1.2892	1.4404	1.5735	1.7424
	0	0.196	0.3317	0.4323	0.6092	0.6915	0.8002	0.9693	1.1223				
38	12	1.8701	2.0495	2.201	2.3498	2.5061	2.6522	2.8101	2.9654	1.2929	1.4648	1.6101	1.7753
	0	0.1468	0.3363	0.4748	0.6645	0.7704	0.8472	0.9688	1.1161				
39	12	1.8918	2.0788	2.2212	2.3697	2.5156	2.6535	2.8097	2.9669	1.2889	1.4605	1.5785	1.7303
	0	0.2093	0.3679	0.4453	0.613	0.7225	0.8482	1.0341	1.1537				
40	12	1.8466	2.0314	2.1931	2.3403	2.4949	2.6475	2.8109	2.9672	1.3025	1.4607	1.5989	1.7658
	0	0.099	0.2279	0.3506	0.5357	0.6608	0.8117	0.993	1.1395				
41	12	1.883	2.062	2.2171	2.3647	2.5148	2.6583	2.8151	2.9689	1.2681	1.4457	1.5934	1.7644
	0	0.1526	0.2818	0.3926	0.5601	0.6776	0.7982	0.9554	1.0972				
42	12	1.8867	2.0678	2.2277	2.3753	2.5217	2.661	2.8151	2.9671	1.2804	1.4409	1.5888	1.7569
	0	0.1664	0.2865	0.3753	0.5542	0.7075	0.8239	0.9482	1.1018				
43	12	1.876	2.0564	2.2125	2.3592	2.5109	2.6547	2.8128	2.9673	1.2293	1.4062	1.564	1.7444
	0	0.1497	0.2776	0.404	0.6093	0.6903	0.8241	0.9759	1.0756				
44	12	1.869	2.0471	2.2058	2.3548	2.5082	2.6541	2.8123	2.9663	1.2551	1.4226	1.5953	1.7619
	0	0.1856	0.3246	0.416	0.5572	0.6681	0.8443	1.0393	1.1434				
45	12	1.8762	2.0626	2.2266	2.3616	2.5056	2.6552	2.8174	2.9657	1.2497	1.4341	1.5718	1.7518
	0	0.1963	0.3169	0.3824	0.5766	0.6988	0.8159	1.013	1.111				
46	12	1.8737	2.051	2.2107	2.3543	2.5119	2.6529	2.812	2.9667	1.2915	1.3994	1.5688	1.7447
	0	0.1856	0.3454	0.4117	0.5668	0.7447	0.8623	0.9852	1.169				
47	12	1.8442	2.0565	2.2031	2.3417	2.5085	2.6514	2.8045	2.9678	1.2546	1.3844	1.5564	1.7446
	0	0.166	0.2923	0.4013	0.5757	0.6739	0.8321	1.0109	1.1455				
48	12	1.8702	2.0453	2.2067	2.3576	2.5086	2.6522	2.8103	2.9659	1.3972	1.5176	1.5984	1.7353
	0	0.1399	0.3315	0.4603	0.6454	0.7743	0.9153	1.0794	1.2339				
49	12	1.8565	2.0569	2.2083	2.3508	2.5053	2.6524	2.8188	2.9722	1.3353	1.5161	1.6186	1.7363
	0	0.1288	0.3059	0.4501	0.6671	0.7666	0.8348	0.9684	1.1432				
50	12	1.8551	2.0594	2.207	2.3614	2.5017	2.6432	2.8081	2.9672	1.3089	1.4954	1.6582	1.7989
	0	0.1283	0.3108	0.4497	0.6447	0.7964	0.8913	0.9786	1.1139				
51	12	1.8601	2.0313	2.2017	2.357	2.5284	2.6688	2.8041	2.953	1.3597	1.486	1.5771	1.7548
	0	0.1353	0.3106	0.4598	0.6512	0.7234	0.8098	0.9998	1.1857				
52	12	1.9111	2.1113	2.2261	2.3281	2.4854	2.6665	2.8452	2.9846	1.3083	1.4632	1.5988	1.7629
	0	0.1604	0.3143	0.4318	0.6003	0.7132	0.8728	1.0448	1.1722				
53	12	1.8779	2.0539	2.2067	2.3572	2.5069	2.6503	2.8111	2.9627	1.2412	1.4131	1.5947	1.7693
	0	0.1028	0.2826	0.45	0.6391	0.7665	0.9555	1.1078	1.1585				
54	12	1.8957	2.0951	2.2557	2.3628	2.503	2.6596	2.8153	2.9674	1.2882	1.4453	1.6329	1.8118
	0	0.1349	0.3392	0.4855	0.6061	0.6773	0.8411	1.0665	1.1875				
55	12	1.8896	2.035	2.201	2.3721	2.5096	2.6424	2.8095	2.9693	1.3288	1.4701	1.5703	1.7315
	0	0.2062	0.3369	0.3912	0.5671	0.7037	0.8188	1.0099	1.1641				
56	12	1.8461	2.022	2.1849	2.3378	2.497	2.6473	2.8072	2.9652	1.3263	1.4822	1.6103	1.7697
	0	0.1611	0.2965	0.3994	0.576	0.7066	0.8527	1.0117	1.1601				
57	12	1.885	2.037	2.1847	2.3501	2.5087	2.6489	2.8095	2.963	1.3099	1.4713	1.611	1.7712
	0	0.174	0.3077	0.3979	0.5763	0.6674	0.7658	0.9572	1.1408				
58	12	1.8662	2.0278	2.1799	2.3338	2.4943	2.6458	2.8068	2.9654	1.2975	1.4555	1.5783	1.722
	0	0.1644	0.2687	0.3549	0.558	0.7462	0.8957	0.9979	1.1157				
	12	1.8323	2.0177	2.1885	2.3429	2.5006	2.6499	2.8095	2.9659				

59	0	0.1396	0.3211	0.4387	0.591	0.7217	0.8955	1.059	1.1835	1.346	1.5024	1.6307	1.8283
	12	1.9458	2.0646	2.1776	2.3532	2.5179	2.6556	2.8191	2.9694				
60	0	0.182	0.288	0.3636	0.5345	0.6327	0.7978	1.0031	1.1387	1.2978	1.4558	1.5979	1.7627
	12	1.8847	2.061	2.1793	2.354	2.5294	2.6627	2.8095	2.9644				
61	0	0.1252	0.2473	0.3853	0.5624	0.7105	0.8498	0.9762	1.1477	1.309	1.4242	1.5993	1.7636
	12	1.8327	2.0352	2.2026	2.332	2.5015	2.6514	2.8022	2.9628				
62	0	0.2073	0.3438	0.3918	0.5472	0.7194	0.8508	1.0187	1.176	1.2857	1.4605	1.6015	1.7364
	12	1.8728	2.0492	2.1888	2.3526	2.5016	2.6466	2.8089	2.9626				
63	0	0.1434	0.2665	0.369	0.5565	0.6735	0.8049	1.0074	1.1739	1.3292	1.4451	1.5527	1.7176
	12	1.8464	2.0366	2.1979	2.3497	2.5038	2.6518	2.8105	2.9653				
64	0	0.0561	0.0312	0.0155	-0.0042	0.0325	0.0171	0.0047	0.0264	0.0483	0.0216	-0.0111	-0.0329
	12	0.0229	0.0045	-0.0032	0.0015	0.0081	0.0198	0.0181	0.0193				
65	0	0.0423	0.0088	-0.0058	-0.0414	-0.0039	0.0202	0.0252	0.0543	0.0252	-0.0252	-0.009	-0.003
	12	0.0404	0.0114	0.068	0.1005	0.0661	0.0072	-0.0267	-0.0069				
66	0	-0.0397	-0.0683	-0.0203	-0.0272	0.0078	0.004	-0.0156	0.0067	0.0163	0.0187	0.0435	0.0378
	12	0.0811	0.0438	-0.0306	-0.0252	-0.0009	0.0161	0.0134	0.0158				
67	0	0.02	-0.0239	-0.0162	-0.01	0.0278	0.0185	0.0115	0.0347	0.0359	0.024	0.0296	0.0116
	12	0.0473	0.0197	0.0079	0.0177	0.0266	0.043	0.0641	0.0436				
68	0	-0.0128	0.0234	0.0498	-0.0345	-0.0268	0.0514	0.0364	0.0499	0.0485	0.0428	0.0564	0.0481
	12	0.0919	0.0574	0.013	0.0282	0.0017	-0.0071	0.0073	0.0156				
69	0	-0.0074	0.0132	0.0242	-0.0196	0.0217	0.0452	0.0326	0.0172	0.0195	0.0434	0.0361	-0.0285
	12	-0.0001	0.0035	0.0074	-0.0221	-0.0314	-0.0046	0.0049	0.0143				
70	0	-0.0005	-0.0231	0	-0.0433	-0.019	-0.0067	-0.0218	0.0094	0.0235	0.0337	0.0322	-0.0196
	12	0.0049	-0.0037	0.0272	-0.0061	-0.0058	0.021	0.017	0.0165				
71	0	0.0121	-0.0081	0.0233	0.0268	0.0422	-0.0014	-0.0065	0.0172	0.013	0.0054	0.0201	0.0062
	12	0.0431	0.016	0.0193	0.0402	0.0449	0.0152	-0.0066	0.0082				
72	0	-0.0388	-0.0291	0.0236	-0.0205	-0.0011	-0.0001	-0.0203	0.0054	0.0064	-0.0207	-0.0137	-0.0211
	12	0.0169	-0.0032	-0.0093	-0.0004	0.0357	0.0354	-0.0014	-0.0013				
73	0	-0.0215	-0.0158	0.0584	0.0477	0.0426	0.0422	0.0241	0.0117	-0.0025	-0.0027	0.0182	0.0029
	12	0.0412	0.0151	0.0549	0.0632	0.0653	0.0757	0.0695	0.0421				
74	0	0.0022	0.0325	0.0862	0.0287	0.0114	0.013	0.0068	-0.0033	-0.0203	-0.0141	0.0073	-0.0058
	12	0.0333	0.0088	0.0676	0.0589	0.0051	-0.0155	-0.0006	0.0127				
75	0	-0.0292	-0.0195	0.0575	0.0156	0.0006	0.0107	-0.0123	0.0147	0.0253	0.0278	0.0644	0.0385
	12	0.0303	-0.0152	-0.0222	0.0136	0.0206	0.0226	0.0215	0.0208				
76	0	-0.0255	-0.0425	0.0049	0.0131	0.0372	0.039	-0.015	-0.0178	0.0075	0.0045	0.0157	0.002
	12	0.0357	0.0057	0.0122	0.0176	0.0198	0.0277	0.0226	0.0208				
77	0	0.0567	0.052	0.0237	-0.0588	-0.0326	-0.0352	-0.0394	0.0085	0.0105	0.0036	0.0152	0.002
	12	0.0395	0.0105	0.028	0.0407	0.0652	0.0661	0.0186	-0.008				
78	0	0.032	0.0601	0.0632	-0.0162	-0.0058	0	-0.0441	-0.0256	-0.0027	-0.0019	0.017	0.0029
	12	0.0321	0.0081	0.0478	0.026	0.0004	0.0197	0.0281	0.0243				
79	0	0.0268	0.0004	0.0397	0.0076	-0.0044	0.0231	0.0238	0.0318	-0.0023	-0.0106	0.0283	0.0012
	12	0.0055	-0.0158	0.0285	0.032	0.0307	0.0348	0.0273	0.0219				
80	0	0.4987	0.4924	0.4713	0.4427	0.4144	0.4168	0.3813	0.3695	0.3672	0.3619	0.3276	0.3173
	12	0.3115	0.278	0.3223	0.2951	0.2826	0.2568	0.2145	0.2527				
81	0	0.3519	0.3181	0.2607	0.206	0.1473	0.1563	0.1073	0.0633	0.0178	0.0178	-0.03	-0.0538
	12	-0.0466	-0.0529	0.0389	-0.024	-0.0405	-0.1279	-0.1221	0.0012				

Table 4.A.20 – LSP codebook for scalable coder

filename	contents	mode	number of elements	number of vectors
20b19s48sc	LSP	enhance	20	64+16+2

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	0.1567	0.293	0.4518	0.6063	0.7601	0.9216	1.067	1.2147	1.3665	1.5191	1.6681	1.8001
	12	1.9483	2.1034	2.2565	2.3958	2.5371	2.6784	2.8201	2.9648				
1	0	0.1363	0.2451	0.3925	0.524	0.6463	0.8015	0.9244	1.0729	1.2474	1.4157	1.5815	1.7372
	12	1.8908	2.0462	2.2112	2.3506	2.4974	2.653	2.7995	2.9567				

2	0	0.1649	0.2806	0.4123	0.5608	0.7206	0.8699	0.9973	1.1528	1.3042	1.45	1.6105	1.7487
	12	1.8897	2.0482	2.2069	2.3509	2.499	2.6508	2.7986	2.9581				
3	0	0.1559	0.2632	0.4053	0.5361	0.7076	0.8445	0.9292	1.0251	1.1718	1.3625	1.5449	1.7128
	12	1.879	2.0404	2.2004	2.3505	2.5068	2.6627	2.82	2.9759				
4	0	0.1529	0.2209	0.3506	0.4867	0.6205	0.7836	0.8989	1.0645	1.2688	1.3758	1.4801	1.6433
	12	1.8303	2	2.1712	2.3409	2.5026	2.6545	2.817	2.9744				
5	0	0.1433	0.2288	0.3578	0.4937	0.6217	0.7847	0.9273	1.0626	1.2336	1.3957	1.5397	1.704
	12	1.8573	2.0034	2.1756	2.3409	2.5	2.6518	2.807	2.9664				
6	0	0.1664	0.2349	0.3465	0.4486	0.5634	0.7389	0.9071	1.0751	1.243	1.3872	1.5295	1.7175
	12	1.8303	1.9659	2.1052	2.2759	2.4874	2.6327	2.7668	2.9633				
7	0	0.1458	0.2419	0.3502	0.4939	0.6698	0.8162	0.9193	1.0387	1.2036	1.3958	1.5755	1.7351
	12	1.8583	1.9894	2.163	2.3414	2.4937	2.6384	2.7951	2.9633				
8	0	0.1491	0.2608	0.4017	0.5588	0.719	0.8763	1.0197	1.1674	1.3192	1.477	1.6349	1.7749
	12	1.9286	2.0907	2.2376	2.3789	2.5253	2.6725	2.8259	2.975				
9	0	0.1812	0.2982	0.4199	0.549	0.6944	0.7986	0.8602	0.9987	1.2019	1.4017	1.5757	1.7307
	12	1.8879	2.05	2.1715	2.319	2.4852	2.6498	2.8074	2.9718				
10	0	0.164	0.2604	0.3885	0.5396	0.6878	0.8403	0.9959	1.1211	1.2562	1.4133	1.5712	1.7299
	12	1.8956	2.0557	2.2103	2.3572	2.5108	2.6634	2.8211	2.9763				
11	0	0.1566	0.297	0.4632	0.5803	0.6433	0.7481	0.9195	1.1048	1.2769	1.4439	1.6033	1.7564
	12	1.9081	2.0646	2.2041	2.349	2.4886	2.6269	2.7689	2.9472				
12	0	0.1467	0.2153	0.361	0.5554	0.7325	0.8634	0.9628	1.1097	1.3069	1.4554	1.5611	1.6825
	12	1.8505	2.0227	2.1789	2.3352	2.4982	2.6573	2.815	2.9725				
13	0	0.1285	0.2004	0.354	0.5039	0.6163	0.7581	0.9083	1.0782	1.2559	1.4238	1.5749	1.724
	12	1.8709	2.0279	2.1951	2.3786	2.541	2.6805	2.8318	2.9801				
14	0	0.1463	0.2438	0.3903	0.5417	0.6666	0.7941	0.9412	1.1156	1.295	1.4665	1.6099	1.722
	12	1.8562	2.0184	2.1976	2.3507	2.5054	2.6602	2.8182	2.9738				
15	0	0.1344	0.1615	0.2467	0.4187	0.6347	0.81	0.9542	1.1096	1.2665	1.4258	1.5829	1.7285
	12	1.8835	2.0436	2.1891	2.3415	2.4915	2.6198	2.7569	2.9449				
16	0	0.187	0.3036	0.4315	0.535	0.6405	0.7804	0.9596	1.1519	1.3195	1.4341	1.5404	1.6821
	12	1.8442	2.009	2.1585	2.3114	2.4752	2.6417	2.8005	2.9638				
17	0	0.1751	0.2719	0.3828	0.5296	0.7036	0.9034	1.0637	1.1863	1.3125	1.4169	1.5111	1.6425
	12	1.8131	1.9929	2.1476	2.3055	2.4739	2.6423	2.8053	2.9703				
18	0	0.1547	0.3035	0.4669	0.5746	0.672	0.8425	1.033	1.1782	1.2909	1.4494	1.6306	1.7793
	12	1.8982	2.038	2.2171	2.378	2.4998	2.6364	2.808	2.9789				
19	0	0.16	0.2923	0.4369	0.5654	0.6968	0.8422	0.9896	1.1608	1.3081	1.4465	1.5856	1.7046
	12	1.8411	1.9932	2.1526	2.3165	2.4797	2.6425	2.8061	2.968				
20	0	0.1562	0.2721	0.4059	0.5534	0.7148	0.8458	0.9977	1.1582	1.3127	1.5004	1.6237	1.7315
	12	1.912	2.0342	2.1649	2.3464	2.4693	2.6224	2.7995	2.9671				
21	0	0.0886	0.1482	0.3145	0.5203	0.7025	0.8746	1.0101	1.1515	1.3107	1.4647	1.6207	1.7669
	12	1.9143	2.0666	2.1859	2.3295	2.4918	2.6541	2.8129	2.9723				
22	0	0.1526	0.2884	0.4275	0.5815	0.7543	0.9188	1.0653	1.2176	1.3491	1.4997	1.69	1.8325
	12	1.9063	1.9897	2.1563	2.3462	2.4988	2.6451	2.7962	2.9644				
23	0	0.1488	0.2703	0.4265	0.5861	0.744	0.8934	1.0286	1.1766	1.3575	1.5352	1.646	1.6996
	12	1.8178	2.0112	2.2095	2.3733	2.4985	2.632	2.7964	2.9644				
24	0	0.1572	0.2429	0.3593	0.5062	0.6562	0.8095	0.961	1.118	1.2825	1.4421	1.6008	1.7497
	12	1.901	2.0565	2.208	2.3977	2.5566	2.6866	2.8352	2.9804				
25	0	0.1483	0.2405	0.3764	0.5123	0.6309	0.7726	0.9575	1.1598	1.2968	1.3939	1.5335	1.6989
	12	1.8671	2.0255	2.1687	2.3193	2.4833	2.6474	2.8075	2.9693				
26	0	0.14	0.2833	0.4514	0.588	0.7017	0.838	1.0121	1.1987	1.3348	1.4524	1.6014	1.769
	12	1.9371	2.0673	2.2007	2.3651	2.5405	2.6912	2.8146	2.9573				
27	0	0.147	0.2935	0.4763	0.6216	0.7255	0.8457	0.9767	1.1172	1.2633	1.4177	1.5811	1.744
	12	1.8958	2.0268	2.1556	2.3108	2.476	2.6424	2.8053	2.9671				
28	0	0.1452	0.2348	0.3705	0.5244	0.6837	0.8371	0.9823	1.1497	1.3086	1.4343	1.5756	1.734
	12	1.8896	2.043	2.1931	2.3458	2.5031	2.6589	2.818	2.9747				
29	0	0.1752	0.2676	0.3921	0.5289	0.6385	0.8015	1.0014	1.1711	1.3076	1.4502	1.5964	1.7216
	12	1.8779	2.0451	2.1952	2.3347	2.4832	2.6366	2.7888	2.9543				
30	0	0.1758	0.2815	0.3937	0.5605	0.6842	0.7915	0.9867	1.1111	1.2982	1.4349	1.5369	1.7311

	12	1.8721	2.0534	2.1813	2.31	2.4778	2.6298	2.7919	2.9531				
31	0	0.1484	0.2614	0.406	0.5621	0.6949	0.838	1.0034	1.1408	1.3059	1.4644	1.5745	1.7082
	12	1.8647	2.0263	2.1836	2.3269	2.4801	2.6403	2.7939	2.9558				
32	0	0.1859	0.2648	0.405	0.5544	0.7034	0.853	0.9523	1.0875	1.2349	1.3468	1.4844	1.6538
	12	1.8288	1.9979	2.1465	2.3027	2.4674	2.6434	2.8068	2.9675				
33	0	0.1523	0.2676	0.4172	0.5676	0.6782	0.7917	0.938	1.0911	1.2527	1.4017	1.5428	1.7005
	12	1.8617	2.0219	2.1783	2.3305	2.4898	2.6492	2.809	2.9696				
34	0	0.1429	0.2452	0.3811	0.5172	0.6436	0.78	0.9157	1.0533	1.2193	1.3916	1.5431	1.6877
	12	1.8483	2.0113	2.1709	2.3314	2.4933	2.6543	2.8159	2.9774				
35	0	0.1429	0.2755	0.4623	0.6348	0.7367	0.8006	0.9024	1.082	1.2703	1.4362	1.597	1.7439
	12	1.8992	2.0596	2.2391	2.3879	2.5199	2.6538	2.8044	2.9714				
36	0	0.1755	0.2674	0.3797	0.5093	0.6128	0.768	0.9463	1.0888	1.2435	1.3842	1.5028	1.653
	12	1.8138	1.9719	2.1481	2.3143	2.4863	2.643	2.8036	2.9727				
37	0	0.1028	0.2019	0.4564	0.5772	0.6168	0.7817	1.0249	1.1519	1.2362	1.3784	1.5467	1.7077
	12	1.8748	2.0347	2.1629	2.3126	2.4791	2.633	2.7958	2.9645				
38	0	0.1552	0.2539	0.3842	0.4973	0.6185	0.7823	0.9783	1.2079	1.3287	1.4312	1.5682	1.6595
	12	1.7912	1.9505	2.1305	2.3021	2.4812	2.6502	2.8077	2.973				
39	0	0.144	0.2506	0.4179	0.5561	0.6573	0.7961	0.9659	1.1313	1.2651	1.3966	1.5404	1.691
	12	1.85	2.0157	2.1777	2.334	2.4927	2.6505	2.8105	2.9723				
40	0	0.1231	0.2362	0.392	0.5375	0.6822	0.839	0.9828	1.1363	1.2963	1.4559	1.6114	1.7592
	12	1.9089	2.0622	2.2153	2.3634	2.5155	2.6669	2.8236	2.9771				
41	0	0.1644	0.3065	0.4254	0.5421	0.7221	0.8851	0.9748	1.1407	1.3215	1.4231	1.5704	1.757
	12	1.8728	2.0114	2.1897	2.3369	2.4832	2.6524	2.7991	2.9625				
42	0	0.1541	0.2444	0.3696	0.4892	0.584	0.7198	0.9029	1.0817	1.2504	1.4125	1.5675	1.7227
	12	1.8809	2.0445	2.2064	2.3566	2.5114	2.6657	2.8226	2.9783				
43	0	0.1685	0.2822	0.4073	0.531	0.678	0.826	0.9442	1.0943	1.2424	1.3927	1.5633	1.721
	12	1.8769	2.0336	2.1866	2.3397	2.4986	2.6565	2.8165	2.9738				
44	0	0.1595	0.2721	0.4006	0.518	0.6605	0.8306	0.9808	1.1277	1.3003	1.4401	1.5503	1.6986
	12	1.8766	2.0486	2.2281	2.3824	2.5148	2.6498	2.7995	2.9672				
45	0	0.1576	0.2128	0.3353	0.4837	0.5821	0.6951	0.8633	1.013	1.2138	1.4012	1.5417	1.7026
	12	1.8413	2.004	2.1891	2.342	2.5054	2.6629	2.8164	2.9719				
46	0	0.1623	0.2444	0.3882	0.541	0.6619	0.8141	0.9687	1.1125	1.2987	1.4352	1.5293	1.6206
	12	1.7733	1.9671	2.1671	2.3417	2.5032	2.6485	2.8044	2.975				
47	0	0.1645	0.2494	0.4065	0.5624	0.7081	0.8553	0.9783	1.117	1.2605	1.3666	1.5037	1.6825
	12	1.8595	2.0285	2.2063	2.3573	2.512	2.6642	2.8209	2.9768				
48	0	0.1412	0.2599	0.4089	0.5763	0.7575	0.9404	1.0963	1.2039	1.2875	1.4104	1.5831	1.7469
	12	1.9057	2.0655	2.2198	2.3592	2.5008	2.6519	2.8075	2.9645				
49	0	0.1506	0.2249	0.3354	0.4702	0.6394	0.8209	0.9874	1.1137	1.2158	1.3713	1.5632	1.7234
	12	1.8511	2.0006	2.1659	2.3298	2.4952	2.6518	2.8204	2.9774				
50	0	0.1427	0.2519	0.4087	0.5698	0.73	0.8871	1.0136	1.1402	1.2784	1.4188	1.5715	1.7279
	12	1.8821	2.0373	2.1915	2.3442	2.5019	2.6578	2.8168	2.9741				
51	0	0.1546	0.2464	0.3871	0.5358	0.6887	0.8628	1.0297	1.1593	1.2707	1.3877	1.5348	1.7029
	12	1.8544	2.0102	2.1646	2.3194	2.485	2.647	2.8099	2.971				
52	0	0.1563	0.2624	0.3977	0.5517	0.7151	0.8666	0.9931	1.1265	1.2623	1.419	1.5703	1.7052
	12	1.8638	2.0244	2.1786	2.333	2.4926	2.6513	2.8121	2.9714				
53	0	0.1756	0.3055	0.4336	0.5642	0.6999	0.8519	1.0053	1.1666	1.3314	1.4941	1.6493	1.7804
	12	1.9026	2.041	2.1964	2.3556	2.5057	2.6603	2.8194	2.972				
54	0	0.1584	0.3036	0.4517	0.5956	0.7302	0.8717	1.0275	1.1963	1.3597	1.5018	1.6108	1.7443
	12	1.9113	2.0817	2.223	2.3474	2.489	2.6508	2.8187	2.9778				
55	0	0.1863	0.2831	0.4032	0.5544	0.7006	0.8505	1.0197	1.1847	1.3216	1.427	1.5489	1.695
	12	1.8516	2.0187	2.152	2.3037	2.4735	2.6409	2.804	2.9682				
56	0	0.1365	0.2121	0.3269	0.4585	0.6069	0.7824	0.9466	1.1138	1.282	1.4431	1.6006	1.7496
	12	1.9023	2.0596	2.2231	2.3686	2.5198	2.6706	2.8259	2.9791				
57	0	0.1418	0.2012	0.3235	0.4318	0.5561	0.7305	0.9193	1.0594	1.2154	1.3873	1.5191	1.6691
	12	1.8355	2.0049	2.1635	2.3106	2.4786	2.6498	2.7995	2.9615				
58	0	0.1298	0.2535	0.4368	0.6313	0.8039	0.9111	0.9697	1.0732	1.2481	1.4316	1.5946	1.7482
	12	1.8986	2.0577	2.219	2.3698	2.5216	2.6719	2.829	2.9839				

59	0	0.164	0.2683	0.3859	0.5464	0.721	0.8791	0.9947	1.1248	1.2728	1.42	1.5777	1.6956
	12	1.8111	1.9719	2.1449	2.334	2.5168	2.6671	2.83	2.982				
60	0	0.16	0.2608	0.4067	0.5504	0.6802	0.817	0.9717	1.1255	1.2888	1.4648	1.6376	1.8126
	12	1.9239	2.0146	2.1353	2.3044	2.4523	2.618	2.7863	2.9651				
61	0	0.1642	0.2736	0.3797	0.5014	0.6611	0.8243	0.9655	1.1203	1.2834	1.4431	1.604	1.7534
	12	1.9015	2.0469	2.1684	2.318	2.484	2.6484	2.8086	2.9696				
62	0	0.15	0.273	0.4163	0.5685	0.7419	0.9107	1.0222	1.1298	1.2903	1.4624	1.6191	1.7762
	12	1.9303	2.0511	2.1871	2.3528	2.5078	2.6608	2.8287	2.9768				
63	0	0.1448	0.2603	0.3473	0.4684	0.6635	0.8312	0.9606	1.124	1.2812	1.3949	1.5717	1.7249
	12	1.9085	2.0455	2.1565	2.29	2.4086	2.6323	2.8375	2.9776				
64	0	0.0383	0.0567	0.0096	0.0058	0.0176	0.0025	0.0011	-0.0004	0.0199	0.0127	-0.0218	-0.0168
	12	0.0079	0.0142	-0.0017	0.0067	0.0106	0.0125	0.01	0.0094				
65	0	0.0081	0.0043	-0.0335	-0.0402	-0.012	0.0213	0.042	0.0507	0.0238	-0.0191	-0.0249	-0.0022
	12	0.0109	0.0117	0.0497	0.0557	0.0464	0.035	0.0275	0.019				
66	0	-0.0261	-0.048	-0.046	-0.014	0.0035	0.0071	0.0125	0.0034	-0.0005	0.0004	0.0096	0.034
	12	0.0524	0.0495	-0.0303	-0.0281	-0.008	0.0009	-0.0004	0.0039				
67	0	0.0102	-0.0001	-0.0296	-0.0006	0.0327	0.0346	0.0448	0.0414	0.0344	0.0273	0.0219	0.0264
	12	0.0257	0.0208	-0.012	-0.0134	0.0141	0.0377	0.0392	0.0162				
68	0	0.0104	0.0071	0.011	0.0152	-0.0102	0.0115	0.0289	0.0372	0.0476	0.0562	0.0607	0.0667
	12	0.0618	0.0449	0.0256	-0.0128	-0.0182	0.0032	0.005	0.0107				
69	0	-0.0177	0.0338	0.0173	0.0015	0.0224	0.0144	0.034	0.0447	0.0526	0.0358	-0.0029	-0.0166
	12	-0.0123	-0.0052	0.0066	-0.0246	-0.0336	-0.0143	-0.0047	0.0054				
70	0	-0.0163	0.0226	0.0214	-0.0236	-0.0324	-0.0239	-0.0003	0.0098	-0.0045	-0.0015	0.0045	0.0126
	12	0.0129	0.0104	-0.0208	-0.0085	0.0011	0.0066	0.0228	0.0289				
71	0	0.0096	0.0184	0.0142	0.0422	0.0419	-0.0037	0.015	0.0267	0.0238	0.0191	0.0134	0.0177
	12	0.0218	0.0207	0.041	0.0594	0.0599	0.0113	-0.0264	-0.0092				
72	0	-0.0428	-0.0164	-0.002	-0.0084	-0.0008	0.0143	0.0203	0.0009	-0.0134	-0.0132	-0.0215	-0.0289
	12	-0.0202	-0.0083	0.0009	0.0297	0.0171	-0.015	-0.0062	0.0079				
73	0	-0.0259	0.013	0.0487	0.0691	0.0466	0.035	0.0465	0.0052	-0.0155	0.0023	0.0134	0.0146
	12	0.0142	0.011	0.029	0.0326	0.0437	0.0669	0.0835	0.0498				
74	0	-0.0035	0.0321	0.054	0.0382	0.0055	0.0101	0.0146	-0.0031	-0.0324	-0.0381	-0.0189	0.0044
	12	0.0177	0.0185	0.0471	0.0252	-0.0066	-0.0072	-0.0129	-0.0052				
75	0	-0.0373	-0.0028	0.0375	0.0295	-0.0016	-0.0017	-0.0022	0.0084	0.0219	0.0322	0.0421	0.0369
	12	0.0094	-0.0019	0.0096	0.0242	0.0324	0.031	0.0363	0.0306				
76	0	-0.0433	-0.0333	0.001	0.0338	0.034	0.0181	-0.0051	-0.0337	-0.017	0.0115	0.0188	0.0132
	12	0.011	0.0114	0.0232	0.025	0.0232	0.0203	0.015	0.0128				
77	0	0.0552	0.0672	-0.0093	-0.0535	-0.022	-0.0204	-0.0284	-0.0194	-0.0177	-0.0228	-0.0217	-0.0114
	12	-0.0043	-0.0033	-0.0012	0.0158	0.0533	0.0514	0.0011	-0.0072				
78	0	0.0209	0.0653	0.0635	0.0541	0.0327	-0.0325	-0.0418	-0.0221	-0.002	0.0078	0.0096	0.0202
	12	0.0285	0.0278	0.0574	0.0428	-0.0096	-0.011	0.026	0.0358				
79	0	0.0146	0.062	0.0578	0.0008	-0.0285	0.0054	0.0183	0.0136	0.0081	0.001	-0.0056	0.0145
	12	-0.0033	-0.0204	0.038	0.0141	0.0198	0.0573	0.031	-0.0055				
80	0	0.4989	0.4993	0.499	0.4995	0.4999	0.4985	0.4973	0.499	0.4977	0.4971	0.4977	0.4995
	12	0.4969	0.4986	0.4996	0.4996	0.4999	0.4989	0.4937	0.4906				
81	0	0.2664	0.3126	0.3112	0.3132	0.2987	0.2609	0.2724	0.2535	0.2363	0.2679	0.2746	0.2536
	12	0.2708	0.269	0.2831	0.2939	0.262	0.2428	0.2456	0.2665				

Table 4.A.21 – Interleave VQ codebook 0 for core coder (WINDOW TYPE : LONG)

filename	Contents	mode	number of elements	number of vectors
cmdmct_0	MDCT	core LONG	20	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	-165.2	44.8	83.7	-31.8	-54.7	79.8	104.3	-11322.7	-46.7	-5.7	139.8	-38.4
	12	-59.7	-101.2	-5.6	134.4	34	113	-738.8	80.1				
1	0	17.2	4.2	148.2	54.7	54.2	-11.9	74.7	35.2	73.9	90	9523.9	73.2
	12	1.1	-47.8	-5.7	-966.6	60	120.8	-189.5	-59.4				

2	0	395.5	136	5816.1	-64.1	-89.2	17.3	371.2	-9.6	11.2	-10.6	-31.9	27.1
	12	-41.5	31.5	-496.7	-138.5	-2108.3	-272.2	-99.2	262.8				
3	0	-1136.4	-142.9	73.5	-92	-544.9	-28.6	-79.1	-85.1	136.3	-5.4	-122.4	-81.2
	12	-6.2	5.8	-123.2	11554.5	4.3	-71.2	-22.1	-203.1				
4	0	-56	14.4	30	-2.6	29.7	-150	96.5	71.3	24.7	-130.8	-7.1	5682.9
	12	-7.6	43.1	15.6	35.9	-219.7	-84.9	-1051.1	647.5				
5	0	209.2	-93.8	-105.8	-18.2	51.5	22.9	-38.3	88	4.6	-38.3	-118.2	328.6
	12	12156.2	-111	-47	109.5	-3.2	116.4	-156.3	51				
6	0	69.1	-74.3	15.4	184.4	-165.6	-97.8	23.8	12.2	-12330.6	-72.9	57.2	42
	12	-55.7	61.3	-107.8	98.3	167.9	32.8	146	6.3				
7	0	58.7	728	-3068.5	-263.4	-353.1	-398.7	2696.7	95.2	-202.9	-28.2	-5.6	45.7
	12	22.5	13.1	-66.7	42	-269.3	-467.2	-208.8	2168				
8	0	17.8	-3857.2	-214.1	221.1	-3488.2	83.3	-162.9	-260.7	3.5	-46.1	32.8	-73.8
	12	-1	-53	397.9	227.4	-961.9	286.9	-229	364.3				
9	0	-7050.2	4.7	168.8	-40.4	51.1	-143.8	-141.4	-56.7	-159.6	79.5	-8.1	23.2
	12	2.3	-51.7	54.7	186.3	6.3	44.6	-91.9	54.7				
10	0	-329.7	-636.1	207.9	115	-178.2	-103.3	65	-120.6	-4683.1	-975.1	-77.1	85.8
	12	53.5	42	184.9	-51.3	355.2	-112.8	-321.5	79.8				
11	0	14.4	32.5	1394.3	-151.4	48.1	-35.6	293.8	4278.1	-118	173.6	21	-36.7
	12	-7.3	37.1	975.2	253.8	213.2	476.8	-1456	-23.3				
12	0	255.3	-5356.6	89	351	429.9	175.9	381.5	39.8	-315.5	43.2	57.3	196
	12	9.8	33.2	1035	92.4	1186	31.7	145.9	-27.5				
13	0	-2680.3	260.6	3250.2	-8.1	-326.9	12	-191.2	237.9	-30.4	-41.6	28.7	10.7
	12	-20.2	11.9	-456.6	146.7	50	-546	-1884.2	295.5				
14	0	144.6	-204.4	166.4	6474.3	-373.7	49	117	-49	-2.2	9.8	-7.6	-70.1
	12	88.3	-27.5	201.1	102.2	58.5	34	95.8	36.3				
15	0	953.3	23.7	296.6	91.9	50.3	-338.5	6794.1	7	0.2	77.2	27.9	96.8
	12	99.2	11.7	-48.9	7.5	138.1	39.4	426.9	26				
16	0	-1.2	-408.9	-306.6	-234.8	89	-555.9	22	106.6	98	11.5	-4.6	-15.3
	12	-52.1	58.4	11645.8	-104.3	-52	-66.8	162.1	-38.7				
17	0	-2503	-167.9	-131.7	159.9	75.5	-314.6	3624.7	-25.9	229.1	-144.9	30.6	-191.3
	12	51.7	-35.8	-69.2	-178.6	-196.6	202.2	165.9	-165.6				
18	0	14.1	-8789.4	-46	49.4	-14.4	112.4	-30.6	82.4	-160.4	21.3	74	50.2
	12	82.7	53.1	59.1	-15.9	-285.9	91.9	145.8	240.5				
19	0	-331.2	-1859.9	19.9	343.1	-63.4	3389.2	541.5	485.4	129.3	214.5	29.4	19
	12	33.4	-15.1	-1094.3	-528.6	266.3	-185.5	-478.7	-185.8				
20	0	-123	3966.6	-17.5	4279.8	-15.7	-47.5	239.8	76.4	9.8	-3.7	-2	29.3
	12	49.4	-109.1	182.2	-47.5	158	-80.9	542	-328.9				
21	0	-213.5	492.4	-408.5	2968.7	99.5	-651.8	-379.6	728.1	-230.4	192.2	84.5	-130
	12	-20.3	-78.7	-502.3	-74	-11514.1	-172.9	-882	-26.7				
22	0	-401.2	120.3	23.1	-233.7	3403	-332.7	47.4	23.8	190.7	-735.1	131.1	-83
	12	-30.7	-147.3	-263.5	306.2	-54.8	11629.9	308.2	-528				
23	0	-308.5	1931.8	659.7	126	-4247.4	-208	347.9	207.5	76	-238.6	184	-58
	12	-52.3	-23.7	194.3	165.8	46.6	400.7	-1299.6	-120.9				
24	0	-3467.8	-4196.2	-49	-28.7	-107.2	-172.7	-355.4	210.5	-72	-118.8	41.6	-159.4
	12	77.6	-97.3	-136.3	47.9	-402.7	132.7	-101.5	-288.8				
25	0	-71	517.2	-413.7	-1414	-374.7	-86.5	-285.7	322.6	-473	351.9	436.9	-71.8
	12	62.9	-4541.1	-314.8	271.7	25.2	250.9	-436.7	500.3				
26	0	94.6	-66.5	311.2	3960.3	3911.6	528.5	309.7	-213.5	74.9	52.6	28.3	-52.8
	12	-48.6	-101.2	-18.1	-283	671.4	-38.7	282.7	39.6				
27	0	692.1	-48.2	-87.8	112.4	43.7	7489	-30.8	-63.6	87.2	-13.4	59.8	25.1
	12	-82.8	4.7	-162	26.4	-94.8	-86	190.6	-353.1				
28	0	-4033.6	182.3	-237.9	-49	-181.6	453.2	-508.9	-56.1	-86.6	-51.4	90.5	-4.4
	12	-19.8	53.4	-97.7	-284.1	180.3	263.8	3747	0.8				
29	0	1019.4	-1791.3	15.8	252.2	83	-3434.4	132.8	-87.3	93.9	199.1	-149.5	-30.6
	12	14.1	31.4	-17.3	828.3	76.8	-403	21.1	541.3				
30	0	7.4	-0.4	10.4	165.8	-24.5	49.6	54	-88.5	96.7	-12001.5	-61.2	2.8

	12	43.7	-39.3	292.9	-87.4	-132.9	-36.3	538.9	45.2				
31	0	83.6	-75.6	37.8	-16.5	10805.3	65.4	-23.6	17	17.1	-73.1	21.4	10.9
	12	64	19.7	46	84.1	-112.2	-55.3	244.2	134.2				

Table 4.A.22 – Interleave VQ codebook 1 for core coder (WINDOW TYPE : LONG)

filename	Contents	mode	number of elements	number of vectors
cdmdct_1	MDCT	core LONG	20	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	235.3	67.3	50.9	-70.8	141	-11826.5	-180.2	-63.5	101.1	-67.7	-52.8	-26.3
	12	3.1	9.5	-213.3	91.1	-19.6	-208.5	185.1	155.8				
1	0	-2551.4	-264.8	68.9	-3066.5	-340.7	139.4	130.4	26.9	60.2	35.7	-4.1	74.7
	12	-35.7	10.4	-215.4	-28.2	182.3	258.3	272.9	-157.2				
2	0	625.1	-205.8	260.9	-388.8	-46.3	-37.3	40.9	-162.9	-59.8	-66.2	-57.3	-204.2
	12	-46.2	54.2	121.4	-154.5	-12125	83.4	421.2	168.3				
3	0	-209.8	227	-229.9	-47	6981.7	-61.2	43.9	-60.2	-68.3	50.4	34.3	-0.2
	12	16.8	10.1	-144.3	-9.7	-127.6	-128.7	-320.2	195.7				
4	0	3358.8	295.2	-171.2	-205.5	-2008.6	-196.7	25.4	-112.9	-50.6	-304.3	-65	-49.7
	12	-54	-35.7	-2190	54.1	-279	491.8	37.6	-327.2				
5	0	-11.3	-341.2	158.5	133.3	-158.6	2.8	-11.8	-38.9	6211.1	-519.8	-58.8	-26.8
	12	17.3	-57.9	341.8	-294.8	20.2	38.5	378.2	101.3				
6	0	66	85.5	1894.5	3096.2	-1994.1	40	-103.1	-369.4	-93.3	-65.3	151.1	34
	12	12.1	-27.7	-488.9	-136.9	39.4	1058.2	385.7	370.2				
7	0	-8	-8.6	-44.9	18.7	-73.3	50.5	-4	-61.6	-14.4	1.7	5132.2	-25.8
	12	147.1	42.4	18.2	2672.9	182.8	-251.4	-329.7	378.2				
8	0	-4.6	165.2	-55.1	9383.7	117.1	-95.3	-115.3	18.8	-0.1	59.2	70.4	-45.5
	12	21.6	-8.3	29.7	-51.5	294.9	-117.1	-209.5	-44.2				
9	0	-214.8	-80.8	47.6	-433.9	371.7	5001.4	-330.3	27.5	37.4	-44	-255.9	-153.2
	12	63.1	-12.2	-152.5	552.9	216.9	-145	-903.1	512.9				
10	0	1342.8	-240.9	-920.2	98.8	-132.1	-192.2	-158.8	3771.8	-21.2	-158.4	-48.4	107.4
	12	60.7	-10.7	-13.8	-227.2	240.3	478.1	319.2	496.1				
11	0	4.8	-5600.1	492	159.7	-55.6	-26.9	-318.6	121	149.8	91.1	126.5	-130.8
	12	-63.2	89.2	-228.1	-49	132.7	-101.9	95.8	-197.4				
12	0	356.8	2654.8	-358.9	114	103.3	85.6	22.1	-37.8	106.3	1.2	-15	-49.8
	12	-72.9	170.8	-66	36.2	2617.9	-162.5	503.6	1981.6				
13	0	-9.4	127.7	23.1	-66.9	-122.5	-198.8	101.7	56.8	41.2	89.5	-60.9	30.3
	12	5664.6	159.7	213.8	-162.9	-59.2	84.3	-33.3	-259.1				
14	0	-103.3	42.4	-1	-180.8	44.4	743.2	4688.1	28.2	-81.9	51.3	69.5	61.2
	12	-106.5	-11.6	-37	-126.5	-27.4	164.2	-136.7	-88.4				
15	0	-1042.2	-313.7	-154.2	-414.9	650.3	-2755.9	-161.8	364.9	103.8	27.5	-94.9	-172.6
	12	-75.1	108.1	-7700	-310.2	161.1	-56.1	-341.6	-34.1				
16	0	-54.2	-3008.6	-3909.4	-85.6	-62.9	-56.7	108.9	40	267.7	-151.7	144.4	-63.7
	12	-221.4	-67.8	193.4	55.7	572.8	-265.8	-565.6	-407.7				
17	0	-63.3	231.6	-3415.5	601	575.7	1192.1	-742.8	47	-427.7	29.6	58.8	46.8
	12	-87.6	101.1	-843.8	314.8	-1669.2	-178.3	-315.2	-230.1				
18	0	558	-233.4	130.9	5.3	42.9	-227.1	63.8	-7070.4	-35.4	27.3	-70.9	-14.2
	12	30.6	127.3	499.7	11.2	343.1	789.6	860.6	325.5				
19	0	-3560.6	3198.6	-27.2	19	3.2	-363.7	52.9	257.5	-150.4	128.9	-159.8	-58.2
	12	1.2	17.3	-15.3	28.7	-376.5	-186.5	121.6	-72.7				
20	0	-1971.3	-21.1	-60.6	3407	-171.8	35.7	-15.5	65.3	173.8	-58.9	2.5	70.6
	12	-48.5	-24.8	306.4	156.2	476.7	-327.6	-2828.6	-905.5				
21	0	-580.4	-214.9	-1765.9	76.6	-4029.4	-5.1	-172.3	-113	51.4	-152	15	-37.4
	12	27.1	-56.2	266.6	-408	589.2	298.9	941.4	-136				
22	0	297.5	-16.5	62.7	-49.7	3.1	97.1	-11428.2	64.4	11.5	64	32.8	-114.3
	12	2.9	10	-32.2	117.6	-48.1	68.9	293.1	14.3				
23	0	-49.9	2192.6	578.3	-4411.8	-44.1	134.5	-64.7	55.5	-10.8	-3.7	-90.7	3.1

	12	-46.5	92.6	431	139.3	-407.1	15	-167.1	-108.2				
24	0	28.8	-7.1	121	-63.5	79.6	-35.6	-14	45.6	-201.3	5649.4	-13.3	-12.9
	12	43.6	26.2	155.9	-306.9	-134.7	22.8	556	-21				
25	0	6.7	-62.4	-23.1	790.1	199.2	-93.1	72.3	-203.9	-26.1	-374.6	-437.6	219.8
	12	-139.7	-9142.4	30.3	-176.5	119.9	-146.4	-595	-391.8				
26	0	2816.7	122.3	3753.5	-33.5	242.4	245.3	233.1	-340	17.7	-215.5	40.5	-58.3
	12	6.6	29.7	-254.2	-2.6	675.4	-347.6	506.2	227.3				
27	0	-682.7	-375.4	5715.8	196.7	236.8	214	21.2	229.6	-232.8	-41.2	-54.1	2.8
	12	-74.8	-148.1	-103.5	-55.2	298.6	-263.6	393.1	-462.4				
28	0	-10807.7	112.1	-283.5	173.9	368.5	172.3	46.9	208	51.4	226.4	72.3	108.1
	12	-76.5	37	543.5	-277.6	-291	-178.8	-246	228.4				
29	0	-58.2	-42.1	-36.1	-25.6	-78.7	315.2	193.4	11.3	47.5	46	44.7	12181
	12	-7.5	-10	-31.3	106	255.7	78.9	571.9	-518.5				
30	0	-102.9	20.3	-9260.7	53.2	55.9	133.6	125.5	202.7	-66.6	-62.6	-7.3	2.2
	12	-0.6	56.8	-6.7	-14.7	-340.4	-160.4	-419.5	-241.2				
31	0	5816.2	60.8	-128.6	-76.2	350	99.9	-81.6	133.1	-103.3	-19.4	56	-81
	12	-87.3	-14.7	276.2	194.7	-148.2	129.3	-495.4	-270.1				

Table 4.A.23 – Inteleave VQ codebook 0 for core coder (WINDOW TYPE : SHORT)

filename	contents	mode	number of elements	number of vectors
cdmdct_2	MDCT	core SHORT	17	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	16384	-534	296.2	242.6	-1011.7	-156.3	-25.8	-720	135.3	-288.9	-197.1	339.2
	12	12.6	484.7	68.3	1615.2	-524.1							
1	0	-7.6	-264.3	-165	453.1	-8874	-37.8	918.3	-257.6	183.8	-144.9	115.8	63.6
	12	352.4	137.9	322.3	-630.2	-5343							
2	0	15.7	337.7	-1005.3	4231.3	-2785.4	214	-3.1	311.3	-296.8	99.8	-496.3	186.7
	12	-33.9	-685.2	642.6	633.4	4888.4							
3	0	149.2	-252.5	-238.4	-191.4	45.6	154.2	-498.2	-4264.6	-1516.9	985.7	-939.2	-419.5
	12	1279.1	581.1	-1809	-951.5	1015.2							
4	0	239	-72	-104.5	882.2	-73.4	24.2	-187.9	141.5	-9608	337.9	253.2	433.7
	12	-533.1	-1421.2	-2313.7	877.7	-1429.2							
5	0	233.8	-356.3	-704.4	218.4	-766.8	-226.7	-7255.4	-139.7	229.3	-439.2	-523	230.3
	12	-173.3	1689	-806.6	2720	-1824.9							
6	0	-651.9	677.9	-425	-7670.7	-637.7	168.6	-751.9	-37.3	-471.9	193	137.8	293
	12	367.1	-910.5	-542	-4284.2	5243.1							
7	0	-45.2	609	-135.9	-740.3	2550.1	3678.4	1197	774.5	-1783.1	355.6	-191.8	-292.4
	12	-293.4	-196.9	199.3	-2391.9	429.2							
8	0	819.6	-838.5	-374.1	-704.7	-329.2	310.2	404	-204.9	94.1	1035.5	-487.3	1144.9
	12	-0.1	-8832	1367.5	120.2	-1549.2							
9	0	-274.8	-263.5	590.7	723.8	420.4	-272.4	346.6	-478.9	3294.9	1890.3	-1243.6	-200.1
	12	96.6	232.9	-3382.5	953.2	-1167.4							
10	0	-113.3	-594.2	-9768.3	80.5	-315.6	12.5	281.2	-97.8	-37.7	427.1	310.7	-30.2
	12	-90.6	92.8	909.2	-684.6	-954.4							
11	0	-92.3	141	576.4	-24.4	56.3	-1025.6	115.2	-8990.2	176.6	144.3	86.6	-190.4
	12	-1808.1	-673	452.2	-29	3351.3							
12	0	152.2	2705.2	2093.3	-1395.5	27.3	-76.7	835.9	-54.8	-363.6	690.4	-316.8	-151.5
	12	-539.3	492.3	2115	777.4	-3297.1							
13	0	-266.9	-272.8	-464.7	262.5	0.4	11.9	441.1	-267.7	-33.5	361.3	4513.6	-476.3
	12	12.5	-138.8	-965.3	2941	-760.6							
14	0	-5805.6	289.6	469.5	1712.9	-169.3	150.8	-1835.6	734.9	90	551.8	-236.2	-323.6
	12	-172.4	-5589.5	-2574.5	-1050.4	1301.2							
15	0	-3543.8	329.1	-598.6	-274.4	1067	973.2	856.7	549.2	-31.2	-82.9	-907.1	671.3
	12	-115.7	2434.7	2131.6	-931.5	-1369.2							
16	0	-355.6	242.4	-267.9	182.5	177.8	-134	85.2	806.1	117.7	-121.4	-738.8	-6574

	12	-813.8	-615.8	-632.4	390.3	1416.5							
17	0	-18.8	247.7	2109.5	-2071.1	-3397	1029.1	474.4	-52.4	620.7	63.1	518.2	-206.5
	12	288.5	1795.3	-2903	-2856.7	1327.6							
18	0	260.8	496.6	695.4	-1262.8	409	-521.2	-2073	1709	1929.4	69.5	-1172.1	-1298.6
	12	3453.2	-77.8	-1448.3	1117.8	1309.2							
19	0	3500.7	-3781.1	337.1	350.8	620.7	-166.5	507.2	-305	-15.5	-51	-343.1	310
	12	21.2	1445.3	1738.2	-576	1721.7							
20	0	-32.2	-54.2	-1286.9	76.5	-1713.8	-455.4	3055.5	1436.6	345.3	-55	94.7	-580.7
	12	-39.9	1640.6	2128	625.4	-2599.5							
21	0	-178.5	-715.7	-315.2	-671.3	-1472.1	4815.1	-753.8	83.8	317.6	136	559.3	-192.3
	12	690	-2273.6	-2537.7	1253	-3324.6							
22	0	591	-267.2	-299.1	-485.2	-423.5	-38.2	674.1	-2357.8	1102.6	-3306.7	-757.9	-302.3
	12	564.8	2143.9	-754.7	2681	611.6							
23	0	-525.9	-124.6	-189.3	111.3	427.3	-505.8	-420.1	127.8	-364.7	-7157.5	-47.4	226.7
	12	-380.5	195.8	-1269	-18.3	-204.7							
24	0	-492.2	-4261.3	1940.7	1797.6	341.4	601.3	611.3	27.5	252.7	151.2	390.8	-54.1
	12	-1216.6	2894.2	-3176	-1731.3	-1433.6							
25	0	-12834.3	-93.1	-374.5	287	-1899.4	35.7	-715.3	-307.9	10.6	78.9	1096.8	34.3
	12	103.6	2076.4	615.2	1465.3	758							
26	0	1855.5	683.3	-272.6	-279.2	818.5	-796.5	85.7	57.3	161.8	-7.5	271.7	-197.5
	12	-6743	2581.4	-1422.1	175	-1154.1							
27	0	-129.1	-379.4	-4225.6	-2308.9	247.6	246.7	-478.2	234.3	327.2	-193.4	-380.8	165.5
	12	-322.4	-1003.3	-706.7	135.4	2359.3							
28	0	-8970.6	625.4	316.8	-108.1	625.2	1014.1	934.6	-815.1	-137.6	-365.9	-392.8	-204.2
	12	-130.7	-195.9	1439.7	3056.3	603.4							
29	0	-90	1303.7	-1332.6	167.8	-600.4	2072	-1718.3	213.6	125	-37.1	21.4	106.7
	12	-3498.4	2942.1	-421.4	1483	5828.9							
30	0	478.7	7218.4	129.5	141.4	732.8	36.2	296.8	-233.7	72.8	121.4	-214.2	-431.6
	12	2.4	741.3	1486.1	1177.2	1714.4							
31	0	-4432.8	-852.9	-2584.9	-89.8	-780.6	-722	-88.5	-1466.4	32.9	161.5	-65.6	-642.8
	12	207.1	735.6	-5723.4	-841.8	-361.4							

Table 4.A.24 – Inter leave VQ codebook 1 for core coder (WINDOW TYPE : SHORT)

filename	contents	mode	number of elements	number of vectors
cdmdct_3	MDCT	core SHORT	17	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	210.8	-13952.3	-184.9	-354	562.2	506.7	-239	-758.3	-199.4	205.1	32.8	-1105.2
	12	-674.6	577.5	-224.6	-325.9	-1149.9							
1	0	-413.1	83.5	-336.7	-1688	-1187.9	-3898.7	-171.5	897.3	-485.8	695.3	643.6	-319.2
	12	221.8	-3611.9	3212	-1658.3	-2700.4							
2	0	-331.7	418.3	-46.9	126	865.1	463.8	-6325.3	271.8	176.6	-314.8	-18.7	-555.5
	12	795.3	-474.9	628.7	-2206.3	55.7							
3	0	-4269	-392.4	3219.7	-539.8	14.4	-774.7	-262.6	-302.9	46.9	70.7	-63.6	120.8
	12	59.4	811.7	4138.9	-9.2	112.3							
4	0	767.6	-710.4	-7343.7	108.3	660.1	-256.2	-137.4	-77.8	-77.4	276.4	-25	325.9
	12	46.3	-578.7	2070.7	1799.3	1214.2							
5	0	-141.8	3251.9	-1072.7	343.9	-593.7	-29	191.1	1221.3	-369.9	-22.8	-530.7	1110.2
	12	-1079.3	-47.4	-3613.6	-3544.2	1237.2							
6	0	-241	877.9	-36.2	125.9	253.3	8498.6	-447.2	-525.9	685.6	502.4	-196.4	1546.3
	12	755.3	382	305.2	-797.4	-1413							
7	0	849.4	-216.3	375.9	-12034.9	-438	-444.1	250.6	80.4	1147.9	193.8	413.3	66.6
	12	-56.1	1401	-1495.1	-445.3	-475.5							
8	0	3884.2	4137.2	298.4	3.6	326	225.8	367.8	-384.3	147	-7.4	358	-281.7
	12	447.3	423.2	1928.4	-3456.7	-2995.4							
9	0	482	292.9	-1031.8	47.7	-3246.4	248.2	666.6	-548.3	-470.8	430.4	-840.3	-332

	12	156.3	-5991.7	-387.9	-641.7	-316.6							
10	0	-231.9	-198.8	-307.8	452.8	12.6	-418.5	88.7	-41	358.8	-388.4	-428.7	4450.3
	12	384.5	737.2	-4.5	4933.9	1082.1							
11	0	5421.3	346.1	127	3133.9	-586.4	76.3	585.8	-319	147	-67	-700.3	206.2
	12	93.4	-4386.2	443.2	682.7	2974							
12	0	-303	-58.5	-133.6	609.8	-339.1	-79.9	112.4	577.4	3997.3	-635.8	275	-193.2
	12	573.9	1187.4	1662.3	1321.8	527.8							
13	0	102.2	-1280.8	-539.2	-4552.8	-744.6	694.4	-49	94.7	-22	-5.5	-577.7	-254.2
	12	114.7	-531.6	-3841.1	900.7	3404.9							
14	0	-573.8	117.5	-2	259.8	-324.1	-48.6	-1858.6	-3521.6	94.6	-161.4	908.1	-404
	12	-416.5	361.2	-792	-303.6	-1569							
15	0	128.5	-2026.6	78.6	-476.9	-975.5	-358.2	-1236.6	3351.7	-75	44.7	636.2	67.4
	12	6.3	2449.2	-374.2	-126.5	-3959.9							
16	0	-30.5	-640.4	1339.6	1507.5	-493.6	-403.1	22.8	-368.6	-1413.1	-2039.5	-1884.3	-1548.5
	12	-654.6	2228.7	545.6	1654.6	-4512.5							
17	0	-9067.5	-617.7	-460.9	264.7	1549.6	54.8	-82.9	204.5	22	90.4	120.8	421.4
	12	-36.4	-445.4	524.9	811.8	1014.5							
18	0	6359	-1291.6	-175.5	-279.3	1966	183.8	-1376.2	-322.4	91.9	124.1	987.5	-377.8
	12	466	2217.7	-1671.1	-1155.7	-88.9							
19	0	-108.4	-170.5	-2132.2	3481.2	-207.9	1148.2	-640.2	202.6	191	324	103.3	-949.2
	12	3242.5	-123.1	129.5	-2247.7	125.2							
20	0	18.2	-309.1	-693.2	-63.8	5058.6	1085.2	1284.9	-674.8	395.6	253.9	-412.8	-290.1
	12	37.7	-810	1208.4	-624.7	-8533.2							
21	0	66.8	103.7	136	296.5	275.6	86.2	-373.8	-81.1	5	-404.3	6575.4	-38.4
	12	417.6	183.3	1674.7	-342.1	1390.6							
22	0	97.2	-6.6	764.7	417.9	339.4	-160.5	-22	-560.2	16.2	4354.3	-714.5	-186.2
	12	-792.8	5761.3	-2868.7	426	264.2							
23	0	-267.9	-69.1	165.6	-718.6	-826.1	2625.5	3479.2	511.1	528.3	172	259.7	-380.7
	12	507.4	278.7	803.8	373.8	870.7							
24	0	598	-1202.7	5005.8	-1061.1	1246.4	27.8	-6.3	358	-147.8	-604.8	-50.5	-175.6
	12	-131.6	-2995.7	-1675.1	-1108.7	1871.5							
25	0	-2505.2	-282.2	145.1	399.2	1227.1	-1103.3	1568.9	-203	-65.6	104	427.1	-180.8
	12	102.3	-109.7	-2583.3	1662.1	1983.7							
26	0	60	3970.3	4094.5	844.5	-1026.5	114.6	-830.2	-38.5	568.2	-555.8	-49.7	242.7
	12	853.8	-1240.9	151.6	418.9	485.3							
27	0	58.5	56.9	156	37.8	-305.9	-437.6	548.6	651.9	-6621.1	284.5	-295.6	-333.5
	12	1616.7	1988	1497.3	-51.3	2570.1							
28	0	-275.8	8445.6	-1183.1	849.5	-337.1	48.2	-161.5	-270.5	431.1	24.4	507.4	17.2
	12	-571.8	580.6	-2151.6	674.3	-1505							
29	0	-43.4	-348.7	2831.3	459.9	-784.6	1878.2	-188.4	-91.6	-819.7	1119.6	344.8	709.9
	12	160.9	1134.7	-777.8	-431.3	63							
30	0	120.6	-343.5	15.8	-248.1	143.5	528.9	761.2	-6620.7	476.2	-192.8	669.9	546.6
	12	467.6	-65.3	2492.2	916.1	-781.6							
31	0	1232.8	-125.6	-52.2	-361.1	669.9	-600.5	359.3	125	-195.9	-437.1	-282.8	216.6
	12	6506.1	1893.6	-117.7	-55.5	7375.8							

Table 4.A.25 – Interleave VQ codebook 0 for scalable coder (WINDOW TYPE : LONG)

Filename	contents	mode	number of elements	number of vectors
scmdct_0	MDCT	enhance LONG	28	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	128.3	-932.2	-269.6	-417.6	-94.2	340.3	-83.8	-8130.1	144.4	249	156.5	-315.9
	12	-95.4	404.7	-662.3	-283.8	94	208.8	-97.2	-56.2	97.9	396.1	182	-135
	24	-38.2	306.2	91.3	106.5								
1	0	201.2	-4276	1196.9	392.1	-5216.8	598.6	376.6	131.8	56.3	-2237	894.1	-832.2
	12	-256.5	-506.3	481.2	321.3	393.9	-91.1	103.8	452	170.5	235.3	269	459

	24	166.1	-664	-17.3	339									
2	0	2617	85.4	-167.7	-1804.1	4414.1	498.8	1432.6	-1359.2	632.5	-4937.2	-415.2	174.3	
	12	-1008.8	300	112.1	70	158	-152.1	275.7	-391.9	50.5	136	-80	-413.4	
	24	9	468.8	46.9	325.5									
3	0	301.2	137.9	-1784.8	45.9	-823.1	4.9	144.8	154	322.9	156.4	51.7	-162.2	
	12	275.2	319.9	-146.4	-2.5	221.7	35.6	-16.2	688	148.6	348.9	8192	-112.7	
	24	-629.1	218.2	373.9	72.8									
4	0	-3885.1	-446.4	-519.5	566.8	662.8	-1059.8	5754.8	251.3	-416.1	-798.9	-728.2	697.9	
	12	-679.3	-473.4	-192.3	-159.6	-119.9	-81.8	-66.8	-37.8	-143.7	-33.9	-20	40	
	24	-93.6	-47.5	-192.2	-117.1									
5	0	754	275.7	-345.7	129	259.6	-803.1	-85.8	374	-198.4	323.5	470	1314.9	
	12	956.8	-319.2	-30.2	1585.1	-12.5	-123.9	-8192	-343.6	-109.5	-101.9	130	-686.1	
	24	384	-180.1	-413.2	-23.9									
6	0	2396.1	355.6	-207.3	1833.8	-10.6	-1321.6	7373	-158	144.5	-284.1	93.2	-317	
	12	-545.6	-237.8	-271.6	-132.6	91.9	-17.1	-94	374	460.8	-193.5	-409.3	92.1	
	24	-235.6	88.2	96.8	-121.1									
7	0	-651.8	-2127.6	655.4	7212.4	-323.1	-477.9	1955.8	303.2	-420.9	1250.9	-27.1	-552.6	
	12	59.2	-45.7	71.2	-178.6	107.9	-89.2	88.7	-236.6	-200.7	253.5	-280.3	265.6	
	24	-188	-223.3	-172.2	338.6									
8	0	-353.3	-490.6	53.3	1929.3	-386	7211.5	669.8	-267	-1117.5	-390.9	-264.1	583.9	
	12	1183.9	1311.3	-79.4	-333.2	24.9	159.3	-210.4	116.9	-194.3	52	-82	-105.1	
	24	239.1	134.9	-69	-116.3									
9	0	14.3	348.3	868.3	-63.9	321.9	-2221.2	53.3	215.6	-7890.4	-3.5	233.1	163.6	
	12	499.4	131	-0.4	-131.6	13.7	-230.4	221.1	-61.7	-189.2	-252	117.3	426.7	
	24	89.1	-323.3	209.5	16.4									
10	0	-221	-173.4	59.4	-119.5	116.1	-19.6	45.3	96.6	152	-19	195.5	141.4	
	12	2.7	-32.6	125.8	-104.3	-8192	12.6	-132.6	264.3	-136.2	-180.6	76.3	-98.8	
	24	141.3	-164.8	-325.5	128.1									
11	0	-3222.5	377.5	4063.5	834.4	1458.5	2100.7	378.5	73.9	-311.6	-200.6	-139.7	-125.7	
	12	581.7	259.8	151.5	82	-30.6	-35.4	-247.7	402	6035.4	-166.7	-100.8	-75.2	
	24	284.6	-53.3	-318.5	232.8									
12	0	1721.7	-4502.2	-852.4	1847.9	46.2	-228.1	1950.1	-221	-671.4	1833.4	-4362.2	1558.5	
	12	-1244.3	20.6	34.9	294.9	144	202	-90.3	-813.7	-142.5	117.9	260.1	-37.7	
	24	1205.4	-476	-1403.6	36.6									
13	0	-7301.4	1080.6	-901.3	97.1	-380.6	996.1	-699.1	1045.8	-514.7	920.1	283	-1027.7	
	12	-166.3	371.3	190.4	89.6	-808.9	-141.1	118.3	169.7	-481.7	-209.4	92.2	27.4	
	24	374.3	-135.2	477	15.8									
14	0	-147.3	4031.9	1435.8	-46.5	-5117.2	81	885.1	-1175.5	-1107.2	-887.5	-763.1	504.5	
	12	-304.1	419.4	-131.7	-258.4	44.3	-169.2	-284.9	-656.5	-168.8	249.9	92.2	434.8	
	24	399.3	2742.8	-2269.8	-422.2									
15	0	55	2056.7	41.7	644.4	-94.3	-222.1	403.9	173.3	78.1	285.3	213	-356.7	
	12	-415.1	-64.4	8192	27.7	129.3	-171.8	124.2	-475.3	-119.8	553.9	168.1	405.4	
	24	231.8	-115.4	357.8	-11.8									
16	0	-4373.6	-4707.6	-234.2	-154.3	-32.1	544.7	-566.6	-793.3	514.2	542.4	-440.2	-49.7	
	12	595.3	-94	102.5	178.9	-85.8	-285.5	-218.5	143.9	31.4	98.4	180.4	-214.9	
	24	3.5	262.8	-484.5	-88									
17	0	290	-8192	-278.4	297.9	146.5	-0.5	-588.2	-248.6	-199.9	-520.7	497.6	475.9	
	12	118.3	-89	107.1	-91.6	-86.8	91.8	-77.5	233.9	-58.3	394.4	-145.9	-215.6	
	24	265.4	-149.4	-72.8	135.5									
18	0	-110.7	-1113	-290.1	4806.1	1204.9	-730.4	-5190	400.1	-593	-1403.1	-340.4	677.9	
	12	29.2	-122.1	20.3	320.7	79.6	181.3	373.8	20	-197.5	-354.6	-208.2	129.6	
	24	-98.4	103.4	252.8	-91.8									
19	0	378.7	137	-1140	-592.3	-251.4	-532.3	1373.9	238.4	1098.1	-27.9	403.4	32.6	
	12	8140.6	-375.4	-137.7	-83.2	-147.7	168.8	57	360.3	179.8	-195.7	-220.1	-226.8	
	24	170.5	230.1	-163	-56.9									
20	0	-987.2	30.1	866.7	526.6	8093.1	70.7	-55.7	-699.4	-1164.6	-316.1	1375.7	189	
	12	22.1	-498.7	347.6	-16.3	22.9	-76.5	0.5	97.8	-269.5	74	-329.3	416.5	

	24	51.1	-208.5	-687.8	-355.3									
21	0	630.7	-1598.8	-450.4	-489.3	168	1335.9	-243.7	841	-433.4	-23.2	561.6	413.4	
	12	17.6	-575.4	49.2	-282.7	-210	-28.5	12.3	228.6	70.7	7983.5	43.6	-92.4	
	24	-191.5	124.7	-265.7	-638.3									
22	0	-890.3	548.5	-274.7	1330.1	-126	104.6	497.7	85.7	217.6	21.2	8192	370.7	
	12	-722.8	0.7	-477.6	20.6	1.1	-385.2	46.2	-386.8	-204.8	-104.7	-154.2	-134.7	
	24	131.3	-264.4	-509.6	-312.1									
23	0	-3520.7	1720.9	-920.1	-958.3	633.2	-725.3	-1123.3	-240.1	2405	-1595.2	-2771.2	-464	
	12	-995.5	-2664.6	-962	-1557.6	529.4	176.4	-432.5	26.9	-311.8	68.5	-222.6	162.7	
	24	4052	-508.5	-260.2	-5318.8									
24	0	-1135.5	-686.1	-738.4	27	225.8	-2268.9	219.7	608.7	276.7	586.2	-316.9	43.1	
	12	-28.4	8192	415.8	-84.4	174.8	-61.7	-40.6	-286.4	148.3	101.6	-161	-82.4	
	24	110.8	268.8	-79.3	121.3									
25	0	-311.8	-137.4	-670.6	-884.3	113.8	-261.8	-146.9	-241.6	-489.4	155.7	-64	8192	
	12	-116.1	-97	-146.1	357.8	7.3	254.2	220.7	-1634.1	353.3	-134.5	-26.2	-222.5	
	24	-382.3	4.3	112.5	-157.4									
26	0	1862.8	-495.5	6418.9	-149.9	340.6	-2238.5	196.6	-164.2	-1437.8	435.9	710.5	-514.6	
	12	169.9	-348.1	-74	106	138.6	-177.7	21.5	283.2	-545.6	89.3	-63.1	431	
	24	764.4	-249.3	-414.9	-587.9									
27	0	-41.7	270.5	-359.4	-10.9	-27.3	-261	142.7	77.9	14.5	19.9	345.9	52	
	12	-78.3	81	122.3	102.6	3.7	8192	-30.7	-356.9	-97.6	73.5	-216.4	-302.7	
	24	-153.8	42.4	2.2	98									
28	0	-583.8	365	-4062.4	1.6	1181	833.6	650.5	-1345.9	-5367.4	231.7	93.2	-2805.4	
	12	366.5	-317.6	-158.5	116.1	-199.2	-92.6	223.6	817	174.4	-114.9	17.9	-1200.2	
	24	148.8	-180.4	-170	-80.1									
29	0	-1043	-79.6	-359.2	-298.3	-661.8	-1090.7	128.3	-837.1	-1261.5	-8192	216.3	141.4	
	12	-51.2	582.6	205	182.4	-69.7	335.1	110.7	118.4	-108	-75.7	274.5	-77.5	
	24	18.7	-89.6	179.5	-99.4									
30	0	709.8	-1626.7	-165.6	1293.3	19.2	-1666.5	133.5	138.4	-806.8	-440.8	518.5	696.7	
	12	75	129.9	-23.3	-8192	38.3	-374.9	-127.1	-946.8	129.2	-201	-42.1	251.4	
	24	293	430.6	-511.5	637									
31	0	-710.9	4344.6	-134.9	5527.1	-120.1	713.5	394.8	-680.5	404	66.3	336.9	478	
	12	-302.1	-30.3	27.7	318	-498.2	23	85.9	-151.9	-74.7	-77.4	-225.1	242.8	
	24	780.9	-393	656.9	715.2									

Table 4.A.26 – Interleave VQ codebook 1 for scalable coder (WINDOW TYPE : LONG)

filename	contents	mode	number of elements	number of vectors
scmdct_1	MDCT	enhance LONG	28	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	-561.2	-713.8	-16.7	1735.2	215	-8119.3	-300	-116.6	-321.4	830.9	355.2	-58.3
	12	280.3	464.6	167.6	47.9	-11.4	96.1	137.8	-252.5	137.8	-303.4	-98.5	14.5
	24	-225.3	160.7	-12	-58.6								
1	0	-775	315.3	-620.2	-992.4	-12.8	-1335.7	143.6	-1198.4	-1288.1	8015.5	204.3	158.9
	12	-591.5	731.1	144.8	179.3	93.2	145.5	38.9	257.6	101.6	-67.5	50.9	11.4
	24	99.4	-210.4	-40	-58.5								
2	0	-3915	-782.6	-1029.4	4961	534.9	-181.7	606.6	369.6	-1139.2	-1963.3	-426.4	-941.3
	12	259	276.8	121.6	84.6	202	449.1	144.5	205.4	-366.1	-335.5	20.2	82.8
	24	-357.4	119.7	-107.2	-358.5								
3	0	-1610.2	-1283.8	-1449.3	437.8	-267.9	5562.3	-2744.8	-305.7	278	2618.1	326.5	-169.6
	12	-2019.1	-1045.5	321.3	281.7	-564.1	159.5	358.6	-793.5	-231.2	-47.2	172.5	195.9
	24	-473.5	609.3	-268.5	-18.1								
4	0	203.1	1157.9	-332	8192	246.5	-60.8	-900.1	285.3	878.8	-932.6	72.4	223.6
	12	206.3	117.7	195.7	-205.6	50.3	-217.2	-262.4	197.6	33.2	-89.9	313.1	270.3
	24	-78.6	208.8	135.8	-92								
5	0	-182.8	3165.2	500.3	-3345.9	631.5	-220.1	-219.9	4608.3	-3178.4	-399.9	-312.2	378.8

	12	-559.3	196.1	354.2	24.5	397.2	24.5	-122.3	-579.3	-29.6	-351.2	29	306
	24	-518.6	329.2	259	-361.8								
6	0	3560.4	-556.8	901.4	-1009.7	-3115.6	-1781.1	20.4	-448.8	-2473.8	-1018.8	-3821.8	-655
	12	-247.1	231.3	-350.5	-76.9	-2524.5	-11.8	601.6	-42.9	503.4	11.8	-98.8	563.1
	24	-855.1	-613.3	877.7	-836.3								
7	0	99.3	803.3	-350.6	-103.9	556.6	-2584.9	501.2	24.7	7101.9	42.6	83.8	-947.8
	12	630.4	491.2	-69	3.7	-182.6	-165.1	372.6	64.4	120.3	-103.6	51.5	3.1
	24	-483.5	-39.7	254.2	315.2								
8	0	-684.1	-186.1	1408	467.3	516.3	647.9	-1823.9	-209.9	-1533.2	214.2	-735.8	-223.6
	12	7325.9	349.4	210.1	66.3	160.8	63.6	-153.7	-434.8	-205.1	214	479.9	138.6
	24	-90.4	-36.2	260.9	-82								
9	0	326	510.6	664.5	933.7	-171.2	614.6	264.1	528.9	97.5	-301.1	157	6638.7
	12	-70.2	209.6	74.7	-734.7	91.6	-496.3	-258.8	4242	-162.9	-179.7	101.5	346.6
	24	250.4	-181.2	165	-184								
10	0	536.5	1332.9	164	1325	-231.2	-62	-8136.5	13.3	-8.8	-183.4	-21.5	-156.9
	12	-748.1	78.5	-84	-435.3	12.9	-236	-118.6	182.9	-26.3	52.5	-93.9	402.3
	24	-43.3	-265.1	249.3	96.7								
11	0	2532.6	-1240.8	4875.4	-395.9	744.2	4754.6	-212.9	538.6	38.7	-791.5	311.9	-400
	12	-141.9	-34.1	122.9	20.4	310.5	289	-264	34.3	-295.5	13.5	-98.2	85.9
	24	155.5	-421.3	-420.2	-1113.5								
12	0	-854.1	-4737.3	-4964.8	-42.6	36	271	402.8	216	-361.4	-1031.3	896.6	303.3
	12	-882.9	146.9	407.8	-57.6	140.3	-62.1	-208.2	-299	62.6	-104	-54	-22
	24	125	541	167.3	62.6								
13	0	-37.3	-320.7	-479.8	-1002	6982.4	428.5	429.6	1185.6	270.6	-38.5	-2430	-216.1
	12	-258.1	1022.9	7.5	31.9	145.5	-95.5	57.1	-248.5	-362.5	386.9	-647.1	-296.8
	24	-215.8	657.7	158	-170.8								
14	0	-936.6	-6447.3	1963.8	-43.3	621.1	-589.6	790.4	34.8	192	-681.5	-75.1	-1008.5
	12	-592.1	372.8	-407.7	-232.1	84.5	48	735.8	-190.7	-189	-36.1	-20.3	69
	24	-379.5	24.9	-290.1	-187.7								
15	0	-903	-538.2	-466.7	82.9	323.1	-2047.7	296	732.5	-316.9	625.5	-87.2	19.2
	12	117	-8038.9	371.8	126.6	-22.1	-100.3	-177.6	-126.4	260.5	0.5	0.6	34.8
	24	-285.4	103.3	-347.5	389.4								
16	0	1747.9	2234.3	-277.5	-109.8	-171.4	-878.5	-34.1	-6668.5	-277.8	-71.8	-929.3	77.1
	12	-55.3	-834.8	1929.4	4.5	18.3	59.5	-95.9	305.9	158.7	59.7	248.2	-149.5
	24	128	-65.1	-1.6	213.8								
17	0	-739.1	-212.2	400.8	70.1	-189.8	683.7	40.3	-532	3	-695	-1249.8	-1958.4
	12	-1494	142.9	-97.3	-2738.4	-112.9	159	-7679.3	260.8	-267.6	-203.5	147.6	1002.2
	24	-304.2	317.3	792.7	427.9								
18	0	3208.6	-221.6	-1632.8	444.5	-382.3	-171.5	130.6	5996.9	1416.9	299.8	-641.1	-1334.1
	12	-135	-295.7	999.2	-588.2	-109.4	0.8	45.1	241.5	716	75.3	181.6	-63.4
	24	608	302.1	-2.8	186.6								
19	0	-4161.7	-205.9	-505	-5407	132.5	913.8	441.8	-43.6	281.5	-884	369	306.5
	12	236.6	16.9	321.3	-136	-285.3	-57	117.7	172.7	-341.1	-286.4	518.2	-36.8
	24	-165.2	38.2	-128	2036.2								
20	0	4768.2	651.6	-1323.6	-21.5	3555.3	2592.6	672.9	-1588.3	776.8	1531.6	170.8	-1284.5
	12	-351.6	29.5	637.3	-571.3	401.2	-84.3	-197.4	-192.6	304.2	-91.6	-144.7	-112.9
	24	135.2	-150.4	49.5	492.3								
21	0	566.2	193.6	-8192	-1008.5	311	-703.7	143.8	-322.4	-345.2	493.5	-122.1	-583.3
	12	-72.1	189.9	-399.4	-105	209	-118.6	97.1	220.5	479.3	-14.2	198.2	-104.4
	24	202.3	83.1	12.5	226.3								
22	0	-529.2	317.2	-3662.3	-2057.5	-4863.5	1738	495.1	783.9	9.1	105.2	-514.3	84.7
	12	258.6	538.8	134	-14.6	359.9	-259.7	-39.4	-164.9	525.2	19.7	-3801.9	78.5
	24	-237.3	-526.4	466.5	20.8								
23	0	1203.4	-1301.8	348.1	-1694.5	-83.5	-667.8	-69.4	-248.8	-806.8	-32.7	7341	-343.4
	12	80	-278.2	174.6	-342.1	-312.2	498.4	-382.4	-16.3	252.4	246.8	1.9	111.2
	24	321.4	77.7	248.6	-645.2								
24	0	-2744	-226.8	5684.9	-3196.3	201	-1143.2	-68.9	48	625.6	-12.2	-1007.2	-911.8

	12	-619.4	413.5	-113.2	45.1	334.9	15.2	-7.5	363.2	-1040	-165.1	230.6	-309.3
	24	510.4	-149.2	-171.9	454.3								
25	0	482.8	2739.8	-178.6	648.8	19.1	-422	129.6	155.7	-32.2	218.2	255.1	-766.4
	12	-511.5	-441.8	-7895.9	-95.4	88.4	-507.1	-117.5	-160.8	110.5	478.6	-43.8	375.2
	24	-422.4	-239.4	273	43.8								
26	0	459.8	2520.3	-3700.9	-219	604.4	-398.3	-3011.9	-165.8	611	-3859.3	549.1	-468.7
	12	-54.6	378.4	259.5	-6.5	291	215.1	225	-25.2	51.5	94.8	-151.8	-382.5
	24	-18.1	-1051.7	-4932.4	174.7								
27	0	-7985.6	-471.4	536.2	-173.5	565.7	67.8	802.8	-1040.1	832.5	-106.7	-361.2	453.6
	12	40.1	-622.4	-140.1	-63.5	383	-80.7	-149.3	-162.5	-72.1	84.6	-199.5	84.3
	24	-575.8	-136	87.8	-713.6								
28	0	350.8	-1145.1	-210.1	1038.6	-18.8	-1098	210.8	210.4	-802.5	-696.9	212.9	381.2
	12	-524.5	73.2	-33.8	8192	130.9	-435.1	-880.7	-549.6	133	-97.3	76.1	177.6
	24	-12	261	-168.5	177.8								
29	0	-3820.4	4929	586.4	357.3	208.7	-1327.9	525.2	-426.1	-290	-84.7	-283.1	-992.5
	12	-777	727.9	522.5	-130.6	-31.9	58.6	655.4	-233.7	-2.1	1969.1	-24.5	122.3
	24	-1434.3	-529.6	-127.7	399.8								
30	0	-253.6	580.2	3009.4	2563.5	-2683.8	34.2	-168.6	349	322.5	-399.6	626.4	-743.8
	12	-938.8	151.5	8.4	-310.6	199.1	116.5	181.6	-192.6	-90	93.5	178.1	-6795.7
	24	77.2	689.1	269.7	216.1								
31	0	-74.9	2728.3	143	1142.2	181.9	3492.9	5593.3	631	-296.2	-136.5	464.6	-234.4
	12	639.1	-401.2	206.2	-0.4	244.2	-132.8	323.2	-611.7	-270.7	-438	756.8	194.9
	24	30.6	-370.5	-55.2	-210.9								

Table 4.A.27 – Interleave VQ codebook 0 for scalable coder (WINDOW TYPE : SHORT)

filename	contents	mode	number of elements	number of vectors
scmdct_2	MDCT	enhance SHORT	24	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	613	-1918.4	-968.9	892.6	419	79.3	-77.8	-240.8	162.1	493.8	-1223.7	720
	12	181.3	-1005.3	-10.1	1160.7	464.2	-1110.3	138.9	-7755.7	-603.2	-621	779.9	-144.7
1	0	199.2	449.8	-147.5	-650.1	474.8	-258.1	635.7	-975.8	768.7	-1061.6	606.4	-437.7
	12	-535.1	214.6	-934.9	-8192	-104.9	84	-393.5	-261.4	26.9	1021.8	-110.7	-818
2	0	-2191.5	516.5	-512.3	-7841.8	-1863.1	930.8	296.5	-854.1	321.5	-104.4	745.6	1428.2
	12	-71.9	-406.6	549.5	1065.5	1224.3	-899.9	-418.3	4	-588.7	125.3	-942.3	-1317.2
3	0	-5741.3	1175.1	-3780.8	-1979.1	3997.5	2363.4	1948.9	-1075.8	270.2	1113.4	-1514.8	36.5
	12	-215.7	1244.3	1454.6	-629.9	526.6	-31.9	960.9	-292.7	1256.4	1770.9	-1638.3	-1141.1
4	0	1869.4	1185.6	515.5	-381	-1273	-942.5	-988.9	-595.9	-954	-663.4	-547.1	731.1
	12	-360.1	-93.3	7036.1	-1416	1070.4	-2318.2	314.9	440.7	72.7	-60.8	-1731.8	1086.1
5	0	-535.5	-152.7	-523.3	1189.4	100.9	-560.4	-269.2	-1528.7	-482	-672.3	204.8	-1138.3
	12	-85.9	-914.3	-266.2	1683.5	-411.3	-522.6	427.8	436.3	166.2	8119	-1297.1	173.8
6	0	1996.2	1353.1	2318.5	4260	-3061.5	2192.3	702	-2385.7	1653.8	1494.3	-1128.3	807.4
	12	-1586.9	1139.7	-1618.1	217.8	-808.5	827	-756.3	1528	493.9	-1344.4	-4055.4	-118.7
7	0	164	620.7	-359.6	201.5	-932.1	8192	-101.1	-1723.8	-94.3	-950.4	198	903.7
	12	-602.2	-198.7	-514.4	1396.4	-756.6	-429	370.1	-249.4	-59.5	221.6	-1645.2	1282.2
8	0	-959.2	-2799.8	2031.5	1081.8	3374.3	3582.4	603.2	777.2	-1314	2646.5	340	-1727.3
	12	1645.3	173.9	-558.3	-807.2	-645.4	-2908.5	-525.6	2070	269.2	-86.7	3020.5	-6239.7
9	0	-474	-489.6	511.5	-707.2	247.4	1316.2	-45.1	-823.5	-8016.4	-1546.4	236.2	-143.7
	12	143.7	-385.4	-375.3	-1493.8	716.5	334.3	-156.9	658.5	-48.5	-1005.8	-2546.6	320.7
10	0	896.7	-1018.5	-8192	-1013.5	-380.1	657.3	94.6	-263.9	421.1	-783.9	-149	-427.7
	12	555.6	264.4	393.1	-322.4	255.5	-175	-628.9	673.4	1279.4	-641	-1016.1	-1884.3
11	0	-513.2	-335.3	-37.1	-805.8	1171.8	262.9	-1791.4	-8099.1	815.1	-783.7	296.2	-601.5
	12	-192	86.9	-3.9	974	2015.1	161.7	-1157	-490.5	-1972.9	-985.8	381.7	525.7
12	0	-2340.4	-5661.8	4040	-2018	620.7	-1192.3	82.4	-2415	-531.9	-408.1	1410.8	-124.5
	12	1669.3	1686	351.5	-150.9	-46.5	775.2	-550	-189.9	1333.1	33.9	-1745.5	-417.2
13	0	65	22.3	770.3	-610	1222.8	625.5	923.8	611.1	778.8	-72.4	-793.9	-8138.9

14	12	-652.8	-443.5	-326.3	372	-835.5	-932.2	-438	636.3	552.6	-645.1	1783.7	-1578.1
	0	-1400	-98.5	3057	3613.4	2282.3	2572.4	3484.4	-3571.9	481.7	-3392.1	1297	1167.4
	12	161	-1064.2	-313.3	1599.9	-6.9	470.8	653.7	136.3	2168.9	1038.2	856.7	363
15	0	-785.2	-384.5	-166.7	305.1	-465.8	-565.9	-1368.1	-287.8	52.2	207.1	2756.1	487.7
	12	422.8	-1724.3	-646.7	219.3	52.2	-7309.5	-398.8	871.1	614.9	-965.9	-94.9	-1695.4
16	0	3931	-7212.4	-30.7	-853.7	-141.4	-138.3	-196	295.3	-78.4	364	388.5	-1395.2
	12	-1237	1038.9	703.5	87.8	546.8	-9.3	-696.1	-777.8	-78.2	-475.6	224	-268.8
17	0	2059	2976.1	2990.4	-3869.7	-1417	1535.6	-2343.5	-983.8	-969.7	1746.4	149.8	2876.3
	12	-1391.5	-262.8	-1512.9	980.8	-1948.6	-752.1	-564.2	1323.3	1.1	-518.9	2387.7	617.5
18	0	263.7	78.7	663.8	-310.6	-2441.4	-1218.3	-481.4	1743.2	514.8	-483.3	1094.8	655.3
	12	269.5	146.2	-913	-744.6	7872.2	2233.3	401.5	948.2	-1182.8	1871.3	459.9	-672.7
19	0	23.6	461.8	754.2	-411.9	451.3	245.3	-8192	-294.2	-192.5	-819.3	-791.4	-1601.9
	12	-430.8	-131.7	573.7	1347.4	-345.2	46.1	1877.7	-798.3	-42.5	-84.7	96	1252.6
20	0	381.9	3174.6	-608.4	-2156.8	-3019.3	781	4767.2	-1575.8	920.3	-660.6	-779.4	-2567.7
	12	1139.5	647.3	98.2	2453.2	-1062.3	-608.9	-1438.9	1269.2	-1554.3	-979.2	-244	1879.8
21	0	-502.3	-843.6	631.9	843.7	708.5	-992	-471.3	-1323.4	788.6	-952.4	833.7	1104.1
	12	555.9	27.4	1181.2	-183.5	291	803.2	-106.9	-517	-504.5	108.5	8192	2984.5
22	0	433.6	246	-1589.2	-817.6	-708.4	-1522.4	-1661.6	1227.3	-1094.2	-491.1	237	-887.9
	12	1532.3	1569.8	-299.8	-219.7	-517.8	407.6	414.2	122.3	7957.8	-501.4	-406	202
23	0	-4188.3	247.2	437.9	1234.2	-3749.7	-5089.5	-159.7	-401	1524.7	-1408	-3081.6	-60.8
	12	1318.4	-63	-1692.2	-71	-575.4	-1530.1	-6.8	838.7	-913.4	-431.7	1032.4	-2078.2
24	0	656.5	1578.5	-2239.9	764.9	1307.3	-4063	2133.6	-4375.1	-2720.8	-82.3	-4.4	1379.8
	12	1452.4	-794.8	-1084.7	-720.3	-1685.5	-105.5	1770.7	1205.6	-635.2	799.4	1327.4	-776.7
25	0	406	353.4	1207.9	100.3	-554.4	-363.5	812.3	-219.5	368.2	-818	-1283.4	115.5
	12	949.7	-348.7	864.5	-336.3	326.9	-779.5	7874.7	-142.3	1076.4	-848	-246.6	-3475.1
26	0	-8192	-3.9	-103.4	-300.1	-451.7	-318.6	197.6	139.9	-167.5	-386.7	1758.9	755.7
	12	75.4	-465.2	329.6	557.2	-219	526.8	896.1	435.7	-509.5	65.4	-407.4	-1614.7
27	0	246.7	-2.2	-202	763.1	-8192	985.9	776.4	51.7	74.6	180.7	-360	463.2
	12	226	-83.2	1488.2	-1249.9	-1186.7	167.1	-211.1	-562.4	75.1	1007.6	400.6	-2326.2
28	0	336	441.6	215.6	104.9	-216.9	860.4	-1256.2	505.1	596.4	-7828	542.6	-218.9
	12	-1139.2	175.8	-1623	383.9	66.5	-230.2	-732.1	505.2	417.1	587	210.5	-1437
29	0	572.1	-128	823	-312.2	59.7	100.7	-720.4	695.3	-790.7	-1235.8	891.5	1663.5
	12	347.7	7774.4	-121.7	-516.7	-906.6	929.5	-315.7	-397.6	-1038.2	-1197.8	-92.7	-929
30	0	-1050.2	-1276.6	-428.5	-141.9	88.4	-1400.9	34.3	763.6	-203.7	-854.5	-713.6	13.7
	12	-7944.3	265.6	-520.4	-532.2	1207.4	-1606.8	480.2	-31.7	-2769.1	-386.9	-52.8	-45.7
31	0	-4196.5	-786.1	-474.8	2859.1	-3151.7	3652.5	-2296	1179.6	-1717.4	1482.6	-2578	-401.3
	12	1222.3	522.7	124.4	2285.2	-180	-849.8	-180.3	1514.7	-1489.2	-946	1162.9	1043.9

Table 4.A.28 – Interleave VQ codebook 1 for scalable coder (WINDOW TYPE : SHORT)

filename	contents	mode	number of elements	number of vectors
scmdct_3	MDCT	enhance SHORT	28	32

VN	EN	0	1	2	3	4	5	6	7	8	9	10	11
0	0	-1587.4	-8151.2	466.2	476.5	1310.7	-36.4	-835.2	-385.1	-329.8	-983.2	-724.4	-861.8
	12	-354.7	-992.7	197.9	554.3	-77.3	207.1	-977.7	-660	-331.6	602.2	706.1	-1584.4
1	0	-495	61.2	-263.6	1248.6	-1573.1	-713.3	2527.9	-6633.3	-696.5	247.3	1391.3	-289.7
	12	-324.9	489	-1072	-459.6	-211.1	-736	385.2	-1296	-37.3	1785.9	-258.4	-459
2	0	433	-524.1	264.9	-41.6	7135.3	1978.2	105.5	-52.2	-396.5	-306.8	-1282.4	412.9
	12	-21.3	1616.7	-46.3	-844.6	-1902.3	1368.5	-133.6	211.3	1114.3	457.7	2710.2	-1426.3
3	0	1621.1	-382.5	941.6	1426.9	-4604.8	2534.3	-1221.5	267.8	-4996.4	-1924.1	763.4	1417.4
	12	254.9	-620.3	325.2	358.6	669.7	-799.6	529.5	-319.1	-364	-423.1	-2416.2	-1041
4	0	-357.9	860.6	339.1	143.7	-188.7	1506.9	-903.6	-898.6	6330.3	-3820.3	829.1	-11.5
	12	220.8	-477.8	1134.2	253	383	-1115.3	-1149.9	848.9	-1113.5	107.8	-868.4	295
5	0	-8192	-591.2	-299.6	524.8	1471.7	73.1	-869.7	-211.1	-162.2	139.5	-1121.5	-1009.6
	12	91.9	160	-270.5	67.1	-275.7	-861.7	-722.4	-488.8	-623.8	698.3	697.8	-264.1
6	0	-818.4	786	-608.1	-61.4	1509.7	7783.9	-37.6	2065.4	-638.7	198.9	35.8	-824.1

	12	791.2	739	605.3	-1152.7	-372.9	424.6	1346.8	-18.8	-625.8	604.6	-384.4	284.6
7	0	3029.7	-1750.6	4506.6	-870	513.2	2301.4	927.1	2530.7	228.1	-2474.1	1344.5	-78.5
	12	153.2	-1658.2	-537.2	-280.5	642.4	2369.1	500.4	-584.8	-170.5	-303.1	1704	136.9
8	0	-1569.8	-1288.6	201.5	-1157.1	-466.5	797.8	371.5	-1819.5	537.5	131.8	-3898.4	5382.6
	12	1775.5	-542.9	-1048.7	-370.1	-635.7	-952.7	-997.7	-41.5	726	11.2	-81.3	13.6
9	0	-2450	1788.6	-1971.3	614.4	-787.8	-345.9	-1830.9	4736.7	154.9	76.6	1477.9	576.4
	12	1549.4	-873.6	-1628.5	-5.8	-1817.4	1087.2	-119.7	-4009.5	-362.6	-2510	-3032	-1122.4
10	0	1489.3	5731.5	4239.4	396.9	1859.3	-1909.5	302	-790.5	1461.5	-1084	-510	-1498.7
	12	788.4	-259	965	-536.7	428.1	622.7	-227.5	-1693.9	-98.6	217.7	-852.7	-1826.4
11	0	727.1	-471.3	-3938	4596.7	155.4	2508.6	-1160.6	-959.7	1337.4	-1124.4	782	747.3
	12	169.4	-577.7	2942.3	-638.7	1307.7	1236.2	-449.5	-649.7	294.4	1321.4	-699.9	364.8
12	0	-3489.7	-557.6	1321	-1750.9	-324.9	-704.9	5375.6	2709.4	343.7	-3100.4	-1881.5	172.9
	12	-1917	847.7	679.8	-268.1	974.4	27.3	-60.4	-1128.4	12.1	-2983.5	-354.1	945
13	0	254.3	1387.7	1209.8	598.9	277.1	-934.4	-7093.7	1923.9	1015.7	-357.3	-390.3	1251.3
	12	-946.7	480	208.4	1134.5	750	-716.6	-726.8	794.7	-336	75.3	807	-2576.3
14	0	1188.4	-248.5	718	-1366.1	-3130.4	-1170.6	-101.4	1117.5	1004.6	7.1	-1020.9	63.7
	12	-483.1	-1065	878.5	-1709.5	-6818.4	1088.2	363.8	-686.1	-1432.5	-405.5	343.5	-391.3
15	0	-945.3	2896.2	362.4	-2761.9	2342.6	805.7	-619.8	490.8	-2910	-798.1	-59.8	409.3
	12	-1168.1	149.5	550.7	4936.1	-563.9	-328.6	-320	616	1443.7	381.4	-1751.2	-738.3
16	0	1408.8	-324.5	-250.1	-42.1	-718.3	654.3	608	324.9	-863.8	596.2	-2048.1	-1469.7
	12	1793.5	-211.2	-15.2	394.4	992.8	-6976.7	1115.8	-1024.9	823.3	216.9	-1298.7	2454
17	0	-2586.3	-2557.9	-1035.1	-2009.8	-1809.3	-5664.7	-661.2	-987.2	-261.8	-731.4	806.3	972.9
	12	-2113.7	1892	1078.4	1751.2	-443.4	1183.3	1097.3	570.2	-57.2	-956	-1259.4	53
18	0	1515.5	941.4	-381.6	1674.6	-81.4	121.6	2131.6	-556.5	1081.9	1872.4	-816.3	-1076.9
	12	496.7	7226.8	-416.5	-382.9	508.1	1137.3	-1334.6	790.6	1024.6	613.2	-441.6	-1065.9
19	0	-406.3	380.5	681	-1482.5	-2079.6	-1901.1	-3583.9	-3315.9	-447.2	-63.2	-691	-1701.2
	12	578.3	-2632.7	663.9	30.6	1611.2	3718.7	867.1	1683.5	50	-1270.9	-1571.8	-1539.7
20	0	321.7	-618.9	1318.2	-2858.6	-95.2	109.2	1145.3	1812	-278.3	2607.3	-359.3	1530.9
	12	-1664.7	678	2133.4	-1815.8	1561.3	56.1	-134.9	-12.2	-342.6	6635.7	2642.9	2408.3
21	0	757.9	-357.8	798.2	492.1	-1311.4	742.9	4154.7	105.9	571.6	2169.7	903.3	334.5
	12	-3191.2	-1928.7	-1051.4	1526.9	245.2	-1750.8	-1104.9	1049.2	5111.9	-1193.6	-521.4	-734
22	0	-1838.4	1235.6	1095.1	2725.7	2497.5	-1924.5	1151.5	603.4	-1416.1	2696.4	-268.5	-1621.3
	12	399	-529.9	2481.5	-123.4	2178.4	857.2	-991.2	3837	-385.4	-212.5	-3028.1	4468.3
23	0	1330.4	2003.6	-5625.7	-1541.8	709.1	-203.4	722.3	468.9	1065.2	1010.1	1321.9	653.3
	12	-1503.7	-890.1	126.5	595.1	1292	-120.9	867.1	309	-1041.5	-1233.9	4458.3	1747.7
24	0	3786.4	166.8	659.1	-7165.8	736.4	760.8	-157.3	-832.6	-726.2	-558.5	-1335.9	-1448.4
	12	1227.1	310.8	-1051.7	-528	-791.4	629.3	33.1	-1012.9	-632.4	-129.1	-499.5	1025.3
25	0	-1451.7	246.9	244.9	149.8	5.9	156.5	-51.5	-109.4	478.2	306.5	7042.5	253.4
	12	122.7	110.6	-2.7	-947.8	-1074.1	318.6	81.2	44.4	-1188.6	980.2	-1001.7	1166.1
26	0	-1751.7	1177.9	-1175.2	-2322.5	-4825.7	1590.5	258	229.3	-92	167.1	71.5	-2142.2
	12	-2665.3	1556.1	-2104.4	374.6	1627.4	-847.9	1737.1	110.3	594.9	1048.1	-177.5	-207.9
27	0	121	-145.1	-561.2	420.7	-152.6	-871.5	-1213.1	-294.2	-868.6	807.8	-220.4	981.4
	12	89.8	-313.7	-7218.6	424.1	-315.4	-975.3	-199.4	-893.3	283.3	-108.3	775.2	785.3
28	0	2464.9	-3039.7	-673.7	188.1	1483.1	1089.5	-446.4	283	648.4	2184.9	-1143.1	576.4
	12	1052.9	281	-2367.4	1505.7	-702.3	628.9	4176.2	1901.7	-1451.3	-460	-4274.9	174.8
29	0	9.6	362.4	362.1	802.5	497.8	-914.2	-1697.2	-45.5	-1122.9	648.9	720.2	916.9
	12	-371.9	588.3	162.3	-6838.7	-849.4	-560.4	316.3	180.4	-229.1	-2966.6	-638.9	437.5
30	0	3819.9	685.6	-662.9	1849.7	1157.1	-2943.1	2673	-153.4	-1106	-1384.2	869.4	1226.8
	12	-513.6	-352	1064.3	4032.2	375.9	-824.9	-1518.7	1262	-2905.1	160	-315.9	-3058
31	0	-125.4	-618.8	379.6	-840.3	-975.8	-1430.1	1370.9	583	-452.9	-73	-374.4	-1129
	12	7405	175.4	123.6	-458.8	1692.4	-318.4	-1535.9	521.6	-277.1	-690.6	899.4	3196.9

Table 4.A.29 – Bark scale codebook

Filename	contents	mode	number of elements	number of vectors
Fcdl	bark envelope	core/enhance	6	64

VN	0	1	2	3	4	5
0	2.59553	1.59708	-0.21402	-0.17514	-0.09628	-0.26982
1	-0.31846	-0.28922	-0.32107	1.15714	1.03967	-0.03709
2	2.80202	-0.48079	2.15678	-0.37126	-0.36071	-0.16559
3	0.19375	-0.32843	-0.30811	0.27793	-0.2106	-0.07445
4	2.2074	-0.43946	-0.3363	1.40869	0.0118	0.04888
5	-0.50163	-0.3072	-0.34648	-0.36553	0.08881	0.66861
6	-0.5478	3.10285	2.923	-0.42525	-0.30431	-0.12124
7	0.36265	-0.59101	-0.40694	-0.37653	-0.02823	8
8	0.6108	-0.2944	0.84234	0.50441	0.15898	-0.19708
9	-0.26828	-0.42775	-0.55847	8	0.26906	0.09807
10	0.58163	0.69724	-0.38205	-0.32305	-0.04204	-0.18015
11	-0.1531	-0.52465	-0.5112	-0.46322	8	0.60858
12	8	-0.37894	-0.41379	-0.57177	-0.46141	-0.54807
13	-0.3409	-0.46601	-0.40139	2.77429	-0.30628	-0.29932
14	-0.00875	1.00366	0.35027	-0.14719	-0.12343	-0.01017
15	-0.42826	-0.25287	0.5889	0.00455	-0.18978	0.72595
16	-0.41718	-0.42836	0.44293	-0.26096	0.67149	-0.15131
17	-0.48094	0.40551	0.63479	0.10576	0.60523	0.00965
18	0.5875	0.17574	0.22913	-0.07386	-0.25141	-0.28609
19	1.54175	-0.38932	-0.26886	-0.37004	1.26474	-0.04734
20	-0.30997	-0.45256	2.71793	-0.29703	-0.34868	-0.27166
21	0.80891	-0.39427	-0.36544	1.05681	-0.26354	-0.07337
22	1.69802	0.38677	-0.19213	0.04237	-0.07601	-0.16281
23	0.82683	0.70298	1.21216	-0.23461	-0.02006	-0.24785
24	-0.4438	-0.46604	-0.44381	-0.40097	1.97582	0.05232
25	0.71883	0.61561	-0.1844	0.68805	0.00106	-0.01607
26	-0.38766	8	-0.06823	-0.22391	-0.52152	-0.42881
27	-0.4812	-0.4593	-0.4612	1.54307	-0.31462	0.33229
28	0.72907	-0.31141	-0.38468	-0.36775	-0.3587	0.7
29	0.88654	2.10076	-0.1964	-0.23175	-0.15172	-0.15909
30	-0.51999	-0.53691	-0.52863	-0.4753	0.54786	-0.32601
31	-0.4771	2.06467	1.01919	-0.28684	-0.14439	-0.05744
32	-0.57224	0.42109	0.5242	-0.2856	-0.1884	-0.15752
33	-0.24585	-0.44169	8	-0.43055	-0.40749	-0.43133
34	0.20221	-0.25994	-0.31787	-0.30064	0.6737	0.05431
35	0.32692	-0.4254	-0.45128	-0.45458	-0.16592	-0.3783
36	1.42145	-0.42477	-0.43237	-0.33452	-0.32365	-0.35176
37	-0.52165	-0.47377	0.32662	-0.34749	-0.24129	-0.18172
38	-0.46545	0.29674	-0.31146	0.41441	-0.12466	-0.07952
39	-0.26585	0.07241	-0.26056	-0.2953	-0.24943	-0.22879
40	0.34838	-0.3777	0.48323	-0.31334	-0.0997	-0.15367
41	-0.36403	-0.40947	1.43126	-0.48284	-0.33652	0.15962
42	-0.50425	-0.42948	-0.31036	0.28637	0.32368	0.02942
43	-0.44723	2.90793	-0.35379	-0.37063	-0.16951	-0.00506
44	-0.41686	-0.31531	0.3233	0.63998	-0.13565	-0.25397
45	-0.57783	-0.55613	-0.52567	-0.35549	-0.35857	0.13331
46	-0.5269	2.92107	-0.39287	2.50676	0.83325	-0.34838
47	-0.35037	1.58353	-0.14216	-0.14513	1.25833	0.02395
48	-0.54618	-0.51853	-0.5225	0.6915	-0.34809	-0.32408
49	0.91424	-0.39755	-0.23708	0.06298	0.32884	-0.27221
50	-0.26031	-0.34193	1.87043	-0.21861	1.45874	-0.0149
51	2.71047	-0.44695	-0.35421	-0.35093	-0.21284	0.18185
52	-0.54924	-0.36134	2.97081	2.32648	0.01315	0.14583
53	-0.51477	-0.40314	1.23469	0.2071	0.00622	-0.15786
54	-0.37564	0.82963	0.77552	0.72145	-0.21951	-0.21676
55	1.59584	-0.35791	0.89497	-0.26614	-0.12674	-0.13373

56	-0.42762	1.49492	-0.31584	0.6556	-0.06384	-0.07354
57	-0.38684	0.71379	-0.43055	-0.24995	-0.29525	0.42418
58	-0.55264	-0.52329	-0.57869	-0.60007	-0.61091	-0.56922
59	-0.46465	0.55867	-0.28994	-0.14188	0.53955	-0.1124
60	-0.40785	-0.46448	1.26074	1.48856	0.10128	-0.10592
61	-0.427	0.70964	-0.30237	1.50654	0.0617	-0.27741
62	-0.43966	1.39518	-0.39417	-0.44686	-0.35716	-0.39151
63	-0.43383	0.9626	1.83882	-0.37505	-0.235	-0.05237

Table 4.A.30 – Periodic Peak Component codebook

name	contents	mode	number of elements	number of vectors
pcdl	PPC	core LONG	10	64+64

VN	0	1	2	3	4	5	6	7	8	9
0	1.28888	0.26874	3.16758	1.37315	0.49535	0.94071	1.44487	0.37004	-0.70932	-0.05462
1	-0.02234	-0.20001	0.19604	0.26626	0.75466	-0.81913	0.03708	-0.29647	-1.78632	-0.18008
2	-2.19075	0.13333	2.93822	-0.22869	0.54111	0.51598	0.29629	-1.60571	0.48978	-0.40555
3	-0.16435	-1.43562	0.12102	0.1109	0.83013	0.16508	-0.07873	2.78031	0.15479	-0.28546
4	3.06554	-1.41708	-1.88582	0.14353	-1.66046	0.26375	0.08646	-0.41044	-0.23005	0.47026
5	-0.11899	-0.14149	0.03797	0.01927	3.72378	0.16278	0.28207	0.15989	-0.17563	0.48802
6	-0.19166	0.05232	0.68887	0.24894	-0.48014	0.01794	-0.09456	-0.03353	-3.71716	-0.37879
7	-0.08722	0.69099	0.52234	0.74945	-0.62316	0.58827	0.30028	1.0085	0.24395	0.06055
8	0.12958	-0.22199	0.96387	3.13907	-1.96687	0.53834	-0.69258	-0.04179	-0.39869	-0.03142
9	-0.02278	0.45226	-0.46875	0.03839	2.35515	-0.02039	-0.03662	-0.33315	-0.3716	-3.22201
10	1.50588	-0.78015	1.43802	-0.42078	-1.56427	-0.32711	-1.81516	-0.1517	-1.39942	-0.70676
11	0.03549	0.97029	0.17778	2.40233	-0.1207	1.79612	-0.09612	-3.32378	-0.06238	-0.47122
12	0.11414	-0.06499	1.95345	-0.03737	-0.08911	1.86812	0.03298	0.3419	-0.9445	0.26631
13	-1.20479	-0.70412	0.30736	1.66473	0.25032	-1.62769	0.26336	-2.39109	1.66663	-1.01706
14	0.27057	0.28062	4.60481	0.14478	-0.04332	-0.26043	-0.15716	-0.52842	1.15402	0.02068
15	-0.02468	-2.63793	-0.06035	2.17716	0.55198	1.33668	0.18469	0.73687	-1.59535	0.47395
16	0.08763	-1.03259	0.29432	0.23395	-0.21428	0.13532	-4.58495	0.5589	1.19783	-1.8095
17	-1.87625	1.93644	0.2355	0.60058	1.07984	-0.13744	-0.89547	-0.8501	0.80741	-0.42413
18	0.23718	-1.82628	2.62266	0.48302	0.5773	0.00497	-0.88499	0.11386	0.50195	-1.61181
19	2.29405	0.76792	0.77194	0.45336	-0.15374	-1.68579	0.40538	-0.73821	-0.52721	0.27069
20	0.31311	0.67546	0.05131	-0.53788	0.75649	-1.92602	0.44063	-3.20197	0.24121	-1.52231
21	0.38234	-0.34155	-2.34677	0.44454	-0.12667	-0.01386	0.83684	-3.42385	0.27861	-0.62057
22	-2.294	-0.00411	0.10751	-2.0974	0.55445	-1.90704	0.46176	-0.22817	-1.05712	-0.31803
23	0.08838	0.104	0.07782	0.28709	0.77533	0.5377	-3.49315	-0.15122	-0.71822	-0.55433
24	-0.12964	-0.15924	0.08426	4.31671	0.35474	-0.3812	0.08329	-0.5072	0.22773	0.10575
25	0.37323	0.11307	-0.26726	1.19933	-0.2052	3.97109	0.23754	0.42287	-0.17526	1.6363
26	-0.8932	0.98569	-0.17186	-0.66099	0.34743	0.31512	0.56153	0.03641	-0.2279	-0.32669
27	0.49296	1.50705	0.11747	3.64671	-0.08886	0.95113	-0.10827	0.24228	-0.2188	0.09239
28	-0.05516	-0.36735	0.06542	-0.10454	-0.64008	-0.07052	-0.50515	2.55652	-0.94717	-1.30125
29	0.09405	0.74533	-1.13331	0.37229	-0.38024	0.17472	0.61134	0.20712	-0.10988	-0.49257
30	-0.11187	-0.17222	-1.73824	-0.13261	-0.52195	0.62213	-0.08559	0.17988	3.15089	-0.24704
31	0.01548	3.74936	0.08098	-0.20425	-0.05489	-0.31726	0.69418	0.32569	-0.1634	0.50865
32	-0.17257	0.28592	2.00649	0.53473	2.57991	0.33445	-0.33589	-0.71256	0.51466	2.46521
33	-0.09483	1.08439	0.03998	0.11961	1.85047	0.00631	-0.45111	-0.71269	0.15621	1.16161
34	-0.02632	2.00056	-0.32356	-0.56487	0.10923	1.26427	-0.2674	2.41749	-0.16922	-0.8848
35	-0.0544	0.16837	2.56225	0.1894	-0.22447	-0.39572	0.49943	0.11457	0.35493	0.89878
36	-0.26616	-0.43364	0.3558	0.05177	0.50149	-0.24278	0.17047	-0.12116	5.45574	-0.15218
37	0.06203	0.23433	-0.99429	0.12958	-0.628	-3.51385	-0.24958	0.06293	-0.89521	0.2426
38	3.72107	1.23981	-0.88335	0.46989	-0.3001	-0.42813	0.41867	1.0639	0.31391	-0.57627
39	-1.12063	0.44526	1.91996	0.34752	-0.524	-0.6746	-2.59639	0.11581	-0.20243	0.28307
40	-0.03832	-0.01316	-0.03846	-0.007	0.04931	-0.09357	0.06964	-0.00049	0.21041	-0.08892
41	0.10125	-0.03012	-0.03539	-2.37631	0.28491	2.4899	-0.34378	-0.73052	0.52019	-0.10068

42	1.15802	0.7305	0.86786	-0.15069	2.3452	-0.69111	0.57534	1.29397	0.15267	-0.16541
43	-0.12218	-0.03125	-0.01363	-1.5446	-0.27404	-0.62664	0.46486	-0.44052	0.17302	0.77978
44	-0.03625	-0.33463	-1.59515	-0.42669	-0.3637	3.45674	0.35785	0.34057	0.26874	-0.66518
45	0.12284	0.01668	-0.36273	0.00005	0.05147	-0.29728	-0.00085	5.10734	0.41718	-0.05075
46	-0.20474	1.11663	-1.4853	-1.6009	-0.02503	-0.24016	-0.09167	2.05302	0.41858	-0.52989
47	-1.22133	-0.80464	3.2915	0.29576	-1.01545	0.85362	0.25387	1.69078	0.05201	-0.3414
48	0.10775	-0.30053	-0.19873	0.20553	0.38424	0.2795	0.33175	0.10667	-0.43378	5.0211
49	0.06324	-1.1164	-0.34474	-0.03852	2.47664	0.30992	0.34303	-3.0838	-0.26944	-0.15003
50	-3.87998	0.08673	-0.92651	-0.28277	0.7912	0.06152	0.05675	-0.04378	-0.15055	-0.49744
51	0.06965	-0.24747	-0.30479	0.44796	-0.38039	0.30899	-2.49186	0.15352	-0.07609	2.77728
52	0.03771	-0.07037	1.44405	-0.08627	0.01465	0.65797	-0.20831	-0.02516	3.82295	0.58679
53	-0.28911	0.00076	2.25478	-2.67418	1.08246	0.20249	0.62566	-0.04001	-0.20703	-0.15767
54	1.76926	0.21839	0.4214	-0.18353	-0.23904	0.25714	-0.23697	0.07767	0.53228	0.22643
55	0.19622	-0.064	0.10897	0.79608	-1.20257	-1.10434	0.30441	0.49781	3.11769	-0.29082
56	-0.31973	-1.36087	1.1628	0.16043	-1.01046	2.64181	-0.19644	-0.27073	-0.77847	-0.42009
57	0.04065	-2.4468	-0.45823	0.51819	0.08004	-1.72072	-0.52	0.59359	0.37168	0.78352
58	-2.06255	0.4418	-1.32138	2.39634	-0.89775	1.60514	-1.52266	-0.22682	-0.10606	-0.5798
59	-3.31681	0.85384	-0.27833	0.29965	-1.55139	-0.12387	0.08035	-0.41261	0.26349	-0.21486
60	-0.91388	-0.98165	-1.13409	-1.47777	0.23383	1.1217	-0.27391	-2.83883	0.37536	-0.83449
61	-0.30317	0.03241	-2.27905	0.39328	-0.10539	2.62031	-0.21607	-0.11414	-3.2875	0.16852
62	0.05213	2.18937	0.84015	1.33077	-0.05358	1.3409	-0.09204	0.09985	0.93062	0.59825
63	0.12392	1.59708	0.9337	-0.90451	-0.12543	-2.01707	0.37513	1.94555	0.23099	-0.70055
64	2.13905	0.10191	1.24373	0.51358	1.63431	-0.08351	0.30739	-1.16675	-0.35279	-0.37096
65	0.0812	1.07058	0.15907	-0.09428	-0.14151	-0.4618	-0.21325	0.45456	0.66027	3.37816
66	-1.32206	-0.4821	1.43573	1.74563	2.01948	-1.56545	0.17182	0.45271	0.0628	-0.91456
67	-2.3898	-2.35197	-0.22554	0.33998	-0.79031	0.06321	-0.01377	1.07176	1.19673	0.2861
68	1.24864	-0.63868	-1.0763	-0.28812	1.14537	1.80661	-0.15262	2.16631	1.67133	-0.29882
69	-0.05193	-1.29291	-0.85556	-0.03597	4.35258	-0.32884	0.79375	0.41554	0.35156	-0.57719
70	-0.1198	-0.05545	0.43083	-0.10895	-2.37948	-0.49303	2.75568	-0.04768	-2.33369	-0.07613
71	0.21686	1.41341	-0.42804	-2.70242	0.61944	-0.06463	-0.43017	-0.1903	-2.0755	-0.07512
72	-0.02184	0.4818	0.88869	4.23426	0.32367	-0.16813	-0.0971	-0.93254	0.52898	0.05603
73	-0.15532	0.52294	-1.60824	-0.18328	3.23327	0.1135	-1.45172	-0.0493	-0.23632	-0.25873
74	-0.05867	-2.59416	0.8312	0.31796	-0.30826	0.2373	2.77116	0.02446	0.55313	-0.22707
75	-0.51455	1.30626	-0.77779	2.71737	0.5061	-1.37105	0.15878	0.48821	0.25587	1.37404
76	-0.12972	0.16455	2.0636	0.25143	0.28128	2.95291	0.08582	0.09976	-0.25123	-0.30165
77	-0.04632	-1.3975	-0.14369	0.86661	0.47791	-3.43773	-0.08283	0.40818	-0.40507	1.10291
78	-0.0182	-0.15582	1.34311	0.06751	-0.14121	-0.53351	0.03831	0.5043	2.10788	-0.58678
79	0.39477	-3.04864	-0.45931	2.01978	0.04376	-0.52536	-0.31599	-0.12492	-0.10121	0.11121
80	-0.03452	-0.03057	-0.09894	0.03226	0.01192	-0.48283	-4.65939	-0.11003	-0.3613	0.079
81	-1.31754	0.0574	-0.2971	0.08453	-0.30721	0.86434	2.45968	2.51238	1.23547	-0.50231
82	1.50294	-0.24216	2.28121	-0.90775	1.04895	0.21859	-2.06629	0.08329	0.34822	0.1581
83	0.36466	0.70677	-0.918	2.47546	3.79165	-0.24031	-0.37853	-0.32197	-0.37636	-0.02901
84	-0.12627	-0.04525	-0.82218	-2.26543	-0.84347	-1.03939	0.26653	-2.47079	1.23837	0.75649
85	0.24064	-0.62543	-2.94637	0.47164	1.58428	0.23203	-0.59003	0.3325	-0.13853	0.14422
86	-0.17463	-0.12583	0.85598	-0.17722	1.54264	0.05216	-0.08885	0.88151	0.46255	-0.48946
87	-0.06676	0.36942	0.58086	0.11589	-1.18536	-0.12857	-2.80603	0.00658	1.06034	-0.58457
88	-0.1561	-0.18171	-0.63499	2.6653	0.46641	0.59787	0.30223	-0.53096	1.29943	-0.98973
89	-0.00976	1.04356	0.16697	1.99034	0.23572	2.65679	-0.00325	1.03831	-0.0701	-0.77931
90	0.13381	0.49307	0.04619	-1.68299	0.19455	0.52731	0.14125	0.99717	0.43132	-0.97925
91	-0.1563	1.8791	0.19313	0.23078	0.30029	1.5847	0.36806	-0.91846	-0.10561	2.76858
92	-0.03141	-0.27603	-1.25022	0.76867	0.37696	-1.86725	0.45545	2.35843	0.57733	-0.51505
93	0.19081	-0.02002	0.89507	-0.17124	0.07392	0.07283	-0.47206	-0.03043	-0.34827	-4.29424
94	-0.29	0.04272	-1.72027	-0.11905	0.27361	-1.60983	0.1195	-0.37867	3.50315	0.36605
95	-0.1328	4.18273	0.04841	0.61437	0.60526	-0.23885	-0.05236	-0.82974	-0.29851	0.51121
96	0.03186	-0.24892	0.25614	-0.17325	3.12521	-0.12148	0.07243	-0.3332	-0.04557	-0.77374
97	-0.02997	2.20189	0.2339	0.05403	3.47503	-0.14415	0.23471	0.75477	0.00188	0.06228
98	-1.11961	0.43678	0.61581	-3.07064	-0.02222	-0.20063	-0.19664	-0.1743	1.29354	0.31722

99	0.80714	-0.31437	3.21672	0.05728	0.42811	-0.01624	0.4669	-0.14758	0.79094	-0.49991
100	-0.04883	0.18854	-0.0422	-0.24325	0.33396	0.58096	0.07173	0.18625	4.80098	-0.04774
101	0.12902	0.04455	-0.58451	0.22529	0.18288	-3.31484	0.20874	0.1439	-2.33226	-0.00004
102	1.4006	2.53879	2.23308	-0.40397	-0.91191	0.30424	-0.59309	-0.28013	-0.53681	-0.72096
103	0.14981	-0.34273	-0.1096	-2.08103	-0.55452	1.22105	-2.32346	0.71767	-0.04973	0.88489
104	-0.06296	0.00779	0.37141	-0.07311	-0.43599	-0.69232	0.37183	0.59265	-0.20391	0.76627
105	-0.04811	0.81816	-0.06811	-3.60488	-0.30926	1.2619	-0.25378	-2.67757	0.01421	0.15661
106	-0.18735	-0.29123	-0.1443	-0.17479	-0.2036	1.97977	-0.22341	-0.17369	-2.54577	-0.41017
107	-0.15575	0.64521	-0.20741	-0.06303	2.55173	0.08849	-1.3168	-0.0852	-3.59554	-0.16002
108	0.2538	0.42032	-2.50621	0.13451	-0.06898	2.41402	0.09969	-0.09772	1.45985	0.45849
109	0.19057	-0.22542	-0.16274	-0.19255	-0.085	0.1524	-0.06344	3.54373	-0.19634	0.42005
110	-0.00046	1.3075	0.44001	0.29739	0.12153	0.45681	-0.24122	3.97823	1.01631	-0.5718
111	-1.0474	0.76204	0.79817	0.02734	-0.85256	0.27437	-0.36512	0.45476	-0.34809	-0.26607
112	0.39011	-0.04813	0.28325	-0.40521	-0.26705	0.11646	-1.00205	-0.09658	0.39184	6.38045
113	0.18221	-3.1436	0.56642	-0.41265	2.10605	0.00959	0.32737	-0.30618	-0.46143	0.62372
114	-3.41695	-0.29748	0.93423	0.2968	-0.22186	-0.04393	0.4199	-0.02121	-0.0349	0.24145
115	0.08292	-0.34821	-0.98374	0.76693	-2.30082	0.11379	-2.00866	0.10299	-1.80156	0.49759
116	0.09801	0.02398	0.87932	-0.29853	-0.23085	0.60271	0.283	0.17743	-0.0655	0.11407
117	0.0495	-2.7049	0.93988	-0.84697	-0.47967	-0.45333	-0.34552	-0.60481	0.77467	1.79495
118	2.31525	-1.35283	1.51663	0.46045	-0.94423	0.66598	0.14087	-1.23472	0.07825	0.23723
119	-0.01891	0.01146	0.05543	-0.00939	-0.25309	-0.05408	-0.08464	-0.08331	0.28796	-0.23134
120	0.14456	-1.82728	0.54162	-1.45394	0.65876	2.88044	0.04801	1.52839	0.4177	0.24366
121	0.00192	-1.51934	-0.28914	0.27953	-0.43474	0.3359	0.34038	0.09476	-0.16812	0.55648
122	1.58963	0.62054	-1.19708	0.95618	-0.19293	0.13055	-0.46467	-1.08598	1.0294	-0.00537
123	-1.63945	1.27057	1.17362	0.77949	-0.25979	1.31919	1.09939	-0.59425	-1.04889	0.33754
124	-0.36973	-1.0016	0.18249	0.95907	0.72926	2.32241	0.60848	-2.12186	-0.24255	-0.65101
125	-0.00988	-0.04139	-0.76083	0.14922	0.57784	3.97886	-0.30668	-0.34279	-0.84522	0.11366
126	-0.12785	1.334	0.11998	0.17243	-1.82888	0.49492	0.09101	-0.99747	0.39561	-1.90151
127	0.1718	1.22115	0.41649	2.03242	-0.20785	-2.41295	-0.75	1.09243	0.65831	-2.08726

## 4.A.5 Tables for ER BSAC

Table 4.A.31 – cband\_si\_type parameters

cband_si_type	max_cband_si_len	Largest cband_si		Model listed in	
		0 <sup>th</sup> cband	Other cband	0 <sup>th</sup> cband	Other cband
0	6	6	4	Table 4.A.51	Table 4.A.44
1	5	6	6	Table 4.A.51	Table 4.A.45
2	6	8	4	Table 4.A.51	Table 4.A.44
3	5	8	6	Table 4.A.51	Table 4.A.45
4	6	8	8	Table 4.A.51	Table 4.A.46
5	6	10	4	Table 4.A.51	Table 4.A.44
6	5	10	6	Table 4.A.51	Table 4.A.45
7	6	10	8	Table 4.A.51	Table 4.A.46
8	5	10	10	Table 4.A.51	Table 4.A.47
9	6	12	4	Table 4.A.51	Table 4.A.44
10	5	12	6	Table 4.A.51	Table 4.A.45
11	6	12	8	Table 4.A.51	Table 4.A.46
12	8	12	12	Table 4.A.51	Table 4.A.48
13	6	14	4	Table 4.A.51	Table 4.A.44
14	5	14	6	Table 4.A.51	Table 4.A.45
15	6	14	8	Table 4.A.51	Table 4.A.46
16	8	14	12	Table 4.A.51	Table 4.A.48
17	9	14	14	Table 4.A.51	Table 4.A.49
18	6	15	4	Table 4.A.51	Table 4.A.44
19	5	15	6	Table 4.A.51	Table 4.A.45
20	6	15	8	Table 4.A.51	Table 4.A.46
21	8	15	12	Table 4.A.51	Table 4.A.48
22	10	15	15	Table 4.A.51	Table 4.A.50
23	8	16	12	Table 4.A.51	Table 4.A.48
24	10	16	16	Table 4.A.51	Table 4.A.50
25	9	17	14	Table 4.A.51	Table 4.A.49
26	10	17	17	Table 4.A.51	Table 4.A.50
27	10	18	18	Table 4.A.51	Table 4.A.50
28	12	19	19	Table 4.A.51	Table 4.A.50
29	12	20	20	Table 4.A.51	Table 4.A.50
30	12	21	21	Table 4.A.51	Table 4.A.50
31	12	22	22	Table 4.A.51	Table 4.A.50

Table 4.A.32 – Scalefactor model parameters

scf_model	Largest Differential ArModel	Model listed in
0	0	not used
1	3	Table 4.A.37
2	7	Table 4.A.38
3	15	Table 4.A.39
4	15	Table 4.A.40
5	31	Table 4.A.41
6	31	Table 4.A.42
7	63	Table 4.A.43

Table 4.A.33 – BSAC cband\_si parameters

cband_si	MSB plane	Table listed in	cband_si	MSB plane	Table listed in
0	0	-	12	6	Table 4.A.67
1	1	Table 4.A.56	13	7	Table 4.A.68
2	1	Table 4.A.57	14	7	Table 4.A.69
3	2	Table 4.A.58	15	8	Table 4.A.70
4	2	Table 4.A.59	16	9	Table 4.A.71
5	3	Table 4.A.60	17	10	Table 4.A.72
6	3	Table 4.A.61	18	11	Table 4.A.73
7	4	Table 4.A.62	19	12	Table 4.A.74
8	4	Table 4.A.63	20	13	Table 4.A.75
9	5	Table 4.A.64	21	14	Table 4.A.76
10	5	Table 4.A.65	22	15	Table 4.A.77
11	6	Table 4.A.66			

Table 4.A.34 – Position of probability value in probability table

				h	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1
				g	0	0	0	0	1	1	1	1	0	0	0	0	1	1	1
				f	0	0	1	1	0	0	1	1	0	0	1	1	0	0	1
				e	0	1	0	1	0	1	0	1	0	1	0	1	0	1	0
a	b	c	d																
0	x	x	x	0	15	22	29	32	39	42	45								
1	x	x	0	1	16	23	30					46	53	56	59				
	x	x	1	2	17	24	31					46	53	56	59				
2	x	0	0	3	18			33	40			47	54			60	63		
	x	0	1	4	19			33	40			48	55			60	63		
	x	1	0	5	20			34	41			47	54			60	63		
	x	1	1	6	21			34	41			48	55			60	63		
3	0	0	0	7			25	35	43	49			57	61			64		
	0	0	1	8			25	36	43	50			57	62			64		
	0	1	0	9			26	35	43	51			58	61			64		
	0	1	1	10			26	36	43	52			58	62			64		
	1	0	0	11			27	37	44	49			57	61			64		
	1	0	1	12			27	38	44	50			57	62			64		
	1	1	0	13			28	37	44	51			58	61			64		
	1	1	1	14			28	38	44	52			58	62			64		

where, i = spectral index

a = i % 4

b = the sliced bit of (i-3)th spectral data whose significance is same with that of i-th spectral data

c = the sliced bit of (i-2)th spectral data whose significance is same with that of i-th spectral data

d = the sliced bit of (i-1)th spectral data whose significance is same with that of i-th spectral data

e = whether the higher bits of the (i-a+3)th spectral data whose significance is larger than that of i-th spectral data is nonzero (1) or zero(0)

f = whether the higher bits of the (i-a+2)th spectral data whose significance is larger than that of i-th spectral data is nonzero (1) or zero(0)

g = whether the higher bits of the (i-a+1)th spectral data whose significance is larger than that of i-th spectral data is nonzero (1) or zero(0)

h = whether the higher bits of the (i-a)th spectral data whose significance is larger than that of i-th spectral data is nonzero (1) or zero(0)

**Table 4.A.35 – The minimum probability(min\_p0) in proportion to the available length of the layer**

Available length	1	2	3	4	5	6	7	8	9	10	11	12	13
min_p0 (hexadecimal)	2000	1000	800	400	200	100	80	40	20	10	8	4	2

**Table 4.A.36 – The maximum probability(max\_p0) in proportion to the available length of the layer**

Available length	1	2	3	4	5	6	7	8	9	10	11	12	13
max_p0 (hexadecimal)	2000	3000	3800	3C00	3E00	3F00	3F80	3FC0	3FE0	3FF0	3FF8	3FFC	3FFE

**Table 4.A.37 – Scalefactor arithmetic model 1**

size	cumulative frequencies (hexadecimal)												
4	752,	3cd,	14d,	0,									

**Table 4.A.38 – Scalefactor arithmetic model 2**

size	cumulative frequencies (hexadecimal)												
8	112f,	de7,	a8b,	7c1,	47a,	23a,	d4,	0,					

**Table 4.A.39 – Scalefactor arithmetic model 3**

size	cumulative frequencies (hexadecimal)												
16	1f67,	1c5f,	18d8,	1555,	1215,	eb4,	adc,	742,					
	408,	1e6,	df,	52,	32,	23,	c,	0,					

**Table 4.A.40 – Scalefactor arithmetic model 4**

size	cumulative frequencies (hexadecimal)												
16	250f,	22b8,	2053,	1deb,	1b05,	186d,	15df,	12d9,					
	f77,	c01,	833,	50d,	245,	8c,	33,	0,					

**Table 4.A.41 – Scalefactor arithmetic model 5**

size	cumulative frequencies (hexadecimal)												
32	8a8,	74e,	639,	588,	48c,	3cf,	32e,	272,					
	1bc,	13e,	e4,	97,	69,	43,	2f,	29,					
	20,	1b,	18,	15,	12,	f,	d,	c,					
	a,	9,	7,	6,	4,	3,	1,	0,					

**Table 4.A.42 – Scalefactor arithmetic model 6**

size	cumulative frequencies (hexadecimal)												
32	c2a,	99f,	809,	6ec,	603,	53d,	491,	40e,					
	394,	30a,	2a5,	259,	202,	1bc,	170,	133,					
	102,	c9,	97,	73,	4f,	37,	22,	16,					
	f,	b,	9,	7,	5,	3,	1,	0,					

**Table 4.A.43 – Scalefactor arithmetic model 7**

size	cumulative frequencies (hexadecimal)							
64	3b5e,	3a90,	39d3,	387c,	3702,	3566,	33a7,	321c,
	2f90,	2cf2,	29fe,	26fa,	23e4,	20df,	1e0d,	1ac4,
	1804,	159a,	131e,	10e7,	e5b,	c9c,	b78,	a21,
	8fd,	7b7,	6b5,	62c,	55d,	4f6,	4d4,	44b,
	38e,	2e2,	29d,	236,	225,	1f2,	1cf,	1ad,
	19c,	179,	168,	157,	146,	135,	123,	112,
	101,	f0,	df,	ce,	bc,	ab,	9a,	89,
	78,	67,	55,	44,	33,	22,	11,	0,

**Table 4.A.44 – cband\_si arithmetic model 0**

size	cumulative frequencies (hexadecimal)				
5	3ef6,	3b59,	1b12,	12a3,	0,

**Table 4.A.45 – cband\_si arithmetic model 1**

size	cumulative frequencies (hexadecimal)						
7	3d51,	33ae,	1cff,	fb7,	7e4,	22b,	0,

**Table 4.A.46 – cband\_si arithmetic model 2**

size	cumulative frequencies (hexadecimal)							
9	3a47,	2aec,	1e05,	1336,	e7d,	860,	5e0,	44a,
	0,							

**Table 4.A.47 – cband\_si arithmetic model 3**

size	cumulative frequencies (hexadecimal)							
11	36be,	27ae,	20f4,	1749,	14d5,	d46,	ad3,	888,
	519,	20b,	0,					

**Table 4.A.48 – cband\_si arithmetic model 4**

size	cumulative frequencies (hexadecimal)							
13	3983,	2e77,	2b03,	1ee8,	1df9,	1307,	11e4,	b4d,
	94c,	497,	445,	40,	0,			

**Table 4.A.49 – cband\_si arithmetic model 5**

size	cumulative frequencies (hexadecimal)							
15	306f,	249e,	1f56,	1843,	161a,	102d,	f6c,	c81,
	af2,	7a8,	71a,	454,	413,	16,	0,	

**Table 4.A.50 – cband\_si arithmetic model 6**

size	cumulative frequencies (hexadecimal)							
23	31af,	2001,	162d,	127e,	f05,	c34,	b8f,	a61,
	955,	825,	7dd,	6a9,	688,	55b,	54b,	2f7,
	198,	77,	10,	c,	8,	4,	0,	

**Table 4.A.51 – cband\_si arithmetic model for 0<sup>th</sup> coding band**

size	cumulative frequencies (hexadecimal)							
23	3ff8, 3074, 30,	3ff0, 2bcf, 28,	3fe8, 231b, 20,	3fe0, 13db, 18,	3fd7, d51, 10,	3f31, 603, 8,	3cd7, 44c, 0,	3bc9, 80,

**Table 4.A.52 – MS\_used model**

size	Cumulative frequencies (hexadecimal)	
2	2CCD,	0,

**Table 4.A.53 – stereo\_info model**

size	Cumulative frequencies(hexadecimal)			
4	3666,	1000,	666,	0,

**Table 4.A.54 – noise\_flag arithmetic model**

size	Cumulative frequencies(hexadecimal)	
2	2000,	0,

**Table 4.A.55 – noise\_mode arithmetic model**

size	cumulative frequencies(hexadecimal)			
4	3000,	2000,	1000,	0,

**Table 4.A.56 – BSAC probability table 1**

(MSB plane = 1)

Significance	Probability Value of symbol '0' (Hexadecimal)							
1	3900, 3000,	3a00, 3600,	2f00, 2d00,	3b00, 3900,	2f00, 2f00,	3700, 3700,	2c00, 2c00,	3b00,

**Table 4.A.57 – BSAC probability table 2**

(MSB plane = 1)

Significance	Probability Value of symbol '0' (Hexadecimal)							
1	2800, 2700,	2800, 2800,	2500, 2400,	2900, 2800,	2600, 2500,	2700, 2600,	2300, 2200,	2a00,

**Table 4.A.58 – BSAC probability table 3**

(MSB plane = 2)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
2	zero	3d00, 3200,	3d00, 3b00,	3300, 3100,	3d00, 3e00,	3300, 3700,	3b00, 3c00,	3300, 3300,	3d00,
1	zero	3700, 2500, 2c00, 1a00, 1e00, 1300, 2300, 1400, 2200,	3a00, 2b00, 1d00, 1d00, 1f00, 1a00, 3600, 2100,	2800, 2400, 2200, 1900, 1c00, 2000, 2800, 2200,	3b00, 3100, 1a00, 1c00, 2b00, 1800, 3100, 1000,	2600, 2300, 1c00, 1e00, 2400, 2300, 2500, 1e00,	2c00, 2900, 1600, 2c00, 2900, 2500, 1400,	2400, 2300, 2700, 2400, 2700, 2500, 1f00, 1200,	3a00, 3000, 2200, 1900, 2400, 2c00, 1800, 1200,
		3100,							
		non-zero	3100,						

**Table 4.A.59 – BSAC probability table 4**

(MSB plane = 2)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
2	zero	3900, 3000,	3a00, 3500,	2e00, 2c00,	3a00, 3600,	2f00, 2b00,	3400, 3100,	2a00, 2500,	3a00,
1	zero	1e00, 1e00, 1a00, 1700, 1700, 1c00, 1a00, 1400, 1400,	1d00, 1e00, 1800, 1700, 1800, 1500, 1e00, 1600,	1c00, 1a00, 1800, 1900, 1600, 1600, 1800, 1500,	1d00, 1e00, 1800, 1800, 1c00, 0f00, 1c00, 1700,	1c00, 1c00, 1700, 1600, 1800, 1b00, 1b00,	1d00, 1d00, 1700, 1700, 1900, 1400, 1500, 1b00,	1b00, 1b00, 1800, 1600, 1700, 1700, 1300, 1800,	1d00, 1a00, 1a00, 1500, 1500, 1a00, 1500, 1400,
		3600,							
		non-zero	3600,						

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**Table 4.A.60 – BSAC probability table 5**  
(MSB plane = 3)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
3	zero	3d00, 3500,	3d00, 3c00,	3200, 3500,	3d00, 3f00,	3300, 3b00,	3d00, 3f00,	3600, 3d00,	3d00,
2	zero	3c00, 2b00, 3400, 1a00, 2600, 1800, 3000, 1900, 3100,	3d00, 3400, 2400, 2a00, 2500, 1600, 3c00, 2900,	2b00, 2b00, 2a00, 2200, 2700, 2900, 3300, 2a00,	3d00, 3800, 1c00, 2b00, 3500, 2500, 3b00, 2400,	2900, 2b00, 1f00, 2a00, 2d00, 3100, 3400, 2700,	3500, 3700, 1600, 3500, 3800, 2c00, 1700, 3c00,	2c00, 2a00, 3500, 2600, 3200, 2300, 1a00, 3600,	3d00, 3900, 2500, 1a00, 2e00, 3600, 1c00, 1d00,
	non-zero	3100,							
1	zero	3400, 2500, 2300, 1b00, 1900, 1200, 1e00, 1300, 1a00,	3800, 2d00, 1a00, 1c00, 1b00, 1b00, 1400, 3000, 1e00, 1a00,	2700, 2000, 1a00, 1b00, 1a00, 1a00, 1a00, 2900, 1f00,	3900, 3300, 1b00, 1a00, 1d00, 1300, 2d00, 1100,	2700, 2000, 1800, 1800, 1e00, 1c00, 2500, 1900,	2f00, 2900, 1700, 1d00, 1f00, 1b00, 1300, 2100,	2200, 1e00, 1e00, 1b00, 1b00, 1900, 1700, 1e00,	3800, 2b00, 1c00, 1800, 1e00, 2000, 1400, 1500,
	non-zero	2a00,	2b00,	2800,					

**Table 4.A.61 – BSAC probability table 6**  
(MSB plane = 3)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
3	zero	3800, 2d00,	3a00, 3600,	2d00, 2b00,	3a00, 3a00,	2d00, 2800,	3600, 3600,	2d00, 2700,	3a00,
2	zero	2b00, 2500, 2900, 1f00, 1d00, 1800, 2800, 1c00, 1a00,	3000, 2b00, 2300, 1d00, 1f00, 1a00, 2f00, 1e00,	2500, 2400, 2200, 2200, 1f00, 1d00, 2300, 2100,	2f00, 2d00, 1e00, 1b00, 2900, 2000, 2f00, 1700,	2600, 2500, 1b00, 1800, 2600, 1c00, 2600, 2200,	2d00, 2800, 1900, 2100, 2a00, 1a00, 1d00, 2300,	2400, 2500, 2600, 2100, 2100, 1e00, 1700, 2300,	3000, 2a00, 2300, 1d00, 2300, 2900, 1d00, 1400,
	non-zero	3000,							
1	zero	1900, 1900, 1700, 1600, 1300, 1400, 1600, 1300, 1300,	1900, 1600, 1500, 1600, 1600, 1400, 1f00, 1400,	1900, 1800, 1500, 1200, 1600, 1500, 1a00, 1300,	1b00, 1e00, 1500, 1300, 1c00, 1400, 1e00, 1100,	1700, 1900, 1700, 1200, 1400, 1300, 1800, 1500,	1b00, 1a00, 1400, 1600, 1700, 1300, 1700, 1600,	1a00, 1700, 1900, 1500, 1600, 1500, 1600, 1500,	1000, 1b00, 1700, 1500, 1400, 1800, 1600, 1200,
	non-zero	2b00,	2800,	2700,					

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**Table 4.A.62 – BSAC probability table 7**  
(MSB plane = 4)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
MSB	zero	3d00, 3200,	3d00, 3f00,	3500, 3a00,	3e00, 3f00,	3500, 3d00,	3f00, 3f00,	3b00, 3b00,	3e00,
MSB-1	zero	3f00, 2d00, 3900, 1b00, 2800, 1a00, 3800, 1800, 3500,	3f00, 3c00, 2600, 2600, 2f00, 3300, 3f00, 3b00, 3b00,	3200, 3000, 2f00, 2300, 2500, 2500, 2800, 3a00, 1200,	3f00, 3f00, 1e00, 3a00, 3e00, 2800, 3f00, 3f00, 1200,	3500, 3700, 2400, 3900, 3700, 3c00, 3a00, 2f00,	3e00, 3e00, 1500, 3e00, 3e00, 3800, 1e00, 3f00,	3700, 3400, 3700, 2b00, 3d00, 2c00, 1b00, 3b00,	3f00, 3f00, 3100, 2200, 3900, 3d00, 1800, 1b00,
	non-zero	2F00,							
MSB-2	zero	3c00, 2c00, 3100, 2800, 2100, 1800, 2b00, 1900, 2b00,	3e00, 3900, 2100, 2400, 2b00, 1800, 3e00, 3400,	3000, 2e00, 2c00, 2600, 2200, 2100, 2700, 1f00, 3500,	3e00, 3c00, 2600, 2100, 3200, 1e00, 3d00, 1c00,	3100, 2d00, 2800, 2300, 2d00, 2e00, 3a00, 2600,	3a00, 3c00, 1d00, 2d00, 3400, 2a00, 1e00, 3300,	3100, 3100, 2b00, 2500, 2a00, 2400, 2b00, 2a00,	3d00, 3d00, 2800, 1f00, 3500, 3000, 2600, 1c00,
	non-zero	2800, 2900, 2400,							
others	zero	3500, 2600, 2700, 1b00, 1e00, 1b00, 2200, 1900, 1b00,	3b00, 2f00, 1c00, 1d00, 2400, 1500, 3700, 2500,	2900, 2400, 2400, 2000, 2100, 1b00, 2f00, 2300,	3b00, 3400, 1c00, 1b00, 2b00, 1400, 3200, 1500,	2a00, 2300, 1c00, 1a00, 2100, 1a00, 2a00, 1900,	3100, 2d00, 1900, 2300, 2800, 1a00, 1700, 2500,	2700, 2000, 2700, 1d00, 2000, 2000, 1700, 2200,	3b00, 3300, 2800, 1700, 2300, 2a00, 1600, 1400,
	non-zero	2d00, 2500, 2300,	2500, 2300,	2500, 2500,	2500, 2500,	2600, 2600,	2400, 2400,		

**Table 4.A.63 – BSAC probability table 8**  
(MSB plane = 4)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
MSB	zero	3b00, 3200,	3c00, 3a00,	3400, 3100,	3c00, 3c00,	3400, 3000,	3a00, 3900,	3000, 2f00,	3c00,
MSB-1	zero	3500, 2e00, 3100, 2000, 2500, 1e00, 2c00, 1b00, 2400,	3800, 3400, 2600, 2600, 2400, 1c00, 3700, 2900,	2c00, 2d00, 2900, 2300, 2400, 2500, 2b00, 2a00,	3900, 3600, 2000, 2500, 3000, 1d00, 3400, 1d00,	2c00, 2a00, 2300, 2100, 2800, 2300, 2c00, 2600,	3400, 3300, 1f00, 2c00, 3000, 2300, 1e00, 3200,	2b00, 2800, 2d00, 2400, 2900, 2500, 1c00, 2a00,	3800, 3100, 2600, 1d00, 2200, 3300, 2100, 2000,
	non-zero	3200,							
		2900, 2500,	2e00, 2b00,	2600, 2600,	2f00, 2f00,	2600, 2300,	2d00, 2a00,	2600, 2300,	2e00, 2800,

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MSB-2	zero	2800, 1c00, 1e00, 1a00, 2500, 1a00, 1c00,	2100, 2100, 2100, 1a00, 2d00, 1b00,	2400, 2200, 2100, 2100, 2700, 1d00,	2000, 1d00, 2900, 2100, 2a00, 1800,	2000, 1c00, 2200, 1c00, 2300, 2000,	1b00, 1f00, 2300, 1c00, 1c00, 2300,	2400, 1c00, 2100, 1f00, 1d00, 1f00,	1f00, 1900, 1c00, 2700, 1a00, 1900,
	non-zero	2b00, 2900, 2800,							
others	zero	1c00, 1f00, 1a00, 1900, 1600, 1500, 1a00, 1400, 1400,	1e00, 1f00, 1900, 1700, 1800, 1500, 2300, 1800,	1b00, 1900, 1800, 1700, 1a00, 1600, 1c00, 1500,	1e00, 2000, 1900, 1700, 1c00, 1600, 1d00, 1300,	1c00, 1a00, 1800, 1800, 1c00, 1500, 1a00, 1700,	1e00, 1f00, 1600, 1600, 1c00, 1400, 1600, 1900,	1900, 1700, 1900, 1700, 1700, 1700, 1600, 1600,	1a00, 1b00, 1a00, 1400, 1700, 1b00, 1500, 1400,
	non-zero	2800, 2500, 2500, 2700, 2500, 2600, 2500,							

**Table 4.A.64 – BSAC probability table 9**

(MSB plane = 5)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
MSB	zero	3d00, 3400,	3e00, 3e00,	3300, 3500,	3e00, 3f00,	3500, 3d00,	3e00, 3f00,	3700, 3c00,	3e00,
	non-zero	2e00,							
MSB-1	zero	same as BSAC probability Table 7 (see Table 4.A.62)							
	non-zero	2e00,							
MSB-2	zero	same as BSAC probability table 7 (see Table 4.A.62)							
	non-zero	2900,	2a00,	2700,					
MSB-3	zero	same as BSAC probability table 7 (see Table 4.A.62)							
	non-zero	2d00,	2500,	2400,	2500,	2400,	2500,	2300,	
others	zero	same as BSAC probability table 7 (see Table 4.A.62)							
	non-zero	2800, 2200,	2500, 2200,	2300, 2200,	2300, 2100,	2200, 2000,	2200, 2200,	2200, 2100,	2200, 2000

**Table 4.A.65 – BSAC probability table 10**

(MSB plane = 5)

Significance	decoded higher bits	Probability Value of symbol '0' (Hexadecimal)							
MSB	zero	3b00, 3400,	3c00, 3900,	3400, 2f00,	3c00, 3c00,	3200, 2d00,	3900, 3700,	2e00, 2d00,	3d00,
	non-zero	3100,							
MSB-1	zero	same as BSAC probability table 8 (see Table 4.A.63)							
	non-zero	3100,							
MSB-2	zero	same as BSAC probability table 8 (see Table 4.A.63)							
	non-zero	2b00,	2a00,	2900,					
MSB-3	zero	same as BSAC probability table 8 (see Table 4.A.63)							
	non-zero	2700,	2600,	2500,	2500,	2500,	2200,	2200,	
others	zero	same as BSAC probability table 8 (see Table 4.A.63)							
	non-zero	2200, 2200,	2300, 2200,	2300, 2200,	2300, 2200,	2200, 2200,	2300, 2000,	2200, 2100,	2300, 2200

**Table 4.A.66 – BSAC probability Table 11**

Same as BSAC probability Table 9, but MSB plane = 6

**Table 4.A.67 – BSAC probability Table 12**  
 Same as BSAC probability Table 10, but MSB plane = 6

**Table 4.A.68 – BSAC probability Table 13**  
 Same as BSAC probability Table 9, but MSB plane = 7

**Table 4.A.69 – BSAC probability Table 14**  
 Same as BSAC probability Table 10, but MSB plane = 7

**Table 4.A.70 – BSAC probability Table 15**  
 Same as BSAC probability Table 9, but MSB plane = 8

**Table 4.A.71 – BSAC probability Table 16**  
 Same as BSAC probability Table 9, but MSB plane = 9

**Table 4.A.72 – BSAC probability Table 17**  
 Same as BSAC probability Table 9, but MSB plane = 10

**Table 4.A.73 – BSAC probability Table 18**  
 Same as BSAC probability Table 9, but MSB plane = 11

**Table 4.A.74 – BSAC probability Table 19**  
 Same as BSAC probability Table 9, but MSB plane = 12

**Table 4.A.75 – BSAC probability Table 20**  
 Same as BSAC probability Table 9, but MSB plane = 13

**Table 4.A.76 – BSAC probability Table 21**  
 Same as BSAC probability Table 9, but MSB plane = 14

**Table 4.A.77 – BSAC probability Table 22**  
 Same as BSAC probability Table 9, but MSB plane = 15

## 4.A.6 Tables for SBR

### 4.A.6.1 SBR Huffman tables

The function *sbr\_huff\_dec()* is used as:

*data* = *sbr\_huff\_dec*(*t\_huff*, *codeword*),

where *t\_huff* is the selected Huffman table and *codeword* is the word read from the bitstream payload. The returned value *data*, is a Huffman table index corresponding to a specific code word, with the largest absolute value (LAV) of the table subtracted.

Huffman table overview:

Table 4.A.78

table name	df_env_flag	df_noise_flag	amp_res	LAV	Notes
t_huffman_env_1_5dB	0	dc	0	60	Note 1
f_huffman_env_1_5dB	1	dc	0	60	
t_huffman_env_bal_1_5dB	0	dc	0	24	
f_huffman_env_bal_1_5dB	1	dc	0	24	
t_huffman_env_3_0dB	0	dc	1	31	
f_huffman_env_3_0dB	1	dc	1	31	
t_huffman_env_bal_3_0dB	0	dc	1	12	
f_huffman_env_bal_3_0dB	1	dc	1	12	
t_huffman_noise_3_0dB	dc	0	dc	31	
f_huffman_noise_3_0dB	dc	1	dc	31	Note 2
t_huffman_noise_bal_3_0dB	dc	0	dc	12	
f_huffman_noise_bal_3_0dB	dc	1	dc	12	Note 2
Note 1: dc (don't care), indicates that the variable is not relevant.					
Note 2: The Huffman tables of f_huffman_noise_3_0dB and f_huffman_noise_bal_3_0dB are the same as for f_huffman_env_3_0dB and f_huffman_env_bal_3_0dB, respectively.					

Table 4.A.79 – t\_huffman\_env\_1\_5dB

index	Length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000012	0x0003FFD6	61	0x00000003	0x00000004
1	0x00000012	0x0003FFD7	62	0x00000004	0x0000000C
2	0x00000012	0x0003FFD8	63	0x00000005	0x0000001C
3	0x00000012	0x0003FFD9	64	0x00000006	0x0000003C
4	0x00000012	0x0003FFDA	65	0x00000007	0x0000007C
5	0x00000012	0x0003FFDB	66	0x00000008	0x000000FC
6	0x00000013	0x0007FFB8	67	0x00000009	0x000001FC
7	0x00000013	0x0007FFB9	68	0x0000000A	0x000003FD
8	0x00000013	0x0007FFBA	69	0x0000000C	0x00000FFA
9	0x00000013	0x0007FFBB	70	0x0000000D	0x00001FF8
10	0x00000013	0x0007FFBC	71	0x0000000E	0x00003FF6
11	0x00000013	0x0007FFBD	72	0x0000000E	0x00003FF8
12	0x00000013	0x0007FFBE	73	0x0000000F	0x00007FF5
13	0x00000013	0x0007FFBF	74	0x00000010	0x0000FFEF
14	0x00000013	0x0007FFC0	75	0x00000011	0x0001FFE8
15	0x00000013	0x0007FFC1	76	0x00000010	0x0000FFF2
16	0x00000013	0x0007FFC2	77	0x00000013	0x0007FFD4
17	0x00000013	0x0007FFC3	78	0x00000013	0x0007FFD5
18	0x00000013	0x0007FFC4	79	0x00000013	0x0007FFD6
19	0x00000013	0x0007FFC5	80	0x00000013	0x0007FFD7
20	0x00000013	0x0007FFC6	81	0x00000013	0x0007FFD8
21	0x00000013	0x0007FFC7	82	0x00000013	0x0007FFD9
22	0x00000013	0x0007FFC8	83	0x00000013	0x0007FFDA
23	0x00000013	0x0007FFC9	84	0x00000013	0x0007FFDB
24	0x00000013	0x0007FFCA	85	0x00000013	0x0007FFDC
25	0x00000013	0x0007FFCB	86	0x00000013	0x0007FFDD
26	0x00000013	0x0007FFCC	87	0x00000013	0x0007FFDE
27	0x00000013	0x0007FFCD	88	0x00000013	0x0007FFDF
28	0x00000013	0x0007FFCE	89	0x00000013	0x0007FFE0
29	0x00000013	0x0007FFCF	90	0x00000013	0x0007FFE1
30	0x00000013	0x0007FFD0	91	0x00000013	0x0007FFE2
31	0x00000013	0x0007FFD1	92	0x00000013	0x0007FFE3
32	0x00000013	0x0007FFD2	93	0x00000013	0x0007FFE4
33	0x00000013	0x0007FFD3	94	0x00000013	0x0007FFE5
34	0x00000011	0x0001FFE6	95	0x00000013	0x0007FFE6
35	0x00000012	0x0003FFD4	96	0x00000013	0x0007FFE7
36	0x00000010	0x0000FFF0	97	0x00000013	0x0007FFE8
37	0x00000011	0x0001FFE9	98	0x00000013	0x0007FFE9
38	0x00000012	0x0003FFD5	99	0x00000013	0x0007FFEA
39	0x00000011	0x0001FFE7	100	0x00000013	0x0007FFEB
40	0x00000010	0x0000FFF1	101	0x00000013	0x0007FFEC
41	0x00000010	0x0000FFEC	102	0x00000013	0x0007FFED
42	0x00000010	0x0000FFED	103	0x00000013	0x0007FFEE
43	0x00000010	0x0000FFEE	104	0x00000013	0x0007FFEF
44	0x0000000F	0x00007FF4	105	0x00000013	0x0007FFF0
45	0x0000000E	0x00003FF9	106	0x00000013	0x0007FFF1
46	0x0000000E	0x00003FF7	107	0x00000013	0x0007FFF2
47	0x0000000D	0x00001FFA	108	0x00000013	0x0007FFF3

48	0x0000000D	0x00001FF9	109	0x00000013	0x0007FFF4
49	0x0000000C	0x00000FFB	110	0x00000013	0x0007FFF5
50	0x0000000B	0x000007FC	111	0x00000013	0x0007FFF6
51	0x0000000A	0x000003FC	112	0x00000013	0x0007FFF7
52	0x00000009	0x000001FD	113	0x00000013	0x0007FFF8
53	0x00000008	0x000000FD	114	0x00000013	0x0007FFF9
54	0x00000007	0x0000007D	115	0x00000013	0x0007FFFA
55	0x00000006	0x0000003D	116	0x00000013	0x0007FFFB
56	0x00000005	0x0000001D	117	0x00000013	0x0007FFFC
57	0x00000004	0x0000000D	118	0x00000013	0x0007FFFD
58	0x00000003	0x00000005	119	0x00000013	0x0007FFFE
59	0x00000002	0x00000001	120	0x00000013	0x0007FFFF
60	0x00000002	0x00000000			

Table 4.A.80 – f\_huffman\_env\_1\_5dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000013	0x0007FFE7	61	0x00000003	0x00000004
1	0x00000013	0x0007FFE8	62	0x00000004	0x0000000D
2	0x00000014	0x000FFFD2	63	0x00000005	0x0000001D
3	0x00000014	0x000FFFD3	64	0x00000006	0x0000003D
4	0x00000014	0x000FFFD4	65	0x00000008	0x000000FA
5	0x00000014	0x000FFFD5	66	0x00000008	0x000000FC
6	0x00000014	0x000FFFD6	67	0x00000009	0x000001FB
7	0x00000014	0x000FFFD7	68	0x0000000A	0x000003FA
8	0x00000014	0x000FFFD8	69	0x0000000B	0x000007F8
9	0x00000013	0x0007FFDA	70	0x0000000B	0x000007FA
10	0x00000014	0x000FFFD9	71	0x0000000B	0x000007FB
11	0x00000014	0x000FFFDA	72	0x0000000C	0x00000FF9
12	0x00000014	0x000FFFDDB	73	0x0000000C	0x00000FFB
13	0x00000014	0x000FFFDCC	74	0x0000000D	0x00001FF8
14	0x00000013	0x0007FFDB	75	0x0000000D	0x00001FFB
15	0x00000014	0x000FFFDDE	76	0x0000000E	0x00003FF8
16	0x00000013	0x0007FFDC	77	0x0000000E	0x00003FF9
17	0x00000013	0x0007FFDD	78	0x00000010	0x0000FFF1
18	0x00000014	0x000FFFDE	79	0x00000010	0x0000FFF2
19	0x00000012	0x0003FFE4	80	0x00000011	0x0001FFE4
20	0x00000014	0x000FFFDFF	81	0x00000011	0x0001FFEB
21	0x00000014	0x000FFFE0	82	0x00000012	0x0003FFE1
22	0x00000014	0x000FFFE1	83	0x00000012	0x0003FFE2
23	0x00000013	0x0007FFDE	84	0x00000012	0x0003FFE4
24	0x00000014	0x000FFFE2	85	0x00000012	0x0003FFE3
25	0x00000014	0x000FFFE3	86	0x00000012	0x0003FFE6
26	0x00000014	0x000FFFE4	87	0x00000012	0x0003FFE7
27	0x00000013	0x0007FFDF	88	0x00000012	0x0003FFEB
28	0x00000014	0x000FFFE5	89	0x00000014	0x000FFFE6
29	0x00000013	0x0007FFE0	90	0x00000013	0x0007FFE2
30	0x00000012	0x0003FFE8	91	0x00000014	0x000FFFE7
31	0x00000013	0x0007FFE1	92	0x00000014	0x000FFFE8

32	0x00000012	0x0003FFE0	93	0x00000014	0x000FFFE9
33	0x00000012	0x0003FFE9	94	0x00000014	0x000FFFEA
34	0x00000011	0x0001FFEF	95	0x00000014	0x000FFFEB
35	0x00000012	0x0003FFE5	96	0x00000014	0x000FFFE3
36	0x00000011	0x0001FFEC	97	0x00000013	0x0007FFE3
37	0x00000011	0x0001FFED	98	0x00000014	0x000FFFE4
38	0x00000011	0x0001FFEE	99	0x00000014	0x000FFFE5
39	0x00000010	0x0000FFF4	100	0x00000014	0x000FFFE6
40	0x00000010	0x0000FFF3	101	0x00000014	0x000FFFE7
41	0x00000010	0x0000FFF0	102	0x00000013	0x0007FFE4
42	0x0000000F	0x00007FF7	103	0x00000014	0x000FFFE8
43	0x0000000F	0x00007FF6	104	0x00000012	0x0003FFEC
44	0x0000000E	0x00003FFA	105	0x00000014	0x000FFFE9
45	0x0000000D	0x00001FFA	106	0x00000014	0x000FFFEA
46	0x0000000D	0x00001FF9	107	0x00000013	0x0007FFE5
47	0x0000000C	0x00000FFA	108	0x00000013	0x0007FFE6
48	0x0000000C	0x00000FF8	109	0x00000014	0x000FFFE3
49	0x0000000B	0x000007F9	110	0x00000014	0x000FFFE4
50	0x0000000A	0x000003FB	111	0x00000014	0x000FFFE5
51	0x00000009	0x000001FC	112	0x00000014	0x000FFFE6
52	0x00000009	0x000001FA	113	0x00000014	0x000FFFE7
53	0x00000008	0x000000FB	114	0x00000014	0x000FFFE8
54	0x00000007	0x0000007C	115	0x00000014	0x000FFFE9
55	0x00000006	0x0000003C	116	0x00000014	0x000FFFEA
56	0x00000005	0x0000001C	117	0x00000014	0x000FFFE3
57	0x00000004	0x0000000C	118	0x00000014	0x000FFFE4
58	0x00000003	0x00000005	119	0x00000014	0x000FFFE5
59	0x00000002	0x00000001	120	0x00000014	0x000FFFE6
60	0x00000002	0x00000000			

Table 4.A.81 – t\_huffman\_env\_bal\_1\_5dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000010	0x0000FFE4	25	0x00000002	0x00000002
1	0x00000010	0x0000FFE5	26	0x00000004	0x0000000E
2	0x00000010	0x0000FFE6	27	0x00000006	0x0000003E
3	0x00000010	0x0000FFE7	28	0x00000008	0x000000FE
4	0x00000010	0x0000FFE8	29	0x0000000B	0x000007FD
5	0x00000010	0x0000FFE9	30	0x0000000C	0x00000FFD
6	0x00000010	0x0000FFEA	31	0x0000000F	0x00007FF0
7	0x00000010	0x0000FFEB	32	0x00000010	0x0000FFE3
8	0x00000010	0x0000FFEC	33	0x00000010	0x0000FFF5
9	0x00000010	0x0000FFED	34	0x00000010	0x0000FFF6
10	0x00000010	0x0000FFEE	35	0x00000010	0x0000FFF7
11	0x00000010	0x0000FFEF	36	0x00000010	0x0000FFF8
12	0x00000010	0x0000FFF0	37	0x00000010	0x0000FFF9
13	0x00000010	0x0000FFF1	38	0x00000010	0x0000FFFA
14	0x00000010	0x0000FFF2	39	0x00000011	0x0001FFF6
15	0x00000010	0x0000FFF3	40	0x00000011	0x0001FFF7
16	0x00000010	0x0000FFF4	41	0x00000011	0x0001FFF8
17	0x00000010	0x0000FFE2	42	0x00000011	0x0001FFF9
18	0x0000000C	0x0000FFC	43	0x00000011	0x0001FFFA
19	0x0000000B	0x000007FC	44	0x00000011	0x0001FFFB
20	0x00000009	0x000001FE	45	0x00000011	0x0001FFFC
21	0x00000007	0x0000007E	46	0x00000011	0x0001FFFD
22	0x00000005	0x0000001E	47	0x00000011	0x0001FFFE
23	0x00000003	0x00000006	48	0x00000011	0x0001FFFF
24	0x00000001	0x00000000			

Table 4.A.82 – f\_huffman\_env\_bal\_1\_5dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000012	0x0003FFE2	25	0x00000003	0x00000006
1	0x00000012	0x0003FFE3	26	0x00000005	0x0000001E
2	0x00000012	0x0003FFE4	27	0x00000006	0x0000003E
3	0x00000012	0x0003FFE5	28	0x00000009	0x000001FE
4	0x00000012	0x0003FFE6	29	0x0000000B	0x000007FD
5	0x00000012	0x0003FFE7	30	0x0000000C	0x00000FFE
6	0x00000012	0x0003FFE8	31	0x0000000F	0x00007FFA
7	0x00000012	0x0003FFE9	32	0x00000010	0x0000FFF6
8	0x00000012	0x0003FFEA	33	0x00000012	0x0003FFF1
9	0x00000012	0x0003FFEB	34	0x00000012	0x0003FFF2
10	0x00000012	0x0003FFEC	35	0x00000012	0x0003FFF3
11	0x00000012	0x0003FFED	36	0x00000012	0x0003FFF4
12	0x00000012	0x0003FFEE	37	0x00000012	0x0003FFF5
13	0x00000012	0x0003FFEF	38	0x00000012	0x0003FFF6
14	0x00000012	0x0003FFF0	39	0x00000012	0x0003FFF7
15	0x00000010	0x0000FFF7	40	0x00000012	0x0003FFF8
16	0x00000011	0x0001FFF0	41	0x00000012	0x0003FFF9
17	0x0000000E	0x00003FFC	42	0x00000012	0x0003FFFA
18	0x0000000B	0x000007FE	43	0x00000012	0x0003FFFB
19	0x0000000B	0x000007FC	44	0x00000012	0x0003FFFC
20	0x00000008	0x000000FE	45	0x00000012	0x0003FFFD
21	0x00000007	0x0000007E	46	0x00000012	0x0003FFFE
22	0x00000004	0x0000000E	47	0x00000013	0x0007FFFE
23	0x00000002	0x00000002	48	0x00000013	0x0007FFFF
24	0x00000001	0x00000000			

Table 4.A.83 – t\_huffman\_env\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000012	0x0003FFED	32	0x00000003	0x00000006
1	0x00000012	0x0003FFEE	33	0x00000005	0x0000001E
2	0x00000013	0x0007FFDE	34	0x00000007	0x0000007E
3	0x00000013	0x0007FFDF	35	0x00000009	0x000001FE
4	0x00000013	0x0007FFE0	36	0x0000000B	0x000007FD
5	0x00000013	0x0007FFE1	37	0x0000000D	0x00001FFB
6	0x00000013	0x0007FFE2	38	0x0000000E	0x00003FF9
7	0x00000013	0x0007FFE3	39	0x0000000E	0x00003FFC
8	0x00000013	0x0007FFE4	40	0x0000000F	0x00007FFA
9	0x00000013	0x0007FFE5	41	0x00000010	0x0000FFF6
10	0x00000013	0x0007FFE6	42	0x00000011	0x0001FFF5
11	0x00000013	0x0007FFE7	43	0x00000012	0x0003FFEC
12	0x00000013	0x0007FFE8	44	0x00000013	0x0007FFED
13	0x00000013	0x0007FFE9	45	0x00000013	0x0007FFEE
14	0x00000013	0x0007FFEA	46	0x00000013	0x0007FFEF
15	0x00000013	0x0007FFEB	47	0x00000013	0x0007FFF0
16	0x00000013	0x0007FFEC	48	0x00000013	0x0007FFF1
17	0x00000011	0x0001FFF4	49	0x00000013	0x0007FFF2
18	0x00000010	0x0000FFF7	50	0x00000013	0x0007FFF3
19	0x00000010	0x0000FFF9	51	0x00000013	0x0007FFF4
20	0x00000010	0x0000FFF8	52	0x00000013	0x0007FFF5
21	0x0000000E	0x00003FFB	53	0x00000013	0x0007FFF6
22	0x0000000E	0x00003FFA	54	0x00000013	0x0007FFF7
23	0x0000000E	0x00003FF8	55	0x00000013	0x0007FFF8
24	0x0000000D	0x00001FFA	56	0x00000013	0x0007FFF9
25	0x0000000C	0x00000FFC	57	0x00000013	0x0007FFFA
26	0x0000000B	0x000007FC	58	0x00000013	0x0007FFFB
27	0x00000008	0x000000FE	59	0x00000013	0x0007FFFC
28	0x00000006	0x0000003E	60	0x00000013	0x0007FFFD
29	0x00000004	0x0000000E	61	0x00000013	0x0007FFFE
30	0x00000002	0x00000002	62	0x00000013	0x0007FFFF
31	0x00000001	0x00000000			

Table 4.A.84 – f\_huffman\_env\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000014	0x000FFFF0	32	0x00000003	0x00000006
1	0x00000014	0x000FFFF1	33	0x00000005	0x0000001E
2	0x00000014	0x000FFFF2	34	0x00000008	0x000000FC
3	0x00000014	0x000FFFF3	35	0x00000009	0x000001FC
4	0x00000014	0x000FFFF4	36	0x0000000A	0x000003FC
5	0x00000014	0x000FFFF5	37	0x0000000B	0x000007FC
6	0x00000014	0x000FFFF6	38	0x0000000C	0x00000FFC
7	0x00000012	0x0003FFF3	39	0x0000000D	0x00001FFC
8	0x00000013	0x0007FFF5	40	0x0000000E	0x00003FFA
9	0x00000013	0x0007FFEE	41	0x0000000F	0x00007FF9
10	0x00000013	0x0007FFEF	42	0x0000000F	0x00007FFA
11	0x00000013	0x0007FFF6	43	0x00000010	0x0000FFF8
12	0x00000012	0x0003FFF4	44	0x00000010	0x0000FFF9
13	0x00000012	0x0003FFF2	45	0x00000011	0x0001FFF6
14	0x00000014	0x000FFFF7	46	0x00000011	0x0001FFF7
15	0x00000013	0x0007FFF0	47	0x00000012	0x0003FFF5
16	0x00000011	0x0001FFF5	48	0x00000012	0x0003FFF6
17	0x00000012	0x0003FFF0	49	0x00000012	0x0003FFF1
18	0x00000011	0x0001FFF4	50	0x00000014	0x000FFFF8
19	0x00000010	0x0000FFF7	51	0x00000013	0x0007FFF1
20	0x00000010	0x0000FFF6	52	0x00000013	0x0007FFF2
21	0x0000000F	0x00007FF8	53	0x00000013	0x0007FFF3
22	0x0000000E	0x00003FFB	54	0x00000014	0x000FFFF9
23	0x0000000C	0x00000FFD	55	0x00000013	0x0007FFF7
24	0x0000000B	0x000007FD	56	0x00000013	0x0007FFF4
25	0x0000000A	0x000003FD	57	0x00000014	0x000FFFFA
26	0x00000009	0x000001FD	58	0x00000014	0x000FFFFB
27	0x00000008	0x000000FD	59	0x00000014	0x000FFFFC
28	0x00000006	0x0000003E	60	0x00000014	0x000FFFFD
29	0x00000004	0x0000000E	61	0x00000014	0x000FFFFE
30	0x00000002	0x00000002	62	0x00000014	0x000FFFFF
31	0x00000001	0x00000000			

Table 4.A.85 – t\_huffman\_env\_bal\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x0000000D	0x00001FF2	13	0x00000002	0x00000002
1	0x0000000D	0x00001FF3	14	0x00000005	0x0000001E
2	0x0000000D	0x00001FF4	15	0x00000006	0x0000003E
3	0x0000000D	0x00001FF5	16	0x00000009	0x000001FE
4	0x0000000D	0x00001FF6	17	0x0000000D	0x00001FF9
5	0x0000000D	0x00001FF7	18	0x0000000D	0x00001FFA
6	0x0000000D	0x00001FF8	19	0x0000000D	0x00001FFB
7	0x0000000C	0x00000FF8	20	0x0000000D	0x00001FFC
8	0x00000008	0x000000FE	21	0x0000000D	0x00001FFD
9	0x00000007	0x0000007E	22	0x0000000D	0x00001FFE
10	0x00000004	0x0000000E	23	0x0000000E	0x00003FFE
11	0x00000003	0x00000006	24	0x0000000E	0x00003FFF
12	0x00000001	0x00000000			

Table 4.A.86 – f\_huffman\_env\_bal\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x0000000D	0x00001FF7	13	0x00000003	0x00000006
1	0x0000000D	0x00001FF8	14	0x00000005	0x0000001E
2	0x0000000D	0x00001FF9	15	0x00000006	0x0000003E
3	0x0000000D	0x00001FFA	16	0x00000009	0x000001FE
4	0x0000000D	0x00001FFB	17	0x0000000C	0x00000FFA
5	0x0000000E	0x00003FF8	18	0x0000000D	0x00001FF6
6	0x0000000E	0x00003FF9	19	0x0000000E	0x00003FFA
7	0x0000000B	0x000007FC	20	0x0000000E	0x00003FFB
8	0x00000008	0x000000FE	21	0x0000000E	0x00003FFC
9	0x00000007	0x0000007E	22	0x0000000E	0x00003FFD
10	0x00000004	0x0000000E	23	0x0000000E	0x00003FFE
11	0x00000002	0x00000002	24	0x0000000E	0x00003FFF
12	0x00000001	0x00000000			

Table 4.A.87 – t\_huffman\_noise\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x0000000D	0x00001FCE	32	0x00000002	0x00000002
1	0x0000000D	0x00001FCF	33	0x00000005	0x0000001E
2	0x0000000D	0x00001FD0	34	0x00000008	0x000000FC
3	0x0000000D	0x00001FD1	35	0x0000000A	0x000003F8
4	0x0000000D	0x00001FD2	36	0x0000000D	0x00001FCC
5	0x0000000D	0x00001FD3	37	0x0000000D	0x00001FE8
6	0x0000000D	0x00001FD4	38	0x0000000D	0x00001FE9
7	0x0000000D	0x00001FD5	39	0x0000000D	0x00001FEA
8	0x0000000D	0x00001FD6	40	0x0000000D	0x00001FEB
9	0x0000000D	0x00001FD7	41	0x0000000D	0x00001FEC
10	0x0000000D	0x00001FD8	42	0x0000000D	0x00001FCD
11	0x0000000D	0x00001FD9	43	0x0000000D	0x00001FED
12	0x0000000D	0x00001FDA	44	0x0000000D	0x00001FEE
13	0x0000000D	0x00001FDB	45	0x0000000D	0x00001FEF
14	0x0000000D	0x00001FDC	46	0x0000000D	0x00001FF0
15	0x0000000D	0x00001FDD	47	0x0000000D	0x00001FF1
16	0x0000000D	0x00001FDE	48	0x0000000D	0x00001FF2
17	0x0000000D	0x00001FDF	49	0x0000000D	0x00001FF3
18	0x0000000D	0x00001FE0	50	0x0000000D	0x00001FF4
19	0x0000000D	0x00001FE1	51	0x0000000D	0x00001FF5
20	0x0000000D	0x00001FE2	52	0x0000000D	0x00001FF6
21	0x0000000D	0x00001FE3	53	0x0000000D	0x00001FF7
22	0x0000000D	0x00001FE4	54	0x0000000D	0x00001FF8
23	0x0000000D	0x00001FE5	55	0x0000000D	0x00001FF9
24	0x0000000D	0x00001FE6	56	0x0000000D	0x00001FFA
25	0x0000000D	0x00001FE7	57	0x0000000D	0x00001FFB
26	0x0000000B	0x000007F2	58	0x0000000D	0x00001FFC
27	0x00000008	0x000000FD	59	0x0000000D	0x00001FFD
28	0x00000006	0x0000003E	60	0x0000000D	0x00001FFE
29	0x00000004	0x0000000E	61	0x0000000E	0x00003FFE
30	0x00000003	0x00000006	62	0x0000000E	0x00003FFF
31	0x00000001	0x00000000			

Table 4.A.88 – t\_huffman\_noise\_bal\_3\_0dB

index	length (hexadecimal)	codeword (hexadecimal)	index	length (hexadecimal)	codeword (hexadecimal)
0	0x00000008	0x000000EC	13	0x00000003	0x00000006
1	0x00000008	0x000000ED	14	0x00000006	0x0000003A
2	0x00000008	0x000000EE	15	0x00000008	0x000000F6
3	0x00000008	0x000000EF	16	0x00000008	0x000000F7
4	0x00000008	0x000000F0	17	0x00000008	0x000000F8
5	0x00000008	0x000000F1	18	0x00000008	0x000000F9
6	0x00000008	0x000000F2	19	0x00000008	0x000000FA
7	0x00000008	0x000000F3	20	0x00000008	0x000000FB
8	0x00000008	0x000000F4	21	0x00000008	0x000000FC
9	0x00000008	0x000000F5	22	0x00000008	0x000000FD
10	0x00000005	0x0000001C	23	0x00000008	0x000000FE
11	0x00000002	0x00000002	24	0x00000008	0x000000FF
12	0x00000001	0x00000000			

4.A.6.2 Miscellaneous SBR tables

Table 4.A.89 – Coefficients c[i] of the QMF bank window

i	c[i]	i	c[i]	i	c[i]
0	0.0000000000	214	0.0019765601	428	0.0117623832
1	-0.0005525286	215	-0.0032086896	429	0.0163701258
2	-0.0005617692	216	-0.0085711749	430	0.0207997072
3	-0.0004947518	217	-0.0141288827	431	0.0250307561
4	-0.0004875227	218	-0.0198834129	432	0.0290824006
5	-0.0004893791	219	-0.0258227288	433	0.0329583930
6	-0.0005040714	220	-0.0319531274	434	0.0366418116
7	-0.0005226564	221	-0.0382776572	435	0.0401458278
8	-0.0005466565	222	-0.0447806821	436	0.0434768782
9	-0.0005677802	223	-0.0514804176	437	0.0466303305
10	-0.0005870930	224	-0.0583705326	438	0.0495978676
11	-0.0006132747	225	-0.0654409853	439	0.0524093821
12	-0.0006312493	226	-0.0726943300	440	0.0550460034
13	-0.0006540333	227	-0.0801372934	441	0.0575152691
14	-0.0006777690	228	-0.0877547536	442	0.0598166570
15	-0.0006941614	229	-0.0955533352	443	0.0619602779
16	-0.0007157736	230	-0.1035329531	444	0.0639444805
17	-0.0007255043	231	-0.1116826931	445	0.0657690668
18	-0.0007440941	232	-0.1200077984	446	0.0674525021
19	-0.0007490598	233	-0.1285002850	447	0.0689664013
20	-0.0007681371	234	-0.1371551761	448	0.0703533073
21	-0.0007724848	235	-0.1459766491	449	0.0715826364
22	-0.0007834332	236	-0.1549607071	450	0.0726774642
23	-0.0007779869	237	-0.1640958855	451	0.0736406005
24	-0.0007803664	238	-0.1733808172	452	0.0744664394
25	-0.0007801449	239	-0.1828172548	453	0.0751576255
26	-0.0007757977	240	-0.1923966745	454	0.0757305756
27	-0.0007630793	241	-0.2021250176	455	0.0761748321
28	-0.0007530001	242	-0.2119735853	456	0.0765050718
29	-0.0007319357	243	-0.2219652696	457	0.0767204924
30	-0.0007215391	244	-0.2320690870	458	0.0768230011
31	-0.0006917937	245	-0.2423016884	459	0.0768173975
32	-0.0006650415	246	-0.2526480309	460	0.0767093490
33	-0.0006341594	247	-0.2631053299	461	0.0764992170
34	-0.0005946118	248	-0.2736634040	462	0.0761992479
35	-0.0005564576	249	-0.2843214189	463	0.0758008358
36	-0.0005145572	250	-0.2950716717	464	0.0753137336
37	-0.0004606325	251	-0.3059098575	465	0.0747452558
38	-0.0004095121	252	-0.3168278913	466	0.0741003642
39	-0.0003501175	253	-0.3278113727	467	0.0733620255
40	-0.0002896981	254	-0.3388722693	468	0.0725682583
41	-0.0002098337	255	-0.3499914122	469	0.0717002673
42	-0.0001446380	256	0.3611589903	470	0.0707628710
43	-0.0000617334	257	0.3723795546	471	0.0697630244
44	0.0000134949	258	0.3836350013	472	0.0687043828
45	0.0001094383	259	0.3949211761	473	0.0676075985
46	0.0002043017	260	0.4062317676	474	0.0664367512

47	0.0002949531	261	0.4175696896	475	0.0652247106
48	0.0004026540	262	0.4289119920	476	0.0639715898
49	0.0005107388	263	0.4402553754	477	0.0626857808
50	0.0006239376	264	0.4515996535	478	0.0613455171
51	0.0007458025	265	0.4629308085	479	0.0599837480
52	0.0008608443	266	0.4742453214	480	0.0585915683
53	0.0009885988	267	0.4855253091	481	0.0571616450
54	0.0011250155	268	0.4967708254	482	0.0557173648
55	0.0012577884	269	0.5079817500	483	0.0542452768
56	0.0013902494	270	0.5191234970	484	0.0527630746
57	0.0015443219	271	0.5302240895	485	0.0512556155
58	0.0016868083	272	0.5412553448	486	0.0497385755
59	0.0018348265	273	0.5522051258	487	0.0482165720
60	0.0019841140	274	0.5630789140	488	0.0466843027
61	0.0021461583	275	0.5738524131	489	0.0451488405
62	0.0023017254	276	0.5845403235	490	0.0436097542
63	0.0024625616	277	0.5951123086	491	0.0420649094
64	0.0026201758	278	0.6055783538	492	0.0405349170
65	0.0027870464	279	0.6159109932	493	0.0390053679
66	0.0029469447	280	0.6261242695	494	0.0374812850
67	0.0031125420	281	0.6361980107	495	0.0359697560
68	0.0032739613	282	0.6461269695	496	0.0344620948
69	0.0034418874	283	0.6559016302	497	0.0329754081
70	0.0036008268	284	0.6655139880	498	0.0315017608
71	0.0037603922	285	0.6749663190	499	0.0300502657
72	0.0039207432	286	0.6842353293	500	0.0286072173
73	0.0040819753	287	0.6933282376	501	0.0271859429
74	0.0042264269	288	0.7022388719	502	0.0257875847
75	0.0043730719	289	0.7109410426	503	0.0244160992
76	0.0045209852	290	0.7194462634	504	0.0230680169
77	0.0046606460	291	0.7277448900	505	0.0217467550
78	0.0047932560	292	0.7358211758	506	0.0204531793
79	0.0049137603	293	0.7436827863	507	0.0191872431
80	0.0050393022	294	0.7513137456	508	0.0179433381
81	0.0051407353	295	0.7587080760	509	0.0167324712
82	0.0052461166	296	0.7658674865	510	0.0155405553
83	0.0053471681	297	0.7727780881	511	0.0143904666
84	0.0054196775	298	0.7794287519	512	-0.0132718220
85	0.0054876040	299	0.7858353120	513	-0.0121849995
86	0.0055475714	300	0.7919735841	514	-0.0111315548
87	0.0055938023	301	0.7978466413	515	-0.0101150215
88	0.0056220643	302	0.8034485751	516	-0.0091325329
89	0.0056455196	303	0.8087695004	517	-0.0081798233
90	0.0056389199	304	0.8138191270	518	-0.0072615816
91	0.0056266114	305	0.8185776004	519	-0.0063792293
92	0.0055917128	306	0.8230419890	520	-0.0055337211
93	0.0055404363	307	0.8272275347	521	-0.0047222596
94	0.0054753783	308	0.8311038457	522	-0.0039401124
95	0.0053838975	309	0.8346937361	523	-0.0031933778
96	0.0052715758	310	0.8379717337	524	-0.0024826723
97	0.0051382275	311	0.8409541392	525	-0.0018039472
98	0.0049839687	312	0.8436238281	526	-0.0011568135

99	0.0048109469	313	0.8459818469	527	-0.0005464280
100	0.0046039530	314	0.8480315777	528	0.0000276045
101	0.0043801861	315	0.8497805198	529	0.0005832264
102	0.0041251642	316	0.8511971524	530	0.0010902329
103	0.0038456408	317	0.8523047035	531	0.0015784682
104	0.0035401246	318	0.8531020949	532	0.0020274176
105	0.0032091885	319	0.8535720573	533	0.0024508540
106	0.0028446757	320	0.8537385600	534	0.0028446757
107	0.0024508540	321	0.8535720573	535	0.0032091885
108	0.0020274176	322	0.8531020949	536	0.0035401246
109	0.0015784682	323	0.8523047035	537	0.0038456408
110	0.0010902329	324	0.8511971524	538	0.0041251642
111	0.0005832264	325	0.8497805198	539	0.0043801861
112	0.0000276045	326	0.8480315777	540	0.0046039530
113	-0.0005464280	327	0.8459818469	541	0.0048109469
114	-0.0011568135	328	0.8436238281	542	0.0049839687
115	-0.0018039472	329	0.8409541392	543	0.0051382275
116	-0.0024826723	330	0.8379717337	544	0.0052715758
117	-0.0031933778	331	0.8346937361	545	0.0053838975
118	-0.0039401124	332	0.8311038457	546	0.0054753783
119	-0.0047222596	333	0.8272275347	547	0.0055404363
120	-0.0055337211	334	0.8230419890	548	0.0055917128
121	-0.0063792293	335	0.8185776004	549	0.0056266114
122	-0.0072615816	336	0.8138191270	550	0.0056389199
123	-0.0081798233	337	0.8087695004	551	0.0056455196
124	-0.0091325329	338	0.8034485751	552	0.0056220643
125	-0.0101150215	339	0.7978466413	553	0.0055938023
126	-0.0111315548	340	0.7919735841	554	0.0055475714
127	-0.0121849995	341	0.7858353120	555	0.0054876040
128	0.0132718220	342	0.7794287519	556	0.0054196775
129	0.0143904666	343	0.7727780881	557	0.0053471681
130	0.0155405553	344	0.7658674865	558	0.0052461166
131	0.0167324712	345	0.7587080760	559	0.0051407353
132	0.0179433381	346	0.7513137456	560	0.0050393022
133	0.0191872431	347	0.7436827863	561	0.0049137603
134	0.0204531793	348	0.7358211758	562	0.0047932560
135	0.0217467550	349	0.7277448900	563	0.0046606460
136	0.0230680169	350	0.7194462634	564	0.0045209852
137	0.0244160992	351	0.7109410426	565	0.0043730719
138	0.0257875847	352	0.7022388719	566	0.0042264269
139	0.0271859429	353	0.6933282376	567	0.0040819753
140	0.0286072173	354	0.6842353293	568	0.0039207432
141	0.0300502657	355	0.6749663190	569	0.0037603922
142	0.0315017608	356	0.6655139880	570	0.0036008268
143	0.0329754081	357	0.6559016302	571	0.0034418874
144	0.0344620948	358	0.6461269695	572	0.0032739613
145	0.0359697560	359	0.6361980107	573	0.0031125420
146	0.0374812850	360	0.6261242695	574	0.0029469447
147	0.0390053679	361	0.6159109932	575	0.0027870464
148	0.0405349170	362	0.6055783538	576	0.0026201758
149	0.0420649094	363	0.5951123086	577	0.0024625616
150	0.0436097542	364	0.5845403235	578	0.0023017254

151	0.0451488405	365	0.5738524131	579	0.0021461583
152	0.0466843027	366	0.5630789140	580	0.0019841140
153	0.0482165720	367	0.5522051258	581	0.0018348265
154	0.0497385755	368	0.5412553448	582	0.0016868083
155	0.0512556155	369	0.5302240895	583	0.0015443219
156	0.0527630746	370	0.5191234970	584	0.0013902494
157	0.0542452768	371	0.5079817500	585	0.0012577884
158	0.0557173648	372	0.4967708254	586	0.0011250155
159	0.0571616450	373	0.4855253091	587	0.0009885988
160	0.0585915683	374	0.4742453214	588	0.0008608443
161	0.0599837480	375	0.4629308085	589	0.0007458025
162	0.0613455171	376	0.4515996535	590	0.0006239376
163	0.0626857808	377	0.4402553754	591	0.0005107388
164	0.0639715898	378	0.4289119920	592	0.0004026540
165	0.0652247106	379	0.4175696896	593	0.0002949531
166	0.0664367512	380	0.4062317676	594	0.0002043017
167	0.0676075985	381	0.3949211761	595	0.0001094383
168	0.0687043828	382	0.3836350013	596	0.0000134949
169	0.0697630244	383	0.3723795546	597	-0.0000617334
170	0.0707628710	384	-0.3611589903	598	-0.0001446380
171	0.0717002673	385	-0.3499914122	599	-0.0002098337
172	0.0725682583	386	-0.3388722693	600	-0.0002896981
173	0.0733620255	387	-0.3278113727	601	-0.0003501175
174	0.0741003642	388	-0.3168278913	602	-0.0004095121
175	0.0747452558	389	-0.3059098575	603	-0.0004606325
176	0.0753137336	390	-0.2950716717	604	-0.0005145572
177	0.0758008358	391	-0.2843214189	605	-0.0005564576
178	0.0761992479	392	-0.2736634040	606	-0.0005946118
179	0.0764992170	393	-0.2631053299	607	-0.0006341594
180	0.0767093490	394	-0.2526480309	608	-0.0006650415
181	0.0768173975	395	-0.2423016884	609	-0.0006917937
182	0.0768230011	396	-0.2320690870	610	-0.0007215391
183	0.0767204924	397	-0.2219652696	611	-0.0007319357
184	0.0765050718	398	-0.2119735853	612	-0.0007530001
185	0.0761748321	399	-0.2021250176	613	-0.0007630793
186	0.0757305756	400	-0.1923966745	614	-0.0007757977
187	0.0751576255	401	-0.1828172548	615	-0.0007801449
188	0.0744664394	402	-0.1733808172	616	-0.0007803664
189	0.0736406005	403	-0.1640958855	617	-0.0007779869
190	0.0726774642	404	-0.1549607071	618	-0.0007834332
191	0.0715826364	405	-0.1459766491	619	-0.0007724848
192	0.0703533073	406	-0.1371551761	620	-0.0007681371
193	0.0689664013	407	-0.1285002850	621	-0.0007490598
194	0.0674525021	408	-0.1200077984	622	-0.0007440941
195	0.0657690668	409	-0.1116826931	623	-0.0007255043
196	0.0639444805	410	-0.1035329531	624	-0.0007157736
197	0.0619602779	411	-0.0955533352	625	-0.0006941614
198	0.0598166570	412	-0.0877547536	626	-0.0006777690
199	0.0575152691	413	-0.0801372934	627	-0.0006540333
200	0.0550460034	414	-0.0726943300	628	-0.0006312493
201	0.0524093821	415	-0.0654409853	629	-0.0006132747
202	0.0495978676	416	-0.0583705326	630	-0.0005870930

203	0.0466303305	417	-0.0514804176	631	-0.0005677802
204	0.0434768782	418	-0.0447806821	632	-0.0005466565
205	0.0401458278	419	-0.0382776572	633	-0.0005226564
206	0.0366418116	420	-0.0319531274	634	-0.0005040714
207	0.0329583930	421	-0.0258227288	635	-0.0004893791
208	0.0290824006	422	-0.0198834129	636	-0.0004875227
209	0.0250307561	423	-0.0141288827	637	-0.0004947518
210	0.0207997072	424	-0.0085711749	638	-0.0005617692
211	0.0163701258	425	-0.0032086896	639	-0.000552528
212	0.0117623832	426	0.0019765601		
213	0.0069636862	427	0.0069636862		

Table 4.A.90 – Coefficients c[i] of the CLDFB window

i	c[i]	i	c[i]	i	c[i]	i	c[i]
0	1.129580193872797e-002	160	-2.726484300186575e-002	320	-3.597475533950260e-003	480	2.666444630171025e-004
1	2.353059744904218e-002	161	-1.711323992709580e-002	321	-3.318857985461042e-003	481	7.872592352725688e-005
2	3.450718748721251e-002	162	-7.298197371320593e-003	322	-3.000422543655664e-003	482	7.095886893185526e-005
3	4.634695977000525e-002	163	2.184256929356781e-003	323	-2.658042081080524e-003	483	5.643103068471008e-005
4	5.918677345174197e-002	164	1.132324047372148e-002	324	-2.292813563887493e-003	484	6.904415362098980e-005
5	7.325978412117062e-002	165	2.012236990754980e-002	325	-1.914114740669928e-003	485	4.694251739991356e-005
6	8.829745229234007e-002	166	2.857528272530154e-002	326	-1.525818616748839e-003	486	3.367998338617662e-005
7	1.042033024802571e-001	167	3.666942822678171e-002	327	-1.156680209049319e-003	487	6.481921021601837e-005
8	1.206924277410051e-001	168	4.439683978044157e-002	328	-7.804546272743493e-004	488	6.582328030188790e-005
9	1.376149808913910e-001	169	5.177964768870787e-002	329	-4.268574601396473e-004	489	-4.256442530773449e-005
10	1.547461142258783e-001	170	5.881296711410786e-002	330	-1.324291707264515e-004	490	4.939392400898679e-005
11	1.719726384566089e-001	171	6.550209046893848e-002	331	1.218226450050751e-004	491	5.272982009116034e-005
12	1.891590407342011e-001	172	7.184073822817207e-002	332	3.189336138130849e-004	492	4.005269212731273e-005
13	2.062605107774960e-001	173	7.783299328224960e-002	333	4.749931197951235e-004	493	2.461876679726978e-005
14	2.232276864673650e-001	174	8.347150698567406e-002	334	5.970696819774243e-004	494	4.469729032194765e-006
15	2.400768261284114e-001	175	8.875756217893037e-002	335	6.673250213055329e-004	495	3.798519731621893e-007
16	2.568176309566753e-001	176	9.368651761350569e-002	336	6.887783835812338e-004	496	1.374896222030490e-006
17	2.734977190313227e-001	177	9.826251129465624e-002	337	6.766320515830324e-004	497	3.965363805500215e-006
18	2.901491317310591e-001	178	1.024804711677230e-001	338	6.944123176012471e-004	498	7.300588863934780e-006
19	3.068186515423912e-001	179	1.063454554357498e-001	339	7.139919634325070e-004	499	1.168894474770061e-005
20	3.235298682841570e-001	180	1.098551252869576e-001	340	7.154123487609100e-004	500	8.563819899447630e-006
21	3.403074146062977e-001	181	1.130180022553412e-001	341	7.376101027486600e-004	501	8.975977837330335e-006
22	3.571527896130669e-001	182	1.158358935177899e-001	342	6.976561203768226e-004	502	2.800455533708622e-005
23	3.740643974275026e-001	183	1.183233335449968e-001	343	5.721223454434728e-004	503	2.015445311139832e-005
24	3.910243970160607e-001	184	1.204854506722672e-001	344	2.934875643581191e-004	504	1.125134651175812e-005
25	4.080154903861317e-001	185	1.223371395264402e-001	345	1.092526149391273e-004	505	5.869707265615299e-006
26	4.250144186334534e-001	186	1.238868653862843e-001	346	6.415402443848103e-004	506	1.013259758329981e-005
27	4.420013942269341e-001	187	1.251477258491527e-001	347	1.194730618383423e-003	507	1.088325131492173e-005
28	4.589582896478246e-001	188	1.261262023246478e-001	348	1.557112059887280e-003	508	7.167101260771279e-006
29	4.758753745532750e-001	189	1.268280540744526e-001	349	1.891971801393744e-003	509	4.840577540089826e-006
30	4.927463828072591e-001	190	1.272498700590511e-001	350	2.225524159129023e-003	510	-1.469933448634890e-005
31	5.095720854151864e-001	191	1.273590703506806e-001	351	2.530906981099261e-003	511	-8.010079089953001e-006
32	5.263554446856779e-001	192	1.274567595465545e-001	352	2.719749515067397e-003	512	-3.299004046633323e-005
33	5.430990601899994e-001	193	1.275561350483646e-001	353	2.729136737522100e-003	513	-4.373302115187172e-005
34	5.598052330684253e-001	194	1.273648326872248e-001	354	2.703019498899013e-003	514	-3.177468256997963e-005

35	5.764734796907189e-001	195	1.269415772180714e-001	355	2.630471852319136e-003	515	-2.976824036182567e-005
36	5.930981800982896e-001	196	1.262995646340671e-001	356	2.470456304276468e-003	516	-2.464228015326852e-005
37	6.096690552916387e-001	197	1.254605188749804e-001	357	2.239142906871446e-003	517	-1.606050838620834e-005
38	6.261725236758639e-001	198	1.244269583009826e-001	358	2.033465291493264e-003	518	-6.261944255489322e-006
39	6.425939632009995e-001	199	1.232131583108813e-001	359	1.948069005335563e-003	519	4.591009581217994e-007
40	6.589148753746076e-001	200	1.218183974842866e-001	360	1.725029670030533e-003	520	1.395220723090848e-005
41	6.751199626157149e-001	201	1.202545652840080e-001	361	1.417366709895927e-003	521	1.622786214398703e-005
42	6.911981575264606e-001	202	1.185243106889108e-001	362	1.127141815310061e-003	522	-2.043464113212971e-006
43	7.071447728928043e-001	203	1.166399102636992e-001	363	8.089811988213151e-004	523	-1.653463907257247e-006
44	7.229599104052475e-001	204	1.146042249339280e-001	364	4.708009521678285e-004	524	-1.551250801467300e-008
45	7.386515025302785e-001	205	1.124296184976912e-001	365	7.882620739833088e-005	525	-1.907927361317977e-006
46	7.542294504292890e-001	206	1.101215600923314e-001	366	-2.998739993995956e-004	526	-9.607068622268791e-007
47	7.697093346240386e-001	207	1.076972053405737e-001	367	-4.733148292475610e-004	527	-4.636105364510011e-007
48	7.851012620144958e-001	208	1.051641975499523e-001	368	-5.791145447913150e-004	528	-2.765649762593200e-007
49	8.004165237845137e-001	209	1.025397604985405e-001	369	-6.754935404082003e-004	529	-1.922074581855119e-006
50	8.156523162880560e-001	210	9.982957934346254e-002	370	-8.029620210721900e-004	530	-9.897194091136331e-007
51	8.308039608112368e-001	211	9.705239536075722e-002	371	-9.726698841994444e-004	531	-7.873304717454037e-008
52	8.458450064727010e-001	212	9.421624116597689e-002	372	-1.196637962311630e-003	532	2.945239208477290e-008
53	8.607492455327098e-001	213	9.133590931873967e-002	373	-1.292865844760059e-003	533	-2.757610624807679e-006
54	8.754640719350776e-001	214	8.841813387276727e-002	374	-1.146268465739874e-003	534	-1.402925247695813e-005
55	8.899474405744183e-001	215	8.547715661443602e-002	375	-1.040598055074471e-003	535	-9.388962780643742e-006
56	9.041286138017367e-001	216	8.251962055343706e-002	376	-9.767709065548874e-004	536	2.068297421740023e-005
57	9.179666107725365e-001	217	7.955570759229536e-002	377	-9.294665200453614e-004	537	1.496435902895210e-007
58	9.313874086278087e-001	218	7.657649751612349e-002	378	-9.862027119530482e-004	538	6.757014945674924e-009
59	9.443802853939540e-001	219	7.360559211914287e-002	379	-1.047654674829846e-003	539	-2.778618354859861e-007
60	9.568885413848645e-001	220	7.064948295960993e-002	380	-1.099000599887377e-003	540	-1.569003268449803e-006
61	9.690016637782843e-001	221	6.771675107480543e-002	381	-1.151795860160292e-003	541	-1.089500601234349e-006
62	9.807691702375303e-001	222	6.480448458935215e-002	382	-1.194743370333155e-003	542	-9.870547653835426e-007
63	9.927543720639498e-001	223	6.192692754258131e-002	383	-1.250742797799558e-003	543	3.867483283567218e-005
64	1.001463112557766e+000	224	5.911363249658311e-002	384	1.287819050086379e-003	544	-1.232693496472088e-005
65	1.006893331637123e+000	225	5.637219228757212e-002	385	1.263569296641556e-003	545	9.464782951082177e-007
66	1.012508393574432e+000	226	5.368313072045600e-002	386	1.226113111394085e-003	546	8.254429452094225e-007
67	1.017729040219375e+000	227	5.105620793438655e-002	387	1.177515087338257e-003	547	4.883304950437536e-007
68	1.022470190536100e+000	228	4.849284995895640e-002	388	1.122503050159859e-003	548	-2.066961713890010e-007
69	1.026615653698808e+000	229	4.599068181839981e-002	389	1.089428846944533e-003	549	5.158212471036245e-009
70	1.030198648769593e+000	230	4.355568588898841e-002	390	1.054963366189962e-003	550	2.267731106642486e-007
71	1.033205850580933e+000	231	4.125570251909672e-002	391	9.019128558297515e-004	551	-4.880844550713951e-008
72	1.035694432087486e+000	232	3.907137550527191e-002	392	7.847839620863715e-004	552	3.361682183852576e-006
73	1.037683165297586e+000	233	3.696342556744636e-002	393	6.205675927856794e-004	553	4.677015459111491e-006
74	1.039227995800217e+000	234	3.493300140502248e-002	394	3.157663628445906e-004	554	2.820292122791583e-008
75	1.040349586463588e+000	235	3.298151059524886e-002	395	2.556449844935384e-004	555	5.143614846654519e-007
76	1.041086497214721e+000	236	3.110861245410919e-002	396	2.520606580606257e-004	556	3.818588614859347e-009
77	1.041443375950143e+000	237	2.931525594774175e-002	397	2.346980949474655e-004	557	1.737276553950212e-007
78	1.041434355650865e+000	238	2.760090729801069e-002	398	2.060394037017961e-004	558	1.876022048145804e-007
79	1.041043184216171e+000	239	2.597956638848436e-002	399	1.635905995590986e-004	559	-2.986488593070417e-009
80	1.040262316588456e+000	240	2.443433592149451e-002	400	1.176237128375623e-004	560	-1.409927495646886e-008
81	1.039061496136853e+000	241	2.296470793543091e-002	401	6.193369904730005e-005	561	-6.977078748707401e-008
82	1.037422300157921e+000	242	2.156304510969632e-002	402	3.568554800150508e-005	562	-1.280675520205100e-008

ISO/IEC 14496-3:2009(E)

83	1.035311720204252e+000	243	2.023524610221679e-002	403	2.443161189273522e-005	563	-2.222072007942510e-009
84	1.032712952177121e+000	244	1.897505817503749e-002	404	1.334090914042349e-005	564	-1.775191290895584e-009
85	1.029600494883906e+000	245	1.778248750467421e-002	405	2.853437194757816e-006	565	-1.686136654621906e-009
86	1.025966756910904e+000	246	1.665187994388476e-002	406	-1.039263591111469e-004	566	5.818594642226675e-006
87	1.021798805583990e+000	247	1.557759513377242e-002	407	5.144969377044875e-005	567	2.150883991167946e-006
88	1.017100128250049e+000	248	1.456208586604537e-002	408	9.711681816385056e-005	568	2.714879009950152e-007
89	1.011867706519706e+000	249	1.361072086117313e-002	409	2.472023910553232e-005	569	-2.567964804401197e-008
90	1.006109248754940e+000	250	1.270747042064656e-002	410	5.397064424090302e-005	570	2.041128570435378e-006
91	9.998285752401580e-001	251	1.186210743261470e-002	411	6.487880719449901e-005	571	3.262753594084781e-006
92	9.930379854679836e-001	252	1.106958962776399e-002	412	-5.192444140699947e-005	572	3.567581483749161e-006
93	9.857387823493258e-001	253	1.033126278863177e-002	413	-9.204876089551197e-005	573	4.083718802566134e-006
94	9.779405164766706e-001	254	9.640298325700842e-003	414	-1.815837353167847e-004	574	5.364807253588177e-006
95	9.696426101291272e-001	255	8.996371481700806e-003	415	-3.595054179561440e-004	575	4.178050149840223e-006
96	9.608519516143015e-001	256	-8.407748878436545e-003	416	-5.901617707607606e-007	576	5.189086332701670e-006
97	9.515674613550604e-001	257	-7.876393114319395e-003	417	1.831121301698088e-004	577	3.357218747491756e-006
98	9.417975696327747e-001	258	-7.380543918629573e-003	418	9.755685190624611e-005	578	6.310207878018869e-006
99	9.315442093447622e-001	259	-6.925141135202262e-003	419	6.606461762989423e-005	579	5.924001540927652e-006
100	9.208194746232827e-001	260	-6.500502521462604e-003	420	3.799971890923797e-005	580	5.161606640348293e-006
101	9.096310803629866e-001	261	-6.109178606718115e-003	421	4.150075391929448e-005	581	3.377814811745950e-006
102	8.979959173503500e-001	262	-5.741103163221257e-003	422	5.021905476506264e-005	582	1.323267689777069e-006
103	8.859232320517536e-001	263	-5.394569608919965e-003	423	5.861800137434713e-005	583	-1.074716688428712e-007
104	8.734366852542127e-001	264	-5.063851046064050e-003	424	2.126364641291926e-005	584	-3.561585382456484e-006
105	8.605542791988831e-001	265	-4.754191853611012e-003	425	1.181077582797280e-004	585	-4.518603099564185e-006
106	8.472987145504696e-001	266	-4.448993249380505e-003	426	9.990757789944374e-005	586	7.301956971603966e-007
107	8.336863467961255e-001	267	-4.133639756278191e-003	427	1.035782617124906e-004	587	5.891904775161025e-007
108	8.197387292306723e-001	268	-3.811612348723333e-003	428	8.870181845310037e-005	588	2.801882088134371e-008
109	8.054701312929008e-001	269	-3.505531318950422e-003	429	5.533953373249822e-005	589	6.322770332405526e-007
110	7.908995350037713e-001	270	-3.209092846617964e-003	430	1.580188994455254e-005	590	2.542598385847351e-007
111	7.760385598209244e-001	271	-2.927159436740159e-003	431	1.277184430250593e-006	591	1.272704908592385e-007
112	7.609051036128973e-001	272	-2.653818578698405e-003	432	5.009913312943629e-006	592	8.226599990523664e-008
113	7.455111681431031e-001	273	-2.396404013961463e-003	433	1.499170392246774e-005	593	5.433718768789140e-007
114	7.298745530879272e-001	274	-2.152379960589273e-003	434	2.241545750231630e-005	594	4.211177232106135e-007
115	7.140087729493950e-001	275	-1.924844672908215e-003	435	3.628511258723260e-005	595	3.552991527555180e-008
116	6.979336851549095e-001	276	-1.699160580023900e-003	436	2.406516798531014e-005	596	-1.398913109540774e-008
117	6.816667882498023e-001	277	-1.480542563288228e-003	437	2.515118233957011e-005	597	1.356727552196146e-006
118	6.652304141388827e-001	278	-1.283280633901446e-003	438	3.759629789955498e-005	598	-1.706941020342299e-005
119	6.486437667370537e-001	279	-1.131859661378862e-003	439	5.408154543124121e-005	599	1.013575160981381e-005
120	6.319284031798550e-001	280	-9.730460256556873e-004	440	4.493916063285122e-005	600	-2.285562946018590e-005
121	6.151031151692835e-001	281	-7.677634115875747e-004	441	2.806963579578946e-005	601	-8.908041185396514e-008
122	5.981877665956570e-001	282	-5.599347984905645e-004	442	2.364518513682831e-005	602	-9.597515277415496e-009
123	5.811992722116214e-001	283	-3.337966579125254e-004	443	1.260639764582286e-005	603	-3.225913527455964e-007
124	5.641522833259215e-001	284	-9.099722643476421e-005	444	-2.599467772603631e-008	604	1.070242712585309e-006
125	5.470652177576862e-001	285	1.498231621816041e-004	445	-1.774108392496017e-005	605	6.293002327021578e-007
126	5.299509559653194e-001	286	4.366447012116811e-004	446	-5.889276659458115e-006	606	3.575650976036433e-007
127	5.128557121424191e-001	287	6.307841647560053e-004	447	-4.663777919108619e-005	607	2.722295965060517e-005
128	-4.956175421414453e-001	288	6.150316826138937e-004	448	-2.078886359425321e-004	608	8.676848186676888e-006
129	-4.782650346610896e-001	289	8.990255827053560e-004	449	-2.131405580107761e-004	609	3.428660858940255e-007
130	-4.609828932783459e-001	290	1.232134364570107e-003	450	-1.784192600231068e-004	610	4.767793949944890e-007

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131	-4.437530233023859e-001	291	1.471167206249042e-003	451	-1.744841754193053e-004	611	3.330981930777764e-007
132	-4.265950246465440e-001	292	1.697652664777771e-003	452	-1.728672507238372e-004	612	2.399696144635756e-007
133	-4.095160467543179e-001	293	1.985825255428654e-003	453	-1.885286127508226e-004	613	7.326611439066549e-009
134	-3.925409172155113e-001	294	2.172866052963961e-003	454	-2.078299015661617e-004	614	1.349943693297681e-007
135	-3.756821671788237e-001	295	1.812176023993582e-003	455	-2.123671573189573e-004	615	-5.393555749348494e-008
136	-3.589626517817934e-001	296	1.344657262814793e-003	456	-2.415166002501312e-004	616	3.629067065524143e-006
137	-3.423942311297658e-001	297	9.373975348172919e-004	457	-2.217025456251449e-004	617	-5.690530948134642e-006
138	-3.259993851088293e-001	298	5.621720998949145e-004	458	-9.907630821710970e-005	618	1.387566465624550e-008
139	-3.097861805973821e-001	299	2.048498552413189e-004	459	-8.039231481768845e-005	619	2.443085172403935e-007
140	-2.937724988593393e-001	300	-2.004822830002534e-004	460	-7.934509417722400e-005	620	1.723217058490933e-009
141	-2.779637821990255e-001	301	-6.169854804735951e-004	461	-5.874199358780108e-005	621	7.391973323448250e-008
142	-2.623749159488041e-001	302	-1.061498982103114e-003	462	-5.449816072329412e-005	622	5.303527922331415e-008
143	-2.470098299603623e-001	303	-1.594860949611097e-003	463	-4.489491034408147e-005	623	-8.883499047404846e-010
144	-2.318815478758375e-001	304	-2.124647831574725e-003	464	-3.498285982359981e-005	624	-3.870536804891648e-009
145	-2.169925682529340e-001	305	-2.621537051750861e-003	465	-1.748284921486958e-005	625	-1.846547564287500e-008
146	-2.023548005388463e-001	306	-3.064311083207632e-003	466	-9.075430772832575e-006	626	-4.244090917065736e-009
147	-1.879711746686855e-001	307	-3.460362845825662e-003	467	-1.052707430241351e-005	627	-4.013524925634108e-009
148	-1.738542127021508e-001	308	-3.794425324215804e-003	468	-6.538878366985722e-006	628	-6.325664562585882e-010
149	-1.600061812296078e-001	309	-4.091032597247918e-003	469	2.206341308073472e-005	629	-6.025110605409611e-010
150	-1.464389150679625e-001	310	-4.369553676668050e-003	470	1.769261935287328e-004	630	1.620171502086309e-006
151	-1.331544923127771e-001	311	-4.554811297024067e-003	471	6.418658561385058e-005	631	5.490569954646963e-007
152	-1.201628679722633e-001	312	-4.663276675479689e-003	472	-8.882305312548962e-005	632	6.355303179925355e-008
153	-1.074630704470568e-001	313	-4.722567636185647e-003	473	-1.721347222211949e-005	633	-5.426597100684762e-009
154	-9.506966959632511e-002	314	-4.704321497976561e-003	474	-6.093372716385583e-005	634	4.292861814894369e-007
155	-8.298103104739203e-002	315	-4.636227793039124e-003	475	-7.679955330373515e-005	635	6.834209542421138e-007
156	-7.120356992726613e-002	316	-4.517190210387324e-003	476	7.194151087015007e-005	636	7.099633014995863e-007
157	-5.973741829536090e-002	317	-4.351667566540186e-003	477	7.245095937243279e-005	637	8.109951846981774e-007
158	-4.859005767016811e-002	318	-4.135130493071822e-003	478	7.870354371072524e-005	638	4.118359768898598e-007
159	-3.775928110298274e-002	319	-3.870851645947402e-003	479	5.822201682995846e-004	639	6.571760029213382e-007

Table 4.A.91 – Noise table V [ $\Phi_{Re,noise}(i), \Phi_{Im,noise}(i)$ ]

i	$\Phi_{re,noise}(i)$	$\Phi_{im,noise}(i)$	i	$\Phi_{re,noise}(i)$	$\Phi_{im,noise}(i)$
0	-0.99948153278296	-0.59483417516607	256	0.99570534804836	0.45844586038111
1	0.97113454393991	-0.67528515225647	257	-0.63431466947340	0.21079116459234
2	0.14130051758487	-0.95090983575689	258	-0.07706847005931	-0.89581437101329
3	-0.47005496701697	-0.37340549728647	259	0.98590090577724	0.88241721133981
4	0.80705063769351	0.29653668284408	260	0.80099335254678	-0.36851896710853
5	-0.38981478896926	0.89572605717087	261	0.78368131392666	0.45506999802597
6	-0.01053049862020	-0.66959058036166	262	0.08707806671691	0.80938994918745
7	-0.91266367957293	-0.11522938140034	263	-0.86811883080712	0.39347308654705
8	0.54840422910309	0.75221367176302	264	-0.39466529740375	-0.66809432114456
9	0.40009252867955	-0.98929400334421	265	0.97875325649683	-0.72467840967746
10	-0.99867974711855	-0.88147068645358	266	-0.95038560288864	0.89563219587625
11	-0.95531076805040	0.90908757154593	267	0.17005239424212	0.54683053962658
12	-0.45725933317144	-0.56716323646760	268	-0.76910792026848	-0.96226617549298
13	-0.72929675029275	-0.98008272727324	269	0.99743281016846	0.42697157037567
14	0.75622801399036	0.20950329995549	270	0.95437383549973	0.97002324109952
15	0.07069442601050	-0.78247898470706	271	0.99578905365569	-0.54106826257356
16	0.74496252926055	-0.91169004445807	272	0.28058259829990	-0.85361420634036
17	-0.96440182703856	-0.94739918296622	273	0.85256524470573	-0.64567607735589
18	0.30424629369539	-0.49438267012479	274	-0.50608540105128	-0.65846015480300
19	0.66565033746925	0.64652935542491	275	-0.97210735183243	-0.23095213067791
20	0.91697008020594	0.17514097332009	276	0.95424048234441	-0.99240147091219
21	-0.70774918760427	0.52548653416543	277	-0.96926570524023	0.73775654896574
22	-0.70051415345560	-0.45340028808763	278	0.30872163214726	0.41514960556126
23	-0.99496513054797	-0.90071908066973	279	-0.24523839572639	0.63206633394807
24	0.98164490790123	-0.77463155528697	280	-0.33813265086024	-0.38661779441897
25	-0.54671580548181	-0.02570928536004	281	-0.05826828420146	-0.06940774188029
26	-0.01689629065389	0.00287506445732	282	-0.22898461455054	0.97054853316316
27	-0.86110349531986	0.42548583726477	283	-0.18509915019881	0.47565762892084
28	-0.98892980586032	-0.87881132267556	284	-0.10488238045009	-0.87769947402394
29	0.51756627678691	0.66926784710139	285	-0.71886586182037	0.78030982480538
30	-0.99635026409640	-0.58107730574765	286	0.99793873738654	0.90041310491497
31	-0.99969370862163	0.98369989360250	287	0.57563307626120	-0.91034337352097
32	0.55266258627194	0.59449057465591	288	0.28909646383717	0.96307783970534
33	0.34581177741673	0.94879421061866	289	0.42188998312520	0.48148651230437
34	0.62664209577999	-0.74402970906471	290	0.93335049681047	-0.43537023883588
35	-0.77149701404973	-0.33883658042801	291	-0.97087374418267	0.86636445711364
36	-0.91592244254432	0.03687901376713	292	0.36722871286923	0.65291654172961
37	-0.76285492357887	-0.91371867919124	293	-0.81093025665696	0.08778370229363
38	0.79788337195331	-0.93180971199849	294	-0.26240603062237	-0.92774095379098
39	0.54473080610200	-0.11919206037186	295	0.83996497984604	0.55839849139647
40	-0.85639281671058	0.42429854760451	296	-0.99909615720225	-0.96024605713970
41	-0.92882402971423	0.27871809078609	297	0.74649464155061	0.12144893606462
42	-0.11708371046774	-0.99800843444966	298	-0.74774595569805	-0.26898062008959
43	0.21356749817493	-0.90716295627033	299	0.95781667469567	-0.79047927052628
44	-0.76191692573909	0.99768118356265	300	0.95472308713099	-0.08588776019550
45	0.98111043100884	-0.95854459734407	301	0.48708332746299	0.99999041579432
46	-0.85913269895572	0.95766566168880	302	0.46332038247497	0.10964126185063
47	-0.93307242253692	0.49431757696466	303	-0.76497004940162	0.89210929242238

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48	0.30485754879632	-0.70540034357529	304	0.57397389364339	0.35289703373760
49	0.85289650925190	0.46766131791044	305	0.75374316974495	0.96705214651335
50	0.91328082618125	-0.99839597361769	306	-0.59174397685714	-0.89405370422752
51	-0.05890199924154	0.70741827819497	307	0.75087906691890	-0.29612672982396
52	0.28398686150148	0.34633555702188	308	-0.98607857336230	0.25034911730023
53	0.95258164539612	-0.54893416026939	309	-0.40761056640505	-0.90045573444695
54	-0.78566324168507	-0.75568541079691	310	0.66929266740477	0.98629493401748
55	-0.95789495447877	-0.20423194696966	311	-0.97463695257310	-0.00190223301301
56	0.82411158711197	0.96654618432562	312	0.90145509409859	0.99781390365446
57	-0.65185446735885	-0.88734990773289	313	-0.87259289048043	0.99233587353666
58	-0.93643603134666	0.99870790442385	314	-0.91529461447692	-0.15698707534206
59	0.91427159529618	-0.98290505544444	315	-0.03305738840705	-0.37205262859764
60	-0.70395684036886	0.58796798221039	316	0.07223051368337	-0.88805001733626
61	0.00563771969365	0.61768196727244	317	0.99498012188353	0.97094358113387
62	0.89065051931895	0.52783352697585	318	-0.74904939500519	0.99985483641521
63	-0.68683707712762	0.80806944710339	319	0.04585228574211	0.99812337444082
64	0.72165342518718	-0.69259857349564	320	-0.89054954257993	-0.31791913188064
65	-0.62928247730667	0.13627037407335	321	-0.83782144651251	0.97637632547466
66	0.29938434065514	-0.46051329682246	322	0.33454804933804	-0.86231516800408
67	-0.91781958879280	-0.74012716684186	323	-0.99707579362824	0.93237990079441
68	0.99298717043688	0.40816610075661	324	-0.22827527843994	0.18874759397997
69	0.82368298622748	-0.74036047190173	325	0.67248046289143	-0.03646211390569
70	-0.98512833386833	-0.99972330709594	326	-0.05146538187944	-0.92599700120679
71	-0.95915368242257	-0.99237800466040	327	0.99947295749905	0.93625229707912
72	-0.21411126572790	-0.93424819052545	328	0.66951124390363	0.98905825623893
73	-0.68821476106884	-0.26892306315457	329	-0.99602956559179	-0.44654715757688
74	0.91851997982317	0.09358228901785	330	0.82104905483590	0.99540741724928
75	-0.96062769559127	0.36099095133739	331	0.99186510988782	0.72023001312947
76	0.51646184922287	-0.71373332873917	332	-0.65284592392918	0.52186723253637
77	0.61130721139669	0.46950141175917	333	0.93885443798188	-0.74895312615259
78	0.47336129371299	-0.27333178296162	334	0.96735248738388	0.90891816978629
79	0.90998308703519	0.96715662938132	335	-0.22225968841114	0.57124029781228
80	0.44844799194357	0.99211574628306	336	-0.44132783753414	-0.92688840659280
81	0.66614891079092	0.96590176169121	337	-0.85694974219574	0.88844532719844
82	0.74922239129237	-0.89879858826087	338	0.91783042091762	-0.46356892383970
83	-0.99571588506485	0.52785521494349	339	0.72556974415690	-0.99899555770747
84	0.97401082477563	-0.16855870075190	340	-0.99711581834508	0.58211560180426
85	0.72683747733879	-0.48060774432251	341	0.77638976371966	0.94321834873819
86	0.95432193457128	0.68849603408441	342	0.07717324253925	0.58638399856595
87	-0.72962208425191	-0.76608443420917	343	-0.56049829194163	0.82522301569036
88	-0.85359479233537	0.88738125901579	344	0.98398893639988	0.39467440420569
89	-0.81412430338535	-0.97480768049637	345	0.47546946844938	0.68613044836811
90	-0.87930772356786	0.74748307690436	346	0.65675089314631	0.18331637134880
91	-0.71573331064977	-0.98570608178923	347	0.03273375457980	-0.74933109564108
92	0.83524300028228	0.83702537075163	348	-0.38684144784738	0.51337349030406
93	-0.48086065601423	-0.98848504923531	349	-0.97346267944545	-0.96549364384098
94	0.97139128574778	0.80093621198236	350	-0.53282156061942	-0.91423265091354
95	0.51992825347895	0.80247631400510	351	0.99817310731176	0.61133572482148
96	-0.00848591195325	-0.76670128000486	352	-0.50254500772635	-0.88829338134294
97	-0.70294374303036	0.55359910445577	353	0.01995873238855	0.85223515096765
98	-0.95894428168140	-0.43265504344783	354	0.99930381973804	0.94578896296649
99	0.97079252950321	0.09325857238682	355	0.82907767600783	-0.06323442598128

100	-0.92404293670797	0.85507704027855	356	-0.58660709669728	0.96840773806582
101	-0.69506469500450	0.98633412625459	357	-0.17573736667267	-0.48166920859485
102	0.26559203620024	0.73314307966524	358	0.83434292401346	-0.13023450646997
103	0.28038443336943	0.14537913654427	359	0.05946491307025	0.20511047074866
104	-0.74138124825523	0.99310339807762	360	0.81505484574602	-0.94685947861369
105	-0.01752795995444	-0.82616635284178	361	-0.44976380954860	0.40894572671545
106	-0.55126773094930	-0.98898543862153	362	-0.89746474625671	0.99846578838537
107	0.97960898850996	-0.94021446752851	363	0.39677256130792	-0.74854668609359
108	-0.99196309146936	0.67019017358456	364	-0.07588948563079	0.74096214084170
109	-0.67684928085260	0.12631491649378	365	0.76343198951445	0.41746629422634
110	0.09140039465500	-0.20537731453108	366	-0.74490104699626	0.94725911744610
111	-0.71658965751996	-0.97788200391224	367	0.64880119792759	0.41336660830571
112	0.81014640078925	0.53722648362443	368	0.62319537462542	-0.93098313552599
113	0.40616991671205	-0.26469008598449	369	0.42215817594807	-0.07712787385208
114	-0.67680188682972	0.94502052337695	370	0.02704554141885	-0.05417518053666
115	0.86849774348749	-0.18333598647899	371	0.80001773566818	0.91542195141039
116	-0.99500381284851	-0.02634122068550	372	-0.79351832348816	-0.36208897989136
117	0.84329189340667	0.10406957462213	373	0.63872359151636	0.08128252493444
118	-0.09215968531446	0.69540012101253	374	0.52890520960295	0.60048872455592
119	0.99956173327206	-0.12358542001404	375	0.74238552914587	0.04491915291044
120	-0.79732779473535	-0.91582524736159	376	0.99096131449250	-0.19451182854402
121	0.96349973642406	0.96640458041000	377	-0.80412329643109	-0.88513818199457
122	-0.79942778496547	0.64323902822857	378	-0.64612616129736	0.72198674804544
123	-0.11566039853896	0.28587846253726	379	0.11657770663191	-0.83662833815041
124	-0.39922954514662	0.94129601616966	380	-0.95053182488101	-0.96939905138082
125	0.99089197565987	-0.92062625581587	381	-0.62228872928622	0.82767262846661
126	0.28631285179909	-0.91035047143603	382	0.03004475787316	-0.99738896333384
127	-0.83302725605608	-0.67330410892084	383	-0.97987214341034	0.36526129686425
128	0.95404443402072	0.49162765398743	384	-0.99986980746200	-0.36021610299715
129	-0.06449863579434	0.03250560813135	385	0.89110648599879	-0.97894250343044
130	-0.99575054486311	0.42389784469507	386	0.10407960510582	0.77357793811619
131	-0.65501142790847	0.82546114655624	387	0.95964737821728	-0.35435818285502
132	-0.81254441908887	-0.51627234660629	388	0.50843233159162	0.96107691266205
133	-0.99646369485481	0.84490533520752	389	0.17006334670615	-0.76854025314829
134	0.00287840603348	0.64768261158166	390	0.25872675063360	0.99893303933816
135	0.70176989408455	-0.20453028573322	391	-0.01115998681937	0.98496019742444
136	0.96361882270190	0.40706967140989	392	-0.79598702973261	0.97138411318894
137	-0.68883758192426	0.91338958840772	393	-0.99264708948101	-0.99542822402536
138	-0.34875585502238	0.71472290693300	394	-0.99829663752818	0.01877138824311
139	0.91980081243087	0.66507455644919	395	-0.70801016548184	0.33680685948117
140	-0.99009048343881	0.85868021604848	396	-0.70467057786826	0.93272777501857
141	0.68865791458395	0.55660316809678	397	0.99846021905254	-0.98725746254433
142	-0.99484402129368	-0.20052559254934	398	-0.63364968534650	-0.16473594423746
143	0.94214511408023	-0.99696425367461	399	-0.16258217500792	-0.95939125400802
144	-0.67414626793544	0.49548221180078	400	-0.43645594360633	-0.94805030113284
145	-0.47339353684664	-0.85904328834047	401	-0.99848471702976	0.96245166923809
146	0.14323651387360	-0.94145598222488	402	-0.16796458968998	-0.98987511890470
147	-0.29268293575672	0.05759224927952	403	-0.87979225745213	-0.71725725041680
148	0.43793861458754	-0.78904969892724	404	0.44183099021786	-0.93568974498761
149	-0.36345126374441	0.64874435357162	405	0.93310180125532	-0.99913308068246
150	-0.08750604656825	0.97686944362527	406	-0.93941931782002	-0.56409379640356
151	-0.96495267812511	-0.53960305946511	407	-0.88590003188677	0.47624600491382

152	0.55526940659947	0.78891523734774	408	0.99971463703691	-0.83889954253462
153	0.73538215752630	0.96452072373404	409	-0.75376385639978	0.00814643438625
154	-0.30889773919437	-0.80664389776860	410	0.93887685615875	-0.11284528204636
155	0.03574995626194	-0.97325616900959	411	0.85126435782309	0.52349251543547
156	0.98720684660488	0.48409133691962	412	0.39701421446381	0.81779634174316
157	-0.81689296271203	-0.90827703628298	413	-0.37024464187437	-0.87071656222959
158	0.67866860118215	0.81284503870856	414	-0.36024828242896	0.34655735648287
159	-0.15808569732583	0.85279555024382	415	-0.93388812549209	-0.84476541096429
160	0.80723395114371	-0.24717418514605	416	-0.65298804552119	-0.18439575450921
161	0.47788757329038	-0.46333147839295	417	0.11960319006843	0.99899346780168
162	0.96367554763201	0.38486749303242	418	0.94292565553160	0.83163906518293
163	-0.99143875716818	-0.24945277239809	419	0.75081145286948	-0.35533223142265
164	0.83081876925833	-0.94780851414763	420	0.56721979748394	-0.24076836414499
165	-0.58753191905341	0.01290772389163	421	0.46857766746029	-0.30140233457198
166	0.95538108220960	-0.85557052096538	422	0.97312313923635	-0.99548191630031
167	-0.96490920476211	-0.64020970923102	423	-0.38299976567017	0.98516909715427
168	-0.97327101028521	0.12378128133110	424	0.41025800019463	0.02116736935734
169	0.91400366022124	0.57972471346930	425	0.09638062008048	0.04411984381457
170	-0.99925837363824	0.71084847864067	426	-0.85283249275397	0.91475563922421
171	-0.86875903507313	-0.20291699203564	427	0.88866808958124	-0.99735267083226
172	-0.26240034795124	-0.68264554369108	428	-0.48202429536989	-0.96805608884164
173	-0.24664412953388	-0.87642273115183	429	0.27572582416567	0.58634753335832
174	0.02416275806869	0.27192914288905	430	-0.65889129659168	0.58835634138583
175	0.82068619590515	-0.85087787994476	431	0.98838086953732	0.99994349600236
176	0.88547373760759	-0.89636802901469	432	-0.20651349620689	0.54593044066355
177	-0.18173078152226	-0.26152145156800	433	-0.62126416356920	-0.59893681700392
178	0.09355476558534	0.54845123045604	434	0.20320105410437	-0.86879180355289
179	-0.54668414224090	0.95980774020221	435	-0.97790548600584	0.96290806999242
180	0.37050990604091	-0.59910140383171	436	0.11112534735126	0.21484763313301
181	-0.70373594262891	0.91227665827081	437	-0.41368337314182	0.28216837680365
182	-0.34600785879594	-0.99441426144200	438	0.24133038992960	0.51294362630238
183	-0.68774481731008	-0.30238837956299	439	-0.66393410674885	-0.08249679629081
184	-0.26843291251234	0.83115668004362	440	-0.53697829178752	-0.97649903936228
185	0.49072334613242	-0.45359708737775	441	-0.97224737889348	0.22081333579837
186	0.38975993093975	0.95515358099121	442	0.87392477144549	-0.12796173740361
187	-0.97757125224150	0.05305894580606	443	0.19050361015753	0.01602615387195
188	-0.17325552859616	-0.92770672250494	444	-0.46353441212724	-0.95249041539006
189	0.99948035025744	0.58285545563426	445	-0.07064096339021	-0.94479803205886
190	-0.64946246527458	0.68645507104960	446	-0.92444085484466	-0.10457590187436
191	-0.12016920576437	-0.57147322153312	447	-0.83822593578728	-0.01695043208885
192	-0.58947456517751	-0.34847132454388	448	0.75214681811150	-0.99955681042665
193	-0.41815140454465	0.16276422358861	449	-0.42102998829339	0.99720941999394
194	0.99885650204884	0.11136095490444	450	-0.72094786237696	-0.35008961934255
195	-0.56649614128386	-0.90494866361587	451	0.78843311019251	0.52851398958271
196	0.94138021032330	0.35281916733018	452	0.97394027897442	-0.26695944086561
197	-0.75725076534641	0.53650549640587	453	0.99206463477946	-0.57010120849429
198	0.20541973692630	-0.94435144369918	454	0.76789609461795	-0.76519356730966
199	0.99980371023351	0.79835913565599	455	-0.82002421836409	-0.73530179553767
200	0.29078277605775	0.35393777921520	456	0.81924990025724	0.99698425250579
201	-0.62858772103030	0.38765693387102	457	-0.26719850873357	0.68903369776193
202	0.43440904467688	-0.98546330463232	458	-0.43311260380975	0.85321815947490
203	-0.98298583762390	0.21021524625209	459	0.99194979673836	0.91876249766422

204	0.19513029146934	-0.94239832251867	460	-0.80692001248487	-0.32627540663214
205	-0.95476662400101	0.98364554179143	461	0.43080003649976	-0.21919095636638
206	0.93379635304810	-0.70881994583682	462	0.67709491937357	-0.95478075822906
207	-0.85235410573336	-0.08342347966410	463	0.56151770568316	-0.70693811747778
208	-0.86425093011245	-0.45795025029466	464	0.10831862810749	-0.08628837174592
209	0.38879779059045	0.97274429344593	465	0.91229417540436	-0.65987351408410
210	0.92045124735495	-0.62433652524220	466	-0.48972893932274	0.56289246362686
211	0.89162532251878	0.54950955570563	467	-0.89033658689697	-0.71656563987082
212	-0.36834336949252	0.96458298020975	468	0.65269447475094	0.65916004833932
213	0.93891760988045	-0.89968353740388	469	0.67439478141121	-0.81684380846796
214	0.99267657565094	-0.03757034316958	470	-0.47770832416973	-0.16789556203025
215	-0.94063471614176	0.41332338538963	471	-0.99715979260878	-0.93565784007648
216	0.99740224117019	-0.16830494996370	472	-0.90889593602546	0.62034397054380
217	-0.35899413170555	-0.46633226649613	473	-0.06618622548177	-0.23812217221359
218	0.05237237274947	-0.25640361602661	474	0.99430266919728	0.18812555317553
219	0.36703583957424	-0.38653265641875	475	0.97686402381843	-0.28664534366620
220	0.91653180367913	-0.30587628726597	476	0.94813650221268	-0.97506640027128
221	0.69000803499316	0.90952171386132	477	-0.95434497492853	-0.79607978501983
222	-0.38658751133527	0.99501571208985	478	-0.49104783137150	0.32895214359663
223	-0.29250814029851	0.37444994344615	479	0.99881175120751	0.88993983831354
224	-0.60182204677608	0.86779651036123	480	0.50449166760303	-0.85995072408434
225	-0.97418588163217	0.96468523666475	481	0.47162891065108	-0.18680204049569
226	0.88461574003963	0.57508405276414	482	-0.62081581361840	0.75000676218956
227	0.05198933055162	0.21269661669964	483	-0.43867015250812	0.99998069244322
228	-0.53499621979720	0.97241553731237	484	0.98630563232075	-0.53578899600662
229	-0.49429560226497	0.98183865291903	485	-0.61510362277374	-0.89515019899997
230	-0.98935142339139	-0.40249159006933	486	-0.03841517601843	-0.69888815681179
231	-0.98081380091130	-0.72856895534041	487	-0.30102157304644	-0.07667808922205
232	-0.27338148835532	0.99950922447209	488	0.41881284182683	0.02188098922282
233	0.06310802338302	-0.54539587529618	489	-0.86135454941237	0.98947480909359
234	-0.20461677199539	-0.14209977628489	490	0.67226861393788	-0.13494389011014
235	0.66223843141647	0.72528579940326	491	-0.70737398842068	-0.76547349325992
236	-0.84764345483665	0.02372316801261	492	0.94044946687963	0.09026201157416
237	-0.89039863483811	0.88866581484602	493	-0.82386352534327	0.08924768823676
238	0.95903308477986	0.76744927173873	494	-0.32070666698656	0.50143421908753
239	0.73504123909879	-0.03747203173192	495	0.57593163224487	-0.98966422921509
240	-0.31744434966056	-0.36834111883652	496	-0.36326018419965	0.07440243123228
241	-0.34110827591623	0.40211222807691	497	0.99979044674350	-0.14130287347405
242	0.47803883714199	-0.39423219786288	498	-0.92366023326932	-0.97979298068180
243	0.98299195879514	0.01989791390047	499	-0.44607178518598	-0.54233252016394
244	-0.30963073129751	-0.18076720599336	500	0.44226800932956	0.71326756742752
245	0.99992588229018	-0.26281872094289	501	0.03671907158312	0.63606389366675
246	-0.93149731080767	-0.98313162570490	502	0.52175424682195	-0.85396826735705
247	0.99923472302773	-0.80142993767554	503	-0.94701139690956	-0.01826348194255
248	-0.26024169633417	-0.75999759855752	504	-0.98759606946049	0.82288714303073
249	-0.35712514743563	0.19298963768574	505	0.87434794743625	0.89399495655433
250	-0.99899084509530	0.74645156992493	506	-0.93412041758744	0.41374052024363
251	0.86557171579452	0.55593866696299	507	0.96063943315511	0.93116709541280
252	0.33408042438752	0.86185953874709	508	0.97534253457837	0.86150930812689
253	0.99010736374716	0.04602397576623	509	0.99642466504163	0.70190043427512
254	-0.66694269691195	-0.91643611810148	510	-0.94705089665984	-0.29580042814306
255	0.64016792079480	0.15649530836856	511	0.91599807087376	-0.98147830385781

## **Annex 4.B** (informative)

### **Encoder tools**

#### **4.B.1 Psychoacoustic model**

See ISO/IEC13818-7 (13818-7:2005, subclause C.1 "Psychoacoustic Model").

#### **4.B.2 Gain control**

See ISO/IEC13818-7 (13818-7:2005, subclause C.2 "Gain Control").

#### **4.B.3 Filterbank and block switching**

See ISO/IEC13818-7 (13818-7:2005, subclause C.3 "Filterbank and Block Switching").

#### **4.B.4 Frequency domain prediction**

See ISO/IEC13818-7 (13818-7:2005, subclause C.4 "Prediction").

#### **4.B.5 Temporal noise shaping (TNS)**

See ISO/IEC13818-7 (13818-7:2005, subclause C.5 "Temporal Noise Shaping (TNS)").

#### **4.B.6 Joint coding**

See ISO/IEC13818-7 (13818-7:2005, subclause C.6 "Joint Coding").

#### **4.B.7 Quantization**

See ISO/IEC13818-7 (13818-7:2005, subclause C.7 "Quantization").

#### **4.B.8 Noiseless coding**

See ISO/IEC13818-7 (13818-7:2005, subclause C.8 "Noiseless Coding").

#### **4.B.9 Features of AAC dynamic range control**

See ISO/IEC13818-7 (13818-7:2005, subclause C.9 "Features of AAC dynamic range control").

#### **4.B.10 Long term prediction**

The general structure of the perceptual audio coder with LTP is shown in Figure 4.B.1. First, the optimal LTP is estimated. Then, the predicted signals based on quantized data are obtained and corresponding error signals are calculated. Finally, the error signals are quantized and transmitted with the side information, such as predictor control and predictor parameters.

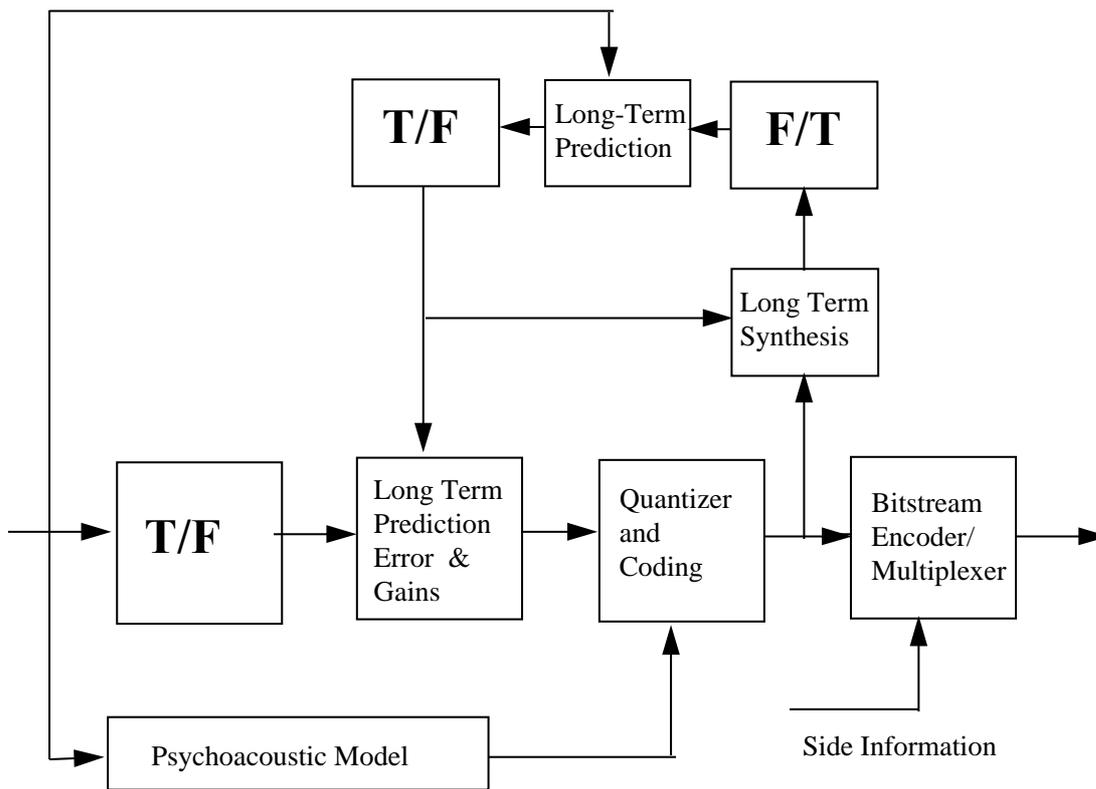


Figure 4.B.1 – Block diagram of the encoding process of Long Term Prediction

As the perceptual audio coder processes the signal frame by frame, it is obvious that when we want to quantize  $\mathbf{X}_{m+1}$ , the quantized data  $\tilde{\mathbf{X}}_k, k = m, m-1, m-2, \dots$ , are available both in encoder and decoder. In time domain, it means that the quantised time domain samples  $\tilde{x}(i), i = mN, mN-1, \dots$ , are available.

To remove the redundancy of the signal in present frame  $m+1$  based on the previously quantized data, an LTP is used

$$P(z) = \sum_{k=-m_1}^{m_2} b_k z^{-(\alpha+k)}$$

where  $\alpha$  represents a long delay in the range 1 to 2048. For  $m_1 = m_2 = 0$ , we have a one-tap predictor.

The parameters  $\alpha$  and  $b_k$  are determined by minimizing the mean squared error over the whole window. The delay  $\alpha$  is quantized with 11 bits with 2048 possible values in the range 1 to 2048. For prediction coefficients  $b_k$  are non-uniformly quantized to 3 bits. Due to their nonuniform distribution, nonuniform quantization has to be used (see subclause Table 4.152).

After the LTP is determined, the predicted signal can be obtained for the  $(m+1)$ th frame

$$\hat{x}(i) = \sum_{k=-m_1}^{m_2} b_k \tilde{x}(i-1-k-\alpha),$$

$$i = mN + 1, mN + 2, \dots, (m+1)N$$

Using the forward MDCT, we have the predicted spectral coefficients  $\tilde{\mathbf{X}}_{m+1}$  for the  $(m+1)$ th frame. In order to guarantee that prediction is only used if it results in a coding gain, an appropriate predictor control is required

and a small amount of predictor control information has to be transmitted to the decoder. The predictor control scheme is the same as the backward predictor control scheme which has been used in MPEG-2 Advanced Audio Coding (AAC), i.e., one bit per scalefactor band is sent as side information to flag whether prediction is used for that band.

In the encoding process the prediction gain resulting from LTP is estimated for each scalefactor band by comparing the predicted energy to the amount of side information needed. LTP is switched on only for those scalefactor bands where there is positive net coding gain. The corresponding bits of side information are set accordingly. Finally, the net coding gain for the whole frame (all scalefactor bands) is checked, and the switch for using LTP at all for the frame is set accordingly.

In the case of LTP tool it is necessary for the encoder to be aware of rounding noise in the decoder. Long periods of high feedback gain may make rounding noise audible. The encoder is responsible for keeping noise accumulation within reasonable limits by controlling the gain and, e.g., switching the predictor off often enough.

Particularly important is to take into account that the decoding algorithm has been updated. The old decoders have a rounding to 16 bits at the entry of the feedback delay line. This shows up as a noise source compared to the new decoders (and encoder expectations). A simple tested solution to keep this noise within reasonable limits (K=15 accuracy for the decoder) is to reset (switch off for 3 frames) the predictor every 60 frames.

#### 4.B.11 Perceptual Noise Substitution (PNS)

The encoding procedure for noise substitution is similar to the encoding procedure for intensity stereo and is performed as follows:

- For each scalefactor band containing spectral coefficients above a lower border frequency (e.g. 4 kHz) a noise detection is carried out. The scalefactor band is classified as noise-like if the corresponding signal is neither tonal nor contains strong changes in energy over time. The tonality of the signal can be estimated by using the tonality values calculated in the psychoacoustic model. Similarly, changes in signal energy can be evaluated using the FFT energies calculated in the psychoacoustic model.
- From the detection procedure, a map, `noise_flag[sfb]`, is constructed such that noise-like scalefactor bands are flagged with a non-zero value.
- For each flagged scalefactor band the energy (sum of squares) of the corresponding spectral coefficients is calculated and mapped to a logarithmic representation with a resolution of 1.5 dB. An offset (`NOISE_OFFSET=90`) is added to the logarithmic noise energy values.
- For each flagged scalefactor band, the corresponding spectral coefficients are set to zero before quantization of the coefficients is carried out as usual.
- During the noiseless coding procedure, the pseudo-codebook `NOISE_HCB` is set for all flagged scalefactor bands. Apart from this, the regular section / noiseless coding procedure is carried out on the quantized coefficient data.
- The logarithmic noise energy values are coded analogous to the regular scalefactors, i.e. with a differential encoding scheme starting with the „global\_gain value“. They are transmitted in place of the scalefactors belonging to the flagged scalefactor bands.

#### 4.B.12 Random access points for GA coded bitstreams payloads

If neither the predictor nor the Long Term Predictor (LTP) is used in a bitstream payload the encoder should mark every frame as random accessible frame (on more details about random access see ISO/IEC 14496-1).

If the MPEG-2 style AAC predictor is used in a bitstream payload, none of the bitstream payload frames is randomly accessible. But nevertheless a decoder is allowed to tune into a stream of bitstream payload frames. For the MPEG-2 style AAC predictor it will take at maximum 240 frames until the full quality of the audio quality can be guaranteed ( until each predictor reset group gets at least one reset).

If the MPEG-2 style AAC predictor is used in a bitstream payload, a frame is random accessible if the in non of the Predictor Reset Groups, the predictors are not used in the following frames until the corresponding Predictor Reset Group gets at least one reset.

Starting the decoder at a frame where LTP is on (and the previous frames are not available) can in some cases cause slight distortion. If evenly distributed entry points for random access without any possibility for distortion are desired, the LTP can just be switched off in the encoder for (at least) two frames every  $N^{\text{th}}$  frame. The Random Access Point (RAP) is then the first frame where LTP is off. This reset has no effect on the prediction gain in the next frames (no adaptation time). Therefore the number  $N$  can be small without significant effect on the average prediction gain. The same reset procedure can be used to stop propagation of frame loss errors when the LTP gain is very high. With very small values ( $<10$ ) of  $N$  (number of frames between resets) a trade-off between average prediction gain and frame loss resilience can be made.

More complicated reset (switch-off) processes can be run in the encoder to optimise the bitstream payload for specific applications. For example the LTP flags for individual scalefactor bands can be used instead of the global LTP flag. In any case this is an encoder optimisation issue (and possibly error concealment issue in the decoder), and does not require any additional side information to be sent in the bitstream payload.

It should be noted that no predictor reset is needed with LTP for stability reasons.

Regarding error resilience, it should be noted that LTP and the signal buffer it requires can be used as an efficient tool for error concealment. Actual concealment methods are outside the scope of this standard.

### 4.B.13 Weighted interleave vector quantization

In the weighted interleave VQ section, the flattened MDCT coefficients vector and the weight vector is divided into subvector at the first stage. Then, the subvectors are applied to weighted vector quantization.

#### 4.B.13.1 Initialization

Necessary parameters are initialized depending on the coding modes as described in subclause 4.6.5 of interleaved vector quantization tool.

#### 4.B.13.2 Vector quantization

##### 4.B.13.2.1 Basic structure

This vector quantizer has a two-channel conjugate structure, where two sets of codebooks are prepared. The search procedure consists of three steps; pre-selection, main selection and index packing.

##### 4.B.13.2.2 Pre-selection

At the first step of the vector quantization procedure, candidates for the nearest codevector are selected from each codebook. To select the candidates, weighted distortion measures are calculated.

$$dist[idiv][icb] = \sum_{ismp=0}^{vec\_len[idiv]-1} wt_{sub}^2 (sp\_cv0[icb][ismp] - U_{sub}[idiv][ismp])^2,$$

for  $idiv = 0$  to  $N\_DIV - 1$ ,  $icb = 0$  to  $2^{bits[idiv]} - 1$

If the  $bits0[idiv]$  is greater than  $MAXBIT\_SHAPE$ , the following distortion are also calculated.

$$dist_{negative}[idiv][icb] = \sum_{ismp=0}^{vec\_len[idiv]-1} wt_{sub}^2 (sp\_cv0[icb][ismp] + U_{sub}[idiv][ismp])^2,$$

for  $idiv = 0$  to  $N\_DIV - 1$ ,  $icb = 0$  to  $2^{bits[idiv]} - 1$

The  $N\_CAN$  candidates of  $dist$  and  $dist_{negative}$  are selected with minimum distortion measure

##### 4.B.13.2.3 Main selection

The distortion measures are calculated as below.

$$dist_{cross}[idiv][icb] = \sum_{ismp=0}^{vec\_len[idiv]-1} wt_{sub}[ismp] \left( \begin{array}{l} pol0[idiv] \\ \cdot sp\_cv0[can\_ind0[ican0]][ismp] \\ + pol1[idiv] \\ \cdot sp\_cv1[can\_ind1[ican1]][ismp] \\ \hline 2 \\ - U_{sub}[idiv][ismp] \end{array} \right)$$

for  $idiv = 0$  to  $N\_DIV - 1$ ,  $ican0 = 0$  to  $N\_CAN - 1$ ,  $ican1 = 0$  to  $N\_CAN - 1$

where

$$pol0[idiv] = 1 \quad \text{if } bits0[idiv] = MAXBIT\_SHAPE$$

$$pol0[idiv] = \begin{cases} 1 & \text{if } bits0[idiv] > MAXBIT\_SHAPE \\ -1 & \end{cases}$$

$$pol1[idiv] = 1 \quad \text{if } bits1[idiv] = MAXBIT\_SHAPE$$

$$pol1[idiv] = \begin{cases} 1 & \text{if } bits1[idiv] > MAXBIT\_SHAPE \\ -1 & \end{cases}$$

Indices  $can\_ind0[ican0]$  and  $can\_ind1[ican1]$  which give the least distortion measure is the quantization indices  $index0[idiv]$  and  $index1[idiv]$ .

#### 4.B.13.2.4 Index packing

For each VQ index, the polarity information is added as follows.

If  $pol0[idiv] = -1$  then  $index0[idiv] = index0[idiv] + 2^{MAXBIT\_SHAPE}$ , for  $idiv = 0$  to  $N\_DIV$

If  $pol1[idiv] = -1$  then  $index1[idiv] = index1[idiv] + 2^{MAXBIT\_SHAPE}$ , for  $idiv = 0$  to  $N\_DIV$

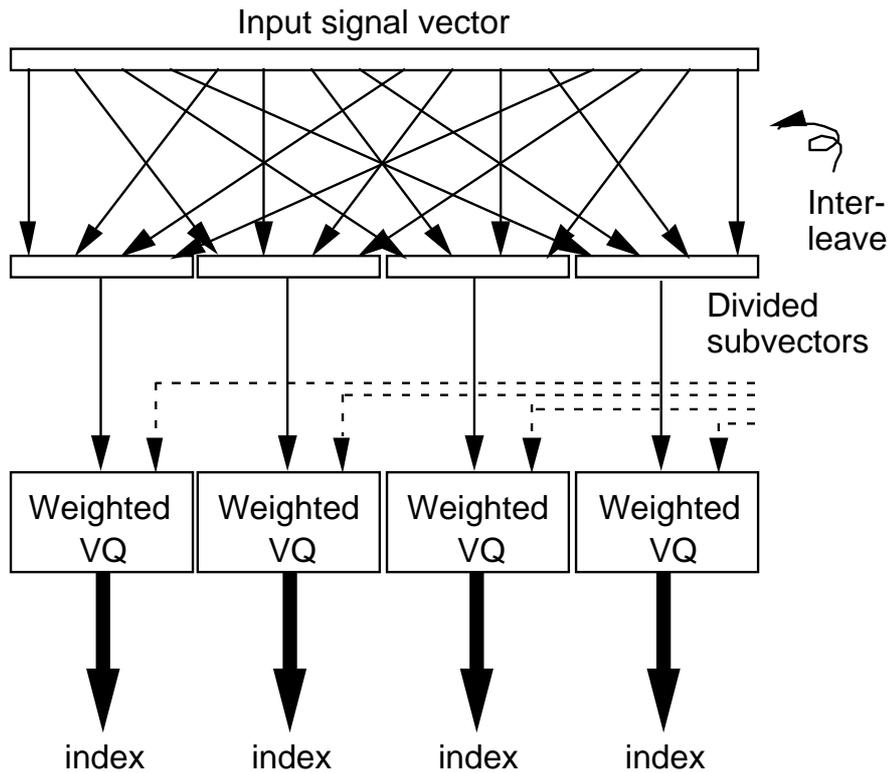


Figure 4.B.2 – Weighted interleave vector quantization.

## 4.B.14 Spectrum normalization

### 4.B.14.1 Encoding process

#### 4.B.14.1.1 Bandwidth control

This process limits the bandwidth of MDCT spectrum for the quantization process.

#### 4.B.14.1.2 LPC spectrum coding

At the first stage of the spectrum normalization process, input samples are divided into two paths. In one path they are transformed into frequency domain coefficients by MDCT. In the other path, LPC coefficients are calculated by LPC analysis; then, the LPC modeled spectrum envelope is calculated; and finally, each MDCT coefficient is divided by its corresponding spectrum envelope and first-stage flattened coefficients are produced. The main advantage of this flattening method is that fewer bits are required to normalize the frequency characteristics of input signals as a result of making use of an efficient quantization scheme of LSP (Line Spectral Pair) parameters. In order to avoid square root calculation in the de-flattening procedure at the decoder, LPC coefficients for the square root envelope are quantized; they are derived from the half-value of the LPC cepstrum of the normal LPC coefficients. The procedure for obtaining spectral envelope is listed as follows.

- - Autocorrelation function is calculated from the windowed input signal.
- - LPC coefficients are calculated by the Levinson-Durbin-Shur method.
- - LPC cepstrum coefficients are generated from LPC coefficients.
- - LPC cepstrum coefficients are multiplied by 0.5.
- - LPC coefficients (corresponding to square root spectrum) are converted from the LPC cepstrum coefficients by solving a normal equation.
- - Stability of the obtained coefficients is checked.

- - LPC coefficients are converted to LSP parameters.

LSP parameters are quantized by 2-stage split vector quantizer with moving average inter-frame prediction. Inverse LPC spectral envelope values are calculated by the same method in subclause 4.6.10 of the spectrum normalization tool

#### 4.B.14.1.3 First-stage flattening

MDCT coefficients  $X[isf][j]$  are flattened using LPC spectrum as follows.

$$X_{flat1}[isf][ismp] = X[isf][ismp] / lpc\_spectrum[j],$$

for  $0 \leq isf < N\_SF$ ,  $0 \leq ismp < N\_FR$

#### 4.B.14.1.4 Periodic peak components coding

If there are peak components with a constant period in the MDCT coefficients due to the harmonic nature of speech or some musical instruments in the long frame of the core coding mode, the process of the following periodic components coding is activated.

##### 4.B.14.1.4.1 Fundamental frequency estimation

The best period value is searched that maximizes the energy of the periodic peak components. Number of extracted samples are fixed to 20 indifferent to the period. The definition of the period and the extracted samples are identical to those for the decoding process.

##### 4.B.14.1.4.2 Vector quantization of the periodic peak components

The extracted peak components are normalized by the averaged amplitude and quantized by the interleaved weighted vector quantization similar to those used for flattened MDCT coefficients.

The averaged amplitude is quantized in a mu-law scale.

##### 4.B.14.1.4.3 Subtraction of periodic peak components

The periodic peak components are locally decoded and subtracted from the original MDCT coefficients. The difference signals are used for the proceeding processes.

#### 4.B.14.1.5 Bark-scale envelope coding

##### 4.B.14.1.5.1 Calculation of the Bark-scale envelope

The inputs of the Bark-scale envelope calculation procedure are the MDCT coefficients  $xflat[]$  flattened by the LPC spectrum. First the square-rooted power of the input coefficients corresponding to each Bark-scale subband is calculated. The upper frequency boundary of each subband is described in subclause 4.6.10 of spectrum normalization tool.

$$env\_tmp[isf][ib] = \sqrt{\frac{\sum_{ismp=iblow}^{ibhigh-1} X_{flat1}[ismp]^2}{ibhigh - iblow}},$$

for  $0 \leq isf < N\_SF$ ,  $0 \leq ib < N\_CRB$

Next, the average of all square-rooted powers is calculated.

$$env\_avr[isf] = \frac{\sum_{ib=0}^{N\_CRB-1} env\_tmp[isf][ib]}{N\_CRB}, \quad \text{for } 0 \leq isf < N\_SF$$

Then, square-rooted powers are normalized by the average to create the MDCT envelope.

$env[isf][ib] = env\_tmp[isf][ib] / env\_avr[isf]$ ,  
for  $0 \leq isf < N\_SF, 0 \leq ib < N\_CRB$

**4.B.14.1.5.2 Weight calculation**

The weight is used for the vector quantization of the MDCT envelope.

$$env\_fwt[ib] = \frac{\sum_{ismp=iblow}^{ibhigh-1} (lpc\_spectrum[ismp])}{ibhigh - iblow}$$

**4.B.14.1.5.3 Quantization**

**4.B.14.1.5.3.1.1 Interframe prediction**

Before quantizing the MDCT envelope, the prediction switch is set. The values of envelope elements are subtracted by their average (is 1).

$$env[is][ib] = env[isf][ib] - 1, \text{ for } 0 \leq isf < N\_SF, 0 \leq ib < N\_CRB$$

Correlation between current-frame  $env[isf][ib]$  and previous-frame  $env[isf][ib]$  is calculated.

$$correlation = \frac{\sum_{ib=0}^{N\_CRB-1} env\_current[isf][ib] \cdot env\_previous[isf][ib]}{\sum_{ib=0}^{N\_CRB-1} (env\_current[isf][ib])^2}, \text{ for } 0 \leq isf < N\_SF$$

if  $N\_SF$  is not equal to 1, the envelope of the previous frame is set by a following equation:

$$env\_previous[0][ib] = 0,$$

$$env\_previous[isf][ib] = env\_current[isf - 1][ib], \text{ for } 0 \leq isf < N\_SF, 0 \leq ib < N\_CRB$$

If the correlation measure is greater than 0.5, prediction is on, otherwise, prediction is off.

$$\text{if } (correlation[isf] > 0.5) \text{ then } index\_fw\_alf[isf] = 1$$

$$\text{else } index\_fw\_alf[isf] = 0, \\ \text{for } 0 \leq isf < N\_SF$$

**4.B.14.1.5.3.1.2 Vector quantization of the MDCT envelope**

At the first step of quantization, the envelope and the weight vector are divided into  $FW\_N\_DIV$  subvectors.

$$denv[isf][ifdiv][icv] = env[isf][FW\_N\_DIV * icv + ifdiv], \\ \text{for } 0 \leq isf < N\_SF, 0 \leq ifdiv < N\_CRB, 0 \leq icv < FW\_CB\_LEN$$

$$dfwt[ifdiv][icv] = env\_fwt[FW\_N\_DIV * icv + ifdiv], \\ \text{for } ifdiv = 0 \text{ to } N\_CRB - 1, icv = 0 \text{ to } FW\_CB\_LEN - 1$$

Distortion measures  $fwdist[itmp]$  are calculated as follows.

$$alfq[isf] = index\_fw\_alf[isf] \cdot FW\_ALF\_STEP$$

$$fwdist[isf][itmp] = \sum_{icv=0}^{FW\_CB\_LEN-1} dfwt[ifdiv][icv]^2 \cdot (denv[isf][ifdiv][icv] - alfq \cdot p\_cv\_env[isf][icv] - cb\_env[itmp][icv])^2$$

for  $0 \leq isf < N\_SF, 0 \leq itmp < FW\_CB\_SIZE, 0 \leq ifdiv < FW\_N\_DIV$

The  $cv\_env[][]$  are elements of the envelope codebook. The  $p\_cv\_env[]$  represents the MDCT envelope of the previous frame. It is calculated by the same procedure mentioned in subclause 4.6.10 of spectrum normalization tool.

Finally,  $itmp$  for the minimum distortion measure  $fwdist[itmp]$  is the quantization index  $index\_env[ifdiv]$ .

#### 4.B.14.1.5.3.1.3 Local decoding

After the vector quantization, the MDCT envelope is reproduced as follows.

```
for (isf = 0; isf < N_SF; isf++) {
    alfq[isf] = index_fw_alf[isf] * FW_ALF_STEP
    for (ifdiv = 0; ifdiv < FW_N_DIV; ifdiv++) {
        for (icv = 0; icv < FW_CB_LEN; icv++) {
            ienv = FW_N_DIV * icv + ifdiv;
            dtmp = cv_env[index_env[isf][ifdiv]][icv];
            qenv_b[isf][ienv] = dtmp + alfq * p_cv_env[isf][icv] + 1;
            if (N_SF == 1)
                p_cv_env[isf][icv] = dtmp;
            else
                p_cv_env[isf-1][icv] = dtmp;
        }
    }
}
```

The  $cv\_env[][]$  are the envelope codebook.

Then, Bark-scale envelope  $qenv\_b[isf][ienv]$  are projected into linear-scale envelope  $qenv[isf][ismp]$ .

```
for (isf = 0; isf < N_SF; ist++) {
    for (ib = 0; ib < N_CRB; ib++) {
        for (ismp = iblow[ib]; ismp < ibhigh[ib]; ismp++) {
            qenv[isf][ismp] = qenv_b[isf][ib];
        }
    }
}
```

#### 4.B.14.1.6 Second-stage flattening

$X_{flat2}[][]$  are flattened as follows:

$$X_{flat2}[isf][j] = X_{flat1}[isf][j] / qenv[j] \quad \text{for } 0 \leq isf < N\_SF, 0 \leq j < N\_FR$$

#### 4.B.14.1.7 Gain quantization and amplitude normalization

Before quantizing the flattened MDCT coefficients, their amplitudes are normalized by the gain factor.

The gain factor is calculated as follow:

$$gain[isf] = \frac{\sqrt{\sum_{ismp=0}^{N\_FR-1} X_{flat2}[isf]^2}}{N\_FR \cdot AMP\_NM}, \quad \text{for } 0 \leq isf < N\_SF$$

If  $N\_SF == 1$ , the gain factor is quantized using the following equation:

$$index\_gain[0] = (\text{int}) \frac{\log_{10}(1 + MU \cdot gain[0] / AMP\_MAX)}{\log_{10}(1 + MU)}$$

Then, gain factor is locally decoded.

$$g\_temp = index\_gain * STEP + STEP / 2$$

$$qgain = AMP\_MAX * (\exp_{10}(g\_temp * \log_{10}(1+MU) / AMP\_MAX) - 1) / MU$$

$$qgain /= AMP\_NM$$

If  $N\_SF > 1$ , the global gain and subframe gains are quantized:

$$index\_gain[0] = (\text{int}) \frac{\log_{10}(1 + MU \cdot gain[0] / AMP\_MAX)}{\log_{10}(1 + MU)}$$

Finally, the flattened MDCT coefficients are normalized by the decoded gain factor  $qgain$ .

$$U[ismp] = X_{flat2}[ismp] / qgain \quad \text{for } ismp = 0 \text{ to } N\_FR - 1$$

#### 4.B.15 Scalable AAC with core coder

##### Mono or Stereo Encoder

The description provided below describes one possible way of achieving bit rate scalability. Bit-rate functionality can also be achieved using individual coding schemes as well. Here, a particular configuration is described where the GA modules and a core coder is used. Since it is based on the calculation of a difference signal, the core coder must encode the waveform of the input signal. The MPEG-4 CELP coder is the coder to be used with this tool in MPEG-4 Audio. Furthermore, the tool has been successfully tested with the ITU-T G.729 and G.723.1 codec and with the FS1016 CELP coder. However none of these coders is available in a MPEG-4 system.

In addition to the core coder there is at least one enhancement layer based on the MPEG-4 Audio GA coding modules. In order to allow for integer frame lengths, depending on the core coder, alternative frame lengths for the AAC module may be used. The GA modules provide, in addition to the standard 1024 length, also a 960 samples per frame implementation, which at 48 kHz sampling rate leads to 20 ms and at 32 kHz to a frame length of 30 ms. This allows for an easy integration of the MPEG-4 CELP core, and to construct bitstream payload frames which integrate standard speech coders, like G.729, which have a frame length of a multiple of 10 ms.

The bitrate of the additional layers can be any bit rate defined for the GA based enhancement stages. The ratio of the sampling rate of the core coder and the sampling rate of the enhancement coder must be an integer.

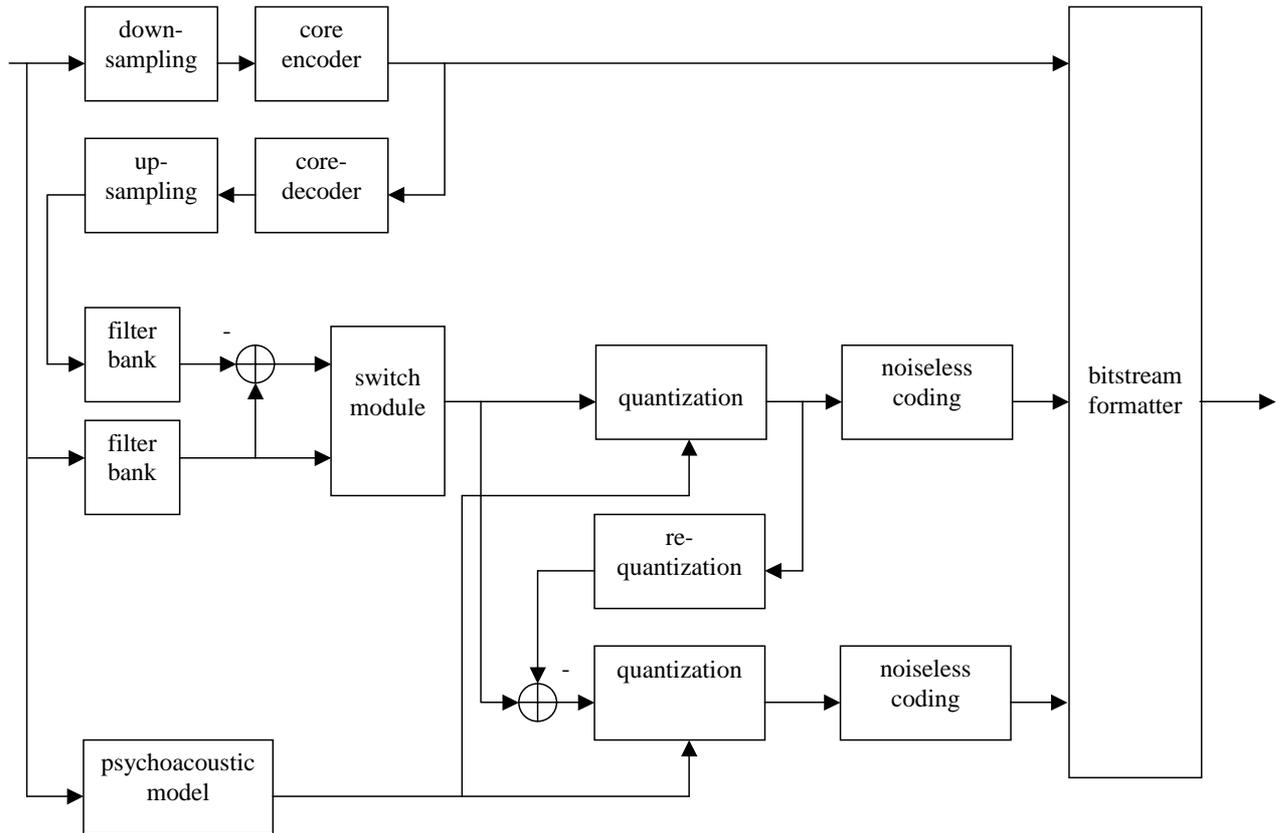


Figure 4.B.3 – Encoder process

Mixed Mono/Stereo Coder

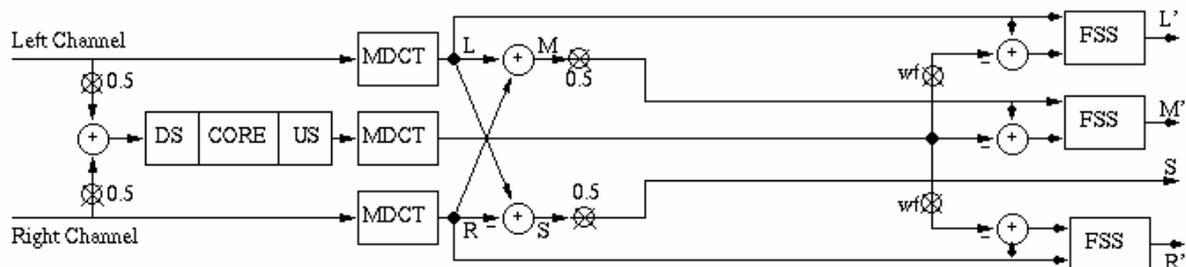


Figure 4.B.4 – Core + stereo GA

From the stereo input signal a mono signal is derived, which is then coded/decoded with a core coder, which - in general - operates at a lower sampling rate, up-sampled and transformed into the frequency-domain. This part is identical to the corresponding part of the mono scalable coder. Next the standard M/S-Stereo matrix, as defined for the AAC coder is calculated on the spectra of the Left (L) and Right (R) signal, giving the Mid (M) and Side (S) signals. Three Frequency-Selective Switch modules (FSS) - identical to the switch modules of the mono scalable coder - then are used to generate the signals L', R' and M' from the L, R, and M signals. These signals and the original S signal then are used as the input signal to the standard AAC joint stereo coding modules. Both, M/S- and Intensity stereo coding is possible. The standard AAC ms\_mask\_present and ms\_used[] flags are used to indicate M/S or L/R coding. However, since either M/S or L/R coding is used, it is not necessary to transmit all three FSS-related side-information. Instead, if M/S coding is selected for a band, only the FSS information for the M-switch needs to be transmitted in diff\_control[0][win][sfb]. diff\_control[1][win][sfb] is not transmitted in this case. If L/R coding is selected the FSS-side-information for the L and R channel needs to be transmitted in diff\_control[0][win][sfb] for the left channel and in diff\_control[1][win][sfb] for the right channel.

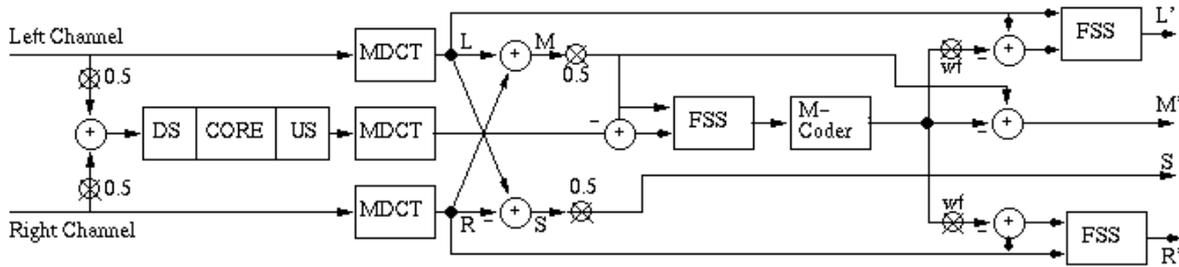


Figure 4.B.5 – Core + mono GA + stereo GA

This is an extended version of the Core + stereo GA coder. An additional GA - Coder is used to encode the output signal of the core-coder in the frequency domain. This makes the core plus M - GA coder combination identical to the scalable mono core plus GA coder. Two more FSS modules allow to select L'/R' to be identical to L, or R, respectively, or  $L - M \cdot wf$  or  $R - M \cdot wf$ . Again some of the switching conditions need not to be transmitted: If M/S coding is selected, only the FSS information for the M-switch needs to be transmitted in `diff_control[0][win][sfb]`. `diff_control[1][win][sfb]` is not transmitted. If L/R coding is selected the FSS-side-information for the L and R and the M channel needs to be transmitted in `diff_control[0][win][sfb]` for the left channel and in `diff_control[1][win][sfb]` for the right channel, and `diff_control_m[win][0]` for the M channel.

**4.B.16 Scalable controller**

This tool controls the spectrum normalization and interleave vector quantization tools to construct a scalable coder. In each scalable layer, spectrum normalization and interleaved vector quantization tool is cascaded. Each scalable layer has active frequency band which is shifted according to signal characteristics.

**4.B.17 Fine grain scalability: BSAC (Bit-Sliced Arithmetic Coding)**

**4.B.17.1 Introduction**

In the BSAC encoder the inputs to the noiseless coding module are the set of 1024 quantized spectral coefficients and the scalefactor of the scalefactor band. Since the noiseless coding is done inside the quantizer inner loop, it is part of an iterative process that converges when the total bit count (of which the noiseless coding is the vast majority) is within some interval surrounding the allocated bit count. This subclause will describe the encoding process for a single call to the noiseless coding module.

Noiseless coding is done via the following steps:

- Bit Slicing of the quantized spectral coefficient
- Preliminary Arithmetic coding of the set of quantized spectrum within a coding band using the probability table
- Preliminary Arithmetic coding of the scalefactors, stereo information, arithmetic model information.
- Probability table determination to achieve lowest bit count

**4.B.17.2 Bit Slicing of the quantized spectral coefficients**

As a first step of BSAC encoding process, a sequence of the absolute values of quantized spectral coefficients is mapped into a bit-sliced sequence as shown in the following Figure 4.B.6.

MSB			LSB		
$B_{0,m}$	$B_{0,m-1}$	...	$B_{0,0}$		$0^{\text{th}}$ quantized spectral data
$B_{1,m}$	$B_{1,m-1}$	...	$B_{1,0}$		
$B_{2,m}$	$B_{2,m-1}$	...	$B_{2,0}$		
...	...	...	...		
$B_{k,m}$	$B_{k,m-1}$	...	$B_{k,0}$		$k^{\text{th}}$ quantized spectral data

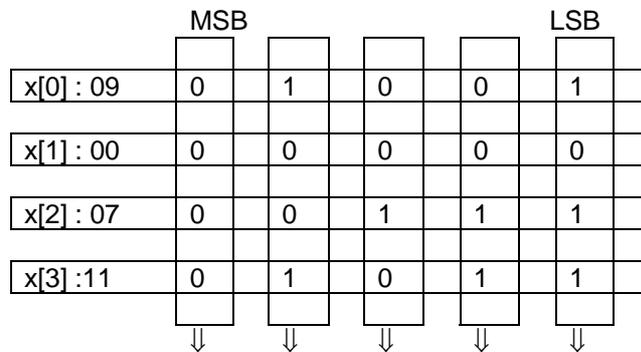
where, MSB plane is  $(m+1)$  bit and  $B_{k,m}$  indicates the binary value of the  $m^{\text{th}}$  bit-slice of  $k^{\text{th}}$  quantized spectral coefficients

**Figure 4.B.6 – Bit slicing of the quantized spectral coefficients**

For example, consider a sequence of the absolute values,  $x[n]$  as follows :

$$x[0] = 9, x[1]=0, x[2]=7 \text{ and } x[3]=11 \dots$$

If MSB plane is 5, bit-slices are formed from a quantized sequence as shown in Figure 4.B.7 :



**Figure 4.B.7 – Example of Bit-Slicing**

**4.B.17.3 Probability table determinations**

Several probability tables are provided to cover the different statistics of the bit-slices. One probability table is used for encoding the bit-sliced data of each coding band. The noiseless coding segments the set of 1024 quantized spectral coefficients into *coding bands*, such that a single probability table is used to code each coding band (the method of Arithmetic coding is explained in a later subclause). For reasons of coding efficiency, the quantized spectral coefficients are divided into *coding bands* which contain 32 quantized spectral coefficients for the noiseless coding. *Coding bands* are the basic units used for the noiseless coding for BSAC.

The bit-sliced data is decoded with the probability value which is selected among the values of the BSAC probability table.

The probability value should be defined in order to arithmetic-code the symbols (the sliced bits). Binary probability table is made up of probability values ( $p_0$ ) of the symbol '0'. First of all, sub-table is selected according to the significance and the coded higher bits of the quantized spectra. The offset for the probability ( $p_0$ ) can be decided using the sliced bits of successive non-overlapping 4 spectral data in order to select one of the several probability values in the sub-table. However if the available codeword size is smaller than 14, there is a constraints on the selected probability value.

Probability table index is determined among the possible tables, such that the number of bits needed to represent the full set of the bit-sliced data of quantized spectral coefficients within each coding band is minimized. The possible arithmetic models have the number of the allocated bit larger than or equal to that of the bit needed to represent the PCM data of quantized spectral coefficients within a coding band.

Coding bands often contain only coefficients whose value is zero. For example, if the audio input is band limited to 20 kHz or lower, then the highest coefficients are zero. Such coding bands are coded with probability table 0, where the allocated bit is 0 and all coefficients are zero.

In order to transmit the probability table information used in encoding process, it is included the coding band side information (*cband\_si*) and coded in the syntax of *layer\_cband\_si()*. The probability table index for encoding the bit-sliced data within each coding band is transmitted starting from the lowest frequency coding band and progressing to the highest frequency coding band. For all arithmetic model indices the value is arithmetic-coded. After the model index is encoded, the encoding of the bit-sliced data shall be started.

**4.B.17.4 Grouping and interleaving**

If the window sequence is eight short windows then the set of 1024 coefficients is actually a matrix of 8 by 128 frequency coefficients representing the time-frequency evolution of the signal over the duration of the eight short windows. Although the sectioning mechanism is flexible enough to efficiently represent the 8 zero sections, *grouping* and *interleaving* provide for greater coding efficiency. As explained earlier, the coefficients associated with contiguous short windows can be grouped such that they share scalefactors amongst all scalefactor bands within the group. In addition, the coefficients within a group are interleaved by interchanging the order of scalefactor bands and windows. To be specific, assume that before interleaving the set of 1024 coefficients *c* are indexed as

$$c[g][w][k/4][k\%4]$$

where

*g* is the index on groups,

*w* is the index on windows within a group,

*k* is the index on coefficients within a window,

and the right-most index varies most rapidly.

After interleaving the coefficients are indexed as

$$c[g][k/4][w][k\%4]$$

This has the advantage of combining all zero sections due to band-limiting within each group.

**4.B.17.5 Scalefactors**

The coded spectrum uses one quantizer per scalefactor band. The step size of each of these quantizers is specified as a set of scalefactors and a maximum scalefactor that normalizes these scalefactors. In order to increase compression, scalefactors associated with scalefactor bands that have only zero-valued coefficients are ignored in the coding process and therefore do not have to be transmitted. Both the maximum scalefactor and scalefactors are quantized in 1.5 dB steps.

The BSAC scalable coding scheme includes the noiseless coding to reduce the redundancy of the scalefactors. The maximum scalefactor is coded as an 8 bit unsigned integer. The first scalefactor associated with the quantized spectrum is differentially coded relative to the maximum scalefactor and the arithmetic coded using the differential scalefactor arithmetic model. The remaining scalefactors are differentially coded relative to the previously encoded scalefactor and then Arithmetic coded using the differential scalefactor model. The dynamic range of the maximum scalefactor is sufficient to represent full-scale values from a 24-bit PCM audio source.

**4.B.17.6 Arithmetic coding**

BSAC uses the bit-slicing scheme of the quantized spectral coefficients in order to provide the fine grain scalability. And it encode the bit-sliced data using binary arithmetic coding scheme in order to reduce the average bits transmitted while suffering no loss of fidelity.

In BSAC scalable coding scheme, a quantized sequence is divided into coding bands. And, a quantized sequence is mapped into a bit-sliced sequence within a coding band. The noiseless coding of the sliced bits relies on probability table of the coding band, the significance and the other contexts.

The significance of the bit-sliced data is the position of the sliced bit to be coded.

The flags, *sign\_is\_coded[]* are updated with coding the vectors from MSB to LSB. They are initialized to 0. And they are set to 1 when the sign of the quantized spectrum is coded.

The probability table for encoding the bit-sliced data within each coding band is included in the bistream element **cband\_si\_type** and transmitted starting from the lowest coding band and progressing to the highest coding band allocated to each layer.

The length of the available bitstream payload (*available\_len[]*) is initialized at the beginning of each layer. The estimated length of the codeword (*est\_cw\_len*) to be decoded is calculated from the arithmetic decoding process. After the arithmetic encoding of a symbol, the length of the available bitstream payload should be updated by subtracting the estimated codeword length from it. We can detect whether the remaining bitstream payload of each layer is available or not by checking the *available\_len*.

The sign bits associated with non-zero coefficients follow the arithmetic codeword when the bit-value of the quantized spectral coefficient is 1 for the first time, with a 1 indicating a negative coefficient and a 0 indicating a positive one. The flag, *sign\_is\_coded[]* represents whether the sign bit of the quantized spectrum has been decoded or not. When the flag, *sign\_is\_coded* is 0 and the bit value of quantized spectral coefficient is nonzero, the sign bit of a sample is binary arithmetic encoded. The flag, *sign\_is\_coded* is set to 1 after the sign bit is encoded.

#### 4.B.17.6.1 Arithmetic coding procedure

Arithmetic Coding consists of the following 3 steps :

- Initialization which is performed prior to the coding of the first symbol
- Coding of the symbol themselves.
- Termination which is performed after the decoding of the last symbol

##### 4.B.17.6.1.1 Registers, symbols and constants

Several registers, symbols and constants are defined to describe the arithmetic encoder.

- *coding\_mode* : 1-bit fixed point register which represents the 1-bit scale-up is used or not.
- *half*: 32-bit fixed point constant equal to  $1/2(0x20000000)$
- *qtr*: 32-bit fixed point constant equal to  $1/4(0x10000000)$
- *qtr3*: 32-bit fixed point constant equal to  $3/4(0x30000000)$
- *low*: 32-bit fixed point register. Contains the lower bound of the interval
- *range*: 32-bit fixed point register. Contains the range of the interval.
- *p0*: 16-bit fixed point register. Probability of the "0" symbol.
- *p1*: 16-bit fixed point register. Probability of the "1" symbol.
- *cum\_freq* : 16-bit fixed point registers. Cumulative Probabilities of the symbols.

##### 4.B.17.6.1.2 Initialization

The lower bound *low* is set to 0, the range *range* to  $half*2$  ( $0x40000000$ ).

##### 4.B.17.6.1.3 Encoding a symbol

Arithmetic encoding procedure varies on the symbol to be encode. If the symbol is the sliced bit of the spectral data, the binary arithmetic encoding is used. Otherwise, the general arithmetic encoding is used.

When a symbol is binary arithmetic-encoded, the probability *p0* of the "0" symbol is provided according to the context computed properly and using the probability table. *p0* uses a 6-bit fixed-point number representation. Since the decoder is binary, the probability of the "1" symbol is defined to be 1 minus the probability of the "0" symbol, i.e.  $p1 = 1 - p0$ .

When a symbol is encoded using arithmetic encoding, the cumulative probability values of multiple symbols are provided. The probability values are regarded as the arithmetic model. The arithmetic model for encoding a symbol is transmitted in the data elements. For example, arithmetic models of scalefactor and **cband\_si** are transmitted in the data elements, **base\_scf\_model**, **enh\_scf\_model** and **cband\_si\_type**. Each value of the arithmetic model uses a 14-bit fixed-point representation.

**4.B.17.6.1.4 Termination**

After the last symbol has been coded in the arithmetic encoder, additional bits need to be “introduced” to guarantee decodability.

**4.B.17.7 Stereo-related data and PNS data**

The BSAC scalable coding scheme includes the noiseless coding which is different from MPEG-4 AAC coding and further reduce the redundancy of the stereo-related data. Encoding of the stereo-related data and Perceptual Noise Substitution(pns) data is depended on `pns_data_present` and **stereo\_info** which indicates the stereo mask. Since the decoded data is the same value with MPEG-4 AAC, the MPEG-4 AAC stereo-related data and pns processing follows the decoding of the stereo-related data and pns data. The detailed encoding process of stereo-related data and pns data is classified as follows:

- 1 channel, no pns data: If the number of channel is 1 and pns data is not present, we do not need data elements related to stereo or pns.
- 1 channel, pns data: If the number of channel is 1 and pns data is present, noise flag of the scalefactor bands between **pns\_start\_sfb** to **max\_sfb** is arithmetic encoded. Perceptual noise substitution is done according to the noise flag.
- 2 channel, `ms_mask_present = 0` (Independent), No pns data: If `ms_mask_present` is 0 and pns data is not present, arithmetic decoding of `stereo_info` or `ms_used` is not needed.
- 2 channel, `ms_mask_present = 0` (Independent), pns data: If `ms_mask_present` is 0 and pns data is present, noise flag for pns is arithmetic encoded. Perceptual noise substitution of independent mode is done according to the noise flag.
- 2 channel, `ms_mask_present = 2` (all `ms_used`), pns data or no pns data: All `ms_used` values are ones in this case. So, M/S stereo processing of AAC is done at all scalefactor band. And naturally there can be no pns processing regardless of `pns_data_present` flag.
- 2 channel, `ms_mask_present = 1` (optional `ms_used`), pns data or no pns data: One bit mask of `ms_used` per band for `max_sfb` bands is conveyed in this case. `ms_used` is arithmetic encoded using the `ms_used` model. M/S stereo processing of AAC is done or not according to the decoded `ms_used`. And there is no pns processing regardless of `pns_data_present` flag
- 2 channel, `ms_mask_present = 3` (optional `ms_used/intensity/pns`), no pns data: At first, `stereo_info` is arithmetic encoded using the `stereo_info` model. `stereo_info` is is two-bit flag per scalefactor band indicating that M/S coding or Intensity coding is being used in window group `g` and scalefactor band `sfb` as follows :
  - 00 independent
  - 01 `ms_used`
  - 10 `intensity_in_phase`
  - 11 `intensity_out_of_phase`
- If `ms_mask_present` is not 0, M/S stereo or intensity stereo of AAC is done with these data. Since pns data is not present, we do not have to process pns.

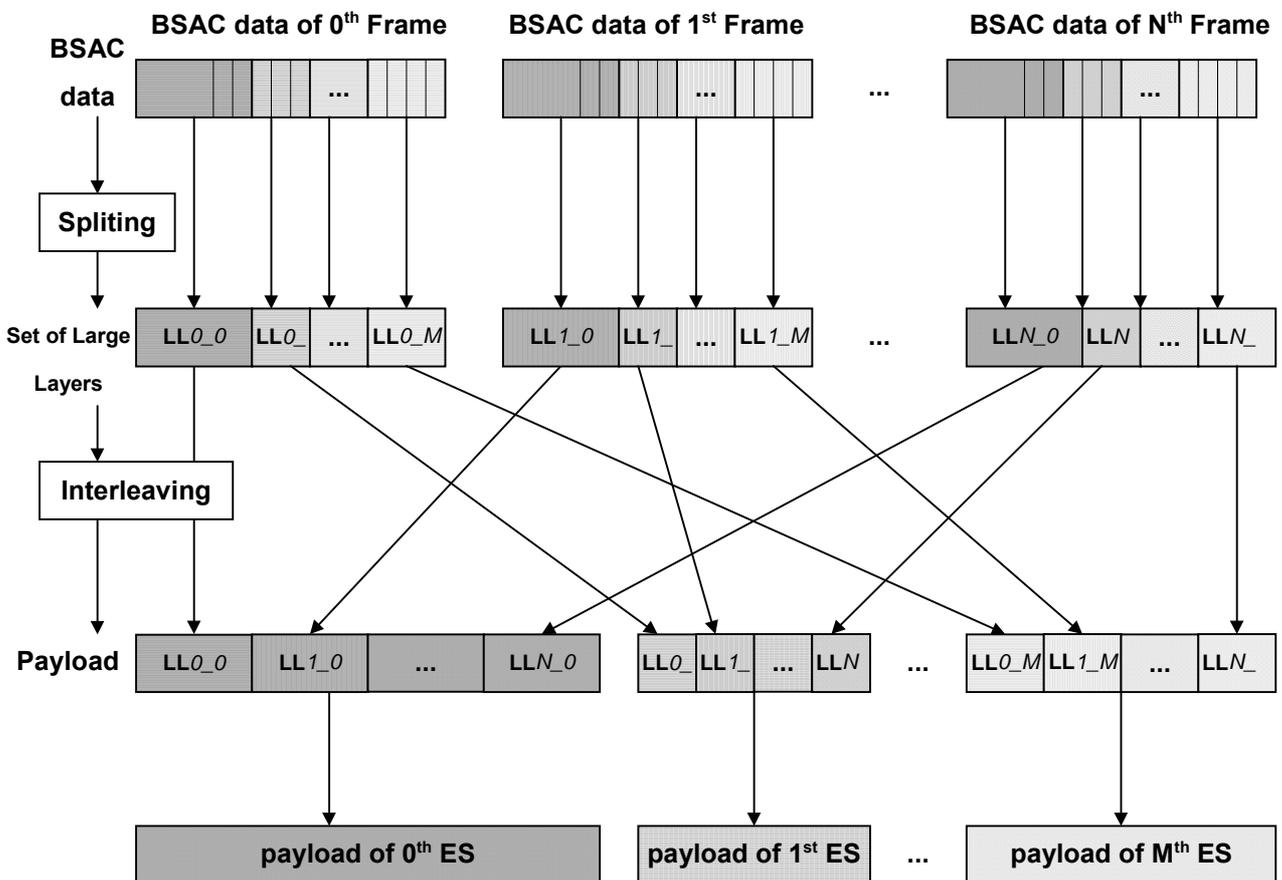
2 channel, `ms_mask_present = 3` (optional `ms_used/intensity/pns`), pns data: `stereo_info` is arithmetic encoded using the `stereo_info` model. If `stereo_info` is 1 or 2, M/S stereo or intensity stereo processing of AAC is done with these data and there is no pns processing. If `stereo_info` is 3 and scalefactor band is larger than or equal to `pns_start_sfb`, noise flag for pns is arithmetic encoded. And then if the both noise flags of two channel are 1, noise substitution mode is arithmetic encoded. Otherwise, the perceptual noise is substituted only if noise flag is 1.

**4.B.17.8 Payload transmitted over elementary stream**

Fine grain scalability would create large overhead if one would try to transmit fine step scalability over multiple elementary streams. So, the server can organize the BSAC data into the payloads in order to reduce overhead and implement the fine step scalability efficiently in MPEG-4 system. The configuration of the payload can be changed according to the environment such as the user interaction or the network traffic. The organization scheme is as follows:

- BSAC data of a frame is split into the large-step layers for transmission. The number of the layer and the length of each layer depend on the application that the content creator is willing to provide to the users. The length of the large-step layer, *layer\_length[]* can be calculated according to the bitrate of the layer to be transmitted, the sampling frequency and the frame length in sample. The length of the large-step layer is transmitted to the receiver through the syntax element **layer\_length** in GA Specific Configuration. In the course of the transmission, this value can be changed at a request of `bsac_backchan_stream()`. And if the user request the configuration of the payload through the back-channel, these values can be changed according to the syntax elements **numOfLayer** and **Avg\_bitrate** of `bsac_backchan_stream()`.
- BSAC data of several frames are grouped and transmitted at a time. The layers of the sub-frames are interleaved into the payload. The number of the grouped frame depends on the available delay of the application that will be transmitted to the users. The content provider should initialize this number to the proper value. And if the user request the configuration of the payload through the backchannel, this value can be changed. This number is transmitted to the receiver in the value of the syntax element **noOfSubFrame** in GA Specific Configuration. In the course of the transmission, this value can be changed at a request of `bsac_backchan_stream()`.

In the server, the payload is formed as shown in the following Figure 4.B.8.



where,  $LL_{i_k}$  is the k-th large-step layer of the i-th sub-frame

(M+1) is the number of the large-step layer to be transmitted (`numOfLayer`)

(N+1) is the number of the sub-frame to be grouped in an AU (`numOfSubFrame`)

**Figure 4.B.8 – The manufacture process of the BSAC payload**

1. The BSAC data of i-th sub-frame is split into several large layers for transmission over ES as in Figure 4.B.9.

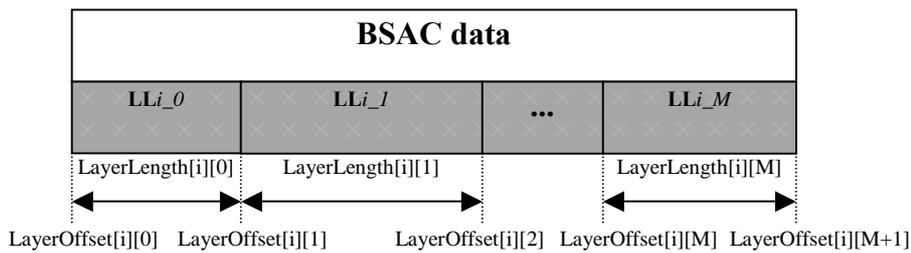


Figure 4.B.9 – The structure of the BSAC data

2. Large layers of M-subsequent frames are interleaved and concatenated to the payloads.

Some help variables and arrays are needed to describe the generation process of the payload transmitted over ES. These help variables depend on layer, numOfLayer, numOfSubFrame, **layer\_length** and **frame\_length** and must be built up for mapping `bsac_raw_data_block()` of each sub-frame into the payloads. The pseudo code shown below describes

- how to calculate  $LayerLength[i][k]$ , the length of the large-step layer which is located on the fine granule audio data, `bsac_raw_data_block()` of  $i^{th}$  sub-frame.
- how to calculate  $LayerOffset[i][k]$  which indicates the start position of the large-step layer of  $i^{th}$  frame which is located on the payload of the  $k^{th}$  ES ( `bsac_payload()` )
- how to calculate  $LayerStartByte[i][k]$  which indicates the start position of the large-step layer which is located on the fine granule audio data, `bsac_raw_data_block()` of  $i^{th}$  sub-frame

```

for (k = 0; k < numOfLayer; k ++ ) {
  LayerStartByte[0][k] = 0;
  for (i = 0; i < numOfSubFrame; i++) {
    if (k == (numOfLayer-1) ) {
      LayerEndByte[i][k] = frame_length[i];
    } else {
      LayerEndByte[i][k]=LayerStartByte[i][k] + layer_length[k];
      if (frame_length[i] < LayerEndByte[i][k])
        LayerEndByte [i][k] = frame_length[i];
    }
    LayerStartByte[i+1][k] = LayerEndByte[i][k];
    LayerLength[i][k] = LayerEndByte[i][k] - LayerStartByte[i][k];
  }
}
for (k = 0; k < numOfLayer; k ++ ) {
  LayerOffset[0][k] = 0;
  for (i = 0; i < numOfSubFrame; i++) {
    LayerOffset[i+1][k] = LayerOffset[i][k] + LayerLength[i][k]
  }
}

```

Where  $frame\_length[i]$  is the length of  $i^{th}$  frame bitstream payload which is obtained from the syntax element **frame\_length** and  $layer\_length[i]$  is the average length of the large-step layers in the payload of  $i^{th}$  layer ES.

#### 4.B.17.9 Payload transmitted over elementary stream for the BSAC extension payloads

##### 4.B.17.9.1 Introduction

There are two ways to transmit BSAC payload to support the functionality of FGS. One is to drop the Elementary Streams for the BSAC Access Unit. The other is to truncate the BSAC payload on the server side. The former occurs on the sync and the delivery layer, while the latter occurs on the compression layer. The two methods can be used simultaneously.

4.B.17.9.2 The drop of ES on the sync and the delivery layer

The BSAC payload of each layer is grouped and interleaved for the efficient transmission. It is necessary to calculate the payload length of each layer with the BSAC header information and the *numOfLayer* specified in *GASpecificConfig()*, so that the de-interleaving operation can be performed at the decoder side. The grouped and interleaved payloads are assigned to one ES or several ESs. Each ES has *streamPriority* specified in the ES descriptor and each SL packet has *degradationPriority* specified in the SL packet header. The *streamPriority* and *degradationPriority* enable several ESs or SL packets to be dropped for scalable transmission on the sync and the delivery layer.

Figure 4.B.10 shows an example of scalable transmission for BSAC multichannel case. In this example, the value of *numOfSubFrame* is set to 2. At the delivery layer, several SL packets having the low *SL\_PacketPriority* can be dropped.

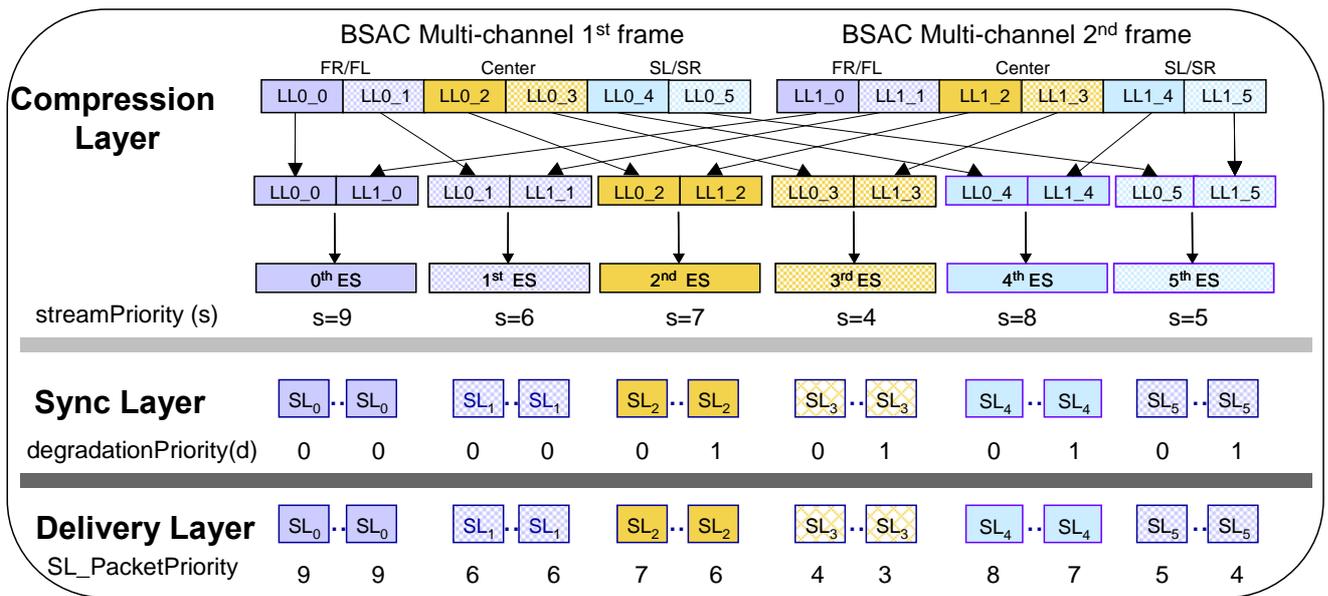


Figure 4.B.10 – Scalable transmission of BSAC multichannel payload

Figure 4.B.11 shows an example of scalable transmission for BSAC stereo case with SBR tool. In this example, the value of *numOfSubFrame* is set to 2. At the delivery layer, several SL packets having the low *SL\_PacketPriority* can be dropped and the dropped SL packets correspond to enhancement layer of the BSAC stereo payload. As the numbers of dropped SL packets are increased, the total bitrate to be transmitted is reduced and results in graceful degradation.

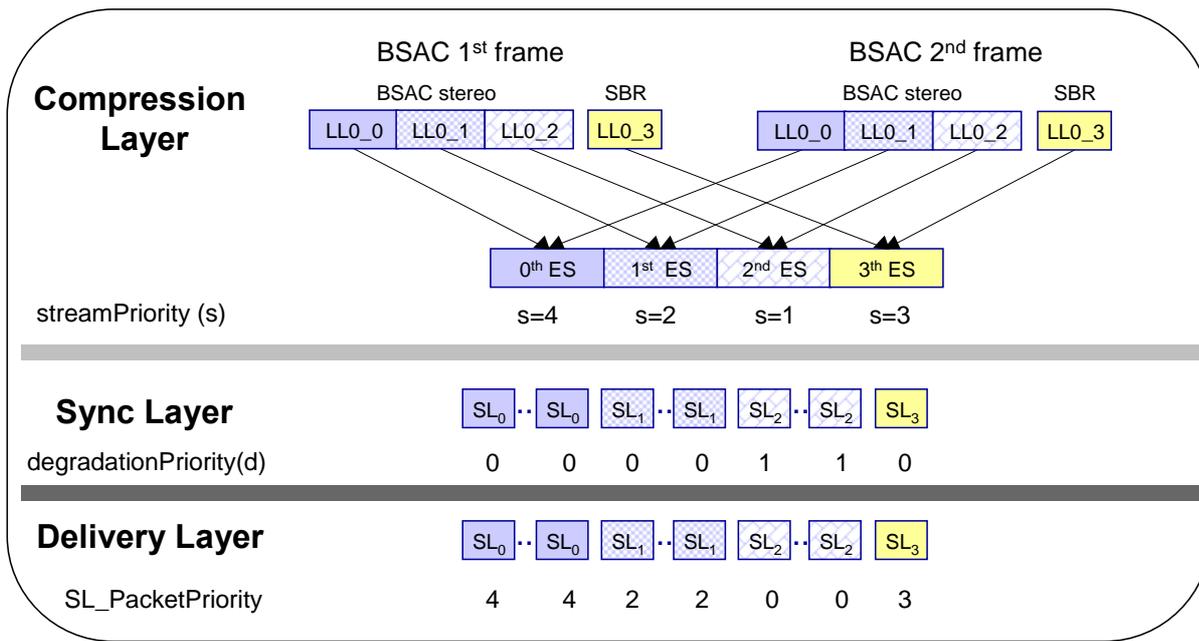


Figure 4.B.11 – Scalable transmission of BSAC and SBR payload

4.B.17.9.3 The truncation of bitstreams on the compression layer

The encoded bitstream can be truncated and transmitted with the ‘light-weight server processes’. In case of transmission without BSAC extension payload, truncation process is rather simple. With the given target bitrate, the target layer is calculated. The BSAC payload is truncated down to the target layer and transmitted over ESs.

In case of transmitting BSAC extension payload, truncation process requires an additional processing to parse the bitstream called “light-weight server processes”. The *zero\_code* and *sync\_word* can be used to separate the BSAC payload and BSAC extended payload. Each BSAC extended payload contains the length information e.g. *element\_length* in *extended\_bsac\_base\_element()* and *count* in *extended\_bsac\_sbr\_data()*. With the length information, the decoder can detect if the bitstream is truncated by comparing the length of transmitted bitstream and the length described in the header. The length information also enables to reorganize the bitstream to get the BSAC Access Unit. The SBR extended payload is not allowed to be truncated.

Figure 4.B.12 shows an example of scalable transmission for BSAC stereo case with SBR when the bitstream is truncated at compression layer. In this example, the value of *numOfSubFrame* is set to 2. The server can truncate the bitstream for the given *numOfLayer* which can be determined by the payload from back-channel. The *zero\_code* and *sync\_word* should be concatenated at the end of the truncated BSAC bitstream.

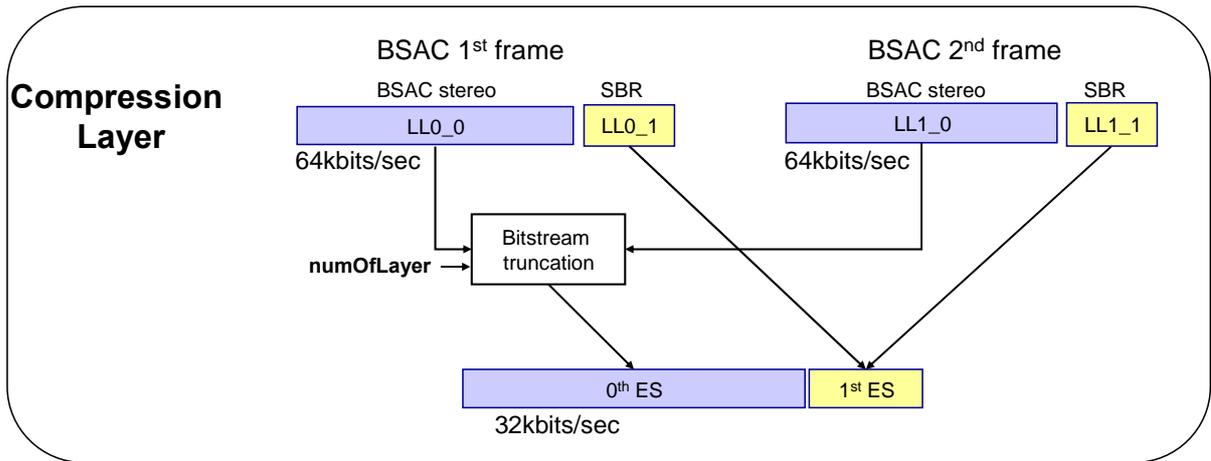


Figure 4.B.12 – Scalable transmission of BSAC and SBR payload by the bitstream truncation

4.B.17.10 Informative SBR encoder description for ER BSAC

The encoding block diagram is described in Figure 4.B.13.

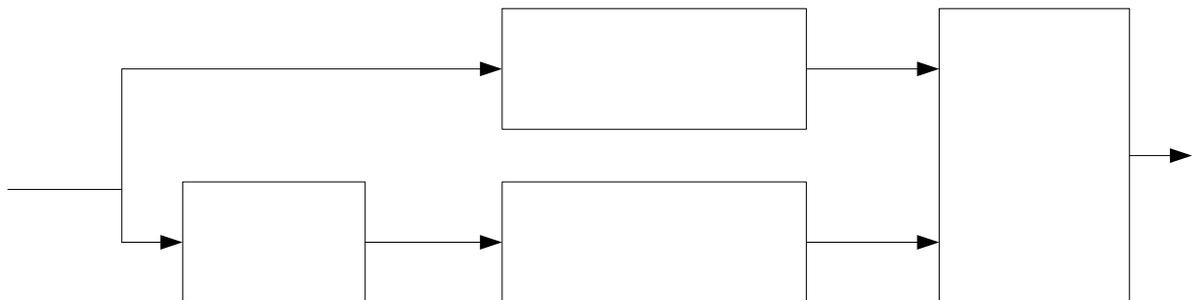


Figure 4.B.13 – Overview of the integration of ER BSAC and SBR Encoder

To support the functionality of FGS in case of BSAC with the SBR tool, the frequency range of SBR has some restriction. The SBR start frequency (represented as *bs\_start\_freq*) is aligned with the upper frequency of BSAC base layer (represented as *base\_band*). The frequency range of BSAC core can be scalable from base to top layer.

An example of the frequency coverage of a BSAC base layer and enhancement layers with respect to the frequency coverage of the SBR tool is shown in the following Figure 4.B.14.

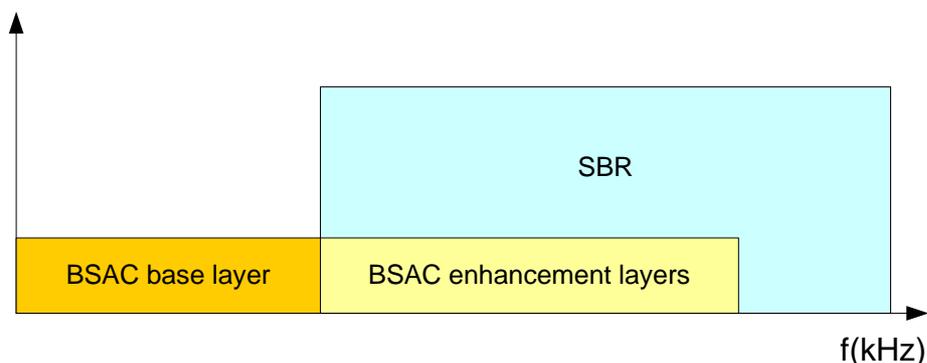


Figure 4.B.14 – Bandwidth coverage of BSAC core and SBR

4.B.18 Informative SBR encoder description

4.B.18.1 Encoder overview

The encoder part of the SBR tool estimates several parameters used by the high frequency reconstruction method on the decoder. The basic layout is depicted below.

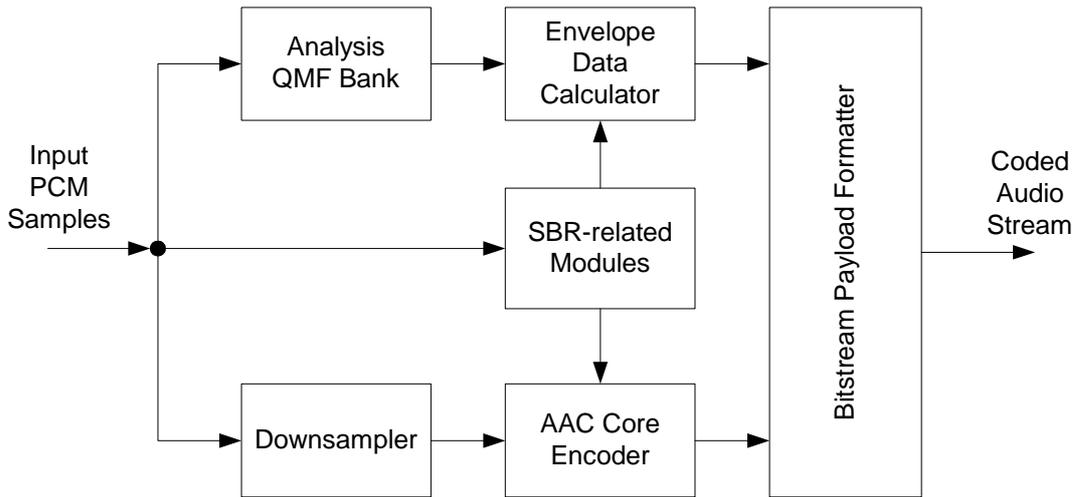


Figure 4.B.15 – Encoder overview

4.B.18.2 Analysis filterbank

Subband filtering of the input signal is done by a 64-subband QMF bank. The output from the filterbank, i.e. the subband samples, are complex-valued and thus oversampled by a factor two compared to a regular QMF bank. The flowchart of this operation is given in Figure 4.B.16. The filtering comprises the following steps, where an array *x* consisting of 640 time domain input samples are assumed. Higher indices into the array corresponds to older samples:

- Shift the samples in the array *x* by 64 positions. The oldest 64 samples are discarded and 64 new samples are stored in positions 0 to 63.
- Multiply the samples of array *x* by window *c*. The window coefficients are found in Table 4.A.89.
- Sum the samples according to the formula in the flowchart to create the 128-element array *u*.
- Calculate 64 new subband samples by the matrix operation **Mu**, where

$$M(k,n) = \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n + 1)}{128}\right), \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

In the equation, exp() denotes the complex exponential function and *i* is the imaginary unit.

Every loop in the flowchart produces 64 complex-valued subband samples, each representing the output from one filterbank subband. For every SBR frame the filterbank will produce *numTimeSlots* · *RATE* subband samples from every filterbank subband, corresponding to a time domain signal of length *numTimeSlots* · *RATE* · 64 samples. In the flowchart **X[k][l]** corresponds to subband sample *l* in QMF subband *k*.

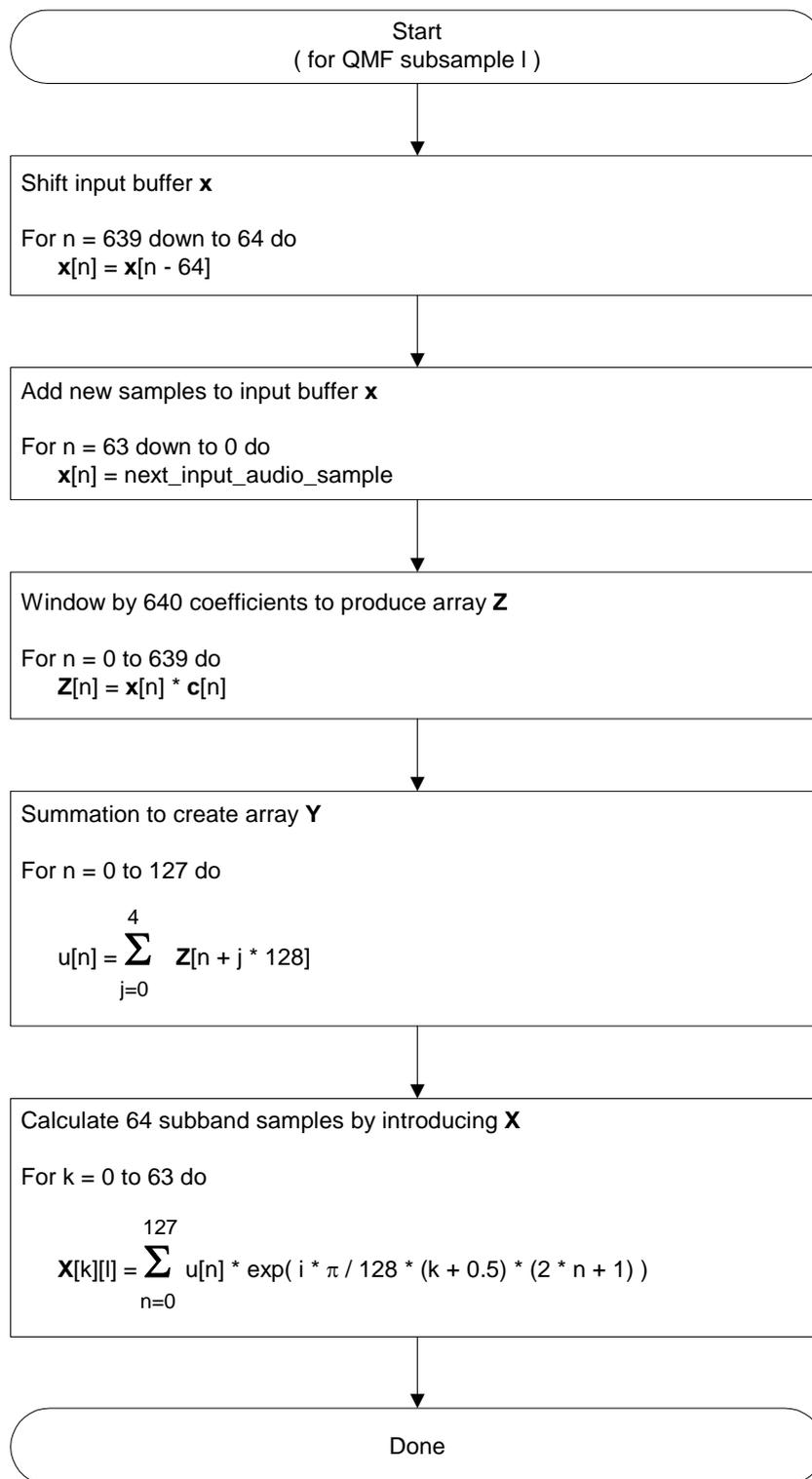


Figure 4.B.16 – Flowchart of encoder analysis QMF bank

### 4.B.18.3 Time / frequency grid generation

On the input signal, analysis is performed. Information obtained from this analysis is used to choose the appropriate time/frequency resolution of the current SBR frame. The algorithm calculates the start and stop time borders of the SBR envelopes in the current SBR frame, the number of SBR envelopes, as well as their frequency resolution. The different frequency resolutions are calculated as described in subclause 4.6.18.3. The algorithm also calculates the number of noise floors for the given SBR frame and start and stop time borders of the same. The start and stop time borders of the noise floors should be a subset of the start and stop time borders of the spectral envelopes. The algorithm divides the current SBR frame into four classes:

- FIXFIX - Both leading and trailing time borders equal nominal frame boundaries. All SBR envelope time borders in the frame are uniformly distributed in time.
- FIXVAR - Leading time border equals leading nominal frame boundary. Trailing time border uses time border according to data element *bs\_var\_bord\_1*. All SBR envelope time borders between the leading and trailing time border are specified by **bs\_rel\_bord\_1** as the relative distance in time slots to previous border, starting from the trailing time border.
- VARFIX - Leading time border uses time border according to data element *bs\_var\_bord\_0*. Trailing time border equals trailing nominal frame boundary. All SBR envelope time borders between the leading and trailing time border are specified by **bs\_rel\_bord\_0** as the relative distance in time slots to previous border, starting from the leading time border.
- VARVAR - Leading time border uses time border according to data element *bs\_var\_bord\_0*. Trailing time border uses time border according to data element *bs\_var\_bord\_1*. All SBR envelope time borders between the leading and trailing time borders are specified by **bs\_rel\_bord\_0** and **bs\_rel\_bord\_1**. The relative time borders starting from the leading time border are specified by **bs\_rel\_bord\_0** as the relative distance to previous time border. The relative time borders starting from the trailing time border are specified by **bs\_rel\_bord\_1** as the relative distance to previous time border.

There are no restrictions on SBR frame class transitions, i.e. any sequence of classes is allowed. However, the values of *bs\_var\_bord\_\** must be selected such that the leading border (SBR frame boundary) of the current SBR frame coincides with the trailing border (SBR frame boundary) of the previous SBR frame. Of course the values of *bs\_num\_rel\_\** and *bs\_rel\_bord\_\** must be selected such that all corresponding borders fall within the boundaries of the SBR frame in question. Furthermore, the maximum number of SBR envelopes per SBR frame is restricted to 4 for class FIXFIX (*bs\_num\_env* = {1,2,4}) and 5 for class VARVAR (using arbitrary combinations of values of *bs\_num\_rel\_0* and *bs\_num\_rel\_1*). Classes FIXVAR and VARFIX are syntactically limited to 4 SBR envelopes.

### 4.B.18.4 Envelope estimation

The spectral envelopes of the current SBR frame are estimated over the time segment and with the frequency resolution given by the time/frequency grid represented by  $\mathbf{t}_E$  and  $\mathbf{r}$ . The SBR envelope is estimated by averaging the squared complex subband samples over the given time/frequency regions.

$$\mathbf{E}(k - k_x, l) = \frac{\sum_{i=\text{RATE} \cdot \mathbf{t}_E(l)}^{\text{RATE} \cdot \mathbf{t}_E(l+1) - 1} \sum_{j=k_l}^{k_h - 1} |\mathbf{X}(j, i)|^2}{(\text{RATE} \cdot \mathbf{t}_E(l+1) - \text{RATE} \cdot \mathbf{t}_E(l)) \cdot (k_h - k_l)}, \quad k_l \leq k < k_h, \begin{cases} k_l = \mathbf{F}(p, \mathbf{r}(l)) \\ k_h = \mathbf{F}(p+1, \mathbf{r}(l)) \end{cases}, 0 \leq p < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E$$

In the case of stereo and coupling the energy is calculated according to:

$$\mathbf{E}_{\text{Left}}(k - k_x, l) = \frac{\sum_{i=\text{RATE} \cdot \mathbf{t}_E(l)}^{\text{RATE} \cdot \mathbf{t}_E(l+1) - 1} \sum_{j=k_l}^{k_h - 1} (|\mathbf{X}_{\text{Left}}(j, i)|^2 + |\mathbf{X}_{\text{Right}}(j, i)|^2)}{2 \cdot (\text{RATE} \cdot \mathbf{t}_E(l+1) - \text{RATE} \cdot \mathbf{t}_E(l)) \cdot (k_h - k_l)}$$

$$\mathbf{E}_{Right}(k - k_x, l) = \frac{\varepsilon + \sum_{i=RATE \cdot t_E(l)}^{RATE \cdot t_E(l+1)-1} \sum_{j=k_l}^{k_h-1} |\mathbf{X}_{Left}(j, i)|^2}{\varepsilon + \sum_{i=RATE \cdot t_E(l)}^{RATE \cdot t_E(l+1)-1} \sum_{j=k_l}^{k_h-1} |\mathbf{X}_{Right}(j, i)|^2}$$

$$\text{for } k_l \leq k < k_h, \begin{cases} k_l = \mathbf{F}(p, \mathbf{r}(l)) \\ k_h = \mathbf{F}(p+1, \mathbf{r}(l)) \end{cases}, 0 \leq p < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E.$$

For stereo with no channel coupling, the energy for every channel is calculated as in the mono case.

#### 4.B.18.5 Additional control parameters

In order to achieve optimal results, given the HF generator used in the decoder, several additional parameters apart from the spectral envelope are assessed. The noise floor scalefactor is estimated for the current SBR frame. It is defined as the ratio between the energy of the noise that should be added to a particular frequency band, in order to obtain a similar tonal to noise ratio to that of the original signal, and the energy of the HF generated signal for that frequency band.

$$\mathbf{Q} = \frac{\text{Energy}_{Additional\_Noise}}{\text{Energy}_{HF\_Generated}}$$

The noise floor scalefactor is estimated once or twice per SBR frame dependent on the number of spectral envelopes estimated for the SBR frame (indicated by  $t_Q$ ). The frequency resolution for the noise floor scalefactor is calculated according to the same algorithm subsequently used in the decoder and described in the subclause 4.6.18.3. The start and stop time borders of the different noise floors are given from the time grid.

The level of the inverse filtering applied in the decoder is estimated for different frequency ranges with the same frequency resolution as used for the noise floor scalefactor estimation. The estimation algorithm compares the tonality of the original and the tonality that will be attained after the HF generator in the decoder. The ratio between the two is mapped to four different inverse filtering levels, off, low, mid and high. These levels corresponds to different chirp factors in the HF generator as outlined in subclause 4.6.18.5. Moreover, the encoder assesses where a strong tonal component will be missing after the HF generation in the decoder. This detection is done on the highest frequency resolution given by the high frequency resolution table,  $\mathbf{f}_{TableHigh}$ . The level of the tonal component is implicitly coded by the SBR envelope and the noise floor scalefactors, and thus only the frequency needs to be coded.

#### 4.B.18.6 Data quantization

The spectral envelope scalefactors are quantized in 3dB steps or in 1.5dB steps, dependent on the time frequency resolution of the current SBR frame, and  $bs\_amp\_res$ . For the case where there is only one SBR envelope per SBR frame and of SBR frame class FIXFIX, 1.5 dB steps are always used, disregarded the value of  $bs\_amp\_res$ .

For mono and stereo without channel coupling the quantization is done according to:

$$\mathbf{E}_Q(k, l) = INT \left( a \cdot \max \left( \log_2 \left( \frac{\mathbf{E}(k, l)}{64} \right), 0 \right) + 0.5 \right), 0 \leq k < \mathbf{n}(\mathbf{r}(l)), 0 \leq l < L_E$$

$$\text{where } a = \begin{cases} 2 & , bs\_amp\_res = 0 \\ 1 & , bs\_amp\_res = 1 \end{cases}$$

For the coupled channel mode, the left channel is quantized according to the above, while the right channel should be quantized according to:

$$\mathbf{E}_{QRight}(k, l) = INT \left( a \cdot \log_2(\mathbf{E}(k, l)) + 0.5 \right) + \mathbf{panOffset}(bs\_amp\_res)$$

The noise floor scalefactors data is always quantized in 3dB steps according to:

$$Q_Q(k,l) = INT(NOISE\_FLOOR\_OFFSET - \log_2(Q(k,l)) + 0.5),$$

where  $Q_Q(k,l)$  shall be limited to the interval  $[0,30]$ .

For stereo the left and right channels are quantized according to the above. For coupling however, the right channel is quantized according to:

$$Q_{QRight}(k,l) = INT\left(\log_2\left(\frac{Q_{Left}(k,l)}{Q_{Right}(k,l)}\right) + 0.5\right) + \mathbf{panOffset}(1),$$

where

$$Q_{QRight}(k,l) \text{ shall be limited to the interval } [0, 2 \cdot \mathbf{panOffset}(1)].$$

and

$$Q_{QLeft}(k,l) = INT\left(NOISE\_FLOOR\_OFFSET - \log_2\left(\frac{Q_{Left}(k,l) + Q_{Right}(k,l)}{2}\right) + 0.5\right)$$

which is limited to the interval  $[0,30]$ .

In the case of coupling, the  $Q_{QRight}(k,l)$  and  $E_{QRight}(k,l)$  values shall be quantised to multiples of two, e.g.  $[0,2,4,6,8\dots]$ .

#### 4.B.18.7 Envelope coding

The spectral envelope scalefactors are delta coded in either the time direction or the frequency direction, according to the preferred choice indicated in **bs\_df\_env**(*l*) below. The **bs\_df\_env** elements may be chosen arbitrarily, with the reservation for the case when *reset* = 1. In this case delta coding in the time direction is not allowed for the first SBR envelope of that SBR frame.

$$\mathbf{E}_{Delta}(k,l) = \left\{ \begin{array}{l} \delta \cdot \mathbf{E}_Q(0,l) \quad , \left\{ \begin{array}{l} 0 \leq l < L_E \\ k = 0 \\ \mathbf{bs\_df\_env}(l) = 0 \end{array} \right. \\ \delta \cdot (\mathbf{E}_Q(k,l) - \mathbf{E}_Q(k-1,l)) \quad , \left\{ \begin{array}{l} 0 \leq l < L_E \\ 1 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 0 \end{array} \right. \\ \delta \cdot (g_E(k,l) - \mathbf{E}_Q(k,l)) \quad , \left\{ \begin{array}{l} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = g(l) \end{array} \right. \\ \delta \cdot (g_E(i(k),l) - \mathbf{E}_Q(k,l)) \quad , \left\{ \begin{array}{l} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = 0 \\ g(l) = 1 \\ i(k) \text{ is defined by} \\ \mathbf{f}_{TableHigh}(i(k)) = \mathbf{f}_{TableLow}(k) \end{array} \right. \\ \delta \cdot (g_E(i(k),l) - \mathbf{E}_Q(k,l)) \quad , \left\{ \begin{array}{l} 0 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \\ \mathbf{bs\_df\_env}(l) = 1 \\ \mathbf{r}(l) = 1 \\ g(l) = 0 \\ i(k) \text{ is defined by} \\ \mathbf{f}_{TableLow}(i(k)) \leq \mathbf{f}_{TableHigh}(k) < \mathbf{f}_{TableLow}(i(k)+1) \end{array} \right. \end{array} \right.$$

where  $\delta = \begin{cases} 0.5 & \text{if } ch = 1 \text{ AND } bs\_coupling = 1 \\ 1 & \text{otherwise} \end{cases}$  and,

where  $g_E(k,l)$  and  $g(l)$  is defined below. As  $\mathbf{E}_Q$  represents the envelope scalefactors for the current SBR frame, the envelope scalefactors from the previous SBR frame is denoted  $\mathbf{E}'_Q$ . Envelope scalefactors from the previous SBR frame,  $\mathbf{E}'_Q$  is needed when delta coding in time direction over SBR frame boundaries. The number of SBR envelopes of the previous SBR frame is denoted  $L'_E$ , and is also needed in that case, as well as frequency resolution vector of the previous SBR frame, denoted  $\mathbf{r}'$ .

$$g_E(k,l) = \begin{cases} \mathbf{E}_Q(k,l-1) & , \left\{ \begin{array}{l} 1 \leq l < L_E \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{array} \right. \\ \mathbf{E}'_Q(k,L'_E-1) & , \left\{ \begin{array}{l} l = 0 \\ 0 \leq k < \mathbf{n}(\mathbf{r}(l)) \end{array} \right. \end{cases} \quad \text{and} \quad g(l) = \begin{cases} \mathbf{r}(l-1) & , 1 \leq l < L_E \\ \mathbf{r}'(L'_E-1) & , l = 0 \end{cases} .$$

The same is true for the noise floor scalefactors.

For the envelope scalefactors and the noise floor scalefactors different Huffman tables are used dependent on coding directions, quantization and stereo mode, according to the Table 4.A.78 in subclause 4.A.6.1.

## 4.B.19 Low Delay SBR

### 4.B.19.1 General

The encoder part of the Low Delay SBR tool is derived from the standard encoder part of the SBR, despite the following changes applied:

### 4.B.19.2 Time/Frequency Grid Generation

Modifications in time grid generation apply to the combination of ER AAC ELD and SBR. In contrast to the regular SBR tool, only two frame classes exist.

FIXFIX - Both leading and trailing time borders equal nominal frame boundaries. All SBR envelope time borders in the frame are uniformly distributed in time.

LD\_TRAN - Both leading and trailing time borders equal nominal frame boundaries. The envelope distribution inside SBR frame is determined by the transient position which is transmitted in the bitstream.

Time grid extraction is supposed to be dependent on the presence/absence of transients in the current frame. By choosing frame class LD\_TRAN in the first case, optimal parameter extraction can be done by specifying the transient position via **bs\_transient\_position**. There are no restrictions on SBR class transitions, i.e. any sequence of classes is allowed.

### 4.B.19.3 CLDFB Analysis Filterbank

The processing of the CLDFB as the analysis filterbank is basically similar to the classic one described in subclause 4.B.18.2. Note, that the processing described in the flowchart Figure 4.B.16 is also basically similar to the processing of the low delay filterbank. Only the windowing and the modulation differ.

- Shift the samples in the array **x** by 64 positions. The oldest 64 samples are discarded and 64 new samples are stored in positions 0 to 63.
- Multiply the samples of array **x(n)** by window **c(n)**, for **n=0..639**. The window coefficients are found in Table 4.A.90.
- Sum the samples according to the formula in the flowchart to create the 128-element array **u**.
- Calculate 64 new subband samples by the matrix operation **Mu**, where

$$M(k, n) = \exp\left(\frac{i \cdot \pi \cdot (k + 0.5) \cdot (2 \cdot n - 191)}{128}\right), \begin{cases} 0 \leq k < 64 \\ 0 \leq n < 128 \end{cases}$$

In the equation,  $\exp()$  denotes the complex exponential function and  $i$  is the imaginary unit.

### 4.B.19.4 Synchronization of low delay SBR

Basically, the low delay SBR encoder can use either the classic SBR QMF filterbank as defined in 4.B.18.2 or the CLDFB defined in 4.B.19.3. If the CLDFB is used, the synchronization between core coder and SBR is equal to the HE-AAC case. If the SBR QMF is used, an additional delay of 256 samples for the SBR encoder has to be taken into account.

## 4.B.20 Enhanced Low Delay Codec

### 4.B.20.1 Introduction

The ER AAC ELD codec is derived from the ER AAC LD codec. The analysis filterbank is modified in order to adopt a low-delay filterbank.

#### 4.B.20.2 Low Delay Window

This subclause describes the Low Delay MDCT filterbank utilized in the AAC ELD encoder. The core MDCT algorithm is mostly unchanged, but with a longer window, such that  $n$  is now running from  $-N$  to  $N-1$  (rather than from 0 to  $N-1$ )

The spectral coefficient,  $X_{i,k}$ , are defined as follows:

$$X_{i,k} = -2 \cdot \sum_{n=-N}^{N-1} z_{i,n} \cos\left(\frac{2\pi}{N}(n+n_0)\left(k+\frac{1}{2}\right)\right) \quad \text{for } 0 \leq k < N/2$$

where:

- $z_{in}$  = windowed input sequence
- $N$  = sample index
- $K$  = spectral coefficient index
- $I$  = block index
- $N$  = window length
- $n_0$  =  $(-N/2 + 1) / 2$

The window length  $N$  (based on the sine window) is 1024 or 960.

The window length of the low-delay window is  $2 \cdot N$ . The windowing is extended to the past in the following way:

$$z_{i,n} = w_{LD}(N-1-n) \cdot x'_{i,n}$$

for  $n=-N, \dots, N-1$ , with the synthesis window  $w$  used as the analysis window by inverting the order.

#### 4.B.21 Delayless mixing using AAC ELD

##### 4.B.21.1 Introduction

This feature of delayless mixing is highly attractive for building a multi-point telephone conferencing system with lowest possible delay. In this annex a detailed description of the delayless mixing capability is given, as it is supported by AAC-ELD. The description is motivated by real-world application scenarios.

AAC-ELD allows a delayless mixing in frequency domain when all streams are operated at the same sampling frequency. This constitutes an important capability in its application field, especially for multipoint communication such as teleconferencing. The ability for immediate spectral combination reduces both the overall delay and provides a significant reduction of the complexity of the MCU a mixing process.

##### Low Delay

If the MCU applies a trivial mixing process (complete de-/encoding), the overall transmission comprises two complete encoding and decoding steps, and thus the overall delay is twice the codec delay.

If the MCU applies mixing in frequency domain, no additional delay is introduced by the MCU, and thus the overall delay is the same as the codec delay. Therefore, the multipoint connection does not have more algorithmic delay than a point-to-point connection.

##### Low Complexity

In a multipoint connection with  $N$  participants,  $N$  decoders and  $N$  encoders have to run in parallel. Typical value for  $N$  is up to 80. Thus it is very important that the complexity is kept as low as possible. With the mixing in frequency domain this is achieved by omitting all the forward and inverse MDCTs.

##### 4.B.21.2 Applications

An important application field for AAC-ELD is high-quality interactive communication, two or more parties communicate using an audio-only or an audio-visual connection (audio or audiovisual teleconferencing). Especially the case of 3 or more parties is commercially relevant, and requires a central "communication hub",

i.e. a so-called “Multi-point control unit” (MCU) which, for each participant, provides the downstream audio by combining the audio streams of all other participants. This is shown in Figure 4.B.17 below:

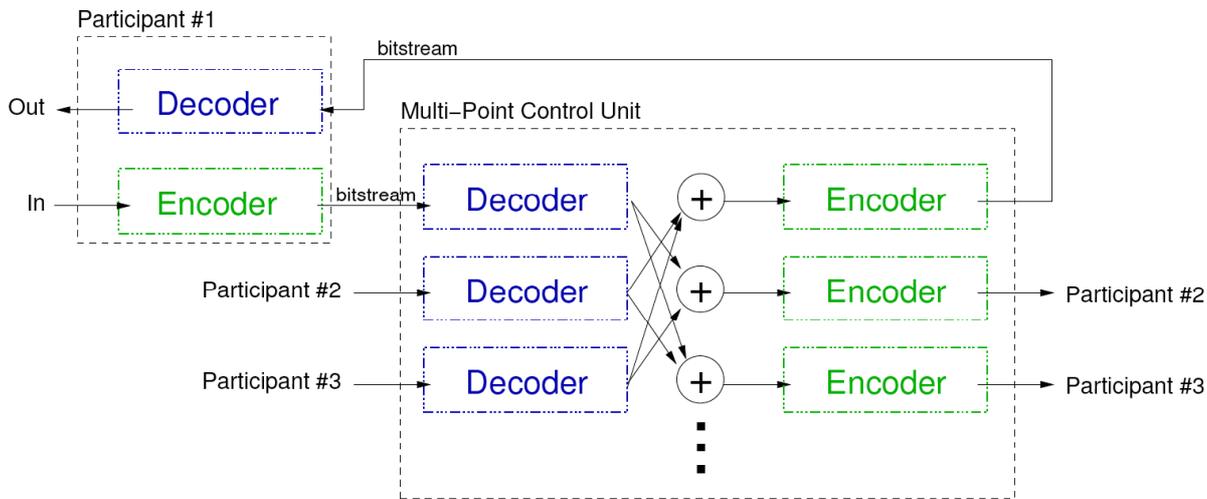


Figure 4.B.17 – Mixing of bitstreams in an MCU

The central functionality of the MCU is thus an addition or mixing of two or more, possibly low bitrate coded streams, into a single output stream. Besides providing good audio output quality, the two main requirements to this process are a *low processing delay* and *low computational complexity*. Other examples of communication systems where streams of several talkers can be active at the same time are wireless conference systems (e.g. for parliaments) and wireless intercoms (e.g. backstage of broadcasting studios).

4.B.21.3 Mixing of signals - The trivial solution

The trivial solution for mixing two or more signals is to decode them, mix them together and encode this downmix again.

For a transform-based perceptual codec, this is illustrated in the following Figure:

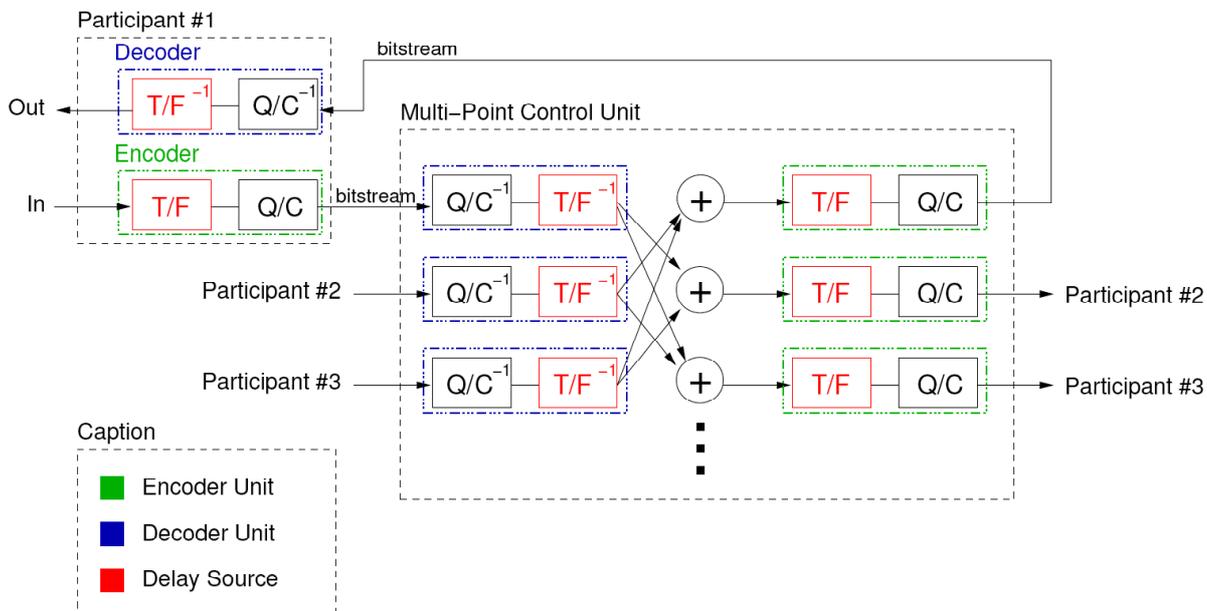


Figure 4.B.18 – Trivial solution for mixing of bitstreams

Obviously, this approach has some drawbacks, namely:

**High additional delay**

Utilizing a full chain of decoder and encoder introduces a delay from both processing steps, i.e. the *algorithmic delay of the utilized codec is doubled* in the whole transmission chain.

### High Complexity

In this trivial approach all the input streams have to be fully decoded and the downmix has to be encoded again. This causes a considerable amount of complexity, especially for a high number of input streams.

#### 4.B.21.4 Mixing of signals in frequency domain

In order to eliminate the drawbacks mentioned above, a mixing of signals in frequency domain is desirable. This can only be achieved if the inverse transform steps in the decoders and the forward transform steps in the encoder can be omitted using a common spectral representation. To this end, it is assumed in the following that both signals are encoded using the same sampling frequency. Then, the resulting process can be illustrated in the following Figure:

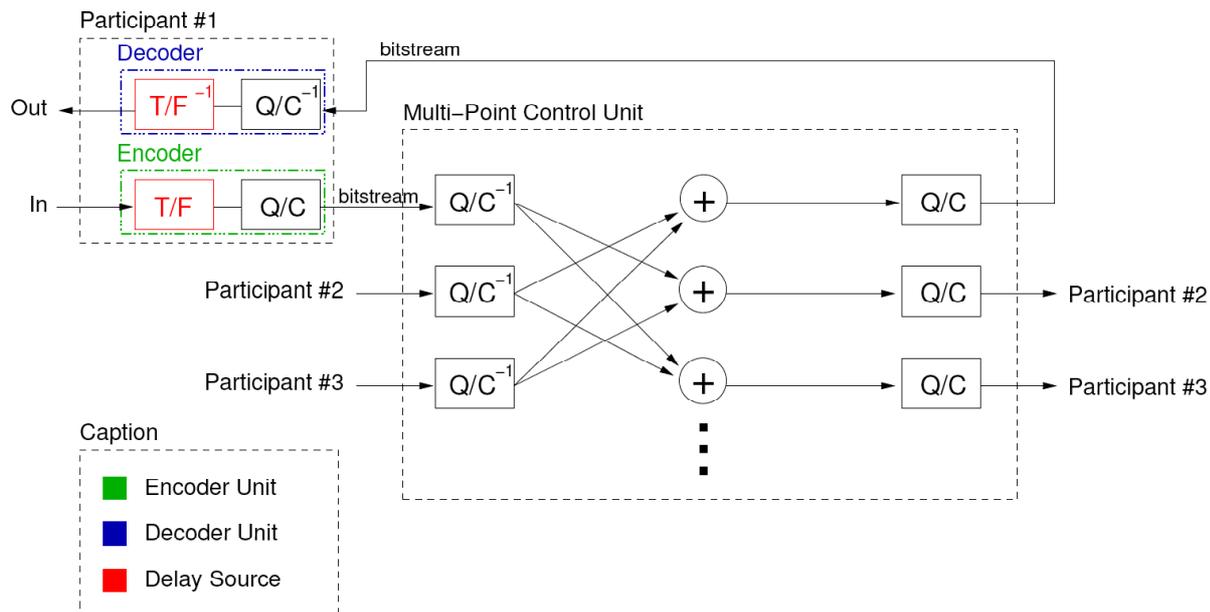


Figure 4.B.19 – Mixing of bitstreams in frequency domain

The advantages of this approach are:

#### No additional delay

By applying the mixing in frequency domain, *no additional delay* is introduced by the mixing process. All filterbank operations involving delay in a full decoder/encoder are avoided.

#### Low Complexity

As no filterbank operations are involved, a large contribution to the complexity of a full decoder/encoder is avoided, thus resulting in a *considerably lower complexity* than the trivial mixing approach.

#### 4.B.21.5 Mixing of signals using AAC-ELD

##### 4.B.21.5.1 Mixing in ELD core codec

For the advantageous mixing in the frequency domain as outlined above, the coded streams to be added have to use a common / unified spectral representation. The low delay filterbank (LD-MDCT) serves as a universal filterbank for all kinds of input signals. Thus, this efficient mixing in frequency can be utilized for AAC-ELD.

##### 4.B.21.5.2 Mixing in SBR part

For the SBR part of the signal, the same holds true for merging several SBR-coded streams. As is known from MPEG-4 SBR, all parameters pertaining to SBR are estimated and applied on a fixed QMF time/frequency-grid.

Hence, when mixing different streams, all the parameters can be mapped to a common time/frequency grid and a new set of parameters corresponding to the combination of the streams can be estimated.

The following outlines the general principle on how SBR data streams can be merged in the parameter domain.

#### 4.B.21.5.2.1 Inverse filtering and Additional harmonics

The inverse filtering is combined from the channels by taking the maximum inverse filtering value from the incoming streams. The additional sinusoids in the merged mono bitstream are the union of the additional sinusoids present in the channels.

#### 4.B.21.5.2.2 Envelope time borders

The merging of the time envelope grid is explained by using  $t_E$  which contains all start/stop borders for all SBR envelopes in the current SBR frame.

Merging the two  $t_E$  into one is done in the following sequence

1. The union of all borders for the streams is added to the merged  $t_E$ .
2. All envelopes smaller than two time slots are removed from the merged  $t_E$ . This is achieved by starting from the beginning of  $t_E$  and remove all stop borders that are closer than 2 from the start border.
3. If there are more than the maximum allowed envelopes, the number of envelopes is reduced..
4. The index  $t_E$  as used in the HF adjustment of the SBR signal have to be merged into one. This is done by selecting the one of the different  $t_E$  that is different from -1, and if all are different from -1, the smallest value is chosen.

#### 4.B.21.5.2.3 Noise time borders

The number of noise floors and the start/stop borders for the noise vector of the merged data is calculated according to the following. The number of noise floors is chosen to be the maximum of the number of noise floors for the streams. Given the number of borders in the merged noise-border vector, for all borders in the merged vector, the border with the highest value from the streams, is chosen as the merged border. When this is done, it is possible that the middle noise floor border does not coincide with any SBR envelope time border. If this is the case the middle noise floor border is set equal to the closest time envelope border in the upwards direction.

#### 4.B.21.5.2.4 Envelope data and Noise floor data

The envelope and noise floor data is mapped to a common time and frequency resolution, by calculating new average values for the merged regions in the QMF time/frequency plane, from the values given for the corresponding time and frequency region for the streams. Hence, if for a certain time and frequency region for a first stream two envelope values are given, and for the same time and frequency region for a second stream, one envelope value is given. The single value of the second stream is mapped to two regions being the same regions covered by the two envelope values of the first stream, and so on for all streams. The merged envelope data is subsequently calculated as the average data for the different regions, of the streams. The similar principle applies for the noise floor data.

## Contents for Subpart 5

<b>5.1</b>	<b>Scope .....</b>	<b>2</b>
<b>5.2</b>	<b>Normative references .....</b>	<b>2</b>
<b>5.3</b>	<b>Terms and definitions .....</b>	<b>2</b>
<b>5.4</b>	<b>Symbols and abbreviations .....</b>	<b>3</b>
<b>5.5</b>	<b>Bitstream syntax and semantics .....</b>	<b>4</b>
<b>5.6</b>	<b>Object types .....</b>	<b>9</b>
<b>5.7</b>	<b>Decoding process .....</b>	<b>10</b>
<b>5.8</b>	<b>SAOL syntax and semantics .....</b>	<b>17</b>
<b>5.9</b>	<b>SAOL core opcode definitions and semantics .....</b>	<b>58</b>
<b>5.10</b>	<b>SAOL core wavetable generators .....</b>	<b>98</b>
<b>5.11</b>	<b>SASL syntax and semantics .....</b>	<b>105</b>
<b>5.12</b>	<b>SAOL/SASL tokenisation .....</b>	<b>108</b>
<b>5.13</b>	<b>Sample Bank syntax and semantics .....</b>	<b>110</b>
<b>5.14</b>	<b>MIDI semantics .....</b>	<b>112</b>
<b>5.15</b>	<b>Input sounds and relationship with AudioBIFS .....</b>	<b>117</b>
<b>Annex 5.A</b>	<b>(normative) Coding tables .....</b>	<b>120</b>
<b>Annex 5.B</b>	<b>(informative) Encoding .....</b>	<b>123</b>
<b>Annex 5.C</b>	<b>(informative) lex/yacc grammars for SAOL .....</b>	<b>126</b>
<b>Annex 5.D</b>	<b>(informative) PICOLA Speed change algorithm .....</b>	<b>133</b>
<b>Annex 5.E</b>	<b>(informative) Random access to Structured audio bitstreams .....</b>	<b>136</b>
<b>Annex 5.F</b>	<b>(informative) Directly-connected MIDI and microphone control of the orchestra .....</b>	<b>141</b>
	<b>Bibliography .....</b>	<b>143</b>
	<b>Alphabetical Index to Subpart 5 of ISO/IEC 14496-3 .....</b>	<b>144</b>

## Subpart 5: Structured Audio (SA)

### 5.1 Scope

#### 5.1.1 Overview of subpart

##### 5.1.1.1 Purpose

The Structured Audio toolset enables the transmission and decoding of synthetic sound effects and music by standardising several different components. Using Structured Audio, high-quality sound can be created at extremely low bandwidth. Typical synthetic music may be coded in this format at bitrates ranging from 0 kbps (no continuous cost) to 2 or 3 kbps for extremely subtle coding of expressive performance using multiple instruments.

MPEG-4 does not standardise a particular set of synthesis methods, but a method for describing synthesis methods. Any current or future sound-synthesis method may be described in the MPEG-4 Structured Audio format.

##### 5.1.1.2 Introduction to major elements

There are five major elements to the Structured Audio toolset:

1. The Structured Audio Orchestra Language, or SAOL. SAOL is a digital-signal processing language which allows for the description of arbitrary synthesis and control algorithms as part of the content bitstream. The syntax and semantics of SAOL are standardised here in a normative fashion.
2. The Structured Audio Score Language, or SASL. SASL is a simple score and control language which is used in certain object types (see subclause 5.6) to describe the manner in which sound-generation algorithms described in SAOL are used to produce sound.
3. The Structured Audio Sample Bank Format, or SASBF. The Sample Bank format allows for the transmission of banks of audio samples to be used in wavetable synthesis and the description of simple processing algorithms to use with them.
4. A normative scheduler description. The scheduler is the supervisory run-time element of the Structured Audio decoding process. It maps structural sound control, specified in SASL or MIDI, to real-time events dispatched using the normative sound-generation algorithms.
5. Normative reference to the MIDI standards, standardised externally by the MIDI Manufacturers Association. MIDI is an alternate means of structural control which can be used in conjunction with or instead of SASL. Although less powerful and flexible than SASL, MIDI support in this standard provides important backward-compatibility with existing content and authoring tools. MIDI support in this standard consists of a list of recognised MIDI messages and normative semantics for each.

### 5.2 Normative references

Normative references can be found in subpart 1, subclause 1.2 “Normative references”.

### 5.3 Terms and definitions

Terms and definitions can be found in subpart 1, subclause 1.3 “Terms and definitions”.

## 5.4 Symbols and abbreviations

### 5.4.1 Mathematical operations

The mathematical operators used to describe this part of ISO/IEC 14496 are similar to those used in the C programming language.

+	addition
-	subtraction
x or *	multiplication
/	division
exp	exponential function (base e)
log	natural logarithm
log <sub>10</sub>	base-10 logarithm
abs	absolute value
floor(x)	greatest integer less than or equal to x
ceil(x)	least integer greater than or equal to x
>	greater than
<	less than
>=	greater than or equal to
<=	less than or equal to
<> or !=	not equal to

### 5.4.2 Description methods

#### 5.4.2.1 Bitstream syntax

The Structured Audio bitstream syntax is described using SDL, the MPEG-4 Syntactic Description Language. See ISO/IEC 14496-1 Subpart 12.

#### 5.4.2.2 SAOL syntax

The textual SAOL syntax (in subclause 5.8) is described using extended Backus-Naur format (BNF) notation [see **DRAG**]. BNF is a description for context-free grammars of programming languages.

BNF grammars are composed of terminals, also called tokens, and production rules. Terminals represent syntactic elements of the language, such as keywords and punctuation; production rules describe the composition of these elements into structural groups.

Terminals will be represented in **boldface**; production rules will be represented in <angle brackets>.

The rewrite rules, which map productions into sequences of other productions and terminals, are represented with the -> symbol.

#### EXAMPLE

```

<letter>      -> a
<letter>      -> b
<sequence>    -> <letter>
<sequence>    -> <letter> <sequence>

```

This grammar (starting from the *sequence* token) describes, using a recursive rewrite rule and a two-symbol alphabet, all strings containing at least one letter that are made up of 'a' and 'b' characters.

In addition, rewrite rules using optional elements will be described using the [ ] symbols. Using this notation does not increase the power of the syntax description (in terms of the languages it can represent), but makes certain constructs simpler.

EXAMPLE

<head> -> c  
<seqhead> -> [<head>] <sequence>

This grammar (starting from the seqhead token) describes, in addition to the set above, all strings beginning with a 'c' character and followed by a sequence of 'a's and 'b's.

The NULL token may be used to indicate that a sequence of no characters (the empty string) is a permissible rewrite for a particular production.

Other symbols such as the ellipsis (...) will be used occasionally when their meaning is clear from the context.

Normative aspects of the relationship between the BNF grammar, other grammar representation methods, the bitstream syntax, and the textual description format are described in subclause 5.8.1.

5.4.2.3 SASL Syntax

The SASL syntax is specified using extended BNF grammars, as described in subclause 5.4.2.2.

5.5 Bitstream syntax and semantics

5.5.1 Introduction to bitstream syntax

This subclause describes the bitstream format defining an MPEG-4 Structured Audio bitstream.

Each group of classes is notated with normative semantics, which define the meaning of the data represented by those classes.

5.5.2 Bitstream syntax

```

/*****
    symbol table definitions
*****/

class symbol {
    unsigned int(16) sym;           // no more than 65535 symbols/orch + score
}

class sym_name {
    unsigned int(4) length;        // one name in a symbol table
    unsigned int(8) name[length]; // names up to 15 chars long
}

class symtable {
    unsigned int(16) length;       // a whole symbol table
    sym_name name[length];        // no more than 65535 symbols/orch+score
}

```

A bitstream may contain a symbol table, but this is not required. The symbol table allows textual SAOL and SASL code to be recovered from the tokenised bitstream representation. The inclusion or exclusion of a symbol table does not affect the decoding process.

If a symbol table is included, then all or some of the symbols in the orchestra and score shall be associated with a textual name in the following way: each symbol (a symbol is just an integer) shall be associated with the character string paired with that symbol in a sym\_name object. There shall be no more than one name associated with a given symbol, otherwise the bitstream is invalid. It is permissible for the symbol table to be incomplete and contain names associated with some, but not all, symbols used in the orchestra and score. The presence of a zero-length string in a symbol table entry indicates that a name for this symbol is not included in the symbol table

SAOL and SASL implementations that require textual input, rather than tokenised input, are permissible in a compliant decoder, in which case the decoder would detokenise the bitstream before it can be processed. In such a case, any symbols without associated names are suggested to be associated with a default name of the form `_sym_x`, where `x` is the symbol value. Names of this form are reserved in SAOL for this purpose, and so following this suggestion guarantees that names will not clash with symbol-table-defined symbol names.

```

/*****
    orchestra file definitions
*****/

class orch_token {                // a token in an orchestra
    int done;

    unsigned int(8) token;        // see standard token table, Annex 5.A
    switch (token) {
    case 0xF0 :                   // a symbol
        symbol sym;              // the symbol name
        break;
    case 0xF1 :                   // a constant value
        float(32) val;          // the floating-point value
        break;
    case 0xF2 :                   // a constant int value
        unsigned int(32) val;    // the integer value
        break;
    case 0xF3 :                   // a string constant
        int(8) length;
        unsigned int(8) str[length]; // strings no more than 255 chars
        break;
    case 0xF4 :                   // a one-byte constant
        int(8) val;
        break;
    case 0xFF : // end of orch
        done = 1;
        break;
    }
}

class orc_file {                  // a whole orch file
    unsigned int(16) length;
    orch_token data[length];
}

```

An orchestra file is a string of tokens. These tokens represent syntactic elements such as reserved words, core opcode names, and punctuation marks as given in the table in Annex 5.A; in addition, there are five special tokens. Token 0xF0 is the symbol token; when it is encountered, the next 16 bits in the bitstream shall be a symbol number. Token 0xF1 is the value token; when it is encountered, the next 32 bits in the bitstream shall be a floating-point value. This token shall be used for all symbolic constants within the SAOL program except for those encountered in special integer contexts, as described in subclause 5.12. Token 0xF2 is the integer token; when it is encountered, the next 32 bits in the bitstream shall be an unsigned integer value. Token 0xF3 is the string token; when it is encountered, the next several bits in the bitstream shall represent a character string (this token is currently unused). Token 0xF4 is the byte token; when it is encountered, the next 8 bits in the bitstream shall be an unsigned integer value. Token 0xFF is the end-of-orchestra token; this token has no syntactic function in the SAOL orchestra, but signifies the end of the orchestra file section of the bitstream.

Not every sequence of tokens is permitted to occur as an orchestra file. Subclause 5.8 contains extensive syntactic rules restricting the possible sequence of tokens, described according to the textual SAOL format. Normative rules for mapping back and forth between the tokenised format and the textual format are given in subclause 5.12. The overall sequence of orchestra tokens shall correspond to an `<orchestra>` production as given in subclause 5.8.4.

```

/*****
    score file definitions
*****/

class instr_event {              // a note-on event
    bit(1) has_label;
    if (has_label)
        symbol label;
}

```

```

    symbol iname_sym;           // the instrument name
    float(32) dur;             // note duration
    unsigned int(8) num_pf;
    float(32) pf[num_pf];     // all the pfields (no more than 255)
}

class control_event {        // a control event
    bit(1) has_label;
    if (has_label)
        symbol label;
    symbol varsym;           // the controller name
    float(32) value;        // the new value
}

class table_event {
    symbol tname;           // the name of the table
    bit(1) destroy;        // a table destructor
    if (!destroy) {
        token tgen;        // a core wavetable generator
        bit(1) refers_to_sample;
        if (refers_to_sample)
            symbol table_sym; // the name of the sample
        unsigned int(16) num_pf; // the number of pfields
        if (tgen == 0x7D) { // concat
            float(32) size;
            symbol ft[num_pf - 1];
        } else {
            float (32) pf[num_pf];
        }
        // when coding sample generator, leave a blank array slot
        // for "which" parameter, to maintain alignment for "skip" parameter
    }
}

class end_event {
    // fixed at nothing
}

class tempo_event { // a tempo event
    float(32) tempo;
}

class score_line {
    bit(1) has_time;
    if (has_time) {
        bit (1) use_if_late;
        float(32) time; // the event time
    }
    bit (1) high_priority;
    bit(3) type;
    switch (type) {
        case 0b000 : instr_event inst; break;
        case 0b001 : control_event control; break;
        case 0b010 : table_event table; break;
        case 0b100 : end_event end; break;
        case 0b101 : tempo_event tempo; break;
    }
}

class score_file {
    unsigned int(20) num_lines; // a whole score file
    score_line lines[num_lines];
}

```

A score file is a set of lines of score information provided in the stream information header. Thus, events that are known before the real-time bitstream transmission begins may be included in the header, so that they are available to the decoder immediately, which may aid efficient computation in certain implementations. Each line shall be one of five events. Each type of event has different implications in the decoding and scheduling process, see subclause 5.7.3. An instrument event specifies the start time, instrument name symbol, duration, and any other parameters of a note played on a SAOL instrument. A control event specifies a control parameter that is passed to an instrument or instruments already generating sound. A table event dynamically creates or destroys a global wavetable in the

orchestra. An end event signifies the end of orchestra processing. A tempo event dynamically changes the tempo of orchestra playback.

A score file need not be presented in increasing order of event times; the events shall be “sorted” by the scheduler as they are processed. In the score file, every score line shall have a time stamp (**has\_time** shall be 1).

The **high\_priority** bit indicates that the score event is a high-priority event as described in subclause 5.7.3.3.7. The **use\_if\_late** bit indicates, if the **has\_time** bit is set, that the score event shall be used whether or not it arrives on time (see subclause 5.7.3.3.8).

```

/*****
    MIDI definitions
*****/

class midi_event {
    unsigned int(24) length
    unsigned int(8) data[length];
}

class midi_file {
    unsigned int(32) length;
    unsigned int(8) data[length];
}

```

The MIDI chunks allow the inclusion of MIDI score information in the bitstream header and bitstream. The MIDI event class contains a single MIDI instruction as specified in The Complete MIDI 1.0 Detailed Specification v. 96.2; the MIDI file class contains an array of bytes corresponding to a Standard Format 0 or Format 1 MIDIFile as specified in The Complete MIDI 1.0 Detailed Specification v. 96.2. Note that not every sequence of data may occur in either case; the legal syntaxes of MIDI events and MIDIFiles as specified in The Complete MIDI 1.0 Detailed Specification v. 96.2 place normative bounds on syntactically valid MPEG-4 Structured Audio bitstreams. Only chunks of data up to  $2^{24}-1$  and  $2^{32}-1$  bytes long, respectively, may be included; longer messages shall be broken into several bitstream elements. The semantics of MIDI data are given in subclause 5.13 (for Object type 1 and 2 implementations) and subclause 5.14 (for Object type 3 and 4 implementations).

```

/*****
    sample data
*****/

class sample {
    /* note that 'sample' can be used for any big chunk of data
       that needs to get into a wavetable */
    symbol sample_name_sym;
    unsigned int(24) length; // length in samples
    bit(1) has_srate;
    if (has_srate)
        unsigned int(17) srate; // sampling rate (needs to go to 96 KHz)
    bit(1) has_loop;
    if (has_loop) {
        unsigned int(24) loopstart; // loop points in samples
        unsigned int(24) loopend;
    }
    bit(1) has_base;
    if (has_base)
        float(32) basecps; // base freq in Hz
    bit(1) float_sample;
    if (float_sample) {
        float(32) float_sample_data[length]; // data as floats ...
    }
    else {
        int(16) sample_data[length]; // ... or as ints
    }
}

```

A sample chunk includes a block of data that will be included in a wavetable in a SAOL orchestra. Each sample consists of a name, a length, a block of data, and four optional parameters: the sampling rate, the loop start and

loop end points, and the base frequency. Access to the data in the sample is provided through the **sample** core wavetable generator, see subclause 5.10.2.

The sample data may be represented either as 32-bit floating point values, in which case it shall be scaled between -1 and 1, or may be represented as 16-bit integer values, in which case it shall be scaled between -32768 and 32767. In the case that the sample data is represented as integer values, upon inclusion in a wavetable, it shall be rescaled to floating-point as described in subclause 5.10.2.

Each sample is named with a symbol. If two samples in the decoder configuration header or in a single access unit have the same name, the result is unspecified. If a sample in an access unit has the same name as a sample in a previous access unit or one in the decoder configuration header, the new sample shall replace the old sample for accesses to that name through the **sample** core wavetable generator for any table generator executed at the same time or later than the decoding time of the access unit containing the new sample. Tables that have already been generated are not affected.

```

/*****
    sample bank data
*****/

```

The sample bank chunk describes a bank of wavetable data and associated processing parameters for use with the sample bank synthesis procedure in subclause 5.13.

```

class sbf {
    int(32)          length;
    int(8)          data[length];
}

```

The data chunk is opaque with regard to transport by MPEG-4 Systems. It shall conform to the format specification given in The MIDI Downloadable Sounds Specification, v. 97.1 (see subclause 5.2) – that is, it shall be a RIFF data chunk beginning “RIFF...”.

```

/*****
    bitstream formats
*****/

```

```

class StructuredAudioSpecificConfig { // the bitstream header
    bit more_data = 1;

    while (more_data) { // shall have at least one chunk
        bit(3) chunk_type;
        switch (chunk_type) {
            case 0b000 : orc_file orc; break;
            case 0b001 : score_file score; break;
            case 0b010 : midi_file SMF; break;
            case 0b011 : sample samp; break;
            case 0b100 : sbf sample_bank; break;
            case 0b101 : symltable sym; break;
        }
        bit(1) more_data;
    }
}

```

The bitstream decoder configuration contains all the information required to configure and start up a structured audio decoder. It contains a sequence of one or more chunks, where each chunk is of one of the following types: orchestra file, score file, midi file, sample data, sample bank, or symbol table. Multiple chunks of each of these types may occur in the bitstream (except for **midi\_file**), with the following semantics:

1. **orc\_file**: The multiple orchestra files shall be merged. It is a syntax error if more than one **global** block appears in the merged orchestra (see subclause 5.8.5).
2. **score\_file**: The multiple score files shall be sorted together by event times and merged.
3. **midi\_file**: Only one **midi\_file** element may occur in a single Structured Audio bitstream.

4. **sample**: The samples may be accessed by the orchestra as described in subclause 5.10.2.
5. **sbf**: The multiple sample banks are all accessible to the synthesis process. The behaviour is undefined if a particular combination of MIDI present and MIDI bank number is used more than once, whether in a single sample bank or in multiple sample banks.
6. **symtable**: The multiple symbol tables each give names to symbols in the orchestra. The  $N_0$  names in the first symbol table in the bitstream apply to symbols 0.. $N_0-1$ ; the  $N_1$  names in the second symbol table to symbols  $N_0..N_0+N_1-1$ ; and so on.

```

class SA_access_unit {          // the streaming data
  bit(1) more_data = 1;

  while (more_data) {
    bit(2) event_type;
    switch (event_type) {
      case 0b00 : score_line score_ev; break;
      case 0b01 : midi_event midi_ev; break;
      case 0b10 : sample samp; break;
    }
    bit(1) more_data;
  }
}

```

The Structured Audio access unit contains real-time streaming control information to be provided to a running Structured Audio decoding process. It may contain as many control instructions as desired and as permitted by the available bandwidth. It shall not contain new instrument definitions; the orchestra configuration is fixed at decoder start-up. It may contain score lines, MIDI events, and new sample data. When provided as part of an access unit, the score line is not required to contain a timestamp. When **has\_time** is cleared in the **score\_line** class, the event is dispatched immediately according to the rules in subclause 5.7.3.3.6. Score lines without timestamps are not responsive to orchestra tempo changes.

Annex 5.E discusses when the random access point flag, conveyed in the Access Unit packaging in the Systems specification, may be set.

## 5.6 Object types

There are four object types standardised for Structured Audio. Each of these object types corresponds to a particular set of application requirements. The default object type is Object type 4; when reference is made to MPEG-4 Structured Audio format without reference to a object type, it shall be understood that the reference is to Object type 4.

Terminals implementing MPEG-4 Systems profiles containing the **AudioFX** node (see ISO/IEC 14496-1, subclause 9.4.2.7) shall also provide support for Structured Audio Object type 3 or 4.

1. **MIDI only**. In this object type, only the **midi\_file** chunk shall occur in the stream information header, and only the **midi\_event** event shall occur in the bitstream data. In this object type, the General MIDI patch mappings are used, and the decoding process is described in subclause 5.14.2. This object type is used to enable backward-compatibility with existing MIDI content and rendering devices. Normative and implementation-independent sound quality cannot be produced in this object type.
2. **Wavetable synthesis**. In this object type, only the **midi\_file** and **sbf** chunks shall occur in the stream information header, and only the **midi\_event** event shall occur in the bitstream data. This object type is used to describe music and sound-effects content in situations in which the full flexibility and functionality of SAOL, including 3-D audio, is not required. In this case, the decoding process is described in subclause 5.13.3.1.
3. **Algorithmic synthesis and AudioFX**. In this object type, the **sbf** and **midi\_file** chunks shall not occur in the **stream** information header. This object type is used to describe algorithmic synthesis and to provide audio effects processing in the AudioFX node when the use of the SASBF sample bank format (subclause 5.13) is not needed.
4. **Main synthetic**. All bitstream elements and stream information elements may occur.

The decoding process for Object types 3 and 4 is described in subclause 5.7.

## 5.7 Decoding process

### 5.7.1 Introduction

This subclause describes the algorithmic structured audio decoding process, in which a bitstream conforming to Object type 3 or 4 is converted into sound. The decoding process for Object type 1 bitstreams is described in subclause 5.14.2, and the decoding process for Object type 2 bitstreams in subclause 5.13.3.1.

### 5.7.2 Decoder configuration header

At the creation of a Structured Audio Elementary Stream, a Structured Audio decoder is instantiated and a bitstream object of class **SA\_decoder\_config** provided to that decoder as configuration information. At this time, the decoder shall initialise a run-time scheduler, and then parse the decoder configuration header into its component parts and use them as follows:

- **Orchestra file:** The orchestra file shall be checked for syntactic conformance with the SAOL grammar and rate semantics as specified in subclause 5.8. Whatever pre-processing (i.e., compilation, allocation of static storage, etc.) needs to be done to prepare for orchestra run-time execution shall be performed.
- **Score file:** Each event in the score file shall be registered with the scheduler. To “register” means to inform the scheduler of the presence of a particular parameterised event at a particular future time, and the scheduler’s associated actions.
- **MIDI file:** Each event in the MIDI file shall be converted into an appropriate event as described in subclause 5.13, and those events registered with the scheduler.
- **Sample bank:** The data in the bank shall be stored, and whatever pre-processing necessary to prepare for using the bank for synthesis shall be performed.
- **Sample data:** The data in the sample shall be stored, and whatever pre-processing necessary to prepare the data for reference from a SAOL wavetable generator shall be performed. If the sample data is represented as 16-bit integers in the bitstream, it shall be converted to floating-point format at this time.
- **Symbol table:** No normative decoder behaviour is associated with the symbol table.

If there is more than one orchestra file in the stream information header, the various files are combined together via concatenation and processed as one large orchestra file. That is, each orchestra file within the bitstream refers to the same global namespace, instrument namespace, and opcode namespace.

### 5.7.3 Bitstream data and sound creation

#### 5.7.3.1 Relationship with systems layer

At each time step within the systems operation, the systems layer may present the Structured Audio decoder with an Access Unit containing data conforming to the **SA\_access\_unit** class. The run-time responsibility of the Structured Audio decoder is to receive these AU data elements, to parse and understand them as the various Structured Audio bitstream data elements, to execute the on-going SAOL orchestra to produce one Composition Unit of output, and to present the systems layer with that Composition Unit.

### 5.7.3.2 Bitstream data elements

As Access Units are received from the systems demultiplexer, they are parsed and used by the Structured Audio decoder in various ways, as follows:

- Sample data shall be stored, and whatever pre-processing is necessary for reference by forthcoming score lines containing references to that sample shall be performed. If the sample data is represented as 16-bit integers in the bitstream, it shall be converted to floating-point format at this time. Any samples in an access unit shall be processed before score lines, in case the score lines reference the samples.
- Score line events shall be registered with the scheduler if they have time stamps, or executed in the next k-cycle, if not.
- MIDI events shall be converted into appropriate SAOL events (see subclause 5.14) and then registered with the scheduler, if they have time stamps, or executed in the next k-cycle, if not.

### 5.7.3.3 Scheduler semantics

#### 5.7.3.3.1 Purpose of scheduler

The scheduler is the central control mechanism of a Structured Audio decoding system. It is responsible for handling events by instantiating and terminating instruments, keeping track of what instrument instantiations are active, instructing the various instrument instantiations to perform synthesis, routing the output of instruments onto busses, and sending busses to effects instruments. Although there are many ways to perform these tasks, the exact nature of what must be done can be clearly specified. This subclause provides normative bounds on the activities of the scheduler.

#### 5.7.3.3.2 Instrument instantiation

To instantiate an instrument is to create data space for its variables and the data space required for any opcodes called by that instrument. When an instrument is instantiated, the following tasks shall be performed. First, space for any parameter fields shall be allocated and their values set according to the p-fields of the instantiating expression or event. Then, space for any locally declared variables shall be allocated and these variable values set to 0. Then, the current values of any imported i-rate variables shall be copied into the local storage space. Then, locally declared wavetables shall be created and filled with data according to their declaration and the appropriate rules in subclause 5.10.

#### 5.7.3.3.3 Instrument termination

To terminate an instrument instantiation is to destroy the data space for that instance.

#### 5.7.3.3.4 Instrument execution

To execute an instrument instantiation at a particular rate is to calculate the results of the instructions given in that instrument definition. When an instrument instance is executed at a particular rate, the following steps shall be performed. First, the values of any global variables and wavetables imported by that instrument at that rate shall be copied into the storage space of the instrument. In addition, when executing at the a-rate an instrument instance that is the target of a **send** statement, the current value of the **input** standard name in the instance shall be set to the current value of the bus or busses referenced in the **send** statement. Then, the code block for that instrument shall be executed at the particular rate with regard to the data space of the instrument instantiation, as given by the rules in subclause 5.8.6.6. Then, the values of any global variables and wavetables exported by that instrument at that rate shall be copied into the global storage space. Finally, when executing an instrument instantiation at the a-rate, the value of the instance output shall be added to the bus onto which the instrument is routed according to the rules in subclause 5.8.5.4, unless the instance is the target of a **send** expression referencing the special bus **output\_bus**, in which case the output of the instrument instance is the output of the orchestra and may be turned into sound.

### 5.7.3.3.5 Orchestra start-up and configuration

#### 5.7.3.3.5.1 Introduction

This subclause describes the steps required to begin the decoding process. These tasks (determination of instrument and bus width, global variable allocation, **startup** execution, global wavetable creation, bus and **send** instrument initialisation) shall be performed in the order indicated.

#### 5.7.3.3.5.2 Determination of instrument output width and bus width

The output width of each instrument is determined in the order specified by the global sequencing rules (subclause 5.8.5.6); the width of each bus is either provided by a **send** statement (subclause 5.8.5.5) or is determined by the sum of the output widths (subclause 5.8.6.6.8) of the instruments routed to that bus in a single **route** statement (subclause 5.8.5.4). Any instrument that does not receive any bus data according to the sequence rules shall have an **inchannels** width of 1 (this specification is needed since output widths may depend on the value of **inchannels** or the width of **input**).

NOTE: if the output width of an instrument depends on **outchannels**, and the bus width of the bus where that instrument is routed is not specified by means of other **send/route** statements, the orchestra may be indeterministic. Programmers should pay special attention in checking the deterministic behavior of the orchestra or make use of determined bus widths (subclause 5.8.5.5).

#### EXAMPLE 1

Consider the following orchestra.

```
global {
  route(bus1, i1);
  route(bus2, i2, i1);
  send(i2; ; bus1);
  send(i4; ; bus2);
}

instr i1(...) {
  asig a[2];
  ...
  output(a);
}

instr i2(...) {
  asig b;
  ...
  output(input + b);
}

instr i4(...) {
  asig d[inchannels];
  ...
  output(d + input);
}
```

In this orchestra, the global sequencing rules (subclause 5.8.5.6) specify that instrument **i1** precedes instrument **i2**, and instrument **i1** and **i2** precede instrument **i4**. Instrument **i1** has two channels, so bus **bus1** has two channels. Instrument **i2** has two channels, since it gets input from **bus1**. The bus **bus2** has four channels, two each from **i2** and **i1**. The instrument **i4** has four channels, since it gets input from **bus2**.

#### EXAMPLE 2

Consider the following orchestra.

```
global {
  route(bus1, a);
```

```

send(b; ;bus1);
sequence(b, a);
route(bus2, a, a);
route(bus2, b);
send(c; ; bus2);
}

instr a(...) {
  asig x, y;
  output(x, y, x);
}

instr b(...) {
  output(input, input);
}

instr c(...) { ... }

```

This orchestra contains a syntax error. The global sequence rules prescribe that **b** shall precede **a** (since the **sequence** directive overrides the implicit **send** sequencing), and that **a** and **b** precede **c**. The output width of **b** is two channels, since it does not receive any data according to the sequence rules, and so the width of its **input** is one channel. The output width of **a** is three channels. Thus, the width of **bus1** is three channels (although only the first is used by **b** since the width of its **input** is one channel). Thus, the two route statements onto **bus2** are incompatible, since the first uses six channels, but the second only two.

If the **sequence** directive were removed from the global block, then the syntax error would be resolved. In this case, instrument **a** precedes **b**, so **b** has three channels of input and six of output, and **bus2** can be correctly allocated with six channels.

#### 5.7.3.3.3 Variable allocation, startup execution and global wavetable creation

Space for any global signal variables (see subclause 5.8.5.3) shall be allocated and their values set to zero. If there is an instrument called **startup** in the orchestra, that instrument shall be instantiated and executed at the i-rate. After this execution is complete, then all global wavetables are created and filled with data according to their definitions in the global block of the orchestra and the appropriate rules in subclause 5.10.

#### 5.7.3.3.4 Initialisation of busses and send instruments

After the global wavetable creation, the orchestra busses are created and initialised. Each channel of each bus is set to 0 values. After the busses are created, all instruments that are the targets of **send** statements as described in subclause 5.8.5.5 shall be instantiated and executed at the i-rate in the order specified by the global sequencing rules described in the global block according to subclause 5.8.5.6. Finally, the global absolute orchestra time shall be set to 0.

NOTE - A time is called *absolute* if it is specified in seconds. When a tempo instruction is first decoded and the value of tempo changes from its default value, the score time and the absolute time are not identical anymore; all the times in the score, subsequent to a tempo line execution, are scaled according to the new tempo and enqueued in absolute dispatch and duration times as specified in subclause 5.7.3.3.6, list item 7.

#### 5.7.3.3.6 Decoder execution while streaming

In each orchestra cycle, one Composition Unit of samples is produced by the real-time synthesis process. This synthesis is performed according to the rules below and the resulting orchestra output, as described in list item 11, is presented to the Systems layer as a Composition Unit. To execute one orchestra cycle, the following tasks shall be performed in the order denoted:

1. If there is an end event whose dispatch time is earlier than or equal to the current absolute orchestra time, or an end event has been received without a timestamp since the last execution of this rule, no further output is produced, and all future requests from the systems layer to produce Composition Units are responded to with buffers of all 0s.

2. If there are any instrument events whose dispatch time is earlier than or equal to the current absolute orchestra time, or any instrument events have been received without timestamps since the last execution of this rule, an instrument instantiation is created for each such instrument event (see subclause 5.7.3.3.2), and those instantiations are each executed at the i-rate (see subclause 5.7.3.3.4) in the order prescribed by the global sequencing rules. If the instrument event specifies a duration for that instrument instantiation, the instrument instantiation shall be scheduled for termination at the time given by the sum of the current absolute orchestra time and the specified duration (scaled to absolute time units according to the actual tempo, if any).

NOTE - If the current orchestra time differs from the instrument dispatch time, the former shall be used to schedule instance termination.

3. If there are any active instrument instantiations whose termination time is earlier than or equal to the current absolute orchestra time, then the **released** standard name (see subclause 5.8.6.8.16) shall be set to 1 within each such instrument instance, and the instance is marked for termination in step 12, below.
4. If there are any control events whose dispatch time is earlier than or equal to the current absolute orchestra time, or any control events have been received without timestamps since the last execution of this rule, the global variables or instrument variables within instrument instantiations labelled by each such control event shall have their values updated accordingly (see subclause 5.11.4). Note that this implies that no more than one control change per variable per control cycle may be received by the orchestra. If multiple control changes that reference the same variable are received in a single control cycle, the resulting value of the instrument or global variable is unspecified.
5. If there are any table events whose dispatch time is earlier than or equal to the current absolute orchestra time, or any table events have been received without timestamps since the last execution of this rule, global wavetables shall be created or destroyed as specified by the table event (see subclause 5.11.5).
6. If there are any MIDI events whose timestamp is earlier than or equal to the current orchestra time, or that have been received without timestamps since the last execution of this rule, they are dispatched according to their semantics in subclause 5.14.3.
7. If there are any tempo events whose dispatch time is earlier than or equal to the current absolute orchestra time, or any tempo events have been received since the last execution of this rule, then the global tempo variable shall be set to the specified value, and the dispatch times of all events pending for execution shall be scaled to new times according to the new tempo value. The already scheduled times for terminations are also scaled in their remaining part, according to the ratio between the old and new tempo. Existing **extend** times are not affected, since they are specified in absolute time and are thus "outside" the score. The value of the **dur** standard name (subclause 5.8.6.8.7) shall be changed in each active instrument instance to reflect the new duration of the instance.

If multiple tempo events are processed according to the preceding paragraph in the same control cycle, then the global tempo variable shall only be changed once, to the tempo indicated in the tempo event received last or with the latest timestamp, and the other tempo events are discarded. If there are multiple tempo events with the same timestamp, or both an un-timestamped event and a timestamped event shall be dispatched in the same control cycle, then the resulting value of the global tempo variable is unspecified.

NOTE - If the current orchestra time differs from the tempo dispatch time, the former shall be used to calculate the new durations and future dispatch times of events.

8. If the **speed** field of the **AudioSource** scene node responsible for instantiating this decoder (see subclause 5.15) has been changed in the last k-cycle, the tempo standard variable shall be set to 60 times the value specified in subclause 9.4.2.9 (the **AudioSource** node) of ISO/IEC 14496-1, and events in the orchestra shall be rescaled as specified in list item 7, above.
9. The value of each channel of each bus shall be set to 0.

10. Each active instrument instance shall be executed once at the k-rate and  $n$  times at the a-rate, where  $n$  is the number of samples in the control period (see subclause 5.8.5.2.2). Each execution at the k-rate shall be in the order given by the global sequencing rules, and each corresponding execution at the a-rate (that is, the first a-rate execution in a k-cycle of each, the second a-rate execution in a k-cycle of each, etc.) shall be in the order given by the global sequencing rules.

NOTE 1 - If instrument **a** is sequenced before instrument **b** according to the rules in subclause 5.8.5.6, then the k-rate execution of **a** shall be strictly before the k-rate execution of **b**, and the k-rate execution of **a** shall be strictly before the first a-rate execution of **a**, and the first a-rate execution of **a** shall be strictly before the first a-rate execution of **b**. However, there is no normative sequencing between the second a-rate execution of **a** and the first a-rate execution of **b**, or between the first a-rate execution of **a** and the k-rate execution of **b**, within a particular orchestra cycle.

NOTE 2 - In accordance with the conformance rules in subclause 5.7.4, the execution ordering described in this subclause may be rearranged or ignored when it can be determined from examination of the orchestra that to do so will have no effect on the output of the decoding process. "Has no effect" shall be taken to mean that the output of the decoding process in rearranged order is sample-by-sample identical to the output of the decoding process performed strictly according to the rules in this subclause.

NOTE 3 - The k-cycle execution of each instrument instance shall be executed as an atomic operation; that is, the k-cycle execution of one instance shall be completed before the next begins. It is not permissible to execute k-cycles in parallel. This is not true of a-cycles; if two instruments have no sequencing relationship according to the global sequencing rules, their a-cycles may be executed in any order or in parallel.

11. If the special bus **output\_bus** is sent to an instrument, the output of that instrument at each a-cycle is the orchestra output at that a-cycle. Otherwise, the value of the special bus **output\_bus** after each instrument has been executed for an a-cycle is the orchestra output at that a-cycle. If the value of the current orchestra output is greater than 1 or less than -1, it shall be set to 1 or -1 respectively (hard clipping).
12. For each instance that was marked for termination in step 3, above: if that instrument instance called **extend** with a parameter greater than the amount of time in a control-cycle, the instrument is not terminated. All other instrument instances marked for termination in step 3 are terminated (see subclause 5.7.3.3.3). As discussed in subclause 5.14.3.2.11, in the case of an "All Notes Off" MIDI message, instances may not extend themselves, and are destroyed at this time.
13. The current global absolute orchestra time is advanced by one control period.

#### 5.7.3.3.7 Event priority

Certain events may be specified as "high priority" events, by setting the corresponding field in the bitstream element or using the \* token in the textual score. In the case that overloading of the capability of the decoder occurs, this flag allows the content author to have a minimum of control on the performance degradation.

If the **high\_priority** flag is set, then the event shall always be executed without degradation unless pathological conditions occur and no instantiations created at low priority levels are active. If the **high\_priority** flag is cleared, then the event shall be executed without degradation if no critical conditions occur. Instrument events with the **high\_priority** flag cleared may be prematurely terminated if resources are not available to dispatch an event with the **high\_priority** flag set.

NOTE - Degradation is not intended as an allowed, normative, technique to lower the computational complexity. Conforming decoders shall be able to decode, in normal conditions, bitstreams of the specified Profile@Level with no degradation. Instead, the priority level is intended as a help to implementers in critical situations due to resource overload, for instance in the case of a terminal attempting to decode a more complex bitstream than indicated by the level of the terminal, resource sharing with other applications, or high and unexpected degree of user interaction with the host terminal.

#### 5.7.3.3.8 Late-arriving events

In the case of transmission error or encoder error, certain events may arrive with timestamps that have already passed. The **use\_if\_late** field in the bitstream element indicates the proper behaviour in this case. If this field is cleared, then the event is ignored, and processing shall continue as if the event had never arrived. If this field is set, then the event is immediately dispatched according to the rules in subclause 5.7.3.3.6 as though it had been received with no timestamp.

#### 5.7.4 Conformance

With regard to all normative language in this subpart of ISO/IEC 14496-3, conformance to the normative language is measured at the time of orchestra output. Any optimisation of SAOL code or rearrangement of processing sequence may be performed as long as to do so has no effect on the output of the orchestra. "Has no effect" in this sense means that the output of the rearranged or optimised orchestra is sample-by-sample identical to the output of the original orchestra according to the decoding rules given in this subpart.

See also ISO/IEC 14496-4.

## 5.8 SAOL syntax and semantics

### 5.8.1 Relationship with bitstream syntax

The bitstream syntax description as given in subclause 5.5 specifies the representation of SAOL instruments and algorithms that shall be presented to the decoder in the bitstream. However, the tokenised description as presented there is not adequate to describe the SAOL language syntax and semantics. In addition, for purposes of enabling bitstream creation and exchange in a robust manner, it is useful to have a standard human-readable textual representation of SAOL code in addition to the tokenised binary format.

The Backus-Naur Format (BNF) grammar presented in this subclause denotes a *language*, or an infinite set of *programs*; the legal programs that may be transmitted in the bitstream are restricted to this set. Any program that cannot be parsed by this grammar is not a legal SAOL program – it has a *syntax error* – and a bitstream containing it is an invalid bitstream. Although the bitstream is made up of tokens, the grammar will be described in terms of lexical elements – a *textual representation* – for clarity of presentation. The syntactic rules expressed by the grammar that restrict the set of textual programs also normatively restrict the syntax of the bitstream, through the relationship of the bitstream and the textual format in the normative tokenisation process.

This subclause thus describes a textual representation of SAOL that is standardised, but stands outside of the bitstream-decoder relationship. Subclause 5.12 describes the mapping between this textual representation and the bitstream representation. The exact normative *semantics* of SAOL will be described in reference to the textual representation, but also apply to the tokenised bitstream representation as created via the normative tokenisation mapping.

Annex 5.C contains a grammar for the SAOL textual language, represented in the ‘lex’ and ‘yacc’ formats. Using these versions of the grammar, parsers can be automatically created using the ‘lex’ and ‘yacc’ tools. However, these versions are for informative purposes only; there is no requirement to use these tools in building a decoder.

Normative language regarding syntax in this subclause provides bounds on syntactically legal SAOL programs, and by extension, the syntactically legal bitstream sequences that can appear in an **orchestra** bitstream class. That is, there are constructions that appear to be permissible upon reading only the BNF grammar, but are disallowed in the normative text accompanying the grammar. The status of such constructions is exactly that of those which are outside of the language defined by the grammar alone. In addition, normative language describing static rate semantics further bounds the set of syntactically legal SAOL programs, and by extension, the set of syntactically legal bitstream sequences.

The decoding process for bitstreams containing syntactically illegal SAOL programs (i.e., SAOL programs that do not conform to the BNF grammar, or contain syntax errors or rate mismatch errors) is unspecified.

Normative language regarding semantics in this subclause describes the semantic bounds on the behaviour of the Structured Audio decoder. Certain constructions describe “run-time error” situations; the behaviour of the decoder in such circumstances is not normative, but implementations are encouraged to recover gracefully from such situations and continue decoding if possible.

### 5.8.2 Lexical elements

#### 5.8.2.1 Concepts

The textual SAOL orchestra contains punctuation marks, which syntactically disambiguate the orchestra; identifiers, which denote symbols of the orchestra; numbers, which denote constant values; string constants, which are not currently used; comments, which allow internal documentation of the orchestra; and whitespace, which lexically separates the various textual elements. These elements do not occur in the bitstream – since each is represented there by a token – but we define them here to ground the subsequent discussion of SAOL. Within the rest of subclause 5.8, when we discuss the semantics of “an identifier”, this shall be taken to normatively refer to the semantics of the symbol denoted by that identifier; the language used is for clarity of presentation.

A lexical grammar for parsing SAOL, written in the 'lex' language, is provided for informative purposes in Annex 5.C.

### 5.8.2.2 Identifiers

An identifier is a series of one or more letters, digits and the underscore that begins with a letter or underscore; it denotes a symbol of the orchestra. Every identifier that consists of the same characters in the first 16 characters (is equivalent under string comparison to the first 16 characters) denotes the same symbol. Identifiers are case-sensitive, meaning that identifiers that differ only in the case of one or more characters denote different symbols.

A string of characters equivalent to one of the reserved words listed in subclause 5.8.9, to one of the standard names listed in subclause 5.8.6.8, to the name of one of the core opcodes listed in subclause 5.9.3, or to the name of one of the core wavetable generators listed in subclause 5.10 does not denote a symbol, but rather denotes that reserved word, standard name, core opcode, or core wavetable generator.

An identifier is denoted in the BNF grammar below by the terminal symbol **<ident>**.

### 5.8.2.3 Numbers

There are two kinds of symbolic constants that hold numeric values in SAOL: integer constants and floating-point constants.

The integer constant is required to occur in certain contexts, such as array definitions. An integer token is a series of one or more digits. Since the contexts in which integers are required to occur in SAOL do not allow negative values, there is no provision for negative integers. A string of characters that appears to be a negative integer shall be lexically analysed as a floating-point constant. No integer constant greater than  $2^{32}$  (4294967296) shall occur in the orchestra.

There is no difference in SAOL between numbers coded with the bitstream token for integers and those coded with the bitstream token for bytes. The latter is only an aid to compression of the bitstream.

An integer constant is denoted in the BNF grammar below by the terminal symbol **<int>**.

The floating-point constant occurs in SAOL expressions, and denotes a constant numeric value. A floating-point token consists of a base, optionally followed by an exponent. A base is either a series of one or more digits, optionally followed by a decimal point and a series of zero or more digits, or a decimal point followed by a series of one or more digits. An exponent is the letter **e**, optionally followed by either a **+** or **-** character, followed by a series of one or more digits. Since the floating-point constant appears in a SAOL expression, where the unary negation operator is always available, floating-point constants need not be lexically negative. Every floating-point constant in the orchestra shall be representable by a 32-bit floating-point number.

A floating-point constant is denoted in the BNF grammar below by the terminal symbol **<number>**.

### 5.8.2.4 String constants

String constants are not used in the normative SAOL specification, but a description is provided here so that they may be treated consistently by implementers who choose to add functionality over and above normative requirements to their implementations.

A string constant denotes a constant string value, that is, a character sequence. A string constant is a series of characters enclosed in double quotation marks (""). The double quotation character may be included in the string constant by preceding it with a backslash (\) character. Any other character, including the line-break (newline) character, may be explicitly enclosed in the quotation marks.

The interpretation and use of string constants is left open to implementers.

### 5.8.2.5 Comments

Comments may be used in the textual SAOL representation to internally document an orchestra. However, they are not included in the bitstream, and so are lost on a tokenisation/detokenisation sequence.

A comment is any series of characters beginning with two slashes (*//*), and terminating with a new line. During lexical analysis, whenever the *//* element is found on a line, the rest of the line is ignored.

### 5.8.2.6 Whitespace

Whitespace serves to lexically separate the various elements of a textual SAOL orchestra. It has no syntactic function in SAOL, and is not represented in the bitstream, so the exact whitespacing of a textual orchestra is lost on a tokenisation/detokenisation sequence. Whitespace is not required in SAOL except so far as to disambiguate tokens and reserved words that appear next to each other (to separate “*asig*” from the variable name declared, for example).

A whitespace is any series of one or more space, tab, and/or newline characters.

## 5.8.3 Variables and values

Each signal variable within the SAOL orchestra holds a value, or an ordered set of values for array variables, as an intermediate calculation by the orchestra. At any point in time, the value of a variable, sample in a wavetable, or single element of an array variable, shall be represented by a 32-bit floating-point value.

Conformance to this subclause is in accordance with subclause 5.7.4; that is, implementations are free to use any internal representation for variable values, so long as the results calculated are identical to the results of the calculations using 32-bit floating-point values.

NOTE - For certain sensitive digital-filtering operations, the results of using greater precision in a calculation may be equivalently detrimental to orchestra output as the results of using less precision, as the stability of the filter may be critically dependent on the quantisation error that is provided with 32-bit values. It is strongly discouraged for bitstreams to contain code that generates very different results when calculated with 32-bit and 64-bit arithmetic.

At orchestra output, the values calculated by the orchestra should reside between a minimum value of  $-1$  and a maximum value of  $1$ . These values at orchestra output represent the maximum negatively- and positively-valued audio samples that can be produced by the terminal. If the values calculated by the orchestra fall outside that range, they are clipped to  $[-1, 1]$  as described in subclause 5.7.3.3 list item 11. This sound is presented, control cycle by control cycle, to the MPEG-4 system for use in AudioBIFS composition.

## 5.8.4 Orchestra

```
<orchestra>    -> <orchestra element> <orchestra>
<orchestra>    -> <orchestra element>
```

The orchestra is the collection of signal processing routines and declarations that make up a Structured Audio processing description. It shall consist of a list of one or more orchestra elements.

```
<orchestra element> -> <global block>
<orchestra element> -> <instrument declaration>
<orchestra element> -> <opcode declaration>
<orchestra element> -> <template declaration>
<orchestra element> -> NULL
```

There are four kinds of orchestra elements:

1. The global block contains instructions for global orchestra parameters, bus routings, global variable declarations, and instrument sequencing. It is not permissible to have more than one global block in an orchestra.

2. Instrument declarations describe sequences of processing instructions that can be parametrically controlled using SASL or MIDI score files.
3. Opcode declarations describe sequences of processing instruments that provide encapsulated functionality used by zero or more instruments in the orchestra.
4. Template declarations describe multiple instruments that differ only slightly using a concise parametric form.

Orchestra elements may appear in any order within the orchestra; in particular, opcode definitions may occur either syntactically before or after they are used in instruments or other opcodes.

## 5.8.5 Global block

### 5.8.5.1 Syntactic form

```
<global block> -> global { <global list> }
<global list>  -> <global statement> <global list>
<global list>  -> NULL
```

A global block shall contain a global list, which shall consist of a sequence of zero or more global statements.

```
<global statement> -> <global parameter>
<global statement> -> <global variable declaration>
<global statement> -> <route statement>
<global statement> -> <send statement>
<global statement> -> <sequence definition>
<global statement> -> <interpolation level>
```

There are several kinds of global statement.

1. Global parameters set orchestra parameters such as sampling rate, control rate, and number of input and output channels of sound
2. Global variable declarations define global variables that can be shared by multiple instruments.
3. Route statements describe the routing of instrument outputs onto busses.
4. Send statements describe the sending of busses to effects instruments.
5. Sequence definitions describe the sequencing of instruments by the run-time scheduler.
6. The interpolation level specifies the quality of interpolation performed in the synthesis process.

### 5.8.5.2 Global parameter

#### 5.8.5.2.1 srate parameter

```
<global parameter> -> srate <int>;
```

The **srate** global parameter specifies the audio sampling rate of the orchestra. The decoding process shall create audio internally at this sampling rate. It is not permissible to simplify orchestra complexity or account for terminal capability by generating audio internally at other sampling rates, for to do so may have seriously detrimental effects on certain processing elements of the orchestra.

The **srate** parameter shall be an integer value between 4000 and 96000 inclusive, specifying the audio sampling rate in Hz. If the **srate** parameter is not provided in an orchestra, the default shall be the fastest of the audio signals provided as input (see subclause 5.15). If the sampling rate is not provided, and there are no input audio signals,

the default sampling rate shall be 32000 Hz. It is a syntax error if more than one **srate** parameter instruction occurs in an orchestra.

In a Object type 3 terminal, or when the SAOL orchestra is used in an **AudioFX** AudioBIFS node (see subclause 5.15.3), the **srate** parameter shall only be one of the following values: (4000, 8000, 11025, 12000, 16000, 22050, 24000, 32000, 44100, 48000, 88200, 96000).

#### 5.8.5.2.2 **krate** parameter

<global parameter> -> **krate** <int>;

The **krate** global parameter specifies the control rate of the orchestra. The decoding process shall execute k-rate processing internally at this rate. It is not permissible to simplify orchestra complexity or account for terminal capability by executing k-rate processing at other rates, unless it can be determined that to do so will have no effect on orchestra output. In this case, “no effect” means that the resulting output of the orchestra is sample-by-sample identical to the output created if the control rate is not altered.

The **krate** parameter shall be an integer value between 1 and the sampling rate inclusive, specifying the control rate in Hz. If the **krate** parameter is not provided in an orchestra, the default control rate shall be 100 Hz. It is a syntax error if more than one **krate** parameter instruction occurs in an orchestra.

If the control rate as determined by the previous paragraph is not an even divisor of the sampling rate, then the control rate is the next larger integer that does evenly divide the sampling rate. The *control period* of the orchestra is the number of samples, or amount of time represented by these samples, in one control cycle.

#### 5.8.5.2.3 **inchannels** parameter

<global parameter> -> **inchannels** <int>;

The **inchannels** global parameter specifies the number of input channels to process. If there are fewer than this many audio channels provided as input sources, the additional channels shall be set to continuous zero-valued signals. If there are more than this many audio channels provided as input sources, the extra channels are ignored.

If the **inchannels** parameter is not provided in an orchestra, the default shall be the sum of the numbers of channels provided by the input sources (see subclause 5.15). If there are no input sources provided, the value shall be 0. It is a syntax error if more than one **inchannels** parameter instruction occurs in an orchestra.

The only normative way in which audio input is processed by a SAOL orchestra is when the orchestra is embedded in an AudioBIFS **AudioFX** node, see ISO/IEC 14496-1 subclause 9.4.2.7. Nonnormative methods for audio processing include soundfile processing or microphone processing, see Annex 5.F.

#### 5.8.5.2.4 **outchannels** parameter

<global parameter> -> **outchannels** <int>;

The **outchannels** global parameter specifies the number of output channels of sound to produce. The run-time decoding process shall produce and render this number of channels internally. It is not permissible to simplify orchestra complexity or account for terminal capability by producing fewer channels.

If the **outchannels** parameter is not provided in an orchestra, the default shall be one channel. It is a syntax error if more than one **outchannels** parameter instruction occurs in an orchestra.

#### 5.8.5.2.5 **interp** parameter

<global parameter> -> **interp** <int>;

The **interp** global parameter specifies the quality of interpolation performed in the synthesis process. Various operations require access to wavetables at non-integer points; to access a wavetable at such a point requires interpolation among the available points in the wavetable.

If the **interp** parameter is 0, then “low level” interpolation is performed. Every interpolation shall be performed using a linear interpolation. That is, let *i* and *j* be two consecutive indices of a wavetable, and let **x** and **y** be the values at points *i* and *j* respectively. Assume that the value at point *k* is required, where  $i < k < j$ . Then let **q** be the value  $k - \text{floor}(k)$ . Then the interpolated value is  $x + q * (y - x)$ .

If the value required is that “between” the last point and first point of the table as it wraps around, consider **x** to be the value of the last point, **y** the value of the first point, and assert  $n < k < n+1$  where index *n* is the last point in the wavetable. Then calculate the interpolated value as  $x + q * (y - x)$  as above.

If the **interp** parameter is 1, then “high level” interpolation is performed. The method of high-level interpolation is non-normative, but it shall be a higher-quality method than linear interpolation.

It is a syntax error if the parameter is not 0 or 1. It is a syntax error if more than one **interp** parameter instruction occurs in an orchestra.

If the **interp** parameter is not specified in an orchestra, then the interpolation quality is “low” by default. If authors wish to have normative, high-quality interpolation for wavetables, they can rewrite their own versions of **tableread**, **oscil**, and other instructions to perform this.

### 5.8.5.3 Global variable declaration

#### 5.8.5.3.1 Syntactic form

```
<global variable declaration> -> ivar <namelist> ;
<global variable declaration> -> ksig <namelist> ;
<global variable declaration> -> <table declaration> ;
```

Global variable declarations declare variables that may be shared and accessed by all instruments and by a SASL score. Only **ivar** and **ksig** type variables, as well as wavetables, may be declared globally. A global variable declaration is either a table definition, or an allowed type name followed by a list of name declarations.

A global name declaration specifies that a name token shall be created and space equal to one signal value allocated for variable storage in the global context. A global array declaration specifies that a name token shall be created and space equal to the specified number of signal values allocated in the global context.

#### 5.8.5.3.2 Signal variables

```
<namelist> -> <name>, <namelist>
<namelist> -> <name>
```

A namelist is a sequence of one or more name declarations.

```
<name> -> <ident>
<name> -> <ident>[<array length>]
```

```
<array length> -> <int>
<array length> -> inchannels
<array length> -> outchannels
```

A name declaration is an identifier (see subclause 5.8.2.2), or an array declaration. For an array declaration, the parameter shall be either an integer strictly greater than 0, or one of the tokens **inchannels** or **outchannels**. If the latter, the array length shall be the same as the number of input channels or output channels of the orchestra, respectively. It is illegal to use the token **inchannels** if the number of input channels to the orchestra is 0. Not every identifier may be used as a variable name; in particular, the reserved words listed in subclause 5.8.8, the standard

names listed in subclause 5.8.6.8, the names of the core opcodes listed in subclause 5.9, and the names of the core wavetable generators listed in subclause 5.10 shall not be declared as variable names.

### 5.8.5.3.3 Wavetable declarations

<table declaration> -> **table** <ident> ( <ident> , <expr> [ , <expr list> ] ) ;

<expr> as defined in subclause 5.8.6.7.

<expr list> as defined in subclause 5.8.6.6.1.

Wavetables are structures of memory allocated for the typical purpose of allowing rapid oscillation, looping, and playback. The wavetable declaration associates a name (the first identifier) with a wavetable created by a core wavetable generator referenced by the second identifier. It is a syntax error if the second identifier is not one of the core wavetable generators named in subclause 5.10. The first expression in the comma-delimited parameter sequence is termed the *size expression*; the remaining zero or more expressions comprise the *wavetable parameter list*.

The semantics of the size expression and wavetable parameter list are determined by the particular core wavetable generator, see subclause 5.10. Any expression that is i-rate (see subclause 5.8.6.7.2) is legal as part of the table parameter list; in particular, reference to i-rate global variables is allowed (their values may be set by the special instrument **startup**). Each expression shall be single-valued, except in the case of the **concat** generator (subclause 5.10.16), in which case the expressions shall be table references. The order of creation of wavetables is not deterministic, with the exception of the table arguments of a **concat** generator, which are always generated before the **concat** generator that uses them. In this case, the tables used as arguments to the **concat** generator must be appear before the table which uses the **concat** generator, to prevent dependency loops.

It is not recommended for calls to the **tableread()** opcode to occur in the table parameter expressions, and to do so gives unspecified results.

A global wavetable may be referenced by a wavetable placeholder in any instrument or opcode. See subclause 5.8.6.5.4. Global wavetables shall be created and initialised with data at orchestra initialisation time, immediately after the execution of the special instrument **startup**. They shall not be destroyed unless they are explicitly destroyed or replaced by a **table** line in a SASL score.

To create a wavetable, first, the expression fields are evaluated in the order they appear in the syntax according to the rules in subclause 5.8.6.7. Then, the particular wavetable generator named in the second identifier is executed; the normative semantics of each wavetable generator detail exactly how large a wavetable shall be created, and which values placed in the wavetable, for each generator.

### 5.8.5.4 Route statement

<route statement> -> **route** ( <ident> , <identlist> ) ;

<identlist> -> <ident> , <identlist>

<identlist> -> <ident>

<identlist> -> <NULL>

A **route** statement consists of a single identifier, which specifies a bus, and a sequence of one or more instrument names, which specify instruments. The route statement specifies that the instruments listed do not produce sound output directly, but instead their results are placed on the given bus. The output channels from the instruments listed each are placed on a separate channel of the bus. Multiple **route** statements onto the same bus indicate that the given instrument outputs shall be summed on the bus. Multiple **route** statements with differing numbers of channels referencing the same bus are illegal, unless each statement has either *n* channels or 1 channel. In this case, each of the one-channel **route** statements places the same signal on each channel of the bus, which is *n* channels wide.

There shall be at least one instrument name in the instrument list (the NULL subclause in the grammar is provided so that constructions appearing later may use the same production).

EXAMPLES

Assume that instruments **a**, **b**, and **c** produce one, two, and three channels of output, respectively.

1. The sequence

```
route (bus1, a, b);
route (bus1, c);
```

is legal and specifies a three-channel bus. The first bus channel contains the sum of the output of **a** and the first channel of **c**; the second contains the sum of the first output channel of **b** and the second of **c**; and the third contains the sum of the second channel of **b** and the third channel of **c**.

2. The sequence

```
route (bus1, b);
route (bus1, c);
```

is illegal since the statements refer different numbers of channels to the same bus.

3. The sequence

```
route (bus1, a, c);
route (bus1, a);
route (bus1, b, b);
```

is legal and specifies a four-channel bus. The first and third **route** statements each refer to four channels of audio, and the second refers to one channel, which will be mapped to each of the four channels.

The resulting channel values are as follows, using array notation to indicate the channel outputs from each instrument:

**Table 5.1 - Example of calculating bus routing values**

Channel	Value
1	$a + a + b[1]$
2	$c[1] + a + b[2]$
3	$c[2] + a + b[1]$
4	$c[3] + a + b[2]$

It is illegal for a **route** statement to reference a bus that is not the special bus **output\_bus** and that does not occur in a **send** statement. See subclause 5.8.5.5.

It is illegal for a **route** statement to refer to the special bus **input\_bus** (see subclause 5.15.2).

All instruments that are not referred to in **route** statements place their output on the special bus **output\_bus**, except for an effect instrument to which **output\_bus** was sent (see subclause 5.8.5.5). The same rules for allowable channel combinations to the special bus **output\_bus** apply as if the route statements were explicit; these rules are implicit in the rules for the **output** statement, see subclause 5.8.6.6.8.

**5.8.5.5 Send statement**

```
<send statement>->send(<ident>;<expr list>;<namelist>);
<namelist>      as defined in subclause 5.8.5.3.2
<expr list>     as defined in subclause 5.8.6.6.1
```

The **send** statement creates an instrument instantiation, defines busses, and specifies that the referenced instrument is used as an effects processor for those busses.

All busses in the orchestra are defined by using **send** statements. It is illegal for a statement referencing a bus to refer to a bus that is not defined in a **send** statement. The exception is the special bus **output\_bus**, which is always defined.

The identifier in the **send** statement references an instrument that will be used as a bus-processing instrument, also called *effect instrument*. There is no syntactic distinction between effect instruments and other instruments. The identifier list references one or more busses that shall be made available to the effect instrument through its **input** standard name, as follows:

The first  $n_0$  channels of **input**, channels 0 through  $n_0-1$  are the  $n_0$  channels of the first referenced bus;  
Channels  $n_0$  through  $n_0+n_1-1$  of **input** are the  $n_1$  channels of the second bus,  
and so forth, with a total of  $n_0 + n_1 + \dots + n_k$  channels.

In addition, the grouping of busses in the **input** array shall be made available to the effect instrument through its **inGroup** standard name, as follows:

The first  $n_0$  values of **inGroup** have the value 1;  
Channels  $n_0$  through  $n_0+n_1-1$  of **inGroup** have the value 2,  
and so forth, through  $n_0 + n_1 + \dots + n_k$ , with the last  $n_k$  having the value  $k$ .

The expression list is a list of zero or more i-rate expressions that are provided to the effect instrument as its parameter fields. Any expression that is i-rate (see subclause 5.8.6.7.2) is legal as part of this list; in particular, reference to i-rate global variables is allowed. The number of expressions provided shall match the number of parameter fields defined in the instrument declaration; otherwise, it is a syntax error.

The effect instrument referred to in a **send** statement shall be instantiated at orchestra start-up; see subclause 5.7.3.3.5.4. These instrument instantiations shall remain in effect until the orchestra synthesis process terminates. One instrument instantiation shall be created for each **send** statement in the orchestra. If such an instrument instantiation utilises the **turnoff** statement, the instantiation is destroyed (and sound is no longer routed to it). No other changes are made in the orchestra.

Any bus, except for the special bus **output\_bus**, may be sent to more than one effect instrument and/or instantiations; in this case, when the simple identifier is not used, it is illegal to refer to a bus with more than one length. The special bus **output\_bus** represents the second-to-finalmost processing of a sound stream; it may only be sent to at most one effect instrument, and it is a syntax error if that instrument is itself routed or makes use of the **outbus** statement. If **output\_bus** is not sent to an instrument, it is turned into sound at the end of an orchestra cycle (see subclause 5.7.3.3); if **output\_bus** is sent to an instrument, the output of that instrument is turned into sound at the end of an orchestra pass. This instrument is not permitted to use the **turnoff** statement.

In the case that the number of input channels received by an instrument instance differs from the width of the bus(es) providing that input (see subclause 5.7.3.3.5.2), the width of **input** and **inGroup** and the value of **inchan** respect the former rather than the latter. In the case that **inchan** is smaller than the number of channels on the buses providing input, only the first **inchan** channels are used; in the case that **inchan** is smaller, the "extra" channels are all 0's in both **input** and **inGroup**.

At least one bus name shall be provided in the **send** instruction.

#### 5.8.5.6 Sequence specification

<sequence specification> -> **sequence** ( <identlist> );  
<identlist> as defined in subclause 5.8.5.4.

The **sequence** statement allows the specification of the ordering of execution of instrument instantiations by the run-time scheduler. The **identlist** references a list of instruments that describes a partial ordering on the set of instruments. If instrument **a** and instrument **b** are referenced in the same **sequence** statement with **a** preceding **b**, then instantiations of instrument **a** shall be executed strictly before instantiations of instrument **b**.

There are several default sequence rules:

1. The special instrument **startup** is instantiated and the instantiation executed at the i-rate at the very beginning of the orchestra.
2. Any instrument instances corresponding to the **startup** instrument are executed first in a particular orchestra cycle.
3. If **output\_bus** is sent to an instrument, the instrument instantiation corresponding to that **send** statement is the last instantiation executed in the orchestra cycle.
4. For each instrument routed to a bus that is sent to an effect instrument, instantiations of the routed instrument are executed before instantiations of the effect instrument. If loops are created using **route** and **send** statements, the ordering is resolved syntactically: whichever **send** statement occurs latest, that instrument instantiation is executed latest.

Default rules 2, 3, and 4 may be overridden by use of the **sequence** statement. Rule 1 cannot be overridden.

It is a syntax error if explicit **sequence** statements create loops in ordering. Any **send** statements that are the “backward” part of an implicit **send** loop have no effect.

If the sequence of two instruments is not defined by the default or explicit sequence rules, their instantiations may be executed in any order or in parallel.

It is not possible to specify the ordering of multiple instantiations of the same instrument; these instantiations can be run in any order or in parallel.

#### EXAMPLES

An orchestra consists of five instruments, **a**, **b**, **c**, **d**, and **e**.

1. The following code fragment

```
route(bus1, a, b);
send(c; ; bus1);
```

is legal and specifies (using the default sequencing rules) that instantiations of instruments **a** and **b** shall be executed strictly before instantiations of instrument **c**. This ordering applies to all instantiations of instrument **c**, not only to the one corresponding to the **send** statement. No ordering is specified between instruments **a** and **b**.

2. The following code fragment

```
route(bus1, a, b);
send(c; ; bus1);
sequence(c, a);
send(d; ; bus1);
```

is legal and specifies that instantiations of instrument **b** shall be executed first, followed by instantiations of instrument **c**, followed by instantiations of instrument **a**, followed by instances of instrument **d**. (See Figure 5.1) The ordering of **b** and **c**, and **a** and **b** with **d**, follows from default rule 3; the placement of instrument **c** follows from the explicit **sequence** statement, which overrides default rule 3. Due to this ordering, the output samples of instrument **a** are not provided to instrument **c** (they get put on the bus “too late”), and however many channels of output this represents are set to 0 in instrument **c**. The output samples of instrument **a** are provided to instrument **d**.

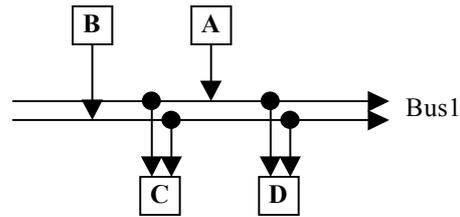


Figure 5.1 — Example of ordering instruments with 'sequence'

3. The following code fragment

```
sequence (a, b);
sequence (b, c, d);
sequence (c, e);
sequence (e, a);
```

is illegal, as it contains an explicit loop in sequencing.

4. The following code fragment

```
route (bus1, a);
send (b; ; bus1);
route (bus2, b);
send (a; ; bus2);
```

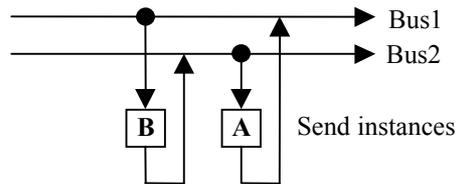


Figure 5.2 — Example of ordering instruments with 'sequence'

is legal, and specifies that instantiations of instrument **b** are executed first, followed by instantiations of instrument **a**. There is an implicit loop here that is resolved syntactically as described in default rule 3. Due to this ordering, the output values of instrument **a** are not provided to instrument **b**. Note that for deciding sequencing, only the order of **send** statements matters, not the order of **route** statements.

## 5.8.6 Instrument definition

### 5.8.6.1 Syntactic form

```
<instrument definition> ->   instr <ident> ( <identlist> ) [ preset <int> [ <int> ... ] ] {
                                <instr variable declarations>
                                <block> }
```

An instrument definition has several elements. In order, they are

1. An identifier that defines the name of the instrument,
2. A list of zero or more identifiers that define names for the parameter fields, also called pfields, of the instrument,
3. An optional list of preset values for specifying MIDI preset mappings,
4. A list of zero or more instrument variable declarations, and

5. A block of statements defining the executable functionality of the instrument.

### 5.8.6.2 Instrument name

Any identifier may serve as the instrument name except that the instrument name shall not be a reserved word (see subclause 5.8.9), the name of a core opcode (see subclause 5.9), or the name of a core wavetable generator (see subclause 5.10). An instrument name may be the same as a variable in local or global scope; there is no ambiguity so created, since the contexts in which instrument names may occur are very restricted.

No two instruments or opcodes in an orchestra shall have the same name.

### 5.8.6.3 Parameter fields

<identlist> -> as given in subclause 5.8.5.4

The parameter fields, also called pfields, of the instrument, are the interface through which the instrument is instantiated. In the instrument code, the pfields have the rate semantics of i-rate local variables. Their values shall be set on instrument instantiation, before the creation of local variables, with the appropriate values as given in the score line, score event, MIDI event, **send** statement, or **instr** statement corresponding to the instrument instantiation.

### 5.8.6.4 Preset tag

The **preset** tag specifies the preset number(s) of the instrument. When MIDI program change events arrive in a MIDI stream or MIDI file controlling the orchestra, the program change numbers refer to the **preset** tags given to the various instruments. No more than one instrument may have the same preset number; if multiple instruments in an orchestra specify the same **preset** tag, the one occurring syntactically last is assigned that preset number. If a **preset** tag is not associated with a particular instrument, then that instrument has no preset number and cannot be referenced with a program change. If more than one tag is given, the instrument responds to all of the listed preset values.

Preset tags in SAOL correspond to both the preset and bank value of a program in MIDI control; the program on preset **x**, bank **y** in MIDI syntax shall be indicated as preset  $(y - 1) * 128 + (x - 1)$  in SAOL (since presets and banks are numbered starting with 1 in MIDI).

See subclause 5.14 for more normative semantics governing MIDI control of orchestras.

### 5.8.6.5 Instrument variable declarations

#### 5.8.6.5.1 Syntactic form

<instr variable declarations>	-> <instr variable declarations> <instr variable declaration>
<instr variable declarations>	-> <NULL>
<instr variable declaration>	-> [ <sharing tag> ] <b>ivar</b> <namelist> ;
<instr variable declaration>	-> [ <sharing tag> ] <b>ksig</b> <namelist> ;
<instr variable declaration>	-> <b>asig</b> <namelist> ;
<instr variable declaration>	-> <table declaration> ;
<instr variable declaration>	-> <sharing tag> <b>table</b> <identlist> ;
<instr variable declaration>	-> <b>oparray</b> <ident> [ <array length> ] ;
<instr variable declaration>	-> <tablemap declaration> ;
<sharing tag>	-> <b>imports</b>
<sharing tag>	-> <b>exports</b>
<sharing tag>	-> <b>imports exports</b>
<tablemap declaration>	-> <b>tablemap</b> <ident> ( <identlist> ) ;

<array length> and <namelist> as defined in subclause 5.8.5.3.2, except that in the instrument scope the tokens **inchannels** and **outchannels** refer to the input channels and output channels of the instrument, respectively.  
 <table declaration> as defined in subclause 5.8.5.3.3  
 <identlist> as defined in subclause 5.8.5.4

Instrument variable declarations declare variables that may be used within the scope of an instrument. Any rate type variable, as well as wavetables, tablemaps, and wavetable placeholders, may be declared in an instrument. An instrument variable declaration is either a wavetable declaration, or an type name, possibly preceded by a sharing tag, followed by a list of name declarations, or a sharing tag followed by the token **table** followed by a list of identifiers referencing global or future wavetables, or an opcode-array declaration, or a table-map definition.

#### 5.8.6.5.2 Wavetable declaration

The syntax and semantics of subclause 5.8.5.3.3 hold for instrument local wavetables, with the following exceptions and additions:

An instrument local wavetable is available only within the local scope of a single instrument instantiation. As such, it shall be created and initialised with data at the instrument instantiation time, immediately after the pfield values are assigned from the calling parameters. It may be deleted and freed when that instrument instantiation terminates.

Not every expression that is i-rate is legal as part of the table parameter list. Reference to constants, pfields, imported i-rate variables, and i-rate standard names is allowed. However, the instrument wavetable initialisation shall occur before the initialisation pass through the instrument code, and so reference to local i-rate variables is prohibited.

#### 5.8.6.5.3 Signal variables

The syntax and semantics of subclause 5.8.5.3.2 hold for instrument local signal variables, with the following exceptions and additions:

A local name declaration specifies that a name token shall be created and space equal to one signal value allocated for variable storage in each instrument instantiation associated with the instrument definition. A local array declaration specifies that a name token shall be created and space equal to the specified number of signal values allocated in each instrument instantiation associated with the instrument definition.

The sharing tags **imports** and/or **exports** may be used with local i-rate or k-rate signal variable declaration. They shall not be used with a-rate variables. If the **imports** tag is used, then the variable value shall be replaced with the value of the global variable of the same name at instrument initialisation time (for i-rate signal variables) or at the beginning of each control pass (for k-rate signal variables). The **imports** tag may be used for a local k-rate signal variable even if there is no global variable of the same name, in which case it is an indication that the k-rate variable so tagged may be modified with **control** lines in a SASL score. The **imports** tag shall not be used for local i-rate signal variables when there is no global variable of the same name.

If the **exports** tag is used, then the value of the global variable of the same name shall be replaced with the value of the local signal variable after instrument initialisation (for i-rate signal variables) or at the end of each control pass (for k-rate signal variables). The **exports** tag shall not be used if there is no global variable of the same name.

If, for a particular signal variable, the **imports** and/or **exports** tags are used, and there is a global variable with the same name, then the array width of the local and global variables shall be the same.

If, for a particular local variable, the **imports** tag is not used, then its value is set to 0 before instrument initialisation.

If, for a particular local variable declaration, the **imports** and **exports** tags are not used, even if there is a global variable of the same name, there is no semantic relationship between the two variables. The construction is syntactically legal.

#### 5.8.6.5.4 Wavetable placeholder

The sharing tags **imports** and **exports** may be used to reference global and future wavetables. In this case, the local declaration of the table reference is termed a wavetable placeholder. The wavetable placeholder definition does not contain a full wavetable definition, but only a reference to a global or future wavetable name.

If only the **imports** tag is used, and there is a global wavetable with the same name, then at instrument instantiation time, the current contents of the global wavetable are copied into a local wavetable with that name. If the contents of the global wavetable are modified after a particular instrument instantiation referencing that global wavetable is created, the new contents of the global wavetable shall not be copied into the instrument instantiation. Also, if the contents of the local wavetable are modified, these changes shall not be reflected in the global wavetable.

If the **imports** and **exports** tags are both used, and there is a global wavetable with the same name, then at instrument instantiation time and at the beginning of each control pass, the current contents of the global wavetable are made available to a local wavetable with that name. "Made available" in the preceding sentence means that access may be either in the form of copying data from one wavetable to another or by pointer reference to the same memory space, or by any equivalent implementation. Also, at the end of instrument instantiation and at the end of each control pass, the current contents of the local wavetable are similarly made available to the global wavetable with the same name. In this case, if a wavetable is modified at the a-rate in one instrument instance, it is unspecified exactly when these changes are visible to other instrument instances, but it shall be no later than the next orchestra cycle (it is permitted to be in the same orchestra cycle).

It is not permissible to use the **exports** tag alone for a wavetable placeholder.

If the **imports** tag is used, and there is no global wavetable with the same name, then the reference is to a *future wavetable* that will be provided in the bitstream. When the instrument is instantiated, the contents of the most recent wavetable provided in the bitstream with the same name shall be copied into the local wavetable. If no wavetable has been provided in the bitstream with the same name as the wavetable placeholder at the time of instrument instantiation, then the bitstream is invalid. If the wavetable with this name is changed by providing a new wavetable with the same name by using a **table** line in the bitstream (subclause 5.11.6), the reference immediately changes to the new wavetable when the **table** line is dispatched.

It is not permissible to use the **exports** tag if there is no global wavetable with the same name.

#### 5.8.6.5.5 Opcode array declaration

An opcode array, or "oparray" declaration, declares several opcode states for a particular opcode that may be used by the current instrument or opcode. By declaring the states in this manner, access to them is available through the oparray expression, see subclause 5.8.6.7.7. The identifier in the declaration shall be the name of a core opcode or a user-defined opcode declared elsewhere in the orchestra. The array length declares how many states are available for access to this oparray in the local code block; it shall be an integer value or the special tag **inchannels** or **outchannels**.

It is a syntax error if more than one oparray declaration references the same opcode name in a single instrument or opcode.

#### 5.8.6.5.6 Table map definition

<table map definition> -> **tablemap** <ident> ( <identlist> )

<identlist> as defined in subclause 5.8.5.4.

A table map is a data structure allowing indirect reference of wavetables via array notation. The identifier names the table map; it shall not be the same as the name of any other signal variable or other restricted word in the local scope. The identifier list gives a number of wavetable names for use with the table map. Each of these names shall correspond to a wavetable definition or wavetable placeholder within the current scope. The **tablemap** declaration may come before, after, or in the midst of wavetable declarations and wavetable placeholders in the instrument. All wavetables in the scope of the instrument may be referenced in a **tablemap**, regardless of the syntactic placement of the **tablemap**.

When the tablemap name is used in an array-reference expression (see subclause 5.8.6.7.5), the index of the expression determines to which of the wavetables in the list the expression refers. The first wavetable in the list is number 0, the second number 1, and so on.

#### EXAMPLE

For the following declarations

```
table t1(harm,2048,1);
imports table t2;
table t3(random,32,1);

tablemap tmap(t1,t2,t3,t2);
ivar i,x,y,z;
```

the following two code blocks are identical in semantics:

#### BLOCK 1

```
i = 3;
x = tableread(tmap[0],4);
y = tableread(tmap[i],3);
z = tableread(tmap[i > 4 ? 1 : 2],5);
```

#### BLOCK 2

```
x = tableread(t1,4);
y = tableread(t2,3);
z = tableread(t3,5);
```

Note that, like table references, array expressions using tablemaps may only occur in the context of an opcode or oparray call to an opcode accepting a wavetable reference.

### 5.8.6.6 Block of code statements

#### 5.8.6.6.1 Syntactic form

```
<block>      -> <statement> [ <block> ]
<block>      -> <NULL>

<statement> -> <lvalue> = <expr> ;
<statement> -> <expr> ;
<statement> -> if ( <expr> ) { <block> }
<statement> -> if ( <expr> ) { <block> } else { <block> }
<statement> -> while ( <expr> ) { <block> }
<statement> -> instr <ident> ( <expr list> ) ;
<statement> -> output ( <expr list> ) ;
<statement> -> spatialize ( <expr list> ) ;
<statement> -> outbus ( <ident> , <expr list> ) ;
<statement> -> extend ( <expr> ) ;
<statement> -> turnoff ;

<expr list> -> <expr> [, <expr list>]
<expr list> -> <NULL>
```

<lvalue> as given in subclause 5.8.6.6.2.

<expr> as given in subclause 5.8.6.7.

A block is a sequence of zero or more statements. A statement shall take one of several forms, which are enumerated and described in the subsequent subclauses. Each statement has rate-semantics rules governing the rate of the statement, the rate contexts in which it is allowable, and the times at which various subcomponents shall be executed.

To execute a block of statements at a particular rate, the statements within the block shall be executed, each at that rate, in such order as to produce equivalent results to executing the statements sequentially in linear order, according to the semantics below governing each type of statement.

**5.8.6.6.2 Assignment**

<statement> -> <lvalue> = <expr> ;

<lvalue> -> <ident>

<lvalue> -> <ident> [ <expr> ]

<expr> as given in subclause 5.8.6.7.

An assignment statement calculates the value of an expression and changes the value of a signal variable or variables to match that value.

The lvalue, or left-hand-side value, denotes the signal variable or variables whose values are to be changed. An lvalue may be a local variable name, in which case the denotation is to the storage space associated with that name. An lvalue may also be a local array name, in which case the denotation is to the entire array storage space. An lvalue may also be a single element of a local array denoted by indexing a local array name with an expression. An lvalue shall not be a table reference or tablemap expression. An lvalue shall not be a standard name other than **MIDctrl** or **params** (see subclause 5.8.6.8.9 and 5.8.6.8.26).

If the lvalue denotes an entire array, the right-hand-side expression of the assignment shall denote an array-valued expression with the same array length, or a single value, otherwise the construction is syntactically illegal. In the case that lvalue depends on the ordering of computation of the right-hand-side expression (e.g. if an entire array is multiplied with one of its elements), lvalue is undefined.

If the lvalue denotes a single value, the right-hand-side expression of the assignment shall denote a single value, otherwise the construction is syntactically illegal.

The rate of the lvalue is the rate of the signal variable, if there is no indexing expression, or the faster of the rate of the signal array denoted by the signal variable and the rate of the indexing expression, if there is an indexing expression.

The rate of the right-hand side is the rate of the right-hand-side expression.

The rate of the statement is the rate of the lvalue, however, the statement is illegal if the rate of the right-hand side is faster than the rate of the lvalue.

The assignment shall be performed as follows:

At every pass through the statement occurring at equal rate to the rate of the assignment, the right-hand side expression shall be evaluated. Then, the storage space denoted by the lvalue shall be updated to be equal to the value of the right-hand expression. If the lvalue denotes an entire array, and the right-hand-side expression a single value, then each of the values of each of the elements of the array shall be changed to the single right-hand-side value.

**5.8.6.6.3 Null assignment**

<statement> -> <expr> ;

A null assignment contains only an expression; it is provided so that opcodes that do not have useful return values need not be used in the context of an assignment to a dummy variable.

The rate of the statement is the rate of the expression. The expression may be single-valued or array-valued; it shall not be a table reference.

The null assignment shall be performed as follows:

At every pass through the statement occurring at equal rate to the rate of the statement, the expression shall be evaluated.

#### 5.8.6.6.4 If

```
<statement>  -> if ( <expr> ) { <block> }
```

An **if** statement allows conditional evaluation of a block of code. The expression that is tested in the **if** statement is termed the guard expression.

The rate of the statement is the rate of the guard expression, or the rate of the fastest statement in the guarded code block, whichever is faster.

It is not permissible for the block of code governed by the **if** statement to contain statements slower than the guard expression. It is further not permissible for any of the statements in the governed block of code to contain calls to opcodes that would be executed slower than the guard expression. The guard expression shall be a single-valued expression.

#### EXAMPLE

The following code fragment contains a rate-mismatch error:

```
asig a; ksig k;
a = 0; if (a < 20) {
  k = kline(...);
}
```

The example is illegal because the **kline** assignment statement is slower than the guard **a < 20**. Even if the assignment were to an a-rate variable (“a2 = kline(...”), thus making the assignment statement an a-rate statement, the example would be illegal, because the **kline** opcode itself is slower than the guard expression.

The **if** statement shall be executed as follows:

At every pass through the statement occurring at equal rate to the rate of the statement, the guard expression shall be evaluated. If the guard statement evaluates to any non-zero value in a particular pass, then the block of code shall be evaluated at the rate corresponding to that pass.

If a block of code executing at the **a-rate** or **k-rate** has **i-rate** statements, these statements should only be executed the first time the block executes, with regards to a particular state.

If a block of code executing at the **a-rate** has **k-rate** statements, these statements should only be executed the first time the block executes in a kcycle.

#### 5.8.6.6.5 Else

```
<statement>  -> if ( <expr> ) { <block> } else { <block> }
```

An **else** statement allows disjunctive evaluation of two blocks of code. The expression that is tested in the **else** statement is termed the guard expression.

The rate of the statement is the rate of the guard expression, or the rate of the fastest statement in the first guarded block of code, or the rate of the fastest statement in the second guarded block of code, whichever is fastest.

It is not permissible for the blocks of code governed by the **else** statement to contain statements slower than the guard expression. It is further not permissible for any of the statements in the governed blocks of code to contain calls to opcodes that would be executed slower than the guard expression. The guard expression shall be a single-valued expression.

The **else** statement shall be executed as follows:

At every pass through the statement occurring at equal rate to the rate of the statement, the guard expression shall be evaluated. If the guard expression evaluates to any non-zero value in a particular pass, then the first guarded block of code shall be at the rate corresponding to that pass. If the guard statement evaluates to zero in a particular pass, then each statement in the second guarded block of code shall be so evaluated.

If a block of code executing at the **a-rate** or **k-rate** has **i-rate** statements, these statements should only be executed the first time the block executes, with regards to a particular state.

If a block of code executing at the **a-rate** has **k-rate** statements, these statements should only be executed the first time the block executes in a kcycle.

#### 5.8.6.6.6 While

<statement> -> **while** ( <expr> ) { <block> }

The **while** statement allows a block of code to be conditionally evaluated several times in a single rate pass. The expression that is tested in the **while** statement is termed the guard expression.

The rate of the **while** statement is the rate of the guard expression.

It is not permissible for the block of code governed by the **while** statement to contain statements that run at a rate other than the rate of the guard expression. It is further not permissible for any of the statements in the governed block of code to contain calls to opcodes that would be executed at a rate other than the rate of the guard expression. The guard expression shall be a single-valued expression. It is not permissible for the guard expression to contain calls to core opcodes with type **specialop**, see subclause 5.9.2.

The **while** statement shall be executed as follows:

At every pass through the statement occurring at equal rate to the rate of the statement, the guard expression shall be evaluated. If the guard expression evaluates to any non-zero value in a particular pass, then each statement in the guarded block of code shall be evaluated according to the particular rules for that statement, and then the guard expression re-evaluated, iterating until the guard expression evaluates to zero.

#### 5.8.6.6.7 Instr

<statement> -> **instr** <ident> ( <expr list> ) ;

The **instr** statement allows an instrument instantiation to dynamically create other instrument instantiations, for layering or synthetic-performance techniques. It shall consist of an identifier referring to an instrument defined in the current orchestra, a time delay, a duration, and a list of expressions defining parameters to pass to the referenced instrument.

It is a syntax error if the number of expressions in the expression list is not two greater than the number of pfields accepted by the referenced instrument (the first expression is the time delay and the second is the duration). Each expression in the expression list shall be a single-valued expression.

The rate of the **instr** statement is the rate of the fastest expression in the expression list, or the rate of the guarding expression containing the statement, or the rate of the opcode containing the statement, whichever is fastest.

It is not permissible for the rate of the **instr** statement to be a-rate.

The **instr** statement shall be executed as follows:

At every pass through the statement occurring at equal rate to the rate of the statement, each of the expressions in the expression list is evaluated. Then, a new instrument event is registered with the scheduler as described in subclause 5.11.3. The dispatch time of the new instrument event is the sum of the current orchestra time and the value of the first expression in the expression list, the latter scaled by the current global tempo; the duration of the new instrument event is the value of the second expression in the expression list; and the values of the p-fields for the new instrument event are the values of the remaining expressions in the expression list. To clarify, note that the values of the first and second expressions are considered to have units of score beats, not absolute time, and they are consequently scaled according to the actual **tempo** of the orchestra.

An exception to the above occurs when the time-delay (the first expression in the expression list) is less than the length of the orchestra control period. In this case, an instrument event is not created, but a new instrument instantiation is immediately created, where the duration of the new instantiation is the value of the second expression in the expression list, and the values of the instrument p-fields in the new instantiation are set to the values of the remaining expressions.

In this case, the i-rate pass through the new instrument instantiation shall be executed immediately upon its creation, before any more statements from the block of code containing the **instr** statement are executed. However, any changes to global i-rate variables made in the new instance during its i-rate pass are not respected in this instrument (the “caller”) (i-rate variables imported from the global context are set only during the initialisation pass of each instance, and never change afterward). The first k-rate and a-rate passes through the new instrument instantiation shall be executed as appropriate to the sequencing relation between the instantiating and instantiated instruments; that is, if the new instrument is sequenced later than the instantiating instrument, the new instantiation shall be executed at some later time in the same orchestra pass, but if the new instrument is sequenced earlier than the instantiating instrument, then the new instantiation shall not be executed in k-time or a-time until the subsequent orchestra pass.

A dynamically created instrument has access to the same **MIDIctrl** (subclause 5.8.6.8.9), **MIDItouch** (subclause 5.8.6.8.10), **MIDIbend** (subclause 5.8.6.8.11), **channel** (subclause 5.8.6.8.12) and **preset** (subclause 5.8.6.8.13) standard name state as its parent. However, the dynamically created instrument is not scheduled for termination when the parent is terminated under MIDI control.

#### 5.8.6.6.8 Output

<statement> -> **output** ( <expr list> );

The **output** statement creates audio output from the instrument. This output does not get turned directly into sound, but rather gets buffered either on one or more busses based on instructions given in **route** statements (subclause 5.8.5.4) or on the special bus **output\_bus** by default. However, if the current instrument instantiation is the one created with a **send** statement referencing the special bus **output\_bus**, then the output of the current instantiation, created by summing its calls to **output**, may be turned directly into sound.

The expression list shall contain at least one expression.

The rate of the **output** statement is a-rate.

All statements within an orchestra that reference the same bus, whether through explicit sends, calls to **outbus**, or by default routing to the special bus **output\_bus**, shall have compatible numbers of expression parameters representing output channels. “Compatible” means that if any calls to **output** for a particular bus reference more than one expression parameter, then all other calls to **output** referencing this bus shall have either the same number of expression parameters, or else only a single expression parameter. In addition, the number of channels of the special bus **output\_bus** shall be the same as the global **outchannels** parameter and uses of **output** by instrument instances that are implicitly or explicitly routed to **output\_bus** shall be compatible with this number of channels.

The **output** statement is executed as follows:

At each k-rate pass through the instrument, an output buffer, with number of channels determined by the rules in subclause 5.7.3.3.5.2, shall be cleared to zero values. At every a-rate pass through the statement, the expression parameters shall each be evaluated. Then, the expression parameter values shall be placed in the output buffer: if the **output** statement has more than one parameter expression, then the value of each parameter shall be added to the current value of the output buffer in the corresponding channel. If the **output** statement has only one parameter expression, then the value of that expression shall be added to the current value of the output buffer in each channel.

The expression parameters to the **output** statement may be array-valued, in which the mapping described in the preceding paragraph is not from expressions to buffer channels, but from array value channels to buffer channels.

EXAMPLE

The following code fragment

```

    asig a[2], b;

    . . .

    output (a,b);
    output (a[1],b,b);
    output (b);

```

is legal and describes an instrument that outputs three channels of sound. The first channel of output contains the value  $a[0] + a[1] + b$ , the second  $a[1] + b + b$ , and the third  $b + b + b$ .

After each a-rate pass through the instrument instantiation during a particular orchestra pass, the values in the output buffer shall be added channel-by-channel to the current values of the bus or busses referenced by the **route** expression or expressions that also reference this instrument. If there are no such **route** statements, the values in the output buffer shall be added channel-by-channel to the current values of the special bus **output\_bus**. If this is the instrument instantiation created by referencing the special bus **output\_bus** in a **send** statement, then the preceding two sentences do not hold, and instead the values in the output buffer are the output of the orchestra.

5.8.6.6.9 Spatialize

<statement> -> **spatialize** ( <expr list > );

The **spatialize** statement allows instruments to produce spatialised sound, using non-normative methods that are implementation-dependent.

The expression list shall contain four expressions. The second, third, and fourth shall not be a-rate expressions. The first expression represents the audio signal to be spatialised; the second, the azimuth (angle) from which the source sound shall apparently come, measuring in radians clockwise from 0 azimuth directly in front of the listener; the third, the elevation angle from which the sound source shall apparently come, measuring in radians upward from 0 elevation on the listener’s horizontal plane; and the fourth, the distance from which the sound source shall apparently come, measuring in metres from the listener’s position. Each of the four expressions shall be single-valued.

The rate of the **spatialize** statement is a-rate.

The **spatialize** statement shall be executed as follows:

At each a-rate pass through the instrument, the expressions in the expression list shall be evaluated. Then, the sound signal in the first expression shall be presented to the listener as though it has arrived from the azimuth, elevation, and distance given in the second, third, and fourth expressions. No normative requirements are placed on this spatialisation capability, although terminal implementers are encouraged to provide the maximum sophistication possible.

The sound produced via the **spatialize** statement is turned directly into orchestra output; it shall not be affected by bus routings or further manipulation within the orchestra. If multiple calls to **spatialize** occur within an orchestra, the various sounds so produced shall be mixed via simple summation after spatialisation. Similarly, if both spatialised and non-spatialised sound is produced within an orchestra, the final orchestra output of all non-spatialised sound shall be mixed via simple summation with the various spatialised sounds for presentation. The sound produced via each **spatialize** statement shall have as many channels as the global orchestra number of output channels (see subclause 5.8.5.2.4) in order to enable this mixing.

#### 5.8.6.6.10 Outbus

<statement> -> **outbus** ( <ident> , <expr list> ) ;

The **outbus** statement allows instruments to place dynamically-calculated signals on busses. The identifier parameter shall refer to the name of a bus defined with a **send** statement in the global block. The remaining expressions represent signals to place on the bus.

It is a syntax error if there are no expressions in the expression list, or if the identifier does not refer to a bus defined in the global block with a **send** statement. The number of expressions in the expression list shall be compatible with other statements making reference to the same bus, as defined in subclause 5.8.6.6.8.

The rate of the **outbus** statement is a-rate.

The **outbus** statement shall be executed as follows:

At each a-rate pass through the statement, the expression list shall be evaluated. Then, the expression values shall be added to the current values of the referenced bus. If there is more than one expression in the expression list, then each expression value shall be added to the corresponding channel of the referenced bus. If there is only one expression in the expression list, then the value of that expression shall be added to each channel of the referenced bus.

The expressions in the expression list may be array-valued, in which case the semantics are analogous to those in subclause 5.8.6.6.8.

The **outbus** statement shall not be used in an instrument that is the target of a **send** statement referencing the special bus **output\_bus**. In addition, an **outbus** statement may not write to the special bus **input\_bus**.

#### 5.8.6.6.11 Extend

<statement> -> **extend** ( <expr> ) ;

The **extend** statement allows an instrument instantiation to dynamically lengthen its duration.

The expression parameter shall not be a-rate. The expression shall be single-valued.

The rate of the **extend** statement is the rate of the expression parameter.

The **extend** statement shall be executed as follows:

At each pass through the statement at equal rate to the rate of the statement, the expression shall be evaluated. Then, the duration of the instrument instantiation shall be extended by the amount of time, in seconds, given by the value of the expression. That is, if the instrument instance had been previously scheduled to be terminated at time  $t$ , then after a call to **extend** with an expression evaluating to  $s$ , the instrument instance shall be scheduled to terminate at time  $t+s$ . If the instrument instance had no scheduled termination time (its duration was  $-1$  on instantiation), **extend** with an expression evaluating to  $s$  shall schedule termination of the instrument at time  $T + s$ , where  $T$  is the current orchestra time.

The **extend** statement shall not be executed in an instrument instance that is created as the result of a **send** statement referencing the special bus **output\_bus**.

NOTE - The parameter of **extend** is specified in seconds, not in beats. If it is desirable to have time-extension dependant on tempo in a particular composition, the content author may enable this by rescaling the parameter by the current value of **gettempo()** (subclause 5.9.15.1).

**extend** may be called with a negative argument to shorten the duration of a note; if  $t+s < T$  (that is, if the negatively extended duration has already been exceeded in the instantiation), then the statement acts as the **turnoff** statement, see subclause 5.8.6.6.12.

When the **extend** statement is called, the standard name **dur** shall be updated to reflect the new duration; that is, **dur := dur + x** where **x** is the expression value of the parameter.

### 5.8.6.6.12 Turnoff

<statement> -> **turnoff** ;

The **turnoff** statement allows an instrument instantiation to dynamically decide to terminate itself.

The rate of the **turnoff** statement is k-rate.

The **turnoff** statement shall be executed as follows:

When the **turnoff** statement is reached at k-rate, the instrument instance shall be scheduled to terminate after the following k-cycle; that is, if the current orchestra time is  $T$  and the k-pass duration  $k$ , the instrument instantiation shall be scheduled to terminate at time  $T+k$ .

The **turnoff** statement shall not update the **dur** standard name.

The **turnoff** statement shall not be executed in an instrument instance that is created as the result of a **send** statement referencing the special bus **output\_bus**.

NOTE - **turnoff** does not destroy the instantiation immediately; the instantiation is executed for one more orchestra pass, to allow the instrument time to examine the **released** variable. Instruments may call **turnoff** and then “save” themselves on the subsequent k-cycle by calling **extend**.

## 5.8.6.7 Expressions

### 5.8.6.7.1 Syntactic form

<expr> -> <ident>  
 <expr> -> <number>  
 <expr> -> <int>  
 <expr> -> <ident> [ <expr> ]  
 <expr> -> <ident> ( <expr list> )  
 <expr> -> <ident> [ <expr> ] ( <expr list> )  
 <expr> -> <expr> ? <expr> : <expr>  
 <expr> -> <expr> <binop> <expr>  
 <expr> -> ! <expr>  
 <expr> -> - <expr>  
 <expr> -> ( <expr> )  
 <expr> -> **sasbf** ( <expr list> ) ;

<binop>-> +  
 <binop>-> -  
 <binop>-> \*  
 <binop>-> /  
 <binop>-> ==  
 <binop>-> >=

```

<binop>-> <=
<binop>-> !=
<binop>-> >
<binop>-> <
<binop>-> &&
<binop>-> ||

```

An expression can take one of several forms, the semantics of which are enumerated in the subclauses below. Each form has both rate semantics, which describe the rate of the expression in terms of the rates of the subexpressions, and value semantics, which describe the value of the expression in terms of the values of the subexpressions. The syntax above is ambiguous for many expressions; disambiguating precedence rules are given in subclause 5.8.6.7.14.

#### 5.8.6.7.2 Properties of expressions

Each expression is conceptually labelled with two properties: its rate and its width. The rate of an expression determines how fast the value of that expression might change; the width of an expression determines how many channels of sound or other data are represented by the expression. In each expression type, the rate and width of the expression are determined from the type of the expression, and perhaps from the rate and width of the component subexpressions.

NOTE - Any name declared as an array is an array-valued variable regardless of its length. That is, a variable declared as **asig name[1]** is not a single-valued variable.

#### 5.8.6.7.3 Identifier

```

<expr>      -> <ident>

```

An identifier expression denotes a storage location or locations that contain values stored in memory. It is illegal to reference an identifier that is not declared in the local instrument or opcode scope, and that is not a standard name (see subclause 5.8.6.7.14).

The rate of an identifier expression is the rate type at which the identifier was declared, or is implicitly declared in the case of standard names. The rate of a **table** identifier is i-rate.

If the identifier denotes a single-valued name (i.e., one that is not an array type), then the value of the identifier expression is the value stored in memory associated with that identifier in the current scope, and the width of the expression is 1.

If the identifier denotes an array-valued name, then the value of the identifier expression is the ordered sequence of values stored in memory and associated with that identifier in the current scope, and the width of the expression is the width of the array so denoted.

If the identifier denotes a table, then the value of the identifier expression is a reference to the table with the given name. Table references may only appear in calls to opcodes. A table reference has width 1.

#### 5.8.6.7.4 Constant value

```

<expr>      -> <number>
<expr>      -> <int>

```

A constant value expression denotes a single number.

The rate of a constant value expression is i-rate.

The width of a constant value expression is 1.

The value of a constant expression is the value of the number denoted by the constant. The value of a constant expression is always a floating-point value, whether the token or lexical expression denoting the value was an integer or floating-point token or expression.

**5.8.6.7.5 Array reference**

<expr> -> <ident> [ <expr> ]

An array reference expression allows the selection of one value from an array of several. The identifier in the array-reference syntax is termed the array name, and the expression the index expression. It is illegal to use an identifier in an array reference that is neither declared in the local instrument or opcode scope as an array, nor implicitly defined as an array-valued standard name or table map.

The index expression shall have width 1.

The rate of an array reference expression is the rate of the array name (which is the rate at which the array name was declared explicitly or implicitly), or the rate of the index expression, whichever is faster.

The width of an array reference expression is 1.

If the referenced array is an array-valued signal variable, then the value of the array reference expression is the value of that element of the sequence of values in the array storage corresponding to the value of the indexing expression, where element 0 corresponds to the first value in the sequence. It is a run-time error if the value of the indexing expression is less than 0, or equal to or greater than the declared size of the array. If the indexing expression is not an integer, it is rounded to the nearest integer.

If the referenced array is a table map, then the value of the array reference expression is a reference to that element of the sequence of tables corresponding to the value of the index expression, where element 0 corresponds to the first table in the sequence. It is a run-time error if the value of the indexing expression is less than 0, or equal to or greater than the declared size of the table map. If the indexing expression is not an integer, it is rounded to the nearest integer. Table references may only appear in calls to opcodes. See also the example in subclause 5.8.6.5.6.

NOTE - The syntax  $t[i]$ , where  $t$  is a table rather than a table map, is illegal. The **tableread** core opcode is used to directly access elements of a wavetable. See subclause 5.9.6.

**5.8.6.7.6 Opcode call**

<expr> -> <ident> ( <expr list> )

An opcode call expression allows the use of processing functionality encapsulated within an opcode.

The identifier is termed the opcode name, and the expression list the actual parameters of the opcode call expression. It is illegal to use an identifier that is not the name of a core opcode and is also not the name of a user-defined opcode declared elsewhere in the orchestra. For user-defined opcodes, the number of actual parameters shall be the same as the number of formal parameters in the opcode definition. For core opcodes without variable argument lists, the number of actual parameters required varies from opcode to opcode; see subclause 5.8.9. If a particular formal parameter in an opcode definition is an array, then the corresponding actual parameter shall be an array-typed expression of equal width. If a particular formal parameter in an opcode definition is a table, then the corresponding actual parameter shall be a table reference.

If a particular formal parameter in an opcode definition is at a particular rate, then the corresponding actual parameter expression shall not be at a faster rate.

The rate of the opcode call expression is determined according to the rules in subclause 5.8.7.7.

The width of the opcode call expression is the number of channels provided in the **return** statements in the opcode's code block.

For calls to core opcodes (see subclause 5.9), in the absence of normative language specifying otherwise for a particular opcode, it is a syntax error if any of the following statements apply:

- there are fewer actual parameters in the opcode call than required formal parameters
- there are more actual parameters in the opcode call than required and optional formal parameters, and the opcode definition does not include a varargs “...” clause.
- a particular actual parameter expression is of faster rate than the corresponding formal parameter, or than the varargs formal parameter if that is the correspondence
- a particular actual parameter expression is not single-valued, or is not table-valued when the corresponding formal parameter specifies a table.

The context of the opcode call is restricted more than other expressions. When occurring within a block subsidiary to a guarding statement (**if**, **else**, or **while**), opcode calls shall not have a rate slower than the rate of the guarding expression (see subclauses 5.8.6.6.4 and 5.8.6.6.5 and 5.8.6.6.6). A call to an opcode with a particular name shall not occur within the code block of definition of that opcode, nor within the code blocks of any of the opcodes called by that opcode, or any of the opcodes called by them, etc. That is, recursive and mutually-recursive opcodes are prohibited.

If a **kopcode** opcode call occurs in a expression that runs at the **a-rate**, the first time this expression is executed in a k-cycle with regards to a particular opcode state, the **kopcode** is called, following the semantics described in this subclause. For all subsequent evaluations of the expression in the same k-cycle, the **kopcode** is not executed; instead, the return value from the first execution is used in the expression evaluation.

If an **iopcode** opcode call occurs in a expression that runs at the **a-rate** or the **k-rate**, the first time this expression is executed with regards to a particular opcode state, the **iopcode** is called, following the semantics described in this subclause. For all subsequent evaluations of the expression, the **iopcode** is not executed; instead, the return value from the first execution is used in the expression evaluation.

If a **specialop** core opcode call occurs in a expression that runs at the **a-rate**, the **k-rate** semantics of the **specialop** opcode call follows the rules for **kopcode** calls described above, while the **a-rate** semantics of the **specialop** opcode call happen at every a-cycle as described in subclause 5.9.2.

To calculate the value of an opcode call expression referencing a user-defined opcode at a particular rate, the values of the actual parameter expression shall be calculated in the order they appear in the expression list. The values of the formal parameters within the opcode scope shall be set to the values of the corresponding actual parameter expressions. If this is the first opcode call expression referencing this opcode scope, opcode storage space shall be created to store local signal variables and wavetables, the local signal variables set to 0, and the local wavetables created as discussed in subclause 5.8.6.5.2. Any global variables imported by the opcode at that rate shall be copied into the opcode storage space. The statement block of the opcode shall be executed at the current rate. The value of the opcode call expression is the value of the first **return** statement encountered when executing the opcode. The value of the opcode call expression may be array-valued (if the expression in the **return** statement is). After the end of opcode execution, any global variables exported by the opcode shall be copied into the global storage space.

NOTE - If an opcode changes and exports the value of a global variable that is imported by the calling instrument or opcode, the change in the global variable is not reflected in the caller until the next orchestra pass.

If a particular actual parameter expression in an opcode call expression is an identifier or an array-reference expression, then that parameter is a reference parameter in that call to that opcode. When the opcode statement block is executed, the final value of the formal parameter associated with that actual parameter shall be copied into the variable value denoted by the identifier or array-reference, unless the parameter is a standard name which may not be used as an lvalue (see subclause 5.8.6.6.2), in which case no copy is performed. This modification shall happen immediately after (but not until) the termination of the statement block, before any other calculation is done. Both single-value and array-value expressions may be reference parameters, but if an array-valued expression is used, the associated formal parameter shall be an array of the same length.

To calculate the value of an opcode call expression referencing a core opcode at a particular rate, the values of the actual parameter expressions shall be calculated in the order they appear in the expression list. Then, the return value of the core opcode shall be calculated according to the rules for the particular opcode given in subclause 5.8.9. If the rate of a formal parameter is slower than the rate of the opcode, the following rules apply:

- in an opcode call at the **k-rate**, actual variables associated to an **ivar** formal parameters are updated only the first time this opcode is executed with regards to a particular opcode state;
- in an opcode call at the **a-rate**, actual variables associated to an **ivar** formal parameters are updated only the first time this opcode is executed with regards to a particular opcode state; actual variables associated to a **ksig** formal parameters are updated only the first time this opcode is executed in a k-cycle with regards to a particular opcode state.

NOTE - The variables declared within the scope of a user-defined opcode are static-valued; that is, they preserve their values from call to call. The values of variables within the scope of a user-defined opcode are set to 0 before the opcode is called the first time. Each syntactically distinct call to an opcode creates one and only one opcode scope (see example in next subclause).

#### 5.8.6.7.7 Oarray call

<expr>           -> <ident> [ <expr> ] ( <expr list> )

An oarray call expression allows the dynamic selection of an opcode state from a set of several, and the calculation of encapsulated functionality with respect to that opcode state.

The identifier is termed the opcode name, the expression in brackets is termed the index expression, and the expressions in the parameter list are termed the actual parameters. It is illegal to use an identifier that is not the name of a core opcode and is also not the name of a user-defined opcode declared elsewhere in the orchestra. It is also illegal to use an identifier for which oarray storage is not allocated in the local scope as described in subclause 5.8.6.5.5. For user-defined opcodes, the number of actual parameters shall be the same as the number of formal parameters in the opcode definition. For core, the number of actual parameters required varies from opcode to opcode; see subclause 5.8.9.

The index expression shall be a single-valued expression.

The rate of the oarray call expression is the rate of the opcode referenced, as determined by the rules in subclause 5.8.7. The rate of the index expression shall not be faster than the rate of the opcode referenced.

The width of the oarray call expression is the number of channels returned by **return** statements within the opcode code block.

The context of the oarray call expression is restricted in the same way as described for the opcode call expression in subclause 5.8.6.7.6. The rate semantics for oarray call execution follows the same rules described for the opcode call expression in subclause 5.8.6.7.6.

The value of the oarray call expression is determined in the same way as described for the opcode call in subclause 5.8.6.7.6, with the following exceptions and additions:

Before the values of the actual parameter expressions are calculated, the value of the index expression is calculated. It is a run-time error if the value of the index expression is not in the range [0..n-1], where n is the allocation size in the oarray definition for this oarray. If the index expression is not an integer, it is rounded to the nearest integer. The scope storage associated with the opcode name and the value of the index expression is selected from the set of oarray scopes in the local scope. The evaluation of the statement block in the referenced opcode is with regard to the selected scope. Within each oarray scope, local variables retain their values from call to call.

#### EXAMPLES

Some examples are provided to clarify the distinction between opcode calls and oarray calls.

The following user defined opcode

```

opcode inc() {
    ksig ct;

    ct = ct + 1;
    return(ct);
}

```

counts the number of times it is called.

1. After the first execution of the following code fragment

```

a = inc();
b = inc();

```

the value of **a** is 1, and the value of **b** is 1, since each call to **inc()** refers to a different scope.

2. After the first execution of the following code fragment

```

i = 0; while (i < 2) { a = inc(); i = i + 1; }

```

the value of **a** is 2, since there is only one scope for **inc()**.

3. After the first execution of the following code fragment

```

oparray inc[2];

a = inc[0]();
b = inc[0]();

```

the value of **a** is 1, and the value of **b** is 2, since each call to **inc()** refers to the same scope (since the value of the indexing expression is the same in both calls).

4. After the first execution of the following code fragment

```

oparray inc[2];

i = 0; while (i < 2) { a = inc[i](); i = i + 1; }

```

the value of **a** is 1, since each iteration refers to a different scope in the call to **inc()** (since the value of the indexing expression is 0 on the first iteration, and 1 on the second).

NOTE - Opcode calls and oparray calls referencing the same opcode may be used in the same scope. In this case, the scopes referenced by each of the opcode calls are different from any of the scopes defined in the oparray definition.

#### 5.8.6.7.8 Combination of vector and scalar elements in mathematical expressions

The subsequent subclauses (subclauses 5.8.6.7.9 through 5.8.6.7.13) describe mathematical expressions in SAOL. For each, the width of the expression is the maximum width of any of its subexpressions. For each expression type, each subexpression within an expression shall have the same width, or else width of 1. If subexpressions with width 1 and width different than 1 are combined in an expression, before the expression is computed, the subexpression(s) with width 1 shall be promoted to have the same width as the expression. That is, a width 1 expression with value **x** that is a subexpression of a width *n* expression shall be promoted to a width *n* expression where the value of each element is **x**.

For each expression type below, the semantics will be given for array-valued expressions. In each case, the semantics for the single-valued expression are the same as for an array-valued expression with width 1, except for the special cases of switch, logical AND, and logical OR, which will be described separately in those subclauses.

**5.8.6.7.9 Switch**

<expr> -> <expr> ? <expr> : <expr>

The switch expression combines values from two subexpressions based on the value of a third.

The rate of the switch expression is the rate of the fastest of the three subexpressions.

The value of the switch expression is calculated as follows: the three subexpressions are evaluated. Then, for each value of the first subexpression, if this value is non-zero, the corresponding value of the switch expression is the corresponding value of the second subexpression. If this value is zero, the corresponding value of the switch expression is the corresponding value of the third subexpression.

In the special case where all subexpressions have width 1, then the switch expression “short-circuits”: the first subexpression is evaluated, and if its value is non-zero, then the second subexpression is evaluated, and its value is the value of the switch expression. If the value of the first subexpression is zero, then the third subexpression is evaluated, and its value is the value of the switch expression. If the width of the switch expression is 1, then in no case are both the second and third subexpressions evaluated.

**5.8.6.7.10 Not**

<expr> -> ! <expr>

The not expression performs logical negation on a subexpression.

The rate of the not expression is the rate of the subexpression.

The value of the not expression is calculated as follows: the subexpression is evaluated. For each nonzero value in the subexpression, the corresponding value of the not expression is zero; for each zero value in the subexpression, the corresponding value of the not expression is 1.

**5.8.6.7.11 Negation**

<expr> -> - <expr>

The negation expression performs arithmetic negation on a subexpression.

The rate of the negation expression is the rate of the subexpression.

The value of the negation expression shall be calculated as follows: the subexpression is evaluated. For each value in the subexpression, the corresponding value of the negation expression is the arithmetic negative of the value.

**5.8.6.7.12 Binary operators**

<expr> -> <expr> <binop> <expr>

There are 12 binary operators. Each of them calculates a different function on binary subexpressions.

The value of the expression shall be calculated as follows. The two subexpressions shall be evaluated, and for each pair of values of the subexpressions, and the corresponding value of the binary expression shall be calculated according to the following table, where  $x_1$  and  $x_2$  are the values of the first and second subexpressions:

**Table 5.2 — Binary operators**

Operator	Value of expression
+	$x_1 + x_2$
-	$x_1 - x_2$
*	$x_1 x_2$

/	$x_1 / x_2$
==	if $x_1 = x_2$ , then 1, otherwise 0
>	if $x_1 > x_2$ , then 1, otherwise 0
<	if $x_1 < x_2$ , then 1, otherwise 0
<=	if $x_1 \leq x_2$ , then 1, otherwise 0
>=	if $x_1 \geq x_2$ , then 1, otherwise 0
!=	if $x_1 \neq x_2$ , then 1, otherwise 0

In each of these cases, if the particular operation would result in a NaN or Inf result (for example, division by 0), a run-time error shall result.

For the “logical and” operator **&&** in the special case where both subexpressions have width 1, the expression is calculated in a “short-circuit” fashion. The first subexpression shall be evaluated. If its value is 0, then the value of the expression is 0; if its value is nonzero, then the second subexpression shall be evaluated, and if its value is 0, then the value of the expression is 0, otherwise the value of the expression is 1.

For the “logical or” operator **||** in the special case where both subexpressions have width 1, the expression is calculated in a “short-circuit” fashion. The first subexpression shall be evaluated. If its value is nonzero, then the value of the expression is 1; if its value is 0, then the second subexpression shall be evaluated, and if its value is nonzero, then the value of the expression is 1, otherwise the value of the expression is 0.

#### 5.8.6.7.13 Parenthesis

<expr>       -> ( <expr> )

The parenthesis operator performs no new calculation, but allows the specification of arithmetic grouping.

The rate of the parenthesis expression is the rate of the subexpression.

The width of the parenthesis expression is the width of the subexpression.

The value of the parenthesis expression is the value of the subexpression.

#### 5.8.6.7.14 Order of operations

Expressions bind in the order prescribed in the following table. That is, operations listed higher in the table are performed before operations lower in the table whenever the ordering is syntactically ambiguous. Operations listed on the first and last row associate right-to-left, that is, the rightmost expression is performed first. Operations listed on the remaining rows associate left-to-right, that is, the leftmost expression is performed first.

**Table 5.3 — Order of operations**

Operator	Function
!, -	not, unary negation
*, /	multiply, divide
+, -	add, subtract
<, >, <=, >=	relational
==, !=	equality
&&	logical and
	logical or
?:	switch

#### 5.8.6.7.15 SASBF synthesis

<expr> -> **sasbf** ( <expr list> ) ;

The **sasbf** expression allows the use of the DLS-compatible bank synthesis procedure (see subclause 5.13) within a SAOL instrument. It shall not be used in a Object type 3 bitstream and capability for executing it does not have to be provided by a Object type 3 decoder. The parameter list shall have two, three, or four expressions. All shall be single-valued i-rate expressions.

1. The first expression shall correspond to the MIDI pitch desired for synthesis. If this value is not an integer, it shall be rounded to the nearest integer. It is a run-time error if this value is less than 1 or greater than 128.
2. The second expression shall correspond to the MIDI velocity desired for synthesis. If this value is not an integer, it shall be rounded to the nearest integer. It is a run-time error if this value is less than 0 or greater than 128.
3. The third expression, if given, corresponds to the MIDI preset number. If there are less than three expressions, the MIDI preset number is the default value given by  $p \bmod 128$ , where  $p$  is the preset number of the instrument to which the MIDI noteon that created the note instance was directed, or the lowest-numbered instrument preset number for the instrument containing this statement (subclause 5.8.6.4), if the note was not triggered with a MIDI event.
4. The fourth expression, if given, corresponds to the MIDI bank number. If there are less than four expressions in the list, the MIDI bank number is the default value given by  $\text{floor}(p/128) + 1$ , where  $p$  is the preset number to which the MIDI noteon that created the note instance was directed, or the lowest-numbered instrument preset number for the instrument (subclause 5.8.6.4), if the note was not triggered by a MIDI event. It is a syntax error if there are less than four expressions and no instrument preset number is provided.

The **sasbf** expression is an a-rate expression. The **sasbf** expression has two channels defined by the bank-synthesis procedure as described in subclause 5.13.

The value of the **sasbf** expression is calculated as follows:

On the first execution of the expression, each expression in the expression list shall be evaluated. Using these values, one note of synthesis shall be dispatched to the wavetable bank synthesis procedure described in subclause 5.13.

On the first and each subsequent a-rate pass through the **sasbf** expression, the value of the expression shall be the next pair of audio samples from the stereo wavetable bank synthesis process.

During the wavetable bank synthesis process, the values of MIDI controllers and other continuous values on the current channel and note shall be respected. These values are not passed into the **sasbf** expression list, but are made available to the SASBF synthesiser in an implementation-dependent way. If the values of the global MIDIctrl[] standard name are changed by any instrument (see subclause 5.8.6.8.9), the new values shall be respected by all SASBF synthesis processes.

NOTE - Certain MIDI instructions (such as the Registered Parameter Number mechanism, see The Complete MIDI 1.0 Detailed Specification v. 96.2), cannot be properly understood on an event-by-event basis; rather, their semantics are understood to take effect when the entire RPN change is completed. To ensure this, all control events, whether or not they have SAOL semantics (see subclause 5.14.3.2), shall be passed through the SAOL scheduler to the **sasbf** synthesis processes in their original order.

If the instrument instance containing a particular **sasbf** expression was not instantiated in response to a MIDI event, then that instance is on no channel, and so the SASBF synthesis for that expression cannot be controlled by MIDI-based continuous controllers.

Each syntactically different instance of the **sasbf** expression results in one instance of a SASBF note synthesis procedure. There is no mechanism for interleaving samples from a single **sasbf** expression in multiple lines, or for instantiating multiple bank-synthesis procedures with one syntactic expression. **sasbf** is not an opcode and is not permitted to be used as an oparray construction (see subclause 5.8.6.7.7).

The value of the **released** standard name in the instrument instance (see subclause 5.8.6.8.16) containing the call to **sasbf** shall be made available to each SASBF process in an implementation-dependent way. The SASBF process shall use this flag to determine when to begin synthesis of the release portion of the given note. If a particular SASBF instance needs to extend the duration past the release time, it shall extend the note by one k-cycle in the manner of the **extend** statement (subclause 5.8.6.6.11). If, on the next k-cycle, the SASBF instance is still not finished, it may extend the note by a further k-cycle, and so on.

If multiple SASBF instances in an instrument each require extended duration, together they shall extend the duration by one k-cycle; the duration shall not be extended by one k-cycle per SASBF instance.

The SASBF synthesis process for each note terminates when the instrument instance containing this **sasbf** expression is destroyed. There is no mechanism for ending the SASBF synthesis earlier than this.

## EXAMPLE

The following instrument layers two notes using the wavetable synthesiser and filters the result.

```
instr layer(mp, vel) preset 12 {
  asig a1[2], a2[2], i;
  oparray bandpass[2];

  a1 = sasbf(mp, vel);
  a2 = a1 + sasbf(mp, vel, 47, 2) / 2; // second note quieter
  i = 0;
  while (i < 2) {
    a2[i] = bandpass[i](a2[i], 400, 100);
    i = i + 1;
  }
  output(a2);
}
```

The two instances of **sasbf** operate simultaneously and in parallel. The first synthesises sound from preset 13, bank 1 (since this is the preset number to which the instrument responds); the second, from preset 48, bank 2. They return audio signals that are summed together and manipulated with the **bandpass** core opcode.

## 5.8.6.8 Standard names

### 5.8.6.8.1 Definition

Not all identifiers to be referenced in an instrument or opcode are required to be declared as variables. Several identifiers, listed in this subclause, are termed standard names, shall not be used as variables, and have fixed semantics that shall be implemented in a compliant SAOL decoder. Standard names may otherwise be used as variables, embedded in expressions, etc. in any SAOL instrument or opcode. However, the semantics of using a standard name as an lvalue are undefined.

The implicit definition of each standard name, showing the rate semantics and width of that standard name, is listed, and the semantics of the value of the standard name specified in the subsequent subclauses.

#### 5.8.6.8.2 k\_rate

```
ivar k_rate
```

The standard name **k\_rate** shall contain the control rate of the orchestra, in Hz.

#### 5.8.6.8.3 s\_rate

```
ivar s_rate
```

The standard name **s\_rate** shall contain the sampling rate of the orchestra, in Hz.

**5.8.6.8.4 inchan**

ivar inchan

The standard name **inchan**, in each scope, shall contain the number of channels of input being provided to the instrument instantiation with which that scope is associated. “Associated” shall be taken to mean, for instrument code, the instrument instantiation for which the scope memory was created; for opcode code, the instrument instantiation that called the opcode, or called the opcode’s caller, etc.

Different instances of the same instrument may have different numbers of input channels if, for example, they are the targets of different send statements. Instructions for calculating the value of this standard name are provided in subclause 5.7.3.3.5.2

**5.8.6.8.5 outchan**

ivar outchan

The standard name **outchan**, in each scope, shall contain the number of channels of output provided by the instrument instantiation with which that scope is associated, in the sense described in subclause 5.8.6.8.4.

**5.8.6.8.6 time**

ivar time

The standard name **time**, in each scope, shall contain the time at which the instrument instantiation associated with that scope was created.

NOTE - If the “event time” of an instrument (for example, a score event more precisely timed than one control period) and the actual instantiation time differ, the name time shall contain the latter time, not the former.

**5.8.6.8.7 dur**

ivar dur

The standard name **dur**, in each scope, shall contain the duration of the instrument instantiation as originally created, and as potentially revised by use of the **extend** statement (subclause 5.8.6.6.11), or  $-1$  if the duration was not known at instantiation.

Although **dur** is an i-rate variable, it may be changed during the duration of an instrument instance through the **extend** statement or through tempo changes. In this case, the value of expressions at the **ivar** do not change; expressions are only evaluated according to the rules in subclause 5.8.6.6.

**5.8.6.8.8 itime**

ksig itime

The standard name **itime**, in each scope, shall contain the elapsed time of the instrument instance. That is, on the first k-cycle through an instrument, **itime** shall be 0, and thereafter shall be incremented by  $1/KR$ , where **KR** is the orchestra sampling rate, at the beginning of each k-rate pass.

**5.8.6.8.9 MIDICTrl**

ksig MIDICTrl[128]

The **MIDICTrl** standard variable shall contain, for each scope, the current values of the MIDI controllers on the channel corresponding to the channel to which the instrument instantiation associated with that scope is assigned. See subclause 5.13 for more details on MIDI control of orchestras.

Instruments may use **MIDICtrl** as an lvalue, that is, to assign new values to it using the = statement (subclause 5.8.6.6.2). In this case, when an instrument assigns to **MIDICtrl**, the value for the indicated controller shall be changed on the channel to which the instrument instance associated with that scope is assigned. The value of **MIDICtrl** is changed in all other instrument instances associated with that channel to the new value, and this change shall take effect the next time each of these instrument instances is executed at the k-rate (see subclause 5.7.3.3.6, list item 10).

**MIDICtrl[64]** is a special controller. It is normatively defined as the sustain controller, and has a special relationship with the MIDI **noteoff** instruction, see subclause 5.14.3.2.4.

#### 5.8.6.8.10 MIDItouch

ksig MIDItouch

The **MIDItouch** standard variable shall contain, for each scope, the current value of the MIDI aftertouch on the note that caused the associated instrument instantiation to be created. See subclause 5.13 for more details on MIDI control of orchestras.

#### 5.8.6.8.11 MIDIBend

ksig MIDIBend

The **MIDIBend** standard variable shall contain, for each scope, the current value of the MIDI pitchbend on the channel corresponding to the channel to which the instrument instantiation associated with that scope is assigned.

#### 5.8.6.8.12 channel

ivar channel

The **channel** standard name contains the extended MIDI channel of the note responsible for creating the current instrument instance. See subclause 5.14.3.2.2.

#### 5.8.6.8.13 preset

ivar preset

The **preset** standard name contains the SAOL preset number (which is one less than the MIDI preset number) of the note responsible for creating the current instrument instance. The **preset** standard name does not contain "all" of the preset numbers for the current instrument, only the one that led to the instantiation of the current instance.

#### 5.8.6.8.14 input

asig input[inchannels]

The **input** standard variable shall contain, for each scope, the input signal or signals being provided to the instrument instantiation through the **send** instruction. See subclause 5.8.5.5.

#### 5.8.6.8.15 inGroup

ivar inGroup[inchannels]

The **inGroup** standard variable shall contain, for each scope, the grouping of the input signals being provided to the instrument instantiation. See subclause 5.8.5.5.

#### 5.8.6.8.16 released

ksig released

The **released** standard name shall contain, for each scope, 1 if and only if the instrument instantiation associated with the scope is scheduled to be destroyed at the end of the current orchestra pass. Otherwise, **released** shall contain 0. See subclause 5.7.3.3.6, list item 3.

### 5.8.6.8.17 cpuload

ksig cpuload

The **cpuload** standard name shall contain, for each scope, a measure of the recent CPU load on the CPU most strongly associated with the instrument instantiation associated with the scope. If the instrument instantiation is running entirely on one CPU, then that CPU shall be measured; if the instrument instantiation is running on multiple CPUs, then the exact measurement procedure is nonnormative.

The measure of CPU load shall be as a percentage of real-time capability: if the CPU is entirely loaded and cannot perform any more calculations without slipping out of real-time performance, the value of **cpuload** shall be 1 on that CPU at that k-cycle. If the CPU is entirely unloaded and is not performing any calculations, the value of **cpuload** shall be 0 on that CPU at that k-cycle. If the CPU is half-loaded, and could perform twice as many calculations in real-time as it is currently performing, the value of **cpuload** shall be 0.5 on that CPU at that k-cycle.

The exact calculation method, time window, recency, etc. of the CPU load is left to implementers.

### 5.8.6.8.18 position

imports ksig position[3]

The **position** name contains the absolute position of the node responsible for creating the current orchestra in the BIFS scene graph (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82). The position is given by the current value of the **position** field of the **Sound** node that is the ancestor of this node in the scene graph, as transformed by its ancestors (that is, the final position in world co-ordinates of the **Sound** node). The value is global and shared by all instruments; it may not be changed by the orchestra.

### 5.8.6.8.19 direction

ksig direction[3]

The **direction** name contains the orientation of the node responsible for creating the current orchestra in the BIFS scene graph (see ISO/IEC 14496-1 clause 9, particularly subclause 9.4.2.82). The direction is given by the current value of the **direction** field of the **Sound** node that is the ancestor of this node in the scene graph, as transformed by its ancestors (that is, the final direction in world co-ordinates of the **Sound** node). The value is global and shared by all instruments; it may not be changed by the orchestra.

### 5.8.6.8.20 listenerPosition

ksig listenerPosition[3]

The **listenerPosition** name contains the absolute position of the listener in the BIFS scene graph (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82). The position is given by the current value of the **position** field of the active **ListeningPoint** node in the scene graph, as transformed by its ancestors (that is, the final position in world co-ordinates of the **ListeningPoint** node).

### 5.8.6.8.21 listenerDirection

ksig listenerDirection[3]

The **listenerDirection** name contains the orientation of the listener in the BIFS scene graph (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82). The direction is given by the current value of the **direction** field of the active **ListeningPoint** node in the scene graph, as transformed by its ancestors (that is, the final direction in world co-ordinates of the **ListeningPoint** node).

**5.8.6.8.22 minFront**

ksig minFront

The **minFront** standard name gives one parameter of the sound radiation pattern of the sound that the current node is a part of. This parameter, and its semantics, are defined by the **minFront** field of the **Sound** node of which this node is an ancestor (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82).

**5.8.6.8.23 maxFront**

ksig maxFront

The **maxFront** standard name gives one parameter of the sound radiation pattern of the sound that the current node is a part of. This parameter, and its semantics, are defined by the **maxFront** field of the **Sound** node of which this node is an ancestor (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82).

**5.8.6.8.24 minBack**

ksig minBack

The **minBack** standard name gives one parameter of the sound radiation pattern of the sound that the current node is a part of. This parameter, and its semantics, are defined by the **minBack** field of the **Sound** node of which this node is an ancestor (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82).

**5.8.6.8.25 maxBack**

ksig maxBack

The **maxBack** standard name gives one parameter of the sound radiation pattern of the sound that the current node is a part of. This parameter, and its semantics, are defined by the **maxBack** field of the **Sound** node of which this node is an ancestor (see ISO/IEC 14496-1 subpart 9, particularly subclause 9.4.2.82).

**5.8.6.8.26 params**

imports exports ksig params[128]

The **params** standard name is shared globally by all instruments. At each k-cycle of the orchestra, it shall contain the current values of the **params** field of the BIFS **AudioFX** node responsible for instantiating the current orchestra. If the orchestra is created by an **AudioSource** node rather than an **AudioFX** node, the value of **params** shall be 0 on every channel. See subclause 5.15.3 for more details.

Instruments may use **params** as an lvalue, that is, to assign new values to it using the = statement (subclause 5.8.6.6.2). In this case, when an instrument assigns to **params**, the value for the indicated controller shall be changed on the channel to which the instrument instance associated with that scope is assigned. The value of **params** is changed in all other instrument instances associated with that channel to the new value, and this change shall take effect the next time each of these instrument instances is executed at the k-rate (see subclause 5.7.3.3.6, list item 10).

**5.8.7 Opcode definition****5.8.7.1 Syntactic Form**

This subclause describes the definition of new opcodes. Bitstream authors may create their own opcodes according to these rules in order to encapsulate functionality and simplify instruments and the content authoring process.

```
<opcode definition>    -> <opcode rate> <ident> ( <formal param list> ) {
                        <opcode var declarations>
```

```

        <opcode statement block>
    }

```

```

<opcode rate>      -> aopcode
<opcode rate>      -> kopcode
<opcode rate>      -> iopcode
<opcode rate>      -> opcode

```

An opcode definition has several elements. In order, they are

1. A rate tag that defines the rate at which the opcode executes, or indicates that the opcode is rate-polymorphic,
2. An identifier that defines the name of the opcode,
3. A list of zero or more formal parameters of the opcode,
4. A list of zero or more opcode variable declarations,
5. A block of statements defining the executable functionality of the opcode.

#### 5.8.7.2 Rate tag

The rate tag describes the rate at which the opcode is to run, or else indicates that the opcode is rate-polymorphic. The four rate tags are

1. **iopcode**, indicating that the opcode runs at i-rate,
2. **kopcode**, indicating that the opcode runs at k-rate,
3. **aopcode**, indicating that the opcode runs at i-rate,
4. **opcode**, indicating that the opcode is rate-polymorphic.

See subclause 5.8.7.7 for instructions on determining the rate of a rate-polymorphic opcode.

#### 5.8.7.3 Opcode name

Any identifier may serve as the opcode name except that the opcode name shall not be a reserved word (see subclause 5.8.8), the name of one of the core opcodes listed in subclause 5.9, or the name of one of the core wavetable generators listed in subclause 5.10. An opcode name may be the same as the name of a variable in local or global scope; there is no ambiguity so created, since the contexts in which opcode names may occur are very restricted.

No two instruments or opcodes in an orchestra shall have the same name.

#### 5.8.7.4 Formal parameter list

##### 5.8.7.4.1 Syntactic form

```

<formal param list>      -> <formal param> [ , <formal param list> ]
<formal param list>      -> <NULL>

<formal param>          -> <opcode variable rate> <name>
<formal param>          -> table <ident>

```

<opcode variable rate> -> **asig**  
 <opcode variable rate> -> **ksig**  
 <opcode variable rate> -> **ivar**  
 <opcode variable rate> -> **xsig**

<name> as defined in subclause 5.8.5.3.2.

The formal parameter list defines the calling interface to the opcode. Each formal parameter in the list has a name, a rate type, and may have an array width. If the array width is the special token **inchannels**, then the array width shall be the same as the number of input channels to the associated instrument instantiation (in the sense of subclause 5.15.2); if the array width is the special token **outchannels**, then the array width shall be the same as the number of output channels to the associated instrument instantiation (in the sense described in subclause 5.8.6.8.4).

There is no way to create user-defined opcodes with variable number of arguments in SAOL, although certain of the core opcodes have this property.

Within the opcode statement block, formal parameters may be used like any other variable. The rate tag of each formal parameter defines the rate of the variable. If an opcode is declared to be at a particular rate, then no formal parameter shall be declared faster than that rate.

There is a special rate tag **xsig** that allows formal parameters to be rate-polymorphic, see subclause 5.8.7.7.2. **xsig** shall not be the rate tag of any formal parameter unless the opcode is of type **opcode**.

## 5.8.7.5 Opcode variable declarations

### 5.8.7.5.1 Syntactic form

<opcode var declarations> -> <opcode var declaration> [ <opcode var declarations> ]  
 <opcode var declarations> -> **<NULL>**

<opcode var declaration> -> <instr variable declaration>  
 <opcode var declaration> -> **xsig** <namelist> ;

<namelist> and <instr variable declaration> as defined in subclause 5.8.5.3.2 and subclause 5.8.6.5.1. In the opcode scope, the tokens **inchannels** and **outchannels** refer to the input channels and output channels of the associated instrument, respectively (in the sense described in subclause 5.8.6.8.4).

The syntax and semantics of opcode variable declarations are the same as those of instrument variable declarations as given in subclause 5.8.6.5, with the following exceptions and additions:

The opcode variable names are available only within the scope of the opcode containing them. The instrument variable declarations for the instrument instantiation associated with the opcode call are not within the scope of an opcode, and references to these names shall not be made unless the names are also explicitly declared within the opcode, in which case the variable denoted is a different one. However, standard names (subclause 5.8.6.8) are within the scope of every opcode, may be referenced within opcodes, and shall have the semantics given in subclause 5.8.6.8 as applied to the instrument instantiation associated with the opcode call. A variable shall not have a rate faster than the rate of the opcode. Standard names faster than the rate of the opcode are not defined in the opcode. The **imports** tag shall not be used for local k-rate signals when there is no global variable of the same name

The values of opcode variables are static, and are preserved from call to call referencing a particular opcode state. The values of opcode variables shall be set to 0 in an opcode state before the first call referencing that state is executed.

The **tablemap** declaration may reference any tables declared in the local scope, as well as any formal parameters that are tables.

The values of opcode variables in different states of the same opcode (due to different syntactic uses of opcode expressions, or different indexing expressions in oparray expressions) are separate and have no relationship to one another.

There is a special rate tag called **xsig** that may be used to declare opcode variables in rate-polymorphic opcodes, see subclause 5.8.7.7.2. **xsig** shall not be the rate tag of any variable unless the opcode type is **opcode**.

### 5.8.7.6 Opcode statement block

#### 5.8.7.6.1 Syntactic form

<opcode statement block> -> <opcode statement> [ <opcode statement block> ]

<opcode statement block> -> <NULL>

<opcode statement> -> <statement>

<opcode statement> -> **return** ( <expr list> ) ;

<statement> as defined in subclause 5.8.6.6.1.

<expr list> as defined in subclause 5.8.6.6.

The syntax and semantics of statements in opcodes are the same as the syntax and semantics of statements in instruments, with the following exceptions and additions:

No statement in an opcode shall be faster than the rate of the opcode, as defined in subclause 5.8.7.7. A statement that is slower than the rate of the opcode shall be executed as described in subclause 5.8.7.7.3.

The assignment statement and the values of all variables refer to the opcode state associated with this particular call to this opcode, or associated with a particular indexing expression in an oparray call.

There is a special statement called **return** that is used in opcodes. This statement allows opcodes to return values back to their callers.

#### 5.8.7.6.2 Return statement

The **return** statement allows opcodes to return values back to their callers.

The expression parameter list may contain both single-valued and array-valued expressions.

The rate of the **return** statement is the rate of the opcode containing it. No expression in the expression parameter list shall be faster than the rate of the opcode.

The **return** statement shall be evaluated as follows. Each expression in the expression parameter list is evaluated, in the order they occur in the list. The return value of the opcode is the array-value formed by sequencing the values of the expression parameters. In the case that there is only one expression parameter that is a single-valued expression, then the return value of the opcode is the single value of that expression. The return value denoted by every **return** statement within an opcode shall have the same width (although it is permissible for them to differ in the number of expressions, so long as the sum of the widths of the expressions is equal).

If an opcode has a return statements with an <expr list> of length 0, it is considered to have width 1 for the purposes of this subclause. If the opcode executes this **return** statement, the return value is undefined.

If an opcode has no **return** statements, it has a width of 1, and its return value is undefined. If no **return** statement is encountered during an opcode execution, control is returned to the calling instrument or opcode at the end of the statement block.

After a **return** statement is encountered, no further statements in the opcode are evaluated, and control returns immediately to the calling instrument or opcode.

### 5.8.7.7 Opcode rate

#### 5.8.7.7.1 Introduction

This subclause describes the rules for determining the rate of a call to an opcode, and the semantics of the special tags **opcode** and **xsig**.

The rate of an opcode call depends on the type of the opcode, as follows:

1. If the opcode type is **aopcode**, calls to the opcode are a-rate.
2. If the opcode type is **kopcode**, calls to the opcode are k-rate.
3. If the opcode type is **iopcode**, calls to the opcode are i-rate.
4. If the opcode type is **opcode**, the opcode is rate-polymorphic, and the rate is as described in the next subclause.

#### 5.8.7.7.2 Rate-polymorphic opcodes

Opcodes that are rate-polymorphic take their rates from the context in which they are called. This allows the same opcode statement block to apply to multiple calling rate contexts. Without such a construct, three versions of each opcode of this sort would have to be created and used, depending on the context.

The rate of an **opcode** opcode for a particular call is the rate of the fastest actual parameter expression (not formal parameter expression) in that call, or the rate of the fastest formal parameter in the opcode definition, or the rate of the fastest guarding **if**, **while**, or **else** expression surrounding the opcode call, or the rate of the opcode enclosing the opcode call, whichever is fastest. If an **opcode** opcode has no non-**table** parameters, and is not enclosed in a guarded block or a opcode call, then it is a **kopcode** by default.

Rate-polymorphic opcodes may contain variable declarations and formal parameter declarations using the special rate tag **xsig**. A formal parameter of type **xsig**, for a particular call to that opcode, has the same rate as the actual parameter expression in the calling expression to which it corresponds. A variable of type **xsig**, for a particular call to that opcode, has the same rate as the opcode.

Rate-polymorphic opcodes shall not contain variable declarations and statements faster than the fastest formal parameter in the opcode declaration. In particular an opcode with all **xsig** formal parameters shall not contain variable declarations other than **xsig** and **ivar** and it shall not contain statements at a defined rate faster than the initialization rate.

#### EXAMPLES

Given the following opcode definition:

```
opcode xop(ksig p1, xsig p2) {
    xsig v1;
    . . .
}
```

1. For the following code fragment

```
ksig k;

k = xop(1,2);
```

the rate of the opcode call is k-rate, since the formal parameter **p1** is faster than either of the actual parameters. The rate of **p2** within the call to **xop()** is i-rate, matching the actual parameter. The rate of **v1** within **xop()** is k-rate.

2. For the following code fragment

```

asig a1, a2;

a1 = xop(1, a2);

```

the rate of the opcode call is a-rate, since the actual parameter **a2** is faster than either of the formal parameters. The rates of **p2** and **v1** within the call to **xop()** are k-rate and a-rate respectively.

3. For the following code fragment

```

ksig k;
asig a;

k = xop(1, a)

```

there is a rate mismatch error, since the opcode call is a-rate, and thus shall not be assigned to a k-rate lvalue.

4. For the following code fragment

```

ksig k;
asig a1, a2;
oparray xop[10];

a1 = 0; while (a1 < 10) {
    a2 = a2 + xop[a1](1, k);
    a1 = a1 + 1;
}

```

the rate of the oparray call is a-rate, since the rate of the guarding expression is faster than any of the formal parameters or actual parameters. The rates of **p2** and **v1** within **xop()** are a-rate as well.

### 5.8.7.7.3 Shared variables and statements slower than the rate of the opcode

This subclause describes the rules for updating shared variables and for executing statements inside an opcode that are slower than the rate of the opcode.

In a **kopcode**, statements at the initialization rate are executed at the first call to the opcode with regard to a particular opcode state. At this call the **imports**, **exports** and **imports exports** ivars and tables are updated, as described in 5.8.6.5.3 and 5.8.6.5.4, and any wavetable generations are executed, as described in 5.8.6.5.2.

In an **aopcode**, statements at the initialization rate are executed at the first call to the opcode with regard to a particular opcode state. At this call the **imports**, **exports** and **imports exports** ivars and tables are updated, as described in 5.8.6.5.3 and 5.8.6.5.4, and any wavetable generations are executed, as described in 5.8.6.5.2. Statements at the control rate are executed at the first call of every k-cycle to the opcode with regard to a particular opcode state. At this call the **imports**, **exports** and **imports exports** ksig and tables are updated, as described in 5.8.6.5.3 and 5.8.6.5.4. Statements that contain expressions of type **specialop** (subclause 5.9.2) are executed both at the k-rate and a-rate, and those expressions return values as specified in subclause 5.8.6.7.6 and 5.9.2.

In an **opcode**, once the rate is determined as specified in subclause 5.8.7.7.2, the same rules apply for the cases of call at **k-rate** or **a-rate** respectively.

## 5.8.8 Template declaration

### 5.8.8.1 Syntactic form

```

<template declaration> -> template < <identlist> > [ preset <maplist> ] ( <identlist> )
                        map { <identlist> } with { <maplist> }
                        { <instr variable declarations> <block> }

```

<maplist> -> < <expr list> > , <maplist>  
 <maplist> -> < <expr list> >

<identlist> as given in subclause 5.8.5.4.  
 <namelist> as given in subclause 5.8.5.3.2.  
 <instr variable declarations> as given in subclause 5.8.6.5.1.  
 <expr list> as given in subclause 5.8.6.6.1.  
 <block> as given in subclause 5.8.6.6.1.

A template declaration allows the concise declaration of multiple instruments that are similar in processing structure and syntax, but differ in only a few key expressions or wavetable names.

### 5.8.8.2 Semantics

The first identifier list contains the names for the instruments declared with the template. There shall be at least one identifier in this list. The first optional maplist contains a list of the preset number lists to be associated with each instrument in the template. This maplist may be omitted, in which case there are no preset numbers associated with the template instruments. If the maplist is present, it shall contain as many lists as there are instrument names in the first identified list. The second identifier list contains the pfields for the template declaration. Each instrument declared with the template has the same list of pfields. The third identifier list contains a list of template variables that are to be replaced in the subsequent code block with expressions from the second (first required) map list. There may be no identifiers in this list, in which case each instrument declared by the template is exactly the same.

The map list takes the form of a list of lists. This list shall have as many elements as template variables declared in the third identifier list. Each sublist is a list of expressions, and shall have as many elements as instrument names in the first identifier list. The first (optional) maplist shall not contain identifiers, only numeric values.

### 5.8.8.3 Template instrument definitions

As many instruments are defined by the template definition as there are names in the first identifier list. To describe each of the instruments, the identifiers described in the third (template variable) list are replaced in turn by each of the expressions from the map list.

That is, to construct the code for the first instrument, the code block given is processed by replacing the first template variable with the first expression from the first map list sublist, the second template variable with the first expression from the second map list sublist, the third template variable with the first expression from the third map list sublist, and so on. To construct the code for the second instrument, the code block given is processed by replacing the first template variable with the *second* expression from the first map list sublist, the second template variable with the *second* expression from the second map list sublist, the third template variable with the second expression from the third map list sublist, and so on.

This code-block processing occurs before any other syntax-checking or rate-checking of the elements of the instruments so defined. That is, the template variables are not true signal variables, and do not need to be declared in the variable declaration block. Once the code-block processing and template expansion is complete, the resulting instruments are treated as any other instruments in the orchestra.

#### EXAMPLE

The following template declaration:

```
template <oneharm, threeharm> preset <3,4>,<24> (p)
  map {pitch,t,bar} with
  { <440, harm1, mysig>, <p, harm2, mysig * mysig + 2> } {

  table harm1(harm,4096,1);
  table harm3(harm,4096,3,2,1);
  asig mysig;

  mysig = oscil(t,pitch,-1);
```

```
mysig = bar *3;
output(mysig);
}
```

declares exactly the same two instruments as the following two instrument declarations:

```
instr oneharm(p) preset 3 4 {
  table harm1(harm,4096,1);
  table harm3(harm,4096,3,2,1);
  asig mysig;

  mysig = oscil(harm1,440,-1);

  mysig = mysig * 3;
  output(mysig);
}

instr threeharm(p) preset 24 {
  table harm1(harm,4096,1);
  table harm3(harm,4096,3,2,1);
  asig mysig;

  mysig = oscil(harm3,p,-1);

  mysig = (mysig * mysig + 2) * 3; // notice embedding of template expression
  output(mysig);
}
```

### 5.8.9 Reserved words

The following words are reserved, and shall not be used as identifiers in a SAOL orchestra or score.

**aopcode asig else exports extend global if imports inchannels instr interp iopcode ivar kopcode krate  
ksig map oparray opcode outbus outchannels output preset return route sasbf send sequence  
spatialize srate table tablemap template turnoff while with xsig**

Also, variable names starting with `_sym_` are reserved for implementation-specific use (for example, bitstream detokenisation) and shall not be the name of any instrument, signal variable, wavetable, or user-defined opcode in the orchestra.

## 5.9 SAOL core opcode definitions and semantics

### 5.9.1 Introduction

This subclause describes the definitions and normative semantics for each of the core opcodes in SAOL. All core opcodes shall be implemented in every terminal that can decode Object Type 3 or 4.

For each core opcode, the following is described:

- The prototype, showing the rate of the opcode, the parameters which are required in a call to this opcode, and the rates of these parameters.
- The normative semantics of the return value. These semantics describe how to calculate the return value for each call to that opcode.
- The normative semantics of any side effects of the core opcode.

### 5.9.2 Specialop type

There is a special rate type for certain core opcodes called **specialop**. This rate type tag is not an actual lexical element of the SAOL language, and shall not appear in a SAOL orchestra, but is used in subsequent subclauses as a shorthand for core opcodes with these particular semantics.

Core opcodes with rate type **specialop** describe functions that map from one or more a-rate signals into a k-rate signal. That is, they have one or more parameters that vary at the a-rate, and they have normative semantics described at the a-rate, but they only return values and/or have side effects at the k-rate. When using these opcodes in expressions, they are treated as **kopcode** opcodes for the purposes of determining rate-mismatch errors (except that it is not a rate-mismatch error to pass them an a-rate signal), and as both **kopcode** and **aopcode** opcodes for the purposes of determining when to execute them.

An expression that is prohibited by the rules in subclauses 5.8.6.6 and 5.8.6.7 from being k-rate or a-rate shall not be of type **specialop**. Otherwise, an expression with one or more **specialop** components is also of type **specialop**. A **specialop** expression shall only occur in a guarded context (the code block of an **if**, or **else** statement) if the guard expression is also a **specialop** expression. A **specialop** expression shall not occur in the guarding expression or code block of the **while** statement. Calls to **specialop** type opcodes are not allowed in iopcodes, kopcodes and opcodes. If a call to a specialop type opcode is present in an aopcode, the specialop opcode is executed both at k-rate and a-rate according to rules specified in subclause 5.8.7.7.3.

The core opcodes with this type are: **fft**, **rms**, **sblock**, **downsamp**, and **decimate**.

## EXAMPLE

Given the following code block:

```

asig x;
ksig y;

x = ...;
y = rms(x);           // #1
y = rms(x * 12);     // #2

if (rms(x)) {        // #3
  y = kline(...);   // #4
}

if (x) {             // #5
  y = rms(x);        // #6
}

if (rms(x) > y) {    // #7
  y = rms(x);        // #8
}

```

the following things are true. Statement #1 is a **ksig** rate statement; however, the right-side expression is a **specialop** expression and is executed at both the k-rate and at the a-rate (the assignment only occurs at the k-rate). Statement #2 is just like statement #1; the **x \* 12** expression is a **specialop** expression. Statement #3 is legal, and is a **ksig** rate statement. The guard expression is a **specialop** expression and is evaluated at both the a-rate and the k-rate, and on each k-cycle on which it is nonzero, statement #4 is executed at the k-rate. Statement #5 contains a rate-mismatch error. The guard rate of the **if** statement is an a-rate expression, but statement #6 is only at the k-rate for the purposes of determining rate-mismatch errors. Statement #7 is legal. The guard expression is a **specialop** expression.

### 5.9.3 List of core opcodes

The several core opcodes are described in the subsequent subclauses. They are divided by category into major subclauses, but there is no normative significance in this division; it is only for clarity of presentation.

**Math functions** `int`, `frac`, `dbamp`, `ampdb`, `abs`, `sgn`, `exp`, `log`, `sqrt`, `sin`, `cos`, `atan`, `pow`, `log10`, `asin`, `acos`, `floor`, `ceil`, `min`, `max`

**Pitch converters** `gettune`, `settune`, `octpch`, `pchoct`, `cpspch`, `pchcps`, `cpsoct`, `octcps`, `midipch`, `pchmidi`, `midioc`, `octmidi`, `midicps`, `cpsmidi`

<b>Table operations</b>	ftlen, ftloop, ftloopend, ftsr, ftbasecps, ftsetloop, ftsetend, ftsetbase, ftsetsr, tableread, tablewrite, oscil, loscil, doscil, koscil
<b>Signal generators</b>	kline, aline, kexpon, aexpon, kphasor, aphasor, pluck, buzz, grain
<b>Noise generators</b>	irand, krand, arand, ilinrand, klinrand, alinrand, iexprand, kexprand, aexprand, kpoissonrand, apoissonrand, igaussrand, kgaussrand, agaussrand
<b>Filters</b>	port, hipass, lopass, bandpass, bandstop, biquad, allpass, comb, fir, iir, firt, iirt
<b>Spectral analysis</b>	fft, ifft
<b>Gain control</b>	rms, gain, balance, compressor
<b>Sample conversion</b>	decimate, upsamp, downsamp, samphold, sblock
<b>Delays</b>	delay, delay1, fracedelay
<b>Effects</b>	reverb, chorus, flange, speedt, fx_speedc
<b>Tempo changes</b>	gettempo, settempo

For each core opcode, an opcode prototype is given. This shows the rate of the opcode, the number of required and optional formal parameters and the rate of each of the formal parameters. Certain parameters to certain core opcodes are presented in brackets, in which case that formal parameter is optional. Certain opcodes use the “...” notation, which means that the opcode can process an arbitrary number of parameters. The “...” is tagged with a rate for such opcodes, which is then the rate type of all of the parameters matching the varargs parameter. If there is not normative language for a particular opcode that specifies otherwise, it is a syntax error if any of the following statements apply:

- there are fewer actual parameters in the opcode call than required formal parameters
- there are more actual parameters in the opcode call than required and optional formal parameters, and the opcode definition does not include a varargs “...” section
- a particular actual parameter expression is of faster rate than the corresponding formal parameter, or than the varargs formal parameter if that is the correspondence
- a particular actual parameter expression is not single-valued, or is not table-valued when the corresponding formal parameter specifies a table.

The names associated with the formal parameters in the core opcode prototypes have no normative significance, but are used for clarity of exposition to refer to the values passed as the corresponding actual parameters when describing how to calculate the return value of the core opcode.

## 5.9.4 Math functions

### 5.9.4.1 Introduction

Each of the opcodes in this subclause computes a mathematical function. Whenever the result of calculating the function on the argument or arguments provided results in a NaN or Inf value, a run-time error shall result..

### 5.9.4.2 int

opcode int(xsig x)

The **int** core opcode calculates the integer part of its parameter.

The return value shall be the integer part of  $x$ .

#### 5.9.4.3 **frac**

opcode `frac(xsig x)`

The **frac** core opcode calculates the fractional part of its parameter.

The return value shall be the fractional part of  $x$ , i.e.,  $x - \text{int}(x)$ . If  $x$  is negative, then **frac**( $x$ ) is also negative.

#### 5.9.4.4 **dbamp**

opcode `dbamp(xsig x)`

The **dbamp** core opcode calculates the decibel equivalent of an amplitude parameter, where the amplitude of 1 corresponds to a decibel level of 90 dB. It is a run-time error if  $x$  is not strictly positive.

The return value shall be  $90 + 20 \log_{10} x$ .

#### 5.9.4.5 **ampdb**

opcode `ampdb(xsig x)`

The **ampdb** core opcode calculates the amplitude equivalent of a decibel-valued parameter, where the amplitude of 1 corresponds to a decibel level of 90 dB.

The return value shall be  $10^{(x - 90) / 20}$ .

#### 5.9.4.6 **abs**

opcode `abs(xsig x)`

The **abs** core opcode calculates the absolute value of a parameter.

The return value shall be  $-x$  if  $x < 0$ , or  $x$  otherwise.

#### 5.9.4.7 **sgn**

opcode `sgn(xsig x)`

The **sgn** core opcode calculates the signum (sign function) of a parameter.

The return value shall be  $-1$  if  $x < 0$ ,  $0$  if  $x = 0$ , or  $1$  if  $x > 0$ .

#### 5.9.4.8 **exp**

opcode `exp(xsig x)`

The **exp** core opcode calculates the exponential function.

The return value shall be  $e^x$ .

#### 5.9.4.9 **log**

opcode `log(xsig x)`

The **log** core opcode calculates the natural logarithm of a parameter.

It is a run-time error if  $x$  is not strictly positive.

The return value shall be  $\log x$ .

#### 5.9.4.10 **sqrt**

opcode `sqrt(xsig x)`

The **sqrt** core opcode calculates the square root of a parameter.

It is a run-time error if  $x$  is negative.

The return value shall be  $\sqrt{x}$ .

#### 5.9.4.11 **sin**

opcode `sin(xsig x)`

The **sin** core opcode calculates the sine of a parameter given in radians.

The return value shall be  $\sin x$ .

#### 5.9.4.12 **cos**

opcode `cos(xsig x)`

The **cos** core opcode calculates the cosine of a parameter given in radians.

The return value shall be  $\cos x$ .

#### 5.9.4.13 **atan**

opcode `atan(xsig x)`

The **atan** core opcode calculates the arctangent of a parameter, in radians.

The return value shall be  $\tan^{-1} x$ , in the range  $[-\pi/2, \pi/2)$ .

#### 5.9.4.14 **pow**

opcode `pow(xsig x, xsig y)`

The **pow** core opcode calculates the to-the-power-of operation.

It shall be a run-time error if  $x$  is negative and  $y$  is not an integer.

The return value shall be  $x^y$ .

#### 5.9.4.15 **log10**

opcode `log10(xsig x)`

The **log10** core opcode calculates the base-10 logarithm of a parameter.

It is a run-time error if  $x$  is not strictly positive.

The return value shall be  $\log_{10} x$ .

**5.9.4.16 asin**opcode `asin(xsig x)`

The **asin** core opcode calculates the arcsine of a parameter, in radians.

It is a run-time error if **x** is not in the range [-1, 1].

The return value shall be  $\sin^{-1} x$ , in the range  $[-\pi/2, \pi/2]$ .

**5.9.4.17 acos**opcode `acos(xsig x)`

The **acos** core opcode calculates the arccosine of a parameter, in radians.

It is a run-time error if **x** is not in the range [-1, 1].

The return value shall be  $\cos^{-1} x$ , in the range  $[0, \pi]$ .

**5.9.4.18 ceil**opcode `ceil(xsig x)`

The **ceil** core opcode calculates the ceiling of a parameter.

The return value shall be the smallest integer **y** such that  $x \leq y$ .

**5.9.4.19 floor**opcode `floor(xsig x)`

The **floor** core opcode calculates the floor of a parameter.

The return value shall be the greatest integer **y** such that  $y \leq x$ .

**5.9.4.20 min**opcode `min(xsig x1[, xsig ...])`

The **min** core opcode finds the minimum of a number of parameters.

The return value shall be the minimum value out of the parameter values.

**5.9.4.21 max**opcode `max(xsig x1[, xsig ...])`

The **max** core opcode finds the maximum out of the parameter values.

The return value shall be the maximum value out of the parameter values.

## 5.9.5 Pitch converters

### 5.9.5.1 Introduction to pitch representations

There are four representations for pitch in a SAOL orchestra; the following twelve functions (after **gettune** and **settune**) convert them from one to another. The four representations are as follows:

- pitch-class, or **pch** representation. A pitch is represented as an integer part, which represents the octave number, where 8 shall be the octave containing middle C (C4); plus a fractional part, which represents the pitch-class, where .00 shall be C, .01 shall be C#, .02 shall be D, and so forth. Fractional parts larger than .11 (B) have no meaning in this representation; fractional parts between the pitch-class steps are rounded to the nearest pitch-class.

For example, 7.09 is the A below middle C.

- octave-fraction, or **oct** representation. A pitch is represented as an integer part, which represents the octave number, where 8 shall be the octave containing middle C (C4); plus a fractional part, which represents a fraction of an octave, where each step of 1/12 represents a semitone.

For example, 7.75 is the A below middle C, in equal-tempered tuning.

- MIDI pitch number representation. A pitch is represented as an integer number of semitones above or below middle C, represented as 60.

For example, 57 is the A below middle C.

- Frequency, or **cps** representation. A pitch is represented as some number of cycles per second.

For example, 220 Hz is the A below middle C.

Each of the pitch converters represents the conversion that is done by its name, with the new representation first and the original (parameter) representation second. Thus, **cpsmidi** is the converter that returns the frequency corresponding to a particular MIDI pitch.

Changes to the **pitch** field of an AudioBIFS **AudioSource** node (see subclause 5.15) controlling the decoding process scale the global tuning around 440 Hz just as if **settune()** was called. The value of the **pitch** field is a multiplier to be applied to 440; that is if the **pitch** field is changed to 1.1, the global tuning becomes 484 Hz. Through this mechanism, changes in the **pitch** field apply to the results of all pitch converters and the **gettune()** opcode, but to no other instructions in the orchestra.

### 5.9.5.2 **gettune**

```
opcode gettune([xsig dummy])
```

The **gettune** core opcode returns the value in Hz of the current orchestra global tuning, which is the frequency of A above middle C. The global tuning shall be set by default to 440, but can be changed using the **settune** core opcode, subclause 5.9.5.3.

The **dummy** parameter is used to specify the rate of the opcode call if desired; see subclause 5.8.7.7.2.

### 5.9.5.3 **settune**

```
kopcode settune(ksig x)
```

The **settune** core opcode sets and returns the value of the current orchestra global tuning. The global tuning is used by several pitch converters when converting between symbolic pitch representations and cycles-per-second representation.

It is a run-time error if  $x$  is not strictly positive. (Allowing a wide range for tuning parameters allows unusual “pitch” representations to be used).

This core opcode has side-effects, as follows: The global tuning variable shall be set to the value  $x$ .

The return value shall be  $x$ .

#### 5.9.5.4 octpch

opcode `octpch(xsig x)`

The **octpch** core opcode converts pitch-class representation to octave representation, with regard to equal scale tempering.

It is a run-time error if  $x$  is not strictly positive.

Let the integer part of  $x$  be  $y$  and the fractional part of  $x$  be  $z$ . Then, the return value shall be calculated as follows:

$z$  shall be “rounded” to the nearest value such that  $100z$  is an integer. If  $z < 0$  or  $z > 0.11$ , then  $z$  shall be set to 0 instead.

Then, the return value shall be  $y + 100z / 12$ .

#### 5.9.5.5 pchoct

opcode `pchoct(xsig x)`

The **pchoct** core opcode converts octave representation to pitch-class representation.

It is a run-time error if  $x$  is not strictly positive.

Let the integer part of  $x$  be  $y$  and the fractional part of  $x$  be  $z$ . Then, the return value shall be calculated as follows:

$z$  shall be rounded to the nearest value such that  $12z$  is an integer. Then, the return value shall be  $y + 12z / 100$ .

#### 5.9.5.6 cpspch

opcode `cpspch(xsig x)`

The **cpspch** core opcode converts pitch-class representation to cycles-per-second representation, with regard to equal scale tempering and the global tuning.

It is a run-time error if  $x$  is not strictly positive.

Let the integer part of  $x$  be  $y$  and the fractional part of  $x$  be  $z$ . Then, the return value shall be calculated as follows:

$z$  shall be “rounded” to the nearest value such that  $100z$  is an integer. If  $z < 0$  or  $z > 11$ , then  $z$  shall be set to 0 instead.

Further let  $t$  be the global tuning parameter. Then, the return value shall be  $t \times 2^{(y + 100z/12 - 8.75)}$ .

#### 5.9.5.7 pchcps

opcode `pchcps(xsig x)`

The **pchcps** core opcode converts cycles-per-second representation to pitch-class representation, with regard to the global tuning.

It is a run-time error if  $x$  is not strictly positive.

The return value shall be calculated as follows.

Let  $t$  be the global tuning parameter. Then, let  $k$  be  $\log_2(x/t) + 8.75$ . Then, let the integer part of  $k$  be  $y$  and the fractional part of  $k$  be  $z$ , "rounded" to the nearest value such that  $12z$  is an integer. The return value shall be  $y + 12z / 100$ .

#### 5.9.5.8 cpsoct

opcode cpsoct(xsig x)

The **cpsoct** core opcode converts octave representation to cycles-per-second representation, with regard to the global tuning.

It is a run-time error if  $x$  is not strictly positive.

Let  $t$  be the global tuning value; then, the return value shall be  $t \times 2^{(x-8.75)}$ .

#### 5.9.5.9 octcps

opcode octcps(xsig x)

The **octcps** core opcode converts cycles-per-second representation to octave representation, with regard to the global tuning.

It is a run-time error if  $x$  is not strictly positive.

Let  $t$  be the global tuning value; then, the return value shall be  $\log_2(x/t) + 8.75$ .

#### 5.9.5.10 midipch

opcode midipch(xsig x)

The **midipch** core opcode converts pitch-class representation to MIDI representation.

It is a run-time error if  $x \leq 3$ .

Let the integer part of  $x$  be  $y$  and the fractional part of  $x$  be  $z$ . Then, the return value shall be calculated as follows:

$z$  shall be "rounded" to the nearest value such that  $100z$  is an integer. If  $z < 0$  or  $z > 0.11$ , then  $z$  shall be set to 0 instead.

The return value shall be  $100z + 12(y - 3)$ .

#### 5.9.5.11 pchmidi

opcode pchmidi(xsig x)

The **pchmidi** core opcode converts MIDI representation to pitch-class representation.

It is a run-time error if  $x$  is not strictly positive.

The return value shall be calculated as follows:  $x$  shall be rounded to the nearest integer, then let  $k$  be  $(x + 36) / 12$ , and let  $y$  be the integer part of  $k$ , and let  $z$  be the fractional part of  $k$ . Then, the return value shall be  $y + 12z / 100$ .

**5.9.5.12 midioct**

opcode midioct(xsig x)

The **midioct** core opcode converts octave representation to MIDI representation.

It is a run-time error if  $x \leq 3$ .

The return value shall be calculated as follows. Let  $k$  be  $12(x - 3)$ . Then, the value of  $k$  rounded to the nearest integer shall be the return value.

**5.9.5.13 octmidi**

opcode octmidi(xsig x)

The **octmidi** core opcode converts MIDI representation to octave representation.

It is a run-time error if  $x$  is not strictly positive.

The return value shall be  $(x + 36) / 12$ .

**5.9.5.14 midicps**

opcode midicps(xsig x)

The **midicps** core opcode converts cycles-per-second representation to MIDI representation, with regard to the global tuning.

It is a run-time error if  $x$  is not strictly positive.

Let  $t$  be the global tuning parameter, and let  $k$  be  $12 \log_2(x / t) + 69$ . Then, the return value shall be  $k$  rounded to the nearest nonnegative integer.

**5.9.5.15 cpsmidi**

opcode cpsmidi(xsig x)

The **cpsmidi** core opcode converts MIDI representation to cycles-per-second representation, with regard to the global tuning and equal scale temperament.

It is a run-time error if  $x$  is not strictly positive.

Let  $t$  be the global tuning parameter. Then, the return value shall be  $t \times 2^{(x - 69)/12}$ .

**5.9.6 Table operations****5.9.6.1 ftlen**

opcode ftlen(table t)

The **ftlen** core opcode returns the length of a table. The length of a table is the value calculated based on the **size** parameter in the particular core wavetable generator as described in subclause 5.10.

The return value shall be the length of the table referenced by **t**.

**5.9.6.2 ftloop**

opcode ftloop(table t)

The **ftloop** core opcode returns the loop start point of a wavetable. The loop point is set either in a sound sample data block in the bitstream, or by the **ftsetloop** core opcode (see subclause 5.9.6.6), or else it is 0.

The return value shall be the loop start point (in samples) of the wavetable referenced by **t**.

### 5.9.6.3 ftloopend

opcode `ftloopend(table t)`

The **ftloopend** core opcode returns the loop end point of a wavetable. The loop point is set either in a sound sample data block in the bitstream, or by the **ftsetend** core opcode (see subclause 5.9.6.7), or else it is 0.

The return value shall be the loop end point (in samples) of the wavetable referenced by **t**.

### 5.9.6.4 ftsr

opcode `ftsr(table t)`

The **ftsr** core opcode returns the sampling rate of a wavetable. The sampling rate is set in a sound sample data block in the bitstream, or else it is 0.

The return value shall be the sampling rate, in Hz, of the wavetable referenced by **t**.

### 5.9.6.5 ftbasecps

opcode `ftbasecps(table t)`

The **ftbasecps** core opcode returns the base frequency of a wavetable, in cycles per second (Hz). The base frequency is set either in a sound sample data block in the bitstream, or in the core wavetable generator **sample** (subclause 5.10.2), or by the core opcode **ftsetbase** (subclause 5.9.6.8), or else it is 0.

The return value shall be the base frequency, in Hz, of the wavetable referenced by **t**.

### 5.9.6.6 ftsetloop

kopcode `ftsetloop(table t, ksig x)`

The **ftsetloop** core opcode sets the loop start point of a wavetable to a new value, and returns the new value.

It is a run-time error if **x** < 0, or if **x** is larger than the size of the wavetable referenced by **t**.

This core opcode has side effects, as follows: the loop start point of the wavetable **t** shall be set to sample number **x**.

The return value shall be **x**.

### 5.9.6.7 ftsetend

kopcode `ftsetend(table t, ksig x)`

The **ftsetend** core opcode sets the loop end point of a wavetable to a new value, and returns the new value. It is a run-time error if **x** < 0, or if **x** is larger than the size of the wavetable referenced by **t**.

This core opcode has side effects, as follows: the loop end point of the wavetable **t** shall be set to sample number **x**.

The return value shall be **x**.

**5.9.6.8 ftsetbase**

```
kopcode ftsetbase(table t, ksig x)
```

The **ftsetbase** core opcode sets the base frequency of a wavetable to a new value, and returns the new value. It is a run-time error if **x** is not strictly positive.

This core opcode has side effects, as follows: the base frequency of the wavetable **t** shall be set to **x**, where **x** is a value in Hz.

The return value shall be **x**.

**5.9.6.9 ftsetsr**

```
kopcode ftsetsr(table t, ksig x)
```

The **ftsetsr** core opcode sets the sampling rate parameter of a wavetable to a new value **x**, and returns the new value. It is a run-time error if **x** is not strictly positive.

This core opcode has side effects, as follows: the sampling rate of the wavetable **t** shall be set to **x**, where **x** is a value in Hz.

The return value shall be **x**.

**5.9.6.10 tableread**

```
opcode tableread(table t, xsig index)
```

The **tableread** core opcode returns a single value from a wavetable. It is a run-time error if **index** < 0, or if **index** is greater than or equal to (tsize-0.5), where tsize is the size of the wavetable referenced by **t**.

The return value shall be the value of the wavetable **t** at sample number **index**, where sample number 0 is the first sample in the wavetable. If **index** is not an integer, then the return value shall be interpolated from nearby points of the wavetable, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

**5.9.6.11 tablewrite**

```
opcode tablewrite(table t, xsig index, xsig val)
```

The **tablewrite** core opcode sets a single value in a wavetable, and returns that value. It is a run-time error if **index** < 0, or if **index** is larger than the size of the wavetable referenced by **t**.

This core opcode has side effects, as follows: **index** shall be rounded to the nearest integer, and the value of sample number **index** in the wavetable **t** shall be set to the new value **val**, where sample number 0 is the first sample in the wavetable.

The return value shall be **val**.

If global tables are written to at the a-rate by one instrument instance, it is unspecified when the new values become available to other instrument instances. In particular, it is unspecified whether the changes are available in the same orchestra cycle. The changes shall be available to all instrument instances in the next orchestra cycle, and are permitted to be available to instances of instruments sequenced later in the same orchestra cycle.

**5.9.6.12 oscil**

```
aopcode oscil(table t, asig freq[, ivar loops])
```

The **oscil** core opcode loops several times around the wavetable **t** at a rate of **freq** loops per second, returning values at the audio-rate. **loops** shall be rounded to the nearest integer when the opcode is evaluated. If **loops** is not provided, its value shall be  $-1$ .

It is a run-time error if **loops** is not strictly positive and is also not  $-1$ .

The return value is calculated according to the following procedure.

On the first a-rate call to **oscil** relative to a particular state, the *internal phase* shall be set to 0, and the *internal number of loops* set to **loops**. On subsequent calls, the internal phase shall be incremented by **freq/SR**, where **SR** is the orchestra sampling rate. If, after the incrementation, the internal phase is not in the interval  $[0,1]$  and the internal loop count is strictly positive, the phase shall be set to the fractional portion of its value ( $p := p - \text{floor}(p)$ ) and the loop count decremented.

If the internal loop count is zero, the return value shall be 0. Otherwise the return value shall be the value of sample number  $x$  in the wavetable, where  $x = p * l$ , where  $p$  is the current internal phase, and  $l$  is the length of table **t**. If  $x$  is not an integer, then the value shall be interpolated from the nearby table values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **oscil** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **oscil** is referenced twice in the same a-cycle, then the effective loop frequency is twice as high as given by **freq**.

### 5.9.6.13 loscil

```
opcode loscil(table t, asig freq[, ivar basefreq, ivar loopstart, ivar loopend])
```

This opcode loops around the wavetable **t**, returning values at the audio-rate. The looping continues as long as the opcode is active, and is performed at a special rate that depends on the base frequency **basefreq** and the sampling rate of the table. In this way, samples that were recorded at a particular known pitch may be interpolated to any other pitch.

If **basefreq** is not provided, it shall be set to the base frequency of the table **t** by default. If the table **t** has base frequency 0 and **basefreq** is not provided, it is a run-time error. If **basefreq** is not strictly positive, it is a runtime error. The **basefreq** parameter shall be specified in Hz.

If **loopstart** and **loopend** are not provided, they shall be set to the loop start point and loop end point of the table **t**, respectively. If **loopend** is not provided and the loop end point of **t** is 0, then it shall be set to the end of the table ( $l - 1$ ), where  $l$  is the length of the table in sample points). If **loopstart** is not strictly less than **loopend**, or either is negative, it is a runtime error.

The return value is calculated according to the following procedure.

Let  $l$  be the length of the table,  $m$  be the value **loopstart** /  $l$ , and  $n$  be the value **loopend** /  $l$ . On the first a-rate call to **loscil** relative to a particular state, the *internal phase* shall be set to 0. On subsequent calls, the internal phase shall be incremented by **freq \* TSR / (basefreq \* SR)**, where **TSR** is the sampling rate of the table and **SR** is the orchestra sampling rate. If the incrementation has caused the internal phase to leave the interval  $[m,n]$  or to become less than 0, the phase shall be set to  $m + p - kn$ , where  $p$  is the internal phase and  $k$  is the value  $\text{floor}(p/n)$ . However, if the phase pointer has not yet passed the **loopstart** value, and if an incrementation has caused the phase pointer to become less than zero, the phase pointer takes on the value zero.

The return value shall be the value of sample number  $x$  in the wavetable, where  $x = p * l$ , where  $p$  is the current internal phase, and  $l$  is the length of table **t**. If  $x$  is not an integer, then the value shall be interpolated from the nearby table values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **loscil** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **loscil** is referenced twice in the same a-cycle, then the effective loop frequency is twice as high as given by **freq**.

#### 5.9.6.14 **doscil**

```
aopcode doscil(table t)
```

The **doscil** core opcode plays back a sample once, with no frequency control or looping. It is useful for sample-rate matching sampled drum sounds to an orchestra rate.

The return value is calculated according to the following procedure.

On the first a-rate call to **doscil** relative to a particular state, the *internal phase* shall be set to 0. On subsequent calls, the internal phase shall be incremented by **TSR/SR**, where **TSR** is the sampling rate of the table **t** and **SR** is the orchestra sampling rate. If, after the incrementation, the internal phase is greater than 1, then the opcode is done.

If the opcode is done, the return value shall be 0. Otherwise the return value shall be the value of sample number  $x$  in the wavetable, where  $x = p * l$ , where  $p$  is the current internal phase, and  $l$  is the length of table **t**. If  $x$  is not an integer, then the value shall be interpolated from the nearby table values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

NOTE - The **doscil** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **doscil** is referenced twice in the same a-cycle, then the sample is played back at twice its original frequency.

#### 5.9.6.15 **koscil**

```
kopcode koscil(table t, ksig freq[, ivar loops])
```

This opcode loops several times around the wavetable **t** at a rate of **freq** loops per second, returning values at the control-rate. **loops** shall be rounded to the nearest integer when the opcode is evaluated. If **loops** is not provided, its value shall be set to -1.

It is a run-time error if **loops** is not strictly positive and is also not -1.

The return value is calculated according to the following procedure.

On the first k-rate call to **koscil** relative to a particular state, the *internal phase* shall be set to 0, and the *internal number of loops* set to **loops**. On subsequent calls, the internal phase shall be incremented by **freq/KR**, where **KR** is the orchestra control rate. If, after the incrementation, the phase is not in the interval  $[0, 1]$  and the internal loop count is strictly positive, the phase shall be set to the fractional portion of its value ( $p := p - \text{floor}(p)$ ) and the loop count decremented.

If the internal loop count is zero, the return value shall be 0. Otherwise the return value shall be the value of sample number  $x$  in the wavetable, where  $x = p * l$ , where  $p$  is the current internal phase, and  $l$  is the length of table **t**. If  $x$  is not an integer, then the value shall be interpolated from the nearby table values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **koscil** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **koscil** is referenced twice in the same k-cycle, then the effective loop frequency is twice as high as given by **freq**.

## 5.9.7 Signal generators

### 5.9.7.1 **kline**

```
kopcode kline(ivar x1, ivar dur1, ivar x2[, ivar dur2, ivar x3, ...]);
```

The **kline** core opcode produces a line-segmented or “ramp” function, with values changing at the k-rate. This function takes **dur1** seconds to go from **x1** to **x2**, **dur2** seconds to go from **x2** to **x3**, and so on.

It is a run-time error if any of the following conditions apply:

- there are an even number of parameters
- any of the **dur** values are negative

The return value shall be calculated as follows:

On the first call to **kline** with regard to a particular state, the *internal time* shall be set to 0, the *current left point* to **x1**, the *current right point* to **x2**, and the *current duration* to **dur1**. On subsequent calls, the internal time shall be incremented by  $1/KR$ , where **KR** is the orchestra control rate. So long as the internal time is thereby greater than the current duration and there is another duration parameter, the internal time shall be decremented by the current duration, the current duration shall be set to the next duration parameter, the current left point to the current right point, and the current right point to the next control point (x-value) (these steps repeat if necessary so long as the internal time is greater than the current duration). If there is no additional duration parameter, the generator is done.

If the generator is done, then the return value is 0. Otherwise, the return value is  $l + (r - l)t/d$ , where *l* is the current left point, *r* is the current right point, *t* is the internal time, and *d* is the current duration.

NOTE - The **kline** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **kline** is referenced twice in the same k-cycle, then the effective segment duration is half as long as given by the corresponding duration value.

### 5.9.7.2 **aline**

```
aopcode aline(ivar x1, ivar dur1, ivar x2[, ivar dur2, ivar x3, ...]);
```

The **aline** core opcode produces a line-segmented or “ramp” function, with values changing at the a-rate. This function takes **dur1** seconds to go from **x1** to **x2**, **dur2** seconds to go from **x2** to **x3**, and so on.

It is a run-time error if any of the following conditions apply:

- there are an even number of parameters
- any of the **dur** values are negative

The return value shall be calculated as follows:

On the first call to **aline** with regard to a particular state, the *internal time* shall be set to 0, the *current left point* to **x1**, the *current right point* to **x2**, and the *current duration* to **dur1**. On subsequent calls, the internal time shall be incremented by  $1/SR$ , where **SR** is the orchestra sampling rate. So long as the internal time is thereby greater than the current duration and there is another duration parameter, the internal time shall be decremented by the current duration, the current duration shall be set to the next duration parameter, the current left point to the current right point, and the current right point to the next control point (x-value) (these steps repeat if necessary so long as the internal time is greater than the current duration). If there is no additional duration parameter, the generator is done.

If the generator is done, then the return value is 0. Otherwise, the return value is  $l + (r - l) t / d$ , where *l* is the current left point, *r* is the current right point, *t* is the internal time, and *d* is the current duration.

NOTE - The **aline** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **aline** is referenced twice in the same a-cycle, then the effective segment duration is half as long as given by the corresponding duration value.

### 5.9.7.3 kexpon

```
kopcode kexpon(ivar x1, ivar dur1, ivar x2[, ivar dur2, ivar x3, ...]);
```

The **kexpon** core opcode produces a segmented function made out of exponential curves, with values changing at the k-rate. This function takes **dur1** seconds to go from **x1** to **x2**, **dur2** seconds to go from **x2** to **x3**, and so on.

It is a run-time error if any of the following conditions apply:

- there are an even number of parameters
- any of the **dur** values are negative
- the **x** values are not all the same sign
- any **x** value is 0

The return value shall be calculated as follows:

On the first call to **kexpon** with regard to a particular state, the *internal time* shall be set to 0, the *current left point* to **x1**, the *current right point* to **x2**, and the *current duration* to **dur1**. On subsequent calls, the internal time shall be incremented by  $1/KR$ , where **KR** is the orchestra control rate. So long as the internal time is thereby greater than the current duration and there is another duration parameter, the internal time shall be decremented by the current duration, the current duration shall be set to the next duration parameter, the current left point to the current right point, and the current right point to the next control point (x-value) (these steps repeat if necessary so long as the internal time is greater than the current duration). If there is no additional duration parameter, the generator is done.

If the generator is done, then the return value is 0. Otherwise, the return value is  $l(r/l)^{t/d}$ , where *l* is the current left point, *r* is the current right point, *t* is the internal time, and *d* is the current duration.

NOTE - The **kexpon** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **kexpon** is referenced twice in the same k-cycle, then the effective segment duration is half as long as given by the corresponding duration value.

### 5.9.7.4 aexpon

```
aopcode aexpon(ivar x1, ivar dur1, ivar x2[, ivar dur2, ivar x3, ...]);
```

The **aexpon** core opcode produces a segmented function made out of exponential curves, with values changing at the a-rate. This function takes **dur1** seconds to go from **x1** to **x2**, **dur2** seconds to go from **x2** to **x3**, and so on.

It is a run-time error if any of the following conditions apply:

- there are an even number of parameters
- any of the **dur** values are negative
- the **x** values are not all the same sign
- any **x** value is 0

The return value shall be calculated as follows:

On the first call to **aexpon** with regard to a particular state, the *internal time* shall be set to 0, the *current left point* to **x1**, the *current right point* to **x2**, and the *current duration* to **dur1**. On subsequent calls, the internal time shall be incremented by  $1/\mathbf{SR}$ , where **SR** is the orchestra sampling rate. . So long as the internal time is thereby greater than the current duration and there is another duration parameter, the internal time shall be decremented by the current duration, the current duration shall be set to the next duration parameter, the current left point to the current right point, and the current right point to the next control point (x-value) (these steps repeat if necessary so long as the internal time is greater than the current duration). If there is no additional duration parameter, the generator is done.

If the generator is done, then the return value is 0. Otherwise, the return value is  $l (r / l)^{t/d}$ , where *l* is the current left point, *r* is the current right point, *t* is the internal time, and *d* is the current duration.

NOTE - The **aexpon** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **aexpon** is referenced twice in the same a-cycle, then the effective segment duration is half as long as given by the corresponding duration value.

### 5.9.7.5 kphasor

kopcode kphasor(ksig cps)

The **kphasor** core opcode produces a moving phase value, looping from 0 to 1 repeatedly, **cps** times per second.

The return value shall be calculated as follows:

On the first call to **kphasor** with regard to a particular state, the *internal phase* shall be set to 0. On subsequent calls, the internal phase shall be incremented by  $\mathbf{cps}/\mathbf{KR}$ , where **R** is the orchestra control rate. If the internal phase is thereby not in the interval [0,1], the internal phase shall be set to the fractional part of its value ( $p = \text{frac}(p)$ ). The return value is the internal phase.

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **kphasor** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **kphasor** is referenced twice in the same k-cycle, then the effective frequency is twice as fast as given by **cps**.

### 5.9.7.6 aphasor

aopcode aphasor(asig cps)

The **aphasor** opcode produces a moving phase value, looping from 0 to 1 repeatedly, **cps** times per second.

The return value shall be calculated as follows:

On the first call to **aphasor** with regard to a particular state, the *internal phase* shall be set to 0. On subsequent calls, the internal phase shall be incremented by  $\mathbf{cps}/\mathbf{SR}$ , where **SR** is the orchestra sampling rate. If the internal phase is thereby not in the interval [0,1], the internal phase shall be set to the fractional part of its value ( $p = \text{frac}(p)$ ). The return value is the internal phase.

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **aphasor** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **aphasor** is referenced twice in the same a-cycle, then the effective frequency is twice as fast as given by **cps**.

### 5.9.7.7 pluck

aopcode pluck(asig cps, ivar buflen, table init, ksig atten, ksig smoothrate)

This opcode uses a simple form of the Karplus-Strong algorithm to generate plucked-string sounds by repeated sampling and smoothing of a buffer.

It is a run-time error if **buflen** is not strictly positive.

The return value is calculated as follows:

On the first call to **pluck** with regard to a particular opcode state, a *buffer* of length **buflen** shall be created and filled with the values from the table **init**, as follows. Let  $x$  be the length of the table **init**. If  $x$  is less than **buflen**, then the values of the buffer shall be set to the first **buflen** sample values of the table **init**. If  $x$  is greater than or equal to **buflen**, then the first **buflen** values of the buffer shall be set to the sample values in the table **init**, and the remainder of the buffer filled as described in this paragraph for the whole table. That is, as many full and partial cycles of the table are used as necessary to fill the buffer.

Also on the first call to **pluck** with regard to a particular state, the *internal phase* shall be set to 0, and the *smooth count* shall be set to 0.

On subsequent calls to **pluck** with regard to a state, the smooth count is incremented. If the smooth count is equal to **smoothrate**, then **smoothrate** is set to 0, and the buffer shall be smoothed, as follows. A new buffer of length **buflen** shall be created, and its values set by averaging over the current buffer. Each sample value in the new buffer shall be set to the value of the attenuated mean of the five surrounding samples of the current buffer. That is, for each sample  $x$  of the new buffer, its value shall be set to  $\text{atten} * (b[x-2] + b[x-1] + b[x] + b[x+1] + b[x+2])/5$ , where the  $b[.]$  notation refers to values of the current buffer, and the indices are calculated modulo **buflen** (that is, they “wrap around”). Then, the values of the current buffer shall be set to the values of the new buffer.

Whether or not the buffer has just been smoothed, the internal phase shall be incremented by  $\text{cps}/\text{SR}$ , where **SR** is the orchestra sampling rate, and if the resulting value is not in the interval  $[0,1]$ , then the internal phase shall be set to the fractional part of the internal phase ( $p = p - \text{floor}(p)$ ).

The return value shall be the value of the buffer at the point  $p * \text{buflen}$ , where  $p$  is the internal phase. If this index is not an integer, the value shall be interpolated from nearby buffer values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5).

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

NOTE - The **pluck** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **pluck** is referenced twice in the same a-cycle, then the effective frequency is twice as fast as given by **cps**.

### 5.9.7.8 buzz

```
aopcode buzz(asig cps, ksig nharm, ksig lowharm, ksig rolloff)
```

The **buzz** opcode produces a band-limited pulse train formed by adding together cosine overtones of a fundamental frequency **cps** given in Hz. These noisy sounds are useful as complex sound sources for subtractive synthesis.

**lowharm** gives the lowest harmonic used, where 0 is the fundamental, at frequency **cps**. It is a runtime error if **lowharm** is negative.

**nharm** gives the number of harmonics used starting from **lowharm**. If **nharm** is not strictly positive, then every overtone up to the orchestra Nyquist frequency is used (**nharm** shall be set to  $\text{SR} / 2 / \text{cps} - \text{lowharm}$ ).

**rolloff** gives the multiplicative rolloff that defines the spectral shape. If **rolloff** is negative, then the partials alternate in phase; if  $|\text{rolloff}| > 1$ , then the partials increase in amplitude rather than attenuating.

The return value is calculated as follows. On the first call to **buzz** with regard to a particular scope, the *internal phase* shall be set to 0. On subsequent calls, the internal phase shall be incremented by  $\text{cps} / \text{SR}$ , where **SR** is the

orchestra sampling rate. If, after this incrementation, the internal phase is greater than 1, the internal phase shall be set to the fractional part of its value ( $p := \text{frac}(p)$ ).

The return value shall be

$$\text{scale} * \sum_{f=\text{lowharm}}^{\text{lowharm}+\text{nharm}} \text{rolloff}^{(f-\text{lowharm})} \cos 2\pi(f+1)p$$

where  $p$  is the internal phase.

If  $|\text{rolloff}| = 1$ ,  $\text{scale} = (1/(\text{nharm}+1))$

else  $\text{scale} = (1-\text{abs}(\text{rolloff})) / (1-\text{abs}(\text{rolloff}^{(\text{nharm}+1)}))$ .

Note that a negative value for the frequency argument to this opcode is legal, and the phase pointer update algorithm works correctly for negative values.

### 5.9.7.9 grain

`opcode grain(table wave, table env, ksig density, ksig freq, ksig amp, ksig dur, ksig time, ksig phase)`

The **grain** opcode uses granular synthesis [GRAN] to synthesize periodic, quasi-periodic, noisy, and textured sounds. A sound in granular synthesis is represented as the sum of a number of short sound samples or “grains” distributed throughout the time-frequency space.

**wave** is the waveshape for the grain. **env** is the envelope to apply to the grain. **density** is the time-spacing of grain trigger points in Hz. **freq** is the frequency in Hz at which to place each new grain. **amp** is the amplitude of each new grain, as a scaling factor. **dur** is the duration of each grain in seconds. **time** is the offset in seconds from the trigger at which grains start (jitter). **phase** is the starting phase of each grain, in the range [0,1].

It is a run-time error if any of the following conditions apply: **density** is not positive, **dur** is negative, **time** is negative, or **phase** is not in the range [0,1].

On the first call to **grain** with regard to a particular opcode state, the *number of grains* is set to 0 and the *density clock* and *trigger clock* are both set to 0.

On the first and each subsequent a-rate call to **grain**, the following steps are executed:

The density clock is incremented by 1/SR, where SR is the orchestra sampling rate. If the density clock is thereby greater than or equal to 1/density, then the density clock is set to zero, and then if **time** < 1/density, the trigger clock is set to **time**. If **time** >= 1/density, the trigger clock remains at zero and no grain is created.

If the trigger clock is positive, then it is decremented by 1/SR. If the trigger clock is thereby less than or equal to zero, a new grain is dispatched. To dispatch a new grain, the number of active grains is incremented and henceforth denoted as *i*. Space shall be allocated to hold the current phase **phase[i]**, frequency **freq[i]**, amplitude **amp[i]**, duration **dur[i]**, and time **gtime[i]** of the new grain. These values shall be set to the current value of **phase**, **freq**, **amp**, **dur**, and 0 respectively.

For each active grain *i*, the current value of the grain **x[i]** is calculated as follows. There are three conditions, depending on the format of the wavetable **wave**:

1. If **wave** has both its sampling rate and base frequency parameters set, it's assumed to be a pitched sample, and is pitch-matched to **freq** in the manner of **loscil()**. Let **loopstart** be set to the loop start parameter of the table **wave**; let **loopend** be set to the loop end parameter of the table, or *I*-1 where *I* is the length of the table **wave**, if the loop end parameter is 0. Let *m* be the value **loopstart** / *I*, and let *n* be the value **loopend** / *I*. Each time after the first that the grain value is calculated, the phase **phase[i]** shall be incremented by **freq[i]** \* **TSR** / (**basefreq** \* **SR**), where **TSR** is the table sampling rate and **basefreq** the base frequency of table **wave**. If, after this incrementation,

the phase is not in the range  $[0, 1]$ , the phase shall be set to  $\text{phase}[i] - \text{floor}(\text{phase}[i])$ . The current value of the grain  $\mathbf{x}[i]$  is the value of sample number  $\mathbf{q}$  of the wavetable  $\mathbf{wave}$ , where  $\mathbf{q} = \text{phase}[i] * (\mathbf{m} - \mathbf{n}) + \mathbf{m}$ . If the value of  $\mathbf{q}$  is not an integer, then the value of  $\mathbf{x}[i]$  shall be interpolated from the nearby table values, as described in subclause 5.8.5.2.5.

2. If  $\mathbf{wave}$  has its sampling rate parameter set, but not its base frequency parameter, then it's assumed to be an unpitched sample, and is sample-rate-matched to the orchestra in the manner of  $\text{doscil}()$  and  $\text{freq}[i]$  is ignored. Each time after the first that the grain value is calculated, the phase  $\text{phase}[i]$  shall be incremented by  $\text{TSR}/\text{SR}$ , where  $\text{TSR}$  is the table sampling rate and  $\text{SR}$  is the orchestra sampling rate. If, after this incrementation, the phase is greater than 1, then the current and all future values of this grain are 0. Otherwise, the current value of the grain  $\mathbf{x}[i]$  is the value of sample number  $\mathbf{q}$  of the wavetable  $\mathbf{wave}$ , where  $\mathbf{q} = \text{phase}[i] * \mathbf{l}$  and  $\mathbf{l}$  is the length of the wavetable  $\mathbf{wave}$ . If the value of  $\mathbf{q}$  is not an integer, then the value of  $\mathbf{x}[i]$  shall be interpolated from the nearby table values, as described in subclause 5.8.5.2.5.

3. If  $\mathbf{wave}$  has neither its sampling rate nor base frequency parameters set, then it's assumed to be a waveshaped, and is oscillated in the manner of  $\text{oscil}()$ . Each time after the first that the grain value is calculated, the phase  $\text{phase}[i]$  shall be incremented by  $\text{freq}[i]/\text{SR}$ . If, after this incrementation, the phase value is outside the range  $[0, 1]$ , then the phase shall be set to the fractional part of its value  $\text{phase}[i] - \text{floor}(\text{phase}[i])$ . The current value of the grain  $\mathbf{x}[i]$  shall be the value of sample number  $\mathbf{q}$  of the wavetable  $\mathbf{wave}$ , where  $\mathbf{q} = \text{phase}[i] * \mathbf{l}$  and  $\mathbf{l}$  is the length of the wavetable  $\mathbf{wave}$ . If the value of  $\mathbf{q}$  is not an integer, then the value of  $\mathbf{x}[i]$  shall be interpolated from the nearby table values, as described in subclause 5.8.5.2.5.

After the output value of the grain  $\mathbf{x}[i]$  is calculated in one of these three manners, it is modulated by the envelope wavetable according to the grain duration. The time  $\text{gtime}[i]$  is incremented by  $1/\text{SR}$ , where  $\text{SR}$  is the orchestra sampling rate. If  $\text{gtime}[i] > \text{dur}$ , then the grain is over; it shall be noted as non-active, and its space may be deallocated. Otherwise, the modulator value  $\mathbf{m}[i]$  is the value of sample number  $\mathbf{q}$  of the wavetable  $\mathbf{env}$ , where  $\mathbf{q} = \text{floor}(\text{gtime}[i] / \text{dur}[i] * \mathbf{l})$  and  $\mathbf{l}$  is the length of the wavetable  $\mathbf{env}$ . The final output value of the grain  $\mathbf{x}[i]$  is rescaled by this modulator value as in  $\mathbf{x}[i] = \text{amp} * \mathbf{x}[i] * \mathbf{m}[i]$ .

The output value from the opcode is the sum of the output values for all active grains.

NOTE (1) - If the input values  $\text{freq}$ ,  $\text{dur}$ , and so forth change during the use of the instrument (as they would, for example, to stochastically vary grain distribution), the values of  $\text{freq}[i]$ ,  $\text{dur}[i]$  and so forth do not change for currently-active grains. Only the parameters of new grains are affected. The parameter values of active grains do not change during the lifetime of the grain.

NOTE (2) - The **grain** opcode shall not have a "proper" representation of time, but shall infer it from the number of calls. If the same state of **grain** is referenced twice in the same a-cycle, than the effective frequency, duration, density, jitter, and so forth are scaled appropriately.

## 5.9.8 Noise generators

### 5.9.8.1 Note on noise generators and pseudo-random sequences

The following core opcodes generate noise, that is, pseudo-random sequences of various statistical properties. In order to provide maximum decorrelation among multiple noise generators, it is important that all references to pseudo-random generation share a single feedback state. That is, all random values required by the various states of various noise generators shall make use of sequential values from a single "master" pseudo-random sequence.

It is strictly prohibited for an implementation to maintain multiple pseudo-random sequences to draw from (using the same algorithm) for various states of noise generation opcodes, because to do so may result in strong correlations between multiple noise generators.

This point does not apply to implementations that do not use "linear congruential", "modulo feedback", or similar mathematical structures to generate pseudo-random numbers.

The standard mathematical description of probability density functions is used in this subclause. This means that if the pdf of a random variable  $x$  is  $f(x)$ , then the probability of it taking a value in the range  $[y,z]$  is  $\int_y^z f(x)dx$ .

**5.9.8.2 irand**

```
iopcode irand(ivar p)
```

The **irand** core opcode generates a random number from a linear distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 1/2p : x \in [-p, p] \\ 0 : otherwise \end{cases}$$

**5.9.8.3 krand**

```
kopcode krand(ksig p)
```

The **krand** core opcode generates random numbers from a linear distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 1/2p : x \in [-p, p] \\ 0 : otherwise \end{cases}$$

**5.9.8.4 arand**

```
aopcode arand(asig p)
```

The **arand** core opcode generates random noise according to a linear distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 1/2p : x \in [-p, p] \\ 0 : otherwise \end{cases}$$

**5.9.8.5 ilinrand**

```
iopcode ilinrand(ivar p1, ivar p2)
```

The **ilinrand** core opcode generates a random number from a linearly-ramped distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} \text{abs}(2 / (p2 - p1) * [(x - p1) / (p2 - p1)]) & \text{if } x \in [p1, p2] \\ 0 & \text{otherwise} \end{cases}$$

**5.9.8.6 klinrand**

```
kopcode klinrand(ksig p1, ksig p2)
```

The **klinrand** core opcode generates random numbers from a linearly-ramped distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} \text{abs}(2 / (\mathbf{p2} - \mathbf{p1}) * [(x - \mathbf{p1}) / (\mathbf{p2} - \mathbf{p1})]) & \text{if } x \in [\mathbf{p1}, \mathbf{p2}] \\ 0 & \text{otherwise} \end{cases}$$

### 5.9.8.7 alinrand

aopcode alinrand(ASIG p1, ASIG p2)

The **alinrand** core opcode generates random noise from a linearly-ramped distribution.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} \text{abs}(2 / (\mathbf{p2} - \mathbf{p1}) * [(x - \mathbf{p1}) / (\mathbf{p2} - \mathbf{p1})]) & \text{if } x \in [\mathbf{p1}, \mathbf{p2}] \\ 0 & \text{otherwise} \end{cases}$$

### 5.9.8.8 iexprand

iopcode iexprand(IVAR p1)

The **iexprand** core opcode generates a random number from an exponential distribution with mean  $\mathbf{p1}$ . It is a run-time error if  $\mathbf{p1}$  is not strictly positive.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 0 & \text{if } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / \mathbf{p1}, & \text{otherwise.} \end{cases}$$

### 5.9.8.9 kexprand

kopcode kexprand(KSIG p1)

The **kexprand** core opcode generates random numbers from an exponential distribution with mean  $\mathbf{p1}$ . It is a run-time error if  $\mathbf{p1}$  is not strictly positive.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 0 & \text{if } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / \mathbf{p1}, & \text{otherwise.} \end{cases}$$

### 5.9.8.10 aexprand

aopcode aexprand(ASIG p1)

The **aexprand** core opcode generates random noise according to an exponential distribution with mean  $\mathbf{p1}$ . It is a run-time error if  $\mathbf{p1}$  is not strictly positive.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \begin{cases} 0 & \text{if } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / \mathbf{p1}, & \text{otherwise.} \end{cases}$$

### 5.9.8.11 kpoissonrand

kopcode kpoissonrand(KSIG p1)

The **kpoissonrand** core opcode generates a random binary (0/1) sequence of numbers such that the mean time between 1's is  $\mathbf{p1}$  seconds. It is a run-time error if  $\mathbf{p1}$  is not strictly positive.

On the first call to **kpoissonrand** with regard to a particular opcode state, a random number  $x$  shall be chosen according to the pdf

$$p(x) = \begin{cases} 0 & \text{If } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / (\mathbf{p1} * \mathbf{KR}), & \text{otherwise.} \end{cases}$$

where **KR** is the orchestra control rate.

The return value shall be 0 and the floor of this random value shall be stored.

On subsequent calls, the stored value shall be decremented by 1. If the decremented value is -1, the return value shall be 1 and a new random value shall be generated and stored as described above. Otherwise, the return value shall be 0.

NOTE - The **kpoissonrand** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **kpoissonrand** is referenced twice in the same k-cycle, then the effective mean time between 1 values is half as long as given by **t**.

### 5.9.8.12 aopoissonrand

aopcode aopoissonrand(asig p1)

The **aopoissonrand** core opcode generates random binary (0/1) noise such that the mean time between 1’s is **p1** seconds. It is a run-time error if **p1** is not strictly positive.

On the first call to **aopoissonrand** with regard to a particular opcode state, a random number **x** shall be chosen according to the pdf

$$p(x) = \begin{cases} 0 & \text{If } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / (\mathbf{p1} * \mathbf{SR}), & \text{otherwise.} \end{cases}$$

where **SR** is the orchestra sampling rate.

The return value shall be 0 and the floor of this random value shall be stored.

On subsequent calls, the stored value shall be decremented by 1. If the decremented value is -1, the return value shall be 1 and a new random value shall be generated and stored as described above. Otherwise, the return value shall be 0.

NOTE - The **aopoissonrand** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **aopoissonrand** is referenced twice in the same a-cycle, then the effective mean time between 1 values is half as long as given by **t**.

### 5.9.8.13 igaussrand

iopcode igaussrand(ivar mean, ivar var)

The **igaussrand** core opcode generates a random number drawn from a Gaussian (normal) distribution with mean **mean** and variance **var**.

It is a run-time error if **var** is not strictly positive.

The return value shall be a random number **x** chosen according to the pdf

$$p(x) = \frac{e^{-(\mathbf{mean}-x)^2/(2\mathbf{var})}}{\sqrt{2\pi \times \mathbf{var}}}$$

that is,  $p(x) \sim N(\mathbf{mean}, \mathbf{var})$  where **mean** is the mean and **var** the variance of a normal distribution.

**5.9.8.14 kgaussrand**

kopcode kgaussrand(ksig mean, ksig var)

The **kgaussrand** core opcode generates random numbers drawn from a Gaussian (normal) distribution with mean **mean** and variance **var**.

It is a run-time error if **var** is not strictly positive.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \frac{e^{-(\text{mean}-x)^2/(2\text{var})}}{\sqrt{2\pi \times \text{var}}},$$

that is,  $p(x) \sim N(\text{mean}, \text{var})$  where **mean** is the mean and **var** the variance of a normal distribution.

**5.9.8.15 agaussrand**

aopcode agaussrand(asig mean, asig var)

The **agaussrand** core opcode generates random noise drawn from a Gaussian (normal) distribution with mean **mean** and variance **var**.

It is a run-time error if **var** is not strictly positive.

The return value shall be a random number  $x$  chosen according to the pdf

$$p(x) = \frac{e^{-(\text{mean}-x)^2/(2\text{var})}}{\sqrt{2\pi \times \text{var}}},$$

that is,  $p(x) \sim N(\text{mean}, \text{var})$  where **mean** is the mean and **var** the variance of a normal distribution.

**5.9.9 Filters****5.9.9.1 port**

kopcode port(ksig ctrl, ksig htime)

The **port** core opcode converts a step-valued control signal into a portamento signal. **ctrl** is an incoming control signal, and **htime** is the half-transition time in seconds to slide from one value to the next.

The return value is calculated as follows. On the first call to **port** with regard to a particular state, the *current value* and *old value* are both set to **ctrl**. On subsequent calls, if **ctrl** is not equal to the new value, then the old value is set to the current value, the *new value* is set to **ctrl** and the *current time* is set to 0.

If **htime** is 0, the current value is set to the new value.

The return value is calculated as follows. If the current value and new value are equal, then the return value is the new value. Otherwise, the current time is incremented by  $1/\mathbf{KR}$ , where **KR** is the orchestra control rate. Then, the current value shall be set to  $o + (n - o)(1 - 2^{-t/\text{htime}})$ , where  $t$  is the current time,  $n$  is the new value, and  $o$  is the old value.

NOTE - The **port** opcode does not have a “proper” representation of time, but infers it from the number of calls. If the same state of **port** is referenced twice in the same k-cycle, then the effective half-transition time is half as long as given by **htime**.

### 5.9.9.2 hipass

aopcode hipass(asig input, ksig cut)

The **hipass** core opcode high-pass filters its input signal. **cut** is the –6 dB cut-off point of the filter, and is specified in Hz. It is a run-time error if **cut** is not strictly positive.

The particular method of high-pass filtering is not normative. Any filter with the specified characteristic may be used.

The return value shall be the result of filtering **input** with a high-pass filter at **cut**.

NOTE - The **hipass** opcode is not required to have a “proper” representation of time, but is permitted to infer it from the number of calls. If the same state of **hipass** is referenced twice in the same a-cycle, the result is unspecified.

### 5.9.9.3 lopass

aopcode lopass(asig input, ksig cut)

The **lopass** core opcode low-pass filters its input signal. **cut** is the –6 dB cut-off point of the filter, and is specified in Hz. It is a runtime error if **cut** is not strictly positive.

The particular method of low-pass filtering is not normative. Any filter with the specified characteristic may be used.

The return value shall be the result of filtering **input** with a low-pass filter at **cut**.

NOTE - The **lopass** opcode is not required to have a “proper” representation of time, but is permitted to infer it from the number of calls. If the same state of **lopass** is referenced twice in the same a-cycle, the result is unspecified.

### 5.9.9.4 bandpass

aopcode bandpass(asig input, ksig cf, ksig bw)

The **bandpass** core opcode band-pass filters its input signal. **cf** is the centre frequency of the passband, and is specified in Hz. **bw** is the bandwidth of the filter, measuring from the –6 dB cut-off point below the centre frequency to the –6 dB point above, and is specified in Hz. It is a runtime error if **cf** and **bw** are not both strictly positive.

The particular method of bandpass filtering is not normative. Any filter with the specified characteristic may be used.

The return value shall be the result of filtering **input** with a bandpass filter with centre frequency **cf** and bandwidth **bw**.

NOTE - The **bandpass** opcode is not required to have a “proper” representation of time, but is permitted to infer it from the number of calls. If the same state of **bandpass** is referenced twice in the same a-cycle, the result is unspecified.

### 5.9.9.5 bandstop

aopcode bandstop(asig input, ksig cf, ksig bw)

The **bandstop** core opcode band-stop (notch) filters its input signal. **cf** is the centre frequency of the stopband, and is specified in Hz. **bw** is the bandwidth of the filter, measuring from the –6 dB cut-off point below the centre frequency to the –6 dB point above, and is specified in Hz. It is a runtime error if **cf** and **bw** are not both strictly positive.

The particular method of notch filtering is not normative. Any filter with the specified characteristic may be used.

The return value shall be the result of filtering **input** with a bandstop filter with centre frequency **cf** and bandwidth **bw**.

NOTE - The **bandstop** opcode is not required to have a “proper” representation of time, but is permitted to infer it from the number of calls. If the same state of **bandstop** is referenced twice in the same a-cycle, the result is unspecified.

#### 5.9.9.6 biquad

```
aopcode biquad(asig input, ivar b0, ivar b1, ivar b2, ivar a1, ivar a2)
```

The **biquad** core opcode performs exactly normative filtering using the canonical second-order filter in a “Transposed Direct Form II” structure. Using cascades of **biquad** opcodes allows the construction of arbitrary filters with exactly normative results.

The return value is calculated as follows. On the first call to **biquad** with regard to a particular state, the intermediate variables **ti**, **to**, **w1**, and **w2** are set to 0. Then, on the first call and each subsequent call, the following pseudo-code defines the functionality:

```
ti = input;
to = w2 + b0*ti;
w2 = w1 - a1*to + b1*ti;
w1 = -a2*to + b2*ti;
```

and the return value is **to**.

A runtime error is produced if this process produces out-of-bounds values (i.e., the filter is unstable).

The **biquad** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **biquad** is referenced twice in the same a-cycle, the effective sampling rate of the filter is twice as high as the orchestra sampling rate.

#### 5.9.9.7 allpass

```
aopcode allpass(asig input, ivar time, ivar gain)
```

The **allpass** core opcode performs allpass filtering on an input signal. The length of the feedback delay is **time** and is specified in seconds. It is a run-time error if **time** is not strictly positive.

Let **t** be the value  $\text{floor}(\text{time} * \text{SR})$ , where **SR** is the orchestra sampling rate. On the first call to **comb** with regard to a particular state, a delay line of length **t** is initialised and set to all zeros.

On the first and each subsequent call, let **x** be the value that was inserted into the delay line **t** calls ago, or 0 if there have not been **t** calls to this state. Let the value **output** be  $\text{x} - \text{input} * \text{gain}$ . Insert the value  $\text{output} * \text{gain} + \text{input}$  into the beginning of the delay line. The return value shall be **output**.

NOTE - The **allpass** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **allpass** is referenced twice in the same a-cycle, then the effective allpass length is half as long as **len**.

#### 5.9.9.8 comb

```
aopcode comb(asig input, ivar time, ivar gain)
```

The **comb** core opcode performs comb filtering on an input signal. The length of the feedback delay is **time** and is specified in seconds. It is a run-time error if **time** is not strictly positive.

Let **t** be the value  $\text{floor}(\text{time} * \text{SR})$ , where **SR** is the orchestra sampling rate. On the first call to **comb** with regard to a particular state, a delay line of length **t** is initialised and set to all zeros.

On the first and each subsequent call, let **x** be the value that was inserted into the delay line **t** calls ago, or 0 if there have not been **t** calls to this state. Insert the value **x \* gain + input** into the beginning of the delay line. The return value shall be **x**.

NOTE - The **comb** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. That is, if the same state of **comb** is referenced twice in the same a-cycle, the effective length is half of **t**.

**5.9.9.9 fir**

```
aopcode fir(asig input, ksig b0[, ksig b1, ksig b2, ksig ...])
```

The **fir** core opcode applies a specified FIR filter of arbitrary order to an input signal. The particular method of implementing FIR filters is not specified and left open to implementers.

The parameters **b0, b1, b2, ...** specify a FIR filter

$$H(z) = b0 + b1 z^{-1} + b2 z^{-2} + \dots$$

The return value shall be the successive values given by the application of this filter to the signal given by the value of **input** in successive calls to **fir**.

NOTE - The **fir** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **fir** is referenced twice in the same a-cycle, the effective filter sampling rate is double that of the orchestra sampling rate.

**5.9.9.10 iir**

```
aopcode iir(asig input, ksig b0[, ksig a1, ksig b1, ksig a2, ksig b2, ksig ...])
```

The **iir** core opcode applies a specified IIR filter of arbitrary order to an input signal. The particular method of implementing IIR filters is not specified and left open to implementers.

The parameters **b0, b1, b2, ...** and **a1, a2, ...** specify an IIR filter

$$H(z) = (b0 + b1z^{-1} + b2z^{-2} + \dots) / (1 + a1z^{-1} + a2z^{-2} + \dots).$$

The return value shall be the successive values of the signal given by the application of this filter to the signal given by **input** in successive calls to **iir**. It is a run-time error if this application produces out-of-range values (that is, if the filter is unstable).

NOTE - The **iir** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **iir** is referenced twice in the same a-cycle, the effective filter sampling rate is double that of the orchestra sampling rate.

**5.9.9.11 firt**

```
aopcode firt(asig input, table t[, ksig order])
```

The **firt** core opcode applies a specified FIR filter of arbitrary order, given in a table, to an input signal. The particular method of implementing FIR filters is not specified and left open to implementers.

The values stored in samples 0, 1, 2, ... **order** of table **t** specify a FIR filter

$$H(z) = t[0] + t[1] z^{-1} + t[2] z^{-2} + \dots t[order-1] z^{-order+1}$$

where array notation is used to indicate wavetable samples. If **order** is not given or is greater than the size of the wavetable **t**, then **order** shall be set to the size of the wavetable. It is a run-time error if **order** is zero or negative.

The return values shall be the successive values of the signal given by the application of this filter to the signal given by the value of **input** in successive calls to **firt**.

NOTE - The **firt** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **firt** is referenced twice in the same a-cycle, the effective filter sampling rate is double that of the orchestra sampling rate.

### 5.9.9.12 iirt

```
aopcode iirt(asig input, table a, table b[, ksig order])
```

The **iirt** core opcode applies a specified IIR filter of arbitrary order, given in two tables, to an input signal. The particular method of implementing IIR filters is not specified and left open to implementers.

The values stored in samples 1, 2, ... **order** of table **a** and samples 0, 1, 2, ..., **order** of wavetable **b** specify a IIR filter

$$H(z) = (\mathbf{b}[0] + \mathbf{b}[1]z^{-1} + \mathbf{b}[2]z^{-2} + \dots) / (1 + \mathbf{a}[1]z^{-1} + \mathbf{a}[2]z^{-2} + \dots)$$

where array notation is used to indicate wavetable samples. (Note that sample 0 of wavetable **a** is not used). If **order** is not given or is greater than the size of the larger of the two wavetables, then **order** shall be set to the size of the greater of the two wavetables. If one wavetable is smaller than given by **order**, then the “extra” values shall be taken as zero coefficients. It is a run-time error if **order** is zero or negative.

The return values shall be the successive values of the signal given by the application of this filter to the signal given by the value of **input** in successive calls to **iirt**. It is a run-time error if this application produces out-of-range values (that is, if the filter is unstable).

NOTE - The **iirt** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **iirt** is referenced twice in the same a-cycle, the effective filter sampling rate is double that of the orchestra sampling rate.

## 5.9.10 Spectral analysis

### 5.9.10.1 fft

```
specialop fft(asig input, table re, table im[, ivar len, ivar shift, ivar size, table win])
```

The **fft** core opcode calculates windowed and overlapped DFT frames and places the complex-valued result in two tables. It is a “special opcode”; that is, it accepts values at the audio rate, but only returns then at the control rate.

There are several optional parameters. **len** specifies the length of the sample frame (the number of input samples to use). If **len** is zero or not provided, it is set to the next power of two greater than **SR/KR**, where **SR** is the orchestra sampling rate and **KR** is the orchestra control rate. **shift** specifies the number of samples by which to shift the analysis window. If **shift** is zero or not provided, it is set to **len**. **size** is the length of the DFT calculated by the opcode. If **size** is zero or not provided, it is set to **len**. **win** is the analysis window to apply to the analysis. If **win** is not provided, a boxcar window of length **len** is used.

It is a runtime error if any of the following apply: **len** is negative, **shift** is negative, **size** is negative, **size** is not a power of two, **size** is greater than 8192, **win** has fewer than **len** samples, **re** has fewer than **size** samples, or **im** has fewer than **size** samples.

The calculation of this opcode is as follows. On the first call to the **fft** opcode with respect to a particular state, a holding buffer of length **len** is created, and the first (**len - shift**) buffer samples are initialized with zeros. On each a-rate call to the opcode, the **input** sample is inserted into the next uninitialized buffer sample position. When all **len** samples in the buffer are initialized, the following steps are performed:

1. A new buffer is created of length **size**, for which each value **new[i]** is set to the value **buf[i] \* win[i]**, where **new[i]** is the *i*th value of the new buffer, **buf[i]** is the value of the holding buffer, and **win[i]** is the value of the *i*th sample in the analysis-window wavetable. (The new buffer contains the pointwise product of the holding buffer and the analysis window). If **size > len**, then the values of **new[i]** for *i > len* are set to zero. If **size < len**, then only the first **size** values of the holding buffer are used.
2. The first **shift** samples are removed from the holding buffer and the remaining **len-shift** samples shifted to the front of the holding buffer. The **shift** samples at the end of the buffer after this shift are set to zero. If **shift > len**, the holding buffer is cleared.
3. The real DFT of the new buffer is calculated, resulting in a length-**size** complex vector of frequency-domain values. The real components of the DFT are placed in the first **size** samples of table **re**; the imaginary components of the DFT are placed in the first **size** samples of table **im**. The DFT is arranged such that the lowest frequencies, starting with DC, are at the zero point of the output tables, going up to the Nyquist frequency at **size/2**; the reflection of the spectrum from the Nyquist to the sampling frequency is placed in the second half of the tables.

The DFT is defined as

$$d[i] = \frac{\sum_{k=0}^{size-1} e^{-ijk2\pi / size} new[k]}{\sqrt{size}}$$

where **d[i]** are the resulting complex components of the DFT,  $0 < i < size$ ;  
**new[k]** are the input samples,  $0 < k < size$ ;  
and **j** is the square root of -1.

The return value on a particular k-cycle is 1 if a DFT was calculated since the last k-cycle, or 0 if one was not.

The **fft** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **fft** is referenced twice in the same a-cycle, then the effective FFT period is half as long as given by **len** and **shift**.

### 5.9.10.2 ifft

```
aopcode ifft(table re, table im[, ivar len, ivar shift, ivar size, table win])
```

The **ifft** core opcode calculates windowed and overlapped IDFTs and streams the result out as audio. **re** and **im** are wavetables that contain the real and imaginary parts of a DFT, respectively. There are several optional arguments that control the synthesis procedure. **len** is the number of output samples to use as audio; if **len** is zero or not given, it is taken as the next power of two greater than **SR/KR**, where **SR** is the orchestra sampling rate and **KR** is the orchestra control rate. **shift** is the number of samples by which the analysis window is shifted between frames; if **shift** is not given or is zero, it is taken as **len**. **size** is the size of the IDFT; if **size** is not given or is zero, it is taken as **len**. **win** is the synthesis window; if it is not given, a boxcar window of length **len** is assumed.

It is a run-time error if any of the following apply: **re** or **im** are shorter than length **size**, **win**, if given, is shorter than length **len**, **size** is not a power of two, **size** is greater than 8192, or **len**, **shift**, or **size** are negative.

The calculation for this opcode is as follows. On the first call to **ifft** with respect to a particular state, the size **size** IDFT of the tables **re** and **im** is calculated. If **re** and/or **im** are longer than **size** samples, only the first **size** samples of these tables shall be used. The result of this IDFT is a sequence of **size** values, potentially complex-valued. The real components of the first **len** elements of this sequence are multiplied point-by-point by the corresponding samples of the window **win** and placed in an output buffer of length **len**. (**out[i] = seq[i] \* win[i]** for  $0 < i < len$ ).

The IDFT is calculated with the assumption that the lowest-numbered elements of the tables **re** and **im** are the lowest frequencies of the audio signal, beginning with DC in sample 0, proceeding up to the Nyquist frequency in sample **size/2**, and then the reflected spectrum in samples **size/2** up to **size-1**.

The IDFT is defined as

$$seq[i] = \frac{\sum_{k=0}^{size-1} e^{ijk2\pi / size} d[k]}{\sqrt{size}}$$

where **d[i]** are the complex frequency components of the DFT,  $0 < i < \mathbf{size}$  (**d[i] = re[i] + j im[i]**)  
**seq[k]** are the output samples,  $0 < k < \mathbf{size}$ ;  
 and **j** is the square root of  $-1$ .

Also on the first call to **ifft** with respect to a particular state, the output point of the synthesis is set to 0.

At each call to **ifft**, the following calculation is performed. The output value of the opcode is the value of the output buffer at the output point. Then, the output point is incremented. If the output point is thereby equal to **shift**, then the following steps shall be performed:

1. The first **shift** samples of the output buffer are discarded, the remaining **len-shift** samples of the output buffer are shifted into the beginning of the buffer, and the last **shift** samples are set to 0.
2. The IDFT of the current values of the **re** and **im** wavetables is calculated as described above. The first **len** values of the real part of the resulting audio sequence are multiplied point-by-point by the synthesis window **win**, and the result is added point-by-point to the output buffer (**out[i] = out[i] + seq[i] \* win[i] \* shift / len** for  $0 < i < \mathbf{len}$ ).
3. The output point is set to 0.

NOTE - The **ifft** opcode shall not have a “proper” representation of table, but shall infer it from the number of calls. If the same state of **ifft** is referenced twice in the same a-cycle, the result is undefined.

#### EXAMPLE

The **ifft** and **fft** opcodes can be used together to write instruments that use FFT-based spectral modification techniques, with logical syntax at the instrument level, in which the FFT frame rate is asynchronous with the control rate. The structure of such an instrument is:

```
instr spec_mod() {
  asig out;
  ksig new_fft;
  ivar length;
  table re_original(empty, 1024);
  table im_original(empty, 1024);
  table re_modified(empty, 1024);
  table im_modified(empty, 1024);

  length = 256;
  // no windowing; place FFT in "original"
  new_fft = fft(input, re_original, im_original, 1024, length);
  if (new_fft) { // read original tables, generate modified values,
                // and place these values into modified tables.
    .
    .
    .
  }

  // and output IFFT
  out = ifft(re_modified, im_modified, 1024, length);
  output(out);
}
```

Thus, every 256 samples (assuming 256 is greater than the number of samples in the control period), we compute the 1024-point IFFT. On those k-cycles during which we compute the FFT, we modify the table values in some

interesting way. The IFFT operator produces continuous output, where every 256 samples the new table data is inspected and the IFFT calculated

There is nothing preventing us from manipulating the table data every control period, but only those values present in the table at the IFFT times will actually be turned into sound.

FFTs and IFFTs do not need to be implemented in pairs; other methods (such as table calculations) can be used to generate spectra to be turned into sound with IFFT, or rudimentary audio-pattern-recognition tools can be constructed that compute functions of the FFT and return or export the results.

### 5.9.11 Gain control

#### 5.9.11.1 rms

`specialop rms(asig x[, ivar length])`

The **rms** core opcode calculates the power in a signal. It is a “special opcode”; that is, it accepts values at the audio rate, but only returns them at the k-rate.

If **length** is not provided, it shall be set to the length of the control period. It is a run-time error if **length** is provided and is not strictly positive. The **length** parameter is specified in seconds.

The return value is calculated as follows. Let  $l$  be the value  $\text{floor}(\text{length} * \text{SR})$ , where **SR** is the orchestra sampling rate. A buffer  $b[]$ , of length  $l$ , is maintained of the most recent values provided as the **x** parameter. Each control period, the RMS of these values is calculated as

$$p = \sqrt{\frac{\sum_{i=0}^{l-1} b[i]^2}{l}}$$

and the return value is  $p$ .

NOTE - The **rms** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **rms** is referenced twice in the same a-cycle, then the effective length is half as long as given by **length**.

#### 5.9.11.2 gain

`aopcode gain(asig x, ksig gain[, ivar length])`

The **gain** core opcode attenuates or increases the amplitude of a signal to make its power equal to a specified power level.

If **length** is not provided, it shall be set to the length of the control period. It is a run-time error if **length** is provided and is not strictly positive. The **length** parameter is specified in seconds.

The return value is calculated as follows. Let  $l$  be the value  $\text{floor}(\text{length} * \text{SR})$ , where **SR** is the orchestra sampling rate.

At the first call to the opcode, the attenuation level is set to 1. At each subsequent call, the input value **x** shall be stored in a buffer  $b[]$  of length  $l$ . When the buffer is full, the attenuation level is recalculated as

$$\text{gain} / \sqrt{\frac{\sum_{i=0}^{l-1} b[i]^2}{l}}$$

and the buffer is cleared.

The return value at each call is  $\mathbf{x} * \mathbf{A}$ , where  $\mathbf{A}$  is the current attenuation level.

NOTE - The **gain** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **gain** is referenced twice in the same a-cycle, then the effective buffer length is half as long as given by **length**.

### 5.9.11.3 balance

```
aopcode balance(asig x, asig ref[, ivar length])
```

The **balance** core opcode attenuates or increases the amplitude of a signal to make its power equal to the power in a reference signal.

If **length** is not provided, it shall be set to the length of the control period. It is a run-time error if **length** is provided and is not strictly positive. The **length** parameter is specified in seconds.

The return value is calculated as follows. Let  $l$  be the value  $\text{floor}(\mathbf{length} * \mathbf{SR})$ , where **SR**, is the orchestra sampling rate.

At the first call to the opcode, the attenuation level is set to 1. At each subsequent call, the input value  $\mathbf{x}$  shall be stored in a buffer  $\mathbf{b}[]$  of length  $l$ , and the input value **ref** stored in a buffer  $\mathbf{r}[]$  of length  $l$ . When the buffers are full, the attenuation level is recalculated as

$$\sqrt{\frac{\sum_{i=0}^{l-1} r[i]^2}{l}} / \sqrt{\frac{\sum_{i=0}^{l-1} b[i]^2}{l}}$$

and the buffers are cleared.

The return value at each call is  $\mathbf{x} * \mathbf{A}$ , where  $\mathbf{A}$  is the current attenuation level.

NOTE - The **balance** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **balance** is referenced twice in the same a-cycle, then the effective buffer length is half as long as given by **length**.

### 5.9.11.4 compressor

```
aopcode compressor (asig x, asig comp, ksig nfloor, ksig thresh, ksig loknee, ksig hiknee,
                    ksig ratio, ksig att, ksig rel, ivar look)
```

The **compressor** core opcode functions as an audio compressor, limiter, expander, or noise gate, using either soft-knee or hard-knee mapping, and with dynamically variable performance characteristics. It takes two audio-rate input signals,  $\mathbf{x}$  and **comp**, the first of which is modified by a running analysis of the second. Both signals may be the same, or the first can be modified by a different controlling signal.

It is a run-time error if any of the following conditions apply: **thresh** < **nfloor**, **loknee** < **thresh**, **hiknee** < **loknee**, **ratio** is equal to or less than 0, **look** is negative, **att** is negative, or **rel** is negative.

**compressor** first examines the controlling signal **comp** by performing envelope detection. This is directed by two control values **att**, **rel**, and an initialisation value **look**, defining the attack, release, and look-ahead times (in seconds) of the envelope detector.

**look** is the look-ahead time (in seconds) of the envelope detector. This determines how far ahead the detector looks for a new peak in a decaying signal. If a new peak is found, the release envelope is adjusted to interpolate between the current and future peaks.

**att** and **rel** are the attack and release times of the envelope detector (in seconds). They define the time it takes the envelope to reach a detected peak value (for **att**) and to reach zero (for **rel**).

**nfloor** will set the absolute decibel floor for the system. It defines the minimum value to which the compressor is potentially reactive. For instance, if **nfloor** is 0, according to the decibel scale of SAOL, the minimum recognized absolute value for input will be  $10^{-90/20}$ , if **nfloor** is -10 the minimum recognized absolute value will be  $10^{-(90+10)/20}$ , and so on.

The envelope estimate is converted to decibels, then passed through a mapping function (see Figure 5.3) to determine what compressor action (if any) shall be taken. The mapping function is defined by four regions: the zero region, the 1:1 (no change) region, the knee, and the compression/expansion region.

The locations of these regions are defined by the decibel control values, **thresh**, **loknee**, **hiknee**, and **ratio**.

**thresh** is the minimum decibel level that will be allowed through. For a noise gate, this value will be greater than **nfloor**.

**loknee** and **hiknee** are the decibel values that define where compression or expansion will begin. These set the boundaries of a soft-knee curve joining the low-amplitude 1:1 line and the higher-amplitude compression/expansion line. If the two breakpoints are equal, a hard-knee mapping will result.

**ratio**, given in dB, defines compression above the knee. It defines the change in input power required for a 1dB change in output power. **ratio** values above 1 dB result in compression, with higher values yielding greater compression. Numbers between 0 and 1 result in expansion. For example, a **ratio** value of 3dB implies a compression (a 3dB change in input power produces a 1dB change in output power) while a **ratio** value of 0.5dB implies an expansion (a 0.5dB change in input power produces a 1dB change in output power).

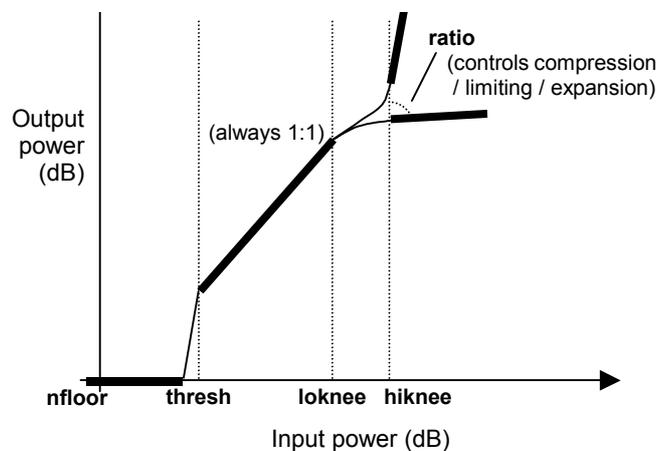


Figure 5.3 — Compressor characteristic function

The compression opcode parameters **nfloor**, **thresh**, **loknee**, and **hiknee** are defined on the decibel scale defined in subclause 5.9.4.4 for the **dbamp** core opcode. In this space, a waveform value of 1.0 maps to a decibel value of 90dB, a waveform value of 0.1 maps to 70dB, etc. Note that negative decibel values are permitted.

The actions of **compressor()** will depend on the parameter settings given. For instance, a hard-knee compressor is obtained from equal-value **loknee** and **hiknee** break-points. A noise-gate plus expander is obtained from some positive **thresh**, and a fractional **ratio** above the knee. A voice-activated music compressor (ducker) will result from feeding the music into **x** and the speech into **comp**. A voice de-esser will result from feeding the voice into both, with the **comp** version being preceded by a band-pass filter that emphasises the sibilants.

At initialisation, space shall be allocated for two buffers **xdly** and **compdly**. The length in samples of these buffers will be  $SR * look$ , where  $SR$  is the orchestra sampling rate. The initial values of both buffers will be set to zero.

Space is allocated for the following variables:

**gain**, the amplitude multiplier to be applied, initialised to 1.

**change**, the estimated change in envelope from sample to sample, initialised to 0.

**comp1**, the value of the current value of **comp** in dB, initialised to 0.

**comp2**, the delayed value of **comp** (from **compdelay**) in dB, initialised to zero.

**env**, the current envelope estimate, initialised to 0.

**projEnv**, the projected envelope value at the look-ahead point, initialised to 0.

At each a-rate call to **compressor()**, the following happens:

1. The sample **x** is placed into the beginning of the buffer **xdly**, pushing all values down and the oldest value off the end. The value pushed off the end is saved as **oldval**.
2. The next envelope value is calculated as follows:

$abs(comp)$  is converted to decibels. This value is called **comp1** with

$$comp1 = 90 + 20 * \log_{10}(abs(comp)).$$

It is put into the end of the buffer **compdly**. The value **comp2** is taken from the beginning of the buffer **compdly**.

if (**comp2** > **env**)

$$change = (comp2 - env) / (SR * att);$$

else {

$$projEnv = \max(change * SR * look, nfloor)$$

if ((**comp1** > **projEnv**) && (**comp2** > **comp1**))

$$change = (comp1 - comp2) / (SR * rel);$$

else

$$change = 0;$$

}

**env** = max(**env** + **change**, **nfloor**);

3. The amplitude multiplier **gain** is calculated as follows:

if (**env** < **thresh**)

**gain** shall first decrease monotonically from 1 to 0 and then stay at 0, moving from **thresh** towards **nfloor**, to create a noise gate. The exact input-output curve in this interval is not specified; it is left to the implementation. Implementations may also include temporal behavior in this regime to reduce onset and offset signal distortion.

else {

if (**env** <= **loknee**)

**gain** = 1;

else if (**env** >= **hiknee**)

**gain** shall be calculated so that, above **hiknee**, an increase of **ratio** dB in input power must result in an increase of 1dB in output power. The decibel input/output curve shall be continuous at **hiknee** with either the soft or the hard knee curve.

else

**gain** shall be interpolated smoothly between the **loknee** and **hiknee** points to create a soft-knee input-output (decibel) curve. This curve shall be monotonically increasing, and have continuous derivative equal to 1 at **loknee** and 1/**ratio** at **hiknee**. The exact input-output curve in this interval is not specified; it is left to the implementation.

}

4. The output value of the opcode shall be **oldval** multiplied by **gain**.

## 5.9.12 Sample conversion

### 5.9.12.1 decimate

specialop decimate(asig input)

The **decimate** core opcode decimates a signal from the audio rate to the control rate. It is a “special opcode”; that is, it accepts values at the audio rate, but only returns them at the k-rate.

The return value is calculated as follows. Each k-cycle, one of the values given as **input** in the preceding k-period of a-samples shall be returned.

NOTE - The **decimate** opcode is not required to have a “proper” representation of time, but is allowed to infer it from the number of calls. If the same state of **decimate** is referenced twice in the same a-cycle, then the return value for each call at the subsequent k-cycle may be taken from any of the values provided to the state during the preceding k-period.

#### EXAMPLE

```
oparray decimate[2];
ksig a,b,c;
```

```
a = decimate[0] (1);
b = decimate[0] (0);
c = decimate[1] (2);
```

The value of **a** and **b** at each k-cycle shall be either 0 or 1, in an implementation-dependant manner. The value of **c** shall be 2.

### 5.9.12.2 upsamp

```
asig upsamp(ksig input[, table win])
```

The **upsamp** core opcode upsamples a control signal to an audio signal. **win** is an optional interpolation window. If **win** is not provided, it is taken to be a boxcar window (all values equal 1) of length **SR / KR**, where **SR** is the orchestra sampling rate and **KR** is the orchestra control rate. If **win** is provided and is shorter than **SR / KR** samples, it is zero-padded at the end to length **SR/KR** for use in this opcode (the table itself is not changed).

On the first call to **upsamp** with regard to a particular state, an output buffer of length **win** is created and set to zero. Also, the *output point* is set to 0.

On the first call to **upsamp** in a particular k-cycle with regard to a particular cycle, the output buffer is shifted by **SR / KR** samples: the first **SR / KR** samples are discarded, the remaining samples are shifted to the front of the output buffer, and the last **SR / KR** samples are set to 0. Then, the window function is scaled by **input** and added into the output buffer ( $\text{buf}[i] = \text{buf}[i] + \text{input} * \text{win}[i]$ ,  $0 < i < \text{length}(\text{win})$ ). Then, the output point is set to 0.

On the first call and each subsequent call to **upsamp**, the return value is the value of the output buffer at the current output point. Then, the output point shall be incremented.

It is a run-time error if the same state of **upsamp** is referenced more times than the length of **win** in a single k-cycle.

### 5.9.12.3 downsamp

```
specialop downsamp(asig input[, table win])
```

The **downsamp** core opcode downsamples an audio signal to a control signal. It is a “special opcode”; that is, it accepts samples at the audio rate but only returns values at the control rate. **win** is an optional analysis window.

It is a run-time error if **win** is shorter than **SR / KR** samples, where **SR** is the orchestra sampling rate and **KR** is the orchestra control rate.

The return value is calculated as follows: at each k-cycle, the values of each sample of **input** provided in the previous a-cycle are placed in a buffer. If **win** is not provided, then the return value is the mean of the samples in the buffer. If **win** is provided, then the return value is calculated by multiplying the analysis window point-by-point with the input signal ( $\text{rtn} = \sum \text{input}[i] * \text{win}[i]$  for  $0 < i < \text{SR}/\text{KR}$ , where **SR** is the orchestra sampling rate and **KR** is the orchestra control rate).

NOTE - The **decimate** opcode does not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **decimate** is referenced twice in the same a-cycle, then the return value is calculated from the input values in the second half of the k-cycle.

### 5.9.12.4 samphold

```
opcode samphold(xsig input, ksig gate)
```

The **samphold** core opcode gates a signal with a control signal.

The return value is calculated as follows. On the first call to **samphold** with regard to a particular state, the last passed value is set to 0. If the value of **gate** is non-zero, then the last passed value is set to **input**. The last passed value is returned.

**5.9.12.5 sblock**

`specialop sblock(asig x, table t)`

The **sblock** core opcode creates control-rate blocks of samples and places them in a wavetable. It is a “special opcode”; that is, it accepts values at the audio rate, but only returns them at the k-rate.

It is a run-time error if the table **t** is not allocated with as much space as there are samples in the control period of the orchestra.

The return value of this opcode is always 0.

This opcode has side effects, as follows. Let *k* be the number of samples in a control period. At each k-cycle, the most recent *k* values of **x** are placed in table **t** such that the oldest value is placed in sample 0.

NOTE - The **sblock** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **sblock** is referenced twice in the same a-cycle, then the samples placed in the table shall be the interleaved values given in the two calls during the second half of the k-period.

**5.9.13 Delays**

**5.9.13.1 delay**

`aopcode delay(asig x, ivar t)`

The **delay** opcode implements a fixed-length end-to-end (i.e., untapped) delay line. **t** gives the length of the delay line in seconds. It is a run-time error if **t** < 0, unless the terminal is running in a negative-time universe.

Let *y* be floor(**t** \* **SR**) samples, where **SR** is the orchestra sampling rate. At each call to **delay** with respect to a particular opcode state, the value of **x** is inserted into a FIFO buffer of length *y*. The return value is the value that was inserted into the delay line *y* calls ago to **delay** with regard to the same state.

NOTE - The **delay** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **delay** is referenced twice in the same a-cycle, then the effective delay line is half as long as given by **t**.

**5.9.13.2 delay1**

`aopcode delay1(asig x)`

The **delay1** opcode implements a single-sample delay.

At each call to **delay1** with regard to a particular state, the value of **x** is stored, and the return value is the value stored on the previous call.

NOTE - The **delay1** opcode shall not have a “proper” representation of time, but shall infer it from the number of calls. If the same state of **delay1** is referenced twice in the same a-cycle, then the return value for the second call is the parameter value of the first.

**5.9.13.3 fracdelay**

`aopcode fracdelay(ksig method[, xsig p1, xsig p2])`

The **fracdelay** core opcode implements fractional, variable-length, and/or multitap delay lines. Several methods for manipulating the delay line are provided; in this way, **fracdelay** is like an object-oriented delay-line “class”.

The semantics of **p1** and **p2** and the calculation of the return value differ depending on the value of **method**. It is a run-time error if **method** is less than 1 or greater than 5.

If **method** is 1, the “initialise” method is specified. In this case, **p1** is the length of the delay line in seconds. It is a run-time error if **p1** is not provided, or is less than 0. Any currently existing delay line in this opcode state shall be destroyed, a new delay line with the specified length ( $\text{floor}(\mathbf{p1} * \mathbf{SR})$ , where **SR** is the orchestra sampling rate) shall be created, and all values on this delay line shall be initialised to 0. The return value is 0. **p2** is not used, and is ignored if provided.

If **method** is 2, the “tap” method is specified. In this case, **p1** is the position of the tap in seconds. It is a run-time error if method 1 has not yet been called for this opcode state, or if **p1** is not provided, or if **p1** is less than 0, or if **p1** is greater than the most recent initialisation length. The return value is the current value of the delay line at position  $\mathbf{p1} * \mathbf{SR}$ , where **SR** is the orchestra sampling rate. If  $\mathbf{p1} * \mathbf{SR}$  is not an integer, the return value shall be interpolated from the nearby values, as described by the global interpolation-quality parameter (subclause 5.8.5.2.5). **p2** is not used, and is ignored if provided.

If **method** is 3, the “set” method is specified. In this case, **p1** is the position of the insertion in seconds, and **p2** is the value to insert. It is a run-time error if method 1 has not yet been called for this opcode state, or if **p1** is not provided, or if **p1** is less than 0, or if **p1** is greater than the most recent initialisation length, or if **p2** is not provided. The value of the delay line at position  $\text{floor}(\mathbf{p1} * \mathbf{SR})$ , where **SR** is the orchestra sampling rate, is updated to **p2**. The return value is 0.

If **method** is 4, the “add into” method is specified. In this case, **p1** is the position of the insertion in seconds, and **p2** is the value to add in. It is a run-time error if method 1 has not yet been called for this opcode state, or if **p2** is not provided. Let *x* be the current value of the delay line at position  $\text{floor}(\mathbf{p1} * \mathbf{SR})$ , where **SR** is the orchestra sampling rate; then, the value of the delay line at this position is updated to  $x + \mathbf{p2}$ . The return value is  $x + \mathbf{p2}$ .

If **method** is 5, the “shift” method is specified. It is a run-time error if method 1 has not yet been called for this opcode state. All values of the delay line are shifted forward by one sample; that is, for each sample *x* where  $0 < x \leq L$ , where *L* is the length of the delay line, the new value of sample *x* of the delay line is the current value of sample *x* – 1. Sample 0 is set to value 0. The return value is the value shifted “off the end” of the delay line, that is the current value of sample *L*. **p1** and **p2** are not used, and are ignored if provided.

## EXAMPLE

The following user-defined opcode implements the block diagram in Figure 5.4. We assume that the orchestra sampling rate is 10 Hz for clarity.

```
aopcode example(asig a) {
  asig t1, t2, t3, x, first;
  oparray fracdelay[1];

  if (!itime) {
    fracdelay[0](1,1);      // initialise to 1 sec long
  }

  // flow network
  fracdelay[0](3,0,a);     // insert a at beginning
  t1 = fracdelay[0](2,0);  // tap at 0
  t2 = fracdelay[0](2,0.3); // tap at 0.3
  t3 = fracdelay[0](2,0.5); // tap at 0.5
  fracdelay[0](4,0.1,t3);  // feedback
  fracdelay[0](4,0.8,t1+t2); // feedforward
  x = fracdelay[0](5);     // shift and get output
  return(x);
}
```

Notice the use of the oparray construction (subclause 5.8.6.7.7) to implement this network. If an oparray is not used, then each call to **fracdelay** refers to a different delay line, and the algorithm makes no sense. Also note that **fracdelay**, unlike **delay**, does not shift automatically. For “typical” operations, method 5 should be called once per a-cycle.

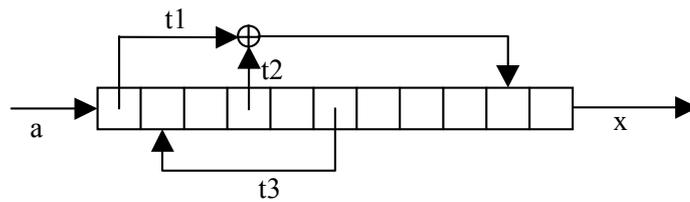


Figure 5.4 — Block diagram for ‘fracdelay’ example

## 5.9.14 Effects

### 5.9.14.1 reverb

```
aopcode reverb(asig x, ivar f0[, ivar r0, ivar f1, ivar r1, ivar ...])
```

The **reverb** core opcode produces a reverberation effect according to the given parameters.

It is a run-time error if any **f** or **r** value is negative, or if there are an even number of parameters greater than 2.

If only one value **f0** is given as an argument, it is taken as a full-range reverberation time, that is, the amount of time delay until the sound amplitude is attenuated 60 dB compared to the source sound (RT60).

If more values are given, the **f** – **r** pairs represent responses at different frequencies. At each frequency **f** given as a parameter, the reverberation time (RT60) at that frequency is given by the corresponding **r** value.

The exact method of calculating the reverberation according to the specified parameters is not normative. If content authors wish to have exactly normative reverberations, they can easily be authored using the **comb**, **allpass**, **biquad**, **delay**, **fracdelay**, and other strictly normative core opcodes (q.v.).

The output shall be the reverberated sound signal.

### 5.9.14.2 chorus

```
asig chorus(asig x, ksig rate, ksig depth)
```

The **chorus** core opcode creates a sound with a chorusing effect, with rate **rate** and depth **depth**, from the input sound **x**. **rate** is specified in cycles per second; **depth** is specified as percent excursion with  $0 \leq \text{depth} \leq 100$ . It is a run-time error if **depth** < 0 or **depth** > 100.

The exact method of chorusing is non-normative and left open to implementers.

### 5.9.14.3 flange

```
asig flange(asig x, ksig rate, ksig depth)
```

The **flange** core opcode creates a sound with a flanged effect, with rate **rate** and depth **depth**, from the input sound **x**. **rate** is specified in cycles per second; **depth** is specified as percent excursion with  $0 \leq \text{depth} \leq 100$ . It is a run-time error if **depth** < 0 or **depth** > 100.

The exact method of flanging is non-normative and left open to implementers.

### 5.9.14.4 fx\_speedc

```
kopcode fx_speedc(ivar speed_control_factor)
```

The **fx\_speedc** core opcode creates a sound with a speed change effect. This core opcode is only available in effects-processing orchestras (see subclause 5.15.3.5); it may not be used in the SAOL block of a Structured Audio bitstream.

The **fx\_speedc** core opcode directly accesses the special bus **input\_bus** (at ksmps per 1/k-rate) and performs a speed change at k-rate. Then the processed samples are once stored in a special buffer defined only for the speed control and are output as the signal at a rate of  $k/\text{speed\_control\_factor}$ , where  $k = \text{SR}/\text{KR}$ , SR is the orchestra sampling rate and KR is the orchestra control rate.

This indicates that the number of the input samples and that of the output samples are different when the sampling frequency is unchanged and the **fx\_speedc** core opcode shall be operated virtually at a rate of **speed\_control\_factor**\* KR in order to keep the proper output sample-rate of k per control cycle. Therefore the input samples shall be also provided to the decoder at a rate of k per 1/ **speed\_control\_factor** \* KR according to the **speed\_control\_factor** desired.

NOTE - This functionality is not supported for streaming transfer in ISO/IEC 14496-1 (MPEG-4 Systems). It is not therefore possible to apply the speed change to streaming media and the use is limited to applications such as storage media in which streaming data transfer is not required.

The exact method of speed change is non-normative and left open to implementers. Implementers are encouraged to provide the highest-quality speed change possible. As an example algorithm, the PICOLA speed change tool is described in Annex 5.D.

#### 5.9.14.5 speedt

```
iopcode speedt(table in, table out, ivar factor)
```

The **speedt** core opcode modifies a sound sample via time-scaling.

The **speedt** core opcode fills the sound sample in the wavetable **out** with a sound derived from the wavetable **in** by time-stretching without modifying the pitch. If **factor** < 1, the sound is compressed (accelerated) by the indicated factor; if **factor** > 1, the sound is expanded (slowed down) by the indicated factor. It is a run-time error if **factor** is not strictly positive, or if the wavetable **out** is not at least as long as **factor** multiplied by the length of the wavetable **in**.

The exact method of speed change is non-normative and left open to implementers. Implementers are encouraged to provide the highest-quality speed change possible. As an example algorithm, the PICOLA speed change tool is described in Annex 5.D.

### 5.9.15 Tempo functions

#### 5.9.15.1 gettempo

```
opcode gettempo([xsig dummy])
```

The **gettempo** core opcode returns the value in beats-per-minute of the current orchestra global tempo. The tempo by default is 60 beats per minute, but can be changed through the use of the **tempo** score line (subclause 5.11.5) or the **settempo** core opcode (subclause 5.9.15.2).

The **dummy** parameter is used to specify the rate of the opcode call if desired; see subclause 5.8.7.7.2.

#### 5.9.15.2 settempo

```
kopcode settempo(ksig x)
```

The **settempo** core opcode changes the value of the global orchestra tempo. The parameter **x** specifies the new tempo in beats-per-minute. It is a run-time error if **x** is not strictly positive. The return value is **x**.

This opcode has side-effects, as follows. All pending events are rescheduled as described in subclause 5.7.3.3.6, list item 7. The global orchestra tempo is set to **x**.

## 5.10 SAOL core wavetable generators

### 5.10.1 Introduction

This subclause describes each of the core wavetable generators in SAOL. All core wavetable generators shall be implemented in every terminal that can decode Object type 3 or 4.

For each core wavetable generator, the following is described:

- A usage description, showing the parameters that are required to be provided in a table definition utilising this core wavetable generator.
- The normative semantics of the generator. These semantics describe how to calculate values and place them in the wavetable for each table definition using this generator.

For each core wavetable generator, the first field in the table definition is the name of the generator, and the value of the expression in the second field is the size of the wavetable. Many wavetable generators also allow the value  $-1$  in this field to signify dynamic calculation of the wavetable size. If the size is not  $-1$ , and is also not strictly greater than zero, then the syntax of the generator call is illegal. In each case, the **size** parameter shall be rounded to the nearest integer before evaluating the semantics as described below.

The subsequent expressions are the required and optional parameters to the generator. For ease of exposition, each of these parameter fields will be given a name in the description of the generators, but there is no normative significance to these names. Parameter fields enclosed in brackets are optional and may or may not occur in a table definition using that generator.

Each wavetable, as well as a block of data, has four parameters associated with it: the sampling rate loop start, loop end, and base frequency. For all wavetable generators except **sample**, these parameters shall be set to zero initially.

### 5.10.2 Sample

```
t1 table(sample, size, which[, skip])
```

The **sample** core wavetable generator allows the inclusion of audio samples (or other blocks of data) in the bitstream and subsequent access in the orchestra.

If **size** is  $-1$ , then the size of the table shall be the length of the audio sample. If **size** is given, and larger than the length of the audio sample, then the audio sample shall be zero-padded at the end to length **size**. If **size** is given, and smaller than the length of the audio sample, only the first **size** samples shall be used.

The **which** field identifies a sample. It is either a symbol, in which case the generator refers to a sample in the bitstream, by symbol number; or a number, in which case the generator refers to a sample stored as an **AudioBuffer** in the BIFS scene graph (ISO/IEC 14496-1 subclause 9.4.2.4).

In the case where the generator refers to a sample in the bitstream, for compliant bitstream implementations, the sample data is simply a stream of raw floating-point values. The most recent sample block of data with the given name (see subclause 5.5.2) shall be placed in the wavetable. If the bitstream sample data block contains sampling rate, loop start, loop end, and/or base frequency values, these parameters of the wavetable shall be set accordingly. If the sampling rate is not provided, it shall be set to the orchestra sampling rate by default. Any other parameters not so provided shall be set to 0.

In the case where the generator refers to a sample stored as an **AudioBuffer**, any audio coder described in ISO/IEC 14496-3 may be used to compress samples. The **children** fields of the **AudioSource** node responsible for instantiation of this orchestra refer to **AudioBuffer** nodes in this case. Each **AudioBuffer** contains, after buffering as described in ISO/IEC 14496-1 subclause 9.2.2.13, several channels of audio data. If the first child has  $n_0$  channels, the second  $n_1$  channels, and so forth up to child  $k-1$ , then this **AudioSource** node has  $K = n_0 + n_1 + \dots + n_{k-1}$  channels in all, and **which** shall be a value between 0 and  $K-1$ . Channel **which** (where **which** is rounded to

the nearest integer if necessary), numbering in order across children and their channels, shall be placed in the wavetable. The sampling rate of the wavetable shall be set to the sampling rate of the **AudioBuffer** node from which channel **which** is taken. The loop start, loop end, and base frequency values shall be set to 0.

If the selected **AudioBuffer** node is not finished capturing data when the generator is executed (that is, the generator is executed less than **length** seconds after the **length** field of the **AudioBuffer** is set or changed), then the bitstream is in error. That is, this form of this generator shall only be used in cases where there is time allotted in the bitstream for the other decoders to produce samples (in real-time) before the generator executes. This is likely done by including the table generator in a score line scheduled to execute after the Composition Time (see ISO/IEC 14496-1 subclause 7.3.5) of the last audio Access Unit needed in the **AudioBuffer** node.

For standalone systems such as authoring tools, implementers are encouraged to provide access to other audio file formats and disk file access using this field (for example, to allow a filename as a string constant here). However, the only normative behaviours are those described in this subclause.

If **skip** is provided and is a positive value, it is rounded to the nearest integer, and the data placed in the wavetable begins with sample **skip**+1 of the bitstream or **AudioBuffer** sample data.

### 5.10.3 Data

```
t1 table(data, size, p1, p2, p3, ...)
```

The **data** core wavetable generator allows the orchestra to place data values directly into a wavetable.

If **size** is  $-1$ , then the size of the table shall be the number of data values specified. If **size** is given, and larger than the number of data values, then the wavetable shall be zero-padded at the end to length **size**. If **size** is given, and smaller than the number of data values, then only the first **size** values shall be used.

The **p1**, **p2**, **p3** ... fields are floating-point values that shall be placed in the wavetable

### 5.10.4 Random

```
t1 table(random, size, dist, p1[, p2])
```

The **random** core wavetable generator fills a wavetable with pseudo-random numbers according to a given distribution. For all pseudo-random number generation algorithms, they shall be reseeded upon orchestra start-up such that each execution of an orchestra containing these instructions generates different numbers.

If **size** is  $-1$ , the generator is illegal and a run-time error generated. If the **size** field is a positive value, then this shall be the length of the table, and this many independent random numbers shall be computed to place in the table.

The **dist** field specifies which random distribution to use, and the meanings of the **p1** and **p2** fields vary accordingly.

If **dist** is 1, then a uniform distribution is used. Pseudo-random numbers are computed such that all floating-point values between **p1** and **p2** inclusive have equal probability of being chosen for any sample.

If **dist** is 2, then a linearly ramped distribution is used. Pseudo-random numbers are computed such that the probability distribution function of choosing  $x$  for any sample is given by

$$p(x) = \begin{cases} 0 & \text{if } x \leq \mathbf{p1} \text{ or } x > \mathbf{p2}, \text{ or} \\ \text{abs}(2 / (\mathbf{p2} - \mathbf{p1}) \times [(x - \mathbf{p1}) / (\mathbf{p2} - \mathbf{p1})]) & \text{otherwise.} \end{cases}$$

A run-time error is generated if **dist** is 2 and **p1** = **p2**.

If **dist** is 3, then an exponential distribution is used. Pseudo-random numbers are computed such that the probability distribution function of choosing  $x$  for any sample is

$$p(x) = \begin{cases} 0 & \text{if } x \leq 0, \text{ or} \\ k \exp(-kx), \text{ where } k = 1 / \mathbf{p1}, & \text{otherwise.} \end{cases}$$

If **dist** is 3, then **p2** is not used and is ignored if it is provided.

If **dist** is 4, then a Gaussian distribution is used. Pseudo-random numbers are computed such that the probability distribution function of choosing  $x$  for any sample is

$$p(x) = \frac{e^{-(\text{mean}-x)^2/(2\text{var})}}{\sqrt{2\pi \times \text{var}}},$$

that is,  $p(x) \sim N(\mathbf{p1}, \mathbf{p2})$  where **p1** is the mean and **p2** the variance of a normal distribution.

If **dist** is 4, then **p2** shall be strictly greater than 0, otherwise a run-time error is generated.

If **dist** is 5, then a Poisson process is modelled, where the mean number of samples between 1's is given by an exponential distribution with mean **p1**. A pseudo-random value is computed according to  $p(x)$  as given for **dist** = 3 (the exponential distribution), above. This value is rounded to the nearest integer  $y$ . The first  $y$  values of the table (elements 0 through  $y-1$ ) are set to 0, and the next value (element  $y$ ) to 1. Another pseudo-random value is computed as if **dist** =3, and rounded to the nearest integer  $z$ . The next  $z$  values (elements  $y + 1$  through  $y + z$  in the table) are set to 0, and the next value (element  $y + z + 1$ ) to 1. This process is repeated until the table is full through element **size**. The resulting table has length **size** regardless of the values generated in the pseudo-random process; the last element may be a zero or 1.

If **dist** is 5, then **p2** is not used and is ignored if provided.

If **dist** is less than 0 or greater than 5, a run-time error is generated.

### 5.10.5 Step

```
t1 table(step, size, x1, y1, x2, y2, ...)
```

The **step** core wavetable generator allows arbitrary step functions to be placed in a wavetable. The step function is computed from pairs of (**x**, **y**) values.

If **size** is  $-1$ , then the size of the wavetable shall be the size of the largest  $x$ -value parameter. If **size** is larger than the largest  $x$ -value parameter, then the wavetable shall be padded with 0 values at the end to size **size**. If **size** is smaller than the largest  $x$ -value provided, then only the first **size** values shall be computed and used.

It is a run-time error if:

- **x1** is not 0,
- the  $x$ -values are not a non-decreasing sequence, or
- there are an even number of parameters, not counting the **size** parameter.

For the **step** generator, sample values 0 through **x2**-1 shall be set to **y1**, **x2** through **x3**-1 shall be set to **y2**, **x3** through **x4**-1 shall be set to **y3**, and so forth.

### 5.10.6 Lineseg

```
t1 table(lineseg, size, x1, y1, x2, y2, ...)
```

The **lineseg** core wavetable generator allows arbitrary line-segment functions to be placed in a wavetable. The line segment function is computed from pairs of (**x**, **y**) values.

If **size** is  $-1$ , then the size of the wavetable shall be the size of the largest  $x$ -value parameter. If **size** is larger than the largest  $x$ -value parameter, then the wavetable shall be padded with 0 values at the end to size **size**. If **size** is smaller than the largest  $x$ -value provided, then only the first **size** values shall be computed and used.

It is a run-time error if:

- **x1** is not 0,
- the x-values are not a non-decreasing sequence, or
- there are an odd number of parameters, not counting the **size** parameter.

For the **step** generator, sample values for samples

$x$  in the range **x1** through **x2** shall be set to  $y1 + (y2-y1)(x - x1) / (x2 - x1)$ ,

$x$  in the range **x2** through **x3** shall be set to  $y2 + (y3-y2)(x - x2) / (x3 - x2)$ ,

and so forth.

If any two successive x-values are equal, a discontinuous function is generated, and no values shall be calculated for the “range” corresponding to those values.

### 5.10.7 Expseg

t1 table(expseg, size, x1, y1, x2, y2, ...)

The **expseg** core wavetable generator allows arbitrary exponential-segment functions to be placed in a wavetable. The function is computed from pairs of (**x**, **y**) values.

If **size** is  $-1$ , then the size of the wavetable shall be the size of the largest x-value parameter. If **size** is larger than the largest x-value parameter, then the wavetable shall be padded with 0 values at the end to size **size**. If **size** is smaller than the largest x-value provided, then only the first **size** values shall be computed and used.

It is a run-time error if:

- **x1** is not 0,
- the x-values are not a non-decreasing sequence,
- the y-values are not all of the same sign,
- any y-value is equal to 0, or
- there are an odd number of parameters, not counting the **size** parameter.

For the **expseg** generator, sample values for samples

$x$  in the range **x1** through **x2** shall be set to  $y1(y2/y1)^{(x-x1)/(x2-x1)}$ ,

$x$  in the range **x2** through **x3** shall be set to  $y2(y3/y2)^{(x-x2)/(x3-x2)}$ ,

and so forth.

If any two successive x-values are equal, a discontinuous function is generated, and no values shall be calculated for the “range” corresponding to those values.

### 5.10.8 Cubicseg

t1 table(cubicseg, size, infl1, y1, x1, y2, infl2, y3, x2, y4, infl3, y5, ...)

The **cubicseg** core wavetable generator creates a function made up of segments of cubic polynomials. Each segment is specified in terms of endpoints and an inflection point. If, for successive segments, the y-values at the inflection points are between the y-values at the endpoints, then the function is smooth; otherwise, the function is pointy or “comb-like”.

If **size** is  $-1$ , then the size of the wavetable shall be the size of the largest x-value parameter. If **size** is larger than the largest x-value parameter, then the wavetable shall be padded with 0 values at the end to size **size**. If **size** is smaller than the largest x-value provided, then only the first **size** values shall be computed and used.

It is a run-time error if:

- **infl1** is not 0,
- the x-values are not a non-decreasing sequence,
- any infl-value is not strictly between the two surrounding x-values,
- there are less than two x-values, or
- the sequence of control values does not end with an y-value

For the **cubicseg** generator, sample values for samples numbered:

x in the range **infl1** to **infl2** shall be set to  $ax^3 + bx^2 + cx + d$ , where **a**, **b**, **c**, and **d** are the coefficients of a cubic polynomial that passes through (**infl1**,**y1**), (**x1**,**y2**), and (**infl2**,**y3**) and that has 0 derivative at **x1**;

x in the range **infl2** to **infl3** shall be set to  $ax^3 + bx^2 + cx + d$ , where **a**, **b**, **c**, and **d** are the coefficients of a cubic polynomial that passes through (**infl2**,**y3**), (**x2**,**y4**), and (**infl3**,**y5**) and that has 0 derivative at **x2**;

and so on.

If, for any segment, such a cubic polynomial does not exist or does not have real values through the segment range, it is a run-time error.

### 5.10.9 Spline

```
t1 table(spline, size, x1, y1, k2, x2, y2, k3, ...)
```

The **spline** core wavetable generator creates a smoothly varying “spline” function for a set of control points.

If **size** is  $-1$ , then the size of the wavetable shall be the size of the largest x-value parameter. If **size** is larger than the largest x-value parameter, then the wavetable shall be padded with 0 values at the end to size **size**. If **size** is smaller than the largest x-value provided, then only the first **size** values shall be computed and used.

It is a run-time error if:

- **x1** is not 0,
- the x-values are not a non-decreasing sequence,
- there are less than two x-values,
- there are less than 4 parameters, not including **size**, or
- the last parameter is not a *k* value

For the **spline** generator, sample values for samples numbered:

x in the range **x1** to **x2** shall be set to  $ax^3 + bx^2 + cx + d$ , where **a**, **b**, **c**, and **d** are the coefficients of a cubic polynomial that passes through (**x1**,**y1**), and (**x2**,**y2**) and that has derivative 0 at **x1** and derivative **k2** at **x2**;

x in the range **x2** to **x3** shall be set to  $ax^3 + bx^2 + cx + d$ , where **a**, **b**, **c**, and **d** are the coefficients of a cubic polynomial that passes through (**x2**,**y2**), and (**x3**,**y3**) and that has derivative **k2** at **x2** and derivative **k3** at **x3**;

x in the range **x3** to **x4** shall be set to  $ax^3 + bx^2 + cx + d$ , where **a**, **b**, **c**, and **d** are the coefficients of a cubic polynomial that passes through (**x3**,**y3**), and (**x4**,**y4**) and that has derivative **k3** at **x3** and derivative **k4** at **x4**; and so on.

The derivative of the last cubic section shall be zero at **xn**, the last x-point of the sequence.

If, for any segment, such a cubic polynomial does not exist or is not real-valued over the segment range, it is a run-time error.

### 5.10.10 Polynomial

```
t1 table(polynomial, size, xmin, xmax, a0, a1, a2, ...)
```

The **polynomial** core wavetable generator allows an arbitrary section of an arbitrary polynomial function to be placed in a wavetable. The polynomial function used is  $p(x) = a_0 + a_1x + a_2x^2 + \dots$ ; it is evaluated over the range [ **xmin**, **xmax** ].

It is a run-time error if **size** is not strictly positive, or if there are not at least 3 parameters, not counting the **size** parameter, or if **xmin** = **xmax**.

For the **polynomial** generator, the sample value for sample  $x$  in the range [0, **size-1**] inclusive shall be set to

$$a_0 + a_1y + a_2y^2 + \dots, \text{ where } y = \text{xmin} + (\text{size} - x) / \text{size} \times (\text{xmax} - \text{xmin}).$$

### 5.10.11 Window

```
t1 table(window, size, type[, p])
```

The **window** core wavetable generator allows a windowing function to be placed in a table.

It is a run-time error if the **size** parameter is not strictly positive, or if **type** = 5 and the **p** parameter is not included.

The window type is specified by the **type** parameter. This parameter shall be rounded to the nearest integer, and then interpreted as follows:

If **type** = 1, a Hamming window shall be used. For sample number  $x$  in the range [ 0, **size** – 1], the value placed in the table shall be

$$0.54 - 0.46 \cos (2\pi x / (\text{size} - 1)).$$

If **type** = 2, a Hanning (raised cosine) window shall be used. For sample number  $x$  in the range [ 0, **size** – 1], the value placed in the table shall be

$$0.50 ( 1 - \cos (2\pi x / (\text{size} - 1))).$$

If **type** = 3, a Bartlett (triangular) window shall be used. For sample number  $x$  in the range [0, **size** – 1], the value placed in the table shall be

$$1 - 2 | x - (\text{size} - 1) / 2 | / (\text{size} - 1).$$

If **type** = 4, a Gaussian window shall be used. For sample number  $x$  in the range [0, **size-1**], the value placed in the table shall be

$$e^{-c_1(c_2-x)(c_2-x)}, \text{ where } c_2 = \text{size}/2 \text{ and } c_1 = 18/(\text{size})^2.$$

If **type** = 5, a Kaiser window shall be used, with parameter **p**. For sample number  $x$  in the range [ 0, **size** – 1], the value placed in the table shall be

$$\frac{I_0 \left[ p \sqrt{\left( \frac{\text{size} - 1}{2} \right)^2 - \left( x - \frac{\text{size} - 1}{2} \right)^2} \right]}{I_0 \left[ p \left( \frac{\text{size} - 1}{2} \right) \right]},$$

where  $I_0(x)$  is the zero-order modified Bessel function of the first kind.

If **type** = 6, a boxcar window shall be used. Each sample in the range [0, **size** – 1] shall be given the value 1.

### 5.10.12 Harm

`t1 table(harm, size, f1, f2, f3...)`

The **harm** generator creates one cycle of a composite waveform made up of a weighted sum of zero-phase sinusoids.

It is a run-time error if **size** is not strictly positive.

For each sample  $x$  in the range  $[0, \text{size} - 1]$ , the sample shall be assigned the value

$$f1 \sin(2 \pi x/\text{size}) + f2 \sin(4 \pi x/\text{size}) + f3 \sin(6 \pi x/\text{size}) + \dots$$

### 5.10.13 Harm\_phase

`t1 table(harm_phase, size, f1, ph1, f2, ph2, ...)`

The **harm\_phase** core wavetable generator creates one cycle of a composite waveform made up of a weighted sum of zero-DC sinusoids, each with specified initial phase in radians.

It is a run-time error if **size** is not strictly positive, or if there are an odd number of parameters, not counting the **size** parameter.

For each sample  $x$  in the range  $[0, \text{size} - 1]$ , the sample shall be assigned the value

$$f1 \sin(2 \pi x/\text{size} + \text{ph1}) + f2 \sin(4 \pi x/\text{size} + \text{ph2}) + f3 \sin(6 \pi x/\text{size} + \text{ph3}) + \dots$$

### 5.10.14 Periodic

`t1 table(periodic, size, p1, f1, ph1, p2, f2, ph2, ...)`

The **periodic** core wavetable generator creates one cycle of an arbitrary periodic waveform, parameterised as the sum of several sinusoids with arbitrary frequency, magnitude and phase. The phase values (**ph1**, **ph2**, ...) are specified in radians.

It is a run-time error if **size** is not strictly positive, or if the number of parameters, not counting the **size** parameter, is not evenly divisible by three.

For each sample  $x$  in the range  $[0, \text{size} - 1]$ , the sample shall be assigned the value

$$f1 \sin(2 p1 \pi x/\text{size} + \text{ph1}) + f2 \sin(2 p2 \pi x/\text{size} + \text{ph2}) + f3 \sin(2 p3 \pi x/\text{size} + \text{ph3}) + \dots$$

Any of the **p1**, **p2**, **p3**, etc. values may be zero, in which case the corresponding term of the calculation is a DC term; or non-integral, in which case there is a discontinuity at the table wrap point, or negative, which means the corresponding term evolves as a negative phase term. In all cases, the above value expression holds as specified.

### 5.10.15 Buzz

`t1 table(buzz, size, nharm, lowharm, rolloff)`

The **buzz** core wavetable generator creates one cycle of the sum of a series of spectrally-sloped cosine partials (band-limited pulse train). This waveform is a good source for subtractive synthesis.

It is a run-time error if **size** is not strictly positive, and **nharm** is also not strictly positive.

**lowharm** and **nharm** shall be rounded to the nearest integer before further processing.

If **size** is not strictly positive, then the size of the table is given by the highest harmonic included, such that **size** = 2 (**lowharm** + **nharm**).

If **nharm** is not strictly positive, then the number of harmonics shall be given by the size of the table, such that **nharm** is the greatest integer smaller than **size/2** – **nharm**.

For each sample  $x$  in the range  $[0, \mathbf{size} - 1]$ , the sample shall be assigned the value

$$\mathit{scale} * \sum_{f=\mathit{lowharm}}^{\mathit{lowharm}+\mathit{nharm}} \mathit{rolloff}^{(f-\mathit{lowharm})} \cos 2\pi fp$$

where  $p$  is the value  $x / \mathbf{size}$  and **scale** is the value  $(1-\mathit{abs}(\mathit{rolloff})) / (1-\mathit{abs}(\mathit{rolloff}^{\mathit{nharm}}))$ .

If **rolloff** is negative, then alternating partials alternate phase direction; if  $|\mathbf{r}| < 1$ , then partials attenuate as they get higher in frequency; otherwise, they stay the same or grow in magnitude; in all cases, the above value expression holds as specified.

### 5.10.16 Concat

```
table t1(concat, size, ft1, ft2, ...)
```

The **concat** generator allows several tables to be concatenated together into a new table.

It is a runtime error if no tables are provided as arguments.

If **size** is not strictly positive, the size of the wavetable shall be the sum of the sizes of the parameter wavetables. If **size** is strictly positive, but smaller than the sum of the sizes of the parameter wavetables, then only the first **size** points of the parameter wavetables shall be used. If **size** is larger than the sum of the sizes of the parameter wavetables, then the generated wavetables shall be zero-padded at the end to size **size**.

The values of the wavetable shall be calculated as follows: for each sample  $x$  in the range  $[0, s_1-1]$ , where  $s_1$  is the size of the wavetable referenced by **p1**, the sample shall be assigned the same value as sample  $x$  of **p1**; for each sample  $x$  in the range  $[s_1, s_1+s_2-1]$ , where  $s_2$  is the size of the wavetable referenced by **p2**, the sample shall be assigned the same value as sample  $x - s_1$  of **p2**; and so on, up to sample **size**.

### 5.10.17 Empty

```
t1 table(empty, size)
```

The **empty** generator allocates space and fills it with zeros.

It is a run-time error if **size** is not strictly positive.

For each sample in the range  $[0, \mathbf{size}-1]$ , the sample is assigned value 0.

This generator is useful in conjunction with user-defined opcodes that fill up a table with data.

## 5.11 SASL syntax and semantics

### 5.11.1 Introduction

This subclause describes the syntax and semantics of the score language SASL. SASL allows the simple parametric description of events that use an orchestra to generate sound, including notes, controllers, and dynamic wavetable generation. SASL is simpler than many previously existing score languages; this is intentional, as it enables easier cross-coding of score data from other formats into SASL. Since in many cases, SASL code is

automatically generated by authoring tools, it is not a great disadvantage to have relatively simple syntax and few “defaults”.

As with the SAOL description in subclause 5.8, this subclause describes a textual representation of SASL that is standardised, but stands outside of the bitstream-decoder relationship. It also describes the mapping between the textual representation and the bitstream representation. The exact normative semantics of SASL will be described in reference to the textual representation, but also apply to the tokenised bitstream representation as created via the normative tokenisation mapping.

All times in the score file (start times and durations) are specified in *score time*, which is measured in *beats*. By default, the score time is equivalent to the absolute time, and thus events with duration of one beat last one second, and an event dispatched two beats of score time after another is dispatched two seconds later by the scheduler. However, this mapping can be changed with the **tempo** command, see below.

Each score line may be prefaced by an optional \* tag. This tag indicates that the event is a high-priority event as described in subclause 5.7.3.3.7.

NOTE - In streaming performance of Structured Audio bitstreams, some events have no timestamps. This is possible because the streaming mechanism contains intrinsic time, i.e. “right now”. For the textual score format, there is no such intrinsic time, and thus every score line in textual format is required to have a time field. A Structured Audio encoder (see Annex B) has the option of retaining or removing time fields when tokenizing the orchestra and packing tokenized score events into Access Units, depending on the requirements of the application. For the same reason, the “use if late” bitstream flag is not used in the textual score format.

### 5.11.2 Syntactic form

<score file> -> <score line> [ <score file> ]  
 <score file> -> <score line>

<score line> -> (\*) <instr line> <newline>  
 <score line> -> (\*) <control line> <newline>  
 <score line> -> (\*) <tempo line> <newline>  
 <score line> -> (\*) <table line> <newline>  
 <score line> -> <end line> <newline>

<instr line> -> [ <ident> : ] <number> <ident> <number> <pflist>

<control line> -> <number> [ <ident> ] control <ident> <number>

<tempo line> -> <number> tempo <number>

<table line> -> <number> table <ident> <ident> <pflist>

<end line> -> <number> end

<pflist> -> <number> [ <pflist> ]  
 <pflist> -> <NULL>

<number> as given in subclause 5.8.2.3.

<ident> as given in subclause 5.8.2.2.

### 5.11.3 Instr line

The **instr** line specifies the construction of an instrument instantiation at a given time.

The first identifier, if given, is a label that is used to identify the instantiation for use with further control events.

The first number is the score time of the event. As much precision as desired may be used to specify times; however, instruments are only dispatched as fast as the orchestra control rate, as described in subclause 5.7.3.3. Event times do not have to be received, or present in the score file, in temporal order.

The second identifier (the first required identifier) is the name of the instrument, used to select one instrument from the orchestra described in the SAOL bitstream element. It is a syntax error if there is not an instrument with this name in the orchestra when the orchestra is started.

The second number is the score duration of the instrument instance. When the instrument instantiation is created, a termination event shall be scheduled (see subclause 5.7.3.3) at the time given by the sum of the instantiation time and the note duration. If this field is  $-1$ , then the instrument shall have no scheduled duration.

The *pfields* is the list of parameter fields to be passed to the instrument instance when it is created. If there are more *pfields* specified in the instrument declaration than elements of this list, the remaining *pfields* shall be set to 0 upon instantiation. If there are fewer *pfields* than elements, the extra elements shall be ignored.

#### 5.11.4 Control line

The **control** line specifies a control instruction to be passed to the orchestra, or to a set of running instruments.

The first number is the score time of the control event. When this time arrives in the orchestra, the control event is dispatched according to its particular semantics.

The first identifier, if provided, is a label specifying which instrument instances are to receive the event. If this label is provided, when the control event is dispatched, any active instrument instances that were created by **instr** events with the same label receive the control event. If the label is provided, and there are no such active instrument instances, the control event shall be ignored. If the label is not provided, then the control event references a global variable of the orchestra.

The second identifier (the first required identifier) is the name of a variable that will receive the event. For labelled control lines, the name references a variable in instruments that were created based upon **instr** events with the same label. If there is no such name in a particular instrument instance, then the control event shall be ignored for that instance. For unlabelled lines, the name references a global variable of the orchestra with the same name. If there is no such global variable, then the control event shall be ignored.

The second number is the new value for the control variable. When the control event is dispatched, variables in the orchestra as identified in the preceding paragraph shall have their values set to this value.

#### 5.11.5 Tempo line

The **tempo** line in the score specifies the new tempo for the decoding process. The tempo is specified in beats-per-minute; the default tempo shall be sixty beats per minute, and thus by default the score time is measured in seconds.

The first number in the tempo line is the score time at which the tempo changes. When this time arrives, the tempo event shall be dispatched as described in subclause 5.7.3.3, list item 7.

The second number is the new tempo, specified in beats per minute. Consequently, one beat lasts  $60/\text{tempo}$  seconds, so that a tempo of 120 beats per minute is twice as fast as the default. When a tempo line is decoded, the time numbers in the score continue progressively, with the increments now in accordance with the new time unit.

#### 5.11.6 Table line

The **table** line in the score specifies the creation or destruction of a wavetable.

The first number in the score line is the score time at which the wavetable is created or destroyed. For creation events, the wavetable shall be created at this time. For destruction events, the wavetable shall not be destroyed before this time.

The first identifier is the name of the wavetable. This name references a wavetable in the global orchestra scope.

The second identifier is either the name of the table generator, or the special name **destroy**. It is a syntax error if this identifier is not the name of one of the core wavetable generators listed in subclause 5.10, or the special name **destroy**.

The pfield list is the list of parameters to the particular core wavetable generator. Not every sequence of parameters is legal for every table generator; see the definitions in subclause 5.10.

The **sample** core wavetable generator refers to a sound sample (see subclause 5.10.2). Implementations providing textual interfaces are suggested to provide access to commonly-used “soundfile” formats in the first pfield as a string constant. However, this is non-normative; the only normative aspect is as follows. In a bitstream **table** score line object, the **refers\_to\_sample** bit may be set. If this is the case, then the **sample** token of that score line object shall refer to another bitstream object containing the sample data, and it is this sample data that shall be placed in the wavetable.

When the dispatch time of the table event is received, if the table line references the **destroy** name, then any global wavetable with that name may be destroyed and its memory freed. If the table line specifies creation of a wavetable, and there is already a global wavetable with the same name, the new wavetable replaces the existing wavetable. That is, the global wavetable with that name may be destroyed and its memory freed.

When a new table is to be created, memory space is allocated for the table and filled with data according to the particular wavetable generator. Any reference to a wavetable with this name (including indirect references through import into a instrument instance) in existing or new instrument instances shall be taken as direction to the new wavetable.

NOTE - According to this paragraph, the wavetables referenced by running instrument instances shall be replaced upon dispatch of a **table** score line using the same name. That is, in the midst of the sound generation process, when the **table** score line is dispatched, any table-reference opcodes in an instrument referencing that name shift reference to the new wavetable.

### 5.11.7 End line

The **end** line in the score specifies the end of the sound-generation process. The number given is the end time, in score time, for the orchestra. When this time is reached, the orchestra ceases, and all future Composition Buffers based on this Structured Audio decoding process contain only 0 values.

## 5.12 SAOL/SASL tokenisation

### 5.12.1 Introduction

This subclause describes the normative process of mapping between the SAOL textual format used to describe syntax and semantics in subclause 5.8, and the tokenised bitstream representation used in the bitstream definition in subclause 5.5. The textual representation stands outside of the bitstream-decoder relationship, and as such is not required to be implemented or used. The only aspect of SAOL decoding that is strictly normative is the process of turning a tokenised bitstream representation into sound as described in subclause 5.7. However, it is highly recommended that implementations that allow access to bitstream contents use the textual representation described in subclause 5.8 rather than the tokenised representation. It is nearly impossible for a human reader to understand a SAOL program presented in tokenised format.

### 5.12.2 SAOL tokenisation

To tokenise a textual SAOL orchestra, the following steps shall be performed. First, the orchestra shall be divided into lexical elements, where a lexical element is one of the following:

1. A punctuation mark,
2. A reserved word (see subclause 5.8.9),
3. A standard name (see subclause 5.8.6.8),
4. A core opcode name (see subclause 5.9.3),
5. A core wavetable generator name (see subclause 5.10),
6. A symbolic constant (a string, integer, or floating-point constant; see subclause 5.8.2.3), or
7. An identifier (see subclause 5.8.2.2).

Whitespace (see subclause 5.8.2.6) may be used to separate lexical elements as desired; in some cases, it is required in order to lexically disambiguate the orchestra. In neither case shall whitespace be treated as a lexical element of the orchestra. Comments (see subclause 5.8.2.5) may be used in the textual SAOL orchestra but are removed upon lexical analysis; comments are not preserved through a tokenisation/detokenisation sequence.

After lexical analysis, all identifiers in the orchestra shall be numbered with symbol values, so that a single symbol is associated with a particular textual identifier. All identifiers that are textually equivalent (equal under string comparison) shall be associated with the same symbol regardless of their syntactic scope. This association of symbols to identifiers is called the *symbol table*.

Using the lexical analysis and the symbol table, a tokenised representation of the orchestra may be produced. The lexical analysis is scanned in the order it was presented in the textual representation, and for each lexical element:

- If the element is of type (1) – (5) from above, the token value associated in the table in Annex 5.A with that element shall be produced.
- If the element is of type (6) from above, one of the special tokens 0xF1, 0xF2, 0xF3, 0xF4 shall be produced, depending on the type of the symbolic constant, and the succeeding bitstream element shall be the bitstream representation of the value. For integer constants in the range [0,255], either token 0xF1 or token 0xF4 may be produced.
- If the element is of type 7, the special token 0xF0 shall be produced, and the succeeding bitstream element shall be the symbol associated with the identifier in the symbol table.

After the sequence of lexical elements presented in the textual orchestra is tokenised, the special token 0xFF, representing end-of-orchestra, shall be produced.

### 5.12.3 SASL tokenisation

A SASL score shall be tokenised with respect to a particular SAOL orchestra, since the symbol values must correspond in order for the semantics to be according to the author's intent.

To tokenise a SASL file, the following steps are taken. First, the SASL file is divided into lexical elements, where each element is either an identifier, a reserved word, the name of a core wavetable generator, or a number. After lexical analysis, each identifier shall be associated with the appropriate symbol number from the SAOL orchestra reference. That is, for the associated SAOL orchestra, if there is an identifier in the orchestra equivalent to the identifier in the score, the identifier in the score shall receive the same symbol number that it received in the orchestra. If there is no such identifier in the orchestra, any unused symbol number may be assigned to the identifier in the score.

Using the lexical analysis and the symbol table, a tokenised representation of the orchestra may be produced. Each score line is taken in turn, in the order presented in the textual representation, and used to produce a **score\_line** bitstream element, according to the semantics in subclause 5.11 and the bitstream syntax for the various score elements, as given in subclause 5.5.2.

## 5.13 Sample Bank syntax and semantics

### 5.13.1 Introduction

This subclause describes the operation of the Sample Bank synthesis method for Object types 2 and 4. In Object type 2, only Sample Bank and MIDI class types shall appear in the bitstream, and this subclause describes the normative process of generating sound from a Sample Bank bitstream data element and a sequence of MIDI instructions. In Object type 4, Sample Banks are used in the context of a SAOL instrument as described in subclause 5.8.6.7.15, and this subclause describes the normative process of generating sound and returning it to the SAOL decoding process, depending on the Sample Bank bitstream data element and the particular call to **sasbf**.

The Structured Audio Sample Bank Format is derived from the MIDI Manufacturers Association (MMA) Downloadable Sounds format, which has been adopted as a standard for sample-data exchange by electronic musical instrument and PC audio manufacturers. The MMA DLS-2 standard The MIDI Downloadable Sounds Specification, v. 98.2 contains provisions which, through reference, constitute provisions of this part of this standard. Subsequent amendments or revision to this publication do not apply, but parties are encouraged to investigate the possibility of applying the most recent editions of the referenced document. In particular, though it is assumed that typically an MPEG encoder will strip all unnecessary chunks from valid DLS files, DLS “chunks” that are not defined in the DLS Level 2 text shall be syntactically valid within an MPEG Sample Bank stream, though it is acceptable to ignore them and not use them for synthesis if not specifically defined in the DLS-2 standard.

### 5.13.2 Elements of bitstream

The **SASBF** bitstream element is a block of data defined by the MIDI DLS file structure The MIDI Downloadable Sounds Specification, v. 97.1. This block of data is opaque to the MPEG-4 bitstream parser; among other reasons for this opaqueness, it contains values that are byte-swapped (big-endian) compared to the rest of the MPEG-4 bitstream.

### 5.13.3 Decoding process

#### 5.13.3.1 Object type 2

##### 5.13.3.1.1 Overview

In Object type 2, all synthesis is performed through wavetable-bank synthesis as defined in the MIDI DLS Level 2 specification The MIDI Downloadable Sounds Specification, v. 98.2. Control is through the use of the Standard MIDIFile bitstream element and the MIDI command bitstream element.

##### 5.13.3.1.2 Channels, sample format, and sampling rate

For the purposes of attaching a Object type 2 Structured Audio (i.e., SASBF) decoder to an AudioBIFS **AudioSource** node, the resulting audio stream shall have two channels, 32-bit floating point samples, and a 22050 Hz sampling rate. Calculation is not required to occur in stereo 32-bit samples; if the internal representation is otherwise, the result shall be converted to this format after decoding is complete.

##### 5.13.3.1.3 Decoder configuration

In the stream header (decoder configuration element), one or more **sbf** chunks may appear; a standard MIDIFile **midi\_file** chunks may also appear. The **sbf** chunks are passed to the SASBF synthesiser, which uses the data there to prepare for synthesis as described in The MIDI Downloadable Sounds Specification, v. 98.2. The **midi\_file**

chunk, if any, is unrolled and organised in time according to its semantics as given in The Complete MIDI 1.0 Detailed Specification v. 96.2.

#### 5.13.3.1.4 Runtime decoding

Two types of events may control runtime synthesis in Object type 2: cached MIDI events that were transmitted as a MIDI file in the stream header, and real-time MIDI events that are transmitted over the streaming connection.

A *decoding clock* is maintained to control dispatch of events, but the exact properties of this clock are nonnormative. At each step, the MIDI scheduler shall dispatch any MIDI events that have arrived in the bitstream with Decoding Time Stamp less than the current value of the decoding clock, as well as any MIDI events sequenced in **midi\_file** chunks in the stream header whose unrolled time-stamps are less than the current value of the decoding clock.

Interactive manipulations to the **speed** field of the **AudioSource** scene graph node pointing to this decoding process affect the playback speed of cached Standard MIDIFile events, but have no effect on the dispatch of streaming MIDI events. Thus, it might be the case that events that are synchronised between a MIDIFile and a streaming control end up no longer synchronised if the **speed** field is manipulated.

The sound that results shall be the sound described by the synthesis process in The MIDI Downloadable Sounds Specification, v. 98.2 according to the sample banks in the stream header and the sequence of MIDI bytes dispatched by the scheduler. These sound samples are provided to the **AudioSource** node in the scene graph that references this bitstream as the output of the Object type 2 Structured Audio decoder.

NOTE - For compliant operation, the sound output from the SASBF synthesis process is not immediately turned into audio and played to the listener. Instead, the sound is made available for further processing by the AudioBIFS scene graph. Implementations that make use of DLS-2 hardware synthesisers that produce analogue output shall "recapture" this output and convert back to a digital signal for use in the scene graph. This is necessary because interactive scene-graph manipulations may alter, attenuate, or eliminate the sound produced in this synthesis process before it is finally played to the listener.

### 5.13.3.2 Object type 4

#### 5.13.3.2.1 Overview

In Object type 4, the SASBF synthesiser is not controlled directly by MIDI data, but dispatched note-by-note in response to commands in SAOL. The **sasbf** statement (subclause 5.8.6.7.15) performs this dispatching function.

#### 5.13.3.2.2 Decoder configuration

In Object type 4 operation, **sbf** data chunks in the bitstream configuration header are passed to the SASBF synthesiser, where they are used to prepare it for real-time synthesis.

#### 5.13.3.2.3 Runtime decoding

In Object type 4 operation, each note of synthesis is performed separately. The note-on command is executed when the i-rate pass of an instrument containing the **sasbf** expression is executed. This instruction contains note, velocity, preset, and bank select values; the synthesis of one note indicated by this preset number and bank is performed for this note number and velocity according to the SASBF instrument. The resulting stereo sound is returned by the **sasbf** expression (see subclause 5.8.6.7.15).

The SASBF decoder shall make use of the MIDI controller and other continuously changing MIDI information for the channel specified. This data is not passed directly into the SASBF synthesiser in the **sasbf** command, but is made available in an implementation-specific manner. See subclause 5.8.6.7.15.

## 5.14 MIDI semantics

### 5.14.1 Introduction

This subclause describes the normative decoding process for Object type 1 implementations, and the normative mapping from MIDI events in the stream information header and bitstream data into SAOL semantics for Object type 3 and 4 implementations.

The MIDI standards referenced are standardised externally by the MIDI Manufacturers Association. In particular, we reference the Standard MIDI File format, the MIDI protocol, and the General MIDI patch mapping, all standardised in The Complete MIDI 1.0 Detailed Specification v. 96.2. The MIDI terminology used in this subclause is defined in that document.

### 5.14.2 Object type 1 decoding process

Little normative needs be said about the Object type 1 decoding process. The rules given in The Complete MIDI 1.0 Detailed Specification v. 96.2 apply as standardised in those documents. As described in subclause 5.6, only **midi** and **midi\_file** bitstream elements shall occur in a Object type 1 bitstream.

There are no normative aspects to producing sound in Object type 1.

### 5.14.3 Mapping MIDI events into orchestra control

#### 5.14.3.1 Introduction

For Object types 3 and 4, events coded as MIDI data shall be converted, when they are received in the terminal as part of a Standard MIDI File or MIDI event, into the appropriate scheduler semantics. This subclause lists the various MIDI events and their corresponding semantics in MPEG-4. These semantics apply only to Object types 3 and 4, not to Object types 1 and 2. For the latter, the semantics of MIDI events are exactly as given in The Complete MIDI 1.0 Detailed Specification v. 96.2.

#### 5.14.3.2 MIDI events

##### 5.14.3.2.1 Introduction

This subclause describes the semantics of the various types of events that may arrive in a continuous bitstream as a **MIDI\_event** object. The syntax of these objects is standardised externally in The Complete MIDI 1.0 Detailed Specification v. 96.2.

##### 5.14.3.2.2 Extended channel values

An actual MIDI Channel event in The Complete MIDI 1.0 Detailed Specification v. 96.2 has a channel number in the range 0...15. There is no direct way in The Complete MIDI 1.0 Detailed Specification v. 96.2 to specify a channel number outside this range. Each MIDI input port, output port or track chunk is associated with a distinct stream or collection of MIDI events and a corresponding distinct set of 16 channels (some of which may be unused). MIDI applications commonly use port names, track names or other labels to identify different channel sets.

Extended channel numbers are used in MPEG-4 to avoid the need for such channel set labels. In MPEG-4, the **channel** value of a **MIDI\_event** is not limited to the range 0...15. Instead, an extended channel value is generated based on both the original MIDI channel number and a number associated with the port or stream that is the source of the event. Subclause 5.14.3.3.4 describes the mapping used with Standard MIDI Files. Annex 5.F.2 describes a recommended mapping that may be used with events from a live MIDI device.

##### 5.14.3.2.3 NoteOn

noteon channel note velocity

When a **noteon** event is received with nonzero velocity, the instrument in the orchestra (if any) currently assigned to channel **channel** shall be instantiated with duration  $-1$  and the first two p-fields set to **note** and **velocity**. Each value of **MIDIctrl**[*n*] within the instrument instance is set to the most recent value of a controller change for that controller on channel **channel** or to the default value (see subclause 5.14.3.3.2) if there have been no controller changes for that controller on that channel. The value of **MIDibend** is set to the most recent value of the MIDI pitch bend. The value of **MIDItouch** is set to the most recent aftertouch value on the channel.

If there is no instrument currently assigned to channel **channel**, there is no action associated with this event.

An instrument instance created in response to a **noteon** message on a particular channel is referred to as being “on” that channel.

**noteon** messages with velocity 0 shall be treated as **noteoff** messages, see subclause 5.14.3.2.4.

#### 5.14.3.2.4 NoteOff

`noteoff channel note velocity`

When a **noteoff** event is received, each instrument instance on channel **channel** that was instantiated with note number **note** is scheduled for termination at the end of the k-cycle; that is, its **released** flag is set, and if the instrument does not call **extend**, it shall be de-instantiated after the current k-cycle of computation.

If **MIDIctrl**[64] on the indicated channel is non-zero, then the execution of the **noteoff** event shall be delayed until **MIDIctrl**[64] on the indicated channel becomes zero. This behaviour maintains whether the value of **MIDIctrl**[64] is set in the bitstream or by assignment to the **MIDIctrl** standard name (see subclause 5.8.6.8.9).

#### 5.14.3.2.5 Control change

`cc channel controller value`

When a **cc** or control change event is received, the new value of the specified controller is set to **value**. This value shall be cached so that future instrument instances on the given channel have access to it; also, all currently active instrument instances on the channel **channel** shall have the standard name **MIDIctrl**[*controller*] updated to **value**.

#### 5.14.3.2.6 Aftertouch

`touch channel note velocity`

When a **touch** event is received, the value of the **MIDItouch** variable of each instrument instance on channel **channel** that was instantiated with note number **note** is set to **velocity**.

#### 5.14.3.2.7 Channel aftertouch

`ctouch channel velocity`

When a **ctouch** event is received, the value of the **MIDItouch** variable of each instrument instance on channel **channel** is set to **velocity**.

#### 5.14.3.2.8 Program change

`pchange channel program`

When a **pchange** event is received, the current instrument receiving events on channel **channel** shall be changed to the instrument with preset number **program** (see subclause 5.8.6.4). Only the instrument with preset number **program** is assigned to the channel. If there is no instrument with this preset number, then future note-on events on the channel, until another program change is received, shall be ignored.

#### 5.14.3.2.9 Bank select

bankselect channel bank

When a **bankselect** event is received, the next time a **pchange** event is received, the current instrument receiving events on channel **channel** shall be changed to the instrument with preset number **bank** \* 128 + **program**. The **bankselect** event has no direct effect by itself; it only changes the meaning of future **pchange** events on the channel.

#### 5.14.3.2.10 Pitch wheel change

pwheel channel value

When a **pwheel** event is received, the **MIDIbend** value for each instrument instance on channel **channel** shall be set to **value**.

#### 5.14.3.2.11 All notes off

notesoff

When a **notesoff** event is received, all instrument instances in the orchestra created by a MIDI NoteOn events (subclause 5.14.3.2.3) are scheduled for termination at the end of the current k-cycle; that is, the released flag is set, and if the instrument does not call extend, it shall be de-instantiated after the current k-cycle of computation.

If the **MIDIctrl**[64] value for an instance is non-zero, then the execution of the termination shall be delayed until the **MIDIctrl**[64] value becomes zero.

These semantics correspond to the behavioral intentions of the MIDI All Notes Off command: the release cycle of notes are permitted to decay naturally, and sustain pedal semantics are obeyed. The **MIDIctrl**[123] value, normally 0, shall be set to 1 for all associated **MIDIctrl** accesses during the orchestra cycle the All Notes Off Command is processed, so that dynamic instruments spawned from the MIDI instrument may detect the All Notes Off command.

#### 5.14.3.2.12 Tempo change

tempochange value

When a **tempochange** event is received, the global orchestra tempo is changed as described in subclause 5.7.3.3.6, list item 7. **value** here indicates a beats/minute value as in the SASL **tempo** score event (subclause 5.11.5); it shall be converted from the native MIDI tempo format (see The Complete MIDI 1.0 Detailed Specification v. 96.2) to this format.

#### 5.14.3.2.13 All sound off

soundoff

When a **soundoff** event is received, all instrument instances in the orchestra created by MIDI NoteOn events (subclause 5.14.3.2.3) are terminated at the end of the current k-cycle. Instruments may not save themselves from termination using the extend statement in this case, and the status of the **MIDIctrl**[64] is irrelevant. The **MIDIctrl**[120] value, normally 0, shall be set to 1 for all associated **MIDIctrl** accesses during the orchestra cycle the All Sound Off Command is processed, so that dynamic instruments spawned from the MIDI instrument may detect the All Sound Off command.

These semantics correspond to the behavioral intentions of the MIDI All Sound Off command: an immediate ending of all sound making.

#### 5.14.3.2.14 MIDI messages not respected

The following MIDI messages have no meaning in MPEG-4 Object types 3 and 4:

Local Control  
 Omni Mode On/Off  
 Mono Mode On/Off  
 Poly Mode On/Off  
 System Exclusive  
 Tune Request  
 Timing Clock  
 Song Select/Continue/Stop  
 Song Position  
 Active Sensing  
 Reset

#### 5.14.3.2.15 The MIDI Master Channel

All instances created by SASL instr statements and SAOL send statements, and any dynamic instruments instantiated from instances created by SASL instr statements and SAOL send statements, see the status of the MIDI Master Channel in the values of the **MIDIctrl**[], **MIDIbend**, **MIDIwheel**, and **channel**, and **preset** standard names. For these instances, the value of the **channel** standard name reflects the extended channel number of the MIDI master channel, and the value of the **preset** standard name reflects the value of the last pchange event on the MIDI Master Channel. The values of the **MIDIctrl**[], **MIDIbend**, **MIDIwheel** are identical to the values seen in an instance instantiated via a MIDI NoteOn event from the MIDI Master Channel.

The identity of the MIDI Master Channel is determined as follows:

If a MIDI source is directly connected to the orchestra, as described in Annex 5.F, then the MIDI Master Channel is MIDI channel 0 of this source.

If no MIDI source is directly connected to the orchestra, if an SA\_access\_unit MIDI source is present, the MIDI Master Channel is channel 0 of this source.

If no MIDI source is directly connected to the orchestra, and if no SA\_access\_unit MIDI source is present, the MIDI Master Channel is channel 0 of the first MIDI File track that contains a NoteOff, NoteOn, CChange, PChange, Pwheel, Touch, or CTouch command on channel 0.

Decoder implementations may provide a way to specify the MIDI Master Channel directly, superseding these rules, if the decoder permits the direct connection of MIDI sources to the orchestra.

### 5.14.3.3 Standard MIDI Files

#### 5.14.3.3.1 Introduction

MIDI files have data with the same semantics as the MIDI messages described above; however, the timing semantics are more complicated due to the use of multiple tracks and delta-time timestamps.

#### 5.14.3.3.2 Overview of MIDI file processing

To process a **midi\_file** stream information element, the following steps shall be taken. First, the entire stream element is parsed and cached. Then, using the sequence instructions and the sequencer model described in The Complete MIDI 1.0 Detailed Specification v. 96.2, the delta-times of the various **midi\_file** events are converted into score event times (in beats). During this step, the actual channel numbers of these events are also mapped to extended **channel** values, as described in subclause 5.14.3.3.4. Converting delta-times to score event times requires converting each **midi\_file** track chunk into a timelist containing **midi\_event** objects and then interleaving the various track timelists.

#### 5.14.3.3.3 Converting MIDI file track chunks

To convert the track chunk into a timelist, first parse the track chunk to generate a series of MIDI events. This requires converting MIDI file delta-times to MIDI event score times relative to the beginning of each track chunk. It

is also necessary to map **midi\_file** event channel numbers to extended **midi\_event channel** values, as described in subclause 5.14.3.3.4. When a Set Tempo **midi\_file** meta-event is processed to generate a corresponding tempo change **midi\_event**, the tempo value shall be converted from the microseconds-per-quarter-note units used in The Complete MIDI 1.0 Detailed Specification v. 96.2 to the beats-per-minute units used in MPEG-4. If the MIDI file does not specify a starting **tempo**, the default value of 120 beats per minute shall be used.

MIDI time-stamps may only appear in the “MIDI Time Code” syntax, not the “SMPTE” syntax, as described in The Complete MIDI 1.0 Detailed Specification v. 96.2. The SMPTE Offset meta-event and the SMPTE format delta-time object are not supported in Structured Audio Object 3 and Object 4 bitstreams.

As events are converted from MIDI to SAOL semantics, each event shall be registered with the scheduler according to its event time and semantics.

NOTE - MIDI tempo events are not used to calculate the event time of MIDI events in a MIDI file. Rather, they are scheduled as regular events and dispatched according to the tempo semantics in 5.7.3.3.6 list item 7.

**5.14.3.3.4 Converting MIDI channels to scheduler channels**

Mapping the channels of events in MIDI files to **midi\_event channel** numbers is accomplished as follows. Successive track chunks within a **midi\_file** are assigned track numbers in ascending monotonic sequence, with an initial track number of 0. The **channel** value for a particular **midi\_file** event is given by:

$$\text{channel} = \text{midi\_file event channel number} + (\text{track number} * 16).$$

If there are multiple **midi\_file** chunks within the bitstream header, then subsequent **midi\_file** elements have tracks numbered sequentially from the end of the first chunk. That is, if the *i*<sup>th</sup> **midi\_file** element has *k<sub>i</sub>* tracks,  $0 < i < n$ , then the tracks from the first **midi\_file** are numbered  $0..k_0-1$ , those from the second are numbered  $k_0..k_1-1$ , and so forth.

EXAMPLE

A **midi\_file** containing ten track chunks would be mapped onto a set of 160 **midi\_event channel** values. Events in track chunk 0 would map to **channel** values in the range 0...15. Events in track chunk 1 would map to **channel** values in the range 16...31. Events in track chunk 9 would map to **channel** values in the range 144...159.

If some channel numbers within a given track chunk are unused, the corresponding **channel** values are also unused.

**5.14.3.4 Default controller values**

The following table gives the default values for certain continuous controllers. If a particular controller is not listed here, then its default value shall be zero.

There is no normative significance to these “function names” excepting controller 64; however, content authors who wish to use General MIDI score files with SAOL orchestras are advised to consult The Complete MIDI 1.0 Detailed Specification v. 96.2 for the normative meaning of the controllers and controller values within General MIDI bitstreams and MIDIfiles.

**Table 5.4 — Default MIDI Controller Values**

Controller	Function	Default
1	Mod Wheel	0
5	Portamento Speed	0
7	Volume	100
10	Pan	64
11	Expression	127
64	Sustain Pedal	0
65	Portamento On/Off	0

66	Sostenuto	0
67	Soft Pedal	0
84	Portamento Control	0
Pitch Bend	Pitch Bend	8192

## 5.15 Input sounds and relationship with AudioBIFS

### 5.15.1 Introduction

This subclause describes the use of SAOL orchestras as the effects-processing functionality in the AudioBIFS (Binary Format for Scene Description) system, described in ISO/IEC 14496-1 subclause 9.2.2.13.3. In ISO/IEC 14496, SAOL is used not only as a sound-synthesis description method, but also as a description method for sound-effects and other post-production algorithms. The BIFS **AudioFX** node (ISO/IEC 14496-1, subclause 9.4.2.7) allows the inclusion of signal-processing algorithms described in SAOL that are applied to the outputs of the sound nodes subsidiary to that node in the scene graph. This functionality fits well into the bus-send methodology in Structured Audio, but requires some additional normative text to exactly describe the process.

### 5.15.2 Input sources and phaseGroup

Each node in a BIFS scene graph that contains SAOL code is either an **AudioSource** node or an **AudioFX** node. If the former, there are no input sources to the SAOL orchestra, and so the default orchestra global **inchannels** value is 0 (see subclause 5.8.5.2.3). In this case, the special bus **input\_bus** may not be sent to an instrument or otherwise used in the orchestra.

If the latter, the child nodes of the **AudioFX** node provide several channels of input sound to the orchestra. These channels of input sound, calculated as described in ISO/IEC 14496-1 subclause 9.4.2.7, are placed on the special bus **input\_bus**. From this bus, they may be sent to any instrument(s) desired and the audio data thereby provided shall be treated normally. The number of orchestra input channels—the default value of orchestra global **inchannels**—is the sum of the numbers of channels of sound provided by each of the children.

In any instrument that receives a **send** from the special bus **input\_bus**, the value of the **inGroup** standard name (see subclause 5.8.6.8.15) shall be constructed using the **phaseGroup** values of the child nodes in the scene graph, as follows. The **inGroup** values, when non-zero, shall have the property that **inGroup**[i] = **inGroup**[j] when  $i \neq j$  exactly when **input** channel **i** is output channel **n** of child **c1**, **input** channel **j** is output channel **m** of child **c2**, **c1** = **c2**, and **phaseGroup**[n] = **phaseGroup**[m] within **c1**. (That is, when the two channels come from the same child and are phase-grouped in that child's output).

This rule applies in addition to the usual **inGroup** rules as given in subclause 5.8.6.8.15.

#### NOTE

The **phaseGroup** values are made available to the SA decoder, but they are not visible inside the scope of the several orchestra elements, and therefore **phaseGroup** does not constitute a standard name as **inGroup**. **phaseGroup** is only used outside the orchestra by the SA decoder to construct the **inGroup** values.

#### EXAMPLE

Assume that the two child nodes of an **AudioFX** node produce two and three channels of output respectively, and their **phaseGroup** fields are [1,1] and [1,0,1] respectively. That is, in the first child, the two channels form a stereo pair; and in the second, the first and third channels form a stereo pair that has no phase relationships with the second channel.

For the following global orchestra definitions:

```
send(input_bus ; ; a);
route(a, bus2);
send(bus2, input_bus, bus2 ; ; b);
```

Assume that instrument **a** produces two channels of output. Then, a legal value for the **inGroup** name within **a** is [1,1,2,0,2], and a legal value for the **inGroup** name within **b** is [1,1,2,2,3,0,3,4,4]. The value for the **inGroup** name within **a** shall not be [1,1,1,0,1], and the value for the **inGroup** name within **b** shall not be [1,1,2,2,2,2,2,3,3] (among other illegal possibilities).

### 5.15.3 The AudioFX node

#### 5.15.3.1 Introduction

The **AudioFX** node in AudioBIFS is described in the MPEG-4 Systems document, ISO/IEC 14496-1, subclause 9.4.2.7. It is used therein to download audio effects-processing algorithms within the AudioBIFS toolset. SAOL is the language for description of audio effects-processing algorithms in AudioBIFS. This subclause describes the execution of a Structured Audio orchestra for the purpose of processing sounds in the AudioBIFS scene.

The aspects described in this subclause are normative, but the behaviour is not “decoding” behaviour. Rather, this subclause expands the normative processing semantics of the **AudioFX** node description in ISO/IEC 14496-1.

#### 5.15.3.2 AudioFX orchestra parameters

When a SAOL orchestra is instantiated due to an **AudioFX** BIFS node, only an orchestra file (the **orch** field in the node) and, optionally, a SASL score file (the **score** field) are provided. These files correspond to tokenised sequences of orchestra and score data forming legal **orchestra** and **score\_file** bitstream elements as described in subclause 5.5.2. Further, a score may not contain new instrument events, but only control parameters for the **send** instruments defined in the orchestra. The set of allowable sampling rates is restricted, see subclause 5.8.5.2.1.

#### 5.15.3.3 AudioFX orchestra instantiation

To instantiate the orchestra for the **AudioFX** node requires the following steps:

1. Decoding of the **orch** and **score** (if any) elements in the node
2. Parsing and syntax-checking of these elements
3. Instantiation of **send** instruments in the orchestra (as described in subclause 5.7.2).

Each of these **send** instances shall be maintained until it is turned off by the **turnoff** statement, or the node containing the orchestra is deleted from the scene graph. If the **turnoff** statement is used in one of these instruments, it shall be taken as producing zero values for all future time.

#### 5.15.3.4 AudioFX orchestra execution

The run-time synthesis process proceeds according to the rules cited in subclause 5.7.3.3 for a standard SA decoding process, with the following exceptions and additions:

As no access units will be received by an **AudioFX** process, no communication with the systems layer need be maintained for this purpose. The only events used are those that are in the **score** field of the node itself. At each time step, the **AudioFX** orchestra shall request from the systems layer the input audio buffers that correspond to the child nodes. These audio buffers shall be placed on the special bus **input\_bus** and then sent to whatever instruments are specified in the global orchestra header.

Also, at each control-rate step, the **params[]** fields of the **AudioFX** node shall be copied into the global **params[]** array of the orchestra. These fields are exposed in the scene graph so that interactive aspects of other parts of the scene graph may be used to control the orchestra. At the end of each control cycle, the **params[]** array values shall be copied back into the corresponding fields of the **AudioFX** node and then routed to other nodes as specified within the scene graph. (It is not possible to give a more semantically meaningful field name than **params** since the purpose of the field may vary greatly from application to application, depending on the needs of the content).

At every point in time, the output of the orchestra becomes the output of the **AudioFX** node.

#### 5.15.3.5 Speed change functionality in the AudioFX node

Speed change functionality for sounds provided from the input sources is supported in the AudioFX node. The SAOL core opcode **fx\_speedc** is provided for this purpose; see subclause 5.9.14.4.

#### 5.15.4 Interactive 3-D spatial audio scenes

When an **AudioSource** or **AudioFX** node is the child of a **Sound** node, the spatial location, direction, and propagation pattern of the sound subtree represented at the position of the **Sound** node, and the spatial location and direction of the listener, are provided to the SAOL code in the node. In this way, subtle spatial effects such as source directivity modelling may be written in SAOL.

The standard names **position**, **direction**, **listenerPosition**, **listenerDirection**, **minFront**, **maxFront**, **minBack**, and **maxBack** (see subclauses 5.8.6.8.18-5.8.6.8.25) are used for this purpose.

It is not recommended that content providing 3-D spatial audio in the context of audio-visual virtual reality applications in BIFS use the **spatialize** statement within SAOL to provide this functionality. In most terminals, the scene-composition 3-D audio functionality will be able to use more information about the interaction process to provide the best-quality audio rendering. In particular, spatial positioning and source directivity are implemented at the end terminal with a sophistication suitable for the terminal itself (see ISO/IEC 14496-1, **Sound** node specification, subclause 9.4.2.82). Content authors can use SAOL and the **AudioFX** node to create enhanced spatial effects that include reverberation, environmental attributes and complex attenuation functions, and then let the terminal-level spatial audio presentation be used to interface with the available rendering method for the terminal. The **spatialize** statement in SAOL is provided for the creation of non-interactive spatial audio effects in musical compositions, so that composers may tightly integrate the spatial presentation with other aspects of the musical material.

## Annex 5.A (normative)

### Coding tables

#### 5.A.1 Introduction

This Annex contains the bitstream token table as referenced in subclause 5.5 and subclause 5.12. Certain tokens are indicated as **(reserved)**, which means they are not currently used in the bitstream, but may be used in future versions of the standard. Tokens 0xF5 through 0xFF may be used by implementers for implementation-dependent purposes.

#### 5.A.2 Bitstream token table

Token	Text		
0x00	<b>(reserved)</b>	0x41	minFront
0x01	aopcode	0x42	minBack
0x02	asig	0x43	maxFront
0x03	else	0x44	maxBack
0x04	exports	0x45	params
0x05	extend	0x46	itime
0x06	global	0x47	<b>(reserved)</b>
0x07	if	0x48	channel
0x08	imports	0x49	input_bus
0x09	inchannels	0x4A	output_bus
0x0A	instr	0x4B	startup
0x0B	iopcode	0x4C-0x4F	<b>(reserved)</b>
0x0C	ivar	0x50	&&
0x0D	kopcode	0x51	
0x0E	krate	0x52	>=
0x0F	ksig	0x53	<=
0x10	map	0x54	!=
0x11	oparray	0x55	==
0x12	opcode	0x56	-
0x13	outbus	0x57	*
0x14	outchannels	0x58	/
0x15	output	0x59	+
0x16	return	0x5A	>
0x17	route	0x5B	<
0x18	send	0x5C	?
0x19	sequence	0x5D	:
0x1A	sasbf	0x5E	(
0x1B	spatialize	0x5F	)
0x1C	srate	0x60	{
0x1D	table	0x61	}
0x1E	tablemap	0x62	[
0x1F	template	0x63	]
0x20	turnoff	0x64	;
0x21	while	0x65	,
0x22	with	0x66	=
0x23	xsig	0x67	!
0x24	interp	0x68-0x6E	<b>(reserved)</b>
0x25	preset	0x6F	sample
0x26-0x2F	<b>(reserved)</b>	0x70	data
0x30	k_rate	0x71	random
0x31	s_rate	0x72	step
0x32	inchan	0x73	lineseg
0x33	outchan	0x74	expseg
0x34	time	0x75	cubicseg
0x35	dur	0x76	polynomial
0x36	MIDIctrl	0x77	spline
0x37	MIDItouch	0x78	window
0x38	MIDIbend	0x79	harm
0x39	input	0x7A	harm_phase
0x3A	inGroup	0x7B	periodic
0x3B	released	0x7C	buzz
0x3C	cpuload	0x7D	concat
0x3D	position	0x7E	empty
0x3E	direction	0x7F	<b>(reserved)</b>
0x3F	listenerPosition	0x80	int
0x40	listenerDirection	0x81	frac
		0x82	dbamp

0x83	ampdb	0xBC	alinrand
0x84	abs	0xBD	iexprand
0x85	exp	0xBE	kexprand
0x86	log	0xBF	aexprand
0x87	sqrt	0xC0	kpoissonrand
0x88	sin	0xC1	apoissonrand
0x89	cos	0xC2	igaussrand
0x8A	atan	0xC3	kgaussrand
0x8B	pow	0xC4	agaussrand
0x8C	log10	0xC5	port
0x8D	asin	0xC6	hipass
0x8E	acos	0xC7	lopass
0x8F	floor	0xC8	bandpass
0x90	ceil	0xC9	bandstop
0x91	min	0xCA	fir
0x92	max	0xCB	iir
0x93	pchoct	0xCC	firt
0x94	octpch	0xCD	iirt
0x95	cpspch	0xCE	biquad
0x96	pchcps	0xCF	fft
0x97	cpsoct	0xD0	ifft
0x98	octcps	0xD1	rms
0x99	pchmidi	0xD2	gain
0x9A	midipch	0xD3	balance
0x9B	octmidi	0xD4	decimate
0x9C	midioct	0xD5	upsamp
0x9D	cpsmidi	0xD6	downsamp
0x9E	midicps	0xD7	samphold
0x9F	sgn	0xD8	delay
0xA0	ftlen	0xD9	delay1
0xA1	ftloop	0xDA	fracdelay
0xA2	ftloopend	0xDB	comb
0xA3	ftsetloop	0xDC	allpass
0xA4	ftsetend	0xDD	chorus
0xA5	ftbasecps	0xDE	flange
0xA6	ftsetbase	0xDF	reverb
0xA7	tableread	0xE0	compressor
0xA8	tablewrite	0xE1	gettune
0xA9	oscil	0xE2	settune
0xAA	loscil	0xE3	ftsr
0xAB	doscil	0xE4	ftsetsr
0xAC	koscil	0xE5	gettempo
0xAD	kline	0xE6	settempo
0xAE	aline	0xE7	fx_speedc
0xAF	sblock	0xE8	speedt
0xB0	kexpon	0xE9-0xEF	<b>(reserved)</b>
0xB1	aexpon	0xF0	<symbol>
0xB2	kphasor	0xF1	<number>
0xB3	aphasor	0xF2	<integer>
0xB4	pluck	0xF3	<string>
0xB5	buzz	0xF4	<byte>
0xB6	grain	0xF5-0xFF	<b>(free)</b>
0xB7	irand	0xFF	<E00>
0xB8	krand		
0xB9	arand		
0xBA	ilinrand		
0xBB	klinrand		

## Annex 5.B (informative)

### Encoding

#### 5.B.1 Introduction

This Annex, provided for informative purposes only, provides guidelines for building a typical Structured Audio encoder. Unlike for the natural audio coders described in Subparts 2, 3 and 4, at the time of the completion of ISO/IEC 14496, there is no known technique for generally and automatically encoding Structured Audio bitstreams from acoustic data. The computational methods that would be required to accomplish this—known variously as “computational auditory scene analysis”, “automatic polyphonic transcription”, and “musical acoustic source separation”—are still in a research stage and not likely to be generally available for several years.

Thus, the creation of bitstreams complying to this subclause is a process that requires human intervention and assistance. This Annex describes the functions of possible tools for creating such bitstreams; the techniques described here are for informative purposes only, and are not required for compliance to ISO/IEC 14496-3.

#### 5.B.2 Basic encoding

##### 5.B.2.1 Introduction

This subclause describes the operation of a *basic encoder* of the sort provided with ISO/IEC 14496-5. A basic Structured Audio encoder takes as input the component units of a bitstream conforming to the description in subclause 5.5 (such as orchestra files and sound samples), and converts them into in a legal bitstream representation. It is outside the scope of this Annex to discuss the origin of the component units themselves; perhaps they have been created by hand or with the use of other general-purpose computer tools.

For the purposes of this discussion, it is assumed that the component units are in the following formats: SAOL and SASL programs are in their respective textual formats as described in subclause 5.8 and subclause 5.11 respectively; sound samples are individually stored in computer sound-file format such as AIFF or WAVE; MIDI data is stored as a Standard MIDI File; and SASBF banks are stored as binary data files.

The steps required in bitstream creation are as follows: tokenisation of the SAOL and SASL programs, disassembly of the sound samples, assembly of the decoder configuration information, and (optionally) reorganisation of the score and MIDI events into streaming data. Each of these steps is described in the following subclauses.

##### 5.B.2.2 Tokenisation of SAOL data

The tokenisation of SAOL data is conducted as described in subclause 5.12.2. This process converts the SAOL program given in the textual format into a binary block of data. During this process, a *symbol table* may be constructed if desired by enumerating the names of the instruments, user-defined opcodes, wavetables, and signal variables in the orchestra, and associating each with a numeric value. This table may be incorporated in the decoder configuration header of the bitstream as described in subclause 5.5.2; it has no normative significance in decoding, but allows human-readable SAOL and SASL programs in the textual format to be recovered from the bitstream.

##### 5.B.2.3 Tokenisation of SASL data

The tokenisation of SASL data is conducted as described in subclause 5.12.3. This process converts the SASL program given in the textual format into a binary block of data. Any symbols used in the SASL score may be

incorporated into the symbol table if one was constructed in the process described in subclause 5.B.2.2; however, at most one symbol table may be used in the orchestra.

#### 5.B.2.4 Disassembly of sound samples

The sound samples stored as computer sound files are disassembled into blocks of sample values. It is not permissible to include sound samples with formatted data (such as an AIFF or WAVE file) directly in the Structured Audio bitstream. The length (in samples), sampling rate (in Hz) if available, base frequency (in Hz) if needed, and loop start and end points (in sample number) if needed are accounted from the formatted information in the computer sound file. The sound samples are converted from whatever format they were stored in the computer sound file into either 16-bit signed integer values (that is, the values are scaled into the range [-32768, 32767]) or into 32-bit signed floating point values. Either format may be used for a sample in the Structured Audio bitstream; the first is more efficient and the second is more precise.

NOTE - If very long sound samples are to be used, and one or more natural sound decoders (that is, the functionality described in clauses 2, 3, and 4) are present in the terminal, the natural sound decoders may be used to compress sound samples as described in subclause 5.10.2 of this document and subclause 9.4.2.4 of ISO/IEC 14496-1. In this case, the sound sample(s) is (are) not contained in the Structured Audio bitstream, but in one or more natural audio bitstreams that are associated with the Structured Audio bitstream through use of the **AudioBuffer** AudioBIFS node as described in subclause 9.4.2.4 of ISO/IEC 14496-1. This process can result in more efficient transmission for Structured Audio bitstreams containing long samples.

#### 5.B.2.5 Assembly of decoder configuration information

The decoder configuration header is constructed according to the format given in subclause 5.5 from a tokenised SAOL orchestra, and possibly one or more tokenised SASL scores, sound samples, MIDI files, and SASBF banks. The blocks may be in any desired order, and are indexed by the **more\_data** and **chunk\_type** bit fields. The **high\_priority** bit of each score event in the header may be set for each score line in the score that contained the \* tag, or for any set of important events desired.

#### 5.B.2.6 Assembly of streaming bitstream

In the Structured Audio bitstream format, streaming data in the form of access units is not strictly required; all of the information required for decoding may be present in the decoder configuration header. Including streaming data in the form of access units may make it easier for general-purpose MPEG-4 tools to reorganise the bitstream data for the purposes of editing or resynchronisation, or to execute random-access control of the bitstream (see Annex 5.C).

Sound samples, score events, and MIDI commands may all be included in the streaming-data part of the Structured Audio bitstream. A sound sample is included simply by packaging the sound data, after it has been disassembled from the computer sound file format, into an access unit as specified in subclause 5.5.2. A score event may be included with or without a timestamp as discussed in subclause 5.5.2. If it is included with a timestamp, it is subject to internal orchestra tempo control as discussed in subclause 5.7.3.3.6, but is difficult to reschedule at the Access Unit level; if it is included without timestamps, it is easier to reschedule at the Access Unit level, and is not subject to score-based control of the tempo. If there is no explicit timestamp, the event timing is controlled by the synchronisation information in the Access Unit, see subclause 7.2.3 of ISO/IEC 14496-1. In this case, the **use\_if\_late** tag may be used to indicate whether the event shall be used if it arrives late; see subclause 5.7.3.3.8.

For each score event, the **high\_priority** bit may be set if the corresponding line in the score contained the \* tag, or for any set of important events desired.

MIDI commands are first converted from the Standard MIDI File format to MIDI data representations. To accomplish this, the absolute time of each event in the Standard MIDI File is computed according to the syntax and semantics in The Complete MIDI 1.0 Detailed Specification v. 96.2. Then, the events are not included with delta-times, but are placed directly in the Access Unit, so that the synchronisation information in the Access Unit controls the event timing for the MIDI events. The MIDI data in each Access Unit in the bitstream is the same as that that is conveyed in the MIDI protocol in real-time MIDI-based performance; that is, it consists of un-timestamped note on, note off, and controller information.

MIDI events are wrapped in the length indicator as indicated in subclause 5.5; the format of streaming MIDI data in MPEG-4 is not bit-for-bit identical (and thus, not as compact) as MIDI data in the strict MIDI protocol as specified in The Complete MIDI 1.0 Detailed Specification v. 96.2, subclause 5.2.

NOTE - The streaming data constructed by the process is noted to be “bursty” and highly variable-rate. That is, when a large access unit is conveyed, for example, a sound sample, the effective bitrate is suddenly much higher than when only small access units such as note events are conveyed. The Access-Unit repackaging techniques described in clauses 10 and 11 of ISO/IEC 14496-1 may be used to smooth out the bitrate of the Structured Audio bitstream.

## Annex 5.C (informative)

### lex/yacc grammars for SAOL

#### 5.C.1 Introduction

This Annex provides grammars using the widely-available tools 'lex' and 'yacc' that conform to the SAOL specification in this document. They are provided for informative purposes only; implementers are free to use whichever tools they desire, or no tools, in building an implementation.

The reference software for Structured Audio in ISO/IEC 14496-5 builds the lexer and parser for SAOL out of these grammars by augmenting them with more processing and data-structures.

#### 5.C.2 Lexical grammar for SAOL in lex

```
STRCONST    \"(\\.|[^\\" data-bbox="58 404 747 457">
IDENT       [a-zA-Z_][a-zA-Z0-9_]*
INTGR       [0-9]+
NUMBER      [0-9]+(\\. [0-9]*)?(e[-+]?[0-9]+)?|-?\\. [0-9]*(e-+?[0-9]+)?
```

```
%{
void comment(void);
%}
```

```
%%
```

```
"/"        { comment(); }
"aopcode"  { return(AOPCODE) ; }
"asig"     { return(ASIG) ; }
"else"     { return(ELSE) ; }
"exports"  { return(EXPORTS) ; }
"extend"   { return(EXTEND) ; }
"global"   { return(GLOBAL) ; }
"if"       { return(IF) ; }
"imports"  { return(IMPORTS); }
"inchannels" { return(INCHANNELS) ; }
"instr"    { return(INSTR); }
"interp"   { return(INTERP); }
"iopcode"  { return(IOPCODE); }
"ivar"     { return(IVAR) ; }
"kopcode"  { return(KOPCODE); }
"crate"    { return(KRATE) ; }
"ksig"     { return(KSIG) ; }
"map"      { return(MAP) ; }
"oparray"  { return(OPARRAY) ; }
"opcode"   { return(OPCODE) ; }
"outbus"   { return(OUTBUS) ; }
"outchannels" { return(OUTCHANNELS) ; }
"output"   { return(OUTPUT) ; }
"preset"   { return(PRESET) ; }
"return"   { return(RETURN) ; }
"route"    { return(ROUTE) ; }
"send"     { return(SEND) ; }
"sequence" { return(SEQUENCE) ; }
"sasbf"    { return(SASBF) ; }
"spatialize" { return(SPATIALIZE) ; }
```

```

"srate"      { return(SRATE); }
"table"     { return(TABLE); }
"tablemap"  { return(TABLEMAP); }
"template"  { return(TEMPLATE); }
"turnoff"   { return(TURNOFF); }
"while"     { return(WHILE); }
"with"      { return(WITH); }
"xsig"      { return(XSIG); }
"&&"        { return(AND); }
"||"        { return(OR); }
">="        { return(GEQ); }
"<="        { return(LEQ); }
"!="        { return(NEQ); }
"=="        { return(EQEQ); }
"-"         { return(MINUS); }
"*"         { return(STAR); }
"/"         { return(SLASH); }
"+"         { return(PLUS); }
">"         { return(GT); }
"<"         { return(LT); }
"?"         { return(Q); }
":"         { return(COL); }
"("         { return(LP); }
")"         { return(RP); }
"{"         { return(LC); }
"}"         { return(RC); }
"["         { return(LB); }
"]"         { return(RB); }
";"         { return(SEM); }
","         { return(COM); }
"="         { return(EQ); }
"!"         { return(NOT); }

{STRCONST}  { yytext[strlen(yytext)-1] = 0; /* strip quotes */
              yyval = strdup(&yytext[1]);
              return(STRCONST); }

{IDENT}     { yyval = strdup(yytext);
              return(IDENT); }

{INTGR}     { yyval = strdup(yytext);
              return(INTGR); }

{NUMBER}    { yyval = strdup(yytext);
              return(NUMBER); }

[ \t\n\r]   { /* whitespace */ }

.           { printf("Line %d: Unknown character: '%s'\n",
                    yyline,yytext); } /* parse error */

%%

void comment() {
    char c;

    while ((c = input()) != '\n'); /* skip */
    yyline++;
    thisline[0] = 0;
    yycol = 0;
}

```

### 5.C.3 Syntactic grammar for SAOL in yacc

```

/*
   This is a grammar for SAOL, written in 'yacc'.

```

It has one shift/reduce conflict that arises when looking at table definitions. Within the grammar, 'table t1;' is allowed as a definition even though it is a prohibited construction (it is flagged in the syntax-check). So there's an ambiguity between

```
table t1(...)
table t1;
```

and you don't know with one lookahead where you are when you get 'table' if 't1' is the next token.

This grammar is somewhat less strict than it could be about creating parse errors for all syntax errors. As it is used in ISO/IEC 14496-5, many illegal constructions are allowed here, but then prohibited in the syntax check.

The code in ISO/IEC 14496-5 for Structured Audio uses this grammar as a basis, and augments it with error productions and construction of a parse tree.

Note that this grammar does not fully support the template construct as defined in subclause 5.8.8.2. This subclause permits expressions in the preset and map lists, whereas this grammar only supports terminals in these lists. To support the full template syntax, a lexical analyzer that detects expressions in preset and map lists and takes special action is necessary.

\*/

```
%token IDENT INTGR NUMBER STRCONST AOPCODE ELSE EXPORTS EXTEND GLOBAL
%token IF IMPORTS INCHANNELS INTERP
%token INSTR IOPCODE IVAR TABLE KOPCODE KRATE KSIG ASIG MAP
%token OPARRAY OPCODE OUTBUS OUTCHANNELS OUTPUT ROUTE SEND SEQUENCE
%token SRATE TEMPLATE TURNOFF WHILE WITH XSIG AND OR GEQ LEQ
%token NEQ EQEQ MINUS STAR SPATIALIZE SASBF TABLEMAP
%token SLASH PLUS GT LT Q COL LP RP LC RC LB RB SEM COM EQ RETURN NOT
%token ARRAYREF OPCALL IMPEXP VARDECL NOTAG SPECIALOP PRESET
```

%

```
%start orcfile
%right Q
%left OR
%left AND
%left EQEQ NEQ
%left LT GT LEQ NEQ
%left PLUS MINUS
%left STAR SLASH
%right UNOT UMINUS
%token HIGHEST
```

%%

```
orcfile      : proclist
              ;

proclist     : proclist instrdecl
              | proclist opcodedecl
              | proclist globaldecl
              | proclist templatedecl
              | /* null */
              | error
              ;
```

```

instrdecl      : INSTR IDENT LP identlist RP miditag LC vardecls block RC
                ;

miditag        : PRESET int_list
                | /* null */
                ;

int_list       : int_list INTGR
                | INTGR
                ;

opcodedecl     : optype IDENT LP paramlist RP LC opvardecls block RC
                ;

globaldecl     : GLOBAL LC globalblock RC
                ;

templatedecl   : TEMPLATE LT identlist GT /* with preset */
                PRESET mapblock
                LP identlist RP
                MAP LC identlist RC
                WITH LC mapblock RC LC
                vardecls block RC
                | TEMPLATE LT identlist GT /* no preset */
                LP identlist RP
                MAP LC identlist RC
                WITH LC mapblock RC LC
                vardecls block RC
                ;

mapblock       : mapblock COM LT terminal_list GT
                | LT terminal_list GT
                |
                ;

terminal_list  : terminal_list COM terminal
                | terminal
                ;

terminal       : IDENT
                | const
                | STRCONST
                ;

globalblock    : globalblock globaldef
                | /* null */
                ;

globaldef      : rtparam
                | vardecl
                | routedef
                | senddef
                | seqdef
                ;

rtparam        : SRATE INTGR SEM
                | KRATE INTGR SEM
                | INCHANNELS INTGR SEM
                | OUTCHANNELS INTGR SEM
                | INTERP INTGR SEM
                ;

routedef       : ROUTE LP IDENT COM identlist RP SEM
                ;

```

```

senddef      : SEND LP IDENT SEM exprlist SEM namelist RP SEM
              ;

seqdef       : SEQUENCE LP identlist RP SEM
              ;

block        : block statement
              | /* null */
              ;

statement    : lvalue EQ expr SEM
              | expr SEM
              | IF LP expr RP LC block RC
              | IF LP expr RP LC block RC ELSE LC block RC
              | WHILE LP expr RP LC block RC
              | INSTR IDENT LP exprlist RP SEM
              | OUTPUT LP exprlist RP SEM
              | SPATIALIZE LP exprlist RP SEM
              | OUTBUS LP IDENT COM exprlist RP SEM
              | EXTEND LP expr RP SEM
              | TURNOFF SEM
              | RETURN LP exprlist RP SEM
              ;

lvalue       : IDENT
              | IDENT LB expr RB
              ;

identlist    : identlist COM IDENT
              | IDENT
              | /* null */
              ;

paramlist    : paramlist COM paramdecl
              | paramdecl
              | /* null */
              ;

vardecls     : vardecls vardecl
              | /* null */
              ;

vardecl      : taglist stype namelist SEM
              | stype namelist SEM
              | tabledecl SEM
              | TABLEMAP IDENT LP identlist RP SEM
              ;

opvardecls   : opvardecls opvardecl
              | /* null */
              ;

opvardecl    : taglist otype namelist SEM
              | otype namelist SEM
              | tabledecl SEM
              | TABLEMAP IDENT LP identlist RP SEM
              ;

paramdecl    : otype name
              ;

namelist     : namelist COM name
              | name
              ;

```

```

name      : IDENT
          | IDENT LB INTGR RB
          | IDENT LB INCHANNELS RB
          | IDENT LB OUTCHANNELS RB
          ;

stype     : IVAR
          | KSIG
          | ASIG
          | TABLE
          | OPARRAY
          ;

otype     : XSIG
          | stype
          ;

tabledecl : TABLE IDENT LP IDENT COM exprstrlist RP
          ;

taglist   : IMPORTS
          | EXPORTS
          | IMPORTS EXPORTS
          | EXPORTS IMPORTS
          ;

optype    : AOPCODE
          | KOPCODE
          | IOPCODE
          | OPCODE
          ;

expr      : IDENT
          | const
          | IDENT LB expr RB
          | SASBF LP exprlist RP
          | IDENT LP exprlist RP
          | IDENT LB expr RB LP exprlist RP
          | expr Q expr COL expr %prec Q
          | expr LEQ expr
          | expr GEQ expr
          | expr NEQ expr
          | expr EQEQ expr
          | expr GT expr
          | expr LT expr
          | expr AND expr
          | expr OR expr
          | expr PLUS expr
          | expr MINUS expr
          | expr STAR expr
          | expr SLASH expr
          | NOT expr %prec UNOT
          | MINUS expr
          | LP expr RP
          ;

exprlist  : exprlist COM expr
          | expr
          | /* null */
          ;

```

```

/* this is used for table declarations; string constants provide
   above-the-standard file handling for "sample" */

```

## ISO/IEC 14496-3:2009(E)

```
exprstrlist  : exprstrlist COM expr  
              | exprstrlist COM STRCONST  
              | STRCONST  
              | expr  
              ;  
  
const        : INTGR  
              | NUMBER  
              ;
```

## Annex 5.D (informative)

### PICOLA Speed change algorithm

#### 5.D.1 Tool description

PICOLA (Pointer Interval Controlled OverLap Add) speed control tool supports speed change functionality for mono-channel signals. The speed control is achieved by replacing a part of the input signal with an overlap-added waveform or by inserting the overlap-added waveform into the input signal.

#### 5.D.2 Speed control process

The block diagram of the speed controller is shown in Figure 5.D.1. The input signal InputSignal, which is an output from the audio source with a given frame length NumInputSample, is stored in the buffer memory. Adjacent waveforms with the same length are extracted in pairs from the memory buffer and the pair with the minimum distortion between the two waveforms is selected. The selected waveforms are overlap-added. The speed control is achieved by replacing a part of the input signal with the overlap-added waveform or by inserting it into the input signal. The speed controller outputs the speed changed signal with a certain fixed length frame calculated as  $\text{NumInputSample} / \text{SpeedControlFactor}$ . Details of the processing are described below.

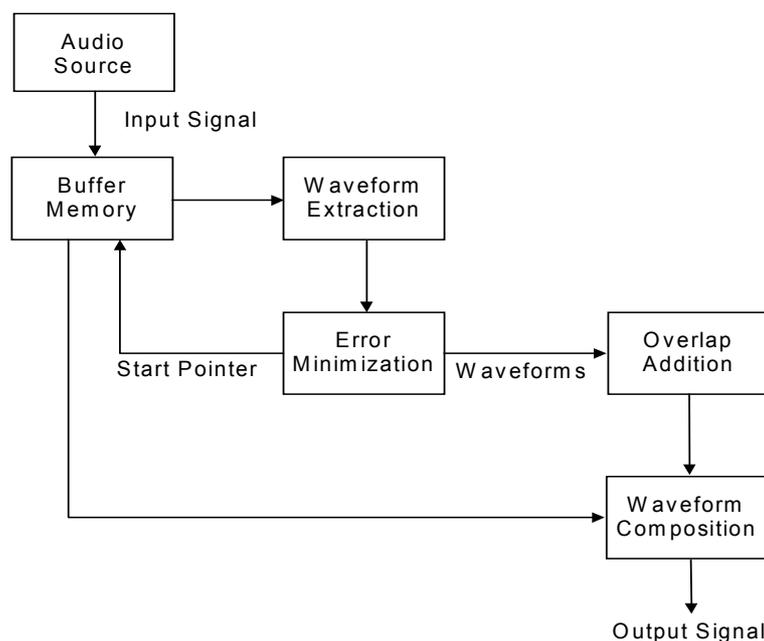


Figure 5.D.1 — Block Diagram of the Speed Controller

#### 5.D.3 Time scale compression (High speed replay)

The compression principle is shown in Figure 5.D.2. P0 is the pointer that indicates the starting sample of the current processing frame in the memory buffer. The processing frame has a length of LF samples and comprises adjacent waveforms each length of LW samples. The average distortion per sample between the first half of the processing frame (waveform A) and the second half (waveform B) is calculated as shown below.

$$D(LW) = \frac{1}{LW} \sum_{n=0}^{LW-1} \{x(n) - y(n)\}^2 \quad (P_{MIN} \leq LW \leq P_{MAX})$$

where  $D(LW)$  is the average distortion between the two waveforms when the waveform length is  $LW$ ,  $x(n)$  is the waveform A,  $y(n)$  is the waveform B,  $P_{MIN}$  is the minimum length of the candidate waveform and  $P_{MAX}$  is the maximum length of the candidate waveform. Typically  $P_{MIN}=32$  and  $P_{MAX}=160$  for 8kHz sampling rate,  $P_{MIN}=80$  and  $P_{MAX}=320$  for 16kHz sampling.

The length  $LW$  that minimizes the distortion  $D(LW)$  is selected, and corresponding waveforms A and B are determined. If the cross-correlation between the selected waveforms A and B is negative, the length  $LW$  is set to  $P_{MIN}-1$ . After the waveform length  $LW$  is determined, the waveform A is windowed by a half triangular window with a descending slope, and the waveform B is windowed by a half triangular window with an ascending slope. The overlap-added waveform C is obtained by linearly adding the windowed waveform A and waveform B. Then, the pointer  $P_0$  moves to the point  $P_1$ . The distance  $L$  from the beginning of waveform C to the pointer  $P_1$  is given by;

$$L = LW \cdot \frac{1}{\text{SpeedControlFactor} - 1} \quad (\text{SpeedControlFactor} > 1)$$

$L$  samples from the beginning of waveform C are output as the compressed signal. If  $L$  is greater than  $LW$ , the original waveform D that follows the waveform B is also output. Therefore the length of the signal is shortened from  $LW+L$  samples to  $L$  samples. The updated pointer  $P_1$  indicates the starting sample  $P_0'$  of the next processing frame.

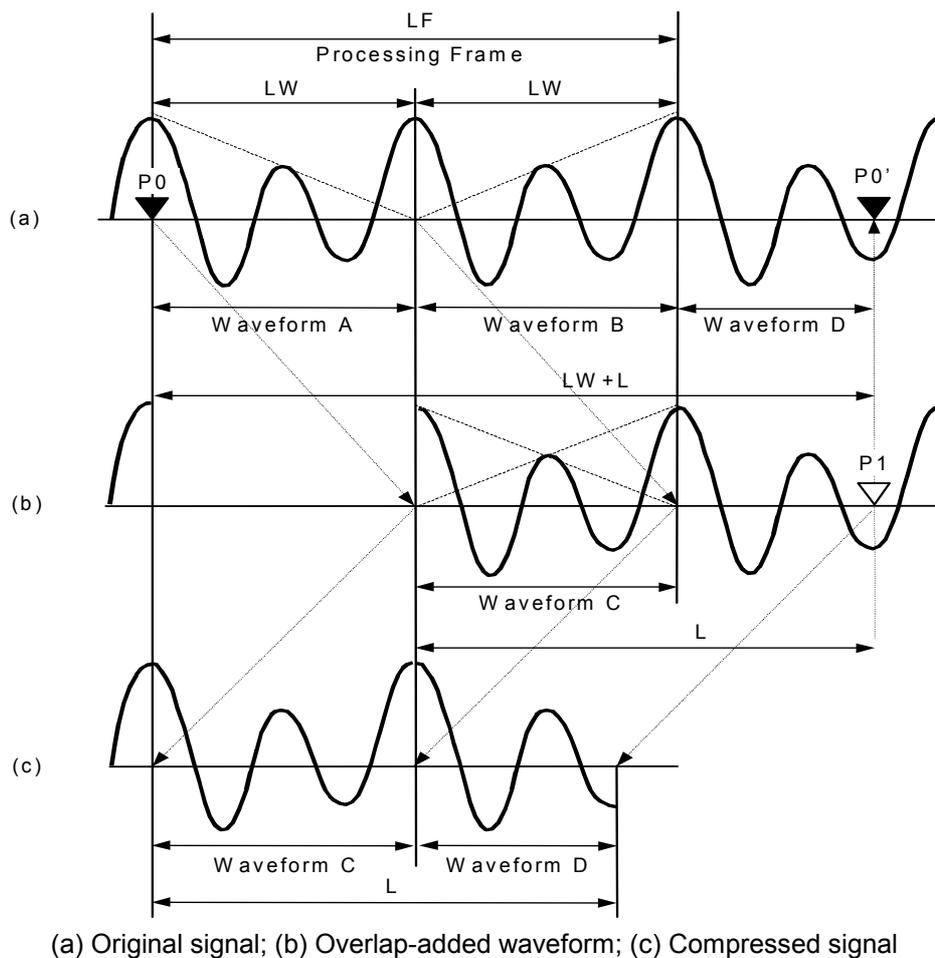


Figure 5.D.2 — Principle of Time Scale Compression

### 5.D.4 Time scale expansion (Low speed replay)

The expansion principle is shown in (a) Original signal; (b) Overlap-added waveform; (c) Expanded signal

Figure 5.D.3. P0 is the pointer that indicates the starting sample of the current processing frame in the memory buffer. The processing frame has a length of LF samples and includes adjacent waveforms each length of LW samples. After the waveform length LW is determined using the same method as described in the time scale compression, the first half of the processing frame (waveform A) is outputted without any modification. Next, the first half (waveform A) is windowed by a half triangular window with an ascending slope, and the second half (waveform B) is windowed by a half triangular window with a descending slope. The overlap-added waveform C is obtained by linearly adding the windowed waveform A and waveform B. Then, the pointer P0 moves to the point P1. The distance L from the beginning of waveform C to the pointer P1 is given by;

$$L = LW \cdot \frac{\text{SpeedControlFactor}}{1 - \text{SpeedControlFactor}} \quad (0.5 \leq \text{SpeedControlFactor} < 1)$$

L samples from the beginning of waveform C are output as the expanded signal. If L is greater than LW, the original waveform B is repeated as the output. The length of the signal is therefore expanded from L samples to LW+L samples. The updated pointer P1 indicates the starting sample P0' of the next processing frame.

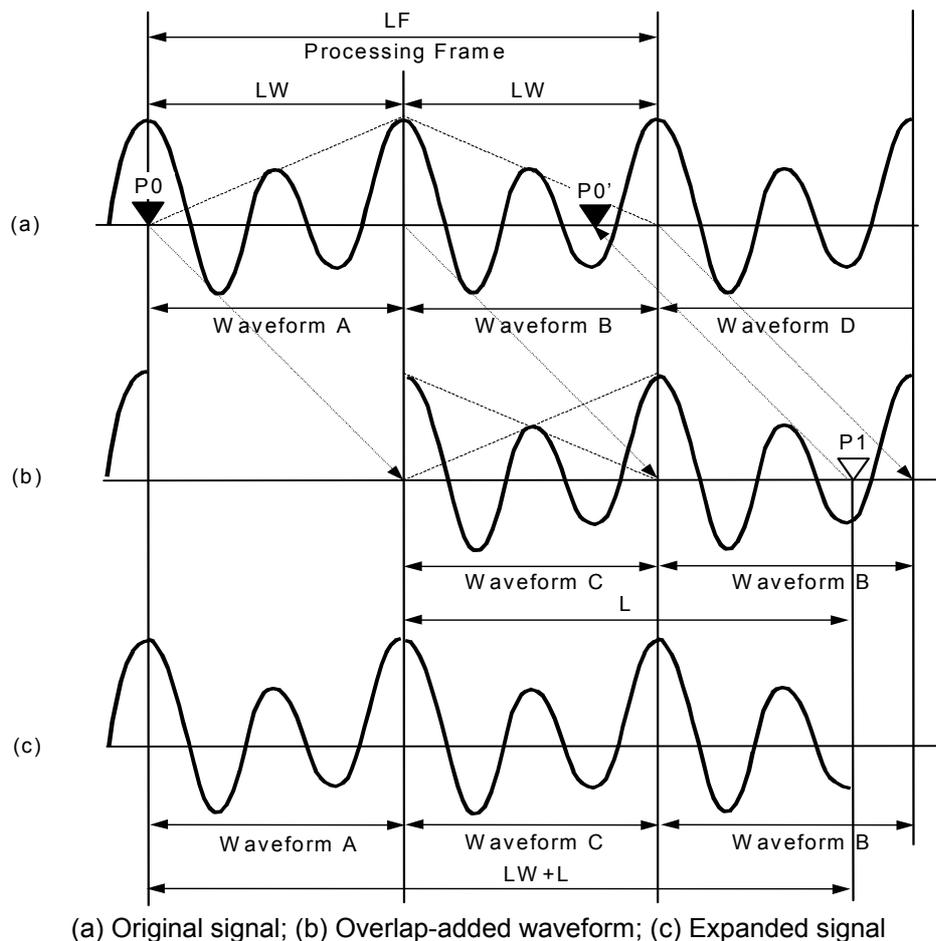


Figure 5.D.3 — Principle of Time Scale Expansion

## Annex 5.E (informative)

### Random access to Structured audio bitstreams

#### 5.E.1 Introduction

This Annex describes practices for constructing Structured Audio bitstreams that allow easy random access to sound content. As discussed in subclause 5.E.2, not every sound described with a bitstream conforming to the Structured Audio standard can be easily accessed at random points. Thus, a presentation of guidelines for content authors regarding random access can encourage the development of randomly-accessible bitstreams when this functionality is needed for a particular application. The techniques here are presented for informative purposes only, and are not required for compliance to this part of ISO/IEC 14496.

#### 5.E.2 Difficulties in general-purpose random access

The major problem with enabling random access to every bitstream is that of hidden state. Consider the following orchestra:

```
global {
  srate 24000;
  krate 1000;
  ivar count;
}

instr alternate() {
  imports exports ivar count;
  count = count + 1;
  if ((floor(count/2) * 2 == count && ltime == 0) { // divisible by 2?
    instr tone(...);
  }
  // notice no regular output
}

instr tone(...) { ... } // make some sound
```

This orchestra counts up the number of times the `alternate()` instrument is instantiated. Every even-numbered instance, it passes on control to the `tone()` instrument (which presumably makes some noise); on the odd-numbered instances, nothing happens. Thus, with a score containing the following subclause:

```
.
.
.
25.0 alternate 1.0
26.0 alternate 1.0
27.0 alternate 1.0
.
.
.
```

it is impossible to determine from this segment alone the parity of the first instrument line referring to `alternate()`; we cannot randomly access this point in the sound except by scanning backward through the score to discover how many previous times the instrument has been instantiated.

The general problem here is with the *hidden state variable* `count`. The instrument `alternate()` depends on **count** for its behavior, and this variable has two properties: (1) it has a long “memory” of its past behavior (it maintains state), and (2) it is not controlled by the score (it is hidden). Any orchestra that depends on such hidden state variables is not easily randomly-accessible.

The example here generalizes, not only to instrument dispatch, but to controllers, tempo changes, and even to the development of very long notes containing ambient sound. Suppose, for instance, that there was a long note begun in the above score at time 2.0 that is supposed to dominate the sound presentation at time 25.0 – in order to discover this, we have to similarly scan backward through the score.

## 5.E.3 Making Structured Audio bitstreams randomly-accessible

### 5.E.3.1 Introduction

This Annex describes recommended practices for providing random access points to Structured Audio bitstreams. It covers two topics. First, a set of constructs to avoid is presented; then, a discussion is conducted regarding methods for converting non-randomly-accessible to randomly-accessible bitstreams.

### 5.E.3.2 Constructs to avoid

In order to make bitstreams randomly-accessible, the following constructs should only be used carefully. Note that there is nothing “wrong” with this constructs in general, and they are useful in applications where random access to sound data is not required. Note also that examples can be constructed for each of these in which the described technique is used and random-accessibility is still maintained.

1. Very long notes. A note that lasts for a long time tends to prohibit random access while it is sustaining, particularly if the sound it makes changes a great deal over the duration.
2. Global variables. These are often used to preserve state between instrument instances or to share data between instruments. When used in this way, they tend to add hidden state to the orchestra.
3. Score-based creation of wavetables. If random access skips over the important point at which a wavetable is created, then it will not be available if some instrument instance needs it.
4. Modification of global wavetables. This is a special case of point (2).
5. Complex score-based control. If score-based controllers are used to modify greatly the behaviour of the orchestra, then random access will produce the wrong result if the control instructions are skipped. This applies to MIDI controllers as well as SASL controllers.

If these constructs are avoided, then in general a bitstream allows random access at the point of each instrument line in the score.

### 5.E.3.3 Altering bitstreams to make them randomly accessible

#### 5.E.3.3.1 Introduction

For each of the points listed above, it is possible to modify a bitstream that uses the technique to create a bitstream that produces the same sound, but is higher-bandwidth and randomly accessible. This annex provides general guidelines for accomplishing this. Note that it is not possible (due to computational incompleteness) to develop an automated tool that determines whether a particular bitstream is randomly accessible, but it is possible to develop a tool that applies transforms of the following sort automatically.

#### 5.E.3.3.2 Break up very long notes

Very long notes can be broken into several shorter notes. To take a simple example:

```
global {
  crate 100;
}

instr tone(freq) {
```

## ISO/IEC 14496-3:2009(E)

```
    asig a;
    table sine(harm,2048,1);
    a = oscil(sine,freq);
    output(a);
}

tone 10.0 440
```

This orchestra and score play a 440-Hz sine tone for 10 seconds. The same sound is produced by the score

```
0.0 tone 0.99 440
1.0 tone 0.99 440
2.0 tone 0.99 440
3.0 tone 0.99 440
4.0 tone 0.99 440
5.0 tone 0.99 440
6.0 tone 0.99 440
7.0 tone 0.99 440
8.0 tone 0.99 440
9.0 tone 0.99 440
```

which is randomly-accessible once per second rather than only at the beginning. Note that the sound is the same here because the tone is only broken into a new note at the zero-phase point so that the phase remains continuous. (The duration is 0.99 because note instances execute for one extra control period, as specified in subclause 5.7.3.3.6).

For a note that changes an internal state during playback, the internal state must be exposed as a p-field (or control parameter). For example:

```
instr tone(freq) {
    ksig env;
    asig a;
    table sine(harm,2048,1);
    env = kline(0,dur/2,1,dur/2,0);
    a = oscil(sine,freq) * env;
    output(a);
}

0.0 tone 10.0 440
```

In this case, the **kline()** core opcode encapsulates hidden state (the “current value” of the line segment) that must be exposed to break the note into sections. Thus:

```
instr tone(freq, startenv, endenv) {
    ksig env;
    asig a;
    table sine(harm,2048,1);
    env = kline(startenv,dur,endenv);
    a = oscil(sine,freq) * env;
    output(a);
}

0.0 tone 0.99 440 0.0 0.2
1.0 tone 0.99 440 0.2 0.4
2.0 tone 0.99 440 0.4 0.6
3.0 tone 0.99 440 0.6 0.8
4.0 tone 0.99 440 0.8 1.0
5.0 tone 0.99 440 1.0 0.8
6.0 tone 0.99 440 0.8 0.6
7.0 tone 0.99 440 0.6 0.4
8.0 tone 0.99 440 0.4 0.2
9.0 tone 0.99 440 0.2 0.0
```

This orchestra/score specifies the same sound, but is randomly accessible once per second. Application of this technique clearly becomes difficult for very complex instruments.

### 5.E.3.3.3 Replace global variables with controllers

Consider the initial example from subclause 5.E.2:

```
global {
  srate 24000;
  krate 1000;
  ivar count;
}

instr alternate() {
  imports exports ivar count;
  count = count + 1;
  if ((floor(count/2) * 2 == count && ltime == 0) { // divisible by 2?
    instr tone(...);
  }
  // notice no regular output
}

instr tone(...) { ... } // make some sound

.
.
.
25.0 alternate 1.0
26.0 alternate 1.0
27.0 alternate 1.0
.
.
.
```

We can make this bitstream randomly accessible by moving the manipulation of the **count** global variable out of the **alternate** instrument and into the score.

```
global {
  srate 24000;
  krate 1000;
  imports ivar count;
}

instr alternate() {
  imports ivar count;

  if ((floor(count/2) * 2 == count && ltime == 0) { // divisible by 2?
    instr tone(...);
  }
  // notice no regular output
}

instr tone(...) { ... } // make some sound

.
.
25.0 control count 14.0
25.0 alternate 1.0
26.0 control count 15.0
26.0 alternate 1.0
27.0 control count 16.0
27.0 alternate 1.0
.
.
.
```

With this change, the bitstream is now randomly accessible (and requires twice the bandwidth).

### 5.E.3.3.4 Replicate score-based wavetable generators

Consider the following example:

```
global {
  srate 24000;
  krate 1000;
}

instr tone(freq) {
  imports table shape;

  output(oscil(shape, freq));
}

0.0 table shape harm 2048 1
.
.
.
25.0 tone 1.0 440 // *
26.0 tone 1.0 485
```

At the random-access point (marked \*), it is not possible to determine the desired waveshape from local information. To fix this, we simply replicate the table generator throughout the score:

```
0.0 table shape harm 2048 1
.
.
.
25.0 table shape harm 2048 1
25.0 tone 1.0 440 // *
26.0 table shape harm 2048 1
26.0 tone 1.0 485
```

This bitstream is again more expensive, both in bandwidth and in computation, but it allows random access.

## Annex 5.F (informative)

### Directly-connected MIDI and microphone control of the orchestra

#### 5.F.1 Introduction

Although it is outside the normative scope of this part of ISO/IEC 14496, some discussion of connecting live MIDI devices and other controllers, and live microphones, directly to a sound generator making use of the Structured Audio tools (especially a SAOL orchestra) is presented and recommended practices developed. This discussion is presented for informative purposes only; the techniques presented here are not required for compliance to this part of ISO/IEC 14496.

The connection of live MIDI control of an orchestra allows a Structured Audio decoder device to be used as a real-time musical instrument in performance or recording situations. The sound quality and flexibility of the Structured Audio tools is an improvement over fixed hardware synthesizers for use in these situations. The connection of live microphones allows a Structured Audio decoder to act as an effects processor, a live or interactive karaoke device, or to enable other kinds of interactive electroacoustic performances.

This Annex presents recommendations for the connection of live MIDI devices and other controllers, and live microphones, to a Structured Audio decoder.

#### 5.F.2 MIDI controller recommended practices

As the MIDI-event bitstream format is very similar to the MIDI control data generated by a live MIDI device (it exactly encapsulates the data bytes generated by such a device in the MPEG-4 Access Unit), no direct modification needs to be made to a Structured Audio decoder to enable control of an orchestra by such a device. All that need be accomplished is a connection between the MIDI input of the terminal and the scheduler input, so that non-timestamped events from the MIDI input are passed directly to the scheduler. The following practices are recommended in this method:

The MIDI input should not be converted into a legal Structured Audio bitstream, but should generate events directly in the scheduler.

Any note-on events generated with a live MIDI device should not be executed in the order prescribed by the global sequencing rules (see subclause 5.8.5.6). Rather, the note instantiation and first k-cycle of the instrument instance should be executed in the current orchestra pass as soon as possible after they are received by the orchestra. Upon the second k-cycle pass through the instrument instance, the instance begins to be processed according to the global sequencing rules. This practice may cause unpredictable results if it is used in conjunction with instruments containing certain global-variable or bus-routing constructions, and thus such constructions must be used advisedly. If possible, the latency between the time the event is triggered by the live performer and the time at which the first k-cycle of the instrument sound is audible should be no greater than 5 ms.

The live MIDI events should not be subject to orchestra tempo control.

The live MIDI events should otherwise be treated as any other orchestra MIDI event. Streaming performance and live performance should be possible at the same time.

If multiple MIDI devices are connected to the same terminal, the terminal should allow that the channel numbering be managed logically, so that "MIDI channel 1" from Device A is a different channel than "MIDI channel 1" from Device B. For this purpose, it is recommended that each such MIDI device be assigned device numbers in ascending monotonic sequence. The **channel** value for a particular live MIDI event is then given by:

**channel** = live MIDI event channel + (device number \* 16).

The initial device number should be chosen so that **channel** values assigned to live MIDI events do not conflict with **channel** values assigned to **midi\_file** events as described in subclause 5.14.3.3.

Although at the time of writing ISO/IEC 14496, the vast majority of live musical control devices generate MIDI data, it is also possible to construct musical control devices that generate SASL events directly. In this case, the recommended practices are the same as above, except the incoming data is represented in terms of non-timestamped SASL events. The method of constructing devices that generate legal SASL events in real-time is outside the scope of this Annex.

### 5.F.3 Live microphone recommended practices

As the Structured Audio orchestra already handles acoustic data very well, it is easy to allow the connection of a live microphone. The special bus **input\_bus** is defined as in subclause 5.15.2, and the audio data captured by the microphone is placed on this bus, from which it can be sent to other instruments for processing. The following practices are recommended in this method:

A special bus called **input\_bus** is defined. This bus has the number of channels specified by the **inchannels** global parameter (see subclause 5.8.5.2.3). If the **inchannels** global parameter is not specified, this bus (and thus the microphone) cannot be used.

At the beginning of each control cycle (i.e., between step 9 and step 10 of subclause 5.7.3.3.6), the microphone input is sampled at the orchestra sampling rate and placed on the special bus **input\_bus**. If there are more channels of microphone input than on **input\_bus**, only the first channels are used and the rest are discarded; if there are fewer, then the “extra” channels of **input\_bus** are set to all 0 values. If there is no microphone connected, then all channels of **input\_bus** are set to all 0 values.

The **input\_bus** is treated as any other bus in the orchestra.

There is no recommended practice for using a microphone in conjunction with a SAOL orchestra that is used to process effects for an AudioFX node.

## Bibliography

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Alphabetical Index to Subpart 5 of ISO/IEC 14496-3

Page numbers in **boldface** refer to definitions in subclause 5.3.

- expression .....	4	call-by-reference .....	4
! expression .....	4	call-by-value .....	4
3-D audio .....	4	<b>ceil</b> core opcode .....	5
with AudioBIFS .....	8	channel aftertouch MIDI event .....	8
<b>abs</b> core opcode .....	5	<b>channel</b> standard name .....	4
absolute time .....	3	<b>chorus</b> core opcode .....	7
access unit .....		clipping .....	3
in bitstream .....	3	code block .....	
processing .....	3	executing .....	4
<b>acos</b> core opcode .....	5	in opcodes .....	5
<b>aexpon</b> core opcode .....	6	syntax of .....	4
<b>aexprand</b> core opcode .....	6	when to execute .....	3
aftertouch MIDI event .....	8	<b>comb</b> core opcode .....	6
<b>agaussrand</b> core opcode .....	6	comment .....	3
algorithmic synthesis .....		composition unit .....	3
object type .....	3	creating .....	3
<b>aline</b> core opcode .....	6	<b>compressor</b> core opcode .....	7
<b>alinrand</b> core opcode .....	6	<b>concat</b> core wavetable generator .....	7
All Notes Off .....	3	<b>concat</b> wavetable generator .....	3
<b>allpass</b> core opcode .....	6	conformance .....	3
<b>ampdb</b> core opcode .....	5	constant value expression .....	4
<b>aopcode</b> tag .....	5	control change MIDI event .....	8
<b>aphasor</b> core opcode .....	6	control event .....	
<b>apoissonrand</b> core opcode .....	6	executing .....	3
<b>arand</b> core opcode .....	6	in bitstream .....	3
arithmetic expression .....	4	<b>control</b> line in SASL .....	7
array reference expression .....	4	core opcodes .....	
array variable .....		list of .....	5
assigning to .....	4	<b>cos</b> core opcode .....	5
operations on .....	4	<b>cps</b> representation .....	5
<b>asin</b> core opcode .....	5	<b>cpsmidi</b> core opcode .....	6
assignment statement .....	4	<b>cpsoct</b> core opcode .....	6
<b>atan</b> core opcode .....	5	<b>cpspch</b> core opcode .....	5
AudioBIFS .....	8	<b>cpuload</b> standard name .....	4
AudioFX .....		<b>cubicseg</b> core wavetable generator .....	7
object type .....	3	<b>data</b> core wavetable generator .....	7
<b>AudioFX</b> node .....	8	<b>dbamp</b> core opcode .....	5
<b>AudioSource</b> node .....	3, 8	<b>decimate</b> core opcode .....	7
pitch field .....	5	decoder configuration header .....	
with Object type 2 decoder .....	8	in bitstream .....	3
<b>Backus-Naur Format</b> .....	3	processing .....	3
<b>balance</b> core opcode .....	7	decoding process .....	
<b>bandpass</b> core opcode .....	6	for illegal bitstreams .....	3
<b>bandstop</b> core opcode .....	6	main object type .....	3
bank select MIDI event .....	8	Object type 1 .....	8
binary operators .....	4	<b>delay</b> core opcode .....	7
<b>biquad</b> core opcode .....	6	<b>delay1</b> core opcode .....	7
bitstream .....		<b>direction</b> standard name .....	4
syntax .....	3	<b>doscil</b> core opcode .....	6
bus .....		<b>downsamp</b> core opcode .....	7
adding output to .....	3	dragon book .....	11
when to clear .....	3	<b>dur</b> standard name .....	4
<b>buzz</b> core opcode .....	6	effect instrument .....	3
<b>buzz</b> core wavetable generator .....	7	instantiating .....	3

terminating .....	3	and opcode calls .....	4
<b>else</b> statement .....	4	example .....	4
<b>empty</b> core wavetable generator .....	7	<b>harm</b> core wavetable generator .....	7
end event .....		<b>harm_phase</b> core wavetable generator .....	7
executing .....	3	<b>hipass</b> core opcode .....	6
in bitstream .....	3	identifier .....	3
<b>end</b> line in SASL .....	7	identifier expression .....	4
event .....		identlist (BNF element) .....	3
high-priority .....	3	<b>iexprand</b> core opcode .....	6
events .....		<b>if</b> statement .....	4
late-arrival of .....	3	<b>iff</b> core opcode .....	7
<b>exp</b> core opcode .....	5	example .....	7
<b>exports</b> tag .....	4	<b>igausrand</b> core opcode .....	6
with wavetables .....	4	<b>iir</b> core opcode .....	7
expression .....		<b>iirt</b> core opcode .....	7
rate .....	4	<b>ilinrand</b> core opcode .....	6
width .....	4	imported variables .....	
<b>expseg</b> core wavetable generator .....	7	copying values into .....	3
<b>extend</b> statement .....	3, 4	imported wavetable .....	
and effect of tempo .....	3	copying values into .....	3
with negative argument .....	4	<b>imports</b> tag .....	4
<b>fft</b> core opcode .....	7	with wavetables .....	4
example .....	7	<b>inchan</b> standard name .....	4
<b>fir</b> core opcode .....	6	<b>inchannels</b> global parameter .....	3
<b>firt</b> core opcode .....	7	computation of .....	3
<b>flange</b> core opcode .....	7	with AudioBIFS .....	8
floating point number (in SAOL) .....	3	<b>inGroup</b> standard name .....	3, 4
<b>floor</b> core opcode .....	5	with AudioBIFS .....	8
formal parameter .....		<b>input</b> standard name .....	3, 4
calculating value of .....	4	setting value of .....	3
declaration .....	5	<b>input_bus</b> .....	3
<b>frac</b> core opcode .....	5	with AudioBIFS .....	8
<b>fracdelay</b> core opcode .....	7	with AudioBIFS example .....	8
example .....	7	<b>instr</b> line in SASL .....	7
<b>ftbasecps</b> core opcode .....	6	<b>instr</b> statement .....	4
<b>ftlen</b> core opcode .....	6	instrument .....	
<b>ftloop</b> core opcode .....	6	a-cycle .....	3
<b>ftloopend</b> core opcode .....	6	declaring with template .....	5
<b>ftsetbase</b> core opcode .....	6	definition .....	4
<b>ftsetend</b> core opcode .....	6	executing .....	3
<b>ftsetsr</b> core opcode .....	6	instantiating .....	3
<b>ftsr</b> core opcode .....	6	k-cycle .....	3
future wavetable .....	4	name .....	4
<b>fx_speedc</b> core opcode .....	7	releasing .....	3
<b>gain</b> core opcode .....	7	terminating .....	3
<b>gettempo</b> core opcode .....	7	when to execute .....	3
<b>gettune</b> core opcode .....	5	instrument event .....	
global block .....	3	executing .....	3
global parameter .....	3	in bitstream .....	3
global statement .....	3	<b>int</b> core opcode .....	5
global variable .....		integer (in SAOL) .....	3
allocating .....	3	<b>interp</b> global parameter .....	3
copying values into .....	3	interpolation .....	
declaration .....	3	quality of .....	3
importing and exporting .....	4	<b>iopcode</b> tag .....	5
in opcode .....	4	<b>irand</b> core opcode .....	6
global wavetable .....		<b>itime</b> standard name .....	4
allowed expressions .....	3	<b>k_rate</b> standard name .....	4
creating .....	3	<b>kexpon</b> core opcode .....	6
declaration .....	3	<b>kexprand</b> core opcode .....	6
destroying .....	3	<b>kgausrand</b> core opcode .....	6
importing and exporting .....	4	<b>kline</b> core opcode .....	6
order of creation .....	3	<b>klinrand</b> core opcode .....	6
graceful degradation .....	3, 4	<b>kopcode</b> tag .....	5
<b>grain</b> core opcode .....	6	<b>koscil</b> core opcode .....	6
guard expression .....		<b>kphasor</b> core opcode .....	6

<b>kpoissonrand</b> core opcode .....	6	runtime decoding.....	8
<b>krand</b> core opcode .....	6	object types .....	3
<b>krate</b> parameter.....	3	<b>oct</b> representation.....	5
layering.....	4	<b>octcps</b> core opcode.....	6
<b>lineseg</b> core wavetable generator.....	7	<b>octmidi</b> core opcode.....	6
<b>listenerDirection</b> standard name .....	5	<b>octpch</b> core opcode.....	5
<b>listenerPosition</b> standard name.....	5	oparray	
local variable .....	4	declaration.....	4
allocating .....	3	examples.....	4
modifying with score .....	4	oparray expression.....	4
local wavetable		opcode	
declaration.....	4	call.....	4
<b>log</b> core opcode .....	5	declaration.....	5
<b>log10</b> core opcode .....	5	examples.....	5
<b>lopass</b> core opcode .....	6	names .....	5
<b>loscil</b> core opcode .....	6	rate of .....	5
lvalue .....	4	rate tag .....	5
map list.....	5	rate-polymorphic .....	5
<b>max</b> core opcode .....	5	opcode array .....	See oparray
<b>maxBack</b> standard name.....	5	opcode call expression.....	4
<b>maxFront</b> standard name .....	5	opcode expression	
MIDI		parameter mismatches in.....	5
in bitstream.....	3	<b>opcode</b> tag .....	5
messages not used in SAOL.....	8	orchestra .....	3
normative reference to.....	8	configuration.....	3
Object type .....	3	order of elements .....	3
semantics in SAOL.....	8	startup for AudioFX node .....	8
MIDI control		startup process.....	3
<b>preset</b> tag.....	4	orchestra cycle	
MIDI controllers		executing.....	3
default values .....	8	orchestra file	
MIDI event		in bitstream.....	3
creating.....	8	legal bitstream sequence for .....	3
executing.....	3	multiple.....	3
processing.....	3	processing.....	3
MIDI file		orchestra time	
decoding.....	8	advancing.....	3
processing.....	3	order of operations.....	4
MIDI pitch number representation .....	5	<b>oscil</b> core opcode .....	6
<b>MIDibend</b> standard name .....	4	<b>outbus</b> statement .....	4
<b>midicps</b> core opcode .....	6	<b>outchannels</b> parameter.....	3
<b>MIDIctrl</b> standard name .....	4	output	
<b>midioct</b> core opcode .....	6	channel widths .....	4
<b>midipch</b> core opcode.....	6	clipping.....	3
<b>MIDitouch</b> standard name .....	4	example.....	4
<b>min</b> core opcode .....	5	of instrument .....	3
<b>minBack</b> standard name.....	5	of orchestra .....	3
<b>minFront</b> standard name .....	5	scaling.....	3
MSDL.....	3	<b>output</b> statement .....	4
namelist (BNF element).....	3	output width	
negation expression .....	4	determining .....	3
noise generators.....	6	example.....	3
Normative References.....	3	<b>output_bus</b> .....	3
not expression .....	4	and <b>outbus</b> statement .....	4
<b>noteoff</b> MIDI event .....	8	and <b>turnoff</b> statement.....	4
<b>noteon</b> MIDI event .....	8	parallel execution of instruments.....	3
null assignment statement.....	4	parameter fields .....	4
number		allocating.....	3
in bitstream.....	3	<b>params</b> standard name .....	5
number (in SAOL).....	3	with AudioBIFS.....	8
numerical precision .....	3	parenthesis expression .....	4
object type		<b>pch</b> representation.....	5
main object type .....	3	<b>pchcps</b> core opcode.....	6
Object type 2		<b>pchoct</b> core opcode.....	5
decoder configuration.....	8	<b>periodic</b> core wavetable generator.....	7
decoding process .....	8	p-fields .....	See parameter fields

<b>phaseGroup</b>	
in AudioBIFS .....	8
pitch	
in <b>AudioSource</b> .....	5
pitch representations .....	5
pitch wheel MIDI event .....	8
<b>pluck</b> core opcode .....	6
<b>polynomial</b> core wavetable generator .....	7
<b>port</b> core opcode .....	6
<b>position</b> standard name .....	4
<b>pow</b> core opcode .....	5
<b>preset</b> standard name .....	4
<b>preset</b> tag .....	4
priority	
of events .....	3
priority events	
in standard .....	3
program change MIDI event .....	4, 8
random access point	
in bitstream .....	3
<b>random</b> core wavetable generator .....	7
recursion .....	4
reference parameters .....	4
<b>released</b> standard name .....	3, 4
reserved words .....	5
<b>return</b> statement .....	5
<b>reverb</b> core opcode .....	7
<b>rms</b> core opcode .....	7
<b>route</b> statement .....	3, 4
examples .....	3
run-time error .....	3
<b>s_rate</b> standard name .....	4
<b>samphold</b> core opcode .....	7
sample	
in bitstream .....	3
numeric format .....	3
processing .....	3
sample bank	
accessing from SAOL .....	4
in bitstream .....	3
processing .....	3
<b>sample</b> core wavetable generator .....	7
in score .....	7
<b>SAOL</b>	
legal programs .....	3
lexical elements of .....	3
optimising .....	3
purpose of textual representation .....	3
semantics .....	3
<b>syntax of</b> .....	3
SASBF	
bitstream format .....	8
in Object type 4 .....	8
object type .....	3
overview .....	8
reference to DLS2 standard .....	8
<b>sasbf</b> expression .....	4, 8
example .....	4
<b>SASL</b>	
<b>syntax of</b> .....	3
<b>sblock</b> core opcode .....	7
scheduler .....	3
purpose .....	3
score events	
late arrival of .....	3
score file	
in bitstream .....	3
processing .....	3
time in .....	7
score line	
in bitstream .....	3
order of .....	3
processing .....	3
score lines	
without timestamps .....	7
<b>send</b> statement .....	3, 4
and sequencing .....	3
instantiating instrument from .....	3
<b>sequence</b> statement .....	3
sequencing	
and the <b>instr</b> statement .....	4
examples .....	3
instrument execution .....	3
rules .....	3
<b>settempo</b> core opcode .....	7
<b>settone</b> core opcode .....	5
<b>sgn</b> core opcode .....	5
sharing tags .....	4
<b>sin</b> core opcode .....	5
<b>Sound</b> node .....	4
<b>spatialize</b> statement .....	4
and AudioBIFS .....	8
<b>specialop</b> rate type .....	5
example .....	5
speed change .....	7
<b>speed</b> field .....	3
<b>speedt</b> core opcode .....	7
<b>spline</b> core wavetable generator .....	7
<b>sqrt</b> core opcode .....	5
<b>srate</b> parameter .....	3
standard names .....	3
<b>startup</b> instrument .....	4
<b>step</b> core wavetable generator .....	7
string constant (in SAOL) .....	3
Structured Audio	
bandwidth .....	2
elements of toolset .....	2
purpose of .....	2
switch expression .....	4
symbol	
in bitstream .....	3
symbol table	
in bitstream .....	3
syntax error .....	3
systems	
interface to .....	3
table event	
executing .....	3
in bitstream .....	3
<b>table</b> line in SASL .....	7
tablemap	
declaration .....	4
declaration in opcodes .....	5
example .....	4
using in array expression .....	4
<b>tableread</b> core opcode .....	6
<b>tablewrite</b> core opcode .....	6
template	
declaration .....	5
example .....	5
tempo	
effect on termination .....	3

tempo .....	3	declaration.....	4
tempo change MIDI event .....	8	global.....	See global variable
tempo event		in opcodes.....	5
executing .....	3	local.....	See local variable
in bitstream.....	3	scope.....	4
<b>tempo</b> line in SASL .....	7	size of.....	3
<b>tempo</b> standard variable.....	3	static.....	4
time stamps		variables	
in bitstream.....	3	static.....	5
<b>time</b> standard name .....	4	wavetable	
token		creating .....	3
in bitstream.....	3	wavetable generators, built-in .....	7
token table .....	8	wavetable placeholder .....	3, 4
tokenisation .....	7	wavetable synthesis	
of SAOL.....	7	in SAOL.....	4
of SASL .....	7	MIDI controllers in .....	4
<b>turnoff</b> statement .....	4	object type.....	3
in effect instrument.....	3	<b>while</b> statement .....	4
in <b>output_bus</b> instrument .....	3	whitespace .....	3
universe, negative-time .....	7	<b>window</b> core wavetable generator .....	7
<b>upsamp</b> core opcode.....	7	<b>xsig</b> rate tag.....	5
varargs opcodes.....	5	examples.....	5
variable.....	3		

## Contents for Subpart 6

6.1	Scope .....	2
6.2	Terms and definitions .....	2
6.3	Symbols and abbreviations .....	2
6.4	MPEG-4 audio text-to-speech bitstream syntax .....	2
6.4.1	MPEG-4 audio TTSSpecificConfig .....	2
6.4.2	MPEG-4 audio text-to-speech payload .....	3
6.5	MPEG-4 audio text-to-speech bitstream semantics .....	5
6.5.1	MPEG-4 audio TTSSpecificConfig .....	5
6.5.2	MPEG-4 audio text-to-speech payload .....	5
6.6	MPEG-4 audio text-to-speech decoding process .....	7
6.6.1	Interface between DEMUX and syntactic decoder .....	7
6.6.2	Interface between syntactic decoder and speech synthesizer .....	8
6.6.3	Interface from speech synthesizer to compositor .....	8
6.6.4	Interface from compositor to speech synthesizer .....	8
6.6.5	Interface between speech synthesizer and phoneme/bookmark-to-FAP converter .....	9
Annex 6.A	(informative) Applications of MPEG-4 audio text-to-speech decoder .....	10
6.A.1	General .....	10
6.A.2	Application scenario: MPEG-4 Story Teller on Demand (STOD) .....	10
6.A.3	Application scenario: MPEG-4 audio text-to-speech with moving picture .....	10
6.A.4	MPEG-4 audio TTS and face animation using bookmarks appropriate for trick mode .....	10
6.A.5	Random access unit .....	10

## Subpart 6: Text to Speech Interface (TTSI)

### 6.1 Scope

This subpart of ISO/IEC 14496-3 specifies the coded representation of MPEG-4 Audio Text-to-Speech (M-TTS) and its decoder for high quality synthesized speech and for enabling various applications. The exact synthesis method is not a standardization issue partly because there are already various speech synthesis techniques.

This subpart of ISO/IEC 14496-3 is intended for application to M-TTS functionalities such as those for facial animation (FA) and moving picture (MP) interoperability with a coded bitstream. The M-TTS functionalities include a capability of utilizing prosodic information extracted from natural speech. They also include the applications to the speaking device for FA tools and a dubbing device for moving pictures by utilizing lip shape and input text information.

The text-to-speech (TTS) synthesis technology is recently becoming a rather common interface tool and begins to play an important role in various multimedia application areas. For instance, by using TTS synthesis functionality, multimedia contents with narration can be easily composed without recording natural speech sound. Moreover, TTS synthesis with facial animation (FA) / moving picture (MP) functionalities would possibly make the contents much richer. In other words, TTS technology can be used as a speech output device for FA tools and can also be used for MP dubbing with lip shape information. In MPEG-4, common interfaces only for the TTS synthesizer and for FA/MP interoperability are defined. The M-TTS functionalities can be considered as a superset of the conventional TTS framework. This TTS synthesizer can also utilize prosodic information of natural speech in addition to input text and can generate much higher quality synthetic speech. The interface bitstream format is strongly user-friendly: if some parameters of the prosodic information are not available, the missed parameters are generated by utilizing preestablished rules. The functionalities of the M-TTS thus range from conventional TTS synthesis function to natural speech coding and its application areas, i.e., from a simple TTS synthesis function to those for FA and MP.

### 6.2 Terms and definitions

Terms and definitions can be found in subpart 1, subclause 1.3.

### 6.3 Symbols and abbreviations

<b>F0</b>	fundamental frequency (pitch frequency)
<b>DEMUX</b>	demultiplexer
<b>FA</b>	facial animation
<b>FAP</b>	facial animation parameter
<b>ID</b>	identifier
<b>IPA</b>	International Phonetic Alphabet
<b>MP</b>	moving picture
<b>M-TTS</b>	MPEG-4 Audio TTS
<b>STOD</b>	story teller on demand
<b>TTS</b>	text-to-speech

### 6.4 MPEG-4 audio text-to-speech bitstream syntax

#### 6.4.1 MPEG-4 audio TTSSpecificConfig

TTSSpecificConfig() {

```
TTS_Sequence()
}
```

Table 6.1 — Syntax of TTS\_Sequence()

Syntax	No. of bits	Mnemonic
TTS_Sequence() {		
<b>TTS_Sequence_ID;</b>	<b>5</b>	<b>uimsbf</b>
<b>Language_Code;</b>	<b>18</b>	<b>uimsbf</b>
<b>Gender_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Age_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Speech_Rate_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Prosody_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Video_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Lip_Shape_Enable;</b>	<b>1</b>	<b>Bslbf</b>
<b>Trick_Mode_Enable;</b>	<b>1</b>	<b>Bslbf</b>
}		

#### 6.4.2 MPEG-4 audio text-to-speech payload

```
AIPduPayload {
    TTS_Sentence();
}
```

Table 6.2 — Syntax of TTS\_Sentence()

Syntax	No. of bits	Mnemonic
TTS_Sentence() {		
<b>TTS_Sentence_ID;</b>	<b>10</b>	<b>uimsbf</b>
<b>Silence;</b>	<b>1</b>	<b>bslbf</b>
if (Silence) {		
<b>Silence_Duration;</b>	<b>12</b>	<b>uimsbf</b>
}		
else {		
if (Gender_Enable) {		
<b>Gender;</b>	<b>1</b>	<b>bslbf</b>
}		
if (Age_Enable) {		
<b>Age;</b>	<b>3</b>	<b>uimsbf</b>
}		
if (!Video_Enable && Speech_Rate_Enable) {		
<b>Speech_Rate;</b>	<b>4</b>	<b>uimsbf</b>
}		
<b>Length_of_Text;</b>	<b>12</b>	<b>uimsbf</b>
for (j = 0; j < Length_of_Text; j++) {		
<b>TTS_Text;</b>	<b>8</b>	<b>bslbf</b>
}		
if (Prosody_Enable) {		

<b>Dur_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>F0_Contour_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Energy_Contour_Enable;</b>	<b>1</b>	<b>bslbf</b>
<b>Number_of_Phonemes;</b>	<b>10</b>	<b>uimsbf</b>
<b>Phoneme_Symbols_Length;</b>	<b>13</b>	<b>uimsbf</b>
for (j = 0 ; j < Phoneme_Symbols_Length ; j++) {		
<b>Phoneme_Symbols;</b>	<b>8</b>	<b>bslbf</b>
}		
for (j = 0 ; j < Number_of_Phonemes ; j++) {		
if (Dur_Enable) {		
<b>Dur_each_Phoneme;</b>	<b>12</b>	<b>uimsbf</b>
}		
if (F0_Contour_Enable) {		
<b>Num_F0;</b>	<b>5</b>	<b>uimsbf</b>
for (k = 0; k < Num_F0;k++) {		
<b>F0_Contour_each_Phoneme;</b>	<b>8</b>	<b>uimsbf</b>
<b>F0_Contour_each_Phoneme_Time;</b>	<b>12</b>	<b>uimsbf</b>
}		
}		
if (Energy_Contour_Enable) {		
<b>Energy_Contour_each_Phoneme;</b>	<b>8*3=24</b>	<b>uimsbf</b>
}		
}		
if (Video_Enable) {		
<b>Sentence_Duration;</b>	<b>16</b>	<b>uimsbf</b>
<b>Position_in_Sentence;</b>	<b>16</b>	<b>uimsbf</b>
<b>Offset;</b>	<b>10</b>	<b>uimsbf</b>
}		
if (Lip_Shape_Enable) {		
<b>Number_of_Lip_Shape;</b>	<b>10</b>	<b>uimsbf</b>
for (j = 0 ; j < Number_of_Lip_Shape ; j++) {		
<b>Lip_Shape_in_Sentence;</b>	<b>16</b>	<b>uimsbf</b>
<b>Lip_Shape;</b>	<b>8</b>	<b>uimsbf</b>
}		
}		
}		

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## 6.5 MPEG-4 audio text-to-speech bitstream semantics

### 6.5.1 MPEG-4 audio TTSSpecificConfig

**TTS\_Sequence\_ID** This is a five-bit ID to uniquely identify each TTS object appearing in one scene. Each speaker in a scene will have distinct TTS\_Sequence\_ID.

**Language\_Code** When this is "00" (00110000 00110000 in binary), the IPA is to be sent. In all other languages, this is the ISO 639 Language Code. In addition to this 16 bits, two bits that represent dialects of each language is added at the end (user defined).

**Gender\_Enable** This is a one-bit flag which is set to '1' when the gender information exists.

**Age\_Enable** This is a one-bit flag which is set to '1' when the age information exists.

**Speech\_Rate\_Enable** This is a one-bit flag which is set to '1' when the speech rate information exists.

**Prosody\_Enable** This is a one-bit flag which is set to '1' when the prosody information exists.

**Video\_Enable** This is a one-bit flag which is set to '1' when the M-TTS decoder works with MP. In this case, M-TTS should synchronize synthetic speech to MP and accommodate the functionality of ttsForward and ttsBackward. When VideoEnable flag is set, M-TTS decoder uses system clock to select adequate TTS\_Sentence frame and fetches Sentence\_Duration, Position\_in\_Sentence, Offset data. TTS synthesizer assigns appropriate duration for each phoneme to meet Sentence\_Duration. The starting point of speech in a sentence is decided by Position\_in\_Sentence. If Position\_in\_Sentence equals 0 (the starting point is the initial of sentence), TTS uses Offset as a delay time to synchronize synthetic speech to MP.

**Lip\_Shape\_Enable** This is a one-bit flag which is set to '1' when the coded input bitstream has lip shape information. With lip shape information, M-TTS request FA tool to change lip shape according to timing information (Lip\_Shape\_in\_Sentence) and predefined lip shape pattern.

**Trick\_Mode\_Enable** This is a one-bit flag which is set to '1' when the coded input bitstream permits trick mode functions such as stop, play, forward, and backward.

### 6.5.2 MPEG-4 audio text-to-speech payload

**TTS\_Sentence\_ID** This is a ten-bit ID to uniquely identify a sentence in the M-TTS text data sequence for indexing purpose. The first five bits equal to the TTS\_Sequence\_ID of the speaker defined in subclause 6.5.1, and the rest five bits are the sequential sentence number of each TTS object.

**Silence** This is a one-bit flag which is set to '1' when the current position is silence.

**Silence\_Duration** This defines the time duration of the current silence segment in milliseconds. It has a value from 1 to 4095. The value '0' is prohibited.

**Gender** This is a one-bit which is set to '1' if the gender of the synthetic speech producer is male and '0', if female.

**Age** This represents the age of the speaker for synthetic speech. The meaning of age is defined in Table 6.3.

Table 6.3 — Age mapping table

Age	Age of the speaker
000	below 6
001	6 – 12
010	13 – 18
011	19 – 25
100	26 – 34
101	35 – 45
110	45 – 60
111	over 60

**Speech\_Rate** This defines the synthetic speech rate in 16 levels. The level 8 corresponds the normal speed of the speaker defined in the current speech synthesizer, the level 0 corresponds to the slowest speed of the speech synthesizer, and the level 15 corresponds to the fastest speed of the speech synthesizer.

**Length\_of\_Text** This identifies the length of the TTS\_Text data in bytes.

**TTS\_Text** This is a character string containing the input text. The text bracketed by < and > contains bookmarks. If the text bracketed by < and > starts with FAP, the bookmark is handed to the face animation through the TtsFAPInterface as a string of characters. Otherwise, the text of the bookmark is ignored. The syntax of the bookmarks is defined in ISO/IEC 14496-2.

**Dur\_Enable** This is a one-bit flag which is set to '1' when the duration information for each phoneme exists.

**F0\_Contour\_Enable** This is a one-bit flag which is set to '1' when the pitch contour information for each phoneme exists.

**Energy\_Contour\_Enable** This is a one-bit flag which is set to '1' when the energy contour information for each phoneme exists.

**Number\_of\_Phonemes** This defines the number of phonemes needed for speech synthesis of the input text.

**Phonemes\_Symbols\_Length** This identifies the length of Phonemes\_Symbols (IPA code) data in bytes since the IPA code has optional modifiers and dialect codes.

**Phoneme\_Symbols** This defines the indexing number for the current phoneme by using the Unicode 2.0 numbering system. Each phoneme symbol is represented as a number for the corresponding IPA. Three two-byte numbers is used for each IPA representation including a two-byte integer for the character, and an optional two-byte integer for the spacing modifier, and another optional two-byte integer for the diacritical mark.

**Dur\_each\_Phoneme** This defines the duration of each phoneme in msec.

**Num\_F0** This defines the number of F0 values specified for the current phoneme.

**F0\_Contour\_each\_Phoneme** This defines half of the F0 value in Hz at time instant F0\_Contour\_each\_Phoneme\_Time.

**F0\_Contour\_each\_Phoneme\_Time** This defines the integer number of the time in ms for the position of the F0\_Contour\_each\_Phoneme.

**Energy\_Contour\_each\_Phoneme** These 3 8-bit data correspond to the energy values at the start, the middle, and the end positions of the phoneme. The energy value X is calculated as

$$X = \text{int}(50 \log_{10} A_{p-p}),$$

where  $A_{p-p}$  is the peak-to-peak value of the speech waveform at the defined position.

**Sentence\_Duration** This defines the duration of the sentence in msec.

**Position\_in\_Sentence** This defines the position of the current stop in a sentence as an elapsed time in msec.

**Offset** This defines the duration of a very short pause before the start of synthesized speech output in msec.

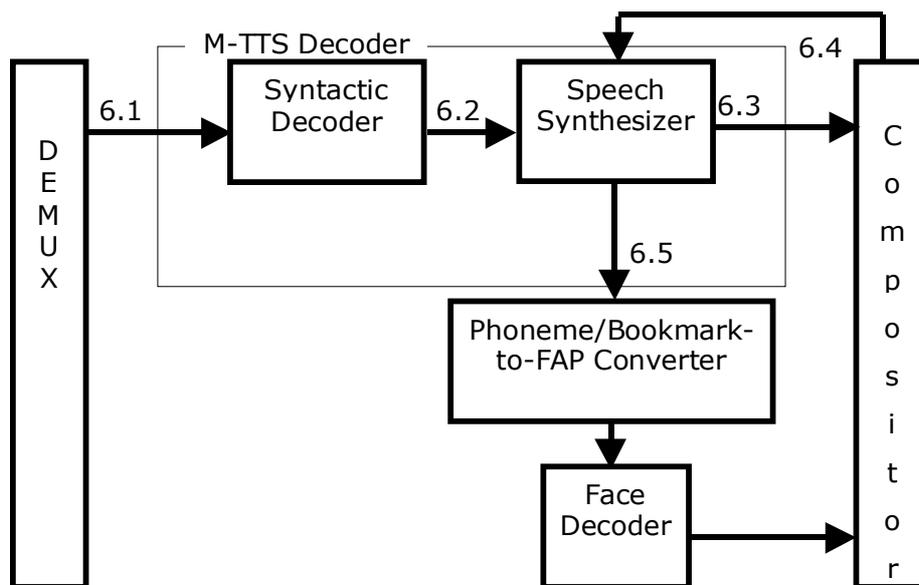
**Number\_of\_Lip\_Shape** This defines the number of lip-shape patterns to be processed.

**Lip\_Shape\_in\_Sentence** This defines the position of each lip shape from the beginning of the sentence in msec.

**Lip\_Shape** This defines the indexing number for the current lip-shape pattern to be processed that is defined in ISO/IEC 14496-2.

## 6.6 MPEG-4 audio text-to-speech decoding process

The architecture of the M-TTS decoder is described below and only the interfaces relevant to the M-TTS decoder are the subjects of standardization. The number above each arrow indicates the section describing each interface.



**Figure 6.1 — MPEG-4 Audio TTS decoder architecture.**

In this architecture the following types of interfaces is distinguished:

Interface between DEMUX and the syntactic decoder

Interface between the syntactic decoder and the speech synthesizer

Interface from the speech synthesizer to the compositor

Interface from the compositor to the speech synthesizer

Interface between the speech synthesizer and the phoneme/bookmark-to-FAP converter

### 6.6.1 Interface between DEMUX and syntactic decoder

Receiving a bitstream, DEMUX passes coded M-TTS bitstreams to the syntactic decoder.

### 6.6.2 Interface between syntactic decoder and speech synthesizer

Receiving a coded M-TTS bitstream, the syntactic decoder passes some of the following bitstreams to the speech synthesizer.

Input type of the M-TTS data: specifies synchronized operation with FA or MP

Control commands stream: Control command sequence

Input text: character string(s) for the text to be synthesized

Auxiliary information: Prosodic parameters including phoneme symbols

Lip shape patterns

Information for trick mode operation

The pseudo-C code representation of this interface is defined in subclause 6.4.

### 6.6.3 Interface from speech synthesizer to compositor

This interface is identical to the interface for digitized natural speech to the compositor. The dynamic range is from - 32767 to + 32768.

### 6.6.4 Interface from compositor to speech synthesizer

This interface is defined to allow the local control of the synthesized speech by users. This user interface supports trick mode of the synthesized speech in synchronization with MP and changes some prosodic properties of the synthesized speech by using the `ttsControl` defined as follows:

**Table 6.4 — Syntax of `ttsControl()`**

Syntax	No. of bits	Mnemonic
<pre> ttsControl() {     ttsPlay();     ttsForward();     ttsBackward();     ttsStopSyllable();     ttsStopWord();     ttsStopPhrase();     TtsChangeSpeedRate();     TtsChangePitchDynamicRange();     TtsChangePitchHeight();     TtsChangeGender();     ttsChangeAge(); }                     </pre>		

The member function `ttsPlay` allows a user to start speech synthesis in the forward direction while `ttsForward` and `ttsBackward` enable the user to change the starting play position in forward and backward direction, respectively. The `ttsStopSyllable`, `ttsStopWord`, and `ttsStopPhrase` functions define the interface for users to stop speech synthesis at the specified boundary such as syllable, word, and phrase. The member function `ttsChangeSpeechRate` is an interface to change the synthesized speech rate. The argument `speed` has the numbers from 1 to 16. The member function `ttsChangePitchDynamicRange` is an interface to change the dynamic range of the pitch of synthesized speech. By using the argument of this function, `level`, a user can change the dynamic range from 1 to 16. Also a user can change the pitch height from 1 to 16 by using the argument `height` in the member function `ttsChangePitchHeight`. The member functions `ttsChangeGender` and `ttsChangeAge` allow a user to change the gender and the age of the synthetic speech producer by assigning numbers, as defined in subclause 6.5.2, to their arguments, `gender` and `age`, respectively.

### 6.6.5 Interface between speech synthesizer and phoneme/bookmark-to-FAP converter

In the MPEG-4 framework, the speech synthesizer and the face animation are driven synchronously. The speech synthesizer generates synthetic speech. At the same time, TTS gives phonemeSymbol and phonemeDuration as well as bookmarks to the Phoneme/Bookmark-to-FAP converter. The Phoneme/Bookmark to FAP converter generates relevant facial animation according to the phonemeSymbol, the phonemeDuration and bookmarks. Further description of the Phoneme/Bookmark to FAP converter is provided in ISO/IEC 14496-2.

The synthesized speech and facial animation have relative synchronization except the absolute composition time. The synchronization of the absolute composition time comes from the same composition time stamp of the TTS bitstream. If the Lip\_Shape\_Enable is set, the Lip\_Shape\_in\_Sentence is used to generate the phonemeDuration. Otherwise, the TTS provides phoneme durations. The speech synthesizer generates stress and/or wordBegin bits when the corresponding phoneme has stress and/or start of a word, respectively.

Within the MTTs\_Text, the beginning of a bookmark for using facial animation parameters is identified by '<FAP'. The bookmark lasts until the closing bracket '>'

A bookmark is handed to the TtsFAPInterface with the phoneme of the next word of the current sentence following the bookmark. If there is no word after the bookmark, the bookmark is handed to the TtsFAPInterface with the last phoneme of the previous word in the current sentence. In order to allow animation of complex expressions and motion, a sequence of up to 40 bookmarks is allowed without words between them. The starttime defines the time in msec relative to the beginning of the M-TTS sequence when the phoneme will start playing.

The class ttsFAPInterface defines the data structure for the interface between the speech synthesizer and the phoneme-to-FAP converter.

**Table 6.5 — Syntax of TtsFAPInterface()**

Syntax	No. of bits	Mnemonic
TtsFAPInterface()		
{		
PhonemeSymbol;	<b>8</b>	<b>uimsbf</b>
PhonemeDuration;	<b>12</b>	<b>uimsbf</b>
f0Average;	<b>8</b>	<b>uimsbf</b>
Stress;	<b>1</b>	<b>bslbf</b>
WordBegin;	<b>1</b>	<b>bslbf</b>
Bookmark;		<b>char *</b>
Starttime;		<b>long int</b>
}		

## **Annex 6.A (informative)**

### **Applications of MPEG-4 audio text-to-speech decoder**

#### **6.A.1 General**

This annex part describes application scenarios for the M-TTS decoder.

#### **6.A.2 Application scenario: MPEG-4 Story Teller on Demand (STOD)**

In the STOD application, users can select a story from a huge database of story libraries which are stored in hard disks or compact disks. The STOD system reads aloud the story via the M-TTS decoder with the MPEG-4 facial animation tool or with appropriately selected images. The user can stop and resume speaking at any moment he wants through user interfaces of the local machine (for example, mouse or keyboard). The user can also select the gender, age, and the speech rate of the electronic story teller.

The synchronization between the M-TTS decoder with the MPEG-4 facial animation tool is realized by using the same composition time of the M-TTS decoder for the MPEG-4 facial animation tool.

#### **6.A.3 Application scenario: MPEG-4 audio text-to-speech with moving picture**

In this application, synchronized playback of the M-TTS decoder and encoded moving picture is the most important issue. The architecture of the M-TTS decoder can provide several granularities of synchronization. Aligning the composition time of each TTS\_Sentence, coarse granularity of synchronization and trick mode functionality can be easily achieved. To get finer granularity of synchronization, the information about the Lip\_Shape would be utilized. The finest granularity of synchronization can be achieved by using the prosody information and the video-related information such as Sentence\_Duration, Position\_in\_Sentence, and Offset.

With this synchronization capability, the M-TTS decoder can be used for moving picture dubbing by utilizing the Lip\_Shape and Lip\_Shape\_in\_Sentence.

#### **6.A.4 MPEG-4 audio TTS and face animation using bookmarks appropriate for trick mode**

Bookmarks allow to animate a face using facial animation parameters (FAP) in addition to the animation of the mouth derived from phonemes. The FAP of the bookmark is applied to the face until another bookmark resets the FAP. Designing contents that replay each sentence independent of trick mode requires that bookmarks of the text to be spoken are repeated at the beginning of each sentence to initialize the face to the state that is defined by the previous sentence. In this case, some mismatch of synchronization can occur in the beginning of a sentence. However, the system recovers when the new bookmark is processed.

#### **6.A.5 Random access unit**

Every TTS\_Sentence is a random access unit.

## Contents for Subpart 7

7.1	Scope .....	2
7.1.1	Technical Overview .....	2
7.2	Terms and definitions .....	3
7.3	Bitstream syntax .....	3
7.3.1	Decoder configuration (ParametricSpecificConfig) .....	3
7.3.1.1	Parametric Audio decoder configuration .....	4
7.3.1.2	HILN decoder configuration .....	4
7.3.2	Bitstream frame (siPacketPayload) .....	6
7.3.2.1	Parametric Audio bitstream frame .....	7
7.3.2.2	HILN bitstream frame .....	9
7.3.2.3	HILN codebooks .....	20
7.3.2.4	HILN SubDivisionCode (SDC).....	25
7.4	Bitstream semantics.....	26
7.4.1	Decoder configuration (ParametricSpecificConfig) .....	26
7.4.1.1	Parametric Audio decoder configuration .....	26
7.4.1.2	HILN decoder configuration .....	26
7.4.2	Bitstream frame (siPacketPayload) .....	26
7.4.2.1	Parametric Audio bitstream frame .....	26
7.4.2.2	HILN bitstream frame .....	26
7.5	Parametric decoder tools.....	29
7.5.1	HILN decoder tools .....	29
7.5.1.1	Harmonic line decoder .....	31
7.5.1.2	Individual line decoder .....	34
7.5.1.3	Noise decoder .....	37
7.5.1.4	Harmonic and individual line synthesizer .....	38
7.5.1.5	Noise synthesizer .....	45
7.5.2	Integrated parametric coder .....	49
7.5.2.1	Integrated parametric decoder .....	49
7.6	Error resilient bitstream payloads .....	49
7.6.1	Overview of the tools .....	49
7.6.2	ER HILN.....	50
Annex 7.A	(informative) Parametric audio encoder .....	52
7.A.1	Overview of the encoder tools .....	52
7.A.2	HILN encoder tools .....	52
7.A.2.1	HILN parameter extraction .....	52
7.A.2.2	HILN parameter encoder .....	56
7.A.2.3	HILN bitrate scalability .....	59
7.A.3	Music/Speech Mixed Encoder tool.....	59
7.A.3.1	Music/Speech classification tool .....	60
7.A.3.2	Integrated parametric coder .....	61

## Subpart 7: Parametric Audio Coding - HILN

### 7.1 Scope

The Parametric Audio Coding Subpart provides the HILN tools which complement the other natural audio coding tools in the area of very low bit rates. Their focus is the representation of monophonic music signals with low and intermediate content complexity in the range of 4 to 16 kbit/s. HILN enables a high grade of interactivity by implicit support of speed and pitch change during playback and by the capability of bit rate scalability. Furthermore the possible combination with the parametric speech coding tools HVXC allows very efficient schemes for coding speech and music signals.

#### 7.1.1 Technical Overview

MPEG-4 parametric audio coding uses the HILN technique (Harmonic and Individual Lines plus Noise) to code audio signals like music at bitrates of 4 kbit/s and higher using a scalable parametric representation of the audio signal. HILN allows independent change of speed and pitch during decoding. Furthermore HILN can be combined with MPEG-4 parametric speech coding (HVXC, see Subpart 2) to form an integrated parametric coder covering a wider range of signals and bitrates.

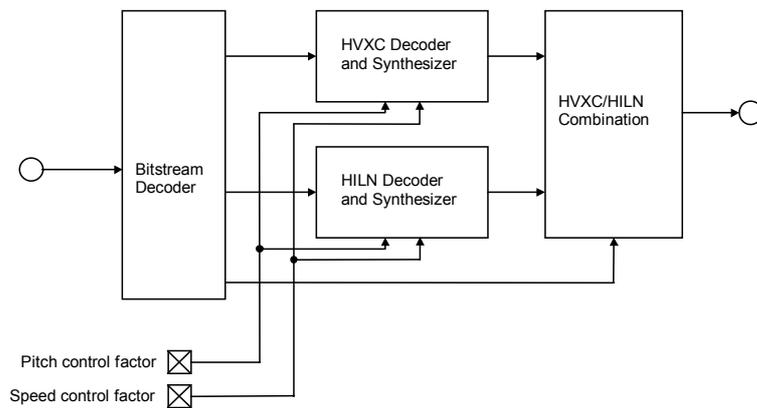


Figure 7.1 — Block diagram of the integrated parametric decoder

The integrated parametric coder can operate in the following modes:

Table 7.1 — Parametric coder operation modes

PARAMode	Description
0	HVXC only
1	HILN only
2	switched HVXC / HILN
3	mixed HVXC / HILN

PARAModes 0 and 1 represent the fixed HVXC and HILN modes. PARAMode 2 permits automatic switching between HVXC and HILN depending on the current input signal type. In PARAMode 3 the HVXC and HILN decoders can be used simultaneously and their output signals are added (mixed) in the parametric decoder.

In “switched HVXC / HILN” and “mixed HVXC / HILN” modes both HVXC and HILN decoder tools are operated alternatively or simultaneously according to the PARAswitchMode or PARAMixMode of the current frame. To obtain proper time alignment of both HVXC and HILN decoder output signals before they are added, a FIFO buffer compensates for the time difference between HVXC and HILN decoder delay.

To avoid hard transitions at frame boundaries when the HVXC or HILN decoders are switched on or off, the respective decoder output signals fade in and out smoothly. For the HVXC decoder a 20 ms linear fade is applied when it is switched on or off. The HILN decoder requires no additional fading because of the smooth synthesis windows utilized in the HILN synthesizer. It is only necessary to reset the HILN decoder (numLine = 0) if the current bitstream frame contains no HILNframe().

## 7.2 Terms and definitions

Terms and definitions can be found in subpart 1, subclause 1.3.

## 7.3 Bitstream syntax

An MPEG-4 Natural Audio Object using Parametric Coding is transmitted in one or more Elementary Streams: The base layer stream, an optional enhancement layer stream, and one or more optional extension layer streams.

The bitstream syntax is described in pseudo-C code.

The mnemonics LARH1, LARH2, LARH3, LARN1, LARN2, DIA, DIF, DHF, DFS indicate that a “vclcbf” codeword is used. The corresponding codebooks are given in subclause 7.3.2.3.

The mnemonic SDC indicates that a “vclcbf” codeword is used which is decoded by the HILN SubDivisionCode described in subclause 7.3.2.4 using the parameters for SDCdecode() as given in the bitstream syntax description.

### 7.3.1 Decoder configuration (ParametricSpecificConfig)

The decoder configuration information for parametric coding is transmitted in the ParametricSpecificConfig() of the base layer and the enhancement or extension layer Elementary Stream (see Subpart 1).

#### Parametric Base Layer -- Configuration

The parametric coder in unscalable mode or the base layer in HILN scalable mode uses a ParametricSpecificConfig() with isBaseLayer == 1.

#### Parametric HILN Enhancement / Extension Layer -- Configuration

To use HILN as core in a “T/F scalable with core” mode, in addition to the HILN base layer an HILN enhancement layer is required. In HILN bitrate scalable operation, in addition to the HILN base layer one or more HILN extension layers are permitted. Both the enhancement and extension layer use a ParametricSpecificConfig() with isBaseLayer == 0.

Table 7.2 — Syntax of ParametricSpecificConfig()

Syntax	No. of bits	Mnemonic
<pre>ParametricSpecificConfig() {   <b>isBaseLayer;</b>   if (isBaseLayer) {     PARAconfig();   }   else {     HILNenexConfig();   } }</pre>	<b>1</b>	<b>uimsbf</b>

7.3.1.1 Parametric Audio decoder configuration

Table 7.3 — Syntax of PARAconfig()

Syntax	No. of bits	Mnemonic
<pre> PARAconfig() {   <b>PARAMode;</b>   if (PARAMode != 1) {     ErHVXCconfig();   }   if (PARAMode != 0) {     HILNconfig();   }   <b>PARAextensionFlag;</b>   if (PARAextensionFlag) {     /* to be defined in MPEG-4 Phase 3 */   } }                     </pre>	2	<b>uimsbf</b>
	1	<b>uimsbf</b>

Table 7.4 — PARAMode

PARAMode	frameLength	Description
0	20 ms (N = 160 samples)	HVXC only
1	see subclause 7.3.1.2 and subclause 7.5.1.4.3.3	HILN only
2	40 ms (N = 320 samples)	HVXC/HILN switching
3	40 ms (N = 320 samples)	HVXC/HILN mixing

7.3.1.2 HILN decoder configuration

Table 7.5 — Syntax of HILNconfig()

Syntax	No. of bits	Mnemonic
<pre> HILNconfig() {   <b>HILNquantMode;</b>   <b>HILNmaxNumLine;</b>   <b>HILNsampleRateCode;</b>   <b>HILNframeLength;</b>   <b>HILNcontMode;</b> }                     </pre>	1	<b>uimsbf</b>
	8	<b>uimsbf</b>
	4	<b>uimsbf</b>
	12	<b>uimsbf</b>
	2	<b>uimsbf</b>

Table 7.6 — Syntax of HILNenexConfig()

Syntax	No. of bits	Mnemonic
HILNenexConfig() { <b>HILNenhaLayer;</b> if (HILNenhaLayer) { <b>HILNenhaQuantMode;</b> } }	1	<b>uimsbf</b>
	2	<b>uimsbf</b>

Table 7.7 — HILNsampleRateCode

HILNsampleRateCode	sampleRate	maxFIndex
0	96000	890
1	88200	876
2	64000	825
3	48000	779
4	44100	765
5	32000	714
6	24000	668
7	22050	654
8	16000	603
9	12000	557
10	11025	544
11	8000	492
12	7350	479
13	reserved	reserved
14	reserved	reserved
15	reserved	reserved

Table 7.8 — linebits

HILNmaxNumLine	0	1	2..3	4..7	8..15	16..31	32..63	64..127	128..255
linebits	0	1	2	3	4	5	6	7	8

Table 7.9 — HILNcontMode

HILNcontMode	additional decoder line continuation (see subclause 7.5.1.4.3.1)
0	harmonic lines <-> individual lines and harmonic lines <-> harmonic lines
1	mode 0 plus individual lines <-> individual lines
2	no additional decoder line continuation
3	(reserved)

The number of frequency enhancement bits ( $fEnhbits[i]$ ) in HILNenhaFrame() (see subclause 7.3.2.2) is calculated as follows:

- individual line, see INDlenhaPara():

$$fEnhbits[i] = \max(0, fEnhbitsBase[ILFreqIndex[i]] + fEnhbitsMode[HILNenhaQuantMode])$$

- harmonic line, see HARMenhaPara():

$$fEnhbits[i] = \max(0, fEnhbitsBase[harmFreqIndex] + fEnhbitsMode[HILNenhaQuantMode] + fEnhbitsHarm[i])$$

**Table 7.10 — fEnhbitsBase**

ILFreqIndex	harmFreqIndex	fEnhbitsBase
0..159	0..1243	0
160..269	1244..1511	1
270..380	1512..1779	2
381..491	1780..2047	3
492..602		4
603..713		5
714..890		6

**Table 7.11 — fEnhbitsMode**

HILNenhaQuantMode	0	1	2	3
fEnhbitsMode	-3	-2	-1	0

**Table 7.12 — fEnhbitsHarm**

i	0	1	2..3	4..7	8..9
fEnhbitsHarm[i]	0	1	2	3	4

**Table 7.13 — HILN constants**

tmbits	4
atkbits	4
decbits	4
tmEnhbits	3
atkEnhbits	2
decEnhbits	2
phasebits	5

### 7.3.2 Bitstream frame (slPacketPayload)

The dynamic data for parametric coding is transmitted as SL packet payload in the base layer and the optional enhancement or extension layer Elementary Stream.

#### Parametric Base Layer -- Access Unit payload

For the parametric coder in unscalable mode or for the base layer in HILN scalable mode the following bitstream frame payload is defined:

```
slPacketPayload {
    PARAframe();
}
```

**Parametric HILN Enhancement / Extension Layer -- Access Unit payload**

To parse and decode the HILN enhancement layer, information decoded from the HILN base layer is required.

To parse and decode an HILN extension layer, information decoded from the HILN base layer and possible lower HILN extension layers is required. The bitstream syntax of the HILN extension layers is described in a way which requires the HILN base and extension bitstream frames being parsed in proper order:

1. HILNbasicFrame()      base bitstream frame
2. HILNnextFrame(1)    1<sup>st</sup> extension bitstream frame (if base bitstream frame available)
3. HILNnextFrame(2)    2<sup>nd</sup> extension bitstream frame (if base and 1<sup>st</sup> extension bitstream frame available)
4. etc.

For the enhancement layer and the extension layer in HILN scalable mode the following bitstream frame payload is defined:

```
slPacketPayload {
    HILNenexFrame();
}
```

**7.3.2.1 Parametric Audio bitstream frame****Table 7.14 — Syntax of PARAFrame()**

Syntax	No. of bits	Mnemonic
<pre>PARAFrame() {     if (PARAMode == 0) {         ErHVXCframe(HVXCrate);     }     else if (PARAMode == 1) {         HILNframe();     }     else if (PARAMode == 2) {         switchFrame();     }     else if (PARAMode == 3) {         mixFrame();     } }</pre>		

**Table 7.15 — Syntax of switchFrame()**

Syntax	No. of bits	Mnemonic
<pre> switchFrame() {     <b>PARAswitchMode;</b>     if (PARAswitchMode == 0) {         ErHVXCdoubleframe(HVXCrate);     }     else {         HILNframe();     } }                     </pre>	<b>1</b>	<b>uimsbf</b>

One of the following PARAswitchModes is selected in each frame:

**Table 7.16 — PARAswitchMode**

PARAswitchMode	Description
0	HVXC only
1	HILN only

**Table 7.17 — Syntax of mixFrame()**

Syntax	No. of bits	Mnemonic
<pre> mixFrame() {     <b>PARAMixMode;</b>     if (PARAMixMode == 0) {         ErHVXCdoubleframe(HVXCrate);     }     else if (PARAMixMode == 1) {         HILNframe();         ErHVXCdoubleframe(2000);     }     else if (PARAMixMode == 2) {         HILNframe();         ErHVXCdoubleframe(4000);     }     else if (PARAMixMode == 3) {         HILNframe();     } }                     </pre>	<b>2</b>	<b>uimsbf</b>

One of the following PARAMixModes is selected in each frame:

Table 7.18 — PARAMixMode

PARAMixMode	Description
0	HVXC only
1	HVXC 2 kbit/s & HILN
2	HVXC 4 kbit/s & HILN
3	HILN only

Table 7.19 — Syntax of HVXCdoubleframe()

Syntax	No. of bits	Mnemonic
<pre>ErHVXCdoubleframe(rate) {   if (rate &gt;= 3000) {     ErHVXCfixframe(4000);     ErHVXCfixframe(rate);   }   else {     ErHVXCfixframe(2000);     ErHVXCfixframe(rate);   } }</pre>		

### 7.3.2.2 HILN bitstream frame

Table 7.20 — Syntax of HILNframe()

Syntax	No. of bits	Mnemonic
<pre>HILNframe() {   numLayer = 0;   HILNbasicFrameESC0();   HILNbasicFrameESC1();   HILNbasicFrameESC2();   HILNbasicFrameESC3();   HILNbasicFrameESC4();   layNumLine[0] = numLine;   layPrevNumLine[0] = prevNumLine;   for (k = 0; k &lt; prevNumLine; k++) {     layPrevLineContFlag[0][k] = prevLineContFlag[k];   } }</pre>		

Table 7.21 — Syntax of HILNbasicFrameESC0()

Syntax	No. of bits	Mnemonic
<pre> HILNbasicFrameESC0() {   prevNumLine = numLine;   /* prevNumLine is set to the number of lines      */   /* in the previous frame                          */   /* prevNumLine = 0 for the first bitstream frame  */   numLine;   harmFlag;   noiseFlag;   envFlag;   phaseFlag;   maxAmplIndexCoded;   maxAmplIndex = 4*maxAmplIndexCoded;   if (harmFlag) {     HARMbasicParaESC0();   }   if (noiseFlag) {     NOISEbasicParaESC0();   } } </pre>	<p>linebits</p> <p>1</p> <p>1</p> <p>1</p> <p>1</p> <p>4</p>	<p>uimsbf</p> <p>uimsbf</p> <p>uimsbf</p> <p>uimsbf</p> <p>uimsbf</p>

Table 7.22 — Syntax of HILNbasicFrameESC1()

Syntax	No. of bits	Mnemonic
<pre> HILNbasicFrameESC1() {   if (harmFlag) {     HARMbasicParaESC1();   }   if (noiseFlag) {     NOISEbasicParaESC1();   }   INDbasicParaESC1(); } </pre>		

Table 7.23 — Syntax of HILNbasicFrameESC2()

Syntax	No. of bits	Mnemonic
<pre> HILNbasicFrameESC2() {   INDbasicParaESC2 (); } </pre>		



Table 7.27 — Syntax of HARMbasicParaESC1()

Syntax	No. of bits	Mnemonic
HARMbasicParaESC1() { <b>numHarmParaIndex</b> ; numHarmPara = numHarmParaTable[numHarmParaIndex]; <b>numHarmLineIndex</b> ; numHarmLine = numHarmLineTable[numHarmLineIndex]; if (harmContFlag) { <b>contHarmAmpl</b> harmAmplIndex = prevHarmAmplIndex + contHarmAmpl; <b>contHarmFreq</b> harmFreqIndex = prevHarmFreqIndex + contHarmFreq; } for (i = 0; i < 2; i++) { <b>harmLAR[i]</b> ; } }	4  5  3..8  2..9  4..19	<b>uimsbf</b>  <b>uimsbf</b>  <b>DIA</b>  <b>DHF</b>  <b>LARH1</b>

Table 7.28 — Syntax of HARMbasicParaESC3()

Syntax	No. of bits	Mnemonic
HARMbasicParaESC3() { for (i = 2; i < min(7,numHarmPara); i++) { <b>harmLAR[i]</b> ; } for (i = 7; i < numHarmPara; i++) { <b>harmLAR[i]</b> ; } }	3..18  2..17	<b>LARH2</b>  <b>LARH3</b>

Table 7.29 — Syntax of HARMbasicParaESC4()

Syntax	No. of bits	Mnemonic
HARMbasicParaESC4() { if (phaseFlag && ! harmContFlag) { <b>numHarmPhase</b> ; } else { numHarmPhase = 0; } for (i = 0; i < numHarmPhase; i++) { <b>harmPhase[i]</b> ; harmPhaseAvail[i] = 1; } for (i = numHarmPhase; i < numHarmLine; i++) { harmPhaseAvail[i] = 0; } }	6    phasebits	<b>uimsbf</b>    <b>uimsbf</b>

Table 7.30 — numHarmParaTable

i	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
numHarmParaTable[i]	2	3	4	5	6	7	8	9	11	13	15	17	19	21	23	25

Table 7.31 — numHarmLineTable

i	0	1	2	3	4	5	6	7
numHarmLineTable[i]	3	4	5	6	7	8	9	10
i	8	9	10	11	12	13	14	15
numHarmLineTable[i]	12	14	16	19	22	25	29	33
i	16	17	18	19	20	21	22	23
numHarmLineTable[i]	38	43	49	56	64	73	83	94
i	24	25	26	27	28	29	30	31
numHarmLineTable[i]	107	121	137	155	175	197	222	250

Table 7.32 — Syntax of NOISEbasicParaESC0()

Syntax	No. of bits	Mnemonic
NOISEbasicParaESC0() { prevNoiseAmplIndex = noiseAmplIndex; <b>noiseContFlag</b> ; <b>noiseEnvFlag</b> ; if (!noiseContFlag) { <b>noiseAmplRel</b> ; noiseAmplIndex = maxAmplIndex + noiseAmplRel; } }	1 1 6	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

Table 7.33 — Syntax of NOISEbasicParaESC1()

Syntax	No. of bits	Mnemonic
NOISEbasicParaESC1() { if (noiseContFlag) { <b>contNoiseAmpl</b> ; noiseAmplIndex = prevNoiseAmplIndex + contNoiseAmpl; } <b>numNoiseParaIndex</b> ; numNoisePara = numNoiseParaTable[numNoiseParaIndex]; for (i = 0; i < min(2,numNoisePara); i++) { <b>noiseLAR[i]</b> ; } }	3..8 4 2..17	<b>DIA</b> <b>uimsbf</b> <b>LARN1</b>

Table 7.34 — Syntax of NOISEbasicParaESC3()

Syntax	No. of bits	Mnemonic
<pre>NOISEbasicParaESC3() {   for (i = 2; i &lt; numNoisePara; i++) {     <b>noiseLAR[i];</b>   } }</pre>	<b>1..18</b>	<b>LARN2</b>

Table 7.35 — Syntax of NOISEbasicParaESC4()

Syntax	No. of bits	Mnemonic
<pre>NOISEbasicParaESC4() {   if (noiseEnvFlag) {     <b>noiseEnvTmax;</b>     <b>noiseEnvRatk;</b>     <b>noiseEnvRdec;</b>   } }</pre>	<b>tmbits</b> <b>atkbits</b> <b>decbits</b>	<b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b>

Table 7.36 — numNoiseParaTable

i	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
numNoiseParaTable[i]	1	2	3	4	5	6	7	9	11	13	15	17	19	21	23	25

Table 7.37 — Syntax of INDbasicParaESC1()

Syntax	No. of bits	Mnemonic
<pre>INDbasicParaESC1() {   for (k = 0; k &lt; prevNumLine; k++) {     <b>prevLineContFlag[k];</b>   }   i = 0;   for (k = 0; k &lt; prevNumLine; k++) {     if (prevLineContFlag[k]) {       linePred[i] = k;       lineContFlag[i++] = 1;     }   }   while (i &lt; numLine) {     lineContFlag[i++] = 0;   } }</pre>	<b>1</b>	<b>uimsbf</b>

Table 7.38 — Syntax of INDbasicParaESC2()

Syntax	No. of bits	Mnemonic
<pre>INDbasicParaESC2() {</pre>		

<pre> lastNLFreq = 0; for (i = 0; i &lt; prevNumLine; i++) {     prevILFreqIndex[i] = ILFreqIndex[i];     prevILAmplIndex[i] = ILAmplIndex[i]; } for (i = 0; i &lt; numLine; i++) {     if (envFlag) {         <b>lineEnvFlag[i];</b>     } } for (i = 0; i &lt; numLine; i++) {     if (!lineContFlag[i]) {         if (numLine-1-i &lt; 7) {             <b>ILFreqInc[i];</b>             /* SDCdecode (maxFindex-lastNLFreq, */             /*      sdcILFTable[numLine-1-i]) */         }         else {             <b>ILFreqInc[i];</b>             /* SDCdecode (maxFindex-lastNLFreq, */             /*      sdcILFTable[7]) */         }         ILFreqIndex[i] = lastNLFreq + ILFreqInc[i];         lastNLFreq = ILFreqIndex[i];         if (HILNquantMode) {             <b>ILAmplRel[i];</b>             /* SDCdecode (50, sdcILATable) */             ILAmplIndex[i] = maxAmplIndex + ILAmplRel[i];         }         else {             <b>ILAmplRel[i];</b>             /* SDCdecode (25, sdcILATable) */             ILAmplIndex[i] = maxAmplIndex +                 2*ILAmplRel[i];         }     } } </pre>	<p><b>1</b></p> <p><b>0..14</b></p> <p><b>0..14</b></p> <p><b>4..10</b></p> <p><b>3..9</b></p>	<p><b>uimsbf</b></p> <p><b>SDC</b></p> <p><b>SDC</b></p> <p><b>SDC</b></p> <p><b>SDC</b></p>
---	--	--

Table 7.39 — Syntax of INDibasicParaESC3()

Syntax	No. of bits	Mnemonic
<pre> INDibasicParaESC3() {     for (i = 0; i &lt; numLine; i++) {         if (lineContFlag[i]) {             <b>DILFreq[i];</b>             ILFreqIndex[i] = prevILFreqIndex[linePred[i]] +                 DILFreq[i];             <b>DILAmpl[i];</b>             ILAmplIndex[i] = prevILAmplIndex[linePred[i]] +                 DILAmpl[i];         }     } } </pre>	<p><b>2..10</b></p> <p><b>3..8</b></p>	<p><b>DIF</b></p> <p><b>DIA</b></p>





```

    if (layPrevLineContFlag[numLayer-1][k] {
        layPrevLineContFlag[numLayer][k] = 1;
    }
    else {
        layPrevLineContFlag[numLayer][k];           1           uimsbf
    }
}
for (k = layPrevNumLine[numLayer-1];
     k < layPrevNumLine[numLayer]; k++) {
    layPrevLineContFlag[numLayer][k];           1           uimsbf
}
i = layNumLine[numLayer-1];
for (k = 0; k < layPrevNumLine[numLayer-1]; k++) {
    if (!layPrevLineContFlag[numLayer-1][k] &&
        layPrevLineContFlag[numLayer][k]) {
        linePred[i] = k;
        lineContFlag[i++] = 1;
    }
}
for (k = layPrevNumLine[numLayer-1];
     k < layPrevNumLine[numLayer]; k++) {
    if (layPrevLineContFlag[numLayer][k]) {
        linePred[i] = k;
        lineContFlag[i++] = 1;
    }
}
while (i < layNumLine[numLayer]) {
    lineContFlag[i++] = 0;
}
INDIextPara(numLayer);
if (phaseFlag) {
    INDIextPhasePara(numLayer);
}
}

```

Table 7.46 — Syntax of INDIextPara()

Syntax	No. of bits	Mnemonic
INDIextPara(numLayer)		
{		
lastNLFreq = 0;		
for (i = layPrevNumLine[numLayer-1];		
i < layPrevNumLine[numLayer]; i++) {		
prevILFreqIndex[i] = ILFreqIndex[i];		
prevILAmplIndex[i] = ILAmplIndex[i];		
}		
for (i = layNumLine[numLayer-1];		
i < layNumLine[numLayer]; i++) {		
if (envFlag) {		
<b>lineEnvFlag[i];</b>	<b>1</b>	<b>uimsbf</b>
}		
if (lineContFlag[i]) {		
<b>DILFreq[i];</b>	<b>2..10</b>	<b>DIF</b>
ILFreqIndex[i] = prevILFreqIndex[linePred[i]] +		
DILFreq[i];		
<b>DILAmpl[i];</b>	<b>3..8</b>	<b>DIA</b>

<pre> ILAmplIndex[i] = prevILAmplIndex[linePred[i]] + DILAmpl[i]; } else { if (layNumLine[numLayer]-1-i &lt; 7) { <b>ILFreqInc[i];</b> /* SDCdecode (maxFindex-lastNLFreq, */ /* sdclLFTable[layNumLine[numLayer]-1-i]) */ } else { <b>ILFreqInc[i];</b> /* SDCdecode (maxFindex-lastNLFreq, */ /* sdclLFTable[7]) */ } ILFreqIndex[i] = lastNLFreq + ILFreqInc[i]; lastNLFreq = ILFreqIndex[i]; if (HILNquantMode) { <b>ILAmplRel[i];</b> /* SDCdecode (50, sdclLATable) */ ILAmplIndex[i] = maxAmplIndex + ILAmplRel[i]; } else { <b>ILAmplRel[i];</b> /* SDCdecode (25, sdclLATable) */ ILAmplIndex[i] = maxAmplIndex + 2*ILAmplRel[i]; } } } } </pre>	<p><b>0..14</b></p> <p><b>0..14</b></p> <p><b>4..10</b></p> <p><b>3..9</b></p>	<p><b>SDC</b></p> <p><b>SDC</b></p> <p><b>SDC</b></p> <p><b>SDC</b></p>
---	--	---

Table 7.47 — Syntax of INDlxtPhasePara()

Syntax	No. of bits	Mnemonic
<pre> INDlxtPhasePara(numLayer) { j = 0; for (i = layNumLine[numLayer-1]; i &lt; layNumLine[numLayer]; i++) { if (! linePred[i] &amp;&amp; j &lt; layNumLinePhase[numLayer]) { <b>linePhase[i];</b> linePhaseAvail[i] = 1; j++; } else { linePhaseAvail[i] = 0; } } } </pre>	<p><b>phasebits</b></p>	<p><b>uimsbf</b></p>

7.3.2.3 HILN codebooks

Table 7.48 — LARH1 code ( harmLAR[0..1] )

codeword	harmLAR[i]	codeword	harmLAR[i]
1000000000000000100	-6.350	0100	0.050
1000000000000000101	-6.250	0101	0.150
1000000000000000110	-6.150	0110	0.250
1000000000000000111	-6.050	0111	0.350
1000000000000000100	-5.950	00100	0.450
1000000000000000101	-5.850	00101	0.550
1000000000000000110	-5.750	00110	0.650
1000000000000000111	-5.650	00111	0.750
1000000000000000100	-5.550	000100	0.850
1000000000000000101	-5.450	000101	0.950
1000000000000000110	-5.350	000110	1.050
1000000000000000111	-5.250	000111	1.150
1000000000000000100	-5.150	0000100	1.250
1000000000000000101	-5.050	0000101	1.350
1000000000000000110	-4.950	0000110	1.450
1000000000000000111	-4.850	0000111	1.550
1000000000000000100	-4.750	00000100	1.650
1000000000000000101	-4.650	00000101	1.750
1000000000000000110	-4.550	00000110	1.850
1000000000000000111	-4.450	00000111	1.950
1000000000000000100	-4.350	000000100	2.050
1000000000000000101	-4.250	000000101	2.150
1000000000000000110	-4.150	000000110	2.250
1000000000000000111	-4.050	000000111	2.350
1000000000000000100	-3.950	0000000100	2.450
1000000000000000101	-3.850	0000000101	2.550
1000000000000000110	-3.750	0000000110	2.650
1000000000000000111	-3.650	0000000111	2.750
1000000000000000100	-3.550	00000000100	2.850
1000000000000000101	-3.450	00000000101	2.950
1000000000000000110	-3.350	00000000110	3.050
1000000000000000111	-3.250	00000000111	3.150
1000000000000000100	-3.150	000000000100	3.250
1000000000000000101	-3.050	000000000101	3.350
1000000000000000110	-2.950	000000000110	3.450
1000000000000000111	-2.850	000000000111	3.550
1000000000000000100	-2.750	0000000000100	3.650
1000000000000000101	-2.650	0000000000101	3.750
1000000000000000110	-2.550	0000000000110	3.850
1000000000000000111	-2.450	0000000000111	3.950
1000000000000000100	-2.350	00000000000100	4.050
1000000000000000101	-2.250	00000000000101	4.150
1000000000000000110	-2.150	00000000000110	4.250
1000000000000000111	-2.050	00000000000111	4.350
1000000000000000100	-1.950	000000000000100	4.450
1000000000000000101	-1.850	000000000000101	4.550
1000000000000000110	-1.750	000000000000110	4.650
1000000000000000111	-1.650	000000000000111	4.750
1000000000000000100	-1.550	0000000000000100	4.850
1000000000000000101	-1.450	0000000000000101	4.950

1000110	-1.350	0000000000000110	5.050
1000111	-1.250	0000000000000111	5.150
100100	-1.150	0000000000000100	5.250
100101	-1.050	0000000000000101	5.350
100110	-0.950	0000000000000110	5.450
100111	-0.850	0000000000000111	5.550
10100	-0.750	0000000000000100	5.650
10101	-0.650	0000000000000101	5.750
10110	-0.550	0000000000000110	5.850
10111	-0.450	0000000000000111	5.950
1100	-0.350	0000000000000100	6.050
1101	-0.250	0000000000000101	6.150
1110	-0.150	0000000000000110	6.250
1111	-0.050	0000000000000111	6.350

Table 7.49 — LARH2 code ( harmLAR[2..6] )

codeword	harmLAR[.]	codeword	harmLAR[.]
1000000000000010	-4.725	010	0.075
1000000000000011	-4.575	011	0.225
1000000000000010	-4.425	0010	0.375
1000000000000011	-4.275	0011	0.525
1000000000000010	-4.125	00010	0.675
1000000000000011	-3.975	00011	0.825
1000000000000010	-3.825	000010	0.975
1000000000000011	-3.675	000011	1.125
1000000000000010	-3.525	0000010	1.275
1000000000000011	-3.375	0000011	1.425
1000000000000010	-3.225	00000010	1.575
1000000000000011	-3.075	00000011	1.725
100000000010	-2.925	000000010	1.875
100000000011	-2.775	000000011	2.025
10000000010	-2.625	0000000010	2.175
10000000011	-2.475	0000000011	2.325
1000000010	-2.325	00000000010	2.475
1000000011	-2.175	00000000011	2.625
100000010	-2.025	000000000010	2.775
100000011	-1.875	000000000011	2.925
10000010	-1.725	0000000000010	3.075
10000011	-1.575	0000000000011	3.225
1000010	-1.425	00000000000010	3.375
1000011	-1.275	00000000000011	3.525
100010	-1.125	000000000000010	3.675
100011	-0.975	000000000000011	3.825
10010	-0.825	0000000000000010	3.975
10011	-0.675	0000000000000011	4.125
1010	-0.525	00000000000000010	4.275
1011	-0.375	00000000000000011	4.425
110	-0.225	000000000000000010	4.575
111	-0.075	000000000000000011	4.725

Table 7.50 — LARH3 code ( harmLAR[7..24] )

codeword	harmLAR[.]	codeword	harmLAR[.]
100000000000000001	-2.325	01	0.075
100000000000000001	-2.175	001	0.225
100000000000000001	-2.025	0001	0.375
100000000000000001	-1.875	00001	0.525
100000000000000001	-1.725	000001	0.675
100000000000000001	-1.575	0000001	0.825
100000000001	-1.425	00000001	0.975
10000000001	-1.275	000000001	1.125
1000000001	-1.125	0000000001	1.275
10000001	-0.975	00000000001	1.425
1000001	-0.825	000000000001	1.575
100001	-0.675	0000000000001	1.725
1001	-0.525	00000000000001	1.875
100	-0.375	000000000000001	2.025
101	-0.225	0000000000000001	2.175
11	-0.075	00000000000000001	2.325

Table 7.51 — LARN1 code ( noiseLAR[0,1] )

codeword	noiseLAR[.]	codeword	noiseLAR[.]
100000000000000001	-4.65	01	0.15
100000000000000001	-4.35	001	0.45
100000000000000001	-4.05	0001	0.75
100000000000000001	-3.75	00001	1.05
100000000000000001	-3.45	000001	1.35
1000000000001	-3.15	0000001	1.65
10000000001	-2.85	00000001	1.95
1000000001	-2.55	000000001	2.25
10000001	-2.25	0000000001	2.55
1000001	-1.95	00000000001	2.85
100001	-1.65	000000000001	3.15
10001	-1.35	0000000000001	3.45
1001	-1.05	00000000000001	3.75
101	-0.75	000000000000001	4.05
10	-0.45	0000000000000001	4.35
11	-0.15	00000000000000001	4.65

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Table 7.52 — LARN2 code ( noiseLAR[2..24] )

codeword	noiseLAR[.]	codeword	noiseLAR[.]
110000000000000001	-6.35	101	0.35
110000000000000001	-5.95	1001	0.75
110000000000000001	-5.55	10001	1.15
110000000000000001	-5.15	100001	1.55
110000000000000001	-4.75	1000001	1.95
110000000000000001	-4.35	10000001	2.35
110000000000000001	-3.95	100000001	2.75
110000000000000001	-3.55	1000000001	3.15
11000000001	-3.15	10000000001	3.55
110000001	-2.75	100000000001	3.95
11000001	-2.35	1000000000001	4.35
1100001	-1.95	10000000000001	4.75
110001	-1.55	100000000000001	5.15
11001	-1.15	1000000000000001	5.55
1101	-0.75	10000000000000001	5.95
111	-0.35	100000000000000001	6.35
0	0.00		

Table 7.53 — DIA code

codeword	value	codeword	value
111 1 1111	-25	001	1
111 1 1110	-24	011 0	2
111 1 1101	-23	100 0	3
111 1 xxxx	-y	101 0 0	4
111 1 0001	-11	101 0 1	5
111 1 0000	-10	110 0 00	6
110 1 11	-9	110 0 01	7
110 1 10	-8	110 0 10	8
110 1 01	-7	110 0 11	9
110 1 00	-6	111 0 0000	10
101 1 1	-5	111 0 0001	11
101 1 0	-4	111 0 xxxx	y
100 1	-3	111 0 1101	23
011 1	-2	111 0 1110	24
010	-1	111 0 1111	25
000	0		

Table 7.54 — DIF code

codeword	value	codeword	value
11 11 1 11111	-42	01 0	1
11 11 1 11110	-41	10 0 0	2
11 11 1 11101	-40	10 0 1	3
11 11 1 xxxxx	-y	11 00 0	4
11 11 1 00001	-12	11 01 0 0	5
11 11 1 00000	-11	11 01 0 1	6
11 10 1 11	-10	11 10 0 00	7
11 10 1 10	-9	11 10 0 01	8
11 10 1 01	-8	11 10 0 10	9
11 10 1 00	-7	11 10 0 11	10
11 01 1 1	-6	11 11 0 00000	11
11 01 1 0	-5	11 11 0 00001	12
11 00 1	-4	11 11 0 xxxxx	y
10 1 1	-3	11 11 0 11101	40
10 1 0	-2	11 11 0 11110	41
01 1	-1	11 11 0 11111	42
00	0		

Table 7.55 — DHF code

codeword	value	codeword	value
11 1 111111	-69	01 0	1
11 1 111110	-68	10 0 00	2
11 1 111101	-67	10 0 01	3
11 1 xxxxxx	-y	10 0 10	4
11 1 000001	-7	10 0 11	5
11 1 000000	-6	11 0 000000	6
10 1 11	-5	11 0 000001	7
10 1 10	-4	11 0 xxxxxx	y
10 1 01	-3	11 0 111101	67
10 1 00	-2	11 0 111110	68
01 1	-1	11 0 111111	69
00	0		

Table 7.56 — HFS code

codeword	value	codeword	value
1 1 1 1111	-17	1 0 0	1
1 1 1 1110	-16	1 0 1 0000	2
1 1 1 1101	-15	1 0 1 0001	3
1 1 1 xxxx	-y	1 0 1 xxxx	y
1 1 1 0001	-3	1 0 1 1101	15
1 1 1 0000	-2	1 0 1 1110	16
1 1 0	-1	1 0 1 1111	17
0	0		

Notes on Table 7.53 to Table 7.56: The grouping of bits within a codeword (e.g. “1 1 1 1111”) is provided for easier readability only. Codewords not explicitly listed in the codebooks (e.g. “1 1 1 xxxx”) are defined by incrementing the

implicit part of the codeword “xxxx” (uimsbf) and the magnitude “y” of the corresponding value. In all cases, the codewords and values for the two smallest and the three largest magnitudes are listed explicitly.

#### 7.3.2.4 HILN SubDivisionCode (SDC)

The SubDivisionCode (SDC) is an algorithmically generated variable length code, based on a given table and a given number of different codewords. The decoding process is defined below.

The idea behind this coding scheme is the subdivision of the probability density function into two parts which represent an equal probability. One bit is transmitted that determines the part the value to be coded is located. This subdivision is repeated until the width of the part is one and then its position is equal to the value being coded. The positions of the boundaries are taken out of a table of 32 quantized, fixed point values. Besides this table (parameter “tab”) the number of different codewords (parameter “k”) is needed too.

The following C function SDCDecode(k, tab) together with the 9 tables sdcILATable[32] and sdcILFTable[8][32] describe the decoding. The function GetBit() returns the next bit in the stream.

```
int sdcILATable[32] = {
    0, 13, 27, 41, 54, 68, 82, 96, 110, 124, 138, 152, 166, 180, 195, 210,
    225, 240, 255, 271, 288, 305, 323, 342, 361, 383, 406, 431, 460, 494, 538, 602
};

int sdcILFTable[8][32] = {
{ 0, 53, 87, 118, 150, 181, 212, 243, 275, 306, 337, 368, 399, 431, 462, 493,
  524, 555, 587, 618, 649, 680, 711, 743, 774, 805, 836, 867, 899, 930, 961, 992 },
{ 0, 34, 53, 71, 89, 106, 123, 141, 159, 177, 195, 214, 234, 254, 274, 296,
  317, 340, 363, 387, 412, 438, 465, 494, 524, 556, 591, 629, 670, 718, 774, 847 },
{ 0, 26, 41, 54, 66, 78, 91, 103, 116, 128, 142, 155, 169, 184, 199, 214,
  231, 247, 265, 284, 303, 324, 346, 369, 394, 422, 452, 485, 524, 570, 627, 709 },
{ 0, 23, 35, 45, 55, 65, 75, 85, 96, 106, 117, 128, 139, 151, 164, 177,
  190, 204, 219, 235, 252, 270, 290, 311, 334, 360, 389, 422, 461, 508, 571, 665 },
{ 0, 20, 30, 39, 48, 56, 64, 73, 81, 90, 99, 108, 118, 127, 138, 149,
  160, 172, 185, 198, 213, 228, 245, 263, 284, 306, 332, 362, 398, 444, 507, 608 },
{ 0, 18, 27, 35, 43, 50, 57, 65, 72, 79, 87, 95, 104, 112, 121, 131,
  141, 151, 162, 174, 187, 201, 216, 233, 251, 272, 296, 324, 357, 401, 460, 558 },
{ 0, 16, 24, 31, 38, 45, 51, 57, 64, 70, 77, 84, 91, 99, 107, 115,
  123, 132, 142, 152, 163, 175, 188, 203, 219, 237, 257, 282, 311, 349, 403, 493 },
{ 0, 12, 19, 25, 30, 35, 41, 46, 51, 56, 62, 67, 73, 79, 85, 92,
  99, 106, 114, 122, 132, 142, 153, 165, 179, 195, 213, 236, 264, 301, 355, 452 }
};

int SDCDecode (int k, int *tab)
{
    int *pp;
    int g, dp, min, max;

    min = 0;
    max = k - 1;
    pp = tab + 16;
    dp = 16;

    while (min != max)
    {
        if (dp) g = (k*( *pp)) >> 10; else g = (max+min) >> 1;
        dp >>= 1;
        if (GetBit() == 0) { pp -= dp; max = g; } else { pp += dp; min = g + 1; }
    }
    return max;
}
```

## 7.4 Bitstream semantics

### 7.4.1 Decoder configuration (ParametricSpecificConfig)

Bitstream elements:

**isBaseLayer** A one-bit identifier representing whether the corresponding layer is the base layer (1) or an enhancement or extension layer (0).

#### 7.4.1.1 Parametric Audio decoder configuration

Bitstream elements:

**PARAMode** A 2 bit field indicating parametric coder operation mode.

**PARAextensionFlag** A flag indicating the presence of MPEG-4 version 3 data (for future use).

#### 7.4.1.2 HILN decoder configuration

Bitstream elements:

**HILNquantMode** A 1 bit field indicating the individual line quantizer mode.

**HILNmaxNumLine** An 8 bit field indicating the maximum number of individual lines in a bitstream frame. It also determines linebits field size (see Table 7.8).

**HILNsampleRateCode** A 4 bit field indicating the sampling rate used for HILN parameter decoding (see Table 7.7).

**HILNframeLength** A 12 bit field indicating the HILN frame length in samples at the sampling rate indicated by HILNsampleRateCode.

**HILNcontMode** A 2 bit field indicating the additional decoder line continuation mode (see Table 7.9).

**HILNenhaLayer** A flag indicating whether this Elementary Stream contains an enhancement layer or an extension layer.

**HILNenhaQuantMode** A 2 bit field indicating frequency enhancement quantizer mode (see Table 7.11).

### 7.4.2 Bitstream frame (sIPacketPayload)

#### 7.4.2.1 Parametric Audio bitstream frame

Bitstream elements:

**PARAswitchMode** A flag indicating which coding tool is used in the current frame of a HVXC/HILN switching bitstream (see Table 7.16).

**PARAMixMode** A 2 bit field indicating which coding tools are used in the current frame of a HVXC/HILN mixing bitstream (see Table 7.18).

#### 7.4.2.2 HILN bitstream frame

Bitstream elements:

**numLine** A field indicating the number of individual lines in the current frame.

<b>harmFlag</b>	A flag indicating the presence of harmonic line data in the current frame.
<b>noiseFlag</b>	A flag indicating the presence of noise component data in the current frame.
<b>phaseFlag</b>	A flag indicating the presence of line start phase data in the current frame.
<b>numLinePhase</b>	A field indicating the number of individual lines with start phase in the current frame.
<b>maxAmplIndexCoded</b>	A field indicating the maximum amplitude of a new signal component in the current frame.
<b>envFlag</b>	A flag indicating the presence of envelope data in the current frame.
<b>envTmax</b>	Coded envelope parameter: time of maximum.
<b>envRatk</b>	Coded envelope parameter: attack rate.
<b>envRdec</b>	Coded envelope parameter: decay rate.
<b>prevLineContFlag[k]</b>	A flag indicating that the k-th individual line of the previous frame is continued in the current frame.
<b>numHarmParalIndex</b>	A field indicating the number of harmonic line LPC parameters in the current frame (see Table 7.30).
<b>numHarmLineIndex</b>	A field indicating the number of harmonic lines in the current frame (see Table 7.31).
<b>harmContFlag</b>	A flag indicating that the harmonic lines are continued from the previous frame.
<b>numHarmPhase</b>	A field indicating the number of harmonic lines with start phase in the current frame.
<b>harmEnvFlag</b>	A flag indicating that the amplitude envelope is applied to the harmonic lines.
<b>contHarmAmpl</b>	Coded amplitude change of the harmonic lines (see Table 7.53).
<b>contHarmFreq</b>	Coded fundamental frequency change of the harmonic lines (see Table 7.55).
<b>harmAmplRel</b>	Coded relative amplitude of the harmonic lines.
<b>harmFreqIndex</b>	Coded fundamental frequency of the harmonic lines.
<b>harmFreqStretch</b>	Coded frequency stretching parameter of the harmonic lines (see Table 7.56).
<b>harmLAR[i]</b>	Coded LAR LPC parameters of the harmonic lines (see Table 7.48, Table 7.49, Table 7.50).
<b>harmPhase[i]</b>	Coded phase of i-th harmonic line.
<b>numNoiseParalIndex</b>	A field indicating the number of noise LPC parameters in the current frame (see Table 7.36).
<b>noiseContFlag</b>	A flag indicating that the noise is continued from the previous frame.
<b>noiseEnvFlag</b>	A flag indicating that noise envelope data is present in the current frame.
<b>contNoiseAmpl</b>	Coded amplitude change of the noise (see Table 7.53).

<b>noiseAmplRel</b>	Coded relative amplitude of the noise.
<b>noiseEnvTmax</b>	Coded noise envelope parameter: time of maximum
<b>noiseEnvRatk</b>	Coded noise envelope parameter: attack rate.
<b>noiseEnvRdec</b>	Coded noise envelope parameter: decay rate.
<b>noiseLAR[i]</b>	Coded LAR LPC parameters of the noise (see Table 7.51, Table 7.52).
<b>lineEnvFlag[i]</b>	A flag indicating that the amplitude envelope is applied to the i-th individual line.
<b>DILFreq[i]</b>	Coded frequency change of i-th individual line (see Table 7.54).
<b>DILAmpl[i]</b>	Coded amplitude change of i-th individual line (see Table 7.53).
<b>ILFreqInc[i]</b>	Coded frequency increment of i-th individual line (see Subclause 7.3.2.4).
<b>ILAmplRel[i]</b>	Coded relative amplitude of i-th individual line (see Subclause 7.3.2.4).
<b>linePhase[i]</b>	Coded phase of i-th individual line.
<b>envTmaxEnha</b>	Coded envelope enhancement parameter: time of maximum.
<b>envRatkEnha</b>	Coded envelope enhancement parameter: attack rate.
<b>envRdecEnha</b>	Coded envelope enhancement parameter: decay rate.
<b>harmFreqEnha[i]</b>	Coded frequency enhancement of i-th harmonic line.
<b>lineFreqEnha[i]</b>	Coded frequency enhancement of i-th individual line.
<b>addNumLine[i]</b>	A field indicating the number of individual lines in extension layer i of the current frame.
<b>layNumLinePhase[i]</b>	A field indicating the number of individual lines with start phase in extension layer i of the current frame.
<b>layPrevLineContFlag[i][k]</b>	A flag indicating that the k-th individual line of the previous frame is continued in extension layer i of the current frame.
Help elements:	
<b>numLayer</b>	The number of extension layers available (0 if only base layer available).
<b>layNumLine[i]</b>	The total number of individual lines in the current frame as conveyed in the base layer and the first i extension layers.
<b>prevNumLine</b>	The number of individual lines in the previous frame.
<b>layPrevNumLine[i]</b>	The total number of individual lines in the previous frame as conveyed in the base layer and the first i extension layers.
<b>maxAmplIndex</b>	The maximum amplitude of a new signal component in the current frame.
<b>linePred[i]</b>	Index of the predecessor in the previous frame of the i-th individual line in the current frame.
<b>lineContFlag[i]</b>	A flag indicating that line i in the current frame is continued from the previous frame.

<b>numHarmPara</b>	The number of harmonic line LPC parameters in the current frame.
<b>numHarmLine</b>	The number of harmonic lines in the current frame.
<b>harmAmplIndex</b>	Amplitude index of the harmonic lines in the current frame.
<b>harmFreqIndex</b>	Fundamental frequency index of the harmonic lines in the current frame.
<b>prevHarmAmplIndex</b>	Amplitude index of the harmonic lines in the previous frame.
<b>prevHarmFreqIndex</b>	Fundamental frequency index of the harmonic lines in the previous frame.
<b>harmPhaseAvail[i]</b>	A flag indicating that start phase information is available for the i-th harmonic line.
<b>numNoisePara</b>	The number of noise LPC parameters in the current frame.
<b>noiseAmplIndex</b>	Amplitude index of the noise in the current frame.
<b>prevNoiseAmplIndex</b>	Amplitude index of the noise in the previous frame.
<b>lastNLFreq</b>	Individual line frequency increment accumulator.
<b>ILFreqIndex[i]</b>	Frequency index of the i-th individual line in the current frame.
<b>ILAmplIndex[i]</b>	Amplitude index of the i-th individual line in the current frame.
<b>prevILFreqIndex[i]</b>	Frequency index of the i-th individual line in the previous frame.
<b>prevILAmplIndex[i]</b>	Amplitude index of the i-th individual line in the previous frame.
<b>linePhaseAvail[i]</b>	A flag indicating that start phase information is available for the i-th individual line.
<b>linebits</b>	Number of bits for numLine.
<b>tmbits</b>	Number of bits for envTmax.
<b>atkbits</b>	Number of bits for encRatk.
<b>decbits</b>	Number of bits for envRdec.
<b>tmEnhbits</b>	Number of bits for envTmaxEnha.
<b>atkEnhbits</b>	Number of bits for encRatkEnha.
<b>decEnhbits</b>	Number of bits for envRdecEnha.
<b>fEnhbits[i]</b>	Number of bits for lineFreqEnha[i] and harmFreqEnha[i] (see Subclause 7.3.1.2).
<b>phasebits</b>	Number of bits for linePhase and harmPhase.

## 7.5 Parametric decoder tools

### 7.5.1 HILN decoder tools

The Harmonic and Individual Lines plus Noise (HILN) decoder utilizes a set of parameters which are encoded in the bitstream to describe the audio signal. Three different signal models are supported:

Table 7.57 — HILN signal models

signal model	description	essential parameters
harmonic lines	group of sinusoidal signals with common fundamental frequency	fundamental frequency and amplitudes of the spectral lines
individual lines	sinusoidal signals	frequency and amplitude of the individual spectral lines
noise	spectrally shaped noise signal	spectral shape and power of the noise

The HILN decoder first reconstructs these parameters from the bitstream with a set of decoding tools and then synthesizes the audio signal based on these parameters using a set of synthesizer tools:

- harmonic line decoder
- individual line decoder
- noise decoder
- harmonic and individual line synthesizer
- noise synthesizer

The HILN decoder tools reconstruct the parameters of the harmonic and individual lines (frequency, amplitude) and the noise (spectral shape) as well as possible envelope parameters from the bitstream.

The HILN synthesizer tools reconstruct one frame of the audio signal based on the parameters decoded by the HILN decoder tools for the current bitstream frame.

The samples of the decoded audio signal have a full scale range of [-32768, 32767] and eventual outliers must be limited (“clipped”) to these values.

The HILN decoder supports a wide range of frame lengths and sampling frequencies. By scaling the synthesizer frame length with an arbitrary factor, speed change functionality is available at the decoder. By scaling the line frequencies and resampling the noise signal with an arbitrary factor, pitch change functionality is available at the decoder.

The HILN decoder can operate in two different modes, as basic decoder and as enhanced decoder. The basic decoder which is used for normal operation only evaluates the information available in the bitstream elements HILNbasicFrame() to reconstruct the audio signal. To allow large step scalability in combination with other coder tools (e.g. GA scalable) the additional bitstream elements HILNenhaFrame() need to be transmitted and the HILN decoder must operate in the enhanced mode which exploits the information of both HILNbasicFrame() and HILNenhaFrame(). This mode reconstructs an audio signal with well defined phase relationships which can be combined with a residual signal coded at higher bitrates using an enhancement coder (e.g. GA scalable). If the HILN decoder is used in this way as a core for a scalable coder no noise signal must be synthesized for the signal which is given to the enhancement decoder.

Due to the parametric signal representation utilized by the HILN parametric coder, it is well suited for applications requiring bitrate scalable coding. HILN bitrate scalable coding is accomplished by supplementing the data encoded in an HILNbasicFrame() of the basic bitstream by data encoded in one or more HILNnextFrame() of one or more extension bitstreams transmitted as additional Elementary Streams. It should be noted that the coding efficiency of a combined bitstream consisting of a basic and one or more extension bitstreams is slightly lower than the coding efficiency of a non-scalable basic bitstream having the same total bitrate.

### 7.5.1.1 Harmonic line decoder

#### 7.5.1.1.1 Tool description

This tool decodes the parameters of the harmonic lines transmitted in the bitstream.

#### 7.5.1.1.2 Definitions

<b>prevNumHarmPara</b>	The number of harmonic line LPC parameters in the previous frame.
<b>harmLPCPara[i]</b>	Harmonic line LPC parameter <i>i</i> in the current frame (LARs for harmonic tone spectrum).
<b>prevHarmLPCPara[i]</b>	Harmonic line LPC parameter <i>i</i> in the previous frame (LARs for harmonic tone spectrum).
<b>hFreq</b>	Fundamental frequency of the harmonic lines.
<b>hStretch</b>	Frequency stretching of the harmonic lines.
<b>harmAmpl</b>	Harmonic tone amplitude.
<b>harmPwr</b>	Harmonic tone power.
<b>hLineAmpl[i]</b>	Amplitude of <i>i</i> -th harmonic line.
<b>hLineFreq[i]</b>	Frequency of <i>i</i> -th harmonic line (in Hz).
<b>hLineAmplEnh[i]</b>	Enhanced amplitude of <i>i</i> -th harmonic line.
<b>hLineFreqEnh[i]</b>	Enhanced frequency of <i>i</i> -th harmonic line (in Hz).
<b>hLinePhaseEnh[i]</b>	Phase of <i>i</i> -th harmonic line (in rad).
<b>ha[i]</b>	Unscaled amplitude of <i>i</i> -th harmonic line.
<b>r[i]</b>	LPC reflection coefficients.
<b>h[i]</b>	LPC impulse response.
<b>H(z)</b>	LPC system function.

#### 7.5.1.1.3 Decoding process

If the **harmFlag** is set and thus **HARMbasicPara()** data and in enhancement mode **HARMenhaPara()** data is available in the current frame, the parameters of the harmonic lines are decoded and dequantized as follows:

##### 7.5.1.1.3.1 Basic decoder

A harmonic tone is represented by its fundamental frequency, its power and a set of LPC-Parameters.

First the **harmNumPara** LAR parameters are reconstructed. Prediction from the previous frame is used when **harmContFlag** is set.

```
float harmLPCMean[25] = { 5.0, -1.5, 0.0, 0.0, 0.0, ... , 0.0 };
float harmPredCoeff[25] = { 0.75, 0.75, 0.5, 0.5, 0.5, ... , 0.5 };

for (i = 0; i < numHarmPara; i++) {
    if (i < prevNumHarmPara && harmContFlag )
```

```

        pred = harmLPCMean[i] +
            (prevHarmLPCPara[i]-harmLPCMean[i])*harmPredCoeff[i];
    else
        pred = harmLPCMean[i];
    harmLPCPara[i] = pred + harmLAR[i];
}

```

Parameters needed in the following frame are stored in the frame-to-frame memory:

```

prevNumHarmPara = numHarmPara;
for (i = 0; i < numHarmPara; i++)
    prevHarmLPCPara[i] = harmLPCPara[i];

```

The fundamental frequency and stretching of the harmonic lines are dequantized:

```

hFreq = 20 * exp(log(4000./20.) * (harmFreqIndex+0.5) / 2048.0);
hStretch = harmFreqStretch / 16000.0;

```

The amplitude and power of the harmonic tone is dequantized as follows:

```

harmAmpl = 32768 * pow(10, -1.5*(harmAmplIndex+0.5)/20);
harmPwr = harmAmpl*harmAmpl;

```

The harmEnvFlag and harmContFlag flags require no further dequantization; they are directly passed on to the synthesizer tool.

The LPC-Parameters are transmitted in the form of "Logarithmic Area Ratios" (LAR) as described above. After decoding the parameters the frequencies and amplitudes of the harmNumLine partials of the harmonic tone are calculated as follows:

The frequencies of the harmonic lines are calculated:

```

for (i = 0; i < numHarmLine; i++)
    hLineFreq[i] = hFreq * (i+1) * (1 + hStretch*(i+1));

```

The LPC-Parameters represent an IIR-Filter. The amplitudes of the sinusoids are obtained by calculating the absolute value of this filter's system function  $H(z)$  at the corresponding frequencies.

```

for (i = 0; i < numHarmLine; i++)
    ha[i] = abs( H( exp( j * pi * (i+1)/(numHarmLine+1) ) ) );

```

with  $j = \sqrt{-1}$  and

$$H(z) = 1 / ( 1 - h[0]*pow(z,-1) - h[1]*pow(z,-2) - \dots - h[numHarmPara-1]*pow(z,-numHarmPara) )$$

The impulse response  $h[i]$  is calculated from the LARs by the following algorithm:

In a first step the LARs are converted to reflection coefficients:

```

for (i = 0; i < numHarmPara; i++)
    r[i] = ( exp(harmLPCPara[i]) - 1 ) / ( exp(harmLPCPara[i]) + 1 );

```

After this the reflection coefficients are converted to the time response. The C function given below does this conversion in place (call with  $x[i]=r[i]$  and  $N=numHarmPara$ ; returns with  $x[i]=h[i]$ ):

```

void Convert_k_to_h (float *x, int N)
{
    int i,j;
    float a,b,c;

    for (i = 1; i < N; i++)
    {
        c = x[i];

```

```

    for (j = 0; j < i-j-1; j++)
    {
        a = x[ j ];
        b = x[i-j-1];
        x[j] = a-c*b;
        x[i-j-1] = b-c*a;
    }
    if (j == i-j-1)
        x[j] -= c*x[j];
}
}

```

After calculating the amplitudes  $ha[i]$  they must be normalized and multiplied with  $harmAmpl$  to find the harmonic line amplitudes meeting the condition:

$$\text{sum}(hLineAmpl[i]*hLineAmpl[i]) = \text{power of harmonic tone}$$

This is realized as follows:

```

p = 0.0;
for (i = 0; i < numHarmLine; i++)
    p += ha[i]*ha[i];
s = sqrt( harmPwr / p );
for (i = 0; i < numHarmLine; i++)
    hLineAmpl[i] = ha[i] * s;

```

The optional phase information is decoded as follows:

```

for (i = 0; i < numHarmLine; i++) {
    if (harmPhaseAvail[i]) {
        hStartPhase[i] = 2*pi*(harmPhase[i]+0.5)/(1<<phasebits)-pi;
        hStartPhaseAvail[i] = 1;
    }
    else
        hStartPhaseAvail[i] = 0;
}

```

#### 7.5.1.1.3.2 Enhanced decoder

In this mode, the harmonic line parameters decoded by the basic decoder are refined and also line phases are decoded using the information contained in  $HARMenphaPara()$  as follows:

For the first maximum 10 harmonic lines  $i$

$$i = 0 \dots \min(\text{numHarmLine}, 10) - 1$$

the enhanced harmonic line parameters are calculated using the basic harmonic line parameters and the data in the enhancement bitstream:

```

hLineAmplEnh[i] = hLineAmpl[i];
hLineFreqEnh[i] = hLineFreq[i] *
    (1+((harmFreqEnh[i]+0.5)/(1<<fEnhbits[i])-0.5)*(hFreqRelStep-1));

```

where  $hFreqRelStep$  is the ratio of two neighboring fundamental frequency quantizer steps:

$$hFreqRelStep = \exp(\log(4000/20)/2048);$$

For both line types the phase is decoded from the enhancement bitstream:

$$hLinePhaseEnh[i] = 2*pi*(harmPhase[i]+0.5)/(1<<phasebits)-pi$$

## 7.5.1.2 Individual line decoder

### 7.5.1.2.1 Tool description

The individual line basic bitstream decoder reconstructs the line parameters frequency, amplitude, and envelope from the bitstream. The enhanced bitstream decoder reconstructs the line parameters frequency, amplitude, and envelope with finer quantization and additionally reconstructs the line parameters phase.

### 7.5.1.2.2 Definitions

<b>t_max</b>	Envelope parameter: time of maximum.
<b>r_atk</b>	Envelope parameter: attack rate.
<b>r_dec</b>	Envelope parameter: decay rate.
<b>ampl[i]</b>	Amplitude of i-th individual line.
<b>freq[i]</b>	Frequency of i-th individual line (in Hz).
<b>startPhase[i]</b>	Start phase of i-th individual line.
<b>startPhaseAvail[i]</b>	A flag indicating that start phase information is available for the i-th individual line.
<b>t_maxEnh</b>	Enhanced envelope parameter: time of maximum.
<b>r_atkEnh</b>	Enhanced envelope parameter: attack rate.
<b>r_decEnh</b>	Enhanced envelope parameter: decay rate.
<b>amplEnh[i]</b>	Enhanced amplitude of i-th individual line.
<b>freqEnh[i]</b>	Enhanced frequency of i-th individual line (in Hz).
<b>phaseEnh[i]</b>	Phase of i-th individual line (in rad).

### 7.5.1.2.3 Decoding process

#### 7.5.1.2.3.1 Basic decoder

The basic decoder reconstructs the line parameters from the data contained in HILNbasicFrame() and INDlbasicPara() in the following way:

For each frame, first the number of individual lines encoded in this frame is read from HILNbasicFrame():

```
numLine
```

Then the frame envelope flag is read from HILNbasicFrame():

```
envFlag
```

If envFlag = 1 then the 3 envelope parameters t\_max, r\_atk, and r\_dec are decoded from HILNbasicFrame():

```
t_max = (envTmax+0.5)/(1<<tmbits);
r_atk = tan(pi/2*max(0,envRatk-0.5)/((1<<atkbits)-1))/0.2;
r_dec = tan(pi/2*max(0,envRdec-0.5)/((1<<decbits)-1))/0.2;
```

These envelope parameters are valid for the harmonic lines as well as for the individual lines. Thus the envelope parameters envTmax, envRatk, envRdec must be dequantized if present, even if numLine == 0.

For each line k of the previous frame

```
k = 0 .. prevNumLine-1
```

the previous line continuation flag is read from HILNbasicFrame():

```
prevLineContFlag[k]
```

If prevLineContFlag[k] == 1 then line k of the previous frame is continued in the current frame. If prevLineContFlag[k] == 0 then line k of the previous frame is not continued.

In the current frame, first the parameters of all continued lines are encoded followed by the parameters of the new lines. Therefore, the line continuation flag and the line predecessor are determined before decoding the line parameters:

```
i = 0;
for (k = 0; k < prevNumLine; k++)
  if (prevLineContFlag[k]) {
    linePred[i] = k;
    lineContFlag[i++] = 1;
  }
while (i < numLine)
  lineContFlag[i++] = 0;
```

The parameters of new lines are encoded with increasing frequency index, using a differential encoding scheme. Therefore the following initialization is required once for each frame:

```
lastNLFreq = 0;
```

For each line i of the current frame

```
i = 0 .. numLine-1
```

the line parameters are decoded from INDbasicPara() now.

If envFlag == 1 then the line envelope flag is read from INDbasicPara():

```
lineEnvFlag[i]
```

If lineContFlag[i] == 1 then the parameters of a continued line are decoded from INDbasicPara() based on the amplitude and frequency index of its predecessor in the previous frame:

```
ILFreqIndex[i] = prevILFreqIndex[linePred[i]] + DILFreq[i];
ILAmplIndex[i] = prevILAmplIndex[linePred[i]] + DILAmpl[i];
```

If lineContFlag[i] == 0 then the parameters of a new line are decoded from INDbasicPara():

```
if (numLine-1-i < 7)
  ILFreqInc[i] = SDCdecode (maxFindex-lastNLFreq, sdcILFTable[numLine-1-i]);
else
  ILFreqInc[i] = SDCdecode (maxFindex-lastNLFreq, sdcILFTable[7]);

ILFreqIndex[i] = lastNLFreq + ILFreqInc[i];
lastNLFreq = ILFreqIndex[i];
if (HILNquantMode) {
  ILAmplRel[i] = SDCdecode (50, sdcILATable);
  ILAmplIndex[i] = maxAmplIndex + ILAmplRel[i];
}
else {
  ILAmplRel[i] = SDCdecode (25, sdcILATable);
  ILAmplIndex[i] = maxAmplIndex + 2*ILAmplRel[i];
}
```

The line parameter indices are stored for decoding of the line parameters of the next frame:

```

prevNumLine = numLine;
for ( i = 0; i < prevNumLine; i++) {
    prevILFreqIndex[i] = ILFreqIndex[i];
    prevILAmplIndex[i] = ILAmplIndex[i];
}

```

The basic decoder also handles combinations of a basic layer and one or more extension layers. If data from a total of numLayer extension layers is available to the basic decoder, the values of layNumLine[numLayer] and layPrevNumLine[numLayer] are to be used instead of numLine and prevNumLine respectively. The values of ILAmplIndex[i], ILFreqIndex[i], lineContFlag[i], and linePred[i] as determined by the bitstream syntax description are to be used.

The amplitudes and frequencies of the individual lines are now dequantized from the indices:

```

for ( i = 0; i < numLine; i++) {
    ampl[i] = 32768 * pow(10, -1.5*(ILAmplIndex+0.5)/20 );
    if ( ILFreqIndex<160 )
        freq[i] = (ILFreqIndex+0.5) * 3.125;
    else
        freq[i] = 500 * exp( 0.00625 * (ILFreqIndex+0.5-160) );
}

```

The optional start phase information is decoded as follows:

```

for ( i = 0; i < numLine; i++) {
    if (linePhaseAvail[i]) {
        startPhase[i] = 2*pi*(linePhase[i]+0.5)/(1<<phasebits)-pi;
        startPhaseAvail[i] = 1;
    }
    else
        startPhaseAvail[i] = 0;
}

```

If the decoding process starts with an arbitrary frame of a bitstream, all individual lines which are marked in the bitstream as to be continued from previous frames which have not been decoded are to be muted.

#### 7.5.1.2.3.2 Enhanced decoder

The enhanced decoder refines the line parameters obtained from the basic decoder and also decodes the line phases. The additional information is contained in bitstream element INDlenhaPara() and evaluated in the following way:

First, all operations of the basic decoder have to be carried out in order to allow correct decoding of parameters for continued lines.

If envFlag == 1 then the enhanced parameters t\_maxEnh, r\_atkEnh, and r\_decEnh are decoded using the envelope data conveyed in HILNbasicFrame() and HILNenhaFrame():

```

t_maxEnh = (envTmax+(envTmaxEnh+0.5)/(1<<tmEnhbits))/(1<<tmbits);
if (envRatk == 0)
    r_atkEnh = 0;
else
    r_atkEnh = tan(pi/2*(envRatk-1+(envRatkEnh+0.5)/(1<<atkEnhbits)))/
                ((1<<atkbits)-1)/0.2;
if (envRdec == 0)
    r_decEnh = 0;
else
    r_decEnh = tan(pi/2*(envRdec-1+(envRdecEnh+0.5)/(1<<decEnhbits)))/
                ((1<<decbits)-1)/0.2;

```

For each line i of the current frame

```

i = 0 .. numLine-1

```

the enhanced line parameters are obtained by refining the parameters from the basic decoder with the data in `INDlenhaPara()`:

```

    amplEnh[i] = ampl[i];
    if (fEnhbits[i] != 0) {
        if ( ILFreqIndex < 160 )
            freqEnh[i] = (ILFreqIndex + 0.5 + ((lineFreqEnh[i] + 0.5) / (1 << fEnhbits[i]) - 0.5)) *
3.125;
        else
            freqEnh[i] = 500 * exp( 0.00625 * (ILFreqIndex + 0.5 - 160 +
                ((lineFreqEnh[i] + 0.5) / (1 << fEnhbits[i]) - 0.5)) );
    }
    else
        freqEnh[i] = freq[i];

```

For both line types the phase is decoded from the enhancement bitstream:

```

    phaseEnh[i] = 2 * pi * (linePhase[i] + 0.5) / (1 << phasebits) - pi;

```

### 7.5.1.3 Noise decoder

#### 7.5.1.3.1 Tool description

This tool decodes the noise parameters transmitted in the bitstream.

#### 7.5.1.3.2 Definitions

<b>prevNumNoisePara</b>	The number of noise LPC parameters in the previous frame.
<b>noiseLPCPara[i]</b>	Noise LPC parameter <i>i</i> in the current frame (LARs for noise spectrum).
<b>prevNoiseLPCPara[i]</b>	Noise LPC parameter <i>i</i> in the previous frame (LARs for noise spectrum).
<b>noiseAmpl</b>	Noise amplitude.
<b>noisePwr</b>	Noise power.
<b>noiseT_max</b>	Noise envelope parameter: time of maximum.
<b>noiseR_atk</b>	Noise envelope parameter: attack rate.
<b>noiseR_dec</b>	Noise envelope parameter: decay rate.

#### 7.5.1.3.3 Decoding process

##### 7.5.1.3.3.1 Basic decoder

If the `noiseFlag` is set and thus `NOISEbasicPara()` data is available in the current frame, the parameters of the noise signal component are decoded and dequantized as follows:

The noise is represented by its power and a set of LPC-Parameters.

First the `noiseNumPara` LAR parameters are reconstructed. Prediction from the previous frame is used when `noiseContFlag` is set.

```

    float noiseLPCMean[25] = { 2.0, -0.75, 0.0, 0.0, 0.0, ... , 0.0 };

    for (i = 0; i < numNoisePara; i++) {
        if (i < prevNumNoisePara && noiseContFlag)
            pred = noiseLPCMean[i] + (prevNoiseLPCPara[i] - noiseLPCMean[i]) * 0.75;
        else

```

```

    pred = noiseLPCMean[i];
    noiseLPCPara[i] = pred + noiseLAR[i];
}

```

Parameters needed in the following frame are stored in the frame-to-frame memory:

```

prevNumNoisePara = numNoisePara;
for (i = 0; i < numNoisePara; i++) {
    prevNoiseLPCPara[i] = noiseLPCPara[i];
}

```

The amplitude and power of the noise is dequantized as follows:

```

noiseAmpl = 32768 * pow(10, -1.5*(noiseAmplIndex+0.5)/20 );
noisePwr = noiseAmpl*noiseAmpl;

```

If noiseEnvFlag == 1 then the noise envelope parameters noiseEnvTmax, noiseEnvRatk, and noiseEnvRdec are dequantized into noiseT\_max, noiseR\_atk, and noiseR\_dec in the same way as described for the individual line decoder (see subclause 7.5.1.2.3.1).

### 7.5.1.3.3.2 Enhanced decoder

Since there is no enhancement data for noise components, there is no specific enhanced decoding mode for noise parameters. If noise is to be synthesized with enhancement data present for the other components, the basic noise parameter decoder can be used. However it has to be noted that if the HILN decoder is used as a core in a scalable coder no noise signal must be synthesized for the signal which is given to the enhancement decoder.

## 7.5.1.4 Harmonic and individual line synthesizer

### 7.5.1.4.1 Tool description

This tool synthesizes the audio signal according to the harmonic and individual line parameters decoded by the corresponding decoder tools. It includes the combination of the harmonic and individual lines, the basic synthesizer and the enhanced synthesizer. To obtain the complete decoded audio signal, the output signal of this tool is added to the output signal of the noise synthesizer as described in subclause 7.5.1.5.

### 7.5.1.4.2 Definitions

<b>totalNumLine</b>	Total number of lines in the current frame to be synthesized (individual plus harmonic).
<b>sampleRate</b>	Sampling rate in Hz as indicated by HILNsampleRateCode (see Table 7.7).
<b>synthSampleRate</b>	Sampling rate in Hz of synthesized output signal $x[n]$ .
<b>speedFactor</b>	Synthesis speed change factor (>1 for faster than original playback speed).
<b>pitchFactor</b>	Synthesis pitch change factor (>1 for higher than original playback pitch).
<b>T</b>	Synthesis frame length in seconds.
<b>N</b>	Synthesis frame length in samples.
<b>env(t)</b>	Amplitude envelope function in the current frame.
<b>a(t)</b>	Instantaneous amplitude of the line being synthesized.
<b>p(t)</b>	Instantaneous phase of the line being synthesized.
<b>x(t)</b>	Synthesized output signal.

<b>x[n]</b>	Sampled synthesized output signal.
<b>startPhi[i]</b>	Start phase of the i-th line in the current frame (in rad).
<b>phi[i]</b>	End phase of the i-th line in the current frame (in rad).
<b>previousEnvFlag</b>	Envelope flag in the previous frame.
<b>previousT_max</b>	Envelope parameter t_max in the previous frame.
<b>previousR_atk</b>	Envelope parameter r_atk in the previous frame.
<b>previousR_dec</b>	Envelope parameter r_dec in the previous frame.
<b>previousEnv(t)</b>	Amplitude envelope function in the previous frame.
<b>previousTotalNumLine</b>	Total number of lines in the previous frame.
<b>previousAmpl[k]</b>	Amplitude of the k-th line in the previous frame.
<b>previousFreq[k]</b>	Frequency of the k-th line in the previous frame (in Hz).
<b>previousPhi[k]</b>	End phase of the k-th line in the previous frame (in rad).
<b>previousLineEnvFlag[k]</b>	A flag indicating that the previous amplitude envelope is applied to the k-th line in the previous frame.
<b>previousT_maxEnh</b>	Enhanced envelope parameter t_max in the previous frame.
<b>previousR_atkEnh</b>	Enhanced envelope parameter r_atk in the previous frame.
<b>previousR_decEnh</b>	Enhanced envelope parameter r_dec in the previous frame.
<b>previousAmplEnh[k]</b>	Enhanced amplitude of the k-th line in the previous frame.
<b>previousFreqEnh[k]</b>	Enhanced frequency of the k-th line in the previous frame (in Hz).
<b>previousPhaseEnh[k]</b>	Phase of the k-th line in the previous frame (in rad).

### 7.5.1.4.3 Synthesis process

#### 7.5.1.4.3.1 Combination of harmonic and individual lines

For the synthesis of the harmonic lines the same synthesis technique as for the individual lines is used.

If no harmonic component is decoded for the following steps numHarmLine has to be set to zero.

Otherwise the parameters of the harmonic lines are appended to the list of individual line parameters as decoded by the individual line decoder:

```

for (i=0; i<numHarmLine; i++) {
    freq[numLine+i] = hLineFreq[i];
    ampl[numLine+i] = hLineAmpl[i];
    if (harmContFlag && prevNumLine+i < previousTotalNumLine) {
        lineContFlag[numLine+i] = 1;
        linePred[numLine+i] = prevNumLine+i;
    }
    else

```

```

    lineContFlag[numLine+i] = 0;
    lineEnvFlag[numLine+i] = harmEnvFlag;
    startPhase[numLine+i] = hStartPhase[i];
    startPhaseAvail[numLine+i] = hStartPhaseAvail[i];
}

```

Thus the total number of line parameters passed to the harmonic and individual line synthesizer is:

```
totalNumLine = numLine + numHarmLine;
```

Depending on the value of HILNcontMode it is possible to connect lines in adjacent frames in order to avoid phase discontinuities in the case of transitions to and from harmonic lines (HILNcontMode == 0) or additionally from individual lines to individual lines for which the continue bit lineContFlag in the bitstream was not set by the encoder (HILNcontMode == 1). This additional line continuation as described below can also be completely disabled (HILNcontMode == 2).

For each line  $i = 0 \dots \text{totalNumLine}-1$  of the current frame that has no predecessor (i.e.  $\text{lineContFlag}[i] == 0$ ), the best-fitting line  $j$  of the previous frame having no successor and with the combination meeting the requirements specified by HILNcontMode as described above is determined by maximizing the following measure  $q$ :

```

df = freq[i] / previousFreq[j];
df = max(df, 1/df);
da = ampl[i] / previousAmpl[j];
da = max(da, 1/da);
q = (1 - (df-1)/(dfCont-1)) * (1 - (da-1)/(daCont-1));

```

where  $dfCont = 1.05$  and  $daCont = 4$  are the maximum relative frequency and amplitude changes permitted. If there is more than one predecessor candidate achieving maximum  $q$ , the one with smallest index  $j$  is selected. For additional line continuations determined in this way, the line predecessor information is updated:

```

lineContFlag[i] = 1;
linePred[i] = j;

```

If there is not at least one possible predecessor with  $df < dfCont$  and  $da < daCont$ ,  $\text{lineContFlag}[i]$  and  $\text{linePred}[i]$  remain unchanged.

For the enhanced synthesizer, the enhanced harmonic (up to maximum of 10) and individual line parameters are combined as follows:

```

for (i = 0; i < min(10,numHarmLine); i++) {
    freqEnh[numLine+i] = hLineFreqEnh[i];
    amplEnh[numLine+i] = hLineAmplEnh[i];
    if (harmContFlag && prevNumLine+i < previousTotalNumLine) {
        lineContFlag[numLine+i] = 1;
        linePred[numLine+i] = prevNumLine+i;
    }
    else
        lineContFlag[numLine+i] = 0;
    lineEnvFlag[numLine+i] = harmEnvFlag;
    phaseEnh[numLine+i] = hLinePhaseEnh[i];
}

```

Thus the total number of line parameters passed to the enhanced harmonic and individual line synthesizer, if the HILN decoder is used as a core in a scalable coder, is:

```
totalNumLine = numLine + min(10,numHarmLine);
```

Since phase information is available for all of these lines, no line continuation is introduced for the enhanced synthesizer.

#### 7.5.1.4.3.2 Speed and pitch change

Due to the parametric signal representation utilized by the HILN coder and the phase continuation provided by the basic line synthesizer, the playback speed and pitch can be easily modified during the signal synthesis in the decoder. If playback at the original speed and pitch is desired, the corresponding control factors are set to their default values:

```
speedFactor = 1.0;
pitchFactor = 1.0;
```

If the speed is controlled by the time scaling factor in the **speed** field of the AudioSource BIFS node, the speed change factor is:

```
speedFactor = 1 / speed;
```

If the pitch is controlled by the **pitch** field of the AudioSource BIFS node, the pitch change factor is:

```
pitchFactor = pitch;
```

When the enhanced synthesizer is used instead of the basic synthesiser, speedFactor and pitchFactor should always be set to their default value 1.0.

Speed change is realized by modifying the synthesis frame length according to the desired speedFactor as described in subclause 7.5.1.4.3.3.

Pitch change is realized by modifying the frequency parameters of the harmonic and individual lines as follows:

```
for (i = 0; i < totalNumLine; i++) {
    freq[i] *= pitchFactor;
}
```

The noise synthesizer described in subclause 7.5.1.4.3.3 also supports speed and pitch change as detailed there.

#### 7.5.1.4.3.3 Synthesis framing

The harmonic and individual line synthesizer reconstructs one frame of the audio signal. Since the line parameters encoded in a bitstream frame are valid for the center of the corresponding frame of the audio signal, the synthesizer generates the one-frame long section of the audio signal  $x(t)$  that starts at the center of the previous frame ( $t = 0$ ) and that ends at the center of the current frame ( $t = T$ ).

As default, the HILN synthesizer operates at the sampling frequency `synthSampleRate` as indicated by the `samplingFrequency` conveyed in the `AudioSpecificInfo()` (see subpart 1):

```
synthSampleRate = samplingFrequency
```

The synthesis frame contains  $N$  samples:

```
N = (int)(HILNframeLength * synthSampleRate / sampleRate / speedFactor + 0.5);
```

Thus the duration  $T$  of the synthesis frame is:

```
T = N / synthSampleRate;
```

In the following, the calculation of the synthesized output signal

```
 $x(t)$ 
```

for  $0 \leq t < T$  is described. The time discrete version (i.e. the actual frame of output samples) is defined as

$x[n] = x(t)$  with  $t = (n+0.5) * (T/N)$

for  $0 \leq n < N$ .

The noise synthesizer described in subclause 7.5.1.5 uses the same synthesis framing as the harmonic and individual line synthesizer described here.

#### 7.5.1.4.3.4 Basic synthesizer

Some parameters of the previous frame (names starting with “previous”) are taken out of the frame-to-frame memory which has to be reset before decoding the first frame of a bitstream.

First the envelope functions  $\text{previousEnv}(t)$  and  $\text{env}(t)$  of the previous and current frame are calculated according to the following rules:

If  $\text{envFlag} == 1$  then the envelope function  $\text{env}(t)$  is derived from the envelope parameters  $t_{\text{max}}$ ,  $r_{\text{atk}}$ , and  $r_{\text{dec}}$ . With  $T$  being the frame length,  $\text{env}(t)$  is calculated for  $-T/2 \leq t < 3/2 * T$ :

```
if (-1/2 <= t/T && t/T < t_max)
    env(t) = max(0, 1 - (t_max - t/T) * r_atk);
if (t_max <= t/T && t/T < 3/2)
    env(t) = max(0, 1 - (t/T - t_max) * r_dec);
```

If  $\text{envFlag} == 0$  then a constant envelope function  $\text{env}(t)$  is used:

```
env(t) = 1;
```

Accordingly  $\text{previousEnv}(t)$  is calculated from the parameters  $\text{previousT}_{\text{max}}$ ,  $\text{previousR}_{\text{atk}}$ ,  $\text{previousR}_{\text{dec}}$  and  $\text{previousEnvFlag}$ .

The envelope parameters transmitted in case of  $\text{envFlag} == 1$  are valid for the harmonic lines as well as for the individual lines. Thus the envelope functions always must be generated, even if all  $\text{lineEnvFlag}[i] == 0$ .

Before the synthesis is performed, the accumulator  $x(t)$  for the synthesized audio signal is cleared for  $0 \leq t < T$ :

```
x(t) = 0;
```

The lines  $i$  continuing from the previous frame to the current frame

```
all i = 0 .. totalNumLine-1 that have lineContFlag[i] == 1
```

are synthesized as follows for  $0 \leq t < T$ :

```
k = linePred[i];
ap(t) = previousAmpl[k];
if (previousLineEnvFlag[k] == 1)
    ap(t) *= previousEnv(t+T/2);
ac(t) = ampl[k];
if (lineEnvFlag[i] == 1)
    ac(t) *= env(t-T/2);

short_x_fade = (previousLineEnvFlag[k] && !(previousR_dec < 5 &&
    (previousT_max < 0.5 || previousR_atk < 5))) ||
    (lineEnvFlag[i] && !(r_atk < 5 && (t_max > 0.5 || r_dec < 5)));

if (short_x_fade == 1) {
    if (0 <= t && t < 7/16*T)
        a(t) = ap(t);
    if (7/16*T <= t && t < 9/16*T)
        a(t) = ap(t) + (ac(t) - ap(t)) * (t/T - 7/16) * 8;
    if (9/16*T <= t && t < T)
        a(t) = ac(t);
}
else
```

```

    a(t) = ap(t) + (ac(t)-ap(t))*t/T;
    p(t) = previousPhi[k]+2*pi*previousFreq[k]*t+
          2*pi*(freq[i]-previousFreq[k])/(2*T)*t*t;
    x(t) += a(t)*sin(p(t));
    phi[i] = p(T)

```

The lines  $i$  starting in the current frame

all  $i = 0 \dots \text{totalNumLine}-1$  that have  $\text{lineContFlag}[i] == 0$

are synthesized as follows for  $0 \leq t < T$ :

```

if (lineEnvFlag[i] && !(r_atk < 5 && (t_max > 0.5 || r_dec < 5))) {
    if (0 <= t && t < 7/16*T)
        fade_in(t) = 0;
    if (7/16*T <= t && t < 9/16*T)
        fade_in(t) = 0.5 - 0.5*cos((8*t/T-7/2)*pi);
    if (9/16*T <= t && t < T)
        fade_in(t) = 1;
}
else
    fade_in(t) = 0.5-0.5*cos(t/T*pi);
a(t) = fade_in(t)*ampl[i];
if (lineEnvFlag[i] == 1)
    a(t) *= env(t-T/2);
if (startPhaseAvail[i])
    startPhi[i] = startPhase[i];
else
    startPhi[i] = random(2*pi);
p(t) = startPhi[i] + 2*pi*freq[i]*(t-T);
x(t) += a(t)*sin(p(t));
phi[i] = p(T)

```

$\text{random}(x)$  is a function returning a random number with uniform distribution in the interval

$0 \leq \text{random}(x) < x$

The lines  $k$  ending in the previous frame

all  $k = 0 \dots \text{previousTotalNumLine}-1$  that have  $\text{prevLineContFlag}[k] == 0$

are synthesized as follows for  $0 \leq t < T$ :

```

if (previousLineEnvFlag[k] && !(previousR_dec < 5 &&
    (previousT_max < 0.5 || previousR_atk < 5))) {
    if (0 <= t && t < 7/16*T)
        fade_out(t) = 1;
    if (7/16*T <= t && t < 9/16*T)
        fade_out(t) = 0.5 + 0.5*cos((8*t/T-7/2)*pi);
    if (9/16*T <= t && t < T)
        fade_out(t) = 0;
}
else
    fade_out(t) = 0.5+0.5*cos(t/T*pi);
a(t) = fade_out(t)*previousAmpl[k];
if (previousLineEnvFlag[k] == 1)
    a(t) *= previousEnv(t+T/2);
p(t) = previousPhi[k]+2*pi*previousFreq[k]*t;
x(t) += a(t)*sin(p(t));

```

In order to avoid aliasing distortion, synthesized lines are muted (i.e.  $a(t) = 0$ ) while their instantaneous frequency is above or equal half the sampling frequency, i.e.

$d \text{ phi}(t) / dt \geq \pi * N / T$

Parameters needed in the following frame are stored in the frame-to-frame memory:

```
previousEnvFlag = envFlag;
previousT_max = t_max;
previousR_atk = r_atk;
previousR_dec = r_dec;
previousTotalNumLine = totalNumLine;
for (i=0; i<totalNumLine; i++) {
    previousFreq[i] = freq[i];
    previousAmpl[i] = ampl[i];
    previousPhi[i] = fmod(p[i],2*pi);
    previousLineEnvFlag[i] = lineEnvFlag[i];
}
```

fmod(x,2\*pi) is a function returning the 2\*pi modulus of x.

#### 7.5.1.4.3.5 Enhanced synthesizer

The enhanced synthesizer is based on the basic synthesizer but evaluates also the line phases for reconstructing one frame of the audio signal. Since the line parameters encoded in a bitstream frame and the corresponding enhancement frame are valid for the middle of the corresponding frame of the audio signal, the harmonic and individual line synthesizer generates the one frame long section of the audio signal that starts in the middle of the previous frame and ends in the middle of the current frame.

Some parameters of the previous frame (names starting with “previous”) are taken out of the frame-to-frame memory which has to be reset before decoding the first frame of a bitstream.

First the envelope functions previousEnv(t) and env(t) of the previous and current frame are calculated according to the following rules:

If envFlag == 1 then the envelope function env(t) is derived from the envelope parameters t\_maxEnh, r\_atkEnh, and r\_decEnh. With T being the frame length, env(t) is calculated for  $-T/2 \leq t < 3/2 * T$ :

```
if (-1/2 <= t/T && t/T < t_maxEnh)
    env(t) = max(0, 1-(t_maxEnh-t/T)*r_atkEnh);
if (t_maxEnh <= t/T && t/T < 3/2)
    env(t) = max(0, 1-(t/T-t_maxEnh)*r_decEnh);
```

If envFlag == 0 then a constant envelope function env(t) is used:

```
env(t) = 1;
```

Accordingly previousEnv(t) is calculated from the parameters previousT\_maxEnh, previousR\_atkEnh, previousR\_decEnh and previousEnvFlag.

The envelope parameters transmitted in case of envFlag == 1 are valid for the harmonic lines as well as for the individual lines. Thus the envelope functions always must be generated, even if all lineEnvFlag[i] == 0.

Before the synthesis is performed, the accumulator x(t) for the synthesized audio signal is cleared for  $0 \leq t < T$ :

```
x(t) = 0
```

All lines i in the in the current frame

```
all i =0 .. totalNumLine-1
```

are synthesized as follows for  $0 \leq t < T$ :

```
if (envFlag && !(r_decEnh < 5 && (t_maxEnh < 0.5 || r_atkEnh < 5))) {
    if (0 <= t&& t < 7/16*T)
        fade_in(t) = 0;
    if (7/16*T <= t&& t < 9/16*T)
        fade_in(t) = 0.5 - 0.5*cos((8*t/T-7/2)*pi);
}
```

```

        if (9/16*T <= t && t < T)
            fade_in(t) = 1;
    }
    else
        fade_in(t) = 0.5-0.5*cos(t/T*pi);
    a(t) = fade_in(t)*amplEnh[i];
    if (envFlag[i] == 1)
        a(t) *= env(t-T/2);
    phi(t) = 2*pi*freqEnh[i]*(t-T)+phaseEnh[i];
    x(t) += a(t)*sin(phi(t));

```

The lines  $k$  in the previous frame

```
all k=0 .. previousTotalNumLine-1
```

are synthesized as follows for  $0 \leq t < T$ :

```

if (previousEnvFlag && !(previousR_atkEnh < 5 &&
    (previousT_maxEnh > 0.5 || previousR_decEnh < 5))) {
    if (0 <= t && t < 7/16*T)
        fade_out(t) = 1;
    if (7/16*T <= t && t < 9/16*T)
        fade_out(t) = 0.5 + 0.5*cos((8*t/T-7/2)*pi);
    if (9/16*T <= t && t < T)
        fade_out(t) = 0;
}
else
    fade_out(t) = 0.5+0.5*cos(t/T*pi);
a(t) = fade_out(t)*previousAmplEnh[k];
if (previousEnvFlag[k] == 1)
    a(t) *= previousEnv(t+T/2);
phi(t) = 2*pi*previousFreqEnh[k]*t+previousPhaseEnh[i];
x(t) += a(t)*sin(phi(t));

```

If the instantaneous frequency of a line is above or equal half the sampling frequency, i.e.

```
d phi(t) / dt >= pi*N/T
```

it is not synthesized to avoid aliasing distortion.

Parameters needed in the following frame are stored in the frame-to-frame memory:

```

previousEnvFlag = envFlag;
previousT_maxEnh = t_maxEnh;
previousR_atkEnh = r_atkEnh;
previousR_decEnh = r_decEnh;
previousTotalNumLine = totalNumLine;
for (i=0; i<totalNumLine; i++) {
    previousFreqEnh[i] = freqEnh[i];
    previousAmplEnh [i] = amplEnh[i];
    previousPhaseEnh [i] = phaseEnh [i];
}

```

### 7.5.1.5 Noise synthesizer

#### 7.5.1.5.1 Tool description

This tool synthesizes the noise part of the output signal based on the noise parameters decoded by the noise decoder. Finally the noise signal is added to the output signal of the harmonic and individual line synthesizer (subclause 7.5.1.4) to obtain the complete decoded audio signal

#### 7.5.1.5.2 Definitions

**noiseWin[n]** Window for noise overlap-add.

<b>noiseEnv[n]</b>	Envelope for noise component in the current frame.
<b>M</b>	Frame length in samples before resampling.
<b>w[m]</b>	White noise with power pw.
<b>xf[m]</b>	Filtered noise signal in the current frame.
<b>xn[n]</b>	Synthesized noise signal in the current frame.
<b>previousXn[n]</b>	Synthesized noise signal in the previous frame.
<b>previousNoiseWin[n]</b>	Window and envelope for noise component in the previous frame.
<b>r[i]</b>	LPC reflection coefficients.
<b>h[i]</b>	LPC impulse response.
<b>hlp[i]</b>	Low-pass resampling filter impulse response.

### 7.5.1.5.3 Synthesis process

#### 7.5.1.5.3.1 Basic synthesizer

If noise parameters are transmitted for the current frame, a noise signal with a spectral shape as described by the noise parameters decoded from the bitstream is synthesized and added to the audio signal generated by the harmonic and individual line synthesizer. The synthesis framing is as described in subclause 7.5.1.4.3.3.

The noise is represented by its power and a set of LPC-Parameters. As described in the harmonic tone decoder (subclause 7.5.1.1.3.1), the noise LPC parameters are converted to the reflection coefficients  $r[i]$  and to the time response  $h[i]$ :

```
for (i = 0; i < numNoisePara; i++)
    r[i] = ( exp(noiseLPCPara[i]) - 1 ) / ( exp(noiseLPCPara[i]) + 1 );
```

After this the reflection coefficients  $r[i]$  are converted to the time response  $h[i]$  using the C function

```
void Convert_k_to_h (float *x, int N)
```

given in subclause 7.5.1.1.3.1 (call with  $x[i] = r[i]$  and  $N = \text{numNoisePara}$ ; returns with  $x[i] = h[i]$ ).

Now the filtered noise signal  $xf[m]$  is generated by applying the LPC synthesis IIR filter to a white noise represented by random numbers  $w[m]$ . The power of this zero-mean white noise is denoted pw. For a noise with uniform distribution in  $[-1,1]$  the power is

```
pw = 1/3
```

To achieve the required noise signal power, the following scaling factor  $s$  is required:

```
ss = 1.0;
for (i = 0; i < numNoisePara; i++)
    ss *= 1-r[i]*r[i];
s = noiseAmpl * sqrt( ss/pw );
```

Then the white noise  $w[m]$  is IIR-filtered to obtain the synthesized noise signal  $xf[m]$

```
for (m = startup; m < 2*M; m++) {
    xf[m] = s * w[m];
    for (i = 0; i < min(m-startup,numNoisePara); i++)
        xf[m] += h[i]*xf[m-i-1];
}
```

To ensure that the IIR filter can reach a sufficiently steady state, a startup phase is used:

```
startup = -numNoisePara
```

If decoded with a pitch change (i.e. `pitchFactor` != 1.0, see subclause 7.5.1.4.3.2) or with a different sample rate than the encoder (i.e. `synthSampleRate` != `sampleRate`), a resample operation must be applied to the signal `xf[m]` using the resampling factor

```
resampleFactor = ( sampleRate * pitchFactor ) / synthSampleRate;
```

where e.g. `pitchFactor` of 2 indicates that this signal is synthesized at twice its original pitch. Otherwise the `resampleFactor` is set to 1.0. Based on the `resampleFactor`, the frame length `M` before resampling is defined:

```
M = N * resampleFactor;
```

The resampling can be realized by applying two lowpass FIR filter operations to the signal `xf[m]` and linearly interpolating between these two values to obtain the final noise signal `xn[n]`.

```
if (resampleFactor < 1)
    fc = 1;
else
    fc = 1/resampleFactor;
```

The following function calculates the time response `hlp[0..31]` of an appropriate lowpass FIR filter with 16 taps and an oversampling factor of 4. The cutoff frequency is `fc`.

```
void GenLPFilter (float *hlp, double fc)
{
    double x, f;
    int i;

    hlp[0] = (float) fc;
    for (i = 1; i < 32; i++)
    {
        x = i*pi/4.0;
        hlp[i] = (float) ((0.54+0.46*cos(0.125*x))*sin(fc*x)/x);
    }
}
```

To perform the FIR filter operation the following C function can be used. The parameters are the signal, the time response (as returned by the function above) and the position of the sampling point. The position is given as the difference between the nearest sample position prior to the desired sample position (`x[7]`) and the desired sample position. Therefore  $0 \leq \text{pos} < 1$ . The interpolation is done between `x[7]` and `x[8]`, the returned value represents a sample position of `7+pos`.

```
float LPInterpolate (float *x, float *hlp, double pos)
{
    long j;
    double s, t;

    pos *= 4.0;
    j = (long) pos;
    pos -= (double) j;

    s = t = 0.0;
    j = 32-j;

    if (j == 32)
    {
        t = h[31]*(*x);
        x++;
        j -= 4;
    }
    while (j > 0)
    {
        s += h[j ]*(*x);
```

```

        t += h[j-1]*(*x);
        x++;
        j -= 4;
    }
    j = -j;
    while (j < 32-1)
    {
        s += h[j ]*(*x);
        t += h[j+1]*(*x);
        x++;
        j += 4;
    }
    if (j < 32)
        s += h[j]*(*x);

    return (float) (s+pos*(t-s));
}

```

Using the functions GenLPFilter() and LPInterpolate(), the resampling is done as described below.  $x_f[m]$  is set to 0.0 for  $m < \text{startup}$  and  $m \geq 2*M$ .

```

GenLPFilter(hlp, fc);
for (n = 0; n < 2*N; n++)
    xn[n] = LPInterpolate(xf+((int)n*resampleFactor)-7, hlp, frac(n*resampleFactor));

```

If  $\text{resampleFactor} == 1.0$ ,  $x_f[m]$  is simply copied to  $x_n[n]$  without resampling:

```

for (n = 0; n < 2*N; n++)
    xn[n] = xf[n];

```

For smooth cross-fade of the noise signal at the boundary between two adjacent frames, the following window is used for this overlap-add operation:

```

for (n = 0; n < N; n++) {
    if (n < N*3/8)
        noiseWin[n] = 0;
    if (N*3/8 <= n && n < N*5/8)
        noiseWin[n] = sin(pi/2 * (n-N*3/8+0.5)/(N*2/8));
    if (N*5/8 <= n)
        noiseWin[n] = 1;
    noiseWin[2*N-1-n] = noiseWin[n];
}

```

Now the envelope function  $\text{noiseEnv}[n]$  is calculated. If  $\text{noiseEnvFlag} == 1$  then the envelope function

$\text{noiseEnv}[n] = \text{noiseEnv}(t)$  with  $t = (n+0.5)*(T/N)-0.5$

is derived from the envelope parameters  $\text{noiseT\_max}$ ,  $\text{noiseR\_atk}$ , and  $\text{noiseR\_dec}$  for  $-T/2 \leq t < 3/2*T$  (i.e.  $0 \leq n < 2*N$ ):

```

if (-1/2 <= t/T && t/T < noiseT_max)
    noiseEnv(t) = max(0,1-(noiseT_max-t/T)*noiseR_atk);
if (noiseT_max <= t/T && t/T < 3/2)
    noiseEnv(t) = max(0,1-(t/T-noiseT_max)*noiseR_dec);

```

If  $\text{noiseEnvFlag} == 0$  then a constant envelope function  $\text{noiseEnv}(t)$  is used:

$\text{noiseEnv}[n] = 1;$

The noise signal  $x_n[n]$  is windowed for overlap-add and multiplied with the envelope  $\text{noiseEnv}[n]$ . Then this signal and the noise from the previous frame  $\text{previousXn}[n]$  are added to the signal  $x[n]$  from the harmonic and individual line synthesizer to construct the complete synthesized signal  $x[n]$ :

```

for (n = 0; n < N; n++)
    x[n] += xn[n]*noiseWin[n]*noiseEnv[n] + previousXn[n];

```

The second half of the generated noise signal  $xn[n]$  is stored in the frame-to-frame memory  $previousXn[n]$  for overlap-add:

```
for (n = 0; n < N; n++)
    previousXn[n] = xn[N+n]*noiseWin[N+n]*noiseEnv[N+n];
```

The  $previousXn[n]$  memory has to be reset to 0.0 before decoding of the first frame.

#### 7.5.1.5.3.2 Enhanced synthesizer

Since there is no enhancement data for noise components, there is no specific enhanced synthesizer mode for noise components. If noise is to be synthesized with enhancement data present for the other components, the basic noise synthesizer decoder can be used. However it has to be noted that if the HILN decoder is used as a core in a scalable coder no noise signal must be synthesized for the signal which is given to the enhancement decoder.

### 7.5.2 Integrated parametric coder

The integrated parametric coder can operate in four different modes as shown in Table 7.1. PARAModes 0 and 1 represent the fixed HVXC and HILN modes. PARAMode 2 permits automatic switching between HVXC and HILN depending on the current input signal type. In PARAMode 3 the HVXC and HILN coders can be used simultaneously and their output signals are added (mixed) in the decoder.

The integrated parametric coder uses a frame length of 40 ms and a sampling rate of 8 kHz and can operate at 2025 bit/s or any higher bitrate. Operation at 4 kbit/s or higher is suggested.

#### 7.5.2.1 Integrated parametric decoder

For the “HVXC only” and “HILN only” modes the parametric decoder is not modified.

In “switched HVXC / HILN” and “mixed HVXC / HILN” modes both HVXC and HILN decoder tools are operated alternatively or simultaneously according to the PARAswitchMode or PARAMixMode of the current frame. To obtain proper time alignment of both HVXC and HILN decoder output signals before they are added, the difference between HVXC and HILN decoder delay has to be compensated with a FIFO buffer:

- If HVXC is used in the low delay decoder mode, its output must be delayed for 100 samples (i.e. 12.5 ms).
- If HVXC is used in the normal delay decoder mode, its output must be delayed for 80 samples (i.e. 10 ms).

To avoid hard transitions at frame boundaries when the HVXC or HILN decoders are switched on or off, the respective decoder output signals are faded in and out smoothly. For the HVXC decoder a 20 ms linear fade is applied when it is switched on or off. The HILN decoder requires no additional fading because of the smooth synthesis windows utilized in the HILN synthesizer. It is only necessary to operate the HILN decoder with no new components for the current frame (i.e. force numLine = 0, harmFlag = 0, noiseFlag = 0) if the current bitstream frame contains no “HILNframe()”.

## 7.6 Error resilient bitstream payloads

### 7.6.1 Overview of the tools

Error resilient bitstream payloads allow the effective usage of advanced channel coding techniques like unequal error protection (UEP), which can be perfectly adapted to the needs of the different coding tools. The basic idea is to rearrange the conventional bitstream payload depending on its error sensitivity in one or more instances belonging to different error sensitivity categories (ESC). This re-arrangement works either data element-wise or even bit-wise. The error resilient bitstream payload is built by concatenating these instances.

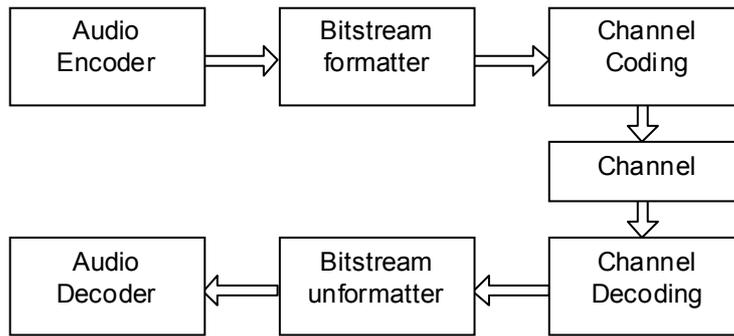


Figure 7.2 — Basic principle of error resilient bitstream reordering

The basic principle is depicted in Figure 7.2. A bitstream is reordered according to the error sensitivity of single bitstream elements or even single bits. This new arranged bitstream is channel coded, transmitted and channel decoded. Prior decoding, the bitstream is rearranged to its original order. Instead of performing the reordering in the described way, the reordered syntax, that is the bitstream order prior the bitstream formatter at the decoder site, is defined in this amendment.

In the subsequent subparts, a detailed description of error resilient bitstream reordering for these tools can be found.

### 7.6.2 ER HILN

Error sensitivity categories (ESC) are defined in the parametric bitstream as described in subclause 7.3. Below, the ordering of the different ESCx is described for the four different modes PARAMode == 0, 1, 2, 3.

- **PARAMode == 0 (HVXC only)**  
HVXC: ESC0 ESC1 ESC2 ESC3 ESC4
- **PARAMode == 1 (HILN only)**  
PARA/HILN: ESC0 ESC1 ESC2 ESC3 ESC4
- **PARAMode == 2 (switched HVXC / HILN)**  
PARA/HILN: ESC0 [ ESC1 ESC2 ESC3 ESC4 ]  
HVXC 1/double: [ ESC0 ESC1 ESC2 ESC3 ESC4 ]  
HVXC 2/double: [ ESC0 ESC1 ESC2 ESC3 ESC4 ]
- **PARAMode == 3 (mixed HVXC / HILN)**  
PARA/HILN: ESC0 [ ESC1 ESC2 ESC3 ESC4 ]  
HVXC 1/double: [ ESC0 ESC1 ESC2 ESC3 ESC4 ]  
HVXC 2/double: [ ESC0 ESC1 ESC2 ESC3 ESC4 ]

In PARAMode 3 for example, the ordering is PARA/HILN ESC0, ESC1, ..., ESC4, followed by HVXC 1/double ESC0, ESC1, ..., ESC4 and HVXC 2/double ESC0, ESC1, ..., ESC4.

The ESC0 of “PARA/HILN” consists of the PARAswitchMode or PARAMixMode bitstream element in PARAframe() followed by the bitstream elements in HILNbasicFrameESC0(). The actual presence of these bitstream elements can depend on the current values of PARAMode, PARAswitchMode, and PARAMixMode. “HVXC 1/double” and “HVXC 2/double” denote the first and second ErHVXCfixframe() within an ErHVXCdoubleframe() respectively. The presence of ESCs in squared brackets depends on the value of PARAswitchMode or PARAMixMode in the current frame.

For the HILN enhancement and extension layer Elementary Streams, no error sensitivity categories are defined and all bitstream elements of HILNenexFrame() are handled as “ESC0”.

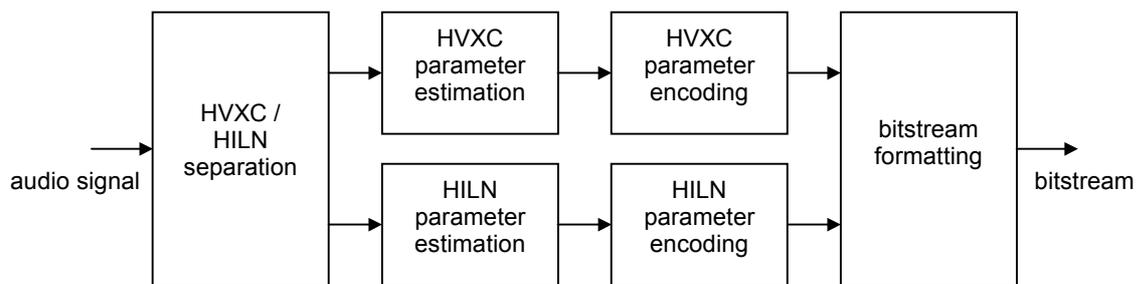
## Annex 7.A (informative)

### Parametric audio encoder

#### 7.A.1 Overview of the encoder tools

The following Figure 7.A.1 shows a general block diagram of a parametric encoder. First the input signal is separated into the two parts which are coded by HVXC and by HILN tools. This can be done manually or automatically. Automatic switching between speech and music signals is supported (see subclause 7.A.3.1), allowing the use of HVXC for speech and HILN for music. For both HVXC and HILN parameter estimation and parameter encoding can be performed. A common bitstream formatter allows operation either in HVXC only, HILN only, or also in combined modes, i.e. switched or mixed mode.

The following description of an HILN parametric encoder is informative and also alternative techniques for signal separation and parameter estimation can be used in an encoder.



**Figure 7.A.1 — General block diagram of the integrated parametric encoder**

#### 7.A.2 HILN encoder tools

The basic principle of the “Harmonic and Individual Lines plus Noise” (HILN) encoder is to analyze the input signal in order to extract parameters describing the signal. These parameters are coded and transmitted as a bitstream. In the decoder the output signal is synthesized based on the parameters extracted and transmitted by the encoder.

The encoder consists of two main parts: “Parameter Extraction” and “Parameter Coding”. In the encoder, the input signal is divided into consecutive frames and for each frame a set of parameters describing the signal in this frame is extracted and coded. Due to this parametric description, a wide range of bitrates, sampling rates and frame lengths are possible. Typically a frame length of 32 ms is used. For input signals with 8 to 16 kHz sampling rate typically a bitrate of 6 to 16 kbit/s is used.

The “Parameter Extraction” and “Parameter Coding” is described in detail in the following subclauses.

##### 7.A.2.1 HILN parameter extraction

Since different parameter sets and different synthesis techniques can be applied, the input signal of the encoder has to be split up in an appropriate way. This is performed by the *Separation* unit. Depending on the most appropriate synthesis technique, a parameter set is derived for each part of the input signal in the *Model Based Parameter Estimation* unit. The two units *Separation* and *Model Based Parameter Estimation* can be regarded as

the analysis stage which produces a parametric description of the input signal. The separation of the input signal is enhanced by feeding back the signals which are generated in the *Synthesis* unit from all the parameters of previously separated parts. The *Separation* and the *Model Based Parameter Estimation* additionally receive data from a synthesis model independent *Pre-Analysis*. Prior to transmission, the parameters are fed through the *Quantization and Coding* unit, which is controlled by a *Psychoacoustic Model*. This *Psychoacoustic Model* processes the input signal in order to derive information about the relevancy of synthesis parameters. In addition, the synthesized signal is fed into the *Psychoacoustic Model*, which thus is allowed to assist the *Model Based Parameter Estimation*.

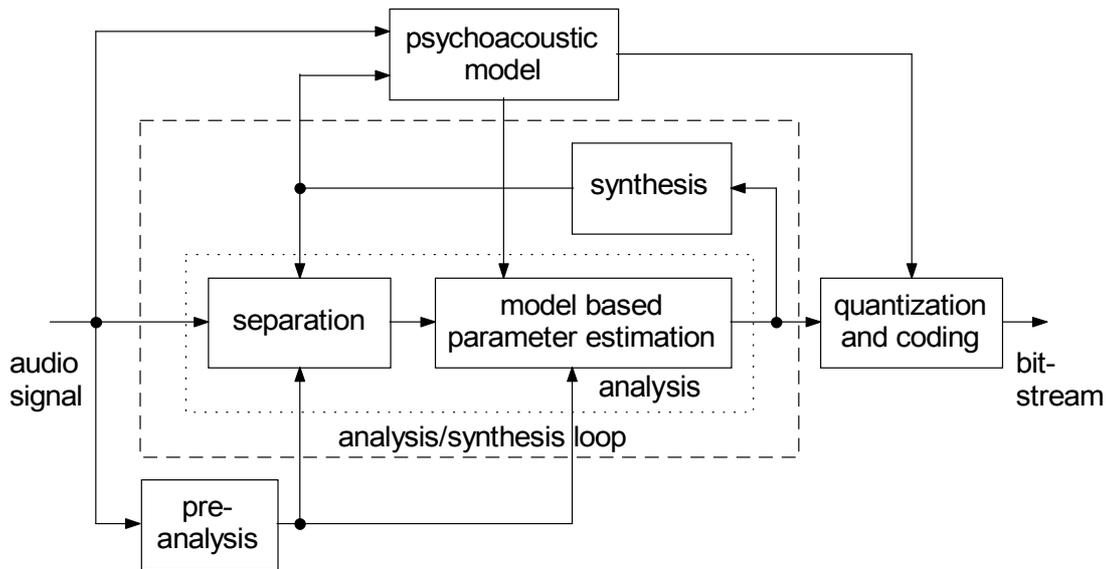


Figure 7.A.2 — Block diagram of the HILN encoder

In the parameter extraction, the input signal is separated into three different parts: “harmonic lines”, “individual lines” and “noise”.

For each of these parts parameters describing the signal are extracted. These are basically:

- harmonic lines: fundamental frequency and amplitudes of the harmonic components
- individual lines: frequency and amplitude of each individual line
- noise: spectral shape of the noise

Additionally parameters for amplitude envelopes and for continuation of spectral lines from one frame to the next can be determined.

The signal separation and parameter estimation is implemented in three steps: First the fundamental frequency of the harmonic part of the signal is estimated. Then the parameters of the relevant spectral lines are estimated and these lines are classified as “individual lines” or “harmonic lines” depending on the frequency with respect to the fundamental frequency. After all relevant spectral lines are extracted, the remaining residual signal is assumed to be noise-like and its spectral shape is described by a set of parameters.

The harmonic line extraction of the HILN tools can also be utilized in an integrated parametric coder utilizing both the HVXC speech coding tools as well as the HILN coding tools simultaneously. If the input signal is e.g. a speech signal mixed with background music, the HILN encoder can be used to extract only those individual spectral lines that do not belong to the harmonic part of the signal. These individual lines are encoded by the HILN tools and the remaining signal - consisting of the harmonic signal part and noise - then is encoded by the HVXC parametric

speech codec tools. In the decoder, the audio signal is reconstructed by adding the output of the “individual line” synthesizer and the HVXC decoder.

**7.A.2.1.1 Fundamental frequency estimation**

A “cepstrum”-based fundamental frequency estimation technique is employed by the HILN tools. First the input signal is windowed with a Hanning window of twice the frame length centered around the current frame. For the windowed signal, the magnitude spectrum is calculated and the logarithm is applied to the magnitude spectrum. Then the log spectrum is multiplied with the window

$$w(f) = (1 + \cos(2\pi f/fs))/2 \quad 0 \leq f \leq fs/2$$

and zero-padding is used to virtually double the sampling frequency before the cepstrum is calculated. Finally the local maxima in the cepstrum are determined and the largest maximum within the permitted “pitch lag” search range is identified. The fundamental frequency is calculated from the “pitch lag” (period of the fundamental frequency) of the largest maximum.

The fundamental frequency determined by this cepstrum-based technique is used as an initial (coarse) estimate in the following line parameter estimation.

**7.A.2.1.2 Harmonic and Individual Line Parameter Estimation**

The estimation of harmonic and individual line parameters is based on an “Analysis/Synthesis Loop” described in the following Subclauses.

In a first step the parameters of all harmonic lines are estimated. This is done by performing the regression-based high accuracy frequency estimation described below for all integer multiples of the coarse fundamental frequency as initial estimates. Based on the accurate frequencies of the harmonic lines, a fine estimate of the fundamental frequency hFreq and the so-called “stretching” hStretch is calculated which minimizes the total error between the real harmonic line frequencies and those calculated according to

$$hLinefreq[i] = hFreq * (i+1) * (1 + hStretch*(i+1)) \quad i = 0 .. harmNumLine-1$$

where the total number of harmonic lines is determined by the bandwidth w of the signal and the current fundamental frequency hFreq:

$$harmNumLine = \text{floor}(w/hFreq)$$

The harmonic envelope flag is set if using the current amplitude envelope for all harmonic lines results in a lower residual error than if no envelope is used. If the relative change of the fundamental frequency between the previous and the current frame is less than 15%, the harmonic continuation flag is set.

In the second step the relevant spectral lines are extracted from the input signal by means of the “Analysis/Synthesis Loop”. This loop utilizes a psychoacoustic model to extract the spectral lines in order of their subjective relevance. If the frequency of an extracted spectral line is close to the frequency of a harmonic line as calculated from hFreq and hStretch, this extracted line is classified as harmonic line. Otherwise it is classified as individual line. The “Analysis/Synthesis Loop” is terminated if the requested number of individual lines was extracted or if the remaining signal components cannot be properly modeled by spectral lines. The ratio between the number of harmonic lines extracted and the total lines extracted is passed on to the encoder as measure of “relevance” of the harmonic lines.

If less than three extracted lines were classified as “harmonic line”, these lines are added to the list of “individual lines” and numHarmLine is set to 0. Finally all harmonic lines that were not extracted by the “Analysis/Synthesis Loop” are also removed from the residual signal. This residual signal is then passed to the noise parameter estimation.

**7.A.2.1.2.1 Pre-Analysis**

The pre-analysis module determines the signal amplitude envelope which is used in the analysis/synthesis loop.

### 7.A.2.1.2.2 Analysis/Synthesis Based on Single Spectral Lines

The Individual Line encoder is based on the model of single spectral lines, which can be generated with the help of sine wave generators. The according *Model Based Parameter Estimation* for the  $i$ -th line in the loop consists of the following steps:

- calculation of the deviation between FFT spectra of input and synthesized signals
- selection of the most relevant FFT line with center frequency  $f_{i,m}$
- high resolution frequency estimation in the surrounding of  $f_{i,m}$
- amplitude and phase estimation, selection of envelope information
- synthesis with the determined parameters
- calculation of the residual error signal by subtraction of the synthesized signal from the input signal

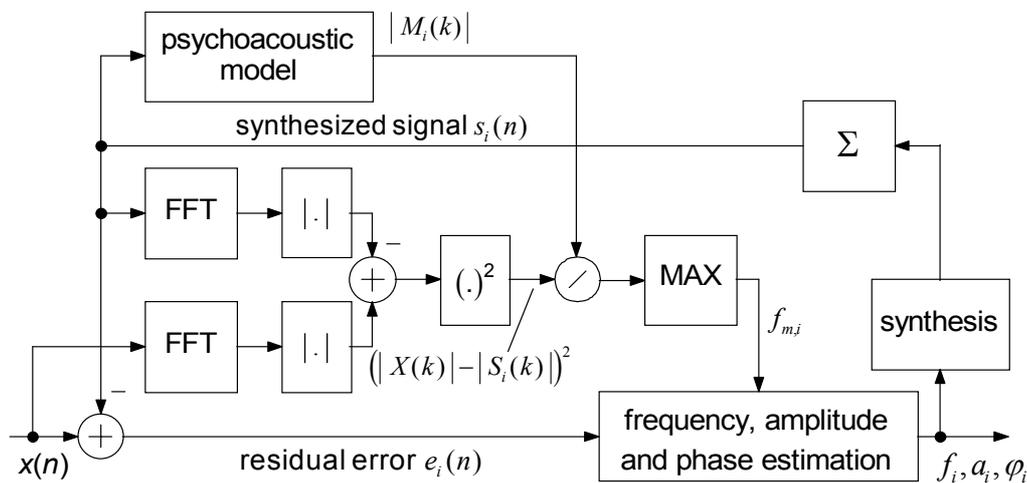


Figure 7.A.3 — Analysis/Synthesis Loop based on the synthesis method “single spectral lines”

The FFT line to be processed is determined by calculating the deviation between input spectrum and synthesized spectrum and searching the maximum ratio of the square of this deviation and the masking threshold derived from the signal synthesized from the previously determined spectral lines.

Based on the center frequency  $f_{i,m}$  of the selected FFT line a frequency estimation is performed in order to obtain a frequency parameter of higher accuracy than the FFT resolution.

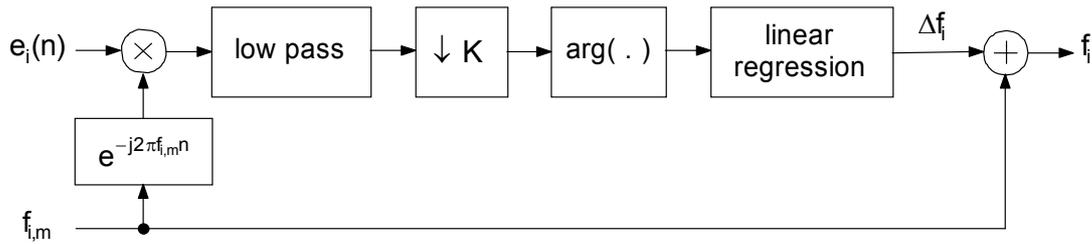


Figure 7.A.4 — High accuracy frequency estimation

For this frequency estimation, first the spectrum of the residual error signal is shifted in a way that the center frequency  $f_{i,m}$  of the selected FFT line becomes zero. The complex output values of this operation are fed into lowpass filter and sampling rate reduction, which are realized by applying time-shifted versions of the window function. The slope of the regression line for the phase values of the obtained complex samples gives a frequency offset, which is added to  $f_{i,m}$  in order to give a high resolution frequency parameter  $f_i$ . The time shift of the window function ranges from -0.32 to 0.32 times the frame length. The time shift step size is 0.08 times the frame length and thus 9 data points are used for the linear regression.

Based on  $f_i$  the amplitude and phase of the spectral line are calculated. This is realized by calculating a complex correlation coefficient of the residual error signal and a complex harmonic signal of frequency  $f_i$ . The absolute value of the correlation coefficient gives the amplitude parameter  $a_i$  and the argument gives the phase parameter  $\phi_i$ . If the *Pre-Analysis* has generated envelope parameters, a second set of parameters  $a_{i,e}$  and  $\phi_{i,e}$  is generated by correlation of the residual error signal and a complex harmonic signal of frequency  $f_i$  multiplied with the according envelope.

In the *Separation* unit, a new residual error signal is generated by subtracting the signal synthesized from the parameters  $f_i$ ,  $a_i$  and  $\phi_i$ . If the parameters  $a_{i,e}$  and  $\phi_{i,e}$  are also available, a second signal is synthesized accordingly and the parameter set leading to the lowest residual error variance is selected.

**7.A.2.1.2.3 Psychoacoustic model**

The psychoacoustic model calculates the masked threshold for the synthesized signal components in the analysis/synthesis loop.

**7.A.2.1.3 Noise Parameter Estimation**

The noise parameters are used to model the spectral shape of the residual signal. First the power spectrum of the Hanning-windowed residual signal is calculated. Then this spectrum is transformed back into the autocorrelation function. Based on this autocorrelation function, LPC parameters are calculated using Durbin's algorithm. These LPC parameters are then transformed into reflection coefficients which are represented as Log Area Ratios (LAR). Besides the LAR parameters also the power of the noise signal is calculated.

Additionally a new set of envelope parameters is calculated for the residual signal. Thus also the temporal shape of the residual signal can be modeled. The ratio of residual signal power to input signal power is calculated and passed to encoder as a measure of "relevance" of the noise-like signal component.

**7.A.2.2 HILN parameter encoder**

The extracted parameters of the harmonic, individual line and noise parts of the signal are quantized and encoded to generate the bitstream output of the HILN encoder.

The allocation of the bits available in a frame to the parameters for the three parts of the signal is determined by the harmonic and noise component "relevance" measures calculated during the parameter estimation.

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### 7.A.2.2.1 Harmonic Line Parameter Quantization

The number of bits available for the harmonic line parameters depends on the “relevance” measure of the harmonic signal component. If this measure is low, the number of harmonic lines encoded can be less than the number of lines extracted. This corresponds to a bandwidth limitation of the harmonic signal.

The fundamental frequency is quantized with 2048 steps on a logarithmic scale ranging from 20 Hz to 4 kHz. The “stretching” parameter is quantized with 35 steps on a uniform scale ranging from  $-17/16000$  to  $+17/16000$ .

To describe the spectrum of the harmonic tone, the autocorrelation function of the harmonic signal is calculated. From this LAR LPC coefficients are derived that approximately model the spectral envelope of the harmonic signal. This process is similar to the LPC spectral modeling used for the noise signal. Besides the LAR parameters also the power of the harmonic tone is calculated.

For a new harmonic tone, the indices of the quantised fundamental frequency and amplitude are directly written to the bitstream, while for a continued harmonic tone the index differences to the previous frame are coded with an entropy code.

### 7.A.2.2.2 Individual Line Parameter Quantization

In the *Quantization and Coding* unit, the parameters are processed in the order as they are obtained from the *Analysis/Synthesis Loop*, since this order corresponds to the relevancy with respect to the reproduction of the sound. This unit is able to generate two bitstreams, one *basic bitstream* which allows the generation of the basic quality audio signal, and an *enhancement bitstream* which can be used in applications where a difference signal between input and decoder output is needed, e.g. for scalability. The *basic bitstream* mainly contains the frequency and amplitude parameters, while the *enhancement bitstream* contains phase parameters and information for finer quantization of frequency and envelope parameters.

For each frame of the input signal, a number of bits according to the desired bitrate is transmitted. In each frame an *envelope bit* is transmitted, which indicates whether envelope parameters are used or not. If this bit is set, the 3 envelope parameters are transmitted, and for each transmitted line an additional *line envelope bit* is transmitted, which indicates, whether a constant amplitude or the envelope is to be used for the synthesis of the corresponding line.

Since the human auditory system is not very sensitive to phase changes, only the frequency and amplitude information of the spectral lines are coded and transmitted in the *basic bitstream* to obtain a signal with the basic audio quality. But in this case, it is necessary to provide information for the decoder which enables it to generate a signal free of phase discontinuities at frame boundaries. Therefore the first processing stage detects lines which continue from one frame to another. If a line is to be continued from the previous frame, only the frequency and amplitude changes are quantized and transmitted instead of the absolute frequency and amplitude values. For this purpose the frequency and amplitude parameters of the  $i$ -th line in the current frame  $m$  are compared with those of the  $k$ -th line in the previous frame  $m-1$  for all possible combinations of  $i$  and  $k$ . The line continuation is used, if the relative frequency change

$$q_f(i, k) = \frac{|f_i(m) - f_k(m-1)|}{f_i(m)}$$

does not exceed a given threshold  $q_{f,max}$  and if the ratio of amplitudes

$$q_a(i, k) = \begin{cases} a_i(m)/a_k(m-1) & \text{if } a_i(m) \geq a_k(m-1) \\ a_k(m-1)/a_i(m) & \text{if } a_i(m) < a_k(m-1) \end{cases}$$

lies within the interval  $[1...q_{a,max}]$ . If there is more than one possibility to continue a line from the previous frame, that line in the previous frame is selected, for which the following similarity criterion reaches its maximum:

$$Q = \frac{q_{f,max} - q_f(i,k)}{q_{f,max}} \cdot \frac{q_{a,max} - q_a(i,k)}{(q_{a,max} - 1) q_a(i,k)}$$

The frequencies and amplitudes of the individual lines are quantized according to a Bark-like frequency scale and a logarithmic amplitude scale. For each line of the previous frame, a *continuation bit* is transmitted in the bitstream, which indicates whether the line is continued in the current frame or not. For new lines, the indices for the quantised frequency and amplitude are encoded using a SubDivisionCode (SDC) as described below. For all lines continued from the previous frame, the frequency and amplitude index difference is encoded with an entropy code.

Since the transmission of absolute frequency and amplitude values requires more bits per line than for the relative values, the number of lines transmitted per frame is varied in if a constant bitrate for the *basic bitstream* is desired.

Since the *basic bitstream* does not contain phase information, it is not useful to calculate a residual error signal by subtracting the corresponding decoder output signal from the input signal. In order to enable scalability modes, in which the residual signal is transmitted in a bitstream of higher bitrate, an additional *enhancement bitstream* is generated. It is constructed in the following way:

- if the envelope parameters are transmitted in the *basic bitstream*, additional bits for finer quantization of the 3 envelope parameters are transmitted
- if a line is starting, i.e. not continued from the previous frame, and its frequency exceeds a given threshold, additional bits for finer quantization of the absolute frequency are transmitted
- for each line the phase parameter is transmitted after uniform quantization

The number of bits per frame in the *enhancement bitstream* can vary, this has to be taken into account in the calculation of available bits for the coding of the residual error.

Since the position of a continued line in the current frame depends on the position of its predecessor in the previous frame, a bit allocation algorithm is used which ensures that the  $N$  lines transmitted in the current frame are always the  $N$  most relevant lines found by the *Analysis/Synthesis Loop*.

The system delay of the encoder is 1.5 times the frame length. This delay results from the length of the frame itself plus an additional delay of (0.5) times the frame length caused by the shifted overlapping window used for the frequency estimation.

### SDC-Encoding:

k : number of codewords (0...k-1)

i : value to encode

tab: table containing domain limits

```
void SDCencode(int k,int i,int *tab)
{
    int *pp;
    int g,dp,min,max,cwl;
    long cw;

    cw = cwl = 0;
    min = 0;
    max = k-1;
    pp = tab+16;
    dp = 16;

    while (min != max)
    {
        if (dp) g = (k*( *pp)) >> 10; else g = (max+min) >> 1;
        dp >>= 1;
        cw <<= 1;
        cwl++;
        if (i <= g) { pp -= dp; max = g; } else { cw |= 1; pp += dp; min = g+1; }
    }
}
```

```

    }
    PutBits(cw,cwl);
}

```

PutBits() writes the codeword to the bitstream, where the LSBs in cw are the “vclcbf” codeword and cwl determines number of bits to be transmitted.

### 7.A.2.2.3 Noise parameter quantization

The number of noise parameters that are quantized and encoded depends on the “relevance” measure of the noise signal component. If it is very low, no noise parameters are transmitted. For higher values of this measure an adequate number of LAR parameters are quantised and coded. Due to the properties of the reflection coefficients, the number of LAR parameters transmitted can be decided during bit-allocation in the encoder and no re-calculation of these parameters is required.

If the noiseEnvFlag is set then also the additional set of noise envelope parameters is quantized and coded.

### 7.A.2.3 HILN bitrate scalability

Due to the parametric signal representation utilized by the HILN parametric coder, it is well suited for applications requiring bitrate scalable coding. In such an application, the bitrate received by a decoder can be adapted dynamically to the properties of the transmission link or chosen according to some other rules. In case of a reduced-rate bitstream, only the parameters of the perceptually most relevant signal components (individual lines, harmonic tone, noise) are transmitted. In case of a full-rate bitstream also the parameters of additional signal components (e.g. individual lines) which are perceptually less relevant than those in the reduced-rate bitstream as well as additional parameters which refine the description of signal components already present in the reduced-rate bitstream are transmitted.

This bitrate scalability for HILN bitstreams can be implemented using the base and extension layer bitstreams as described in the normative part of the standard or by a dynamically controlled parameter encoding as described below.

#### 7.A.2.3.1 HILN Bitrate Scalability by Dynamically Controlled Parameter Encoding

To implement bitrate scalability by means of dynamically controlled parameter encoding, it is utilized that both the HILN Parameter Extraction and the HILN Parameter Encoder can be operated independently. The parameters generated by the Parameter Extraction tool can be fed to multiple Parameter Encoder tools, each of which generates a bitstream with a different bitrate. This is computationally very advantageous since HILN encoder complexity is mainly determined by the Parameter Extraction tool. It is also possible to store the unquantized parameters generated by the Parameter Extraction tool in a file. Then the Parameter Encoder tool can be used to generate a bitstream with the currently requested bitrate from the parameters stored in this file.

### 7.A.3 Music/Speech Mixed Encoder tool

In MPEG-4 Audio the parametric coder is utilized for coding natural audio signals at very low bitrates ranging from 2 kbit/s to about 16 kbit/s. The parametric coder provides two sets of tools suited for coding speech and non-speech audio signals respectively:

- The Harmonic Vector Excitation (HVXC) tools are suited for coding speech signals at 2 kbit/s and 4 kbit/s.
- The Harmonic and Individual Lines plus Noise (HILN) tools are suited for coding non-speech audio signals at bitrates of about 4 kbit/s and above.

In the HVXC only or HILN only mode, the encoding mode is selected manually during encoding and the selected mode is used for all of the audio signal being encoded.

In this Subclause, an integrated parametric coder that utilizes the HVXC and HILN tools alternatively or simultaneously is described. This integrated coder automatically selects the coding tools that are suited best for the actual input signal characteristics. In case of a speech signal the HVXC tools are used and for music the HILN tools are used. This selection is done based on the decision of an automatic speech/music classification tool. For signals that are a mixture of speech and music it is also possible to use the HVXC and HILN tools simultaneously.

**7.A.3.1 Music/Speech classification tool**

This is a tool for the parametric speech coder, which enables automatic music/speech identification for the parametric speech/audio coder (HVXC and HILN). The tool makes decisions using internal parameters of the HVXC.

The features of input sequences used for the identification are: behavior of “pitch strength” and “frame energy”. In general, speech has higher “pitch strength” and frequent and higher energy change than music.

This music/speech classification tool can be applied in two ways:

- The first 5 seconds of the signal to be encoded are analyzed by the classification tool and then either HVXC or HILN is selected to encode the signal according to the speech/music decision.
- The classification tool is operated continuously and its current speech/music decision is used to select HVXC or HILN for the current frame. In this application the decision delay of 5 sec has to be taken into account.

**7.A.3.1.1 Frame energy**

Frame Energy P is computed as:

$$P = \sum_{n=0}^{159} s(n)^2$$

where s(n) is the input signal.

In this case, frames with energy levels higher than a pre-determined minimum level are used (ex. > -78 dB). A short-term average frame energy is defined as

$$P_{av} = \sum_{t=0}^3 P\{t\} / 4$$

which is computed from the last four Frame energies.

A difference between frame energy and short term average frame energy is computed as:

$$Pd[frm] = |P - P_{av}| / P_{av}$$

Pd[frm] is kept for around 250 frames (5 seconds).

**7.A.3.1.2 Pitch Strength**

In HVXC, maximum autocorrelation of LPC residual (r0r) is computed during pitch detection process. r0r are kept for around 250 frames.

**7.A.3.1.3 Music/Speech decision**

Mean and variance of frame energies and r0rs are computed respectively as:

$$Pd(av) = \frac{1}{250} \sum_{frm=0}^{249} Pd[frm]$$

$$Pd(va) = \sqrt{\frac{1}{250} \sum_{frm=0}^{249} (Pd[frm] - Pd(av))^2}$$

$$r0r(av) = \frac{1}{250} \sum_{frm=0}^{249} r0r[frm]$$

$$r0r(va) = \sqrt{\frac{1}{250} \sum_{frm=0}^{249} (r0r[frm] - r0r(av))^2}$$

Speech data has higher variances than music data in the same range of mean value of  $r0r$ . The matrix is classified to three areas.

- (1) speech  $r0r(va) \geq 0.153 r0r(av) + 0.113$
- (2) unknown  $0.07 r0r(av) + 0.137 < r0r(va) < 0.153 r0r(av) + 0.113$
- (3) music  $0.07 r0r(av) + 0.137 \geq r0r(va)$

If mean and variance are included in the area (1), the data is classified as speech. If they are in the area (3), the data is classified as music.

If the mean and variance exist in the area (2), the mean and variance of (differential) frame energy  $Pd$  are used additionally. Speech data has larger means and variances of  $Pd$  than music data. Speech and music data is separated into the following two areas.

- (1) speech  $Pd(va) \geq -0.5Pd(av) + 0.8$
- (2) music  $Pd(va) < -0.5Pd(av) + 0.8$

Using the above two criteria, speech and music are separated.

### 7.A.3.2 Integrated parametric coder

The integrated parametric coder can operate in the following modes:

PARAMode	Description
0	HVXC only
1	HILN only
2	switched HVXC / HILN
3	mixed HVXC / HILN

PARAModes 0 and 1 represent the fixed HVXC and HILN modes. PARAMode 2 permits automatic switching between HVXC and HILN depending on the current input signal type. In PARAMode 3 the HVXC and HILN coders can be used simultaneously and their output signals are added (mixed) in the decoder.

The integrated parametric coder uses a frame length of 40 ms and a sampling rate of 8 kHz and can operate at 2025 bit/s or any higher bitrate. Operation at 4 kbit/s or higher is suggested.

#### 7.A.3.2.1 Integrated Parametric encoder

For the “HVXC only” and “HILN only” modes the parametric encoder is not modified. The “switched HVXC / HILN” and “mixed HVXC / HILN” modes are described below.

#### 7.A.3.2.2 Switched HVXC/HILN mode

Because the speech/music classification tool is based on the HVXC encoder, the HVXC encoder is operated continuously for every frame. The bitstream frame generated by the HVXC encoder and the input audio signal are stored in two FIFO buffers to compensate for the 5 sec delay of the speech/music decision. If a frame is classified as “speech” then PARASwitchMode is set to 0 and the HVXC bitstream frame available at the bitstream FIFO output is transmitted. In case of a “music” decision, then PARASwitchMode is set to 1 and the output of the signal FIFO buffer is encoded by the HILN encoder and this HILN bitstream frame is transmitted. If HVXC is used for a frame, the HILN encoder is reset (prevNumLine = 0).

#### 7.A.3.2.3 Mixed HVXC/HILN mode

To operate the parametric codec in “mixed HVXC / HILN” mode, speech and music components of the input signal have to be separated. If both components are already available separately (e.g. speech and background music) encoding is straightforward.

## Contents for Subpart 8

8.1	Scope .....	2
8.2	Terms and definitions .....	2
8.3	Symbols and abbreviations .....	4
8.3.1	Arithmetic operators .....	4
8.3.2	Relation operators .....	4
8.3.3	Mnemonics .....	4
8.3.4	Ranges .....	4
8.3.5	Number notation .....	4
8.3.6	Definitions .....	5
8.4	Payloads for the audio object type SSC .....	5
8.4.1	Decoder configuration (SSCSpecificConfig) .....	5
8.4.2	SSC Bitstream Payload .....	5
8.5	Semantics .....	13
8.5.1	SSCSpecificConfig .....	13
8.5.2	Decoding of SSC Bitstream Payload .....	14
8.5.3	Indexing of sub-frames .....	25
8.6	Decoding processes .....	25
8.6.1	Transients .....	26
8.6.2	Sinusoids .....	28
8.6.3	Noise .....	35
8.6.4	Parametric stereo .....	42
8.6.5	Start/stop situations for decoding .....	63
8.7	References .....	64
Annex 8.A (normative)	Combination of the SBR tool with the parametric stereo tool .....	65
8.A.1	Overview .....	65
8.A.2	Bitstream syntax and semantics .....	65
8.A.3	Decoding process .....	65
8.A.4	Baseline version of the parametric stereo coding tool .....	66
Annex 8.B (normative)	Normative Tables .....	68
8.B.1	Huffman tables for SSC .....	68
Annex 8.C (informative)	Encoder description .....	93
8.C.1	Technical overview .....	93
8.C.2	Audio read and filtering .....	95
8.C.3	Transients .....	95
8.C.4	Sinusoids .....	97
8.C.5	Noise .....	102
8.C.6	Parametric stereo .....	106
8.C.7	Bit-Stream Formatter .....	109
Annex 8.D (informative)	Tempo and pitch scaling in decoder .....	114
8.D.1	Continuous phase .....	114
8.D.2	Tempo scaling for stereo .....	115

## Subpart 8: Technical description of parametric coding for high quality audio

### 8.1 Scope

This subpart of ISO/IEC 14496-3 describes the MPEG-4 audio parametric coding scheme for compression of high quality audio. The short name is SSC (Sinusoidal Coding). At bit-rates around 24 kbit/s stereo and at a sampling rate of 44.1 kHz, the SSC coding scheme offers a quality that is interesting for a number of applications.

SSC employs four different tools that together parameterize an audio signal. These tools consist of transient modelling, sinusoidal modelling, noise modelling and stereo image modelling. One of the distinctive features of SSC is that it provides decoder support for independent tempo and pitch scaling at hardly any additional complexity.

#### Transient tool

The transient tool captures the highly dynamic events of the audio input signal. These events are efficiently modelled by means of a limited number of sinusoids that are shaped by means of an envelope.

#### Sinusoidal tool

The sinusoidal tool captures the deterministic events of the audio input signal. The slowly varying nature of sinusoidal components for typical audio signals is exploited by linking sinusoids over consecutive frames. By means of differential coding, the frequency, amplitude and phase parameters can be efficiently represented.

#### Noise tool

The noise tool captures the stochastic or non-deterministic events of the audio input signal. In the decoder, a white noise generator is used as excitation. A temporal and spectral envelope is applied to control the temporal and spectral properties of the noise in the audio signal.

#### Parametric stereo coding tool

The parametric stereo coding tool is able to capture the stereo image of the audio input signal into a limited number of parameters, requiring only a small overhead ranging from a few kbit/s for medium quality, up to about 9 kbit/s for higher quality. Together with a monaural downmix of the stereo input signal generated by the parametric stereo coding tool, the parametric stereo decoding tool is able to regenerate the stereo signal. It is a generic tool that in principle can operate in combination with any monaural coder. In Annex 8.A of this document a normative description of the combination of HE-AAC with the parametric stereo coding tool is provided. SSC can also operate in dual mono mode. In that case the parametric stereo coding tool is not employed. The parametric stereo tool is intended for low bit-rates.

### 8.2 Terms and definitions

#### 8.2.1

##### **Frame**

Basic unit that can be decoded on itself (file header information is required for general decoder settings).

#### 8.2.2

##### **Laguerre filter**

Filter structure used in the noise analysis and synthesis.

**8.2.3****Audio frame**

Contains all data to decode an SSC-coded frame as a stand-alone unit (file header information is required for general decoder settings). For audio frames with `refresh_sinusoids==%1` and `refresh_noise==%1` the complete frame can always be reconstructed; otherwise it is possible in the case of random access that parts of the signal cannot be reconstructed (e.g. sinusoidal continuations, noise).

**8.2.4****Sub-frame**

Fine granularity within a frame.

**8.2.5** **$f_s$** 

The sampling frequency in Hertz.

**8.2.6****Segment**

An interval of samples that can be synthesized on the basis of the parameters that correspond to a sub-frame. The segment size is  $2 \cdot S$  (see Table 8.16).

**8.2.7****Window**

A function that is used to weigh synthesized samples within a segment such that a valid synthesis is obtained.

**8.2.8****LSF**

Line Spectral Frequency.

**8.2.9****Overlap and add**

An additive method of combining overlapping intervals during signal synthesis.

**8.2.10****Linking process**

A method to keep track of sinusoidal components over time.

**8.2.11****birth**

The first component of a sinusoidal track.

**8.2.12****Continuation**

A sinusoidal track component that is not at the start or the end of a track.

**8.2.13****Death**

The last component of a sinusoidal track.

**8.2.14****SMR**

Signal-to-masking ratio.

**8.2.15****Partial**

Sinusoid of a limited duration.

**8.2.16****IID**

Inter-channel Intensity Differences.

**8.2.17**

**IPD**

Inter-channel Phase Differences.

**8.2.18**

**OPD**

Overall Phase Differences.

**8.2.19**

**ICC**

Inter-channel Coherence.

**8.3 Symbols and abbreviations**

**8.3.1 Arithmetic operators**

$\lfloor x \rfloor$  Round x towards minus infinity

$\lceil x \rceil$  Round x towards plus infinity.

mod Modulus operator:  $\text{mod}(x, y) = x - \left\lfloor \frac{x}{y} \right\rfloor y$ . Defined only for positive values of x and y.

$\Gamma(\alpha)$  Gamma distribution function, defined as  $\Gamma(\alpha) = \int_0^{\infty} e^{-t} \cdot t^{\alpha-1} dt$ .

**8.3.2 Relation operators**

$x?y:z$  If x is true then y else z.

**8.3.3 Mnemonics**

The following mnemonics are defined to describe the different data types used in the coded bit-stream.

**uimsbf** Unsigned integer, most significant bit first.

**simsbf** Signed integer, most significant bit first.

**bslbf** Bitstream left bit first.

**8.3.4 Ranges**

$[0, 10]$  A number in the range of 0 up to and including 10.

$[0, 10>$  A number in the range of 0 up to but excluding 10.

**8.3.5 Number notation**

**%X** Binary number representation (e.g. %01111100).

**\$X** Hexadecimal number representation (e.g. \$7C).

**X** Numbers with no prefix use decimal representation (e.g. 124).

### 8.3.6 Definitions

S Number of samples in a sub-frame (see Table 8.16).

L Number of samples in a segment;  $L = 2 \cdot S$ .

*numQMFSlots* Number of QMF subband samples per *ps\_data()* element. For SSC, this parameter is fixed to 24.

## 8.4 Payloads for the audio object type SSC

### 8.4.1 Decoder configuration (SSCSpecificConfig)

Table 8.1 — Syntax of SSCSpecificConfig()

Syntax	Num. bits	Mnemonic
SSCSpecificConfig ( channelConfiguration )		
{		
<b>decoder_level</b>	2	<b>uimsbf</b>
<b>update_rate</b>	4	<b>uimsbf</b>
<b>synthesis_method</b>	2	<b>uimsbf</b>
if (channelConfiguration != 1)		
{		
<b>mode_ext</b>	2	<b>uimsbf</b>
if ((channelConfiguration == 2) && (mode_ext == 1))		
{		
<b>reserved</b>	2	<b>uimsbf</b>
}		
}		
}		

### 8.4.2 SSC Bitstream Payload

Table 8.2 — Syntax of ssc\_audio\_frame()

Syntax	Num. bits	Mnemonic
ssc_audio_frame ()		
{		
ssc_audio_frame_header()		
ssc_audio_frame_data()		
}		

Table 8.3 — Syntax of ssc\_audio\_frame\_header()

Syntax	Num. bits	Mnemonic
<pre> ssc_audio_frame_header () {   refresh_sinusoids   refresh_sinusoids_next_frame   refresh_noise   for (ch = 0; ch &lt; nrof_channels; ch++)   {     s_nrof_continuations[0][ch]   }   n_nrof_den   n_nrof_lsf   freq_granularity   amp_granularity   phase_jitter_present   if (phase_jitter_present == 1)   {     phase_jitter_percentage     phase_jitter_band   } } </pre>	<p>1 1 1</p> <p>Note 1</p> <p>5 Note 1 2 2 1</p> <p>2 2</p>	<p><b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b> <b>uimsbf</b></p> <p><b>uimsbf</b> <b>uimsbf</b></p>
<p>Note 1: See description of s_nrof_continuations and n_nrof_lsf in subclause 8.5.2.</p>		

Table 8.4 — Syntax of ssc\_audio\_frame\_data()

Syntax	Num. bits	Mnemonic
<pre> ssc_audio_frame_data() {   for (sf = 0; sf &lt; nrof_subframes; sf++)   {     for (ch = 0; ch &lt; nrof_channels; ch++)     {       ssc_mono_subframe(sf,ch)       if ((channelConfiguration == 2) &amp;&amp; (mode_ext == 1)         &amp;&amp; (mod(sf+1,4)==0))       {         ps_data()       }     }   } } </pre>		

Table 8.5 — Syntax of ssc\_mono\_subframe()

Syntax	Num. bits	Mnemonic
<pre> ssc_mono_subframe (sf,ch) {   subframe_transients(sf, ch)   subframe_sinusoids(sf, ch)   subframe_noise(sf, ch) } </pre>		

Table 8.6 — Syntax of subframe\_transients()

Syntax	Num. bits	Mnemonic
<pre> subframe_transients (sf, ch) {   t_transient_present[sf][ch]   if (t_transient_present[sf][ch] == 1) </pre>	<p>1</p>	<p><b>uimsbf</b></p>



<pre>                 {                     s_cont[sf][ch][n] = ssc_huff_dec(huff_scont,bs_codeword);                 }             else {                 s_cont[sf][ch][n] = tmp_cont[ch][n] - 1;             }             if (s_cont[sf][ch][n] &gt; 0)             {                 p++;             }             if (s_cont[sf][ch][n] &gt; 1)             {                 if ((refresh_sinusoids_next_frame == 0)    (nrof_subframes-sf &gt; 2))                 {                     <b>s_delta_cont_freq_pha[sf+2][ch][q]</b>                 }                 q++;             }             s_delta_cont_amp[sf][ch][n] =                 ssc_huff_dec(huff_sampcr[amp_granularity],bs_codeword);         }     }     /* Births */     s_nrof_births[sf][ch] = ssc_huff_dec(huff_nrofbirths,bs_codeword);     if (s_nrof_births[sf][ch] &gt; 0)     {         s_cont[sf][ch][n] = ssc_huff_dec(huff_scont,bs_codeword);         s_freq_coarse[sf][ch][n] = ssc_huff_dec(huff_sfreqba,bs_codeword);         <b>s_freq_fine[sf][ch][n]</b>         s_amp_coarse[sf][ch][n] = ssc_huff_dec(huff_sampba,bs_codeword);         <b>s_amp_fine[sf][ch][n]</b>         <b>s_phi[sf][ch][n]</b>         if (s_cont[sf][ch][n] &gt; 0)         {             if ((refresh_sinusoids_next_frame == 0)    (nrof_subframes-sf &gt; 1))             {                 <b>s_delta_cont_freq_pha[sf+1][ch][p]</b>             }             p++;         }         if (s_cont[sf][ch][n] &gt; 1)         {             if ((refresh_sinusoids_next_frame == 0)    (nrof_subframes-sf &gt; 2))             {                 <b>s_delta_cont_freq_pha[sf+2][ch][q]</b>             }             q++;         }         n++;         for (i = 1; i &lt; s_nrof_births[sf][ch]; i++, n++)         {             s_cont[sf][ch][n] = ssc_huff_dec(huff_scont,bs_codeword);             s_delta_birh_freq_coarse[sf][ch][n] =                 ssc_huff_dec(huff_sfreqbr,bs_codeword);             <b>s_delta_birh_freq_fine[sf][ch][n]</b>             s_delta_birh_amp_coarse[sf][ch][n] =                 ssc_huff_dec(huff_sampbr,bs_codeword);             <b>s_delta_birh_amp_fine[sf][ch][n]</b> </pre>	<p>2..5</p> <p>2</p> <p>1..15</p> <p>3..15</p> <p>2..5 7..21 0..3 3..15 0..3 5</p> <p>2</p> <p>2</p> <p>2..5 5..23</p> <p>0..3 2..21 0..3</p>	<p>bslbf</p> <p>uimsbf</p> <p>bslbf</p> <p>bslbf</p> <p>bslbf</p> <p>simsbf</p> <p>simsbf</p> <p>uimsbf</p> <p>uimsbf</p> <p>bslbf bslbf</p> <p>simsbf bslbf</p> <p>simsbf</p>
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<pre> <b>s_phi[sf][ch][n]</b> if (s_cont[sf][ch][n] &gt; 0) {     if ((refresh_sinusoids_next_frame == 0)    (nrof_subframes-sf &gt; 1))     {         <b>s_delta_cont_freq_phi[sf+1][ch][p]</b>     }     p++; } if (s_cont[sf][ch][n] &gt; 1) {     if ((refresh_sinusoids_next_frame == 0)    (nrof_subframes-sf &gt; 2))     {         <b>s_delta_cont_freq_phi[sf+2][ch][q]</b>     }     q++; } } } /* Keep track of sinusoids that continue in next sub-frame(s) */ for (i = 0, k = 0; i &lt; n; i++) {     if (s_cont[sf][ch][i] &gt; 0)     {         tmp_cont[ch][k] = s_cont[sf][ch][i];         k++;     } } } </pre>	5	<b>simsbf</b>
<pre> <b>s_delta_cont_freq_phi[sf+1][ch][p]</b> </pre>	2	<b>uimsbf</b>
<pre> <b>s_delta_cont_freq_phi[sf+2][ch][q]</b> </pre>	2	<b>uimsbf</b>
<p>Note: The variables p, q are used as position indices for subframe+1 and subframe+2 respectively.</p>		

Table 8.8 — Syntax of subframe\_noise()

Syntax	Num. bits	Mnemonic
<pre> subframe_noise (sf, ch) {     if ((refresh_noise == 1) &amp;&amp; (sf == 0))     {         <b>n_laguerre[ch]</b>         <b>n_laguerre_granularity[sf][ch]</b>         for (i = 0; i &lt; n_nrof_den; i++)         {             n_lar_den_coarse[sf][ch][i] =                 ssc_huff_dec(huff_nlag,<b>bs_codeword</b>);             If (n_laguerre_granularity[sf][ch]==1)             {                 <b>n_lar_den_fine[sf][ch][i]</b>             }         }         <b>n_gain[sf][ch]</b>         n_lsf[sf][ch][0] = ssc_huff_dec(huff_nlsf,<b>bs_codeword</b>);         for (i = 1; i &lt; n_nrof_lsf; i++)         {             n_delta_lsf[sf][ch][i] = ssc_huff_dec(huff_nlsf,<b>bs_codeword</b>);         }     }     else {         if ( mod(sf,2) == 0 )         { </pre>		
	2	<b>uimsbf</b>
	1	<b>uimsbf</b>
	1..18	<b>bslbf</b>
	2	<b>simsbf</b>
	7	<b>uimsbf</b>
	2..9	<b>bslbf</b>
	2..9	<b>bslbf</b>



<pre> }  for (e=0 ; e&lt;num_env ; e++) {     if (enable_iid) {         <b>iid_dt[e]</b>         iid_data()     } }  for (e=0 ; e&lt;num_env ; e++) {     if (enable_icc) {         <b>icc_dt[e]</b>         icc_data()     } }  if (enable_ext) {     cnt = <b>ps_extension_size</b>     if (cnt == 15)         cnt += <b>esc_count</b>      num_bits_left = 8 * cnt     while (num_bits_left &gt; 7) {         <b>ps_extension_id</b>         num_bits_left -= 2         ps_extension(ps_extension_id, num_bits_left)     }     <b>fill_bits</b> } } </pre>	<p>1</p> <p>1</p> <p>4</p> <p>8</p> <p>2</p> <p>num_bits_left</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>
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Table 8.10 — Syntax of ps\_extension()

Syntax	Num. bits	Mnemonic
<pre> ps_extension(ps_extension_id, num_bits_left) {     if (ps_extension_id == 0) {         if (<b>enable_ipdopd</b>) {             for (e=0 ; e&lt;num_env ; e++) {                 <b>ipd_dt[e]</b>                 ipd_data()                 <b>opd_dt[e]</b>                 opd_data()                 num_bits_left -= ipd_bits + opd_bits + 2             }         }         <b>reserved_ps</b>         num_bits_left -= 2     } } </pre>	<p>1</p> <p>1</p> <p>1</p> <p>1</p>	<p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p> <p>Note 1</p> <p><b>uimsbf</b></p>

Table 8.11 — Syntax of iid\_data()

Syntax	Num. bits	Mnemonic
<pre> iid_data() {     if (iid_dt[e]) {         for (b=0 ; b&lt;nr_iid_par; b++) {             iid_par_dt[e][b] = ssc_huff_dec(huff_iid_dt[iid_quant],<b>bs_codeword</b>);         }     } } </pre>	<p>1...20</p>	<p>Note 2</p>

<pre> } else {   for (b=0 ; b&lt;nr_iid_par; b++) {     iid_par_df[e][b] = ssc_huff_dec(huff_iid_df[iid_quant],bs_codeword);   } } } </pre>	1...18	Note 2
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Table 8.12 — Syntax of icc\_data()

Syntax	Num. bits	Mnemonic
<pre> icc_data() {   if (icc_dt[e]) {     for (b=0 ; b&lt;nr_icc_par; b++) {       icc_par_dt[e][b] = ssc_huff_dec(huff_icc_dt,bs_codeword);     }   }   else {     for (b=0 ; b&lt;nr_icc_par; b++) {       icc_par_df[e][b] = ssc_huff_dec(huff_icc_df,bs_codeword);     }   } } </pre>	1...14	bslbf
	1...13	bslbf

Table 8.13 — Syntax of ipd\_data()

Syntax	Num. bits	Mnemonic
<pre> ipd_data() {   if (ipd_dt[e]) {     for (b=0 ; b&lt;nr_ipdopd_par; b++) {       ipd_par_dt[e][b] = ssc_huff_dec(huff_ipd_dt,bs_codeword);     }   }   else {     for (b=0 ; b&lt;nr_ipdopd_par; b++) {       ipd_par_df[e][b] = ssc_huff_dec(huff_ipd_df,bs_codeword);     }   } } </pre>	1...5	bslbf
	1...4	bslbf

Table 14 — Syntax of opd\_data()

Syntax	Num. bits	Mnemonic
<pre> opd_data() {   if (opd_dt[e]) {     for (b=0 ; b&lt;nr_ipdopd_par; b++) {       opd_par_dt[e][b] = ssc_huff_dec(huff_opd_dt,bs_codeword);     }   }   else {     for (b=0 ; b&lt;nr_ipdopd_par; b++) {       opd_par_df[e][b] = ssc_huff_dec(huff_opd_df,bs_codeword);     }   } } </pre>	1...5	bslbf
	1...5	bslbf

Note 1: ipd\_bits and opd\_bits represent the number of bits read by ipd\_data() and opd\_data() respectively.  
Note 2: the index iid\_quant into huff\_iid\_df is obtained from Table 8.24.

## 8.5 Semantics

### 8.5.1 SSCSpecificConfig

channelConfiguration – Channel configuration as defined in subclause 1.6.3.5.

**decoder\_level** – Complexity bounds for decoder settings. A decoder that supports a certain level of complexity is not able to decode a bit-stream that is encoded according a higher level of complexity. This decoder is however able to decode a bit-stream that is encoded according to a lower level of complexity (see Table 8.15).

**Table 8.15 — Decoder level**

decoder_level	Level of complexity	max_nrof_sinusoids	max_nrof_den	#bits for s_nrof_continuations	#bits for n_nrof_lsf
00	Reserved	Na	Na	Na	Na
01	Medium	60	24	6	4
10	Reserved	Na	Na	Na	Na
11	Reserved	Na	Na	Na	Na

max\_nrof\_sinusoids - Maximum number of sinusoids that is allowed (sinusoids under Meixner transients not included).

max\_nrof\_den - Maximum value for n\_nrof\_den.

**update\_rate** – Four bits indicating the sub-frame size S. Table 8.16 shows the relationship between update\_rate and the sub-frame size S in samples.

**Table 8.16 — Update rate**

update_rate	S	update_rate	S
0000	Reserved	1000	Reserved
0001	Reserved	1001	Reserved
0010	Reserved	1010	Reserved
0011	Reserved	1011	Reserved
0100	384	1100	Reserved
0101	Reserved	1101	Reserved
0110	Reserved	1110	Reserved
0111	Reserved	1111	Reserved

**synthesis\_method** – Two bits providing information on the preferred synthesis for the specific encoded program (see Table 8.17).

**Table 8.17 — Synthesis method**

Synthesis_method	Optimal synthesis
00	Overlap and Add
01	Reserved
10	Reserved
11	Reserved

**mode\_ext** – In combination with channelConfiguration the mode\_ext bits provide the full channel configuration. The number of bits is dependent on the channelConfiguration (see Table 8.18).

**Table 8.18 — Channel configuration**

channelConfiguration	# bits for mode_ext	nrof_channels
1	0	1
2	2	According to mode_ext
0, 3 ... 15	Na	Na

For channelConfiguration == 2, Table 8.19 applies:

**Table 8.19 — Channel configuration in case channelConfiguration == 2**

mode_ext	Full channel configuration	nrof_channels
00	Dual mono (ch0=left, ch1=right)	2
01	Parametric Stereo	1
10	Reserved	Na
11	Reserved	Na

**reserved** – Two reserved bits; should be set to %0.

**8.5.2 Decoding of SSC Bitstream Payload**

ssc\_audio\_frame() – syntactic element that contains a single SSC frame

ssc\_audio\_frame\_header() – syntactic element that contains the header data for a single SSC frame

ssc\_audio\_frame\_data() – syntactic element that contains the data for a single SSC frame

ssc\_huff\_dec() – Huffman decoding procedure. See Annex 8.B.

**refresh\_sinusoids** – One bit indicating how sinusoidal continuations of the first sub-frame in a frame are encoded. If this bit equals %0, the continued track data is differentially coded with respect to the last sub-frame of the previous frame. If this bit equals %1, the continued track data in the first sub-frame of a frame are coded as absolute values.

**refresh\_sinusoids\_next\_frame** – One bit providing an additional frame look ahead for the ADPCM decoding of sinusoidal parameters. If this bit is set to %1, the next frame is a refresh frame. In that case the bit refresh\_sinusoids shall be set to %1 in the next frame. If this bit is set to %0, the next frame is not a refresh frame.

**refresh\_noise** – One bit indicating how noise parameters of the first sub-frame in a frame are encoded. If this bit equals %0, the noise parameters are differentially coded with respect to the last sub-frame of the previous frame. If this bit equals %1, the noise parameters in the first sub-frame of a frame are coded as absolute values.

**s\_nrof\_continuations[sf][ch]** – For sub-frame sf and channel ch, this value represents the number of continuations. In the case sf==0 the value of s\_nrof\_continuations is provided in the bit-stream. For the remaining values of sf, the value of s\_nrof\_continuations is obtained implicitly as described in subclause 8.6.2.1. The number of bits required for s\_nrof\_continuations[0][ch] depends on the maximum number of allowed sinusoids, which is dependent of the decoder complexity, indicated by decoder\_level. This relation is shown in Table 8.15.

**n\_nrof\_den** – Number of denominator LAR coefficients of the FIR filter for noise generation.

**n\_nrof\_lsf** - Number of LSF coefficients used for the generation of envelope for noise generation. The number of bits required for n\_nrof\_lsf depends on the decoder complexity, indicated by decoder\_level. This relation is shown in Table 8.15.

**freq\_granularity** – The granularity of the differentially or absolute coded frequency parameters used in `subframe_sinusoids()`. This parameter determines the number of bits to be read for the fine part of the frequency parameters.

**amp\_granularity** – The granularity of the differentially or absolute coded amplitude parameters used in `subframe_sinusoids()`. This parameter determines the Huffman table to be used or the number of bits to be read for the fine part of the amplitude parameters.

**phase\_jitter\_present** – One bit to indicate presence of phase jitter parameters. If this bit equals %0, no phase jitter is present. If this bit equals %1, phase jitter is present.

**phase\_jitter\_percentage** – This is a two bit unsigned integer identifying a distance percentage. The full distance equals half a quantisation step. The maximum jitter applied to the frequency components is

$$\text{max\_jitter} = 2^{\text{freq\_granularity}-1} \frac{\text{phase\_jitter\_percentage}+1}{2^2}$$

**phase\_jitter\_band** – Two bits identifying the frequency representation level from which phase jitter must be applied. Table 8.20 provides the relation between `phase_jitter_band` and  $f_{\text{jitter},\text{min}}$ .

**Table 8.20 — Phase jitter band expressed in representation levels**

phase_jitter_band	frequency representation level $f_{\text{jitter},\text{min}}$
00	0
01	800
10	1600
11	2400

`nrof_subframes` – the number of sub-frames in one frame. This value is fixed to 8.

`ssc_mono_subframe()` – syntactic element that contains the data for a single SSC sub frame.

`ps_data()` – syntactic element that contains the parametric stereo data.

`subframe_transients()` – syntactic element that contains the transient data for a single SSC sub frame.

`subframe_sinusoids()` – syntactic element that contains the sinusoid data for a single SSC sub frame.

`subframe_noise()` – syntactic element that contains the noise data for a single SSC sub frame.

**t\_transient\_present[sf][ch]** – One bit indicating if a transient is present in sub-frame `sf`, channel `ch`. If `t_transient_present[sf][ch]==%1`, a transient is present. If `t_transient_present[sf][ch]==%0`, no transient is present.

**t\_loc[sf][ch]** – Indication of the location of the transient in sub-frame `sf` of channel `ch`, indicated in the number of samples from the start of the sub-frame. The valid range for `t_loc` is  $[0, S>$ . The number of bits that is used to represent `t_loc` is calculated according to

$$\lceil \log_2(S) \rceil,$$

where `S` represents the sub-frame size in samples.

**t\_type[sf][ch]** – Two bits to indicate the transient type of the transient in sub-frame `sf` of channel `ch` (see Table 8.21).

**Table 8.21 — Transient types**

t_type	Type
00	Step
01	Meixner
10	Reserved
11	Reserved

**t\_b\_par[sf][ch]** – For a transient of the Meixner type in sub-frame sf of channel ch, these 3 bits hold the value for the attack of the transient envelope, denoted as the ‘b-parameter’. Allowed values for t\_b\_par are [0, 1, 2, 3]. The remaining values are reserved. The value *b* is calculated as

$$b = t\_b\_par + 2.$$

**t\_chi\_par[sf][ch]** – For a transient of the Meixner type in sub-frame sf of channel ch, these 3 bits hold the value for the decay of the transient envelope, denoted as the ‘ξ-parameter’. Allowed values for t\_chi\_par are [0, 1, 2, 3]. The remaining values are reserved. The value ξ is tabulated in Table 8.22.

**Table 8.22 — Quantised values for b and ξ**

ξ		t_b_par			
		0	1	2	3
t_chi_par	0	0.9688	0.9685	0.9683	0.9681
	1	0.9763	0.9756	0.9750	0.9744
	2	0.9839	0.9827	0.9817	0.9807
	3	0.9914	0.9898	0.9884	0.9870

**t\_nrof\_sin[sf][ch]** – For a transient of the Meixner type in sub-frame sf of channel ch, these 3 bits represent the number of sinusoids that are present under the envelope. The number of sinusoids under the Meixner envelope is equal to the value in the stream plus one.

**t\_freq[sf][ch][i]** – For a transient of the Meixner type in sub-frame sf of channel ch, these bits represent the frequency in radians of the i-th sinusoid under the transient envelope.

$$tf_q[i] = \frac{2\pi \cdot 10^{\frac{t\_freq[sf][ch][i]}{11.4 \cdot 2^{1.4}} - 1}}{f_s \cdot 0.00437},$$

where *tf<sub>q</sub>* represents the dequantized absolute frequency in radians.

**t\_amp[sf][ch][i]** – For a transient of the Meixner type in sub-frame sf of channel ch, these bits represent the amplitude of the i-th sinusoid under the transient envelope.

$$ta_q[i] = ta_b^{2 \cdot t\_amp[sf][ch][i]},$$

where *ta<sub>b</sub>* represents the log quantisation base (maximum error=1.5dB), *ta<sub>b</sub>* = 1.1885. *ta<sub>q</sub>* represents the dequantized absolute amplitude.

**t\_phi[sf][ch][i]** – For a transient of the Meixner type in sub-frame sf of channel ch, these bits represent the phase of the i-th sinusoid under the transient envelope. The decoded value is converted into a phase value in radians in the range [-π, π> and is specified for the start of the transient.

$$tp_q[i] = 2 \cdot tp_e \cdot t\_phi[sf][ch][i],$$

where  $tp_e$  represents the absolute phase error ( $tp_e = \frac{\pi}{32}$ ) and  $tp_q$  represents the dequantized absolute phase (in radians). The allowed range for  $t\_phi$  is [-16, 15].

**noc** – Local variable that counts the number of continuations in the previous sub-frame.

**tmp\_cont[ch][noc]** – Local array that contains a copy of the **s\_cont**-parameters of the previous sub-frame, needed for parsing the stream correctly (extract number of continuations and keep track of how many sub-frames the sinusoidal track must be continued in the current frame).

**s\_cont[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this value indicates how many sub-frames component **n** will be continued in the current frame (if the component continues also in the next frame, one must be added to the number of sub-frames it continues in the current frame. If the value is 0 this indicates component **n** stops at sub-frame **sf**, which is called a death). The valid range for **s\_cont** is [0, 9].

**s\_freq\_coarse[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this value represents the coarse frequency parameter of the **n**-th sinusoid.

**s\_freq\_fine[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this signed integer represents a higher level of detail to the coarse frequency parameter. The number of bits to be read amounts to (3 – **freq\_granularity**). The frequency representation level  $f_{rl}$  is the sum of coarse frequency, fine frequency scaled to the granularity grid.

$$f_{rl}[n] = s\_freq\_coarse[sf][ch][n] + s\_freq\_fine[sf][ch][n] \cdot 2^{\text{freq\_granularity}}$$

Phase jitter is only applied in combination with tempo and pitch scaling. If **phase\_jitter\_present** == %1 and  $f_{rl} > f_{jitter,min}$ , the phase jitter parameter is

$$f_{jitter} = \lfloor \text{max\_jitter} \cdot (2x - 1) + 0.5 \rfloor,$$

where **x** holds a random number, uniformly distributed between 0 and 1, generated for each frequency parameter in the sub-frame, matching the requirement above. The decoded value is converted into a dequantized absolute frequency value  $f_q$  in radians, using the following equation:

$$f_q[n] = \frac{2\pi}{f_s} \frac{10^{\frac{f_{rl}[n]}{91.2214}} - 1}{0.00437}$$

**s\_amp\_coarse[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this value represents the coarse amplitude parameter of the **n**-th sinusoid.

**s\_amp\_fine[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this parameter represents a higher level of detail to the coarse amplitude parameter. The number of bits to be read amounts to (3 – **amp\_granularity**). The amplitude representation level  $sa_{rl}$  is the sum of coarse amplitude, fine amplitude scaled to the granularity grid

$$sa_{rl}[n] = s\_amp\_coarse[sf][ch][n] + s\_amp\_fine[sf][ch][n] \cdot 2^{\text{amp\_granularity}}$$

The decoded value is converted into a dequantized linear amplitude value  $sa_q$  in the range [1,  $2^{15}-1$ ] conforming to

$$sa_q[n] = sa_b^{2 \cdot sa_{rl}[n]}$$

Where  $sa_b = 1.0218$  is the log quantization base. Its value corresponds to a maximum error of 0.1875 dB.

**s\_phi[sf][ch][n]** – For sub-frame **sf** and channel **ch**, this represents the phase parameter of the **n**-th sinusoid. This value is converted into a phase value in radians in the range  $[-\pi, \pi]$  conforming to

$$sp_q[n] = 2 \cdot sp_e \cdot s\_phi[sf][ch][n],$$

where  $sp_e$  represents the absolute phase error ( $sp_e = \frac{\pi}{32}$ ) and  $sp_q$  represents the dequantized absolute phase (in radians) The allowed range for  $s\_phi$  is [-16, 15].

$s\_adpcm\_grid[sf][ch][n]$  – For sub-frame  $sf$  and channel  $ch$ , this value represents the initial index into Table 8.35 as used in the ADPCM decoder for the  $n$ -th sinusoid. This table is used to decode the sinusoidal information.

**$s\_delta\_cont\_freq\_pha[sf][ch][n]$**  – For sub-frame  $sf$  and channel  $ch$ , this value represent the representation levels for the  $n$ -th sinusoid, that serve as input to the ADPCM decoder. In order to compensate for the 2 sub-frames delay in the decoder, the representation levels are transmitted 2 sub-frames in advance. In the bit-stream syntax, future representation levels are indicated by indices  $sf+1$  and  $sf+2$ , indicating the representation levels of the two sub-sequent sub-frames respectively. In the case  $sf+1$  or  $sf+2$  exceeds  $nrof\_subframes$ , then the representation level is assigned to the next frame. In this case, the new number of the sub-frame in the next frame is  $(sf+1) - nrof\_subframes$  or  $(sf+2) - nrof\_subframes$  respectively.

$s\_delta\_cont\_amp[sf][ch][n]$  – For sub-frame  $sf$  and channel  $ch$ , this represents the differential amplitude parameter of the  $n$ -th sinusoid. This value is converted into a linear amplitude value in the range  $[1, 2^{15}-1]$  conforming to

$$sa_{rl}[n] = sa_{rl,psf} + s\_delta\_cont\_amp[sf][ch][n],$$

where  $sa_{rl}$  represents the amplitude representation level and  $sa_{rl,psf}$  represents the amplitude representation level in the previous sub-frame. For dequantization of  $sa_{rl}$  into  $sa_q$  see  $s\_amp\_fine$ . In the case the amplitude granularity,  $amp\_granularity$  of the current frame is different from that of the previous frame, prior to applying the differential encoded values, the granularity of the value of the previous frame is converted to the granularity of the current frame according to

$$sa_{rl,psf} = 2^{amp\_granularity} \left\lfloor \frac{sa'_{rl,psf}}{2^{amp\_granularity}} + 0.5 \right\rfloor,$$

where  $sa'_{rl,psf}$  represents the amplitude representation level of the previous sub-frame and  $amp\_granularity$  represents the granularity of the current sub-frame.

$s\_nrof\_births[sf][ch]$  – For sub-frame  $sf$  and channel  $ch$ , this value represents the number of births. The allowed range is  $[0, max\_nrof\_sinusoids - s\_nrof\_continuations[sf][ch]]$ .

$s\_delta\_birth\_freq\_coarse[sf][ch][n]$  – For sub-frame  $sf$  and channel  $ch$ , this value represents the differential, coarse frequency parameter of the  $n$ -th sinusoid.

**$s\_delta\_birth\_freq\_fine[sf][ch][n]$**  – For sub-frame  $sf$  and channel  $ch$ , this represents a higher level of detail to the coarse differential frequency parameter. The number of bits to be read is  $(3 - freq\_granularity)$ . The delta frequency representation level  $df_{rl}$  is

$$df_{rl}[n] = s\_delta\_birth\_freq\_coarse[sf][ch][n] + s\_delta\_birth\_freq\_fine[sf][ch][n] \cdot 2^{freq\_granularity}$$

The decoded value of the  $n$ -th sinusoid is converted into a frequency value in Hertz, using the frequency representation level of the previous birth  $f_{rl}$  of sub-frame  $sf$  (the  $(n-1)$ th sinusoid):

$$f_{rl}[n] = f_{rl}[n-1] + df_{rl}[n],$$

where  $f_{rl}$  represents the frequency representation level. Modification of  $f_{rl}$  due to phase jitter uses the same rules as stated under  $s\_freq\_fine$ . For dequantization of  $f_{rl}$  into  $f_q$  see also  $s\_freq\_fine$ .

$s\_delta\_birth\_amp\_coarse[sf][ch][n]$  – For sub-frame  $sf$  and channel  $ch$ , this represents the differential, coarse amplitude parameter of the  $n$ -th sinusoid.

$s\_delta\_birth\_amp\_fine[sf][ch][n]$  – For sub-frame  $sf$  and channel  $ch$ , this represents a higher level of detail to the coarse amplitude parameter. The number of bits to be read is  $(3 - amp\_granularity)$ . The delta amplitude representation level  $sda_{rl}$  is

$$sda_{rl}[n] = s\_delta\_birth\_amp\_coarse[sf][ch][n] + s\_delta\_birth\_amp\_fine[sf][ch][n] \cdot 2^{amp\_granularity}$$

The decoded value for the  $n$ -th sinusoid is converted into a linear amplitude value, using the amplitude representation level of the previous birth  $sa_{rl}$  (the  $(n-1)$ th sinusoid):

$$sa_{rl}[n] = sa_{rl}[n-1] + sda_{rl}[n],$$

where  $sa_{rl}$  represents the amplitude representation level. For dequantization of  $sa_{rl}$  into  $sa_q$  see  $s\_amp\_fine$ .

$n\_laguerre[ch]$  –  $\lambda$  coefficient of the Laguerre filter for noise synthesis, see Table 8.23.

**Table 8.23 — Possible values for  $\lambda$**

$n\_laguerre$	$\lambda$
00	0
01	0.5
10	0.7
11	Reserved

$n\_laguerre\_granularity[sf][ch]$  – 1 bit denoting quantisation accuracy of the Laguerre coefficients.

$n\_lar\_den\_coarse[sf][ch][i]$  – for sub-frame  $sf$  and channel  $ch$  this represents the denominator LAR coefficient number  $i$ .

$n\_lar\_den\_fine[sf][ch][i]$  – for sub-frame  $sf$  and channel  $ch$  this represents a higher level of detail to the coarse denominator LAR coefficient parameter. The representation level  $nlar_{rl}$  is the sum of coarse denominator LAR and fine denominator LAR:

$$nlar_{rl}[i] = n\_lar\_den\_coarse[sf][ch][i] + n\_lar\_den\_fine[sf][ch][i]$$

and is converted to a LAR coefficient according to:

$$nlar_q[i] = nlar_{rl}[i] \cdot \Delta_{LAR}$$

For the definition of  $\Delta_{LAR}$  see subclause 8.6.3.3.1. Subclauses 8.6.3.3.2 and 8.6.3.3.3 show how to convert LARs to reflection- or a-parameters.

$n\_gain[sf][ch]$  – For sub-frame  $sf$  and channel  $ch$  this value represents the gain coefficient. The gain representation level  $ngain_{rl}$  is obtained as:

$$ngain_{rl} = n\_gain[sf][ch]$$

$n\_lsf[sf][ch][i]$  – For sub-frame  $sf$  and channel  $ch$  this value represents the LSF coefficient number  $i$ . The allowed range for  $n\_lsf$  is  $[0, 255]$ . The dequantised LSF parameters  $nlsf_q$  are obtained as

$$nlsf_q[i] = n\_lsf[sf][ch][i] \cdot \pi / 256 + \pi / 512$$

$n\_delta\_lsf[sf][ch][i]$  – For sub-frame  $sf$  and channel  $ch$  this value represents the differential LSF coefficient number  $i$ . They are obtained using the following algorithm

for  $i > 0$ :

$$nlsf_q[i] = (nlsf_q[i-1] + n\_delta\_lsf[sf][ch][i]) * \pi / 256 + \pi / 512.$$

$n\_delta\_lar\_den\_coarse[sf][ch][i]$  – for sub-frame  $sf$  and channel  $ch$  this represents the differential, denominator LAR coefficient number  $i$ .

$n\_delta\_lar\_den\_fine[sf][ch][i]$  – for sub-frame  $sf$  and channel  $ch$  this represents a higher level of detail to the coarse denominator LAR coefficient parameter. The representation level  $ndlar_{rl}$  is the sum of the differential coarse denominator LAR and differential fine denominator LAR:

$$ndlar_{rl}[i] = n\_delta\_lar\_den\_coarse[sf][ch][i] + n\_delta\_lar\_den\_fine[sf][ch][i]$$

and is converted to a LAR coefficient according to:

$$nlar_{rl}[i] = nlar_{rl,psf}[i] + ndlar_{rl}[i].$$

where  $nlar_{rl}[i]$  and  $nlar_{rl,psf}[i]$  represent the LAR representation level of the current and previous sub-frame respectively. Note that in the case the  $n\_laguerre\_granularity$  changes from %1 to %0 going from sub-frame  $sf-1$  to  $sf$ , the value  $nlar_{rl,psf}[i]$  is first converted to the coarsest quantisation grid according to:

$$nlar_{rl,psf}[i] = 4 * \lfloor nlar'_{rl,psf}[i] / 4 + 0.5 \rfloor.$$

where  $nlar'_{rl,psf}[i]$  represents the LAR representation level of the previous sub-frame. For further processing of  $nlar_{rl}$  into reflection or  $a$ -parameters see subclauses 8.6.3.3.2 and 8.6.3.3.3.

$n\_delta\_gain[sf][ch]$  – For sub-frame  $sf$  and channel  $ch$  this value represents the differential gain coefficient, and is converted to a representation level  $ngain_{rl}$  according to:

$$ngain_{rl} = ngain_{rl,p4sf} + n\_delta\_gain[sf][ch],$$

where  $ngain_{rl,p4sf}$  represents the gain representation level for sub-frame  $sf-4$ .

**n\_overlap\_lsf** – One bit indicating whether LSF coefficients overlap from the previous definition in channel  $ch$ .

**enable\_ps\_header** – One bit indicating whether PS header information is present. If set to %1, the PS header data configuring the PS decoder is transmitted. Otherwise, the latest configuration persists.

**enable\_iid** – One bit denoting the presence of IID parameters. If `enable_iid` is set to %1, Inter-channel Intensity Difference (IID) parameters will be sent from this point on in the bit stream. If `enable_iid`==%0, no IID parameters will be sent from this point on in the bit stream.

**iid\_mode** - The configuration of IID parameters (number of bands and quantisation grid, `iid_quant`) is determined by `iid_mode`. Eight different configurations for IID parameters are supported (see Table 8.24).

**Table 8.24 — IID mode configurations**

iid_mode	nr_iid_par_tab	nr_ipdopd_par_tab	iid_quant	Index range
0 (000)	10	5	0	-7...7
1 (001)	20	11		-7...7
2 (010)	34	17		-7...7
3 (011)	10	5	1	-15...15
4 (100)	20	11		-15...15
5 (101)	34	17		-15...15
6 (110)	reserved			
7 (111)	reserved			

If no IID data is sent in the bit-stream, all IID parameters are reset to 0 (i.e. index=0).

The default and the fine quantization grids for IID, `iid_quant = %0` and `iid_quant = %1` are as provided in Table 8.25 and Table 8.26 respectively.

**Table 8.25 — Default quantization grid for IID.**

Index	-7	-6	-5	-4	-3	-2	-1	0
IID [dB]	-25	-18	-14	-10	-7	-4	-2	0
Index	1	2	3	4	5	6	7	
IID [dB]	2	4	7	10	14	18	25	

**Table 8.26 — Fine quantization grid for IID.**

Index	-15	-14	-13	-12	-11	-10	-9	-8
IID [dB]	-50	-45	-40	-35	-30	-25	-22	-19
Index	-7	-6	-5	-4	-3	-2	-1	0
IID [dB]	-16	-13	-10	-8	-6	-4	-2	0
Index	1	2	3	4	5	6	7	8
IID [dB]	2	4	6	8	10	13	16	19
Index	9	10	11	12	13	14	15	
IID [dB]	22	25	30	35	40	45	50	

Note that the configuration of the Inter-channel Phase Difference (IPD) / Overall Phase Difference (OPD) parameters, is strictly coupled to the IID configuration. This is also illustrated in Table 8.24.

**enable\_icc** – One bit denoting the presence of ICC parameters. If `enable_icc` is set to `%1`, Inter-channel Coherence (ICC) parameters will be sent from this point on in the bit stream. If `enable_icc==%0`, no ICC parameters will be sent from this point on in the bit stream.

**icc\_mode** – The configuration of Inter-channel Coherence parameters (number of bands and quantisation grid) is determined by `icc_mode`. Eight different configurations are supported for IC parameters (see Table 8.27).

**Table 8.27 — ICC mode configuration**

icc_mode	nr_icc_par_tab	Index range	Mixing procedure
0 (000)	10	0...7	R <sub>a</sub>
1 (001)	20	0...7	
2 (010)	34	0...7	
3 (011)	10	0...7	R <sub>b</sub>
4 (100)	20	0...7	
5 (101)	34	0...7	
6 (110)	reserved		
7 (111)	reserved		

If no ICC data is sent in the bit-stream, all ICC parameters are reset to 1 (i.e. `index=0`). The default quantization grid for ICC is provided in Table 8.28.

**Table 8.28 — Quantization grid for ICC.**

index	0	1	2	3	4	5	6	7
$\rho$	1	0.937	0.84118	0.60092	0.36764	0	-0.589	-1

**enable\_ext** – The PS extension layer is enabled using the `enable_ext` bit. If it is set to `%1` the IPD and OPD parameters are sent. If it's disabled, i.e. `%0`, the extension layer is skipped and `enable_ipdopd` is set to `%0`.

**frame\_class** – The frame\_class bit determines whether the parameter positions of the current frame are uniformly spaced across the frame (FIX\_BORDERS: frame\_class==%0) or they are defined using the positions described by border\_position (VAR\_BORDERS: frame\_class==%1).

**num\_env\_idx** – The number of (sets of) parameters (envelopes) per frame is determined using num\_env\_idx. In case of fixed parameter spacing (frame\_class==%0) and a variable parameter spacing (frame\_class==%1) this relation is shown in Table 8.29.

num\_env – Local variable denoting the number of stereo envelopes (sets of parameters). num\_env==0 signals that no new stereo parameters are transmitted and that the last parameters in the previous ps\_data() element shall be kept unchanged and applied to the current ps\_data() element.

**Table 8.29 — Number of parameter sets num\_env as a function of num\_env\_idx in case of fixed and variable spacing.**

num_env_idx	num_env_tab[frame_class][num_env_idx]	
	frame_class==0	frame_class==1
0	0	1
1	1	2
2	2	3
3	4	4

**border\_position[e]** – In case of variable parameter spacing the parameter positions are determined by border\_position[e]. It contains the QMF sample index  $n_e$  for parameter set e of the current ps\_data() element.

**iid\_dt[e]** – This flag describes for envelope index e, whether the IID parameters are coded differentially over time (iid\_dt==%1) or over frequency (iid\_dt==%0). In the case iid\_mode of the current ps\_data() element is different from iid\_mode of the previous ps\_data() element, iid\_dt[0] shall have the value 0% forcing frequency differential coding.

iid\_data() – syntactic element containing IID data.

**icc\_dt[e]** – This flag describes for envelope index e, whether the ICC parameters are coded differentially over time (icc\_dt==%1) or over frequency (icc\_dt==%0). In the case icc\_mode of the current ps\_data() element is different from icc\_mode of the previous ps\_data() element, icc\_dt[0] shall have the value 0% forcing frequency differential coding.

icc\_data() – syntactic element containing ICC data.

cnt – Local variable denoting the number of bytes used for the ps\_extension() element.

**ps\_extension\_size** – The length of the PS extension layer is ps\_extension\_size, measured in bytes. If the extension size makes use of the escape code (ps\_extension\_size == 15) the length of the extension layer is extended by an additional amount of bytes.

**esc\_count** – In case the escape code (ps\_extension\_size == 15) is used, esc\_count describes the additional length of the PS extension layer measured in bytes.

num\_bits\_left – Local variable describing the number of bits left for reading in the ps\_extension() element.

**ps\_extension\_id** – The identification tag (version) of the PS extension layer is given by ps\_extension\_id. Currently only one version is supported (see Table 8.30).

Table 8.30 — Description of `ps_extension_id`

<code>ps_extension_id</code>	Version
00 (0)	v0
01 (1)	reserved
10 (2)	reserved
11 (3)	reserved

**fill\_bits** – These `fill_bits` accomplish byte-alignment of the `ps_extension()` data.

**enable\_ipdopd** – The application of IPD and OPD parameters in the bit-stream is denoted by `enable_ipdopd`. If set (`enable_ipdopd==%1`) IPD and OPD parameters are sent, if disabled (`enable_ipdopd==%0`) no IPD and OPD parameters are sent for the current frame in the bit-stream. In the case `enable_iid==%0`, `enable_ipdopd` shall not be set to %1. The quantization grid for both IPD and OPD is provided in Table 8.31. If no IPD or OPD data is sent in the bit-stream, all IPD and OPD parameters are set to 0 (i.e. `index=0`).

Table 8.31 — Quantization grid for IPD/OPD.

Index	0	1	2	3	4	5	6	7
Representation level	0	$\frac{\pi}{4}$	$\frac{\pi}{2}$	$\frac{3\pi}{4}$	$\pi$	$\frac{5}{4}\pi$	$\frac{3}{2}\pi$	$\frac{7}{4}\pi$

**ipd\_dt[e]** – This flag describes for envelope index `e`, whether the IPD parameters are coded differentially over time (`ipd_dt==%1`) or over frequency (`ipd_dt==%0`). In the case `iid_mode` of the current `ps_data()` element is different from `iid_mode` of the previous `ps_data()` element, `ipd_dt[0]` shall have the value 0% forcing frequency differential coding.

`ipd_data()` – syntactic element containing IPD data.

**opd\_dt[e]** – This flag describes for envelope index `e`, whether the OPD parameters are coded differentially over time (`opd_dt==%1`) or over frequency (`opd_dt==%0`). In the case `iid_mode` of the current `ps_data()` element is different from `iid_mode` of the previous `ps_data()` element, `opd_dt[0]` shall have the value 0% forcing frequency differential coding.

`opd_data()` – syntactic element containing OPD data.

**reserved\_ps** – This bit is reserved and has a value %0.

**iid\_par\_dt[e][b]** – In case of differential coding of IID parameters over time (`iid_dt[e]==%1`), `iid_par_dt[e][b]` describes the IID index difference with respect to the  $b^{\text{th}}$  parameter position for envelope `e-1`. If no previous parameter is available, `iid_par_dt[e][b]` represents the IID index difference with respect to the decoded value 0 (i.e. `index=0`). The IID index `iid_par[e][b]` is determined as:

$$\text{iid\_par}[e][b] = \text{iid\_par}[e-1][b] + \text{iid\_par\_dt}[e][b]$$

where `iid_par[e-1][b]` represents the IID index of the previous envelope, `e-1`. The IID value `iid[b]` is obtained by using `iid_par[e][b]` as an index to Table 8.25 or Table 8.26, depending on `iid_mode`.

**iid\_par\_df[e][b]** – In case of differential coding of IID parameters over frequency (`iid_dt[e]==%0`), `iid_par_df[e][b]` describes the IID difference with respect to the  $(b-1)^{\text{th}}$  parameter in envelope `e`. If no previous parameter is available, `iid_par_df[e][b]` represents the IID difference with respect to the decoded value 0 (i.e. `index=0`). The IID index `iid_par[e][b]` is determined as:

$$\text{iid\_par}[e][0] = \text{iid\_par\_df}[e][0]$$

$$\text{iid\_par}[e][b] = \text{iid\_par}[e][b-1] + \text{iid\_par\_df}[e][b] \quad \text{for } b > 0$$

where  $iid\_par[e][b-1]$  represents the IID index of the previous IID value for envelope  $e$ . The IID value  $iid[b]$  is obtained by using  $iid\_par[e][b]$  as an index to Table 8.25 or Table 8.26, depending on  $iid\_mode$ .

**icc\_par\_dt[e][b]** – In case of differential coding of ICC parameters over time ( $icc\_dt[e]==%1$ ),  $icc\_par\_dt[e][b]$  describes the difference with respect to the  $b^{th}$  parameter position for envelope  $e-1$ . If no previous parameter is available,  $icc\_par\_dt[e][b]$  represents the ICC difference with respect to the decoded value 1 (i.e.  $index=0$ ). The ICC index  $icc\_par[e][b]$  is determined as:

$$icc\_par[e][b] = icc\_par[e-1][b] + icc\_par\_dt[e][b]$$

where  $icc\_par[e-1][b]$  represents the ICC index of the previous envelope,  $e-1$ . The ICC value  $\rho[b]$  is obtained by using  $icc\_par[e][b]$  as an index to Table 8.28.

**icc\_par\_df[e][b]** – In case of differential coding of ICC parameters over frequency ( $icc\_dt[e]==%0$ ),  $icc\_par\_df[e][b]$  describes the ICC difference with respect to the  $(b-1)^{th}$  parameter for envelope  $e$ . If no previous parameter is available,  $icc\_par\_df[e][b]$  represents the ICC difference with respect to the decoded value 1 (i.e.  $index=0$ ). The ICC index  $icc\_par[e][b]$  is determined as:

$$\begin{aligned} icc\_par[e][0] &= icc\_par\_df[e][0] \\ icc\_par[e][b] &= icc\_par[e][b-1] + icc\_par\_df[e][b] \quad \text{for } b > 0 \end{aligned}$$

where  $icc\_par[e][b-1]$  represents the ICC index of the previous ICC value for envelope  $e$ . The ICC value  $\rho[b]$  is obtained by using  $icc\_par[e][b]$  as an index to Table 8.28.

**ipd\_par\_dt[e][b]** – In case of differential coding of IPD parameters over time ( $ipd\_dt[e]==%1$ ),  $ipd\_par\_dt[e][b]$  describes the IPD difference with respect to the  $b^{th}$  parameter position for envelope  $e$ . If no previous parameter is available,  $ipd\_par\_dt[e][b]$  represents the IPD difference with respect to the decoded value 0 (i.e.  $index=0$ ). Note: for IPD parameters modulo-8 differential coding is applied. The IPD index  $ipd\_par[e][b]$  is determined as:

$$ipd\_par[e][b] = \text{mod}(ipd\_par[e-1][b] + ipd\_par\_dt[e][b], 8)$$

where  $ipd\_par[e-1][b]$  represents the IPD index of the previous envelope,  $e-1$ . The IPD value  $ipd[b]$  is obtained by using  $ipd\_par[e][b]$  as an index to Table 8.31.

**ipd\_par\_df[e][b]** – In case of differential coding of IPD parameters over frequency ( $ipd\_dt[e]==%0$ ),  $ipd\_par\_df[e][b]$  describes the IPD difference with respect to the  $(b-1)^{th}$  parameter for envelope  $e$ . If no previous parameter is available,  $ipd\_par\_df[e][b]$  represents the IPD difference with respect to the decoded value 0 (i.e.  $index=0$ ). Note: for IPD parameters modulo-8 differential coding is applied. The IPD index  $ipd\_par[e][b]$  is determined as:

$$\begin{aligned} ipd\_par[e][0] &= ipd\_par\_df[e][0] \\ ipd\_par[e][b] &= \text{mod}(ipd\_par[e][b-1] + ipd\_par\_df[e][b], 8) \quad \text{for } b > 0 \end{aligned}$$

where  $ipd\_par[e][b-1]$  represents the IPD index of the previous IPD value for envelope  $e$ . The IPD value  $ipd[b]$  is obtained by using  $ipd\_par[e][b]$  as an index to Table 8.31.

**opd\_par\_dt[e][b]** – In case of differential coding of OPD parameters over time ( $opd\_dt[e]==%1$ ),  $opd\_par\_dt[e][b]$  describes the OPD difference with respect to the  $b^{th}$  parameter position for envelope ( $e-1$ ). If no previous parameter is available,  $opd\_par\_dt[e][b]$  represents the OPD difference with respect to the decoded value 0 (i.e.  $index=0$ ). Note: for OPD parameters modulo-8 differential coding is applied. The OPD index  $opd\_par[e][b]$  is determined as:

$$opd\_par[e][b] = \text{mod}(opd\_par[e-1][b] + opd\_par\_dt[e][b], 8)$$

where  $opd\_par[e-1][b]$  represents the OPD index of the previous envelope,  $e-1$ . The OPD value  $opd[b]$  is obtained by using  $opd\_par[e][b]$  as an index to Table 8.31.

**opd\_par\_df[e][b]** – In case of differential coding of OPD parameters over time ( $\text{opd\_dt}[e] \neq 0$ ),  $\text{opd\_par\_dt}[e][b]$  describes the OPD difference with respect to the  $(b-1)^{\text{th}}$  parameter position for envelope  $e$ . If no previous parameter is available,  $\text{opd\_par\_df}[e][b]$  represents the OPD difference with respect to the decoded value 0 (i.e.  $\text{index}=0$ ). Note: for OPD parameters modulo-8 differential coding is applied. The OPD index  $\text{opd\_par}[e][b]$  is determined as:

$$\begin{aligned} \text{opd\_par}[e][0] &= \text{opd\_par\_df}[e][0] \\ \text{opd\_par}[e][b] &= \text{mod}(\text{opd\_par}[e][b-1] + \text{opd\_par\_df}[e][b], 8) \quad \text{for } b > 0 \end{aligned}$$

where  $\text{opd\_par}[e][b-1]$  represents the OPD index of the previous OPD value for envelope  $e$ . The OPD value  $\text{opd}[b]$  is obtained by using  $\text{opd\_par}[e][b]$  as an index to Table 8.31.

### 8.5.3 Indexing of sub-frames

In the case differential coding is applied from one sub-frame to the next, a negative sub-frame index  $\text{sf}$  of frame  $k$  might be indexed. In case this occurs, the negative sub-frame shall be corrected according to

$$\text{sf} = \text{sf} + \text{nrof\_subframes}.$$

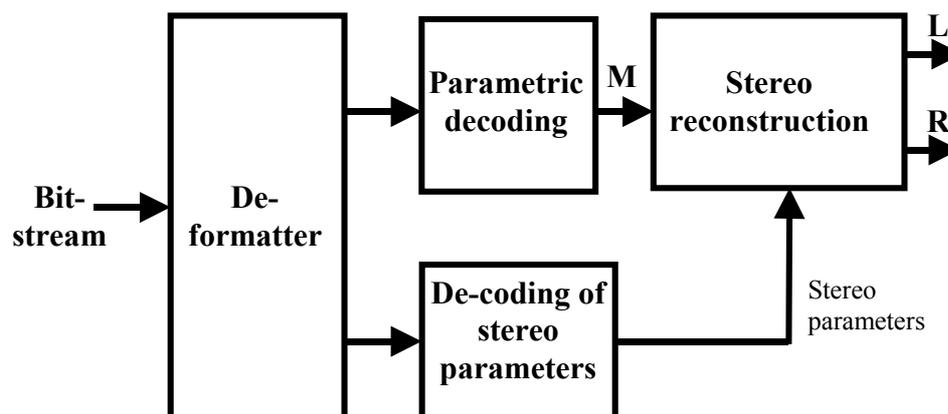
Note that the sub-frame index thus obtained resides in frame  $k-1$ . Similarly, in the case that  $\text{sf}$  is larger than  $\text{nrof\_subframes}$ , the sub-frame shall be corrected according to

$$\text{sf} = \text{sf} - \text{nrof\_subframes}.$$

The sub-frame index thus obtained resides in frame  $k+1$ .

### 8.6 Decoding processes

The parametric stereo decoder is illustrated in Figure 8.1. After de-formatting the bit-stream, the monaural signal  $M$  is reconstructed as the combination of transients, sinusoids and noise. Subsequently the stereo parameters are used to reconstruct the left and right signal from the monaural encoded signal. For dual-mono and mono, the parametric stereo decoder is not used.



**Figure 8.1 — Illustration of the integration of the stereo coding tools into the parametric decoder**

The parametric decoder consists of three decoders: the transient decoder (8.6.1), the sinusoidal decoder (8.6.2) and the noise decoder (8.6.3). The decoded signal is obtained by summing the output of the three decoders per frame per channel. In the description of the decoders in the parametric decoder, the indexing of the sub-frame  $\text{sf}$  and channel  $\text{ch}$  is occasionally omitted for clarity.

8.6.1 Transients

Two types of transients are defined, a step transient and a transient of the Meixner type. The decoding of the step transient only involves the interpretation of the position. For the Meixner type, a parameterized envelope  $g[n]$  and a number of sinusoids need to be decoded.

8.6.1.1 Step transient

A step transient does not generate a signal of its own (as the Meixner transient does), but it is used to modify the window shape for synthesizing sinusoidal and noise components (see subclauses 8.6.2.4 and 8.6.3).

8.6.1.2 Meixner transient

For the decoding of the Meixner transient, first the envelope needs to be generated. For the envelope the following parameters are required: the start position  $t_{loc}$ , the onset slope indicated by  $t\_b\_par$  ( $b$  parameter) and the decaying slope represented by  $t\_chi\_par$  ( $\xi$  parameter).

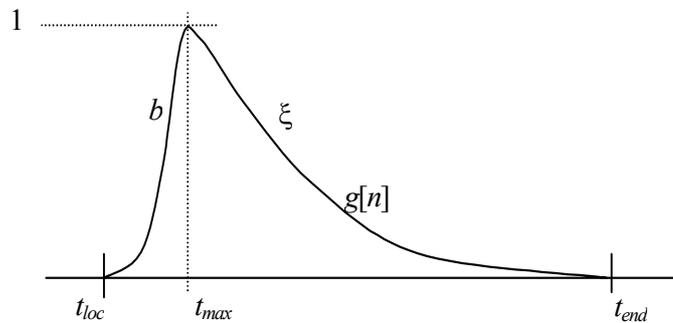


Figure 8.2 — The Meixner envelope is described by the function  $g[n]$ . The attack slope is controlled by the parameter  $b$ . The decay is controlled by the parameter  $\xi$

The start time of the envelope,  $t_{loc}$ , is referred to as  $n = 0$  for ease of explanation. This envelope  $g[n]$  is generated according to

$$g[0] = \frac{(1 - \xi^2)^{\frac{b}{2}}}{a_{max}}$$

$$g[n] = g[n-1] \cdot \xi \cdot \sqrt{\frac{b+n-1}{n}}$$

for  $n=1$  up to and including  $t_{end}$ . The end position of the transient window  $t_{end}$  is defined below. The maximum  $a_{max}$  at position  $t_{max}$  is given by the approximations

$$t_{max} = \frac{b-1}{-2 \cdot \log_e(\xi)}$$

$$a_{max} = \sqrt{\frac{-2 \cdot \log_e(\xi)}{\Gamma(b)}} \cdot \left(\frac{b-1}{e}\right)^{\frac{b-1}{2}}$$

These complex expressions, especially that for  $a_{max}$ , have been evaluated for the allowed values of  $t\_b\_par$  and  $t\_chi\_par$ , and are tabulated below.

Table 8.32 —  $t_{max}$  for all possible values of  $t_{b\_par}$  and  $t_{chi\_par}$ .

$t_{max}$		$t_{b\_par}$			
		0	1	2	3
$t_{chi\_par}$	0	15	30	45	59
	1	20	39	57	75
	2	30	56	79	100
	3	57	96	126	150

Table 8.33 —  $a_{max}$  for all possible values of  $t_{b\_par}$  and  $t_{chi\_par}$ .

$a_{max}$		$t_{b\_par}$			
		0	1	2	3
$t_{chi\_par}$	0	0.152713500109658	0.131630525645664	0.120142673294398	0.112550174511598
	1	0.132843681407528	0.115639700421076	0.106510539071702	0.100663024431527
	2	0.109279971016712	0.0971964875412947	0.0909719057150294	0.0872632874594248
	3	0.0797175749717262	0.0744985442180281	0.0723059623257423	0.0715041477354716

The transient needs to be synthesized until  $t_{end}$ . This position  $t_{end}$  is defined as the end of the second complete subsequent sub-frame after the transient position  $t_{loc}$ . This is illustrated in Figure 8.3.

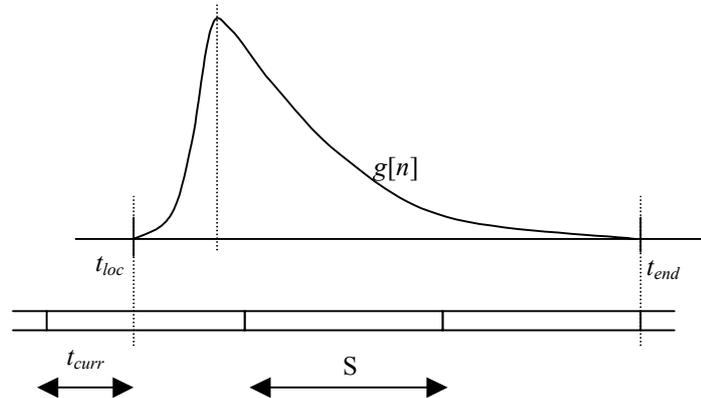


Figure 8.3 — The end position  $t_{end}$  is located at the end of the second complete sub-frame after the transient position  $t_{loc}$

$$t_{end} = 3 \cdot S - t_{loc} - 1$$

Note that the counting of samples in a sub-frame starts at 0. If the transient starts exactly at the beginning of a sub-frame ( $t_{loc} = 0$ ), then  $t_{end} = 3 \cdot S - 1$ .

### 8.6.1.3 Sinusoids under envelope

The resulting representation of the transient is obtained by combining the envelope and the sinusoids according to

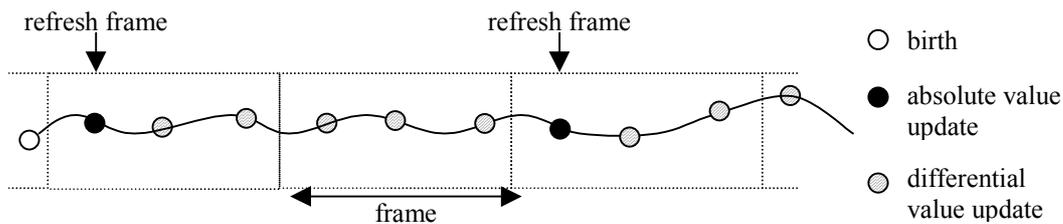
$$t[n] = g[n] \cdot \sum_{i=1}^{t\_nrof\_sin} ta_q[i] \cdot \cos(tf_q[i] \cdot n + tp_q[i]),$$

for  $n=0$  up to and including  $t_{end}$ .

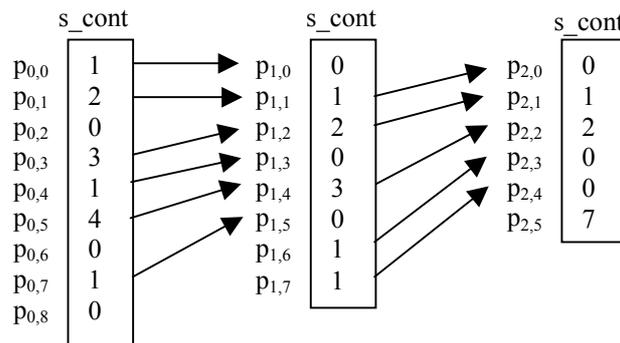
### 8.6.2 Sinusoids

#### 8.6.2.1 Linking

A refresh frame, indicated by `refresh_sinusoids == %1`, is used to indicate whether the frame is starting with absolute values for all continuations or whether the frame starts with differentially coded continuations. For the birth of each sinusoidal track in a frame, `s_cont` is supplied in the bit-stream to signal the number of sub-frames the track continues after the current sub-frame in this frame. In case the track continues in the first sub-frame of the next frame, 1 is added to that number. If the track continues beyond the first sub-frame of the next frame, 2 is added to that number. Based on this information, the decoder is implicitly able to link the parameters that belong to a track.



**Figure 8.4 — Example for updating of a track. For every other frame, the track continuations are updated by their absolute values. In the case decoding starts at `refresh_sinusoids==%0`, the differential updates will be ignored until a `refresh_sinusoids==%1` or a birth is received**



**Figure 8.5 — Illustration of how the signaling of continuations operates. Parameter  $p_{i,j}$  indicates the parameters ( $f$ ,  $a$  and  $\phi$ ) of the  $j^{\text{th}}$  sinusoidal component in the  $i^{\text{th}}$  sub-frame. The information `s_cont` that is sent along with these parameters provides the information on the evolution of the corresponding component in the next (sub)frame. In the above example, in sub-frame 1, components  $p_{1,0}$ ,  $p_{1,1}$ ,  $p_{1,2}$ ,  $p_{1,3}$ ,  $p_{1,4}$  and  $p_{1,5}$  are continued from sub-frame 0. Components  $p_{1,0}$ ,  $p_{1,3}$  and  $p_{1,5}$  will die in sub-frame 1 and components  $p_{1,6}$  and  $p_{1,7}$  are new births**

`s_cont` is filled in the following order:

- 1) Continuations
- 2) Births (sorted on frequency in ascending order)

When going from one sub-frame to the next, the decoder keeps track of the number of continuations, `s_nrof_continuations[sf]`. The number of continuations present in sub-frame `sf+1` can be directly derived from the number of entries in `s_cont[sf]` unequal to zero. For the first sub-frame of a frame, `s_nrof_continuations` is read from the bit-stream, to enable random access.

The total amount of sinusoidal components in sub-frame `sf`, `s_nrof_sin[sf]`, is calculated as

sf == 0:

$$s\_nrof\_sin[0] = s\_nrof\_continuations[0][ch] + s\_nrof\_births[0][ch],$$

sf > 0:

$$s\_nrof\_sin[sf] = \sum_{i=0}^{\max\_nrof\_sinusoids-1} (s\_cont[sf-1][ch][i] > 0) + s\_nrof\_births[sf][ch].$$

### 8.6.2.2 Decoding of sinusoidal parameters

In the description below, we assume to have a sinusoidal track of length  $\kappa$ , in sub-frames  $sf = [K, K+\kappa-1]$ . For births of the track ( $sf = K$ ), the frequency and phase for sinusoid index  $n$  are represented by  $f_q[K][ch][n]$  and  $sp_q[K][ch][n]$  respectively. For continuations, in order to derive the frequency and phase information for a sub-frame, the representation levels together with tracking information is required. Figure 8.6 shows the decoder structure for continuations.

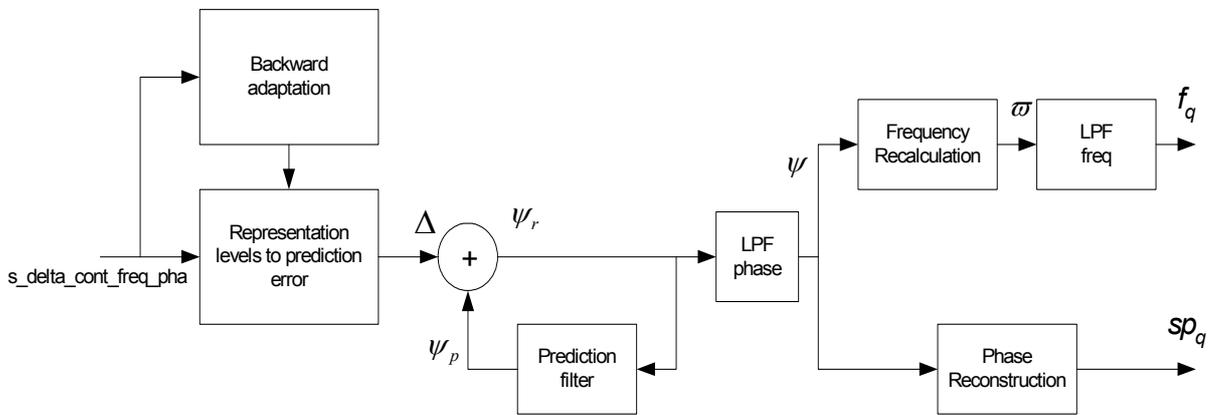


Figure 8.6 — The phase/frequency decoding system for continuations

For a continuation ( $sf = [K+1, K+\kappa-1]$ ), the representation levels  $s\_delta\_cont\_freq\_pha[sf][ch][n]$  are converted to a quantized prediction error  $\Delta[sf][ch][n]$  using Table 8.35 with index == 2. The quantized prediction error  $\Delta[sf][ch][n]$  is subsequently multiplied by scale factor  $c[sf][ch][n]$ . For the first continuation,  $c[K+1][ch][p]$ , where  $p$  represents the sinusoid index in sub-frame  $K+1$ , depends on the frequency of the birth, i.e.  $f_q[K]$ . Table 8.34 shows the value of the scale factor  $c$  for the possible frequency ranges of  $f_q[K]$ .

Table 8.34 — Scale factor table

Freq. range (in Hertz)	Scale factor $c[K+1]$
[0 – 500] Hz	1/8
<500-1000] Hz	1/4
<1000-4000] Hz	1/2
<4000-22050] Hz	1

For other continuations,  $sf = [K+2, K+\kappa-1]$ ,  $c$  is modified according to the received representation levels along the track by means of the block “Backward Adaptation”. If  $s\_delta\_cont\_freq\_pha[sf][ch][n]$  is either 1 or 2 (inner level) for sub-frame  $sf$ , then  $c$  for sub-frame  $sf+1$  is set to

$$c[sf+1][ch][p] = c[sf][ch][n] \cdot 2^{-1/4}.$$

If  $s\_delta\_cont\_freq\_pha[sf][ch][n]$  equals 0 or 3 (outer level), then  $c$  for sub-frame  $sf+1$  is set to

$$c[sf + 1][ch][p] = c[sf][ch][n] \cdot 2^{1/2}.$$

In order to avoid very small or very large entries in prediction error, the adaptation is only done if the absolute value of the inner level,  $0.75c[sf+1][ch][p]$ , is between  $\pi/128$  and  $3\pi/8$ .

After having obtained the quantised prediction error  $\Delta$ , the output of the prediction filter is added to it, resulting in the unwrapped phase  $\psi_r$ .

$$\psi_r[sf][ch][n] = \psi_p[sf][ch][n] + \Delta[sf][ch][n] \cdot c[sf][ch][n].$$

A second-order predictor is used. The input-output behaviour of the filter is

$$\psi_p[sf + 1][ch][p] = 2 \cdot \psi_r[sf][ch][n] - \psi_r[sf - 1][ch][q],$$

where  $q$  is the sinusoid index in sub-frame  $sf-1$ ,  $\psi_r$  is the input and  $\psi_p$  is the output of the prediction filter.

To initialize the prediction filter we need one value of history for the input  $\psi_r[K-1]$ , where  $K$  is the sub-frame index of the birth of the track. Since this value is not available, we assume that the frequency is constant at sub frame  $(K-1)$ . For birth of a track we have the frequency and phase information available, so we can calculate the input at  $(K-1)$  and  $K$  according to,

$$\begin{aligned} \psi_r[K - 1][ch][n] &= sp_q[K][ch][n] - f_q[K][ch][n] \cdot S, \\ \psi_r[K][ch][n] &= sp_q[K][ch][n]. \end{aligned}$$

where  $S$  represents the update interval. The unwrapped phases are low-pass filtered by block LPF-phase. This is done as follows:

$$\psi[sf][ch][n] = 0.25 \cdot \psi_r[sf + 1][ch][p] + 0.5 \cdot \psi_r[sf][ch][n] + 0.25 \cdot \psi_r[sf - 1][ch][q],$$

where  $sf$  is the sub-frame index along a track. At the end of a track ( $sf = K+\kappa-1$ ), the following rule is applied:

$$\psi[sf][ch][n] = \psi_r[sf][ch][n].$$

The reconstructed phases are derived from the smoothed unwrapped phases as follows:

$$sp_q[sf][ch][n] = \text{mod}(\psi[sf][ch][n] + \pi, 2\pi) - \pi.$$

To obtain the frequency, the unwrapped phases have to be differentiated along the track. The differentiation is implemented by an approximation. The frequency is obtained by:

$$\varpi[sf][ch][n] = (\psi[sf][ch][n] - \psi[sf - 1][ch][q]) \frac{2}{S} - \varpi[sf - 1][ch][q],$$

where  $S$  is the update interval and  $\varpi[K][ch][n] = f_q[K][ch][n]$ . As the birth phase and birth frequency are known in the decoder, the frequencies  $\varpi$  of the subsequent frames are calculated. In order to attenuate the noisy signal that is introduced by this differentiation, a low-pass filter is applied on the frequencies (LPF-freq):

$$f_q[sf][ch][n] = 0.25 \cdot \varpi[sf + 1][ch][p] + 0.5 \cdot \varpi[sf][ch][n] + 0.25 \cdot \varpi[sf - 1][ch][q].$$

For the first continuation of a track ( $sf=K+1$ ), the definition is changed to:

$$f_q[K + 1][ch][p] = 0.5 \cdot \varpi[K + 1][ch][p] + 0.5 \cdot \varpi[K][ch][n].$$

Also the last frequency in the track ( $sf = K+\kappa-1$ ) is obtained in a different manner:

$$f_q[sf][ch][n] = 0.5 \cdot \varpi[sf][ch][n] + 0.5 \cdot \varpi[sf-1][ch][q].$$

For tracks of length  $\kappa=2$  the continuation is calculated according to

$$f_q[sf][ch][n] = 0.5 \cdot \varpi[sf-1][ch][q] + 0.5 \cdot \varpi[sf][ch][n].$$

In this way, the phases and frequencies are obtained from the representation levels `s_delta_cont_freq pha`.

In refreshes frames, the following procedure applies. If  $sf=[K, \dots, K+R, \dots, K+\kappa-1]$ . The sub-frame,  $K+R$  is the first sub-frame of a frame with `refresh_sinusoids == %1`. Sub-frame  $(K+R-1)$  is the last sub-frame of a frame with `refresh_sinusoids_next_frame == %1`. The phase and frequency values for sub-frame  $K$  up to and including sub-frame  $K+R-1$  are obtained as described above, as if the track ends at sub-frame  $(K+R-1)$ . The phase and frequency values for sub-frame  $K+R$  up to and including sub-frame  $(K+\kappa-1)$  are obtained as described above, as if sub-frame  $K+R$  is a birth. For the initialization of the quantized prediction error  $\Delta$ , `s_adpcm_grid` is used as index to Table 8.35 and  $c[K+R][ch][n] = 1$ .

**Table 8.35 — Quantized prediction error  $\Delta$**

$\Delta$ index	s_delta_cont_freq pha			
	0	1	2	3
0	-4.2426	-1.0607	1.0607	4.2426
1	-3.5676	-0.8919	0.8919	3.5676
2	-3.0000	-0.7500	0.7500	3.0000
3	-2.5227	-0.6307	0.6307	2.5227
4	-2.1213	-0.5303	0.5303	2.1213
5	-1.7838	-0.4460	0.4460	1.7838
6	-1.5000	-0.3750	0.3750	1.5000
7	-1.2613	-0.3153	0.3153	1.2613
8	-1.0607	-0.2652	0.2652	1.0607
9	-0.8919	-0.2230	0.2230	0.8919
10	-0.7500	-0.1875	0.1875	0.7500
11	-0.6307	-0.1577	0.1577	0.6307
12	-0.5303	-0.1326	0.1326	0.5303
13	-0.4460	-0.1115	0.1115	0.4460
14	-0.3750	-0.0938	0.0938	0.3750
15	-0.3153	-0.0788	0.0788	0.3153
16	-0.2652	-0.0663	0.0663	0.2652
17	-0.2230	-0.0557	0.0557	0.2230
18	-0.1875	-0.0469	0.0469	0.1875
19	-0.1577	-0.0394	0.0394	0.1577
20	-0.1326	-0.0331	0.0331	0.1326
21	-0.1115	-0.0279	0.0279	0.1115

Note that in the case `phase_jitter_present` is set to `%1` and phase and frequency are obtained through ADPCM decoding, no phase jitter is applied.

### 8.6.2.3 Synthesis of sinusoids for segments without a transient

The sinusoidal parameters are used to synthesize the sinusoidal components. This is done on a segment basis, a segment consisting of  $L$  samples.

Synthesis uses a 50% overlap and add strategy. In order to synthesize a sub-frame, the parameters of the previous sub-frame need to be available at the start of a new frame. This means that the parameters of the last sub-frame in the previous frame need to be available.

The actual synthesis of a sinusoid is according to

$$s_i[n] = sa_q[i] \cdot \cos\left(f_q[i] \cdot \left(n - \frac{L-1}{2}\right) + sp_q[i]\right), \quad n = [0, L-1]$$

Note that the phase information  $sp_q$  is defined for the middle of the segment  $(=(L-1)/2)$ . For original phase,  $sp_q$  is calculated from  $s\_phi$  (see subclause 8.5.2). For continuations, the phase is calculated as described in subclause 8.6.2.2.

In overlap and add the following, amplitude complementary symmetric window is chosen

$$w_s[n] = \frac{1}{2} - \frac{1}{2} \cos\left(\frac{\pi(2n+1)}{L}\right), \quad n = [0, L-1].$$

A segment of length L is obtained by

$$\tilde{s}_{sf}[n] = w_s[n] \sum_{i=0}^{s\_nof\_sin[sf]} s_i[n], \quad n = [0, L-1]$$

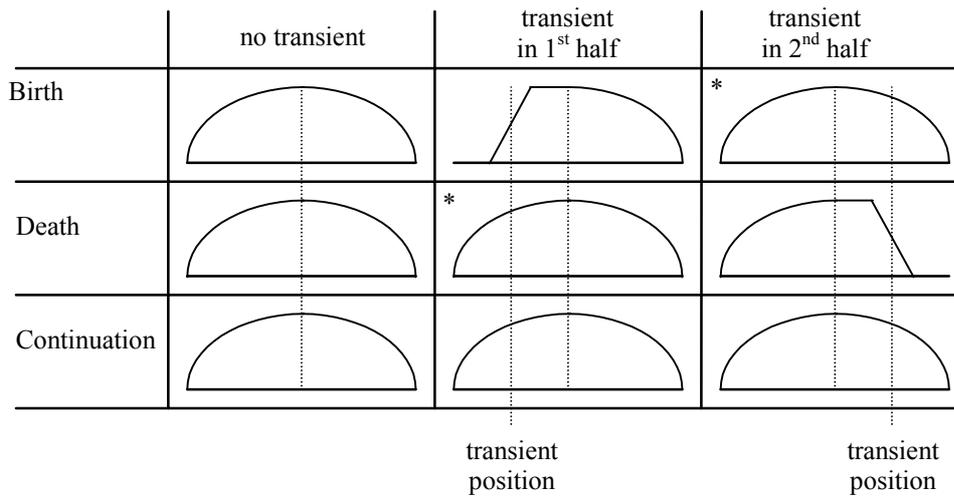
The sinusoidal contribution for sub-frame sf is then computed using the contribution from the previous sub-frame according to

$$s_{sf}[n] = \tilde{s}_{sf-1}[S+n] + \tilde{s}_{sf}[n], \quad n = [0, S-1]$$

#### 8.6.2.4 Synthesis of sinusoids for segments without a transient

For sinusoids with a frequency lower than 400Hz only the window  $w_s[n]$  defined in the previous subclause should be used, even at a segment containing a transient.

For other sinusoids, different window shapes apply for synthesis of a segment, depending on the course of a component (continuation, birth, or death). As a result of transient positions, some of these windows are modified. All the possibilities are illustrated in Figure 8.7.



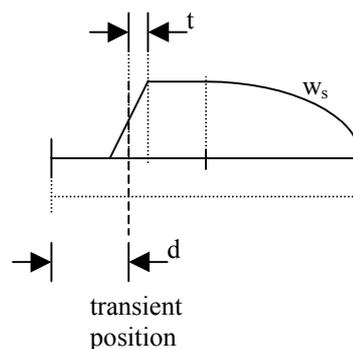
**Figure 8.7 — Possible synthesis windows  $w_s[n]$  for births, deaths and continuations, dependent on transient occurrence and position. For the situations denoted by \* the transient and sinusoidal component have no relation.**

This figure shows that there are two situations where the default window shape may not be used:

- a birth in combination with a transient located in the first half of the segment
- a death in combination with a transient in the second half of the segment.

#### 8.6.2.4.1 Birth and transient in first half of segment

For births, a fade in window will be used to prevent substantial energy leaking into the interval prior to the transient position. In Figure 8.7 only a coarse shape of the window is shown. Figure 8.8 shows a more detailed view of the window.

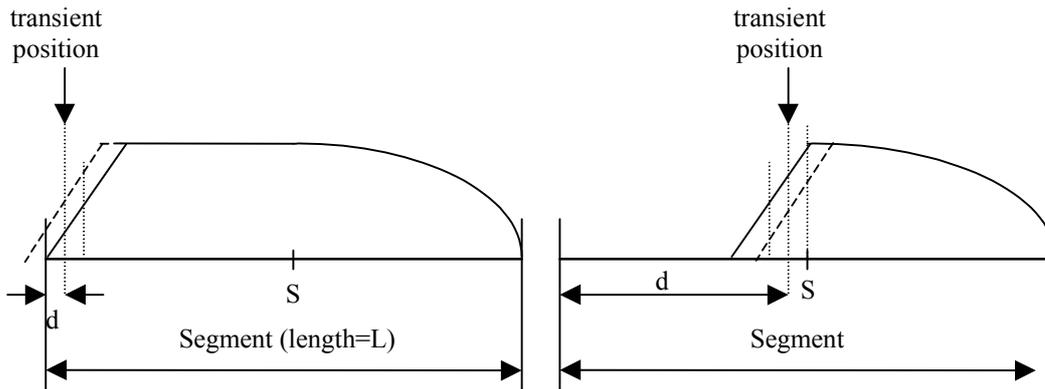


**Figure 8.8 — Synthesis window shape for a birth where a transient occurs in the first half of the segment**

The following expression exactly describes the window  $w_s[n]$

$$w_s[n] = \begin{cases} 0 & , 0 \leq n < d - 10 \\ \frac{n - d + 11}{22} & , d - 10 \leq n \leq d + 10 \\ 1 & , d + 10 < n < S \\ \frac{1}{2} - \frac{1}{2} \cos\left(\pi \cdot \frac{2n + 1}{L}\right) & , S \leq n < L \end{cases}$$

In the special case that the transient position is located within 10 samples from the “edge”, the slope is moved (see Figure 8.9).

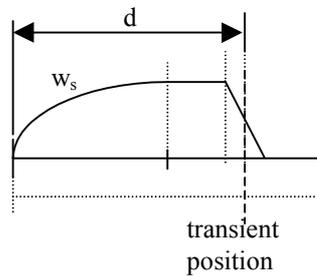


**Figure 8.9 — Illustration of the fade-in window in the cases where the transient is located less than 10 samples from the “edge”; the slope is shifted to start at the “edge” (left picture) or end at the “edge” (right picture)**

For the left picture in Figure 8.9 this means that if  $0 \leq d < 10$ , then use  $d = 10$  for determining the window function  $w_s[n]$ . For the right picture in Figure 8.9 this means that if  $S - 10 \leq d < S$ , then use  $d = S - 11$  for determining the window function  $w_s[n]$ .

**8.6.2.4.2 Death and transient in second half of segment**

In Figure 8.7 only a coarse shape of the window is shown. Figure 8.10 shows a more detailed view of the window.

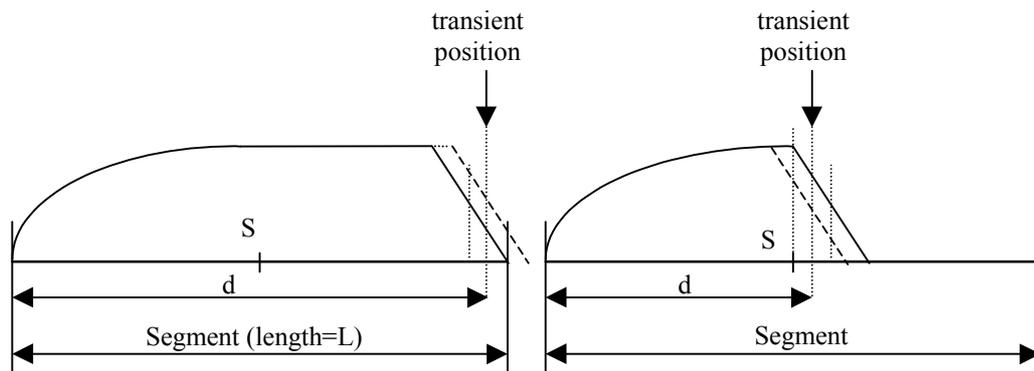


**Figure 8.10 — Synthesis window shape for a death where a transient occurs in the second half of the segment**

The following expression describes the window  $w_s[n]$

$$w_s[n] = \begin{cases} \frac{1}{2} - \frac{1}{2} \cos\left(\pi \cdot \frac{2n+1}{L}\right) & , 0 \leq n < S \\ 1 & , S \leq n < d - 10 \\ \frac{d - n + 11}{22} & , d - 10 \leq n \leq d + 10 \\ 0 & , d + 10 < n < L \end{cases}$$

In the special case that the transient position is located within 10 samples from the “edge”, the slope is moved (see Figure 8.11).

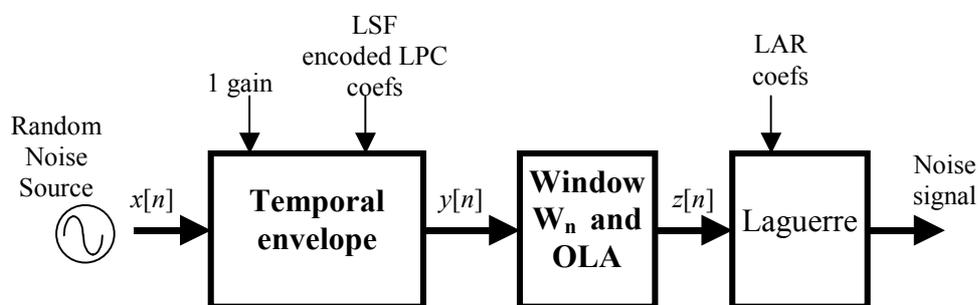


**Figure 8.11 — Illustration of the fade-out window in the cases where the transient is located less than 10 samples from the “edge”; the slope is shifted to end at the “edge” (left picture) or start at the “edge” (right picture)**

For the left picture in Figure 8.11 this means that if  $L-10 \leq d < L$ , then use  $d = L-11$  for determining the window function  $w_s[n]$ . For the right picture in Figure 8.11 this means that if  $S \leq d < S + 10$ , then use  $d = S+10$  for determining the window function  $w_s[n]$ .

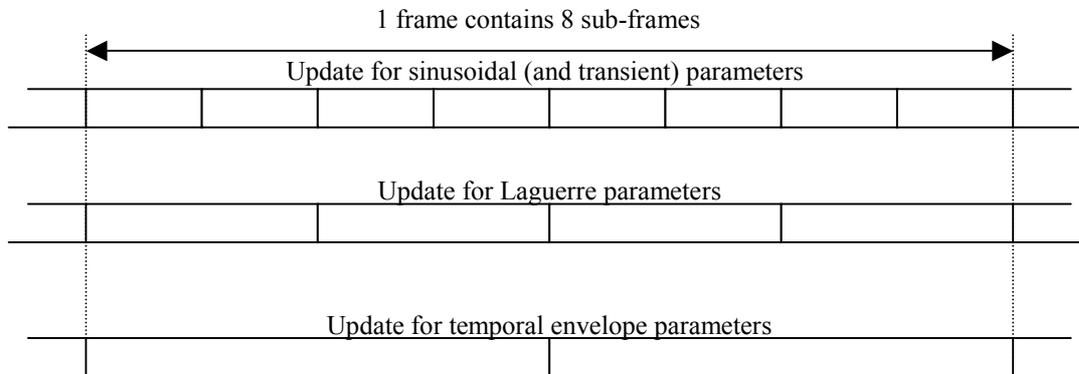
### 8.6.3 Noise

The noise is synthesized in intervals of 4 sub-frames or  $2L$  samples. The model for noise synthesis consists of a pseudo random number generator, a temporal envelope adjuster, a window mechanism for overlap and add and an IIR filter.



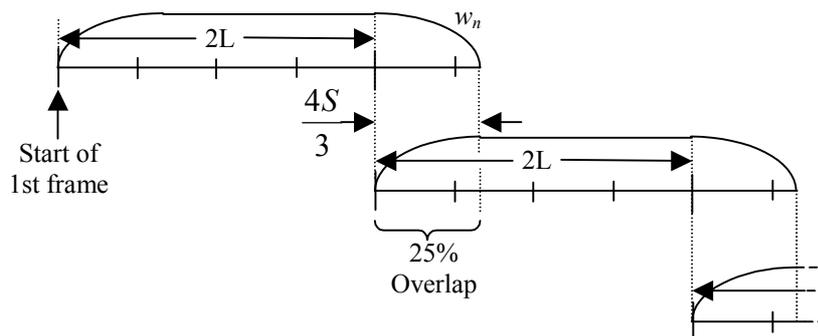
**Figure 8.12 — Noise decoder. Time intervals of random noise excitations are adjusted by temporal envelopes which are windowed, overlap/added and subsequently filtered by the Laguerre filter, resulting in the noise signal. Per iteration one interval of noise is created for  $n = [0, 2L-1]$**

The temporal envelope  $H[n]$  is represented by using a single gain and a number of line spectral frequencies (LSFs) representing the LPC coefficients. Both the gain and the LSFs are updated once every 4 sub-frames (2L samples). The Laguerre coefficients are represented by LAR parameters. These are updated once per 2 sub-frames (L samples), which is twice as low update-rate as used for the temporal envelope, see Figure 8.13.



**Figure 8.13 — The update rate for the Laguerre parameters is for every two sub-frames (2S = L). The temporal parameters are updated every four sub-frames (4S = 2L)**

In order to prevent discontinuities, intervals that are modified by a temporal envelope have an overlap of 25%. In the overlap region a Hanning window is employed, see Figure 8.14. Note that the first 4 generated sub-frames of 2L samples start with a fade-in using the Hanning window.



**Figure 8.14 — Overlap and add. In the overlap a half Hanning window  $h$  is used. In the intermediate part the window is set to 1. The first 4 sub-frames are starting with a fade-in realised by the Hanning window**

The window  $w_n$  is defined as given below.

$$w_n[n] = \begin{cases} \frac{1}{2} - \frac{1}{2} \cos\left(\frac{3\pi(2n+1)}{8S}\right) & , \quad n = [0, \frac{4S}{3} - 1], \\ 1 & , \quad n = [\frac{4S}{3}, 4S - 1], \\ w_n[\frac{16S}{3} - 1 - n] & , \quad n = [4S, \frac{16S}{3} - 1]. \end{cases}$$

The operations performed by each of the individual processes are discussed in more detail in the following subclauses.

### 8.6.3.1 Noise generation

The noise is generated by applying a pseudo random number generator, defined by a linear congruential sequence  $U$

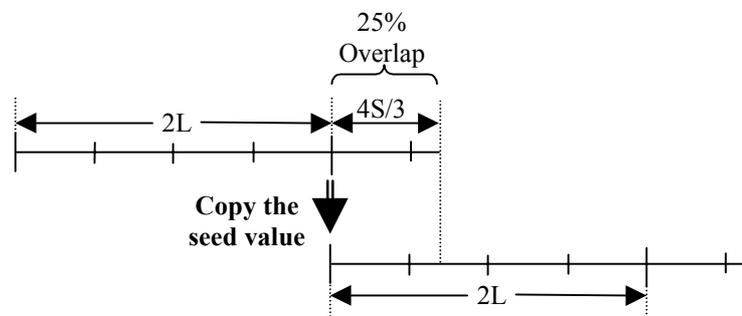
$$U[n+1] = \text{mod}(a \cdot U[n] + c, m),$$

where  $U[0]$  is the starting value,  $a$  the multiplier,  $c$  the increment and  $m$  the modulus (with  $m=2^{32}$ ). At the start of decoding the starting value is set to channel\_number (0 = left, 1 = right), resulting in independent noise sources for each channel. For the generation of each following interval of noise, the starting value is set to the end value of the previous interval. The algorithm is listed below.

```
#define RAND_SCALE    (1/4294967296.0)
#define RAND_FACTOR   1664525L
#define RAND_OFFSET   1013904223L
double noiseUDN(unsigned long *lp_seed)
{
    *lp_seed = (*lp_seed * RAND_FACTOR + RAND_OFFSET) & 0xFFFFFFFF;
    return *lp_seed * RAND_SCALE;
}
```

This algorithm returns a value  $U = [0,1)$ . A normal distribution  $X$  is obtained by addition of 12 consecutive samples of the distribution ( $U-0.5$ ). For the next sample of  $X$ , 12 new consecutive samples are used. Using this normal distribution  $X$ , by means of noise filtering, the spectral noise is generated.

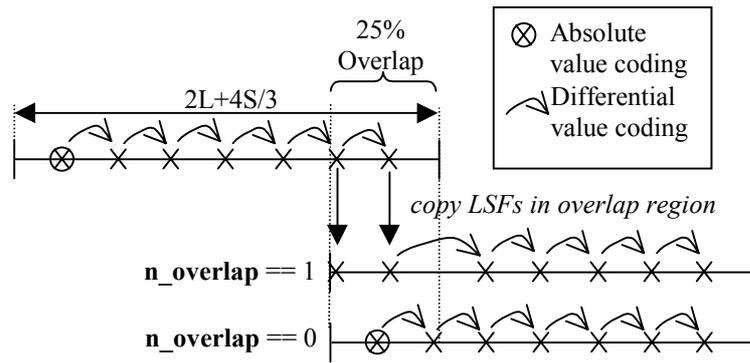
In order to avoid discontinuities in the noise generation, overlapping time intervals are taken from the free running noise generator. This is realized by copying the seed values from the previous interval to the current interval during overlap. This is illustrated in Figure 8.15.



**Figure 8.15** — The start-seed value used in the next interval is copied from the previous interval at the corresponding time location

### 8.6.3.2 Temporal envelope

The temporal envelope is applied to an interval of  $2L+4S/3$  samples, which are generated by the random noise generator. The temporal envelope shape is represented by the time domain equivalent of Line Spectral Frequencies, which are again a representation of LPC coefficients. In total  $n\_nrof\_lsf$  LSFs are encoded. An additional gain parameter is used to scale the entire envelope. Since the LSF intervals have an overlap of 25% there is a potential redundancy in LSF parameters in this overlap region. In the case this redundancy is present, only one set of LSFs, valid for two envelopes in the overlap region, is encoded. This situation is signalled by the parameter  $n\_overlap\_lsf$ . In the case of  $refresh\_noise == \%1$ , the first LSF and gain for that particular interval are encoded in absolute values by means of the parameters  $n\_lsf$  and  $n\_gain$ . Subsequent LSFs are encoded differentially w.r.t. each other, see Figure 8.16.



**Figure 8.16 — Example for 7 LSF parameters.** For the first interval, the first LSF parameter is encoded in its absolute value  $n\_lsf$ . Subsequent LSF values in that frame are differentially encoded w.r.t. each other by means of  $n\_delta\_lsf$ . In the case  $n\_overlap$  equals 1, all LSFs in the overlap region are copied to the next interval. Subsequent LSFs are again differentially encoded w.r.t. each other. In the case  $n\_overlap$  equals 0, the LSFs are obtained similar to the first interval

In the case  $refresh\_noise == \%0$ , the gain parameter for that interval is encoded differentially w.r.t. the gain of the previous frame by means of the parameter  $n\_delta\_gain$ . The encoding of the LSF parameters in that situation depends on the setting of  $n\_overlap\_lsf$ . In the case  $refresh\_noise == \%0$  and  $n\_overlap\_lsf == \%0$ , the LSF are encoded as in the situation where  $refresh\_noise == \%1$ . In the case  $refresh\_noise == \%0$  and  $n\_overlap\_lsf == \%1$ , the number of LSF coefficients that overlap,  $n\_nrof\_overlap$ , is computed from the previous definition in channel  $ch$  according to

```
n_nrof_overlap = 0;
for ( i = 0; i < n_nrof_lsf; i++) {
    if ( n_lsf[sf-4][ch][i] >= 192 ) n_nrof_overlap++
}
```

The LSF coefficients that overlap are copied from the previous definition according to

```
for ( i = 0, j = n_nrof_lsf - n_nrof_overlap; i < n_nrof_overlap; i++, j++)
{
    n_lsf[sf][ch][i] = n_lsf[sf-4][ch][j] - 192
}
```

### 8.6.3.2.1 Decoding the Gain and LSF parameters

The gain scales the entire temporal envelope. Since there are two envelopes per frame, the gain for the first and second temporal envelope are encoded in  $sf=0$  and  $sf=4$  respectively. The gain factor  $G$  which is actually applied to a temporal envelope is calculated as

$$G = \begin{cases} 0 & ngain_{rl} == 0 \\ 10^{\frac{ngain_{rl}-21}{20}} & otherwise \end{cases}$$

The decoded LSFs,  $nlsf_q$  are transformed to a-parameters using the following equations. First, all LSFs are transformed to positions on the unit circle

$$z[i] = e^{jnlsf_q[i]} \quad i = [1, n\_nr\_of\_lsf]$$

These positions are then split into two polynomials:

$$z_p[i] = z[2i] \quad i = \left[ 1, \left\lfloor \frac{n\_nr\_of\_lsf}{2} \right\rfloor \right]$$

$$z_Q[i] = z[2i-1] \quad i = \left[ 1, \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil \right].$$

For both polynomials the complex conjugates are concatenated

$$z_P[i] = z_P^* \left[ i - \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil \right] \quad i = \left[ 1 + \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil, 2 \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil \right],$$

$$z_Q[i] = z_Q^* \left[ i - \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil \right] \quad i = \left[ 1 + \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil, 2 \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil \right].$$

The polynomials are calculated as following

$$p_P = \prod_{i=1}^{2 \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil} (z - z_P[i]),$$

$$p_Q = \prod_{i=1}^{2 \left\lceil \frac{n_{nr\_of\_lsf}}{2} \right\rceil} (z - z_Q[i]).$$

In case  $n_{nr\_of\_lsf}$  is odd the polynomials are finally changed to

$$p_Q = p_Q(z+1)(z-1).$$

In case  $n_{nr\_of\_lsf}$  is even

$$\begin{aligned} p_P &= p_P(z-1) \\ p_Q &= p_Q(z+1) \end{aligned}$$

The polynomial  $A(z)$  is given as

$$A(z) = \frac{p_P(z) + p_Q(z)}{2}.$$

Finally the envelope  $H[n]$ , where  $n$  is the sample index, is calculated as

$$H[n] = \frac{G}{A \left( e^{j \frac{n\pi}{2L + \frac{4S}{3}}} \right)}, \quad n = \left[ 0, 2L + \frac{4S}{3} - 1 \right].$$

The noise sequence is multiplied by the temporal envelope. Note, that in the case  $n_{nr\_of\_lsf} == 0$ ,  $H[n]$  is defined as

$$H[n] = G, \quad n = \left[ 0, 2L + \frac{4S}{3} - 1 \right].$$

8.6.3.3 Noise filtering

The structure of the Laguerre synthesis filter, which is applied after the overlap-add operation, is given in Figure 8.17.

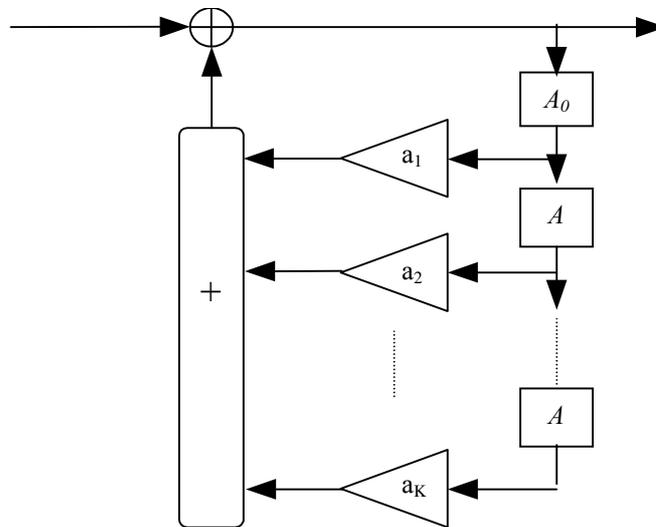


Figure 8.17 — Structure of Laguerre synthesis filter

The filter  $A_0$  is a first-order filter, the filters  $A$  are first-order all-pass sections and  $\lambda$  is the so called Laguerre pole whose absolute value is less than 1.

$$A_0(z) = \sqrt{1 - \lambda^2} \frac{z^{-1}}{1 - z^{-1}\lambda}$$

$$A(z) = \frac{-\lambda + z^{-1}}{1 - z^{-1}\lambda}$$

Note that a value of 0 for  $\lambda$  is equivalent to conventional LPC.

The parameters for the Laguerre filtering are updated every 2 sub-frames ( $L$  samples). In order to make sure that in the generation of the first sample already the desired spectral density is obtained, the initial filter states need to be set. This is realized by copying the final states after the generation of an interval into the initial states for the generation of the next interval. In case that refresh\_noise == %0, or start of decoding, the initial filter states will be set to 0. So, using a first set of parameters, two consecutive sub-frames are filtered. For the next 2 sub-frames (with new parameters) the final filter-states resulting from the previous filter operation are used as initial states. For the updates of the LAR parameters differential coding is employed with respect to the LAR parameters of the previous interval.

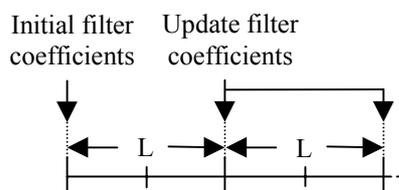


Figure 8.18 — In order to set the initial states of the filter, the final states after the generation of an interval of  $2L$  samples are copied into the initial states for the generation of the next interval of  $2L$  samples

In the bit-stream, for each sub-frame, coefficients for a Laguerre filter structure are encoded in a Log Area Ratio (LAR) notation. The first step of the reconstruction of the Laguerre parameters is the dequantisation of

the LARs (see subclause 8.6.3.3.1). The parcor coefficients ('rfc') are obtained from the dequantised LARs according to the procedure depicted in subclause 8.6.3.3.2. The parcors are transformed to FIR coefficients (see subclause 8.6.3.3.3). The last step is the conversion of FIR coefficients back to the Laguerre coefficients  $a$  (see subclause 8.6.3.3.4).

#### 8.6.3.3.1 Quantized LARs

The LAR coefficients in the denominator are de-quantized by multiplying the value encoded in the bit-stream with a constant  $\Delta_{LAR}$  which is defined as

$$\Delta_{LAR} = \frac{dynr}{levels - 1},$$

where  $dynr=2*8$  is the dynamic range of the LAR coefficients (from  $-8$  to  $+8$ ), and  $levels=2^{bits}-2$ , with  $bits=9$ , represents the number of representation levels.

#### 8.6.3.3.2 Convert LARs into parcors

The following algorithm describes the conversion of  $m$  LAR coefficients  $nlar_q$  into  $m$  ( $m=n\_nrof\_den$ ) parcors 'rfc'.

```
for (i=0; i<m; i++)
{
    rfc[i]=(exp(nlar_q[i])-1)/(exp(nlar_q[i])+1)
}
```

#### 8.6.3.3.3 Convert parcors into FIR coefficients

The following algorithm describes the conversion of  $m$  parcor coefficients 'rfc' into  $m+1$  a-parameters 'p'.

```
for (k=0; k<m; k++)
{
    d[k] = -rfc[k];
    for (i=0; i<k; i++)
    {
        d[i] = tmp[i]+rfc[k]*tmp[k-i-1];
    }
    for (i=0; i<=k; i++)
    {
        tmp[i] = d[i];
    }
}
p[0] = 1.0;
for (k = 0; k < m; k++)
{
    p[k+1] = -d[k];
}
```

#### 8.6.3.3.4 Convert FIR coefficients into Laguerre coefficients

The a-parameters  $p$  are converted back to Laguerre coefficients  $a$  using the following algorithm

$$a'_M = p_M,$$

$$a'_m = p_m - a'_{m+1}\lambda,$$

where  $m = [n\_nrof\_den - 1..0]$  and

$$a_m = -\frac{a_m'}{a_0} \sqrt{1 - \lambda^2}$$

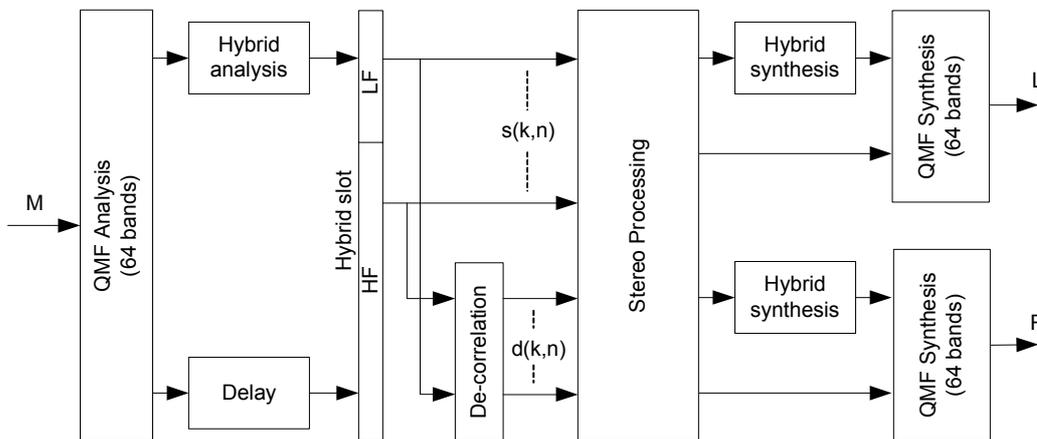
**8.6.4 Parametric stereo**

**8.6.4.1 Stereo parameters**

Three different types of stereo parameters are used in representing the stereo image. For in total a maximum of 34 bands, one set of stereo parameters is available per band.

- 1) The inter-channel intensity difference, or IID, defined by the relative levels of the band-limited signal.
- 2) The inter-channel and overall phase differences, IPD and OPD, defining the phase behaviour of the band-limited signal.
- 3) The inter-channel coherence ICC, defining the (dis)similarity of the left and right band-limited signal.

Figure 8.19 diagrams the processing chain of the parametric stereo decoder.



**Figure 8.19 — QMF based parametric stereo synthesis**

The input to the parametric stereo decoder consists of the monaural parametric generated signal M as obtained through transient, sinusoidal and noise synthesis. The output consists of the left and right stereo representation respectively. In the next subclauses each block will be detailed.

**8.6.4.2 QMF analysis filterbank**

This filterbank is identical to the 64 complex QMF analysis filterbank as defined in subclause 4.B.18.2. However, in the equation for matrix M(k,n) and in Figure 4.B.17, the term “(2\*n+1)” has to be substituted by “(2\*n-1)”. The input to the filterbank are blocks of 64 samples of the monaural synthesized signal M. For each block the filterbank outputs one slot of 64 QMF samples.

**8.6.4.3 Low frequency filtering**

The lower QMF subbands are further split in order to obtain a higher frequency resolution enabling a proper stereo analysis and synthesis for the lower frequencies. Depending on the number of stereo bands, two hybrid configurations have been defined. See Table 8.36 for an overview of the splits and the type of filter that is used to make the split.

**Table 8.36 — Overview of low frequency split for the available configurations.**

Configuration, number of stereo bands	QMF subband p	Number of bands $Q^p$	Filter
10, 20	0	8	Type A
	1	2	Type B
	2	2	
34	0	12	Type A
	1	8	
	2	4	
	3	4	
	4	4	

$$\text{TypeA} : G_q^p = g^p[n] \exp(j \frac{2\pi}{Q^p} (q + \frac{1}{2})(n - 6)),$$

$$\text{TypeB} : G_q^p = g^p[n] \cos(\frac{2\pi}{Q^p} q(n - 6)),$$

where  $g^p$  represents the prototype filters in QMF subband p.  $Q^p$  represents the number of sub-subbands in QMF subband p, q the sub-subband index in QMF channel p and n the time index. The prototype filters are all of length 13 and have a delay of 6 QMF samples. The prototype filters are listed in Table 8.37 and Table 8.38 for the 10,20 and the 34 stereo bands configuration respectively.

**Table 8.37 — Prototype filter coefficients for the filters that split the lower QMF subbands for the 10 and 20 stereo bands configuration.**

$n$	$g^0[n], Q^0=8$	$g^{1,2}[n], Q^{1,2}=2$
0	0.00746082949812	0
1	0.02270420949825	0.01899487526049
2	0.04546865930473	0
3	0.07266113929591	-0.07293139167538
4	0.09885108575264	0
5	0.11793710567217	0.30596630545168
6	0.125	0.5
7	0.11793710567217	0.30596630545168
8	0.09885108575264	0
9	0.07266113929591	-0.07293139167538
10	0.04546865930473	0
11	0.02270420949825	0.01899487526049
12	0.00746082949812	0

**Table 8.38 — Prototype filter coefficients for the filters that split the lower QMF subbands for the 34 stereo bands configuration.**

$n$	$g^0[n], Q^0=12$	$g^1[n], Q^1=8$	$g^{2,3,4}, Q^{2,3,4}=4$
0	0.04081179924692	0.01565675600122	-0.05908211155639
1	0.03812810994926	0.03752716391991	-0.04871498374946
2	0.05144908135699	0.05417891378782	0
3	0.06399831151592	0.08417044116767	0.07778723915851
4	0.07428313801106	0.10307344158036	0.16486303567403
5	0.08100347892914	0.12222452249753	0.23279856662996
6	0.08333333333333	0.12500000000000	0.25000000000000
7	0.08100347892914	0.12222452249753	0.23279856662996
8	0.07428313801106	0.10307344158036	0.16486303567403
9	0.06399831151592	0.08417044116767	0.07778723915851
10	0.05144908135699	0.05417891378782	0
11	0.03812810994926	0.03752716391991	-0.04871498374946
12	0.04081179924692	0.01565675600122	-0.05908211155639

Figure 8.20 and Figure 8.21 illustrate the hybrid analysis and synthesis filterbank for the 10 and 20 stereo bands configuration respectively. Figure 8.22 and Figure 8.23 illustrate the hybrid analysis and synthesis filterbank for the 34 stereo bands configuration respectively. Note that for the 10 and 20 stereo bands configuration, sub-subbands have been combined into a single sub-subband.

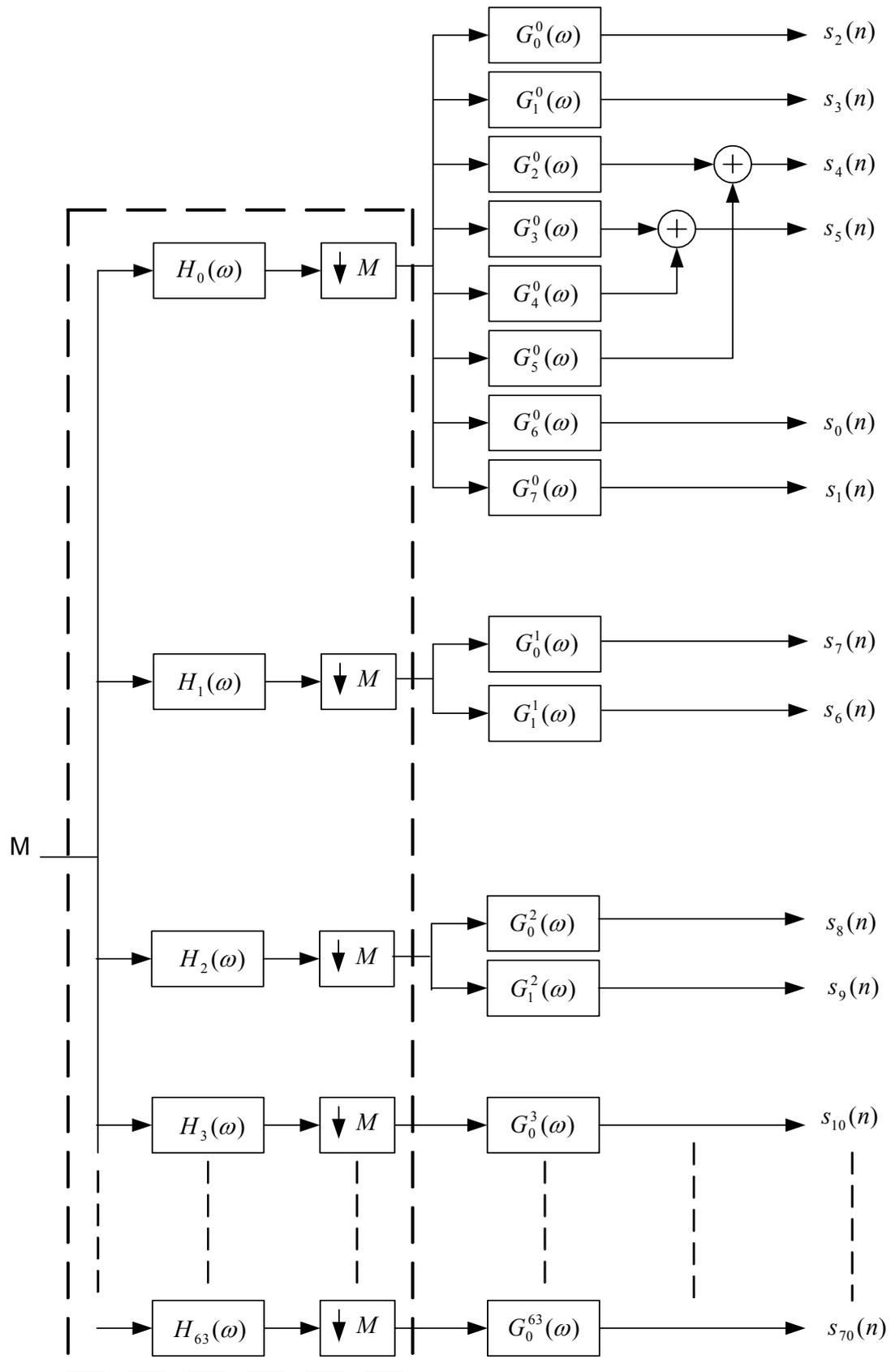


Figure 8.20 — Hybrid QMF analysis filterbank for the 10 and 20 stereo-bands configuration. The lower subbands of the 64 QMF (see dashed box) are further split to provide for increased resolution for the lower frequencies

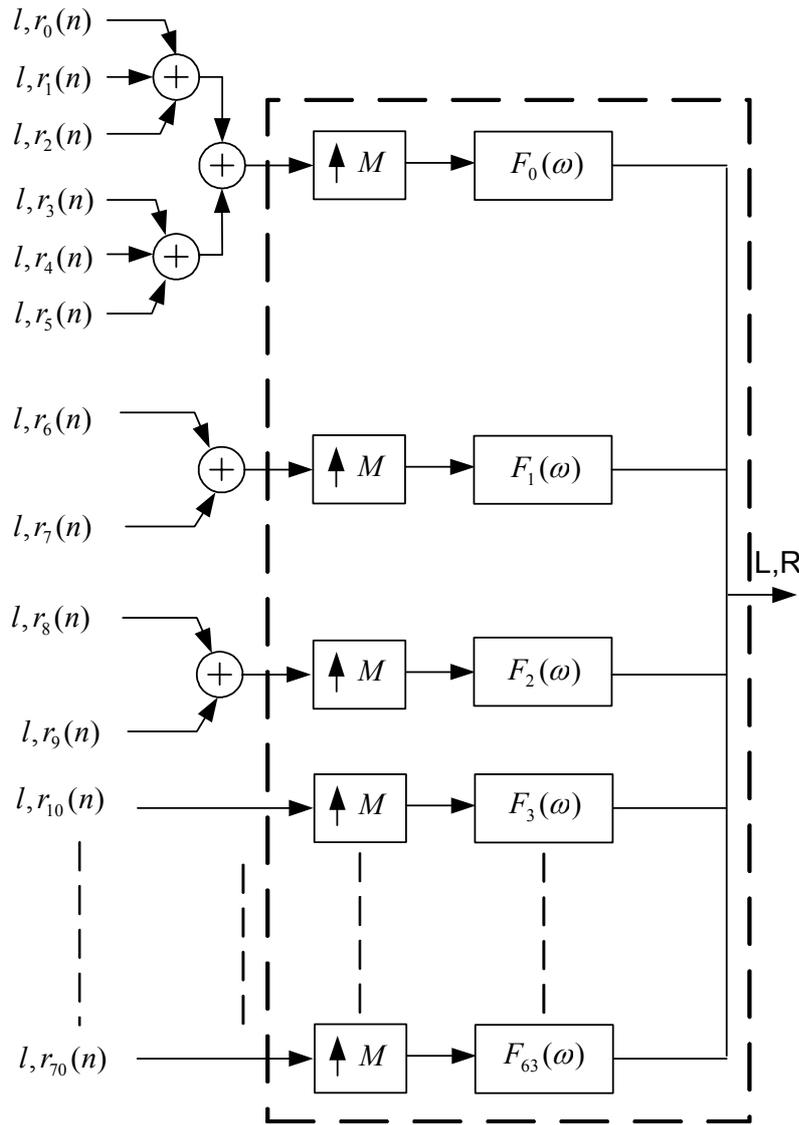


Figure 8.21 — Hybrid QMF synthesis filterbank for the 10 and 20 stereo-bands configuration. The coefficients offering higher resolution for the lower QMF channel are simply added prior to the synthesis with the 64 subbands QMF (see dashed box)

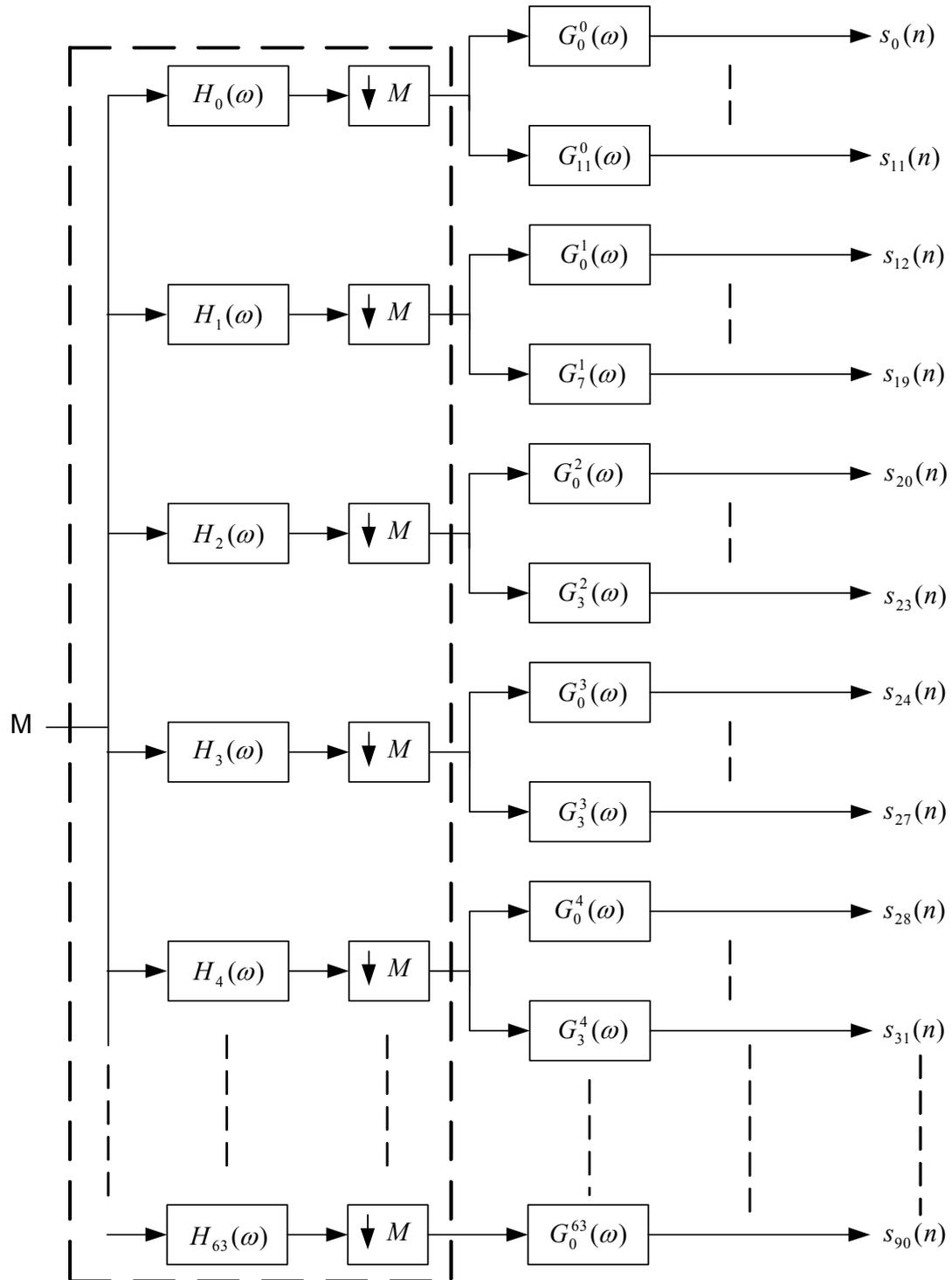
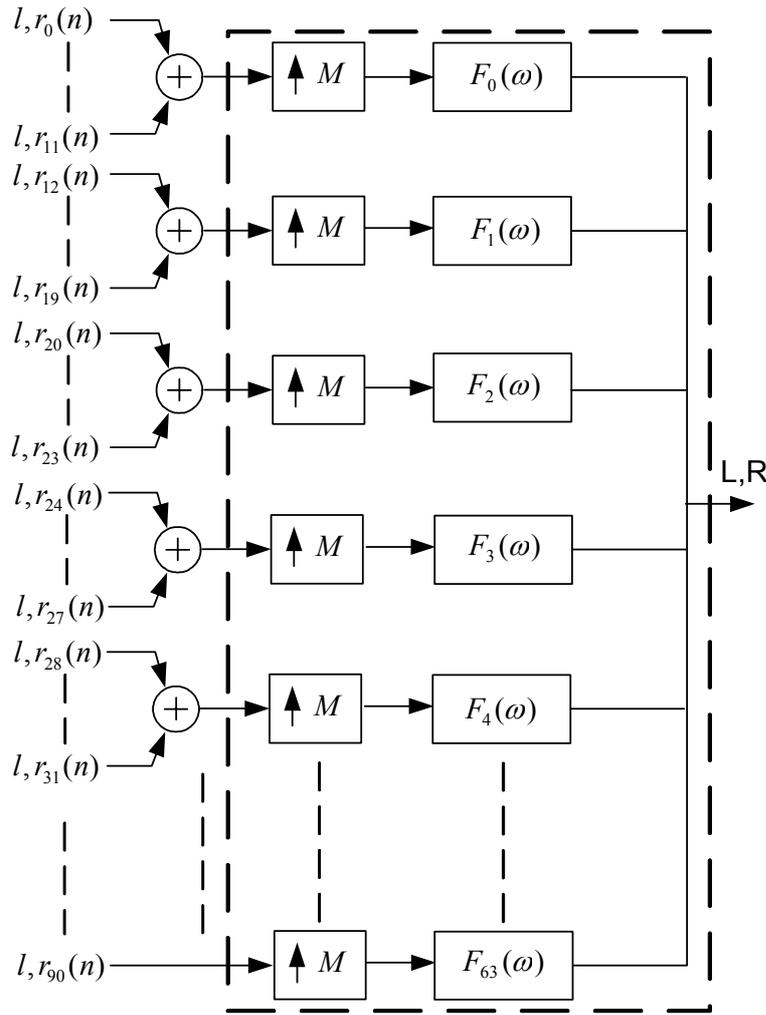


Figure 8.22 — Hybrid QMF analysis filterbank for the 34 stereo-bands configuration. The lower subbands of the 64 QMF (see dashed box) are further split to provide for increased resolution for the lower frequencies



**Figure 8.23 — Hybrid QMF synthesis filterbank for the 34 stereo-bands configuration. The coefficients offering higher resolution for the lower QMF subbands are simply added prior to the synthesis with the 64 subbands QMF (see dashed box)**

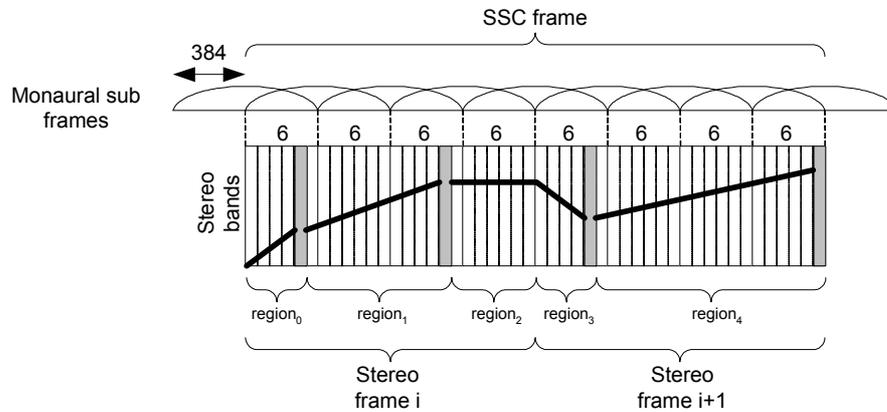
In order to time align all the samples originating from the hybrid filterbank, the remaining QMF subbands that have not been filtered are delay compensated. This delay amounts to 6 QMF subband samples. This means  $G_0^k(z) = z^{-6}$  for  $k=[3...63]$  (10,20 stereo bands) or  $k=[5...63]$  (34 stereo bands). In order to compensate for the overall delay of the hybrid analysis filterbank, the first 10 sets (6 from delay and 4 from QMF filter) of hybrid subbands are flushed and therefore not taken into account for processing. Note that in Figure 8.24 this delay has already been accounted for.

The resultant of this operation is a slot of hybrid subband samples consisting of a LF (low frequency) sub QMF subband portion and HF (high frequency) QMF subband portion. As an illustration, see the hybrid slot in Figure 8.24.

#### 8.6.4.4 Framing

One frame of parametric audio comprises two stereo frames of data. Stereo parameters within a stereo frame can be assigned to one or more slots. The stereo frame boundaries and the positions  $n_e$  of the slots that have been assigned stereo parameters to, define so called regions. Stereo parameters are defined for the last slot in a region. By means of these regions transients can be effectively handled. As illustrated by the bold solid lines in Figure 8.24, stereo parameters for non-assigned slots are obtained by means of interpolation. For the very first region (region<sub>0</sub>), interpolation is performed with respect to the default parameters for index=0 (i.e. IID=0, ICC=1 and IPD=OPD=0). Any existing slots after the last assigned slot in the stereo frame obtain the

same stereo parameters from this last assigned slot (region<sub>2</sub>). At stereo-frame borders (region<sub>3</sub>), interpolation for the first region is performed with respect to the last slot in the previous frame. The stereo reconstruction is applied per region. For simplicity, the envelope index is only indicated when applicable.



**Figure 8.24 — One SSC frame comprises two stereo frames of data. The solid line illustrates the interpolation between stereo parameters for slots that have not been assigned stereo parameters to. Note that the delay introduced by the hybrid QMF analysis filterbank has been compensated for in this figure**

**8.6.4.5 De-correlation**

By means of all-pass filtering and delaying, the sub subband samples  $s_k(n)$  are converted into de-correlated sub subband samples  $d_k(n)$ , where  $k$  represents the frequency in the hybrid spectrum and  $n$  the time index.

**8.6.4.5.1 Constants**

$DECAY\_SLOPE = 0.05$  is the all-pass filter decay slope.

$NR\_ALLPASS\_LINKS = 3$  is the number of filter links for the all-pass filter.

$NR\_PAR\_BANDS$  is the number of frequency bands that can be addressed by the parameter index,  $b(k)$  (see Table 8.48 and Table 8.49).

$$NR\_PAR\_BANDS = \begin{cases} 20 & , 10 \text{ or } 20 \text{ stereo bands} \\ 34 & , 34 \text{ stereo bands} \end{cases}$$

$NR\_BANDS$  is the number of frequency bands that can be addressed by the sub subband index,  $k$ .

$$NR\_BANDS = \begin{cases} 71 & , 10 \text{ or } 20 \text{ stereo bands} \\ 91 & , 34 \text{ stereo bands} \end{cases}$$

$DECAY\_CUTOFF$  is the start frequency band for the all-pass filter decay slope.

$$DECAY\_CUTOFF = \begin{cases} 10 & , 10 \text{ or } 20 \text{ stereo bands} \\ 32 & , 34 \text{ stereo bands} \end{cases}$$

$NR\_ALLPASS\_BANDS$  is the number of all-pass filter bands.

$$NR\_ALLPASS\_BANDS = \begin{cases} 30 & , 10 \text{ or } 20 \text{ stereo bands} \\ 50 & , 34 \text{ stereo bands} \end{cases}$$

$SHORT\_DELAY\_BAND$  is the first stereo band using the short, one sample delay.

$$SHORT\_DELAY\_BAND = \begin{cases} 42 & , 10 \text{ or } 20 \text{ stereo bands} \\ 62 & , 34 \text{ stereo bands} \end{cases}$$

$a_{Smooth} = 0.25$  is the smoothing coefficient.

#### 8.6.4.5.2 Calculate decorrelated signal, $d_k(z)$

The decorrelation process for the first  $NR\_ALLPASS\_BANDS$  frequency bands of  $s_k(n)$  is based on an all-pass filter described in the Z-domain below. Its transfer function for each band,  $k$  is defined by:

$$\mathbf{H}_k(z) = z^{-2} \cdot \varphi_{Fract}(k) \cdot \prod_{m=0}^{NR\_ALLPASS\_LINKS-1} \frac{\mathbf{Q}_{Fract\_allpass}(k, m) z^{-d(m)} - \mathbf{a}(m) \mathbf{g}_{DecaySlope}(k)}{1 - \mathbf{a}(m) \mathbf{g}_{DecaySlope}(k) \mathbf{Q}_{Fract\_allpass}(k, m) z^{-d(m)}}$$

for  $0 \leq k < NR\_ALLPASS\_BANDS$ .

The fractional delay length matrix,  $\mathbf{Q}_{Fract\_allpass}(k, m)$  and the fractional delay vector,  $\varphi_{Fract}(k)$  are defined, by:

$$\mathbf{Q}_{Fract\_allpass}(k, m) = \exp(-i\pi \mathbf{q}(m) \mathbf{f}_{center}(k)) \quad , \quad \begin{cases} 0 \leq k < NR\_ALLPASS\_BANDS \\ 0 \leq m < NUM\_OF\_LINKS \end{cases}$$

and

$$\varphi_{Fract}(k) = \exp(-i\pi q_\varphi \mathbf{f}_{center}(k)) \quad , \quad 0 \leq k < NR\_ALLPASS\_BANDS$$

where  $i = \sqrt{-1}$  denotes the imaginary unit. For the frequency vector,  $\mathbf{f}_{center}$ , the fractional delay length vector, see Table 8.40 and Table 8.41. The vector  $\mathbf{q}(k)$  is defined in Table 8.42. The fractional delay length constant,  $q_\varphi = 0.39$ .

For the filter coefficient vector  $\mathbf{a}(m)$  and the delay length vector  $\mathbf{d}(m)$  see Table 8.39.

The vector  $\mathbf{g}_{DecaySlope}$  contains time invariant factors for making the all-pass filter frequency variant. It is defined by:

$$\mathbf{g}_{DecaySlope}(k) = \begin{cases} \max\langle 0, 1 - DECAF\_SLOPE \cdot (k - DECAF\_CUTOFF) \rangle & , k > DECAF\_CUTOFF \\ 1 & , otherwise \end{cases}$$

for  $0 \leq k < NR\_ALLPASS\_BANDS$ .

For the upper bands, i.e. for  $NR\_ALLPASS\_BANDS \leq k < NR\_BANDS$  the transfer function,  $\mathbf{H}_k(z)$  equals a delay according to:

$\mathbf{H}_k(z) = z^{-D(k)}$ , where  $D(k)$  is defined by:

$$D(k) = \begin{cases} 14 & , NR\_ALLPASS\_BANDS \leq k < SHORT\_DELAY\_BAND \\ 1 & , SHORT\_DELAY\_BAND \leq k < NR\_BANDS \end{cases}$$

#### 8.6.4.5.3 Perform transient detection

To be able to handle transients and other fast time-envelopes, the all-pass filter has to be attenuated at those signals. It is done by the following scheme:

First define the input power matrix,  $\mathbf{P}(i, n)$  that contains the sum of the squared sub subband samples of each parameter band. As indicated in Figure 8.25,  $n$  runs from  $n_0$  to  $n_{L-1}$ .

$$\mathbf{P}(i, n) = \sum_{i=b(k)} |s_k(n)|^2 \quad , 0 \leq i < NR\_PAR\_BANDS ,$$

where  $b(k)$  is defined in Table 8.48 and Table 8.49. Apply peak decay on the input power signal according to:

$$\mathbf{P}_{PeakDecayNrg}(i, n) = \begin{cases} \mathbf{P}(i, n) & , \alpha \mathbf{P}_{PeakDecayNrg}(i, n-1) < \mathbf{P}(i, n) \\ \alpha \mathbf{P}_{PeakDecayNrg}(i, n-1) & , otherwise \end{cases}$$

for  $0 \leq i < NR\_PAR\_BANDS$ .  $\alpha$  is the peak decay factor defined in Table 8.43.

Subsequently, filter the input power and peak decay power signals with the Z-domain transfer function,

$$H_{Smooth}(z):$$

$$\mathbf{P}_{SmoothNrg}(i, z) = H_{Smooth}(z) \cdot \mathbf{P}(i, z),$$

$$\mathbf{P}_{SmoothPeakDecayDiffNrg}(i, z) = H_{Smooth}(z) \cdot (\mathbf{P}_{PeakDecayNrg}(i, z) - \mathbf{P}(i, z)),$$

for  $0 \leq i < NR\_PAR\_BANDS$ , where

$$H_{Smooth}(z) = \frac{a_{Smooth}}{1 + (a_{Smooth} - 1) \cdot z^{-1}}.$$

The transient attenuator,  $G_{TransientRatio}$  is then calculated as follows:

$$G_{TransientRatio}(i, n) = \begin{cases} \frac{P_{SmoothNrg}(i, n)}{\gamma \cdot P_{SmoothPeakDecayDiffNrg}(i, n)}, & \gamma \cdot P_{SmoothPeakDecayDiffNrg}(i, n) > P_{SmoothNrg}(i, n), \\ 1, & \text{otherwise} \end{cases}$$

for  $0 \leq i < NR\_PAR\_BANDS$ , where  $\gamma = 1.5$  is a transient impact factor.

Finally map the transient attenuator,  $G_{TransientRatio}$  to bands according to:

$$G_{TransientRatioMapped}(k, n) = G_{TransientRatio}(b(k), n), \quad 0 \leq k < NR\_BANDS.$$

**8.6.4.5.4 Apply transient reduction to decorrelated signal**

Let  $d_k(z)$  be the decorrelated signal and  $s_k(z)$  the mono input signal in the Z-domain for each band. Then  $d_k(z)$  is defined according to:

$$d_k(z) = G_{TransientRatioMapped}(k, z) \cdot H_k(z) \cdot s_k(z), \quad \text{where } 0 \leq k < NR\_BANDS.$$

**Table 8.39 — Filter coefficient vector, delay length vectors  $d_{24kHz}(m)$  and  $d_{48kHz}(m)$ .**

$m$	$a(m)$	$d(m)$
0	0.65143905753106	3
1	0.56471812200776	4
2	0.48954165955695	5

**Table 8.40 — Delay length vector  $f_{center\_20}$**

$k$	$f_{center\_20}(k)$	$k$	$f_{center\_20}(k)$
0	-3/8	5	7/8
1	-1/8	6	5/4
2	1/8	7	7/4
3	3/8	8	9/4
4	5/8	9	11/4

**Table 8.41 — Delay length vector  $f_{center\_34}$**

$k$	$f_{center\_34}(k)$	$k$	$f_{center\_34}(k)$
0	1/12	16	9/8
1	3/12	17	11/8
2	5/12	18	13/8
3	7/12	19	15/8
4	9/12	20	9/4
5	11/12	21	11/4
6	13/12	22	13/4
7	15/12	23	7/4
8	17/12	24	17/4
9	-5/12	25	11/4
10	-3/12	26	13/4
11	-1/12	27	15/4
12	17/8	28	17/4
13	19/8	29	19/4
14	5/8	30	21/4
15	7/8	31	15/4

$$f_{center\_20}(k) = k + \frac{1}{2} - 7, 10 \leq k < NR\_ALLPASS\_BANDS,$$

$$f_{center\_34}(k) = k + \frac{1}{2} - 27, 32 \leq k < NR\_ALLPASS\_BANDS,$$

$$f_{center} = \begin{cases} f_{center\_20}, & NR\_PAR\_BANDS = 20 \\ f_{center\_34}, & NR\_PAR\_BANDS = 34 \end{cases}$$

**Table 8.42 — Fractional delay length vector  $q(m)$**

$m$	$q(m)$
0	0.43
1	0.75
2	0.347

**Table 8.43 — Peak Decay Factors  $\alpha$**

$\alpha$	0.76592833836465
----------	------------------

**8.6.4.6 Stereo Processing**

The sets of sub subband samples  $s_k(n)$  and  $d_k(n)$  are now processed according to the stereo cues. These cues are defined per stereo band. All the hybrid subband samples within a stereo band are processed according to the cues in that respective stereo band. Table 8.48 and Table 8.49 indicate the hybrid subband samples that fall into each stereoband for the (10,20) and 34 stereoband configuration.  $k$  traverses the range from [0...70] or [0...90] for the (10,20) or 34 stereoband configuration respectively.

**8.6.4.6.1 Mapping**

The number of stereo bands that is actually used for the processing of the cues depends on the number of available parameters for IID and ICC according to the relation given in Table 8.44. In case no IID or no ICC parameters have been transmitted in the current frame (`enable_iid==%0` or `enable_icc==%0`), the number of IID or ICC parameters, respectively, is assumed to be 20 for the purpose of Table 8.44. In case no IID and no ICC parameters have been transmitted in the current frame (`enable_iid==%0` and `enable_icc==%0`), the number of stereo bands in the previous frame is kept unchanged and used also for the processing of the current frame.

**Table 8.44 — The number of stereo bands depends on the number of parameters for IID and ICC**

Number of IID parameters	number of ICC parameters	number of stereo bands
10	10	20 (i.e., 10,20 stereo band configuration)
10	20	
20	10	
20	20	
10,20	34	34
34	10,20	
34	34	

In the case the number of parameters for IID and ICC differs from the number of stereo bands, as dictated in Table 8.44, a mapping from the lower number to the higher number of parameters is required. For the mapping from 10 to 20 parameters this is realised by duplicating every parameter as shown in Table 8.45. For the mapping from 20 to 34 parameters this is realized according to Table 8.45. For the mapping from 10 to 34 parameters, the 10 parameters are first mapped to 20 parameters and subsequently to 34 parameters. Table 8.46 provides the inverse mapping from 34 to 20 parameters.

Table 8.45 — Mapping from 10 to 20 to 34 parameters

parameter grid			parameter grid		
34	20	10	34	20	10
idx <sub>0</sub>	idx <sub>0</sub>	idx <sub>0</sub>	idx <sub>17</sub>	idx <sub>11</sub>	idx <sub>5</sub>
idx <sub>1</sub>	(idx <sub>0</sub> +idx <sub>1</sub> )/2	idx <sub>0</sub>	idx <sub>18</sub>	idx <sub>12</sub>	idx <sub>6</sub>
idx <sub>2</sub>	idx <sub>1</sub>	idx <sub>0</sub>	idx <sub>19</sub>	idx <sub>13</sub>	idx <sub>6</sub>
idx <sub>3</sub>	idx <sub>2</sub>	idx <sub>1</sub>	idx <sub>20</sub>	idx <sub>14</sub>	idx <sub>7</sub>
idx <sub>4</sub>	(idx <sub>2</sub> +idx <sub>3</sub> )/2	idx <sub>1</sub>	idx <sub>21</sub>	idx <sub>14</sub>	idx <sub>7</sub>
idx <sub>5</sub>	idx <sub>3</sub>	idx <sub>1</sub>	idx <sub>22</sub>	idx <sub>15</sub>	idx <sub>7</sub>
idx <sub>6</sub>	idx <sub>4</sub>	idx <sub>2</sub>	idx <sub>23</sub>	idx <sub>15</sub>	idx <sub>7</sub>
idx <sub>7</sub>	idx <sub>4</sub>	idx <sub>2</sub>	idx <sub>24</sub>	idx <sub>16</sub>	idx <sub>8</sub>
idx <sub>8</sub>	idx <sub>5</sub>	idx <sub>2</sub>	idx <sub>25</sub>	idx <sub>16</sub>	idx <sub>8</sub>
idx <sub>9</sub>	idx <sub>5</sub>	idx <sub>2</sub>	idx <sub>26</sub>	idx <sub>17</sub>	idx <sub>8</sub>
idx <sub>10</sub>	idx <sub>6</sub>	idx <sub>3</sub>	idx <sub>27</sub>	idx <sub>17</sub>	idx <sub>8</sub>
idx <sub>11</sub>	idx <sub>7</sub>	idx <sub>3</sub>	idx <sub>28</sub>	idx <sub>18</sub>	idx <sub>9</sub>
idx <sub>12</sub>	idx <sub>8</sub>	idx <sub>4</sub>	idx <sub>29</sub>	idx <sub>18</sub>	idx <sub>9</sub>
idx <sub>13</sub>	idx <sub>8</sub>	idx <sub>4</sub>	idx <sub>30</sub>	idx <sub>18</sub>	idx <sub>9</sub>
idx <sub>14</sub>	idx <sub>9</sub>	idx <sub>4</sub>	idx <sub>31</sub>	idx <sub>18</sub>	idx <sub>9</sub>
idx <sub>15</sub>	idx <sub>9</sub>	idx <sub>4</sub>	idx <sub>32</sub>	idx <sub>19</sub>	idx <sub>9</sub>
idx <sub>16</sub>	idx <sub>10</sub>	idx <sub>5</sub>	idx <sub>33</sub>	idx <sub>19</sub>	idx <sub>9</sub>

Table 8.46 — Mapping of IID, ICC, IPD and OPD parameters from 34 stereo bands to 20 stereo bands. For IPD and OPD parameters, this mapping applies up to and including idx<sub>10</sub> and idx<sub>16</sub> for 20 and 34 stereo bands respectively

20 stereo bands	34 stereo bands
idx <sub>0</sub>	(2*idx <sub>0</sub> +idx <sub>1</sub> )/3
idx <sub>1</sub>	(idx <sub>1</sub> +2*idx <sub>2</sub> )/3
idx <sub>2</sub>	(2*idx <sub>3</sub> +idx <sub>4</sub> )/3
idx <sub>3</sub>	(idx <sub>4</sub> +2*idx <sub>5</sub> )/3
idx <sub>4</sub>	(idx <sub>6</sub> +idx <sub>7</sub> )/2
idx <sub>5</sub>	(idx <sub>8</sub> +idx <sub>9</sub> )/2
idx <sub>6</sub>	idx <sub>10</sub>
idx <sub>7</sub>	idx <sub>11</sub>
idx <sub>8</sub>	(idx <sub>12</sub> +idx <sub>13</sub> )/2
idx <sub>9</sub>	(idx <sub>14</sub> +idx <sub>15</sub> )/2
idx <sub>10</sub>	idx <sub>16</sub>
idx <sub>11</sub>	idx <sub>17</sub>
idx <sub>12</sub>	idx <sub>18</sub>
idx <sub>13</sub>	idx <sub>19</sub>
idx <sub>14</sub>	(idx <sub>20</sub> +idx <sub>21</sub> )/2
idx <sub>15</sub>	(idx <sub>22</sub> +idx <sub>23</sub> )/2
idx <sub>16</sub>	(idx <sub>24</sub> +idx <sub>25</sub> )/2
idx <sub>17</sub>	(idx <sub>26</sub> +idx <sub>27</sub> )/2
idx <sub>18</sub>	(idx <sub>28</sub> +idx <sub>29</sub> +idx <sub>30</sub> +idx <sub>31</sub> )/4
idx <sub>19</sub>	(idx <sub>32</sub> +idx <sub>33</sub> )/2

The averaging process denoted by e.g. (idx<sub>0</sub> + idx<sub>1</sub>)/2 in Table 8.45 and (2\*idx<sub>0</sub> + idx<sub>1</sub>)/2 in Table 8.46 is carried out for the integer index representation idx<sub>k</sub> of the IID or ICC parameters prior to dequantization, according to ANSI-C integer arithmetic.

The IPD/OPD parameters follow the mapping for the IID parameters, taking into account the relative amount of parameters for IPD/OPD as given by Table 8.24. Consequently the same mapping as for IID is applied, but only for a lower number of parameters for IPD/OPD. For the upper stereo bands, where no IPD/OPD data is transmitted, the IPD/OPD parameters are set to zero.

If the number of stereo bands changes from 10,20 in the previous frame to 34 in the current frame, the coefficients  $h_{11}(b)$ ,  $h_{12}(b)$ ,  $h_{21}(b)$ , and  $h_{22}(b)$  at the end of the previous frame are mapped from 20 to 34 stereo bands according to Table 8.45 (by substituting  $idx_b$  by  $h_{ij}(b)$ , where  $ij$  is 11, 12, 21, or 22). Then the coefficients  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$  and  $H_{22}(k,n)$  for the end of the previous frame are derived according to the four equations given in subclause 8.6.4.6.3 prior to further processing as defined in subclause 8.6.4.6.4. prior The IPD/OPD smoothing state variables are reset, i.e.,  $opd(b, n_{e-1}) = 0$ ,  $ipd(b, n_{e-1}) = 0$ ,  $opd(b, n_e) = 0$ , and  $ipd(b, n_e) = 0$ . The frequency resolution of the hybrid QMF analysis filterbank (see subclause 8.6.4.3) is changed instantaneously to the 34 stereo band configuration. The state variable of the decorrelation process are reset to zero (see Table 8.47).

If the number of stereo bands changes from 34 in the previous frame to 10,20 in the current frame, the coefficients  $h_{11}(b)$ ,  $h_{12}(b)$ ,  $h_{21}(b)$ , and  $h_{22}(b)$  at the end of the previous frame are mapped from 34 to 20 stereo bands according to Table 8.46 (by substituting  $idx_b$  by  $h_{ij}(b)$ , where  $ij$  is 11, 12, 21, or 22). Then the coefficients  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$  and  $H_{22}(k,n)$  for the end of the previous frame are derived according to the four equations given in subclause 8.6.4.6.3 prior to further processing as defined in subclause 8.6.4.6.4. The IPD/OPD smoothing state variables are reset, i.e.,  $opd(b, n_{e-1}) = 0$ ,  $ipd(b, n_{e-1}) = 0$ ,  $opd(b, n_e) = 0$ , and  $ipd(b, n_e) = 0$ . The frequency resolution of the hybrid QMF analysis filterbank (see subclause 8.6.4.3) is changed instantaneously to the 20 stereo band configuration. The state variable of the decorrelation process are reset to zero (see Table 8.47).

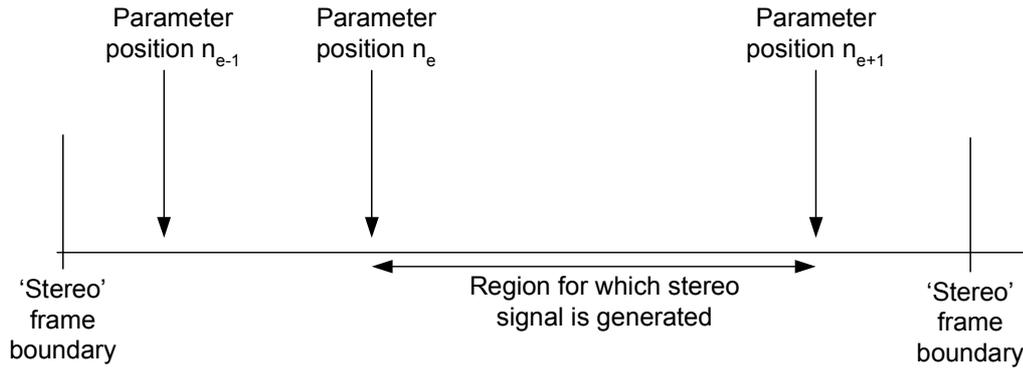
**Table 8.47 — Changing number of stereo bands.**

previous frame	current frame	
	10/20 bands	34 bands
10/20 bands	-	map $h_{ij}(b)$ according to Table 8.45, reset state variables
34 bands	map $h_{ij}(b)$ according to Table 8.46, reset state variables	-

**8.6.4.6.2 Mixing**

In order to generate the QMF subband signals for the subband samples  $n = n_e + 1 \dots n_{e+1}$  the parameters at position  $n_e$  and  $n_{e+1}$  are required as well as the subband domain signals  $s_k(n)$  and  $d_k(n)$  for  $n = n_e + 1 \dots n_{e+1}$  (see Figure 8.25). For IPD/OPD, the parameters at position  $n_{e-1}$  are needed in addition.  $n_e$  represents the start position for envelope e. In the case  $e=0$ ,  $n_{-1}$  represents the last parameter position of the previous stereo frame, For the first stereo frame the IPD/OPD parameters at position  $n_{-1}$  are initialized to zero. In the case `frameclass == %1 (VAR_BORDERS)`, the border positions  $n_e$  are obtained by `border_position[e]`. In the case `frameclass == %0 (FIX_BORDERS)`, the border positions  $n_e$  are obtained by means of

$$n_e = \left\lfloor \frac{numQMFSlots * (e + 1)}{num\_env} \right\rfloor - 1, \quad e = [0, \dots, num\_env - 1].$$



**Figure 8.25 — Region for which the stereo subband signals are generated**

The stereo sub subband signals are constructed as:

$$\begin{aligned} l_k(n) &= H_{11}(k,n)s_k(n) + H_{21}(k,n)d_k(n) \\ r_k(n) &= H_{12}(k,n)s_k(n) + H_{22}(k,n)d_k(n) \end{aligned}$$

In order to obtain the matrices  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$ ,  $H_{22}(k,n)$ , the vectors  $h_{11}(b)$ ,  $h_{12}(b)$ ,  $h_{21}(b)$ ,  $h_{22}(b)$  need to be calculated first, where the parameter  $b$  is used as parameter index. First for the parameter position  $n_{e+1}$  the intensity differences (IID) are transformed to the linear domain.

$$c(b) = 10^{\frac{iid(b)}{20}},$$

where  $iid(b)$  represents the decoded IID value for stereo band  $b$  in dB. Depending on the ICC mode configuration, either mixing procedure  $R_a$  or  $R_b$  is used, see Table 8.27. For both mixing procedures, the parameters for parameter position  $n_{e+1}$  are used.

#### 8.6.4.6.2.1 Mixing procedure $R_a$

In the case mixing procedure  $R_a$  is used the following method is applied.

From the intensity differences two scale-factor vectors  $c_1$  and  $c_2$  are calculated.

$$\begin{aligned} c_1(b) &= \frac{\sqrt{2}}{\sqrt{1+c^2(b)}} \\ c_2(b) &= \frac{\sqrt{2}c(b)}{\sqrt{1+c^2(b)}} \end{aligned}$$

From these and the ICC parameter  $\rho(b)$ , the coefficients  $h_{xy}(b)$  are calculated according to

$$\alpha(b) = \frac{1}{2} \arccos(\rho(b))$$

$$\beta(b) = \alpha(b) \frac{c_1(b) - c_2(b)}{\sqrt{2}}$$

$$h_{11}(b) = \cos(\alpha(b) + \beta(b)) c_2(b)$$

$$h_{12}(b) = \cos(\beta(b) - \alpha(b)) c_1(b)$$

$$h_{21}(b) = \sin(\alpha(b) + \beta(b)) c_2(b)$$

$$h_{22}(b) = \sin(\beta(b) - \alpha(b)) c_1(b)$$

#### 8.6.4.6.2.2 Mixing procedure R<sub>b</sub>

In the case mixing procedure R<sub>b</sub> is used the following method is applied.

In order to prevent instabilities, in the case the value of  $\rho(b)$  is smaller than 0.05,  $\rho(b)$  is set to 0.05. In the case  $c(b)$  is not equal to 1

$$\alpha(b) = \frac{1}{2} \arctan\left(\frac{2c(b)\rho(b)}{c^2(b)-1}\right),$$

otherwise  $\alpha(b) = \frac{\pi}{4}$ . After modulo correction of  $\alpha(b)$ , again the value of  $c(b)$  and  $\rho(b)$  are used to derive the coefficients  $h_{xy}(b)$ .

$$\alpha(b) = \alpha(b) - \left\lfloor \frac{\alpha(b)}{\frac{1}{2}\pi} \right\rfloor \frac{1}{2}\pi,$$

$$\mu(b) = 1 + \frac{4\rho^2(b) - 4}{(c(b) + c^{-1}(b))^2},$$

$$\gamma(b) = \arctan\left(\sqrt{\frac{1 - \sqrt{\mu(b)}}{1 + \sqrt{\mu(b)}}}\right),$$

$$h_{11}(b) = \sqrt{2} \cos(\alpha(b)) \cos(\gamma(b)),$$

$$h_{12}(b) = \sqrt{2} \sin(\alpha(b)) \cos(\gamma(b)),$$

$$h_{21}(b) = -\sqrt{2} \sin(\alpha(b)) \sin(\gamma(b)),$$

$$h_{22}(b) = \sqrt{2} \cos(\alpha(b)) \sin(\gamma(b)).$$

#### 8.6.4.6.3 Phase parameters

##### 8.6.4.6.3.1 Phase parameters disabled

In the case IPD and OPD are disabled as indicated by (`enable_ipdopd==0`) the following procedure is applied. In order to obtain  $H_{11}(k, n_{e+1})$ ,  $H_{12}(k, n_{e+1})$ ,  $H_{21}(k, n_{e+1})$  and  $H_{22}(k, n_{e+1})$  we use the following equations

$$\begin{aligned}
 H_{11}(k, n_{e+1}) &= h_{11}(b(k)) \\
 H_{12}(k, n_{e+1}) &= h_{12}(b(k)) \\
 H_{21}(k, n_{e+1}) &= h_{21}(b(k)) \\
 H_{22}(k, n_{e+1}) &= h_{22}(b(k))
 \end{aligned}$$

where  $b(k)$  is defined in Table 8.48 and Table 8.49.

#### 8.6.4.6.3.2 Phase parameters enabled

In the case IPD and OPD are enabled as indicated by (enable\_ipdopd==1) the following procedure is applied. First the IPD and OPD values are smoothed over time according to

$$\begin{aligned}
 \varphi_{opd}(b) &= \angle \left\{ \frac{1}{4} \exp(j \cdot opd(b, e-1)) + \frac{1}{2} \exp(j \cdot opd(b, e)) + \exp(j \cdot opd(b, e+1)) \right\} \\
 \varphi_{ipd}(b) &= \angle \left\{ \frac{1}{4} \exp(j \cdot ipd(b, e-1)) + \frac{1}{2} \exp(j \cdot ipd(b, e)) + \exp(j \cdot ipd(b, e+1)) \right\}
 \end{aligned}$$

In the case the number of IPD/OPD parameters for parameter position  $n_{e-1}$  and/or  $n_e$  are different from the number of IPD/OPD parameters for parameter position  $n_{e+1}$ , these are mapped to the number of IPD/OPD parameters for parameter position  $n_{e+1}$  using Table 8.45 and Table 8.46.

$$\begin{aligned}
 \varphi_1(b) &= \varphi_{opd}(b) \\
 \varphi_2(b) &= \varphi_{opd}(b) - \varphi_{ipd}(b)
 \end{aligned}$$

Second, the vectors  $h_{11}(b)$ ,  $h_{12}(b)$ ,  $h_{21}(b)$ ,  $h_{22}(b)$  are modified according to:

$$\begin{aligned}
 h_{11}(b) &= h_{11}(b) \cdot \exp(j\varphi_1(b)) \\
 h_{12}(b) &= h_{12}(b) \cdot \exp(j\varphi_2(b)) \\
 h_{21}(b) &= h_{21}(b) \cdot \exp(j\varphi_1(b)) \\
 h_{22}(b) &= h_{22}(b) \cdot \exp(j\varphi_2(b))
 \end{aligned}$$

In order to obtain  $H_{11}(k, n_{e+1})$ ,  $H_{12}(k, n_{e+1})$ ,  $H_{21}(k, n_{e+1})$  and  $H_{22}(k, n_{e+1})$  the following equations are used

$$\begin{aligned}
 H_{11}(k, n_{e+1}) &= h_{11}(b(k)) \\
 H_{12}(k, n_{e+1}) &= h_{12}(b(k)) \\
 H_{21}(k, n_{e+1}) &= h_{21}(b(k)) \\
 H_{22}(k, n_{e+1}) &= h_{22}(b(k))
 \end{aligned}$$

where  $b(k)$  is defined in Table 8.48 and Table 8.49. For indices denoted with a \* the following equations are used

$$H_{11}(k, n_{e+1}) = h_{11} * (b(k))$$

$$H_{12}(k, n_{e+1}) = h_{12} * (b(k))$$

$$H_{21}(k, n_{e+1}) = h_{21} * (b(k))'$$

$$H_{22}(k, n_{e+1}) = h_{22} * (b(k))$$

where  $h_{xx}^*$  represents the complex conjugate of  $h_{xx}$ .

**Table 8.48 — Mapping of parameters from 20 bands to 71 sub subbands**

sub subband index $k$	QMF channel	Parameter index $b(k)$	
0	0	1	Sub QMF
1	0	0	
2	0	0	
3	0	1	
4	0	2	
5	0	3	
6	1	4	
7	1	5	
8	2	6	
9	2	7	
10	3	8	
11	4	9	
12	5	10	
13	6	11	
14	7	12	
15	8	13	
16-17	9-10	14	
18-20	11-13	15	
21-24	14-17	16	
25-29	18-22	17	
30-41	23-34	18	
42-70	35-63	19	

Table 8.49 — Mapping of parameters from 34 bands to 91 sub subbands

Sub subband index $k$	QMF channel	Parameter index $b(k)$		
0	0	0	Sub QMF	
1	0	1		
2	0	2		
3	0	3		
4	0	4		
5	0	5		
6-7	0	6		
8	0	7		
9	0	2		
10	0	1		
11	0	0		
12-13	1	10		
14	1	4		
15	1	5		
16	1	6		
17	1	7		
18	1	8		
19	1	9		
20	2	10		
21	2	11		
22	2	12		
23	2	9		
24	3	14		
25	3	11		
26	3	12		
27	3	13		
28	4	14		
29	4	15		
30	4	16		
31	4	13		
32	5	16		QMF (only)
33	6	17		
34	7	18		
35	8	19		
36	9	20		
37	10	21		
38-39	11-12	22		
40-41	13-14	23		
42-43	15-16	24		
44-45	17-18	25		
46-47	19-20	26		
48-50	21-23	27		
51-53	24-26	28		
54-56	27-29	29		
57-59	30-32	30		
60-63	33-36	31		
64-67	37-40	32		
68-90	41-63	33		

#### 8.6.4.6.4 Interpolation

The intermediate values for  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$  and  $H_{22}(k,n)$  at positions  $n = n_e + 1 \dots n_{e+1}$  are obtained by means of linear interpolation conforming to.

$$H_{11}(k, n) = H_{11}(k, n_e) + (n - n_e) \frac{H_{11}(k, n_{e+1}) - H_{11}(k, n_e)}{n_{e+1} - n_e}$$

$$H_{12}(k, n) = H_{12}(k, n_e) + (n - n_e) \frac{H_{12}(k, n_{e+1}) - H_{12}(k, n_e)}{n_{e+1} - n_e}$$

$$H_{21}(k, n) = H_{21}(k, n_e) + (n - n_e) \frac{H_{21}(k, n_{e+1}) - H_{21}(k, n_e)}{n_{e+1} - n_e}$$

$$H_{22}(k, n) = H_{22}(k, n_e) + (n - n_e) \frac{H_{22}(k, n_{e+1}) - H_{22}(k, n_e)}{n_{e+1} - n_e}$$

Special cases:

a) For the first region of a stereo frame (see subclause 8.6.4.4), with  $n = 0 \dots n_0 - 1$  the following applies:

$$H_{11}(k, n) = H_{11}(k, n_{-1}) + n \frac{H_{11}(k, n_0) - H_{11}(k, n_{-1})}{n_0}$$

$$H_{12}(k, n) = H_{12}(k, n_{-1}) + n \frac{H_{12}(k, n_0) - H_{12}(k, n_{-1})}{n_0}$$

$$H_{21}(k, n) = H_{21}(k, n_{-1}) + n \frac{H_{21}(k, n_0) - H_{21}(k, n_{-1})}{n_0}$$

$$H_{22}(k, n) = H_{22}(k, n_{-1}) + n \frac{H_{22}(k, n_0) - H_{22}(k, n_{-1})}{n_0}$$

where  $H_{xx}(k, n_{-1})$  represents the  $H_{xx}(k, n_{num\_env-1})$  coefficients obtained from the previous stereo frame. Note that for the first stereo frame  $H_{xx}(k, n_{-1})$  is initialized to zero.

b) For the last region of a stereo frame (see 8.6.4.4) with  $n = n_{num\_env-1} \dots numQMFSlots - 1$  the following applies:

$$H_{11}(k, n) = H_{11}(k, n_{num\_env-1})$$

$$H_{12}(k, n) = H_{12}(k, n_{num\_env-1})$$

$$H_{21}(k, n) = H_{21}(k, n_{num\_env-1})$$

$$H_{22}(k, n) = H_{22}(k, n_{num\_env-1})$$

**8.6.4.6.5 Procedure for incomplete parameter sets**

In the case no parameters have been transmitted in the current frame for either IID, ICC, nor IPD/OPD or a combination thereof, the parameters values for the current frame are obtained according to the num\_env variable as given in, Table 8.50,

Table 8.51 and Table 8.52.

**Table 8.50 — Derivation of parameters for IID in the case no parameters are transmitted**

enable_iid
------------

	0	1
num_env=0	IID parameters set to default	IID parameters held
num_env>0	IID parameters set to default	n.a.

**Table 8.51 — Derivation of parameters for ICC in the case no parameters are transmitted**

	enable_icc	
	0	1
num_env=0	ICC parameters set to default	ICC parameters held
num_env>0	ICC parameters set to default	n.a.

**Table 8.52 — Derivation of parameters for IPD/OPD in the case no parameters are transmitted**

	enable_ipdopd	
	0	1
num_env=0	IPD/OPD parameters set to default	IPD/OPD parameters held
num_env>0	IPD/OPD parameters set to default	n.a.

In the case parameters are to be set to default, the parameters at the positions defined by  $n_e$  are set to their default value (index=0).

In the case the parameters are to be held, two situations are distinguished. If `enable_ipdopd==%1`, the four vectors  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$  and  $H_{22}(k,n)$  for all  $n=[0,\dots, numQMFSlots-1]$ , are copied from those same four vectors at position  $n=numQMFSlots-1$  in the previous `ps_data()` element. If `enable_ipdopd==%0`, the four vectors  $H_{11}(k,n)$ ,  $H_{12}(k,n)$ ,  $H_{21}(k,n)$  and  $H_{22}(k,n)$  for all  $n=[0,\dots, numQMFSlots-1]$ , are set to the four vectors  $h_{11}(b(k))$ ,  $h_{12}(b(k))$ ,  $h_{21}(b(k))$  and  $h_{22}(b(k))$  respectively, where  $n=numQMFSlots-1$  in the previous `ps_data()` element.

**8.6.4.7 Hybrid QMF synthesis filterbank**

The stereo processed hybrid subband signals  $l_k(n)$  and  $r_k(n)$  are fed into the hybrid synthesis filterbanks, which are implemented as adders of sub QMF samples. This is illustrated in Figure 8.21 and Figure 8.23. The two synthesis filterbanks are identical to the 64 complex QMF synthesis filterbank as defined in subclause 4.6.18.4.2. The input to the filterbank are slots of 64 QMF samples. For each slot the filterbank outputs one block of 64 samples of the one channel of the reconstructed stereo signal. There are two independent instances of the QMF synthesis filterbank for the left and right channel, respectively.

**8.6.5 Start/stop situations for decoding**

Decoding of an excerpt has to be started and ended in a certain way. This subclause explains how to deal with start and end of the decoding process.

### 8.6.5.1 Start decoding

The start of decoding occurs for the first frame of an excerpt, or during random access in an excerpt.

No special actions need to be taken for transients.

For sinusoids a previous (non-existent) sub-frame must be filled with a zero signal. The overlap-add method then generates a natural fade-in for the sinusoidal components of the first sub-frame.

For noise a previous (non-existent) sub-frame must be filled with a zero signal. The overlap-add method then generates a natural fade-in for the noise component of the first sub-frame.

A conformant decoder that receives PS data shall output the mono signal in the two output channels until a first `ps_data()` element with `enable_ps_header==1` is received and in which for all enabled parameters frequency differential coding is employed and `num_env>0`, ensuring that the PS data can be decoded correctly.

### 8.6.5.2 Stop decoding

The stop of decoding occurs for the last frame of an excerpt, or during random access in an excerpt (stop decoding process “manually” (e.g. stop, skip, pause)).

For a step transient no special precautions are required. For a Meixner transient it is possible that the tail has not ended at the end of the excerpt. It is advised to stop generating output for the Meixner transient at the end of the excerpt.

For sinusoids a next (non-existent) sub-frame must be filled with a zero signal. The overlap-add method then generates a natural fade-out for the sinusoidal components of the last sub-frame.

For noise a next (non-existing) sub-frame must be filled with a zero signal. The overlap-add method then generates a natural fade-out for the noise component of the last sub-frame.

For parametric stereo no special actions are required.

## 8.7 References

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- [Laguerre1] A.C. den Brinker. Stability of linear predictive structures using IIR filters. In *Proc. 12th ProRISC Workshop*, pages 317–320, Veldhoven (NL), 29-30 Nov. 2001.
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## Annex 8.A (normative)

### Combination of the SBR tool with the parametric stereo tool

#### 8.A.1 Overview

The parametric stereo coding tool (PS tool) can be used in combination with the SBR tool as defined in subclause 4.6.18. In this case, a 1-channel audio signal is conveyed by AAC+SBR (i.e., HE-AAC) and the PS tool is used to reconstruct a 2-channel stereo signal from this monaural signal. The bitstream element `ps_data()` as defined in subclause 8.4.2 conveys the information needed by the PS tool and is carried in the `sbr_extension()` container of the SBR bitstream.

The usage of this parametric stereo extension to HE AAC is signalled either implicitly by the presence of parametric stereo data in the bitstream, or explicitly by signalling the corresponding `AudioObjectType` in the `audioSpecificConfig`. Hence, implicit signalling requires that, if an `sbr_extension()` with `bs_extension_id==EXTENSION_ID_PS` is found in the SBR part of the bitstream, a decoder supporting the combination of SBR and PS shall operate the PS tool to generate a stereo output signal. If no `ps_data()` element is available in the SBR part of a monaural HE-AAC bitstream, the normal monaural signal is generated by the SBR tool and mapped to a stereo output signal in which the left and right channel both contain the same monaural signal.

#### 8.A.2 Bitstream syntax and semantics

The bitstream element `ps_data()` as defined in subclause 8.4.2 is carried in the `sbr_extension()` container (see Table 8.A.1 below) provided by the SBR bitstream defined in subclause 4.4.2.8. The semantics of the `bs_extension_id` field are given in Table 4.105 “`bs_extension_id`”.

Table 8.A.1 — Syntax of `sbr_extension()`

Syntax	No. of bits	Mnemonic
<pre>sbr_extension(bs_extension_id, num_bits_left) {   switch (bs_extension_id) {     case EXTENSION_ID_PS:       num_bits_left -= ps_data();       break;     default:       <b>bs_fill_bits</b>;       num_bits_left = 0;       break;   } }</pre>		Note 1
	num_bits_left	<b>bslbf</b>
Note 1: <code>ps_data()</code> returns the number of bits read.		

#### 8.A.3 Decoding process

Semantics and decoding process for the PS tool are defined in subclauses 8.5.2 and 8.6.4, respectively. When the PS tool is combined with SBR, a stereo frame is identical to an SBR frame and consists of 32 complex samples per QMF band for 1024 framing of the AAC (30 samples for 960 framing). The initial 64-band QMF analysis filterbank of the PS tool is removed and the 64-band QMF representation of the monaural signal generated by the SBR tool as available directly prior to SBR's 64-band QMF synthesis filterbank is used as input to the PS tool. The monaural 64-band QMF synthesis filterbank of the SBR tool is obsolete and hence

removed. Instead, the two 64-band QMF synthesis filterbanks at the output of the PS tool are used to generate the stereo audio signal.

As shown in Figure 4.46, "Synchronization and timing" in the SBR tool description, there are 6 QMF samples look-ahead available in the low-band buffer, i.e.,  $\mathbf{X}_{Low}(k,l)$  with  $34 \leq l < 40$  for 1024 framing. Hence, the hybrid filter structure of the PS tool, where the lowest 3 or 5 QMF bands (all of which are in the low-band) are split up further with help of 13-tap linear-phase FIR filters, does not introduce any algorithmic delay when the PS tool is inserted between SBR processing and the final 64-band QMF synthesis filterbanks. This means that the delay as depicted in Figure 8.19 is obsolete and thus removed.

Hence, the input to the PS tool is a matrix  $\mathbf{X}_{input}(k,l)$  defined according to:

$$\mathbf{X}_{input}(k,l) = \begin{cases} \mathbf{X}_{Low}(k, l + t_{HFAdj}) & , 0 \leq k < 5, numTimeSlots \cdot RATE \leq l < numTimeSlots \cdot RATE + 6 \\ \mathbf{X}(k,l) & , 0 \leq k < 64, 0 \leq l < numTimeSlots \cdot RATE \end{cases}$$

where  $\mathbf{X}_{Low}(k,l)$ ,  $\mathbf{X}(k,l)$ ,  $t_{HFAdj}$ ,  $numTimeSlots$ , and  $RATE$  are defined in subclause 4.6.18 SBR Tool. Furthermore,  $numQMFSlots = numTimeSlots \cdot RATE$ .

In order to allow an efficient implementation of the PS tool, a partial reset of the decorrelator state variables is performed for each stereo frame for all QMF subbands above the highest QMF subband generated by the SBR tool by forcing the states

$$\begin{aligned} d_k(n) &= 0 \\ s_k(n) &= 0 \end{aligned}$$

where  $n < n_e$ ,  $k_{max} \leq k < NR\_BANDS$ ,  $n_e$  is the first sample in the current stereo frame and

$$k_{max} = k_x + M + \begin{cases} 7 & , 10 \text{ or } 20 \text{ stereo bands} \\ 27 & , 34 \text{ stereo bands} \end{cases}$$

where  $k_x$  and  $M$  are defined in subclause 4.6.18.3.2.2.

If no `ps_data()` element was present in the previous frame, a full reset of the decorrelator state variables is performed by forcing the states

$$\begin{aligned} d_k(n) &= 0 \\ s_k(n) &= 0 \end{aligned}$$

where  $n < n_e$ ,  $0 \leq k < NR\_BANDS$ , and  $n_e$  is the first sample in the current stereo frame.

The PS tool uses a complex-valued QMF representation and therefore cannot be used in combination with the low power version of the SBR tool. If DRC is used in combination with SBR as defined in subclause 4.5.2.7.5, DRC is applied in the QMF domain to the output of the PS tool immediately prior to the QMF synthesis filterbanks. The same  $factor(k,l)$  is applied to both the left and the right audio channel.

#### 8.A.4 Baseline version of the parametric stereo coding tool

In order to facilitate implementation of the PS decoder tool on platforms with very limited computational resources, a baseline version of the PS tool is defined. A PS decoder implementing this baseline version always uses the hybrid filter structure for 20 stereo bands and does not implement IPD/OPD synthesis and mixing mode Rb. This results in a reduction of the computational complexity by approximately 25% when compared to unrestricted PS tool. The baseline version of the PS tool supports the complete bitstream syntax

for `ps_data()`. However, IPD/OPD data is ignored and reset to IPD=OPD=0 prior to stereo synthesis. If a 34 stereo band configuration is used for IID or ICC parameters in the bitstream, the decoded parameters are mapped to 20 stereo bands according to Table 8.46. The averaging process denoted by e.g.  $(2*id_{x_0}+id_{x_1})/3$  in this table is carried out according to ANSI-C integer arithmetic for the integer index representation  $id_{x_k}$  of the IID or ICC parameters prior to dequantization. The baseline decoder always uses mixing mode Ra, independent from the value of `icc_mode`.

## Annex 8.B (normative)

### Normative Tables

#### 8.B.1 Huffman tables for SSC

The function `ssc_huff_dec()` is used as:

$$data = ssc\_huff\_dec(t\_huff, codeword),$$

where *t\_huff* is the selected Huffman table and *codeword* is the word read from the bitstream. The return value *data*, is a Huffman table index corresponding to a specific code word.

Huffman table overview:

**Table 8.B.1 — huff\_sgrid**

Index	huff_sgrid
0	100001
1	11101
2	11110
3	1100
4	1101
5	1010
6	0111
7	001
8	1011
9	0110
10	1001
11	0101
12	0000
13	0001
14	11100
15	01001
16	111111
17	111110
18	100000
19	010001
20	010000
21	10001

Table 8.B.2 — huff\_sampba

index	huff_sampba	index	huff_sampba	index	huff_sampba	index	huff_sampba
0	110010010	64	1101	128	0110	192	110010011001
8	0100111	72	001	136	11000	200	110010011000100
16	1100101	80	000	144	01000	208	110010011000101
24	110011	88	1111	152	010010	216	110010011000110
32	01110	96	1110	160	0100110	224	110010011000111
40	01111	104	1011	168	11001000	232	11001001100000
48	0101	112	1010	176	1100100111	240	11001001100001
56	1001	120	1000	184	11001001101		

Table 8.B.3 — huff\_sampbr

index	huff_sampbr	index	huff_sampbr	index	huff_sampbr
-240	11111110110001000010	-72	111101101	96	111111101100011
-232	11111110110001000011	-64	11110111	104	11111110110101
-224	11111110110001000100	-56	1111010	112	11111110110000
-216	11111110110001000101	-48	111100	120	11111110110001010001
-208	11111110110001000110	-40	111110	128	11111110110001010010
-200	11111110110001000111	-32	11101	136	11111110110001010011
-192	11111110110001001000	-24	0111	144	11111110110001010100
-184	11111110110001001001	-16	010	152	11111110110001010101
-176	11111110110001001010	-8	00	160	11111110110001010110
-168	11111110110001001011	0	10	168	11111110110001010111
-160	11111110110001001100	8	110	176	11111110110001011000
-152	11111110110001001101	16	0110	184	11111110110001011001
-144	11111110110001001110	24	11100	192	11111110110001011010
-136	11111110110001001111	32	111110	200	11111110110001011011
-128	11111110110001010000	40	1111110	208	11111110110001011100
-120	1111111011010100	48	111101100	216	11111110110001011101
-112	1111111011001	56	111111110	224	11111110110001011110
-104	111111111100	64	1111111010	232	11111110110001011111
-96	11111110111	72	1111111111	240	1111111011000100000
-88	1111111110	80	11111111101		
-80	1111111100	88	1111111011011		

Table 8.B.4 — huff\_sampca

index	huff_sampca	index	huff_sampca	index	huff_sampca
0	01101101011	88	000	176	0011001
8	01101100	96	1111	184	011011011
16	0011000	104	1110	192	0110110100
24	0110111	112	1100	200	011011010101
32	011010	120	1011	208	0110110101001
40	00111	128	1000	216	01101101010001
48	10011	136	0101	224	0110110101000010
56	0100	144	0010	232	0110110101000011
64	0111	152	10010	240	011011010100000
72	1010	160	01100		
80	1101	168	001101		

**Table 8.B.5 — huff\_sampcr[0]**

index	huff_sampcr[0]	index	huff_sampcr[0]	index	huff_sampcr[0]
-26	111001010111000	-8	0110111	10	01101100
-25	01101101110	-7	001000	11	00011010
-24	1110010101111	-6	111000	12	011011010
-23	000111001011	-5	00101	13	000111010
-22	011010111110	-4	11101	14	1110010111
-21	111001010100	-3	0011	15	0110110110
-20	00011100100	-2	1111	16	0001110011
-19	01101011110	-1	110	17	11100101101
-18	11100101100	0	10	18	01101101111
-17	0001110110	1	010	19	00011101110
-16	0110101110	2	0111	20	111001010110
-15	1110010100	3	0000	21	111001010101
-14	000111000	4	01100	22	011010111111
-13	011010110	5	00010	23	000111001010
-12	00011011	6	001001	24	11100101011101
-11	01101010	7	1110011	25	00011101111
-10	11100100	8	0110100	26	111001010111001
-9	0001111	9	0001100		

**Table 8.B.6 — huff\_sampcr[1]**

index	huff_sampcr[1]	index	huff_sampcr[1]	index	huff_sampcr[1]
-26	100111001011	-8	110110	10	11011111
-24	11011100010	-6	11010	12	10011101
-22	110111101110	-4	1100	14	110111001
-20	11011110110	-2	111	16	1101111010
-18	1101110000	0	0	18	1001110011
-16	100111000	2	101	20	11011100011
-14	110111100	4	1000	22	10011100100
-12	11011101	6	10010	24	110111101111
-10	1001111	8	100110	26	100111001010

**Table 8.B.7 — huff\_sampcr[2]**

index	huff_sampcr[2]	index	huff_sampcr[2]	index	huff_sampcr[2]
-28	01011000101	-8	0100	12	0101110
-24	010110000	-4	00	16	01011010
-20	010110011	0	1	20	010110010
-16	01011011	4	011	24	0101100011
-12	0101111	8	01010	28	01011000100

**Table 8.B.8 — huff\_sampcr[3]**

index	huff_sampcr[3]	index	huff_sampcr[3]	index	huff_sampcr[3]
-32	00010101	-8	01	16	00011
-24	000100	0	1	24	0001011
-16	0000	8	001	32	00010100

Table 8.B.9 — huff\_sfreqba

index	huff_sfreqba	index	huff_sfreqba
0	101111110100101100100	1944	110001110
8	101111110100101100101	1952	111111110
16	101111110100101100110	1960	10000101
24	101111110100101100111	1968	00101110
32	101111110100101101000	1976	10000110
40	101111110100101101001	1984	00100011
48	101111110100101101010	1992	11100100
56	101111110100101101011	2000	10011010
64	101111110100101101100	2008	00101111
72	101111110100101101101	2016	111101100
80	101111110100101101110	2024	01111101
88	101111110100101101111	2032	01110100
96	101111110100101110000	2040	111011010
104	101111110100101110001	2048	10111011
112	101111110100101110010	2056	10011011
120	101111110100101110011	2064	10010000
128	101111110100101110100	2072	00110000
136	10111111010011	2080	10111100
144	101111110100101110101	2088	01011011
152	101111110101	2096	111011011
160	10111111011	2104	01001011
168	100010000	2112	10111101
176	001010010	2120	10011100
184	01000010	2128	00110001
192	101111111	2136	110001111
200	111001101	2144	01111110
208	00010001	2152	10010001
216	111001110	2160	10110011
224	111100001	2168	111011100
232	101010101	2176	00001110
240	010000011	2184	10010010
248	1111101001	2192	10111110
256	111100010	2200	10100110
264	111001111	2208	00110010
272	100111011	2216	00001111
280	010111011	2224	00011000
288	1111101010	2232	110101111
296	100010001	2240	110110000
304	0111000011	2248	111111111
312	001010011	2256	11111001
320	1111101011	2264	00111100
328	000100100	2272	00010000
336	000100101	2280	10100111
344	1111101100	2288	01101101
352	000100110	2296	01011100
360	110000000	2304	00000000
368	00110110	2312	00111101
376	010000110	2320	01001100
384	011101010	2328	00011001
392	011101011	2336	00100100
400	00010100	2344	110110001
408	010111100	2352	00111110
416	111100011	2360	00100101
424	110000001	2368	11001000
432	010111101	2376	00100110

440	011101100	2384	00000001
448	011101101	2392	100011101
456	1101001100	2400	110010010
464	1010101001	2408	00110011
472	100111100	2416	10000111
480	00011111	2424	011001011
488	010111110	2432	01111111
496	1111101101	2440	00000010
504	111010000	2448	101101000
512	110000010	2456	01001101
520	00000100	2464	111101101
528	00100000	2472	00100111
536	111010001	2480	101101001
544	111100100	2488	110010011
552	111010010	2496	111101110
560	110000011	2504	111000111
568	01000100	2512	101101010
576	10010101	2520	00111111
584	01010001	2528	100100110
592	10101001	2536	111011101
600	101010110	2544	010011100
608	111100101	2552	110110010
616	011101110	2560	101101011
624	00000101	2568	101001001
632	10001001	2576	01000000
640	01100000	2584	101101100
648	01100001	2592	011110011
656	101010111	2600	10000000
664	100111101	2608	110010100
672	111010011	2616	00011010
680	101011000	2624	01001111
688	10101101	2632	100100111
696	10000010	2640	111001010
704	110100111	2648	100000001
712	00000110	2656	100000010
720	100010100	2664	101101101
728	001010100	2672	110010101
736	110101000	2680	000101111
744	010111111	2688	001101000
752	01010010	2696	111001011
760	011000100	2704	1110011001
768	011101111	2712	1101100110
776	111100110	2720	011011100
784	110101001	2728	000110110
792	111110111	2736	111011110
800	110000100	2744	110010110
808	111010100	2752	111101111
816	011000101	2760	010011101
824	1010110010	2768	0001111011
832	111100111	2776	110010111
840	01110001	2784	010100000
848	11001110	2792	101101110
856	01110010	2800	101010000
864	011110000	2808	1110111110
872	110111001	2816	101010001
880	110101010	2824	100000011
888	101011100	2832	1110111111

896	00101011	2840	010100001
904	01000101	2848	100101000
912	11001111	2856	1111000000
920	01100011	2864	110011000
928	110111100	2872	110110100
936	011001000	2880	1010110011
944	011001001	2888	1101100111
952	011001010	2896	1111110001
960	110111101	2904	001101001
968	101011101	2912	1101101010
976	000100111	2920	11011010110
984	00110111	2928	110011001
992	01100110	2936	00101000
1000	10000011	2944	11011010111
1008	00111000	2952	011011101
1016	011110001	2960	001101010
1024	110000101	2968	1001010010
1032	101011110	2976	1101101100
1040	1111110000	2984	011011110
1048	100111110	2992	00110101100
1056	10111000	3000	000110111
1064	10111001	3008	101101111
1072	11010110	3016	1001010011
1080	11011101	3024	0101110101
1088	111010101	3032	110011010
1096	100111111	3040	1111000001
1104	101000000	3048	0000001100
1112	101011111	3056	1111101000
1120	110000110	3064	1001110100
1128	110111110	3072	01110000101
1136	00000111	3080	0110111110
1144	101000001	3088	110011011
1152	010000111	3096	00110101101
1160	101100000	3104	11011011010
1168	001010101	3112	1101101110
1176	110111111	3120	1011111100
1184	00111001	3128	0000001101
1192	111111001	3136	0110111111
1200	110000111	3144	000111100
1208	101000010	3152	10011101010
1216	111000000	3160	11011011011
1224	101100001	3168	11011011110
1232	101100010	3176	0011010111
1240	111000001	3184	0000001110
1248	111000010	3192	0111000000
1256	01010011	3200	11011011111
1264	00111010	3208	01000001000
1272	111000011	3216	101111110100101110110
1280	1101001101	3224	110111000000
1288	111101000	3232	1101110001
1296	110101011	3240	10011101011
1304	111000100	3248	110111000001
1312	01010100	3256	1110011000
1320	111111010	3264	0000001111
1328	11010000	3272	0111000001
1336	01100111	3280	101111110100101110111
1344	01101000	3288	110111000010

1352	101000011	3296	010000010010
1360	00001000	3304	01000001010
1368	11000100	3312	010000010011
1376	10001011	3320	10101010000
1384	011110010	3328	0100000101100
1392	111101001	3336	0001111010
1400	10001100	3344	010000010111
1408	111101010	3352	101111110100101111000
1416	01010101	3360	0100000101101
1424	111000101	3368	110111000011
1432	00001001	3376	10101010001
1440	111010110	3384	01011101000
1448	111111011	3392	010111010010
1456	10100010	3400	010111010011
1464	11111000	3408	1011111101000
1472	01101001	3416	101111110100101111001
1480	01010110	3424	011100001000
1488	01101010	3432	101111110100101111010
1496	10001101	3440	101111110100101111011
1504	01101011	3448	101111110100101111100
1512	110101110	3456	101111110100101111101
1520	10010110	3464	101111110100101111110
1528	10010111	3472	101111110100101111111
1536	01010111	3480	011100001001
1544	01000110	3488	10111111010010000000
1552	111000110	3496	101111110100100000001
1560	01101100	3504	101111110100100000010
1568	00001010	3512	101111110100100000011
1576	01111010	3520	101111110100100000100
1584	00101100	3528	101111110100100000101
1592	111101011	3536	101111110100100000110
1600	00111011	3544	101111110100100000111
1608	01110011	3552	10111111010010001000
1616	01111011	3560	10111111010010001001
1624	11010001	3568	10111111010010001010
1632	111111100	3576	10111111010010001011
1640	100010101	3584	10111111010010001100
1648	01011000	3592	10111111010010001101
1656	10011000	3600	10111111010010001110
1664	100011100	3608	10111111010010001111
1672	10100011	3616	10111111010010010000
1680	110001010	3624	10111111010010010001
1688	0001110	3632	10111111010010010010
1696	00010101	3640	10111111010010010011
1704	10110010	3648	10111111010010010100
1712	111010111	3656	10111111010010010101
1720	01011001	3664	10111111010010010110
1728	00100001	3672	10111111010010010111
1736	00001011	3680	10111111010010011000
1744	00100010	3688	10111111010010011001
1752	01000111	3696	10111111010010011010
1760	00001100	3704	10111111010010011011
1768	111011000	3712	10111111010010011100
1776	11000110	3720	10111111010010011101
1784	11010010	3728	10111111010010011110
1792	00010110	3736	10111111010010011111
1800	01001000	3744	10111111010010100000

1808	101001000	3752	10111111010010100001
1816	110001011	3760	10111111010010100010
1824	01001001	3768	10111111010010100011
1832	10111010	3776	10111111010010100100
1840	01111100	3784	10111111010010100101
1848	000101110	3792	10111111010010100110
1856	00001101	3800	10111111010010100111
1864	10000100	3808	10111111010010101000
1872	01011010	3816	10111111010010101001
1880	00101101	3824	10111111010010101010
1888	01001010	3832	10111111010010101011
1896	111011001	3840	10111111010010101100
1904	111111101	3848	10111111010010101101
1912	10001111	3856	10111111010010101110
1920	10011001	3864	10111111010010101111
1928	10100101	3872	10111111010010110000
1936	101100011	3880	10111111010010110001

Table 8.B.10 — huff\_sfreqbr

index	huff_sfreqbr	index	huff_sfreqbr
0	0000001011	1944	11000101001010
8	101111	1952	000011011111
16	10101	1960	000011100000
24	11011	1968	010100101101
32	11001	1976	111100101011
40	10100	1984	110001010011
48	10001	1992	010100101110
56	01100	2000	000011100001
64	01101	2008	000011100010
72	01011	2016	010100101111
80	00111	2024	000011100011
88	111101	2032	1100010101000
96	111110	2040	011100000000
104	111010	2048	011100000001
112	111001	2056	000011100100
120	100111	2064	010010000100011
128	101100	2072	000011100101
136	011111	2080	011100000001
144	100100	2088	100001111010
152	010101	2096	0111000000100
160	010000	2104	1100010101001
168	001100	2112	000011100110
176	001001	2120	1100010101010
184	000010	2128	000011100111
192	000001	2136	0111000000101
200	000111	2144	000011101000
208	1111110	2152	110001010010110
216	1111000	2160	000011101001
224	1100011	2168	01001000010001011100010
232	1110111	2176	110001010010111
240	1101000	2184	000011101010
248	1001100	2192	11000101010110
256	1011010	2200	11000101010111
264	1100001	2208	0111000000110
272	1011100	2216	0111000000111
280	1000000	2224	1100010101100
288	1000010	2232	0111001101000
296	1001101	2240	1100010101101
304	0111101	2248	11000101011100
312	1001010	2256	0111001101001
320	0100010	2264	0111001101010
328	0111010	2272	000011101011
336	0100011	2280	11000101011101
344	0001011	2288	11000101011110
352	0100101	2296	01001000010001011100011
360	0100110	2304	11000101011111
368	0001100	2312	0111001101011
376	0010100	2320	110001011000000
384	0011010	2328	110001011000001
392	0010000	2336	1100010110001
400	0011011	2344	1100010110010
408	0010101	2352	110001011000010
416	11111110	2360	01001000010001011100100
424	0001000	2368	110001011000011
432	11101101	2376	11000101100110

440	11100000	2384	110001011001110
448	11010101	2392	01001000010001011100101
456	11100010	2400	01001000010001011100110
464	11010110	2408	11000101101000
472	11000001	2416	1000011110110
480	11101100	2424	11000101101001
488	10110110	2432	110001011001111
496	10111011	2440	11000101101010
504	10110111	2448	110001011010110
512	01001001	2456	1100010110110
520	00010011	2464	110001011010111
528	11010010	2472	110001011011100
536	01010011	2480	110001011011101
544	10000110	2488	110001011011110
552	01110110	2496	11000101110000
560	00101110	2504	01001000010001011100111
568	01001110	2512	110001011011111
576	01010000	2520	01001000010001011101000
584	01001111	2528	11000101110001
592	00101101	2536	01001000010001011101001
600	00000011	2544	01001000010001011101010
608	00000001	2552	110001011100100
616	111100111	2560	01001000010001011101011
624	111100110	2568	110001011100101
632	110100110	2576	01001000010001011101100
640	111100100	2584	01001000010001011101101
648	00001111	2592	110001011100110
656	00101111	2600	110001011100111
664	111111110	2608	1100010111010
672	101110101	2616	01001000010001011101110
680	110101110	2624	110001011101100
688	100101100	2632	01001000010001011101111
696	00010100	2640	110001011101101
704	110101111	2648	01001000010001011110000
712	111000011	2656	01001000010001011110001
720	011100011	2664	01001000010001011110010
728	110100111	2672	110001011101110
736	100000100	2680	110001011101111
744	100000101	2688	110001011110000
752	011101111	2696	110001011110001
760	011100001	2704	110001011110010
768	100001110	2712	11000101111010
776	101110100	2720	11000101111011
784	000110110	2728	110001011110011
792	011100100	2736	110001011111000
800	000100101	2744	01001000010001011110011
808	001011001	2752	01001000010001011110100
816	1111111110	2760	01001000010001011110101
824	1111001011	2768	11000101111101
832	100101101	2776	01001000010001011110110
840	010010001	2784	110001011111001
848	001000101	2792	01001000010001011110111
856	010100010	2800	01001000010001011111000
864	1100000001	2808	110001011111100
872	011110010	2816	01001000010001011111001
880	1001011101	2824	110001011111101
888	000110111	2832	01001000010001011111010

896	000101010	2840	11000101111111
904	1110001100	2848	01001000010001011111011
912	000000100	2856	01001000010001011111100
920	000011000	2864	111000111000000
928	1101010011	2872	01001000010001011111101
936	1110000100	2880	111000111000001
944	000100100	2888	111000111000010
952	011100010	2896	01001000010001011111110
960	1110001101	2904	01001000010001011111111
968	0111100000	2912	01001000010001000000000
976	000101011	2920	11100011100010
984	0111100001	2928	01001000010001000000001
992	1101010000	2936	111000111000011
1000	0111000001	2944	111000111000110
1008	1101010001	2952	01001000010001000000010
1016	0111001010	2960	111000111000111
1024	0111001011	2968	00000010100000
1032	1000011111	2976	01001000010001000000011
1040	1100000010	2984	01001000010001000000100
1048	0010001001	2992	01001000010001000000101
1056	1101010010	3000	01001000010001000000110
1064	1100000000	3008	01001000010001000000111
1072	0111001110	3016	0100100001000100001000
1080	1110001111	3024	00000010100001
1088	11111111110	3032	0100100001000100001001
1096	0000111011	3040	0100100001000100001010
1104	0111100010	3048	0100100001000100001011
1112	0111100011	3056	0100100001000100001100
1120	0001101000	3064	0100100001000100001101
1128	0111001100	3072	00000010100010
1136	0111100111	3080	0100100001000100001110
1144	1001011110	3088	0100100001000100001111
1152	0010001100	3096	0100100001000100010000
1160	110000001100	3104	00000010100011
1168	0111001111	3112	0100100001000100010001
1176	11111111111	3120	01001000010000
1184	0111011100	3128	0100100001000100010010
1192	0010001101	3136	0100100001000100010011
1200	1000001100	3144	0100100001000100010100
1208	0010001110	3152	0100100001000100010101
1216	0010110001	3160	0100100001000100010110
1224	0111011101	3168	0100100001000100010111
1232	10010111110	3176	0100100001000100011000
1240	0100100000	3184	0100100001000100011001
1248	01111001101	3192	0100100001000100011010
1256	0000000000	3200	0100100001000100011011
1264	1001011100	3208	0100100001000100011100
1272	0001101001	3216	0100100001000100011101
1280	0000000001	3224	0100100001000100011110
1288	0000000010	3232	0100100001000100011111
1296	01001000011	3240	0100100001000100100000
1304	11100001010	3248	0100100001000100100001
1312	110000001101	3256	0100100001000100100010
1320	0001101010	3264	0100100001000100100011
1328	0000000011	3272	0100100001000100100100
1336	0001101011	3280	0100100001000100100101
1344	01110011011	3288	0100100001000100100110

1352	10010111111	3296	0100100001000100100111
1360	01010001100	3304	0100100001000100101000
1368	11100011101	3312	0100100001000100101001
1376	01010001101	3320	0100100001000100101010
1384	110000001110	3328	0100100001000100101011
1392	1000011110111	3336	0100100001000100101100
1400	11100001011	3344	0100100001000100101101
1408	11000100000	3352	0100100001000100101110
1416	01111001100	3360	0100100001000100101111
1424	00100011110	3368	0100100001000100110000
1432	0010001000	3376	0100100001000100110001
1440	11000100001	3384	0100100001000100110010
1448	11000100010	3392	0100100001000100110011
1456	01010001110	3400	0100100001000100110100
1464	01010001111	3408	0100100001000100110101
1472	0000110010	3416	0100100001000100110110
1480	111000111001	3424	0100100001000100110111
1488	00100011111	3432	0100100001000100111000
1496	10000011010	3440	0100100001000100111001
1504	11000100011	3448	0100100001000100111010
1512	00000010101	3456	0100100001000100111011
1520	10000011011	3464	0100100001000100111100
1528	111100101000	3472	0100100001000100111101
1536	10000011100	3480	0100100001000100111110
1544	01010010000	3488	0100100001000100111111
1552	010010000101	3496	0100100001000101000000
1560	01010010001	3504	0100100001000101000001
1568	110000001111	3512	0100100001000101000010
1576	00001100110	3520	0100100001000101000011
1584	0100100001001	3528	01001000010001010000100
1592	0101001001000	3536	01001000010001010000101
1600	110001001000	3544	01001000010001010000110
1608	00001100111	3552	01001000010001010000111
1616	100000111010	3560	0100100001000101001000
1624	1100010010010	3568	0100100001000101001001
1632	110001001010	3576	0100100001000101001010
1640	00101100000	3584	0100100001000101001011
1648	010100100101	3592	0100100001000101001100
1656	00001101000	3600	0100100001000101001101
1664	100000111011	3608	0100100001000101001110
1672	01010010011	3616	0100100001000101001111
1680	10000011110	3624	0100100001000101010000
1688	00101100001	3632	0100100001000101010001
1696	00001101001	3640	0100100001000101010010
1704	110001001011	3648	0100100001000101010011
1712	11000100110	3656	0100100001000101010100
1720	110001001110	3664	0100100001000101010101
1728	110001001111	3672	0100100001000101010110
1736	111100101001	3680	0100100001000101010111
1744	100000111110	3688	0100100001000101011000
1752	00001101010	3696	0100100001000101011001
1760	1100010010011	3704	0100100001000101011010
1768	00001101011	3712	0100100001000101011011
1776	00001101100	3720	0100100001000101011100
1784	00001101101	3728	0100100001000101011101
1792	110001010000	3736	0100100001000101011110
1800	100000111111	3744	0100100001000101011111

1808	000000101001	3752	0100100001000101100000
1816	1100010100010	3760	0100100001000101100001
1824	100001111000	3768	0100100001000101100010
1832	0101001001001	3776	0100100001000101100011
1840	010100101000	3784	0100100001000101100100
1848	111100101010	3792	0100100001000101100101
1856	000011011100	3800	0100100001000101100110
1864	010100101001	3808	0100100001000101100111
1872	0101001010100	3816	0100100001000101101000
1880	100001111001	3824	0100100001000101101001
1888	1100010100011	3832	0100100001000101101010
1896	010100101011	3840	0100100001000101101011
1904	000011011101	3848	0100100001000101101100
1912	1100010100100	3856	0100100001000101101101
1920	010100101100	3864	0100100001000101101110
1928	0101001010101	3872	0100100001000101101111
1936	000011011110	3880	0100100001000101110000

Table 8.B.11 — huff\_sfreqc

index	huff_sfreqc	index	huff_sfreqc
0	0010001101110011010010000	1944	011101111
8	0010001101110011010010001	1952	11101110
16	0010001101110011010010010	1960	10011100
24	0010001101110011010010011	1968	11010011
32	0010001101110011010010100	1976	00011011
40	0010001101110011010010101	1984	110010111
48	0010001101110011010010110	1992	111110001
56	0010001101110011010010111	2000	11001110
64	0010001101110011010011000	2008	10011001
72	0010001101110011010011001	2016	111111100
80	0010001101110011011	2024	00001100
88	0010001101110011010011010	2032	111010100
96	001000110111001100	2040	01000101
104	0010001101110010	2048	11110000
112	00100011011100111	2056	0100111
120	100110101011010	2064	00001110
128	100110101011000	2072	01000010
136	100110101011001	2080	111111101
144	0010001101100	2088	111101100
152	0010001101111	2096	00011100
160	011001001001	2104	01110101
168	111101000111	2112	01100000
176	11000000000	2120	10001111
184	0111000010	2128	10111110
192	0010011010	2136	10010101
200	11100010010	2144	1010010
208	0000101111	2152	11100100
216	0000001100	2160	01010111
224	10110110111	2168	00101010
232	11101011000	2176	01110011
240	11011100010	2184	10101011
248	10111101000	2192	11011000
256	10101001000	2200	01111101
264	01101011101	2208	00101011
272	10001011101	2216	00110101
280	11001101101	2224	11000011
288	0101100011	2232	10001001
296	001011100	2240	111100110
304	0000001000	2248	111100010
312	11000000001	2256	10010100
320	01100100101	2264	11010111
328	10011010001	2272	11011101
336	0001100010	2280	11111111
344	001010000	2288	10111000
352	110001001	2296	10110111
360	01101011100	2304	11000111
368	10101110100	2312	01100110
376	0000000001	2320	11010101
384	1000001101	2328	10110011
392	0111000011	2336	111101010
400	0011101010	2344	110111100
408	000110010	2352	01001010
416	1111011110	2360	10000000
424	11101101001	2368	1010110
432	01101000000	2376	0001001

440	10001010001	2384	01010101
448	111101000110	2392	01010011
456	00100011010	2400	01111001
464	11011100000	2408	10010110
472	0000001101	2416	10101000
480	1001001011	2424	01011101
488	11100111000	2432	11010001
496	01101000001	2440	11001100
504	11101011001	2448	00100000
512	1011001011	2456	10111010
520	1101010011	2464	0001111
528	1100010000	2472	11101000
536	0000100010	2480	00101111
544	0000101110	2488	01110110
552	0110101101	2496	11100101
560	1001110111	2504	10101010
568	001110100	2512	10011111
576	011100011	2520	11111011
584	001000111	2528	10001101
592	110000011	2536	10000111
600	111111001	2544	11101100
608	10110110110	2552	0100000
616	11110100010	2560	01000011
624	1000001100	2568	111101011
632	011010010	2576	111101001
640	100001010	2584	00111101
648	100100110	2592	10101111
656	1010001011	2600	0010110
664	0000100011	2608	11101001
672	11001111111	2616	10011000
680	1100000001	2624	11001001
688	01011100	2632	10110100
696	00000111	2640	01010001
704	110001101	2648	10100110
712	0100100111	2656	10100011
720	1010100101	2664	00110110
728	001100011	2672	10001100
736	0100100110	2680	01111111
744	0101000010	2688	01001011
752	010100000	2696	0111101
760	000110000	2704	11010000
768	011111100	2712	101111011
776	110000001	2720	110110101
784	00110111	2728	01011010
792	00000100	2736	10001000
800	11001111110	2744	11000010
808	10001010000	2752	11100001
816	11011100001	2760	10100000
824	11100010011	2768	01011001
832	111100011	2776	111110011
840	100001011	2784	10010111
848	111000110	2792	00111000
856	1011101111	2800	01011110
864	11010100100	2808	000010110
872	0110101100	2816	110010100
880	0110010011	2824	11100110
888	1001001010	2832	01100111

896	1111011111	2840	111010111
904	100100000	2848	00001101
912	00111100	2856	111110100
920	1100111110	2864	110101000
928	1000101111	2872	110101101
936	1011001010	2880	11100000
944	00010100	2888	111101110
952	1111011010	2896	00010101
960	10100010100	2904	00101001
968	10101110101	2912	011011111
976	11001101000	2920	011100000
984	0001100011	2928	10000001
992	000110011	2936	10111111
1000	010110000	2944	000000101
1008	100000111	2952	101111000
1016	010101000	2960	01100011
1024	0110101111	2968	111110010
1032	10111101001	2976	111010101
1040	11101101000	2984	10100001
1048	11001101100	2992	101101100
1056	0111000100	3000	01000100
1064	011101110	3008	110010000
1072	00110011	3016	100010110
1080	01111000	3024	00100001
1088	1001110110	3032	0011111
1096	11011100011	3040	01011011
1104	0101000011	3048	011111101
1112	1011110101	3056	01101110
1120	1111110000	3064	01010110
1128	1101100111	3072	10111001
1136	1110001000	3080	111000111
1144	011100100	3088	10110000
1152	01001101	3096	110011110
1160	011001000	3104	111110101
1168	0111001010	3112	111110000
1176	1000101001	3120	00100111
1184	110110010	3128	01001000
1192	10110001	3136	011000100
1200	0111001011	3144	101111001
1208	10011010000	3152	00100010
1216	00111010111	3160	01110100
1224	10100010101	3168	110010101
1232	11100111001	3176	101001111
1240	1100100011	3184	00100100
1248	101001110	3192	01100101
1256	100111010	3200	101101011
1264	111100111	3208	101010011
1272	001011101	3216	110101100
1280	1100010001	3224	001100010
1288	1111011011	3232	000010100
1296	1010111001	3240	1110101101
1304	0011001010	3248	1001101001
1312	0111000101	3256	1101100110
1320	001001100	3264	0010001100
1328	011011110	3272	1100100010
1336	11010010	3280	0000001001
1344	00001111	3288	000000111

1352	1010111000	3296	10101001001
1360	000110100	3304	10011010100
1368	010101001	3312	0110100001
1376	110111111	3320	00000110
1384	01000110	3328	0000101011
1392	1001101011	3336	1011101110
1400	1110011101	3344	0000000000
1408	010010010	3352	0011001011
1416	011010001	3360	11010100101
1424	101000100	3368	10001011100
1432	001010001	3376	1100110111
1440	011000101	3384	1011011010
1448	101110110	3392	11001101001
1456	10011110	3400	00001010101
1464	10010001	3408	00001010100
1472	000010000	3416	0010001101101
1480	1010111011	3424	0101100010
1488	1100110101	3432	0010011011
1496	1111110001	3440	011001001000
1504	010111111	3448	001000110111000
1512	1111010000	3456	10011010101110
1520	111100101	3464	10011010101111
1528	111100100	3472	1001101010101
1536	0001011	3480	00111010110
1544	01001100	3488	001000110111010
1552	000110101	3496	1001101010100
1560	1110110101	3504	0010001101110011010011011
1568	010111110	3512	0010001101110011010011100
1576	101100100	3520	1001101010110111
1584	110010110	3528	001000110111011
1592	100100111	3536	0010001101110011010011101
1600	111011011	3544	1001101010110110
1608	00001001	3552	0010001101110011010011110
1616	01101010	3560	0010001101110011010011111
1624	11111101	3568	0010001101110011010100000
1632	111001111	3576	0010001101110011010100001
1640	000000001	3584	0010001101110011010100010
1648	00111001	3592	0010001101110011010100011
1656	00100101	3600	0010001101110011010100100
1664	11000101	3608	0010001101110011010100101
1672	10001110	3616	0010001101110011010100110
1680	001100100	3624	0010001101110011010100111
1688	110110100	3632	0010001101110011010101000
1696	01100001	3640	0010001101110011010101001
1704	111011110	3648	0010001101110011010101010
1712	100100100	3656	0010001101110011010101011
1720	101101010	3664	0010001101110011010101100
1728	111000101	3672	0010001101110011010101101
1736	00011101	3680	0010001101110011010101110
1744	01111100	3688	0010001101110011010101111
1752	10000100	3696	0010001101110011010110000
1760	00000001	3704	0010001101110011010110001
1768	00000101	3712	0010001101110011010110010
1776	111011111	3720	0010001101110011010110011
1784	00111011	3728	0010001101110011010110100
1792	110000010	3736	0010001101110011010110101
1800	110001100	3744	0010001101110011010110110

1808	100010101	3752	0010001101110011010110111
1816	00010000	3760	0010001101110011010111000
1824	00010001	3768	0010001101110011010111001
1832	0110110	3776	0010001101110011010111010
1840	10000110	3784	0010001101110011010111011
1848	100100001	3792	0010001101110011010111100
1856	011010011	3800	0010001101110011010111101
1864	01000111	3808	0010001101110011010111110
1872	00110000	3816	0010001101110011010111111
1880	10000010	3824	001000110111001101000000
1888	00110100	3832	001000110111001101000001
1896	110111101	3840	001000110111001101000010
1904	110111001	3848	001000110111001101000011
1912	01010010	3856	001000110111001101000100
1920	10011011	3864	001000110111001101000101
1928	11011011	3872	001000110111001101000110
1936	110111110	3880	001000110111001101000111

Table 8.B.12 — huff\_nlag

index	huff_nlag	index	huff_nlag
-512	100010101101101100	4	110
-508	100010101101101101	8	1111
-504	100010101101101110	12	10010
-500	100010101101101111	16	100001
-496	100010111010000000	20	1000100
-492	100010111010000001	24	10000000
-488	100010111010000010	28	100000010
-484	100010111010000011	32	1000101000
-480	100010111010000100	36	10001010011
-476	100010111010000101	40	100010111001
-472	100010111010000110	44	100010101110
-468	100010111010000111	48	1000101011010
-464	100010111010001000	52	1000101011110
-460	100010111010001001	56	10001010110111
-456	100010111010001010	60	1000101001000
-452	100010111010001011	64	10001010110011
-448	100010111010001100	68	100010101111100
-444	100010111010001101	72	10001010010010
-440	100010111010001110	76	100010111011110
-436	100010111010001111	80	100010100101110
-432	100010111010010000	84	10001011101110110
-428	100010111010010001	88	100010101111101
-424	100010111010010010	92	100010100101111
-420	100010111010010011	96	1000101110111110
-416	100010111010010100	100	10001011101110111
-412	100010111010010101	104	1000101110111111
-408	100010111010010110	108	1000101011011000
-404	100010111010010111	112	100010111011100111
-400	100010111010011000	116	1000101011011001
-396	100010111010011001	120	10000001100000000
-392	100010111010011010	124	1000101011011010
-388	100010111010011011	128	10000001100000001
-384	100010111010011100	132	10000001100000010
-380	100010111010011101	136	10000001100000011
-376	100010111010011110	140	10000001100000100
-372	100010111010011111	144	10000001100000101
-368	100010111010100000	148	10000001100000110
-364	100010111010100001	152	10000001100000111
-360	100010111010100010	156	10000001100001000
-356	100010111010100011	160	10000001100001001
-352	100010111010100100	164	1000000110000101
-348	100010111010100101	168	10000001100001100
-344	100010111010100110	172	10000001100001101
-340	100010111010100111	176	1000000110000111
-336	100010111010101000	180	10000001100010000
-332	100010111010101001	184	10000001100010001
-328	100010111010101010	188	10000001100010010
-324	100010111010101011	192	1000000110001010
-320	100010111010101100	196	10000001100010011
-316	100010111010101101	200	10000001100010110
-312	100010111010101110	204	10000001100010111
-308	100010111010101111	208	10000001100011000
-304	100010111010110000	212	10000001100011001
-300	100010111010110001	216	10000001100011010
-296	100010111010110010	220	1000000110001110

-292	100010111010110011	224	10000001100011011
-288	100010111010110100	228	10000001100011110
-284	100010111010110101	232	10000001100011111
-280	100010111010110110	236	10000001100100000
-276	100010111010110111	240	10000001100100001
-272	100010111010111000	244	10000001100100010
-268	100010111010111001	248	10000001100100011
-264	100010111010111010	252	10000001100100100
-260	100010111010111011	256	10000001100100101
-256	100010111010111100	260	10000001100100110
-252	100010111010111101	264	10000001100100111
-248	100010111010111110	268	10000001100101000
-244	100010111010111111	272	10000001100101001
-240	100010111011000000	276	10000001100101010
-236	100010111011000001	280	10000001100101011
-232	100010111011000010	284	10000001100101100
-228	100010111011000011	288	10000001100101101
-224	100010111011000100	292	10000001100101110
-220	100010111011000101	296	10000001100101111
-216	100010111011000110	300	10000001100110000
-212	100010111011000111	304	10000001100110001
-208	100010111011001000	308	10000001100110010
-204	100010111011001001	312	10000001100110011
-200	100010111011001010	316	10000001100110100
-196	100010111011001011	320	10000001100110101
-192	100010111011001100	324	10000001100110110
-188	100010111011001101	328	10000001100110111
-184	100010111011001110	332	10000001100111000
-180	100010111011001111	336	10000001100111001
-176	100010111011010000	340	10000001100111010
-172	100010111011010001	344	10000001100111011
-168	100010111011010010	348	10000001100111100
-164	100010111011010011	352	10000001100111101
-160	100010111011010100	356	10000001100111110
-156	1000101001011001	360	10000001100111111
-152	100010111011010101	364	10000001101000000
-148	100010111011010100	368	10000001101000001
-144	100010111011010101	372	10000001101000010
-140	100010111011010100	376	10000001101000011
-136	100010111011010101	380	10000001101000100
-132	100010111011010110	384	10000001101000101
-128	100010111011010111	388	10000001101000110
-124	100010111011100000	392	10000001101000111
-120	100010111011100001	396	10000001101001000
-116	100010111011100010	400	10000001101001001
-112	100010111011100011	404	10000001101001010
-108	100010111011100100	408	10000001101001011
-104	100010111011100101	412	10000001101001100
-100	100010111011100110	416	10000001101001101
-96	1000101001011010	420	10000001101001110
-92	1000101001001111	424	10000001101001111
-88	1000101001011011	428	10000001101010000
-84	1000101110111010	432	10000001101010001
-80	1000101001010100	436	10000001101010010
-76	1000101001010101	440	10000001101010011
-72	1000101011001010	444	10000001101010100
-68	100000011011100	448	10000001101010101

-64	10000001101101	452	10000001101010110
-60	10001010111111	456	10000001101010111
-56	1000000110111	460	10000001101011000
-52	1000101011000	464	10000001101011001
-48	100010101010	468	10000001101011010
-44	100010101011	472	10000001101011011
-40	100010111000	476	10000001101011100
-36	10001010100	480	10000001101011101
-32	1000000111	484	10000001101011110
-28	1000101111	488	10000001101011111
-24	100010110	492	10001010010011000
-20	1000001	496	10001010010011001
-16	100011	500	10001010010011010
-12	10011	504	10001010010011011
-8	1110	508	10001010010110000
-4	101	512	10001010010110001
0	0		

Table 8.B.13 — huff\_nlsf

index	huff_nlsf	index	huff_nlsf	index	huff_nlsf
7	10110011	14	1000	21	1011010
8	101101110	15	00	22	10010011
9	101101111	16	11	23	100100101
10	10110010	17	01	24	100100100
11	1001000	18	1010	25	10110110
12	1011000	19	10111		
13	100101	20	10011		

Note: Values smaller than value 8 are represented by 'escape' value 7 followed by a 3 bits unsigned integer containing the real value. Values greater than value 24 are represented by 'escape' value 25 followed by an 8 bits unsigned integer containing the real value.

Table 8.B.14 — huff\_ngain

index	huff_ngain	index	huff_ngain	index	huff_ngain
-13	010011100	-4	0100010	5	01000111
-12	010011011001	-3	010010	6	010011001
-11	010011101110	-2	01011	7	0100110111
-10	010011101011	-1	00	8	0100110100
-9	01001110100	0	1	9	01001101101
-8	01001110110	1	011	10	010011101111
-7	0100110101	2	01010	11	010011101010
-6	010011000	3	010000	12	010011011000
-5	01000110	4	01001111		

Note: Values outside the range [-12 ..12] are represented by 'escape' value -13 followed by an 8 bits signed integer containing the real value.

Table 8.B.15 — huff\_scont

index	huff_scont	index	huff_scont	index	huff_scont
0	1000	4	001	8	0000
1	0100	5	1001	9	11
2	101	6	0101		
3	011	7	0001		

Table 8.B.16 — huff\_nrofbirths

Index	huff_nrofbirths	Index	huff_nrofbirths	Index	huff_nrofbirths
0	010	21	000001001	42	000000100001110
1	1010	22	00000101	43	000000100001111
2	1110	23	000001100	44	000000100010000
3	011	24	00000001	45	000000100010001
4	110	25	0000010001	46	000000100010010
5	100	26	0000011010	47	000000100010011
6	001	27	0000011011	48	000000100010100
7	1111	28	000000100000100	49	000000100010101
8	0001	29	0000011100	50	000000100010110
9	10111	30	000000100000101	51	000000100010111
10	10110	31	000000100000110	52	000000100011000
11	0000111	32	000000100000111	53	000000100011001
12	000010	33	0000011101	54	000000100011010
13	0000110	34	000000100001000	55	000000100011011
14	00000011	35	0000011110	56	000000100011100
15	00000000	36	000000100001001	57	000000100011101
16	000000101	37	000000100001010	58	000000100011110
17	0000001001	38	0000011111	59	000000100011111
18	0000010000	39	000000100001011	60	000000100000000
19	000000100000010	40	000000100001100		
20	000000100000011	41	000000100001101		

Table 8.B.17 — huff\_iid\_df[1] and huff\_iid\_dt[1]

Index	huff_iid_df[1]	huff_iid_dt[1]	Index	huff_iid_df[1]	huff_iid_dt[1]
-30	01111111010110100	0100111011010100	1	010	00
-29	01111111010110101	0100111011010101	2	0001	01011
-28	011111110101110110	0100111011001110	3	01101	010010
-27	011111110101110111	0100111011001111	4	011101	0100001
-26	011111110101110100	0100111011001100	5	0111101	01001100
-25	011111110101110101	0100111011010110	6	01111101	010011011
-24	01111111010001010	0100111011011000	7	011111100	0100111010
-23	01111111010001011	010011101000110	8	0111111100	01001111001
-22	01111111010001000	010011101100000	9	01111111100	01001110000
-21	01111111010000000	010011100011000	10	01111110100	010011101111
-20	01111111010110110	010011100011001	11	011111101011	010011100010
-19	01111111010000010	010011101100100	12	0111111101010	0100111101010
-18	01111111010111000	010011101100101	13	01111111101010	0100111011000
-17	0111111101000010	010011101101101	14	01111111010110	01001111010111
-16	011111110101110	01001110110001	15	011111111010000	01001111010000
-15	011111110101111	01001110110111	16	0111111110101111	010011110110010
-14	01111111010001	01001111010110	17	0111111101000011	010011110100010
-13	01111111101001	0100111000111	18	01111111010111001	010011100011010
-12	0111111101001	0100111101001	19	01111111010000011	010011100011011
-11	011111101010	0100111101101	20	011111111010110111	0100111101100110
-10	011111111011	010011101110	21	01111111010000001	0100111101100111
-9	01111111011	010011110111	22	011111111010001001	0100111101100001
-8	0111111011	01001111000	23	011111111010001110	0100111101000111
-7	0111111111	0100111001	24	011111111010001111	0100111011011001
-6	01111100	010011010	25	011111111010001100	0100111011010111
-5	0111100	010011111	26	011111111010001101	0100111011001101
-4	011100	0100000	27	011111111010110010	0100111011010010
-3	01100	010001	28	011111111010110011	0100111011010011
-2	0000	01010	29	011111111010110000	0100111011010000
-1	001	011	30	011111111010110001	0100111011010001
0	1	1			

Table 8.B.18 — huff\_iid\_df[0] and huff\_iid\_dt[0]

Index	huff_iid_df[0]	huff_iid_dt[0]
-14	1111111111111011	11111111111111001
-13	1111111111111100	11111111111111010
-12	1111111111111101	11111111111111011
-11	1111111111111010	11111111111111000
-10	1111111111111100	11111111111111001
-9	1111111111111100	11111111111111010
-8	11111111111101	1111111111111101
-7	1111111110	1111111111110
-6	111111110	11111111110
-5	1111110	111111110
-4	111100	1111110
-3	11101	11110
-2	1101	1110
-1	101	10
0	0	0
1	100	110
2	1100	1110
3	11100	111110
4	111101	1111110
5	111110	111111110
6	1111110	1111111110
7	111111110	11111111110
8	11111111100	1111111111100
9	111111111100	111111111111000
10	111111111101	111111111111011
11	1111111111101	1111111111111100
12	11111111111110	1111111111111101
13	111111111111110	1111111111111110
14	111111111111111	1111111111111111

Table 8.B.19 — huff\_icc\_dt and huff\_icc\_df

Index	huff_icc_df	huff_icc_dt
-7	1111111111111	111111111110
-6	1111111111110	111111111110
-5	111111111110	111111110
-4	111111110	1111110
-3	1111110	111110
-2	11110	1110
-1	110	110
0	0	0
1	10	10
2	110	110
3	11110	11110
4	1111110	1111110
5	11111110	11111110
6	111111110	111111110
7	1111111110	1111111111

**Table 8.B.20 — huff\_ipd\_df and huff\_ipd\_dt**

Index	huff_ipd_df	huff_ipd_dt
0	1	1
1	000	010
2	0110	0010
3	0100	00011
4	0010	00010
5	0011	0000
6	0101	0011
7	0111	011

**Table 8.B.21 — huff\_opd\_df and huff\_opd\_dt**

Index	huff_opd_df	huff_opd_dt
0	1	1
1	001	010
2	0110	0001
3	0100	00111
4	01111	00110
5	01110	0000
6	0101	0010
7	000	011

## Annex 8.C (informative)

### Encoder description

This annex describes the encoder part of the parametric audio-coding algorithm.

#### 8.C.1 Technical overview

The parametric stereo coding algorithm consists of a parametric core that encodes a monaural signal  $M$  and a stereo tool that encodes the stereo image information (see Figure 8.C.1). In the case of (dual) mono coding, the parametric stereo tool is inactive.

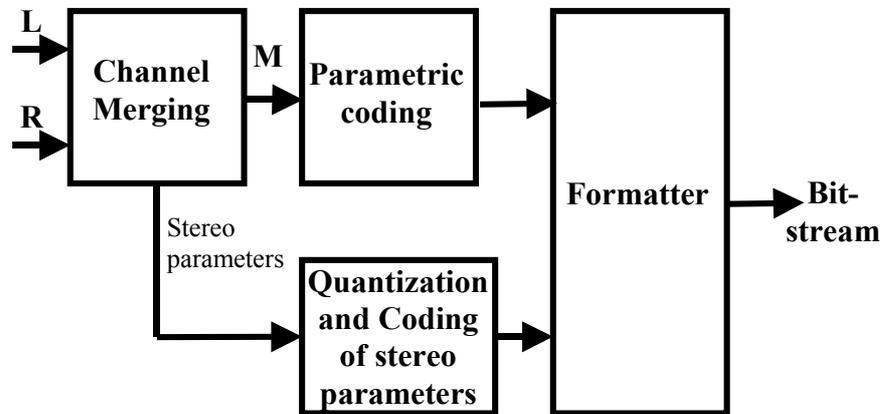


Figure 8.C.1 — Graphical overview of the parametric stereo encoding algorithm

The basic idea behind the monaural parametric audio-coding algorithm is the notion that any audio signal can be described by (or decomposed into) a number of relevant auditory events. These events can then be coded in a parsimonious manner where the parameters are directly linked to psycho-acoustic masking rules and allow manipulations in an auditory meaningful way. Three of these relevant auditory events are identified: transients, sinusoids and noise.

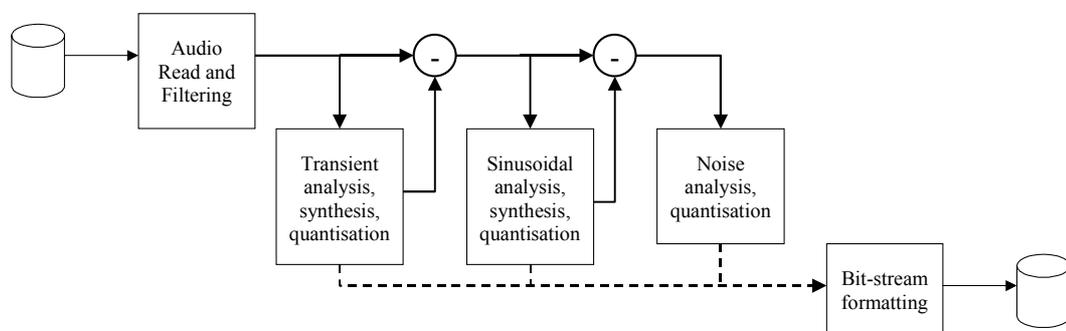


Figure 8.C.2 — functional block diagram of the encoder

The monaural audio encoding scheme can be represented as shown in Figure 8.C.2. The encoding process basically consists of three blocks, responsible for detection and evaluation of above mentioned auditory events.

Each of these blocks again consists of 3 basic parts. First, the **analysis** module estimates specific parameters of the input signal; the **synthesis** module reconstructs a synthesized signal and subtracts it from its input; finally the **quantization** module quantizes parameters, preparing them for the output data stream. In the following subclauses, it will be shown how the encoder deals with the extraction and coding of each of these components.

- 1) *Audio read and filtering*: this block reads audio data from an incoming data stream and filters it with a high pass filter to remove inaudible low frequency tones and DC.
- 2) *Transient processing block*: this block extracts transient (suddenly changing) information from the input audio data, leaving a quasi-stationary signal for further analysis. The signal is analyzed to detect transient components and estimates their corresponding parameters. With these parameters, a 'transient only' audio signal is reconstructed and subtracted from the original input signal.
- 3) *Sinusoidal processing block*: this block extracts sinusoidal information from the input audio data, leaving a noisy signal for further analysis. The signal is analyzed to detect sinusoidal components and to estimate their parameters. From the sinusoidal parameters, a 'sinusoid only'-signal is reconstructed and subtracted from the residual audio signal after transient analysis.
- 4) *Noise processing block*: this block estimates the spectral and temporal envelope parameters to match the noise spectrum of the residual audio signal after sinusoid analysis.
- 5) *Bit-stream formatter*: this block codes the parameters of transients, sinusoids and noise into a bit-stream.

The encoder is organized as a cascade of processing blocks or modules. The modules work sequentially. Each module performs specific operations on an input data buffer and fills an output data buffer. The encoder gets a new piece of data from the incoming data stream and puts it as input for the first processing module. Then it takes an output of this module and feeds it to the next one, and so forth. Output of the last module in the sequence contains all processed data that should be placed in the output data stream. Then this sequence should be repeated, until there is no more new data in the incoming data stream.

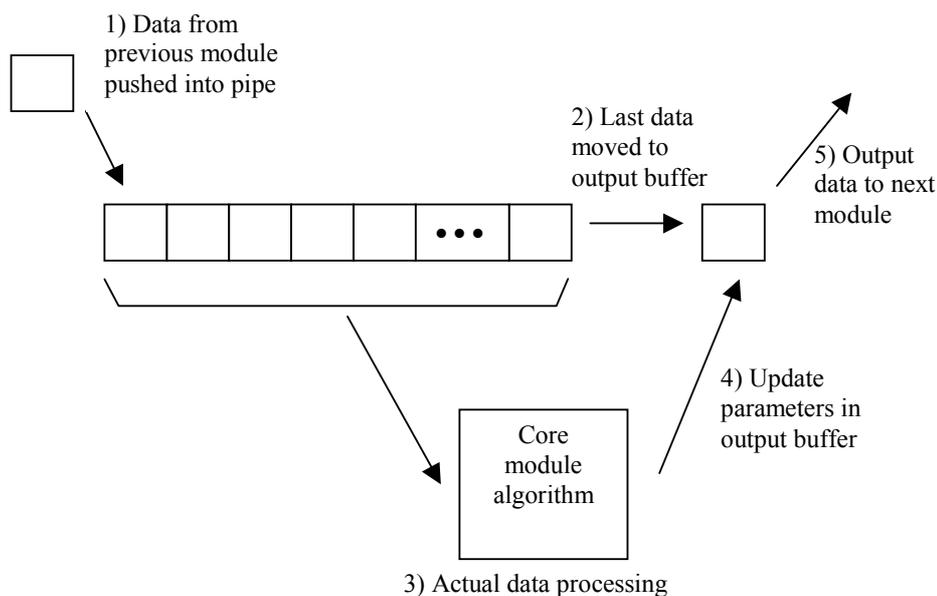


Figure 8.C.3 — Execution sequence inside a module

As it was already mentioned, the encoder does not process the whole input audio stream at once. Instead it breaks the audio stream into sub-frames of  $S$  samples (approximately 8ms at 44.1kHz) and processes them one by one. If a module requires more data, it buffers the sub-frames until it has enough to proceed with the calculations; a schematic overview of the execution sequence inside a module is shown in Figure 8.C.3. A module releases the most recently finished sub-frame for further processing.

## 8.C.2 Audio read and filtering

Very low frequencies (i.e.  $< 5$  Hz) are inaudible for the human ear. These components are not taken into account for further analysis. This module uses a first order, high pass Butterworth filter to filter off such very low frequencies. Its transfer function is

$$H(z) = \frac{-0.999643937167571 + 0.999643937167571 \cdot z^{-1}}{-0.999287874335142 + z^{-1}}$$

## 8.C.3 Transients

The transient module consists of several processes, working sequentially.

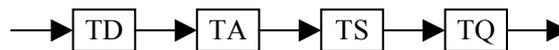


Figure 8.C.4 — Transient processing blocks

### 8.C.3.1 Transient detection (TD)

The transient detection module analyses the input audio-signal and tries to detect transient positions in the input signal. The algorithm used is the same as in [HILN].

First, it determines an envelope from the input signal. Let  $x[n]$  hold the audio sample at time  $n$ ,  $\lambda$  hold the envelope decay and  $envelope[n]$  hold the envelope curve at time  $n$ . The envelope is calculated as follows:

$$envelope[n] = \max(\lambda \cdot envelope[n-1], |x[n]|)$$

The envelope decay for transient detection is set to  $\lambda = 0.998$ . If the envelope shows a fivefold increase between adjacent samples, the module marks the first of those two samples as a transient position. After the transient position, there is a hold off period of  $S$  samples because only one transient per sub-frame is allowed.

For every transient found, the module records the location and sets a flag in the corresponding sub-frame. The location of a transient is relative to the start of the sub-frame.

### 8.C.3.2 Transient analysis (TA)

Currently two types of transient are supported:

- Meixner transient: the Meixner transient aims to indicate and model certain changes in the audio signal envelope. This transient type can model typical attacks. This transient type is characterized by the following parameters:
  - its position,
  - the attack and decay of the transient envelope (see subclause 8.6.1.2),

- underlying sinusoids (see subclause 8.6.1.3),
- **Step transient:** the step transient aims to indicate and model sudden changes in the audio signal amplitude. The step transient type is only characterized by its position.

The transient analysis module analyses two sub-frames of audio data. First the module calculates an envelope the same way as for transient detection, but the envelope decay for transient analysis is set to  $\lambda = 0.98$ . It then tries to fit all 16 possible Meixner envelopes to this envelope. See Table 8.22 for the possible combinations of  $b$  and  $\xi$ . The sum of the squared error between the Meixner envelope and the audio envelope gives a match error estimate. The combination of  $b$  and  $\xi$  that gives the least error and that does not exceed an error threshold will constitute a Meixner transient, otherwise it will be degraded to a step transient.

In addition for the 'Meixner' type, the module determines up to six sinusoidal parameters under the Meixner envelope. The procedure for extracting the partials is the same as the one used in the sinusoid analysis module. The window used for the regression part of the algorithm is the Meixner envelope. For further information on the extraction of sinusoids, see subclause 8.C.4.1.

### 8.C.3.3 Transient synthesis (TS)

The transient synthesis module reconstructs the waveform of the Meixner transient. It synthesizes a pure transient signal from previously obtained Meixner parameters and subtracts it from the incoming signal in order to create a residual signal without transient components for subsequent use by sinusoidal and noise modules. In case of a step transient, this module does not change the residual signal from the previous module.

### 8.C.3.4 Transient quantisation (TQ)

The floating-point parameters must be quantized and coded before transmission. This module quantises transient parameters – sinusoidal parameters of a Meixner envelope  $f$ ,  $a$ ,  $\varphi$ . The  $b$  and  $\xi$  parameters are already quantized according to Table 8.22. The output of this module is a set of transient parameters that are suitable for the bit-stream format.

#### 8.C.3.4.1 Meixner frequency parameters

This parameter is only present for Meixner type transients. Let  $tf$  hold the frequency of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ . The frequency is expressed in radians in the range  $[0, \pi>$ .

To convert to representation levels, the encoder quantises the floating-point frequencies on an ERB-scale, with 11.4 representation levels per ERB. The representation level  $tf_{rl}[sf][ch][n]$  is calculated as follows

$$tf_{rl}[sf][ch][n] = \lfloor 11.4 \cdot tf_{erb} + 0.5 \rfloor,$$

where

$$tf_{erb} = 21.4 \cdot \log_{10} \left( 1 + 0.00437 \cdot tf \cdot \frac{2\pi}{f_s} \right).$$

#### 8.C.3.4.2 Meixner amplitude parameters

This parameter is only present for Meixner type transients. Let  $ta$  hold the amplitude of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ .

To convert to representation levels, the encoder quantizes on a logarithmic scale with a maximum amplitude error of 1.5dB. With  $ta_b=1.1885$ , the representation level  $ta_{rl}[sf][ch][n]$  is calculated as follows

$$ta_{rl}[sf][ch][n] = \left\lfloor \frac{\log(ta)}{2 \cdot \log(ta_b)} + 0.5 \right\rfloor.$$

#### 8.C.3.4.3 Meixner phase parameters

This parameter is only present for Meixner type transients. Let  $tp$  hold the phase of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ . The phase is in the range of  $[-\pi, \pi>$ .

To convert to representation levels, the encoder quantizes on a linear scale with a maximum phase error  $tp_e$  of  $\pi/32$  radians as defined in subclause 8.5.2. The representation level  $tp_{rl}[sf][ch][n]$  is calculated as follows

$$tp_{rl}[sf][ch][n] = \left\lfloor \frac{tp}{2 \cdot tp_e} + 0.5 \right\rfloor,$$

and  $tp_{rl}$  values equal to +16 are set to -16 (because  $-\pi$  is equal to  $\pi$ ).

### 8.C.4 Sinusoids

The sinusoid set of encoder modules analyses the residual after transient subtraction for sinusoidal components. Up to 60 psycho-acoustically relevant sinusoids can be determined. This block consists of the following modules



**Figure 8.C.5 — Sinusoid processing blocks**

To improve efficiency in coding the partials, the encoder tries to form tracks of closely related partials across sub-frames. The bits-stream formatter can encode these partials differentially across sub-frames yielding a lower bit rate.

The encoder has to keep track of the tracks and the components it is made up of. For this, the encoder has three additional data structures:

- A track index: keeps a record of the duration in sub-frames of a certain track. A birth is labeled with a one; continuations are labelled two and up.
- A track next pointer: given the current component, this number gives the index into the next sub-frame for the current track.
- A track previous pointer: given the current component, this number gives the index into the previous sub-frame for the current track.

#### 8.C.4.1 Sinusoid analysis (SA)

The SA module determines frequencies using a high resolution FFT algorithm. This algorithm uses the FFT and the first derivative of the FFT to get an accurate estimate for the frequency of the sinusoidal components. The algorithm is reproduced below in pseudo MATLAB code. Let the variable  $x$  hold the input audio data buffer.

```

len = length(x);
x0 = hanning(len) .* x;
dx0 = hanning(len) .* (fs * diff(x));
dft0 = fft(x0);
dft1 = fft(dx0);

[tmp, idx] = max(dft0);
w = 2 * pi * (idx - 1) ./ len;
gain = w ./ (2 .* sin(w ./ 2));
fp = (gain ./ fs) .* (dft1(idx) ./ dft0(idx));

```

The variable `fp` now holds the frequency estimation for the highest bin in the FFT. For a detailed description and discussion of the algorithm used, see reference [FFT].

The module selects 1024 samples from the available audio data; the data gets centred on the middle of four sub-frames. It then finds every bin that has an amplitude peak relative to its neighbours. Because there are almost no relevant sinusoidal components above 10kHz, the module extracts only frequencies up to this limit. Finally, the high resolution FFT algorithm corrects the bin frequency. If the corrected frequency falls too far from the original bin, it is rejected.

After extracting frequencies for up to 60 partials, the module recalculates the Fourier transform of the data on the frequency estimate to obtain an amplitude estimate. The phase is estimated from the original bin and then corrected to minimize the error of the estimation.

#### 8.C.4.2 Sinusoid synthesis (SS)

The sinusoid synthesis module synthesizes sinusoid components. The module uses a 50% overlap-and-add strategy. The sinusoid parameters of a sub-frame get synthesized over  $L$  samples, windowed by a Hanning window and added to the current synthesized signal. Thus each synthesized signal effectively consists of sinusoids from the current and previous sub-frames, allowing smooth transitions on the sub-frame boundaries. The residual passed on to the next module is the residual audio data after transient synthesis minus the synthesized signal from this module.

#### 8.C.4.3 Sinusoid psycho (SP)

Psycho-acoustic modelling decreases the amount of information to be encoded in the bit stream by removing the sinusoids that are irrelevant for the human ear (as represented by the psycho-acoustic model). For example, we can remove one of two sinusoids that are close enough in frequency, if its amplitude is much lower, because it is imperceptible by the human auditory system. This phenomenon is known as “masking”.

The goal of this module is to determine the SMR for each sinusoidal component and to later eliminate ‘definitely imperceptible’ components in order to obtain a list of ‘perceptually relevant’ sinusoidal components. The algorithm used here is the same as in [HILN].

First, a psycho acoustical model is applied to the analysis interval, which results in a ‘masking curve’ for that analysis interval. Each candidate sinusoid is then compared with respect to this ‘masking curve’, resulting in a SMR for each sinusoidal component. This information is passed on for further processing.

#### 8.C.4.4 Sinusoid remove (SR)

The sinusoid remove module takes the SMR of each partial and compares it to a certain threshold-parameter. If a component falls below the threshold, the component is removed from the data structure. The intended result is to eliminate ‘perceptually irrelevant’ sinusoids.

#### 8.C.4.5 Sinusoid tracking (ST)

To improve the coding efficiency of sinusoidal components, this module tries to link sinusoidal components across sub-frames. This way, the frequency and amplitude parameters can be encoded differentially. To link

two components across sub-frames, compare frequency and amplitude of every component in the first sub-frame and try to match that to another component in the second sub-frame. The maximum allowed deviation for the frequency is 0.4 ERB and the maximum allowed deviation for the amplitude is a factor of three. The algorithm used here is the same as in [HILN].

#### 8.C.4.6 Sinusoid quantisation (SQ)

The floating-point parameters must be quantized and coded before transmission. This module quantizes sinusoid parameters for frequency, amplitude and phase. The output of this module is a set of sinusoidal parameters that are suitable for the bit-stream format.

The granularity of the quantisation of the sinusoidal frequencies and amplitudes, denoted with *freq\_granularity* and *amp\_granularity* respectively, can be changed every frame. This influences continuing sinusoids that pass the frame boundary. As an example: assume a sinusoid with representation level 15 in sub-frame *sf-1* (where *amp\_granularity* = 0), which continues into sub-frame *sf* with representation level 8 and *amp\_granularity* of 3 (see Figure 8.C.6). As the differences within this sub-frame *sf* are given using a *amp\_granularity* of 3 it is not possible to represent the difference of  $-7$ , only  $-8$  can be represented on this grid.

		Q					
		0	1	2	3		
	16	○	○	○	○	16	
	15	○					
	14	○	○				
	13	○					
representation level	12	○	○	○			representation level
	11	○					
	10	○	○				
	9	○					
	8	○	○	○	○	8	
	7	○					
	6	○	○				
	5	○					
	4	○	○	○			
	3	○					
	2	○	○				
	1	○					
	0	○	○	○	○	0	

**Figure 8.C.6 — Representation levels as function of quantisation grid**

Prior to coding an amplitude difference, going from sub-frame *sf-1* to *sf*, the previous amplitude representation level of sub-frame *sf-1*, is first converted to the granularity of the current sub-frame *sf*. So the representation level of the amplitude from which the difference will be coded will be first calculated as

$$\text{value\_prev\_sa} = 2^{\text{amp\_granularity}} \left\lfloor \frac{\text{value\_prev\_sa}}{2^{\text{amp\_granularity}}} + 0.5 \right\rfloor,$$

where *value\_prev\_sa* denotes the amplitude value of the previous sub-frame *sf-1* and *amp\_granularity* represents the granularity of the current sub-frame.

##### 8.C.4.6.1 Amplitude quantization for births and continuations

Let *sa* hold the amplitude of the *n*<sup>th</sup> sinusoid in sub-frame *sf*, channel *ch*. To convert to representation levels, the encoder quantizes the floating-point amplitudes on a logarithmic scale with a maximum amplitude error of 0.1875dB. With  $s_a = 1.0218$ , the (integer) representation level  $s_{a,n}[sf][ch][n]$  is calculated as follows

$$sa_{rl}[sf][ch][n] = \left\lfloor \frac{\log(sa)}{2 \cdot \log(sa_b)} + 0.5 \right\rfloor.$$

**8.C.4.6.2 Frequency quantization for births**

Let  $f$  hold the frequency of the  $n^{\text{th}}$  sinusoid in sub-frame  $sf$ , channel  $ch$ . The frequency is expressed in radians. To convert to representation levels, the encoder quantizes the floating-point frequencies to an ERB-scale with 91.2 representation levels per ERB. The (integer) representation level  $f_{rl}[sf][ch][n]$  is calculated as follows

$$f_{rl}[sf][ch][n] = \left\lfloor 91.2 \cdot \text{erfb}\left(\frac{2\pi f}{f_s}\right) + 0.5 \right\rfloor.$$

Where  $\text{erfb}()$  is the function defined in subclause 8.C.3.4.1.

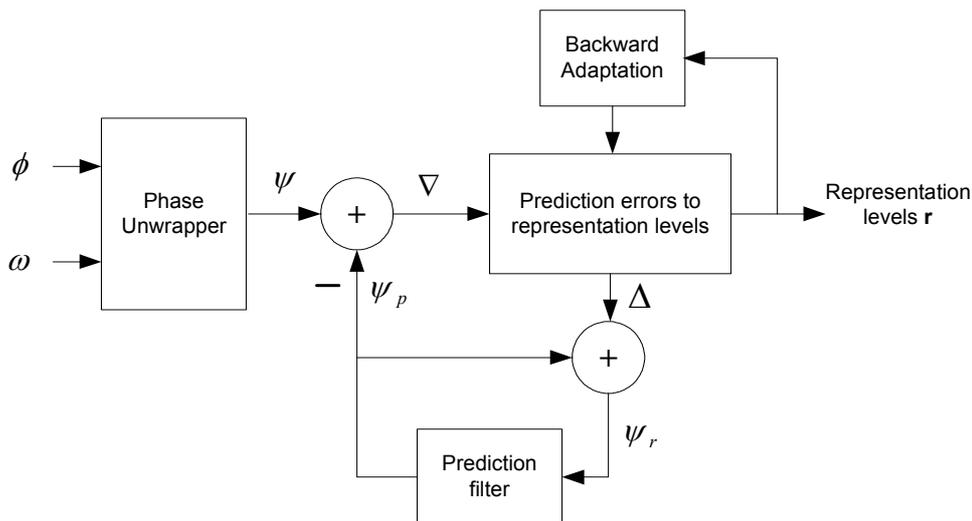
**8.C.4.6.3 Phase quantization for births**

Let  $p$  hold the phase of the  $n^{\text{th}}$  sinusoid in sub-frame  $sf$ , channel  $ch$ . The phase is expressed in radians in the range  $[-\pi, \pi]$ . To convert to representation levels, the encoder quantizes the floating-point phases on a linear scale with a maximum phase error  $p_e$  of  $\pi/32$  radians. The integer representation level  $sp_{rl}[sf][ch][n]$  is calculated as follows

$$sp_{rl}[sf][ch][n] = \left\lfloor \frac{p}{2 \cdot p_e} + 0.5 \right\rfloor,$$

and  $p_{rl}$  values equal to +16 are set to -16 (because  $-\pi$  is equal to  $\pi$ ).

**8.C.4.6.4 Frequency and phase quantization for continuations**



**Figure 8.C.7 — The phase/frequency encoding system for continuations**

The encoder structure is shown in Figure 8.C.7. The blocks ‘Backward Adaptation’ and ‘Prediction Filter’ are already present in the decoder and they have the same functionality. The two new blocks are the phase unwrapper and the prediction error quantizer in block ‘Phase Un-wrapper’ and ‘Prediction errors to representation levels’ respectively.

Suppose we have a sinusoidal track of length  $\kappa$ , in frames  $sf = [K, K+1, \dots, K+\kappa-1]$  with frequencies  $f[sf][ch][n]$  (in radians) and phases  $p[sf][ch][n]$  (in radians) and the time distance between the sub frames which is represented by  $S$ . The phases are restricted by  $-\pi \leq p \leq \pi$ . The unwrapped phase is calculated by:

$$\psi[sf][ch][n] = p[sf][ch][n] + 2\pi \cdot m[sf][ch][n],$$

where for  $sf = K+1, \dots, K+\kappa-1$ :

$$m[sf][ch][n] = e[sf][ch][n] + m[sf-1][ch][q],$$

where  $q$  represents the sinusoid index for sub-frame  $sf-1$  and for  $sf = K$ :

$$m[K][ch][n] = 0.$$

The function  $e$  is the incremental unwrap factor that is defined as:

$$e[k] = \text{round}\left(\frac{f_{diff} \cdot S / 2 - p_{diff}}{2\pi}\right),$$

with

$$f_{diff} = f[sf][ch][n] - f[sf-1][ch][q],$$

$$p_{diff} = p[sf][ch][n] - p[sf-1][ch][q].$$

The prediction error  $\nabla[sf][ch][n]$  is calculated according to:

$$\nabla[sf][ch][n] = \psi[sf][ch][n] - \psi_p[sf][ch][n].$$

The quantisation is done as follows. The prediction error  $\nabla$  is compared to the boundaries  $b$ , such that the following equation is satisfied:

$$bl_i < \frac{\nabla[sf][ch][n]}{c[sf][ch][n]} \leq bu_i.$$

From the value of  $i$ , that satisfies the above relation, the representation level  $s\_delta\_cont\_freq\_pha$  is set to the index in Table 8.C.1.

**Table 8.C.1 —Quantisation table used for first continuation**

Index $i$	Lower boundaries $bl$	Upper boundary $bu$
0	$-\infty$	$-0.75 \cdot 2$
1	$-0.75 \cdot 2$	0
2	0	$0.75 \cdot 2$
3	$0.75 \cdot 2$	$\infty$

In order to minimize the additional delay in the decoder, the representation levels  $s\_delta\_cont\_freq\_pha$  are transmitted two sub-frames ahead. So, the representation level for sub-frame  $sf$  is transmitted in sub-frame  $sf-2$ . If the track is started in frame  $sf-1$ , the representation level of sub-frame  $sf$  will be transmitted in sub-frame  $sf-1$ . Therefore, a start of the track can contain up to 2 representation levels. In case of a refresh frame, the representation levels are transmitted in the same way as if the track would end in the last sub-frame before first sub-frame in the refresh-frame. The field  $refresh\_sinusoids\_next\_frame$  is introduced for this purpose. For

the first sub-frame of a refresh frame, the index to Table 8.35 that corresponds to  $0.75c[sf][ch][n]$  is used as  $s\_adpcm\_grid$ .

### 8.C.5 Noise

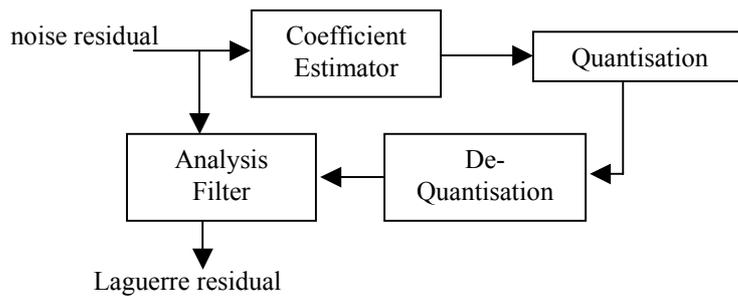
The noise is the last object to be analyzed by the SSC encoder. As illustrated in Figure 8.C.8, it consists of three modules.



**Figure 8.C.8 — Noise processing blocks. NA = Noise analysis, NT = Noise temporal envelope and NQ = Noise quantization**

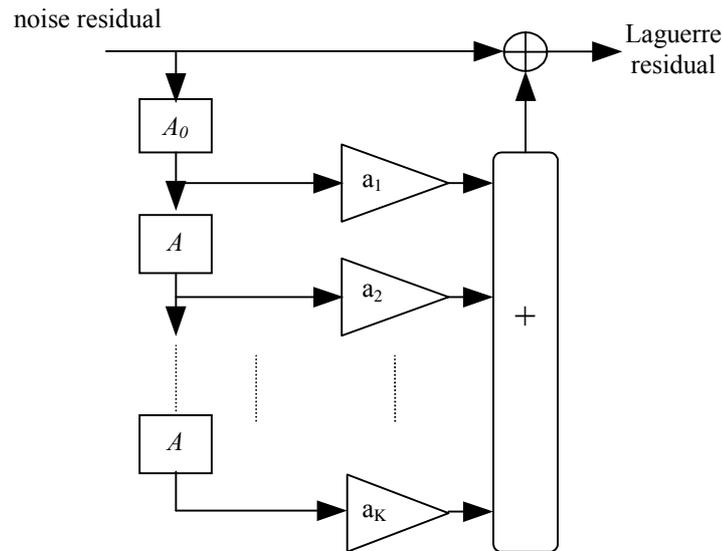
#### 8.C.5.1 Noise analysis (NA)

This module uses Laguerre modelling for noise parameterization. It takes the residual from the previous encoding stages as an input signal (see Figure 8.C.9). The Laguerre prediction filter is used as a means of modelling the noise colouring of the residual signal. A spectral estimation is performed, producing a whitened residual and a stream of quantized parameters.



**Figure 8.C.9 — Laguerre noise encoder**

To ensure the stability of the algorithm, a high pass filter processes the signal to remove low frequency oscillations. The module then takes a segment of  $L$  samples of audio data, windows it with a Hanning window and estimates the optimal Laguerre prediction coefficients  $a_1, a_2, \dots, a_K$  of the analysis filter AF (see Figure 8.C.10). It has been shown that for windowed input signals the AF is a minimum phase filter that performs whitening (reference [Laguerre1]). The prediction coefficients are calculated using the Levinson-Durbin algorithm.



**Figure 8.C.10 — Laguerre analysis filter**

The filter  $A_0$  is a first-order filter. The filters  $A$  are first-order all-pass sections.

$$A_0(z) = \sqrt{1 - \lambda^2} \frac{z^{-1}}{1 - z^{-1}\lambda}$$

$$A(z) = \frac{-\lambda + z^{-1}}{1 - z^{-1}\lambda}$$

The Laguerre pole  $\lambda$  can be chosen such that the resulting frequency resolution of the analysis filter resembles that of the auditory system. The Laguerre coefficients are converted to the LAR coefficients that are written into the bit stream. First the Laguerre coefficients are calculated and then transformed to FIR coefficients (see 8.C.5.3.1). The normalized FIR coefficients are transformed to parcors (see 8.C.5.3.2) and then quantized by transforming them to LARs (Log Area Ratios) (see 8.C.5.3.3). Time differential encoding is employed. Conventional quantization is applied. Two different quantization scales are used (7 bit and 9 bit) and the applied scale is signalled to the decoder in `n_laguerre_granularity`. For more information on the algorithm, see reference [Laguerre2].

### 8.C.5.2 Noise Temporal Envelope (NT)

Using the inverse filtered (i.e. Laguerre filtered) noise residual, the temporal envelope is estimated (see Figure 8.C.11). This envelope is represented by 1 gain parameter and a number of LSF encoded LPC parameters per 4 sub-frames. The temporal envelope is typically estimated by applying an FFT onto the time signal and subsequently applying LPC analysis. The LPC coefficients  $a$  thus obtained, are transformed into LSF parameters  $lsf[n]$ , for  $n = [0, \dots, n\_nr\_of\_lsf-1]$ , where `n_nr_of_lsf` is the LPC order. There are well known algorithms available to apply this conversion, see e.g. [Rothweiler].

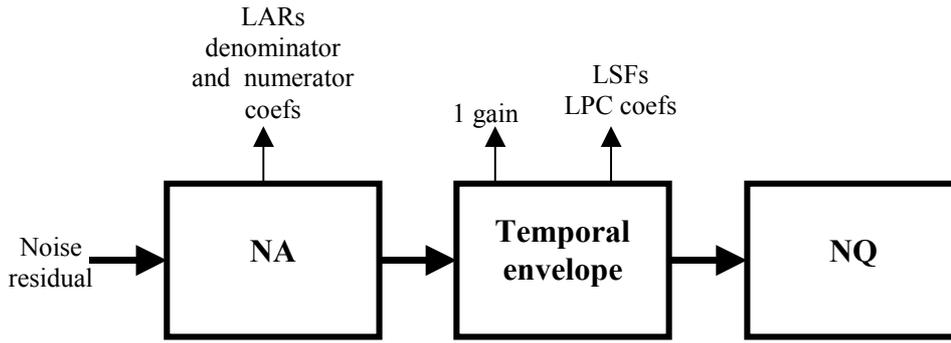


Figure 8.C.11 — Temporal envelope estimation

Gain parameter G is obtained by

$$G = \sqrt{\frac{\sum_i x[n]^2}{\sum_i e[n]^2}}$$

where x is the time signal on which the FFT is applied and e is the impulse response of filter  $1/A(z)$ . The filter  $1/A(z)$  uses LPC coefficients a.

**8.C.5.3 Noise quantisation (NQ)**

This module quantizes the saved noise parameters to send to the bit-stream. The output of this module is a set of noise parameters that are suitable for the bit-stream format.

The filter parameters of numerator and denominator are first converted into LAR coefficients, because quantization of LAR coefficients influences the filter characteristic less than that of a- or reflection parameters.

**8.C.5.3.1 Convert Laguerre coefficients into FIR coefficients**

The FIR coefficients p' are generated from the Laguerre coefficients α by the following algorithm, where  $\zeta = \sqrt{1-\lambda^2}$  :

$$p_0 = 1 - \lambda \frac{\alpha_1}{\zeta},$$

$$p_k = -(\alpha_k + \lambda \alpha_{k+1}) / \zeta, \text{ for } k = [1, \dots, n\_nr\_of\_den - 1],$$

$$p_k = -\alpha_k / \zeta,$$

$$p'_k = p_k / p_0,$$

**8.C.5.3.2 Convert FIR coefficients into parcors**

The following algorithm in C code describes the conversion of m+1 FIR a-parameters p' into m (m=n\_nrof\_den) parcors rfc.

```

/* NOTE: p[0] must be 1 */
for (k = 0; k < m; k++)
{
    d[k] = -p'[k+1];
}
for (k = m-1; k >= 0; k-)
{
    rfc[k] = d[k];
    for (i = 0; i < k; i++)
    {
        tmp[i] = (d[i] + rfc[k] * d[k-i-1]) / (1 - rfc[k]*rfc[k]);
    }
    for (i = 0; i < k; i++)
    {
        d[i] = tmp[i];
    }
    rfc[k] = -rfc[k];
}

```

#### 8.C.5.3.3 Convert parcors into LAR coefficients

The following algorithm in C code describes the conversion of  $m$  parcors  $rfc$  into  $m$  LAR coefficients  $lar$ .

```

for (k = 0; k < m; k++)
{
    lar[k] = loge((1+rfc[k])/(1-rfc[k]));
}

```

#### 8.C.5.3.4 Quantisation of LAR coefficients

The floating point LAR coefficients typically have a range of  $[-8, +8]$ . LAR coefficients outside this range may be mapped onto the closest boundary (e.g.  $-9.1$  is mapped onto  $-8$ ). The quantisation step size is defined as  $\Delta_{LAR}$  in subclause 8.6.3.3.1. The encoder determines the representation level  $nlar_{rl}$  for a LAR coefficient  $lar[k]$  as follows

$$nlar_{rl}[k] = \min \left( \max \left( \left\lfloor \frac{lar[k]}{\Delta_{LAR}} + 0.5 \right\rfloor, -(2^{lar\_bits-1} - 1) \right), 2^{lar\_bits-1} - 1 \right).$$

where  $lar\_bits=9$ .

#### 8.C.5.3.5 Quantisation of gains

Gains are quantised on a logarithmic scale using the following equation

$$ngain_{rl} = \begin{cases} 0 & G == 0 \\ \lfloor 20 \cdot \log_{10}(G) \rfloor + 21 & \text{otherwise} \end{cases}$$

#### 8.C.5.3.6 Quantization of LSF parameters

The LSF parameters are uniformly quantized using the equation

$$nlsf_{rl}[k] = \left\lfloor \frac{lsf[k]}{\pi} \cdot 255 + \frac{1}{2} \right\rfloor.$$

## 8.C.6 Parametric stereo

### 8.C.6.1 Mono signal generation

The monaural signal is generated according to

$$M = \frac{L + R}{2}.$$

### 8.C.6.2 Parameter estimation

In order to estimate the stereo parameters the signals M, L and R are analyzed using the hybrid filterbank as in Figure 8.20 and Figure 8.22 for the 10,20 bands and 34 bands configuration respectively. This results in the (sub-)subband domain signals  $m(k, n)$ ,  $l(k, n)$  and  $r(k, n)$ .

To estimate the parameters at sample position  $n'$  the following is calculated:

$$\begin{aligned} e_l(b) &= \sum_{k=k_l}^{k_h} \sum_{n=0}^{L-1} \left| l \left( k, n' - \frac{L}{2} + 1 + n \right) \right|^2 + \varepsilon \\ e_r(b) &= \sum_{k=k_l}^{k_h} \sum_{n=0}^{L-1} \left| r \left( k, n' - \frac{L}{2} + 1 + n \right) \right|^2 + \varepsilon \\ e_R(b) &= \sum_{k=k_l}^{k_h} \sum_{n=0}^{L-1} l \left( k, n' - \frac{L}{2} + 1 + n \right) r^* \left( k, n' - \frac{L}{2} + 1 + n \right) + \varepsilon \\ e_o(b) &= \sum_{k=k_l}^{k_h} \sum_{n=0}^{L-1} l \left( k, n' - \frac{L}{2} + 1 + n \right) m^* \left( k, n' - \frac{L}{2} + 1 + n \right) + \varepsilon \end{aligned}$$

where  $e_l(b)$ ,  $e_r(b)$  and  $e_R(b)$  are the left channel excitation, the right channel excitation, the non-normalized cross-channel excitation between left and right channel and the non-normalized cross-channel excitation between left and mono channel for stereo bin  $b$  respectively,  $L$  the segment length,  $\varepsilon$  a very small value preventing division by zero ( $\varepsilon = 1e-10$ ). The summation over  $k$  is shown in Table 8.C.2 and Table 8.C.3 for 20 bands and 34 bands respectively. In the case of 10 bands, Table 8.45 needs to be applied additionally.

Table 8.C.2 — Summation range in 71 sub subbands in case of 20 bands.

Parameter index $b$	sub subband index range $k$	QMF channel
0	2	0
1	3	0
2	4	0
3	5	0
4	6	1
5	7	1
6	8	2
7	9	2
8	10	3
9	11	4
10	12	5
11	13	6
12	14	7
13	15	8
14	16-17	9-10
15	18-20	11-13
16	21-24	14-17
17	25-29	18-22
18	30-41	23-34
19	42-70	35-63

Table 8.C.3 — Summation range in 91 sub subbands in case of 34 bands.

Parameter index <i>b</i>	sub subband index range <i>k</i>	QMF channel
0	0	0
1	1	0
2	2	0
3	3	0
4	4	0
5	5	0
6	16	1
7	17	1
8	18	1
9	19	1
10	20	2
11	21	2
12	26	3
13	27	3
14	28	4
15	29	4
16	32	5
17	33	6
18	34	7
19	35	8
20	36	9
21	37	10
22	38-39	11-12
23	40-41	13-14
24	42-43	15-16
25	44-45	17-18
26	46-47	19-20
27	48-50	21-23
28	51-53	24-26
29	54-56	27-29
30	57-59	30-32
31	60-63	33-36
32	64-67	37-40
33	68-90	41-63

The IID, denoted as  $iid(b)$ , the IPD, denoted as  $ipd(b)$ , the OPD, denoted as  $opd(b)$ , and the ICC, denoted as  $\rho(b)$ , for each stereo band  $b$  are calculated as:

$$iid(b) = 10 \log_{10} \left( \frac{e_i(b)}{e_r(b)} \right)$$

$$ipd(b) = \angle e_r(b)$$

$$opd(b) = \angle e_o(b)$$

$$\rho(b) = \frac{|e_r(b)|}{\sqrt{e_i(b)e_r(b)}}$$

where  $\angle$  represents the (four-quadrant) angle of a complex value.

Finally, these values are quantized (accordingly Table 8.25 or Table 8.26, Table 8.28 and Table 8.31) and coded into the bit-stream.

### 8.C.6.3 Quantization of IID

The IIDs are quantized according:

$$iid_{ri}(b) = \arg(\min |iid(b) - \mathbf{IID}|),$$

where  $\mathbf{IID}$  represents the repertoire of IID values given in Table 8.25 and Table 8.26.

### 8.C.6.4 Quantization of ICC

The ICCs are quantized according:

$$icc_{ri}(b) = \arg(\min |icc(b) - \mathbf{ICC}|),$$

where  $\mathbf{ICC}$  represents the repertoire of ICC values given in Table 8.28.

### 8.C.6.5 Quantization of IPD and OPD

The IPD and OPD values are quantized according to:

$$\begin{aligned} ipd_{ri}(b) &= \arg(\min |\text{mod}(ipd(b), 2\pi) - \mathbf{ANG}|) \quad , \\ opd_{ri}(b) &= \arg(\min |\text{mod}(opd(b), 2\pi) - \mathbf{ANG}|) \quad , \end{aligned}$$

where  $\mathbf{ANG} = \{0, \pi/4, 2\pi/4, 3\pi/4, \pi, 5\pi/4, 6\pi/4, 7\pi/4, 2\pi\}$ . Finally, in case  $ipd_{ri}(b) = 8$  it is set to  $ipd_{ri}(b) = 0$ . Likewise, in case  $opd_{ri}(b) = 8$  it is set to  $opd_{ri}(b) = 0$ .

## 8.C.7 Bit-Stream Formatter

All processed sub-frames go into the bit-stream module. This module joins parameters of 8 subsequent sub-frames into one frame, applying loss-less coding (e.g. differences of parameters instead of their absolute value and Huffman coding) in order to save space. To enable random access to the soundtrack and to enable playback in case when one frame was lost during transmission, "refresh frames" can be sent (periodically) with absolute values of parameters.

### 8.C.7.1 Transients

#### 8.C.7.1.1 Transient location

This parameter is coded into a number of bits, depending on  $S$

$$\#bits = \lceil \log_2(S) \rceil.$$

#### 8.C.7.1.2 Meixner sinusoids

Let  $tf_{ri}[sf][ch][n]$  hold the frequency representation level of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ . The coded version of this variable  $t\_freq[sf][ch][n]$  is defined as follows

$$t\_freq[sf][ch][n] = tf_{ri}[sf][ch][n].$$

Let  $ta_{ri}[sf][ch][n]$  hold the amplitude representation level of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ . The coded version of this variable  $t\_amp[sf][ch][n]$  is defined as

$$t\_amp[sf][ch][n] = ta_{ri}[sf][ch][n].$$

Let  $tp_{ri}[sf][ch][n]$  hold the phase representation level of the  $n^{\text{th}}$  sinusoid under the Meixner transient in sub-frame  $sf$  of channel  $ch$ . The coded version of this variable  $t\_phi[sf][ch][n]$  is defined as

$$t\_phi[sf][ch][n] = tp_{ri}[sf][ch][n].$$

### 8.C.7.2 Sinusoids

The bit-stream formatter exploits redundancy between births by coding the frequency and amplitude of the first birth in a sub-frame absolute and the rest of the births relative to the first one. It also exploits redundancy between successive parameters in a continuation; amplitude parameters are differentially coded between sub-frames. The Frequency and phase information is coded by an ADPCM quantizer. For the sinusoids in the first sub-frame of a frame,  $s\_cont$  is determined as described in 8.6.2.1.

#### 8.C.7.2.1 Absolute parameters for births

Let  $f_{ri}[sf][ch][n]$  hold the representation level of the frequency of the  $n^{\text{th}}$  sinusoid in sub-frame  $sf$  of channel  $ch$  and let  $Qf$  hold the granularity of the frequency quantisation grid. The frequency representation level is converted to  $s\_freq[sf][ch][n]$  as follows

$$s\_freq[sf][ch][n] = \left\lfloor \frac{f_{ri}[sf][ch][n]}{2^{Qf}} + 0.5 \right\rfloor \cdot 2^{Qf}.$$

From this value  $s\_freq\_coarse[sf][ch][n]$  and  $s\_freq\_fine[sf][ch][n]$  are determined as:

$$s\_freq\_coarse[sf][ch][n] = \left\lfloor \frac{s\_freq[sf][ch][n]}{8} + 0.5 \right\rfloor \cdot 8,$$

$$s\_freq\_fine[sf][ch][n] = (s\_freq[sf][ch][n] - s\_freq\_coarse[sf][ch][n]) / 2^{Qf}.$$

The value  $s\_freq\_coarse[sf][ch][n]$  is coded into the bit-stream using Table 8.B.9. The value  $s\_freq\_coarse[sf][ch][n]$  is directly inserted into the bit stream.

Let  $a_{ri}[sf][ch][n]$  hold the representation level of the amplitude of the  $n^{\text{th}}$  sinusoid in sub-frame  $sf$  of channel  $ch$  and let  $Qa$  hold the granularity of the amplitude quantisation grid. The amplitude representation level is converted into  $s\_amp[sf][ch][n]$  as follows

$$s\_amp[sf][ch][n] = \left\lfloor \frac{a_{ri}[sf][ch][n]}{2^{Qa}} + 0.5 \right\rfloor \cdot 2^{Qa}.$$

From this value  $s\_amp\_coarse[sf][ch][n]$  and  $s\_amp\_fine[sf][ch][n]$  are determined as:

$$s\_amp\_coarse[sf][ch][n] = \left\lfloor \frac{s\_amp[sf][ch][n]}{8} + 0.5 \right\rfloor \cdot 8,$$

$$s\_amp\_fine[sf][ch][n] = (s\_amp[sf][ch][n] - s\_amp\_coarse[sf][ch][n]) / 2^{Qa}.$$

The value  $s\_amp\_coarse[sf][ch][n]$  is coded into the bit-stream using Table 8.B.2. The value  $s\_amp\_coarse[sf][ch][n]$  is directly inserted into the bit stream.

Let  $p_{ri}[sf][ch][n]$  hold the representation level of the phase of the  $n^{\text{th}}$  sinusoid in sub-frame  $sf$  of channel  $ch$ . This variable is directly coded in  $s\_phi[sf][ch][n]$  according to

$$s\_phi[sf][ch][n] = p_{r_l}[sf][ch][n].$$

### 8.C.7.2.2 Absolute parameters for continuations

In case of a refresh frame, the parameters for continuations are coded using their absolute values. In addition, phase information is also encoded in the stream. Coding frequency and amplitude uses the same formulas as in subclause 8.C.7.2.1. Furthermore, for continuations, `s_adpcm_grid` is encoded into the bitstream using Table 8.B.1.

### 8.C.7.2.3 Differential parameters for births

Per sub-frame all births are sorted by frequency in ascending order, the lowest frequency birth is encoded as absolute parameter using `s_freq[sf][ch][n]`. The remaining births in the sub-frame are differentially coded with respect to the previous birth in this sub-frame. Phase is coded as absolute value.

Let  $f_{r_l}[sf][ch][n]$  hold the representation level of the birth frequency of the  $n^{\text{th}}$  sinusoid in sub-frame `sf`, channel `ch`. The encoder determines the difference between the frequency representation level of  $n^{\text{th}}$  and  $(n-1)^{\text{th}}$  birth in the sub-frame and converts this into `s_delta_birth_freq[sf][ch][n]` as follows

$$s\_delta\_birth\_freq[sf][ch][n] = f_{r_l}[sf][ch][n] - f_{r_l}[sf][ch][n-1].$$

Similar to the absolute frequency births this value is split into `s_delta_birth_freq_fine[sf][ch][n]` (directly coded) and `s_delta_birth_freq_coarse[sf][ch][n]`, coded using Table 8.B.10.

Let  $a_{r_l}[sf][ch][n]$  hold the representation level of the birth amplitude of the  $n^{\text{th}}$  sinusoid in sub-frame `sf`, channel `ch`. The encoder determines the difference between the amplitude representation level of  $n^{\text{th}}$  and  $(n-1)^{\text{th}}$  birth in the sub-frame and converts this into `s_delta_birth_amp[sf][ch][n]` as follows

$$s\_delta\_birth\_amp[sf][ch][n] = a_{r_l}[sf][ch][n] - a_{r_l}[sf][ch][n-1].$$

Similar to the absolute amplitude births this value is split into `s_delta_birth_amp_fine[sf][ch][n]` (directly coded) and `s_delta_birth_amp_coarse[sf][ch][n]`, coded using Table 8.B.3.

### 8.C.7.2.4 Differential parameters for continuations

Let  $a_{r_l}[sf][ch][n]$  hold the representation level of the continuation amplitude of the  $n^{\text{th}}$  sinusoid in sub-frame `sf`, channel `ch`. Let `track_prev(sf, ch, n)` hold the index into the previous sub-frame for  $a_{r_l}[sf][ch][n]$ . The encoder determines the difference between the amplitude index of current and previous sub-frame and codes that using Table 8.B.5, Table 8.B.6, Table 8.B.7 or Table 8.B.8 depending on the amplitude granularity of the current frame in `s_delta_birth_amp[sf][ch][n]` as follows

$$s\_delta\_cont\_amp[sf][ch][n] = a_{r_l}[sf][ch][n] - a_{r_l}[sf-1][ch][track\_prev(sf, ch, n)].$$

For frequencies and phases `s_delta_cont_freq pha[sf][ch][n]` are directly inserted into the bitstream.

### 8.C.7.3 Noise

#### 8.C.7.3.1 Parameter $\lambda$

Two bits are used for the parameter  $\lambda$  of the Laguerre noise analysis filter - `n_laguerre[ch]`. One additional bit - `n_laguerre_granularity[sf][ch]` - is used for signalling the quantization step for the Laguerre parameters.

#### 8.C.7.3.2 Absolute lar parameters for noise

The encoder directly codes the representation levels  $nlar_{r_l}$  into the bit-stream

$$n\_lar\_den\_coarse[sf][ch][n] = 4 \cdot \lfloor nlar_{rl}[n] / 4 \rfloor.$$

Huffman Table 8.B.12 is used to encode  $n\_lar\_den\_coarse$ . If  $n\_laguerre\_granularity == \%1$ , then:

$$n\_lar\_den\_fine[sf][ch][n] = nlar_{rl}[n] - n\_lar\_den\_coarse[sf][ch][n].$$

Then  $n\_lar\_den\_fine$  is inserted in the bitstream.

### 8.C.7.3.3 Differential lar parameters for noise

The encoder determines the difference between the lar coefficient of the current and previous sub-frame and codes that directly in  $n\_delta\_lar\_den[sf][ch][n]$  as follows

$$n\_delta\_lar\_den\_coarse[sf][ch][n] = 4 \cdot \lfloor (nlar_{rl}[n] - nlar_{rl,psf}[n]) / 4 \rfloor$$

where  $nlar_{rl,psf}$  is the representation level of the previous sub-frame. If  $n\_laguerre\_granularity == \%1$ , then:

$$n\_delta\_lar\_den\_fine[sf][ch][n] = (nlar_{rl}[n] - nlar_{rl,psf}[n]) - n\_delta\_lar\_den\_coarse[sf][ch][n].$$

### 8.C.7.3.4 Noise gain parameters

The first gain of a refresh frame is coded by their absolute value.

$$n\_gain[sf][ch] = ngain_{rl}.$$

All following noise gains are encoded differentially.

$$n\_delta\_gain[sf][ch] = ngain_{rl} - ngain_{rl,p4sf},$$

where  $ngain_{rl,p4sf}$  represents the gain representation level for sub-frame sf-4.

For  $i=0$ ,  $n\_lsf[sf][ch][0] = nlsf_{rl}[0]$ . For  $i > 0$ ,  $n\_delta\_lsf[sf][ch][i] = nlsf_{rl}[i] - nlsf_{rl}[i-1]$ . Then  $n\_lsf$  and  $n\_delta\_lsf$  are Huffman encoded using Table 8.B.13. If the representation levels  $nlsf_{rl}$  of the current frame are similar to the ones of the previous frame, the current ones can be substituted by the previous ones. This is indicated by bit  $n\_overlap\_lsf$  (see 8.6.3.2.1).

### 8.C.7.4 Parametric stereo parameters

Either time or frequency differential coding is applied to the IID, IPD, OPD and ICC parameters. For all parameters the same type of coding applies. As an example the coding for IIDs is given. In case of frequency differential coding the indices are determined as:

$$\begin{aligned} iid\_par\_df[e][0] &= iid_{rl}(0) \\ iid\_par\_df[e][b] &= iid_{rl}(b) - iid_{rl}(b-1) \quad \text{for } b > 0 \end{aligned}$$

In case of time differential coding the indices are determined as:

$$iid\_par\_dt[e][b] = iid_{rl}(b) - iid_{rl,pse}(b)$$

where  $iid_{rl,pse}(b)$  represents the b-th IID representation level of the previous stereo envelope. In case no previous envelope is available the indices are determined as:

$$iid\_par\_dt[e][b] = iid_{rl}(b) - iid_{rl,pse}(b)$$

For IPD and OPD parameters differences outside the range are mapped into the existing range using the modulo function. Huffman coding of the stereo parameters is performed using Table 8.B.17, Table 8.B.18, Table 8.B.19, Table 8.B.20 and Table 8.B.21.

## Annex 8.D (informative)

### Tempo and pitch scaling in decoder

The goal of tempo scaling an audio signal is to alter the (instantaneous) duration of a signal while preserving the perceived pitch. Typically, in the decoder tempo scaling is handled for each object separately:

- Transients; tempo scaling is typically not applied to the transient object. Perceptually transients are characterised by the attack and decay times. A much more natural result is obtained when the length of a transient is preserved.
- Sinusoids; for the sinusoidal object tempo scaling can be obtained by applying two changes in the decoder. First of all the synthesis window length should be changed according to the time scaling factor ensuring unitary overlap-add. Secondly, a new phase value is obtained for continuations by applying the continuous phase function (see subclause 8.D.1).
- Noise; within the noise object the tempo can be scaled by scaling both the synthesis window as well as the temporal envelope.
- Stereo: tempo scaling is done by changing the position of the parameter position according to the time scaling factor (see subclause 8.D.2).

As the pitch is mostly determined by the sinusoidal frequencies one approach is to scale only the frequencies of the sinusoids as  $f_{new} = p \cdot f_{old}$  with  $p$  the pitch scaling factor. In the case that the pitch scaled frequency  $f_{new}$  crosses the available bandwidth, the corresponding sinusoid is not synthesized. Notice that this also affects the continuous phase procedure.

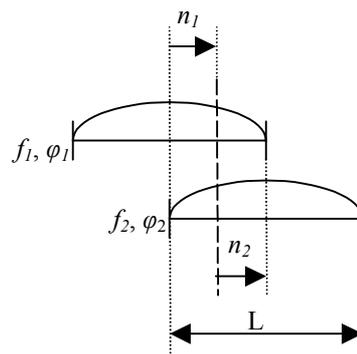
#### 8.D.1 Continuous phase

Since the phase values depend on the sub-frame size  $S$ , in case of tempo and pitch-scaling, the decoder switches to continuous phase. The tempo and pitch-scaling procedure for sinusoidal components is done as follows. First, the original frequency and phase are obtained by the decoding process as described in subclause 8.6.2.2. In the case phase\_jitter\_present is set to %1, the obtained frequency is re-quantised as described in subclause 8.C.4.6.2, resulting in representation level  $sf_{rl}$ . The frequency representation level  $sf_{rl}$  becomes  $sf_{rl} + sf_{jitter}$  and is de-quantised (see subclause 8.5.2). This frequency is multiplied by a pitch scale factor and used in the continuous phase calculation.

The continuous phase  $\varphi_2$  is calculated such that the phase of two consecutive segments matches in the middle of the overlapping region. In the example of Figure 8.D.12, the continuous phase  $\varphi_2$  (and thus  $sp_q$ ) is calculated as

$$\varphi_2 = \varphi_1 + n_1 \cdot f_1 + n_2 \cdot f_2, \quad n_1 = \frac{L}{4}, \quad n_2 = \frac{L}{4}.$$

Again note that the phase information  $\varphi_1$  and  $\varphi_2$  is defined for the middle of the segment ( $= (L-1)/2$ ). Here  $f_1$  and  $f_2$  represent the frequency in radians.



**Figure 8.D.12 — Derivation of continuous phase information. The phase  $\varphi_2$  is obtained from the parameters  $f_1$ ,  $f_2$  and  $\varphi_1$**

The phase of a continuing sinusoidal component in the first sub-frame of a frame with `refresh_sinusoids==%1` is NOT set to the value read from the stream (`s_phi`), but calculated from the expression for  $\varphi_2$ . If the decoding process starts at this sub-frame, e.g. at random access from the noted sub-frame, the phase of a continuing sinusoidal component should be set to the value read from the stream.

### 8.D.2 Tempo scaling for stereo

Tempo scaling for the parametric stereo tool can be achieved by adapting the position of the parameters. Let  $\mu$  be the tempo scale factor. Consider the previous and current (not necessarily integer) scaled parameter positions  $\hat{n}_{prev} = n_{e-1} \cdot \mu$  and  $\hat{n}_{curr} = n_e \cdot \mu$  respectively. By moving these positions to integer positions, the stereo decoding can be performed as usual. A method to map the non-integer parameter positions  $\hat{n}_{prev}$  and  $\hat{n}_{curr}$  to integer positions  $n_{prev}$  and  $n_{curr}$  is given using the following recursion.

$$m = \text{mod}(\hat{n}_{curr} - n_{prev}, 1)$$

where  $n_{prev}$  is the previous integer position.

Then the current integer parameter position is calculated as follows:

$$n_{curr} = \hat{n}_{curr} + 1 - m$$

In order to initiate the recursion,  $n_{prev} = 0$ .



## Contents for Subpart 9

9.1	Scope .....	2
9.2	MPEG_1_2_SpecificConfig .....	2
9.3	Channel Mapping .....	2
9.4	Access Unit Format .....	2
9.4.1	Layer 1 and 2 .....	2
9.4.2	Layer 3 .....	3
9.5	Sampling rate extension for Layer 3 .....	3
9.5.1	Bitrates .....	3
9.5.2	Sampling frequency .....	4
9.5.3	Padding .....	4
9.5.4	Scalefactor bands .....	4
Annex 9.A	(normative) Scalefactor band tables .....	5
Annex 9.B	(informative) Converting MPEG-1/2 Layer 3 bitstreams into mp3_channel_elements .....	8
Annex 9.C	(informative) Converting mp3_channel_elements into MPEG-1/2 Layer 3 bitstreams .....	9
9.C.1	Overview .....	9
9.C.2	Sampling rate signaling .....	9
9.C.3	Reconstruction instructions .....	9
Annex 9.D	(informative) Legacy MPEG-4 Systems Interface to MPEG-1/2 Audio .....	12
9.D.1	Overview .....	12
9.D.2	Decoder Specific Information .....	12
9.D.3	Access Units .....	12

## Subpart 9: MPEG-1/2 Audio in MPEG-4

### 9.1 Scope

The MPEG-1/2 Audio in MPEG-4 subpart of MPEG-4 Audio specifies the usage of MPEG-1/2 Layer-1, 2 or 3 in an MPEG-4 oriented way, i.e. such that signalling and access unit handling on Systems level is identical to the other MPEG-4 audio object types.

In order to be carried in MPEG-4, the MPEG-1/2 Layer 1, 2 or 3 bitstream frames are re-formatted such that they become self-contained MPEG-4 access units. This facilitates transport over packet based networks, random access, and editability. Those self-contained access units, as used in an MPEG-4 Systems compliant transport or storage format, can be re-converted to MPEG-1/2 compliant bitstreams, and then decoded with any MPEG-1/2 compliant decoder. Several methods of re-conversion are given in an informative annex.

The MPEG-4 Audio syntax is further extended to allow multi-channel configurations based on ISO/IEC 11172-3 and ISO/IEC 13818-3 Layer 3. The multi-channel configurations are similar to the configurations defined for the other multi-channel capable MPEG-4 audio object types. Note that for MPEG-1/2 Layer 1 and 2 the format is not extended. The multi-channel format for these layers is described in ISO/IEC 13818-3.

Furthermore, the permitted sampling frequencies for Layer-3 are extended.

Assistance is furthermore provided in the use of `decSpecificInfo` and `accessUnit` as to utilize MPEG-1/2 Layer 1, 2 or 3 in the MPEG-4 world by means of the legacy MPEG-4 Systems interface using `ObjectTypeIndication` 0x69 or 0x6b.

### 9.2 MPEG\_1\_2\_SpecificConfig

Table 9.1 – MPEG\_1\_2\_SpecificConfig()

Syntax	No. of bits	Mnemonic
MPEG_1_2_SpecificConfig()		
{		
<b>extension;</b>	<b>1</b>	<b>bslbf</b>
}		

**extension** shall be zero.

### 9.3 Channel Mapping

The following rules apply:

- `single_channel_element()`'s and `lfe_element()`'s are represented by mono audio frames.
- `channel_pair_element()`'s are represented by stereo audio frames.
- For Layer-1 and Layer-2, not more than one mono audio frame representing a `single_channel_element()` or one stereo audio frame representing a `channel_pair_element()` is permitted.

### 9.4 Access Unit Format

#### 9.4.1 Layer 1 and 2

One audio frame maps directly to one access unit.

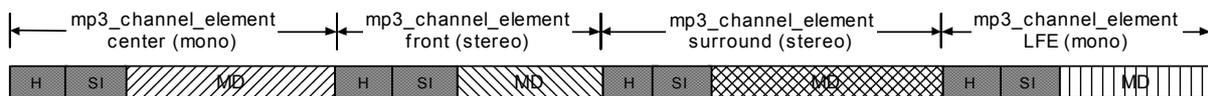
### 9.4.2 Layer 3

One access unit consists of one or more mp3\_channel\_elements. An mp3\_channel\_element equals a Layer 3 audio frame with the following modifications compared to its definition in ISO/IEC 11172-3 or ISO/IEC 13818-3:

- syncword (12 bit) signals the total length in bytes of the mp3\_channel\_element (consisting of header, error\_check, side info and main data).
- main\_data\_begin (9/8 bit) is either set to the correct value of the corresponding MPEG-1/2 Layer 3 bitstream or to zero.
- main\_data() is generally stored after the side information.

All other data elements shall be set according to their specification in ISO/IEC 11172-3 or ISO/IEC 13818-3. All settings in the header shall correspond to the settings in the AudioSpecificConfig().

All mp3\_channel\_elements belonging to the same timestamp are stored sequentially into one access unit according to the order given in subpart 1, subclause 1.6.3.4, Table 1.11 (Channel Configuration). An example of a 5.1 channel configuration is given in Figure 9.1.



H: Header, SI: SideInfo, MD: MainData

**Figure 9.1 – Access Unit containing mp3\_channel\_elements for a 5.1 channel configuration**

### 9.5 Sampling rate extension for Layer 3

This subclause provides specifications to allow the usage of Layer 3 with sampling rates not specified in ISO/IEC 11172-3 or ISO/IEC 13818-3.

The bitstream syntax and description for the extension towards sampling frequencies lower than those specified in ISO/IEC 13818-3 are in accordance of ISO/IEC 13818-3 (one frame covers 576 samples).

The subsequent subclauses outline the necessary extensions.

#### 9.5.1 Bitrates

Table 9.1 specifies the bitrate depending on the bitrate\_index and sampling frequency.

**Table 9.1 – bitrate depending on bitrate\_index and sampling frequency**

bitrate_index	bitrate specified (kbit/s)		
	8, 11.025, 12 kHz	16, 22.05, 24 kHz (see ISO/IEC 13818-3)	32, 44.1, 48 kHz (see ISO/IEC 11172-3)
'0000'	forbidden	forbidden	forbidden
'0001'	8	8	32
'0010'	16	16	40
'0011'	24	24	48
'0100'	32	32	56
'0101'	40	40	64
'0110'	48	48	80
'0111'	56	56	96
'1000'	64	64	112
'1001'	forbidden	80	128
'1010'	forbidden	96	160
'1011'	forbidden	112	192
'1100'	forbidden	128	224
'1101'	forbidden	144	256
'1110'	forbidden	160	320
'1111'	forbidden	forbidden	forbidden

**9.5.2 Sampling frequency**

Depending on the sampling frequency signaled in the AudioSpecificConfig, the data element sampling\_frequency in the header has to be set as specified in Table 9.2.

**Table 9.2 – Setting of the data element sampling\_frequency depending on the sampling frequency specified in the AudioSpecificconfig()**

sampling_frequency	sampling frequency
00	11.025 kHz and multiples thereof
01	12 kHz and multiples thereof
10	8 kHz and multiples thereof
11	reserved

**9.5.3 Padding**

Padding is necessary with a sampling frequency of 11.025 kHz and multiples thereof.

**9.5.4 Scalefactor bands**

The subdivision of the spectrum into scalefactor bands is fixed for every block length and sampling frequency and stored in tables in the coder and decoder. The tables for the sampling frequencies not specified in ISO/IEC 11172-3:1993 or ISO/IEC 13818-3:1998 are specified in Annex 9.A. In accordance with ISO/IEC 11172-3 or ISO/IEC 13818-3, the scale factor for frequency lines above the highest line in the tables is zero, which means that the actual multiplication factor is 1.0.

## Annex 9.A (normative)

### Scalefactor band tables

**Table 9.A.1 – 8 kHz sampling rate, long blocks, number of lines 576**

scalefactor band	width of band	index_of_start	index_of_end
0	12	0	11
1	12	12	23
2	12	24	35
3	12	36	47
4	12	48	59
5	12	60	71
6	16	72	87
7	20	88	107
8	24	108	131
9	28	132	159
10	32	160	191
11	40	192	231
12	48	232	279
13	56	280	335
14	64	336	399
15	76	400	475
16	90	476	565
17	2	566	567
18	2	568	569
19	2	570	571
20	2	572	573

**Table 9.A.2 – 8 kHz sampling rate, short blocks, number of lines 192**

scalefactor band	width of band	index_of_start	index_of_end
0	8	0	7
1	8	8	15
2	8	16	23
3	12	24	35
4	16	36	51
5	20	52	71
6	24	72	95
7	28	96	123
8	36	124	159
9	2	160	161
10	2	162	163
11	2	164	165

**Table 9.A.3 – 11.025 kHz sampling rate, long blocks, number of lines 576**

scalefactor band	width of band	index_of_start	index_of_end
0	6	0	5
1	6	6	11
2	6	12	17
3	6	18	23
4	6	24	29
5	6	30	35
6	8	36	43
7	10	44	53
8	12	54	65
9	14	66	79
10	16	80	95
11	20	96	115
12	24	116	139
13	28	140	167
14	32	168	199
15	38	200	237
16	46	238	283
17	52	284	335
18	60	336	395
19	68	396	463
20	58	464	521

**Table 9.A.4 – 11.025 kHz sampling rate, short blocks, number of lines 192**

scalefactor band	width of band	index_of_start	index_of_end
0	4	0	3
1	4	4	7
2	4	8	11
3	6	12	17
4	8	18	25
5	10	26	35
6	12	36	47
7	14	48	61
8	18	62	79
9	24	80	103
10	30	104	133
11	40	134	173

**Table 9.A.5 – 12 kHz sampling rate, long blocks, number of lines 576**

scalefactor band	width of band	index_of_start	index_of_end
0	6	0	5
1	6	6	11
2	6	12	17
3	6	18	23
4	6	24	29
5	6	30	35
6	8	36	43
7	10	44	53
8	12	54	65
9	14	66	79
10	16	80	95
11	20	96	115
12	24	116	139
13	28	140	167
14	32	168	199
15	38	200	237
16	46	238	283
17	52	284	335
18	60	336	395
19	68	396	463
20	58	464	521

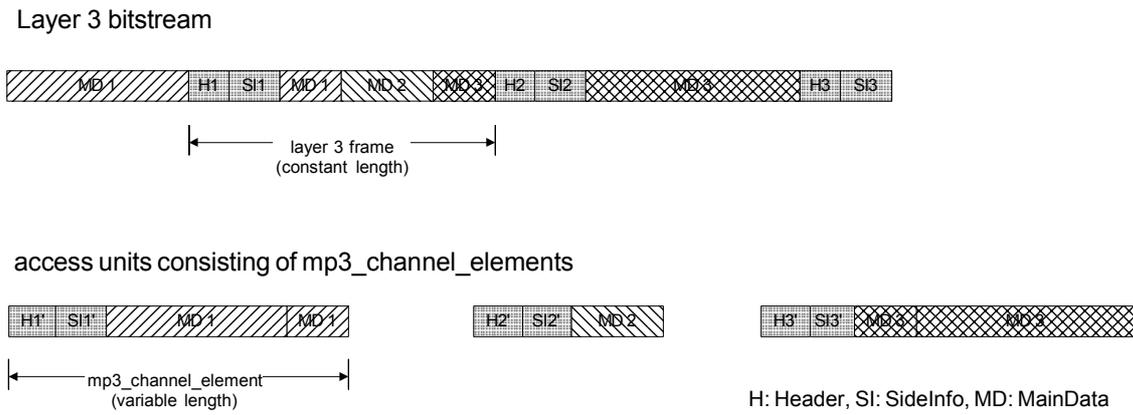
**Table 9.A.6 – 12 kHz sampling rate, short blocks, number of lines 192**

scalefactor band	width of band	index_of_start	index_of_end
0	4	0	3
1	4	4	7
2	4	8	11
3	6	12	17
4	8	18	25
5	10	26	35
6	12	36	47
7	14	48	61
8	18	62	79
9	24	80	103
10	30	104	133
11	40	134	173

## Annex 9.B (informative)

### Converting MPEG-1/2 Layer 3 bitstreams into mp3\_channel\_elements

The use of the bit-reservoir usually causes the start of the main\_data() to appear in a past bitstream frame. This needs to be modified by moving the main\_data() immediately adjacent to its side information. This is illustrated for one Layer 3 bitstream (mono or stereo) in Figure 9.B.1. Each resulting mp3\_channel\_element is mapped directly into an access unit. Resulting header and side info are indicated by H' and SI' respectively.



**Figure 9.B.1 – Converting an MPEG-1/2 Layer 3 bitstream into mp3\_channel\_elements**

All data elements of the header() shall be preserved. The data element main\_data\_begin might be set to zero. In that case the CRC has to be recalculated.

## Annex 9.C (informative)

### Converting mp3\_channel\_elements into MPEG-1/2 Layer 3 bitstreams

#### 9.C.1 Overview

mp3\_channel\_elements extracted from an access unit have to undergo the following conversion operations in order to obtain MPEG-1/2 Layer 3 audio bitstreams compliant to ISO/IEC 11172-3 or ISO/IEC 13818-3:

- for each mp3\_channel\_element per access unit open a decoder instance or output stream
- for each mp3\_channel\_element in every access unit do
- restore syncword and IDex
- correct bitrate\_index
- adjust main\_data\_begin
- recalculate crc\_word
- reconstruct framing

#### 9.C.2 Sampling rate signaling

The last bit of the syncword shall be used in a backwards compatible way to allow the signalling of sampling rates not specified in ISO/IEC 11172-3 or ISO/IEC 13818-3. This leads to the following syntax modification:

Syntax	No. of bits	Mnemonic
header()		
{		
<b>syncword;</b>	<b>11</b>	<b>bslbf</b>
<b>idex;</b>	<b>1</b>	<b>bslbf</b>
...		

**syncword**                      The bit string '1111 1111 111'.

**IDex**                              One bit to indicate the extended ID of the algorithm. Has the value '0' for sampling rates not specified in ISO/IEC 11172-3 or ISO/IEC 13818-3.

The following table specifies the sampling rate depending on the values for IDex and ID:

IDex	ID	sampling rate
0	0	8, 11.025, 12 kHz
1	0	16, 22.05, 24 kHz (see ISO/IEC 13818-3)
1	1	32, 44.1, 48 kHz (see ISO/IEC 11172-3)

#### 9.C.3 Reconstruction instructions

This reconstruction process offers some degrees of freedom:

bitrate\_index (stuffing might be required to adjust the bitstream frame length according to the new bitrate\_index, sampling frequency and padding\_bit settings)

- 1) set to the maximum value allowed (signalling the maximum allowed bitstream frame length).
- 2) set to nearest higher value that matches the mp3\_channel\_element length.
- 3) set to nearest higher value that matches the mp3\_channel\_element length minus main\_data\_begin of the current audio frame.

main\_data\_begin

- 4) set to zero.
- 5) set to the value pointing back to the end of main\_data of the previous audio frame.
- 6) set to the correct value of the corresponding MPEG-1/2 Layer 3 bitstream.

location of stuffing

- 7) at end of main\_data: preserves ancillary data written in forward direction, starting after the last Huffman code word.
- 8) at the end of the last Huffman codeword (location can be calculated using the part\_2\_3\_length): preserves ancillary data written in reverse direction starting before the main\_data of the next frame.
- 9) no stuffing required: preserves any ancillary data.

Depending on bitrate requirements and ancillary data handling, these possibilities can be combined in several ways:

The simplest method sets the bitrate to the maximum size. This is the preferred method when feeding existing MPEG-1/2 Layer 3 decoders. main\_data\_begin is set to zero. Stuffing bits are added either before or after ancillary data (see Figure 9.C.1, example A and B).

A more advanced method can be derived from this simple method by setting the bitrate\_index to the nearest higher value that corresponds to the length of the mp3\_channel\_element. With this modification, the bitrate can be significantly reduced (see Figure 9.C.1, example C and D).

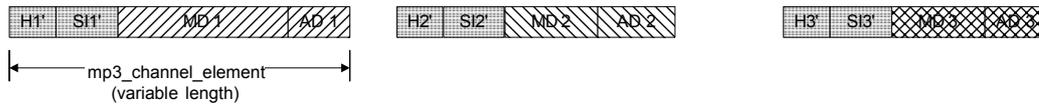
Note that for sampling rates up to 24 kHz (i.e. in cases where one granule forms a frame), the granule size might exceed the maximum frame size. Even the maximum bitrate index might not allow to store a whole frame after the header (main\_data\_begin=0). This results from the fact that the maximum length of a granule is 960 byte (7680 bit), but the maximum frame length based on the highest bitrate index is 576 byte (for 8 kHz), 417 byte (for 11.025 kHz), 384 byte (for 12 kHz), 720 byte (for 16 kHz), 522 byte (for 22.05 kHz), 480 byte (for 24 kHz). In that case the main\_data\_begin needs to be properly adjusted between zero and the difference between the maximum granule size and the maximum frame length.

To avoid the necessity of stuffing and the uncertainty noted above, main\_data\_begin is set to the value pointing back to the end of main\_data of the previous frame. The bitrate\_index is now set to the nearest higher value that matches the mp3\_channel\_element length minus main\_data\_begin of the current audio frame (see Figure 9.C.1, example E). Only if main\_data\_begin would exceed the allowed value, stuffing has to be performed.

The original Layer 3 bitstream can be reconstructed perfectly, if the correct main\_data\_begin value of the corresponding MPEG-1/2 Layer 3 bitstream was preserved (see Figure 9.C.1, example F).

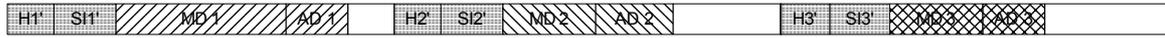
access units consisting of mp3\_channel\_elements:

H: Header, SI: SideInfo, MD: MainData



various Layer 3 bitstream reconstructions:

Example A) maximum bitrate, stuffing after ancillary data (a1, b1, c1)



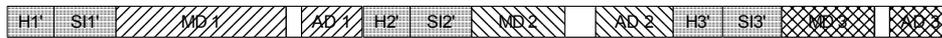
Example B) maximum bitrate, stuffing before ancillary data (a1, b1, c2)



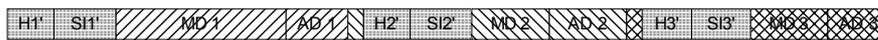
Example C) variable bitrate, stuffing after ancillary data (a2, b1, c1)



Example D) variable bitrate, stuffing before ancillary data (a2, b1, c2)



Example E) minimum variable bitrate, no additional stuffing (a3, b2, c3)



Example F) constant bitrate (a3, b3, c3)



Figure 9.C.1 – Converting mp3\_channel\_elements to MPEG-1/2 Layer 3 bitstreams

## Annex 9.D (informative)

### Legacy MPEG-4 Systems Interface to MPEG-1/2 Audio

#### 9.D.1 Overview

This annex provides assistance in the use of `decSpecificInfo` and `accessUnit` to utilize MPEG-1/2 Layer 1, 2, 3 and MPEG-2 AAC in the MPEG-4 world using the following `objectTypeIndication` values:

- 0x6b (Audio ISO/IEC 11172-3)
- 0x69 (Audio ISO/IEC 13818-3)
- 0x66 (Audio ISO/IEC 13818-7 Main Profile)
- 0x67 (Audio ISO/IEC 13818-7 Low Complexity Profile)
- 0x68 (Audio ISO/IEC 13818-7 Scalable Sampling Rate Profile)

#### 9.D.2 Decoder Specific Information

In ISO/IEC 14496-1 the `decSpecificInfo` is defined for certain media decoder information. This decoder specific information constitutes an opaque container with information for a specific media decoder. If available, it can be used for decoder initialization and a-priori instantiation of the compositor. It is not necessary to define this decoder specific information, its existence and semantics depend on the values of `DecoderConfigDescriptor.streamType` and `DecoderConfigDescriptor.objectTypeIndication`.

The lack of the availability of any `decSpecificInfo` leads to the situation that the format of the Composition Memory cannot be distinguished a-priori to instantiate the compositor. Hence, the decoder specifies the format of the composition memory.

##### 9.D.2.1 MPEG-2 AAC

For MPEG-2 AAC a `decSpecificInfo` is defined, i.e. in the case of `DecoderConfigDescriptor.objectTypeIndication` values that refer to streams complying with ISO/IEC 13818-7 (MPEG-2 AAC).

In this case audio decoders receive all relevant information from that `decSpecificInfo`, which consists of an `adif_header()`, and can forward the composition memory format to the composition memory.

##### 9.D.2.2 MPEG-1 Audio and MPEG-2 Audio

No `decSpecificInfo` is defined for MPEG-1 Audio or MPEG-2 Audio, i.e. in the case of `DecoderConfigDescriptor.objectTypeIndication` values that refer to streams complying with ISO/IEC 11172-3 (MPEG-1 Audio) and ISO/IEC 13818-3 (MPEG-2 Audio). In these cases audio decoders receive all relevant information in the 'header()' element of their own bitstream and can forward the composition memory format to the composition memory. Thus, changes in the output format need to be dealt with dynamically, i.e. without an elementary stream descriptor update.

#### 9.D.3 Access Units

An MPEG-1/2 Layer 1, 2 or 3 frame (the data between sync words) or MPEG-2 AAC frame (`raw_data_block()`) can be treated as an audio access unit not only in the context of ISO/IEC 11172 and ISO/IEC 13818 but also in the context of ISO/IEC 14496.

When treating MPEG-1/2 Layer 1, 2, 3 or MPEG-2 AAC frames as MPEG-4 units, timing information is usually assigned to such access units.

Since the definitions of an audio access unit do not match exactly between MPEG-1/2 on one hand and MPEG-4 on the other hand, some special considerations have to be taken into account.

Particularly for Layer 3, an audio access unit is specified in MPEG-1/2 as a part of the bitstream that might be decodable only with the use of previously acquired main information, which does not reflect the definition of an audio access unit in MPEG-4.

Subsequently, some audio access units might not be decodable due to the lack of some lost main information in the case of bitstream punching and random access. However, the timing information is kept correctly.

In the case it is deemed necessary to have better editing or punch-in abilities for Layer 3 streams, it is advisable to use VBR encoded streams. It is possible to convert any existent Layer 3 stream into a VBR stream

- Unambiguously
- Fully MPEG-1 or MPEG-2 compliant
- Decodable by any existing Layer 1, 2 or 3 decoder

This can be done as follows:

The main\_data() for a single frame is put immediately adjacent to its side information. The main\_data\_begin pointer is set to zero. The frame by frame bitrate indices (bitrate\_index) are increased to the minimum value needed to obtain a frame-length that can accommodate the original header, error\_check, side info and main data. Due to the granularity in the available bitrates, generally this frame length is larger than the length of the header, error\_check, side info and main data. In this case stuffing bits are added at the end of main\_data to obtain compliant frames.



## Contents for Subpart 10

10.1	Scope .....	2
10.2	Terms and definitions .....	2
10.3	Conventions .....	3
10.3.1	Arithmetic and bit operations.....	3
10.3.2	Bit ordering .....	3
10.3.3	Bit sequence .....	3
10.3.4	Decimal notation.....	4
10.3.5	DSD bit order.....	4
10.3.6	DSD Polarity .....	4
10.3.7	Hex notation .....	4
10.3.8	Range.....	4
10.3.9	Until.....	4
10.4	Basic Types.....	4
10.4.1	BsMsbf.....	4
10.4.2	Char.....	4
10.4.3	SiMsbf.....	4
10.4.4	UiMsbf.....	4
10.4.5	Uin <sub>n</sub> .....	4
10.4.6	Uin <sub>8</sub> .....	5
10.4.7	Uin <sub>16</sub> .....	5
10.4.8	Uin <sub>32</sub> .....	5
10.5	Payloads for the audio object .....	5
10.6	Semantics.....	10
10.6.1	Audio Streams .....	10
10.6.1.1	DSD Sampled Bit Stream Data.....	11
10.6.1.2	Structure of the Audio Stream.....	11
10.6.1.3	Audio_Frame .....	11
10.7	DST Decoder Reference Model (Normative).....	24
10.7.1	DST Decoder Block Diagrams.....	24
10.7.2	DST Decoding Processes .....	25
10.7.2.1	Introduction .....	25
10.7.2.2	Arithmetic Decoder.....	25
10.7.2.3	Source Model.....	29
10.7.2.4	Multiplexing/Demultiplexing .....	31
10.7.3	Restrictions to DST coded Audio_Frames (Normative) .....	32
10.7.3.1	Limited number of erroneously predicted samples .....	32
10.7.3.2	Probability table design requirement .....	32
Annex 10.A	(informative) Encoder description.....	34
10.A.1	Technical overview.....	34
10.A.1.1	Framing .....	34
10.A.1.2	Prediction.....	35
10.A.1.3	Arithmetic encoding .....	35
10.A.1.4	Channel multiplexing.....	36
10.A.1.5	References.....	36

## Subpart 10: Technical description of lossless coding of oversampled audio

### 10.1 Scope

This subpart of ISO/IEC 14496 describes the MPEG-4 lossless coding algorithm for oversampled audio signals.

### 10.2 Terms and definitions

The following definitions are used in this document.

Audio Channel	The stream of DSD bits intended for one loudspeaker.
Audio Frame	A Frame containing Audio data.
Audio Channel Number	The sequence number assigned to an Audio Channel. Audio Channel Numbers are contiguously numbered starting with one.
Frame	A block of data belonging to a certain Time Code. The playing time of a Frame is 1/75 Sec.
Reserved	All fields labelled Reserved are reserved for future standardization. All Reserved fields must be set to zero.
Silence Pattern	A digitally generated DSD pattern with the following properties: <ul style="list-style-type: none"> <li>All Audio Bytes (see 10.6.1.1) have the same value.</li> <li>Each Audio Byte must contain 4 bits equal to zero and 4 bits equal to one.</li> </ul>
Direct Stream Digital	A one bit oversampled representation of the audio signal.
Direct Stream Transfer	The lossless coding technique used for DSD signals in Super Audio CD.
DSD	See Direct Stream Digital.
DST	See Direct Stream Transfer.
Half Probability	Half Probability defines for each Audio Channel in an Audio Frame whether the first DSD bits are arithmetically encoded using the Ptable values, or using a probability equal to ½.
Mapping	Mapping defines, for each Segment, the Prediction Filter and Probability Table that is used.
Prediction Filter	A Prediction Filter is a transversal filter used to predict the value of the next DSD bit. A Prediction Filter is characterized by a prediction order and by coefficients.
Probability Table	A Probability Table contains the probability that the value of a DSD bit is predicted erroneously for a given output of the prediction filter.
Ptable	See Probability Table.

Sampling Frequency	The sampling frequency of the DSD signal shall be $64 * 44.1$ kHz, $128 * 44.1$ kHz or $256 * 44.1$ kHz.
Segmentation	Each Audio Channel in an Audio Frame can be partitioned into Segments.

### 10.3 Conventions

In this document the conventions as described in this subclause are used.

#### 10.3.1 Arithmetic and bit operations

$a >> b$	Right shift a over b bits. The new msb bits are set to '0'.
$a << b$	Left shift a over b bits. The new lsb bits are set to '0'.
$a   b$	Bitwise OR of a and b.
$a \& b$	Bitwise AND of a and b.
$\min(a,b)$	Minimum value of a and b.
$\max(a,b)$	Maximum value of a and b.
$a \bmod b$	Value of a modulo b.
$\text{trunc}(a)$	Value of a, rounded downwards.
$ a $	Absolute value of a.
$a == b$	Evaluate if a is equal to b.
$a != b$	Evaluate if a is not equal to b.
$a = b$	Variable a is set to the value of b.
$a++$	$a = a + 1$ .
$a -= b$	$a = a - b$ .
$a += b$	$a = a + b$ .

#### 10.3.2 Bit ordering

The graphical representation of all multiple-bit quantities is such that the most significant bit (msb) is on the left, and the least significant bit (lsb) is on the right. Figure 10.1 defines the bit position in a Byte.

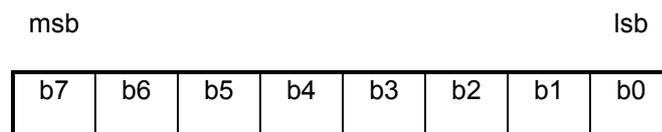


Figure 10.1 — Bit ordering in a Byte

#### 10.3.3 Bit sequence

In all places where a bit sequence is used, a most significant bit first notation is used.

### 10.3.4 Decimal notation

All Decimal values are preceded by a blank space or the range indicator (..) when included in a range. The most significant digit is on the left, the least significant digit is on the right.

### 10.3.5 DSD bit order

The first sampled DSD bit is stored in the most significant bit of a byte. See subclause 10.6.1.1.

### 10.3.6 DSD Polarity

A DSD bit equal to one means "plus". A DSD bit equal to zero means "minus".

### 10.3.7 Hex notation

All Hexadecimal values are preceded by a \$. The most significant nibble is on the left, the least significant nibble is on the right.

### 10.3.8 Range

Constant\_1..Constant\_2 denotes the range from and including Constant\_1 up to and including Constant\_2, in increments of 1.

### 10.3.9 Until

Until is used in figures to indicate that for a structure Byte Positions are used up to (not including) a given value.

At Byte Position B1, the expression "until B2" specifies B2-B1 bytes. At Byte Position B1, the expression "until eos" specifies the number of bytes from B1 up to and including the last byte of the current Sector. Note that Byte Position is specified relative to the start of the current, or a previous, Sector.

## 10.4 Basic Types

### 10.4.1 BsMsbf

Bit Sequence, Most Significant Bit First, must be interpreted as a Bit String.

### 10.4.2 Char

A one-byte character, encoded according to ISO 646. The NUL (\$00) character is not allowed for Char.

### 10.4.3 SiMsbf

Bit sequence, Most Significant Bit First, must be interpreted as Signed Integer using two's complement notation.

### 10.4.4 UiMsbf

Bit sequence, Most Significant Bit First, must be interpreted as Unsigned Integer.

### 10.4.5 Uintn

An n bit, binary encoded, unsigned numerical value.

### 10.4.6 Uint8

An 8 bit, binary encoded, unsigned numerical value. A Uint8 value must be recorded in a one-byte field.

### 10.4.7 Uint16

A 16-bit, binary encoded, unsigned numerical value. A Uint16 value, represented by the hexadecimal representation \$wxyz, must be recorded in a two-byte field as \$wx \$yz (most significant byte first).

### 10.4.8 Uint32

A 32-bit, binary encoded, unsigned numerical value. A Uint32 value, represented by the hexadecimal representation \$stuvwxyz, must be recorded in a four-byte field as \$st \$uv \$wx \$yz (most significant byte first).

## 10.5 Payloads for the audio object

### 10.5.1 Decoder Configuration (DSTSpecificConfig())

Table 10.1 — Syntax of Audio\_Frame()

Syntax	Bits	Mnemonics
DSTSpecificConfig( channelConfiguration ) { <b>DSDST_Coded</b> <b>N_Channels</b> <b>reserved</b> }	<b>1</b> <b>14</b> <b>1</b>	<b>UiMsbf</b> <b>UiMsbf</b> <b>UiMsbf</b>

### 10.5.2 Bitstream Payload

Table 10.2 — Syntax of Audio\_Frame()

Syntax	Bits	Mnemonics
Audio_Frame() { if (DSDST_Coded == 0) { DSD() } else { DST() } }		<b>DSD</b>  <b>DST</b>



<pre>         {             Channel_Segmentation()[Channel_Nr]         }     } else     {         Channel_Segmentation()[1]     } } </pre>		<p><b>Channel_Segmentation</b></p> <p><b>Channel_Segmentation</b></p>
--	--	---

**Table 10.7 — Syntax of Channel\_Segmentation**

Syntax	Bits	Mnemonics
<pre> Channel_Segmentation() {     Nr_Of_Segments = 1     Start[1] = 0     <b>End_Of_Channel_Segm</b>     while(End_Of_Channel_Segm == 0)     {         if (Resolution_Read == false)         {             <b>Resolution</b>             Resolution_Read = true         }         <b>Scaled_Length[Nr_Of_Segments]</b>         Segment_Length[Nr_Of_Segments] = Resolution *             Scaled_Length[Nr_Of_Segments]         Start[Nr_Of_Segments+1] = Start[Nr_Of_Segments] +             Segment_Length[Nr_Of_Segments]         Nr_Of_Segments++         <b>End_Of_Channel_Segm</b>     }     Segment_Length[Nr_Of_Segments] =         Frame_Length - Start[Nr_Of_Segments] } </pre>	<p><b>1</b></p> <p><b>13</b></p> <p><b>1..13</b></p> <p><b>1</b></p>	<p><b>UiMsbf</b></p> <p><b>UiMsbf</b></p> <p><b>UiMsbf</b></p> <p><b>UiMsbf</b></p>

**Table 10.8 — Syntax of Mapping**

Syntax	Bits	Mnemonics
<pre> Mapping() {     <b>Same_Mapping</b>     if(Same_Mapping == 0)     {         Filter_Mapping()         Ptable_Mapping()     }     else     {         Filter_And_Ptable_Mapping()     } } </pre>	<p><b>1</b></p>	<p><b>UiMsbf</b></p> <p><b>Maps</b></p> <p><b>Maps</b></p> <p><b>Maps</b></p>

**Table 10.9 — Syntax of Maps**

Syntax	Bits	Mnemonics
<pre> Maps() {     Nr_Of_Elements = 0     <b>Same_Maps_For_All_Channels</b>     if(Same_Maps_For_All_Channels == 0)     {         for (Channel_Nr=1; Channel_Nr&lt;=N_Channels;             Channel_Nr++) </pre>	<p><b>1</b></p>	<p><b>UiMsbf</b></p>

<pre>         {             Channel_Mapping()[Channel_Nr]         }     } else {     Channel_Mapping()[1] } } </pre>		<b>Channel_Mapping</b>
<pre>         {             Channel_Mapping()[1]         }     } } </pre>		<b>Channel_Mapping</b>

**Table 10.10 — Syntax of Channel\_Mapping**

Syntax	Bits	Mnemonics
<pre> Channel_Mapping() {     for(Seg_Nr=1; Seg_Nr&lt;=Nr_Of_Segments[Channel_Nr]; Seg_Nr++)     {         <b>Element</b>[Channel_Nr][Seg_Nr]         if (Element[Channel_Nr][Seg_Nr] == Nr_Of_Elements)         {             Nr_Of_Elements++         }     } } </pre>	<b>0..15</b>	<b>UiMsbf</b>

**Table 10.11 — Syntax of Half\_Probability**

Syntax	Bits	Mnemonics
<pre> Half_Probability() {     for (Channel_Nr=1; Channel_Nr&lt;=N_Channels; Channel_Nr++)     {         <b>Half_Prob</b>[Channel_Nr]     } } </pre>	<b>1</b>	<b>BsMsbf</b>

**Table 10.12 — Syntax of Arithmetic\_Coded\_Data**

Syntax	Bits	Mnemonics
<pre> Arithmetic_Coded_Data() {     j=0     do     {         <b>A_Data</b>[j]         j++     } until end of Audio_Frame } </pre>	<b>1</b>	<b>BsMsbf</b>

**Table 10.13 — Syntax of Filter\_Coef\_Sets**

Syntax	Bits	Mnemonics
<pre> Filter_Coef_Sets() {     for (Filter_Nr=0; Filter_Nr&lt;Nr_Of_Filters; Filter_Nr++)     {         <b>Coded_Pred_Order</b>         Pred_Order[Filter_Nr]=Coded_Pred_Order+1         <b>Coded_Filter_Coef_Set</b>         if (Coded_Filter_Coef_Set==0)         {             for (Coef_Nr=0; Coef_Nr&lt;Pred_Order[Filter_Nr]; Coef_Nr++)             {                 <b>Coef</b>[Filter_Nr][Coef_Nr]             }         }     } } </pre>	<b>7</b>	<b>UiMsbf</b>
<pre>         if (Coded_Filter_Coef_Set==0)         {             for (Coef_Nr=0; Coef_Nr&lt;Pred_Order[Filter_Nr]; Coef_Nr++)             {                 <b>Coef</b>[Filter_Nr][Coef_Nr]             }         }     } } </pre>	<b>1</b>	<b>BsMsbf</b>
<pre>             for (Coef_Nr=0; Coef_Nr&lt;Pred_Order[Filter_Nr]; Coef_Nr++)             {                 <b>Coef</b>[Filter_Nr][Coef_Nr]             }         }     } } </pre>	<b>9</b>	<b>SiMsbf</b>

<pre> else {   <b>CC_Method</b>   for (Coef_Nr=0; Coef_Nr&lt;CCPO; Coef_Nr++)   {     <b>Coef</b>[Filter_Nr][Coef_Nr]   }   <b>CCM</b>   for (Coef_Nr=CCPO; Coef_Nr&lt;Pred_Order[Filter_Nr]; Coef_Nr++)   {     Run_Length=0     do     {       <b>RL_Bit</b>       if (RL_Bit==0)       {         Run_Length++       }     } while (RL_Bit==0)     <b>LSBs</b>     Delta=(Run_Length&lt;&lt;CCM)+LSBs     if (Delta!=0)     {       <b>Sign</b>       if (Sign==1)       {         Delta = -Delta       }     }     Coef[Filter_Nr][Coef_Nr] = Delta     Delta8 = 0     for (Tap_Nr=0; Tap_Nr&lt;CCPO; Tap_Nr++)     {       Delta8 += 8*CCPC[Tap_Nr]*Coef[Filter_Nr][Coef_Nr-Tap_Nr-1]     }     if (Delta8&gt;=0)     {       Coef[Filter_Nr][Coef_Nr] -= trunc((Delta8+4)/8)     }     else     {       Coef[Filter_Nr][Coef_Nr] += trunc((-Delta8+3)/8)     }   } } } } </pre>	<p>2</p> <p>9</p> <p>3</p> <p>1</p> <p>0..6</p> <p>1</p>	<p><b>BsMsbf</b></p> <p><b>SiMsbf</b></p> <p><b>UiMsbf</b></p> <p><b>BsMsbf</b></p> <p><b>UiMsbf</b></p> <p><b>BsMsbf</b></p>
--	--	---

Table 10.14 — Syntax of Probability\_Tables

Syntax	Bits	Mnemonics
<pre> Probability_Tables() {   for (Ptable_Nr=0; Ptable_Nr&lt;Nr_Of_Ptables; Ptable_Nr++)   {     <b>Coded_Ptable_Len</b>     Ptable_Len[Ptable_Nr] = Coded_Ptable_Len+1     if (Ptable_Len[Ptable_Nr] == 1)     {       P_one[Ptable_Nr][0] = 128     }   } } </pre>	6	<b>UiMsbf</b>

else		
{		
<b>Coded_Ptable</b>	<b>1</b>	<b>BsMsbf</b>
if (Coded_Ptable==0)		
{		
for (Entry_Nr=0; Entry_Nr<Ptable_Len[Ptable_Nr];		
Entry_Nr++)		
{		
<b>Coded_P_one</b>	<b>7</b>	<b>UiMsbf</b>
<i>P_one[Ptable_Nr][Entry_Nr] = Coded_P_one+1</i>		
}		
}		
else		
{		
<b>PC_Method</b>	<b>2</b>	<b>BsMsbf</b>
for (Entry_Nr=0; Entry_Nr<PCPO; Entry_Nr++)		
{		
<b>Coded_P_one</b>	<b>7</b>	<b>UiMsbf</b>
<i>P_one[Ptable_Nr][Entry_Nr] = Coded_P_one+1</i>		
}		
<b>PCM</b>	<b>3</b>	<b>UiMsbf</b>
for (Entry_Nr=PCPO;Entry_Nr<Ptable_Len[Ptable_Nr];Entry_Nr++)		
{		
<i>Run_Length=0</i>		
do		
{		
<b>RL_Bit</b>	<b>1</b>	<b>BsMsbf</b>
if (RL_Bit==0)		
{		
<i>Run_Length++</i>		
}		
} while (RL_Bit==0)		
<b>LSBs</b>	<b>0..4</b>	<b>UiMsbf</b>
<i>Delta = (Run_Length&lt;&lt;PCM)+LSBs</i>		
if ( <i>Delta != 0</i> )		
{		
<b>Sign</b>	<b>1</b>	<b>BsMsbf</b>
if (Sign==1)		
{		
<i>Delta = - Delta</i>		
}		
}		
<i>P_one[Ptable_Nr][Entry_Nr] = Delta</i>		
for ( <i>Tap_Nr=0; Tap_Nr&lt;PCPO; Tap_Nr++</i> )		
{		
<i>P_one[Ptable_Nr][Entry_Nr] -=</i>		
<i>PCPC[Tap_Nr]*P_one[Ptable_Nr][Entry_Nr-Tap_Nr-1]</i>		
}		
}		
}		
}		

## 10.6 Semantics

### 10.6.1 Audio Streams

An Audio Stream contains the DSD audio signal. Plain DSD or DST coded DSD. An Audio Stream is the concatenation of all Audio Frames in a Byte Stream.

### 10.6.1.1 DSD Sampled Bit Stream Data

For a 2-Channel Audio signal, the DSD Sampled Bit sequence is defined as follows:  $L_0, L_1, L_2, L_3, L_4, L_5, L_6, L_7, R_0, R_1, R_2, R_3, R_4, R_5, R_6, R_7, L_8, L_9, L_{10}, \dots$ . Where  $L_0$  is the first sampled bit of the left channel of an Audio Frame.

Per Audio Channel, eight successively sampled bits are grouped into one Audio Byte. The most significant bit of an Audio Byte is the first sampled bit of that byte.

### 10.6.1.2 Structure of the Audio Stream

DST coded Audio Frames have a variable length. The reference model of the DST Decoder is defined in section 10.7.

### 10.6.1.3 Audio\_Frame

Audio\_Frame contains the DST or Plain DSD coded audio information for one Frame. The maximum size of an Audio\_Frame is equal to the size of a Plain DSD coded Audio\_Frame plus one byte. The syntax of an Audio\_Frame is defined in Table 10.1.

**N\_Channels** is the number of audio channels used.

#### 10.6.1.3.1 DSD

**10.6.2 DSD contains the audio data for one Plain DSD Audio\_Frame. The syntax of DSD is defined in Bitstream Payload**

Table 10.2 — Syntax of Audio\_Frame()

Syntax	Bits	Mnemonics
<pre> Audio_Frame() {   if (DSDDST_Coded == 0)   {     DSD()   }   else   {     DST()   } } </pre>		<p><b>DSD</b></p> <p><b>DST</b></p>

Table 10.3. An example of a 5 channel DSD Frame is given in Figure 10.2. The definition of Audio\_Byte is given in subclause 10.6.1.1.

**Channel\_Nr** is the number of the Audio Channel.

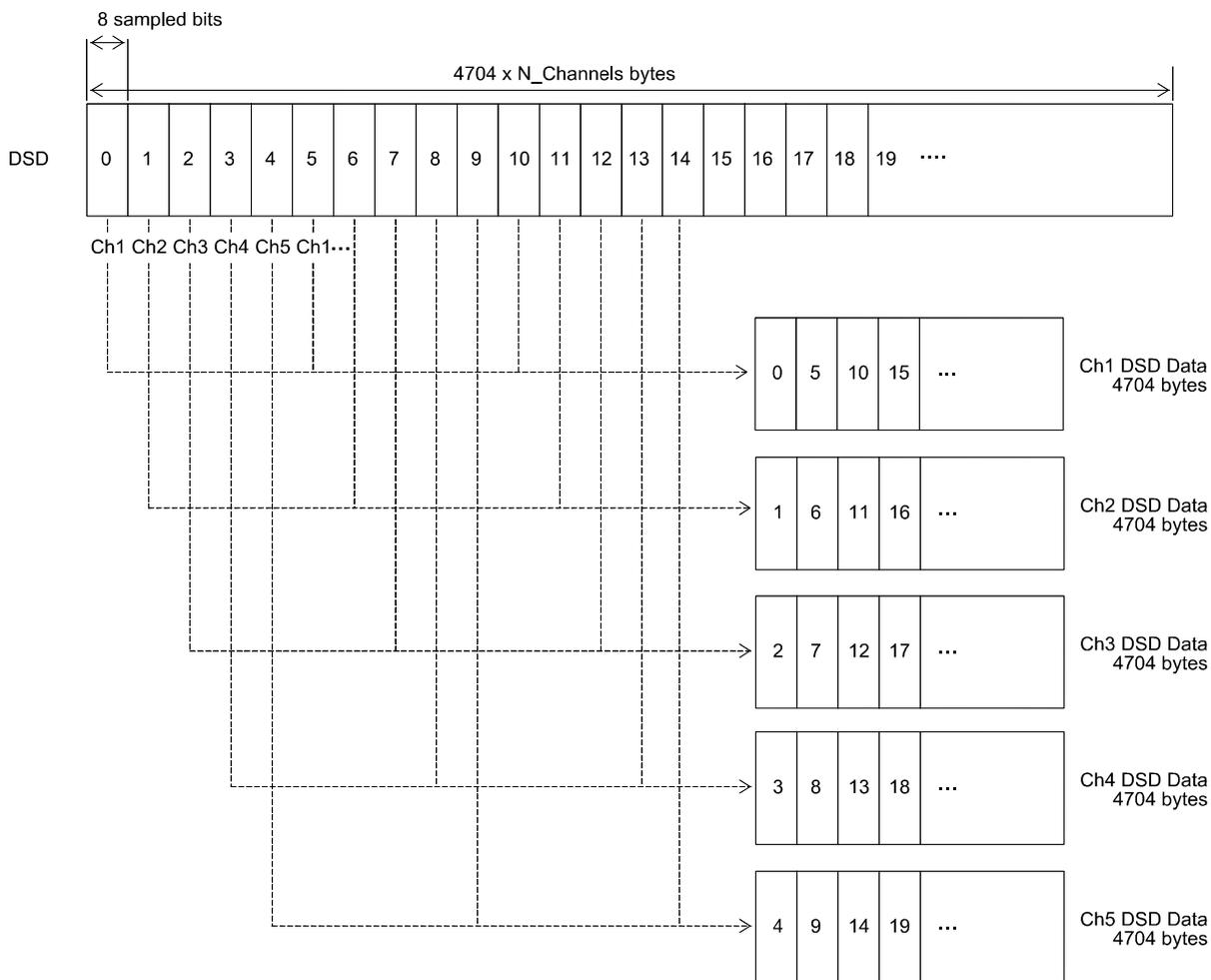
**Frame\_Length** is the length of an Audio Frame in bytes per audio channel. The Frame\_Length can be calculated from the Sampling Frequency with the following formula:

$$\text{Frame\_Length} = \frac{\text{Sampling Frequency [Hz]}}{75 * 8} \text{ bytes per Audio Channel}$$

The Sampling Frequency can be:  $64 \times 44100$  Hz,  $128 \times 44100$  Hz or  $256 \times 44100$  Hz. The relation between the Frame\_Length and Sampling Frequency is provided in Table 10.15.

**Table 10.15 — Frame\_Length versus Sampling Frequency**

Sampling Frequency [Hz]	Frame_Length [bytes]
$64 \times 44100$	4704
$128 \times 44100$	9408
$256 \times 44100$	18816



**Figure 10.2 — Example of a 5 channel DSD Frame, with Sampling Frequency  $64 \times 44100$ Hz**

**DSDDST\_Coded** signals whether the bitstream is DSD or DST coded. If **DSDDST\_Coded**=%0 it is DSD coded and if **DSDDST\_Coded**=%1 it is DST coded.

**10.6.2.1.1.1 DSD\_Byte**

**DSD\_Byte**[Channel\_Nr][Byte\_Nr] contains the DSD signal as defined in subclause 10.6.1.1.

**10.6.2.1.2 DST**

DST contains the audio data for one DST coded Audio\_Frame. The syntax of DST is defined in Table 10.4.

**10.6.2.1.2.1 Processing\_Mode**

If the Processing\_Mode bit is set to one, the Audio\_Frame contains the DST\_X\_Bit and the DSD signal in a lossless coded form. If the Processing\_Mode bit is set to zero, the Audio\_Frame contains the DST\_X\_Bit and the DSD signal without lossless coding.

**10.6.2.1.2.2 DST\_X\_Bit**

If Frame\_Format DST\_Coded, each Audio\_Frame contains one DST\_X\_Bit. At encoding the DST\_X\_Bit shall be set to zero. A decoder shall ignore the content of the DST\_X\_Bit.

**10.6.2.1.2.3 Reserved**

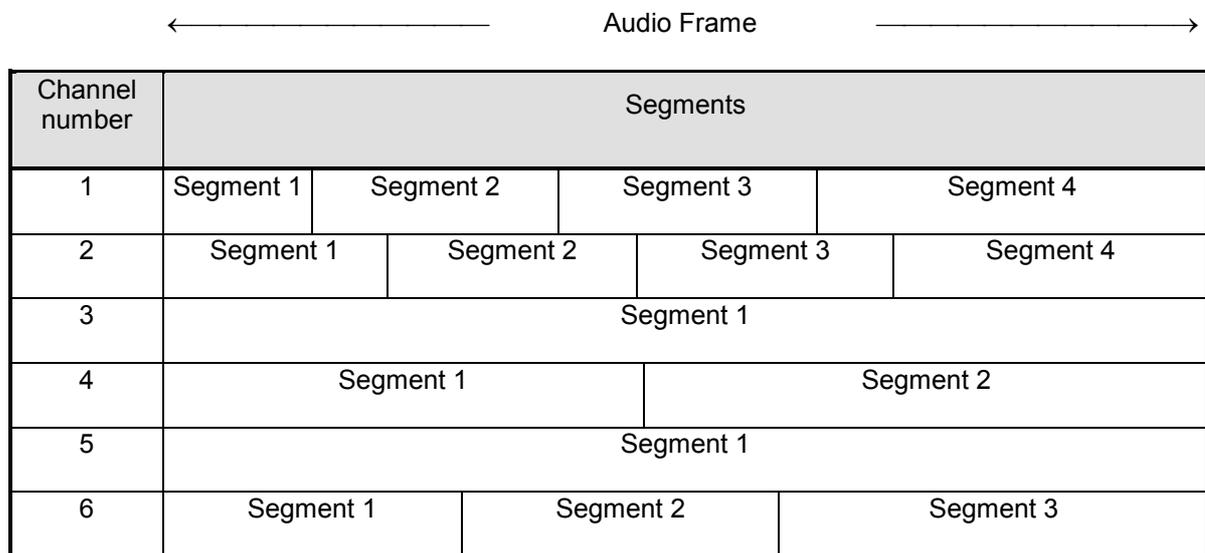
This value shall be set to zero.

**10.6.2.1.2.4 DSD**

See subclause 10.6.1.3.1.

**10.6.2.1.2.5 Segmentation**

For each Audio Channel, the Audio Frame is partitioned into one or more Segments for Filters, and one or more Segments for Ptables. Each Segment may use a different Prediction Filter / Ptable. An example of Segmentation is shown in Figure 10.3. The syntax of Segmentation is defined in Table 10.5.



**Figure 10.3 — Example of Segmentation**

**Filter\_Segmentation**

For each Audio Channel, the Audio Frame is partitioned into one or more Segments for Prediction Filters. Each Segment may use a different Prediction Filter. The variables Nr\_Of\_Segments[] and Segment\_Length[][] from Segment\_Alloc (see subclause 10.6.2.1.2.5.2) used for Filter\_Segmentation are referred to as:

- Filters.Nr\_Of\_Segments[Channel\_Nr] and

- $\text{Filters.Segment\_Length}[\text{Channel\_Nr}][1..\text{Filters.Nr\_Of\_Segments}[\text{Channel\_Nr}]]$ .

With  $\text{Channel\_Nr} = 1..N\_Channels$ .

### Ptable\_Segmentation

For each Audio Channel, the Audio Frame is partitioned into one or more Segments for Ptables. Each Segment may use a different Ptable. The variables  $\text{Nr\_Of\_Segments}[]$  and  $\text{Segment\_Length}[][]$  from  $\text{Segment\_Alloc}$  (see subclause 10.6.2.1.2.5.2) used for  $\text{Ptable\_Segmentation}$  are referred to as:

- $\text{Ptables.Nr\_Of\_Segments}[\text{Channel\_Nr}]$  and
- $\text{Ptables.Segment\_Length}[\text{Channel\_Nr}][1..\text{Ptables.Nr\_Of\_Segments}[\text{Channel\_Nr}]]$ .

With  $\text{Channel\_Nr} = 1..N\_Channels$ .

### Filter\_And\_Ptable\_Segmentation

For each Audio Channel, the Audio Frame is partitioned into one or more Segments. Each Segment may use a different combination of Prediction Filter and Ptable. For each Audio Channel, the following equations must be true:

$$\text{Filters.Nr\_Of\_Segments}[\text{Channel\_Nr}] = \text{Ptables.Nr\_Of\_Segments}[\text{Channel\_Nr}] = \text{Nr\_Of\_Segments}[\text{Channel\_Nr}]$$

$$\text{Filters.Segment\_Length}[\text{Channel\_Nr}][] = \text{Ptables.Segment\_Length}[\text{Channel\_Nr}][] = \text{Segment\_Length}[\text{Channel\_Nr}][]$$

With  $\text{Channel\_Nr} = 1..N\_Channels$ .

#### 10.6.2.1.2.5.1 Same\_Segmentation

If  $\text{Same\_Segmentation}$  is one, the Ptables and Prediction Filters use one and the same Segmentation. If  $\text{Same\_Segmentation}$  is zero, the partitioning for Prediction Filters is independent from the partitioning for Ptables, for the Audio Frame.

#### 10.6.2.1.2.5.2 Segment\_Alloc

$\text{Segment\_Alloc}$  defines the Segmentation for the Prediction Filters and/or the Ptables. The syntax of  $\text{Segment\_Alloc}$  is defined in Table 10.6.

For each Audio Channel, the variables  $\text{Nr\_Of\_Segments}$  and  $\text{Segment\_Length}[1..\text{Nr\_Of\_Segments}]$  from  $\text{Channel\_Segmentation}$  (see subclause 10.6.2.1.2.5.2.2) are referred to as:

- $\text{Nr\_Of\_Segments}[\text{Channel\_Nr}]$
- $\text{Segment\_Length}[\text{Channel\_Nr}][1..\text{Nr\_Of\_Segments}[\text{Channel\_Nr}]]$

In the syntax diagrams, syntax variables are shown in *italics*.

**Resolution\_Read** indicates whether Resolution from  $\text{Channel\_Segmentation}$ , see subclause 10.6.2.1.2.5.2.2, has been read.  $\text{Resolution\_Read}$  is set to true in the  $\text{Channel\_Segmentation}$  of the first Audio Channel with more than one Segment. Note that if Prediction Filters and Ptables use independent Segmentation, they also use an independent  $\text{Resolution\_Read}$ .

**Channel\_Nr** is a local index variable.

**N\_Channels** is the number of audio channels used.

#### 10.6.2.1.2.5.2.1 Same\_Segm\_For\_All\_Channels

If Same\_Segm\_For\_All\_Channels is one, only the Segmentation for the first Audio Channel is stored and Channel\_Segmentation()[Channel\_Nr] = Channel\_Segmentation()[1] for all Audio Channels. If Same\_Segm\_For\_All\_Channels is zero, the Audio Frame is partitioned into segments independent for each Audio Channel.

#### 10.6.2.1.2.5.2.2 Channel\_Segmentation

Channel\_Segmentation defines the Segmentation of the Prediction Filters and/or the Ptables. The syntax of Channel\_Segmentation is defined in Table 10.7.

The following variables are used in the syntax of Channel\_Segmentation:

- Nr\_Of\_Segments
- Start[1..Nr\_Of\_Segments]
- Segment\_Length[1..Nr\_Of\_Segments]

**Nr\_Of\_Segments** is the number of Segments for the current Audio Channel. The maximum number of Segments is MAXNRSEGS. MAXNRSEGS must be 4 for the Filter\_Segmentation, 8 for the Ptable\_Segmentation, and 4 for the Filter\_And\_Ptable\_Segmentation.

**Resolution\_Read** indicates whether the variable Resolution has been read in this or a previous Channel\_Segmentation. Resolution\_Read is set to true in the Channel\_Segmentation of the first Audio Channel with more than one Segment. Note that if Prediction Filters and Ptables use independent Segmentation, they also use an independent Resolution\_Read.

**Segment\_Length[Seg\_Nr]** contains the length of the Segment in bytes, where:

$$1 \leq \text{Seg\_Nr} \leq \text{Nr\_Of\_Segments}.$$

**Start[Seg\_Nr]** is the starting position in bytes of Segment[Seg\_Nr].

**Frame\_Length**, see subclause 10.6.1.3.1.

#### 10.6.2.1.2.5.2.2.1 End\_Of\_Channel\_Segm

If End\_Of\_Channel\_Segm is zero, one or more values for Scaled\_Length will follow. If End\_Of\_Channel\_Segm is one, the Channel\_Segmentation structure ends.

#### 10.6.2.1.2.5.2.2.2 Resolution

Each value of Scaled\_Length is multiplied by Resolution to get the Segment length in bytes. Resolution is stored only once, at the beginning of the first Audio Channel with more than one Segment. If all Audio Channels have only one Segment, Resolution is not encoded.

Resolution must be in the range of 1 to Frame\_Length - MINSEGLLEN. MINSEGLLEN must be 128 bytes for Filter\_Segmentation, 4 bytes for Ptable\_Segmentation, and 128 bytes for Filter\_And\_Ptable\_Segmentation.

#### 10.6.2.1.2.5.2.2.3 Scaled\_Length

For each Segment, except the last one, a value of Scaled\_Length is encoded. The length in bytes of a Segment is calculated with the following formula:

$$\text{Segment\_Length}[\text{Seg\_Nr}] = \text{Resolution} * \text{Scaled\_Length}[\text{Seg\_Nr}],$$

where,

$$1 \leq S\_Nr < Nr\_Of\_Segments.$$

The minimum Segment length of each Segment is MINSEGLen, see subclause 10.6.2.1.2.5.2.2.2.

For Ptable\_Segmentation the length of the first Segment of each Audio Channel must be at least  $(Pred\_Order[Filter[Channel\_Nr][1]]+7)/8$  bytes. For the definition of Filter[][] see subclause 10.6.2.1.2.6. For the definition of Pred\_Order[] see subclause 10.6.2.1.2.8.

The number of bits needed to encode Scaled\_Length[Seg\_Nr] depends on the value of Range. Range must be calculated with the following formula:

$$Range = Trunc\left(\frac{Frame\_Length - Start[Seg\_Nr] - MINSEGLen}{Resolution}\right).$$

If  $2^{n-1} \leq Range < 2^n$ , n bits must be used to encode Scaled\_Length[Seg\_Nr], see Table 10.16. The minimum value of Range is 1. The length of the last Segment is not encoded. The length of the last Segment can be calculated from the Frame Length and the start position of the last Segment with the following formula:

$$Segment\_Length[Nr\_Of\_Segments] = Frame\_Length - Start[Nr\_Of\_Segments].$$

**Table 10.16 — Bits used to encode Scaled\_Length**

Range	bits used	Range	bits used
1	1	128..255	8
2..3	2	256..511	9
4..7	3	512..1023	10
8..15	4	1024..2047	11
16..31	5	2048..4095	12
32..63	6	4096..8191	13
64..127	7		

**10.6.2.1.2.6 Mapping**

Mapping defines the Prediction Filters and Ptables used with the Segments specified in subclause 10.6.2.1.2.5. An example of Prediction Filter allocation for the Segmentation example in Figure 10.3 is shown in Figure 10.4. The syntax of Mapping is defined in Table 10.8.



Channel number	Prediction Filters			
1	Filter 0	Filter 1	Filter 2	Filter 3
2	Filter 0	Filter 1	Filter 2	Filter 3
3	Filter 4			

4	Filter 0	Filter 2	
5	Filter 4		
6	Filter 5	Filter 2	Filter 3

Figure 10.4 — Example of filter allocation

**Filter\_Mapping**

For each Audio Channel and each Segment, a Prediction Filter number is encoded. For Filter\_Mapping, the variable Element[ ][ ] from Channel\_Mapping (see subclause 10.6.2.1.2.6.2.2) contains the Prediction Filter numbers. For Filter\_Mapping, Element[ ][ ] is referred to as:

$$\text{Filter}[\text{Channel\_Nr}][1..\text{Filters.Nr\_Of\_Segments}[\text{Channel\_Nr}]].$$

For Filter\_Mapping, the variable Nr\_Of\_Elements (see subclause 10.6.2.1.2.6.2.2) is referred to as Nr\_Of\_Filters.

**Ptable\_Mapping**

For each Audio Channel and each Segment, a Ptable number is encoded. For Ptable\_Mapping, the variable Element[ ][ ] from Channel\_Mapping (see subclause 10.6.2.1.2.6.2.2) contains the Ptable numbers. For Ptable\_Mapping, Element[ ][ ] is referred to as:

$$\text{Ptable}[\text{Channel\_Nr}][1..\text{Ptables.Nr\_Of\_Segments}[\text{Channel\_Nr}]].$$

For Ptable\_Mapping, the variable Nr\_Of\_Elements (see subclause 10.6.2.1.2.6.2.2) is referred to as Nr\_Of\_Ptables.

**Filter\_And\_Ptable\_Mapping**

For each Audio Channel and each Segment, a common Prediction Filter and Ptable number is encoded. For Filter\_and\_Ptable\_Mapping, the variable Element[ ][ ] from Channel\_Mapping (see subclause 10.6.2.1.2.6.2.2) contains the common Prediction Filter and Ptable numbers. For Filter\_and\_Ptable\_Mapping, Element[ ][ ] is referred to as:

$$\text{Filter}[\text{Channel\_Nr}][1..\text{Filters.Nr\_Of\_Segments}[\text{Channel\_Nr}]],$$

as well as:

$$\text{Ptable}[\text{Channel\_Nr}][1..\text{Ptables.Nr\_Of\_Segments}[\text{Channel\_Nr}]].$$

For Filter\_and\_Ptable\_Mapping, the variable Nr\_Of\_Elements (see subclause 10.6.2.1.2.6.2.2) is referred to as Nr\_Of\_Filters as well as Nr\_Of\_Ptables.

**10.6.2.1.2.6.1 Same\_Mapping**

If Same\_Mapping is one, the Ptables and Prediction Filters use one and the same Mapping. If Same\_Mapping is zero, the mapping for Prediction Filters is independent from the mapping for Ptables, for the Audio Frame.

**10.6.2.1.2.6.2 Maps**

Maps defines the mapping of Prediction Filters and Ptables to the Segments defined in subclause 10.6.2.1.2.5. The syntax of Maps is defined in Table 10.9.

The following variables are used in the syntax of Maps:

- For each Audio Channel, Element[Channel\_Nr][1..Nr\_Of\_Segments[Channel\_Nr]]
- Nr\_Of\_Elements

**Nr\_Of\_Elements** is the total number of Prediction Filter and/or Ptables, used in Maps. Nr\_Of\_Elements must be in the range 1 .. 2 \* N\_Channels.

**Channel\_Nr** is a local index variable, used in Table 10.9 and Table 10.10.

**N\_Channels** is the number of audio channels used.

**10.6.2.1.2.6.2.1 Same\_Maps\_For\_All\_Channels**

If Same\_Maps\_For\_All\_Channels is one, only Element[1][ ] is stored and each Audio Channel uses the same array Element[Channel\_Nr][ ] = Element[1][ ]. If Same\_Maps\_For\_All\_Channels is equal to zero, Element[Channel\_Nr][ ] is stored independent for each Audio Channel. If Nr\_Of\_Segments[Channel\_Nr] does not have the same value for all Audio Channels, Same\_Maps\_For\_All\_Channels must be zero.

**10.6.2.1.2.6.2.2 Channel\_Mapping**

Channel\_Mapping contains per Audio Channel the Prediction Filter and/or Ptable numbers used for each Segment. The syntax of Channel\_Mapping is defined in Table 10.10.

**Nr\_Of\_Elements** is the total number of Prediction Filters and/or Ptables for all channels. Nr\_Of\_Elements is initialized in Maps, see Table 10.9.

**Channel\_Nr** is the index variable from Maps, see Table 10.9.

**Seg\_Nr** is a local index variable.

**Nr\_Of\_Segments[Channel\_Nr]** is the total number of Segments used in the current Audio Frame for Audio Channel Channel\_Nr.

**10.6.2.1.2.6.2.2.1 Element**

Element is the Prediction Filter and/or Ptable number used in the Segment. The number of bits used to encode Element depends on the value of Nr\_Of\_Elements. In each iteration, Element must be <= Nr\_Of\_Elements. Therefore, Element[1][1] is always zero and is not stored (#bits = 0). For all other Audio Channels and Segments, Nr\_Of\_Elements > 0 and the number of bits needed to store Element is n with:  $2^{n-1} \leq \text{Nr\_Of\_Elements} < 2^n$ , see Table 10.17.

**Table 10.17 — Bits used to encode Element**

Nr_Of_Elements	#bits used
0	0
1	1
2..3	2
4..7	3
8..15	4
16..31	5

32..63	6
64..127	7
128..255	8
256..511	9
512..1023	10
1024..2047	11
2048..4095	12
4096..8191	13
8192..16383	14
16384..32766	15

#### 10.6.2.1.2.7 Half\_Probability

The syntax of Half\_Probability is defined in Table 10.11.

**Channel\_Nr** is a local index variable.

**N\_Channels** is the number of audio channels used.

##### 10.6.2.1.2.7.1 Half\_Prob

Half\_Prob is used to encode, for each Audio Channel, which method will be used for applying a probability value to the arithmetic decoder. The definition of Half\_Prob is given in Table 10.18.

**Table 10.18 — Definition of Half\_Prob**

Half_Prob[ ]	Probability to use during first Pred_Order[ ] bits of the Audio Channel
0	Use the entries from the Ptable.
1	Use $p=1/2$ (corresponds to P_one = 128).

For optimum coding gain it is desired that the next residual bit in E has the value that has the greatest probability. If a probability is applied that reflects a high chance of the next E bit being 1 while the next E bit is a 0, then more than 1 bit is required in the arithmetic code to send this bit.

The prediction filter is initially filled with an initialization pattern. During the first Pred\_Order[Filter[Channel\_Nr][1]] samples in Audio Channel Channel\_Nr, the Prediction Filter is gradually filled with real DSD data. As a consequence the probability distribution can be quite different from the rest of the frame, and the combination of applied E and P for these bits results in more bits than desired. When applying a probability of  $1/2$  during encoding, each bit will also cost only one bit in the arithmetic code.

Therefore Half\_Prob is available for each channel separately to be able to overrule a bad combination of E and P at the start of a frame.

10.6.2.1.2.8 Filter\_Coef\_Sets

For each Segment in each Audio Channel, the DST decoder uses a Prediction Filter. In case two or more Prediction Filters are equal, the corresponding filter coefficients may be encoded only once. The variables used in the syntax of Filter\_Coef\_Sets are:

- Pred\_Order[Filter\_Nr]
- Coef[Filter\_Nr][0..Pred\_Order[Filter\_Nr]-1]

With Filter\_Nr = 0..Nr\_Of\_Filters-1.

All Prediction Filter coefficients are encoded. Per Prediction Filter, the order of prediction (the number of coefficients) and the coefficients are encoded. The Prediction Filter coefficients can be coded using simple linear prediction and Rice coding. Rice coding is a variable length coding technique (special case of Huffman coding) which is used to decrease the number of bits required for a certain “message”, without loss of information. The syntax of Filter\_Coef\_Sets is defined in Table 10.13.

The least significant bit of Coef[0][0] is called DST\_Y\_Bit, see subclause 10.6.2.1.2.8.1.

**Nr\_Of\_Filters** is the value calculated in Mapping, see subclause 10.6.2.1.2.6.

**Pred\_Order[]** is an array that contains the prediction order for each Prediction Filter. Where Pred\_Order[Filter\_Nr] = Coded\_Pred\_Order + 1, for Filter\_Nr ∈ (0..Nr\_Of\_Filters-1). The allowed range of the prediction order is: 1 ≤ Pred\_Order[Filter\_Nr] ≤ 128.

**Coef[][]** is a two-dimensional array that contains all coefficients of all Prediction Filters. Each entry of Coef[][] must be in the range of -256 to +255. The first (left) index is the Filter\_Nr and ranges from 0 through Nr\_Of\_Filters-1. The second (right) index is the coefficient number and ranges from 0 through Pred\_Order[Filter\_Nr]-1.

**CCPO** is the Coefficient Coding Prediction Order (CCPO). The relation between CC\_Method and CCPO is defined in Table 10.19.

Table 10.19 — Relation between CC\_Method and CCPO

CC_Method	CCPO
'00'	1
'01'	2
'10'	3
'11'	Not used

The restriction CCPO < Pred\_Order[Filter\_Nr] applies.

**Run\_Length** is a help variable to count the number of zeros in the run length code that is part of the Rice code.

**Delta** is a help variable to calculate the Rice coded Number.

**Delta8** is a help variable to calculate the Rice coded Number.

**CCPC[]** is an array that contains the Coefficient Coding Prediction Coefficients (CCPC) that are used for the linear prediction of the Filter coefficients. The relation between CC\_Method and CCPC[] is defined in Table 10.20.

**Table 10.20 — Relation between CC\_Method and CCPC[]**

CC_Method	CCPC[0]	CCPC[1]	CCPC[2]
'00'	-1	-	-
'01'	-2	1	-
'10'	-9/8	-5/8	6/8
'11'	Not used	Not used	Not used

The linear prediction requires rounding, as specified in the syntax of Table 10.13.

#### 10.6.2.1.2.8.1 DST\_Y\_Bit

DST\_Y\_Bit is the least significant bit of Coef[0][0]. At encoding the DST\_Y\_Bit shall be set to one. A decoder shall ignore the content of the DST\_Y\_Bit.

#### 10.6.2.1.2.8.2 Coded\_Pred\_Order

Coded\_Pred\_Order is a 7 bit unsigned integer that contains the coded prediction order of the current Prediction Filter.

#### 10.6.2.1.2.8.3 Coded\_Filter\_Coef\_Set

Coded\_Filter\_Coef\_Set indicates whether the Prediction Filter coefficients are predicted and Rice coded. Coded\_Filter\_Coef\_Set is set to zero if the Prediction Filter coefficients are stored directly.

Coded\_Filter\_Coef\_Set is set to one if the Prediction Filter coefficients are predicted and Rice coded.

The maximum number of bits permitted for a single Prediction Filter inside Filter\_Coef\_Sets is:

$$7+1+\text{Pred\_Order[]} * 9,$$

where 7 counts the bits for Coded\_Pred\_Order, 1 counts the Coded\_Filter\_Coef\_Set bit and the rest the Pred\_Order[] coefficients of 9 bit each.

#### 10.6.2.1.2.8.4 CC\_Method

CC\_Method is a 2 bit code that identifies the Coefficient Coding Method of the current Prediction Filter.

#### 10.6.2.1.2.8.5 CCM

CCM is a 3 bit unsigned integer that contains the Coefficient Coding M parameter that is used for Rice decoding the coefficients of the current Prediction Filter. The minimum allowed value for CCM is zero. The maximum allowed value for CCM is 6.

#### 10.6.2.1.2.8.6 RL\_Bit

RL\_Bit is used to retrieve the single bits of the run length code that consists of zeros with a terminating one. The shortest run length code is '1'.

**10.6.2.1.2.8.7 LSBs**

CCM least significant bits of the absolute value of the predicted coefficient are read from the stream directly and are stored in LSBs.

**10.6.2.1.2.8.8 Sign**

Sign is a bit that indicates if the predicted coefficient is positive (Sign='0') or negative (Sign='1').

**10.6.2.1.2.9 Probability\_Tables**

For each Segment in each Audio Channel, the decoder uses a Probability Table (Ptable). In case two or more probability tables are equal, the corresponding probability table entries may be available from the stream only once. The variables used in the syntax of Probability\_Tables are:

- Ptable\_Len[Ptable\_Nr]
- P\_One[Ptable\_Nr][0...Ptable\_Len[Ptable\_Nr]-1]

With Ptable\_Nr = 0..Nr\_Of\_Ptables-1.

In Probability\_Tables all probability table entries are encoded. Per probability table, the length of the table (= the number of entries) and the entries are encoded. The Ptable entries can be coded using simple linear prediction and Rice coding. The syntax of Probability\_Tables is defined in Table 10.14.

**Nr\_Of\_Ptables** is the value calculated in Mapping, see subclause 10.6.2.1.2.6.

**Ptable\_Len[]** is an array that contains the probability table length for each Ptable. Where Ptable\_Len[Ptable\_Nr] = Coded\_Ptable\_Len + 1, for Ptable\_Nr ∈ {0..Nr\_Of\_Ptables-1}. The allowed range of Ptable length: 1 ≤ Ptable\_Len[Ptable\_Nr] ≤ 64.

**P\_one[][]** is a two-dimensional array that finally contains all entries of all probability tables. The first (left) index is the Ptable\_Nr and ranges from 0 through Nr\_Of\_Ptables-1. The second (right) index is the entry number and ranges from 0 through Ptable\_Len[Ptable\_Nr]-1. Each entry of P\_one[][] is in the range of 1 to 128, corresponding to a probability of 1/256 to 128/256 of the next error bit (bit E, See Figure 10.5) being a one.

**PCPO** is the Ptable Coding Prediction Order (PCPO). The relation between PC\_Method and PCPO is defined in Table 10.21.

**Table 10.21 — Relation between PC\_Method and PCPO**

PC_Method	PCPO
'00'	1
'01'	2
'10'	3
'11'	Not used

The restriction PCPO < Ptable\_Len[Ptable\_Nr] applies.

**Run\_Length** is a help variable to count the number of zeros in the run length code that is part of the Rice code.

**Delta** is a help variable to calculate the Rice decoded Number.

**PCPC[]** is an array that contains the Ptable Coding Prediction Coefficients (PCPC) that are used for the linear prediction of the Ptable entries. The relation between PC\_Method and PCPC[] is defined in Table 10.22.

**Table 10.22 — Relation between PC\_Method and PCPC[]**

PC_Method	PCPC[0]	PCPC[1]	PCPC[2]
'00'	-1	-	-
'01'	-2	1	-
'10'	-3	3	-1
'11'	Not used	Not used	Not used

#### 10.6.2.1.2.9.1 Coded\_Ptable\_Len

Coded\_Ptable\_Len is a 6 bit unsigned integer that contains the coded probability table length.

#### 10.6.2.1.2.9.2 Coded\_Ptable

Coded\_Ptable indicates whether the Ptable entries are predicted and Rice coded. Coded\_Ptable is set to zero if the Ptable entries are stored directly. Coded\_Ptable is set to one if the Ptable entries are predicted and Rice coded.

The maximum number of bits permitted for a single Ptable inside Probability\_Tables is:

$$6+1+Ptable\_Len[]*7,$$

where 6 counts the bits for Coded\_Ptable\_Len, 1 counts the Coded\_Ptable bit and the rest the Ptable\_Len[] coded Ptable entries of 7 bit each.

#### 10.6.2.1.2.9.3 Coded\_P\_one

Coded\_P\_one is a 7 bit unsigned integer that contains the coded value of the next entry of the current Ptable.

#### 10.6.2.1.2.9.4 PC\_Method

PC\_Method is a 2 bit field that identifies the Ptable Coding Method of the current Ptable.

#### 10.6.2.1.2.9.5 PCM

PCM is a 3 bit unsigned integer that contains the Ptable Coding M parameter that is used for Rice decoding of the Ptable entries of the current Ptable. The minimum allowed value for PCM is zero. The maximum allowed value for PCM is 4.

#### 10.6.2.1.2.9.6 RL\_Bit

RL\_Bit contains the single bits of the run length code, that consists of zeros with a terminating one. The shortest run length code is '1'.

#### 10.6.2.1.2.9.7 LSBs

PCM least significant bits of the absolute value of the predicted entry are stored in LSBs.

10.6.2.1.2.9.8 Sign

Sign is a bit that indicates if the predicted entry is positive (Sign='0') or negative (Sign='1').

10.6.2.1.2.10 Arithmetic\_Coded\_Data

The syntax of Arithmetic\_Coded\_Data is defined in Table 10.12. Note that the length of Arithmetic\_Coded\_Data is not encoded.

10.6.2.1.2.10.1 A\_Data

A\_Data[] contains two parts:

- the Arithmetic Code
- Stuffing Bits

The Stuffing Bits are appended at the end of the Arithmetic Code to align the Audio\_Frame to a byte boundary. The number of Stuffing Bits is 0..7. The value of the Stuffing Bits must be zero.

A\_Data[] is used by the function "Input next bit D" as described in Annex C. The minimum length of A\_Data is zero bits. If the length of A\_Data is not equal to zero, A\_Data[0] must have the value zero. The maximum length of Arithmetic Code is the number of bits processed by "Input next bit D". It is allowed that trailing zeros of Arithmetic Code are not encoded in A\_Data[].

10.7 DST Decoder Reference Model

10.7.1 DST Decoder Block Diagrams

In Figure 10.5, the block diagram of a DST Decoder for a mono DSD signal is given. Figure 10.6 is a short hand notation for Figure 10.5. Figure 10.7 shows the diagram that is applicable when C-channels plus the DST\_X\_Bit have to be decoded.

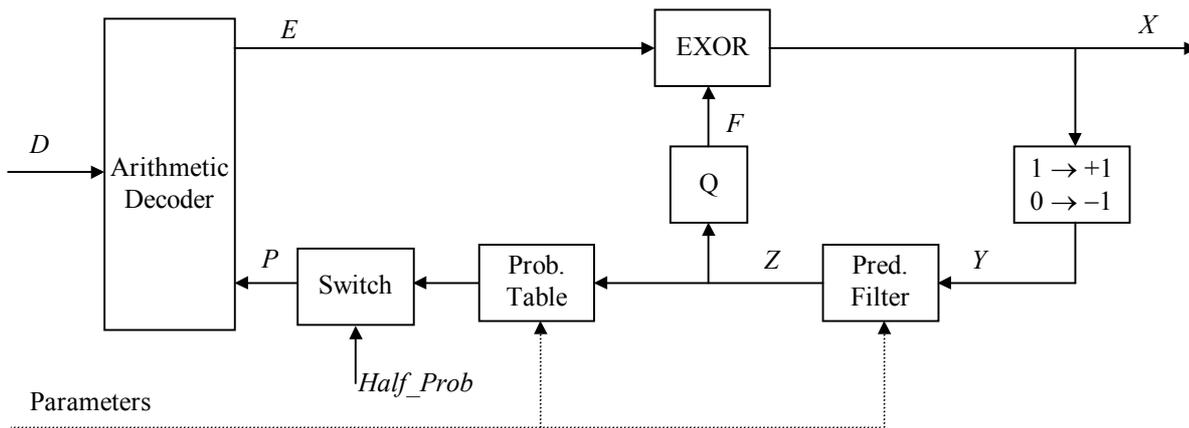


Figure 10.5 — Block diagram of the DST decoder for a mono DSD signal

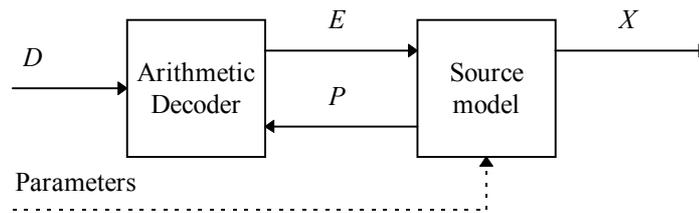


Figure 10.6 — Global diagram of the single channel DST decoder

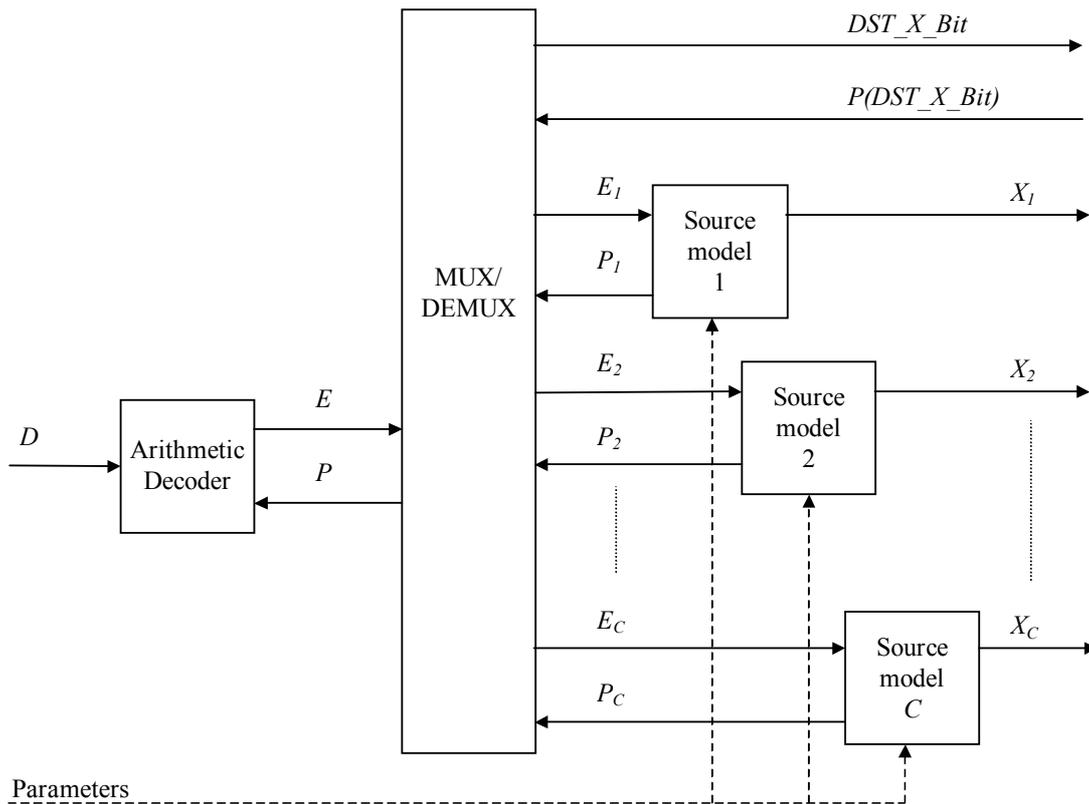


Figure 10.7 — Global diagram of the C-channel DST decoder

### 10.7.2 DST Decoding Processes

The parameters processed and extracted from the stream are used to decode the DST coded frame. This subclause explains the decoding processes needed for DST coded frames.

#### 10.7.2.1 Introduction

In Figure 10.7, three functions are distinguished: the arithmetic decoder, the multiplexer/demultiplexer and a number of source models. The arithmetic decoder receives the sequence of bits ( $D=A\_Data$ ) and the sequence of probabilities ( $P$ ) and generates the sequence of bits ( $E$ ). The  $E$  and  $P$  sequences are assigned to the source models in a cyclic order that is controlled by the multiplexer/demultiplexer. Every source model receives the required parameters like prediction filter coefficients and probability table entries from the stream as specified in syntax and semantics.

Source model  $S$  corresponds with channel  $S$ . The output  $X$  of Source model  $S$  is the DSD signal for channel  $S$ .

10.7.2.2 Arithmetic Decoder

Arithmetic coding is a variable length coding technique to compress data near to its entropy. The coded data is represented as a number. The number uses as many digits as are required to uniquely identify the original data. For more information about arithmetic coding see:

I.H. Witten, R.M. Neal, and J.G. Cleary, "Arithmetic coding for data compression", Communications ACM, vol. 30, pp. 520-540, June 1987.  
 P.G. Howard, and J.S. Vitter, "Arithmetic coding for data compression", Proc. IEEE, vol. 82, no. 6, p. 857-65, June 1994.

Table 10.23 defines the variables used in Figure 10.8, Figure 10.9, Figure 10.10 and Figure 10.11.

**Table 10.23 — Variables used in Figure 10.8, Figure 10.9, Figure 10.10 and Figure 10.11**

Name	Characteristics	Description
A	12 bit register	This unsigned integer represents the current interval size of the arithmetic decoder.
C	12 bit register	This unsigned integer holds part of the arithmetic code bits.
K	4 bit variable	This unsigned integer is a 4 bit approximation of A.
P	8 bit variable	This unsigned integer is the probability value applied to the arithmetic decoder.
Q	12 bit variable	This unsigned integer is the multiplication of K and P.

Figure 10.8 shows the overall flow chart of the DST decoding algorithm.

The initialization process is shown in Figure 10.9 and is required at the start of decoding each frame. It consists of loading the first 13 bits of the arithmetic code into register C and resetting register A to 4095. The first bit read into C will be overwritten, this is intended because the first bit is always 0.

The function "Input next bit D" in Figure 10.8, Figure 10.9 and Figure 10.11 means bit D is taken from A\_Data[], starting with the first bit. After all bits from A\_Data[] have been read, the function "Input next bit D" sets bit D to 0.

The reading of probability information is just retrieving a P from the source model (see subclause 10.7.2.3), except for the first bit, where a DST\_X\_Bit probability is read instead.

Figure 10.10 illustrates the decoding of one bit of E and updating of register A and C. First the current approximated interval size, K, is calculated. Then the multiplication of the approximated interval size K and the applied probability value P is stored in Q. If C is greater than or equal to A-Q, then the arithmetic code lies in the upper part of the interval which means that the original bit encoded, E, was a '1' bit; otherwise a '0' bit was transmitted. A and C must be adjusted in the same way as in the encoder.

The renormalizing process is shown in Figure 10.11. Renormalizing is required when the value of A is too small. If A is too small, both A and C are shifted one bit left, and a new bit of the arithmetic code, D, is read into the least significant bit of C.

It is possible that the last bits of A\_Data[] are not used by the function "Input next bit D" for decoding of the Audio Frame. These unused bits are stuffing bits for alignment of the Audio Frame on a byte boundary.

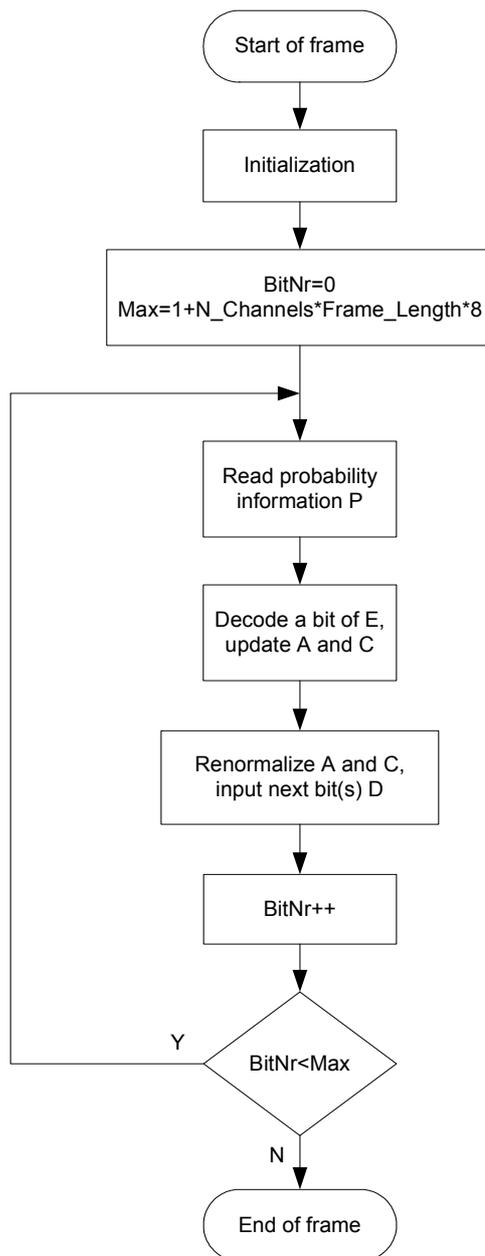


Figure 10.8 — Flowchart of the arithmetic decoder

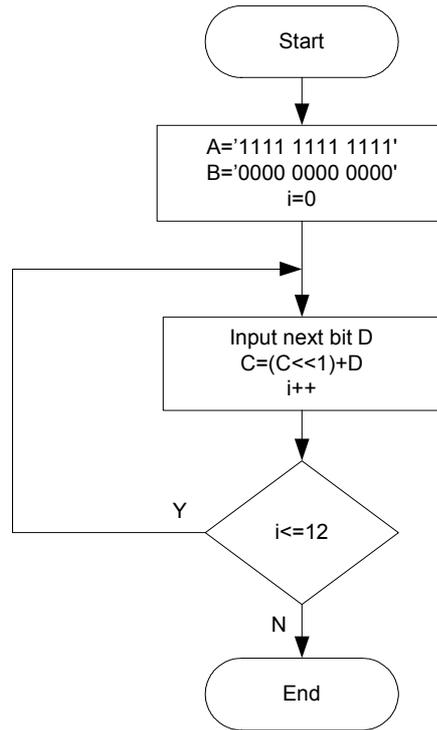


Figure 10.9 — Initialization

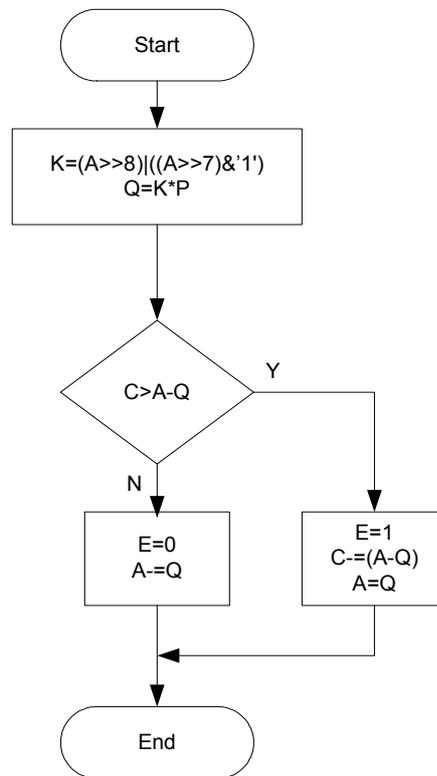


Figure 10.10 — Decode a bit of E, update A and C

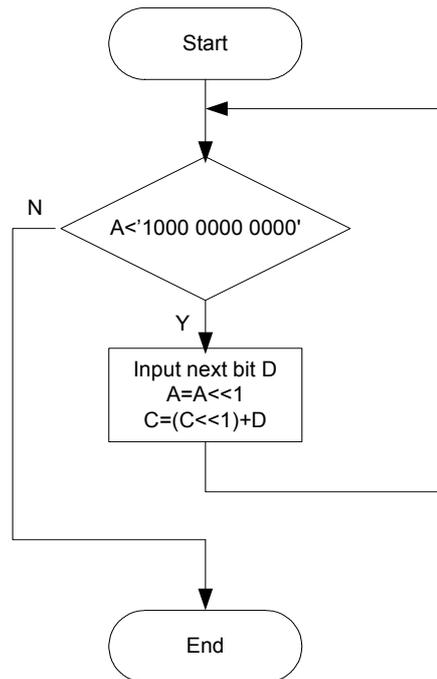


Figure 10.11 — Renormalize A and C, input next bit(s) D

10.7.2.3 Source Model

The decoding process in the source model is described for one channel only, as it is equivalent for all channels. Figure 10.12 shows the block diagram of the source model.

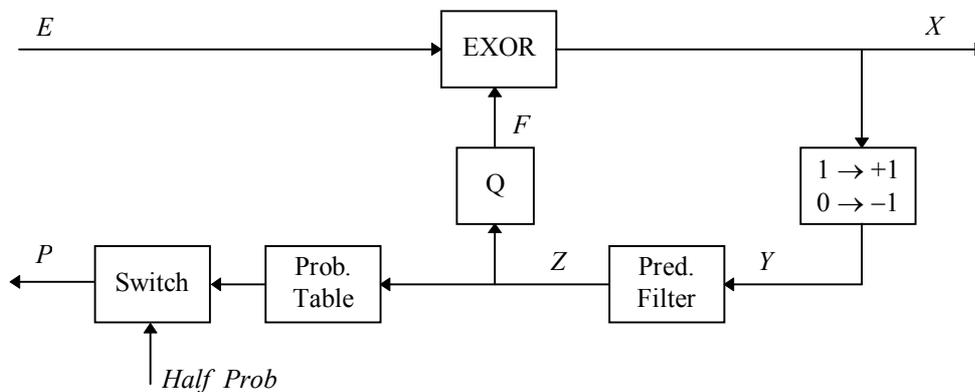


Figure 10.12 — Source model

Segmentation and Mapping determine which Prediction Filter and which Ptable must be used to decode the next bit of an Audio Channel. The two functions Filter\_N(n) and Ptable\_N(n) return the Prediction Filter and Ptable number used for decoding bit n of an Audio Channel. The functions Filter\_N(n) and Ptable\_N(n) use Segmentation and Mapping information. Filter\_N(n) is defined as follows:

$$\text{Filters.Start}[1] = 0$$

$$\text{Filters.Start}[\text{Seg}+1] = \text{Filters.Start}[\text{Seg}] + \text{Filters.Segment\_Length}[\text{Channel\_Nr}][\text{Seg}]$$

Where: Seg = 1 .. Filters.Nr\_Of\_Segments[Channel\_Nr]

and Seg is the Segment number of Audio Channel Channel\_Nr.

For bit n, the variable Seg can be determined via:

```

if ((n>>3) >= Filter.Start[Filters.Nr_Of_Segments[Channel_Nr]])
{
    Seg = Filters.Nr_Of_Segments[Channel_Nr]
}
else
{
    Filter.Start[Seg] <= (n<<3) < Filters.Start[Seg+1]
}

```

Filter\_N(n) can be found by using the following formula:

$$\text{Filter\_N}(n) = \text{Filter}[\text{Channel\_Nr}][\text{Seg}]$$

The function Ptable\_N(n) is defined using the same mechanism by:

- Replacing Filters by Ptables
- Replacing Filter\_N by Ptable\_N

Filters.Segment\_Length[ ][ ] and Ptables.Segment\_Length[ ][ ] are defined in subclause 10.6.2.1.2.5.

Filters.Nr\_Of\_Segments[ ] and Ptables.Nr\_Of\_Segments[ ] are defined in subclause 10.6.2.1.2.5.

Filter[ ][ ] and Ptable[ ][ ] are defined in subclause 10.6.2.1.2.6.

Filter\_N(n) and Ptable\_N(n) are used in the following definitions, which are used in the next section:

N	Pred_Order[Filter_N(n)]	Prediction order of the Prediction Filter that the current Segment uses.
H [ ]	Coef [Filter_N(n)] [ ]	Coefficients of the Prediction Filter that the current Segment uses.
L	Ptable_Len[Ptable_N(n)]	Length of the Ptable that the current Segment uses.
T [ ]	P_one [Ptable_N(n)] [ ]	Entries of the Ptable that the current Segment uses.
n	bit sequence number, range: 0 .. 8*Frame_Length - 1	Variable that runs through all bits of the current Audio Channel.

Frame\_Length is defined in subclause 10.6.1.3.1.

Pred\_Order[ ] and Coef[ ][ ] are defined in subclause 10.6.2.1.2.8.

Ptable\_Len[ ] and P\_One[ ][ ] are defined in subclause 10.6.2.1.2.9.

### 10.7.2.3.1 Initialization

The initialization of the prediction filter at the start of each frame is defined as:

$$Y[m] = (-1)^m \text{ for } -N \leq m < 0$$

The output value of the prediction filter is defined as:

$$Z[n] = \sum_{i=0}^{N-1} Y[n-1-i] \cdot H[i]$$

The Q-function transforms Z into F as follows:

$$F[n] = \begin{cases} 1 & : Z[n] \geq 0 \\ 0 & : Z[n] < 0 \end{cases}$$

There are two methods for applying probability values to the arithmetic decoder. In case  $Half\_Prob[Channel\_Nr] = '0'$  the probability value is determined by:

$$P[n] = T[\min(|Z[n]| \gg 3, L-1)]$$

In case  $Half\_Prob[Channel\_Nr] = '1'$  the probability value is determined by:

$$P[n] = \begin{cases} 128 & : 0 \leq n < N \\ T[\min(|Z[n]| \gg 3, L-1)] & : n \geq N \end{cases}$$

This value of  $P[n]$  is applied to the arithmetic decoder that will return the value of  $E[n]$ . Next the output value  $X[n]$  (DSD sample) is the exclusive OR of  $E[n]$  and  $F[n]$ :

$$X[n] = E[n] \oplus F[n]$$

The logical value of  $X[n]$  is converted to a numerical value of  $Y[n]$  as follows:

$$Y[n] = \begin{cases} +1 & : X[n] = 1 \\ -1 & : X[n] = 0 \end{cases}$$

### 10.7.2.4 Multiplexing/Demultiplexing

The multiplexing/demultiplexing device connects each source model to the arithmetic decoder at the correct instant.

Some new variables are introduced for readability of the equations:

C N\_Channels, the number of audio channels used.

n bit sequence number, range: 0 .. 8\*Frame\_Length - 1.

For  $1 \leq i \leq C$  we have:

$$DST\_X\_Bit = E[0],$$

$$P[0] = P(\text{DST\_X\_Bit}),$$

$$E_i[n] = E[C \cdot n + i],$$

$$P[C \cdot n + i] = P_i[n].$$

$P(\text{DST\_X\_Bit})$  shall be derived from  $\text{Coef}[0][0]$  (see 5.6.3.2.7) as follows. If  $\text{Coef}[0][0] = \%c_8c_7c_6c_5c_4c_3c_2c_1c_0$ , then  $P(\text{DST\_X\_Bits})$  shall be equal to  $\%0c_0c_1c_2c_3c_4c_5c_6 + 1$  of type Uint8.  $c_x$  represents the value of individual bits, with  $x$  is in the range 0..8. The value of  $P(\text{DST\_X\_Bit})$  is in the range 1..128.

### 10.7.3 Restrictions to DST coded Audio\_Frames

To allow an optimum DST decoder design, the following restrictions must be imposed on DST coded Audio Frames.

#### 10.7.3.1 Limited number of erroneously predicted samples

The maximum allowed number of erroneously predicted samples (see signal E in Annex C) in a DST coded Audio\_Frame is half of the number of DSD samples in a Frame.

$$N\_Errors\_max = \frac{N\_Channels * Frame\_Length * 8}{2}.$$

The total number of erroneous predicted samples ( $N\_Errors$ ) in a Frame is the sum of the number of erroneous predicted samples per Audio Channel:

$$N\_Errors = \sum_{i=1}^{N\_Channels} \left( \sum_{n=0}^{Frame\_Length * 8 - 1} E_i[n] \right),$$

where  $E_i[n]$  is either 0 (good prediction) or 1 (wrong prediction) (see Figure 10.10).

For each DST coded Audio Frame the following rule must apply:

$$N\_Errors \leq N\_Errors\_max.$$

#### 10.7.3.2 Probability table design requirement

The restrictions defined in this paragraph must be applied to the Ptables.

For each Ptable that is used in a Frame the contents is determined with the following algorithm:

- Take all samples of the frame into account that use the Ptable in question and count for these samples how many times which Ptable entry is used ( $CA[ ][ ]$ ), and how many times signal E is equal to 1 for this Ptable entry ( $CW[ ][ ]$ ).

```

for (Ptable_Nr=0; Ptable_Nr<Nr_Of_Ptables; Ptable_Nr++)
{
  for (Entry_Nr=0; Entry_Nr<Ptable_Len[Ptable_Nr]; Entry_Nr++)
  {
    CA[Ptable_Nr][Entry_Nr] = 0;
    CW[Ptable_Nr][Entry_Nr] = 0;
  }
}
for (Channel_Nr=1; Channel_Nr<=N_Channels; Channel_Nr++)
{
  if (Half_Prob[Channel_Nr]==0)
  {
    Start = 0;
  }
  else
  {
    Start = Pred_Order[Filter[Channel_Nr][1]];
  }
  Stop = 0;
  for (Seg_Nr=1; Seg_Nr<=Ptables.Nr_Of_Segments[Channel_Nr]; Seg_Nr++)
  {
    Stop += 8*Ptables.Segment_Length[Channel_Nr][Seg_Nr];
    for (Bit_Nr=Start; Bit_Nr<Stop; Bit_Nr++)
    {
      Ptable_Nr = Ptable[Channel_Nr][Seg_Nr];
      Entry_Nr = min(IZ[Channel_Nr][Bit_Nr]>>3, Ptable_Len[Ptable_Nr]-1);
      CA[Ptable_Nr][Entry_Nr]++;
      CW[Ptable_Nr][Entry_Nr] += E_Channel_Nr[Bit_Nr];
    }
    Start = Stop;
  }
}

```

- For each Entry of each Ptable the probability for wrong prediction is derived from these numbers in the following way:

```

for (Ptable_Nr=0; Ptable_Nr<Nr_Of_Ptables; Ptable_Nr++)
{
  for (Entry_Nr=0; Entry_Nr<Ptable_Len[Ptable_Nr]; Entry_Nr++)
  {
    if (CA[Ptable_Nr][Entry_Nr] == 0)
    {
      P_min[Ptable_Nr][Entry_Nr] = 1;
    }
    else
    {
      p = trunc  $\left( \frac{512 * CW[Ptable\_Nr][Entry\_Nr] + CA[Ptable\_Nr][Entry\_Nr]}{2 * CA[Ptable\_Nr][Entry\_Nr]} \right)$ ;
      P_min[Ptable_Nr][Entry_Nr] = min(max(p, 1), 128);
    }
  }
}

```

- $P\_min[ ][ ]$  are the minimum allowed probability values for the entries of the Ptables. For each Entry of each Ptable the probabilities actually used for encoding ( $P\_one[ ][ ]$ ) must meet the following condition:

$$P\_min[Ptable\_Nr][Entry\_Nr] \leq P\_one[Ptable\_Nr][Entry\_Nr] \leq 1.$$

## Annex 10.A (informative) Encoder description

This annex describes the encoder part of the lossless audio-coding algorithm for oversampled audio signals.

### 10.A.1 Technical overview

As illustrated in Figure 10.A.1, in the lossless audio coding algorithm, three main processes take place; framing, prediction and entropy coding. In the encoder, the incoming one-bit data is first framed and then passed to the prediction stage. After the prediction stage, the error signal, calculated from the prediction and the original signal, is passed on to the entropy encoding stage. In this last stage the error signal is encoded using arithmetic coding, which has a performance close to the (optimal) entropy encoding. Prediction filters and probability tables can be grouped for channels for even better coding efficiency. The data generated by the arithmetic coder is finally combined with the prediction coefficients, and multiplexed in a bit-stream.

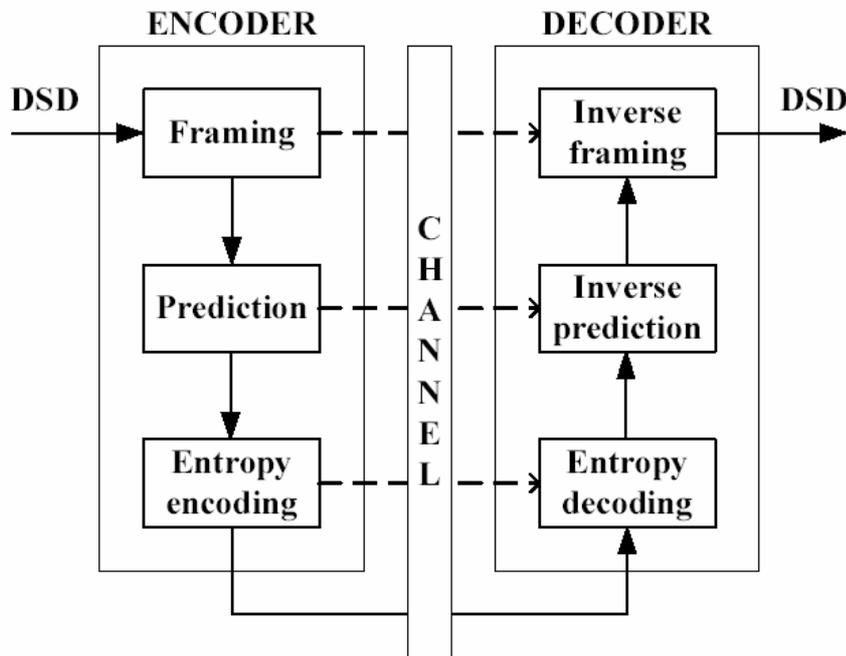


Figure 10.A.1 — Lossless Coder diagram

#### 10.A.1.1 Framing

The purpose of framing is two-fold. Firstly, framing is necessary to provide easy, “random” access to the audio data during playback. For the same reason, each frame needs to be independently encoded, which enables the player to decode separate frames without knowledge about preceding frames. Secondly, framing allows the audio contents in a frame to be regarded as stationary (or at least, quasi-stationary). This is the underlying assumption in the prediction process.

The framing process divides the original one-bit audio stream consisting of samples  $b \in \{0, 1\}$  into frames of length  $M=37,632$  bits, corresponding to  $1/75$  of a second, assuming a sampling rate of 2,8224 MS/s. For other sampling rates refer to Table 10.15.

### 10.A.1.2 Prediction

Prediction filtering is the first necessary step in the process of (audio) data compression. The prediction filtering step, shown in more detail in Figure 10.A.2, attempts to remove redundancy from the audio bit stream  $b$ , by creating a new bit stream  $e$ , which is not redundant. Together with the prediction filter coefficients  $h$ , error stream  $e$  carries the same information as  $b$ . The prediction filter is denoted as  $z^{-1}H(z)$ , to emphasize the fact that the filter transfer contains a delay, which is mandatory to create an encoder that can be time-reversed (thus creating the decoder).

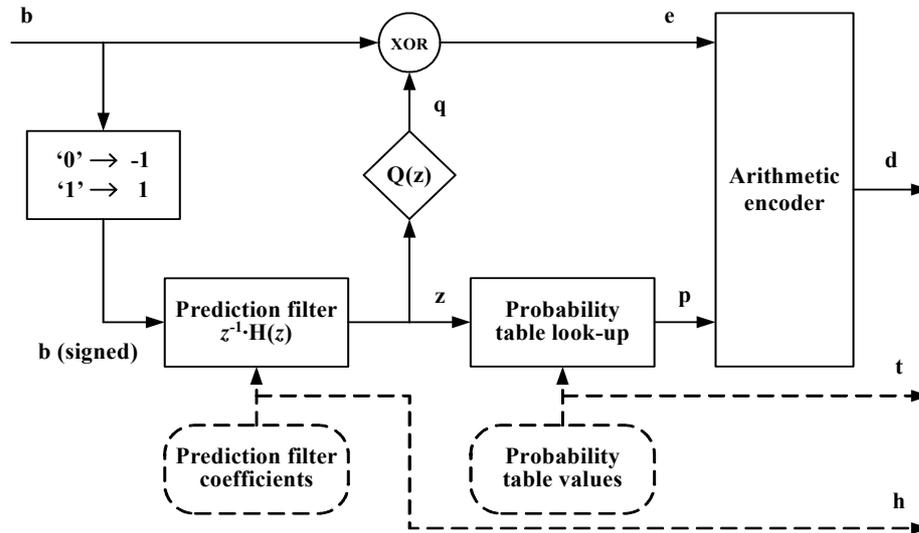


Figure 10.A.2 — Encoder block diagram

The FIR prediction filter can be designed according to standard methods, the most well-known based on Minimum Mean Squared Error (MMSE, [3]). Application of the MMSE criterion leads to the prediction error equality that needs to be minimized:

$$\sum_{n=1}^M \varepsilon^2[n] = \sum_{n=1}^M \left( \sum_{i=1}^L h[i] \cdot b[n-i] - b[n] \right)^2,$$

where  $M$  is the number of bits per frame, and  $L$  the number of prediction coefficients, which is encoded as 'Coded\_Pred\_Order+1'. After straightforward manipulation [1], [2], this results in the coefficients  $h$ . In general, the FIR filter found in this way will be a minimum phase filter. To obtain the optimum balance between prediction accuracy and the number of bits taken by the prediction filter description, the prediction filter coefficients are quantized to 9-bit fixed-point numbers by first scaling them by 256. The resulting coefficients are stored in 'Coef[Filter\_Nr][Coef\_Nr]', where Filter\_Nr denotes the filter index and Coef\_Nr the filter coefficient index respectively. Referring back to Figure 10.A.2, it is clear that the prediction signal  $z$  is multi-bit. The prediction bits  $q$  are derived from the multi-bit values  $z$  by simple truncation, indicated by the block labeled  $Q(z)$ . It should be noted that the error between bit-stream  $b$  and multi-bit signal  $z$  is minimized, whereas ideally the difference between  $b$  and the  $q$ , the one-bit quantized version of  $z$ , would be minimized (see Figure 10.A.2). This however results in intractable mathematics.

Finally, the error signal  $e$  is calculated by an exclusive-or (XOR) operation between  $b$  and  $q$ . The purpose of the prediction filter is to create as many zeroes in  $e$  as possible, as this will enable significant data reductions by entropy encoding.

### 10.A.1.3 Arithmetic encoding

When proper prediction filters are used, the signal  $e$  will consist of more zeroes than ones and can thus result in a possible compression gain. Suppose that the probability of a '1' in  $e$  is denoted by  $p$ , then the probability of a '0' equals  $(1-p)$ . The minimum number of bits  $N_{\text{bits}}$  with which, on average, a single bit of the stream  $e$  can be represented then equals:

$$N_{\text{bits}} = - ( p \cdot \log_2(p) + (1 - p) \cdot \log_2(1 - p) ).$$

Suppose 90% of all predictions are correct, then  $p = 0.1$  and  $N_{\text{bits}} = 0.47$ . Typically, a compression of about a factor 2 is possible. While this calculation based on entropy calculations presents an upper limit to the achievable compression, an algorithm that under practical circumstances approaches this limit is the arithmetic-coding algorithm [3], [4].

Arithmetic encoding methods can only be used successfully when accurate information on the probabilities of the symbols “0” or “1” is available. In Figure 10.A.3, a histogram (measured over 188,160 samples taken from a 6-channel pop-recording) of the occurrence of the different values of  $|z|$  is given, indicated by diamonds. Also shown in squares, is the histogram for the occurrence of  $|z|$ , given the fact that the prediction of the sign of  $z$  is correct, *i.e.*,  $e=0$ . In triangles, the histogram is shown for the occurrence of  $|z|$ , given the fact that the prediction is wrong ( $e=1$ ). These plots show that there is a very strong relationship between the value of  $z$  and the reliability of the prediction, which can be exploited in the arithmetic encoding.

The symbol probabilities needed for arithmetic coding are calculated by making a histogram (or table) as shown in Figure 10.A.3. Denoting the probability that a prediction is correct by  $P(e=0)$ , we see that since  $P(e=0) = 1 - P(e=1)$ , it is not necessary to calculate two tables: only the error probability table  $t$ , for  $P(e=1)$ , is used for arithmetic encoding and transferred to the decoder.

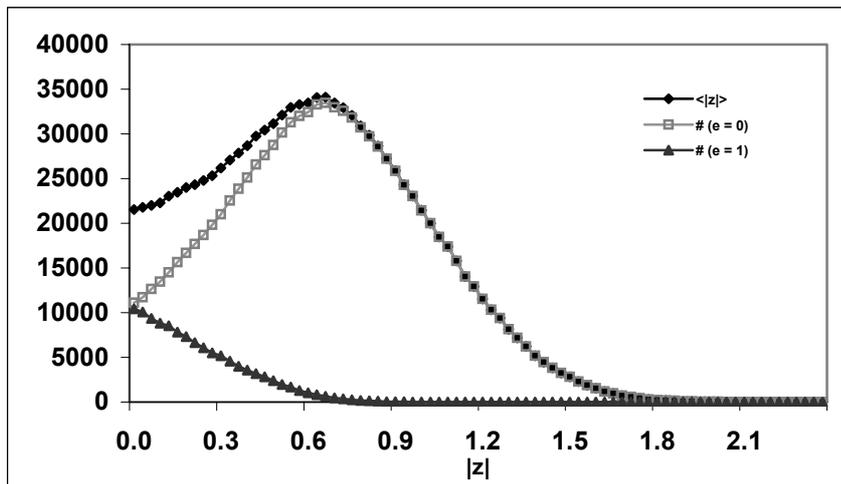


Figure 10.A.3 — Example distribution of  $|z|$  (diamonds) and the corresponding histograms for the number of right predictions ( $e=0$ , squares) and wrong predictions ( $e=1$ , triangles)

### 10.A.1.4 Channel multiplexing

In the previous sections the “source model” [3], consisting of the prediction filter and probability table, has been discussed for one channel. In a full encoder, every channel has its own source model, whereas only a single arithmetic encoder is used. To exploit the correlation between channels, however, it is also possible to let channels share prediction filters and/or probability tables. Sharing filters or probability tables is profitable when the decrease in number of metadata bits, necessary to transfer the filter or table information from the encoder to the decoder, is higher than the increase in number of arithmetic code bits. The latter number will typically be somewhat larger, since it is not always possible to construct a prediction filter (or probability table) that leads to optimal arithmetic encoding for all channels that are using it.

The arithmetic encoder subsequently receives, for each channel, the streams  $e$  and  $p$ , which are delivered by the individual source models.

### 10.A.1.5 References

[1] N.S. Jayant and P.I. Noll, .Digital Coding of Waveforms: Principles and Applications to Speech and Video., Englewood Cliffs, NJ: Prentice Hall 1984.

- [2] William H. Press et al., Numerical recipes in C: the art of scientific computing., Second edition, Cambridge University Press, Cambridge, England, 1992.
- [3] I.H. Witten, R.M. Neal and J.G. Cleary, "Arithmetic coding for data compression", Communications ACM, vol. 30, pp. 520-540, June 1987.
- [4] P.G. Howard and J.S. Vitter, " Arithmetic coding for data compression", Proc. IEEE, vol. 82, no. 6, pp. 857-865, June 1994.



## Contents for Subpart 11

11.1	Scope .....	2
11.2	Technical Overview .....	2
11.2.1	Encoder and Decoder Structure .....	2
11.2.2	Floating-Point Extensions .....	3
11.3	Terms and Definitions .....	3
11.3.1	Definitions .....	3
11.3.2	Mnemonics .....	4
11.3.3	Data Types .....	4
11.4	Syntax .....	4
11.4.1	Decoder Configuration .....	4
11.4.2	Bitstream Payloads .....	5
11.4.3	Payloads for Floating-Point Data .....	10
11.5	Semantics .....	12
11.5.1	General Semantics .....	12
11.5.2	Semantics for Floating-Point Data .....	17
11.6	ALS Tools .....	19
11.6.1	Overview .....	19
11.6.2	Block Switching .....	23
11.6.3	Prediction .....	25
11.6.4	Long-term prediction (LTP) .....	32
11.6.5	RLS-LMS Predictor .....	34
11.6.6	Coded Residual .....	49
11.6.7	Joint Coding of Channel Pairs .....	62
11.6.8	Multi-Channel Coding (MCC) .....	62
11.6.9	Extension for Floating-Point Data .....	66

## Subpart 11: Audio Lossless Coding

### 11.1 Scope

This subpart of ISO/IEC 14496-3 describes the MPEG-4 Audio Lossless Coding (ALS) algorithm for lossless coding of audio signals.

MPEG-4 ALS is a lossless compression scheme for digital audio data, i.e. the decoded data is a bit-identical reconstruction of the original input data. Input signals can be integer PCM data with 8 to 32-bit word length or 32-bit IEEE floating-point data. MPEG-4 ALS provides a wide range of flexibility in terms of compression-complexity trade-off, since the combination of several tools allows for the definition of compression levels with different complexities.

### 11.2 Technical Overview

#### 11.2.1 Encoder and Decoder Structure

The basic structure of the ALS encoder and decoder is shown in Figure 11.1.

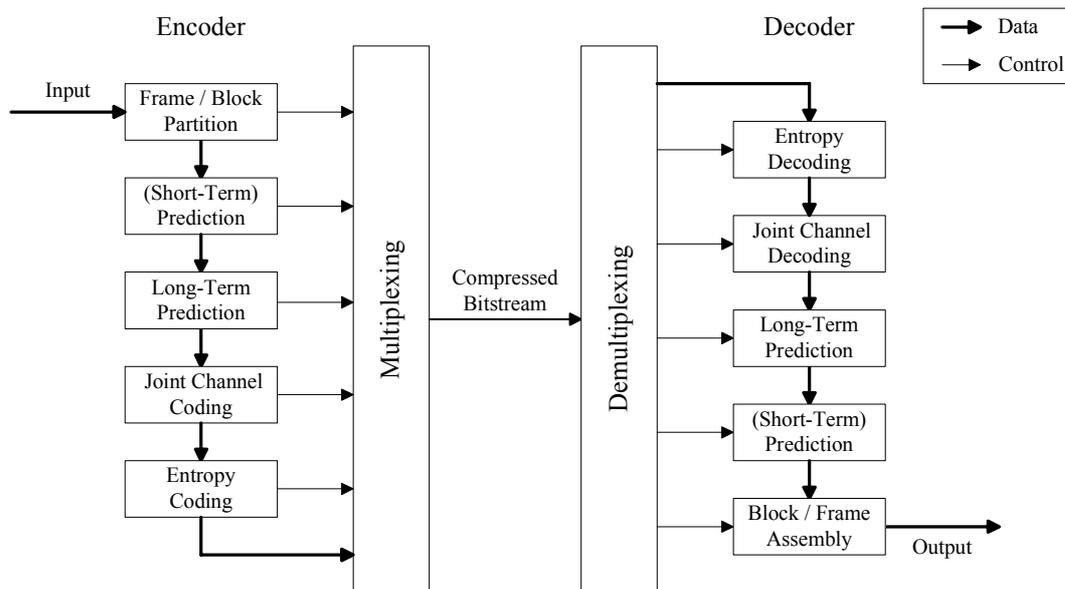


Figure 11.1 — Block diagram of the ALS encoder and decoder

The input audio data is partitioned into frames. Within a frame, each channel can be further subdivided into blocks of audio samples for further processing (*block switching*, see subclause 11.6.2). For each block, a prediction residual is calculated using short-term *prediction* (see subclauses 11.6.3 and 11.6.5) and optionally *long-term prediction* (*LTP*, see subclause 11.6.4). Inter-channel redundancy can be removed by *joint channel coding*, using either *difference coding of channel pairs* (see subclause 11.6.7) or *multi-channel coding* (*MCC*, see subclause 11.6.8). The remaining prediction residual is finally *entropy coded* (see subclause 11.6.6).

The encoder generates bitstream information allowing for random access at intervals of several frames. The encoder can also provide a CRC checksum, which the decoder may use to verify the decoded data. The decoder applies the inverse encoder operations in reverse order. Its output is a bit-identical version of the original input audio data.

## 11.2.2 Floating-Point Extensions

In addition to integer audio signals, MPEG-4 ALS also supports lossless compression of audio signals in the IEEE 32-bit floating-point format. The floating-point sequence is modeled by the sum of an integer sequence multiplied by a constant (ACF: Approximate Common Factor) and a residual sequence. The integer sequence is compressed using the basic ALS tools for integer data, while the residual sequence is separately compressed by the masked Lempel-Ziv tool. A detailed description of the floating-point extensions can be found in subclause 11.6.9.

## 11.3 Terms and Definitions

### 11.3.1 Definitions

The following definitions and abbreviations are used in this document.

Frame	Segment of the audio signal (containing all channels).
Block	Segment of one audio channel.
Sub-block	Subpart of a block that uses the same entropy coding parameters.
Random Access Frame	Frame that can be decoded without decoding previous frames.
Residual	Prediction error, i.e. original minus predicted signal.
Predictor/Prediction Filter	Linear FIR filter which computes an estimate of the input signal using previous samples.
Prediction order	Order of the prediction filter (number of predictor coefficients).
LPC coefficients	Coefficients of the direct form prediction filter.
Parcor coefficients	Parcor representation of the predictor coefficients.
Quantized coefficients	Quantized parcor coefficients.
LTP	Long-term prediction.
Rice code	Also known as Golomb-Rice code. In this document the short form is used.
BGMC	Block Gilbert-Moore Code (also known as Elias-Shannon-Fano code).
CRC	Cyclic Redundancy Check.
LPC	Linear Predictive Coding.
PCM	Pulse Code Modulation.
Mantissa	Fractional part of floating-point data
Exponent	Exponential part of floating-point data
ACFC	Approximate Common Factor Coding
Masked-LZ	Masked Lempel-Ziv Coding
MCC	Multi-Channel Coding

MSB Most significant bit  
 LSB Least significant bit

**11.3.2 Mnemonics**

uimsbf Unsigned integer, most significant bit first  
 simsbf Signed integer, most significant bit first  
 bslibf Bit string, left bit first, where "left" is the order in which bits are written  
 IEEE32 IEEE 32-bit floating-point data (4 bytes), most significant bit first

The mnemonics Rice code and BGMC indicate that variable length codewords are used, which are described in subclause 11.6.6.

**11.3.3 Data Types**

The following data types are used in the pseudo code sections:

INT64 64-bit signed integer (two's complement)  
 long 32-bit signed integer (two's complement)  
 short 16-bit signed integer (two's complement)  
 x.y Fixed-point signed fractional representation, where x is the number of bits to the left of the binary point, and y is the number of bits to the right of the binary point (two's complement sign representation). 64-bit signed integer (two's complement)

If "unsigned" is added in front of the data type, then the type is unsigned instead of signed.

**11.3.4 Implementation Notes**

In this document, there are several logarithmic arithmetic calculations of the form 'ceil(log2(...))' that determine an integer value which describes the number of required bits for a particular parameter.

In order to avoid inconsistent results, no floating point functions shall be used to implement ceil() and log2(). Instead, fixed-point implementations (e.g. applying bit shifts) should be used to obtain the mathematically correct integer results.

**11.4 Syntax**

**11.4.1 Decoder Configuration**

**Table 11.1 — Syntax of ALSSpecificConfig**

Syntax	No. of bits	Mnemonic
ALSSpecificConfig() {		
als_id;	32	uimsbf
samp_freq;	32	uimsbf
samples;	32	uimsbf
channels;	16	uimsbf
file_type;	3	uimsbf

<b>resolution;</b>	<b>3</b>	<b>uimsbf</b>
<b>floating;</b>	<b>1</b>	<b>uimsbf</b>
<b>msb_first;</b>	<b>1</b>	<b>uimsbf</b>
<b>frame_length;</b>	<b>16</b>	<b>uimsbf</b>
<b>random_access;</b>	<b>8</b>	<b>uimsbf</b>
<b>ra_flag;</b>	<b>2</b>	<b>uimsbf</b>
<b>adapt_order;</b>	<b>1</b>	<b>uimsbf</b>
<b>coef_table;</b>	<b>2</b>	<b>uimsbf</b>
<b>long_term_prediction;</b>	<b>1</b>	<b>uimsbf</b>
<b>max_order;</b>	<b>10</b>	<b>uimsbf</b>
<b>block_switching;</b>	<b>2</b>	<b>uimsbf</b>
<b>bgmc_mode;</b>	<b>1</b>	<b>uimsbf</b>
<b>sb_part;</b>	<b>1</b>	<b>uimsbf</b>
<b>joint_stereo;</b>	<b>1</b>	<b>uimsbf</b>
<b>mc_coding;</b>	<b>1</b>	<b>uimsbf</b>
<b>chan_config;</b>	<b>1</b>	<b>uimsbf</b>
<b>chan_sort;</b>	<b>1</b>	<b>uimsbf</b>
<b>crc_enabled;</b>	<b>1</b>	<b>uimsbf</b>
<b>RLS_LMS</b>	<b>1</b>	<b>uimsbf</b>
<b>(reserved)</b>	<b>5</b>	
<b>aux_data_enabled;</b>	<b>1</b>	<b>uimsbf</b>
if (chan_config) {		
<b>chan_config_info;</b>	<b>16</b>	<b>uimsbf</b>
}		
if (chan_sort) {		
for (c = 0; c <= channels; c++)		
<b>chan_pos[c];</b>	<b>1..16</b>	<b>uimsbf</b>
}		
<b>byte_align;</b>	<b>0..7</b>	<b>bslbf</b>
<b>header_size;</b>	<b>32</b>	<b>uimsbf</b>
<b>trailer_size;</b>	<b>32</b>	<b>uimsbf</b>
<b>orig_header[];</b>	<b>header_size * 8</b>	<b>bslbf</b>
<b>orig_trailer[];</b>	<b>trailer_size * 8</b>	<b>bslbf</b>
if (crc_enabled) {		
<b>crc;</b>	<b>32</b>	<b>uimsbf</b>
}		
if ((ra_flag == 2) && (random_access > 0)) {		
for (f = 0; f < ((samples-1) / (frame_length+1)) + 1; f++) {		
<b>ra_unit_size[f]</b>	<b>32</b>	<b>uimsbf</b>
}		
}		
if (aux_data_enabled) {		
<b>aux_size;</b>	<b>32</b>	<b>uimsbf</b>
<b>aux_data[];</b>	<b>aux_size * 8</b>	<b>bslbf</b>
}		
}		

**Note:** "byte\_align" denotes byte alignment of subsequent data relative to the start of ALSSpecificConfig().

#### 11.4.2 Bitstream Payloads

**Table 11.2 — Syntax of top level payload (frame\_data)**

Syntax	No. of bits	Mnemonic
frame_data() { if ((ra_flag == 1) && (frame_id % random_access == 0)) {		

<pre>         ra_unit_size     }     if (mc_coding &amp;&amp; joint_stereo) {         js_switch;         byte_align;     }     if (!mc_coding    js_switch) {         for (c = 0; c &lt;= channels; c++) {             if (block_switching) {                 bs_info;             }             if (independent_bs) {                 for (b = 0; b &lt; blocks; b++) {                     block_data(c);                 }             }             else{                 for (b = 0; b &lt; blocks; b++) {                     block_data(c);                     block_data(c+1);                 }                 c++;             }         }     }     else{         if (block_switching) {             bs_info;         }         for (b = 0; b &lt; blocks; b++) {             for (c = 0; c &lt;= channels; c++) {                 block_data(c);                 channel_data(c);             }         }     }     if (floating)     {         num_bytes_diff_float;         diff_float_data();     } } </pre>	<p>32</p> <p>1</p> <p>8,16,32</p> <p>8,16,32</p> <p>32</p>	<p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p>
---	--	---

**Note:** If joint\_stereo is off, or if c is the last channel, independent\_bs is true by default. If joint\_stereo is on, independent\_bs is false by default, but if block\_switching is on as well, the independent\_bs flag is explicitly signaled as the first bit of a channel pair's bs\_info field (see subclause 11.6.2). The frame\_id field indicates the consecutive frame number, starting at 0 for the first frame.

**Table 11.3 — Syntax of block\_data**

Syntax	No. of bits	Mnemonic
<pre> block_data() {     block_type;     if (block_type == 0) {         const_block;         js_block;         (reserved)         if (const_block == 1) {             { </pre>	<p>1</p> <p>1</p> <p>1</p> <p>5</p>	<p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p>

if (resolution == 0) { <b>const_val;</b> }	// 8 bits	<b>8</b>	<b>simsbf</b>
else if (resolution == 1) { <b>const_val;</b> }	// 16 bits	<b>16</b>	<b>simsbf</b>
else if (resolution == 2    floating == 1) { <b>const_val;</b> }	// 24 bits	<b>24</b>	<b>simsbf</b>
else { <b>const_val;</b> }	// 32 bits	<b>32</b>	<b>simsbf</b>
} } else { <b>js_block;</b>		<b>1</b>	<b>uimsbf</b>
if ((bgmc_mode == 0) && (sb_part == 0) { sub_blocks = 1; }			
else if ((bgmc_mode == 1) && (sb_part == 1) { <b>ec_sub;</b> sub_blocks = 1 << ec_sub; }		<b>2</b>	<b>uimsbf</b>
else { <b>ec_sub;</b> sub_blocks = (ec_sub == 1) ? 4 : 1; }		<b>1</b>	<b>uimsbf</b>
if (bgmc_mode == 0) { for (k = 0; k < sub_blocks; k++) { <b>s[k];</b> }		<b>varies</b>	<b>Rice code</b>
} else { for (k = 0; k < sub_blocks; k++) { <b>s[k],sx[k];</b> }		<b>varies</b>	<b>Rice code</b>
} sb_length = block_length / sub_blocks; <b>shift_lsbs;</b>		<b>1</b>	<b>uimsbf</b>
if (shift_lsbs == 1) { <b>shift_pos;</b> }		<b>4</b>	<b>uimsbf</b>
if (!RLSMLS) { if (adapt_order == 1) { <b>opt_order;</b> }		<b>1..10</b>	<b>uimsbf</b>
else { opt_order = max_order; }			
for (p = 0; p < opt_order; p++) { <b>quant_cof[p];</b> }		<b>varies</b>	<b>Rice code</b>
} if (long_term_prediction) { <b>LTPenable;</b>		<b>1</b>	<b>uimsbf</b>
if (LTPenable) { for (i = -2; i <= 2; i++) { <b>LTPgain[i];</b> }		<b>varies</b>	<b>Rice code</b>

<pre>                 <b>LTPlag;</b>             }         }         start = 0;         if (random_access_block) {             if (opt_order &gt; 0) {                 <b>smp_val[0];</b>             }             if (opt_order &gt; 1) {                 <b>res[1];</b>             }             if (opt_order &gt; 2) {                 <b>res[2];</b>             }             if (opt_order &lt; 3) {                 start = opt_order;             }             else {                 start = 3;             }         }         if (bgmc_mode) {             for (n = start; n &lt; sb_length; n++) {                 <b>msb[n];</b>             }             for (k=1; k &lt; sub_blocks; k++) {                 for (n = k * sb_length; n &lt; (k+1) * sb_length; n++) {                     <b>msb[n];</b>                 }             }             for (n = start; n &lt; sb_length; n++) {                 if (msb[n] != tail_code) {                     <b>lsb[n];</b>                 }                 else {                     <b>tail[n];</b>                 }             }             for (k=1; k &lt; sub_blocks; k++) {                 for (n = k * sb_length; n &lt; (k+1) * sb_length; n++) {                     if (msb[n] != tail_code) {                         <b>lsb[n];</b>                     }                     else {                         <b>tail[n];</b>                     }                 }             }         }         else         {             for (n = start; n &lt; block_length; n++) {                 <b>res[n];</b>             }         }     }     if (RLSLMS) {         RLSLMS_extension_data()     } </pre>	<p><b>8,9,10</b></p> <p><b>varies</b></p>	<p><b>uimsbf</b></p> <p><b>Rice code</b></p> <p><b>Rice code</b></p> <p><b>Rice code</b></p> <p><b>BGMC</b></p> <p><b>BGMC</b></p> <p><b>uimsbf</b></p> <p><b>Rice code</b></p> <p><b>uimsbf</b></p> <p><b>Rice code</b></p> <p><b>Rice code</b></p>
--	---	--

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<pre> if (!mc_coding    js_switch) {     <b>byte_align;</b> } </pre>	0..7	bslbf
--	------	-------

**Note:** random\_access\_block is true if the current block belongs to a random access frame (frame\_id % random\_access == 0) and is the first (or only) block of a channel in this frame.

Table 11.4 — Syntax of channel\_data

Syntax	No. of bits	Mnemonic
channel_data(c)		
{		
for(;;) {		
<b>stop_flag;</b>	1	uimsbf
if (stop_flag == 1) {		
break;		
}		
<b>master_channel_index;</b>	1..16	uimsbf
if (c != master_channel_index) {		
<b>time_difference_flag</b>	1	uimsbf
if (time_difference_flag == 0) {		
<b>weighting_factor [0]</b>	varies	Rice code
<b>weighting_factor [1]</b>	varies	Rice code
<b>weighting_factor [2]</b>	varies	Rice code
}		
else {		
<b>weighting_factor [0]</b>	varies	Rice code
<b>weighting_factor [1]</b>	varies	Rice code
<b>weighting_factor [2]</b>	varies	Rice code
<b>weighting_factor [3]</b>	varies	Rice code
<b>weighting_factor [4]</b>	varies	Rice code
<b>weighting_factor [5]</b>	varies	Rice code
<b>time_difference_sign</b>	1	uimsbf
<b>time_difference_index</b>	5,6,7	uimsbf
}		
}		
}		
<b>byte_align;</b>	0..7	bslbf
}		

Table 11.5 — Syntax of RLSLMS\_extension\_data

Syntax	No. of bits	Mnemonic
RLSLMS_extension()		
{		
<b>mono_block</b>	1	uimsbf
<b>ext_mode</b>	1	uimsbf
if (ext_mode) {		
<b>extension_bits</b>	3	uimsbf
if (extension_bits & 0x01) {		
<b>RLS_order</b>	4	uimsbf
<b>LMS_stage</b>	3	uimsbf
for(i=0; i<LMS_stage;i++){		
<b>LMS_order[i]</b>	5	uimsbf
}		
}		
}		
if (extension_bits & 0x02) {		



```

}
}
}

```

**Note:** "byte\_align" stands for padding of bits to the next byte boundary. "FlushDict()" is the function that clears and initializes the dictionary and variables of the Masked-LZ decompression module (see subclause 11.6.9).

**Table 11.7 — Syntax of diff\_mantissa**

Syntax	No. of bits	Mnemonic
<pre> diff_mantissa() {   if (partA_flag[c] != 0) {     <b>compressed_flag[c];</b>     if (compressed_flag[c] == 0) {       for (n = 0; n &lt; frame_length; n++) {         if (int_zero[c][n]) {           <b>float_data[c][n];</b>         }       }     }     else {       nchars = 0;       for (n = 0; n &lt; frame_length; n++) {         if (int_zero[c][n])           nchars += 4;       }       Masked_LZ_decompression(nchars);     }   }    if (highest_byte[c] != 0) {     <b>compressed_flag[c];</b>     if (compressed_flag[c][n] == 0) {       for (n = 0; n &lt; frame_length; n++) {         if (!int_zero[c][n]) {           <b>mantissa[c][n];</b>         }       }     }     else {       nchars = 0;       for (n = 0; n &lt; frame_length; n++) {         if (!int_zero[c][n]) {           nchars += (int)nbits[c][n]/8;           if ((nbits[c][n] % 8) &gt; 0)             nchars++;         }       }       Masked_LZ_decompression(nchars);     }   } } </pre>	<p><b>1</b></p> <p><b>32</b></p> <p><b>1</b></p> <p><b>nbits[c][n]</b></p>	<p><b>uimsbf</b></p> <p><b>IEEE32</b></p> <p><b>uimsbf</b></p> <p><b>uimsbf</b></p>

**Note:** "int\_zero" is true if the corresponding truncated integer is 0. "nbits[c][n]" is the necessary word length for the difference of mantissa (see subclause 11.6.9).

**Table 11.8 — Syntax of Masked\_LZ\_decompression**

Syntax	No. of bits	Mnemonic
<pre> Masked_LZ_decompression(nchars) { </pre>		

<pre> for (dec_chars = 0; dec_chars &lt; nchars; ) {     string_code; } </pre>	<b>9..14</b>	<b>uimbsf</b>
--	--------------	---------------

**Note:** “nchars” is the number of characters need to be decoded (see subclause 11.6.9).

## 11.5 Semantics

In the following, the general elements are described. Additional elements related to floating-point audio data are described in chapter 11.5.2.

### 11.5.1 General Semantics

#### 11.5.1.1 ALSSpecificConfig

ALSSpecificConfig contains general configuration data. Optionally, the header and trailer of an original audio file can be embedded in order to restore that information in addition to the actual audio data. The syntax of ALSSpecificConfig is defined in Table 11.1, its elements are described in Table 11.9.

**Table 11.9 — Elements of ALSSpecificConfig**

Field	#Bits	Description / Values
als_id	32	ALS identifier fixed value = 1095521024 = 0x414C5300 (Hex)
samp_freq	32	Sampling frequency in Hz
samples	32	Number of samples (per channel) If samples = 0xFFFFFFFF (Hex), the number of samples is not specified (see subclause 11.6.1.3)
channels	16	Number of channels-1 (0 = mono, 1 = stereo, ...)
file_type	3	000 = unknown / raw file 001 = wave file 010 = aiff file 011 = bwf file (other values are reserved)
resolution	3	000 = 8-bit 001 = 16-bit 010 = 24-bit 011 = 32-bit (other values are reserved)
floating	1	1 = IEEE 32-bit floating-point, 0 = integer
msb_first	1	Original byte order of the input audio data: 0 = least significant byte first (little-endian) 1 = most significant byte first (big-endian) If resolution = 0 (8-bit data), msb_first = 0 indicates unsigned data (0...255), while msb_first = 1 indicates signed data (-128...127).

frame_length	16	Frame Length - 1 (e.g. frame_length = 0x1FFF signals a frame length of N = 8192)
random_access	8	Distance between RA frames (in frames, 0...255). If no RA is used, the value is zero. If each frame is an RA frame, the value is 1.
ra_flag	2	Indicates where the size of random access units (ra_unit_size) is stored: 00: not stored 01: stored at the beginning of frame_data() 10: stored at the end of ALSSpecificConfig()
adapt_order	1	Adaptive Order: 1 = on, 0 = off
coef_table	2	Table index (00, 01, or 10, see Table 11.20) of Rice code parameters for entropy coding of predictor coefficients, 11 = no entropy coding
long_term_prediction	1	Long term prediction (LTP): 1 = on, 0 = off
max_order	10	Maximum prediction order (0..1023)
block_switching	2	Number of block switching levels: 00 = no block switching 01 = up to 3 levels 10 = 4 levels 11 = 5 levels
bgmc_mode	1	BGMC Mode: 1 = on, 0 = off (Rice coding only)
sb_part	1	Sub-block partition for entropy coding of the residual. if bgmc_mode = 0: 0 = no partition, no ec_sub bit in block_data 1 = 1:4 partition, one ec_sub bit in block_data if bgmc_mode = 1: 0 = 1:4 partition, one ec_sub bit in block_data 1 = 1:2:4:8 partition, two ec_sub bits in block_data
joint_stereo	1	Joint Stereo: 1 = on, 0 = off If channels = 0 (mono), joint_stereo = 0
mc_coding	1	Extended inter-channel coding: 1 = on, 0 = off If channels = 0 (mono), mc_coding = 0
chan_config	1	Indicates that a chan_config_info field is present
chan_sort	1	Channel rearrangement: 1 = on, 0 = off If channels = 0 (mono), chan_sort = 0
crc_enabled	1	Indicates that the crc field is present
RLSLMS	1	Use RLS-LMS predictor: 1 = on, 0 = off
(reserved)	5	
aux_data_enabled	1	Indicates that auxiliary data is present (fields aux_size and aux_data)
chan_config_info	16	Mapping of channels to loudspeaker locations. Each bit indicates whether a channel for a particular predefined location exists (see

		subclause 11.6.1.5).
chan_pos[]	(channels+1)*ChBits	If channel rearrangement is on (chan_sort = 1), these are the original channel positions. The number of bits per channel is  ChBits = ceil[log2(channels+1)] = 1..16  where channels+1 is the number of channels.
header_size	32	Header size of original audio file in bytes  If header_size = 0xFFFFFFFF (Hex), there is no orig_header[] field, but the original header may be stored elsewhere, e.g. in the meta data of an MPEG-4 file.
trailer_size	32	Trailer size of original audio file in bytes  If trailer_size = 0xFFFFFFFF (Hex), there is no orig_trailer[] field, but the original trailer may be stored elsewhere, e.g. in the meta data of an MPEG-4 file.
orig_header[]	header_size*8	Header of original audio file
orig_trailer[]	trailer_size*8	Trailer of original audio file
crc	32	32-bit CCITT-32 CRC checksum of the original audio data bytes (polynomial: $x^{32} + x^{26} + x^{23} + x^{22} + x^{16} + x^{12} + x^{11} + x^{10} + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1$ ).
ra_unit_size[]	#frames*32	Distances (in bytes) between the random access frames, i.e. the sizes of the random access units, where the number of frames is  #frames = ((samples-1) / (frame_length+1)) + 1  In ALSSpecificConfig(), this field appears only if ra_flag = 2.
aux_size	32	Size of the aux_data field in bytes  If aux_size = 0xFFFFFFFF (Hex), there is no aux_data[] field, but the auxiliary data may be stored elsewhere, e.g. in the meta data of an MPEG-4 file.
aux_data	aux_size*8	Auxiliary data (not required for decoding)

11.5.1.2 frame\_data

This is the top level payload of ALS. If random\_access > 0, the number of payloads mapped into one access unit equals the value of random\_access (1...255). In this case, the size of each access unit can be stored in ra\_unit\_size. If random\_access = 0, all payloads are mapped into the same access unit.

The bs\_info field holds the block switching information for a channel or a channel pair (see subclause 11.6.2 for details). The syntax of frame\_data is defined in Table 11.2, its elements are described in Table 11.10.

Table 11.10 — Elements of frame\_data

Field	#Bits	Description / Values
ra_unit_size	32	Distance (in bytes) to the next random access frame, i.e the size of the random access unit. In frame_data(), this field appears only if ra_flag = 1.
bs_info	8, 16, 32	Block switching information.  If block_switching = 0, no bs_info field is transmitted,

		otherwise #Bits depends on the value of block_switching: block_switching = 1: 8 bits block_switching = 2: 16 bits block_switching = 3: 32 bits
js_switch	1	If js_switch = 1, Joint Stereo (channel difference) is selected even if MCC (mc_coding) is enabled.
num_bytes_diff_float	32	Only present if floating = 1: Number of bytes for diff_float_data

### 11.5.1.3 block\_data

The block data specifies the type of block (normal, constant, silence) and basically contains the code indices, the predictor order, the predictor coefficients and the coded residual values. The syntax of block\_data is defined in Table 11.3, its elements are described in Table 11.11.

**Table 11.11 — Elements of block\_data**

Field	#Bits	Description / Values
block_type	1	1 = normal block 0 = zero / constant block
const_block	1	Only if block_type = 0: 1 = constant block 0 = zero block (silence)
js_block	1	Block contains a joint stereo difference signal
const_val	8,16,24,32	Constant sample value of this block
ec_sub	0..2	Number of sub-blocks for entropy coding. #Bits = bgmc_mode + sb_part if #Bits = 0: 1 sub-block if #Bits = 1: 0 = 1 sub-block 1 = 4 sub-blocks if #Bits = 2 00 = 1 sub-block 01 = 2 sub-blocks 10 = 4 sub-blocks 11 = 8 sub-blocks
s[],sx[]	varies	Up to 8 Rice (s) or BGMC (s,sx) code indices for entropy coding of sub-blocks (number is given by ec_sub). The differential values are Rice coded.
shift_lsbs	1	Indicates that all original input sample values of this block have been shifted to the right prior to further processing, in order to remove empty LSBs
shift_pos	4	Number of positions-1 that the sample values of this block have been shifted to the right: 0000 = 1 position ...

		1111 = 16 positions
opt_order	1..10	Predictor order for this block (of length $N_B$ ): $\#Bits = \min\{\text{ceil}(\log_2(\text{max\_order}+1)), \text{max}[\text{ceil}(\log_2((N_B >> 3)-1)), 1]\}$ The number of bits is restricted by both the maximum order (max_order) and the block length $N_B$ (see subclause 11.6.3.1)
quant_cof[]	varies	Rice coded quantized coefficients. The Rice coding scheme is described in subclause 11.6.6.1
LTPenable	1	LTP switch: 1 = on, 0 = off
LTPgain[]	varies	Rice coded gain values (5-tap)
LTPlag	8,9,10	LTP lag values Freq < 96000, range=0..255, bit=8 96000 <= Freq < 192000, range=0..511, bit=9 Freq >= 192000 range=0..1023, bit=10
smp_val[0]	varies	Rice coded sample value at the beginning of a random access block (see Table 11.22)
res[]	varies	Rice coded residual values (see subclause 11.6.6.1)
msb[]	varies	BGMC-coded most significant bits of residuals. For residuals outside the central region, the special "tail_code" is transmitted. The BGMC coding scheme is described in subclause 11.6.6.2
lsb[]	varies	Directly transmitted least significant bits of the residuals (see subclause 11.6.6.2)
tail[]	varies	Rice coded residual values outside the central region (tails, see subclause 11.6.6.2)

11.5.1.4 channel\_data

The syntax of channel\_data is defined in Table 11.4, its elements are described in Table 11.12.

Table 11.12 — Elements of channel\_data

Field	#Bits	Description / Values
stop_flag	1	0: Continue description of inter-channel relationship 1: Stop description
master_channel_index	1..16	Index of master-channel. $\#Bits = \text{ceil}[\log_2(\text{channels}+1)]$ where channels+1 is the number of channels
time_difference_flag	1	0: 3-tap without time difference lag 1: 6-tap with time difference lag
weighting factor	varies	Indices of inter-channel weighting factor
time_difference_sign	1	0: positive, 1:negative; "Positive" means that the reference channel is delayed relative to

		the coding channel.
time_difference_value	5,6,7	Absolute value of time difference lag Freq < 96000, range=3..34, #Bits=5 96000 <=Freq <192000, range=3..66, #Bits=6 Freq >=192000 range=3..130, #Bits=7

### 11.5.1.5 RLSLMS\_extension\_data

The syntax of RLSLMS\_extension\_data is defined in Table 11.5, its elements are described in Table 11.13.

**Table 11.13 — Elements of RLSLMS\_extension\_data**

Field	#Bits	Description / Values
mono_block	1	mono_frame == 0: CPE coded with joint-stereo RLS mono_frame == 1: CPE coded with mono RLS
ext_mode	1	RLS-LMS predictor parameters are updated in extension block. 1 == extension block 0 == non-extension block
extension_bits	3	Type of RLS-LMS parameters carried in extension block extension&01 == RLS-LMS predictors orders extension&02 == RLS_lambda and RLS_lambda_ra extension&04 == LMS_mu and LMS_stepsize
RLS_order	4	RLS predictor order
LMS_stage	3	Number of LMS predictors in cascade
LMS_order[]	5*LMS_stage	LMS predictor order
RLS_lambda	10	RLS predictor parameter lambda.
RLS_lambda_ra	10	RLS predictor parameter lambda for random access frame
LMS_mu[]	5*LMS_stage	LMS predictor parameter – NLMS stepsize
LMS_stepsize	3	Linear combiner parameter – Sign-Sign LMS stepsize

## 11.5.2 Semantics for Floating-Point Data

### 11.5.2.1 diff\_float\_data

The syntax of diff\_float\_data is defined in Table 11.6, its elements are described in Table 11.14.

**Table 11.14 — Elements of diff\_float\_data**

Field	#Bits	Description / Values
use_acf	1	1: acf_flag[c] is present 0: acf_flag[c] is not present
acf_flag[c]	1	1: acf_mantissa[c] is present

		0: acf_mantissa[c] is not present
acf_mantissa[c]	23	Full mantissa data of common multiplier
highest_byte[c]	2	Highest nonzero bytes of mantissa in a frame
partA_flag[c]	1	1: Samples exist in Part-A 0: No sample exists or all zero in Part-A
shift_amp[c]	1	1: shift_value[c] is present 0: shift_value[c] is not present
shift_value[c]	8	Shift value: This value is added to the exponent of all floating-point values of channel c after conversion of decoded integer to floating-point values, and before addition of integer and the difference data.

**11.5.2.2 diff\_mantissa**

The syntax of diff\_mantissa is defined in Table 11.7, its elements are described in Table 11.15.

**Table 11.15 – Elements of diff\_mantissa**

Field	#Bits	Description / Values
int_zero[c][n]	(varies)	int_zero for n-th sample and c-th channel is set if the truncated integer equals "0". This value is not a syntactic element, but can be determined from the associated integer value which is available in both the encoder and the decoder.
mantissa[c][n]	nbits[c][n]	Full mantissa data
compressed_flag[c]	1	1: Samples are compressed 2: Samples are uncompressed
nchars	(varies)	Number of characters to be decoded
float_data[c][n]	32	32-bit IEEE floating-point value
nbits[c][n]		This value is not a syntactic element. This can be determined from the integer value, acf_mantissa[c] and highest_byte[c].

**11.5.2.3 Masked\_LZ\_decompression**

The syntax of Masked\_LZ\_decompression is defined in Table 11.8, its elements are described in Table 11.16.

**Table 11.16 — Elements of Masked\_LZ\_decompression**

Field	#Bits	Description / Values
string_code	code_bits	Index code of the dictionary.
code_bits	(varies)	code_bits is varied from 9 to 15 bits depending on the number of entries stored in the dictionary

## 11.6 ALS Tools

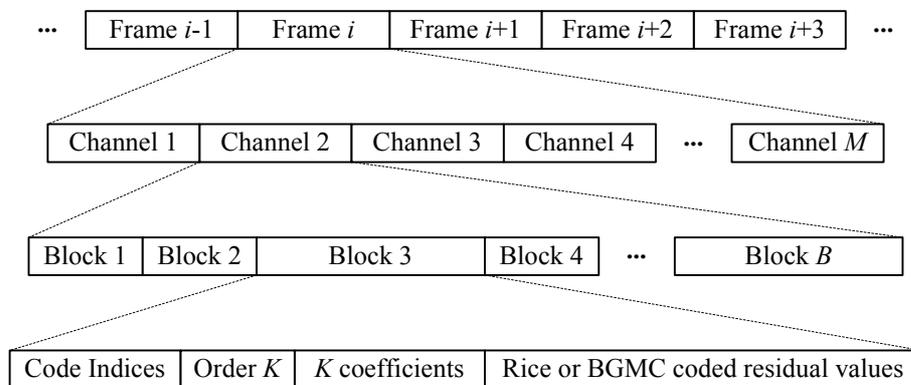
In most *lossy* MPEG coding standards, only the *decoder* is specified in detail. However, a *lossless* coding scheme usually requires the specification of some (but not all) *encoder* portions. Since the encoding process has to be perfectly reversible without loss of information, several parts of both encoder *and* decoder have to be specified in a deterministic way.

Block diagrams of the lossless encoder and the lossless decoder were already shown in Figure 11.1. In the rest of this section, the decoding process will be described along with those elements of the encoder which must be specified exactly in order to ensure lossless decoding.

### 11.6.1 Overview

#### 11.6.1.1 Bitstream structure

An example for the general bitstream structure of a compressed  $M$ -channel file is shown in Figure 11.2.



**Figure 11.2 – General bitstream structure of a compressed audio file**

Each frame (`frame_data`) consists of  $B = 1 \dots 32$  sample blocks (`block_data`) for each channel. Besides general information about the block (e.g. silence block, joint stereo difference block, etc.), each block typically contains the code indices, the predictor order  $K$ , the predictor coefficients and the Rice- or BGMC-coded residual values. Variations of this slightly simplified structure are treated in detail in the following sections. If joint coding between channel pairs is used, the block partition is identical for both channels, and blocks are stored in an interleaved fashion (see subclause 11.6.2, Figure 11.5). Otherwise, the block partition for each channel is independent.

If the input is floating-point data, additional bitstream elements for differential mantissa values are inserted after the bitstream of every integer frame. Please refer to subclause 11.6.9 for a detailed description of the floating-point extensions.

#### 11.6.1.2 Decoding of `ALSSpecificConfig`

`ALSSpecificConfig` contains information about the original data (e.g. “`samp_freq`”, “`channels`”, “`resolution`”) as well as global parameters that do not change from frame to frame (e.g. “`frame_length`”, “`max_order`”). The most important parameters (some of which are optional) are briefly described in the following:

- **ALS identifier:** This field must contain the value  $1095521024 = 0x414C5300$  (Hex). Using byte-wise reading, the first three bytes are equivalent to the ASCII codes for 'ALS'.
- **Sampling frequency:** The sampling frequency of the original audio data is stored, e.g. for direct playback of a compressed file.
- **Samples:** Total number of audio samples per channel.

- Number of channels: 1 (mono), 2 (stereo), or more (multichannel).
- Resolution: 8-bit, 16-bit, 24-bit, or 32-bit. If the resolution of the original audio data is somewhere in between (e.g. 20-bit), the higher resolution is used for the sample representation.
- Floating-point: Indicates the format of audio data. If this flag is set, the audio data is in the IEEE 32-bit floating-point format, otherwise the audio data is integer.
- Byte order: Indicates the byte order of the original audio file, either most significant byte first (e.g. aiff) or least significant byte first (e.g. wave).
- Frame length: Number of samples in each frame (per channel).
- Random access: Distance (in frames) between those frames which can be decoded independently from previous frames (random access frames). In front of each random access frame, there is the field "ra\_unit\_size" which specifies this distance in bytes.
- Adaptive order: Each block might have an individual predictor order.
- Coefficient table: A Table containing parameters that are used for entropy coding of predictor coefficients.
- Long-term-prediction: Long term prediction (LTP).
- Maximum order: Maximum order of the prediction filter. If "adapt\_order" is turned off, this order is used for all blocks.
- Block Switching: Instead of one block per channel there might up to 32 shorter blocks. If block switching is not used, the block length is identical with the frame length.
- BGMC mode: Indicates the use of BGMC codes for the prediction residual. If this flag is set to 0, the simpler Rice codes are used for the prediction residual.
- Sub-block partition: Sub-block partition for entropy coding of the residual.
- Joint stereo: In each block, a difference signal might be encoded instead of the left or the right channel (or one of the two channels of a channel pair, accordingly)
- Multi-channel coding: Extended inter-channel coding
- Channel sort: Channel rearrangement, used for building dedicated channel pairs.
- Channel positions: Original channel positions, used only if channel\_sort is turned on.
- Header size: Size of the original audio file header, in bytes.
- Trailer size: Size of trailing non-audio information in the original audio file, in bytes.
- Original header: The embedded header of the original audio file.
- Original trailer: The embedded trailer of the original audio file.
- CRC: Cyclic redundancy checksum (CCITT-32) of the original audio data bytes (i.e. in their original order, including channel interleaving).

### 11.6.1.3 Number of Frames

The number of frames to decode depends on the actual frame length ( $N = \text{frame\_length} + 1$ ) and the number of samples. It can be determined as follows:

```

N = frame_length + 1.
frames = samples / N;
remainder = samples % N;
if (remainder)
{
    frames++;
    N_last = remainder;
}
else
    N_last = N;

```

If the number of samples is not a multiple of the frame length  $N$ , the length of the last frame is accordingly reduced ( $N\_last = \text{remainder}$ ).

If the value of samples is 0xFFFFFFFF (Hex), the number of samples is not specified. If the ALS payload is stored using the MPEG-4 file format, the number of samples can be obtained from the meta data of the file. In that case, the number of frames and the length of the last frame are determined as described above.

If the number of samples is not available, the number of frames is undefined, and all frames are assumed to have the same length  $N$ . In that case, the sizes of random access units shall not be stored in `ALSSpecificConfig` (i.e. only `ra_flag = 0` or `ra_flag = 1` shall be used), since the number of random access units is undefined as well.

### 11.6.1.4 Joint Channel Coding

In order to exploit redundancy between channels, the encoder can use a simple approach, consisting of channel pairs and single channels. The two channels of a channel pair can be encoded using difference coding (see subclause 11.6.7), whereas single channels are encoded independently.

The general use of joint coding is signalled by the `joint_stereo` flag in the ALS header. If `joint_stereo` is off, each channel is a single channel, and is therefore coded independently from other channels. If `joint_stereo` is on, in each case two successive channels are regarded as a channel pair. If the number of channels is odd, there is one remaining single channel.

Defining channel pairs does not mean that joint coding has to be essentially used. If `joint_stereo` is set, the decoder will treat combinations of two channels as channel pairs, even if the encoder did never actually use joint coding (e.g. since the channels were not correlated). In this case, the decoder will simply never discover a set `js_block` flag `block_data`.

If MCC (Multi Channel Coding) is selected, the relationship information between channels (master or slave) is decoded. The decoded residual values of the slave channel are modified by adding those of the master channel multiplied with the decoded weighting factors (see subclause 11.6.8). Other reconstruction processes for all channel signals, which include parameter decoding, prediction residual decoding, synthesis filtering of long-term and short-term prediction, are identical to those for decoding independent channels. The two joint channel coding tools, joint-stereo and MCC, can be adaptively selected on a frame-by-frame basis.

### 11.6.1.5 Channel Configuration and Rearrangement

The `chan_config_info` field (if present) defines a channel-to-speaker mapping by indicating whether a channel for a particular predefined location exists. Therefore, the existing channels have to be arranged in a predefined order (see Table 11.17). If a particular channel is present, the corresponding bit in the `chan_config_info` field is set.

**Table 11.17 — Channel Configuration**

Speaker location	Abbreviation	Bit position in chan_config_info
Left	L	1
Right	R	2
Left Rear	Lr	3
Right Rear	Rr	4
Left Side	Ls	5
Right Side	Rs	6
Center	C	7
Center Rear / Surround	S	8
Low Frequency Effects	LFE	9
Left Downmix	L0	10
Right Downmix	R0	11
Mono Downmix	M	12
(reserved)		13-16

If the channels are arranged differently, channel rearrangement can be used. For 5.1 surround material with channel configuration L, R, Lr, Rr, C, LFE, it is obvious that the first two channel pairs (L/R, Lr/Rr) might benefit from joint coding, whereas the remaining channels (C, LFE) are more likely to be independent. Even so, if joint\_coding is on, the encoder forms channel pairs simply by successively combining adjacent channels, thus there are three channel pairs in this case.

However, if the channel configuration is L, R, C, Lr, Rr, LFE, or L, Lr, C, Rr, R, LFE, the correlated channels are no longer adjoining. This problem can be addressed by a virtual rearrangement of channels prior to encoding, where correlated channels are grouped and successively arranged, such that they form channel pairs. The information about this rearrangement is stored in the compressed file as the original channel number in the field chan\_pos[]. The decision on which channels are grouped can be made automatically by the encoder or manually by the user. If the channel configuration is indicated in the original file, the encoder can make a suitable rearrangement. If the file format has no default channel configuration, but the user knows the channel to speaker mapping in that particular case, he can instruct the encoder how to group the channels.

The decoder has to reverse a possible channel rearrangement (chan\_sort flag), by assigning each channel its original position as stored in chan\_pos[].

**11.6.1.6 Decoding of Frames**

A frame constitutes the top level payload (frame\_data), i.e. the basic unit of audio data (see Table 11.2 for syntax and Table 11.10 for semantics). If block switching is used, each channel of a frame can be subdivided into up to 32 blocks. Otherwise, a block consists of all samples of a frame's channel.

**11.6.1.7 Decoding of Blocks**

The block\_data() structure contains the information about a single block (i.e. a segment of audio data from one channel). It specifies whether the block is a “normal” block (i.e. containing encoded audio samples), a constant block (all audio samples are the same) or a silence block (all audio samples are zero). Furthermore, the field “joint\_stereo” indicates whether the block contains a difference signal (right minus left channel). Either the left or the right channel can be substituted by that difference signal. This also holds in the case of block switching, when the block length may be shorter than the frame length.

For “normal” blocks, as shown in Figure 11.2, the block data basically comprises

- the code indices,
- the predictor order  $K$ ,
- the quantized and encoded predictor coefficients (or the RLS-LMS predictor parameters in the case of RLSLMS mode)
- the LTP parameters in case of LTP mode,
- and the Rice- or BGMC-coded residual values.

If the block is further subdivided into sub-blocks for entropy coding (indicated by `ec_sub`), code parameters `s` and `sx` are transmitted for each sub-block (see subclause 11.6.6 for further explanations).

In case of an adaptive predictor order (`adapt_order`), the order for the block is indicated (`opt_order`). There is also a flag (`shift_lsbs`) specifying whether all audio samples in the current block have some LSBs which are persistently zero. If this is the case, the number of empty LSBs is given in another field (`shift_pos`). This means that the encoder has shifted all sample values to the right by `shift_pos+1` positions prior to prediction. Thus, the decoder has to shift the output sample values to the left by `shift_pos+1` positions after the inverse prediction filter has been applied. If the prediction process uses samples from a previous block, a shifted version of these samples has to be used as input of both the prediction filter and the inverse prediction filter (i.e. in both the encoder and the decoder), even if the LSBs are not zero in the previous block. This is necessary in order to align the amplitude range of the predictor's input samples with the samples to be predicted.

### 11.6.1.8 Interleaving

Most uncompressed audio file formats store the two channels of a stereo signal as a sequence of interleaved samples ( $L_1, R_1, L_2, R_2, L_3, R_3, \dots$ ). For multichannel data with  $M$  channels, each sample step comprises  $M$  interleaved samples, e.g.  $L_1, R_1, Lr_1, Rr_1, C_1, LFE_1, L_2, \dots$  in the case of 5.1 material. Since the encoder builds blocks of samples for each channel, the decoded samples of all channels may have to be interleaved again before writing them to an output audio file.

### 11.6.2 Block Switching

If `block_switching` is enabled, each channel of a frame can be hierarchically subdivided into up to 32 blocks (see Figure 11.3).

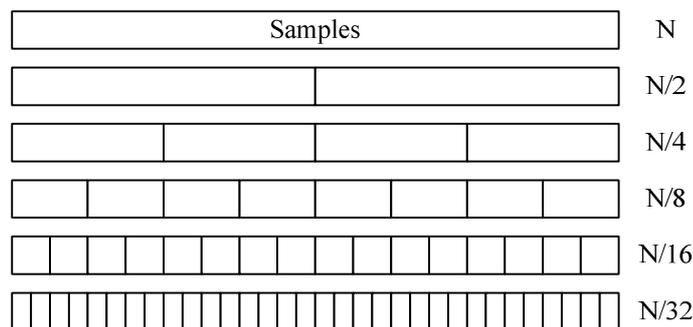
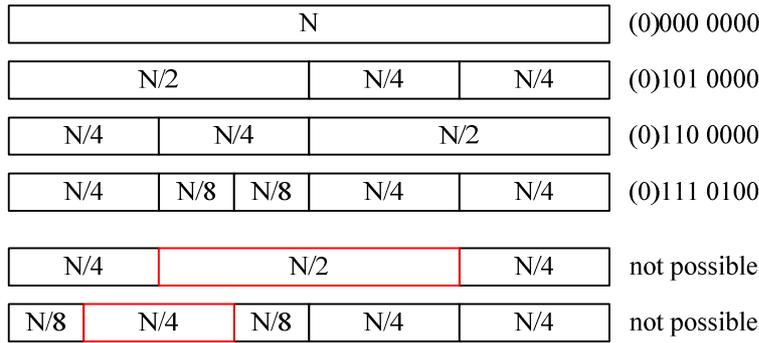


Figure 11.3 — Block switching hierarchy

Arbitrary combinations of blocks with  $N_b = N, N/2, N/4, N/8, N/16,$  and  $N/32$  are possible within a frame, as long as each block results from a subdivision of a superordinate block of double length. Therefore, a partition into  $N/4 + N/4 + N/2$  is possible, whereas a partition into  $N/4 + N/2 + N/4$  is not (Figure 11.4).



**Figure 11.4 — Block switching examples and corresponding bs\_info codes**

The actual partition is signalled in an additional field *bs\_info* (right column in Figure 11.4), whose length depends on the number of block switching levels (see Table 11.18). The frame length *N* must be divisible by  $2^{\text{levels}}$  without remainder, in order to obtain integer block lengths  $N_B$ .

**Table 11.18 — Block switching levels**

Maximum #levels	Minimum $N_B$	#Bytes for <i>bs_info</i>
0	N	0
1	N/2	1
2	N/4	1
3	N/8	1
4	N/16	2
5	N/32	4

The *bs\_info* field consists of up to 4 bytes, where the mapping of bits with respect to the levels 1 to 5 is [(0)1223333 44444444 55555555 55555555]. The first bit is only used to signal independent block switching (independent\_bs, see Table 11.2). In the example of Figure 11.4, there are three levels, thus the minimum block length is  $N_B = N/8$ , and *bs\_info* consists of one byte. Starting at the maximum block length  $N_B = N$ , the bits of *bs\_info* are set if a block is further subdivided. For the topmost example there is no subdivision at all, thus the code is (0)0000000. The frame in the second row is subdivided ((0)1...), where only the second block of length N/2 is further split ((0)101...), where only the second block of length N/4 is split as in the fourth row, it is indicated in the following bits ((0)111 0100).

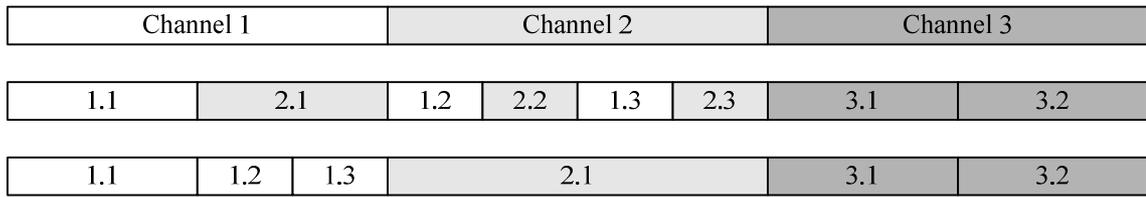
In each frame, *bs\_info* fields are transmitted for all channel pairs and all single channels respectively, enabling independent block switching for different channels. While the frame length is identical for all channels, block switching can be done individually for each channel. If difference coding is used, both channels of a channel pair have to be switched synchronously, but other channel pairs can still use different block switching.

However, if the two channels of a channel pair are not correlated with each other, difference coding will not pay off, and thus there will be no need to switch both channels synchronously. Instead, it may rather make sense to switch the channels independently.

Typically, there will be a *bs\_info* field for each channel pair and single channel in a frame, i.e. the two channels of a channel pair are switched synchronously. If they are switched independently, the first bit of *bs\_info* is set to 1, and the information applies to the channel pair's first channel. In this case, another *bs\_info* field for the second channel becomes necessary.

An example for a three-channel file is shown in Figure 11.5. Short blocks are only interleaved if they belong to a channel pair that uses difference coding and therefore synchronized block switching (Figure 11.5, middle). This interleaving is necessary since in a channel pair a block of one channel (e.g. block 1.2) may depend on previous blocks from both channels (e.g. blocks 1.1 and 2.1), so these previous blocks have to be available

prior to the current one. For channels whose blocks are switched independently, channel data is arranged separately (Figure 11.5, bottom).

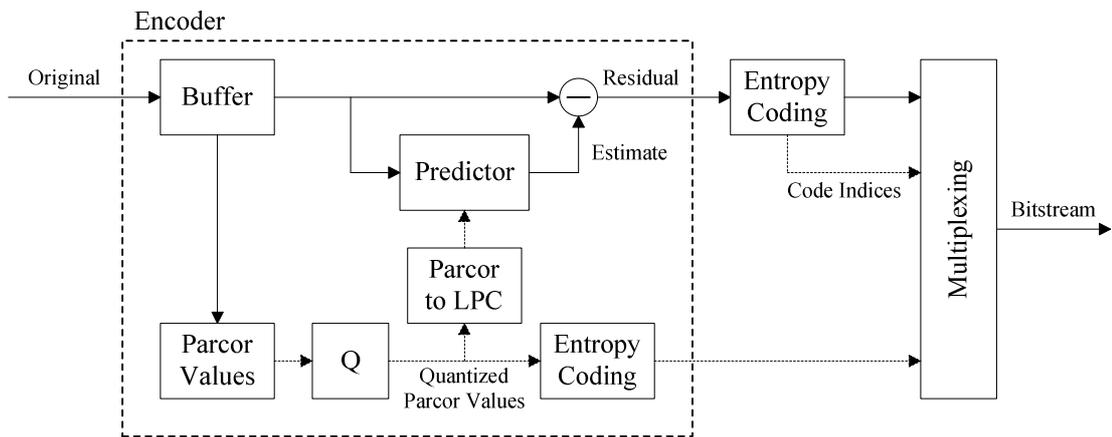


**Figure 11.5 — Frame Structure: No block switching (top), synchronized block switching between channels 1 and 2 (middle), independent block switching (bottom)**

If joint\_stereo is off, all channels are switched independently without explicit signalling. If joint\_stereo is on, but block\_switching is off, there is only one block per channel, thus interleaving is not required (Figure 11.5, top).

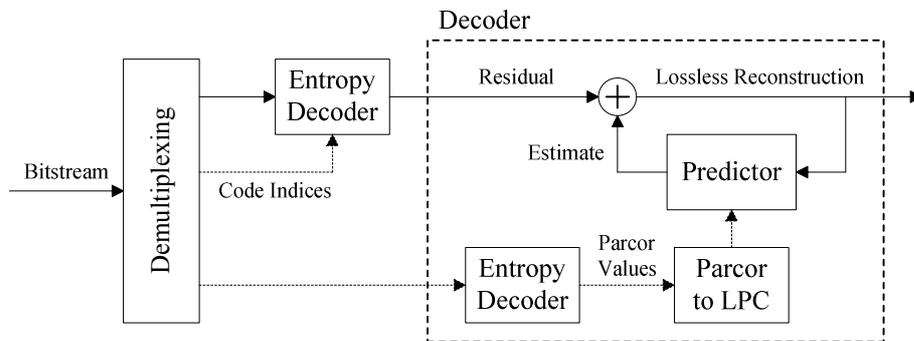
### 11.6.3 Prediction

This chapter describes the forward-adaptive prediction scheme. Block diagrams of the corresponding encoder and decoder parts are shown in Figure 11.6 and Figure 11.7.



**Figure 11.6 — Encoder of the forward-adaptive prediction scheme**

The encoder consists of several building blocks. A buffer stores one block of input samples, and an appropriate set of parcor coefficients is calculated for each block. The number of coefficients, i.e. the order of the predictor, can be adapted as well. The quantized parcor values are entropy coded for transmission, and converted to LPC coefficients for the prediction filter which calculates the prediction residual. The final entropy coding of the residual is described in subclause 11.6.6.



**Figure 11.7 — Decoder of the forward-adaptive prediction scheme**

The decoder is significantly less complex than the encoder, since no adaptation has to be carried out. The transmitted *parcor* values are decoded, converted to LPC coefficients, and are used by the inverse prediction filter to calculate the lossless reconstruction signal. The computational effort of the decoder mainly depends on the predictor orders chosen by the encoder. Since the average order is typically well below the maximum order, prediction with greater maximum orders does not necessarily lead to a significant increase of decoder complexity.

If the prediction order  $K$  is adaptively chosen ( $\text{adapt\_order} = 1$ ), the number of bits used for signaling the actual order ( $\text{opt\_order} = K$ ) in each block is restricted, depending on both the global maximum order ( $\text{max\_order}$ ) and the block length  $N_B$ :

$$\text{Bits} = \min\{\text{ceil}[\log_2(\text{max\_order}+1)], \max[\text{ceil}(\log_2(N_B \gg 3)), 1]\}$$

Therefore, also the maximum order  $K_{\text{max}} = \min(2^{\text{Bits}} - 1, \text{max\_order})$  is restricted, depending on both the value of  $\text{max\_order}$  and the block length (see Table 11.19).

**Table 11.19 — Examples of maximum prediction orders depending on block length and max\_order**

$N_B$	max_order = 1023		max_order = 100	
	#Bits for opt_order	$K_{\text{max}}$	#Bits for opt_order	$K_{\text{max}}$
8192	10	1023	7	100
4096	9	511	7	100
2048	8	255	7	100
1024	7	127	7	100
512	6	63	6	63
256	5	31	5	31
128	4	15	4	15
64	3	7	3	7
32	2	3	2	3
16	1	1	1	1

The basic (short-term) prediction can be combined with long-term prediction (LTP, see subclause 11.6.4). An alternative prediction scheme based on backward-adaptive predictors is described in subclause 11.6.5.

**11.6.3.1 Predictor Coefficients**

The transmission of the prediction filter coefficients is accomplished by using *parcor coefficients*  $\gamma_k, k = 1 \dots K$  (where  $K$  is the order of the filter), which can be obtained e.g. by using the Levinson-Durbin algorithm.

**11.6.3.1.1 Quantization and encoding of parcor coefficients**

The first two *parcor* coefficients ( $\gamma_1$  and  $\gamma_2$  correspondingly) are quantized by using the following companding functions:

$$a_1 = \left\lfloor 64 \left( -1 + \sqrt{2} \sqrt{\gamma_1 + 1} \right) \right\rfloor;$$

$$a_2 = \left\lfloor 64 \left( -1 + \sqrt{2} \sqrt{-\gamma_2 + 1} \right) \right\rfloor;$$

while the remaining coefficients are quantized using simple 7-bit uniform quantizers:

$$a_k = \lfloor 64 \gamma_k \rfloor; \quad (k > 2).$$

In all cases the resulting quantized values  $a_k$  are restricted to the range [-64,63].

Transmission of the quantized coefficients  $a_k$  is done by producing residual values

$$\delta_k = a_k - \text{offset}_k,$$

which, in turn, are encoded by using Rice codes as described in subclause 11.6.6.1. The corresponding offsets and parameters of Rice codes used in this process can be globally chosen from one of the sets in Table 11.20, where the table index (coef\_table) is indicated in ALSSpecificConfig. If coef\_table = 11, then no entropy coding is applied, and the quantized coefficients are transmitted with 7 bits each. In this case, the offset is always -64 in order to obtain unsigned values  $\delta_k = a_k + 64$  that are restricted to [0,127].

**Table 11.20 — Rice code parameters used for encoding of parcor coefficients**

Coefficient #	coef_table = 00		coef_table = 01		coef_table = 10	
	Offset	Rice parameter	Offset	Rice parameter	Offset	Rice parameter
1	-52	4	-58	3	-59	3
2	-29	5	-42	4	-45	5
3	-31	4	-46	4	-50	4
4	19	4	37	5	38	4
5	-16	4	-36	4	-39	4
6	12	3	29	4	32	4
7	-7	3	-29	4	-30	4
8	9	3	25	4	25	3
9	-5	3	-23	4	-23	3
10	6	3	20	4	20	3
11	-4	3	-17	4	-20	3
12	3	3	16	4	16	3
13	-3	2	-12	4	-13	3
14	3	2	12	3	10	3
15	-2	2	-10	4	-7	3
16	3	2	7	3	3	3
17	-1	2	-4	4	0	3
18	2	2	3	3	-1	3
19	-1	2	-1	3	2	3
20	2	2	1	3	-1	2
2k-1, 10<k<65	0	2	0	2	0	2
2k, 10<k<64	1	2	1	2	1	2
k>127	0	1	0	1	0	1

11.6.3.1.2 Reconstruction of the parcor coefficients

First, the Rice-coded residual values  $\delta_k$  are decoded and combined with offsets (see Table 11.20) to produce the quantized indices of the parcor coefficients  $a_k$  :

$$a_k = \delta_k + \text{offset}_k .$$

Then, the reconstruction of the first two coefficients is done using:

$$\begin{aligned} \text{par}_1 &= \lfloor \hat{\gamma}_1 2^Q \rfloor = \Gamma(a_1); \\ \text{par}_2 &= \lfloor \hat{\gamma}_2 2^Q \rfloor = -\Gamma(a_2); \end{aligned}$$

where  $2^Q$  represents a constant ( $Q = 20$ ) scale factor required for integer representation of the reconstructed coefficients, and  $\Gamma(\cdot)$  is a mapping described in the following table.

**Table 11.21 — Indices  $i$  and corresponding scaled parcor values  $\Gamma(i)$  for  $i = -64...63$**

i	$\Gamma(i)$	i	$\Gamma(i)$	i	$\Gamma(i)$	i	$\Gamma(i)$
-64	-1048544	-32	-913376	0	-516064	32	143392
-63	-1048288	-31	-904928	1	-499424	33	168224
-62	-1047776	-30	-896224	2	-482528	34	193312
-61	-1047008	-29	-887264	3	-465376	35	218656
-60	-1045984	-28	-878048	4	-447968	36	244256
-59	-1044704	-27	-868576	5	-430304	37	270112
-58	-1043168	-26	-858848	6	-412384	38	296224
-57	-1041376	-25	-848864	7	-394208	39	322592
-56	-1039328	-24	-838624	8	-375776	40	349216
-55	-1037024	-23	-828128	9	-357088	41	376096
-54	-1034464	-22	-817376	10	-338144	42	403232
-53	-1031648	-21	-806368	11	-318944	43	430624
-52	-1028576	-20	-795104	12	-299488	44	458272
-51	-1025248	-19	-783584	13	-279776	45	486176
-50	-1021664	-18	-771808	14	-259808	46	514336
-49	-1017824	-17	-759776	15	-239584	47	542752
-48	-1013728	-16	-747488	16	-219104	48	571424
-47	-1009376	-15	-734944	17	-198368	49	600352
-46	-1004768	-14	-722144	18	-177376	50	629536
-45	-999904	-13	-709088	19	-156128	51	658976
-44	-994784	-12	-695776	20	-134624	52	688672
-43	-989408	-11	-682208	21	-112864	53	718624
-42	-983776	-10	-668384	22	-90848	54	748832
-41	-977888	-9	-654304	23	-68576	55	779296
-40	-971744	-8	-639968	24	-46048	56	810016

-39	-965344	-7	-625376	25	-23264	57	840992
-38	-958688	-6	-610528	26	-224	58	872224
-37	-951776	-5	-595424	27	23072	59	903712
-36	-944608	-4	-580064	28	46624	60	935456
-35	-937184	-3	-564448	29	70432	61	967456
-34	-929504	-2	-548576	30	94496	62	999712
-33	-921568	-1	-532448	31	118816	63	1032224

Reconstruction of the 3rd and higher order coefficients is done using the formula

$$\text{par}_k = \lfloor \hat{\gamma}_k 2^Q \rfloor = a_k 2^{Q-6} + 2^{Q-7}; \quad (k > 2).$$

### 11.6.3.1.3 Conversion of reconstructed parcor coefficients into direct filter coefficients

The scaled parcor coefficients are then converted to LPC coefficients using the following algorithm:

```

short m, i, K, Q = 20;
long *cof, *par, corr = 1 << (Q - 1);
INT64 temp, temp2;
for (m = 1; m <= K; m++)
{
    for (i = 1; i <= m/2; i++)
    {
        temp = cof[i] + (((INT64)par[m] * cof[m-i]) + corr) >> Q;
        if ((temp > LONG_MAX) || (temp < LONG_MIN)) // Overflow: use different coefficients
            return(1);
        temp2 = cof[m-i] + (((INT64)par[m] * cof[i]) + corr) >> Q;
        if ((temp2 > LONG_MAX) || (temp2 < LONG_MIN)) // Overflow: use different coefficients
            return(1);
        cof[m-i] = (long)temp2;
        cof[i] = (long)temp;
    }
    cof[m] = par[m];
}

```

Here,  $\text{LONG\_MAX} = 2^{31} - 1$  and  $\text{LONG\_MIN} = -(2^{31})$ . The resulting LPC coefficients `cof` are scaled by  $2^{20}$  as well. The scaling will be accounted for during the filtering process.

### 11.6.3.2 Prediction Filter

The calculation of the predicted signal has to be done in a deterministic way to enable identical calculation in both the encoder and the decoder, hence we cannot use floating point coefficients. Instead we employ an upscaled integer representation as shown in the last section. Since the coefficients are enlarged by a factor  $2^Q = 2^{20}$ , also the predicted signal will be enlarged by the same factor. Thus, at the end of the filtering process, each sample of the predicted signal has to be scaled down.

#### 11.6.3.2.1 Encoder

The following algorithm describes the calculation of the residual  $d$  for an input signal  $x$ , a block length  $N$ , a predictor order  $K$  and LPC coefficients `cof`:

```

short n, N, k, K, Q = 20;

```

```

long *x, *d, *cof, corr = 1 << (Q - 1);
INT64 y;
for (n = 0; n < N; n++)
{
    y = corr;
    for (k = 1; k <= K; k++)
        y += (INT64)cof[k-1] * x[n-k];
    d[n] = x[n] + (long)(y >> Q);
}

```

As can be seen from the code, the predictor uses the last  $K$  samples from the previous block to predict the first sample of the current block.

If the current block (or sub-block) is a channel's first block in a random access frame, no samples from the previous block may be used. In this case, prediction with progressive order is employed, where the scaled parcor coefficients  $par$  are converted progressively to LPC coefficients  $cof$  inside the prediction filter. In each recursion, the current residual value  $d(n)$  and a new set of  $n+1$  LPC coefficients is calculated (first loop). After the first  $K$  residual values and all  $K$  coefficients are calculated, full-order prediction is used (second loop). Please note that the indices for  $par$  and  $cof$  start with 1 in this implementation.

```

short m, n, N, i, k, K, Q = 20;
long *x, *d, *cof, corr = 1 << (Q - 1);
INT64 y, temp, temp2;
for (n = 0; n < min(K, N); n++)
{
    y = corr;
    for (k = 1; k <= n; k++)
        y += (INT64)cof[k] * x[n-k];
    d[n] = x[n] + (long)(y >> Q);
    m = n + 1;
    for (i = 1; i <= m/2; i++)
    {
        temp = cof[i] + (((INT64)par[m] * cof[m-i]) + corr) >> Q;
        if ((temp > LONG_MAX) || (temp < LONG_MIN)) // Overflow: use different coefficients
            return(1);
        temp2 = cof[m-i] + (((INT64)par[m] * cof[i]) + corr) >> Q;
        if ((temp2 > LONG_MAX) || (temp2 < LONG_MIN)) // Overflow: use different coefficients
            return(1);
        cof[m-i] = (long)temp2;
        cof[i] = (long)temp;
    }
    cof[m] = par[m];
}
for (n = K; n < N; n++)
{
    y = corr;
    for (k = 1; k <= K; k++)
        y += (INT64)cof[k] * x[n-k];
    d[n] = x[n] + (long)(y >> Q);
}

```

Only the first sample  $x(0)$  is transmitted directly, using a Rice code with  $s = resolution - 4$  (i.e.  $s = 12$  for 16-bit and  $s = 20$  for 24-bit). The following two residual values  $d(1)$  and  $d(2)$  are coded with Rice codes which are related to the block's first Rice parameter  $s[0]$  (see subclause 11.6.1.7). Depending on the entropy coder, the remaining residual values  $d(3)$  to  $d(K)$  are either Rice coded with  $s[0]$  or BGMC coded with  $s[0]$  and  $sx[0]$ . A summary of all codes is given in Table 11.22.

Table 11.22 — Code parameters for different sample positions

Sample / Residual	Code Parameter
$x(0)$	resolution – 4
$d(1)$	$s[0] + 3$
$d(2)$	$s[0] + 1$
$d(3) \dots d(K)$	$s[0]$ (BGMC: $sx[0]$ )

### 11.6.3.2.2 Decoder

The algorithm for the calculation of the original signal in the decoder is nearly identical with the encoder's algorithm, except for the last instruction:

```

short n, N, k, K, Q = 20;
long *x, *d, corr = 1 << (Q - 1);
INT64 y;
for (n = 0; n < N; n++)
{
    y = corr;
    for (k = 1; k <= K; k++)
        y += (INT64)cof[k-1] * x[n-k];
    x[n] = d[n] - (long)(y >> Q);
}

```

In the case of random access, prediction with progressive order is used. The algorithm for the calculation is also nearly identical with the encoder's algorithm, except for the two lines where  $x$  is calculated. Again, the indices for  $par$  and  $cof$  start with 1.

```

short m, n, N, i, k, K, Q = 20;
long *x, *d, *cof, corr = 1 << (Q - 1);
INT64 y, temp, temp2;
for (n = 0; n < min(K, N); n++)
{
    y = corr;
    for (k = 1; k <= n; k++)
        y += (INT64)cof[k] * x[n-k];
    x[n] = d[n] - (long)(y >> Q);
    m = n + 1;
    for (i = 1; i <= m/2; i++)
    {
        temp = cof[i] + (((INT64)par[m] * cof[m-i] + corr) >> Q);
        temp2 = cof[m-i] + (((INT64)par[m] * cof[i] + corr) >> Q);
        cof[m-i] = (long)temp2;
        cof[i] = (long)temp;
    }
    cof[m] = par[m];
}
for (n = K; n < N; n++)
{
    y = corr;
    for (k = 1; k <= K; k++)
        y += (INT64)cof[k] * x[n-k];
    x[n] = d[n] - (long)(y >> Q);
}

```

If joint channel coding has been used by the encoder, the decoded signal  $x$  might be a difference signal. In this case further processing has to be done to obtain the original signal (see next subclause).

**11.6.4 Long-term prediction (LTP)**

**11.6.4.1 LTP gain and lag**

If LTPenable is on, 5-tap gain values  $\rho(i)$  and a lag value  $\tau$  are decoded. The gain values  $\rho(i)$  are reconstructed from the Rice coded indices listed in Table 11.23, Table 11.24, and Table 11.25.

**Table 11.23 — Reconstruction values and the Rice code for gain of  $\rho(0)$**

gain values $\rho(0) * 128$	index	prefix	sub-code
0	0	0	00
8	1	0	01
16	2	0	10
24	3	0	11
32	4	10	00
40	5	10	01
48	6	10	10
56	7	10	11
64	8	110	00
70	9	110	01
76	10	110	10
82	11	110	11
88	12	1110	00
92	13	1110	01
96	14	1110	10
100	15	1110	11

**Table 11.24 — Reconstruction values and the Rice code for gain of  $\rho(\pm 1)$**

gain values $\rho(\pm 1) * 128$	index	prefix	sub-code
0	0	0	00
-8	1	0	01
8	2	0	10
-16	3	0	11
16	4	10	00
-24	5	10	01
24	6	10	10
-32	7	10	11

32	8	110	00
-40	9	110	01
40	10	110	10
-48	11	110	11
48	12	1110	00
-56	13	1110	01
56	14	1110	10
-64	15	1110	11
64	16	11110	00

**Table 11.25 — Reconstruction values and the Rice code for gain of  $\rho(\pm 2)$**

gain values $\rho(\pm 2) \cdot 128$	index	prefix	sub-code
0	0	0	0
-8	1	0	1
8	2	10	0
-16	3	10	1
16	4	110	0
-24	5	110	1
24	6	1110	0
-32	7	1110	1
32	8	11110	0
-40	9	11110	1
40	10	111110	0
-48	11	111110	1
48	12	1111110	0
-56	13	1111110	1
56	14	11111110	0
-64	15	11111110	1
64	16	111111110	0

The transmitted relative lag value is the actual value minus the start lag value. It is directly coded by natural binary coding with 8 to 10 bits, depending on the sampling rates. Actual lag values are shown in Table 11.26, where "optP" denotes the actual prediction order for short-term prediction.

**Table 11.26 — Search range of lag  $\tau$**

search range of $\tau(i)$	start	end
Freq < 96 kHz	$\max(\text{optP}, 3) + 1$	$\max(\text{optP}, 3) + 256$
Freq $\geq$ 96 kHz	$\max(\text{optP}, 3) + 1$	$\max(\text{optP}, 3) + 512$

Freq >= 192 kHz	max(optP,3)+1	max(optP,3)+1024
-----------------	---------------	------------------

**11.6.4.2 LTP synthesis procedure**

Provided both lag and gain parameters are decoded, the following recursive filtering operation is carried out:

$$d(i) = d(i) + \sum_{j=-2}^2 \rho(j)d(i - \tau + j)$$

For insuring perfect reconstruction, the process should be strictly defined. The pseudo-code for this filter at the decoder is as follows:

```

INT64 u;
for (smp1=0 ;smp1<end; smp1++)
{
    for (u=1<<6, tap=-2; tap<=2; tap++)
    {
        u += (INT64)LTPgain[tap]*d[smp1-lag+tap];
    }
    d[smp1] += (long)(u>>7);
}

```

Here, d is the residual signal (which is subsequently fed in the short-term synthesis filter, see subclause 11.6.3), LTPgain is the gain value  $\rho(i) \cdot 128$ , and lag is the lag value  $\tau$ .

For simple combination with the adaptive block switching, all values of the residual signal, d(i) in the previous block are "0". Associated with the synthesis filtering process above, there is a pseudo-code for the analysis filtering process at the encoder. Note this process should also be normative for the purpose of the perfect reconstruction. In this pseudo-code, the difference between the encoder and decoder appears in the last line: Input and output are common at the decoder, while they are different at the encoder.

```

INT64 u;
for (smp1=0 ;smp1<end; smp1++)
{
    for (u=1<<6, tap=-2; tap<=2; tap++)
    {
        u += (INT64)LTPgain[tap]*d[smp1-lag+tap];
    }
    dout[smp1] = d[smp1]-(long)(u>>7);
}

```

Here, d is the residual of short-term prediction, and dout is the LTP residual.

**11.6.5 RLS-LMS Predictor**

This chapter describes the backward-adaptive prediction scheme that uses adaptive RLS-LMS predictor. Block diagram of the corresponding encoder and decoder are shown in Figure 11.8 and Figure 11.9, respectively.

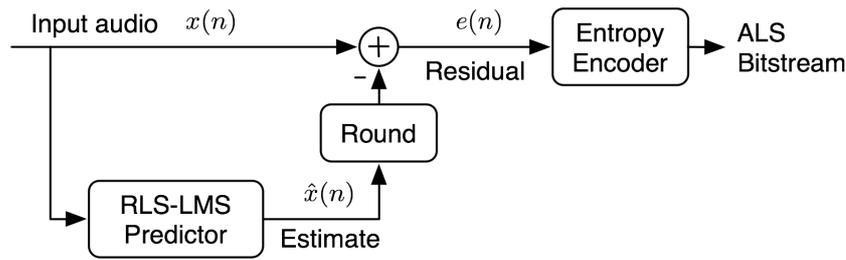


Figure 11.8 — Encoder of the RLS-LMS backward-adaptive prediction scheme

In the encoder, the RLS-LMS predictor generates an estimate of the current input audio sample by using the past samples. This estimate is subtracted from the current sample to generate the residual, which is subsequently coded by the entropy encoder (subclause 11.6.6) to form the ALS bit-stream.

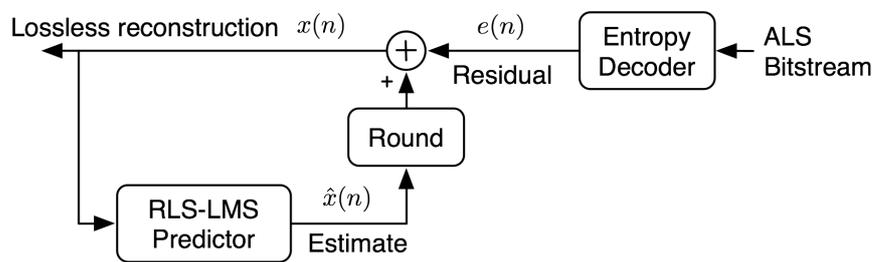


Figure 11.9 — Decoder of the RLS-LMS backward-adaptive prediction scheme

In the decoder, a reverse process is performed. The entropy decoder decodes the ALS bit-stream to the residual, which is then added to the estimate of the RLS-LMS predictor to re-generate the original audio sample.

As shown in Figure 11.10, the RLS-LMS predictor consists of a cascade of predictors in the order of a Differential Pulse Code Modulation (DPCM) predictor (subclause 11.6.5.1), a Recursive Least Square (RLS) predictor (subclause 11.6.5.2), and a series of Least Mean Square (LMS) predictors (subclause 11.6.5.3). The input samples sequentially pass through the cascade of predictors. The residual of one predictor serves as the input to the next predictor. The estimates from the predictors in the cascade are weighted and added together by a linear combiner (subclause 11.6.5.4) to generate the final estimate of the current input sample.

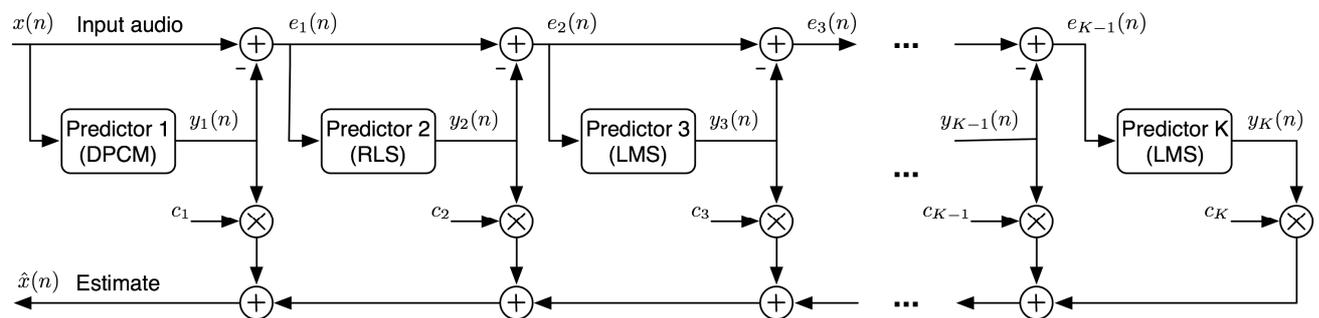


Figure 11.10 — Block diagram of the RLS-LMS predictor

The RLS-LMS predictor can be turned on/off by setting RLSLMS in ALSSpecificConfig() to 1/0, respectively.

### 11.6.5.1 DPCM Predictor

**Input:**  $x(n)$  original audio sample

**Residual:**  $e_1(n)$  as input to the RLS predictor

**Estimate:**  $y_1(n)$  as input to the linear combiner

The DPCM predictor is the first predictor in the RLS-LMS predictor cascade. It is a simple first-order predictor with coefficient set to unity, i.e., the previous input sample is used as the estimate of the current input sample. This is illustrated as follows:

$$y_1(n) = x(n-1)$$

where  $y_1(n)$  is the estimate by the DPCM predictor, and  $x(n-1)$  is the previous input sample.

### 11.6.5.2 RLS Predictor

**Input:**  $e_1(n)$  residual of DPCM predictor

**Residual:**  $e_2(n)$  as input to the LMS predictor

**Estimate:**  $y_2(n)$  as input to the linear combiner

The RLS predictor is the second predictor in the RLS-LMS predictor cascade. The RLS algorithm is used to adapt the predictor weights. The algorithm is initialized by setting the  $M \times M$  inverse auto-correlation matrix  $\mathbf{P}$  to a predetermined value as follows:

$$\mathbf{P}(0) = \delta \mathbf{I}$$

where  $\delta$  is a small positive number,  $\mathbf{I}$  is an  $M \times M$  identity matrix, and  $M$  is the RLS predictor order.

The weight vector of the RLS predictor, defined as

$$\mathbf{w}_{\text{RLS}}(n) = [w_{\text{RLS},1}(n), w_{\text{RLS},2}(n), \dots, w_{\text{RLS},M}(n)]^T$$

is initialized by

$$\mathbf{w}_{\text{RLS}}(0) = 0$$

For each time index  $n$ ,  $n = 1, 2, \dots$ , the following calculations are made

$$\mathbf{v}(n) = \mathbf{P}(n-1)\mathbf{e}_1(n)$$

where  $\mathbf{e}_1(n)$  is the RLS predictor input vector defined as

$$\mathbf{e}_1(n) = [e_1(n-1), e_1(n-2), \dots, e_1(n-M)]^T$$

and

$$m = \begin{cases} \frac{1}{\mathbf{e}_1^T(n)\mathbf{v}(n)} & \text{if } \mathbf{e}_1^T(n)\mathbf{v}(n) \neq 0 \\ 1 & \text{else} \end{cases}$$

$$\mathbf{k}(n) = m\mathbf{v}(n)$$

$$y_2(n) = \mathbf{w}_{\text{RLS}}^T(n-1)\mathbf{e}_1(n)$$

$$e_2(n) = e_1(n) - y_2(n)$$

$$\mathbf{w}_{\text{RLS}}(n) = \mathbf{w}_{\text{RLS}}(n-1) + \mathbf{k}(n)e_2(n)$$

$$\mathbf{P}(n) = \text{Tri}\{\lambda^{-1}(\mathbf{P}(n-1) - \mathbf{k}(n)\mathbf{v}^T(n))\}$$

where  $\mathbf{k}(n)$  is the  $M \times 1$  gain vector,  $\lambda$  is the forgetting factor that is a positive value slightly smaller than 1,  $T$  is the transpose symbol, and  $\text{Tri}\{\ast\}$  denotes the operation to compute the lower triangular part of  $\mathbf{P}(n)$  and fill the upper triangular part of the matrix with the same values as in the lower triangular part. In other words, the value of  $\mathbf{P}(n)$  at the  $i$ -th row and  $j$ -th column ( $i > j$ ) is copied to the value of  $\mathbf{P}(n)$  at the  $j$ -th row and  $i$ -th column.

#### 11.6.5.2.1 Joint-Stereo RLS Predictor

For a channel pair element (CPE), if `joint_stereo` in `ALSSpecificConfig()` is set to 1, the RLS predictor will work in the joint-stereo mode. In the joint-stereo mode, the RLS predictor employs both intra-channel prediction and inter-channel prediction. Figure 11.11 shows the joint-stereo RLS predictor for the left audio channel.

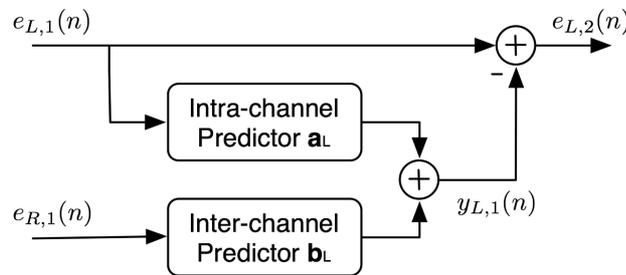


Figure 11.11 — Joint-stereo RLS predictor for L channel

As shown in the figure, the input signals to the predictor are L channel DPCM predictor residual  $e_{L,1}(n)$  and R channel DPCM predictor residual  $e_{R,1}(n)$ . The joint-stereo predictor consists of an intra-channel predictor  $\mathbf{a}_L$  and an inter-channel predictor  $\mathbf{b}_L$ . The intra-channel predictor  $\mathbf{a}_L$  generates an estimate of the L channel current input sample  $e_{L,1}(n)$  from past samples  $e_{L,1}(n-1)$ ,  $e_{L,1}(n-2)$ , ...,  $e_{L,1}(n-M/2)$ , where  $M$  is the order of the joint-stereo RLS predictor. At the same time, the inter-channel predictor  $\mathbf{b}_L$  generates another estimate of  $e_{L,1}(n)$  from R channel past input samples  $e_{R,1}(n-1)$ ,  $e_{R,1}(n-2)$ , ...,  $e_{R,1}(n-M/2)$ . These two estimates are added together. The result  $y_{L,1}(n)$  is the estimate of the L channel joint-stereo RLS predictor. This process is represented as

$$y_{L,1}(n) = \sum_{m=1}^{M/2} a_{L,m} e_{L,1}(n-m) + \sum_{m=1}^{M/2} b_{L,m} e_{R,1}(n-m)$$

Where  $a_{L,m}$  are coefficients of the intra-channel predictor  $\mathbf{a}_L$ ,  $b_{L,m}$  are coefficients of the inter-channel predictor  $\mathbf{b}_L$ . In the equation, the first summation term is the intra-channel predictor estimate, and the second summation term the inter-channel predictor estimate. The residual  $e_{L,2}(n)$  is generated by subtracting estimate  $y_{L,1}(n)$  from  $e_{L,1}(n)$  as

$$e_{L,2}(n) = e_{L,1}(n) - y_{L,1}(n)$$

The L channel joint-stereo RLS predictor is updated by the RLS algorithm given in subclause 11.6.5.2, where the weight vector, input vector, and residual in the RLS algorithm are redefined as follows:

$$\mathbf{w}_{\text{RLS}}(n) = [b_{L,1}, a_{L,1}, b_{L,2}, a_{L,2}, \dots, b_{L,M/2}, a_{L,M/2}]^T$$

$$\mathbf{e}_1(n) = [e_{R,1}(n-1), e_{L,1}(n-1), e_{R,1}(n-2), e_{L,1}(n-2), \dots, e_{R,1}(n-M/2), e_{L,1}(n-M/2)]^T$$

$$e_2(n) = e_{L,2}(n)$$

The joint-stereo predictor for the right audio channel is shown in Figure 11.12.

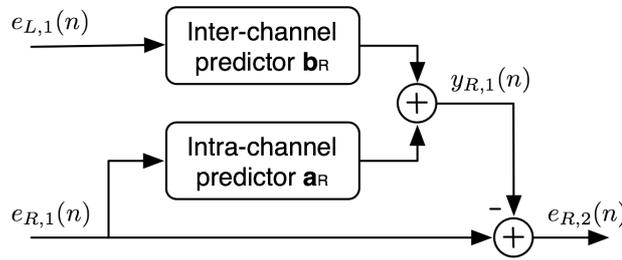


Figure 11.12 — Joint-stereo RLS predictor for R channel

As shown in the figure, the input signals to the predictor are L channel DPCM predictor residual  $e_{L,1}(n)$  and R channel DPCM predictor residual  $e_{R,1}(n)$ . The joint-stereo RLS predictor consists of an intra-channel predictor  $\mathbf{a}_R$  and an inter-channel predictor  $\mathbf{b}_R$ . The intra-channel  $\mathbf{a}_R$  predictor generates an estimate of the R channel current input sample  $e_{R,1}(n)$  from past samples  $e_{R,1}(n-1), e_{R,1}(n-2), \dots, e_{R,1}(n-M/2)$ , where  $M$  is the order of the joint-stereo RLS predictor. At the same time, the inter-channel predictor  $\mathbf{b}_R$  generates another estimate of  $e_{R,1}(n)$  from L channel input samples  $e_{L,1}(n), e_{R,1}(n-1), \dots, e_{R,1}(n-M/2+1)$ . These two estimates are added together. The result  $y_{R,1}(n)$  is the estimate of the R-channel joint-stereo RLS predictor. This process is represented as

$$y_{R,1}(n) = \sum_{m=1}^{M/2} a_{R,m} e_{R,1}(n-m) + \sum_{m=0}^{M/2-1} b_{R,m} e_{L,1}(n-m)$$

where  $a_{R,m}$  are coefficients of the intra-channel predictor  $\mathbf{a}_R$ ,  $b_{R,m}$  are coefficients of the inter-channel predictor  $\mathbf{b}_R$ . In the equation, the first summation term is the intra-channel predictor estimate, and the second summation term the inter-channel predictor estimate. The residual  $e_{R,2}(n)$  is generated by subtracting estimate  $y_{R,1}(n)$  from  $e_{R,1}(n)$ , that is

$$e_{R,2}(n) = e_{R,1}(n) - y_{R,1}(n)$$

The R channel joint-stereo RLS predictor is updated by the RLS algorithm given in subclause 11.6.5.2, where the weight vector, input vector, and residual in the RLS algorithm are redefined as follows:

$$\mathbf{w}_{\text{RLS}}(n) = [b_{L,0}, a_{L,1}, b_{L,1}, a_{L,2}, \dots, b_{L,M/2-1}, a_{L,M/2}]^T$$

$$\mathbf{e}_1(n) = [e_{L,1}(n), e_{R,1}(n-1), e_{L,1}(n-1), e_{R,1}(n-2), \dots, e_{L,1}(n-M/2+1), e_{R,1}(n-M/2)]^T$$

$$e_2(n) = e_{R,2}(n)$$

The decoder processes a CPE in the order of LRLRLR.... The current L channel sample is always decoded before the current R channel sample.

### 11.6.5.2.2 Mono RLS Predictor

For a single channel element (SCE), the RLS predictor operates in the mono mode. In the mono mode, the RLS predictor is updated by the RLS algorithm given in subclause 11.6.5.2.

For a CPE, if joint\_stereo in ALSSpecificConfig() is set to 0, mono RLS is used for each individual channel in the CPE. For a CPE, if mono\_block in RLSLMS\_extension() is set to 1, the CPE will be coded as two individual channels L and L-R. The L channel is treated as a SCE, whereas the difference channel L-R goes directly to the entropy encoder. For a SCE, if an input frame contains only constant values, the RLS-LMS

predictor is bypassed for that frame. For a CPE, if both channels contain only constant values, the RLS-LMS predictor is bypassed for the frame.

### 11.6.5.2.3 Filtering Operation in RLS predictor

The following pseudo code illustrates how an order-M RLS predictor generates the estimate signal. The order of the RLS predictor is defined in subclause 11.6.5.6.1.

Pseudo code	Comments
<pre>INT32 *w, *buf; INT64 temp = 0;  for (i=0; i&lt;M; i++)     temp += (INT64) w[i] * buf[i];</pre>	<p>w is RLS predictor weight vector (.16 format) buf is RLS predictor input vector (.0 format)</p> <p>temp = w * buf</p>
<pre>temp &gt;= 12;  if (temp&gt;0x40000000) temp = 0x40000000; if (temp&lt;-0x40000000) temp = -0x40000000;</pre>	<p>temp is .4 format</p> <p>Limit the range of temp to [-0x40000000, 0x40000000]</p>
<pre>INT32 y;  y = (INT32) (temp);</pre>	<p>y is RLS predictor estimate (.4 format)</p>

### 11.6.5.2.4 Weight Adaptation in RLS predictor

The following pseudo code illustrates how the weight vector of an order-M RLS predictor is updated.

Pseudo code	Comments
<pre>INT32 x, y, e;  e = x - y;</pre>	<p>x is RLS predictor current input sample x is .4 format y is RLS predictor estimate (.4 format) e is RLS predictor residual (.4 format)</p>
<pre>INT64 **P; INT32 *buf, *v; INT16 vs;  [v, vs] = MulMtxVec(P, buf);</pre>	<p>P is RLS algorithm matrix P (.60 format) buf is RLS predictor input vector (.0 format) v is RLS algorithm vector v vs is a scale factor</p> <p>v = P*buf v is .(28-vs) format</p>
<pre>INT64 temp; INT16 ds;  [temp, ds] = MulVecVec(buf, v);</pre>	<p>ds is a scale factor</p> <p>temp = buf * v temp is .(60-vs-ds) format</p>
<pre>i = 0; while(temp&gt;0x20000000 &amp;&amp; temp!=0)     temp &gt;&gt;= 1; i++; i += vs + ds;</pre>	<p>temp is .(60-i) format</p>

<pre> if (i&lt;=60)     temp += (((INT64) 1) &lt;&lt; (60-i)); else     reinit_P(P);         </pre>	<pre> If (i&lt;=60)     temp = temp + 1 else     Re-initialize matrix P         </pre>
<pre> INT64 mm; INT32 m;  if (temp==0)     mm = 1L&lt;&lt;30; else if (i&lt;=28)     shift = 28-i;     mm = (((INT64)1)&lt;&lt;62) / temp;     if (shift&gt;32)         mm = 1L&lt;&lt;30;     else         mm &lt;&lt;= shift; else     mm = (((INT64)1)&lt;&lt;(90-i)) / temp;  m = (INT32)mm;         </pre>	<p>m is the RLS algorithm variable m</p> <pre> If (temp==0)     m = 1; else     m = 1/temp;         </pre> <p>m is .30 format</p>
<pre> INT32 *k;  for (i=0; i&lt;M; i++)     temp = (INT64) v[i] * m;     if (vs&gt;=12)         k[i] = temp&lt;&lt;(vs-12);     else         k[i] = temp&gt;&gt;(11-vs);     k[i] = ROUND2(k[i]);         </pre>	<p>k is the RLS algorithm gain vector k</p> <p><math>k = m * v</math></p> <p>Scale k</p> <p>k is .46 format</p>
<pre> temp = 0; for (i=0; i&lt;M; i++)     temp  = (k[i]&gt;0 ? k[i]:-k[i]); ds = fast_bitcount(temp); if (ds&gt;30)     ds -= 30;     for (i=0; i&lt;M; i++) k[i] &gt;&gt;= ds; else     ds = 0;         </pre>	<p>Obtain MSB in k Get position of MSB bit</p> <p>Scale k</p> <p>k is .(46-ds) format</p>
<pre> INT32 *w;  for (i=0; i&lt;M; i++)     temp = (((INT64) k[i] * (e&gt;&gt;3))&gt;&gt;(30-ds));     temp = w[i] + ROUND2(temp);     w[i] = (long) temp; vs += ds;         </pre>	<p>w is RLS predictor weight vector</p> <p>Update RLS weight vector <math>w = w + k * e</math> w is .16 format</p>
<pre> INT16 lambda;  for (i=0; i&lt;M; i++)         </pre>	<p>lambda is defined in subclause 11.6.5.6.6</p> <p>Update matrix P (lower triangular)</p>

<pre> for (j=0; j&lt;=i; j++)     temp = ((INT64) k[i] * v[j])&gt;&gt;(14-vs);     P[i][j] -= temp;     if (P[i][j]&gt;=0x4000000000000000            P[i][j]&lt;=-0x4000000000000000)         reinit_P(P); break;     temp = P[i][j] / lambda;     P[i][j] += temp; </pre>	<p><math>P = P - k * v</math>  <math>P = P * (1+1/\lambda)</math>  P is .60 format</p>
<pre> for (i=1; i&lt;M; i++)     for (j=0; j&lt;i; j++)         P[j][i] = P[i][j]; </pre>	<p>Update matrix P (upper triangular)</p>
<pre> for (i=M-1; i&gt;0; i--)     buf[i] = buf[i-1]; buf[0] = x&gt;&gt;4; </pre>	<p>Update RLS predictor input vector  buf is .0 format</p>

Pseudo code	Comments
<pre> [INT32 *v, INT16 vs] = MulMtxVec(INT64 **P, INT32 *buf) </pre>	<p>Multiply matrix P by buf,  Return the result in v  P is .60 format, buf is .0 format</p>
<pre> INT64 temp=0; INT16 ps;  for(i=0; i&lt;M; i++)     for(j=0; j&lt;=i; j++)         temp  = (P[i][j]&gt;0 ? P[i][j] : -P[i][j]);  ps = 63 - fast_bitcount(temp); </pre>	<p>ps is a scale factor  Obtain MSB in matrix P  Calculate the shift needed  to maximize P</p>
<pre> INT64 *u;  for (i=0; i&lt;M; i++)     u[i]=0;     for (j=0; j&lt;M; j++) u[i] +=         (INT64) (((P[i][j]&lt;&lt;ps)+(INT64)0x80000000)&gt;&gt;32) * buf[j]; </pre>	<p><math>u = P * buf</math>  u is .(28+ps) format.</p>
<pre> INT16 ns;  temp =0; for (i=0; i&lt;M; i++)     temp  = (u[i]&gt;0 ? u[i] : -u[i]);  ns = fast_bitcount(temp); </pre>	<p>ns is a scale factor  Obtain MSB  Get the position of MSB bit</p>
<pre> if (ns&gt;28)     ns -= 28;     for(i=0; i&lt;M; i++)         v[i] = (INT32) (u[i]&gt;&gt;ns);     vs = ns - ps; else </pre>	<p>Scale v</p>

<pre>for(i=0;i&lt;M;i++)   v[i] = (INT32) u[i]; vs = -ps;</pre>	v is .(28-vs) format
---	----------------------

Pseudo code	Comments
[INT64 z, INT16 ds] = MulVecVec(INT32 *buf, INT32 *v)	Compute inner product of buf and v, return the result in z buf is .0 format, v is .(28-vs) format
<pre>INT 64 z = 0; for (i=0; i&lt;M; i++)   z += (INT64) buf[i] * v[i];</pre>	z = buf * v
<pre>INT64 temp; temp = (z&gt;0 ? z : -z); ds = fast_bitcount(temp);</pre>	Get the position of MSB bit
<pre>if (ds&gt;28)   ds -= 28;   z = (z&lt;&lt;(32-(ds-1)));   z = ROUND2(z); else   ds = 0;   z = (z&lt;&lt;32);</pre>	Scale z  z is .(60-vs-ds) format

Pseudo code	Comments
reinit_P(INT64 **P)	Re-initialize matrix P
<pre>for (i=0; i&lt;M; i++)   for (j=0; j&lt;M; j++)     P[i][j]= 0;</pre>	Clear P to zero
<pre>for (i=0; i&lt;M; i++)   P[i][i] = (INT64) JS_INIT_P;</pre>	Initialize the diagonal components

Pseudo code	Comments
[INT16 count] = fast_bitcount (INT64 temp)	Return the MSB bit position
<pre>i = 56; j = 0; while((temp&gt;&gt;i)==0 &amp;&amp; i&gt;0) i -= 8; temp&gt;&gt;=i; while(temp&gt;0)   temp&gt;&gt;=1; j++; count = i + j;</pre>	

The RLS predictor can be turned off by setting RLS\_order to zero. In this case, the RLS predictor estimate  $y_2(n)$  is set to zero.

### 11.6.5.3 LMS Predictors

**Input:**  $e_{k-1}(n)$  residual of the previous predictor (could be RLS predictor or LMS predictor)

**Residual:**  $e_k(n)$  as input to the next LMS predictor

**Estimate:**  $y_k(n)$  as input to the linear combiner

The RLS-LMS predictor contains a series of LMS predictors. The Normalized LMS (NLMS) algorithm is used to adapt the predictor weights. For an order-M LMS predictor, its weight vector

$$\mathbf{w}_{\text{LMS}}(n) = [w_{\text{LMS},1}(n), w_{\text{LMS},2}(n), \dots, w_{\text{LMS},M}(n)]^T$$

is initialized by

$$\mathbf{w}_{\text{LMS}}(n) = 0$$

For each time index  $n$ ,  $n = 1, 2, \dots$ , the estimate is calculated as

$$y_k(n) = \mathbf{w}_{\text{LMS}}^T(n) \mathbf{e}_{k-1}(n)$$

where  $\mathbf{e}_{k-1}(n)$  is the input vector defined as

$$\mathbf{e}_{k-1}(n) = [e_{k-1}(n-1), e_{k-1}(n-2), \dots, e_{k-1}(n-M)]^T$$

The LMS predictor weight vector is updated according to

$$e_k(n) = e_{k-1}(n) - y_k(n)$$

$$\mathbf{w}_{\text{LMS}}(n) = \mathbf{w}_{\text{LMS}}(n-1) + \frac{e_k(n) \mathbf{e}_{k-1}(n)}{2^7 + \mu_k \mathbf{e}_{k-1}^T(n) \mathbf{e}_{k-1}(n)}$$

where  $\mu_k$  is the NLMS stepsize (subclause 11.6.5.6.4).

#### 11.6.5.3.1 Filtering operation in LMS Predictor

The following pseudo code illustrates how an order-M LMS predictor generates the estimate signal. The order of the LMS predictor is defined in subclause 11.6.5.6.3.

Pseudo code	Comments
<pre>INT32 *w, *buf; INT64 temp = 0;  for (i=0; i&lt;M; i++)     temp += (INT64) w[i] * buf[i];</pre>	<p>w is LMS predictor weight vector (.24 format) buf is LMS predictor input vector (.0 format)</p> <p>temp = w * buf</p>
<pre>temp &gt;&gt;= 20;  if (temp &gt; 0x7fffff) temp = 0x7fffff;</pre>	<p>temp is .4 format</p> <p>Limit the range of temp to</p>

if (temp<-0x7fffff) temp = -0x7fffff; [-0x7fffff, 0x7fffff]	
INT32 y; y = (INT32) (temp);	y is LMS predictor estimate (.4 format)

11.6.5.3.2 Weight adaptation in LMS Predictor

The following pseudo code illustrates how the weight vector of an order-M LMS predictor is updated.

Pseudo code	Comments
INT32 x, y, e; e = x - y;	x is LMS predictor current input sample (.4 format) y is LMS predictor estimate (.4 format) e is LMS predictor residual (.4 format)
INT32 *buf; INT64 pow = 0;  for (i=0; i<M; i++) pow += (INT64) buf[i] * buf[i];  if (pow>0x4000000000000000) pow = 0x4000000000000000;	buf is LMS predictor input vector (.0 format)  Compute total signal power in buf pow = buf * buf  pow is .0 format
INT16 mu; INT64 temp, temp1;  temp = (INT64) mu * (pow>>7); temp1 = temp;	mu is NLMS algorithm stepsize (.0 format) mu is defined in xxx.yyy  temp = mu * pow temp is .(-7) format
i = 0; while(temp>0x7fffff) temp>>=1; i++;  temp = ((INT64) e<<(29-i)) / (INT64)((temp1+1)>>i);	Compute the number of shifts needed to shift temp into the lower 32 bit  temp = e / (128 + mu*buf*buf) temp is .40 format
INT32 *w;  for (i=0; i<M;i++) w[i] += (INT32) (((INT64) buf[i] * temp + 0x8000)>>16);	w is LMS predictor weight vector (.24 format)  Update weight vector w = w + (buf*e) / (128 + mu*buf*buf)
for (i=M-1; i>0; i--) buf[i] = buf[i-1]; buf[0] = x>>4;	Update the input vector  buf is .0 format

#### 11.6.5.4 Linear Combiner

**Input:**  $y_1(n), y_2(n), \dots, y_K(n)$  estimates from DPCM, RLS, and LMS predictors

**Output:**  $\hat{x}(n)$  final estimate of the RLS-LMS predictor

The linear combiner multiplies the estimates from the DPCM, RLS, and LMS predictors by a set of weights. The results are summed together to provide the final estimate of the RLS-LMS predictor. The Sign-Sign LMS algorithm is used to update the linear combiner weights. If there are in total K predictors in the RLS-LMS predictor cascade, the linear combiner weight vector is given by

$$\mathbf{c}(n) = [c_1(n), c_2(n), \dots, c_K(n)]^T$$

The linear combiner input vector is given by

$$\mathbf{y}(n) = [y_1(n), y_2(n), \dots, y_K(n)]^T$$

The final estimate of the RLS-LMS predictor is given by

$$\hat{x}(n) = \mathbf{c}^T(n) \mathbf{y}(n)$$

The linear combiner weight vector is updated by the Sign-Sign LMS algorithm

$$\mathbf{c}(n) = \mathbf{c}(n-1) + \alpha \operatorname{sgn}\{\mathbf{y}(n)\} \operatorname{sgn}\{x(n) - \hat{x}(n)\}$$

where  $x(n)$  is the RLS-LMS predictor current input sample,  $\alpha$  is the Sign-Sign LMS stepsize (subclause 11.6.5.6.5). The  $\operatorname{sgn}\{\ast\}$  function is defined as

$$\operatorname{sgn}\{r\} = \begin{cases} 1 & r > 0 \\ 0 & r = 0 \\ -1 & r < 0 \end{cases}$$

The following pseudo code illustrates how an order-K linear combiner generates the final estimate of the RLS-LMS predictor. The order of linear combiner is given by (LMS\_stage+2). The code also shows how the linear combiner weight vector is updated. It shall be noted that the first two linear combiner weights are not updated.

Pseudo code	Comments
<pre>INT32 *c, *y; INT64 xhat = 0;  for (i=0; i&lt;K; i++)     xhat += (INT64) c[i] * y[i]; xhat &gt;&gt;= 24;</pre>	<p>c is linear combiner weight vector (.24 format)  y is linear combiner input vector (.4 format)  xhat is linear combiner output, i.e., final estimate of RLS-LMS predictor.</p> <p>xhat is .4 format</p>
<pre>INT32 x; INT64 r;  r = (x&lt;&lt;4) - xhat;</pre>	<p>x is RLS-LMS predictor current input sample  x is .0 format</p> <p>Compute the difference between x and xhat  r is .4 format</p>
<pre>INT32 LMS_stepsize; INT64 temp;</pre>	<p>Sign-Sign LMS algorithm stepsize (.24 format)  LMS_stepsize is defined in subclause 11.6.5.6.5</p>

<pre> for (i=2; i&lt;K; i++)   temp = (INT64) r * y[i];   if (temp&gt;0 &amp;&amp; c[i]&lt;0x40000000)     c[i] += LMS_stepsize;   if (temp&lt;0 &amp;&amp; c[i]&gt;-0x40000000)     c[i] -= LMS_stepsize; </pre>	<p>Sign-Sign LMS algorithm</p> <p>Only update linear combiner weights for the LMS predictors</p>
<pre> INT32 e; e = x - ROUND1(xhat) </pre>	<p>e is RLS-LMS predictor residual (.0 format)</p>

**11.6.5.5 RLS-LMS Predictor Initialization**

The RLS-LMS predictor is initialized at the following moments: start of encoding, start of decoding, start of each random access (RA) frame, and whenever filter order changes. The RLS-LMS predictor is initialized by zero-filling the following buffers: DPCM predictor previous input sample, RLS predictor input vector and weight vector, all LMS predictor input vectors and weight vectors, and linear combiner input vector. The RLS predictor P matrix is initialized by calling function `reinit_P(P)`. The linear combiner weight vector is set to constant FRACTION, which represents 1.0 in 8.24 format.

Table 11.27 lists the constants and macros used by the RLS-LMS predictor.

**Table 11.27 — Constants and Macros**

Constants & Macros	Value	Comments
JS_INIT_P	115292150460684	0.0001 in 4.60 format
FRACTION	(1L<<24)	1.0 in 8.24 format
ROUND1(x)	((INT32) ((x+8)>>4))	Rounding function
ROUND2(x)	((INT64) ((INT64) x + (INT64) 1 )>>1)	Rounding function

**11.6.5.6 RLS-LMS Predictor Parameters**

The parameters of the RLS-LMS predictor can be changed every frame. This is signalled in `RLSLMS_extension()` when `ext_mode = 1`. Subclauses 11.6.5.6.1 to 11.6.5.6.6 describe the RLS-LMS predictor parameters that can be changed.

**11.6.5.6.1 RLS\_order**

This parameter specifies the order of the RLS predictor. Valid values and the corresponding 4-bit indices are listed in Table 11.28.

**Table 11.28 — RLS\_order**

index	RLS_order	index	RLS_order
0	0	8	16
1	2	9	18
2	4	10	20
3	6	11	22
4	8	12	24

5	10	13	26
6	12	14	28
7	14	15	30

#### 11.6.5.6.2 LMS\_stage

This parameter specifies the number of LMS predictors in the RLS-LMS predictor cascade. Valid values and the corresponding 3-bit indices are listed in Table 11.29.

**Table 11.29 — LMS\_stage**

index	LMS_stage
0	1
1	2
2	3
3	4
4	5
5	6
6	7
7	8

#### 11.6.5.6.3 LMS\_order

This parameter specifies the order of the LMS predictor. Valid values and the corresponding 5-bit indices are listed in Table 11.30.

**Table 11.30 — LMS\_order**

index	LMS_order	index	LMS_order
0	2	16	32
1	3	17	36
2	4	18	48
3	5	19	64
4	6	20	80
5	7	21	96
6	8	22	128
7	9	23	256
8	10	24	384
9	12	25	448
10	14	26	512
11	16	27	640
12	18	28	768
13	20	29	896

14	24	30	1024
15	28	31	reserved

#### 11.6.5.6.4 LMS\_mu

This parameter specifies the stepsize of NLMS algorithm that is used to update the LMS predictor. Valid values and the corresponding 5-bit indices are listed in Table 11.31.

**Table 11.31 — LMS\_mu**

index	LMS_mu	index	LMS_mu
0	1	16	18
1	2	17	20
2	3	18	22
3	4	19	24
4	5	20	26
5	6	21	28
6	7	22	30
7	8	23	35
8	9	24	40
9	10	25	45
10	11	26	50
11	12	27	55
12	13	28	60
13	14	29	70
14	15	30	80
15	16	31	100

#### 11.6.5.6.5 LMS\_stepsize

This parameter specifies the stepsize of Sign-Sign LMS algorithm that is used to update the linear combiner. Valid values (7.24 format) and the corresponding 3-bit indices are listed in Table 11.32.

**Table 11.32 — LMS\_stepsize**

index	LMS_stepsize	Comments
0	1677	0.0001 (.24 format)
1	3355	0.0002
2	8388	0.0005
3	16777	0.001
4	33554	0.002
5	83886	0.005
6	167772	0.01

7	335544	0.02
---	--------	------

#### 11.6.5.6.6 RLS\_lambda and RLS\_lambda\_ra

RLS\_lambda and RLS\_lambda\_ra are parameters that control the forgetting factor of the RLS predictor. RLS\_lambda\_ra is used for RA frame only, whereas RLS\_lambda is used for both RA and non-RA frames. RLS\_lambda and RLS\_lambda\_ra take integer values in the range of [1, 1023]. The RLS forgetting factor  $\lambda$  is calculated as

$$\lambda = \frac{\text{RLS\_lambda}}{\text{RLS\_lambda}+1}$$

and

$$\lambda = \frac{\text{RLS\_lambda\_ra}}{\text{RLS\_lambda\_ra}+1}$$

#### 11.6.5.7 Random Access

The RLS-LMS predictor is re-initialized at the start of every random access frame. In an RA frame, the RLS-LMS predictor uses RLS\_lambda\_ra to determine the RLS forgetting factor for the first 300 samples. For the rest of the samples in the frame, RLS\_lambda is used. In an RA frame, the LMS predictors in the RLS-LMS predictor cascade start to update their states only after the first  $N/32$  samples in the frame, where  $N$  is the frame length.

#### 11.6.6 Coded Residual

There are two possible modes for transmission of the prediction residual: A fast encoding scheme employing simple Rice codes (see subclause 11.6.6.1), and a more complex and efficient scheme using block Gilbert-Moore codes (BGMC, see subclause 11.6.6.2).

##### 11.6.6.1 Rice Codes

When the *bgmc\_mode* flag in the *ALSSpecificConfig* is set to 0, the residual values are entropy coded using Rice codes. The chosen syntax for codeword generation is specified in the following.

A Rice code is defined by a parameter  $s \geq 0$ . For a given value of  $s$ , each codeword consists of a  $p$ -bit prefix and an  $s$ -bit sub-code. The prefix is signalled using  $p-1$  "1"-bits and one "0"-bit, with  $p$  depending on the coded value. For a signal value  $x$  and  $s > 0$ ,  $p-1$  is calculated as follows (" $\div$ " means integer division without remainder):

$$p-1 = \begin{cases} x \div 2^{s-1} & \text{for } x \geq 0 \\ (-x-1) \div 2^{s-1} & \text{for } x < 0 \end{cases}$$

For  $s = 0$ , we use a modified calculation:

$$p-1 = \begin{cases} 2x & \text{for } x \geq 0 \\ -2x-1 & \text{for } x < 0 \end{cases}$$

The sub-code for  $s > 0$  is calculated as follows:

$$sub = \begin{cases} x - 2^{s-1}(p-1) + 2^{s-1} & \text{for } x \geq 0 \\ (-x-1) - 2^{s-1}(p-1) & \text{for } x < 0 \end{cases}$$

For  $s = 0$  there is no sub-code but only the prefix, thus the prefix and the codeword are identical. Permitted values are  $s = 0 \dots 15$  for a sample resolution  $\leq 16$  bits, and  $s = 0 \dots 31$  for a sample resolution  $> 16$  bits.

Table 11.33 and Table 11.34 show examples for the Rice code with  $s = 4$ . Table 11.35 shows the special Rice code with  $s = 0$ .

**Table 11.33 — Rice code with  $s = 4$ . The xxxx bits contain the 4-bit sub-code  $sub$**

Values	$p$	Prefix	Codeword
-8...+7	1	0	0xxxx
-16...-9; +8...+15	2	10	10xxxx
-24...-17; +16...+23	3	110	110xxxx
-32...-25; +24...+31	4	1110	1110xxxx
-40...-33; +32...+39	5	11110	11110xxxx

**Table 11.34 — Sub-codes of the Rice code with  $s = 4$  for the first three prefixes**

Values ( $p = 1$ )	Values ( $p = 2$ )	Values ( $p = 3$ )	sub-code (xxxx)
-8	-16	-24	0111
-7	-15	-23	0110
-6	-14	-22	0101
-5	-13	-21	0100
-4	-12	-20	0011
-3	-11	-19	0010
-2	-10	-18	0001
-1	-9	-17	0000
0	8	16	1000
1	9	17	1001
2	10	18	1010
3	11	19	1011
4	12	20	1100
5	13	21	1101
6	14	22	1110
7	15	23	1111

**Table 11.35 — "Special" Rice code with  $s = 0$  (prefix and codeword are identical)**

Values	$p$	Prefix	Codeword
0	1	0	0
-1	2	10	10

+1	3	110	110
-2	4	1110	1110
+2	5	11110	11110

For each block of residual values, either all values can be encoded using the same Rice code, or, if the *sb\_part* flag in the file header is set, the block can be divided into four sub-blocks, each encoded with a different Rice code. In the latter case, the *ec\_sub* flag in the block header indicates whether one or four blocks are used.

While the parameter  $s[i = 0]$  of the first sub-block is directly transmitted with either 4 bits (resolution  $\leq 16$  bits) or 5 bits (resolution  $> 16$  bits), only the differences of following parameters  $s[i > 0]$  are transmitted. These differences are additionally encoded using appropriately chosen Rice codes again (see Table 11.36).

**Table 11.36 — Coding of Rice code parameters  $s[i]$**

Code parameter ( $i = \text{sub-block index}$ )	Difference	Rice code parameter used for differences
$s[i]$ ( $i > 0$ )	$s[i] - s[i-1]$	0

There are different ways to determine the optimal index  $s$  for a given set of data. It is up to the encoder to select suitable Rice codes depending on the statistics of the residual.

#### 11.6.6.2 BGMC coding mode

When the *bgmc\_mode* flag in the file header is set to 1, the residual values are split into *MSB*, *LSB* and *tail* components, which are then encoded using block Gilbert-Moore, fixed-length, and Rice codes correspondingly.

Furthermore, a different sub-block partition scheme is used. If the *sb\_part* flag in the file header is set, each block can be divided into 1, 2, 4, or 8 sub-blocks, where the actual number is indicated by a 2-bit *ec\_sub* field in the block header. If *sb\_part* is not set, each block can only be divided into 1 or 4 sub-blocks, and the actual number is indicated by a 1-bit *ec\_sub* field.

The subsequent subclauses 11.6.6.2.1 – 11.6.6.2.4 describe details of the BGMC coding process.

##### 11.6.6.2.1 Additional Parameters

In addition to the code parameter  $s$  (used to construct Rice codes), the BGMC encoder/decoder relies on the following quantities:

The number of lowest-significant bits (LSBs)  $k$  of residuals to be transmitted directly:

$$k = \begin{cases} 0, & \text{if } s \leq B \\ s - B, & \text{if } s > B \end{cases}$$

where  $s$  is the Rice parameter, and  $B$  is a parameter depending on the sub-block size  $N$ :

$$B = (\lceil \log_2 N \rceil - 3) \gg 1;$$

where  $0 \leq B \leq 5$  (values out of bounds are clipped to the bounds). The number of missing (in accessing frequency tables) bits  $\delta$ :

$$\text{delta} = 5 - s + k ,$$

and finally, the index of a frequency table *sx* to be used for encoding/decoding of MSBs.

The parameter *sx* is transmitted in addition to *s* for each sub-block, where the 'complete' BGMC parameter can be represented as  $S = 16 \cdot s + sx$ . Similar to the Rice coding mode, the first parameter is directly transmitted, while for subsequent parameters only encoded differences are transmitted (see Table 11.37).

**Table 11.37 — Coding of BGMC code parameters  $S[i] = 16 \cdot s[i] + sx[i]$**

Code parameter (i = sub-block index)	Difference	Rice code parameter used for differences
$S[i] (i > 0)$	$S[i] - S[i-1]$	2

### 11.6.6.2.2 Splitting Residual Values on MSBs, LSBs, and Tails

The process of obtaining sign-removed and clipped MSB values, LSBs or tails corresponding to the residual samples (*res[i]*) can be described as follows:

```

for (i = 1; i <= N; i++)
{
    long msbi = res[i] >> k;           // remove lsbs
    if (msbi >= max_msb[sx][delta]) { // positive tail
        msb[l] = tail_code[sx][delta];
        tail[i] = res[i] - (max_msb[sx][delta] << k);
    } else
    if (msbi <= -max_msb[sx][delta]) { // negative tail
        msb[l] = tail_code[sx][delta];
        tail[i] = res[i] + ((max_msb[sx][delta] - 1) << k);
    } else { // normal msb range
        if (msbi >= 0) msbi = msbi * 2;
        else msbi = -msbi * 2 - 1; // remove sign
        if (msbi >= tail_code[sx][delta])
            msbi++; // skip tail code
        msb[i] = msbi; // msb and lsb values
        lsb[i] = res[i] & ((1 << k) - 1); // to encode
    }
}
    
```

The maximum absolute values of MSBs and tail codes used in this algorithm (arrays *max\_msb[]* and *tail\_code[]*) correspondingly are specified in the following tables.

**Table 11.38 — Maximum/minimum values of residual MSBs**

<i>delta</i> \ <i>sx</i>	0	1	2	3	4	5
0	±64	±32	±16	±8	±4	±2
1	±64	±32	±16	±8	±4	±2
2	±64	±32	±16	±8	±4	±2
3	±96	±48	±24	±12	±6	±3
4	±96	±48	±24	±12	±6	±3
5	±96	±48	±24	±12	±6	±3

6	±96	±48	±24	±12	±6	±3
7	±96	±48	±24	±12	±6	±3
8	±96	±48	±24	±12	±6	±3
9	±96	±48	±24	±12	±6	±3
10	±96	±48	±24	±12	±6	±3
11	±128	±64	±32	±16	±8	±4
12	±128	±64	±32	±16	±8	±4
13	±128	±64	±32	±16	±8	±4
14	±128	±64	±32	±16	±8	±4
15	±128	±64	±32	±16	±8	±4

Table 11.39 — Tail Codes.

delta \ sx	0	1	2	3	4	5
0	74	44	25	13	7	3
1	68	42	24	13	7	3
2	58	39	23	13	7	3
3	126	70	37	19	10	5
4	132	70	37	20	10	5
5	124	70	38	20	10	5
6	120	69	37	20	11	5
7	116	67	37	20	11	5
8	108	66	36	20	10	5
9	102	62	36	20	10	5
10	88	58	34	19	10	5
11	162	89	49	25	13	7
12	156	87	49	26	14	7
13	150	86	47	26	14	7
14	142	84	47	26	14	7
15	131	79	46	26	14	7

The inverse (decoding) process, reconstructing the original residual samples ( $res[i]$ ) based on their MSBs, LSBs or tails can be described as follows:

```

for (i = 1; i <= N; i++)
{
    if (msb[i] == tail_code[sx][delta]) {
        if (tail[i] >= 0) // positive tail
            res[i] = tail[i] + (abs_max_x) << k;
        else // negative tail
            res[i] = tail[i] - (abs_max_x - 1) << k;
    } else {
        int msbi = msb[i];

```

```

    if (msbi > tail_code[sx][delta])
        msbi--; // skip tail code
    if (msbi & 1)
        msbi = (-msbi - 1) / 2; // remove sign
    else
        msbi = msbi / 2;
    res[i] = (msbi << k) | lsb[i]; // add lsbs
}
}

```

### 11.6.6.2.3 Encoding and Decoding of MSBs

The clipped MSBs of the residual samples are block-coded using Gilbert-Moore codes constructed for a distribution (cumulative frequency table) indexed by the parameter *sx*.

The encoding process consists of a) initialising the state of the block Gilbert-Moore (arithmetic) encoder, b) sequential encoding of all MSB values in all sub-blocks, and c) flushing the state of the encoder.

C-language specifications of the corresponding functions of the encoder are given below.

```

#define FREQ_BITS 14 // # bits used by freq. counters
#define VALUE_BITS 18 // # bits used to describe code range
#define TOP_VALUE 0x3FFFF // largest code value
#define FIRST_QTR 0x10000 // first quarter
#define HALF 0x20000 // first half
#define THIRD_QTR 0x30000 // third quarter

// encoder state variables:
static unsigned long high, low, bits_to_follow;

// start encoding:
void bgmc_start_encoding (void)
{
    high = TOP_VALUE;
    low = 0;
    bits_to_follow = 0;
}

// sends a bit followed by a sequence of opposite bits:
void put_bit_plus_follow (unsigned long bit)
{
    put_bit (bit);
    while (bits_to_follow) {
        put_bit (bit ^ 1);
        bits_to_follow--;
    }
}

// encodes a symbol using Gilbert-Moore code for
// a distribution s_freq[] subsampled by delta bits:
void bgmc_encode (unsigned long symbol, long delta, unsigned long *s_freq)
{
    unsigned long range = high - low + 1;
    high = low + ((range * s_freq[symbol << delta] - (1 << FREQ_BITS)) >> FREQ_BITS);
    low = low + ((range * s_freq[(symbol + 1) << delta]) >> FREQ_BITS);

    for ( ; ; ) {
        if (high < HALF) {

```

```

        put_bit_plus_follow (0, p);
    } else if (low >= HALF) {
        put_bit_plus_follow (1, p);
        low -= HALF;
        high -= HALF;
    } else if (low >= FIRST_QTR && high < THIRD_QTR) {
        bits_to_follow += 1;
        low -= FIRST_QTR;
        high -= FIRST_QTR;
    } else
        break;
    low = 2 * low;
    high = 2 * high + 1;
}
}

```

```

// Finish the encoding:
static void bgmc_finish_encoding ()
{
    bits_to_follow += 1;
    if (low < FIRST_QTR) put_bit_plus_follow (0,p);
    else put_bit_plus_follow (1,p);
}

```

C-language specifications of the corresponding functions of the block Gilbert-Moore decoder are given below.

```

// decoder state variables:
static unsigned long high, low, value;

// start decoding:
void bgmc_start_decoding (void)
{
    high = TOP_VALUE;
    low = 0;
    value = get_bits(VALUE_BITS);
}

// decodes a symbol using Gilbert-Moore code for
// a distribution s_freq[] subsampled by delta bits:
unsigned long bgmc_decode (long delta, unsigned long *s_freq)
{
    unsigned long range, target, symbol;
    range = high - low + 1;
    target = (((value - low + 1) << FREQ_BITS) - 1) / range;
    symbol = 0;
    while (s_freq [(symbol+1) << delta] > target)
        symbol ++;
    high=low+((range*s_freq[symbol<<delta]-(1<<FREQ_BITS))>>FREQ_BITS);
    low =low+((range*s_freq[(symbol+1)<<delta])>>FREQ_BITS);
    for ( ; ; ) {
        if (high < HALF) ;
        else if (low >= HALF) {
            value -= HALF;
            low -= HALF;
            high -= HALF;
        } else if (low >= FIRST_QTR && high < THIRD_QTR) {
            value -= FIRST_QTR;
            low -= FIRST_QTR;
            high -= FIRST_QTR;
        }
    }
}

```

```

    } else
        break;
    low = 2 * low;
    high = 2 * high + 1;
    value = 2 * value + get_bit ();
}
return symbol;
}

// Finish decoding:
void bgmc_finish_decoding ()
{
    scroll_bitstream_position_back(VALUE_BITS-2);
}

```

The cumulative frequency tables (*s\_freq[]* arrays) used by the above algorithms for encoding/decoding of residual MSBs are listed below. The appropriate (within each sub-block) table is selected using parameter *sx*.

**Table 11.40 — Cumulative frequency tables used by the BGMC encoder/decoder**

#	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384	16384
1	16066	16080	16092	16104	16116	16128	16139	16149	16159	16169	16177	16187	16195	16203	16210	16218
2	15748	15776	15801	15825	15849	15872	15894	15915	15934	15954	15970	15990	16006	16022	16036	16052
3	15431	15473	15510	15546	15582	15617	15649	15681	15709	15739	15764	15793	15817	15842	15863	15886
4	15114	15170	15219	15268	15316	15362	15405	15447	15485	15524	15558	15597	15629	15662	15690	15720
5	14799	14868	14930	14991	15050	15107	15162	15214	15261	15310	15353	15401	15441	15482	15517	15554
6	14485	14567	14641	14714	14785	14853	14919	14981	15038	15096	15148	15205	15253	15302	15344	15389
7	14173	14268	14355	14439	14521	14600	14677	14749	14816	14883	14944	15009	15065	15122	15172	15224
8	13861	13970	14069	14164	14257	14347	14435	14517	14594	14670	14740	14813	14878	14942	15000	15059
9	13552	13674	13785	13891	13995	14096	14195	14286	14373	14458	14537	14618	14692	14763	14828	14895
10	13243	13378	13501	13620	13734	13846	13955	14055	14152	14246	14334	14423	14506	14584	14656	14731
11	12939	13086	13219	13350	13476	13597	13717	13827	13933	14035	14132	14230	14321	14406	14485	14567
12	12635	12794	12938	13081	13218	13350	13479	13599	13714	13824	13930	14037	14136	14228	14314	14403
13	12336	12505	12661	12815	12963	13105	13243	13373	13497	13614	13729	13845	13952	14051	14145	14240
14	12038	12218	12384	12549	12708	12860	13008	13147	13280	13405	13529	13653	13768	13874	13976	14077
15	11745	11936	12112	12287	12457	12618	12775	12923	13065	13198	13330	13463	13585	13698	13808	13915
16	11452	11654	11841	12025	12206	12376	12542	12699	12850	12991	13131	13273	13402	13522	13640	13753
17	11161	11373	11571	11765	11956	12135	12310	12476	12636	12785	12933	13083	13219	13347	13472	13591
18	10870	11092	11301	11505	11706	11894	12079	12253	12422	12579	12735	12894	13037	13172	13304	13429
19	10586	10818	11037	11250	11460	11657	11851	12034	12211	12376	12539	12706	12857	12998	13137	13269
20	10303	10544	10773	10996	11215	11421	11623	11815	12000	12173	12343	12518	12677	12824	12970	13109
21	10027	10276	10514	10746	10975	11189	11399	11599	11791	11972	12150	12332	12499	12652	12804	12950
22	9751	10008	10256	10497	10735	10957	11176	11383	11583	11772	11957	12146	12321	12480	12639	12791
23	9483	9749	10005	10254	10500	10730	10956	11171	11378	11574	11766	11962	12144	12310	12475	12633
24	9215	9490	9754	10011	10265	10503	10737	10959	11173	11377	11576	11778	11967	12140	12312	12476
25	8953	9236	9508	9772	10034	10279	10521	10750	10971	11182	11388	11597	11792	11971	12149	12320
26	8692	8982	9263	9534	9803	10056	10305	10541	10769	10987	11200	11416	11617	11803	11987	12164
27	8440	8737	9025	9303	9579	9838	10094	10337	10571	10795	11015	11237	11444	11637	11827	12009
28	8189	8492	8787	9072	9355	9620	9883	10133	10373	10603	10830	11059	11271	11471	11667	11854

29	7946	8256	8557	8848	9136	9407	9677	9933	10179	10414	10647	10882	11100	11307	11508	11701
30	7704	8020	8327	8624	8917	9195	9471	9733	9985	10226	10465	10706	10930	11143	11349	11548
31	7472	7792	8103	8406	8703	8987	9268	9536	9793	10040	10285	10532	10762	10980	11192	11396
32	7240	7564	7879	8188	8489	8779	9065	9339	9601	9854	10105	10358	10594	10817	11035	11244
33	7008	7336	7655	7970	8275	8571	8862	9142	9409	9668	9925	10184	10426	10654	10878	11092
34	6776	7108	7431	7752	8061	8363	8659	8945	9217	9482	9745	10010	10258	10491	10721	10940
35	6554	6888	7215	7539	7853	8159	8459	8751	9029	9299	9568	9838	10091	10330	10565	10790
36	6333	6669	7000	7327	7645	7955	8260	8557	8842	9116	9391	9666	9925	10169	10410	10640
37	6122	6459	6792	7123	7444	7758	8067	8369	8658	8937	9218	9497	9761	10011	10257	10492
38	5912	6249	6585	6919	7244	7561	7874	8181	8475	8759	9045	9328	9598	9853	10104	10344
39	5711	6050	6387	6724	7051	7371	7688	7998	8297	8585	8876	9163	9438	9697	9953	10198
40	5512	5852	6190	6529	6858	7182	7502	7816	8120	8411	8707	8999	9278	9542	9802	10052
41	5320	5660	5998	6339	6671	6997	7321	7638	7946	8241	8541	8837	9120	9389	9654	9908
42	5128	5468	5807	6150	6484	6812	7140	7460	7773	8071	8375	8675	8963	9236	9506	9764
43	4947	5286	5625	5970	6305	6635	6965	7288	7604	7906	8213	8517	8809	9086	9359	9622
44	4766	5104	5445	5790	6127	6459	6790	7116	7435	7741	8051	8359	8655	8936	9213	9481
45	4595	4931	5272	5618	5956	6289	6621	6950	7271	7580	7894	8205	8504	8789	9070	9342
46	4425	4760	5100	5446	5785	6120	6452	6785	7108	7419	7737	8051	8354	8642	8927	9203
47	4264	4598	4937	5282	5622	5957	6290	6625	6950	7263	7583	7901	8207	8498	8787	9066
48	4104	4436	4774	5119	5459	5795	6128	6465	6792	7107	7429	7751	8060	8355	8647	8929
49	3946	4275	4613	4957	5298	5634	5968	6306	6634	6952	7277	7602	7914	8212	8508	8793
50	3788	4115	4452	4795	5137	5473	5808	6147	6477	6797	7125	7453	7769	8070	8369	8657
51	3640	3965	4301	4642	4983	5319	5655	5995	6326	6647	6977	7308	7627	7931	8233	8524
52	3493	3816	4150	4490	4830	5165	5503	5843	6175	6497	6830	7163	7485	7792	8097	8391
53	3355	3674	4007	4345	4684	5018	5356	5697	6029	6353	6687	7022	7347	7656	7964	8261
54	3218	3534	3865	4201	4539	4871	5209	5551	5883	6209	6544	6882	7209	7520	7831	8131
55	3090	3403	3731	4065	4401	4732	5069	5411	5742	6070	6406	6745	7074	7388	7700	8003
56	2963	3272	3597	3929	4263	4593	4929	5271	5602	5931	6268	6609	6939	7256	7570	7875
57	2842	3147	3469	3798	4131	4458	4794	5135	5466	5796	6133	6476	6807	7126	7442	7749
58	2721	3023	3341	3669	3999	4324	4660	5000	5330	5661	5998	6343	6676	6996	7315	7624
59	2609	2907	3218	3547	3874	4197	4532	4871	5199	5531	5868	6214	6548	6870	7190	7502
60	2498	2792	3099	3425	3750	4071	4404	4742	5068	5401	5738	6085	6420	6744	7065	7380
61	2395	2684	2981	3310	3632	3951	4282	4618	4943	5275	5612	5960	6296	6621	6943	7260
62	2292	2577	2869	3196	3515	3831	4160	4495	4818	5150	5487	5835	6172	6498	6821	7140
63	2196	2476	2758	3086	3401	3714	4041	4374	4696	5027	5364	5712	6050	6377	6701	7022
64	2100	2375	2652	2976	3287	3597	3922	4253	4574	4904	5241	5589	5928	6256	6581	6904
65	2004	2274	2546	2866	3173	3480	3803	4132	4452	4781	5118	5466	5806	6135	6461	6786
66	1908	2173	2440	2756	3059	3363	3684	4011	4330	4658	4995	5343	5684	6014	6341	6668
67	1820	2079	2334	2650	2949	3250	3568	3893	4211	4538	4875	5223	5564	5895	6223	6551
68	1732	1986	2234	2545	2840	3138	3452	3775	4093	4419	4755	5103	5444	5776	6105	6435
69	1651	1897	2134	2447	2737	3032	3343	3663	3979	4304	4640	4987	5328	5660	5990	6322
70	1570	1810	2041	2350	2635	2927	3234	3552	3866	4190	4525	4872	5212	5545	5876	6209
71	1497	1724	1949	2260	2539	2828	3131	3446	3759	4081	4414	4761	5100	5433	5764	6099
72	1424	1645	1864	2170	2444	2729	3029	3340	3652	3972	4304	4650	4988	5321	5653	5989
73	1355	1567	1779	2085	2354	2635	2931	3239	3549	3867	4198	4542	4879	5212	5545	5881
74	1287	1493	1699	2000	2264	2541	2833	3138	3446	3762	4092	4435	4771	5104	5437	5773

ISO/IEC 14496-3:2009(E)

75	1223	1419	1620	1921	2181	2453	2741	3043	3348	3662	3990	4332	4667	4999	5331	5668
76	1161	1351	1547	1843	2098	2366	2649	2948	3250	3562	3888	4229	4563	4895	5226	5563
77	1100	1284	1474	1770	2020	2284	2563	2858	3157	3467	3790	4130	4462	4793	5124	5461
78	1044	1222	1407	1698	1943	2202	2477	2768	3065	3372	3693	4031	4362	4692	5022	5359
79	988	1161	1340	1632	1872	2126	2396	2684	2977	3281	3600	3936	4265	4594	4924	5260
80	938	1105	1278	1566	1801	2050	2316	2600	2889	3191	3507	3841	4169	4496	4826	5161
81	888	1050	1217	1501	1731	1975	2236	2516	2802	3101	3415	3747	4073	4400	4729	5063
82	839	995	1157	1436	1661	1900	2157	2433	2716	3012	3323	3653	3978	4304	4632	4965
83	790	941	1097	1376	1596	1830	2083	2355	2634	2928	3235	3563	3886	4211	4538	4871
84	746	891	1043	1316	1532	1761	2009	2278	2553	2844	3147	3473	3795	4118	4444	4777
85	702	842	989	1261	1472	1697	1940	2205	2476	2764	3064	3387	3707	4028	4353	4686
86	662	797	940	1207	1412	1633	1871	2133	2399	2684	2981	3302	3619	3939	4262	4595
87	623	753	891	1157	1357	1574	1807	2065	2326	2608	2902	3220	3535	3853	4174	4506
88	588	713	846	1108	1303	1515	1743	1997	2254	2533	2823	3138	3451	3767	4087	4417
89	553	673	801	1061	1251	1459	1683	1932	2185	2460	2746	3059	3369	3684	4002	4331
90	520	636	759	1015	1200	1403	1623	1867	2117	2387	2670	2980	3288	3601	3917	4245
91	488	599	718	973	1153	1351	1567	1807	2052	2318	2594	2905	3210	3521	3835	4162
92	459	566	680	931	1106	1300	1511	1747	1987	2250	2522	2830	3133	3441	3753	4079
93	431	533	643	893	1063	1252	1459	1690	1926	2185	2450	2759	3059	3364	3674	3999
94	405	503	609	855	1020	1205	1407	1634	1866	2121	2382	2688	2985	3287	3595	3919
95	380	473	575	819	979	1160	1357	1580	1808	2059	2314	2619	2913	3212	3518	3841
96	357	446	543	783	938	1115	1307	1526	1750	1997	2248	2550	2841	3137	3441	3763
97	334	419	511	747	897	1070	1257	1472	1692	1935	2182	2481	2769	3062	3364	3685
98	311	392	479	711	856	1025	1207	1418	1634	1873	2116	2412	2697	2987	3287	3607
99	288	365	447	677	818	982	1159	1366	1578	1813	2050	2345	2627	2915	3212	3530
100	268	340	418	644	780	939	1111	1314	1522	1754	1987	2278	2557	2843	3138	3454
101	248	316	389	614	746	899	1067	1266	1470	1698	1924	2215	2490	2773	3066	3380
102	230	294	363	584	712	860	1023	1218	1418	1642	1864	2152	2424	2704	2995	3307
103	213	272	337	557	681	824	983	1174	1369	1588	1804	2092	2360	2638	2926	3236
104	197	253	314	530	650	789	943	1130	1321	1535	1748	2032	2297	2572	2858	3166
105	182	234	291	505	621	756	905	1088	1275	1483	1692	1974	2237	2508	2792	3097
106	168	216	270	480	592	723	868	1047	1229	1433	1638	1917	2177	2445	2726	3029
107	154	199	249	458	566	693	834	1009	1187	1384	1585	1863	2119	2384	2662	2963
108	142	184	230	436	540	663	800	971	1145	1338	1534	1809	2062	2324	2599	2897
109	130	169	212	416	517	636	769	936	1105	1292	1484	1758	2007	2266	2538	2834
110	119	155	195	396	494	609	738	901	1066	1249	1437	1707	1953	2208	2478	2771
111	108	142	179	378	473	584	709	868	1027	1206	1390	1659	1901	2153	2420	2710
112	99	130	164	360	452	559	681	836	991	1165	1346	1611	1849	2098	2362	2650
113	90	118	149	343	431	535	653	804	955	1125	1302	1564	1798	2044	2305	2591
114	81	106	135	326	410	511	625	772	919	1085	1258	1517	1748	1990	2249	2532
115	72	95	121	310	391	489	600	743	883	1045	1215	1473	1700	1939	2195	2475
116	64	85	108	295	373	467	575	714	850	1008	1174	1429	1652	1888	2141	2418
117	56	75	96	281	356	447	552	685	817	971	1133	1387	1607	1839	2089	2363
118	49	66	85	267	340	427	529	658	786	937	1095	1346	1562	1791	2037	2309
119	42	57	74	255	325	409	508	631	756	903	1057	1307	1519	1745	1988	2257
120	36	49	64	243	310	391	487	606	728	871	1021	1268	1476	1699	1939	2205

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121	30	41	54	232	296	374	466	582	700	840	986	1230	1435	1655	1891	2155
122	25	34	45	221	282	358	447	559	674	810	952	1193	1394	1611	1844	2105
123	20	27	36	211	270	343	428	536	648	780	918	1158	1355	1569	1799	2057
124	15	21	28	201	258	328	410	515	624	752	887	1123	1317	1527	1754	2009
125	11	15	20	192	247	313	392	494	600	724	856	1090	1281	1487	1711	1963
126	7	10	13	183	236	300	376	475	578	698	827	1058	1245	1448	1668	1918
127	3	5	6	174	225	287	360	456	556	672	798	1026	1210	1409	1626	1873
128	0	0	0	166	214	274	344	437	534	647	770	994	1175	1370	1584	1828
129				158	203	261	328	418	512	622	742	962	1140	1331	1542	1783
130				150	192	248	313	399	490	597	714	930	1105	1292	1500	1738
131				142	182	235	298	380	468	572	686	899	1071	1255	1459	1694
132				134	172	223	283	362	447	548	659	869	1037	1218	1418	1650
133				126	162	211	268	344	426	524	632	841	1005	1183	1380	1607
134				119	153	200	255	328	407	502	607	813	973	1148	1342	1565
135				112	144	189	242	312	388	480	582	786	943	1115	1305	1524
136				106	136	179	230	297	371	460	559	760	913	1082	1269	1484
137				100	128	169	218	283	354	440	536	735	885	1051	1234	1445
138				95	121	160	207	270	338	421	514	710	857	1020	1199	1407
139				90	114	151	196	257	322	403	492	687	830	990	1166	1369
140				85	108	143	186	245	307	386	472	664	804	960	1133	1333
141				80	102	135	176	233	293	369	452	643	779	932	1102	1297
142				76	97	128	167	222	280	353	433	622	754	904	1071	1263
143				72	92	121	158	211	267	337	415	602	731	878	1041	1229
144				69	87	115	150	201	255	323	398	582	708	852	1012	1197
145				66	82	109	142	191	243	309	381	562	685	826	983	1165
146				63	77	103	135	181	231	295	364	543	663	801	954	1134
147				60	73	97	128	172	219	281	348	525	642	777	926	1103
148				57	69	92	121	163	209	268	333	507	621	753	899	1073
149				54	65	87	114	155	199	255	318	490	601	731	872	1043
150				51	62	82	108	147	189	243	304	473	581	709	847	1015
151				48	59	77	102	139	179	231	290	457	563	687	822	987
152				46	56	73	97	132	170	220	277	442	545	666	798	960
153				44	53	69	92	125	161	209	264	427	528	645	774	933
154				42	50	65	87	119	153	199	252	412	511	625	751	907
155				40	47	61	82	113	145	189	240	398	495	605	728	882
156				38	45	58	78	107	138	180	229	385	479	586	707	858
157				36	43	55	74	101	131	171	218	373	463	567	686	834
158				34	41	52	70	96	124	163	208	361	448	550	666	811
159				33	39	49	66	91	117	155	198	349	433	533	646	788
160				32	37	46	62	86	111	147	188	337	419	516	627	766
161				31	35	43	58	81	105	139	178	325	405	499	608	744
162				30	33	40	54	76	99	131	168	313	391	482	589	722
163				29	31	37	50	71	93	123	158	301	377	465	570	700
164				28	29	35	47	66	87	116	149	290	364	449	552	679
165				27	27	33	44	62	81	109	140	279	351	433	534	658
166				26	26	31	41	58	76	102	132	269	338	418	517	638

167				25	25	29	38	54	71	95	124	259	326	403	500	618
168				24	24	27	35	50	66	89	116	249	314	389	484	599
169				23	23	25	32	46	61	83	108	240	302	375	468	581
170				22	22	23	30	43	57	77	101	231	291	362	453	563
171				21	21	21	28	40	53	72	94	222	280	349	438	545
172				20	20	20	26	37	49	67	87	214	270	337	424	528
173				19	19	19	24	34	45	62	81	206	260	325	410	511
174				18	18	18	22	31	42	57	75	199	251	314	397	495
175				17	17	17	20	28	39	52	69	192	242	303	384	480
176				16	16	16	18	26	36	48	64	185	234	293	372	465
177				15	15	15	16	24	33	44	59	178	226	283	360	451
178				14	14	14	14	22	30	40	54	171	218	273	348	437
179				13	13	13	13	20	27	36	49	165	210	263	336	423
180				12	12	12	12	18	24	32	44	159	202	254	325	410
181				11	11	11	11	16	21	28	39	153	195	245	314	397
182				10	10	10	10	14	19	25	35	148	188	236	303	384
183				9	9	9	9	12	17	22	31	143	181	227	293	372
184				8	8	8	8	10	15	19	27	138	174	219	283	360
185				7	7	7	7	8	13	16	23	133	168	211	273	348
186				6	6	6	6	6	11	13	19	128	162	204	264	337
187				5	5	5	5	5	9	10	15	123	156	197	255	326
188				4	4	4	4	4	7	8	12	119	150	190	246	315
189				3	3	3	3	3	5	6	9	115	144	183	237	305
190				2	2	2	2	2	3	4	6	111	139	177	229	295
191				1	1	1	1	1	1	2	3	107	134	171	221	285
192				0	0	0	0	0	0	0	0	103	129	165	213	275
193												99	124	159	205	265
194												95	119	153	197	255
195												91	114	147	189	245
196												87	109	141	181	236
197												83	104	135	174	227
198												80	100	130	167	219
199												77	96	125	160	211
200												74	92	120	154	203
201												71	88	115	148	195
202												68	84	110	142	188
203												65	80	105	136	181
204												63	77	101	131	174
205												61	74	97	126	167
206												59	71	93	121	161
207												57	68	89	116	155
208												55	65	85	111	149
209												53	62	81	106	143
210												51	59	77	101	137
211												49	56	74	97	131
212												47	54	71	93	126

213												45	52	68	89	121
214												43	50	65	85	116
215												41	48	62	81	111
216												40	46	59	77	106
217												39	44	56	73	101
218												38	42	53	70	97
219												37	40	51	67	93
220												36	38	49	64	89
221												35	36	47	61	85
222												34	34	45	58	81
223												33	33	43	55	77
224												32	32	41	52	73
225												31	31	39	49	69
226												30	30	37	46	65
227												29	29	35	43	61
228												28	28	33	40	58
229												27	27	31	37	55
230												26	26	29	35	52
231												25	25	27	33	49
232												24	24	25	31	46
233												23	23	23	29	43
234												22	22	22	27	40
235												21	21	21	25	37
236												20	20	20	23	34
237												19	19	19	21	32
238												18	18	18	19	30
239												17	17	17	17	28
240												16	16	16	16	26
241												15	15	15	15	24
242												14	14	14	14	22
243												13	13	13	13	20
244												12	12	12	12	18
245												11	11	11	11	16
246												10	10	10	10	14
247												9	9	9	9	12
248												8	8	8	8	10
249												7	7	7	7	8
250												6	6	6	6	6
251												5	5	5	5	5
252												4	4	4	4	4
253												3	3	3	3	3
254												2	2	2	2	2
255												1	1	1	1	1
256												0	0	0	0	0

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#### 11.6.6.2.4 Encoding of residual LSBs and Tails

LSBs and tails are transmitted in an interleaved fashion (i.e. if  $msb[i] \neq tail\_code$  we transmit  $lsb[i]$ , otherwise  $tail[i]$ ) for all residuals in a sub-block.

The LSBs are transmitted directly using  $k$  bits, while tails are encoded using Rice codes with parameter  $s$  as described in subclause 11.6.6.1.

#### 11.6.7 Joint Coding of Channel Pairs

Joint coding of a channel pair is identical to joint coding of a stereo pair. Thus, we use the terms “left channel” and “right channel” to refer to the two channels of any channel pair, regardless of whether it carries a genuine stereo signal or two other channels of a multichannel signal (see subclause 11.6.1.4).

When a decoded block, which normally would represent either the left channel ( $L$ ) or the right channel ( $R$ ), contains a joint stereo difference signal ( $D = R - L$ , indicated by  $js\_block$ ), the original channel data has to be explicitly reconstructed. If the first channel contains the difference signal, the left channel has been substituted, which can be reconstructed using

$$L = R - D$$

for all samples of this block. If the second channel contains the difference signal, the right channel has been substituted, which is reconstructed using

$$R = D + L$$

for all samples. If  $block\_switching$  is on, this holds for any pair of synchronized blocks of a channel pair, i.e. any block might contain either the difference signal or the original channel (see subclause 11.6.2). Please observe that, in terms of prediction, difference signals are treated like normal signals. If the prediction filter uses samples from a previous block, then these have to be the difference values of the same two channels in that previous block. The same applies to the inverse prediction filter of the decoder, accordingly.

#### 11.6.8 Multi-Channel Coding (MCC)

Multi-channel coding uses adaptively weighted inter-channel subtraction in order to further reduce the amplitudes of the prediction residuals.

##### 11.6.8.1.1 Decoding and reconstruction of single channels

Sets of inter-channel dependency information, including  $master\_channel\_index$ ,  $weighting\_factor$  and  $time\_difference\_flag$ , are decoded for each channel. The numbers of iterations is controlled by  $stop\_flag$ . In addition, there is a  $js\_switch$  bit which activates simple Joint Stereo coding of input signals (see subclause 11.6.7) instead of multi-channel coding of LPC prediction residual signals, even when inter-channel correlation mode is used in general.

If  $time\_difference\_flag$  is zero, 3-tap in-phase weighted addition is carried out as shown in the following pseudo-code.

```

INT64 u;
for(smpl=1 ;smpl<N-1; smpl++)
{
    u = (INT64)MCCgain[0]*d_masterchannel[smpl-1]
      +(INT64)MCCgain[1]*d_masterchannel[smpl]
      +(INT64)MCCgain[2]*d_masterchannel[smpl+1];

    d[smpl] += (long) ((u+64)>>7);
}
-----

```

d: integer for residual signal

MCCgain: gain value  $\gamma(i)*128$

If time\_difference\_flag is one, 6-tap weighted addition is carried out with the decoded time difference parameter TDL as shown in the following pseudo-code.

```

INT64 u;
if(TDL>0) {ss=1; se=N-TDL-1;}
else {ss=-TDL+1; se=N-1;}
for(smpl=ss ;smpl<se; smpl++)
{
    u = (INT64)MCCgain[0]*d_masterchannel[smpl-1]
      + (INT64)MCCgain[1]*d_masterchannel[smpl]
      + (INT64)MCCgain[2]*d_masterchannel[smpl+1]
      + (INT64)MCCgain[3]*d_masterchannel[smpl+TDL-1]
      + (INT64)MCCgain[4]*d_masterchannel[smpl+TDL]
      + (INT64)MCCgain[5]*d_masterchannel[smpl+TDL+1];

    d[smpl] += (long) ((u+64)>>7);
}

```

d: integer for residual signal

MCCgain: gain value  $\gamma(i)*128$

TDL: time difference lag value:  $\tau$

The range of TDL depends on the sampling frequency as shown in Table 11.41. There is a sign bit for the TDL to support both positive and negative differences relative to the coding signal. To avoid conflicts with the in-phase weighting, TDL has to be larger than 3 or less than -3.

**Table 11.41 — Range of lag  $\tau$**

search range of $\tau(i)$	start	end
Freq < 96 kHz	3	31+3
Freq $\geq$ 96 kHz	3	63+3
Freq $\geq$ 192 kHz	3	127+3

The gain value  $\gamma(i)*128$  is quantized according to Table 11.42. The actual indexes of the gain values are coded using Rice codes with a parameter of 1 and an offset of 16, except for weighting\_factor[1]. The index of weighting\_factor[1] is decoded using Rice codes with a parameter of 2 with an offset of 14.

**Table 11.42 — Rice code of Inter-channel weighting factors  $\gamma(i)*128$**

index of weighting factor	for weighting factor [1]	for other factors	$\gamma(i)*128$	index of weighting factor	for weighting factor [1]	for other factors	$\gamma(i)*128$
0	111111001	1111111111111100	204	16	1010	01	0
1	111111000	1111111111111100	192	17	1011	101	-12
2	11111001	1111111111111100	179	18	11010	1101	-25
3	11111000	1111111111111100	166	19	11011	11101	-38

4	1111001	1111111111100	153	20	111010	111101	-51
5	1111000	1111111111100	140	21	111011	1111101	-64
6	111001	1111111111100	128	22	1111010	11111101	-76
7	111000	1111111111100	115	23	1111011	111111101	-89
8	11001	1111111100	102	24	11111010	1111111101	-102
9	11000	1111111100	89	25	11111011	11111111101	-115
10	1001	11111100	76	26	111111010	111111111101	-128
11	1000	1111100	64	27	111111011	1111111111101	-140
12	001	11100	51	28	1111111010	11111111111101	-153
13	000	1100	38	29	1111111011	111111111111101	-166
14	010	100	25	30	11111111010	1111111111111101	-179
15	011	00	12	31	11111111011	11111111111111101	-192

**11.6.8.1.2 Sequential decoding for multi-channel signals**

A sequence of prediction residual samples in one channel and one block is treated as a vector,  $\mathbf{e} = (e_0, e_1, \dots, e_{n-1})^T$ , where  $n$  represents the number of samples in a frame. Let  $\mathbf{e}^j$  be the prediction residual vector of the master channel, where  $j$  is specified by master\_channel\_index, and  $\mathbf{e}^i$  is the prediction residual vector of the coded (slave) channel. The residual signal of the slave channel is reconstructed by adding the master channel signal with multiplication factors of  $\gamma$  (weighting factor) ranging from -192/128 to 204/128.

$$\mathbf{e}^i = \tilde{\mathbf{e}}^i + \sum_{m=1}^1 \gamma_{m,k}^{i,j} \mathbf{e}^j$$

If stop\_flag is on, no inter-channel information follows for this channel. If stop\_flag is off, inter-channel information follows and this is allowed repeatedly. Thus the syntax is allowed to have multiple stages of inter-channel information. Note that the number of stages should be common to all channels. If the master\_channel\_index is identical to the channel number in all stages deeper than a certain stage, which means no more weighted subtraction is activated, the syntax elements for further stages are skipped for this channel. As a result, stop\_flag is set at the end of the effective set of information.

For reconstructing residual signals from multiple stage inter-channel information, the process should start from the right most set (the deepest stage) of information. The intermediate residual vector at  $i$ -th channel and  $k$ -th stage,  $\mathbf{e}_{k-1}^i$ , is reconstructed as follows using the master channel vector  $\mathbf{e}_{k-1}^j$  and  $\gamma_{k-1}^{i,j}$  of the  $k$ -th stage.

$$\mathbf{e}_{k-1}^i = \mathbf{e}_k^i + \sum_m \gamma_{m,k-1}^{i,j} \cdot \mathbf{e}_{k-1}^j, \text{ where } \sum_m \gamma_{m,k-1}^{i,j} \text{ means either 3-tap or 6-tap filtering.}$$

An example of bit stream for 5 channels and three iteration stages is shown in Table 11.43. Channel 0 has three syntax elements corresponding to the stages. Reconstruction should be carried out from the last stage, i.e. the third stage. The third stage has only a stop\_flag, therefore the operation starts from the second stage, referring to  $\mathbf{e}_2^i$  ( $i=0, 1, \dots, 4$ ) which are from entropy decoding.

At the second stage, channel 0 has channel 4 as a master channel with weighting factors of  $\gamma[14]$ ,  $\gamma[12]$ , and  $\gamma[15]$ . Thus,  $\mathbf{e}_1^0 = \mathbf{e}_2^0 + \sum \gamma \cdot \mathbf{e}_1^4$ , where  $\mathbf{e}_1^1 = \mathbf{e}_2^1$ ,  $\mathbf{e}_1^2 = \mathbf{e}_2^2$ ,  $\mathbf{e}_1^3 = \mathbf{e}_2^3$ ,  $\mathbf{e}_1^4 = \mathbf{e}_2^4$ , since other channels need no operations at the second iteration stage.

At the first stage, channel 0 has channel 2 as a master channel with weighting factors of  $\gamma[13]$ ,  $\gamma[10]$ , and  $\gamma[15]$ . This means  $\mathbf{e}_0^0 = \mathbf{e}_1^0 + \sum \gamma \cdot \mathbf{e}_0^2$ . However,  $\mathbf{e}_0^2$  is not ready. This process needs to be suspended until  $\mathbf{e}_0^2$  is reconstructed. Channel 1 has channel 4 as a master channel with multiplication factors of  $\gamma[13]$ ,  $\gamma[11]$ , and  $\gamma[12]$ . Thus,  $\mathbf{e}_0^1 = \mathbf{e}_1^1 + \sum \gamma \cdot \mathbf{e}_0^4$ . Channel 2 has channel 1 as a master channel with the time difference value "5" and multiplication factors of  $\gamma[16]$ ,  $\gamma[15]$ ,  $\gamma[16]$ ,  $\gamma[13]$ ,  $\gamma[12]$ , and  $\gamma[14]$ . Thus,  $\mathbf{e}_0^2 = \mathbf{e}_1^2 + \sum \gamma \cdot \mathbf{e}_0^1$ . Now that channel 2 has been reconstructed, channel 0 can be reconstructed. Other channels have no operation at the first stage. Thus,  $\mathbf{e}_0^3 = \mathbf{e}_1^3$ ,  $\mathbf{e}_0^4 = \mathbf{e}_1^4$ .

**Table 11.43 — Example of a bit stream for inter-channel information for 5 channels and 3 stages**

stage 1					
channel no.	stop_flag (S)	master_channel_index (M)	time_difference_flag (T)	weighting factor (W)	time_difference_value(L)
0	0	2	0	13-10-15	
1	0	4	0	13-11-12	
2	0	1	1	16-15-16-13-12-14	5
3	1				
4	1				
stage 2					
channel no.	S	M	T	W	L
0	0	4	0	14-12-15	
1	1				
2	1				
3					
4					
stage 3					
channel no.	S	M	T	W	L
0	1				
1					

2					
3					
4					

We can reconstruct a vector only when the master channel vector is already reconstructed at the stage. Figure 11.13 shows the iterative process that ensures perfect reconstruction of all channel vectors.

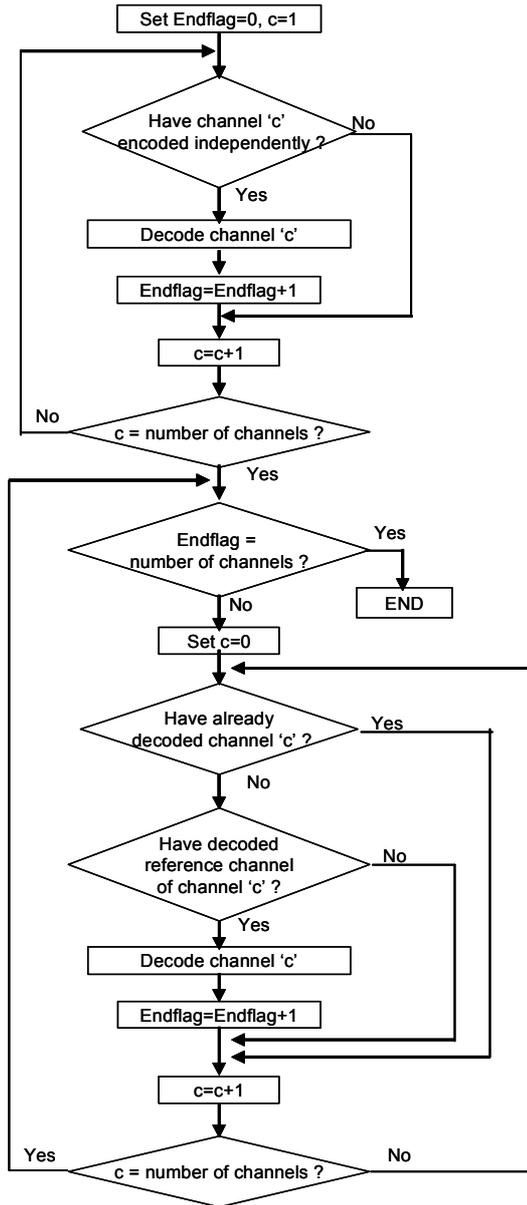


Figure 11.13 — Process that ensures perfect reconstruction of all channel vectors

### 11.6.9 Extension for Floating-Point Data

In addition to integer audio signals, MPEG-4 ALS also supports lossless compression of audio signals in the IEEE 32-bit floating-point format.

11.6.9.1 Encoder for Floating-Point Data

If the input signal is 32-bit floating-point, input values are decomposed as shown in Figure 11.14 into three parts: An estimated common multiplier  $A$ , a truncated integer multiplicand sequence  $Y$ , and a difference signal  $Z$ . The same compression scheme as for normal integer input is applied for the truncated and normalized integer multiplicand sequence. When the estimated common multiplier  $A$  equals 1.0, only the necessary length code of the mantissa is extracted and packed for the difference sequence. The necessary word length is uniquely determined by the value of the corresponding integer. The 23 or fewer bits of the difference-mantissa signal  $Z$  are encoded using the Masked-LZ compression module except when  $y$  equals 0. If the integer  $y$  equals 0, all 32 bits of data  $x$  are coded with the module separately. In all cases, the ALS compression module is utilized for the truncated integer sequence  $Y$ .

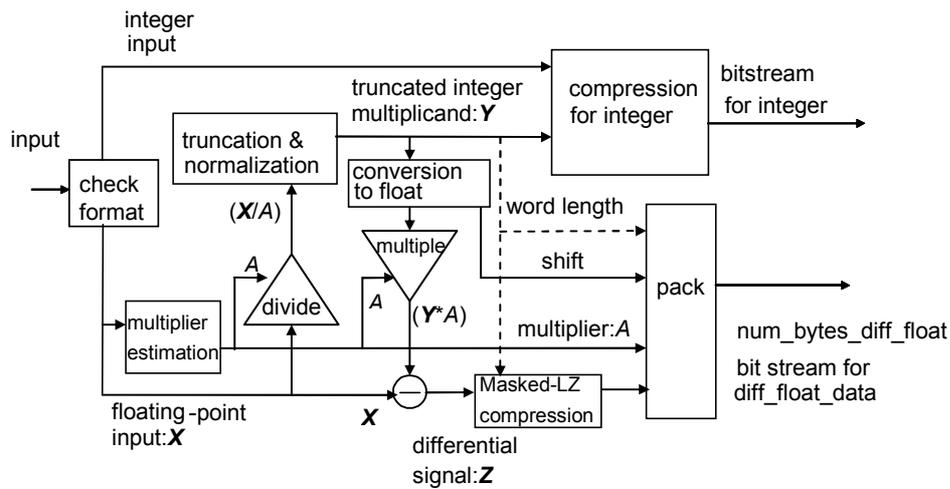


Figure 11.14 — Integrated lossless encoder for integer and floating-point data

11.6.9.2 Decoder for Floating-Point Data

In case of floating-point data, the integer multiplicand sequence  $Y$  is reconstructed and the multiplier  $A$  is multiplied to get the floating-point sequence  $(Y*A)$ . The rounding mode "round to nearest, to even when tie" is used for round-off operation of the multiplication. And the difference sequence is decoded by the Masked-LZ decompression module and converted to a floating-point format sequence  $Z$ . Part-A and Part-B are decoded separately and aligned for reconstruction. If multiplier  $A$  equals 1.0, the difference sequence is decoded using the word-length information, which is defined from the value of the corresponding integer value. Additional bits longer than the necessary bit length are cut off (thrown away) since they are dummy bits added by the encoder. Both sequences,  $(Y*A)$  and  $Z$ , are summed to generate the output floating-point sequence. The integrated decoder is shown in Figure 11.15.

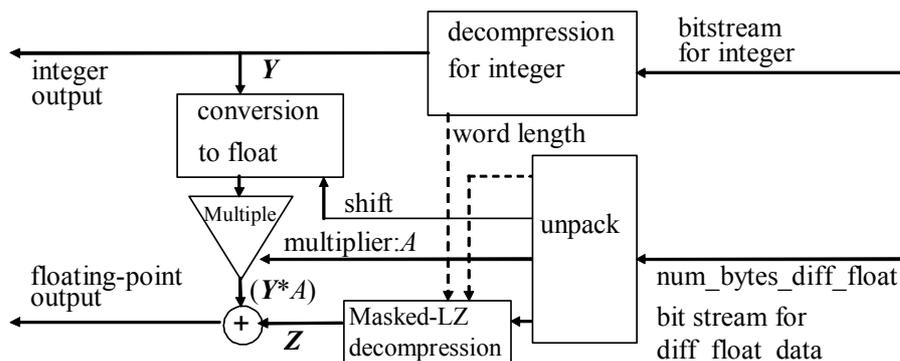


Figure 11.15 — Integrated lossless decoder for integer and floating-point bitstream

11.6.9.3 Bitstream Decoding for Floating-Point Data

In case of floating-point data, we need to decode the difference sequence as well as the integer bitstream above. We can make use of the property that the word boundary of each difference sample is uniquely determined by the absolute value of the associated truncated integer. At the final stage of the decoding process, both sequences from integer and the difference mantissa are summed to reconstruct the sequence in floating-point format.

11.6.9.3.1 Decoding the data size of the difference element

In the process called `frame_data`, `num_bytes_diff_float` is decoded. The following `num_bytes_diff_float` bytes are the compressed difference data.

11.6.9.3.2 Decoding the difference element

11.6.9.3.2.1 Initialize variables

At the first frame or random access frames, some variables should be initialized as follows.

`last_acf_mantissa[c]` is set to 0. `last_shift_value[c]` is set to 0.

In `FlushDict()`, `freeze_flag` is set to 0, `code_bits` is set to 9, `bump_code` is set to 511, `next_code` is set to 258.

Table 11.44 — Initial values

Variable	Initial value	Description
<code>last_acf_mantissa[c]</code>	0	This means common multiplier is 1.0
<code>last_shift_value[c]</code>	0	
<code>freeze_flag</code>	0	Initialized in <code>FlushDict()</code> .
<code>code_bits</code>	9	Initialized in <code>FlushDict()</code> .
<code>bump_code</code>	511	Initialized in <code>FlushDict()</code> .
<code>next_code</code>	258	Initialized in <code>FlushDict()</code> .

11.6.9.3.2.2 Normalization parameters

Prior to the decoding of the difference signal, normalization parameters shall be decoded in following manner.

First, `use_acd` is decoded. If `use_acf` is not 0, `acf_flag[c]` is decoded for each channel. If `acf_flag[c]` is not 0, `acf_mantissa[c]` is decoded. If `acf_flag[c]` equals 0, the same value of `last_acf_mantissa[c]` is set to `acf_mantissa[c]`. When `use_acf` equals 0, `last_acf_mantissa[c]` and `acf_mantissa[c]` are set to 0. That means the common multiplier is initialized to 1.0.

In addition, for each channel, `highest_byte[c]`, `partA_flag[c]` and `shift_amp[c]` are decoded. When `partA_flag[c]` is 0, the values of all samples in Part-A are all zeros, or there is no sample for which the corresponding truncated integer equals 0. If `shift_amp[c]` equals 0, the value of `last_shift_value[c]` is copied to `shift_value[c]`. Otherwise `shift_value[c]` is decoded from the bitstream. The final floating-point output sequence reconstructed from the truncated integer is denormalized by adding `shift_value[c]` to the exponent of the output data.

After all, `last_acf_mantissa[c]` and `last_shift_value[c]` are updated with current values of `acf_mantissa[c]` and `shift_value[c]`.

#### 11.6.9.3.2.3 Difference of mantissa (when `acf_mantissa[c]` equals 0)

If `acf_mantissa[c]` equals 0, the difference mantissa is reconstructed in the following manner.

The difference sequence in a frame is packed. Each sample has a unique word length of actual information, where the length is determined by the value of corresponding integer. Each difference value of mantissa, `D[c][n]` is reconstructed as follows.

The bit stream for reconstruction of the difference value is separated into two parts (Part-A and Part-B). Part-A contains the samples needed to code all 32 bits. These are the samples for which the corresponding truncated integer `y` equals 0. If the truncated integer value is zero, the original floating-point data is retrieved.

If the `partA_flag[c]` equals 0, all values in Part-A are zeros or there is no samples in Part-A. When the `partA_flag[c]` is not zero, there are samples in the Part-A and `compressed_flag` should be read. If the `compressed_flag[c]` equals 0, all bit streams are packed uncompressed. If the `compressed_flag[c]` equals 1, samples of Part-A are compressed using the Masked-LZ compression scheme. In that case, Masked-LZ decompression is applied for the bit stream in Part-A.

Part-B contains samples for which corresponding truncated integer `y` is not 0. The `compressed_flag[c]` for the Part-B should be read prior to decoding the bit stream in Part-B. If the `compressed_flag[c]` equals 0, the bit stream is packed uncompressed. If the `compressed_flag[c]` equals 1, samples of Part-B are compressed using the Masked-LZ compression scheme. In that case, Masked-LZ decompression is applied for the bit stream in Part-B.

Necessary bits of these samples are varied from 0 to 23 bits depending on `highest_byte[c]`, `acf_mantissa[c]` and corresponding truncate integer `y`.

The highest bit length of the difference to be coded, `nbits[c][n]`, is defined as follows, where `word_length[c][n]` is shown in Table 11.45:

$$nbits[c][n] = \min(\text{word\_length}[c][n], \text{highest\_byte} * 8);$$

Note that “`highest_byte[c]`” denotes the highest byte length of all values of difference of mantissa in a frame. “`highest_byte[c]`” is 0 if the original floating-point data has 16-bit integer accuracy.

**Table 11.45 — Necessary word length for difference of mantissa (when `acf_mantissa[c]` equals 0)**

Condition of <code>acf_mantissa[c]</code>	Range of absolute integer value <code> x </code>	<code>word_length</code>
<code>acf_mantissa[c] == 0</code>	<code> x  = 0</code>	32
(common multiplier equals 1.0)	$2^n \leq  x  < 2^{(n+1)}$ ( $0 \leq n < 23$ )	23-n

#### 11.6.9.3.2.4 Difference of mantissa (when `acf_mantissa[c]` is not 0)

If `acf_mantissa[c]` is not 0, the difference mantissa is reconstructed in the following manner.

The difference sequence in a frame is packed. Each sample has a unique word length of actual information, where the length is determined by the value of the corresponding integer. Each difference value of the mantissa, `D[c][n]` is reconstructed as follows.

The bit stream for reconstruction of the difference value is separated into two parts (Part-A and Part-B). Part-A contains the samples needed to code all 32 bits. These are the samples for which the corresponding truncated integer  $y$  equals 0. If the truncated integer value is zero, the original floating-point data is retrieved.

If the `partA_flag[c]` equals 0, all values in Part-A are zeros or there are no samples in Part-A. When the `partA_flag[c]` is not zero, there are samples in the Part-A and `compressed_flag` should be read. If the `compressed_flag[c]` equals 0, all bit streams are packed uncompressed. If the `compressed_flag[c]` equals 1, samples of Part-A are compressed using the Masked-LZ compression scheme. In that case, Masked-LZ decompression is applied for the bit stream in Part-A.

Part-B contains samples for which corresponding truncated integer  $y$  is not 0. The `compressed_flag[c]` for the Part-B should be read prior to decoding bit stream in Part-B. If the `compressed_flag[c]` equals 0, the bit stream is packed uncompressed. If the `compressed_flag[c]` equals 1, samples of Part-B are compressed using the Masked-LZ compression scheme. In that case, Masked-LZ decompression is applied for the bit stream in Part-B. Necessary bits of these samples are varied from 0 to 23 bits depending on `highest_byte[c]`, `acf_mantissa[c]` and corresponding truncate integer  $y$ .

The highest bit length of the difference to be coded, `nbits[c][n]`, is defined as follows, where `word_length[c][n]` is shown in Table 11.46.

$$nbits[c][n] = \min(\text{word\_length}[c][n], \text{highest\_byte}[c]*8);$$

Note that “`highest_byte[c]`” denotes the largest byte length of all values of the difference mantissa in a frame. “`highest_byte[c]`” is 0 if the original floating-point data has 16-bit integer accuracy.

**Table 11.46 — Necessary word length for difference of mantissa (when `acf_mantissa[c]` is not 0)**

Condition of <code>acf_mantissa[c]</code>	Range of absolute integer value $ y $	<code>word_length</code>
<code>acf_mantissa[c] != 0</code>	$ y  = 0$	32
(common multiplier is not 1.0)	$ y  != 0$	23

**11.6.9.3.2.5 Masked-LZ decompression**

Masked-LZ compression is one kind of dictionary-based compression scheme.

It is very similar to other Lempel-Ziv compression variants, such as the LZW compression scheme, i.e., there is a dictionary of previously encountered strings. The longest match string of input characters is searched using the string stored in the dictionary.

The range of the `code_bits` is varied from 9 to 14 bits, since the index of the dictionary is coded as 9 to 15 bits depending on the number of the entries stored in the dictionary. Bump codes and a Flush code are used for the synchronization of the dictionary in the encoder and decoder (See Table 11.47). The `bump_code` is first set to 511 and increased depending on the entries stored in the dictionary.

The decoder reads (`code_bits`) bits from bit stream, and gets `string_code`. When `string_code` is `FLUSH_CODE` or `MAX_CODE`, the dictionary and variables related to the dictionary must be re-initialized with initial values (See Table 11.44). When `string_code` is `FREEZE_CODE`, the decoder stops adding a new entry to the dictionary until it encounters a random access frame or receives the `FLUSH_CODE`.

**Table 11.47 — Special index codes of Masked-LZ**

range of <code>string_code</code>	special index codes	value
$9 \leq \text{code\_bits} \leq 15$	<code>FLUSH_CODE</code>	256

(0 ≤ stringCode < 32768)	FREEZE_CODE	257
	FIRST_CODE	258
	BUMP_CODE	(2 <sup>code_bits</sup> ) - 1
	MAX_CODE	2 <sup>15</sup> - 1

The algorithm for the Masked-LZ decompression is given below.

```
// Masked-LZ decompression.

long n, i, readBits, string_code, last_string_code, charCode;
unsigned long dec_chars

last_string_code = -1;
for ( dec_chars = 0; dec_chars < nchars; ) {
    readBits = inputCode( &string_code, code_bits );
    if ( string_code == FLUSH_CODE ) || ( string_code == MAX_CODE ) {
        FlushDict();
        last_string_code = -1;
    }
    else if ( string_code == FREEZE_CODE ) {
        freeze_flag = 1;
    }
    else if ( string_code == bump_code ) {
        code_bits++;
        bump_code = bump_code * 2 + 1;
    }
    else {
        if ( string_code >= next_code ) {
            dec_chars += decodeString( &dec_buf[dec_chars], last_string_code, &charCode );
            dec_chars += decodeString( &dec_buf[dec_chars], charCode, &charCode );
            setNewEntryToDict( next_code, last_string_code, charCode );
            next_code ++;
        }
        else {
            dec_chars += decodeString(&dec_buf[dec_chars], string_code, &charCode);
            if ( ( dec_chars <= nchars ) && ( last_string_code != -1 ) && ( freeze_flag == 0 ) ) {
                setNewEntryToDict( next_code, last_string_code, charCode );
                next_code ++;
            }
        }
        last_string_code = string_code;
    }
}
}
```

**Note:** The inputCode() function reads the "code\_bits" number of bits from the encoded bitstream and returns a string\_code. The decodeString() function takes a string\_code as an input value and returns the decoded character string related to the string\_code by searching the dictionary, the number of characters in the decoded string and a code of the first character of the string, charCode. The setNewEntryToDict() function takes a last\_string\_code and a charCode, and sets them to a vacant entry of the dictionary represented as the next\_code. The FlushDict() function clears all entries of the dictionary and initializes the related values of the dictionary. In the above pseudo code, "dec\_buf" is the buffer to store decoded characters, and "nchars" is the number of characters that need to be decoded. In FlushDict(), "code\_bits" is set to 9, "bump\_code" is set to 511 and "freeze\_flag" is set to 0.

After input characters are decoded from string\_code, those characters are converted into difference values of the mantissa, D[c][n].

If  $\text{nbits}[c][n]$  is not a multiple of 8, which is the word size of characters used in the Masked-LZ module, this means that dummy bits were added at the encoder side.

Additional bits longer than the  $\text{nbits}[c][n]$  are cut off (thrown away) using the following algorithm:

```
// reconstruction of difference values from decoded characters.
long n, i, nbits_aligned;
unsigned long acc, j;
j = 0;
for (n = 0; n < frame_length; n++) {
    if ( !int_zero[c][n] ) {
        if ( nbits[c][n] % 8 > 0 )
            nbits_aligned = 8 * ((unsigned int)(nbits[c][n] / 8) + 1);
        else
            nbits_aligned = nbits[c][n];
        acc = 0;
        for ( i = 0; i < nbits_aligned / 8; i++ )
            acc = ( acc << 8 ) + dec_buf[j++];
        acc >>= ( nbits_aligned - nbits[c][n] ); // throw away dummy bits added by the encoder.
        D[c][n] = acc;
    }
}
```

**Note:** "int\_zero" is true if the corresponding truncated integer is 0. "nbits[c][n]" is the necessary word length for the difference of mantissa. "dec\_buf[]" is the buffer to store decoded characters.

### 11.6.9.3.3 Reconstruction of floating-point data

#### 11.6.9.3.3.1 Reconstruction of floating-point data (when $\text{acf\_mantissa}[c]$ is 0)

Truncated integer values are converted to 32-bit floating point data  $F[c][n]$  according to the definition of the IEEE 32-bit floating-point format, with a normalization in terms of the maximum integer value ( $2^{23}$ )

$$F[c][n] = (\text{float}) (\text{truncated\_integer\_value}) * 2^{-23}$$

The exponent field of  $F[c][n]$  is  $\text{shift\_value}[c]$ , if  $\text{shift\_amp}[c]$  is 1.

Instead of doing a floating-point addition, the difference between samples in IEEE 32-bit floating-point that is generated in a byte-wise manner so that perfect reconstruction of the audio data can always be guaranteed. If the integer is "0", the coded floating-point data is used as is for the final output. Otherwise, the reconstructed difference value of mantissa  $D[c][n]$  is added to the mantissa of the floating-point data  $F[c][n]$  converted from the integer value. There is no need to modify the sign nor the exponent field of  $F[c][n]$ .

#### 11.6.9.3.3.2 Reconstruction of floating-point data (when $\text{acf\_mantissa}[c]$ is not 0)

Truncated integer values are converted to 32-bit floating point data  $F[c][n]$  according to the definition of the IEEE 32-bit floating-point format, with a normalization in terms of the maximum integer value ( $2^{23}$ )

$$F[c][n] = (\text{float}) (\text{truncated\_integer\_value}) * 2^{-23}$$

The exponent field of  $F[c][n]$  is  $\text{shift\_value}[c]$ , if  $\text{shift\_amp}[c]$  is 1.

If the integer is "0", the coded floating-point data is used as is for the final output. Otherwise, the reconstructed difference value of the mantissa  $D[c][n]$  is added to the mantissa of the floating-point data  $F[c][n]$  which is converted from the integer value and multiplied by common multiplier. The procedures of the multiplication and the addition are as follows.

**11.6.9.3.3.3 Multiplication of the common multiplier**

After conversion, the common multiplier  $A$  is reconstructed from  $acf\_mantissa[c]$  and multiplied to  $F[c][n]$ , and the result is set to  $F[c][n]$ . The computing procedure of multiplication is as follows.

Step 1: Sign bit setting:

The sign of the result is the same as that of  $F[c][n]$ .

Step 2: Multiplication of mantissa:

$(acf\_mantissa[c] \mid 0x0800000)$  is multiplied to  $(\text{mantissa bits of } F[c][n] \mid 0x0800000)$  in a 64-bit integer register.

Step 3: Normalization:

The result of 64-bit integer multiplication is normalized to 23-bit precision.

Since  $1.0 \leq (acf\_mantissa[c] \mid 0x0800000) \cdot 2^{-23}$ ,  $(\text{mantissa part of } F[c][n] \mid 0x0800000) \cdot 2^{-23} < 2.0$ , the result of the multiplication is in the range  $[1, 4)$ .

Consequently, it might be necessary to normalize by shifting one bit to the right and incrementing the exponent.

Step 4: Rounding;

The rounding mode "round to nearest, to even when tie" is applied to round off the normalized mantissa of the result.

**11.6.9.3.3.4 Addition of difference value of mantissa**

After the multiplication, the reconstructed difference value of the mantissa  $D[c][n]$  is added to the floating-point data  $F[c][n]$ , and the result is set to  $F[c][n]$ . The computing procedure of addition is as follows.

Step 1: Addition of mantissa:

$(D[c][n])$  is added to  $(\text{mantissa bits of } F[c][n] \mid 0x0800000)$  in a 32-bit integer register.

Step 2: Normalization:

The result of 32-bit integer addition is normalized to 23-bit precision.

Since  $(D[c][n]) \cdot 2^{-23} < 1.0$ , and  $1.0 \leq (\text{mantissa part of } F[c][n] \mid 0x0800000) \cdot 2^{-23} < 2.0$ , the result of the multiplication is in the range  $[1, 3)$ .

Consequently, it might be necessary to normalize by shifting one bit to the right and incrementing the exponent.

Step 4: Truncation:

The rounding is not needed for this addition because whenever shifting occurs, the LSB of the resulting mantissa equals 0.



## Contents for Subpart 12

12.1	Scope .....	2
12.2	Terms and definitions .....	2
12.2.1	Definitions .....	2
12.2.2	Notations .....	3
12.2.3	Definitions .....	3
12.3	Payloads for the audio object .....	3
12.4	Semantics .....	6
12.5	SLS decoder tool .....	7
12.5.1	Overview .....	7
12.5.2	Oversampling technique .....	8
12.5.3	SLS with Scalable AAC Core .....	9
12.5.4	Decoding of lle_single_channel_element (LLE_SCE) and lle_channel_pair_element (LLE_CPE) .....	10
12.5.5	Decoding of lle_data .....	14
12.5.6	Compensation for IntMDCT residual for early terminating BPGC/CBAC decoding .....	23
12.5.7	Inverse Error Mapping .....	23
12.5.8	Integer Mid/Side process .....	24
12.5.9	Integer Temporal Noise Shaping (IntTNS) .....	25
12.5.10	IntMDCT and Inverse IntMDCT .....	27
12.5.11	Computation of table values based on compact tables .....	44
Annex 12.A	(Informative) Encoder Description .....	47
12.A.1	Overview .....	47
12.A.2	Integer MDCT .....	48
12.A.3	Grouping and Interleaving .....	48
12.A.4	Integer Mid/Side .....	49
12.A.5	Normalize before AAC Coding .....	49
12.A.6	Error Mapping .....	50
12.A.7	BPGC/CBAC Encoder .....	50
12.A.8	Method of bitstream truncation by re-parsing the bitstream .....	53
Annex 12.B	(Normative) Tables .....	55
12.B.1	Tables for pre-defined fixed-point coefficients .....	55

## Subpart 12: Scalable lossless coding

### 12.1 Scope

This subpart of ISO/IEC 14496-3 describes the MPEG-4 scalable lossless coding algorithm for audio signals. This description partially relies on the specification as given in subpart 4.

### 12.2 Terms and definitions

#### 12.2.1 Definitions

The following definitions are used in this document.

Core Layer	The MPEG-4 GA T/F coder used as the first layer in SLS . The audio object types AAC LC, AAC Scalable (without LTP), ER AAC LC, ER AAC Scalable and ER BSAC are supported.
LLE Layer	Lossless enhancement layer used in SLS to enhance the quality of the core layer towards lossless coding.
Bit-Plane	Position of specific bit in binary data word, starting with 0 as the position of the least significant bit (LSB). For example, the binary bit-plane symbols from bit-plane 0, 1, 2, and 3 of data word 0x0011 1101 (0x3d) are 1, 0, 1, and 1 respectively.
BPGC	Bit-Plane Golomb Code
CBAC	Context Based Arithmetic Code
LEMC	Low Energy Mode Code
Implicit Band	A scale factor band for which the quantized spectral data presented in the core layer bit-stream will be used in determining part of the necessary side information for the LLE layer.
Explicit Band	A scale factor band for which the quantized spectral data presented in the core layer bit-stream will not be used in determining the necessary side information for the LLE layer. All the side information will be coded explicitly in the LLE payload.
Oversampling Factor (osf)	Ratio between sampling rates of LLE Layer and Core Layer, possible values are 1, 2 and 4.
Oversampling Range	High frequency range covered only by the LLE Layer, comprises $(osf-1)*1024$ resp. $(osf-1)*128$ frequency values per window.
Reserved	All fields labelled <i>Reserved</i> are reserved for future standardization. All Reserved fields must be set to zero.

## 12.2.2 Notations

In order to make the description stringent, the following notations are used in this document:

- Vectors are indicated by bold lower-case names, e.g. **vector**.
- Matrices (and vectors of vectors) are indicated by bold upper-case single letter names, e.g. **M**.
- Variables are indicated by italics, e.g. *variable*.
- Functions are indicated as *func(x)*

## 12.2.3 Definitions

$\text{DIV}(m,n)$  Integer division with truncation of the result of  $m/n$  to an integer value towards  $-\infty$ .

$\lfloor \cdot \rfloor$  The floor operation. Returns the largest integer that is less than or equal to the real-valued argument.

## 12.3 Payloads for the audio object

**Table 12.1 — Syntax of SLSSpecificConfig**

Syntax	No. of bits	Mnemonics
<pre> SLSSpecificConfig(samplingFrequencyIndex,                   channelConfiguration,                   audioObjectType) {   <b>pcmWordLength</b>;   <b>aac_core_present</b>;   <b>lle_main_stream</b>;   <b>reserved_bit</b>;   <b>frameLength</b>;   if (!channelConfiguration){     program_config_element();   } } </pre>	<p>3</p> <p>1</p> <p>1</p> <p>1</p> <p>3</p>	<p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p> <p>uimbsf</p>

**Table 12.2 — Top layer payload for lle stream**

Syntax	No. of bits	Mnemonics
<pre> lle_element() {   for (ch=0;ch&lt;channel_number;) {     if (is_channel_pair(ch)) {       lle_channel_pair_element();       ch += 2;     } else {       lle_single_channel_element();       ch++;     }   } } </pre>		



Table 12.6 — Syntax of `Ile_header()`

Syntax	No. of bits	Mnemonics
<pre> Ile_header(is_first_channel) {   if (Ile_channel_pair_element &amp;&amp; common_window &amp;&amp;       is_first_channel) {     <b>use_stereo_intmdct;</b>   }   if (aac_core_present) {     <b>band_type_signaling;</b>     if (<b>band_type_signaling</b>==1) {       for(g=0;g&lt;num_window_groups;g++) {         for(sfb=0;sfb&lt;max_sfb;sfb++) {           <b>band_type</b>[g][sfb];         }       }     }   }   } else {     if (is_first channel) {       <b>windows_sequence;</b>     }   } } </pre>	1	uimsbf
	2	uimsbf
	1	uimsbf
	2	uimsbf

Table 12.7 — Syntax of `Ile_side_info`

Syntax	No. of bits	Mnemonics
<pre> Ile_side_info() {   For(g=0;g&lt;num_window_groups;g++) {     for(sfb=0;sfb&lt;num_sfb+num_osf_sfb;sfb++) {       if (band_type[g][sfb]==Explicit_Band) {         <b>vcod_dpcm_max_bp</b>[g][sfb]       }       if (max_bp[g][sfb] != -1) {         <b>vcod_lazy_bp</b>[g][sfb]       }     }   }   <b>cb_cbac</b> } </pre>	1...17	bslbf
	1... 2	bslbf
	1	uimsbf

Table 12.8 — Syntax of `Ile_data`

Syntax	No. of bits	Mnemonics
<pre> Ile_data() {   <b>BPGC/CBAC data</b>   <b>LEMC data</b> } </pre>	varies	bslbf
	varies	bslbf

12.4 Semantics

Data elements:

- aac\_core\_present** Indicates, whether the lossless enhancement operates on top of an MPEG-4 GA T/F core (*aac\_core\_present=1*) or in non-core mode (*aac\_core\_present=0*).
- lle\_main\_stream** Indicates, whether the current stream represents an LLE main stream including all the necessary side information or an LLE extension stream that extends the previous LLE stream.
- pcmWordlength** Quantization word length of the original PCM waveform.

**Table 12.9 — Word length of original PCM waveform**

pcmWordlength	Word length of original PCM waveform
0	8
1	16
2	20
3	24
4 – 7	Reserved

- frameLength** Length of the IntMDCT frame in the LLE layer.

**Table 12.10 — Length of the IntMDCT frame**

frameLength	Length of the IntMDCT frame	Oversampling factor of the IntMDCT filterbank (osf)
0	1024	1
1	2048	2
2	4096	4
3-7	Reserved	Reserved

- element\_instance\_tag** Unique instance tag for syntactic elements. All syntactic elements containing instance tags may occur more than once, but must have a unique *element\_instance\_tag* in each audio frame. When the MPEG-4 GA T/F core is present, syntactic elements of SLS and MPEG-4 GA T/F from the same audio channel use the same *element\_instance\_tag*.
- lle\_ics\_length** Length of LLE individual channel stream (LLE\_ICS) for the current frame; in bytes.
- band\_type\_signaling** By default, the band type for a scale factor band is defined as follows: A scale factor band that is in a section coded with the zero codebook (ZERO\_HCB), Intensity Stereo (IS) coded, or Perceptual Noise Substitution (PNS) coded is an *Explicit\_Band*. Otherwise it is an *Implicit\_Band*.  
  
Scale factor bands above *max\_sfb* and in the oversampling range are always *Explicit\_Band*.  
  
This default band type can be overwritten by *band\_type\_signaling* in the following way:

Table 12.11 — Band type signaling

Value of <b>band_type_signaling</b>	<b>band type</b>
00	Use default
01	Band type signaling for each sfb follows
10	All sfb are Explicit_Band
11	Reserved

**band\_type[g][sfb]** Band type signaling for each scale factor band when `band_type_signaling==01`. A scale factor band is set to `Explicit_Band` if `band_type[g][sfb]` is 0.

Table 12.12 — Band type

Value	Band type
0	Explicit_Band
1	Default

**vcod\_dpcm\_max\_bp[g][sfb]** The variable length coded maximum bit-plane for scale factor band *sfb* and group *g*.

**vcod\_lazy\_bp[g][sfb]** The variable length coded lazy bit-plane for non-zero scale factor band *sfb* and group *g*.

**cb\_cbac** Indication of frequency table that will be used in the LLE decoding process.

Table 12.13 — cb\_cbac table

cb_cbac	Frequency table
0	BPGC
1	CBAC

**bpgc/cbac\_data** The binary bit-stream of the bpgc/cbac coded residual spectrum data

**low\_energy\_mode\_data** The binary bit-stream of the LEMC mode coded residual spectrum data

## 12.5 SLS decoder tool

### 12.5.1 Overview

The block diagram of the scalable lossless (SLS) decoder is given in Figure 12.1. The core layer MPEG-4 GA stream is decoded by a deterministic Core Layer decoder. Its output, which is a deterministic spectrum in the MDCT domain, is sent to the inverse error mapping process. Meanwhile, the residual IntMDCT spectrum, carried in the LLE layer streams, is decoded and sent to the inverse error mapping process to reconstruct the IntMDCT spectrum. An inverse integer Mid/Side (M/S) and an inverse integer TNS process are then invoked and performed on the IntMDCT coefficients if necessary. Finally, its output is inversely transformed by using the inverse IntMDCT process to produce the PCM audio samples. A detailed description of each process is given in the subsequent sections.

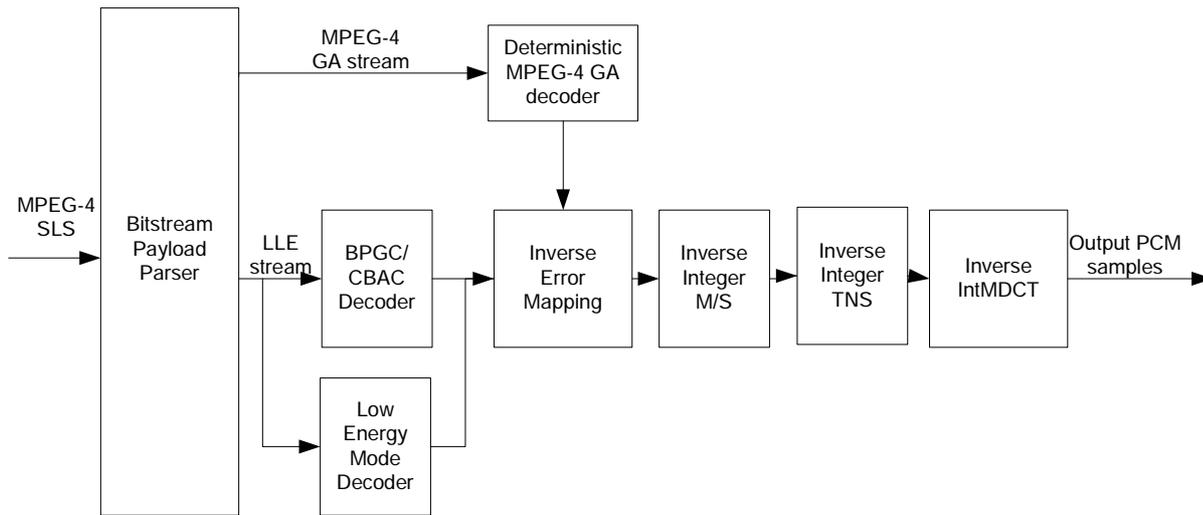


Figure 12.1 — SLS decoder block diagram

12.5.2 Oversampling technique

The core layer is allowed to operate at a lower sampling rate than the LLE layers. The following Table shows some possible sampling rate combinations.

Table 12.14 — Example combinations of sampling rates for Core and LLE layers

	Core@ 48 kHz	Core@ 96 kHz	Core@ 192 kHz
LLE@ 48 kHz	X (osf = 1)		
LLE@ 96 kHz	X (osf = 2)	X (osf = 1)	
LLE@ 192 kHz	X (osf = 4)	X (osf = 2)	X (osf = 1)

This technique is referred to as “Oversampling” in the following.

The scalability of the codec using different sampling rates is achieved by changing the length of the inverse IntMDCT in the decoder accordingly. While the AAC core processes 1024 values in each frame, the SLS codec needs to process  $osf \cdot 1024$  values per frame. This is achieved by extending the length of the inverse IntMDCT in the decoder to  $osf \cdot 1024$  spectral lines. The 1024 inverse quantized spectral values from the AAC core are added to the 1024 low-frequency values of the SLS residual spectrum. This is illustrated in Figure 12.2.

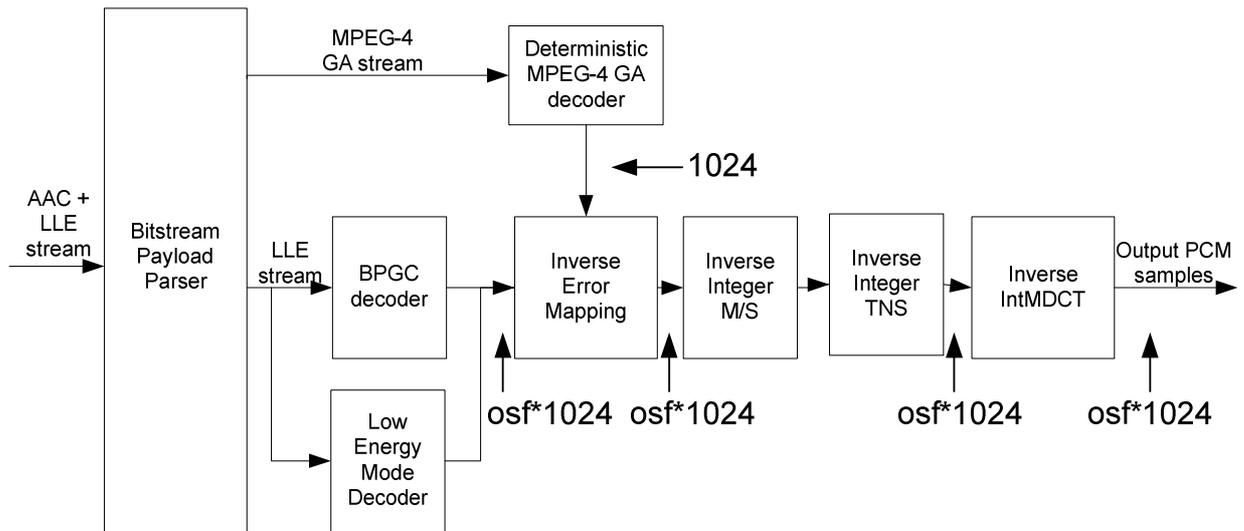


Figure 12.2 — Structure of SLS decoder with oversampling

### 12.5.3 SLS with Scalable AAC Core

If the core layer is AAC Scalable, the spectral data decoded from the SLS layers are added to the spectral data decoded from the AAC Scalable streams with a deterministic inverse AAC quantizer. The resulting spectral data is then processed with inverse integer M/S and inverse integer TNS process if necessary. Finally, the output is transformed by the inverse IntMDCT to produce the PCM audio samples. The decoding process is illustrated in Figure 12.3.

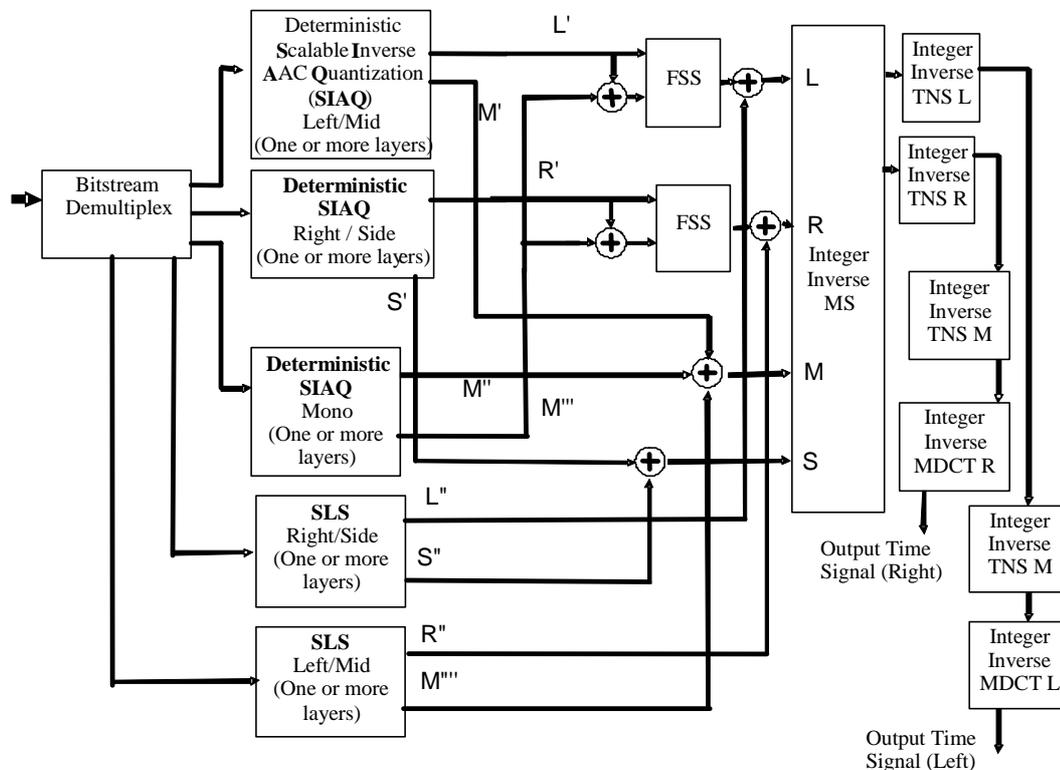


Figure 12.3 — Structure of SLS decoder with Scalable AAC core layer streams

12.5.3.1 Non-core Mode

In the non-core mode SLS works as a stand-alone codec without AAC core. In case of the SLS audio object type this is signalled by **aac\_core\_present=0** for the non-core mode and **aac\_core\_present=1** for the core-based mode. In case of the SLS non-core audio object type it is always **aac\_core\_present=0**.

In the non-core mode the following default values are used:

- window\_shape = 0 (sine window)
- if (lle\_channel\_pair\_element) common\_window = 1 (on)
- if (use\_stereo\_intmdct) all M/S flags are on, else all M/S flags are off
- if (window\_sequence == EIGHT\_SHORT\_SEQUENCE) grouping = {2,2,2,2}

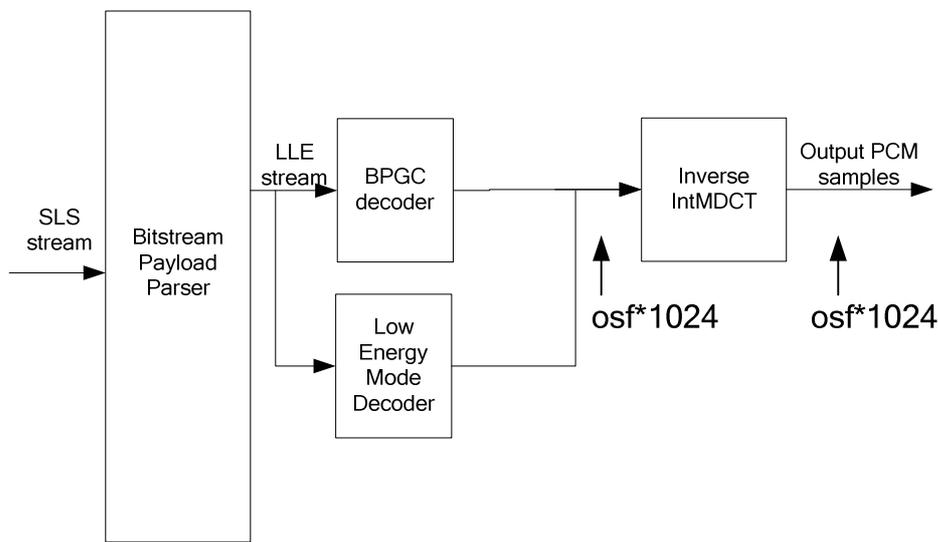


Figure 12.4 — SLS non-core decoder block diagram

12.5.4 Decoding of lle\_single\_channel\_element (LLE\_SCE) and lle\_channel\_pair\_element (LLE\_CPE)

12.5.4.1 Definitions

lle_ics_length	Length of LLE individual channel stream (LLE_ICS) in bytes.
vcod_dpcm_max_bp[g][sfb]	The variable length coded maximum bit-plane for scale factor band <i>sfb</i> and group <i>g</i> . This element is only present for insignificant scale factor bands.
vcod_lazy_bp[g][sfb]	The variable length coded lazy bit-plane for non-zero scale factor band <i>sfb</i> and group <i>g</i> .
g	Group index.
sfb	Scale factor band within group.
win	Window index.

bin	Frequency bin index.
num_window_groups	Number of groups of windows which share one set of scale factors.
num_sfb	Number of scale factor bands per short window in case of EIGHT_SHORT_SEQUENCE, number of scale factor bands for long windows otherwise.
num_osf_sfb	Number of scale factor bands per window in the oversampling range. The oversampling range is covered by (osf-1)*16 bands with a width of 64 in case of long windows resp. (osf-1)*4 bands with a width of 32 in case of short windows.
max_bp[g][sfb]	The maximum bit-plane for group <i>g</i> and scale factor band <i>sfb</i> .
lazy_bp[g][sfb]	The lazy bit-plane for group <i>g</i> and scale factor band <i>sfb</i> .
read_bits(n)	Read <i>n</i> consecutive bits from the inputs bit-stream in the order of bslbf.
quant[g][win][sfb][bin]	AAC quantized spectral data.
interval[g][win][sfb][k]	Quantization intervals in the core AAC encoder.

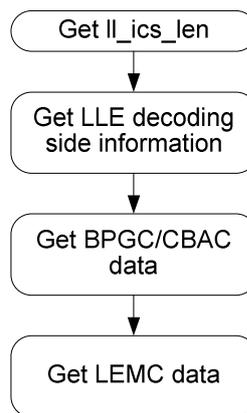
**12.5.4.2 Decoding Process**

**12.5.4.2.1 LLE\_SCE and LLE\_CPE**

An LLE\_SCE is composed of an lle\_individual\_channel\_stream (LLE\_ICS) while an LLE\_CPE has two lle\_individual\_channel\_streams (LLE\_ICS).

**12.5.4.2.2 Decoding an LLE\_ICS**

In the LLE\_ICS, the order of the decoding process is given in the following flowchart:



**Figure 12.5 — Process of decoding LLE\_ICS**

For SLS bit-stream composed of an lle\_main stream (lle\_main\_stream = 1) and multiple (>=1) lle\_extension stream (lle\_main\_stream = 0), for each LLE\_ICS, the lle\_data() is constructed by concatenating the lle\_data() elements from the lle\_main stream, and all the available lle\_extension streams in sequences as shown in the following figure:

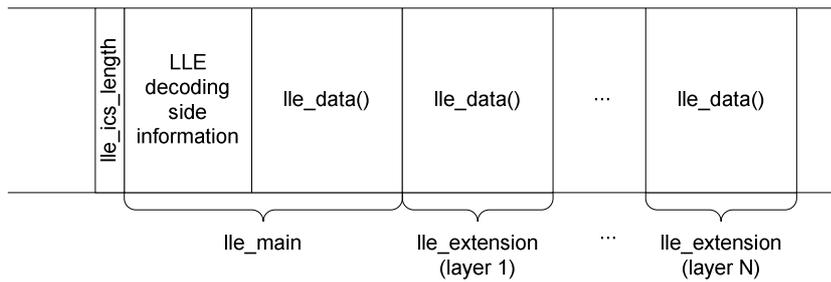


Figure 12.6 — Construction of LLE\_ICS for from multiple LLE streams

If there is an intermediate LLE\_extension stream missing, the data in lle\_data() of the subsequent streams can not be used.

12.5.4.2.3 Recovering BPGC/CBAC side information

For each scale factor band of band type Explicit\_Band, a maximum bit-plane (*max\_bp*) is transmitted. In addition, for each scale factor band, a lazy bit-plane (*lazy\_bp*) is transmitted unless the residual spectral data is all zero for this scale factor band (which is signalled by maximum bit-plane = -1). The *max\_bp* is coded using variable length coded DPCM relative to the previously transmitted maximum bit-plane. The first value in each window group is coded using 5 bits PCM. The *max\_bp* value is coded in unary representation. The following Table gives some examples of how the DPCM value of *max\_bp* is coded.

Table 12.15 — Codeword for decoding the DPCM value of *max\_bp*

DPCM <i>max_bp</i>	codeword	codeword length
0	1	1
(s)1	01(s)	3
(s)2	001(s)	4
...	...	...
(s)10	0000000001(s)	12
...	...	...

The difference between *max\_bp* and *lazy\_bp*, whose value is within the range {1, 2, 3} is decoded as follows:

Table 12.16 — Codeword for decoding the difference between *max\_bp* and *lazy\_bp*

<i>max_bp</i> - <i>lazy_bp</i>	codeword	codeword length
1	10	2
2	0	1
3	11	2

The following pseudo code illustrates the decoding process for *max\_bp* and *lazy\_bp*.

```

for (g = 0; g < num_window_groups; g++)
  init = 0;
  for (sfb = 0; sfb < num_sfb+num_osf_sfb; sfb++){
    if (band_type[g][sfb]== Explicit_Band) {
      if (!init){
        max_bp[g][sfb] = read_bits(5) - 1;
        init ++;
      }
    }
  }

```

```

else {
    m = 0;
    while (read_bits(1) == 0) m++;
    if (m) {
        if (read_bits(1)) m = -m;
    }
    max_bp[g][sfb] = m0 - m;
}
m0 = max_bp[g][sfb];
}
if (max_bp[g][sfb]>=0) {
    if (read_bits(1)==0)
        lazy_bp[g][sfb] = max_bp[g][sfb] - 2;
    else {
        if (read_bits(1)==0) lazy_bp[g][sfb] = max_bp[g][sfb] - 1;
        else lazy_bp[g][sfb] = max_bp[g][sfb] - 3;
    }
}
}
}

```

For Implicit\_Bands,  $max\_bp[g][sfb]$  is calculated from the quantization thresholds of the core layer quantizer as follows:

As the first step, the maximum bit-plane  $M$  for each residual spectral bin for significant scale factor bands can be calculated from

$$M[g][win][sfb][bin] = INT \left\{ \log_2 [interval[g][win][sfb][bin]] \right\}$$

where  $interval[g][win][sfb][bin]$  is the quantization interval that is given by:

$$interval[g][win][sfb][bin] = thr(quant[g][win][sfb][bin] + 1) - thr(quant[g][win][sfb][bin]) + 1$$

Here  $thr(x)$  and  $inv\_quant(x)$  are, respectively, the deterministic quantization threshold and the corresponding deterministic inverse quantization for AAC quantizer. They are calculated as in the following pseudo code:

```

If (x==0)
    thr(x)=0;
else
    thr(x) = (thrMantissa(|x|-1, scale_res)) << (12+scale_int);
inv_quant(x) = (invQuantMantissa(|x|, scale_res)) << (12+scale_int);

```

where

$$scale\_int = DIV(scale, 4)$$

$$scale\_res = scale - scale\_int * 4, \text{ and}$$

$$scale = scale\_factor(sfb) + core\_scaling\_factor + scale\_osf - 118.$$

The value of  $core\_scaling\_factor$  is given in Table 12.17.

**Table 12.17 — Table for *core\_scaling\_factor***

sfb Type \ Word Length	16	20	24
	Long Window (2048), M/S	0	16
Long Window (2048), non M/S	2	18	34
Short Window (256), M/S	6	22	38
Short Window (256), non M/S	8	24	40

**Table 12.18 — Table for *scale\_osf***

<i>osf</i>	1	2	4
<i>scale_osf</i>	0	2	4

The functions *thrMantissa()* and *invQuantMantissa()* are defined in 12.5.11.

For scalefactor bands coded with IS or PNS the value of *inv\_quant(x)* is set to 0.

The maximum bit-plane *max\_bp* for each *sfb* is the maximum value of *M* for spectral data that belongs to that *sfb*:

$$max\_bp[g][sfb] = \max(M[g][win][sfb][bin])$$

## 12.5.5 Decoding of *lle\_data*

### 12.5.5.1 Definitions

<i>lle_data()</i>	Part of the bit-stream which contains the coded residual spectrum data.
<i>window_group_len[g]</i>	Number of windows in each group.
<i>is_lle_ics_eof()</i>	An auxiliary function to detect the end of LLE_ICS.
<i>read_bits(n)</i>	Read <i>n</i> consecutive bits from the input bit-stream in the order of <i>bslbf</i> . If the end of LLE_ICS is reached, it returns '0' by default.
<i>cur_bp[g][sfb]</i>	The current decoded bit-plane.
<i>res[g][win][sfb][k]</i>	The reconstructed residual integer spectral data vector.
<i>amp[g][win][sfb][k]</i>	The amplitude of the reconstructed residual integer spectral data vector.
<i>sign[g][win][sfb][k]</i>	The sign of the reconstructed residual integer spectral data vector.
<i>determine_frequency()</i>	The function to determine the probability of the symbol '1' according to either the CBAC or the BPGC frequency table.
<i>ambiguity_check(f)</i>	The function to detect ambiguity for the arithmetic decoding. The argument <i>f</i> indicates the probability of the symbol '1'.
<i>terminate_decoding()</i>	The function to terminate decoding of the LLE data when ambiguity occurs.

`smart_decoding_cbac_bpgc()` The function to decode additional symbols in the absence of incoming bits in the cbac/bpgc mode decoding. This decoding continues up to the point where there exists no ambiguity. It includes *ambiguity\_check(f)* and *terminate\_decoding()*.

`smart_decoding_low_energy()` The function to decode additional symbols in the absence of incoming bits in the low energy mode decoding. It also includes *ambiguity\_check(f)* and *terminate\_decoding()*.

## 12.5.5.2 Decoding process

### 12.5.5.2.1 Overview

The residual integer spectral data vector is decoded from the LLE data stream *lle\_data()*. Firstly, all scale factor bands with *lazy\_bp* > 0 are BPGC/CBAC decoded, where the amplitude and sign of the residual spectral data *res* is bit-plane decoded starting from the maximum bit-plane *max\_bp* and progressing to lower bit-planes until bit-plane 0 for each scale factor band. Subsequently, the low energy mode decoding is invoked to decode the remaining scale factor bands with *lazy\_bp* ≤ 0.

The SLS decoder can provide the functionality of fine-grain scalability (FGS) by truncating the LLE bitstream. Moreover, it allows to decode additional symbols beyond the point of truncation by exploiting the properties of arithmetic coding.

### 12.5.5.2.2 BPGC/CBAC decoding process

The BPGC decoding or CBAC decoding process is performed on scale factor bands for which *lazy\_bp* > 0. The BPGC/CBAC bit-plane decoding process is used to decode the bit-plane symbols for reconstructing the residual integer spectral data *res*. The bit-plane decoding process is started from *max\_bp* for each sfb, and progressively proceeds to lower bit-planes. For the first NUM\_BP bit-plane scans the bit-plane symbols are arithmetic decoded as illustrated in the following pseudo code:

```

/* preparing the help element */
for (g=0;g<num_window_groups;g++){
  for (sfb = 0;sfb<num_sfb;sfb++){
    width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
    for (win = 0;win <window_group_len[g];win++) {
      for (bin=0;bin<width;bin++){
        is_sig[g][win][sfb][bin] =
          (quant[g][sfb][win][bin]&&(band_type[g][sfb]==ImplicitBand)?1:0;
        /* sign will be determined implicitly if is_sig == 1 */
        amp[g][win][sfb][bin] = 0;
        sign[g][win][sfb][bin] = 1;
      }
    }
    cur_bp[g][sfb] = max_bp[g][sfb];
  }
}

/* BPGC/CBAC decoding */
while ((max_bp[g][sfb] - cur_bp[g][sfb]<NUM_BP) && (cur_bp[g][sfb] >= 0)){
  for (g=0;g<num_window_groups;g++){
    for (sfb = 0;sfb<num_sfb;sfb++){
      if ((cur_bp[g][sfb]>=0) && (lazy_bp[g][sfb] > 0)){
        width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
        for (win=0;win<window_group_len[g];win++){
          for (bin=0;bin<width;bin++){
            if (!is_lle_ics_eof ()) {
              if (interval[g][win][sfb][bin] >
                  amp[g][win][sfb][bin] + (1<<cur_bp[g][sfb]))

```



1 (CBAC)	6
----------	---

The probability assignment  $freq$  in the above BPGC/CBAC decoding process is either the BPGC frequency  $freq\_bpgc$  or the CBAC frequency  $freq\_cbac$  depending on whether the current LLE\_ICS is decoded with the BPGC or the CBAC frequency table.  $freq\_bpgc$  is determined by the relationship of the  $cur\_bp$  to the  $lazy\_bp$  parameter as given in the following Table. The sign bits in the above decoding process are decoded with frequency 8192, i.e.,  $freq\_sign = 8192$ .

Table 12.20 —  $freq\_bpgc$  table

$cur\_bp$	BPGC frequency
$lazy\_bp+3$	64
$lazy\_bp+2$	964
$lazy\_bp+1$	3277
$lazy\_bp$	5461
$<lazy\_bp$	8192

The value  $freq\_cbac$  is determined by the context of the bit-plane symbol which is currently being decoded. There are three types of context used in CBAC which are listed in the following.

- **Context 1: frequency band (fb)**

The  $fb$  context is determined by the index of the interleaved residual IntMDCT spectral data  $c[i]$ ,  $i=0, \dots, 1024*osf-1$ , and the sampling rate of the current LLE layer as shown in the following Table.

Table 12.21 — Frequency band (fb) context [frequency bin]

Sampling Rate	44100	48000	96000	192000	Other
<b>Context no</b>					
0 (Low Band)	0 - 185	0 - 169	0 - 84	0 - 42	0 - 338
1 (Mid Band)	186 - 511	170 - 469	85 - 234	43 - 117	339 - 938
2 (High Band)	>511	>469	>234	>117	> 938

- **Context 2: significant state (ss)**

For interleaved residual IntMDCT spectral data  $c[i]$ ,  $i=0, \dots, 1024*osf-1$  that is insignificant (i.e., the bit-plane symbols of  $c[i]$  decoded so far are all zeroes) the  $ss$  context is determined by the significance of its adjacent spectral data:

$$sig\_cx(i, bp) = \{sig\_state(i-2, bp), sig\_state(i-1, bp), sig\_state(i+1, bp), sig\_state(i+2, bp)\}$$

where  $sig\_state(i, bp)$  is defined as:

$$sig\_state(i, bp) = \begin{cases} 0 & c[i] \text{ is insignificant before bitplane } bp \\ 1 & c[i] \text{ is significant before bitplane } bp \end{cases}$$

and  $sig\_state(i, bp)$  is defined as 0 if  $i$  is smaller than 0 or larger than the IntMDCT length.

For  $c[i]$  that is already significant, the  $ss$  context is determined by the band type of the scalefactor band that it is from:

$$sig\_core(i) = \begin{cases} 0 & c[i] \text{ is from an Explicit\_Band} \\ 1 & c[i] \text{ is from an Implicit\_Band} \end{cases}$$

Furthermore, for the latter case, the *ss* context is further determined according to the value of *quant\_interval(i, bp)* defined as:

$$quant\_interval(i, bp) = \begin{cases} 0 & rec\_spectrum[i] + 2^{bp+1} \leq interval[i] \\ 1 & rec\_spectrum[i] + 2^{bp} \leq interval[i] < rec\_spectrum[i] + 2^{bp+1} \end{cases}$$

The detailed context assignment of the *ss* context is summarized in the following Table:

**Table 12.22 — Significance state (SS) context**

Context no	<i>sig_state(i, cur_bp)</i>	<i>sig_cx(i, cur_bp)</i>	<i>sig_core(i)</i>	<i>quant_interval(i)</i>
0	0	{0,0,0,0}	x	x
1	0	{0,0,0,1} {1,0,0,0}	x	x
2	0	{0,0,1,0} {0,1,0,0}	x	x
3	0	{0,0,1,1} {1,1,0,0}	x	x
4	0	{0,1,0,1} {1,0,1,0}	x	x
5	0	{0,1,1,0}	x	x
6	0	{0,1,1,1} {1,1,1,0}	x	x
7	0	{1,0,0,1}	x	x
8	0	{1,0,1,1} {1,1,0,1}	x	x
9	0	{1,1,1,1}	x	x
10	1	x	0	x
11	1	x	1	0
12	1	x	1	1

• **Context 3: distance to lazy (*d2l*)**

The *d2l* context is determined by the distance of *cur\_bp* to the *lazy\_bp* parameter of the currently decoded bit-plane symbol. The detailed assignment is listed in the following Table.

**Table 12.23 — Distance to lazy (D2L) context**

Context no	<i>cur_bp – lazy_bp</i>
0	<-2
1	-2
2	-1
3	0
4	1
5	2
6	3

The frequencies *freq\_cbac* for each context are given in the following Table.

Table 12.24 — *freq\_cbac* table

<i>d2l</i> <i>fb*13+ss</i>	0	1	2	3	4	5	6
0	8192	7823	7826	6506	4817	2186	1053
1	8192	8344	7983	6440	4202	1362	64
2	8192	8399	8382	7016	4202	1234	64
3	8192	8305	7960	6365	3963	1285	64
4	8192	8335	8146	6655	3746	825	64
5	8192	8473	8244	6726	3929	927	64
6	8192	8398	7919	6098	3581	875	64
7	8192	8359	8028	6382	3459	631	64
8	8192	8192	8192	5461	3277	964	64
9	8192	8333	7481	5288	3076	732	64
10	8192	7658	6898	5145	1424	1636	64
11	8192	5471	5732	6264	4890	1279	93
12	8192	8180	8136	7897	5715	1553	64
13	8192	7242	6876	6083	3604	1214	950
14	8192	7897	7570	6583	3733	1067	900
15	8192	8071	7928	7069	4294	1406	1200
16	8192	8197	7952	6906	4050	1457	1101
17	8192	8278	8039	7094	4160	1381	64
18	8192	8307	8139	7263	4407	1555	64
19	8192	8339	8124	7065	4074	1636	64
20	8192	8213	7918	6827	3787	1161	64
21	8192	8286	8067	6902	3855	1387	64
22	8192	8336	8072	6705	3731	1558	64
23	8192	7636	6962	5036	1985	1037	64
24	8192	5519	5270	5238	4778	1588	219
25	8192	7884	7528	6743	4848	1970	64
26	8192	6084	6323	5929	3321	900	385
27	8192	7862	7618	6728	4409	1431	1302
28	8192	8078	7871	7081	5119	2371	1670
29	8192	8294	8046	7239	5218	2032	967
30	8192	8378	8119	7351	5413	1947	64
31	8192	8378	8207	7491	5624	2444	64
32	8192	8484	8302	7626	5514	2021	64
33	8192	8302	8006	7192	4941	1561	64
34	8192	8464	8246	7510	5217	1780	64
35	8192	8544	8442	7742	4944	2010	64
36	8192	7556	6771	4859	2638	2155	64
37	8192	5916	4780	4713	4239	1240	182
38	8192	7658	7095	5986	3886	1394	64

### 12.5.5.2.3 Low Energy Mode Code (LEMC) Decoding

The following pseudo code illustrates the LEMC decoding process that is performed on scale factor bands for which *lazy\_bp* ≤ 0.

```

/* low energy mode decoding */
for (g = 0; g < num_window_groups; g++){
  for (sfb = 0; sfb < num_sfb+num_osf_sfb; sfb++){
    if ((cur_bp[g][sfb] >= 0) && (lazy_bp[g][sfb] <= 0))
    {
      width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
    }
  }
}

```



**Definitions:**

```
#define CODE_WL      16
#define PRE_SHT     14
#define TOP_VALUE   (((long)1<<CODE_WL)-1)
#define QTR_VALUE   (TOP_VALUE/4+1)
#define HALF_VALUE  (2*QTR_VALUE)
#define TRDQTR_VALUE (3*QTR_VALUE)
```

**Initialization:**

```
low = 0;
high = TOP_VALUE;
value = 0;
```

**The decoding subroutine**

```
int decode(int freq)
{
    range = (long)((high-low)+1);
    cumu = ((long)((value-low)+1)<<PRE_SHT);
    if (cumu<range*freq) {
        sym = 1;
        high = low + (range*freq>>PRE_SHT)-1;
    }
    else {
        sym = 0;
        low = low + (range*freq>>PRE_SHT);
    }
    for (;;) {
        if (high<HALF_VALUE) {
        } else if (low>=HALF_VALUE) {
            value -= HALF_VALUE;
            low -= HALF_VALUE;
            high -= HALF_VALUE;
        } else if (low>=QTR_VALUE && high<TRDQTR_VALUE) {
            value -= QTR_VALUE;
            low -= QTR_VALUE;
            high -= QTR_VALUE;
        } else
            break;
        low = 2*low;
        high = 2*high+1;
        value = 2*value + read_bits(1); /*input next bit from bit-stream */
    }
    return sym;
}
```

**12.5.5.2.5 Smart Arithmetic Decoding of Truncated SLS Bitstreams**

The smart arithmetic decoding provides an efficient way to decode an intermediate layer corresponding to a given target bitrate. This algorithm exploits the fact that a decoding buffer still contains meaningful information for arithmetic decoding even if there is no bit left to be fed into the decoding buffer. The decoding process continues as long as there exists no ambiguity in determining a symbol.

The following pseudo code illustrates the algorithm to detect the ambiguity in the arithmetic decoding module. The variable *num\_dummy\_bits* represents the number of calls to evoke the function of *read\_bits(1)* in the arithmetic decoding process just after the truncation point.

```
int ambiguity_check(int freq)
{
    /* if there is no ambiguity, returns 1 */
    /* otherwise, returns 0 */
    upper = 1<<num_dummy_bits;
    decisionVal = ((high-low)*freq>>PRE_SHT)-value+low-1;
    if(decisionVal>upper || decisionVal<0) return 0;
    else return 1;
}
```

}

Either *smart\_decoding\_cbac\_bpgc()* or *smart\_decoding\_low\_energy()* is executed when *num\_dummy\_bits* is greater than 0. In order to prevent sign bit errors, the spectral value of the current spectral line should be set to zero when an ambiguity can occur while decoding a sign bit. Notice that all index variables in the smart decoding process should be carried over from the previous arithmetic decoding process.

```

smart_decoding_cbac_bpgc()
{
    /* BPGC/CBAC normal decoding with ambiguity detection */
    while ((max_bp[g][sfb] - cur_bp[g][sfb]<LAZY_BP) && (cur_bp[g][sfb] >= 0)){
        for (;g<num_window_groups;g++){
            for (;sfb<num_sfb;sfb++){
                if ((cur_bp[g][sfb]>=0) && (lazy_bp[g][sfb] > 0)){
                    width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
                    for (;win<window_group_len[g];win++){
                        for (;bin<width;bin++){
                            if (interval[g][win][sfb][bin] >
                                amp[g][win][sfb][bin] + (1<<cur_bp[g][sfb])
                            )
                            {
                                freq = determine_frequency();
                                if (ambiguity_check(freq)) {
                                    /* no ambiguity for arithmetic decoding */
                                    sym = decode(freq);
                                    amp[g][win][sfb][bin] += sym << cur_bp[g][sfb];
                                    /* decode bit-plane cur_bp*/
                                    if (!(is_sig[g][win][sfb][bin]) && (sym)) {
                                        /* decode sign bit of res if necessary */
                                        if (ambiguity_check(freq)) {
                                            sym = decode(freq);
                                            sign[g][win][sfb][bin] = (sym)? -1:1;
                                            is_sig[g][win][sfb][bin] = 1;
                                        }
                                        else {
                                            /* discard the decoded symbol prior to sign symbol */
                                            amp[g][win][sfb][bin] = 0;
                                            terminate_decoding();
                                        }
                                    }
                                }
                                else terminate_decoding();
                            }
                        }
                    }
                }
                cur_bp[g][sfb]--; /* progress to next bit-plane */
            }
        }
    }
}

smart_decoding_low_energy(int posPrev,int resPrev)
{
    /* low energy mode decoding */
    for (;g < num_window_groups; g++){
        for (; sfb < num_sfb+num_osf_sfb;sfb++){
            if ((cur_bp[g][sfb] >= 0) && (lazy_bp[g][sfb] <= 0))
            {
                width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
                for (;win<window_group_len[g];win++){
                    for (;bin<width;bin++){
                        /* Continue to decode after the end of LLE_ICS is reached */
                        res[g][sfb][win][bin] = resPrev; resPrev = 0;
                        pos = posPrev; posPrev = 0;
                        while (1) {
                            /* if ambiguity check is false, discard the spectrum is set to be 0 */
                            if(!ambiguity_check(freq)) res[g][sfb][win][bin] = 0, terminate_decoding();
                            tmp = decode(freq_silence[pos]);
                        }
                    }
                }
            }
        }
    }
}

```



and sign *sign* of the residual spectral data *res* and the quantized spectral data *quant*. Its output is the reconstructed IntMDCT spectral data *c*. The inverse error mapping procedure is described in the following:

```
res[g][win][sfb][bin]= sign[g][win][sfb][bin]* amp[g][win][sfb][bin];
if (quant[g][win][sfb][bin]==0)
    c[g][win][sfb][bin]=res[g][win][sfb][bin];
else
    c[g][win][sfb][bin] = sign(quant[g][win][sfb][bin]) *
        (res[g][win][sfb][bin]+ref(quant[g][win][sfb][bin]));
```

To ensure lossless coding, in the SLS encoder the following error mapping procedure should be employed for the same spectral range:

```
if (quant[g][win][sfb][bin]==0)
    res[g][win][sfb][bin]=c[g][win][sfb][bin];
else
    res[g][win][sfb][bin]=sign(quant[g][win][sfb][bin]) * c[g][win][sfb][bin]-
ref(quant[g][win][sfb][bin]);
```

The function *ref(x)* in the above process is deterministically calculated as follows

```
if ((sfb is Implicit_Band) then
    ref(x) = thr(abs(x))
else if (sfb is Explicit_Band)
    ref(x) = inv_quant(abs(x))
```

Here the calculation of *thr()* and *inv\_quant()* follows subclause 12.5.4.2.3

### 12.5.8 Integer Mid/Side process

If the Mono IntMDCT is used for the left and the right channel (*common\_window == 0* or *use\_stereo\_intmdct == 0*), the inverse integer M/S processing has to be applied to the scale factor bands where the M/S flag is switched on.

The Mid/Side (M/S) decoding is performed on the integer spectral values by a lossless  $-\pi/4$  Givens rotation using the lifting scheme as follows:

Step 1:

$$S = S - NINT(c_1 \cdot M);$$

Step 2:

$$M = M - NINT(c_2 \cdot S);$$

Step 3:

$$R = M;$$

$$L = S - NINT(c_1 \cdot R);$$

where M,S,R,L denotes the spectral data of Mid, Side, Left, and Right channels and  $c_1 = (\cos \frac{\pi}{4} - 1) / \sin \frac{\pi}{4}$ , and  $c_2 = \sin \frac{\pi}{4}$ .

These three multiplications are performed in a fixed-point fashion by using integer coefficients and bit shifts. The detailed fixed-point arithmetic is described in subclause 12.5.10.3.

The inverse Stereo IntMDCT expects an M/S spectrum by default. Hence M/S has to be applied to the scale factor bands where the M/S flag is switched off.

The Mid/Side (M/S) coding is performed on the integer spectral values by a lossless  $\pi/4$  Givens rotation using the lifting scheme as follows:

Step 1

$$S = R;$$

$$M = L + NINT(c_1 \cdot R);$$

Step 2:

$$S = S + NINT(c_2 \cdot M);$$

Step 3:

$$M = M + NINT(c_1 \cdot S);$$

where M,S,L,R denote the spectral data of Mid, Side, Left, and Right channels and  $c_1 = (\cos \frac{\pi}{4} - 1) / \sin \frac{\pi}{4}$ , and  $c_2 = \sin \frac{\pi}{4}$ .

These three multiplications are performed in a fixed-point fashion by using integer coefficients and bit shifts. The detailed fixed-point arithmetic is described in subclause 12.5.10.3.

### 12.5.9 Integer Temporal Noise Shaping (IntTNS)

When Temporal Noise Shaping (TNS) is used in the AAC core, the same TNS filter is applied to the integer spectral values in SLS. In order to convert this filter to a deterministic invertible integer filter, the following changes to the TNS tool description in Subpart 4 are required:

For determining the LPC coefficients, instead of the function `tns_decode_coef()` in subclause 4.6.9.3, the function `int_tns_decode_coef()` is used, as described in the following pseudo-code:

```
define SHIFT_INTTNS 21

INT32 tnsInvQuantCoefFixedPoint(coef_res, coef)
{
  INT32 intTnsCoef_res3[8] = {-2065292, -1816187, -1348023, -717268,
    0, 909920, 1639620, 2044572};
  INT32 intTnsCoef_res4[16] = {-2088206, -2017095, -1877294, -1673563,
    -1412842, -1104008, -757579, -385351,
    0, 436022, 852989, 1232675,
    1558488, 1816187, 1994510, 2085664};
}
```

```

if (coef_res == 3) {
    return intTnsCoef_res3[4+coef];
}
if (coef_res == 4) {
    return intTnsCoef_res4[8+coef];
}
}

/* Decoder transmitted coefficients for one TNS filter */
int_tns_decode_coef( order, coef_res, *coef, INT32 *a )
{
    INT32 tmp[TNS_MAX_ORDER+1], b[TNS_MAX_ORDER+1];

    /* Inverse quantization */
    for (i=0; i<order; i++) {
        tmp[i+1] = tnsInvQuantCoefFixedPoint(coef_res, coef[i]);
    }
    /* Conversion to LPC coefficients */
    /* worst case for order == 12 and all coefficients == 1:
       6th coefficient raised by 12!/(6!*6!) = 924
       -> 10 bits headroom required -> SHIFT_INTTNS == 21 */

    a[0] = 1<<SHIFT_INTTNS;
    for (m=1; m<=order; m++) {
        b[0] = a[0];
        for (i=1; i<m; i++) {
            b[i] = a[i] + (((((INT64)tmp[m])*a[m-i])>>(SHIFT_INTTNS-1))+1)>>1);
        }
        b[m] = tmp[m];
        for (i=0; i<=m; i++) {
            a[i] = b[i];
        }
    }
}

```

Based on the resulting fixed-point LPC coefficients, a deterministic integer version of the TNS filter is applied to the integer spectrum in the decoder. This is done by replacing the function `tns_ar_filter()` in subclause 4.6.9.3 by the function `int_tns_ar_filter()`, described by the following pseudo-code:

```

int_tns_ar_filter(INT32 *spec, size, inc, INT32 *lpc, order )
{
    INT32 y, state[TNS_MAX_ORDER];
    INT64 temp_accu;

    for (i=0; i<order; i++)
        state[i] = 0;

    if (inc == -1)
        spec += size-1;

    for (i=0; i<size; i++) {
        y = *spec;
        temp_accu = 0;
        for (j=0; j<order; j++) {
            temp_accu += ((INT64)lpc[j+1]) * state[j];
        }
        y -= (INT32)(( ( temp_accu >> (SHIFT_INTTNS-1) ) + 1 ) >> 1 );
        for (j=order-1; j>0; j--)
            state[j] = state[j-1];
        state[0] = y;
        *spec = y;
        spec += inc;
    }
}

```

If the StereoIntMDCT is used, the integer spectral values represent the M/S spectrum instead of the L/R spectrum. In this case, the inverse Integer M/S has to be applied before the IntTNS and the forward Integer M/S has to be applied afterwards.

### IntTNS in the encoder

In order to ensure lossless reconstruction, the corresponding forward LPC prediction has to be applied to the integer spectrum in the encoder. This is achieved by applying the function `int_tns_decode_coef()` and the corresponding forward filter to the integer spectrum, as described in the following pseudo-code:

```
int_tns_filter_encode(length, order, direction, INT32* spec, INT32 *lpc)
{
  INT64 temp_accu;
  if (direction) {
    /* Startup, initial state is zero */
    temp[length-1]=spec[length-1];
    for (i=length-2;i>(length-1-order);i--) {
      temp[i]=spec[i];
      temp_accu = 0;
      k++;
      for (j=1;j<=k;j++) {
        temp_accu += ((INT64)temp[i+j]) * a[j];
      }
      spec[i] += (INT32)(( ( temp_accu >> (SHIFT_INTTNS-1) ) + 1) >> 1);
    }

    /* Now filter the rest */
    for (i=length-1-order;i>=0;i--) {
      temp[i]=spec[i];
      temp_accu = 0;
      for (j=1;j<=order;j++) {
        temp_accu += ((INT64)temp[i+j]) * a[j];
      }
      spec[i] += (INT32)(( ( temp_accu >> (SHIFT_INTTNS-1) ) + 1) >> 1 );
    }
  }
  else {
    /* Startup, initial state is zero */
    temp[0]=spec[0];
    for (i=1;i<order;i++) {
      temp[i]=spec[i];
      temp_accu = 0;
      for (j=1;j<=i;j++) {
        temp_accu += ((INT64)temp[i-j]) * a[j];
      }
      spec[i] += (INT32)(( ( temp_accu >> (SHIFT_INTTNS-1) ) + 1) >> 1 );
    }

    /* Now filter the rest */
    for (i=order;i<length;i++) {
      temp[i]=spec[i];
      temp_accu = 0;
      for (j=1;j<=order;j++) {
        temp_accu += ((INT64)temp[i-j])*a[j];
      }
      spec[i] += (INT32)(( ( temp_accu >> (SHIFT_INTTNS-1) ) + 1) >> 1 );
    }
  }
}
```

In case the StereoIntMDCT is used, the integer spectral values represent the M/S spectrum instead of the L/R spectrum. In this case the inverse Integer M/S has to be applied before the IntTNS and the forward Integer M/S has to be applied afterwards.

## 12.5.10 IntMDCT and Inverse IntMDCT

### 12.5.10.1 Description

The IntMDCT is an invertible integer approximation of the MDCT. The following section describes the structural implementation of the MDCT and IMDCT used for the forward and inverse IntMDCT.

In the following, the frame length  $N$  always denotes the number of new input samples in each block, which is equal to the number of frequency values, so  $N$  is either  $osf*1024$  or  $osf*128$ .

**12.5.10.2 MDCT and Inverse MDCT (IMDCT)**

The MDCT is defined by

$$X(m) = \sqrt{\frac{2}{N}} \sum_{k=0}^{2N-1} w(k)x(k) \cos \frac{(2k+1+N)(2m+1)\pi}{4N}$$

$$m = 0, \dots, N-1$$

$N$ : Frame length (osf\*1024 or osf\*128)

$X(m)$ : Values of MDCT spectrum

$x(k)$ : Input samples

$w(k)$ : Window function (Sine or KBD)

The IMDCT is defined by

$$x(k) = w(k) \sqrt{\frac{2}{N}} \sum_{m=0}^{N-1} X(m) \cos \frac{(2k+1+N)(2m+1)\pi}{4N}$$

$$k = 0, \dots, 2N-1$$

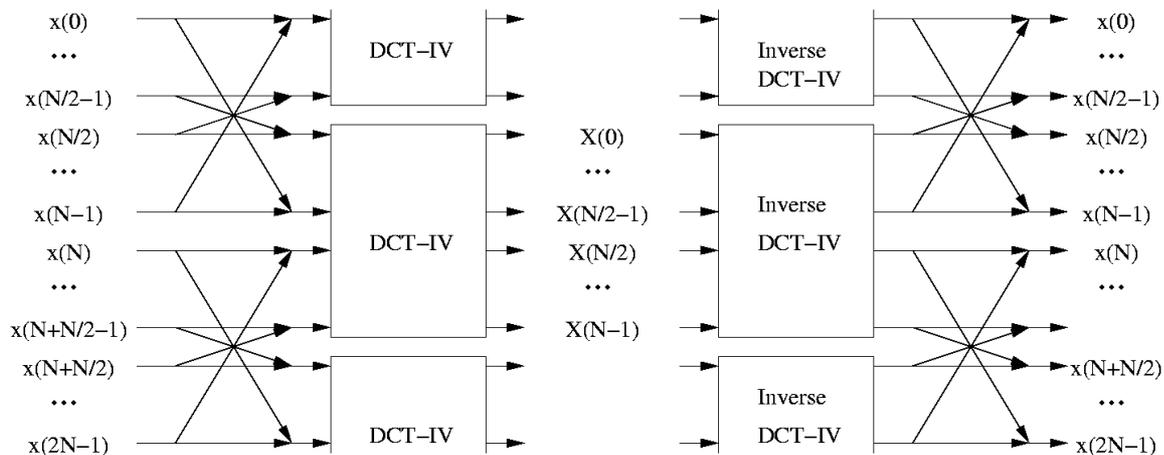
The input of the MDCT and the output of the IMDCT have a 50% overlap, i.e.  $N$  samples. In the IMDCT the output of two succeeding blocks is added in the overlapping region.

**12.5.10.2.1 MDCT and IMDCT by DCT-IV**

For the IntMDCT the MDCT and the IMDCT are divided into two blocks:

- Windowing and Time Domain Aliasing (TDA)
- Discrete Cosine Transform of Type IV (DCT-IV)

These two blocks are illustrated in Figure 12.7 for the MDCT and the IMDCT



**Figure 12.7 — MDCT and IMDCT by Windowing/TDA and DCT-IV**

### 12.5.10.2.2 Calculation of Windowing/TDA block

#### 12.5.10.2.3 Structure of MDCT and IMDCT for different window sequences

In the MDCT the Windowing/TDA block is calculated by

$$\begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix} \mapsto \begin{pmatrix} w(N-1-k) & w(k) \\ -w(k) & w(N-1-k) \end{pmatrix} \begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix}$$

$$k = 0, \dots, N/2 - 1$$

In the IMDCT this block is inverted by

$$\begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix} \mapsto \begin{pmatrix} w(N-1-k) & -w(k) \\ w(k) & w(N-1-k) \end{pmatrix} \begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix}$$

$$k = 0, \dots, N/2 - 1$$

Note that the overlap/add operation is already contained in this calculation.

The DCT-IV of length  $N$  is defined by:

$$X(m) = \sqrt{\frac{2}{N}} \sum_{k=0}^{N-1} x(k) \cos \frac{(2k+1)(2m+1)\pi}{4N}$$

$$m = 0, \dots, N-1$$

The inverse DCT-IV of length  $N$  has the same coefficients, it is defined by:

$$x(k) = \sqrt{\frac{2}{N}} \sum_{m=0}^{N-1} X(m) \cos \frac{(2k+1)(2m+1)\pi}{4N}$$

$$k = 0, \dots, N-1$$

For the calculation of the MDCT the output of two succeeding Windowing/TDA stages is considered. Let  $x'(0), \dots, x'(N-1)$  be the output of the Windowing/TDA stage of the previous block and  $x'(N), \dots, x'(2N-1)$  be the output of the Windowing/TDA stage of the current block. Then the DCT-IV is applied to the  $N$  values

$$-x'(N+N/2-1), -x'(N+N/2-2), \dots, -x'(N), -x'(N-1), -x'(N-2), \dots, -x'(N/2)$$

i.e. the second half of the previous block and the first half of the current block are used. The order of the values is reverted and values are multiplied with -1 before applying the DCT-IV of length  $N$ .

The second half of current block of Windowing/TDA output values have to be stored for the next block.

This structure is illustrated in Figure 12.8:

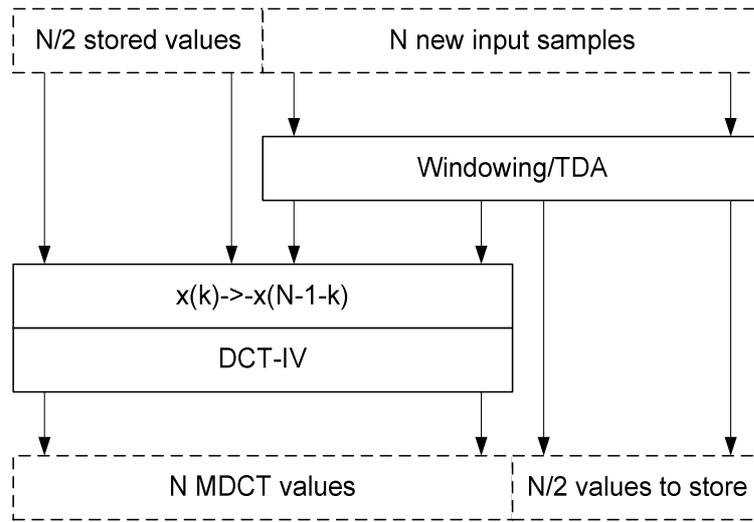


Figure 12.8 — Structure for MDCT by DCT-IV and Windowing/TDA

For the calculation of the IMDCT, the MDCT spectral values are transformed by the inverse DCT-IV, the output is multiplied with -1 and the order is reversed. Then the second half is stored for the next block, the first half is processed by the inverse Windowing/TDA block together with the values stored from the previous block.

This structure is illustrated in Figure 12.9:

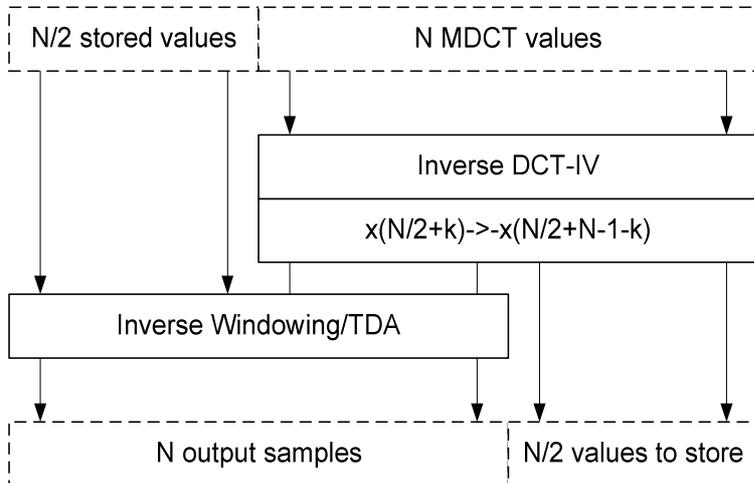


Figure 12.9 — Structure for IMDCT by DCT-IV and Windowing/TDA

12.5.10.2.4 Structure of MDCT and IMDCT for different window sequences

The structure in Figure 12.8 resp. Figure 12.9 is slightly modified for different window sequences. In the following this structure is illustrated for typical window sequences.

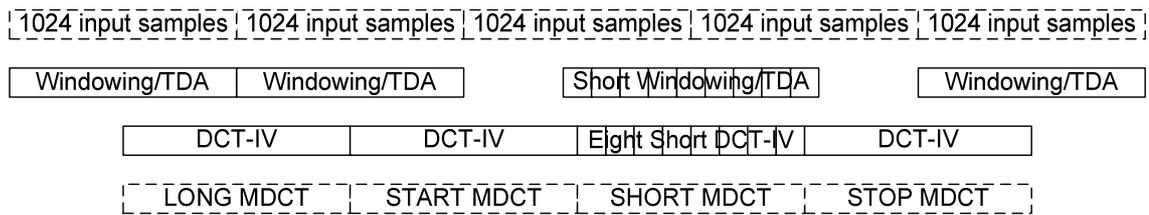


Figure 12.10 — Structure of MDCT for LONG, START, SHORT, STOP sequence

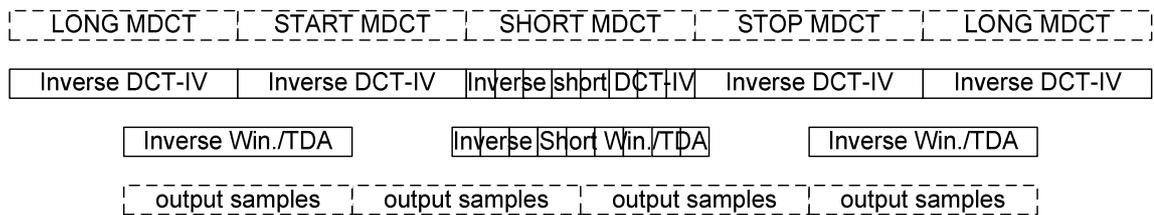


Figure 12.11 — Structure of IMDCT for LONG, START, SHORT, STOP, LONG sequence

12.5.10.2.5 The IntMDCT

The IntMDCT is an invertible integer approximation of the MDCT. Two versions of this transform are used here, relying on the same algorithm:

**Mono-IntMDCT:** This version provides the IntMDCT spectrum of one channel.

**Stereo-IntMDCT:** In case of a channel pair element with common\_window and use\_stereo\_intmdct switched on, this version is used. It provides the mid/side IntMDCT spectrum of the left and right channel simultaneously.

Decomposition of MDCT into lifting steps

For the IntMDCT, all calculations are decomposed into so-called lifting steps, allowing to introduce a rounding operation without losing the perfect reconstruction property.

In the forward IntMDCT the Windowing/TDA block is calculated by 3N/2 lifting steps:

$$\begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix} \mapsto \begin{pmatrix} 1 & -\frac{w(N-1-k)-1}{w(k)} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -w(k) & 1 \end{pmatrix} \begin{pmatrix} 1 & -\frac{w(N-1-k)-1}{w(k)} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix}$$

$k = 0, \dots, N/2 - 1$

In the inverse IntMDCT the Windowing/TDA block is calculated by:

$$\begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix} \mapsto \begin{pmatrix} 1 & \frac{w(N-1-k)-1}{w(k)} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ w(k) & 1 \end{pmatrix} \begin{pmatrix} 1 & \frac{w(N-1-k)-1}{w(k)} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} x(k) \\ x(N-1-k) \end{pmatrix}$$

$k = 0, \dots, N/2 - 1$

These calculations are mathematically equivalent to the one described above, because the window function  $w(k)$  fulfils the TDAC condition

$$w(k)^2 + w(N - 1 - k)^2 = 1, \quad k = 0, \dots, N/2 - 1$$

After each lifting step, a rounding operation is applied to stay in the integer domain.

**Calculation of Int-DCT-IV**

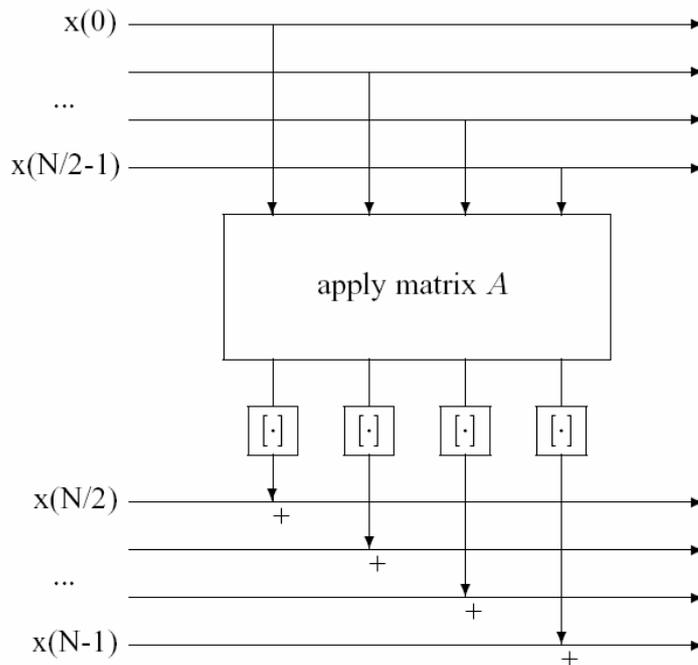
For the IntMDCT, the DCT-IV is calculated in an invertible integer fashion, called the Int-DCT-IV. The Int-DCT-IV of length  $N$  is implemented by so-called multi-dimensional lifting steps. They have the following general structure:

$$\begin{pmatrix} I_{N/2} & 0 \\ A & I_{N/2} \end{pmatrix}$$

with the identity matrix  $I_{N/2}$  of size  $N/2$  and an arbitrary  $(N/2) \times (N/2)$  matrix  $A$ .

Applying this block matrix means that the first half of the input values are processed by the matrix  $A$  and then added to the second half of the input values.

For an integer approximation, the output values of the matrix  $A$  are rounded to integer before adding them. Figure 12.12 illustrates this process.



**Figure 12.12 — Forward step for multi-dimensional lifting including rounding**

This process can be inverted by

$$\begin{pmatrix} I_{N/2} & 0 \\ -A & I_{N/2} \end{pmatrix}$$

i.e. the same matrix  $A$  is applied to the first half of the values and the resulting values are subtracted from the second half of the input values.

For the invertible integer approximation, the output values of  $A$  are rounded to integer before subtracting them.

To apply this structure to the IntMDCT, the DCT-IV of length  $N$  is decomposed in the following way:

$$DCTIV_N = \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} 1 & & & & & cs(0) \\ & \ddots & & & & \\ & & 1 & cs(N/2-1) & & \\ & & & 1 & & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & cs(0) \\ & \ddots & & & & \\ & & 1 & cs(N/2-1) & & \\ & & & 1 & & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & cs(0) \\ & \ddots & & & & \\ & & 1 & cs(N/2-1) & & \\ & & & 1 & & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}\sqrt{2}DCTIV_{N/2} \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ -\sqrt{2}DCTIV_{N/2} & I \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}\sqrt{2}DCTIV_{N/2} \\ 0 & I \end{pmatrix} \begin{pmatrix} I & -\frac{1}{2}I \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ I & I \end{pmatrix} QP$$

with the values

$$s(k) = \sin \frac{(2k+1)\pi}{4N}, \quad cs(k) = \frac{\cos \frac{(2k+1)\pi}{4N} - 1}{\sin \frac{(2k+1)\pi}{4N}}, \quad k = 0, \dots, N/2 - 1$$

and the permutation matrices  $P$  and  $Q$  with

$$P_{4k,4k} = P_{4k+1,4k+1} = P_{4k+2,4k+3} = P_{4k+3,4k+2} = 1, \quad k = 0, \dots, N/4 - 1$$

$$P_{k,l} = 0 \quad \text{else}$$

i.e. every second pair of values is swapped, and

$$Q_{k,2k} = Q_{N/2+k,2k+1} = 1, \quad k = 0, \dots, N/2 - 1$$

$$Q_{k,l} = 0 \quad \text{else}$$

i.e. the even indices are arranged in the first half, the odd indices are arranged in the second half.

Thus the DCT-IV is basically decomposed into 8 multi-dimensional lifting steps.

The corresponding inverse lifting decomposition for the inverse DCT-IV is given by:

$$DCTIV_N^{-1} = P^{-1}Q^{-1} \begin{pmatrix} I & 0 \\ -I & I \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}I \\ 0 & I \end{pmatrix} \begin{pmatrix} I & -\frac{1}{2}\sqrt{2}DCTIV_{N/2} \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ \sqrt{2}DCTIV_{N/2} & I \end{pmatrix}$$

$$\begin{pmatrix} I & -\frac{1}{2}\sqrt{2}DCTIV_{N/2} \\ 0 & I \end{pmatrix} \begin{pmatrix} 1 & & & & & -cs(0) \\ & \ddots & & & & \vdots \\ & & 1 & -cs(N/2-1) & & \\ & & & 1 & & \vdots \\ & & & & \ddots & \\ -s(0) & & & & & 1 \end{pmatrix}$$

$$\begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & 1 & & & \\ & & -s(N/2-1) & 1 & & \\ & & & & \ddots & \\ -s(0) & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & -cs(0) \\ & \ddots & & & & \vdots \\ & & 1 & -cs(N/2-1) & & \\ & & & 1 & & \vdots \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix}$$

**Calculation of Stereo-Int-DCT-IV used for Stereo-IntMDCT**

In case of a stereo signal coded as a channel\_pair\_element with common\_window and use\_stereo\_intmdct switched on, the DCT-IV is calculated for both channels in one step, including the M/S calculation. This is achieved by using the decomposition of the Int-DCT-IV described above, and omitting the three stages of one-dimensional lifting steps and the two permutations, resulting in:

$$\begin{aligned}
& \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}\sqrt{2}DCTIV_N \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ -\sqrt{2}DCTIV_N & I \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}\sqrt{2}DCTIV_N \\ 0 & I \end{pmatrix} \begin{pmatrix} I & -\frac{1}{2}I \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ I & I \end{pmatrix} \\
&= \begin{pmatrix} \frac{1}{2}\sqrt{2}DCTIV_N & \frac{1}{2}\sqrt{2}DCTIV_N \\ \frac{1}{2}\sqrt{2}DCTIV_N & -\frac{1}{2}\sqrt{2}DCTIV_N \end{pmatrix} \\
&= \begin{pmatrix} \frac{1}{2}\sqrt{2} * I & \frac{1}{2}\sqrt{2} * I \\ \frac{1}{2}\sqrt{2} * I & -\frac{1}{2}\sqrt{2} * I \end{pmatrix} \begin{pmatrix} DCTIV_N & 0 \\ 0 & DCTIV_N \end{pmatrix}
\end{aligned}$$

Hence, this simplification of the DCT-IV algorithm results in an integrated calculation of the M/S matrix and the DCT-IV for the left and the right channel. In this mode of the IntMDCT the DCT-IV operates at a length of  $N$  instead of  $N/2$ .

The corresponding inverse lifting decomposition for the inverse Stereo-Int-DCT-IV is given by:

$$\begin{aligned}
& \begin{pmatrix} I & 0 \\ -I & I \end{pmatrix} \begin{pmatrix} I & \frac{1}{2}I \\ 0 & I \end{pmatrix} \begin{pmatrix} I & -\frac{1}{2}\sqrt{2}DCTIV_N \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ \sqrt{2}DCTIV_N & I \end{pmatrix} \begin{pmatrix} I & -\frac{1}{2}\sqrt{2}DCTIV_N \\ 0 & I \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \\
&= \begin{pmatrix} \frac{1}{2}\sqrt{2}DCTIV_N & \frac{1}{2}\sqrt{2}DCTIV_N \\ \frac{1}{2}\sqrt{2}DCTIV_N & -\frac{1}{2}\sqrt{2}DCTIV_N \end{pmatrix} \\
&= \begin{pmatrix} DCTIV_N^{-1} & 0 \\ 0 & DCTIV_N^{-1} \end{pmatrix} \begin{pmatrix} \frac{1}{2}\sqrt{2} * I & \frac{1}{2}\sqrt{2} * I \\ \frac{1}{2}\sqrt{2} * I & -\frac{1}{2}\sqrt{2} * I \end{pmatrix}
\end{aligned}$$

### Noise Shaping

In the lifting steps where time-domain signals are processed, the rounding operations are connected to an error feedback to provide a spectral shaping of the approximation noise.

This approximation noise affects the lossless coding efficiency mainly in the high frequency region where audio signals usually contain a very small amount of energy, especially at sampling rates of 96 kHz and higher. Hence, a low-pass characteristic of the approximation noise improves the lossless coding efficiency.

A first-order noise shaping filter is used, as illustrated in Figure 12.13.

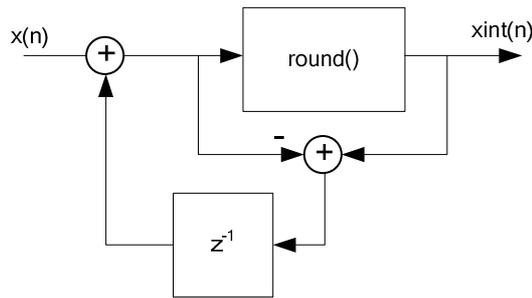


Figure 12.13 — Noise shaping filter for IntMDCT

For the IntMDCT this filter is applied to the three stages of lifting steps in the Windowing/TDA processing and to the first rounding stage of the Int-DCT-IV processing.

For the inverse IntMDCT the same filter is applied to the three stages of lifting steps in the Windowing/TDA processing and to the last rounding stage of the inverse Int-DCT-IV processing.

**12.5.10.3 Algorithm for IntMDCT and inverse IntMDCT**

**Arithmetics**

All operations are based on integer arithmetics. The following formats are used:

- INT32 for input, output and intermediate values, pre-defined fixed-point coefficients
- INT64 for multiplications with fixed-point coefficients, results are shifted and stored in INT32 immediately after each multiplication

**Basic definitions for IntMDCT:**

```
SINE_DATA_SIZE = 8192
SHIFT = 30
SHIFT_FOR_ERROR_FEEDBACK = 6
```

All floating point operations in the algorithm are performed in a fixed-point fashion. The number of fractional bits is given by *SHIFT*.

The necessary floating point coefficients for the multiplications in the lifting steps and for the intermediate fixed-point calculations are stored as fixed-point values in INT32:

```
INT32_coeff = nearestint((1<<SHIFT)*FLOAT_coeff);
```

The following float coefficients are stored in this way:

```
sineData[k] = sin(k*pi/(2*SINE_DATA_SIZE)), k=0,...,SINE_DATA_SIZE/2
```

defined in *sineData*[*SINE\_DATA\_SIZE/2+1*] (see 12.5.11).

```
sineData_cs[k] =
(1-cos(k*pi/(2*SINE_DATA_SIZE)))/sin(k*pi/(2*SINE_DATA_SIZE)),
k=0,...,SINE_DATA_SIZE/2
```

defined in *sineData\_cs*[*SINE\_DATA\_SIZE/2+1*] (see 12.5.11).

The corresponding values for the KBD window are pre-defined in *KBDWindow*[*SINE\_DATA\_SIZE/2*] resp. *KBDWindow\_cs*[*SINE\_DATA\_SIZE/2*] (see Annex 12.B).

### Basic functions for IntMDCT:

```
INT32 multShiftINT32(INT32 x, INT32 y, int shift) {
    return ( (INT32)((INT64)x*y)>>shift) );
}

INT32 multShiftRoundINT32(INT32 x, INT32 y, int shift) {
    return ( ( multShiftINT32(x,y,shift-1) + 1 ) >>1 );
}

INT32 shiftRoundINT32(INT32 y, INT32 shift) {
    return ((y>>(shift-1))+1)>>1);
}

INT32 shiftRoundINT32withErrorFeedback(INT32 y, INT32* errorFeedback, int shift) {
    y += *errorFeedback;
    result = shiftRoundINT32(y,shift);
    *errorFeedback = (result << shift) - y;
    return (result);
}

void rotateINT32(int index, INT32 xin, INT32 yin, INT32* xout, INT32* yout) {
    xin += multShiftINT32(-sineData_cs[index], yin, SHIFT);
    yin += multShiftINT32(sineData[index], xin, SHIFT);
    xin += multShiftINT32(-sineData_cs[index], yin, SHIFT);
    *xout = xin;
    *yout = yin;
}

void multHalfSqrt2(INT32* x) {
    *x = multShiftINT32(sineData[SINE_DATA_SIZE/2], *x, SHIFT);
}

void rotatePlusMinusINT32(INT32 xin, INT32 yin, INT32* xout, INT32* yout) {
    xtmp = xin;
    ytmp = yin;
    *xout = xtmp + ytmp;
    *yout = xtmp - ytmp;
}

void rotatePlusMinusNormINT32(INT32 xin, INT32 yin, INT32* xout, INT32* yout) {
    rotatePlusMinusINT32(xin, yin, xout, yout);
    multHalfSqrt2(xout);
    multHalfSqrt2(yout);
}

void addINT32(INT32* xin, INT32* xout, int N) {
    for (i=0; i<N; i++)
        xout[i] += xin[i];
}

void diffINT32(INT32* xin, INT32* xout, int N) {
    for (i=0; i<N; i++)
        xout[i] -= xin[i];
}
```

```

}

void copyINT32(INT32* xin, INT32* xout, int N) {
    for (i=0; i<N; i++)
        xout[i] = xin[i];
}

void shiftLeftINT32(INT32* x, int N, int shift) {
    for (i=0; i<N; i++)
        x[i] <<= shift;
}

void shiftRightINT32(INT32* x, int N, int shift) {
    for (i=0; i<N; i++)
        x[i] >>= shift;
}

```

**Definitions for Windowing/TDA Algorithm:**

For forward Windowing/TDA:

```
direction = 1;
```

For inverse Windowing/TDA:

```
direction = -1;
```

**Algorithm for forward resp. inverse Windowing/TDA:**

```

if (windowShape == 0) {
    window = sineData;
    window_cs = sineData_cs;
} else {
    window = KBDWindow;
    window_cs = KBDWindow_cs;
}
errorFeedback = 0;
for (i=0; i<N/2; i++) {
    tmp = multShiftINT32(-window_cs[(2*i+1)*SINE_DATA_SIZE/(2*N)],
        signal[N-1-i],
        SHIFT - SHIFT_FOR_ERROR_FEEDBACK);
    signal[i] -= direction *
        shiftRoundINT32withErrorFeedback(tmp,
            &errorFeedback,
            SHIFT_FOR_ERROR_FEEDBACK);
}
errorFeedback = 0;
for (i=0; i<N/2; i++) {
    tmp = multShiftINT32(window[(2*i+1)*SINE_DATA_SIZE/(2*N)],
        signal[i],
        SHIFT - SHIFT_FOR_ERROR_FEEDBACK);
    signal[N-1-i] -= direction *
        shiftRoundINT32withErrorFeedback(tmp,
            &errorFeedback,
            SHIFT_FOR_ERROR_FEEDBACK);
}
errorFeedback = 0;
for (i=0; i<N/2; i++) {
    tmp = multShiftINT32(-window_cs[(2*i+1)*SINE_DATA_SIZE/(2*N)],
        signal[N-1-i],
        SHIFT - SHIFT_FOR_ERROR_FEEDBACK);
}

```

```

    signal[i] -= direction *
        shiftRoundINT32withErrorFeedback(tmp,
            &errorFeedback,
            SHIFT_FOR_ERROR_FEEDBACK);
}

```

### Algorithm for the forward resp. inverse Int-DCT-IV:

The input values are transformed to the forward resp. inverse Int-DCT-IV values in-place.

In case of the Mono IntMDCT,  $signal0[0, \dots, N-1]$  represents the input values of one channel,  $signal1[0, \dots, N/2-1]$  corresponds to the upper half values  $signal0[N/2, \dots, N-1]$ .

If the Stereo IntMDCT is used, the length  $N$  is twice the frame length in the Int-DCT-IV algorithm;  $signal0[0, \dots, N/2-1]$  represents the left channel input values and  $signal1[0, \dots, N/2-1]$  represents the right channel input values.

A temporary buffer  $liftBuffer[k], k=0, \dots, N/2-1$  of INT32 values is used.

### Algorithm for forward Int-DCT-IV:

#### Permutation P:

```

if (Mono_IntMDCT) {
    for (i=0; i<N; i+=4) {
        (signal0[i+2], signal0[i+3]) = (signal0[i+3], signal0[i+2]);
    }
}

```

#### Permutation Q:

```

if (Mono_IntMDCT) {
    for (i=0; i<N; i++) {
        temp[i] = signal[i];
    }
    for (i=0; i<N/2; i++) {
        signal[i] = temp[2*i];
        signal[N/2+i] = temp[2*i+1];
    }
}

```

#### Apply lifting steps:

```

addINT32(signal0, signal1, N/2);

liftingStep2and3(signal1, liftBuffer, N);
addINT32(liftBuffer, signal0, N/2);

liftingStep4(signal0, liftBuffer, N);
addINT32(liftBuffer, signal1, N/2);

liftingStep5and6(signal1, liftBuffer, N, Mono_IntMDCT);
addINT32(liftBuffer, signal0, N/2);

```

```
if (Mono_IntMDCT) {
    liftingStep7(signal0, liftBuffer, N);
    addINT32(liftBuffer, signal1, N/2);

    liftingStep8(signal1, liftBuffer, N);
    addINT32(liftBuffer, signal0, N/2);
}
```

Multiply with -1:

```
for (k=0; k<N/2; k++) {
    signal1[k] *= -1;
}
```

**Algorithm for inverse Int-DCT-IV:**

Multiply with -1:

```
for (k=0; k<N/2; k++) {
    signal1[k] *= -1;
}
```

Apply inverse lifting steps:

```
if (Mono_IntMDCT) {
    liftingStep8(signal1, liftBuffer, N);
    diffINT32(liftBuffer, signal0, N/2);

    liftingStep7(signal0, liftBuffer, N);
    diffINT32(liftBuffer, signal1, N/2);
}

liftingStep5and6(signal1, liftBuffer, N, Mono_IntMDCT);
diffINT32(liftBuffer, signal0, N/2);

liftingStep4(signal0, liftBuffer, N);
diffINT32(liftBuffer, signal1, N/2);

liftingStep2and3(signal1, liftBuffer, N);
diffINT32(liftBuffer, signal0, N/2);

diffINT32(signal0, signal1, N/2);
```

**Inverse permutation Q:**

```
if (Mono_IntMDCT) {
    for (i=0; i<N; i++) {
        temp[i] = signal[i];
    }
    for (i=0; i<N/2; i++) {
        signal[2*i] = temp[i];
        signal[2*i+1] = temp[n/2+i];
    }
}
```

**Inverse permutation P:**

```

if (Mono_IntMDCT) {
    for (i=0; i<N; i+=4) {
        (signal0[i+2], signal0[i+3]) = (signal0[i+3], signal0[i+2]);
    }
}

```

**Lifting steps for forward and inverse Int-DCT-IV:**

```

void liftingStep2and3(INT32* signal1, INT32* liftBuffer, int N) {
    copyINT32(signal1, liftBuffer, N/2);
    shiftNormalize = DCTIVsqrt2_fixpt(liftBuffer, N/2) + 1;
    if (shiftNormalize > SHIFT_FOR_ERROR_FEEDBACK) {
        shiftRightINT32(liftBuffer, N/2,
            shiftNormalize - SHIFT_FOR_ERROR_FEEDBACK);
        shiftNormalize = SHIFT_FOR_ERROR_FEEDBACK;
    }
    for (k=0; k<N/2; k++) {
        liftBuffer[k] -= signal1[k] << (shiftNormalize - 1);
    }
    errorFeedback = 0;
    for (k=0; k<N/2; k++) {
        liftBuffer[k] = shiftRoundINT32withErrorFeedback(liftBuffer[k],
            &errorFeedback, shiftNormalize);
    }
}

void liftingStep4(INT32* signal0, INT32* liftBuffer, int N) {
    copyINT32(signal0, liftBuffer, N/2);
    shiftNormalize = DCTIVsqrt2_fixpt(liftBuffer, N/2);
    for (k=0; k<N/2; k++) {
        liftBuffer[k] = -shiftRoundINT32(liftBuffer[k], shiftNormalize);
    }
}

void liftingStep5and6(INT32* signal1, INT32* liftBuffer, int N, int mono) {
    copyINT32(signal1, liftBuffer, N/2);
    shiftNormalize = DCTIVsqrt2_fixpt(liftBuffer, N/2);
    shiftRightINT32(liftBuffer, N/2, shiftNormalize);
    if (mono) {
        for (k=0; k<N/2; k++)
            liftBuffer[k] += multShiftINT32(-sineData_cs[step*(2*k+1)],
                signal1[N/2-1-k], (SHIFT-1));
        for (k=0; k<N/2; k++)
            liftBuffer[k] = ((liftBuffer[k]+1)>>1);
    }
}

void liftingStep7(INT32* signal0, INT32* liftBuffer, int N) {
    for (k=0; k<N/2; k++)
        liftBuffer[N/2-1-k] =
            multShiftRoundINT32(sineData[(2*k+1)*SINE_DATA_SIZE/(2*N)],
                signal0[k], SHIFT);
}

void liftingStep8(INT32* signal1, INT32* liftBuffer, int N) {
    for (k=0; k<N/2; k++)
        liftBuffer[k] =
            multShiftRoundINT32(-sineData_cs[(2*k+1)*SINE_DATA_SIZE/(2*N)],
                signal1[N/2-1-k], SHIFT);
}

```

**Algorithm for SQRT(2)\*DCT-IV:**

Both in the forward and the inverse Int-DCT-IV the calculation of  $\text{SQRT}(2) \cdot \text{DCT-IV}$  is required. This calculation is performed in a deterministic fixed-point fashion:

```
int DCTIVsqrt2_fixpt(INT32 *data, int N) {
    preShift = msbHeadroomINT32(data, N) - 1;
    if (preShift > 15) preShift = 15;
    if (preShift < 0) preShift = 0;
    shiftLeftINT32(data, N, preShift);
    preModulationDCT_fixpt(data, xr, xi, N);
    fftShift = srfft_fixpt(xr, xi, N/2);
    postModulationDCT_fixpt(xr, xi, data, N);
    shiftNormalize = (log2int(N) - 2) / 2 + preShift - fftShift;
    sqrt2Normalize = (log2int(N) - 2) % 2;
    if (sqrt2Normalize) {
        for (i=0; i<N; i++)
            multHalfSqrt2(&data[i]);
    }
    return shiftNormalize;
}
```

### Pre-modulation for DCT-IV:

```
void preModulationDCT_fixpt(INT32 *x, INT32 *xr, INT32 *xi, int N) {
    for(i=0;i<N/4;i++) {
        rotateINT32((4*i+1)*SINE_DATA_SIZE/(2*N),
            x[N-1-2*i],x[2*i],
            &xi[i],&xr[i]);
        rotateINT32((4*i+3)*SINE_DATA_SIZE/(2*N),
            x[2*i+1],-x[N-2-2*i],
            &xr[N/2-1-i],&xi[N/2-1-i]);
    }
}
```

### Post-modulation for DCT-IV:

```
void postModulationDCT_fixpt(INT32 *xr, INT32 *xi, INT32 *x, int N) {
    x[0] = xr[0];
    x[N-1] = -xi[0];
    for(i=1;i<N/4;i++){
        rotateINT32(2*i*SINE_DATA_SIZE/N,
            xr[i],-xi[i],
            &x[2*i],&x[N-2*i-1]);
        rotateINT32(2*i*SINE_DATA_SIZE/N,
            xr[N/2-i],xi[N/2-i],
            &x[2*i-1],&x[N-2*i]);
    }
    rotatePlusMinusNormINT32(xr[N/4],xi[N/4],
        &x[N/2],&x[N/2-1]);
}
```

### Split-Radix FFT:

```
int srfft_fixpt(INT32 *xr, INT32 *xi, int N) {
    numShifts = 0;
    /* L = 1,2,4,...,N/2 */
    for (L=1; L<N; L*=2) {
        M = N/L; /* M = N, N/2,...,2 */
        M2 = M/2;
        M4 = M2/2;
```

```

/* L: number of sub-blocks
   M: length of sub-block */
numShifts += shiftIfRequired(xr, xi, N);
for (l=0; l<L; l++) {
  /* butterfly on (x[k],x[M2+k]), k = 0,...,N2-1 on each sub-block */
  for (k=0; k<M2; k++) {
    rotatePlusMinusINT32(xr[l*M+k],xr[l*M+M2+k],
                        &xr[l*M+k],&xr[l*M+M2+k]);
    rotatePlusMinusINT32(xi[l*M+k],xi[l*M+M2+k],
                        &xi[l*M+k],&xi[l*M+M2+k]);
  }
}
numShifts += shiftIfRequired(xr, xi, N);
if (M > 2) {
  for (l=0; l<L; l++) {
    if (srfftIndex(l) == 0) {
      /* x[N2+N4+k] -> -j*x[N2+N4+k] , k = 0,...,N4-1 on each sub-block
*/
      for (k=0; k<M4; k++) {
        swap(&xr[l*M+M2+M4+k],&xi[l*M+M2+M4+k]);
        xi[l*M+M2+M4+k] *= -1;
      }
    } else {
      /* complex multiplications */
      for (k = 1; k < M4; k++) {
        rotateINT32(4*k*SINE_DATA_SIZE/(2*M),
                  xi[l*M+k],xr[l*M+k],
                  &xi[l*M+k],&xr[l*M+k]);
        rotateINT32(4*k*SINE_DATA_SIZE/(2*M),
                  xi[l*M+M2-k],-xr[l*M+M2-k],
                  &xr[l*M+M2-k],&xi[l*M+M2-k]);
      }
      for (k = 1; 3*k < M4; k++) {
        rotateINT32(4*3*k*SINE_DATA_SIZE/(2*M),
                  xi[l*M+M2+k],xr[l*M+M2+k],
                  &xi[l*M+M2+k],&xr[l*M+M2+k]);
        rotateINT32(4*3*k*SINE_DATA_SIZE/(2*M),
                  -xi[l*M+M-k],xr[l*M+M-k],
                  &xr[l*M+M-k],&xi[l*M+M-k]);
      }
      for (; 3*k < 2*M4; k++) {
        rotateINT32(4*(M2-3*k)*SINE_DATA_SIZE/(2*M),
                  xi[l*M+M2+k],-xr[l*M+M2+k],
                  &xr[l*M+M2+k],&xi[l*M+M2+k]);
        rotateINT32(4*(M2-3*k)*SINE_DATA_SIZE/(2*M),
                  -xi[l*M+M-k],-xr[l*M+M-k],
                  &xi[l*M+M-k],&xr[l*M+M-k]);
      }
      for (; 3*k < 3*M4; k++) {
        rotateINT32(4*(3*k-M2)*SINE_DATA_SIZE/(2*M),
                  -xr[l*M+M2+k],xi[l*M+M2+k],
                  &xi[l*M+M2+k],&xr[l*M+M2+k]);
        rotateINT32(4*(3*k-M2)*SINE_DATA_SIZE/(2*M),
                  -xr[l*M+M-k],-xi[l*M+M-k],
                  &xr[l*M+M-k],&xi[l*M+M-k]);
      }
      rotatePlusMinusNormINT32(xi[l*M+M4],xr[l*M+M4],
                              &xr[l*M+M4],&xi[l*M+M4]);
      rotatePlusMinusNormINT32(-xr[l*M+M-M4],xi[l*M+M-M4],
                              &xr[l*M+M-M4],&xi[l*M+M-M4]);
    }
  }
}
bit_reverse_fixpt(xr,N);
bit_reverse_fixpt(xi,N);
return numShifts;
}

```

**Basic functions for FFT:**

```

int msbHeadroomINT32(INT32 *x, int N) {
    max = 0;
    for (i=0; i<N; i++) {
        max |= ABS(x[i]);
    }
    return (30-log2int(max));
}

int shiftIfRequired(INT32 *xr, INT32 *xi, int N) {
    shiftRequired = 0;
    if ((!msbHeadroomINT32(xr,N)) || (!msbHeadroomINT32(xi,N))) {
        shiftRequired = 1;
        shiftRightINT32(xr, N, 1);
        shiftRightINT32(xi, N, 1);
    }
    return shiftRequired;
}

void bit_reverse_fixpt(INT32 *x, int N) {
    for (m=1, j=0; m<N-1; m++) {
        for(k=N>>1; (!(j^=k)&k); k>>=1);
        if (j>m) swap(&x[m], &x[j]);
    }
}

srfftIndexTable[32] = {0, 1, 0, 0, 0, 1, 0, 1, 0, 1, 0, 0, 0, 1, 0, 0,
    0, 1, 0, 0, 0, 1, 0, 1, 0, 1, 0, 0, 0, 1, 0, 1};

int srfftIndex(int l) {
    return srfftIndexTable[(srfftIndexTable[l]>>4)<<4|(l&0xF)];
}

```

**Forward and inverse Integer Mid/Side processing:**

```

void IntMidSideINT32(INT32* l, INT32* r) /* L/R -> M/S */
{
    m = *l;
    s = *r;
    m += multShiftRoundINT32(-sineData_cs[SINE_DATA_SIZE/2], s, SHIFT);
    s += multShiftRoundINT32(sineData[SINE_DATA_SIZE/2], m, SHIFT);
    m += multShiftRoundINT32(-sineData_cs[SINE_DATA_SIZE/2], s, SHIFT);
    *l = s;
    *r = m;
}

void InverseIntMidSideINT32(INT32* l, INT32* r) /* M/S -> L/R */
{
    m = *l;
    s = *r;
    s -= multShiftRoundINT32(-sineData_cs[SINE_DATA_SIZE/2], m, SHIFT);
    m -= multShiftRoundINT32(sineData[SINE_DATA_SIZE/2], s, SHIFT);
    s -= multShiftRoundINT32(-sineData_cs[SINE_DATA_SIZE/2], m, SHIFT);
    *l = s;
    *r = m;
}

```

**12.5.11 Computation of table values based on compact tables**

The values of the tables *sineData*, *sineData\_cs*, *thrMantissa()*, and *invQuantMantissa()* are computed from the compact tables in Annex 12.B. This is described in the following pseudo code:

```

/* interpolate value between v0 = data[0] and v8 = data[8],
   using additionally vm8 = data[-8] and v16 = data[16] */
INT32 interpolateValue1to7(INT32 vm8,
                           INT32 v0,
                           INT32 v8,
                           INT32 v16,
                           INT32 l)
{
    INT32 value;
    INT32 d1, d2, d3;

    d1 = 2*(v8-v0); /* 1 add, 1 shift */
    d2 = v8-vm8; /* 1 add */
    d3 = v16-v0; /* 1 add */

    if (l==1) {
        value = v0 + ( ( 8*d2 - d2 + d1 + 64 ) >> 7 ); /* 4 adds, 2 shifts */
    } else if (l==2) {
        value = v0 + ( ( 2*d2 + d2 + d1 + 16 ) >> 5 ); /* 4 adds, 2 shifts */
    } else if (l==3) {
        value = v0 + ( ( 16*d2 - d2 + 8*d1 + d1 + 64 ) >> 7 ); /* 5 adds, 3 shifts */
    } else if (l==4) {
        value = v0 + ( ( d2 + d1 + 4 ) >> 3 ); /* 3 adds, 1 shifts */
    } else if (l==5) {
        value = v8 - ( ( 16*d3 - d3 + 8*d1 + d1 + 64 ) >> 7 ); /* 5 adds, 3 shifts */
    } else if (l==6) {
        value = v8 - ( ( 2*d3 + d3 + d1 + 16 ) >> 5 ); /* 4 adds, 2 shifts */
    } else if (l==7) {
        value = v8 - ( ( 8*d3 - d3 + d1 + 64 ) >> 7 ); /* 4 adds, 2 shifts */
    }
    return value;
}

INT32 interpolateFromCompactTable(int index, INT32* compactTable)
{
    INT32 value;

    j = index%8;
    k = index/8;

    if (j == 0) {
        value = compactTable[k+1];
        return value;
    }
    value = interpolateValue1to7(compactTable[k],
                                compactTable[k+1],
                                compactTable[k+2],
                                compactTable[k+3],
                                j);

    return value;
}

```

The values for the sineData and the sineData\_cs tables are computed by applying

```
sineData[index] = interpolateFromCompactTable(index, sineDataCompact);
```

resp.

```
sineData_cs[index] = interpolateFromCompactTable(index, sineDataCompact_cs);
```

The values for the function invQuantMantissa() are computed by

```

INT32 invQuantMantissa(int quant, int res)
{
    INT32 value;
    INT32 pow_2_quat[4] = {0, 1276901417, 1518500250, 1805811301};
    /* (int)(pow(2.0,res/4.0)*(1<<SHIFT)+0.5) */

    if (quant < MAX_INV_QUANT_TABLE) {
        value = invQuantCompact[quant];
        if (res > 0) {
            value = multShiftRoundINT32(value,
                                        pow_2_quat[res],
                                        SHIFT);
        }
    } else {
        l = quant%8;
        k = quant/8;
    }
}

```

```

if (l == 0) {
    value = invQuantMantissa(k, res) << 4; /* 8^(4/3) = 16 = 2^4 */
} else {
    value = interpolateValue1to7(invQuantMantissa(k-1, res) << 4,
                                invQuantMantissa(k, res) << 4,
                                invQuantMantissa(k+1, res) << 4,
                                invQuantMantissa(k+2, res) << 4,
                                1);
}
}
return value;
}

```

The value for the function thrMantissa() are computed by

```

INT32 thrMantissa(quant, res)
{
    INT32 value;
    INT32 invQuant0;
    INT32 invQuant1;

    if (quant < MAX_THR_TABLE) {
        value = thrCompact[res][quant];
    } else {
        invQuant0 = invQuantMantissa(quant, res);
        invQuant1 = invQuantMantissa(quant+1, res);
        value = invQuant1 + (((invQuant0 - invQuant1) * 13) >> 5);
    }
    return value;
}

```

## Annex 12.A (Informative) Encoder Description

### 12.A.1 Overview

The SLS encoder generates, for a given PCM audio input, a lossless bit-stream that can be decoded to a bit-exact reproduction of the given PCM audio by using an SLS decoder. Furthermore, the lossless stream generated by the SLS encoder can be truncated to lower bit-rates down to the bit-rate of the core MPEG-4 GA encoder. This way, the resulting bit-stream can be decoded by the SLS decoder to produce a lossy reproduction of the original audio in such a way that better signal fidelity is always achieved with higher rates in the LLE layer.

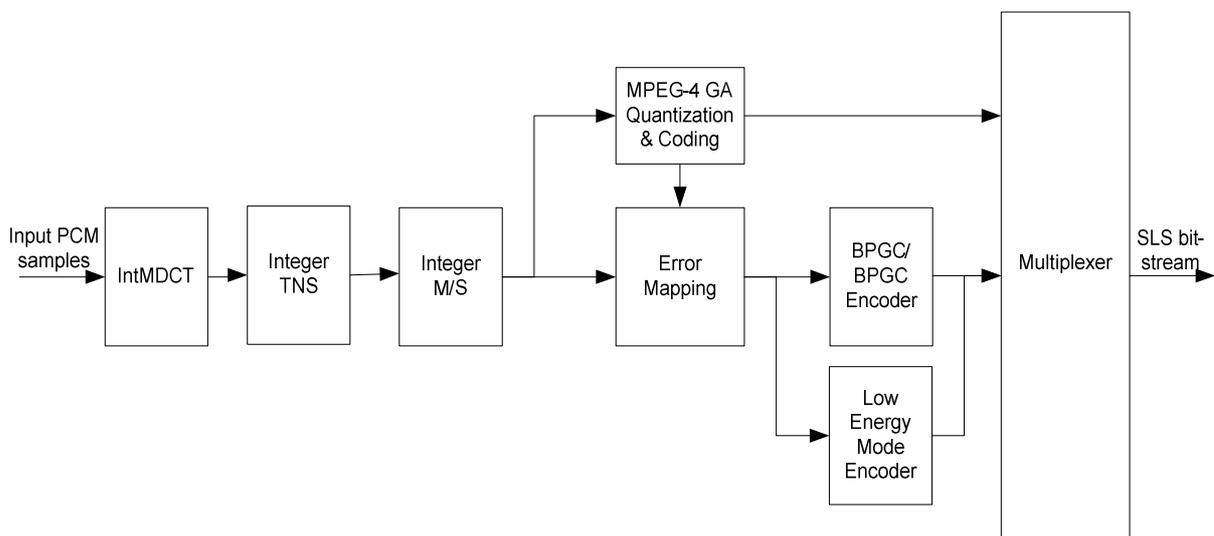


Figure 12.A.1 — Block diagram of SLS encoder

#### 12.A.1.1 Encoding with Oversampling

For the encoding process incorporating the oversampling technique, two approaches are possible: Downsampling in the MDCT domain and downsampling in the time domain.

Downsampling in the MDCT domain is illustrated in Figure 12.A.2. The input signal is processed by an IntMDCT of length  $osf \cdot 1024$  and the first 1024 spectral values are fed into the MPEG-4 GA encoder.

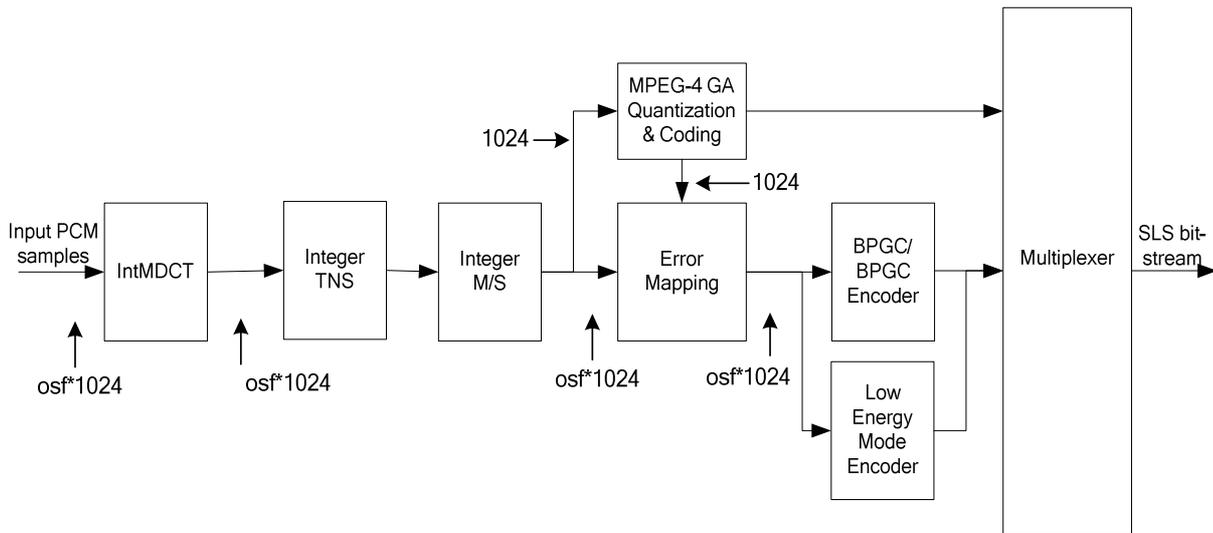


Figure 12.A.2 — Block diagram of SLS encoder with downsampling in the MDCT domain

For the second possible encoding approach, the input signal is downsampled in the time domain and the complete AAC encoding part is performed in parallel. This is illustrated in Figure 12.A.3.

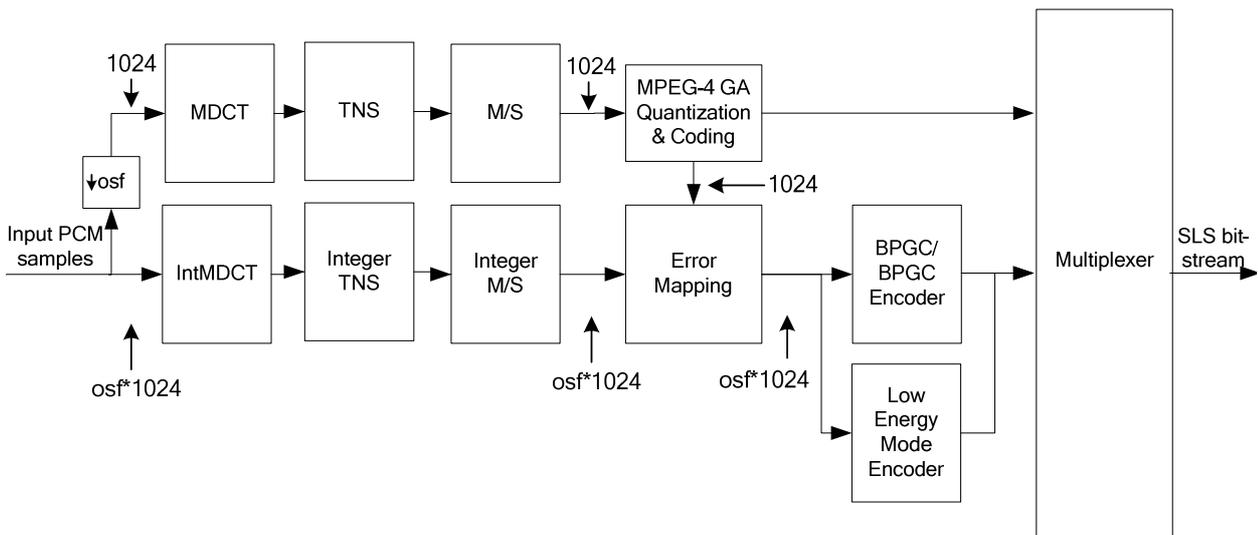


Figure 12.A.3 — Block diagram of SLS encoder with downsampling in the time domain

### 12.A.2 Integer MDCT

The IntMDCT is already described in the normative part.

### 12.A.3 Grouping and Interleaving

There are two types of window used in the SLS implementation. They are the same as in the AAC windowing scheme. One is a long window with  $osf \cdot 1024$  IntMDCT coefficients, the other is a short window with  $osf \cdot 128$  IntMDCT coefficients. An integer transform is applied to a windowed audio sequence. When the short window

is employed, the set of  $osf \cdot 1024$  IntMDCT coefficients is handled as a matrix of 8 by  $osf \cdot 128$  frequency coefficients representing the time-frequency evolution of the signal over the duration of eight short windows. The same *grouping and interleaving* process adopted in AAC is followed here. To be specific, assume that before interleaving the set of  $osf \cdot 1024$  IntMDCT coefficients  $c$  are indexed as

$$c[g][w][b][k]$$

where

$g$  is the index on groups

$w$  is the index on windows within a group

$b$  is the index on scale factor bands within a window

$k$  is the index on coefficients within a scale factor band

and the right-most index varies most rapidly.

After interleaving the coefficients are indexed as

$$c[g][b][w][k]$$

In the subsequent sections, when a short window is used we assume that the signal process is performed on the interleaved spectrum for eight short window frames unless otherwise specified.

#### 12.A.4 Integer Mid/Side

If the Mono IntMDCT is used for the left and the right channel, the integer M/S processing has to be applied to the scale factor bands where the M/S flag is set to '1'.

The Stereo IntMDCT delivers by default an M/S spectrum. Hence M/S has to be turned off for the scale factor bands where it is not desired.

The algorithms for both the forward and the inverse Integer Mid/Side processing are described in the normative part.

#### 12.A.5 Normalize before AAC Coding

After IntMDCT, a scale factor *core\_scaling* is used to normalize the IntMDCT coefficients  $c(k)$  for each scale factor band *sfb* in order to provide the AAC core layer input spectrum  $c'(k)$ .

$$c' = core\_scaling \cdot c,$$

where the value of the scale factor *core\_scaling* is jointly determined by the type of the corresponding scale factor band and the word length of the input audio given in the following Table:

**Table 12.A.1 — Value of *core\_scaling***

sfb Type	Word Length		
	16	20	24
Long Window (2048), M/S	32	2	1/8
Long Window (2048), non M/S	$32\sqrt{2}$	$2\sqrt{2}$	$\sqrt{2}/8$

Short Window (256), M/S	$8\sqrt{2}$	$\sqrt{2}/2$	$\sqrt{2}/32$
Short Window (256), non M/S	16	1	1/16

After normalization, the normalized  $c'$  is quantized with the core AAC quantizer, whose output quantization index  $i$  is then Huffman coded. It is then multiplexed with the necessary side information, e.g. scale factor  $scale\_factor(sfb)$  used in the quantizer for each scale factor band  $sfb$ , according to the AAC bit-stream syntax, to generate the core AAC bit-stream.

### 12.A.6 Error Mapping

In the LLE layer, an error mapping procedure is employed to remove the information that has been already coded in the core layer. The input to the error mapping module is the 1024 IntMDCT coefficients  $c[sfb][k]$  in the non-oversampling range and its corresponding quantized value in the core encoder  $quant[sfb][k]$ . Its output is the IntMDCT residual spectrum  $res$ . The detailed error mapping procedure is already given in the normative part of this document (12.5.7).

### 12.A.7 BPGC/CBAC Encoder

In SLS, the IntMDCT residual spectrum  $res$  is coded by the BPGC/CBAC coding process that consists of the following steps:

- BPGC/CBAC parameter determination
- Bit-plane coding of residual integer spectral data
- Low energy mode coding of residual integer spectral data

#### 12.A.7.1 BPGC/CBAC Parameter Determination

As a first step, the maximum bit-planes  $max\_bp$  for each scale factor band are identified. For Implicit\_Band, the maximum bit-plane  $M$  for each residual spectral data and can be calculated from

$$M[g][win][sfb][bin] = INT \left\{ \log_2 \left[ interval[g][win][sfb][bin] \right] \right\}$$

where  $interval[g][win][sfb][bin]$  is the AAC quantization interval that is calculated as shown in the normative part of this document.

For Explicit\_Band,  $M$  is given as:

$$M[g][win][sfb][bin] = INT \left( \log_2 \left| res[g][win][sfb][bin] \right| \right),$$

and we further define  $\log_2 0 = -1$  for the above log calculation. The maximum bit-plane  $max\_bp$  for each scalefactor band is the maximum value of  $M$  for spectral data that belongs to  $sfb$ :

$$max\_bp[g][sfb] = \max \left( M[g][win][sfb][bin] \right)$$

After finding  $max\_bp$  for each scale factor band, the lazy plane  $lazy\_bp$  is selected from the three possible values  $max\_bp-1$ ,  $max\_bp-2$ , and  $max\_bp-3$ .

#### 12.A.7.2 Bit-Plane Coding of Residual Integer Spectral Data

The following pseudo code illustrates how the sign and the amplitude of the IntMDCT residual spectral data  $res$  are coded into the BPGC/CBAC data stream. The help element  $M$  for an insignificant scalefactor band is

set to the value of *max\_bp* in order to be compatible with the decoding process. The BPGC/CBAC coding process is performed on scale factor bands for which *lazy\_bp*>0.

```

/* preparing of help elements */
for (g=0;g<num_window_groups;g++){
  for (sfb = 0;sfb<num_sfb;sfb++){
    width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
    for (win = 0;win <window_group_len[g];win++) {
      for (bin=0;bin<width;bin++)
        is_sig[g][win][sfb][bin]=
((quant[g][sfb][win][bin])&&(band_type[g][sfb]==Implicit_band))?1:0;
    }
    cur_bp[g][sfb] = max_bp[g][sfb];
  }
}
/* BPGC/CBAC normal coding process */
while ((there exists max_bp[g][sfb]-i >= 0) && (i<LAZY_BP)){
  for (g=0;g<num_window_groups;g++){
    for (sfb = 0;sfb<num_sfb;sfb++){
      if ((cur_bp[g][sfb]>=0) && (lazy_bp[g][sfb] > 0)){
        width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
        for (win=0;win<window_group_len[g];win++){
          for (bin=0;bin<width;bin++){
            sym = (abs(res[g][win][sfb][bin])&(1<<cur_bp[g][sfb]))?1:0;
            sgn = (sign(res[g][win][sfb][bin])+1)/2;
            if (interval[g][win][sfb][bin]>res[g][win][sfb][bin]+(1<<cur_bp[g][sfb])) {
              encode(sym,freq); /* encode bit-plane cur_bp*/
              if (!(is_sig[g][win][sfb][bin])&&(sym)){
                encode(sgn,freq_sign); /* encode sign bit if necessary */
                is_sig[g][win][sfb][bin] = 1;
              }
            }
          }
        }
      }
    }
    cur_bp[g][sfb]--;
  }
}

```

The BPGC/CBAC lazy coding mode is started after the first NUM\_BP bit-planes have been coded.

```

/* BPGC/CBAC lazy coding process */
flush_encode(); /* flush the AC encoder before lazy coding */
while (there exists max_bp[g][sfb]-i >= 0){
  for (g=0;g<num_window_groups;g++){
    for (sfb = 0;sfb<num_sfb;sfb++){
      if ((cur_bp[g][sfb]>=0) && (lazy_bp[g][sfb] > 0)){
        width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
        for (win=0;win<window_group_len[g];win++){
          for (bin=0;bin<width;bin++){
            sym = (abs(res[g][win][sfb][bin])&(1<<cur_bp[g][sfb]))?1:0;
            sgn = (sign(res[g][win][sfb][bin])+1)/2;
            if (interval[g][win][sfb][bin]>res[g][win][sfb][bin]+(1<<cur_bp[g][sfb])) {
              write_bit(sym); /* encode bit-plane cur_bp*/
              if (!(is_sig[g][win][sfb][bin])&&(sym)){
                write_bit(sgn); /* encode sign bit if necessary */
                is_sig[g][win][sfb][bin] = 1;
              }
            }
          }
        }
      }
    }
    cur_bp[g][sfb]--;
  }
}

```

The value of NUM\_BP is listed in Table 12.19

### 12.A.7.3 Low energy mode code (LEMC) encoding

For scale factor bands with *lazy\_bp* = 0, -1, -2, and -3, the residual spectral data *res* is not coded with the BPGC/CBAC. Instead, it is coded with the LEMC coding process. In the low energy mode, the amplitude of the residual spectral data *res* is first converted into binary format as listed in Table 12.26. The resulting binary string is then coded arithmetically. Note that the low energy mode coding process is performed directly after the BPGC coding process is completed.

The low energy mode coding process is illustrated with the following pseudo code:

```

for (g = 0; g < num_window_groups; g++){
  for (sfb = 0; sfb < num_sfb+num_osf_sfb; sfb++){
    if ((cur_bp[g][sfb] >= 0) && (lazy_bp[g][sfb] <= 0))
    {
      width = swb_offset[g][sfb+1] - swb_offset[g][sfb];
      for (win=0; win<window_group_len[g]; win++){
        pos = 0;
        for (bin=0; bin<width; bin++){
          if (!is_lle_ics_eof ()){
            amp = abs(res[g][sfb][win][bin]);
            sgn = (sign(res[g][sfb][win][bin]) + 1)/2;
            dumb = amp;
            while (dumb > 0) { /* binarize and encoding for non-zero res*/
              encode(1, freq_silence[position]);
              position++;
              if (position>2) position = 2;
              dumb --;
            }
            if (amp != (1<<(max_bp[g][win][sfb] + 1)) - 1)
              encode(0, freq_silence[position]); /* encode of terminating 0 */
            if (amp)
              encode(sgn, freq_sgn); /* encode of sign symbol*/
          }
        }
      }
    }
  }
}

```

The frequency assignment *freq* for BPGC/CBAC encoding and low energy mode encoding has already been given in the normative part.

The following pseudo code explains how the binary symbol is arithmetically coded in the BPGC/CBAC and the low energy mode coding processes.

**Definitions:**

```

#define CODE_WL      16
#define PRE_SHT      14
#define TOP_VALUE    (((long)1<<CODE_WL)-1)
#define QTR_VALUE    (TOP_VALUE/4+1)
#define HALF_VALUE   (2*QTR_VALUE)
#define TRDQTR_VALUE (3*QTR_VALUE)

```

**Initialization:**

```

low = 0;
high = TOP_VALUE;
fbits = 0;

```

**The encoding subroutine:**

```

void encode(int sym, int freq)
{
    range = (long)(high-low)+1;
    if (sym)
        high = low + (range*freq>>PRE_SHT)-1;
    else
        low = low + (range*freq>>PRE_SHT);
    for (;;) {
        if (high<HALF_VALUE) {
            output_bit(0);
            while (fbits > 0) {
                output_bit (1);
                fbits --;
            }
        } else if (low>=HALF_VALUE) {
            output_bit(1);
            while (fbits > 0) {
                output_bit (0);
                fbits --;
            }
        }
        low -= HALF_VALUE;
        high -= HALF_VALUE;
    } else if (ow>=QTR_VALUE && high<TRDQTR_VALUE) {
        fbits += 1;
        low -= QTR_VALUE;
        high -= QTR_VALUE;
    } else
        break;
    low = 2*low;
    high = 2*high+1;
    return;
}

```

**flush the status of encode:**

```

/* flush the state register of AC encoder*/
flush_encode()
{
    fbits += 1;
    if (low < QTR_VALUE)
        output_bit(0);
        while (fbits > 0) {
            output_bit (1);
            fbits --;
        }
    else
        output_bit(1);
        while (fbits > 0) {
            output_bit (0);
            fbits --;
        }
}

```

**12.A.8 Method of bitstream truncation by re-parsing the bitstream**

The full SLS bitstream can be truncated at any given target bitrate in a simple way. The modification of the values of *lle\_ics\_length* does not affect the LLE decoding results before the truncation point, since *lle\_ics\_length* is independent from the LLE decoding procedure. The bitstream truncation can be performed as follows:

1. Read the *lle\_ics\_length* from the bitstream
2. Read the LLE bitstream

3. Calculate the available frame length at a given target bitrate. The simplest way to calculate the available frame length is as follows:

$$\text{target\_bits} = (\text{int})(\text{target\_bitrate}/2.*1024.*\text{osf}/\text{sampling\_rate}+0.5)-16;$$
$$\text{target\_bytes} = (\text{target\_bits}+7)/8;$$

The variable *target\_bitrate* represents the target bitrate in bits/sec. The variable *osf* represents the oversampling factor. The variable *sampling\_rate* represents the sampling frequency of the input audio signal in Hz.

4. Update *lle\_ics\_length* by taking the minimum of the available frame length and the current frame length.

$$\text{lle\_ics\_length} = \min(\text{lle\_ics\_length}, \text{target\_bytes});$$

5. Generate the truncated bitstream with the updated *lle\_ics\_length*.

The resulting truncated bitstream is decoded with the smart arithmetic decoding method as described in 12.5.5.2.5.

## Annex 12.B (Normative) Tables

### 12.B.1 Tables for pre-defined fixed-point coefficients

```

define SINE_DATA_SIZE 8192

/* sin(0,...,pi/4) and +-1 */
INT32 sineDataCompact[515] = {
-1647099, 0, 1647099, 3294193, 4941281, 6588356, 8235416, 9882456,
11529474, 13176464, 14823423, 16470347, 18117233, 19764076, 21410872, 23057618,
24704310, 26350943, 27997515, 29644021, 31290457, 32936819, 34583104, 36229307,
37875426, 39521455, 41167391, 42813230, 44458968, 46104602, 47750128, 49395541,
51040837, 52686014, 54331067, 55975992, 57620785, 59265442, 60909960, 62554335,
64198563, 65842639, 67486561, 69130324, 70773924, 72417357, 74060620, 75703709,
77346620, 78989349, 80631892, 82274245, 83916404, 85558366, 87200127, 88841683,
90483029, 92124163, 93765079, 95405776, 97046247, 98686491, 100326502, 101966277,
103605812, 105245103, 106884147, 108522939, 110161476, 111799753, 113437768, 115075515,
116712992, 118350194, 119987118, 121623759, 123260114, 124896179, 126531950, 128167423,
129802595, 131437462, 133072019, 134706263, 136340190, 137973796, 139607077, 141240030,
142872651, 144504935, 146136880, 147768480, 149399733, 151030634, 152661180, 154291367,
155921191, 157550647, 159179733, 160808445, 162436778, 164064728, 165692293, 167319468,
168946249, 170572633, 172198615, 173824192, 175449360, 177074115, 178698453, 180322371,
181945865, 183568930, 185191564, 186813762, 188435520, 190056834, 191677702, 193298119,
194918080, 196537583, 198156624, 199775198, 201393302, 203010932, 204628085, 206244756,
207860942, 209476638, 211091842, 212706549, 214320755, 215934457, 217547651, 219160334,
220772500, 222384147, 223995270, 225605867, 227215933, 228825464, 230434456, 232042906,
233650811, 235258165, 236864966, 238471210, 240076892, 241682010, 243286558, 244890535,
246493935, 248096755, 249698991, 251300640, 252901697, 254502159, 256102022, 257701283,
259299937, 260897982, 262495412, 264092224, 265688415, 267283981, 268878918, 270473223,
272066891, 273659918, 275252302, 276844038, 278435122, 280025552, 281615322, 283204430,
284792871, 286380643, 287967740, 289554160, 291139898, 292724951, 294309316, 295892988,
297475964, 299058239, 300639811, 302220676, 303800829, 305380268, 306958988, 308536985,
310114257, 311690799, 313266607, 314841679, 316416009, 317989595, 319562433, 321134518,
322705848, 324276419, 325846226, 327415267, 328983538, 330551034, 332117752, 333683689,
335248841, 336813204, 338376774, 339939549, 341501523, 343062693, 344623057, 346182609,
347741347, 349299266, 350856364, 352412636, 353968079, 355522689, 357076462, 358629395,
360181484, 361732726, 363283116, 364832652, 366381329, 367929144, 369476093, 371022173,
372567379, 374111709, 375655159, 377197725, 378739403, 380280190, 381820082, 383359076,
384897167, 386434353, 387970630, 389505993, 391040440, 392573967, 394106570, 395638246,
397168991, 398698801, 400227673, 401755603, 403282588, 404808624, 406333708, 407857835,
409381002, 410903207, 412424444, 413944711, 415464004, 416982319, 418499653, 420016002,
421531363, 423045732, 424559105, 426071480, 427582852, 429093217, 430602573, 432110916,
433618242, 435124548, 436629829, 438134084, 439637307, 441139496, 442640647, 444140756,
445639820, 447137835, 448634799, 450130706, 451625555, 453119340, 454612060, 456103710,
457594286, 459083786, 460572205, 462059541, 463545789, 465030947, 466515010, 467997976,
469479840, 470960600, 472440251, 473918791, 475396216, 476872522, 478347705, 479821764,
481294693, 482766489, 484237150, 485706671, 487175049, 488642281, 490108363, 491573292,
493037064, 494499676, 495961124, 497421405, 498880516, 500338453, 501795212, 503250791,
504705185, 506158392, 507610408, 509061229, 510510853, 511959275, 513406493, 514852502,
516297300, 517740883, 519183248, 520624391, 522064309, 523502998, 524940456, 526376678,
527811662, 529245404, 530677900, 532109148, 533539144, 534967884, 536395365, 537821584,
539246538, 540670223, 542092635, 543513772, 544933630, 546352205, 547769495, 549185496,
550600205, 552013618, 553425732, 554836544, 556246051, 557654248, 559061133, 560466703,
561870954, 563273883, 564675486, 566075761, 567474703, 568872310, 570268579, 571663506,
573057087, 5744449320, 575840202, 577229728, 578617896, 580004702, 581390144, 582774218,
584156920, 585538248, 586918198, 588296766, 589673951, 591049748, 592424154, 593797166,
595168781, 596538995, 597907806, 599275210, 600641203, 602005783, 603368947, 604730691,
606091012, 607449906, 608807372, 610163404, 611518001, 612871159, 614222875, 615573145,
616921967, 618269338, 619615253, 620959711, 622302707, 623644239, 624984303, 626322897,
627660017, 628995660, 630329823, 631662503, 632993696, 634323400, 635651611, 636978327,
638303543, 639627258, 640949467, 642270169, 643589359, 644907034, 646223192, 647537830,
648850943, 650162530, 651472587, 652781111, 654088099, 655393548, 656697454, 657999816,
659300629, 660599890, 661897597, 663193747, 664488336, 665781362, 667072820, 668362709,
669651026, 670937767, 672222928, 673506508, 674788504, 676068911, 677347728, 678624950,
679900576, 681174602, 682447025, 683717842, 684987051, 686254647, 687520629, 688784993,
690047736, 691308855, 692568348, 693826211, 695082441, 696337036, 697589992, 698841307,
700090977, 701339000, 702585372, 703830092, 705073155, 706314559, 707554301, 708792378,

```

ISO/IEC 14496-3:2009(E)

710028787, 711263525, 712496590, 713727978, 714957687, 716185713, 717412054, 718636707,
719859669, 721080937, 722300508, 723518380, 724734549, 725949013, 727161768, 728372813,
729582143, 730789757, 731995651, 733199822, 734402269, 735602987, 736801974, 737999228,
739194745, 740388522, 741580558, 742770848, 743959390, 745146182, 746331221, 747514503,
748696026, 749875788, 751053785, 752230015, 753404474, 754577161, 755748072, 756917205,
758084557, 759250125, 760413906
};

/\* (1-cos)/sin (0,...,pi/4) == tan(0,...,pi/8) \*/
INT32 sineDataCompact\_cs[515] = {
-823550, 0, 823550, 1647101, 2470653, 3294209, 4117769, 4941333,
5764903, 6588480, 7412065, 8235658, 9059261, 9882875, 10706500, 11530138,
12353790, 13177456, 14001138, 14824836, 15648551, 16472285, 17296039, 18119812,
18943607, 19767425, 20591265, 21415130, 22239020, 23062936, 23886880, 24710851,
25534852, 26358882, 27182944, 28007038, 28831164, 29655325, 30479520, 31303752,
32128020, 32952326, 33776671, 34601055, 35425481, 36249948, 37074458, 37899011,
38723609, 39548253, 40372944, 41197681, 42022468, 42847304, 43672190, 44497128,
45322119, 46147163, 46972261, 47797414, 48622624, 49447892, 50273217, 51098602,
51924047, 52749553, 53575122, 54400754, 55226449, 56052210, 56878038, 57703932,
58529894, 59355926, 60182027, 61008200, 61834444, 62660762, 63487153, 64313620,
65140162, 65966781, 66793478, 67620255, 68447111, 69274047, 70101066, 70928168,
71755353, 72582623, 73409979, 74237422, 75064952, 75892571, 76720280, 77548080,
78375971, 79203955, 80032033, 80860205, 81688474, 82516838, 83345301, 84173862,
85002523, 85831284, 86660147, 87489113, 88318182, 89147356, 89976635, 90806021,
91635515, 92465117, 93294829, 94124652, 94954586, 95784632, 96614793, 97445068,
98275458, 99105965, 99936590, 100767333, 101598196, 102429179, 103260284, 104091512,
104922863, 105754339, 106585941, 107417669, 108249525, 109081509, 109913623, 110745868,
111578245, 112410754, 113243397, 114076174, 114909088, 115742138, 116575326, 117408652,
118242119, 119075726, 119909475, 120743367, 121577403, 122411583, 123245910, 124080383,
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131597424, 132433423, 133269580, 134105896, 134942372, 135779010, 136615810, 137452774,
138289901, 139127195, 139964654, 140802281, 141640077, 142478042, 143316178, 144154485,
144992965, 145831619, 146670447, 147509452, 148348633, 149187992, 150027529, 150867247,
151707146, 152547227, 153387491, 154227939, 155068572, 155909392, 156750399, 157591594,
158432979, 159274554, 160116320, 160958280, 161800432, 162642780, 163485323, 164328063,
165171001, 166014138, 166857475, 167701013, 168544753, 169388696, 170232844, 171077197,
171921756, 172766522, 173611498, 174456682, 175302078, 176147685, 176993505, 177839539,
178685788, 179532253, 180378935, 181225835, 182072955, 182920295, 183767856, 184615640,
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226452568, 227312888, 228173487, 229034367, 229895528, 230756972, 231618701, 232480714,
233343014, 234205601, 235068477, 235931643, 236795100, 237658849, 238522891, 239387228,
240251860, 241116790, 241982017, 242847543, 243713370, 244579498, 245445929, 246312664,
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254127147, 254996985, 255867141, 256737615, 257608408, 258479521, 259350957, 260222715,
261094797, 261967205, 262839939, 263713002, 264586393, 265460114, 266334167, 267208552,
268083271, 268958326, 269833716, 270709444, 271585511, 272461918, 273338666, 274215756,
275093191, 275970970, 276849095, 277727567, 278606389, 279485560, 280365082, 281244956,
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289179889, 290063356, 290947189, 291831390, 292715959, 293600899, 294486209, 295371892,
296257949, 297144381, 298031190, 298918376, 299805941, 300693886, 301582213, 302470922,
303360016, 304249495, 305139361, 306029615, 306920258, 307811292, 308702717, 309594536,
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317638818, 318534642, 319430873, 320327513, 321224564, 322122027, 323019902, 323918192,
324816898, 325716021, 326615563, 327515524, 328415907, 329316712, 330217941, 331119595,
332021676, 332924185, 333827123, 334730492, 335634293, 336538528, 337443197, 338348303,
339253846, 340159828, 341066251, 341973115, 342880423, 343788175, 344696373, 345605018,
346514112, 347423657, 348333652, 349244101, 350155004, 351066363, 351978180, 352890454,
353803189, 354716385, 355630045, 356544168, 357458757, 358373814, 359289339, 360205334,
361121800, 362038740, 362956154, 363874044, 364792411, 365711256, 366630582, 367550390,
368470680, 369391456, 370312717, 371234466, 372156704, 373079432, 374002652, 374926366,
375850574, 376775279, 377700482, 378626184, 379552387, 380479093, 381406302, 382334016,
383262238, 384190968, 385120208, 386049959, 386980223, 387911001, 388842296, 389774108,
390706439, 391639290, 392572664, 393506561, 394440984, 395375933, 396311410, 397247417,
398183956, 399121027, 400058633, 400996775, 401935455, 402874673, 403814433, 404754734,
405695580, 406636971, 407578909, 408521396, 409464433, 410408022, 411352164, 412296861,
413242115, 414187927, 415134299, 416081232, 417028278, 417976789, 418925416, 419874612,
420824376, 421774712, 422725621, 423677104, 424629163, 425581800, 426535017, 427488814,
428443194, 429398159, 430353709, 431309847, 432266574, 433223893, 434181804, 435140309,
436099411, 437059110, 438019409, 438980309, 439941811, 440903918, 441866632, 442829953,
443793884, 444758426, 445723581
};

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```

define MAX_INV_QUANT_TABLE 1025

/* (int)(0.5 + (1<<12) * pow((double)quant, (double)4/(double)3)) */
INT32 invQuantCompact[MAX_INV_QUANT_TABLE] = {
0, 4096, 10321, 17722, 26008, 35020, 44658, 54848, 65536, 76680, 88246,
100204, 112530, 125204, 138207, 151524, 165140, 179043, 193222, 207666,
222365, 237312, 252497, 267915, 283558, 299419, 315494, 331776, 348260,
364942, 381817, 398880, 416128, 433556, 451161, 468940, 486889, 505005,
523285, 541726, 560325, 579080, 597988, 617046, 636253, 655607, 675104,
694742, 714521, 734437, 754490, 774676, 794995, 815445, 836023, 856729,
877561, 898517, 919596, 940797, 962118, 983557, 1005114, 1026788, 1048576,
1070478, 1092493, 1114619, 1136855, 1159201, 1181655, 1204216, 1226883,
1249656, 1272533, 1295513, 1318595, 1341779, 1365063, 1388447, 1411930,
1435511, 1459190, 1482964, 1506835, 1530800, 1554860, 1579013, 1603258,
1627596, 1652025, 1676545, 1701154, 1725853, 1750641, 1775517, 1800480,
1825530, 1850666, 1875888, 1901195, 1926586, 1952062, 1977620, 2003262,
2028986, 2054792, 2080679, 2106646, 2132694, 2158822, 2185029, 2211315,
2237679, 2264122, 2290641, 2317238, 2343911, 2370660, 2397485, 2424385,
2451360, 2478409, 2505533, 2532730, 2560000, 2587343, 2614758, 2642246,
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