

$$\begin{aligned}
 h(\sqrt{I}) = \emptyset &\Rightarrow \text{by part ①} \\
 C_c(X \setminus E) \subseteq \sqrt{I} &\Rightarrow \sqrt{I} = C_c(X \setminus E) \\
 &\Rightarrow I = \ker(E)
 \end{aligned}$$

Let  $F$  and  $E$  are two distinct closed subsets of  $X$ .  
By Urysohn's lemma,  $\ker(E) \neq \ker(F)$ .  $\square$

Corollary:  $X$  l.c.H. space  $E \subseteq X$  closed subset, then  $E$  is a set of spectral synthesis.

Proof:  $\ker(E)$  is the unique closed ideal of  $C_c(X)$  which has  $E$  as its hull.  $\square$

### Vector-valued Riemann Integrals

$[a, b] \subseteq \mathbb{R}$ ,  $X$ : Banach space

$$S([a, b], X) = \text{span} \left\{ 1_I x; I \subseteq [a, b] \text{ an interval, } x \in X \right\}$$

$$S([a, b], X) \subseteq \ell^\infty([a, b], X) = \left\{ f: [a, b] \rightarrow X; \sup \{ \|f(t)\|; t \in [a, b] \} < \infty \right\}$$

Every  $\varphi \in S([a, b], X)$  can be represented as

$$\varphi = \sum_{i=1}^n x_i 1_{I_i} \quad \text{with} \quad \bigsqcup_{i=1}^n I_i = [a, b]$$

Define

$$\int_a^b \varphi = \sum_{i=1}^n l(I_i) x_i$$

Note. (a) If

$$\varphi = \sum_{i=1}^n a_i 1_{I_i} \quad \bigsqcup_{i=1}^n I_i = [a, b]$$

$$\text{and} \quad \varphi = \sum_{j=1}^m b_j 1_{I'_j} \quad \bigsqcup_{j=1}^m I'_j = [a, b]$$

then

$$\sum_{i=1}^n a_i l(I_i) = \sum_{j=1}^m b_j l(I'_j).$$

$$(b) \int (f+cf) = \int f + c \int f \quad c \in \mathbb{C}$$

$$(c) \text{ If } f = \sum_{i=1}^n x_i \mathbb{1}_{I_i} \quad \bigsqcup_{i=1}^n I_i = [a,b]$$

then

$$\| \int f \| = \left\| \sum_{i=1}^n x_i \mathbb{1}(I_i) \right\| \leq \sum_{i=1}^n \|x_i\| \ell(I_i) \leq \|f\|_{\infty} (b-a)$$

because

$$\|f\|_{\infty} = \max \{ \|x_i\|; i \in \{1, \dots, n\} \}$$

(d) If  $T \in \mathcal{B}(X, Y)$ ,  $Y$  another Banach space then

$$T \int f = \int T \circ f$$

(Exercises)

Proposition: Let  $\bar{S} = \overline{S([a,b], X)}$  denote the closure inside  $\mathcal{L}^{\infty}([a,b], X)$ .

(1) If  $\tau \in \bar{S}$ , and  $\varphi_n \rightarrow \tau$  (uniformly) and  $\psi_n \rightarrow \tau$  (uniformly) with  $\varphi_n, \psi_n \in S([a,b], X)$ , then  $\lim_n \int \varphi_n$  and  $\lim_n \int \psi_n$  exist and are equal.

Define

$$\int \tau = \lim_{n \rightarrow \infty} \int \varphi_n$$

(2)  $\tau \mapsto \int \tau$  is linear, also

$$\left\| \int_a^b \tau \right\| \leq \|\tau\|_{\infty} (b-a)$$

(3)  $C([a,b], X) \subseteq \bar{S}$ .

Proof: (1)  $\{\varphi_n\}$  is Cauchy  $\xrightarrow{\text{above (c)}} \{\int \varphi_n\}$  Cauchy  
 $\{\psi_n\}$  Cauchy

$$\lim \int \varphi_n = \lim \int \psi_n$$

because  $\|\varphi_n - \psi_n\|_{\infty} \rightarrow 0$

(2) follows from (b) and (1), and norm estimate follows from (c)

(3) let  $\tau \in C([a,b], X)$ .

$$\tau = \lim_{n \rightarrow \infty} \left( \sum_{i=0}^{n(b-a)} \tau\left(a + \frac{i}{n}\right) \mathbb{1}_{\left[a + \frac{i}{n}, a + \frac{i+1}{n}\right]} \right)$$

## Iterated integrals

Let  $[a,b], [c,d] \subseteq \mathbb{R}$ ,  $X$  Ban space. Let  
 $S = \mathcal{S}([a,b] \times [c,d], X)$   
 $= \text{span} \{ 1_{I \times J}(\cdot, \cdot) x; I \subseteq [a,b], J \subseteq [c,d] \text{ sub-intervals, } x \in X \}$ .

(i) If  $\varphi \in S$  we may write

$$\varphi = \sum_{i=1}^n \sum_{j=1}^m 1_{I_i \times J_j}(\cdot, \cdot) x_{ij}, \quad x_{ij} \in X, [a,b] = \bigsqcup_{j=1}^n I_j, [c,d] = \bigsqcup_{i=1}^m J_i \quad \text{indices}$$

(ii) If  $\varphi \in S$ , as in (i),

$$\int_a^b \varphi(s, \cdot) ds = \sum_{j=1}^n \sum_{i=1}^m l(I_j) 1_{J_i}(\cdot) x_{ij}$$

$$\int_c^d \varphi(\cdot, t) dt = \sum_{j=1}^n \sum_{i=1}^m l(J_i) 1_{I_j}(\cdot) x_{ij}$$

are each step functions, themselves. Then

$$\int_c^d \int_a^b \varphi(s,t) ds dt = \sum_{j=1}^n \sum_{i=1}^m l(I_j) l(J_i) x_{ij} = \int_a^b \int_c^d \varphi(s,t) dt ds$$

and moreover, this independent of the form from (i).

(iii)  $\varphi \in S$ ,

$$\left\| \int_a^b \int_c^d \varphi \right\| \leq (b-a)(d-c) \|\varphi\|_{\infty}$$

(iv) If  $\tau \in \overline{S} \subseteq \mathcal{L}^{\infty}([a,b] \times [c,d], X)$ ,  $\tau = \lim_{n \rightarrow \infty} \varphi_n$ ,  $\varphi_n \in S$ , then

$$\int_a^b \int_c^d \tau = \lim_{n \rightarrow \infty} \int_a^b \int_c^d \varphi_n$$

exists and, is independent of choice of  $(\varphi_n)_{n=1}^{\infty} \subset S$ . Moreover, this limit equals

$$\int_a^b \int_c^d \tau$$

(v)  $C([a,b] \times [c,d], X) \subset \overline{S}$ .

## Vector-valued contour integrals

Let  $[a,b] \subseteq \mathbb{R}$ ,  $X$  Ban space,  $\tau \in C^1([a,b])$  ( $\mathbb{C}$ -valued). Let  $\tau^* = \tau([a,b])$ .

If  $F: \tau^* \rightarrow X$  is continuous define

$$\int_{\tau} F(z) dz = \int_a^b F(\tau(t)) \tau'(t) dt.$$

A  $\tau \in C[a, b]$  is called rectifiable if there exists  $a = c_0 < c_1 < \dots < c_n = b$  such that  $\tau_j = \tau|_{[c_{j-1}, c_j]} \in C^1[c_{j-1}, c_j]$ ,  $\forall j \in \{1, \dots, n\}$ . We then let

$$\int_{\tau} F(z) dz = \sum_{j=1}^n \int_{\tau_j} F(z) dz.$$

We call  $\tau \in C[a, b]$  closed if  $\tau(a) = \tau(b)$ .

A contour system is a family  $T = \{\tau_j\}_{j=1}^m$  where each  $\tau_j$  is closed, rectifiable 'contour', i.e.  $\tau_j \in C[a_j, b_j]$ . Let  $T^* = \tau_1^* \cup \dots \cup \tau_m^*$ .

If  $F: T^* \rightarrow X$  is continuous, define

$$\int_T F(z) dz = \sum_{j=1}^m \int_{\tau_j} F(z) dz.$$

If  $z_0 \in \mathbb{C} \setminus T^*$  define the index by

$$\text{ind}_T(z_0) = \frac{1}{2\pi i} \int_T \frac{dz}{z - z_0}.$$

Fact:  $\text{ind}_T: \mathbb{C} \setminus T^* \rightarrow \mathbb{Z}$  and is continuous.

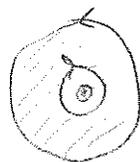


We let

$$\begin{aligned} \text{int } T &= \{z \in \mathbb{C} \setminus T^*; \text{ind}_T(z) = 1\} && \text{"simple" inside} \\ \text{out } T &= \{z \in \mathbb{C} \setminus T^*; \text{ind}_T(z) = 0\} && \text{outside} \end{aligned}$$

'Typical' picture

$\text{ind}_T(\mathbb{C} \setminus T^*) \in \{0, 1\}$   
"homologically simple"



shaded = int T

Cauchy's Theorem (homology version)

Let  $\emptyset \neq U \subseteq \mathbb{C}$  be open,  $f \in \text{Hol}(U) = \{g: U \rightarrow \mathbb{C}; g \text{ holomorphic}\}$ , and  $T$  be a contour system such that  $\mathbb{C} \setminus U \subseteq \text{out}(T)$ . Then

$$\int_T f(z) dz = 0.$$

Consequence: In assumptions above, if  $z_0 \in U \setminus T^*$ ,

$$2\pi i \text{ind}_T(z_0) f(z_0) = \int_T \frac{f(z)}{z - z_0} dz.$$

Fact: If  $\emptyset \neq K \subseteq U \subseteq \mathbb{C}$ ,  $K$  compact,  $U$  open, then there exists a contour system  $T$  with

- $T^* \subset U \setminus K$
- $K \subseteq \text{int } T$
- $\mathbb{C} \setminus U \subseteq \text{out } T$

We shall say " $T$  is suitable for  $K$  and  $U$ ".

Idea: Cover  $K$  with finitely many <sup>open</sup> disks, each of whose closures is in  $U$ . Consider the boundary of the union of ~~union~~ the disks oriented "positively".

Lemma: Let  $A$  be a unital Ban. algebra,  $a \in A$ . If  $\sigma(a) \subset U$ ,  $U$  open, and  $S, T$  are contour systems, each suitable to  $\sigma(a)$  and  $U$ , and if  $f \in \text{Hol}(U)$ , then

$$\int_T f(z)(z-a)^{-1} dz = \int_S f(z)(z-a)^{-1} dz \quad \text{in } A.$$

Proof: If  $\sigma \in \mathbb{C}[a, b]$ , let  $\bar{\sigma}(t) = \sigma(a+b-t)$ . If  $S = \{\sigma_i\}_{i=1}^m$ , let  $\bar{S} = \{\bar{\sigma}_i\}_{i=1}^m$ . If  $T = \{\tau_j\}_{j=1}^n$  we let  $\bar{T} = \{\bar{\tau}_j\}_{j=1}^n$ .  
Now for  $z \in \sigma(a) \cup (\mathbb{C} \setminus U)$ . Then

$$\text{ind}_{\bar{T}-\bar{S}}(z) = \frac{1}{2\pi i} \left( \int_{\bar{T}} \frac{d\xi}{\xi-z} + \int_{\bar{S}} \frac{d\xi}{\xi-z} \right)$$

$$= \text{ind}_{\bar{T}}(z) - \text{ind}_{\bar{S}}(z)$$

$$= \begin{cases} 1-1 & z \in \sigma(a) \\ 0-0 & z \in \mathbb{C} \setminus U \end{cases}$$

$$= 0.$$

Let  $\mu \in A^*$ , and let

$$F_\mu(z) = \mu \left( f(z)(z-a)^{-1} \right) = f(z) \mu(z-a)^{-1}$$

so  $F_\mu$  is holomorphic. Thus, for  $z \in \mathbb{C} \setminus (U \cup \sigma(a)) = \sigma(a) \cup (\mathbb{C} \setminus U)$  we have, by Cauchy's theorem (holom. version)

$$0 = \int_{\bar{T}-\bar{S}} F_\mu(z) dz = \mu \left( \int_{\bar{T}} f(z)(z-a)^{-1} dz - \int_{\bar{S}} f(z)(z-a)^{-1} dz \right)$$

and hence by H-B thm, we are done.

2015 02 1

Notations: If  $\emptyset \neq K \subset \mathbb{C}$  compact let

$$\text{Hol}(K) = \bigcap_{\substack{U \supset K \\ U \text{ open}}} \text{Hol}(U)$$

Def] Let  $A$  be a unital Banach algebra,  $a \in A$ . If  $f \in \text{Hol}(\sigma(a))$  so  $f \in \text{Hol}(U)$ ,  $U \supset \sigma(a)$ ,  $U$  open we let

$$f(a) = \frac{1}{2\pi i} \int_T f(z) (z - a)^{-1} dz$$

where  $T$  is any contour system suitable for  $\sigma(a)$  and  $U$ .

The last lemma shows that  $f(a)$  is well-defined, i.e. independent of suitable  $T$ .

Theorem: Let  $A$  be a unital Ban alg,  $a \in A$ . Then

(i)  $f \mapsto f(a): \text{Hol}(\sigma(a)) \rightarrow A$  is a homomorphism

(we have a functional calculus, ~~the~~)

this is often called Riesz Functional Calculus)

(ii) if  $k \in \mathbb{N}_0$ ,  $z^k \in \text{Hol}(\mathbb{C})$ ,  $z^k(z) = z^k$  then  $z^k(a) = a^k$ .

(iii) if  $\sigma(a) \subset U$ ,  $U$  open,  $g_n, g \in \text{Hol}(U)$ ,  $\lim_{n \rightarrow \infty} g_n = g$  uniformly on compact sets, then

$$\lim_{n \rightarrow \infty} \|g_n(a) - g(a)\| = 0.$$

(iv) If  $r > r(a)$ ,  $f \in \text{Hol}(rD)$  then

$$f(a) = \sum_{k=0}^{\infty} \frac{f^{(k)}(0)}{k!} a^k$$

Proof.

(i) If  $f, g \in \text{Hol}(\sigma(a))$ , there are open sets  $V, W \supset \sigma(a)$  so  $f \in \text{Hol}(V)$ ,  $g \in \text{Hol}(W)$ , thus  $f, g \in \text{Hol}(U)$ ,  $U = V \cap W$ .

If  $T$  is a contour system, suitable for  $\sigma(a)$  and  $U$ , then for  $\alpha \in \mathbb{C}$ ,

$$(f + \alpha g)(a) = \frac{1}{2\pi i} \int_T (f(z) + \alpha g(z)) (z - a)^{-1} dz = f(a) + \alpha g(a) \quad \text{by lin of integral}$$

2015 02 12

Let  $S$  be a contour system with  $T^k \subset \text{outs } S$ ,  $0 < a < b \subset \text{ins } S$ .

Also recall for  $z, \xi \in \mathbb{C} \setminus \{a\}$ ,

$$(ze-a)^{-1} \xi^{-1} (\xi e-a)^{-1} = (ze-a)^{-1} ((\xi e-a) - (ze-a)) (\xi e-a)^{-1}$$

and hence

$$(ze-a)^{-1} (\xi e-a)^{-1} = \frac{1}{\xi-z} ((ze-a)^{-1} - (\xi e-a)^{-1})$$

Then

$$f(a)g(a) = \frac{-1}{4\pi^2} \iint_{T \times S} f(z)g(\xi) \overbrace{(ze-a)^{-1} (\xi e-a)^{-1}}^{\uparrow} d\xi dz$$

$$= -\frac{1}{4\pi^2} \int_T f(z) \int_S \frac{g(\xi)}{\xi-z} d\xi (ze-a)^{-1} dz$$

$$+ \frac{1}{4\pi^2} \int_S g(\xi) \int_T \frac{f(z)}{\xi-z} dz (\xi e-a)^{-1} d\xi$$

= 0 by Cauchy's thm

$$= \frac{1}{2\pi i} \int_T f(z)g(z)(ze-a)^{-1} dz = fg(a)$$

(ii) Let  $r > |a|$ ,  $\tau: [0, 2\pi] \rightarrow \mathbb{C} \setminus \{a\}$ ,  $\tau(t) = re^{it}$ . Then

$$\int_{\tau} z^k (ze-a)^{-1} dz = \frac{1}{2\pi i} \int_{\tau} z^{k-1} (e - \frac{1}{r}a)^{-1} dz$$

$|z|=r > |a|$ ,  $z \in \tau$

$$= \frac{1}{2\pi i} \int_{\tau} z^{k-1} \sum_{n=0}^{\infty} \frac{1}{z^n} a^n dz$$

converge uniformly for  $z \in \tau^k$

$$= \frac{1}{2\pi i} \sum_{n=0}^{\infty} \int_{\tau} z^{k-n-1} dz a^n$$

$$= \frac{1}{2\pi i} \sum_{n=0}^{\infty} \int_0^{2\pi} (re^{it})^{k-n-1} i e^{it} dt a^n$$

$$= \frac{1}{2\pi i} \sum_{n=0}^{\infty} r^{k-n} \int_0^{2\pi} e^{i(k-n)t} dt a^n \quad 0 \text{ unless } (k-n)=0$$

$$= a^k$$

(iii) Let  $T = \{T_j\}_{j=1}^m$ ,  $T_j \in \mathbb{C} \setminus \{a\}$  closed, rectifiable contours, and  $a_j = c_{ij} < c_{ij} = b_j$  st  $T_j \setminus \{c_{ij}, c_{ij}\} \in C^1 [c_{ij}, c_{ij}]$ . Then

$$\|g_n(a) - g(a)\| = \left\| \frac{1}{2\pi i} \int_T (g_n(z) - g(z)) (ze-a)^{-1} dz \right\|$$

$$\leq \frac{1}{2\pi} \sum_{j=1}^m \sum_{i=1}^{m_j} \left\| \int_{c_{ij}}^{c_{ij}'} (g_n - g)(T_j(t)) (ze-a)^{-1} \tau(t) dt \right\|$$

$$\left\| \int_a^b F(z) dz \right\| \leq \int_a^b \|F(z)\| dz$$

$$\begin{aligned} &\leq \frac{1}{2\pi} \sum_{j=1}^r \sum_{i=1}^{n_j} \max_{z \in T^*} \left[ |g_n(z) - g(z)| \cdot \|z - a\|^{-i} \right] \int_{\Gamma_j} |z_j'(t)| dt \\ &= \frac{1}{2\pi} \max_{z \in T^*} \left[ \underbrace{\hspace{10em}}_{\xrightarrow{n \rightarrow \infty} 0} \right] \cdot \text{length}(T) \xrightarrow{n \rightarrow \infty} 0 \end{aligned}$$

(iv) Combine (ii) and (iii). Use curve  $r \geq r' > r(a)$ ,  
 $\tau: [0, 2\pi] \rightarrow rD \setminus \{a\}$   
 $\tau(t) = r'e^{it}$  □

Spectral Mapping Theorem: Let  $A$  be a unital Ban alg,  $a \in A$ . If  $f \in \text{Hol}(\sigma(a))$ , then  
 $\sigma(f(a)) = f(\sigma(a))$ .

Proof: Let  $M$  be a maximal commutative subalgebra of  $A$  with  $a \in M$ .  
 Thus  $\sigma_M(a) = \sigma_A(a)$ .

If  $\gamma \in \Gamma_M$ , then for a suitable contour system  $\Gamma$ ,

$$\begin{aligned} \gamma(f(a)) &= \frac{1}{2\pi i} \int_{\Gamma} f(z) \gamma((z-a)^{-1}) dz \\ &= \frac{1}{2\pi i} \int_{\Gamma} f(z) (ze^{-\gamma(a)})^{-1} dz = f(\gamma(a)). \end{aligned}$$

Recall,  $\sigma(a) = \hat{a}(\Gamma_M) = \{ \gamma(a) ; \gamma \in \Gamma_M \}$ . (Clearly  $f(a) \in M$ . (functional calc.  $f_i: f$ ) so the same is true of  $\sigma(f(a))$ . □

Proposition (Riesz <sup>idempotent</sup> ~~projection~~): If  $A$  is a unital Ban alg,  $a \in A$ ,  $\sigma(a)$  is disconnected,  $\sigma(a) = \sigma_1 \cup \sigma_2$ ,  $\sigma_1, \sigma_2$  closed in  $\sigma(a)$ . Then there is an idempotent  $e$  st  $e^2 = e$ , ~~and~~  $ea = ae$ , and if  $\sigma(ae) = \sigma_1 \cup \{0\}$ ,  $\sigma(a(eA - e)) = \sigma_2 \cup \{0\}$ . word not supposed to be here?

Proof: Let  $U, V$  be open,  $\sigma_1 \subset U$ ,  $\sigma_2 \subset V$ ,  $U \cap V = \emptyset$ ,  $1_U \in \text{Hol}(\sigma(a))$ .  
 Let  $e = 1_U(a)$ . Use spectral mapping thm and functional calculus. □

Q3e: hints: - learn what it means for  $f$  to be homotopic to  $g$  in  $GL(\mathbb{C}(\mathbb{T}))$  2015 02 13  
 - identify  $\mathbb{C}(\mathbb{T}) = \mathbb{C}[0, 2\pi] = \{f \in \mathbb{C}[0, 2\pi]; f(0) = f(2\pi)\}$   
 -  $\mathbb{C}^* \setminus \{0, 2\pi i\}$  dense in  $\mathbb{C} \setminus \{0, 2\pi i\}$ .  $\text{ind}_f \circ \text{col} = \text{ind}(f \circ \text{col}) \text{ind}_f \circ \text{col}$  do  $f_n \in \text{GL}(\mathbb{C} \setminus \{0, 2\pi i\}) \cap \mathbb{C}^* \setminus \{0, 2\pi i\}$

Remark: A a Ban alg with identity  $e \in A$ . If  $w \in \mathbb{C} \setminus \sigma(a)$ ,  $f \in \text{Hol}(\sigma(a))$

$$\frac{1}{wI - f} \in \text{Hol}(\sigma(a))$$

$$\frac{1}{wI - f}(a) = (we - a)^{-1}$$

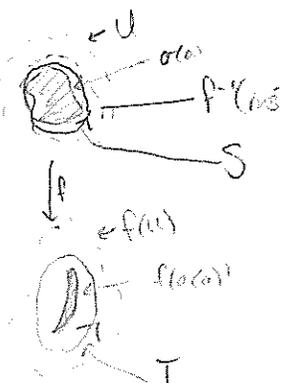
by functional calculus.

Proposition: Let  $A$  be a unital Ban alg,  $a \in A$ ,  $f \in \text{Hol}(\sigma(a))$  which is constant on no nhd of any point in  $\sigma(a)$ , and  $g \in \text{Hol}(f(\sigma(a)))$ . Then  $g \circ f \in \text{Hol}(\sigma(a))$  and  $g \circ f(a) = g(f(a))$ .

Proof: Let  $U \supset \sigma(a)$  be open with  $f$  constant on no connected component of  $U$ . By the open mapping theorem for non-constant holomorphic functions,  $f(U)$  is an open neighbourhood of  $f(\sigma(a)) = \sigma(f(a))$ .

Let  $T$  be a contour system which is suitable for  $f(\sigma(a))$  and  $f(U)$ . Notice that  $\sigma(a) \subset f^{-1}(\text{int } T)$ . Hence we can find a contour system suitable for  $\sigma(a)$  and  $f^{-1}(\text{int } T)$ . We thus compute

$$\begin{aligned} g(f(a)) &= \frac{1}{2\pi i} \int_T g(w) \underbrace{(we - f(a))^{-1}}_{\text{Remark}} dw \\ &= -\frac{1}{4\pi^2} \int_T \int_S \frac{g(w)}{w - f(z)} (ze - a)^{-1} dz dw \\ &= \underbrace{\frac{1}{2\pi i} \int_S \left( \frac{1}{2\pi i} \int_T \frac{g(w)}{w - f(z)} dw \right)}_{g(f(z)) = g \circ f(z)} (ze - a)^{-1} dz = g \circ f(a) \quad \square \end{aligned}$$



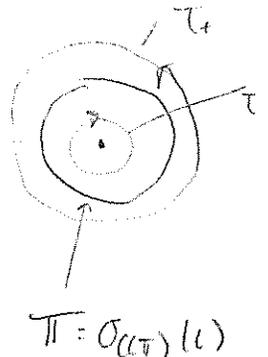
Remark: We wish to be cautious about the "context" in which we do functional calculus.

- $\iota: \mathbb{C} \rightarrow \mathbb{C}, \iota(z) = z$
- $\iota \in C(\mathbb{T})$  (qua element of  $C(\mathbb{T})$ )
- $\iota \in \text{Hol}(\mathbb{C} \setminus \{0\})$  (qua holomorphic function)

$$T = \{\tau_+, \tau_-\}, \tau_+(t) = 2e^{it}, \tau_-(t) = \frac{1}{2}e^{it}, t \in [0, 2\pi]$$

$$\frac{1}{\iota} = \frac{1}{2\pi i} \int_T \frac{1}{z} (zI - \iota)^{-1} dz \quad (f)$$

$\langle \iota \rangle_e = A(\mathbb{D}), \sigma_{A(\mathbb{D})}(\iota) = \mathbb{T}, \frac{1}{\iota} \notin \text{Hol}(\mathbb{T})$ . hence (f) makes no sense in  $A(\mathbb{T}) = \langle \iota \rangle_e$ .



K K K

Remark: If for "sufficiently many"  $z$   
 $(ze-a)^{-1} \in \langle a \rangle_e$  (closed alg.)  
 then for any  $f \in \mathcal{H}(\langle a \rangle_e)$  and any suitable contour system  $\Gamma$   

$$f(a) = \frac{1}{2\pi i} \int_{\Gamma} f(z) \underbrace{(ze-a)^{-1}}_{\in \langle a \rangle_e} dz \in \langle a \rangle_e$$

## Compact & Fredholm Operators

Def] Let  $X$  be a Ban space.  

$$K(X) = \{ K \in B(X); \overline{K(b_1(X))} \text{ is compact in } X \}$$
  
 We call elements of  $K(X)$  compact operators.

Remark: For  $K \in B(X)$ , TFAE

- (i)  $K \in K(X)$
- (ii)  $K(b_1(X))$  is totally bounded: given  $\epsilon > 0 \exists \{x_1, \dots, x_m\} \in b_1(X)$  st  

$$\overline{K(b_1(X))} \subset \bigcup_{j=1}^m (Kx_j + \epsilon b_1(X))$$
- (iii) Any sequence  $(x_n)_{n=1}^{\infty} \subset b_1(X)$  has that  $(Kx_n)_{n=1}^{\infty}$  admits a converging subsequence (to a point in  $\overline{K(b_1(X))}$ ).

Proposition:  $K(X)$  is a closed ideal in  $B(X)$ .

Proof: Let us see that  $K(X)$  is norm-closed. Suppose  $(K_n)_{n=1}^{\infty} \subset K(X)$ ,  
 $\lim_{n \rightarrow \infty} K_n = K$  in  $B(X)$ . Given  $\epsilon > 0$ , let  $n$  be so  $\|K_n - K\| < \epsilon/3$ . Find  $x_1, \dots, x_m \in b_1(X)$  st

$$\bigcup_{j=1}^m (K_n x_j + \frac{\epsilon}{3} b_1(X)) \supset K_n(b_1(X))$$

If  $x \in b_1(X)$ , then there is  $x_j$  ( $j \in \{1, \dots, m\}$ ) so that  $\|K_n x - K_n x_j\| < \epsilon/3$

Hence

$$\|Kx - Kx_j\| \leq \underbrace{\|Kx - K_n x\|}_{\leq \|K - K_n\| < \epsilon/3} + \underbrace{\|K_n x - K_n x_j\|}_{< \epsilon/3} + \underbrace{\|K_n x_j - Kx_j\|}_{\leq \|K - K_n\| < \epsilon/3} < \epsilon.$$

ie  $\# K(b_1(X)) \subset \bigcup_{j=1}^m (Kx_j + \epsilon b_1(X))$ .