

low energy state  
ground state (100) ... (check)

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Proof: Let  $\mathfrak{p} \triangleleft R$  be maximal,  $F = R/\mathfrak{p}$  field.

$$R^X \otimes_R R/\mathfrak{p} \cong (R/\mathfrak{p})^X = F^X$$

$R^X \cong R^Y \Rightarrow F^X \cong F^Y$  as  $R$ -modules.

Fact: If  $M$  &  $N$  are isomorphic  $R$ -modules then they are iso. as  $R/I$ -modules, where  $I = \text{Ann}(M) = \text{Ann}(N) \triangleleft R$ .

Proof: If  $\phi: M \rightarrow N$  is an  $R$ -module isomorphism. Create  $R/I$ -module isomorphism  $\Phi(m) = \phi(m)$ . Check this works.

Question: What is annihilator of  $F^X$ ?

Answer:  $F^X = (R/\mathfrak{p})^X$ ,  $\text{Ann}(F^X) = \mathfrak{p} = \text{Ann}(F^Y)$

And so  $F^X \cong F^Y$  as  $R$ -modules implies  $F^X \cong F^Y$  as  $R/\mathfrak{p}$ -modules implies (A)  $|X| = |Y|$ . □

## Algebras

Let  $R$  be a ring. ~~An algebra~~

An  $R$ -algebra  $S$  is just a ring equipped with a <sup>ring</sup> homomorphism  $\alpha: R \rightarrow S$   
 $\alpha(1_R) = 1_S$

Ex Every ring is a  $\mathbb{Z}$ -algebra

$$\alpha: \mathbb{Z} \rightarrow R$$
$$\alpha(n) = n \cdot 1_R$$

Ex  $\mathbb{C}[x, y]$  is a  $\mathbb{C}$ -algebra

$$\alpha: \mathbb{C} \rightarrow \mathbb{C}[x, y]$$
$$\alpha(c) = c$$

Ex  $\mathbb{C}$  is an  $\mathbb{R}$ -algebra

$$\alpha: \mathbb{R} \rightarrow \mathbb{C}$$
$$\alpha(c) = c$$

## Base Change / Extension of Scalars

Notice that if  $S$  is an  $R$ -algebra then  $S$  inherits an  $R$ -module structure as well.

Given  $r \in R, s \in S$ , define  $r \cdot s = \alpha(r)s$ ,  $\alpha: R \rightarrow S$ .

Notice that if  $S$  is an  $R$ -algebra &  $M$  is an  $R$ -module

then we can form the tensor product  $S \otimes_R M$   $R$ -module.

But notice we can endow  $S \otimes_R M$  with an  $S$ -module structure via the rule

$$s_1 \cdot (s_2 \otimes m) = s_1 s_2 \otimes m$$

(extend linearly). (check it works).

What have we done?

$R$	$M$	$S$	$S \otimes_R M$
ring	$R$ -module	$R$ -algebra	$S$ -module

This process is called either base change or extension of scalars.

Reasons why this is used.

Imagine  $V$  is a  $\mathbb{Q}$ -v.s.

$\mathbb{C}$  is a  $\mathbb{Q}$ -alg.

$V_{\mathbb{C}} := V \otimes_{\mathbb{Q}} \mathbb{C}$  is a  $\mathbb{C}$ -vector space

$$\begin{aligned} \text{If } V \cong \mathbb{Q}^n, \quad V \otimes_{\mathbb{Q}} \mathbb{C} &\cong (\mathbb{Q} \oplus \dots \oplus \mathbb{Q}) \otimes_{\mathbb{Q}} \mathbb{C} \\ &\cong \bigoplus_{i=1}^n (\mathbb{Q} \otimes_{\mathbb{Q}} \mathbb{C}) \cong \mathbb{C}^n \end{aligned}$$

Useful construction: If  $A$  &  $B$  are  $R$ -algebras. Then we can form  $A \otimes_R B$   $R$ -module.

But it actually has the structure of an  ~~$R$ -module~~  $R$ -algebra

Ex 2  
~~Ex 2~~

$$(a_1 \otimes b_1) \cdot (a_2 \otimes b_2) = a_1 a_2 \otimes b_1 b_2$$

$$\alpha(1) \otimes 1 = 1 \otimes \beta(r)$$

$$r(1 \otimes 1) = 1 \otimes 1$$

$$\gamma: R \rightarrow A \otimes_R B$$

$$\gamma(r) = \alpha(1) \otimes 1 = 1 \otimes \beta(r)$$

## Noetherian Rings

Let  $R$  be a ring. We say that  $R$  is Noetherian if every ascending chain of ideals in  $R$

$$I_1 \subseteq I_2 \subseteq \dots$$

terminates, i.e.  $\exists n$  st.  $I_n = I_{n+1} = \dots$

Ex a PID is Noetherian (in particular, fields)

Ex  $\mathbb{C}[x_1, x_2, \dots]$ ,  $(x_1) \subsetneq (x_1, x_2) \subsetneq (x_1, x_2, x_3) \subsetneq \dots$

Def Let  $R$  be a ring & let  $M$  be an  $R$ -module. Then  $M$  is Noetherian if every ascending chain of submodules of  $M$  terminates.

$R$  Noetherian as a ring  $\Leftrightarrow R$  Noetherian as an  $R$ -module

Ex If  $R = \mathbb{Z}$  and  $M = \mathbb{Q}$  then  $\mathbb{Q}$  is not Noetherian

Why?  $\mathbb{Z} \cdot 1 \subsetneq \mathbb{Z} \cdot \frac{1}{2} \subsetneq \mathbb{Z} \cdot \frac{1}{4} \subsetneq \dots$

Proposition: Let  $R$  be a ring. Then the following are equivalent:

- 1)  $R$  is Noetherian
- 2) Every  $I \subseteq R$  is finitely generated
- 3) Every collection  $S$  of ideals has a maximal element, w.r.t.  $\subseteq$ .

Similarly for modules:

Proposition:

$M$  Noetherian  $\Leftrightarrow$  every submodule is f.g.  $\Leftrightarrow$  every non-empty collection of submodules has a maximal element.

Proposition: Let  $R$  be a ring & let  $M$  be an  $R$ -module & let  $N \subseteq M$  be a submodule.

Then  $M$  is Noetherian  $\Leftrightarrow N$  &  $M/N$  are Noetherian.

In other words,  $0 \rightarrow N \rightarrow M \rightarrow M/N \rightarrow 0$

$\swarrow$   $\downarrow$   $\searrow$   
 Noeth.  $\downarrow$  Noeth.  
 $\swarrow$   $\downarrow$   $\searrow$

Proof: We have  $\pi: M \rightarrow M/N$  canonical surjection.

Correspondence

$$\begin{array}{c} M \\ \downarrow \iota \\ M/N \\ \downarrow \iota \end{array}$$

$P \mapsto \pi(P)$  gives an inclusion-preserving

bijection between submodules of  $M/N$  & submodules of  $M$  that contain  $N$ .

( $\Rightarrow$ ) If  $N_1 \subseteq N_2 \subseteq \dots$  is a chain in  $N$  then it is also a chain in  $M \Rightarrow$  it terminates

If  $A_1 \subseteq A_2 \subseteq \dots$  is a chain in  $M/N$  then  $\exists \pi^{-1}(A_1) \subseteq \pi^{-1}(A_2) \subseteq \dots$

$\Rightarrow$  a chain in  $M \Rightarrow$  terminates

( $\Leftarrow$ ) Suppose that  $N$  &  $M/N$  are Noetherian

Let  $M_1 \subseteq M_2 \subseteq \dots$  be a chain in  $M$ .

Then  $M_1 \cap N \subseteq M_2 \cap N \subseteq \dots$  is a chain in  $N \Rightarrow \exists m$  st  $M_m \cap N = M_{m+1} \cap N = \dots$

Also  $\pi_1(M_1) \subseteq \pi_2(M_2) \subseteq \dots$  is a chain in  $M/N$ , so  $\exists p$  st

$$\pi(M_p) = \pi(M_{p+1}) = \dots$$

Let  $n = \max\{m, p\}$ . Claim:  $M_n = M_{n+1} = \dots$

Pf: Let  $x \in M_{n+1}$ . We show  $x \in M_n$ . So  $\pi(x) \in \pi(M_{n+1}) = \pi(M_n)$ .

So  $\exists y \in M_n$  st  $\pi(x) = \pi(y)$

Then  $\pi(x-y) = 0$  so  $x-y \in N$ . And  $x-y \in M_{n+1}$ , so  $x-y \in N \cap M_{n+1} = N \cap M_n$ . So  $x-y = z \in M_n$ . So  $y \in M_n$ .  $\square$

Corollary: If  $M$  &  $N$  are Noetherian then  $M \oplus N$  is Noetherian

Proof:  $M \oplus N / (M \oplus 0) \cong N$ .  $\square$

Not true for infinite direct sum.

Ex  $R = \mathbb{Z}$ ,  $M = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \dots$

Corollary: If  $R$  is Noetherian &  $M$  is a f.g.  $R$ -module then  $M$  is Noetherian.

Proof. Induction on # generators  $d$ .

$$d=1: M = R/I, \quad \begin{array}{ccc} R & \xrightarrow{\text{act}} & M \\ r & \mapsto & rI \end{array} \Rightarrow M \cong R/I$$

Then  $M \cong R/I$  is Noetherian (because  $R$  is Noetherian as an  $R$ -module &  $R/I$  is a quotient)

(all of a module?)

It does make sense but it's up to you to make sense of the size

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Assume true for  $d < n$ . Let  $M = \langle m_1, \dots, m_n \rangle$ . Then  $N = \langle m_1, \dots, m_{n-1} \rangle$  is Noetherian by ind. hyp. and  $M/N \cong R/(m_n + N)$  so  $M/N$  is Noetherian  $\Rightarrow M$  Noetherian.

### Maximality Principle

Metatheorem: If  $R$  is a Noetherian ring & you choose an ideal  $I$  in  $R$  that is maximal w/ having some "nice" property. Then  $I$  is a prime ideal.

Theorem: (Noether) Let  $R$  be a Noetherian ring & let  $I \neq R$  be a proper ideal. Then  $\exists m \geq 1$  & prime ideals  $P_1, \dots, P_m$  such that  $P_1 \cdots P_m \subseteq I$ .

Proof: Suppose not. Let  $\mathcal{S}$  be the collection of proper ideals  $I \neq R$  that do not contain a <sup>finite</sup> product of prime ideals. By assumption,  $\mathcal{S} \neq \emptyset$ . Pick  $I \in \mathcal{S}$  maximal. We'll show that  $I$  must be prime.

This would be a CONTRADICTION.

If not,  $\exists a, b \in R \setminus I$  st  $ab \in I$ .

Now  $J_1 = I + Ra \not\subseteq I, J_2 = I + Rb \not\subseteq I$ . } Rule:  $J_1 J_2 \subseteq I + Rab \subseteq I$   
so  $J_i$  are proper

By maximality of  $I, J_1, J_2 \in \mathcal{S}$ . So  $\exists P_1, \dots, P_m, Q_1, \dots, Q_n$  prime ideals st  $P_1 \cdots P_m \subseteq J_1, Q_1 \cdots Q_n \subseteq J_2$ . So  $P_1 \cdots P_m Q_1 \cdots Q_n \subseteq J_1 J_2 \subseteq I$ .  $\square$

Remark: (Important)

If  $I \neq R, R$  Noetherian, &  $P_1 \cdots P_m \subseteq I, P_1, \dots, P_m \supseteq I$

Then if  $Q$  is a prime ideal &  $Q \supseteq I \Rightarrow Q \supseteq P_i$  some  $i$ .

(WLOG we may ~~assume~~ choose the  $P_i$  st they contain  $I$  (look at  $R/I$ ))

Proof: Suppose not. Then for  $k \leq m \exists a_k \in P_k \setminus Q$

So  $a_1 \cdots a_m \in P_1 \cdots P_m \subseteq I \subseteq Q \Rightarrow a_j \in Q$  some  $j$   $\neq \square$

So there are only finitely many primes in  $R$  that are minimal w/ containing  $I$ .  
Not true in general. containing  $I$   
containing  $I$

Eg.  $R = \mathbb{C} \times \mathbb{C} \times \mathbb{C} \times \dots$  infinitely many primes above  $(0)$  (each copy of  $\mathbb{C}$ )

When did we define ideal + module

When did we see  $R$  is Noetherian?

Let  $R$  be a ring, & let an  $I \triangleleft R$ .

We define the radical of  $I$

$$\sqrt{I} := \bigcap \{P; P \supseteq I \text{ prime}\}$$

Ex Let  $R = \mathbb{Z}_4$ . What is  $\sqrt{(0)}$ ?  $2\mathbb{Z}_4$ .

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Theorem: Let  $R$  be a ring. Then  $\sqrt{(0)}$  is a nil ideal, ie if  $x \in \sqrt{(0)}$  then  $\exists n \geq 1$  st  $x^n = 0$ .

Proof: Suppose not. Then  $\exists x \in I = \sqrt{(0)}$  st  $x$  is not nilpotent. Let  $T = \{1, x, x^2, \dots\}$ . Then  $0 \notin T$ . Let

$$\mathcal{S} = \{J \triangleleft R; J \cap T = \emptyset\}$$

Then  $\mathcal{S} \neq \emptyset$  because  $(0) \cap T = \emptyset$  means  $(0) \in \mathcal{S}$ .

If  $R$  is Noetherian, we just let  $J$  be a maximal element of  $\mathcal{S}$ .

If  $R$  is not Noetherian, we use Zorn's lemma to produce a maximal element. (easy)

Let  $J$  be a maximal element of  $\mathcal{S}$ . We claim that  $J$  is prime.

To see this, observe that if  $J$  is not prime then  $\exists a, b \in R \setminus J$  st  $ab \in J$ . Then  $J_1 = J + Ra \not\subseteq J$ ,  $J_2 = J + Rb \not\subseteq J$ .

Since  $J$  is maximal in  $\mathcal{S}$ ,  $J_1, J_2 \notin \mathcal{S}$ . So  $\exists n_1, n_2 \geq 1$  st  $x^{n_1} \in J_1$ ,  $x^{n_2} \in J_2$ . Then  $x^{n_1+n_2} \in J_1 J_2 \not\subseteq J$  \*

distribute the product

So  $J \in \mathcal{S}$  is prime. So  $x \in J$  because

$$J \supseteq \bigcap_{P \supseteq (0), P \text{ prime}} P = \sqrt{(0)} \ni x.$$

Contradiction. □

Corollary: If  $I \triangleleft R$  &  $x \in \sqrt{I}$  then  $\exists n \geq 1$  st  $x^n \in I$ .

(This is why  $\sqrt{I}$  is called the radical.)

Proof: Let  $S = R/I$ . By correspondence  $\sqrt{(0)}$  in  $S \leftrightarrow \sqrt{I}$  in  $R$ . If  $x \in \sqrt{I}$  then  $(x+I)^m = 0$  in  $S \Rightarrow x^m \in I$ .

Exercise: Let  $R$  be a ring. Then  $a_0 + a_1x + \dots + a_nx^n \in R[x]$  is a unit in  $R[x]$  if and only if  $a_0$  is a unit &  $a_1, \dots, a_n$  are nilpotent.

Noetherian

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Proposition: Let  $R$  be a ring & let  $I \trianglelefteq R$  be a nil ideal. Then  $I$  is nilpotent. i.e.  $\exists n \geq 1$  st  $I^n = (0)$ .

Proof: Suppose not. Let  $(I+J)/J$

here it begins

$$S = \{J \trianglelefteq R; \pi(I) \text{ is not nilpotent in } R/J\}$$

where  $\pi: R \rightarrow R/J$  is the canonical surjection. Then  $S \neq \emptyset$  as  $(0) \in S$ .

Let  $J \in S$  be maximal. We claim that  $J$  is prime. If not,  $\exists a, b \in R \setminus J$  st  $ab \in J$ . Let  $J_1 = J + Ra \ni J$ ,  $J_2 = J + Rb \ni J$ .

By maximality of  $J$ , neither  $J_1$  nor  $J_2$  is in  $S$ . So  $\pi(J_i)$  is nilpotent for  $i \in \{1, 2\}$ .

Aside: What does it mean to say  $\pi(I)$  is nilpotent in  $R/I$ ?

$\pi: R \rightarrow R/L$ . Answer:  $\pi(I)$  nilpotent  $\Leftrightarrow \pi(I)^n = (0) \Leftrightarrow$

$$(I+L)^n/L = L/L \Leftrightarrow I^n \subseteq L.$$

So  $\exists n_1, n_2 \geq 1$  st  $I^{n_i} \subseteq J_i$ . So  $I^{n_1+n_2} \subseteq J_1 J_2 \subseteq J \notin S$

So  $J \in S$  is prime. But this says  $\pi(I)$  is a nil but not nilpotent ideal in  $R/J$  (integral domain). But the only nilpotent element of an integral domain is 0

$$\Rightarrow \pi(I) \subseteq (0) \quad \#$$

why!

Hilbert's Basis Theorem:

Theorem: If  $R$  is Noetherian then  $R[x]$  is Noetherian

Aside:  $R = \mathbb{C}(x_1, x_2)$   
 $\mathbb{C}[x_1, x_2, \dots]$

Fact: If  $S$  is Noetherian then  $S/I$  is Noetherian (correspondence)  
 $S = R[x] \quad I = (x); \quad S/I \cong R$       the converse holds

Corollary: If  $R$  is Noetherian then  $R[x_1, \dots, x_n]$  is Noetherian, and also  $R[x_1, \dots, x_n]/I$  is Noetherian.

Remark: A ring of the form  $R[x_1, \dots, x_n]/I$  is called a finitely-generated  $R$ -algebra.

In particular, if  $k$  is a field (hence Noetherian) then a finitely-generated  $k$ -algebra is Noetherian.

Notation: Given  $p(x) = p_0 + \dots + p_n x^n \in R[x]$  with  $p_n \neq 0$ , we define  $\text{in}(p(x)) = p_n \in R$ .

Proof (of Hilbert basis theorem)

Let  $I$  be an ideal of  $R[x]$ . We'll show that  $I$  is finitely-generated. Pick  $f_1(x) \in I$  of smallest degree. ( $f=0$  is trivial)  
 Let  $d_1 = \deg(f_1(x))$ ;  $a_1 = \text{in}(f_1(x))$ ; let  $J_1 = a_1 R$ ; let  $I_1 = f_1(x)R[x] \subseteq I$ . If  $I = I_1$ ; done.

If not, pick  $f_2(x) \in I \setminus I_1$  with minimal degree.  
 Let  $d_2 = \deg(f_2)$ ;  $a_2 = \text{in}(f_2)$ ;  $J_2 = a_1 R + a_2 R$ ;  
 $I_2 = f_1(x)R[x] + f_2(x)R[x] \subseteq I$ .

Notice  $d_2 \geq d_1$ .

In general, having produced  $f_1(x), \dots, f_{n-1}(x)$ ,  $a_1, \dots, a_{n-1} \in R$ ,  $d_1, \dots, d_{n-1} \in \mathbb{N}$ , let  $I_{n-1} = f_1(x)R[x] + \dots + f_{n-1}(x)R[x]$

$$J_{n-1} = a_1 R + \dots + a_{n-1} R$$

$$I_1 \subseteq \dots \subseteq I_{n-1} \subseteq I$$

$$J_1 \subseteq \dots \subseteq J_{n-1} \subseteq R$$

If  $I = I_{n-1}$ , stop,  $I$  is finitely generated.

If not, pick  $f_n(x) = a_n x^{d_n} + \dots$  lower  $\in I \setminus I_{n-1}$  of minimal degree  $d_n$ . Let  $I_n = I_{n-1} + f_n(x)R[x]$ ,  $J_n = J_{n-1} + a_n R$ .

If  $I_m$  st  $I = I_m$ , we have  $I$  is generated by  $\{f_1(x), \dots, f_m(x)\}$ , and we're done. Thus we may assume  $I_1 \subsetneq I_2 \subsetneq \dots$

But because  $R$  is Noetherian, the chain  $J_1 \subseteq J_2 \subseteq \dots$  must terminate in  $R$ . Thus  $I_m$  st  $J_m = J_{m+1} = \dots$ . Claim:  $I_m = I_{m+1}$ .

This will give a contradiction.

Let's see why?

We picked  $f_{m+1}(x) \in I \setminus I_m$  of minimal degree.

$$f_{m+1}(x) = a_{m+1} x^{d_{m+1}} + \dots \text{ lower, with } a_{m+1} \in J_{m+1} = J_m = a_1 R + \dots + a_m R.$$

So  $\exists r_i \in R$  st  $a_{m+1} r_i = r_i a_{i+1} + r_m a_m$

$$r_i f_i(x) = r_i a_i x^{d_i} + \dots \text{ lower } \in I_m \quad \forall i \in \{1, \dots, m\}$$

Now  $d_{m+1} \geq d_i$ , so

$$g(x) = f_{m+1}(x) - r_1 x^{d_{m+1}-d_1} f_1(x) - r_2 x^{d_{m+1}-d_2} f_2(x) - \dots - r_m x^{d_{m+1}-d_m} f_m(x)$$

has degree at most  $d_{m+1} - 1$ .  $g(x) \in I$ . So  $g(x) \in I_m$  as  $f_{m+1}(x) \in I \setminus I_m$  was chosen as an ell. of smallest degree in  $I \setminus I_m$ .

Thus  $g(x) \in I_m$ . So  $f_{m+1}(x) = g(x) + \{ \text{stuff in } I_m \} \in I_m$ . ~~X~~