

# Evaluation of $\langle HF | b^\dagger \hat{h} a | HF \rangle$ Continued.

We saw  $\langle HF | b^\dagger \hat{h} a | HF \rangle =$   
 $-h_{ab} + \sum_c h_{cc}$

Let us next evaluate  $\hat{V}$  contribution:

$$\frac{1}{4} \sum_{i,j,k,l} \langle HF | b^\dagger i^\dagger j^\dagger l k a | HF \rangle \langle ij || kl \rangle$$

$$= \frac{1}{4} \sum_{i,j,k,l} \langle ij || kl \rangle [ \langle HF | b^\dagger i^\dagger j^\dagger l k a | HF \rangle$$

$$+ \langle HF | b^\dagger i^\dagger j^\dagger l k a | HF \rangle$$

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$$+ \langle HF | b^\dagger i^\dagger j^\dagger l k a | HF \rangle \quad (4 \text{ Contr.})$$

$$= \frac{1}{4} \sum_c \langle ca || cb \rangle (-)$$

$$+ \frac{1}{4} \sum_c \langle ca || bc \rangle (+)$$

$$+ \frac{1}{4} \sum_c \langle ac || cb \rangle (+)$$

$$+ \frac{1}{4} \sum_c \langle ac || bc \rangle (-)$$

$$\left. \begin{array}{l} (-) \\ (+) \\ (+) \\ (-) \end{array} \right\} \rightarrow - \sum_c \langle ac || bc \rangle$$

$$+ \delta_{ab} \langle HF | \hat{V} | HF \rangle$$

Hence in total we have obtained

$$\begin{aligned} & \langle HF | b^\dagger \hat{H} a | HF \rangle \\ &= -h_{ab} - \sum_c \langle ac || bc \rangle + \langle HF | \hat{H} | HF \rangle \end{aligned}$$

Define so-called Fock matrix

$$-f_{ab} = -h_{ab} - \sum_{c \in \text{occ}} \langle ac || bc \rangle$$

then  ~~$\langle HF | b^\dagger \hat{H} a | HF \rangle$~~

$$= -f_{ab} + E_{HF} \delta_{ab}$$

diagonalise  $f_{ab}$  (over occupied orbitals)

$$\sum_b f_{ab} c_{b,\lambda} = \epsilon_\lambda c_{a,\lambda}$$

→ "orbital eigenvalues" are approximations to ionization energies

$$E_{IP,\lambda} - E_{HF} \approx -\epsilon_\lambda$$

$$-\epsilon_\lambda > 0 \quad (E_{HF} < E_{IP})$$

$\epsilon_\lambda$ , eigenvalues of  $\hat{f}$  for occupied orbitals are negative (printed in Gaussian ...)

Let us also consider electron attachment states with one more electron

$$\Psi_M = \sum_r \tilde{r}^\dagger |HF\rangle c_{r,M}$$

$r$ : virtual orbital, not occupied in  $|HF\rangle$

$\Rightarrow$  evaluate matrix-elements

$$\langle HF | \hat{H} s^\dagger | HF \rangle \quad r, s \text{ virtual}$$

$\Rightarrow$  use 'line'-algorithm:  $\hat{h}$  first

$$\sum_{ij} h_{ij} \left[ \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{s}^{\downarrow} | HF \rangle + \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{s}^{\downarrow} | HF \rangle \right]$$

$$= h_{rs} + \delta_{rs} \langle HF | \hat{h} | HF \rangle$$

$$= h_{rs} + \sum_c h_{cc}$$

$$\begin{aligned} \frac{1}{4} \sum_{i,j,h,e} \langle ij || h e \rangle & \left[ \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{e}^{\downarrow} \overbrace{h}^{\uparrow} \overbrace{s}^{\downarrow} | HF \rangle \rightarrow \langle re || se \rangle \right. \\ & + \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{h}^{\downarrow} \overbrace{s}^{\uparrow} | HF \rangle \rightarrow \langle cr || sc \rangle \\ & + \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{e}^{\downarrow} \overbrace{h}^{\uparrow} \overbrace{s}^{\downarrow} | HF \rangle \rightarrow \langle re || cs \rangle \\ & + \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{h}^{\downarrow} \overbrace{s}^{\uparrow} | HF \rangle \rightarrow \langle cr || cs \rangle \\ & \left. + \langle HF | \overbrace{r}^{\uparrow} \overbrace{i}^{\downarrow} \overbrace{j}^{\uparrow} \overbrace{e}^{\downarrow} \overbrace{h}^{\uparrow} \overbrace{s}^{\downarrow} | HF \rangle \right] \delta_{rs} \langle \hat{V} \rangle_{HF} \end{aligned}$$

Kilroy

In total the 4 permutations of  $\frac{1}{4} (rc||sc)$  add up to  $+1 (rc||sc)$

hence  $\langle HF | r H s^+ | HF \rangle$

$$= h_{rs} + \sum_c (rc||sc) + \delta_{rs} \langle HF | \hat{H} | HF \rangle$$

$$\equiv f_{rs} + \delta_{rs} E_{HF}$$

→ diagonalize  $f_{rs}$  over virtual labels  $f_{rs} C_{\lambda} = \epsilon_{\lambda} C_{\lambda}$

⇒  $\epsilon_{\lambda}$  virtual orbital energies

$$(E_{H+1, \lambda} - E_{HF}) = \epsilon_{\lambda}$$

$\epsilon_{\lambda} > 0$  typically.

This would mean one cannot bind an extra electron, and these energies are artifacts of finite basis calculation.

Only  $\epsilon_{\lambda} < 0$  would have solid physical meaning.

The ~~energy~~ Ionization energies, eigenvalues of the Fock matrix are often reasonable (errors of about 1 eV out of ~10 eV)

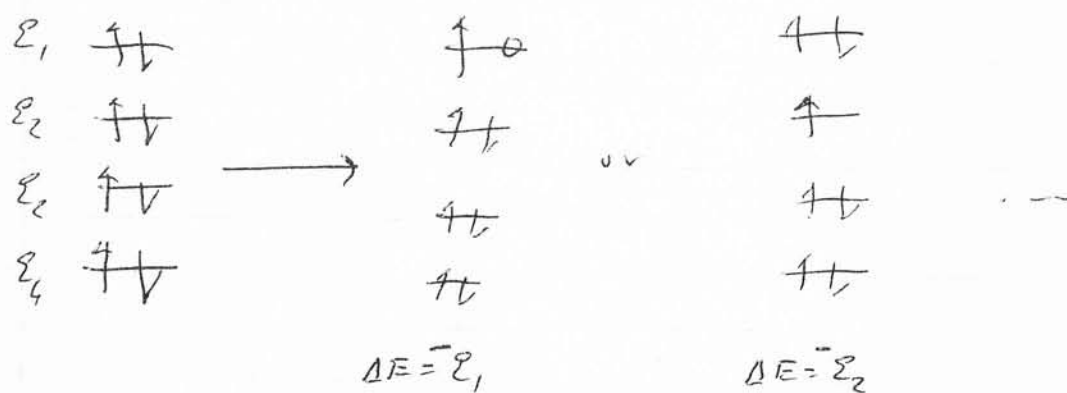
This is called Koopmans' theorem.

It is perfectly fine to define  
the orbitals occupied in (HF)  
as the so-called canonical orbitals  
= eigenvectors of the Fock matrix

If I make linear combinations of the  
columns in a determinant, the  
determinant stays the same.

$\Rightarrow$  orbitals are somewhat arbitrary.  
Only the determinant matters.  
(see later for more discussion)  
(also see lecture notes --)

If we choose the orbitals as  
eigenvectors of the Fock matrix  
we get a single picture



$$\Delta E = \epsilon_1$$

$$\Delta E = \epsilon_2$$

$$\hat{a}_1 (HF)$$

$$\hat{a}_2 (HF)$$

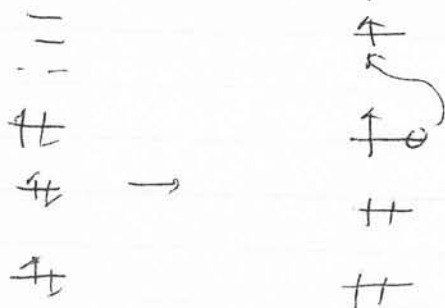
remove canonical orbital from HF.

Similar picture for electron-attachment.  
(add electron in virtual orbital).

## Singly excited states :

$$| \Psi_i \rangle = \sum_{r,a} \hat{r}^\dagger \hat{a} | HF \rangle C_r^a$$

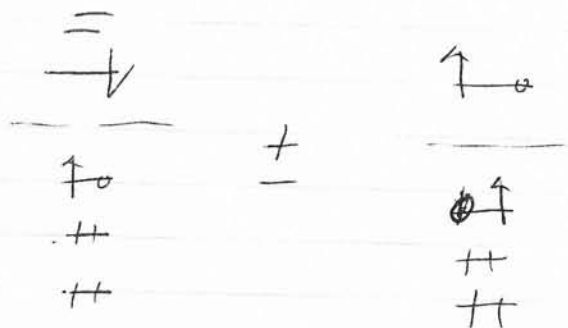
→ promote electron in orbital  $a$  (occupied in HF) to orbital  $r$  (unoccupied or virtual)



$\bar{a} \rightarrow r$  (remove  $\beta$ , populate  $\alpha$ )

$r^\dagger \bar{a} | HF \rangle \rightarrow (\alpha\alpha)$  triplet state

$\bar{r}^\dagger a | HF \rangle \rightarrow (\beta\beta)$  triplet state



$r^\dagger a | HF \rangle + \bar{r}^\dagger \bar{a} | HF \rangle \rightarrow$  ~~triplet~~ <sup>Singlet</sup>

$r^\dagger a | HF \rangle - \bar{r}^\dagger \bar{a} | HF \rangle \rightarrow$  triplet

(always linear combination)

while for ionized and attached states we can define optimal "canonical" orbitals, such that states are single configurations (to good approximation) this is often not possible for excited states. We intrinsically need linear combination

$$\Psi_A = \sum_{r,a} r^+ a |HF\rangle C_{ra}$$

(here  $r, a$  general spin-orbitals)

Let us consider matrix-elements

$$\begin{aligned} \sum h_{ij} & \left[ \langle HF | \overbrace{b^+ s^+ i^+ j^+ r^+ a} | HF \rangle : h_{sr} \delta_{ab} \right. \\ & + \langle HF | \overbrace{b^+ s^+ i^+ j^+ r^+ a} | HF \rangle : - \delta_{sr} h_{ab} \\ & + \langle HF | \overbrace{b^+ s^+ i^+ j^+ r^+ a} | HF \rangle : + \delta_{ab} \delta_{sr} \sum_c h_{cc} \\ & + \frac{1}{4} \langle ij || kl \rangle \left[ \langle HF | \overbrace{b^+ s^+ i^+ j^+ l^+ k^+ r^+ a} | HF \rangle - \langle sa || rb \rangle \right. \\ & \quad \quad \quad (\times 4) \\ & \quad + \langle HF | \overbrace{b^+ s^+ i^+ j^+ l^+ k^+ r^+ a} | HF \rangle \delta_{ab} \sum_c \langle sc || rc \rangle \\ & \quad + \langle HF | \overbrace{b^+ s^+ i^+ j^+ l^+ k^+ r^+ a} | HF \rangle - \delta_{rs} \sum_c \langle ac || bc \rangle \\ & \quad + \langle HF | \overbrace{b^+ s^+ i^+ j^+ l^+ k^+ r^+ a} | HF \rangle + \delta_{sr} \delta_{ab} \langle HF | \hat{V} | HF \rangle \\ & = \delta_{ab} f_{rs} - f_{ab} \delta_{rs} - \langle sa || rb \rangle + \delta_{sr} \delta_{ab} E_{HF} \end{aligned}$$



It is seen that we can identify the fock matrix elements  $f_{rs}$  and  $f_{ab}$

$\Rightarrow$  If we neglect  $\langle S_a || r_b \rangle$  excitation energies  $E_x - E_{HF}$  are represented by difference in HF orbital energies.  $(\epsilon_r - \epsilon_a)$

This is a very poor approximation however, the 2-electron term is vital.

We can meaningfully look at a single pair of excitations

	$r^+ a^-   HF \rangle$	$\bar{r}^+ \bar{a}^-   HF \rangle$
$r^+ a^-   HF \rangle$	$\epsilon_r - \epsilon_a \pm \langle r a    r a \rangle$	$\langle r a    a \bar{r} \rangle$
$\bar{r}^+ \bar{a}^-   HF \rangle$	$\langle r a    a \bar{r} \rangle$	$\epsilon_r - \epsilon_a - \langle \bar{r} a    \bar{r} a \rangle$

$$\rightarrow E = \epsilon_r - \epsilon_a - J_{aa} \pm K_{ra}$$

$\ominus$ : triplet state

$\oplus$ : singlet state.



Can we understand  $\ominus$  sign in  $\langle r\bar{a} | r\bar{a} \rangle$  ?

r	+		
a	+	+	+
	+	-	+
	+		+

$$\mathcal{E}_r = h_{rr} + \sum_c \langle rc || rc \rangle$$

$$\mathcal{E}_a = h_{aa} + \sum_c \langle ac || ac \rangle$$

$\mathcal{E}_r$  includes all V-interactions with all occupied orbitals.

However one interaction  $\langle \bar{r}\bar{a} || \bar{r}\bar{a} \rangle$  is missing  $\rightarrow$  subtract from diagonal (hence this is correcting the use of f-matrix-elements)

In  $\mathcal{E}_a$  we subtract all interactions that are missing compared to (HF)

Using the f-matrix-elements we obtain quite compact expressions for matrix elements.